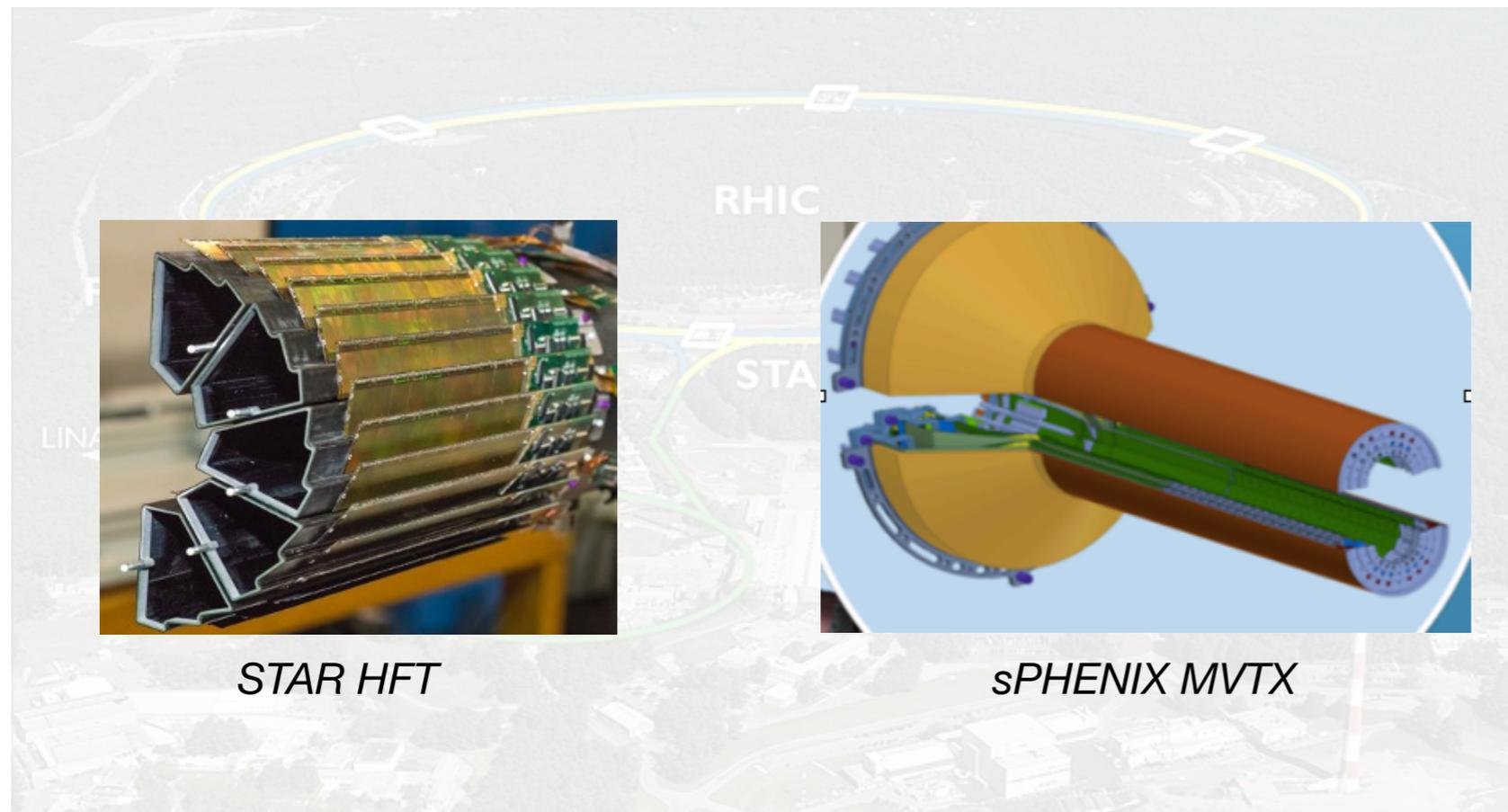


# Heavy Flavor Measurements from RHIC to EIC

Xin Dong (Lawrence Berkeley National Laboratory)



*STAR HFT*

*sPHENIX MVTX*



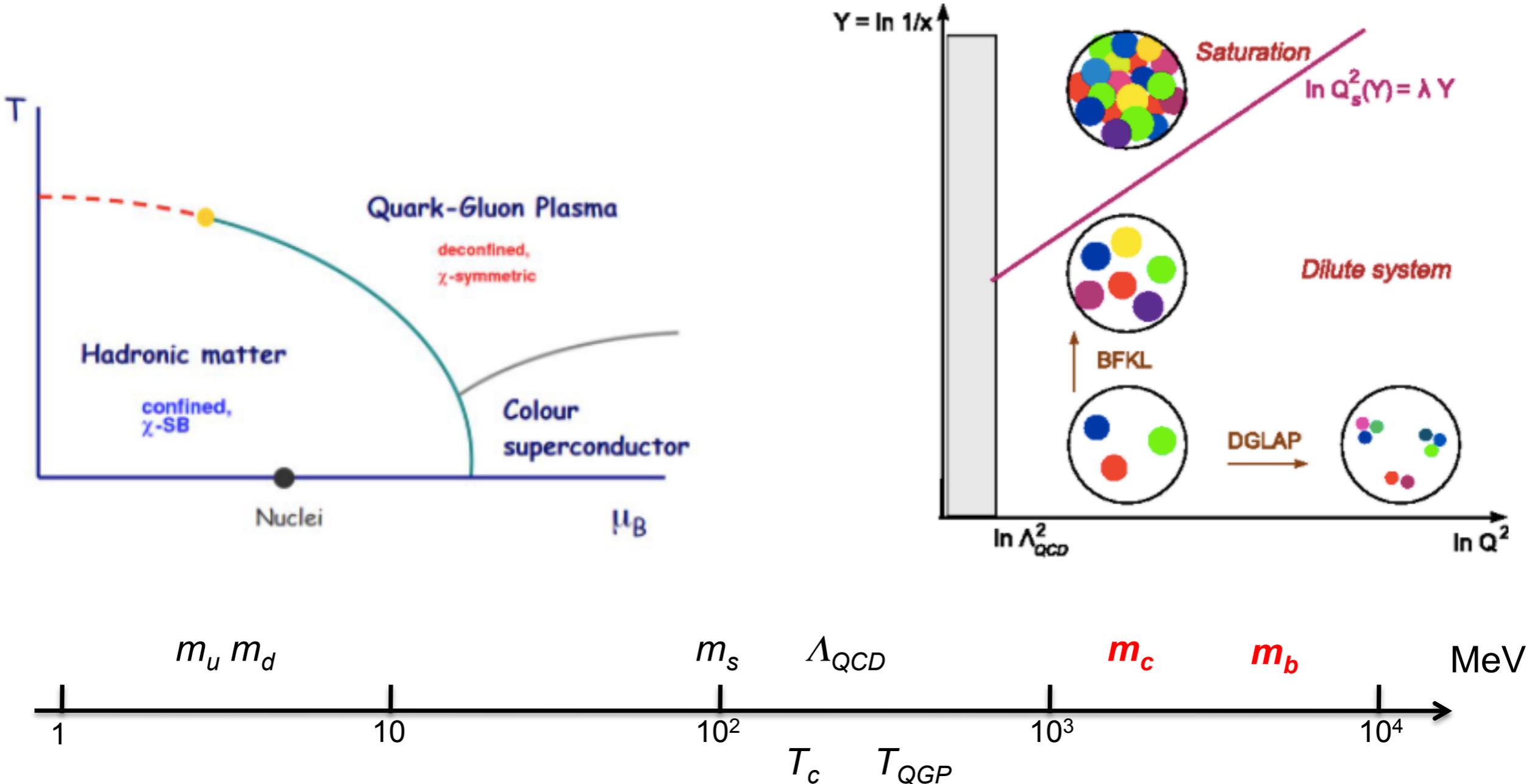
*All Si-Tracker*

# Outline

---

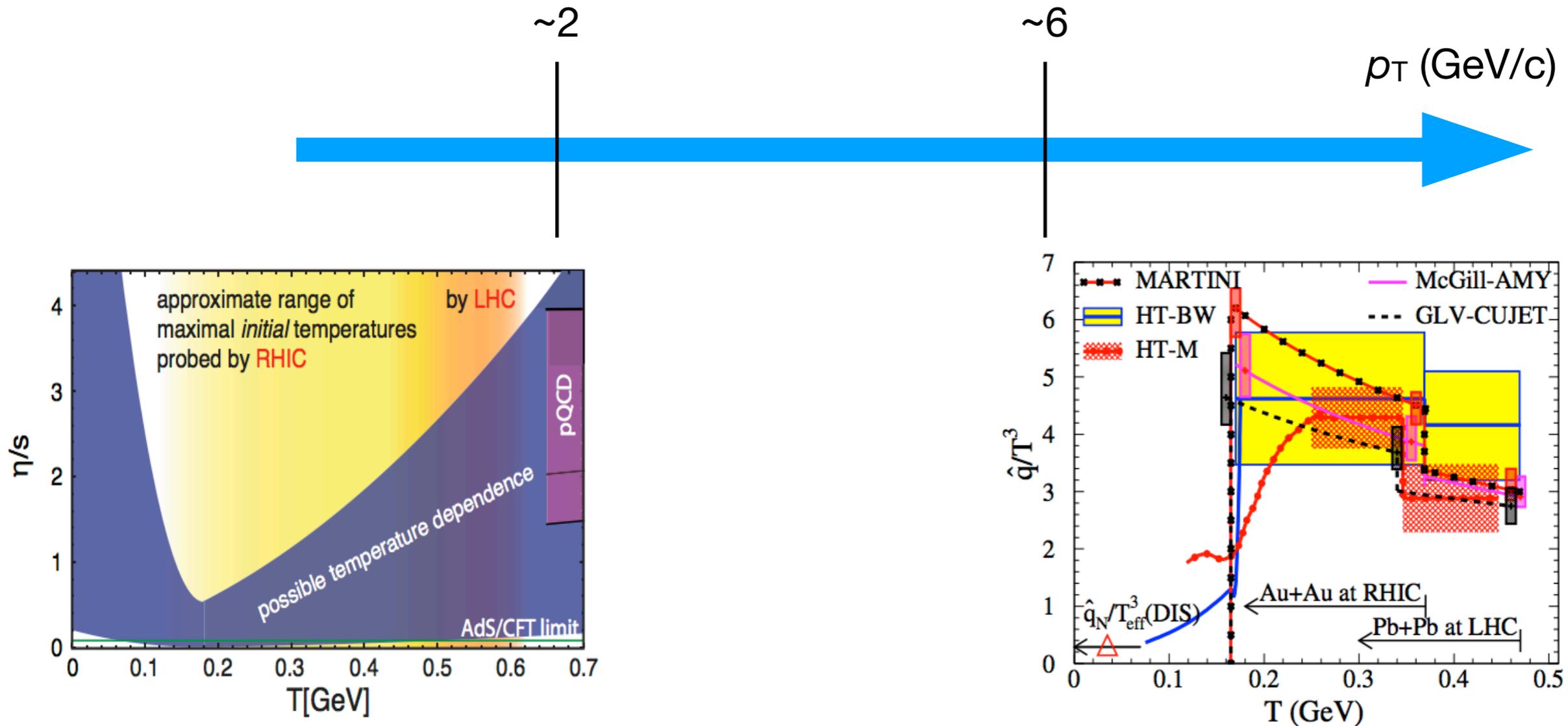
- Introduction
- Heavy flavors at RHIC to date ( -2021)
  - *Quantifying QGP properties - Phase-I*
- Heavy flavors at RHIC in near future (2022 - 202x)
  - *Quantifying QGP properties - Phase-II*
  - *Glimpsing at gluon distributions in nucleon/nucleus*
- Heavy flavors at EIC (203x - )
  - *Scrutinizing gluon dynamics in nucleon/nucleus*
- Summary

# Heavy Flavors: Unique Probes to Hot and Cold QCD



<u>Hot QCD:</u>	HFs retain QGP dynamics	- <b>microscopic structure of QGP</b>
<u>Cold QCD:</u>	HFs access to gluon dynamics	- <b>gluonic structure of nucleon/nucleus</b>

# RHIC Mission: Quantitative Measure of QGP



Hot QCD white paper - arXiv: 1502.02730

JET Coll., PRC 90 (2015) 014909

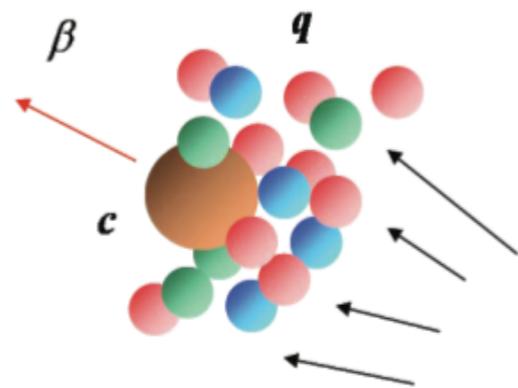
strongly coupled  
hydrodynamics

?

weakly coupled  
pQCD

**What is the microscopic picture of "perfect fluid"?**

# Heavy Flavor Quark Transport in QGP



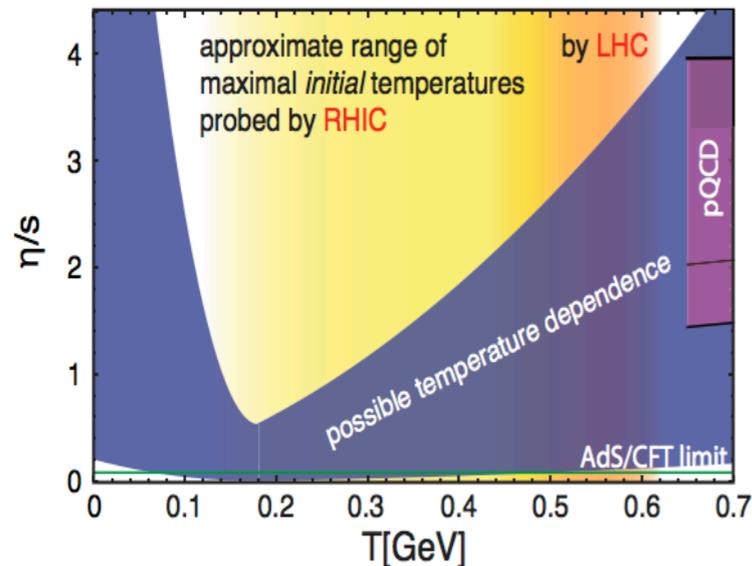
## Femtoscopic “Brownian” motion

Langevin stochastic equation

$$M_Q \gg T, M_Q \gg gT$$

$$\frac{d\vec{p}}{dt} = -\eta_D(p)\vec{p} + \vec{\xi}$$

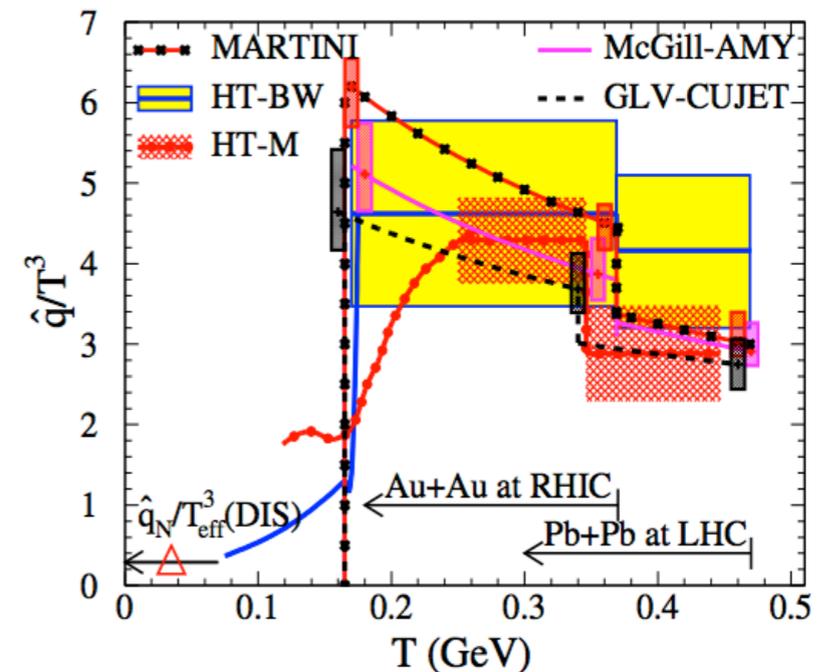
$$D_s \equiv \frac{\langle x^2(t) \rangle - \langle x^2(0) \rangle}{2dt} = \frac{t}{M\eta_D(p=0)}$$



$$D_s(2\pi T) \sim \eta/s$$

ratio depends on the strong/weak coupling nature of QGP

*R. Rapp and H. van Hees, 0903.1096*

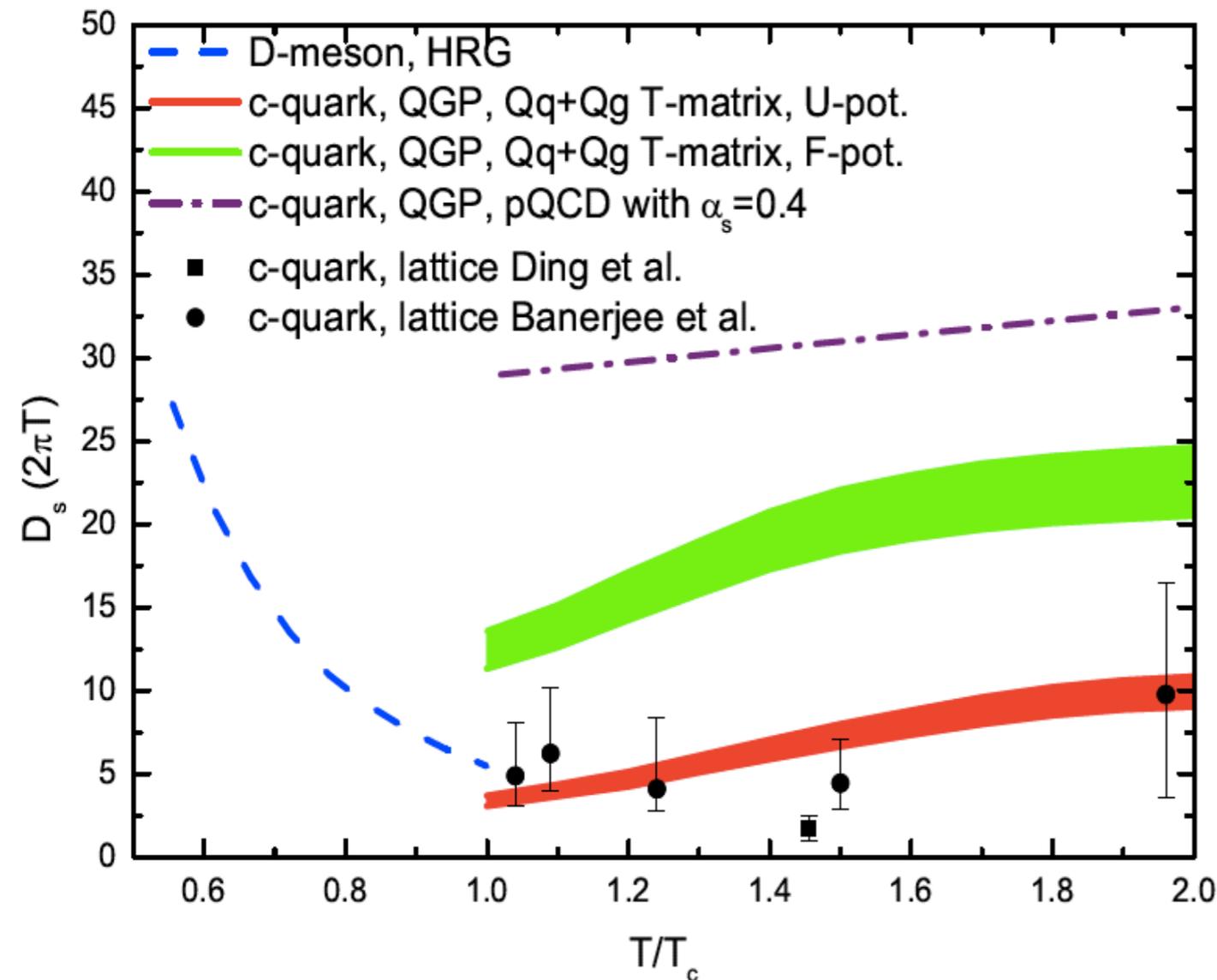


$$\hat{q} = \frac{\Delta p_T^2}{\lambda} = \frac{4D_p E_p}{p} \quad 2\pi T D_s = \frac{8\pi T^3}{\hat{q}(p \rightarrow 0)}$$

collisional vs. radiative energy loss

**Heavy quark transport – to probe QGP with comprehensive  $p_T$  coverage**  
 - unique insights to both perturbative and non-perturbative regimes

# Heavy Quark Diffusion Coefficient

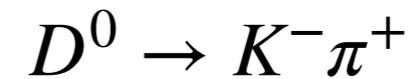


HotQCD white paper - [arXiv: 1502.02730](https://arxiv.org/abs/1502.02730)

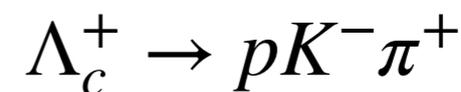
2015

- $2\pi T D_s \sim$  up to 30 @  $T_c$

To determine HQ diffusion coefficient  
Precision measurement of  $D^0$  production  
( $R_{AA}$  and  $v_2$ ), particularly at low  $p_T$



$$c\tau \sim 123\mu m$$



$$c\tau \sim 60\mu m$$

## Big Challenge

Combinatorial background in heavy-ion collisions

Silicon pixel detector to separate secondary decay vertex

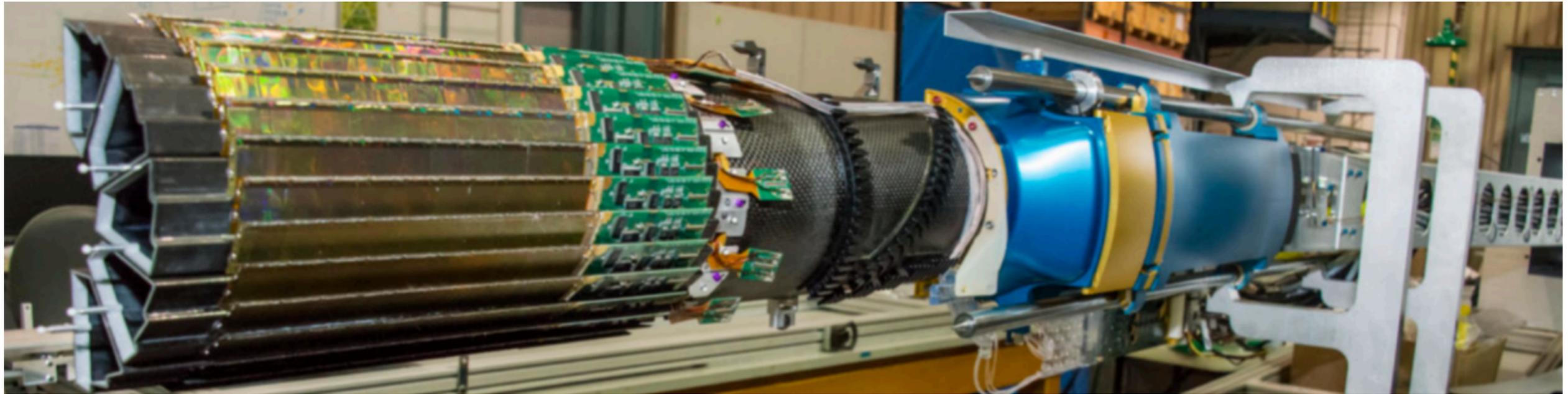
- STAR **Heavy Flavor Tracker (HFT)** upgrade
- PHENIX VTX/FVTX upgrade

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- Heavy flavors at EIC (203x -)
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# STAR Heavy Flavor Tracker (HFT)



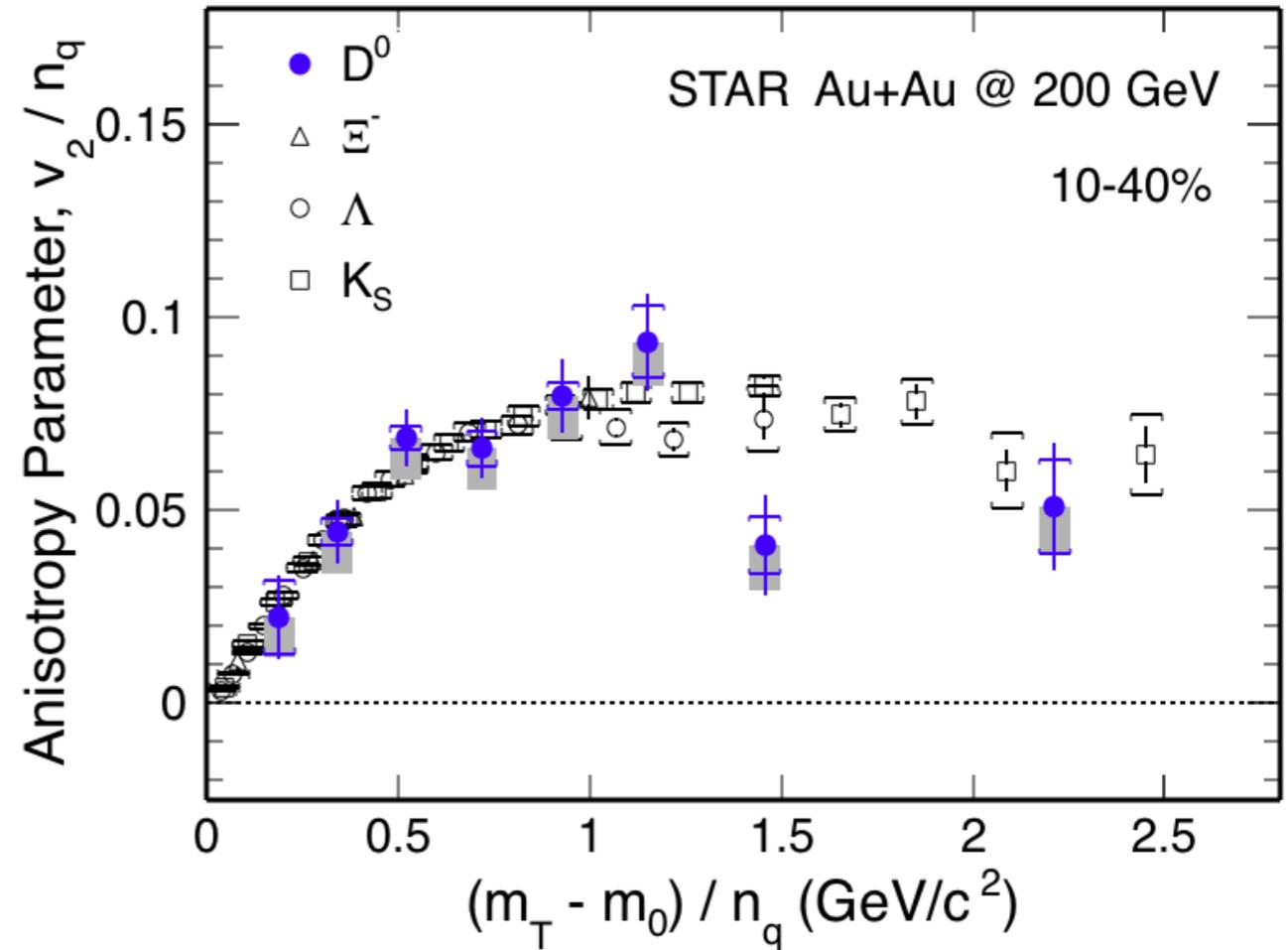
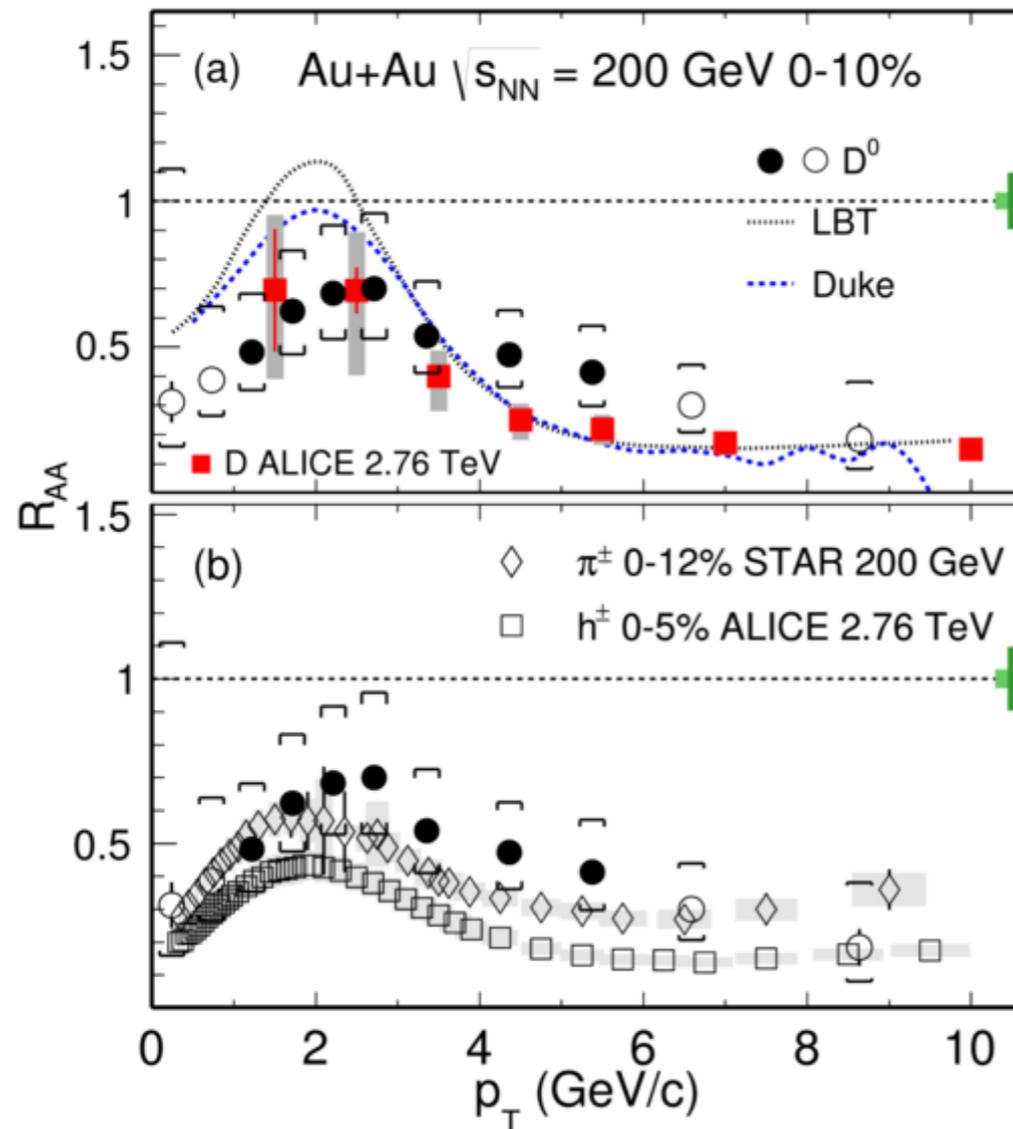
G. Contin et al, NIMA 907 (2018) 60

Detector	Radius (cm)	Pitch Size R/ $\phi$ - Z ( $\mu\text{m}$ - $\mu\text{m}$ )	Thickness
Silicon Strip Detector	22	95 / 40000	1% $X_0$
Intermediate Silicon Tracker	14	600 / 6000	1.3% $X_0$
PiXeL	8	20.7 / 20.7	0.5% $X_0$
	2.8	20.7 / 20.7	0.4% $X_0^*$

- First application of Monolithic Active Pixel Sensor (MAPS) at a collider experiment
- MAPS technology widely used/planned in NP experiments
  - ALICE ITS2/ITS3, sPHENIX MVTX, CBM MVD, EIC Si Tracker

# $D^0$ Meson $R_{AA}/R_{CP}$ in A+A Collisions

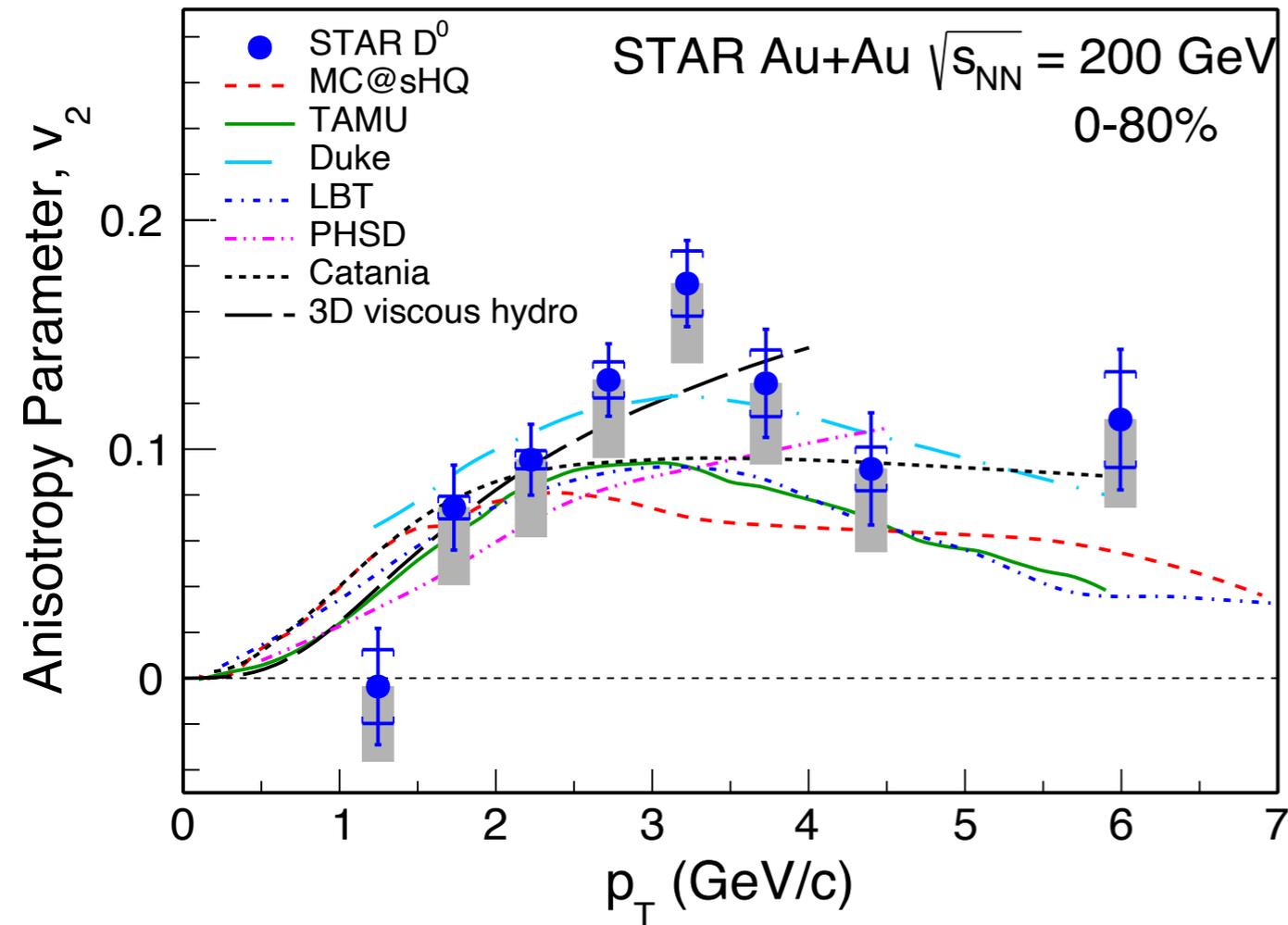
STAR, PRC 99 (2019) 034908



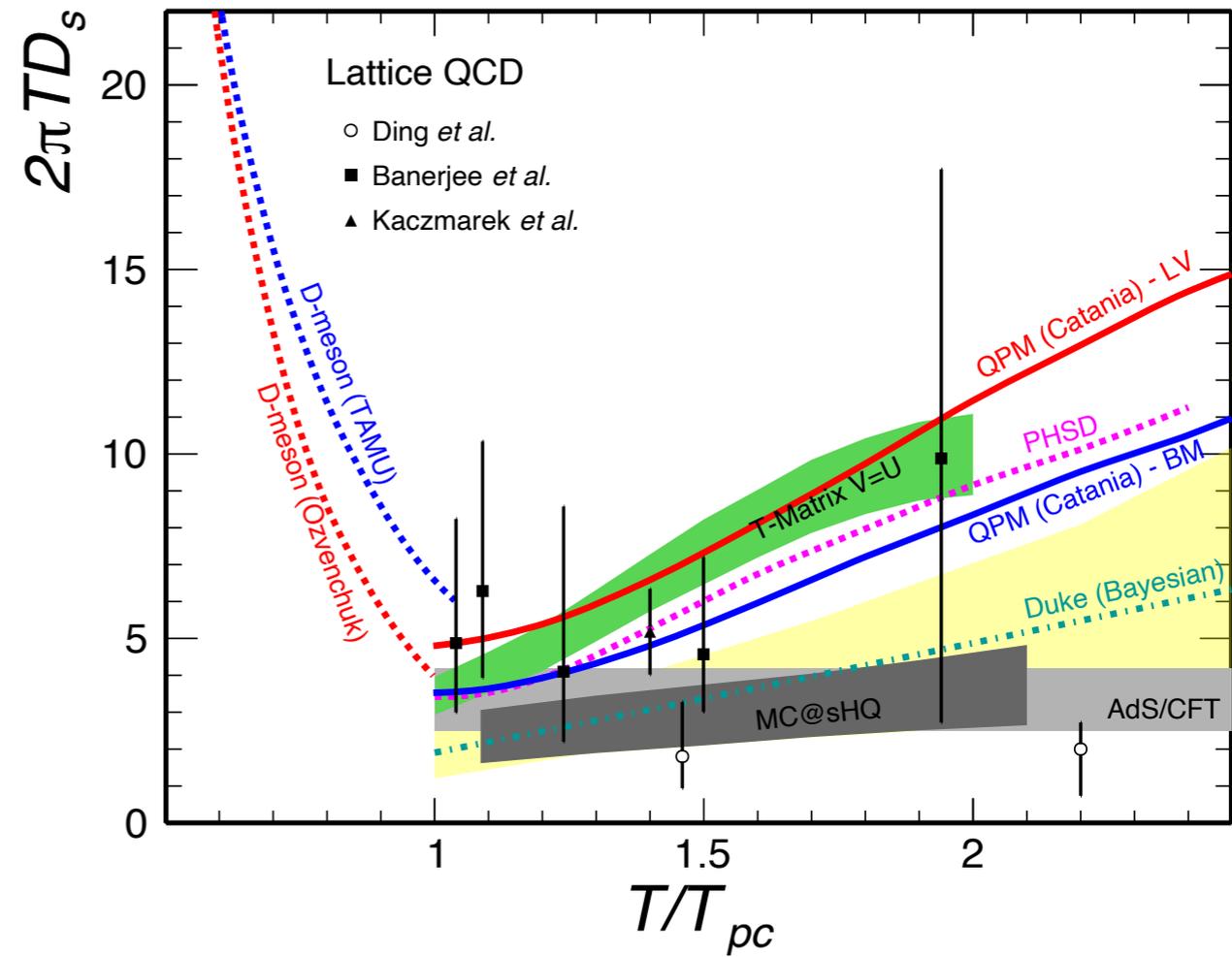
- $R_{AA}(D) \sim R_{AA}(h)$  at  $p_T > \sim 4$  GeV/c
  - significant charm quark energy loss in the QGP medium
- $v_2(D)$  follows the  $(m_T - m_0)/n_q$  scaling as light hadrons

**Evidence of charm quarks reaching local thermal equilibrium!**

# $D^0 v_2$ Compared with Models



STAR, PRL 118 (2017) 212301

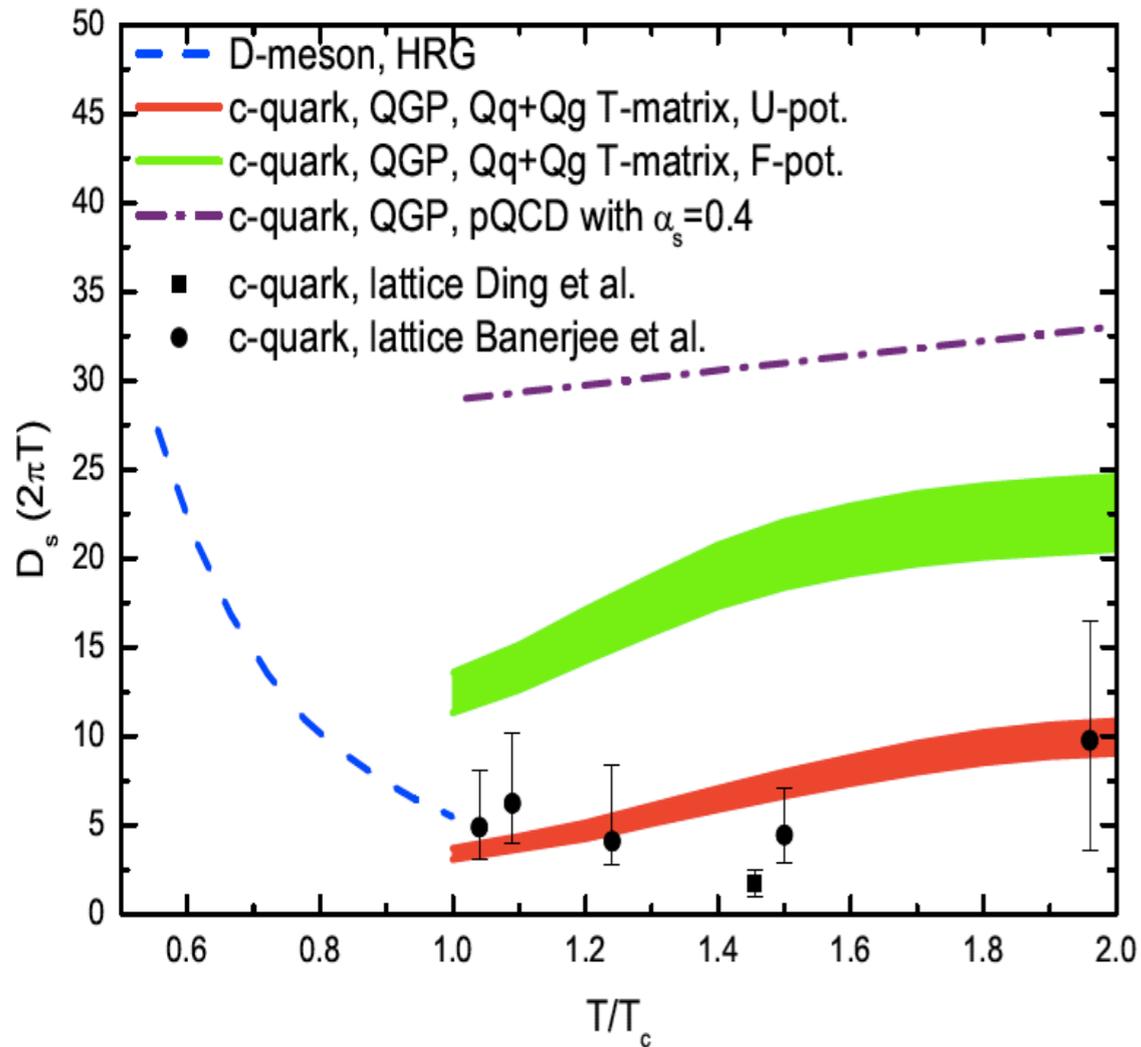


XD, Y-J Lee & R. Rapp, Ann. Rev. Nucl & Part. Sci. 69 (2019) 417

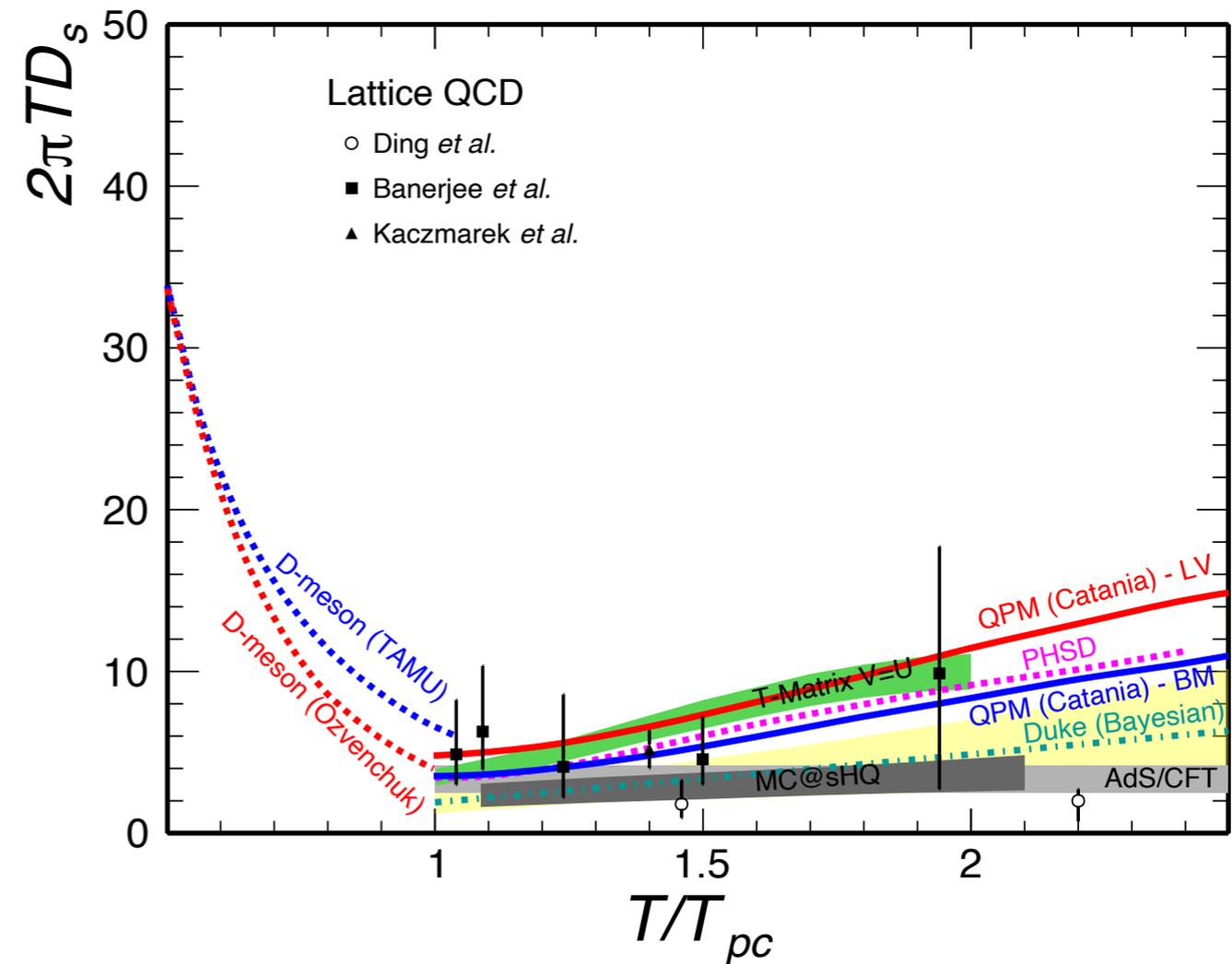
- State-of-the-art model calculations from various approaches reasonably describe  $D^0$  meson  $v_2$  data at RHIC
- Charm quark  $2\pi TD_s \sim 2-5$  at near  $T_c$ 
  - consistent with quenched lattice calculations
  - larger uncertainty in temperature dependence

# Charm Spatial Diffusion Coefficient

**2015**



**2019**

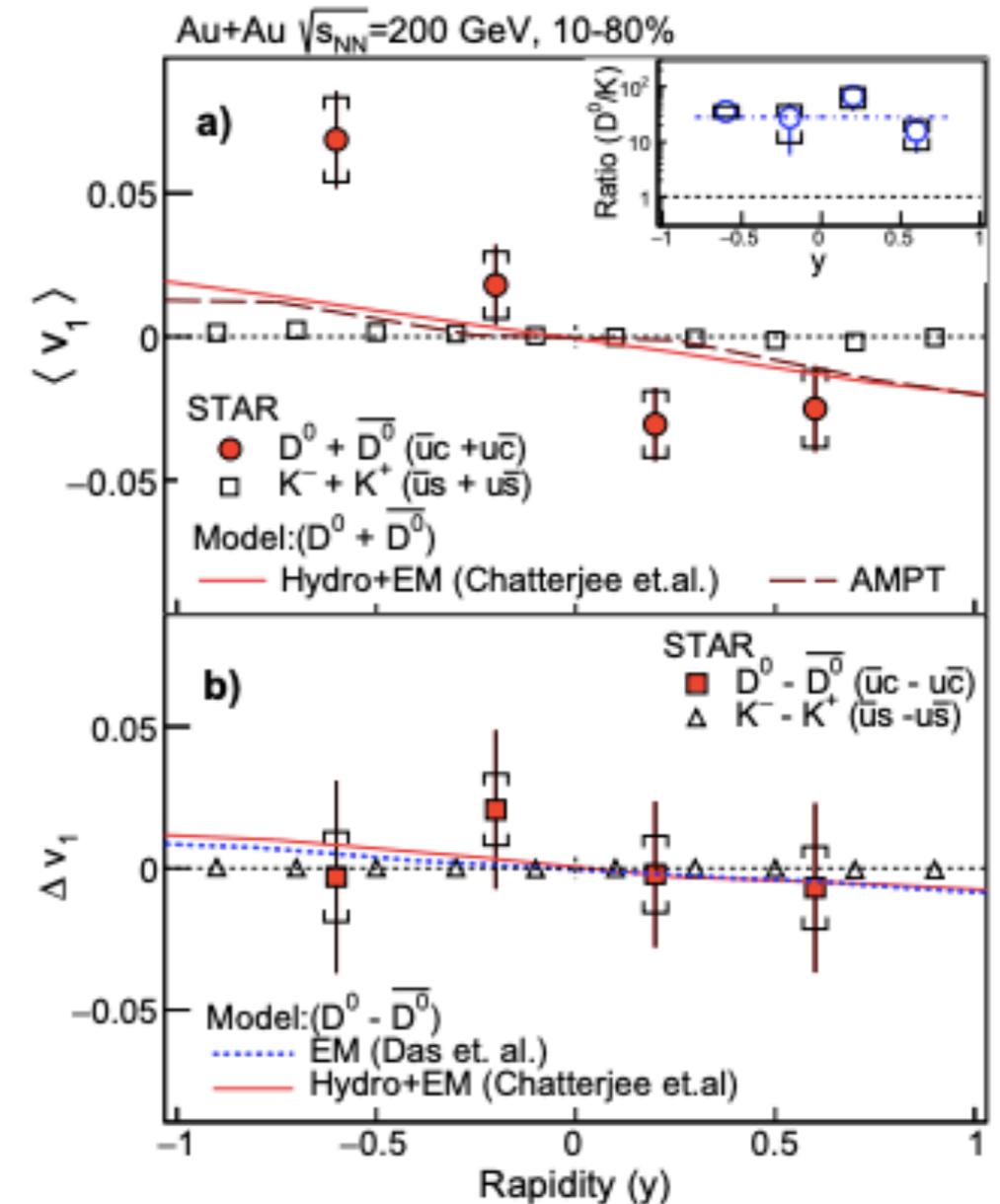
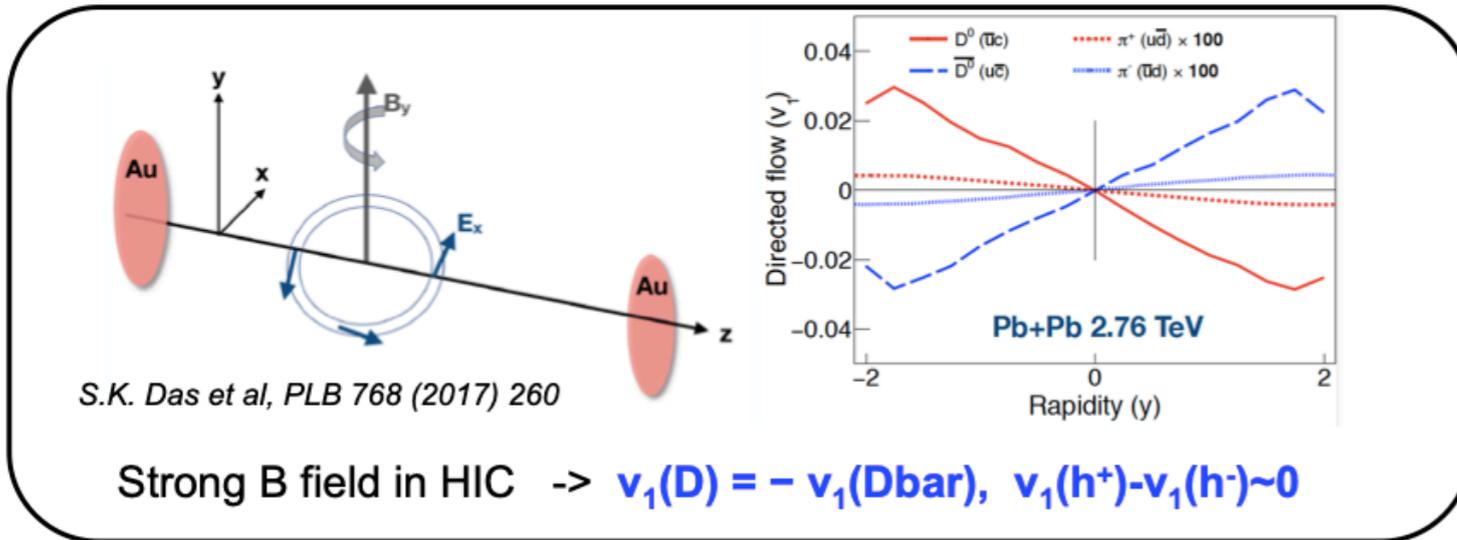
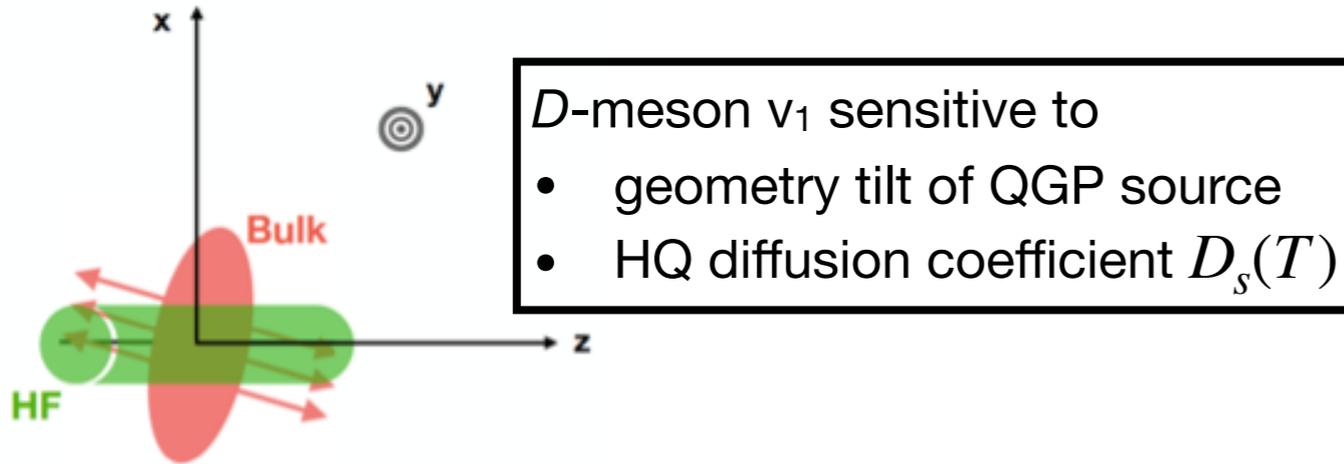


**Strongly interacting QGP!**

# $D^0 v_1$ - sQGP Properties and Initial $B$ -field

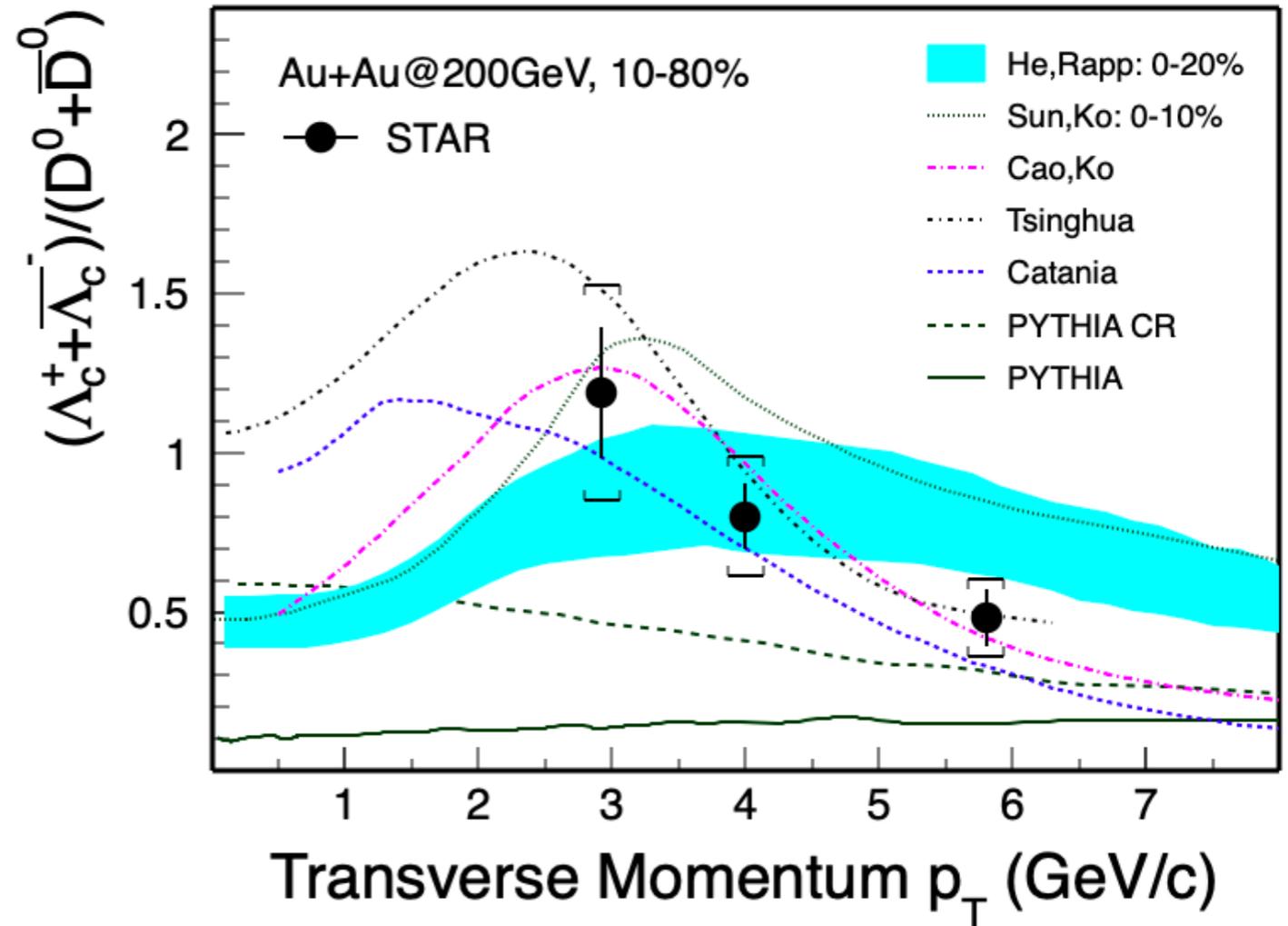
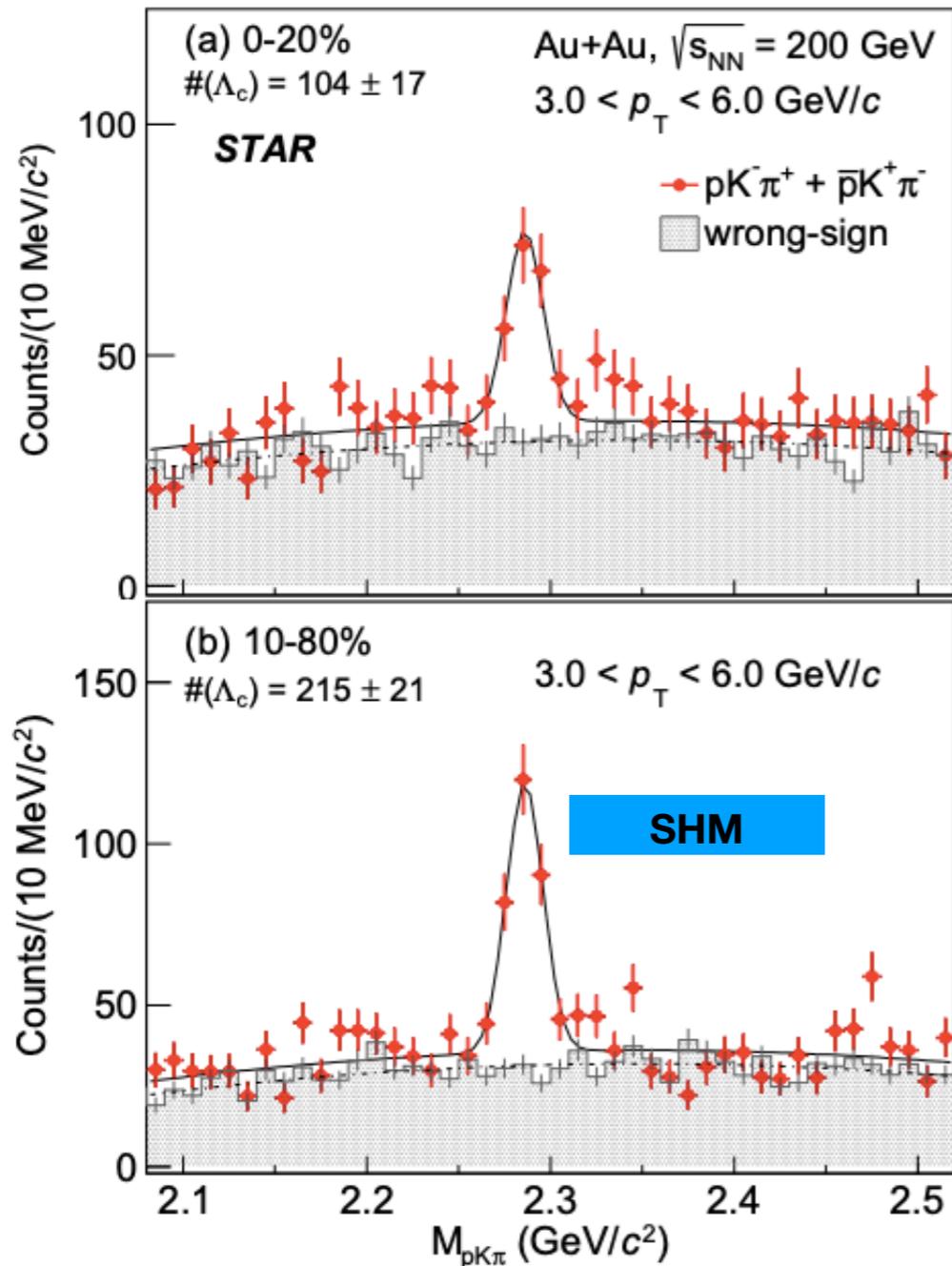
S. Chatterjee & P. Bozek, PRL 120 (2018) 192301

STAR, PRL 123 (2019) 162301



- $v_1(D) \gg v_1(h)$ 
  - Constraints on T-dependence of HQ diffusion coefficient
- $v_1(D) - v_1(Dbar)$  - experimental uncertainty large
  - Need more precise measurement to access the B-field signal

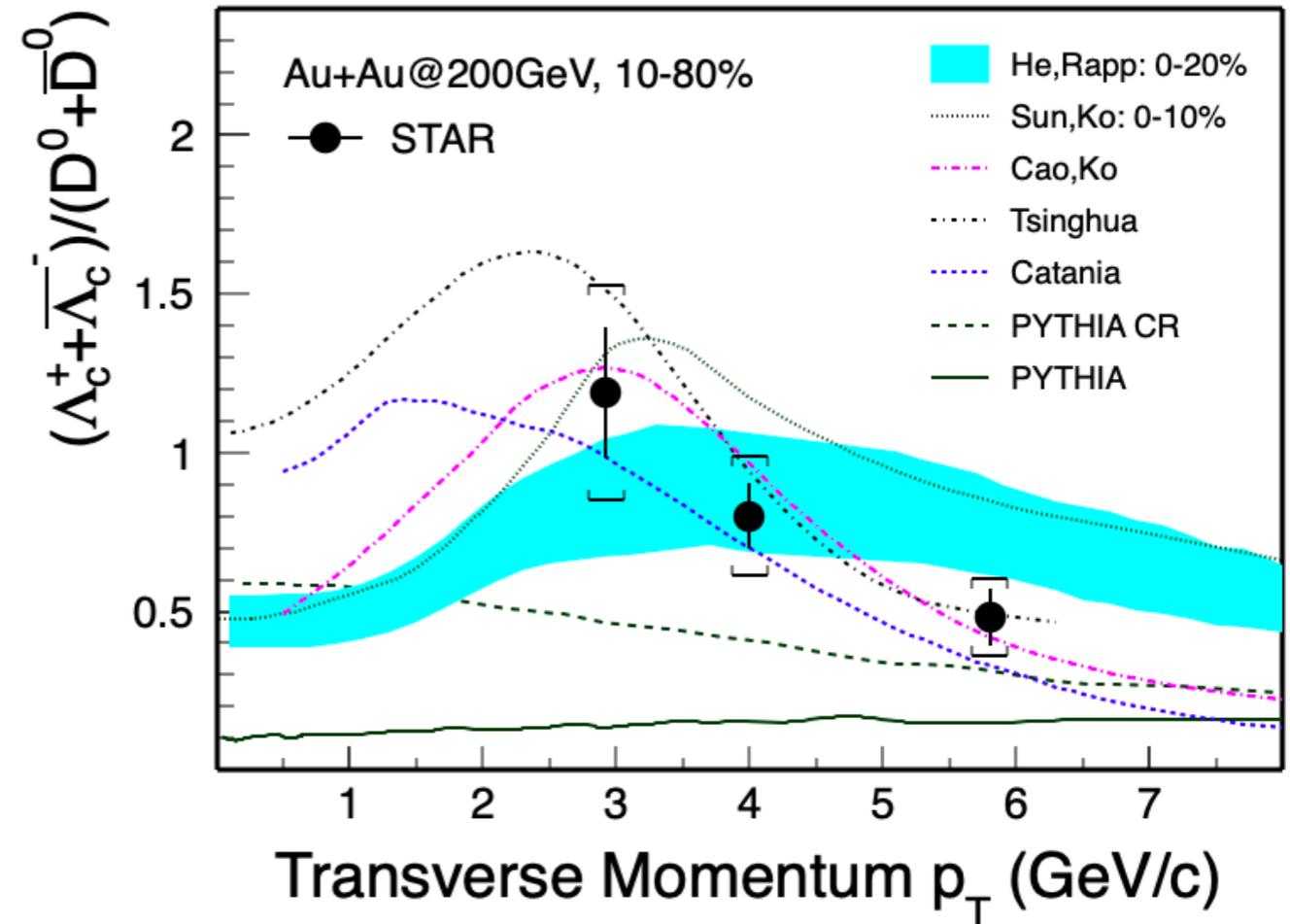
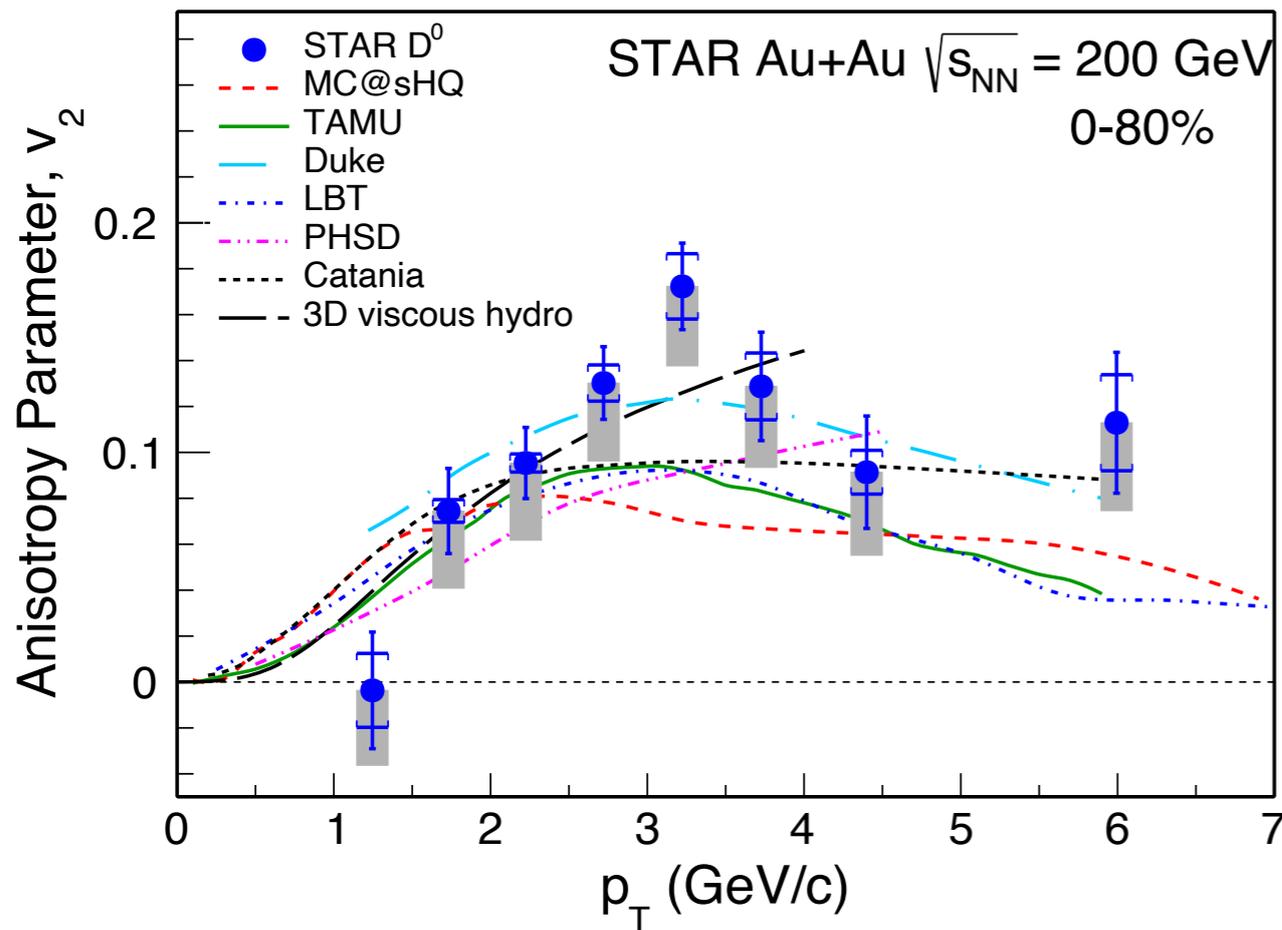
# $\Lambda_c$ Reconstruction in Heavy-Ion Collisions



STAR, PRL 124 (2020) 172301

- $\Lambda_c/D^0$  ratio comparable to light/strange hadrons in A+A collisions
- $\Lambda_c/D^0$  enhancement w.r.t the PYTHIA predictions (w/ and w/o CR)
- Coalescence models qualitatively reproduce the large  $\Lambda_c/D^0$  ratio

# Summary I: Heavy Flavor at RHIC to-date



**Significant charm hadron flow**

**->  $2\pi T D_s \sim 2-5 @ T_c$**

**-> T-dependence, c vs. b universality, relation to  $\eta/s$  etc.**

**Large  $D_s/D^0$  and  $\Lambda_c/D^0$  enhancement**

**-> coalescence hadronization**

**-> precise heavy baryon, relation to color confinement**

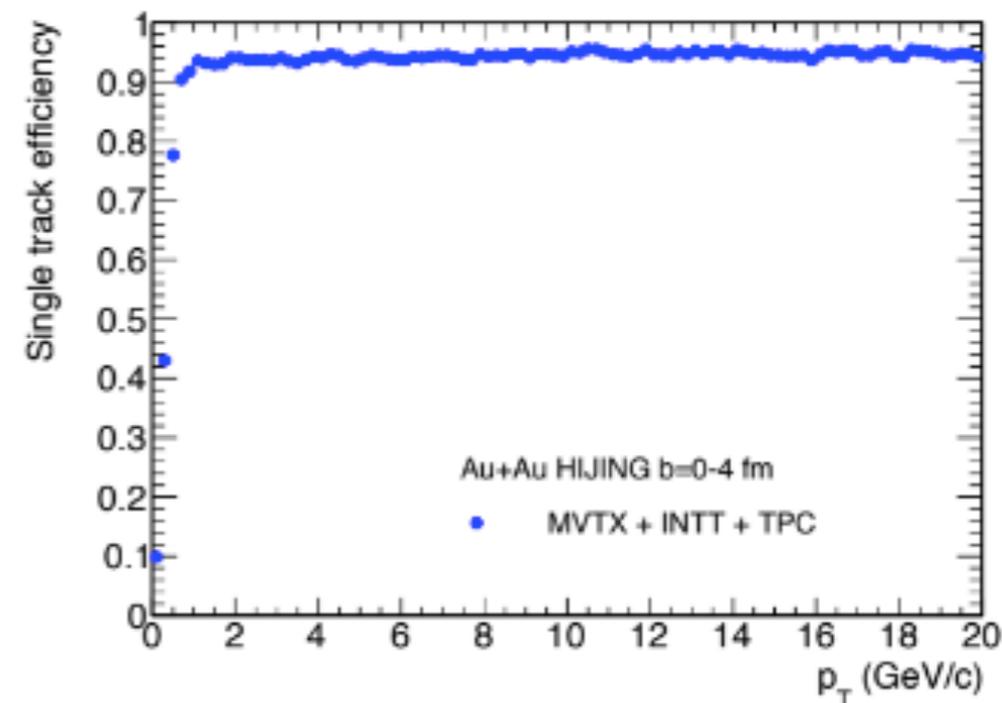
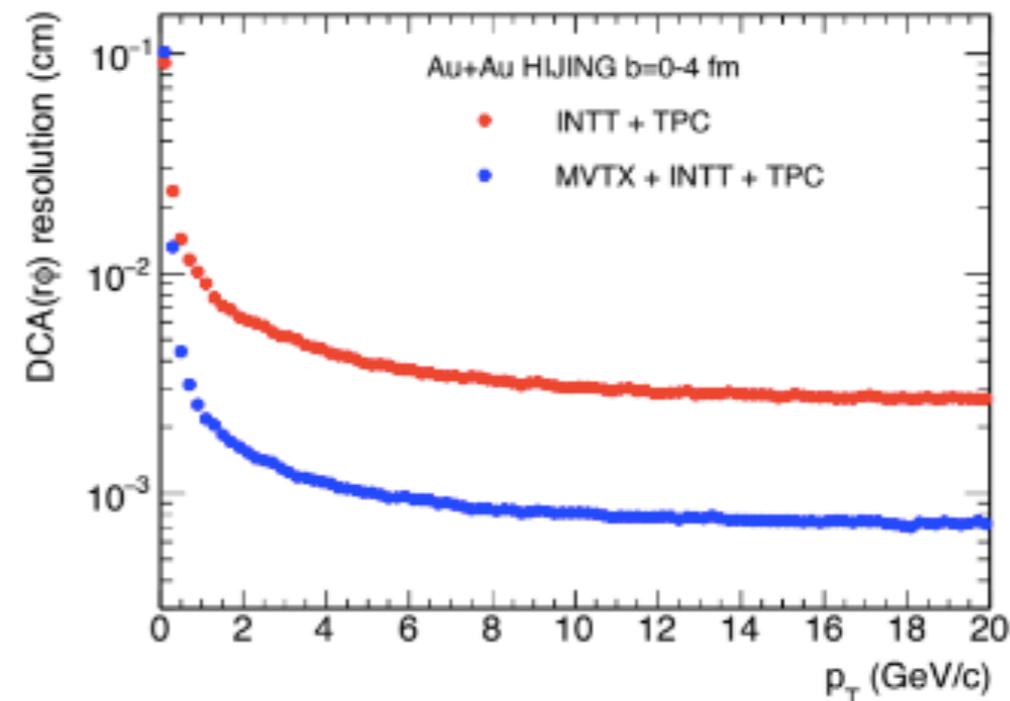
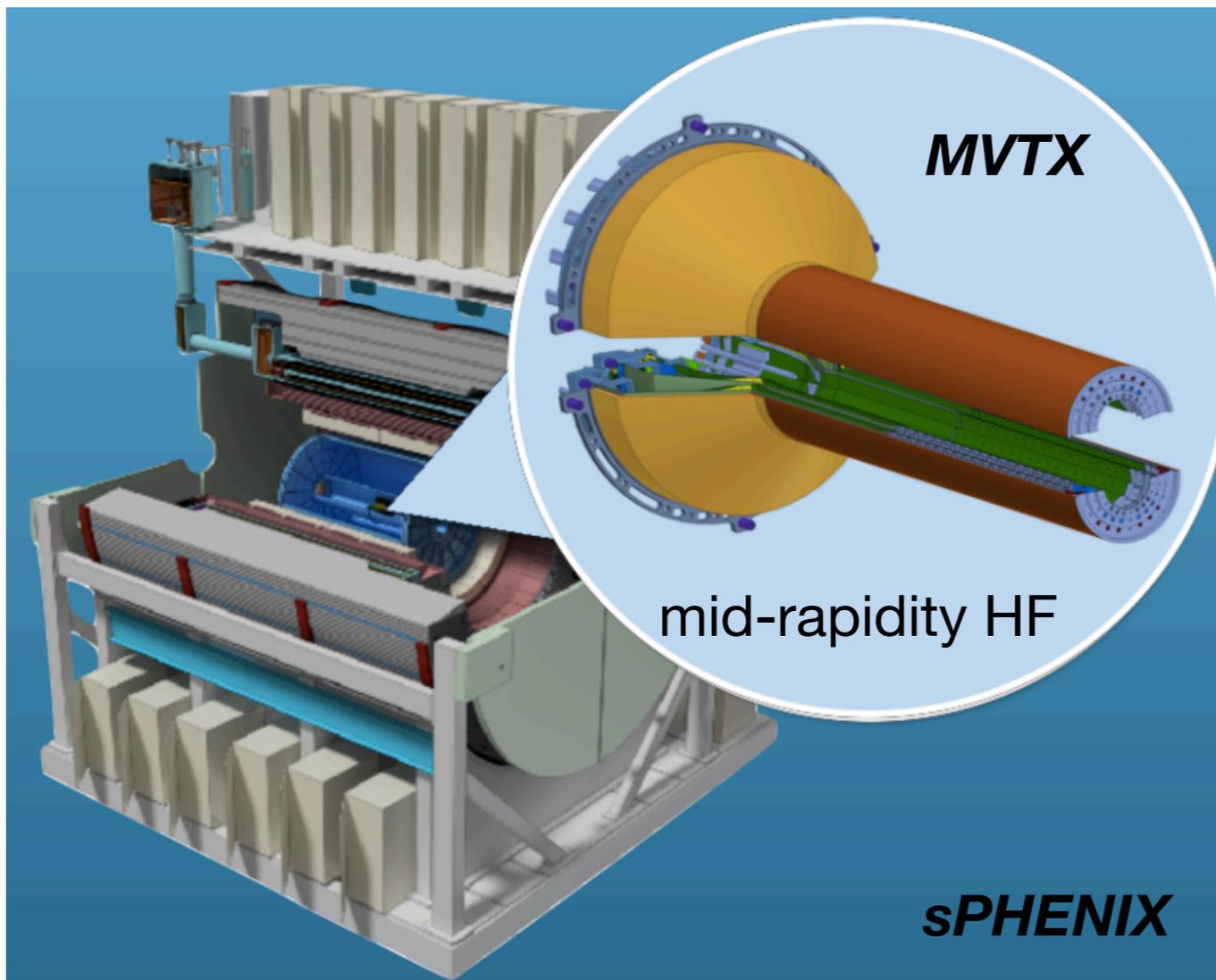
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# MAPS-based VTX (MVTX) @ sPHENIX

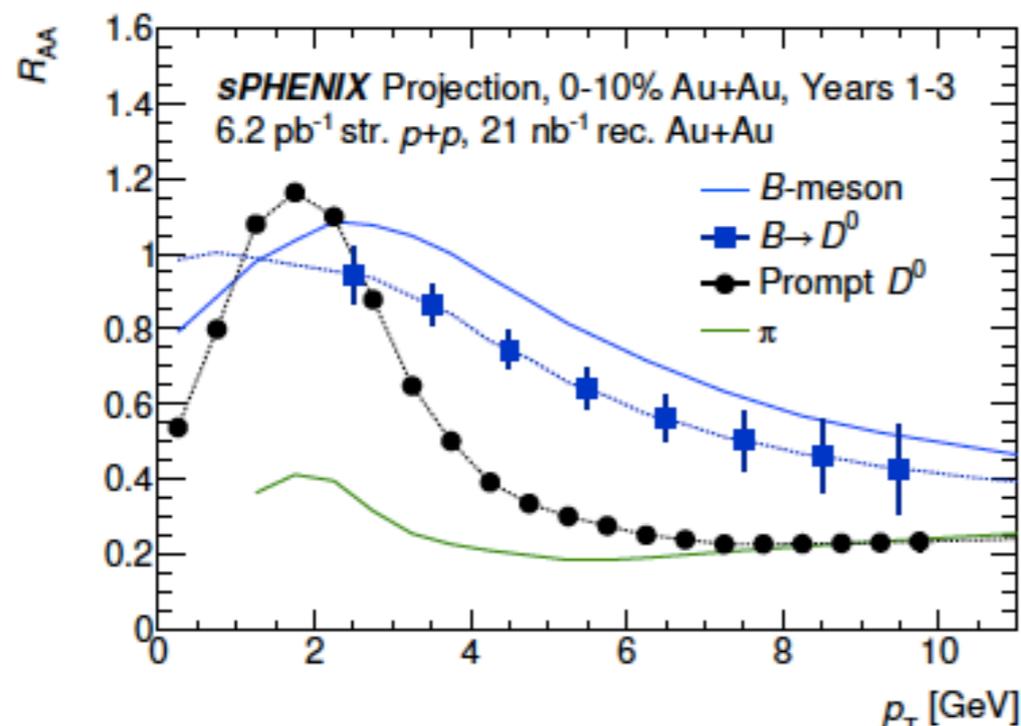
MVTX @ sPHENIX: Next generation fast MAPS detector (leveraging ALICE ITS2)



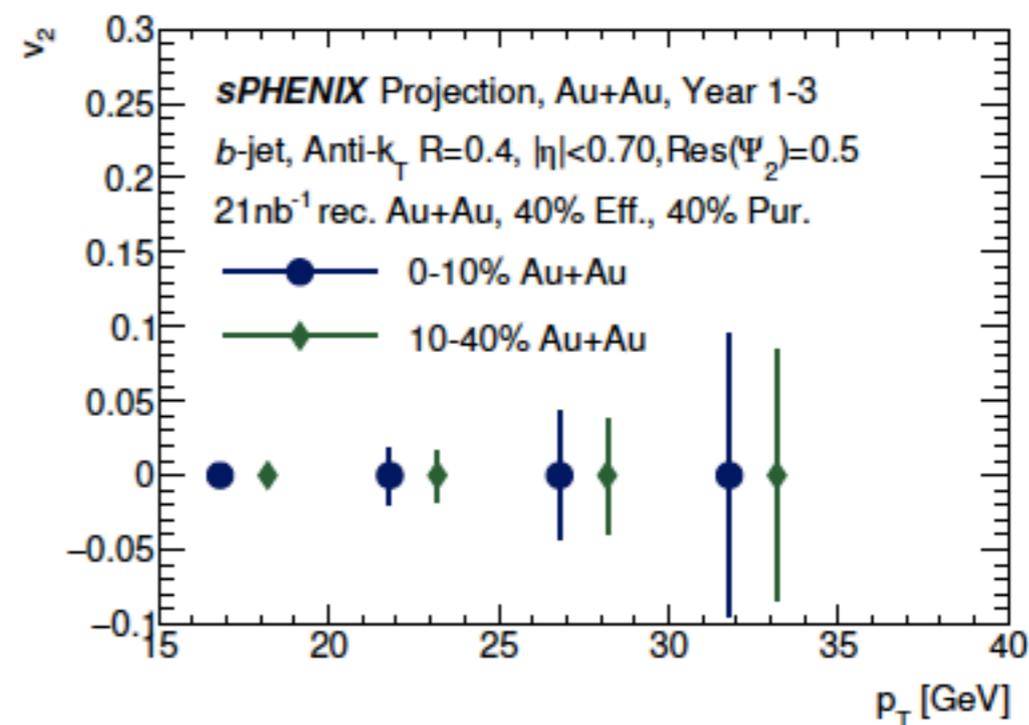
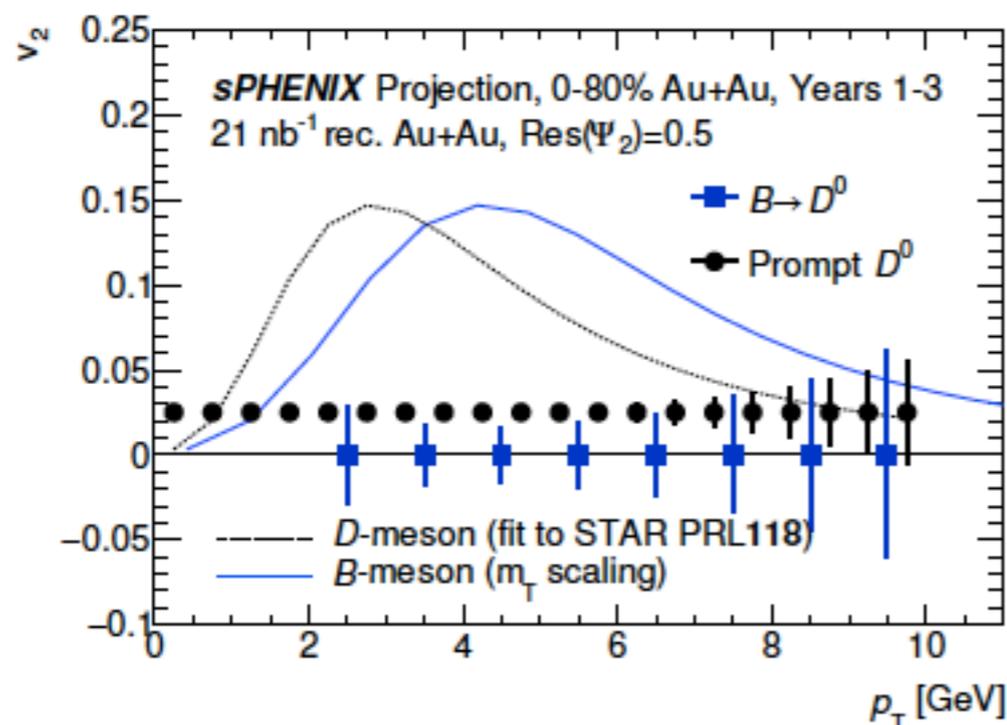
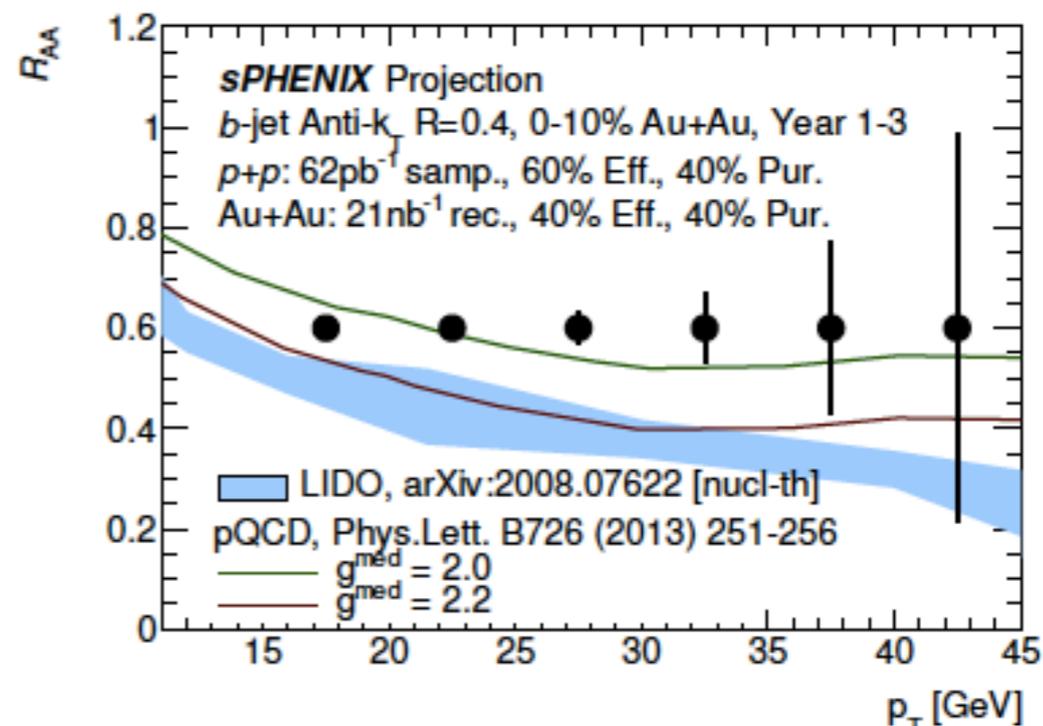
	HFT	MVTX
thickness	0.4% $X_0$	→ 0.3% $X_0$
integration time	186 $\mu s$	→ < 10 $\mu s$
==> background reduced by > x10		

# Precision Measurement of Open-Bottom Production

B-meson via non-prompt  $D^0$

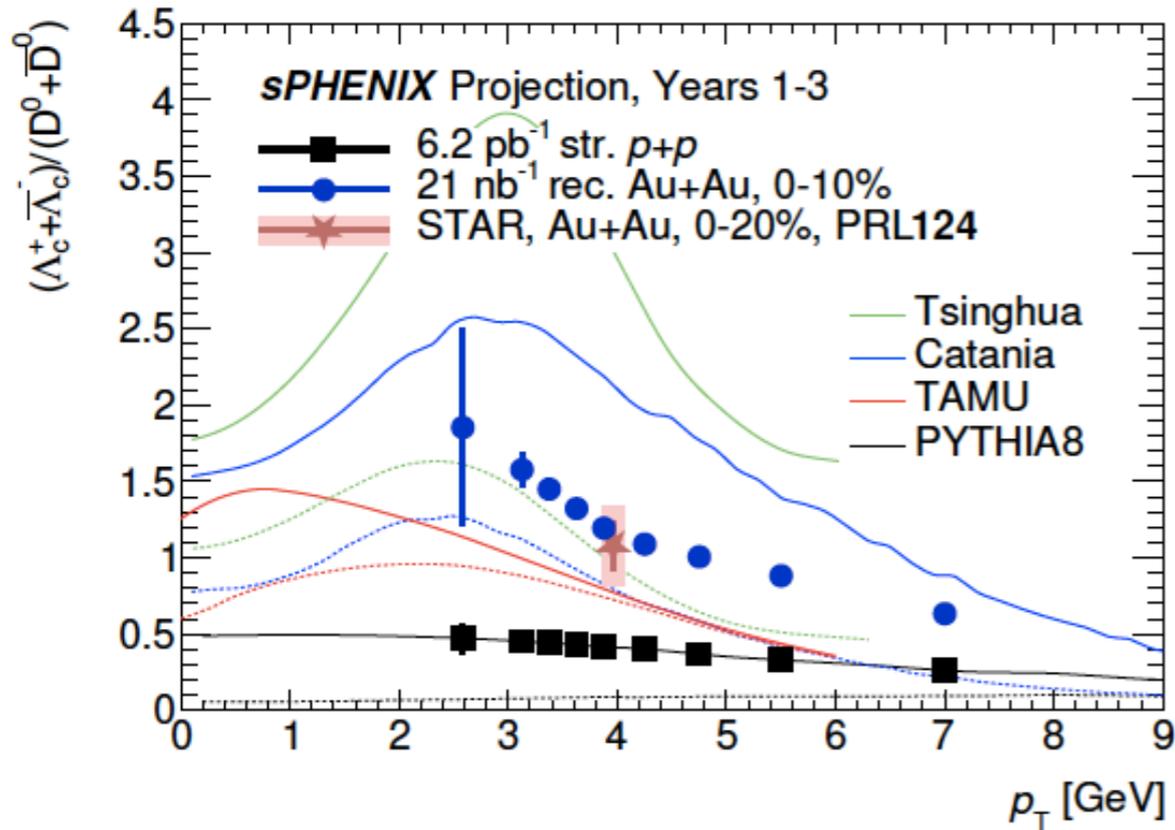


$b$ -jet



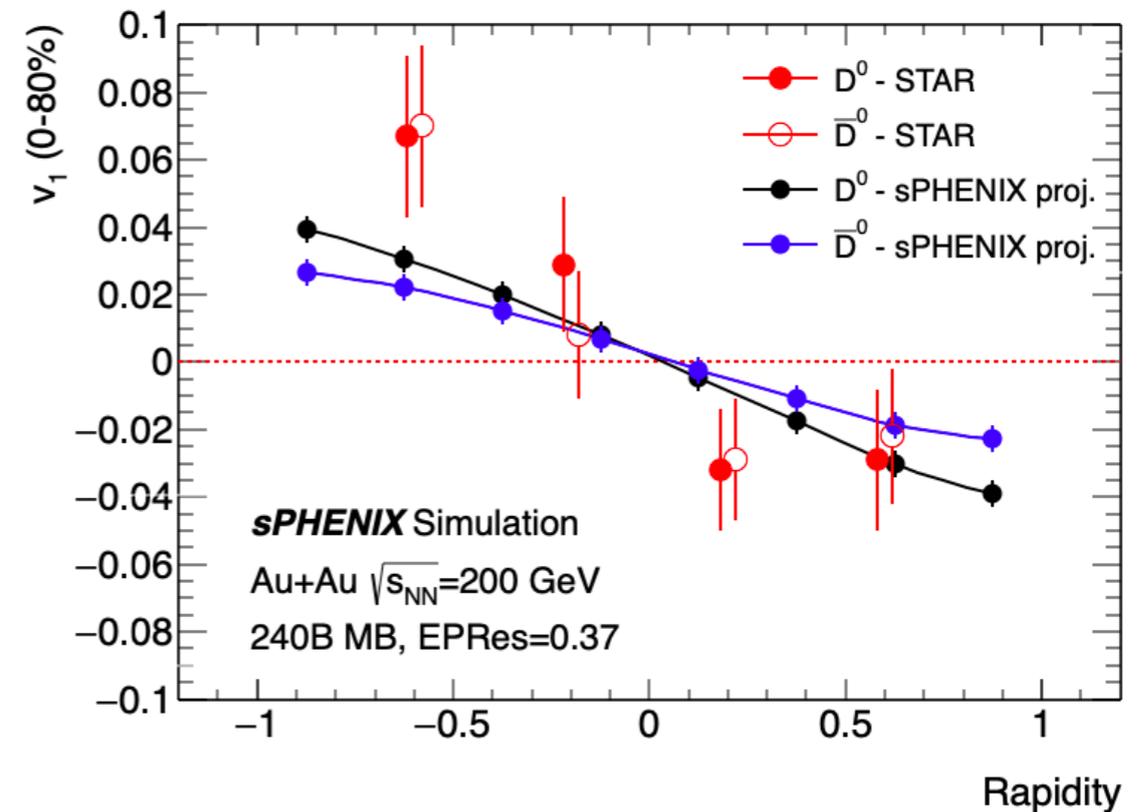
# Fruitful Charm/Bottom Physics

## Charm/Bottom Hadrochemistry



- Precise measurement of various charm hadrons ( $\Lambda_c^+, D_s^+$ )
- Enable access to open bottom hadrons ( $\Lambda_b, B_s$  etc)
- Detail investigation of charm baryon spectroscopy in p+p collisions

## $D^0/\bar{D}^0 v_1 - 2\pi T D_s(T)$ / initial B-field

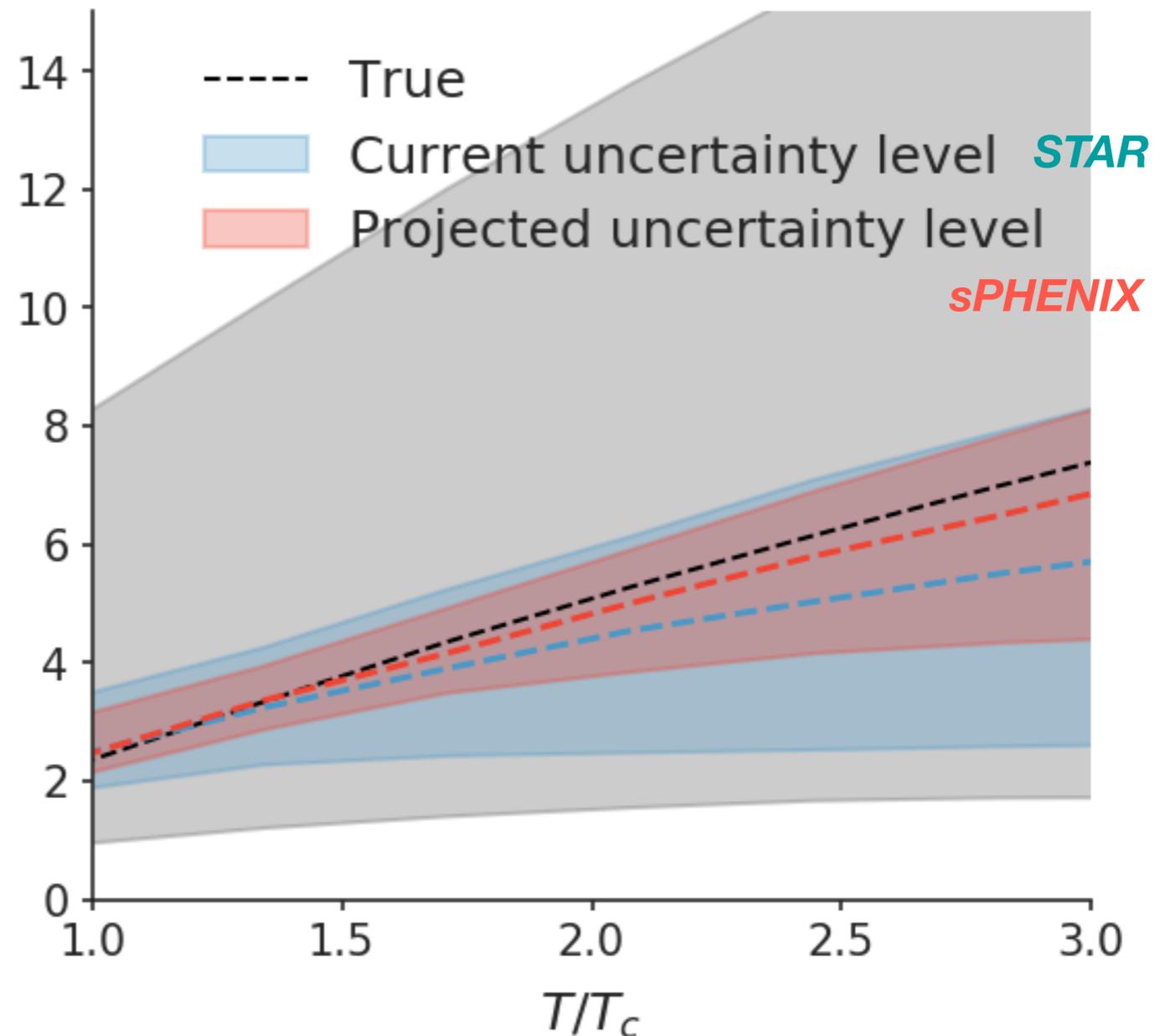
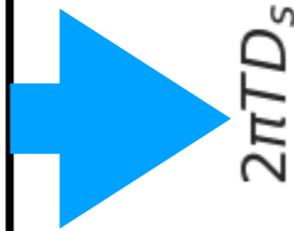
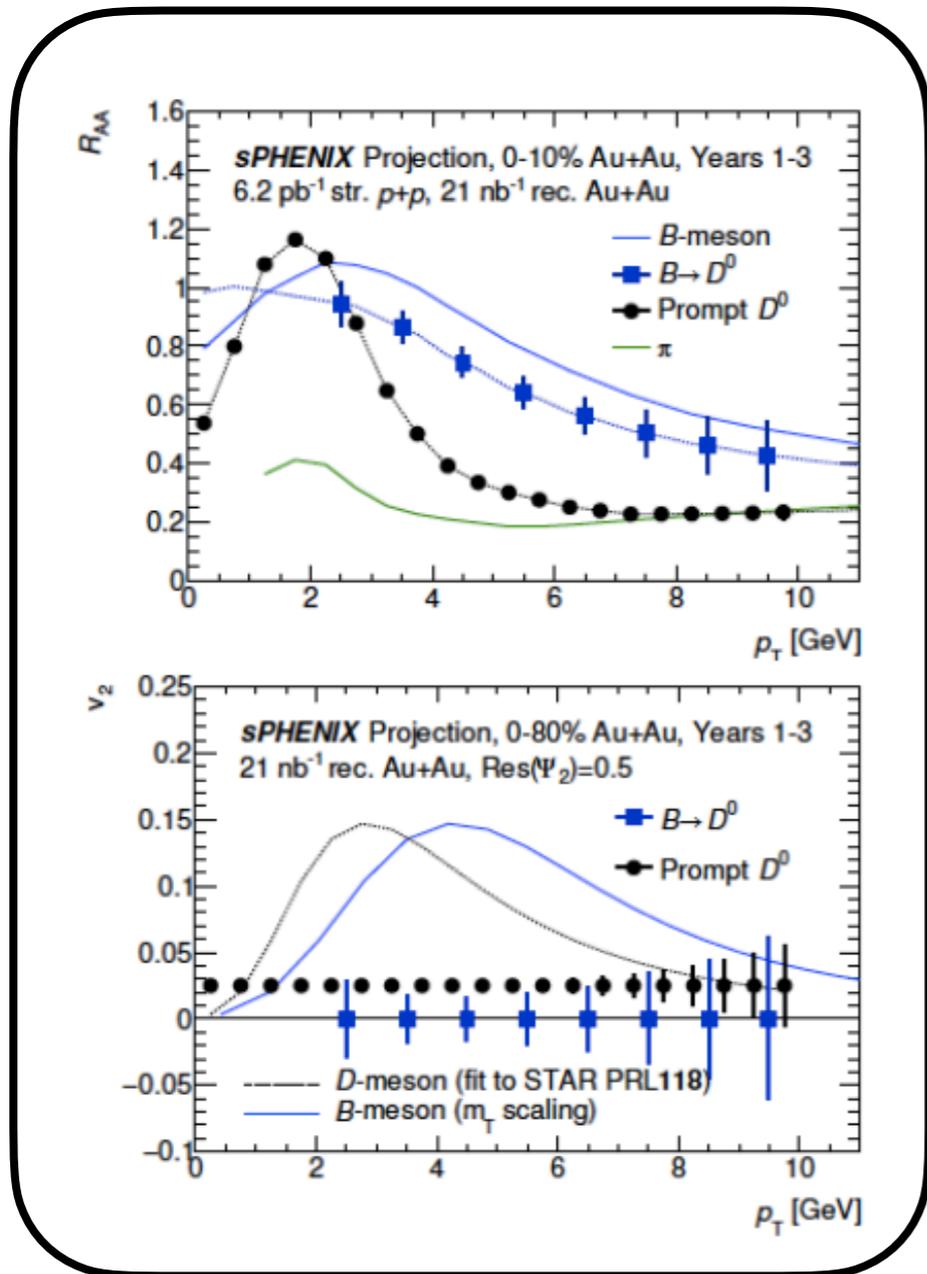


- $D^0/\bar{D}^0 \langle v_1 \rangle$ 
  - QGP longitudinal structure
  - temperature dependent  $2\pi T D_s(T)$
- $D^0/\bar{D}^0 \Delta v_1$ 
  - unique access to initial B-field
  - sPHENIX proj.  $\sim 5\sigma$  given model predictions

# Impact on Charm Diffusion Coefficient

Bayesian analysis to constrain HQ diffusion coefficient

- Weiyao Ke (Duke), HF Workshop, LBNL, 2019



# Accessing Gluon Dynamics with (polarized) p+p

## D-meson $A_{LL}$

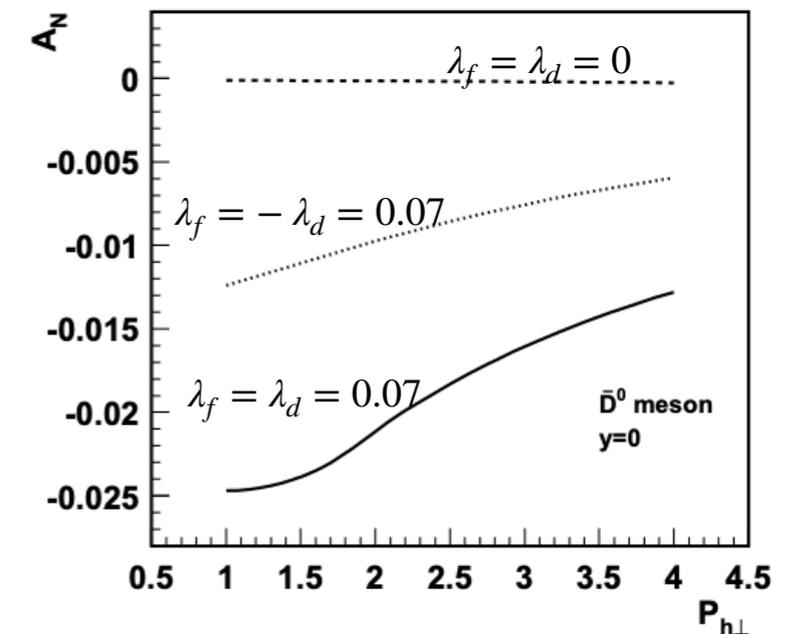
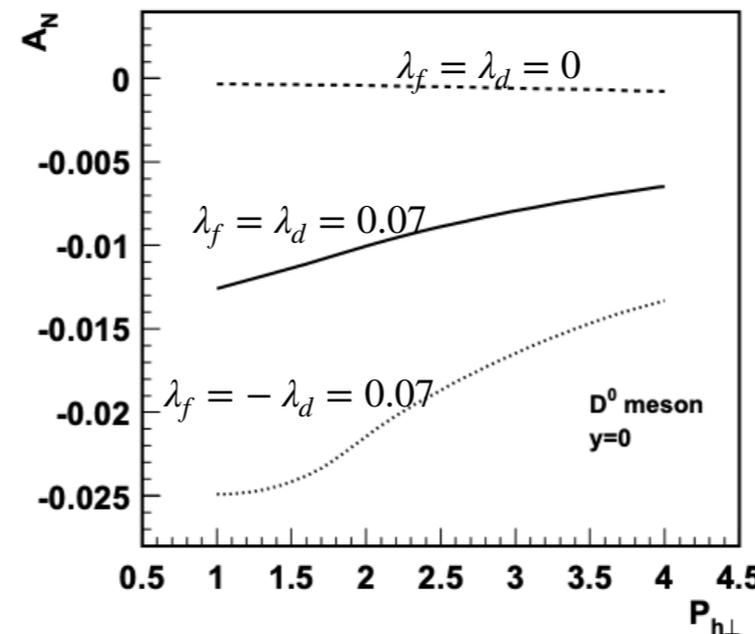
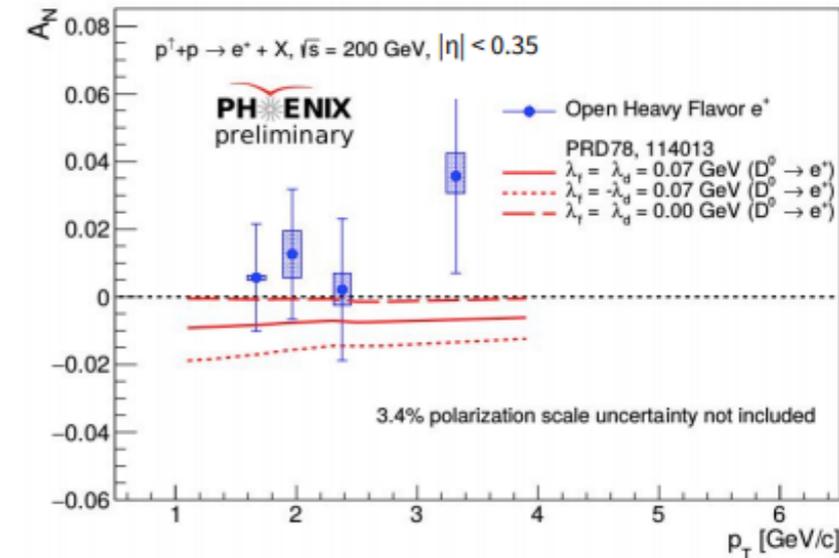
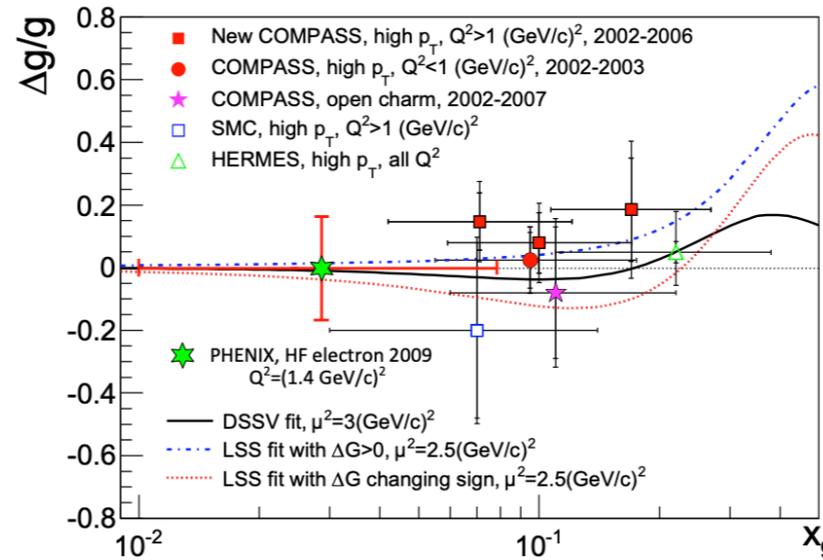
- Gluon helicity distribution

## D-meson $A_N$

- twist-3 tri-gluon correlation / gluon Sivers function

$$A_N(c) \neq A_N(\bar{c})$$

PHENIX, PRD 87 (2013) 012011, DIS 2021



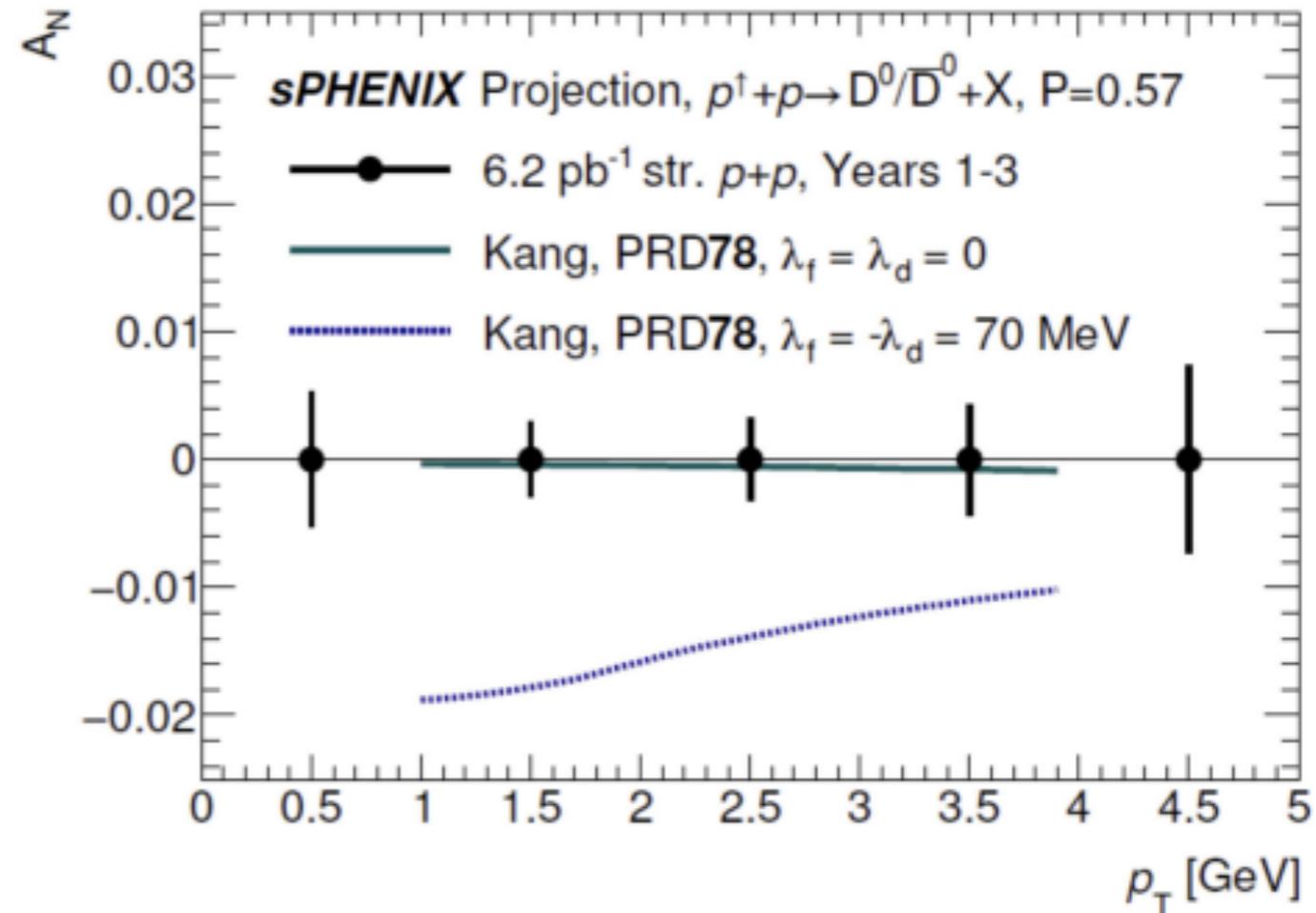
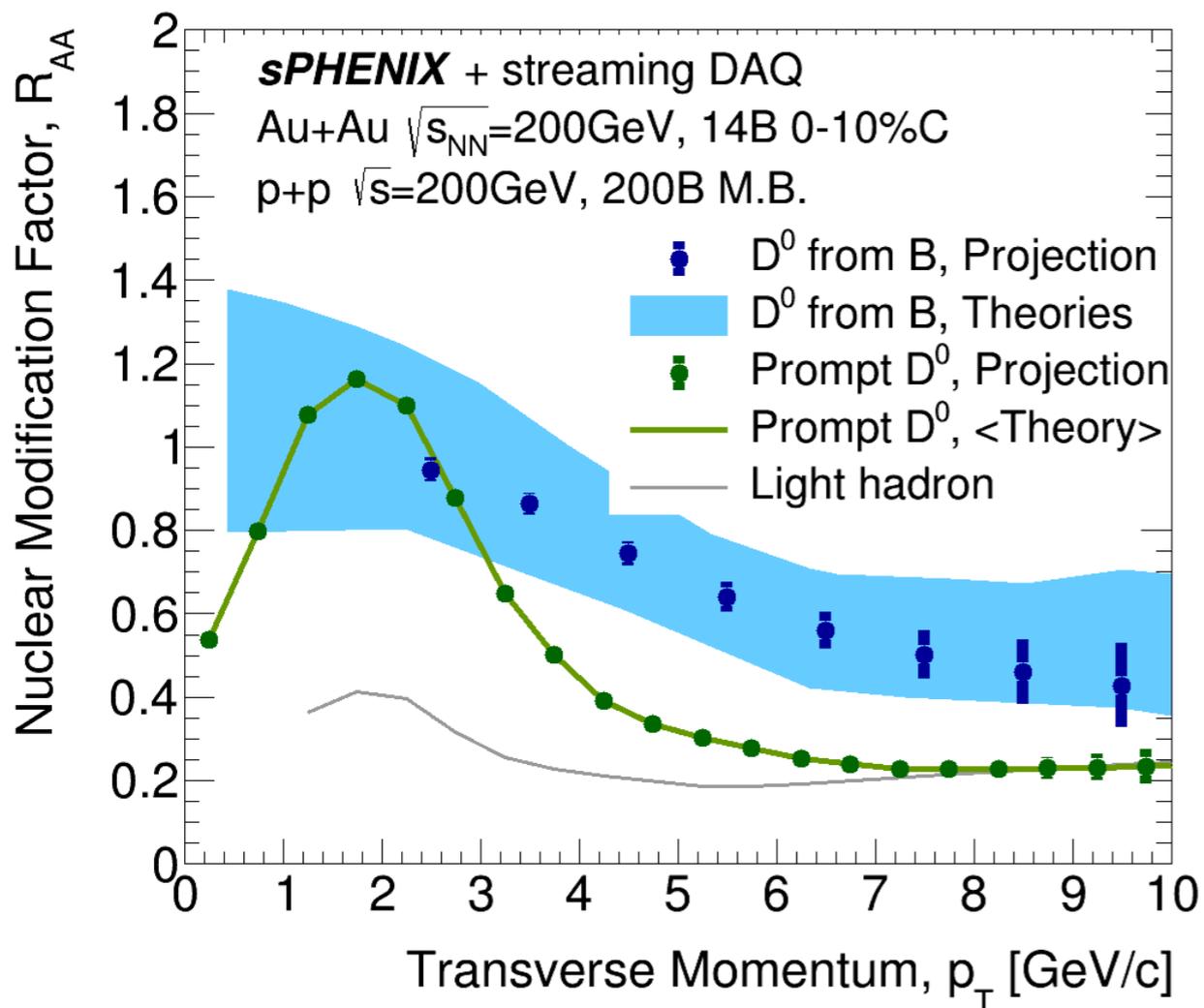
Z.B. Kang et al, PRD 78 (2008) 114013

$D^*$  without secondary vertexing  
 sPHENIX: 0.8 trillion  $\rightarrow$   $450\sigma$  ( $320\sigma$  each charge sign)  
 $D^0$  with secondary vertexing  
 utilizing secondary topology to improve signal significance

# Streaming DAQ for HF Program in p+p

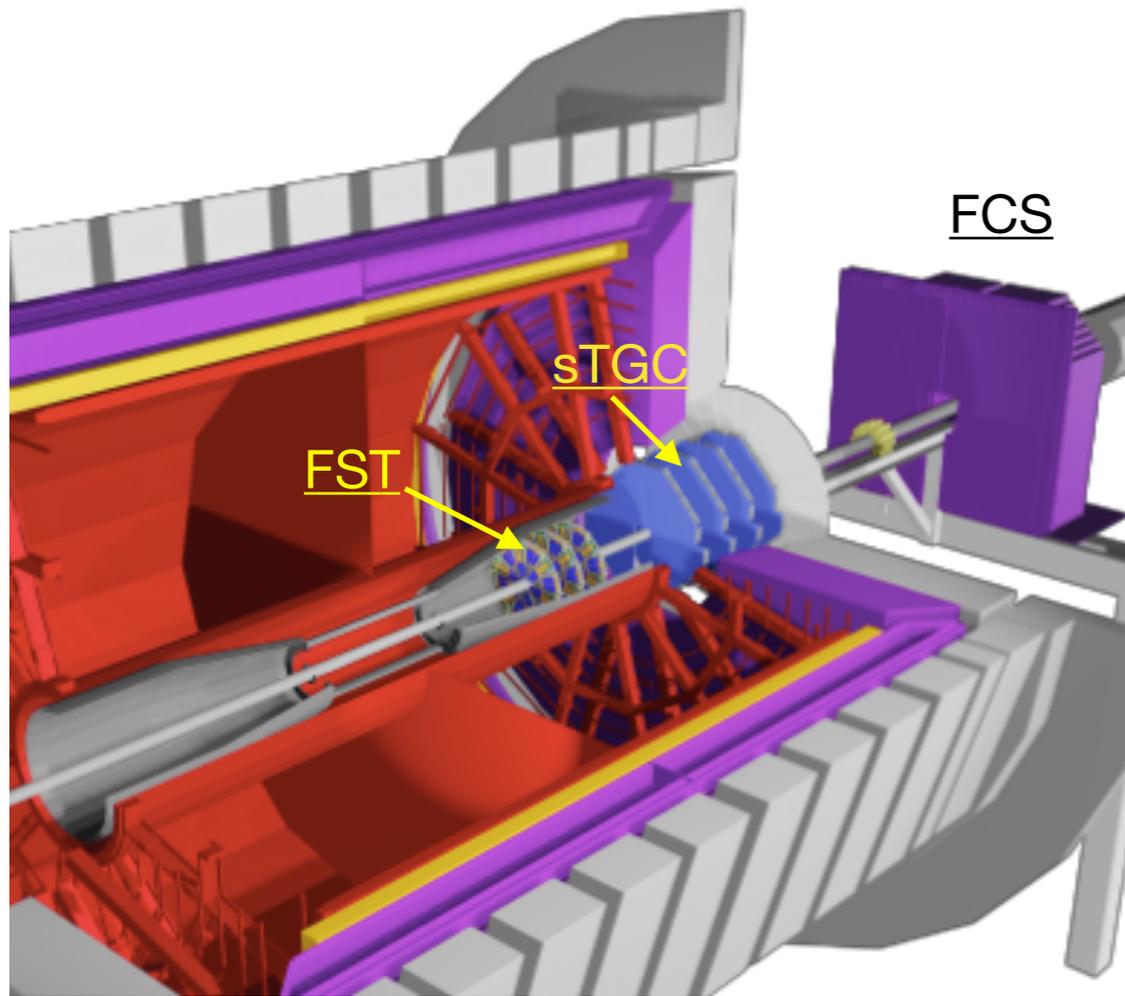
Streaming recording 10% of all M.B. p+p collisions in 2024

- xO (500) improve compared to the triggered mode
- critical for low  $p_T$  charm/bottom hadron measurements for  $R_{AA}$  reference
- enable high statistics measurements of D-meson  $A_N, A_{LL}$  etc.



sPH-TRG-2021-001

# Opportunities with STAR Forward Upgrades



Forward tracking + calorimeters

$$2.5 < |\eta| < 4.0$$

$$x_p \approx \frac{p_T}{\sqrt{s}} e^y$$

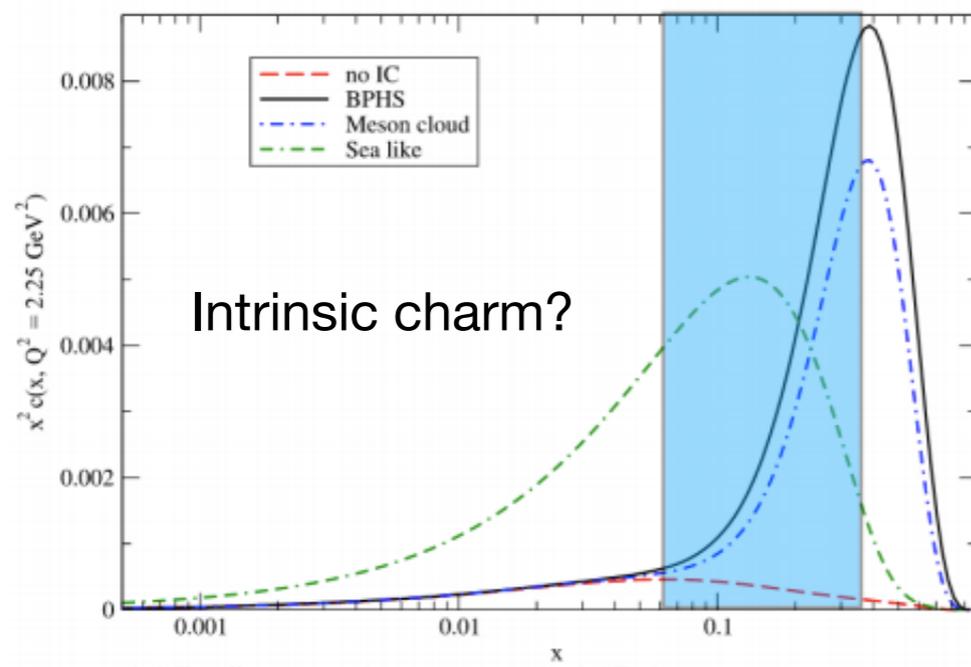
low x reach:  $10^{-4}$

high x reach:  $\sim 0.05 - 0.5$

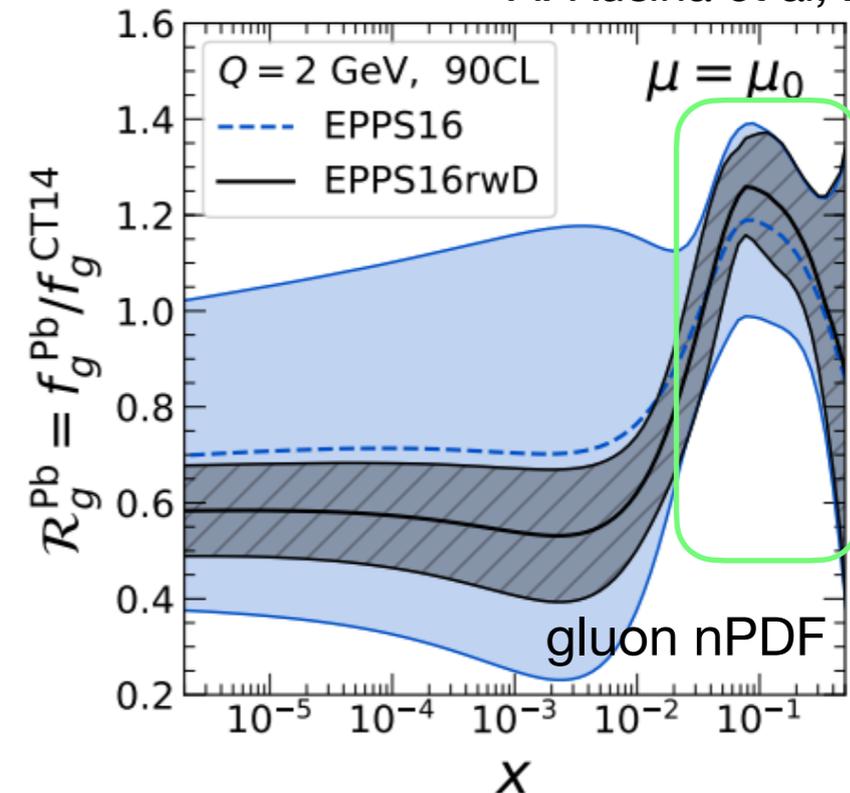
Heavy flavors: cleaner probe to gluon distributions in nucleon/nucleus

- trigger capabilities?
- secondary vertex? or  $D^*$ ?

A. Kusina et al, 2012.11462



V.P. Gonçalves et al, NPA 842 (2010) 59



# STAR Forward Upgrade Opportunity

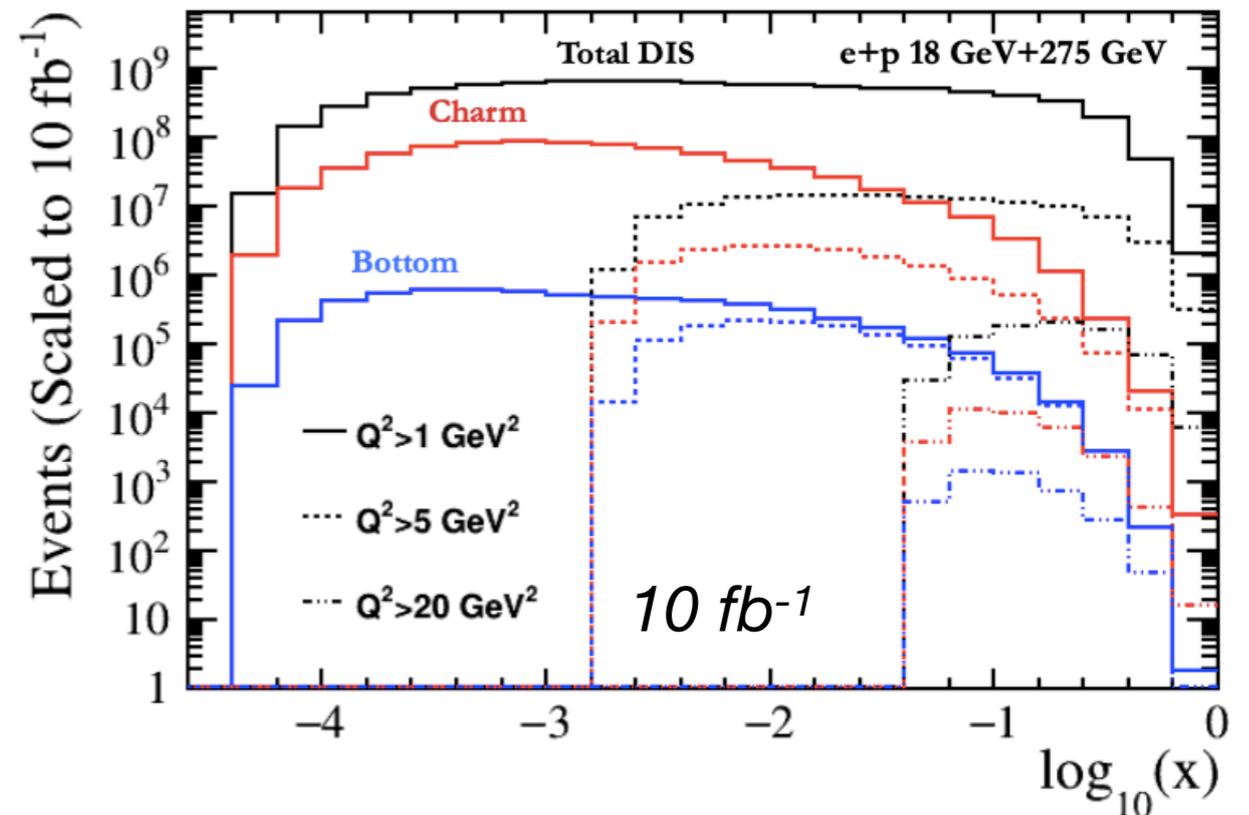
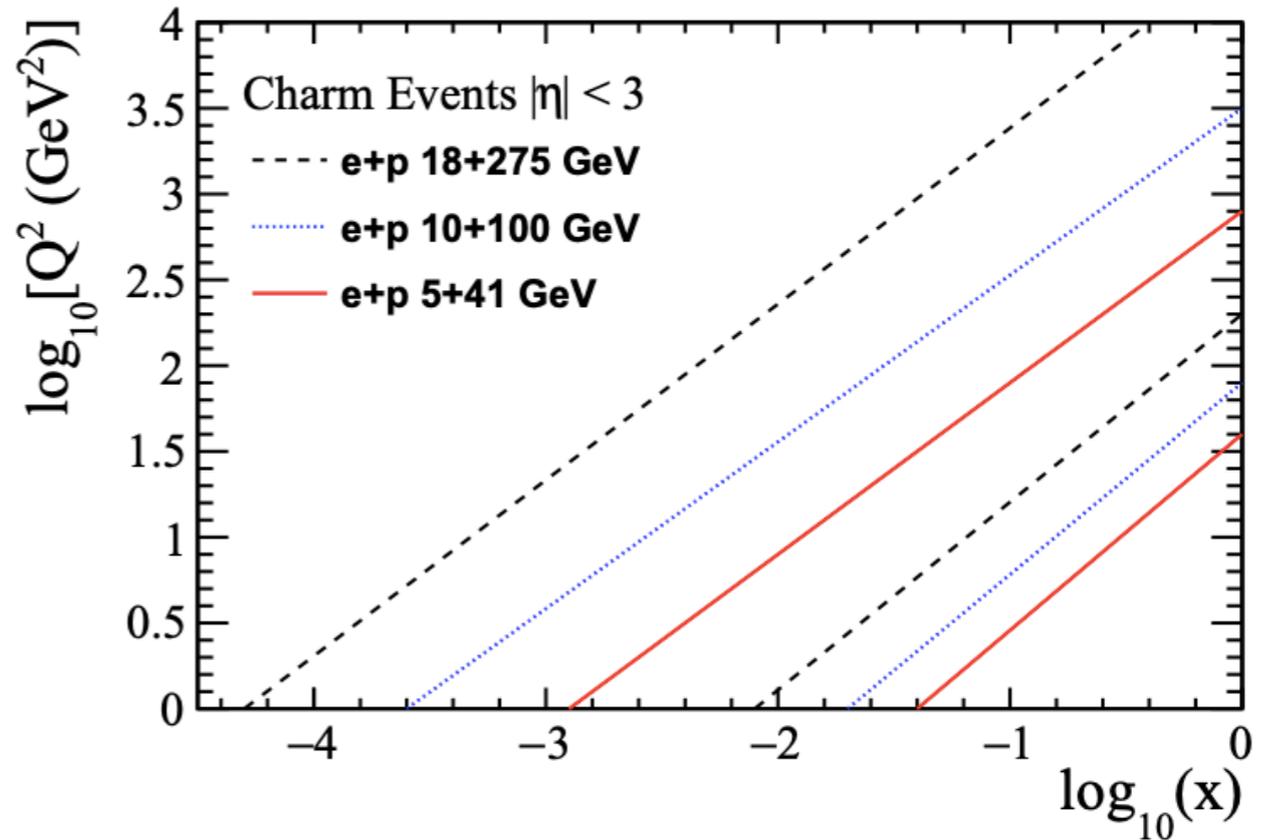
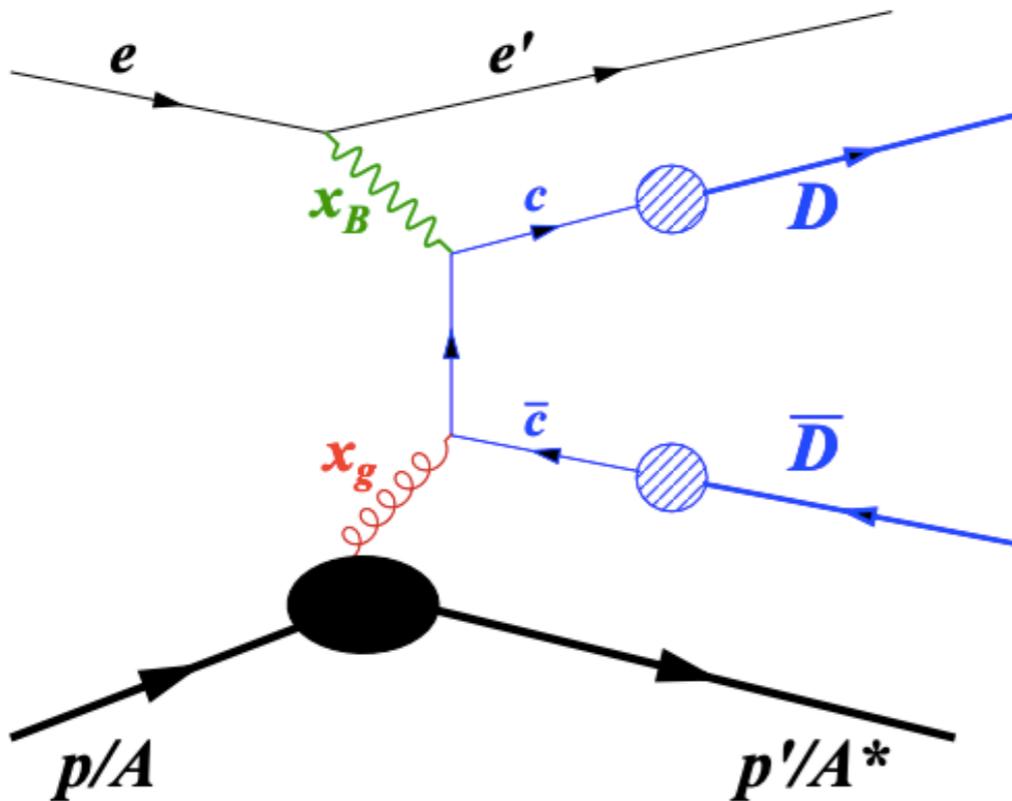
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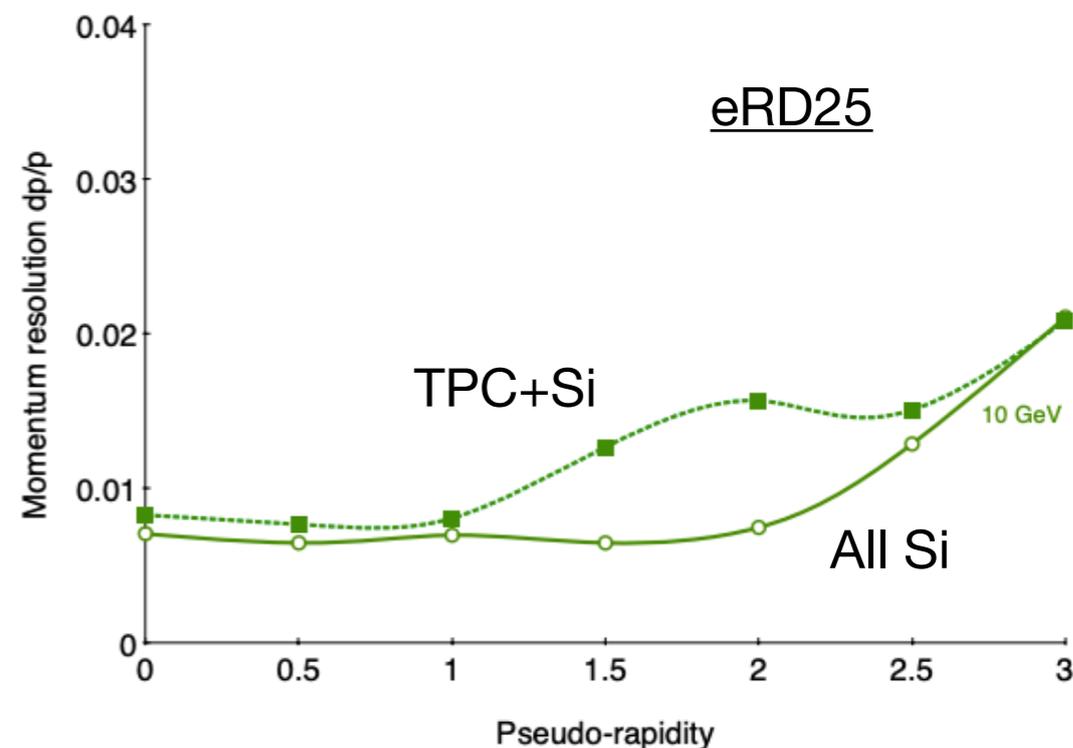
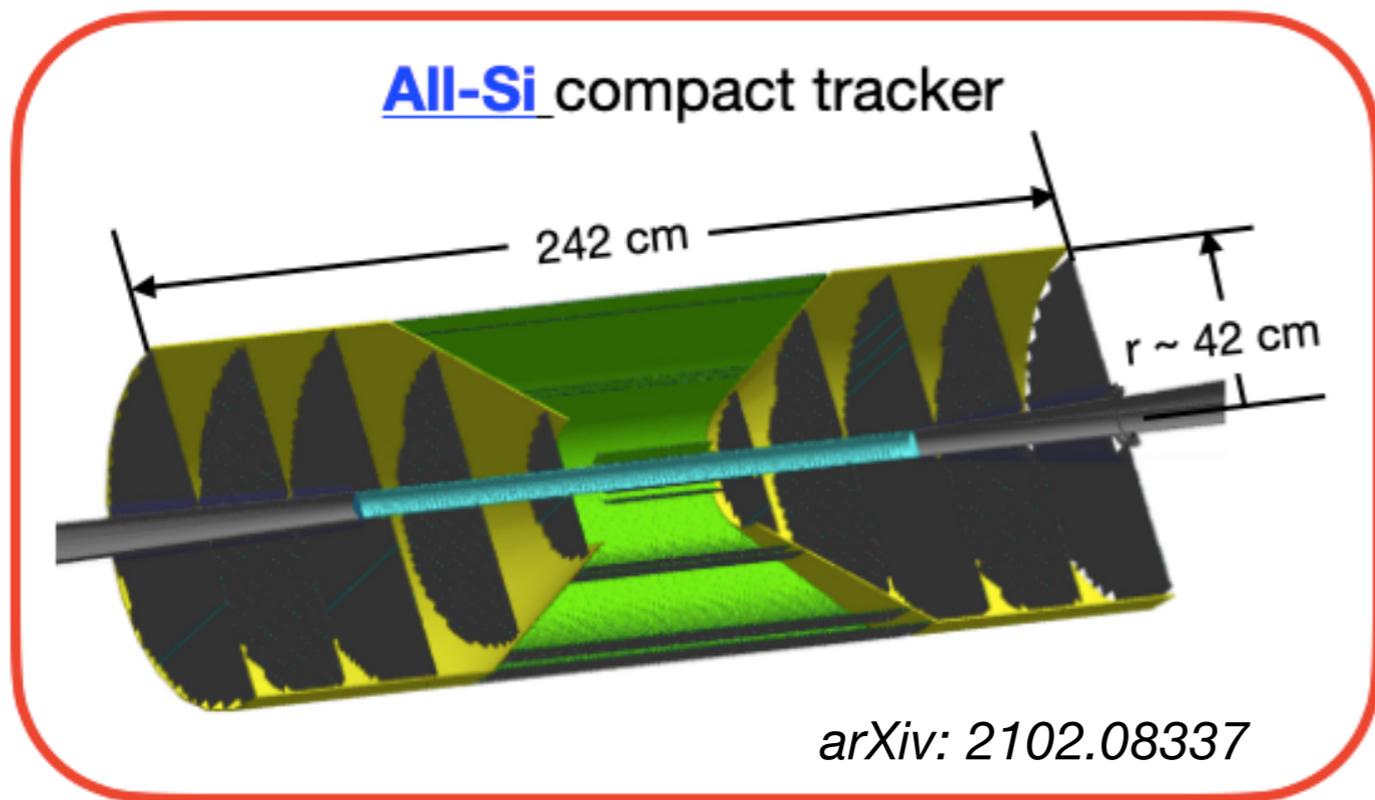


# Heavy Flavors at EIC

- EIC is a machine for precision investigation of gluon dynamics in nucleon/nucleus
- Heavy flavor in NC channel - sensitive probe to initial gluons

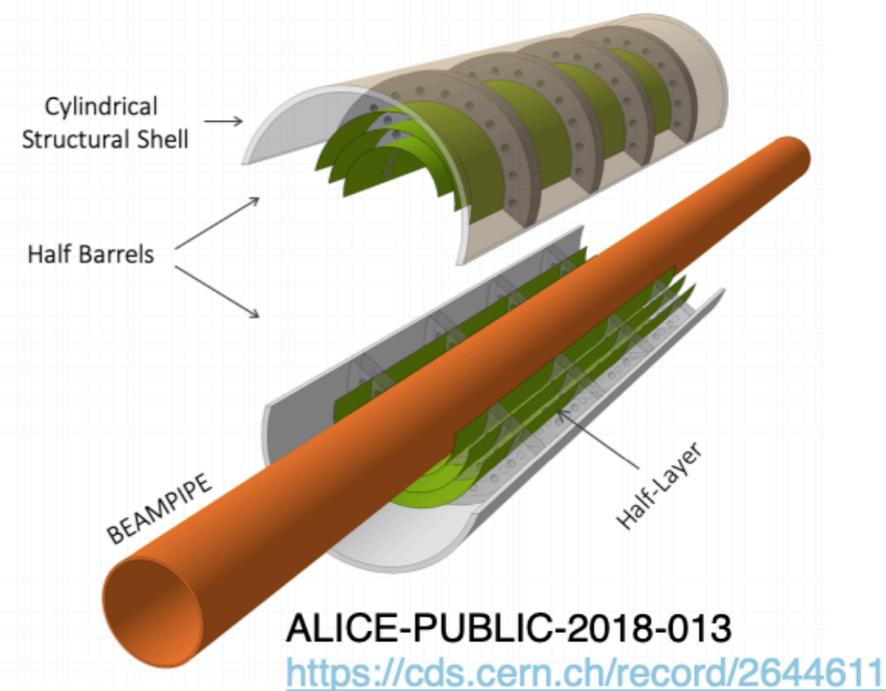


# An All-Silicon Tracker Based on Ultra-Thin MAPS



ALICE ITS3 aims for 65nm MAPS with extremely low mass

- $O(10 \times 10 \mu m)$
- 20-40  $\mu m$ -thick (0.05%  $X_0$ )
- stitched, bendable, self-support
- low power consumption ( $< 20 \text{ mW/cm}^2$ )
- short integration time ( $< 200$  ns)



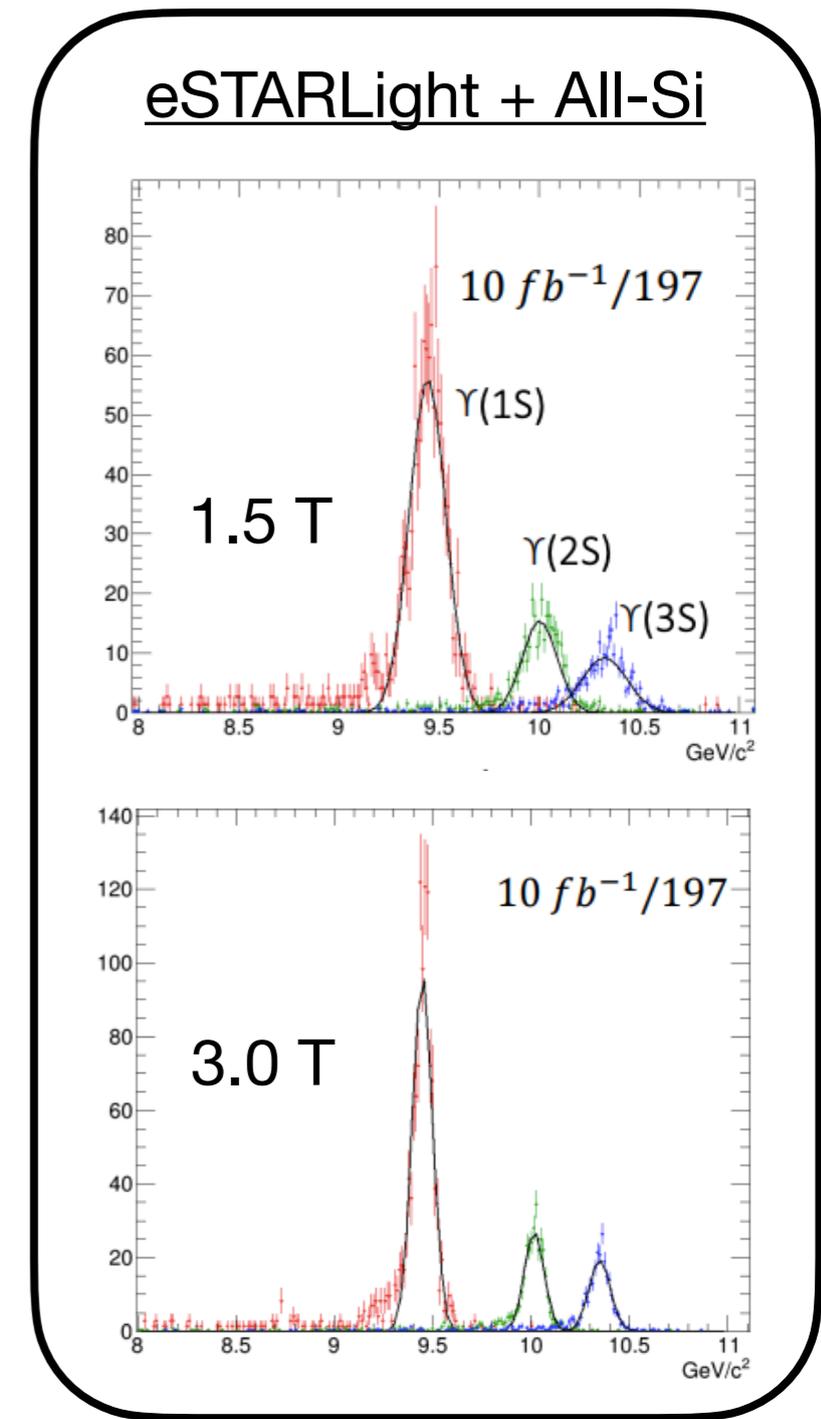
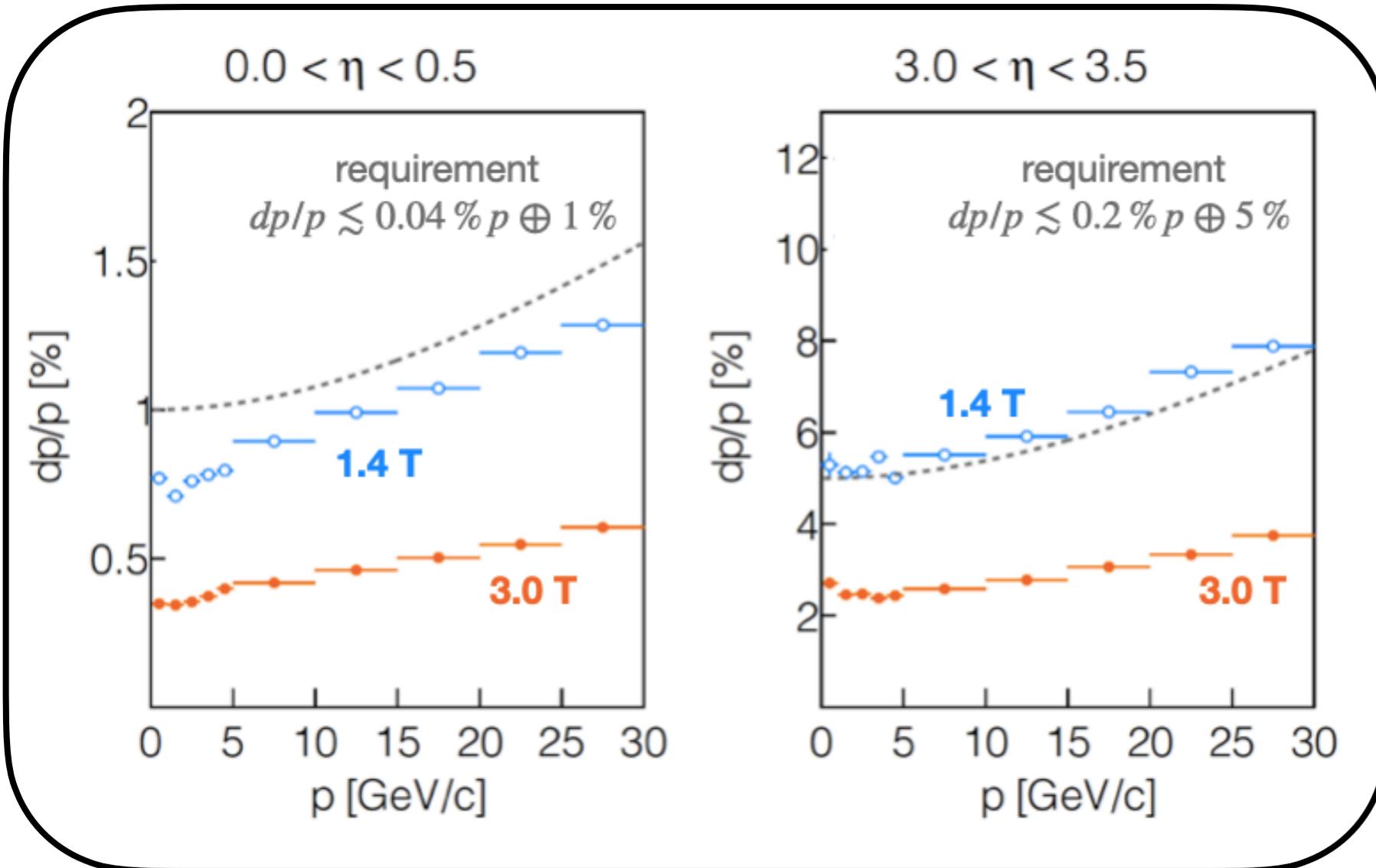
EIC Silicon Consortium

- joining and leveraging ITS3 sensor R&D for EIC detector
- other R&D associated with services, support, readout etc.

# Momentum Resolution

$\pi^-$ ,  $10 \mu\text{m}$  pixel,  $X/X_0 = 0.3\%$

Full (Geant4) simulations

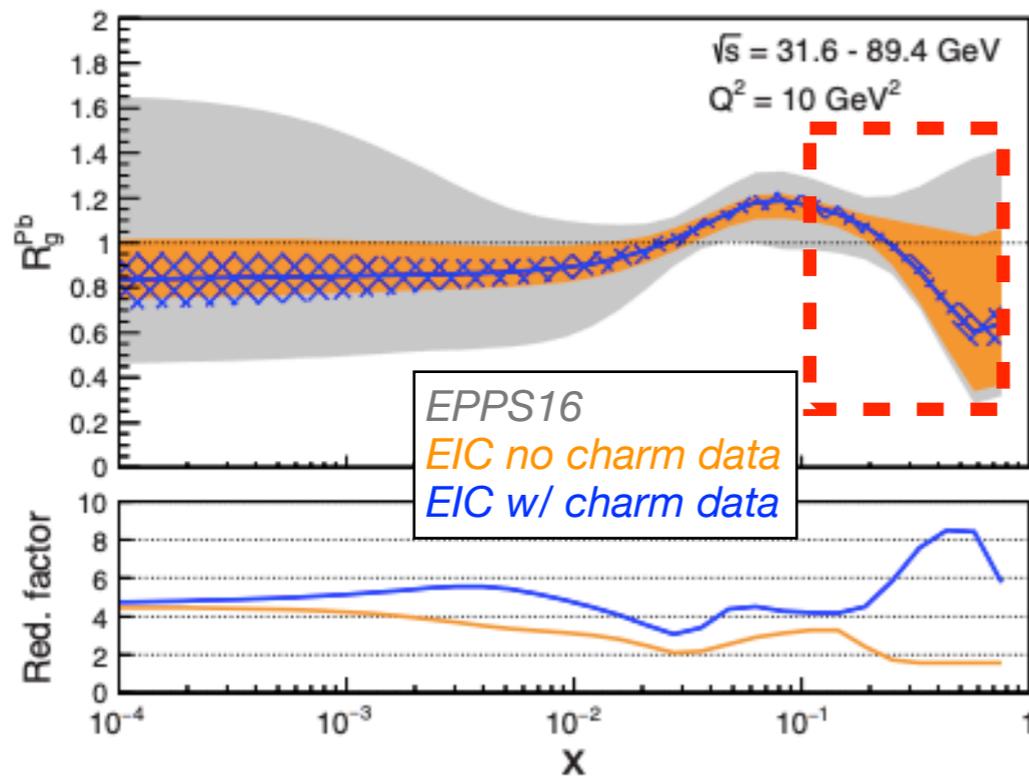
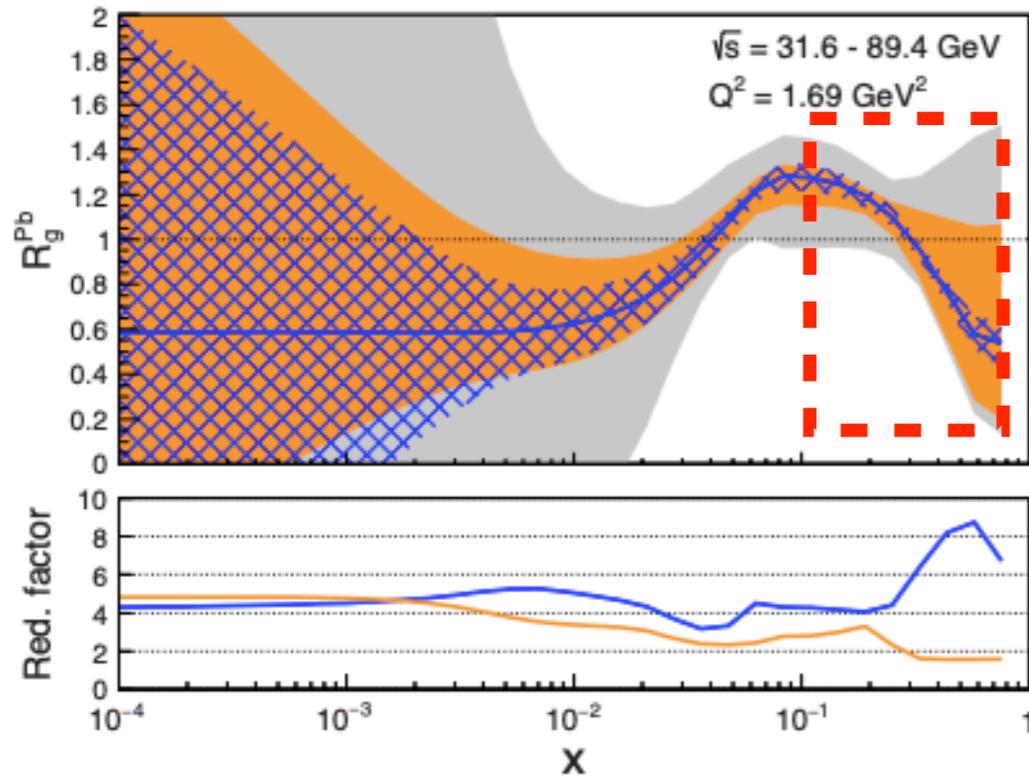


All-Si tracker offers a momentum resolution satisfying the physics requirement

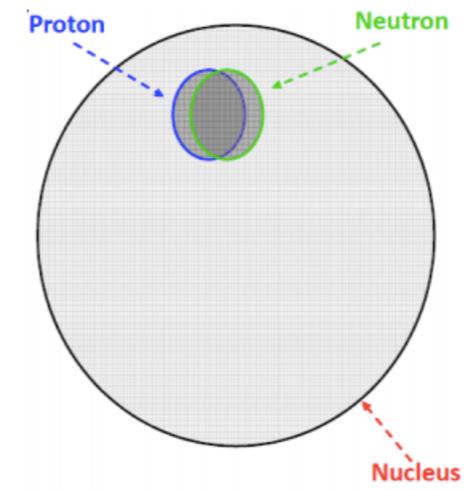
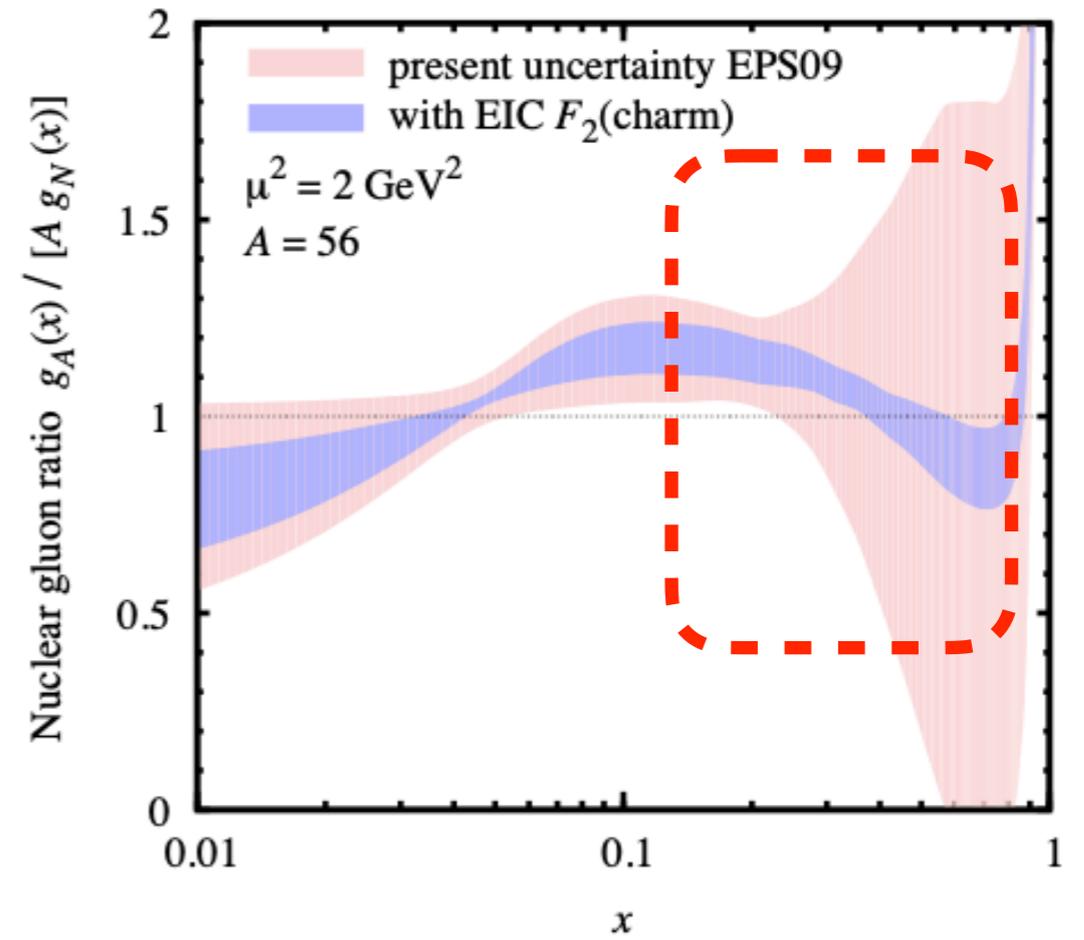
# Inclusive Charm -> Gluon nPDF at High x

$$R_g^{Pb} = f_g^{Pb}(x, Q^2) / f_g^p(x, Q^2)$$

E.C. Aschenauer et al, 1708.01527

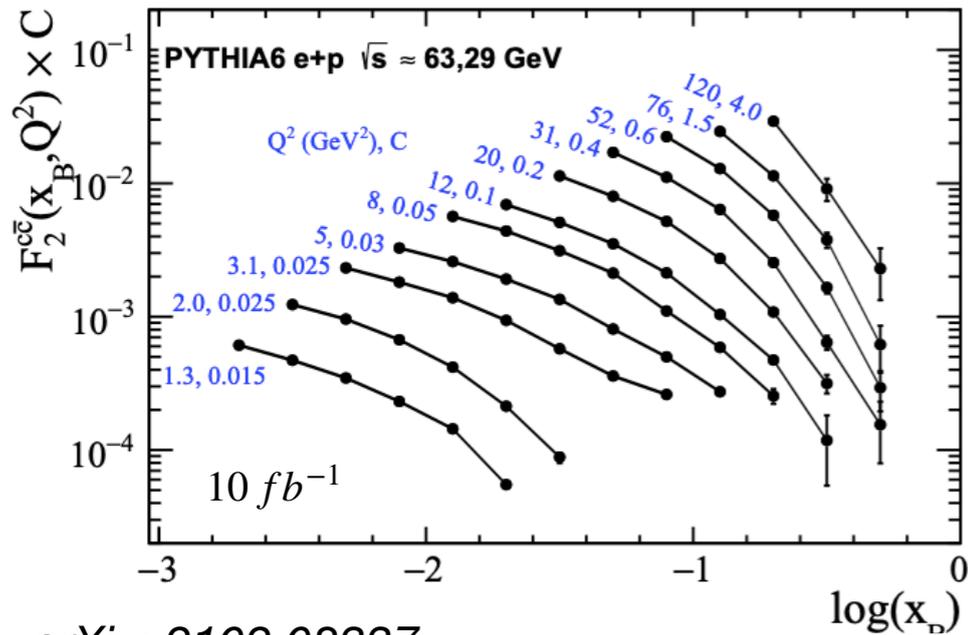


E. Chudakov et al, 1610.08536



gluon probe to short range correlation at "EMC" region

# Charm Structure Function $F_2^{c\bar{c}}$ and Gluon nPDF

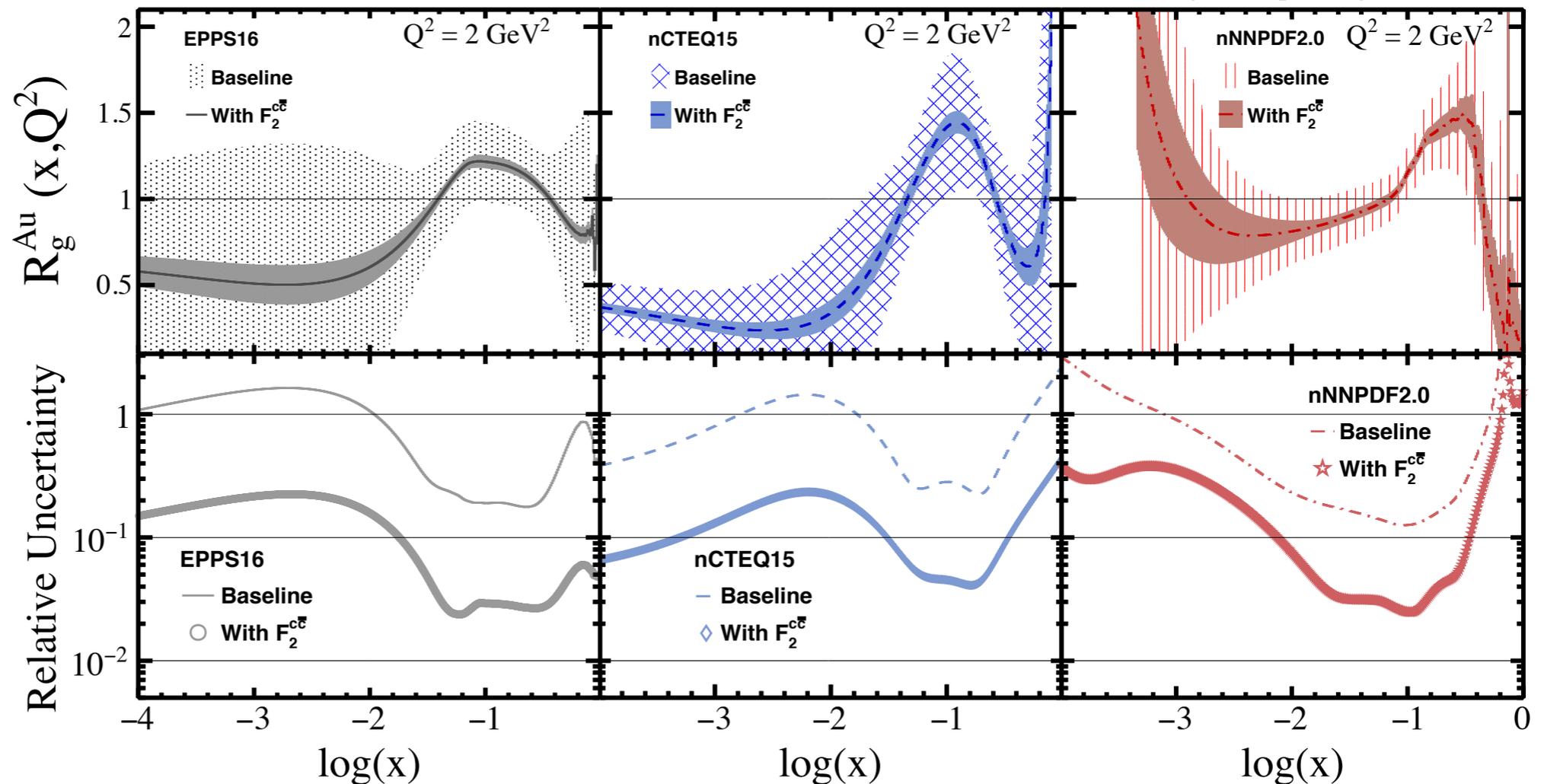


- Linear fit to  $\sigma_r^{c\bar{c}}$  at 10+100 and 5+41 GeV e+p
- Extend HERA measurement to high x region
- Significant impact on gluon nPDF with ep/eA, especially at high x region

arXiv: 2102.08337

Projections with D-meson + DMT requirement

$1 \text{ fb}^{-1} \text{ ep} + 1 \text{ fb}^{-1}/A \text{ eAu}$



# Gluon Helicity $\Delta g/g$

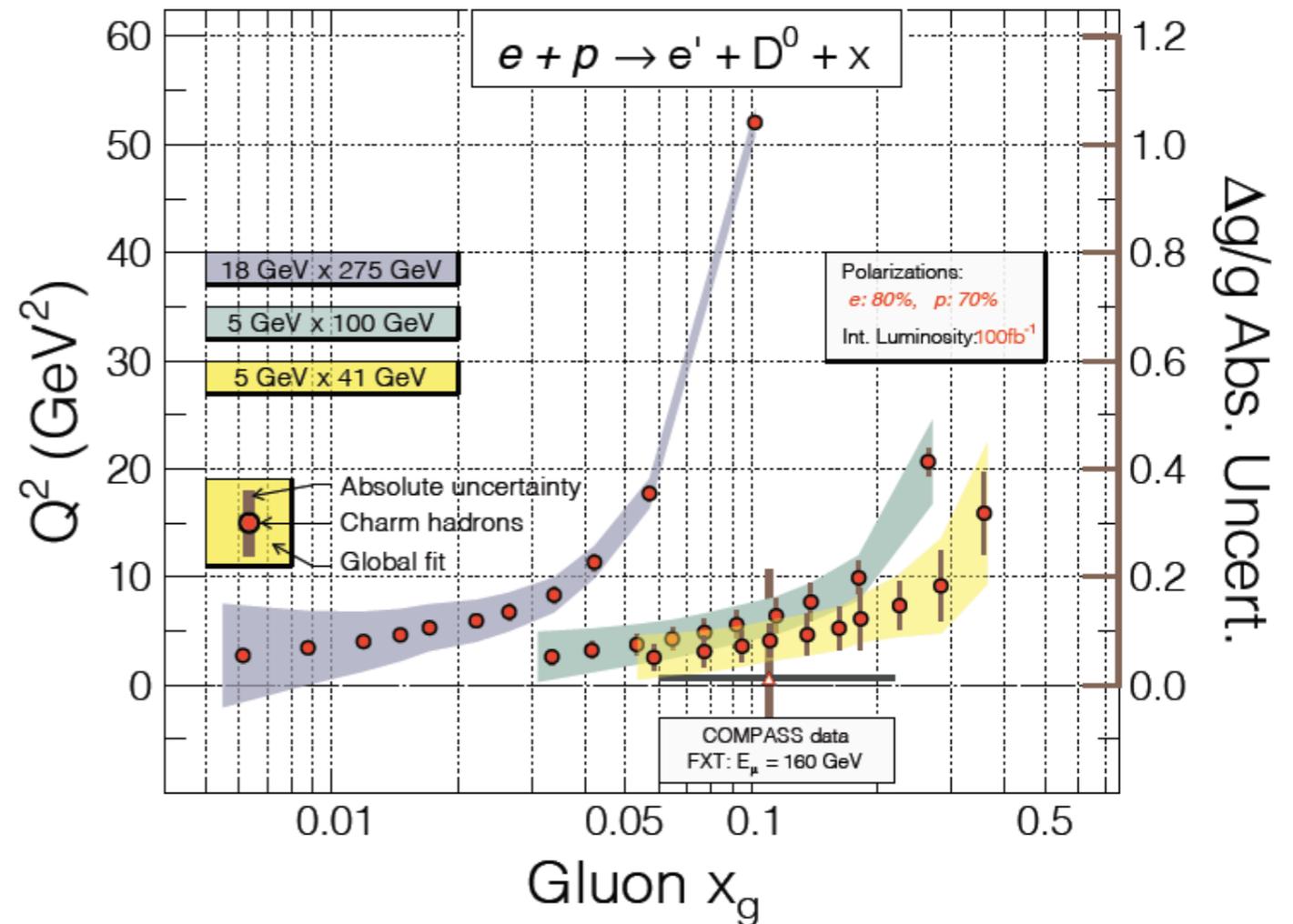
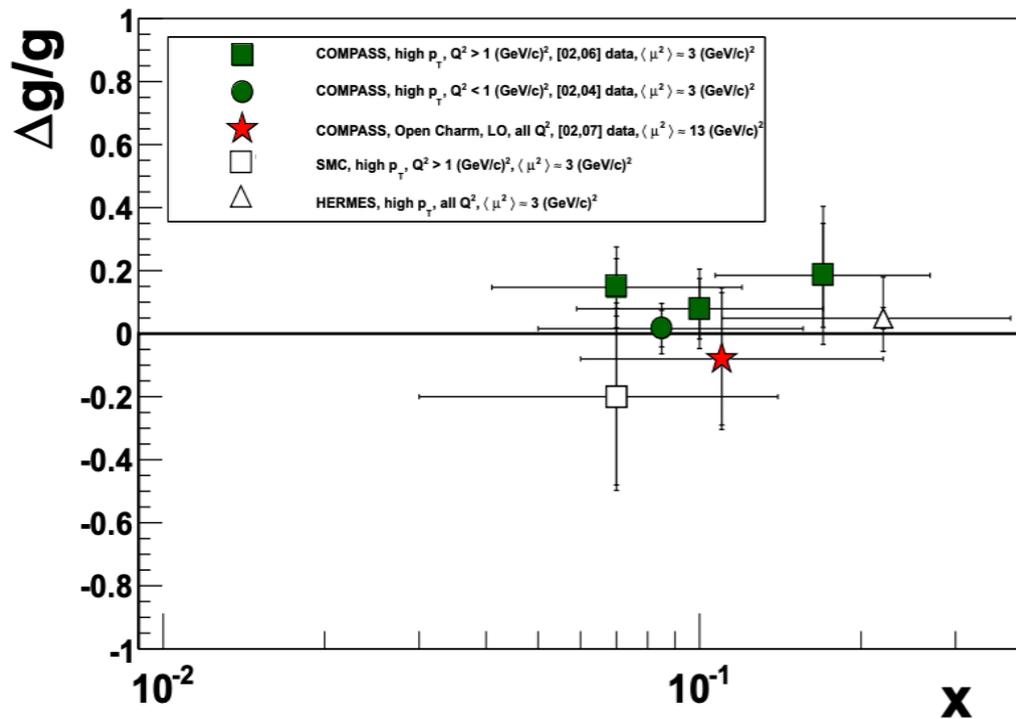
Understanding proton spin is one of the EIC science goals

HF - better sensitivity to the gluon dynamics

- complementary to the inclusive measurement
- direct access to  $\Delta g/g$  LO  $A_{LL} \propto \hat{a}_{LL} \times \Delta g/g$

data placed at each measured  $(x_g, Q^2)$  position  
 error bars - uncertainty of  $A_{LL}$

COMPASS data from open charm



arXiv: 2102.08337, EIC YR 2021



# $D\bar{D}$ Pair - Probe Gluon TMDs

Charm hadron pair in transverse polarized exp.  
- gluon Sivers functions

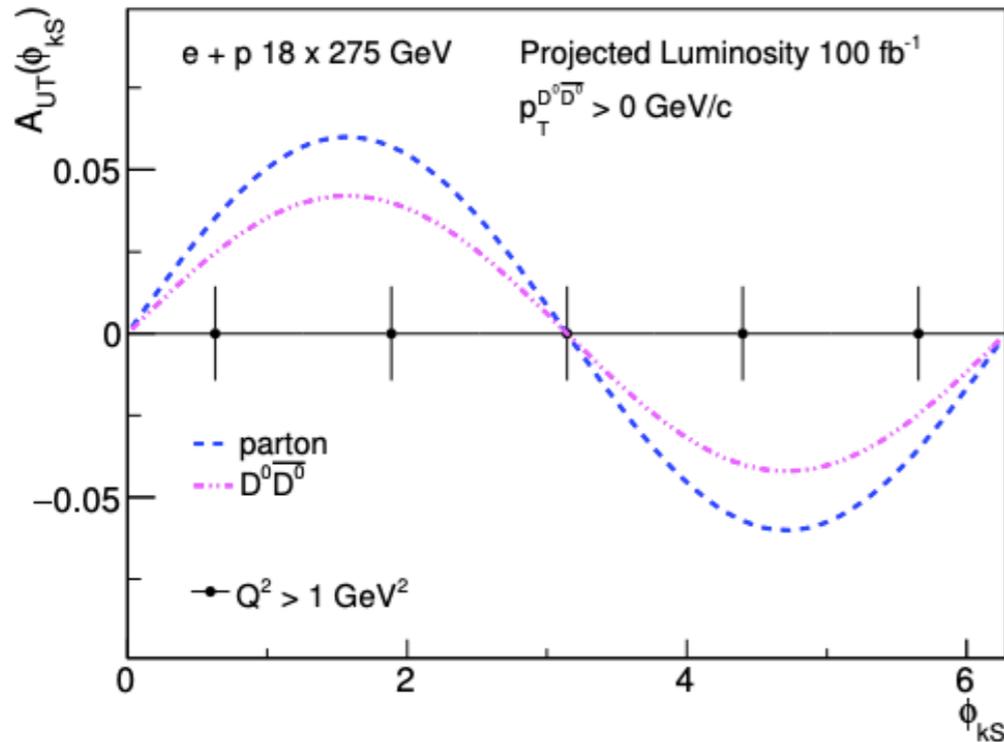
*L. Zheng et. al., PRD 98 (2018) 034011*

Charm hadron pair in unpolarized exp.  
- linearly polarized Boer-Mulders function

*D. Boer et. al., JHEP 08 (2016) 001*

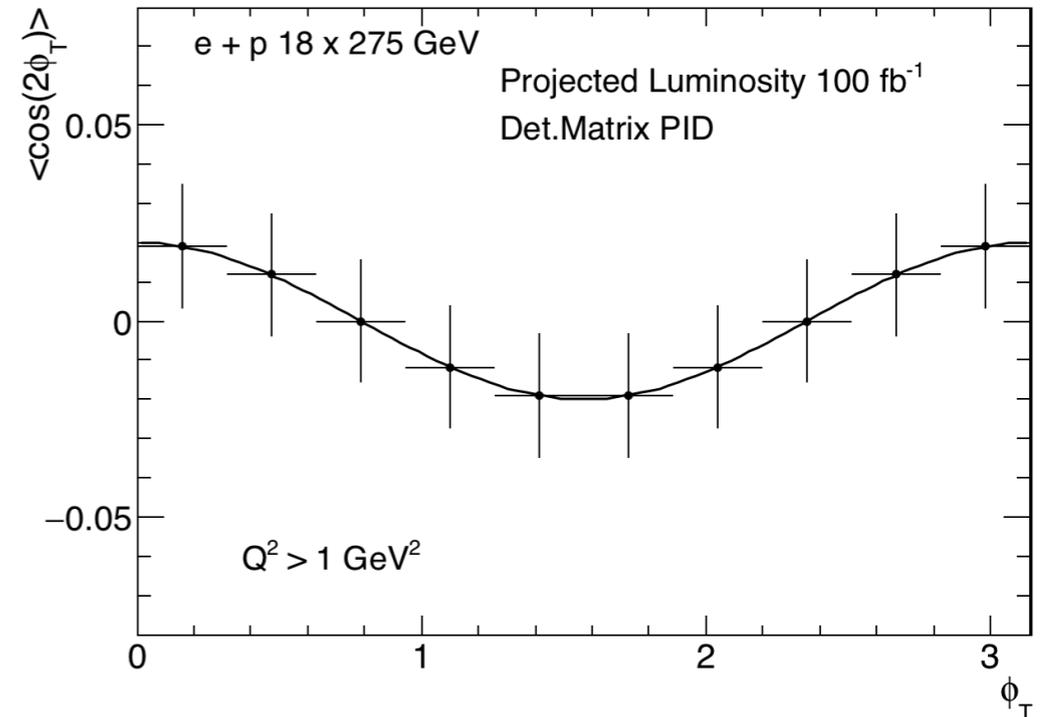
$$A_{UT}(\phi_{k_S}, k_T) = \frac{d\sigma^\uparrow(\phi_{k_S}, k_T) - d\sigma^\downarrow(\phi_{k_S}, k_T)}{d\sigma^\uparrow(\phi_{k_S}, k_T) + d\sigma^\downarrow(\phi_{k_S}, k_T)}$$

$$\propto \frac{\Delta^N f_{g/p^\uparrow}(x, k_\perp)}{2f_{g/p}(x, k_\perp)},$$



		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1 = \odot$		$h_1^\perp = \odot - \ominus$ Boer-Mulders
	L		$g_{1L} = \rightarrow - \leftarrow$ Helicity	$h_{1L}^\perp = \rightarrow - \leftarrow$
	T	$f_{1T}^\perp = \uparrow - \downarrow$ Sivers	$g_{1T}^\perp = \uparrow - \downarrow$	$h_1 = \uparrow - \downarrow$ Transversity $h_{1T}^\perp = \uparrow - \downarrow$

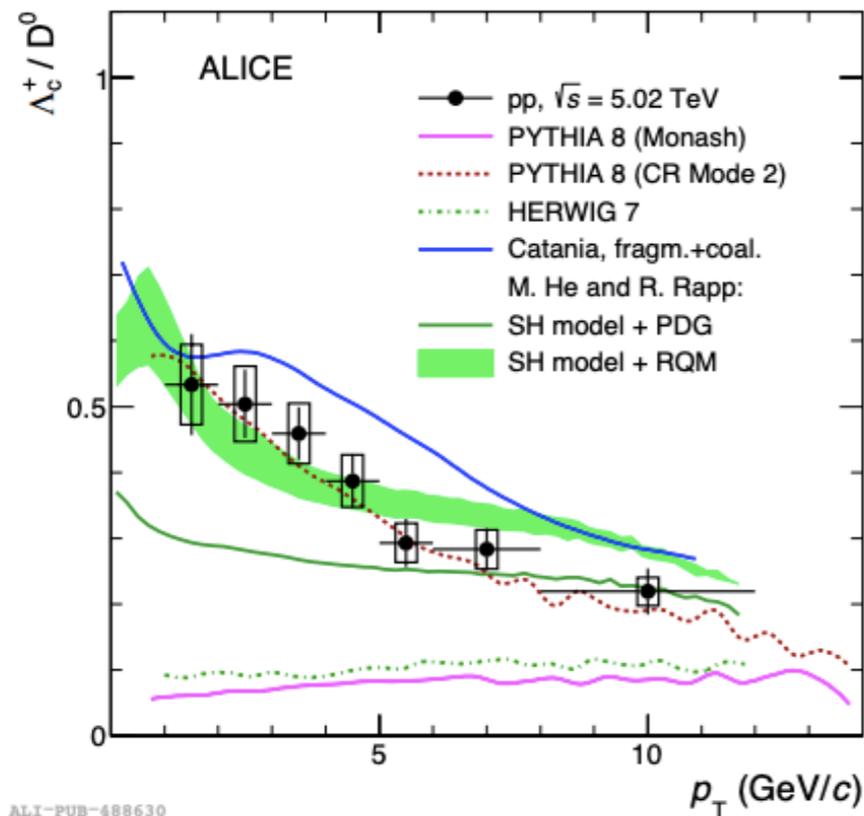
$$|\langle \cos 2\phi_T \rangle| = \frac{q_T^2}{2M^2} \frac{|h_1^{\perp g}(x, p_T^2)|}{f_1^g(x, p_T^2)} \frac{|\mathcal{B}_0^{eg \rightarrow eQQ\bar{Q}}|}{\mathcal{A}_0^{eg \rightarrow eQQ\bar{Q}}}$$



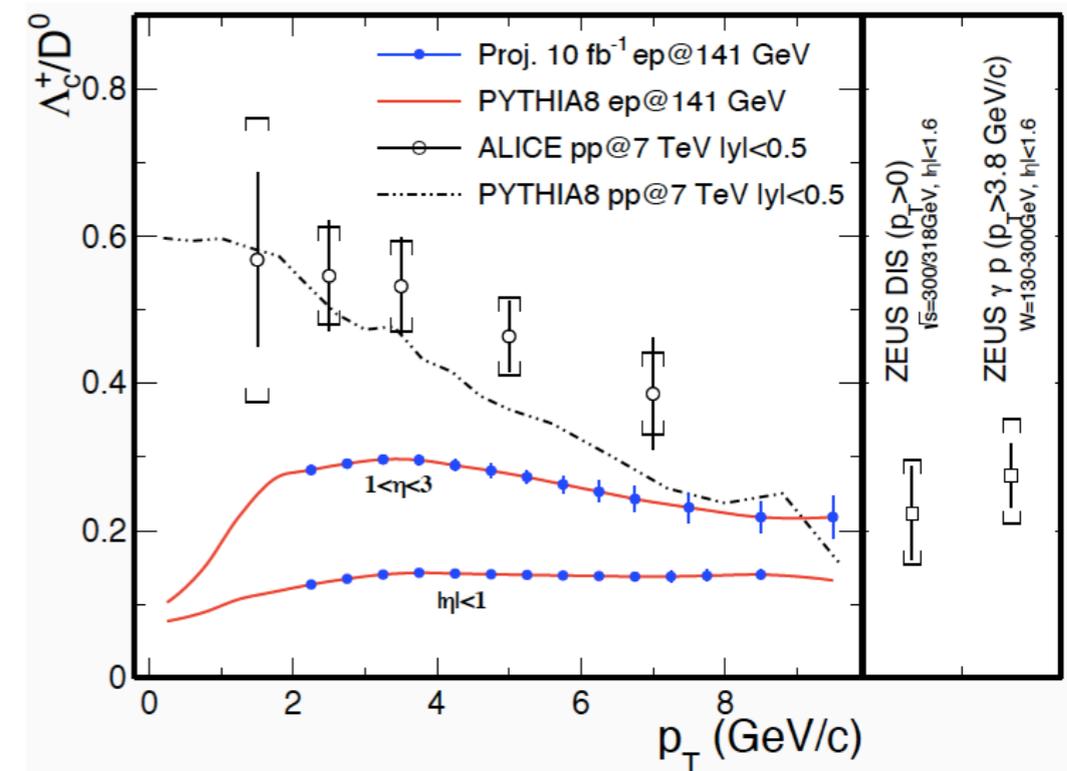
- ~0.4% projected uncertainty on both  $A_{UT}$  and  $\cos(2\phi_T)$  with  $100 \text{ fb}^{-1}$

# Charm Hadrochemistry for Hadronization

arXiv: 2102.08337

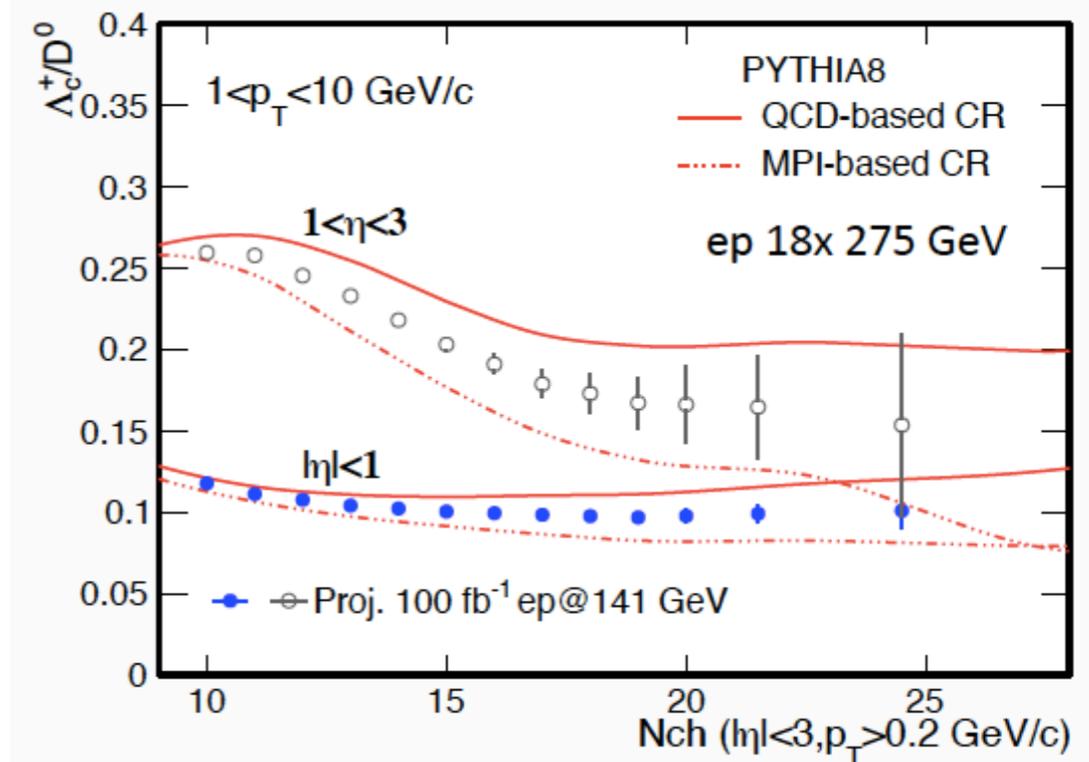


ALI-PUB-488630

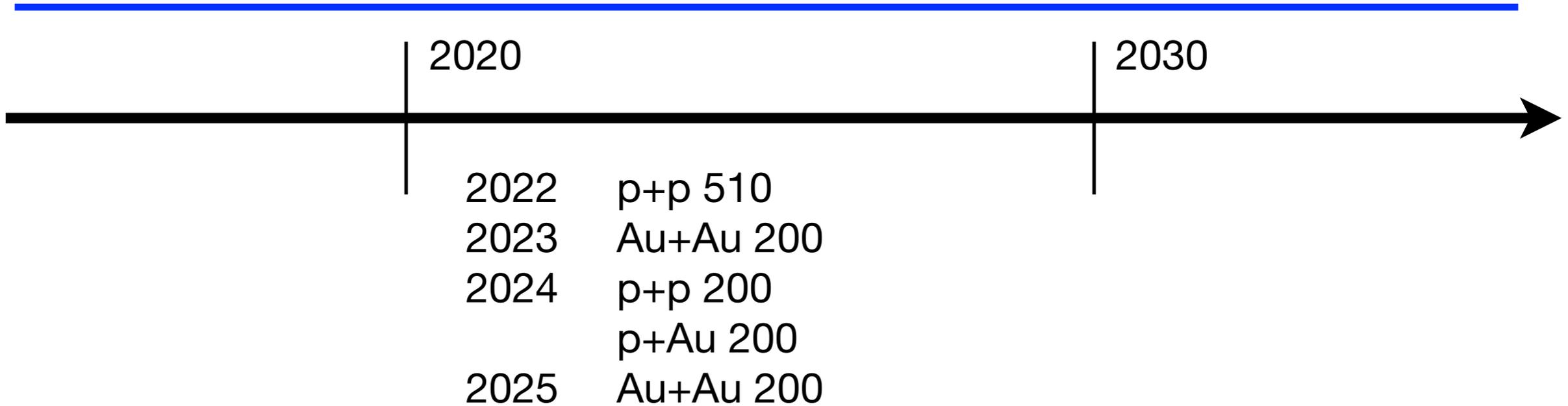


Systematic measurement of  $\Lambda_c^+$  in ep, pp and AA collisions to understand charm baryon production and hadronization

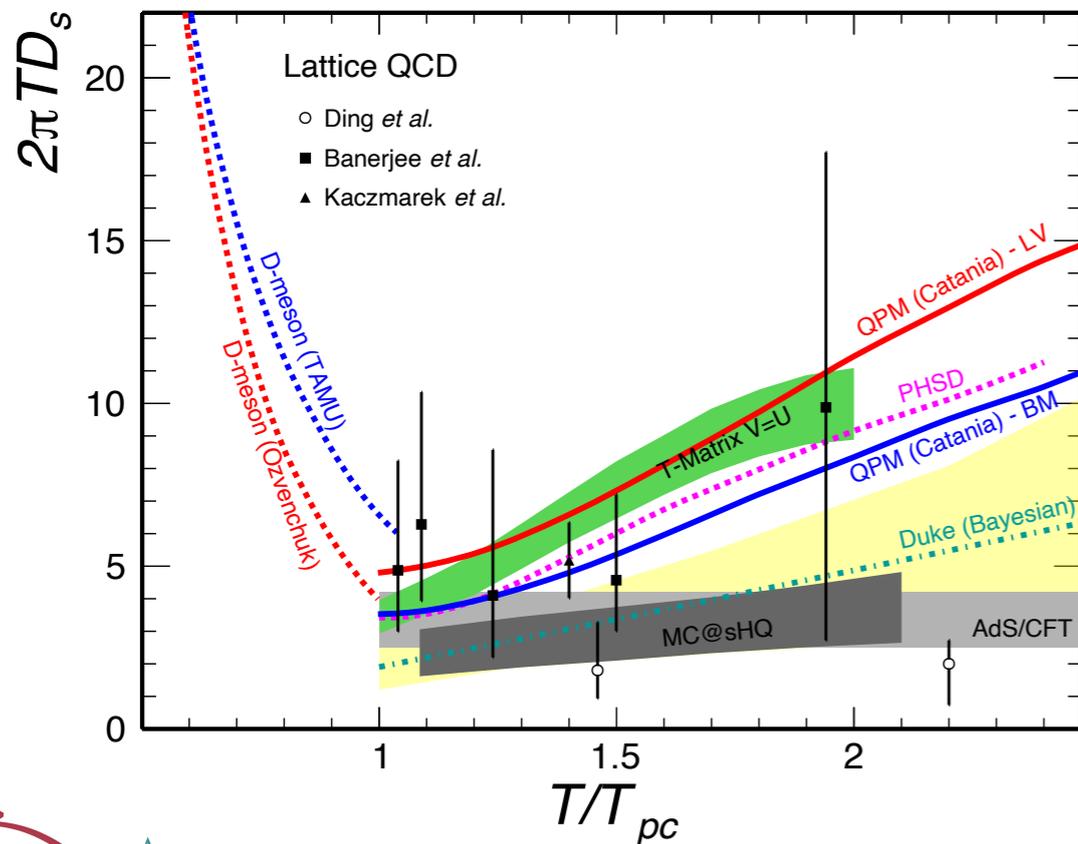
- multi-differential ( $p_T$ , multiplicity etc) measurements
- new opportunities: other charm baryons,  $\Lambda_c^+$  spin transfer etc.



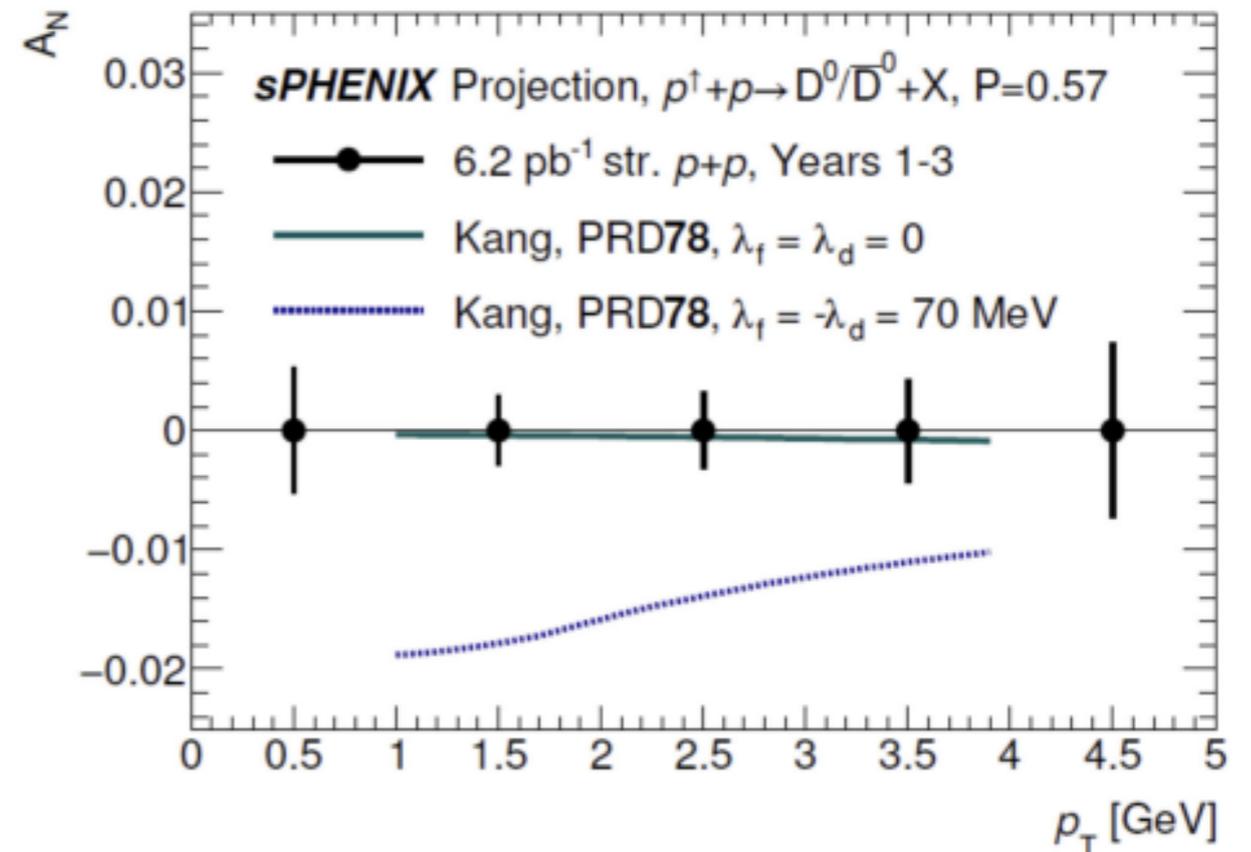
# Summary



## Quantifying QGP Properties



## Glimpsing at gluon distributions in p/A



# Acknowledgements

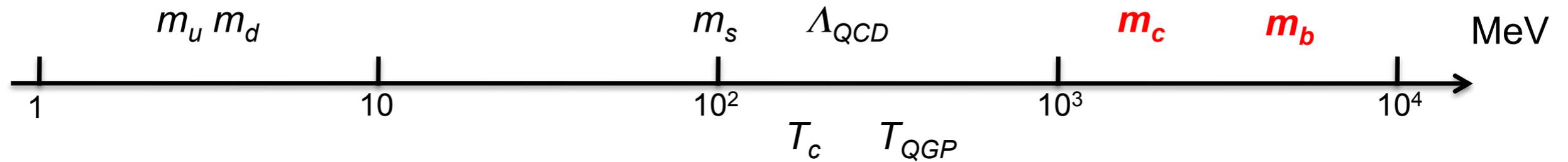
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J. Bielcik, X. Chen, G. Contin, R. Cruz-Torres, C. Fu, V. Greco, L. Greiner, Y. Guo, M. Gyulassy, L. He, H. Huang, J. Huang, Y.F. Hong, B. Jacak, Y. Ji, X.Y. Ju, M. Kelsey, Y.J. Lee, Y. Liang, M.X. Liu, M. Lomnitz, L. Ma, S. Margetis, S. Mizuno, M. Mustafa, Md Nasim, G. Odyniec, K. Oh, H. Qiu, S. Radhakrishnan, R. Rapp, H.G. Ritter, A. Schmah, S. Shi, E. Sichtermann, M. Simko, S. Singha, X.M. Sun, Z.B. Tang, J. Thaeader, J. Thomas, J. Vanek, F. Videbaek, F. Wang, Y.P. Wang, H. Wieman, L. Xia, G. Xie, W. Xie, N. Xu, Z. Xu, Z. Ye, F. Yuan, Y.F. Zhang, Y.X. Zhao, L. Zhou ...

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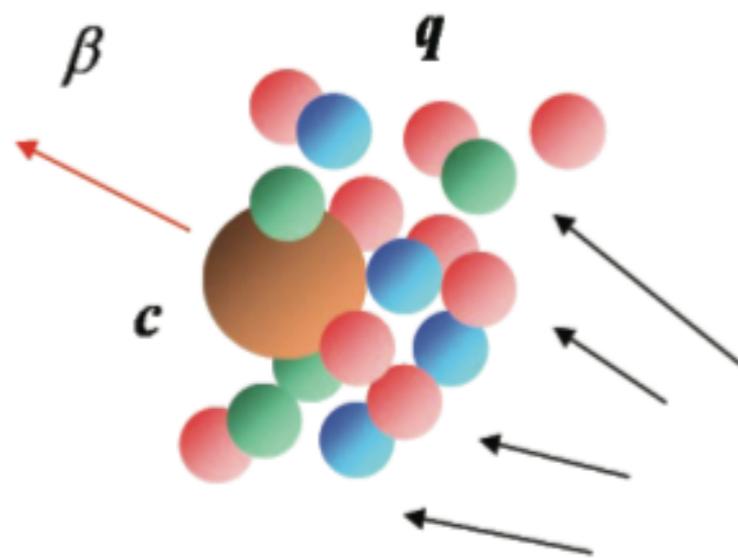
# Backup

# Uniqueness of Heavy Flavor Quarks



$m_{c,b} \gg \Lambda_{QCD}$     *amenable to perturbative QCD*  
 $m_{c,b} \gg T_{QGP}$     *predominately created from initial hard scatterings*

## “Brownian” motion



## Diffusion Equation

$$\frac{\partial \rho}{\partial t} = D \frac{\partial^2 \rho}{\partial x^2} \quad \langle x^2(t) \rangle - \langle x^2(0) \rangle \sim Dt$$

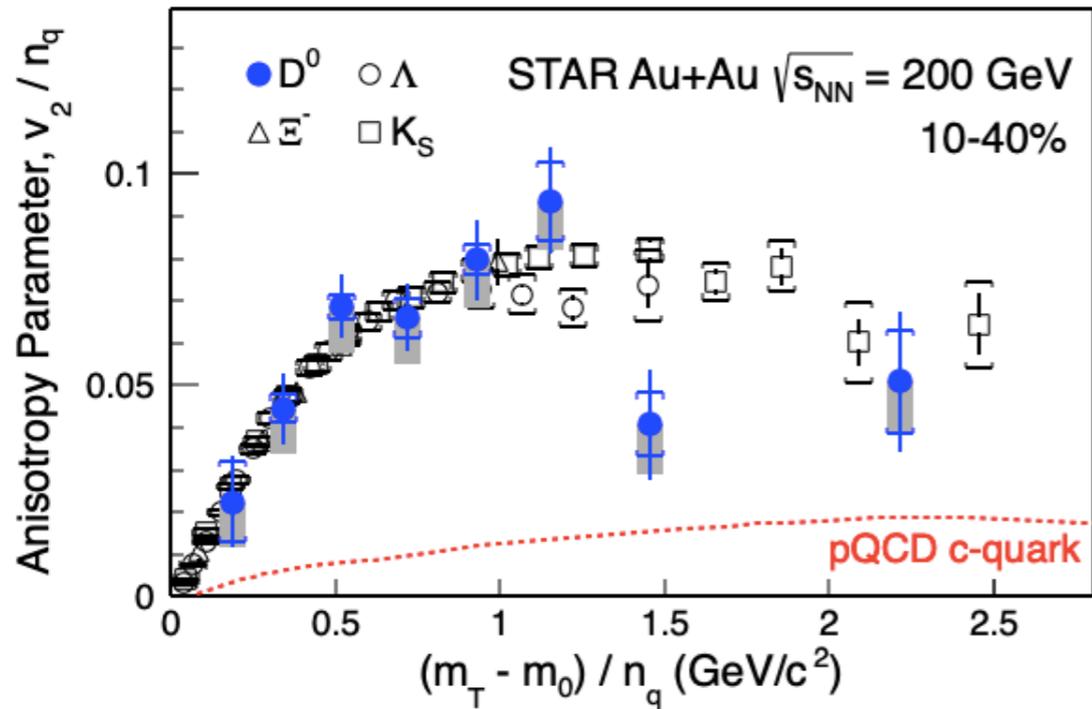
**$D$  or  $D_s$**  - spacial diffusion coefficient

- used to reveal medium substructures
- e.g.

$$D = \frac{RT}{N_A 6\pi\eta a} = \frac{k_B T}{6\pi\eta a}$$

$M_Q \gg T, M_Q \gg gT$

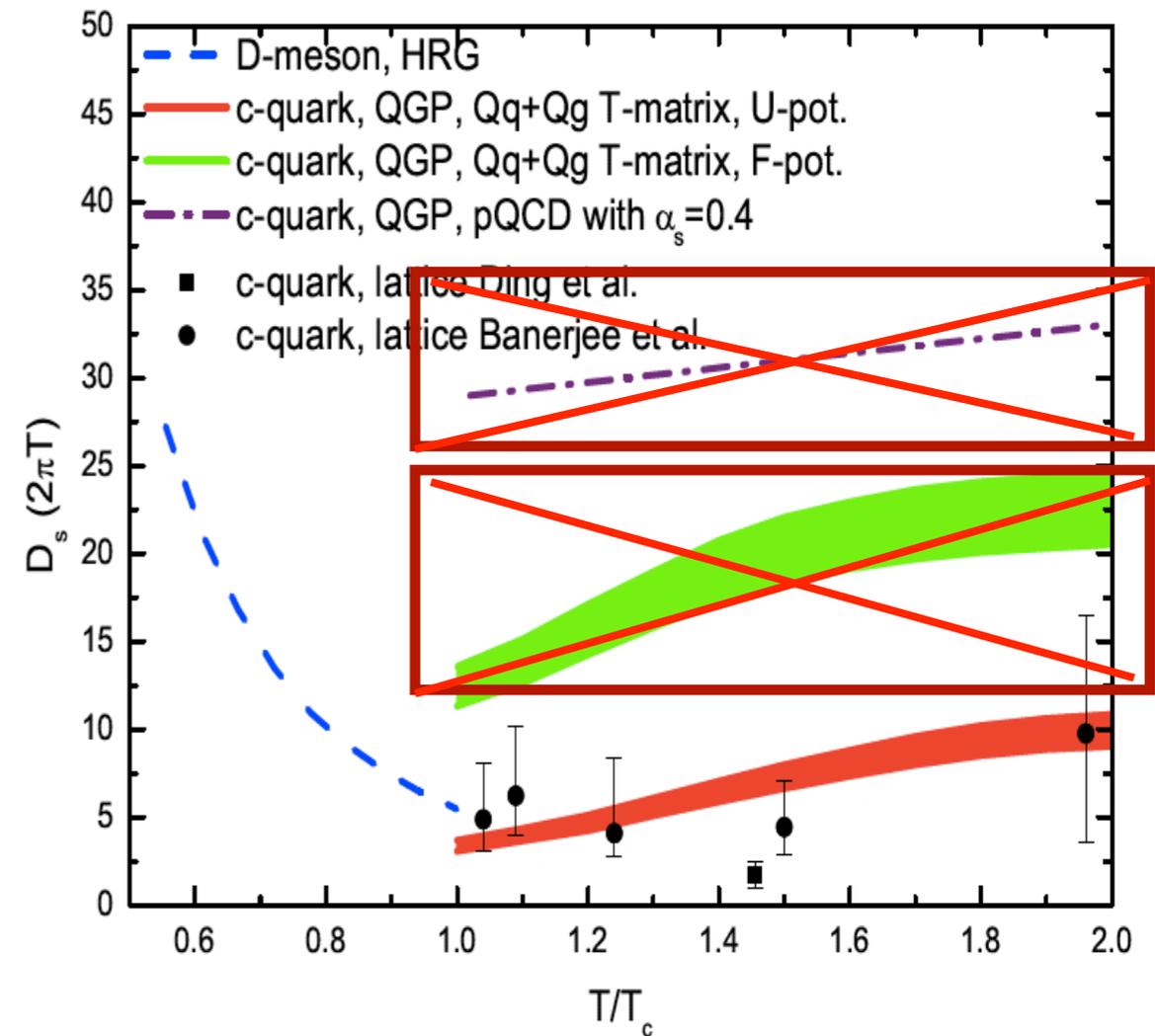
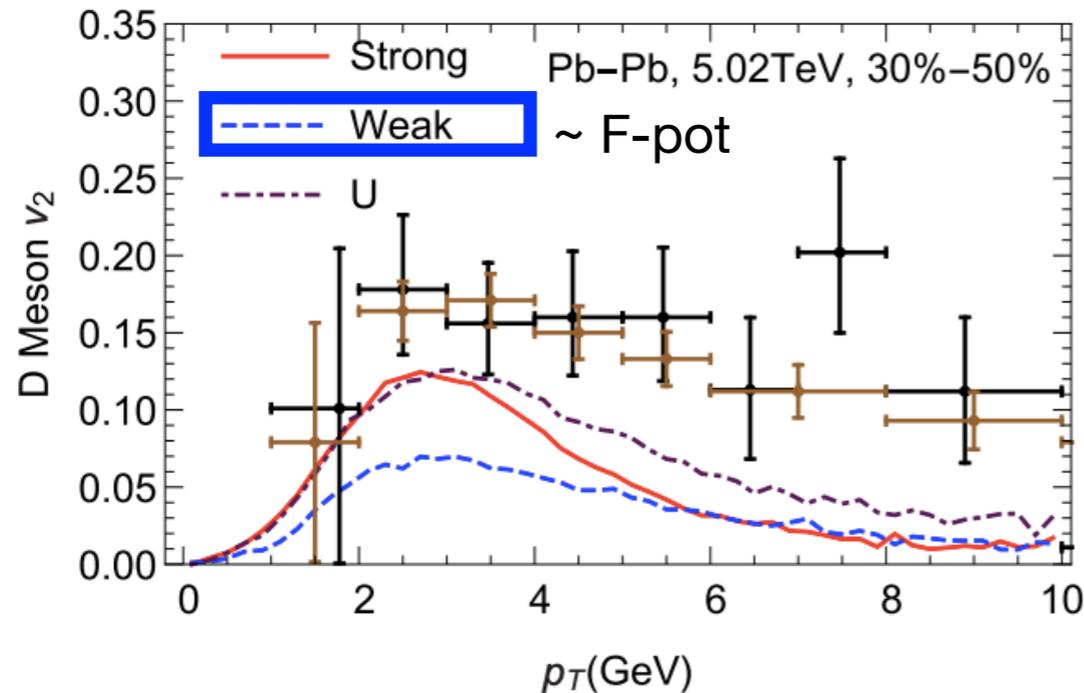
# $D^0 v_2$ Compared with pQCD Calculation



pQCD c-quark ( $b=7\text{fm}$ ):

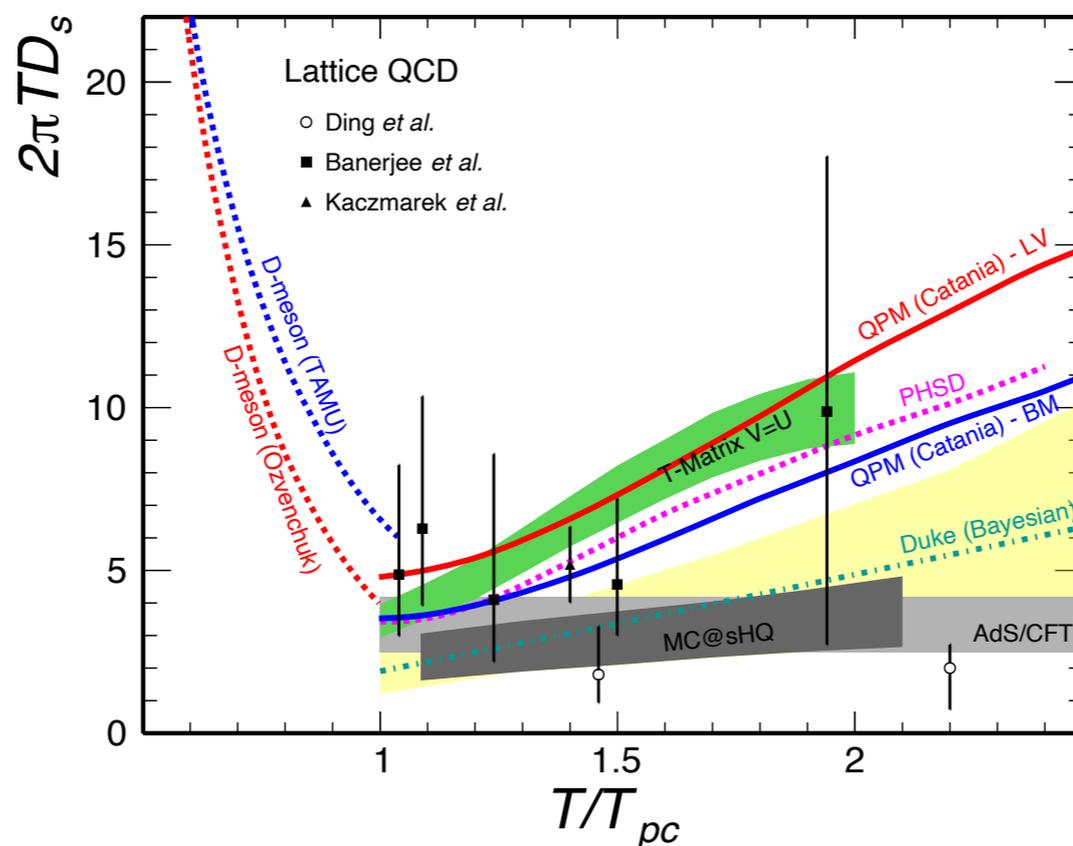
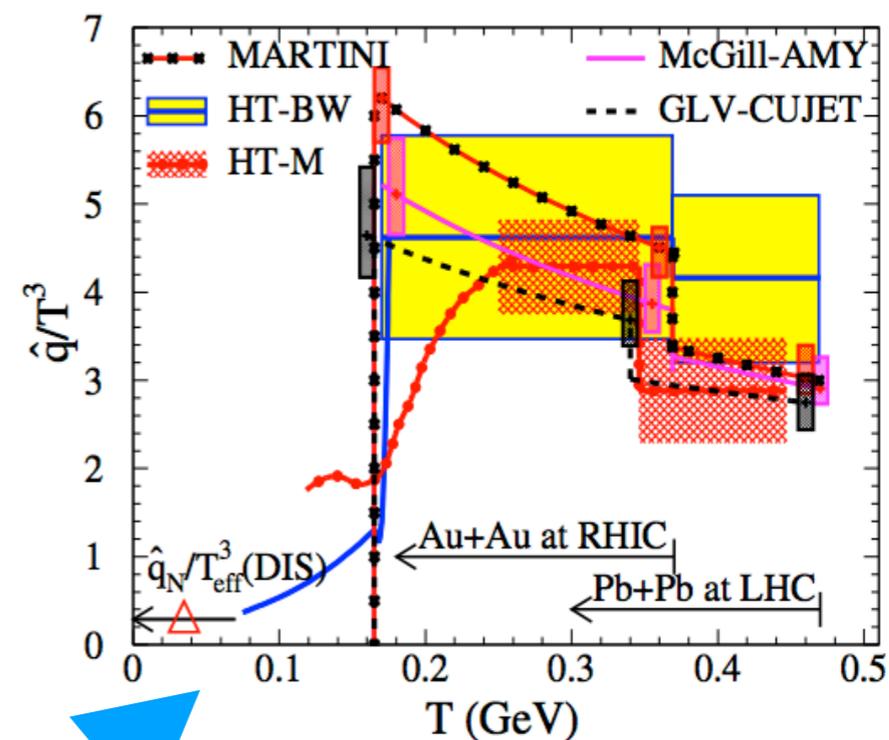
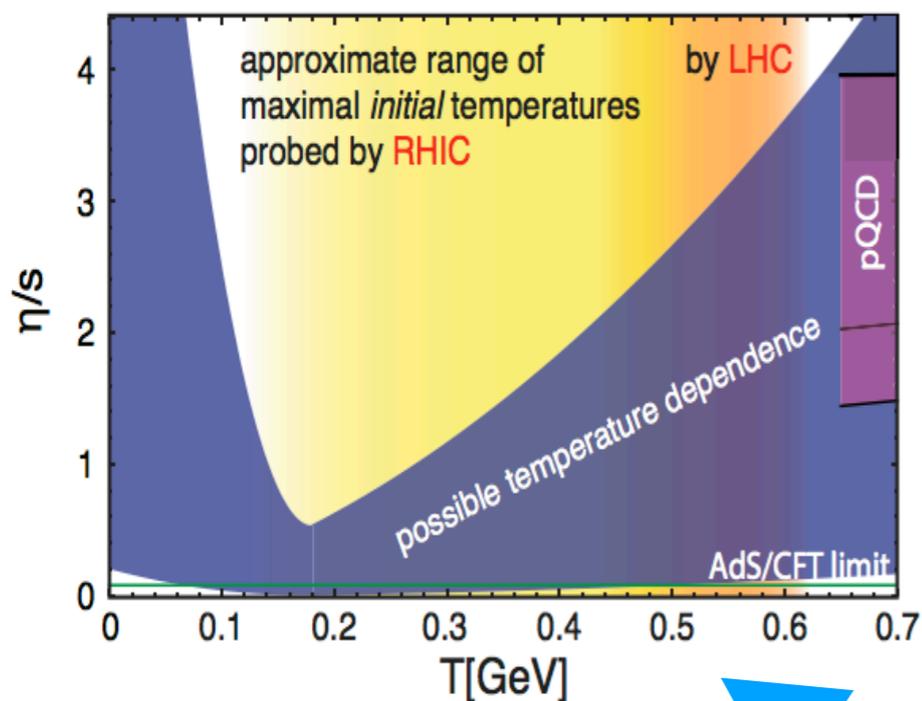
R. Rapp & H. van Hees, arXiv: 0903.1096

S. Liu et al, PRC 99 (2019) 055201



- pQCD calculation and T-Matrix with F-pot. cannot reproduce the data

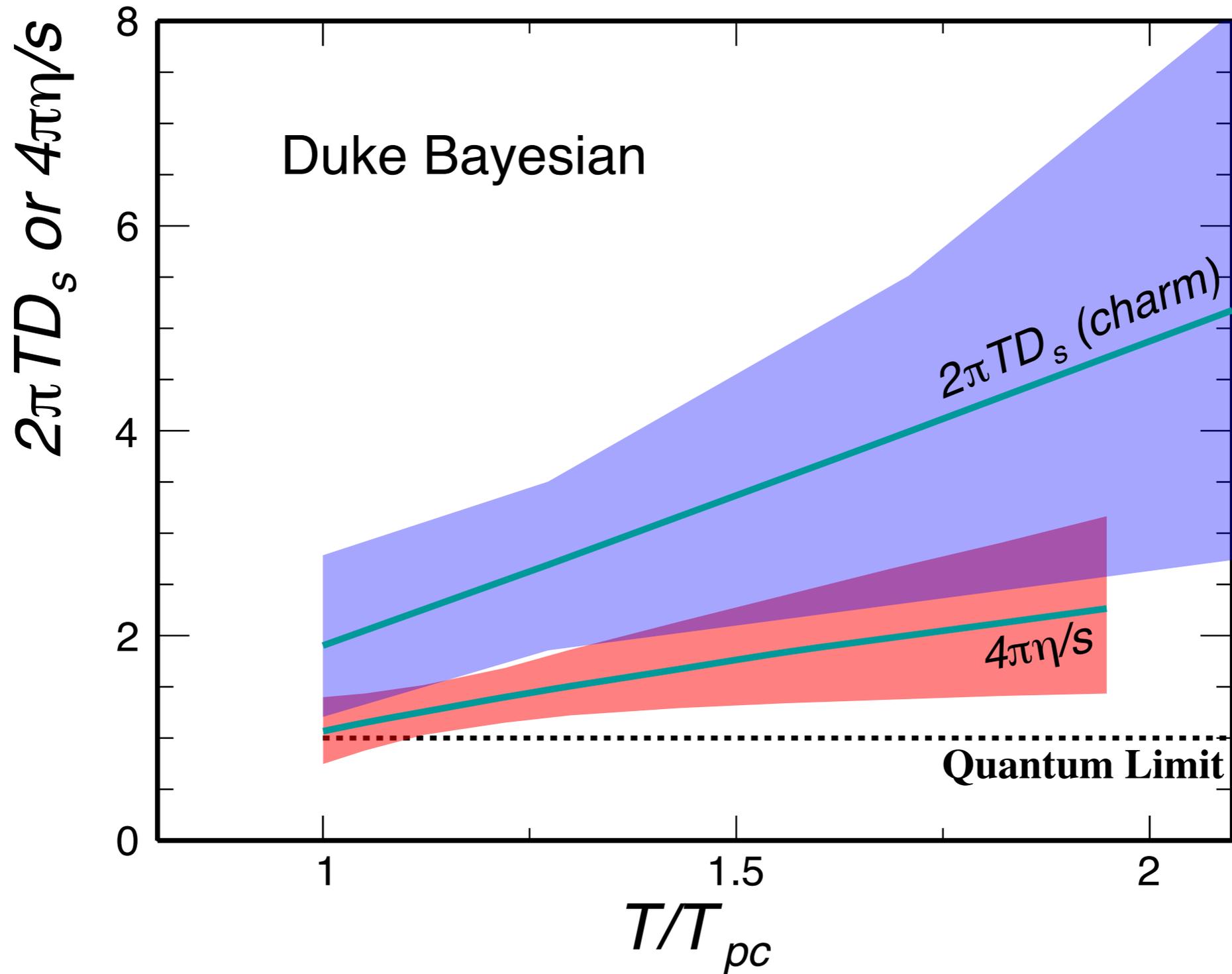
# Quantitative Measure of QGP



# $2\pi TD_s$ vs. $4\pi\eta/s$

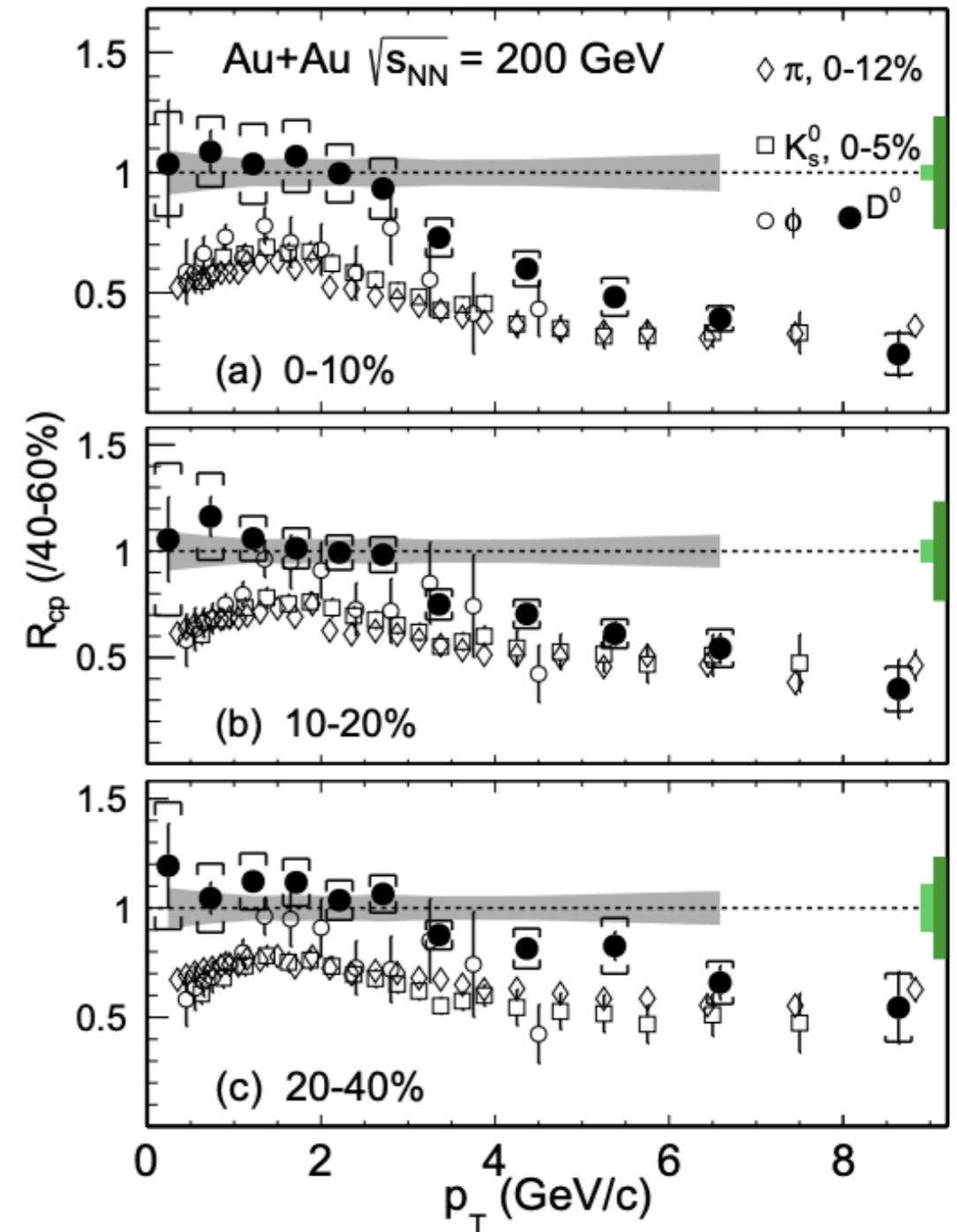
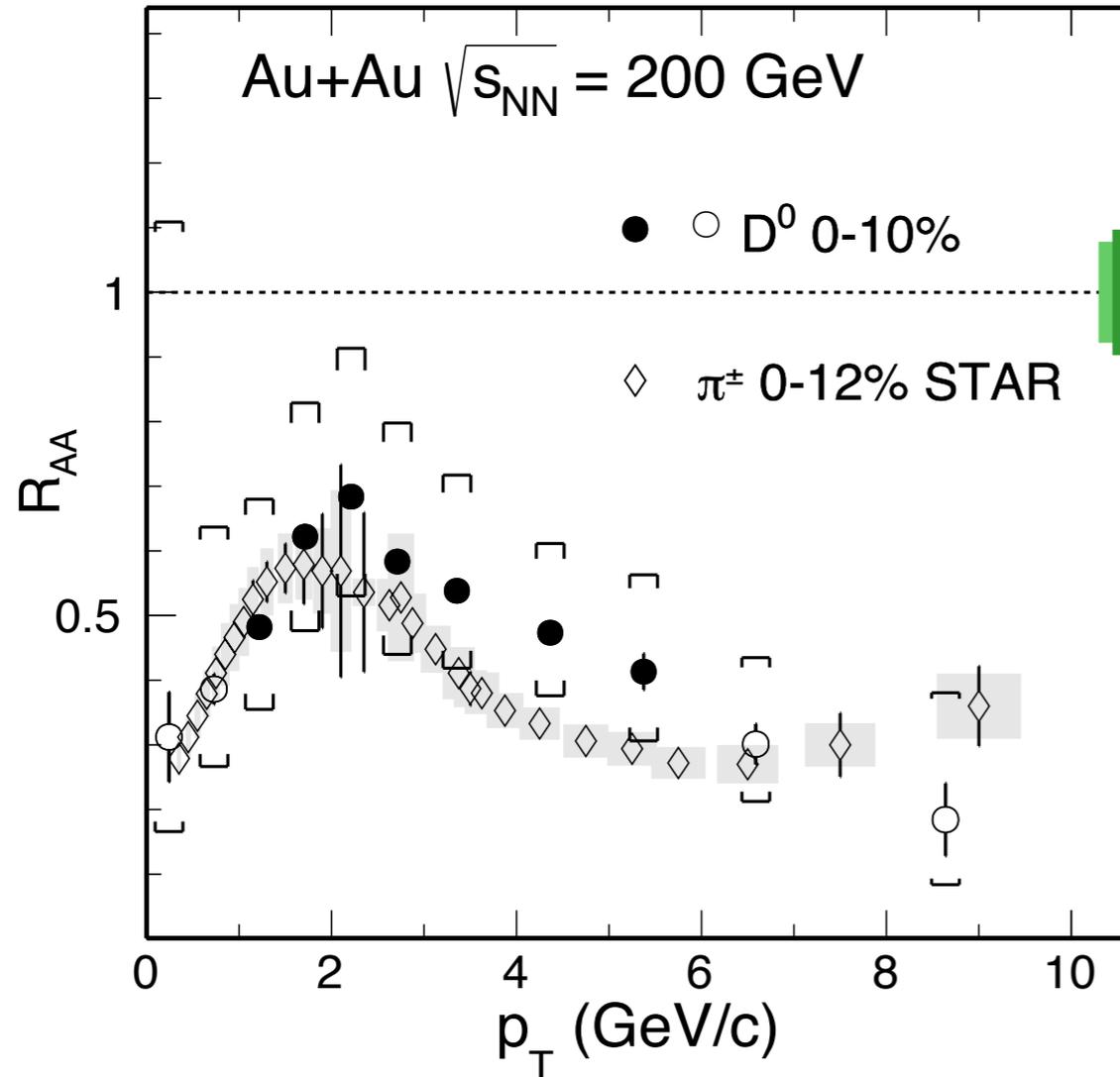
$2\pi TD_s$ : Y. Xu et al, PRC 97 (2018) 014907

$\eta/s$ : J. Bernhard et al, Nature Physics 115 (2019) 1113



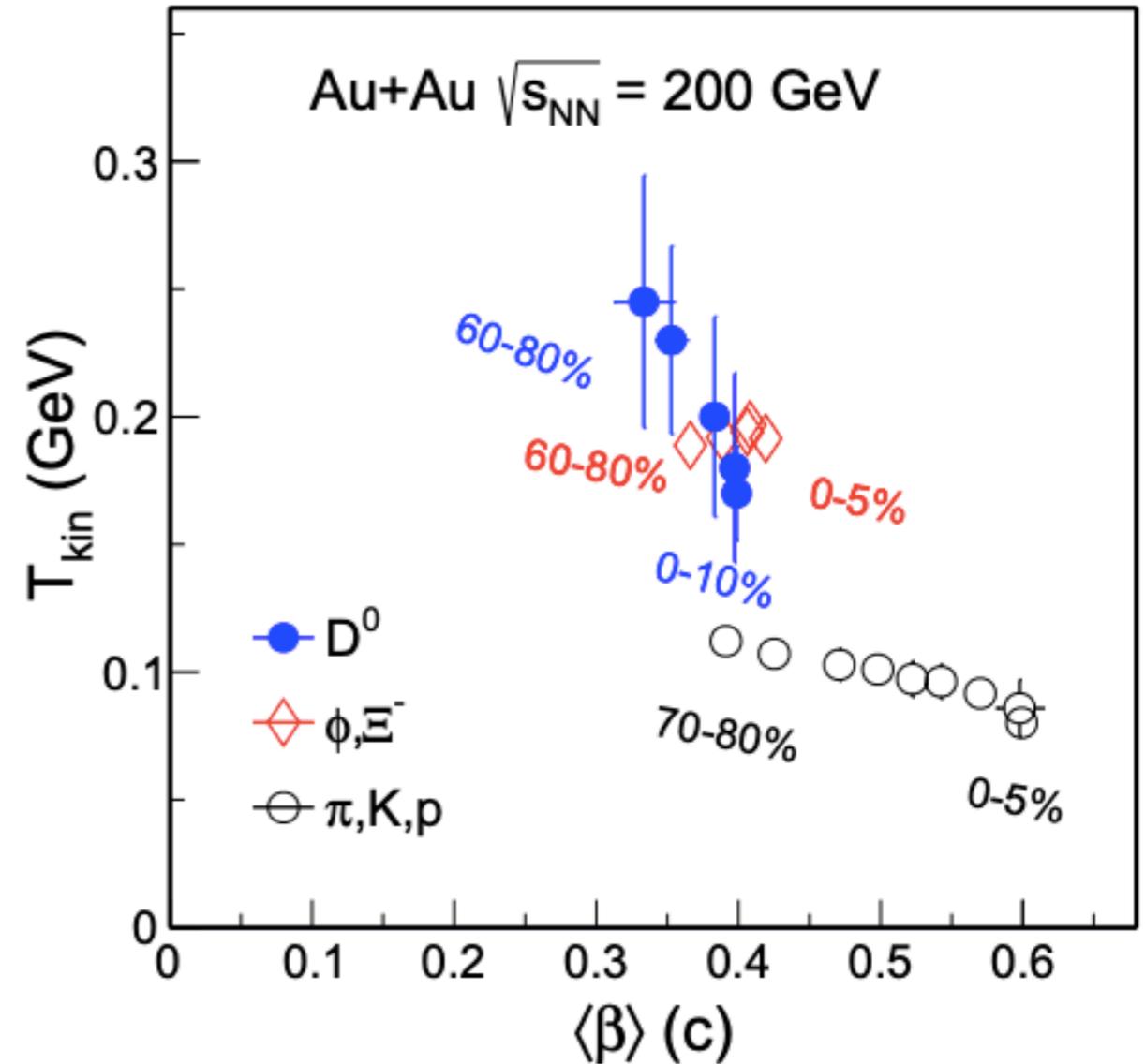
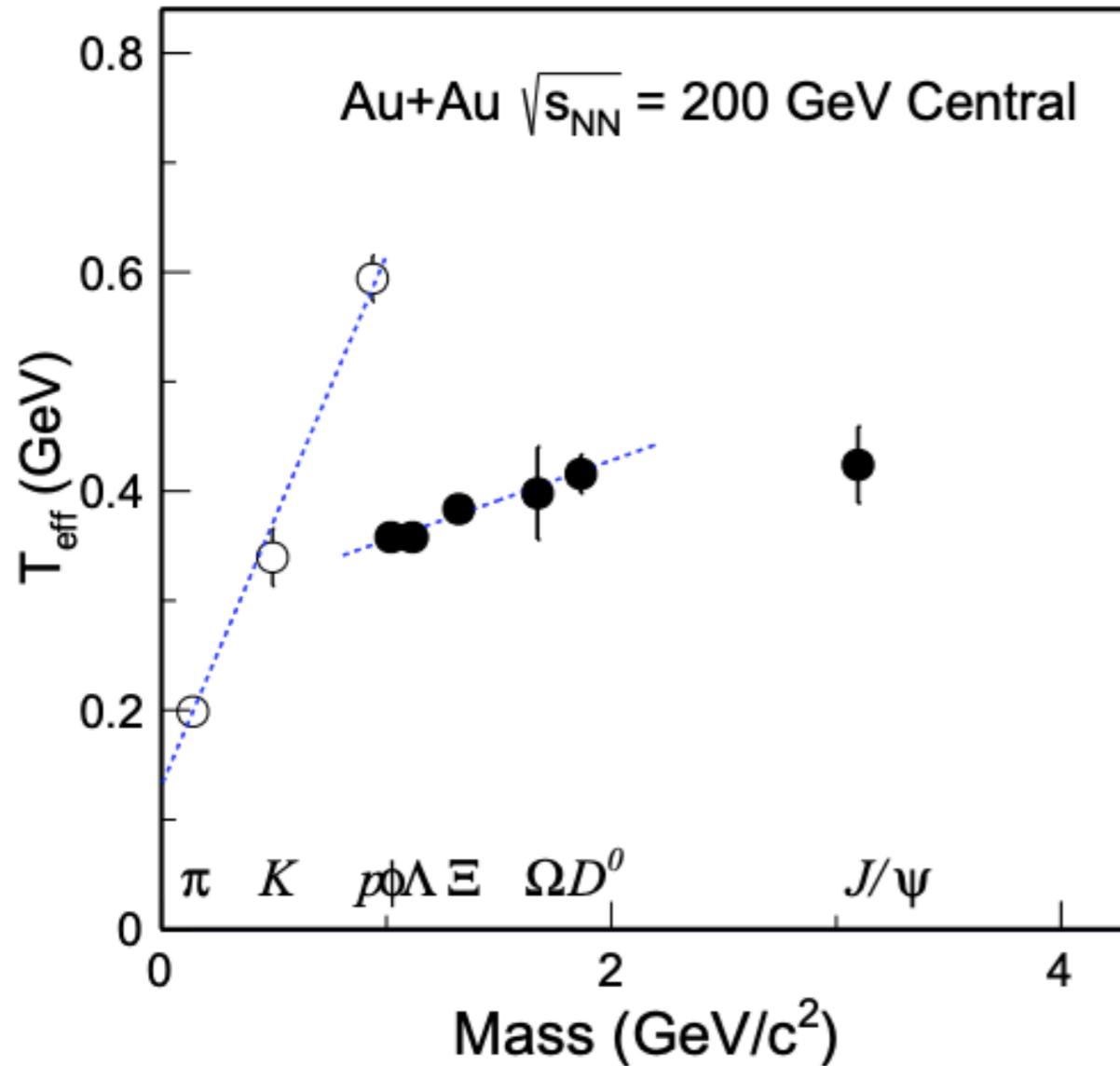
charm vs. bottom universality? momentum/temperature dependence?

# $D^0$ Meson $R_{AA}/R_{CP}$ in A+A Collisions



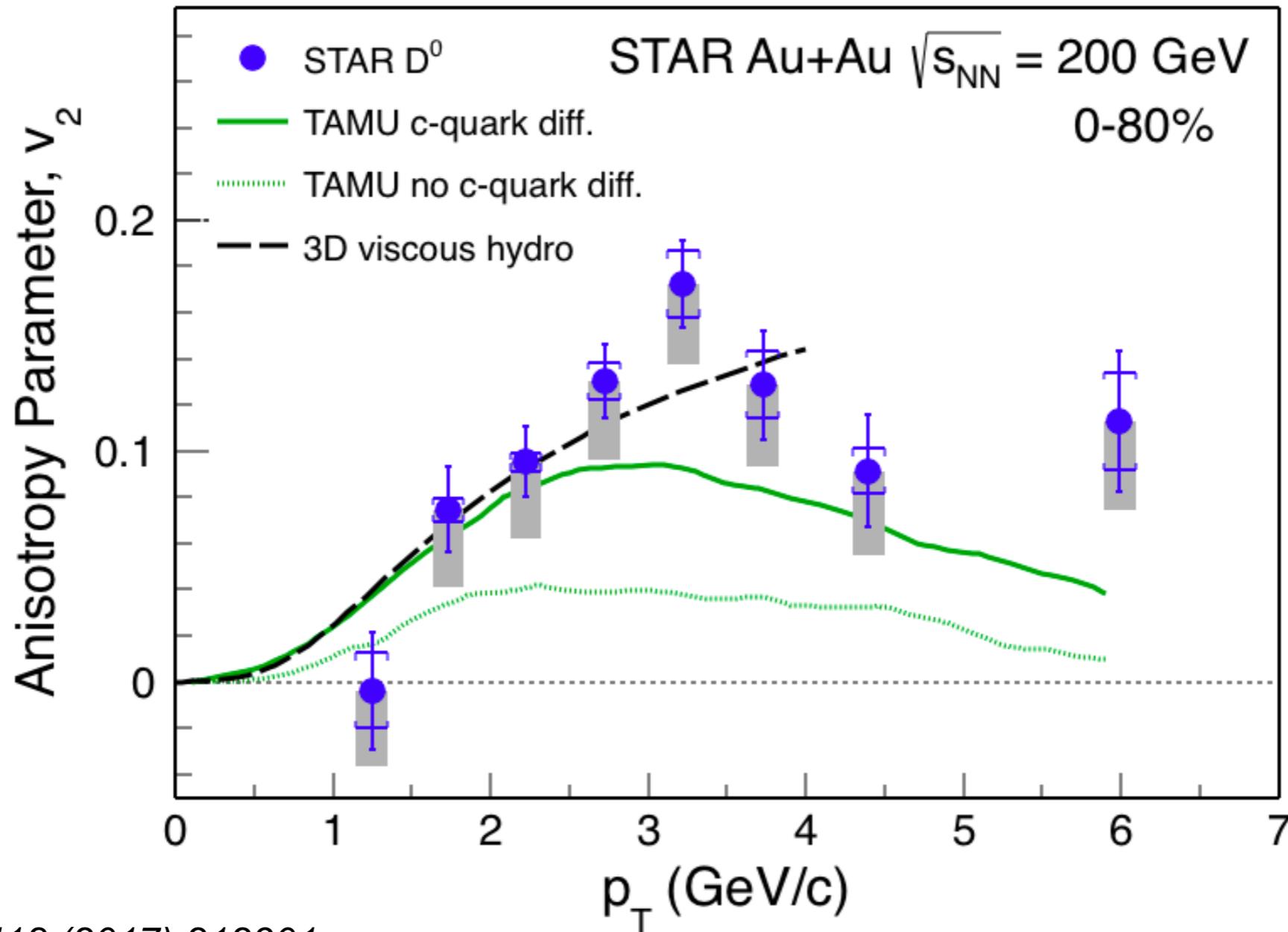
- $R_{AA}(D) \sim R_{AA}(h)$  at  $p_T > \sim 4$  GeV/c
  - significant charm quark energy loss in the QGP medium
  - importance of radiative and collisional energy loss

# $D^0$ Radial Flow



- T-slope parameter (expo fit to  $m_T$  spectra) follows the similar trend as other strange particles
- Similar to multi-strange hadrons,  $D^0$  mesons kinetically freeze out earlier than light hadrons
  - collectivity from partonic stage interactions

# $D^0 v_2$ Compared with Models

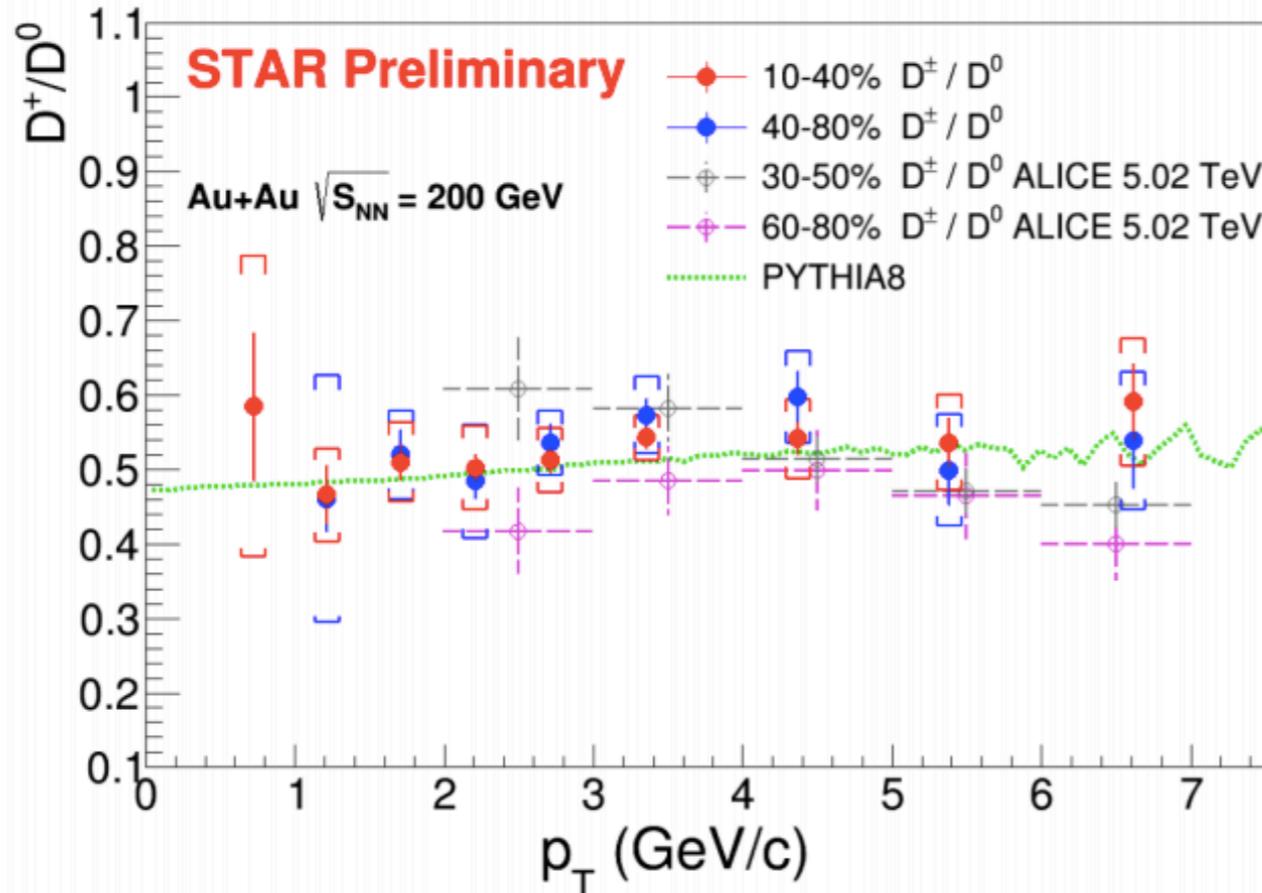


STAR, PRL 118 (2017) 212301

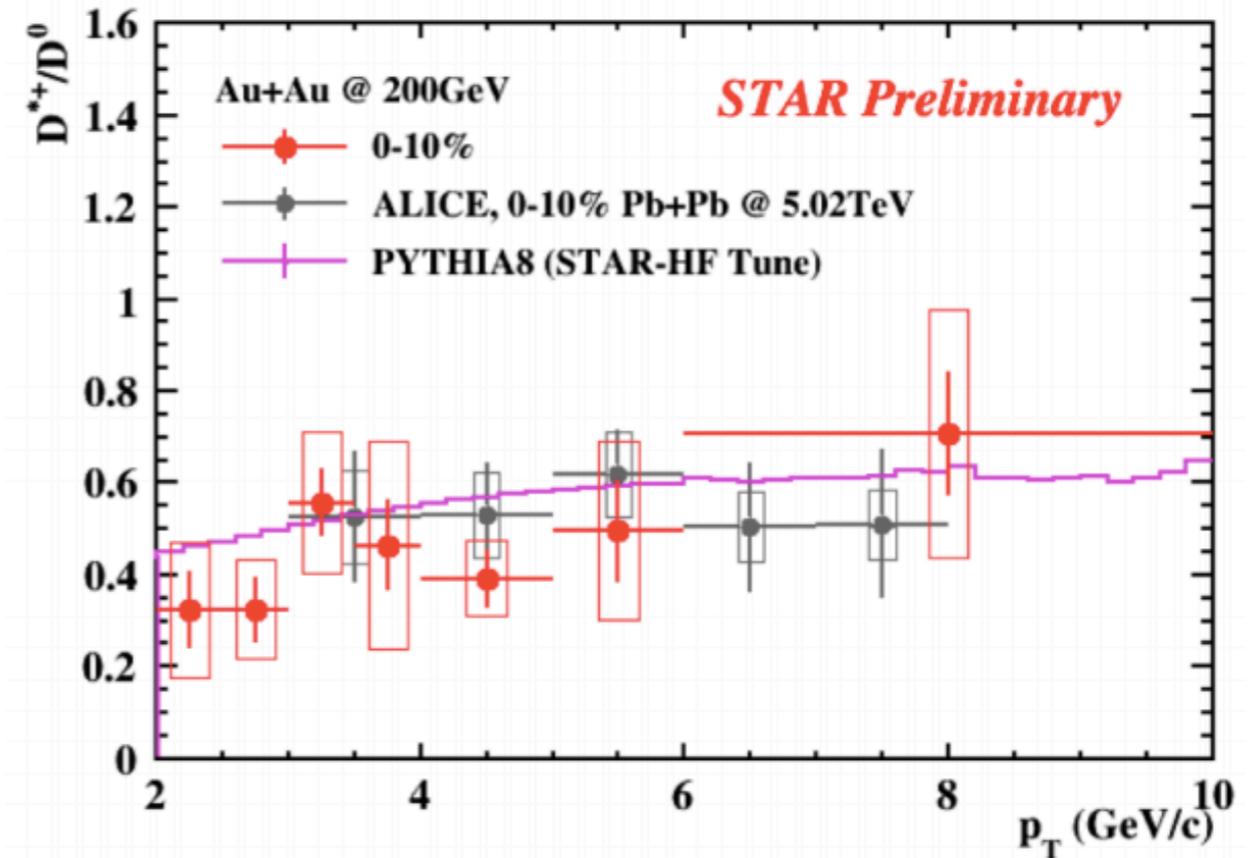
- Large  $D^0 v_2$  originated from charm quark diffusion in QGP
- 3D viscous hydro consistent with  $D^0 v_2$  data up to 4 GeV/c

# $D^+$ and $D^{*+}$ Production in Au+Au Collisions

$$D^+ \rightarrow K^- \pi^+ \pi^+$$

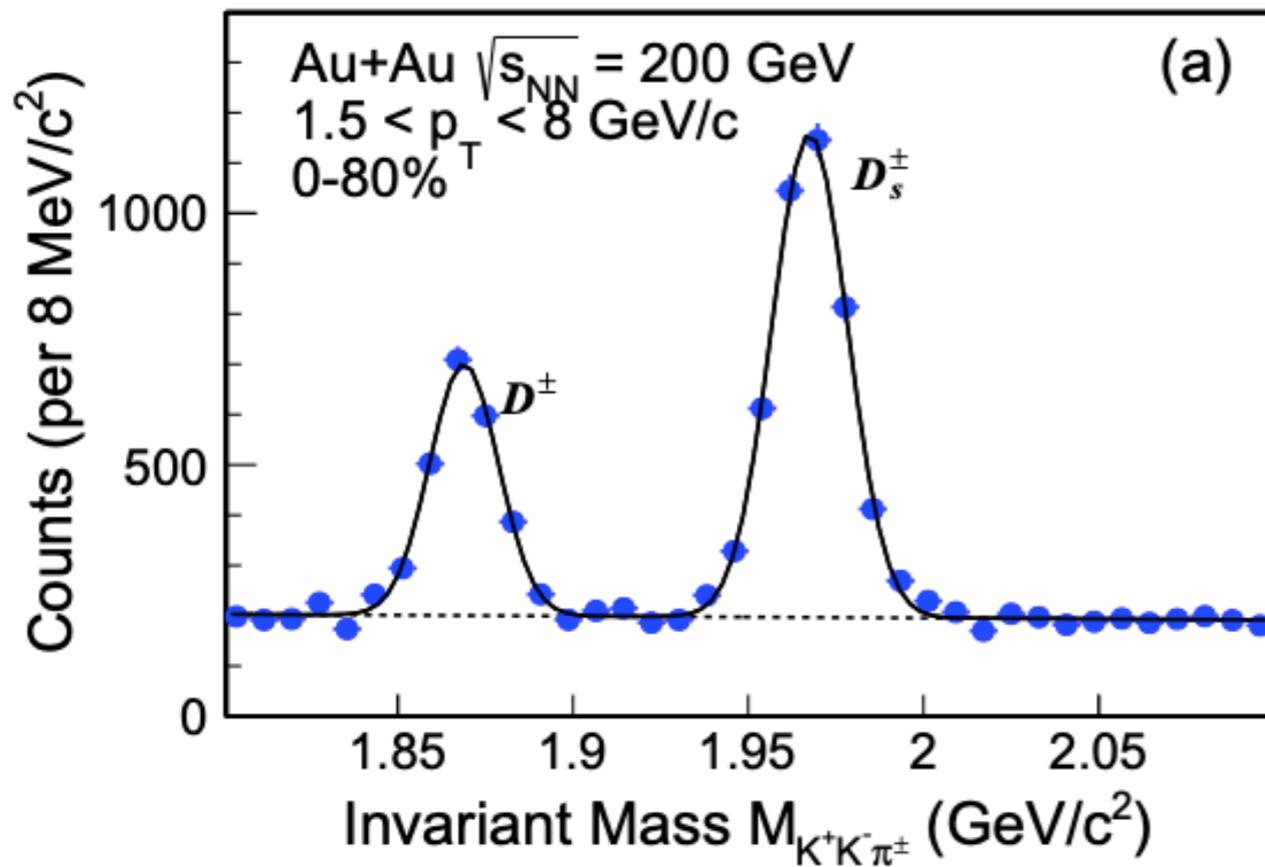


$$D^{*+} \rightarrow D^0 \pi^+ \rightarrow K^- \pi^+ \pi^+$$

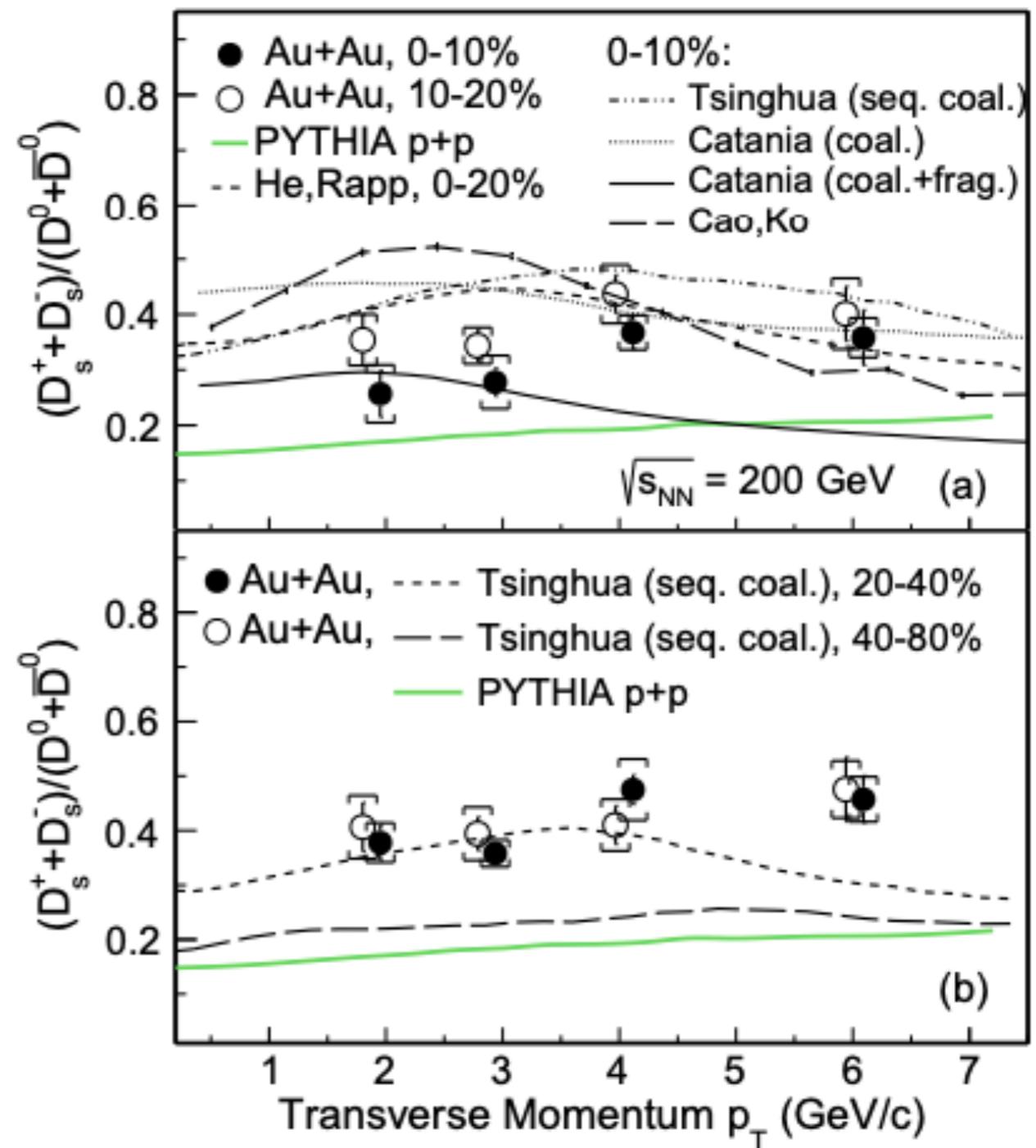


- $D^+ / D^0$ ,  $D^{*+} / D^0$  ratios consistent with PYTHIA model calculations
- No significant modification to charm-light meson production in A+A collisions

# $D_s^+ / D^0$ Enhancement in Au+Au Collisions



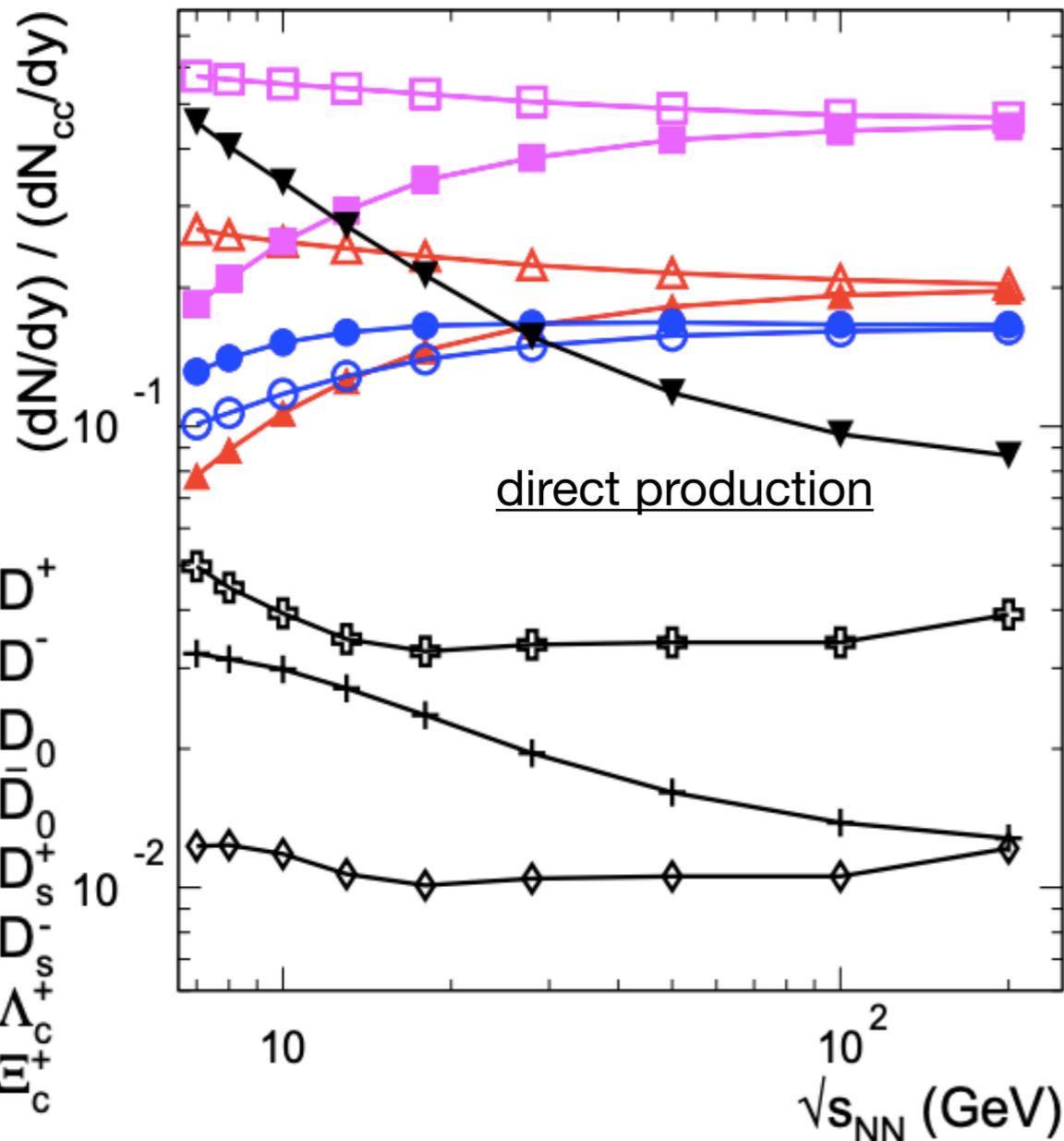
- $D_s^+ / D^0$  significantly higher than fragmentation baseline calculated from PYTHIA
- Models with coalescence hadronization + strangeness enhancement qualitatively reproduce the data



STAR, arXiv: 2101.11793

# Statistical Hadronization

$$n_i = \frac{d_i}{2\pi^2} m_i^2 T_H K_2\left(\frac{m_i}{T_H}\right)$$



Feeddown contribution to  $\Lambda_c$

$r_i$	$D^+/D^0$	$D^{*+}/D^0$	$D_s^+/D^0$	$\Lambda_c^+/D^0$
PDG(170)	0.4391	0.4315	0.2736	0.2851
PDG(160)	0.4450	0.4229	0.2624	0.2404
RQM(170)	0.4391	0.4315	0.2726	0.5696
RQM(160)	0.4450	0.4229	0.2624	0.4409

*M. He & R. Rapp, PLB 795 (2019) 117*

SHM:  $\Lambda_c/D^0 \sim 0.25-0.3$  (PDG states)

However, ratio can be doubled when including charm baryon resonances

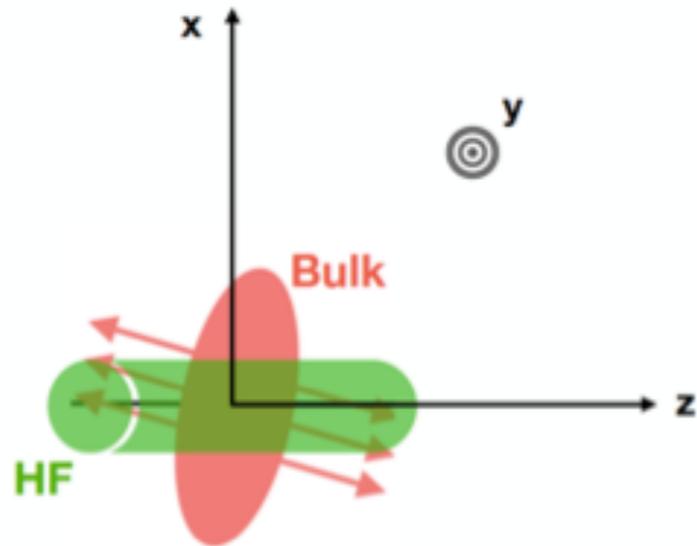
- existence of unmeasured charm baryon resonances supported by Lattice QCD calculation

*A. Bazavov et al, PLB 737 (2014) 210*

*A. Andronic et al., arXiv:0710.1851*

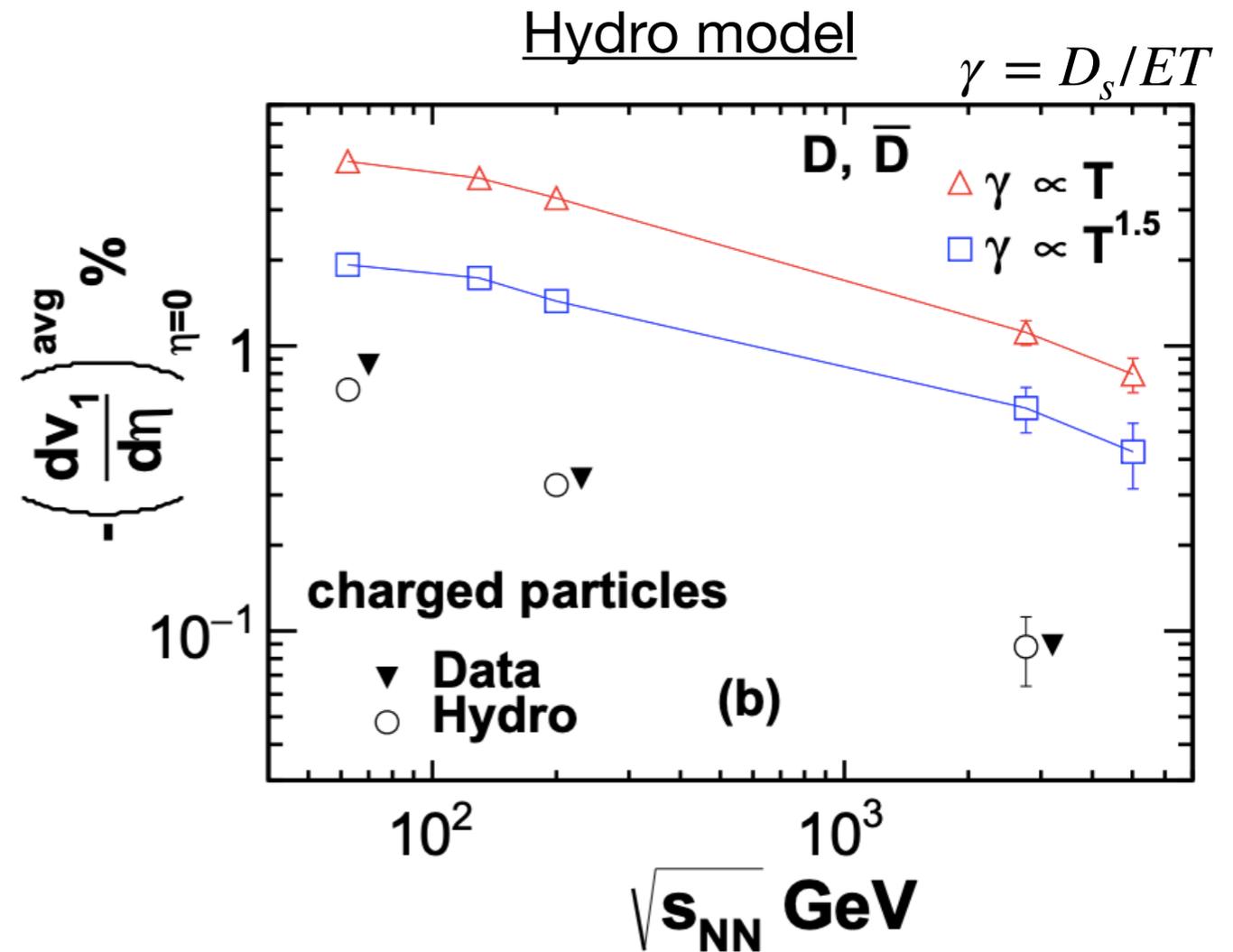
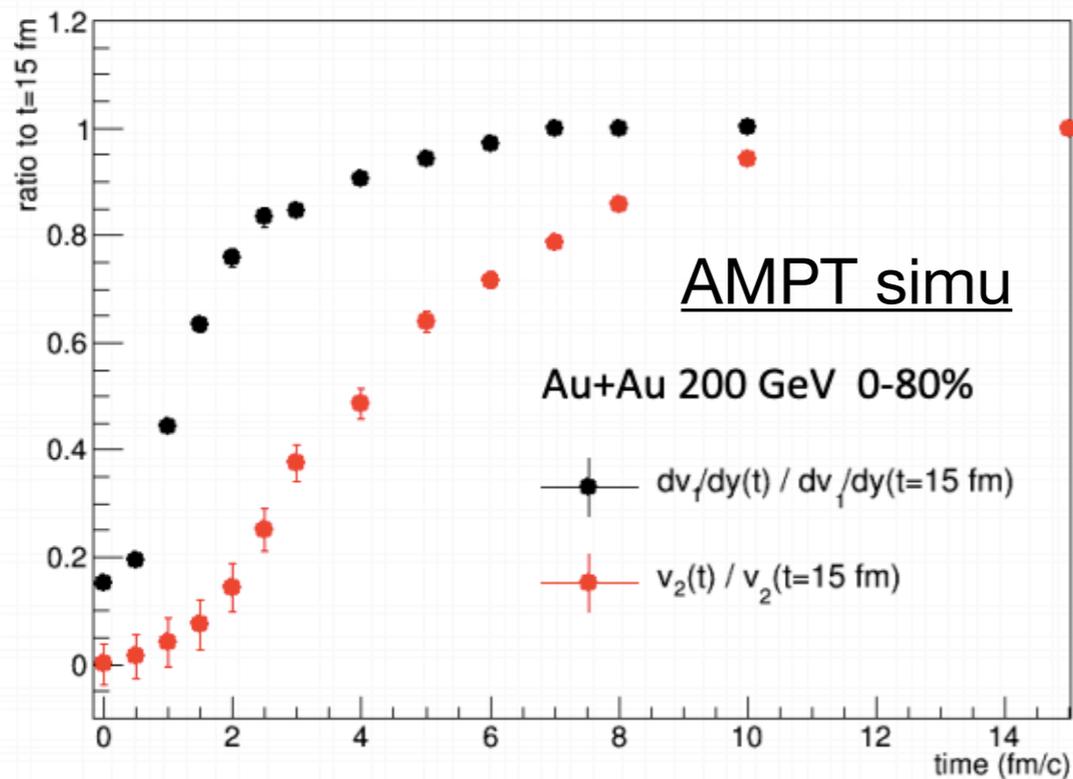
# $D^0 v_1$ - New Insight to sQGP Properties

S. Chatterjee & P. Bozek, PRL 120 (2018) 192301



$D$ -meson  $v_1$  sensitive to

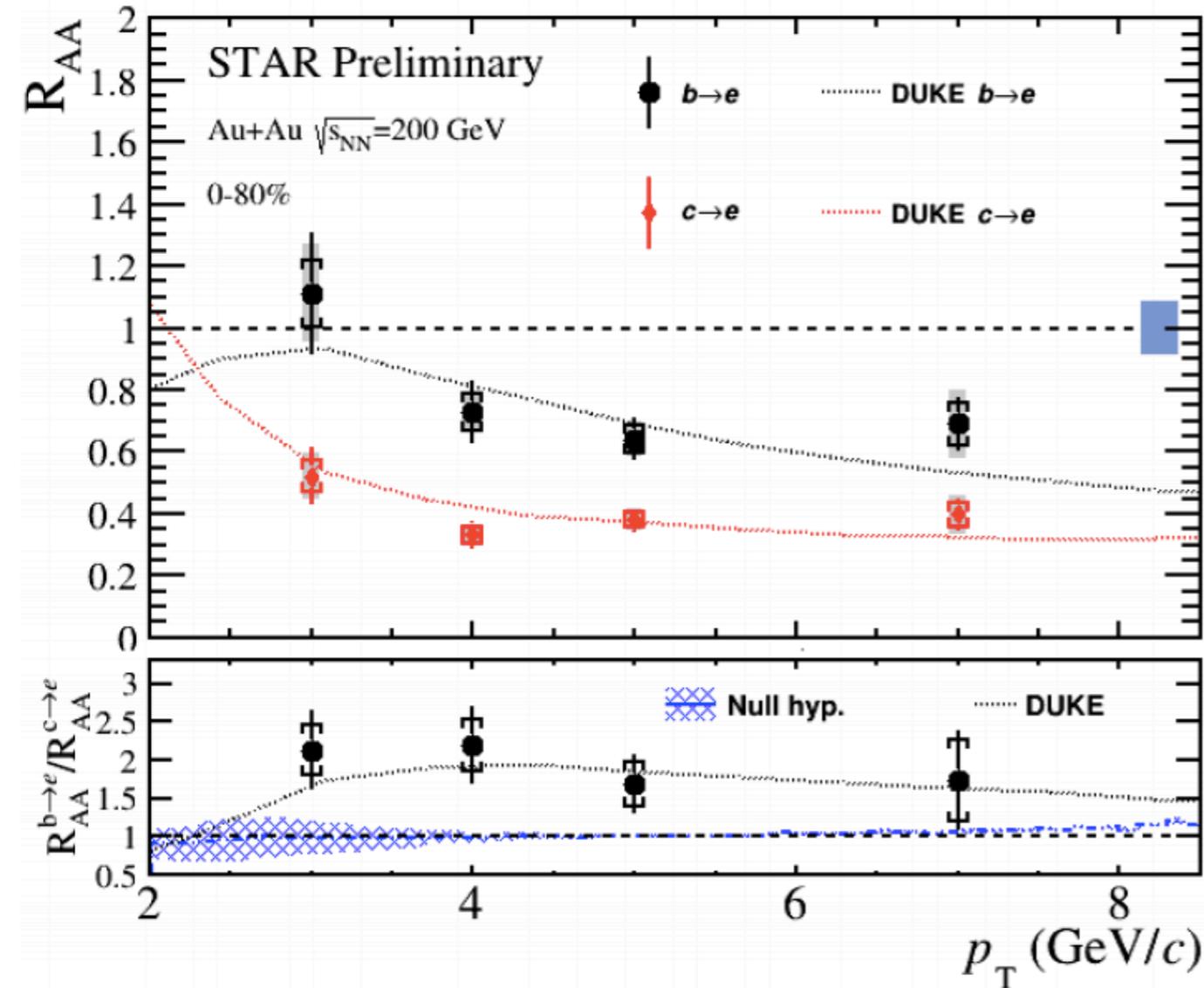
- geometry tilt of QGP source
- HQ diffusion coefficient  $D_s(T)$



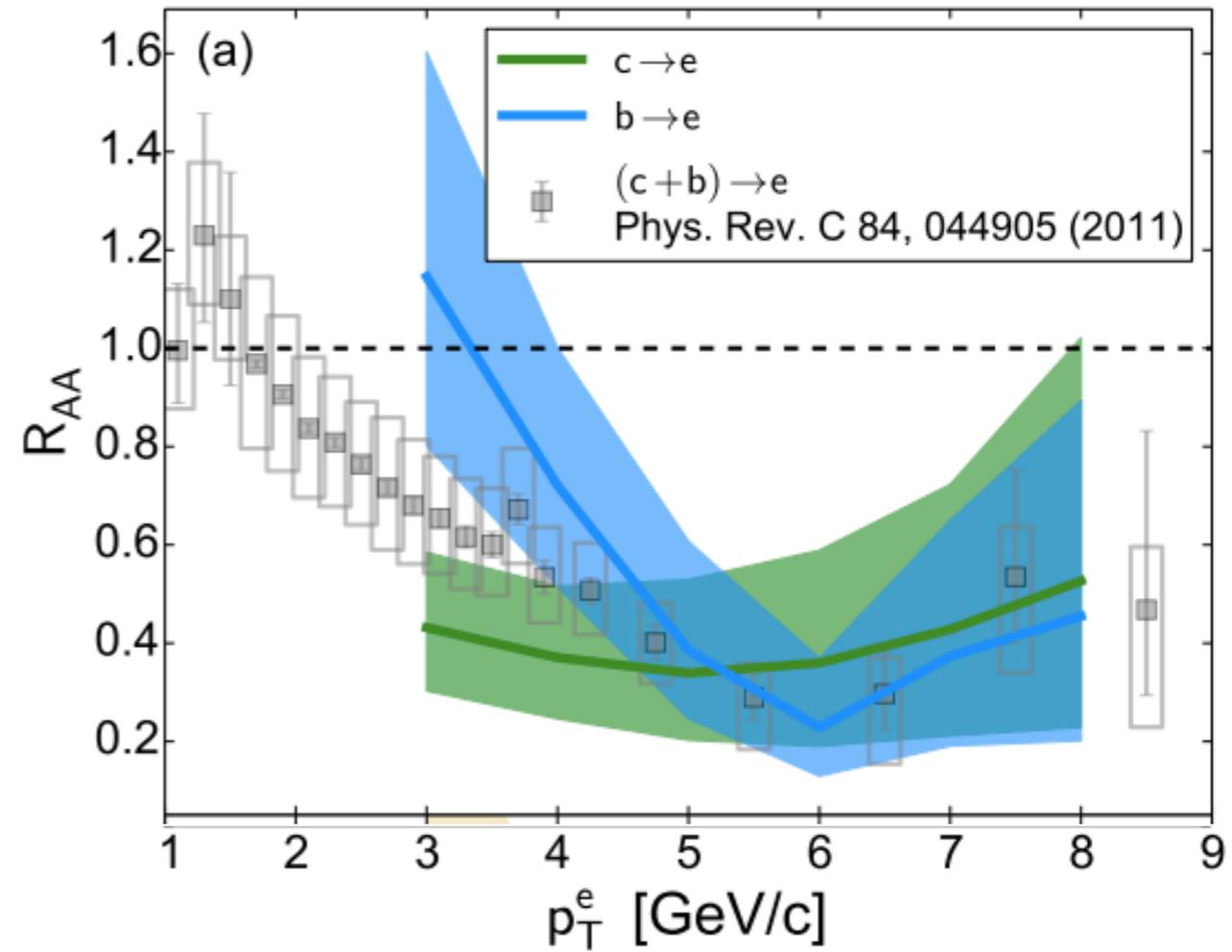
X. Ju, DNP 2019

S. Chatterjee & P. Bozek, PLB 798 (2019) 134955

# Bottom Suppression at Low $p_T$



STAR QM19



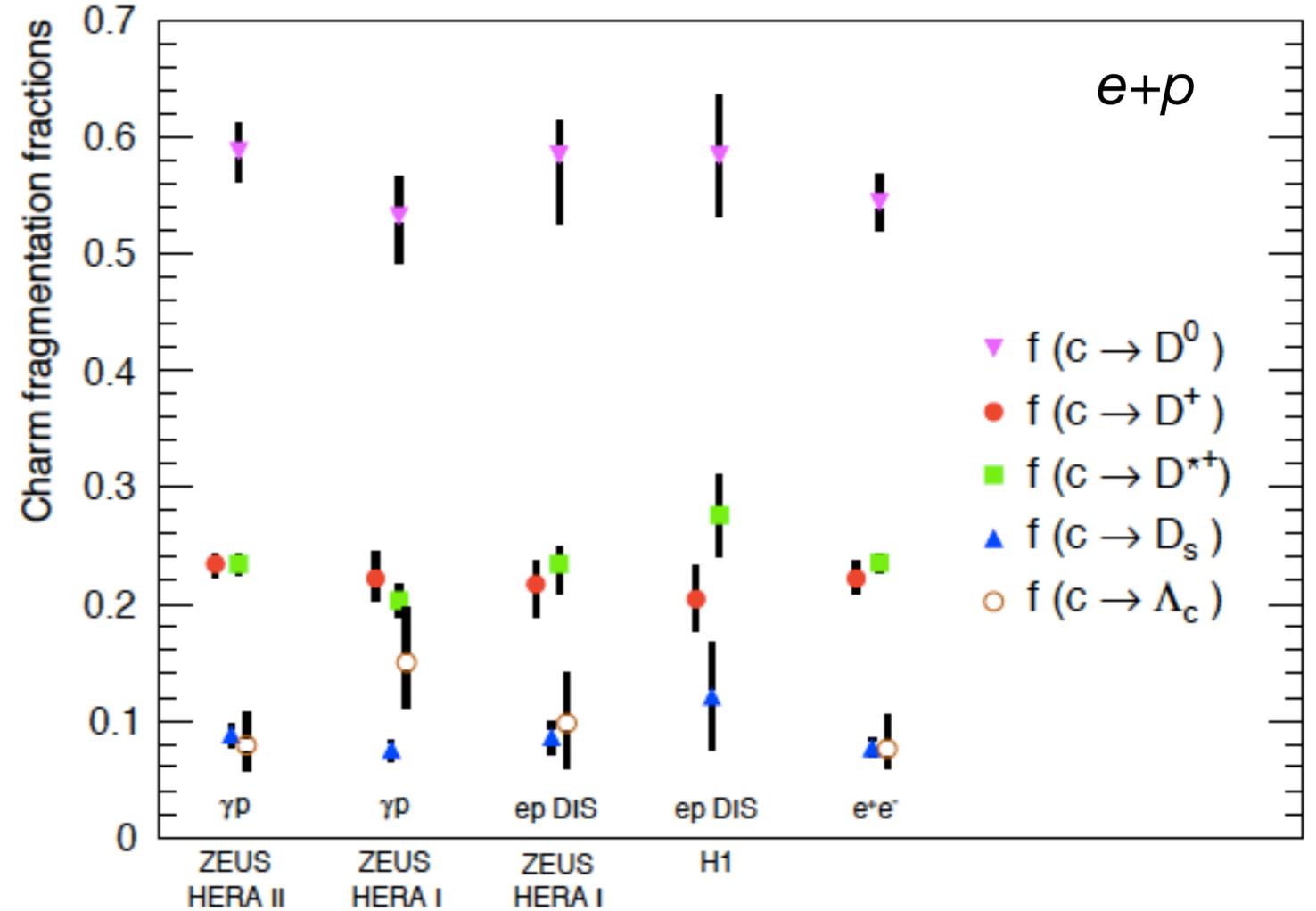
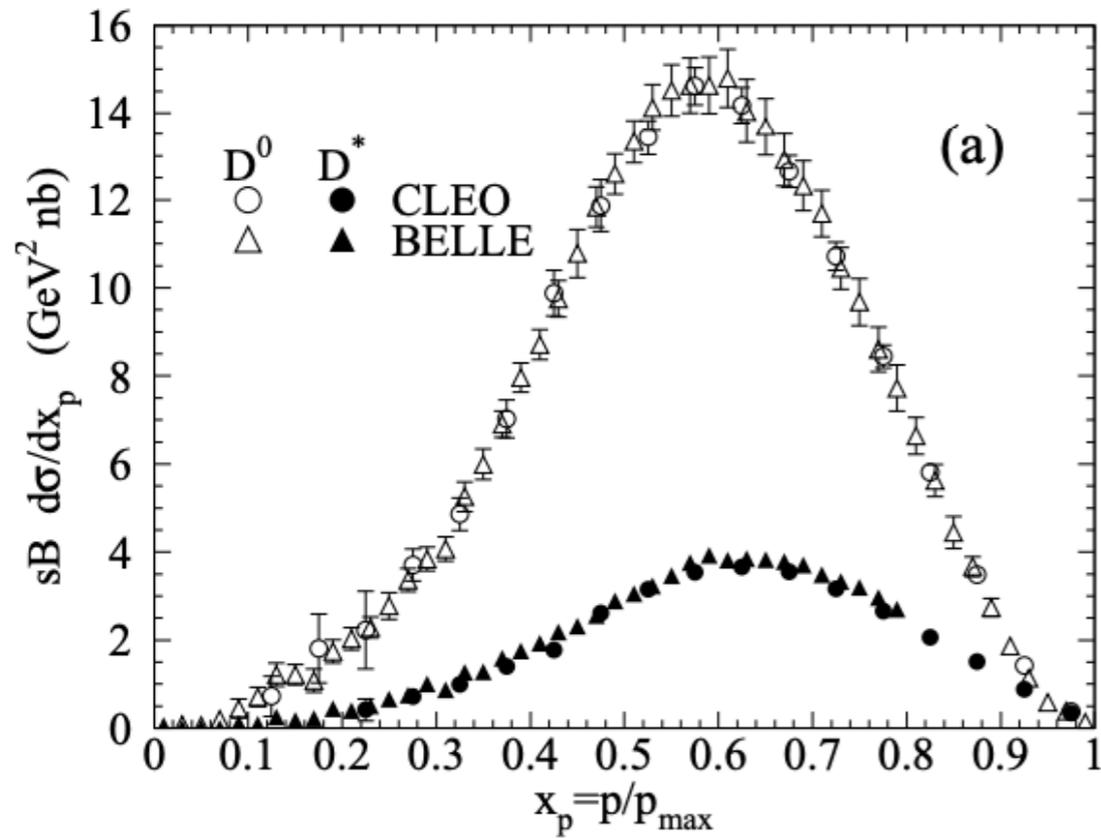
PHENIX, PRC 93 (2016) 034904

- RHIC:  $R_{AA}(e_B) < R_{AA}(e_D)$  at 3–8 GeV/c ( $3\sigma$ )

**Evidence of mass hierarchy of parton energy loss**

# Charm Hadrochemistry

fragmentation measured in  $ee$



PDG 2018

ZEUS, JHEP 1309 (2013) 058

$$2\sigma_{c\bar{c}} = D^0 + D^+ + D_s^+ + \Lambda_c^+ + \text{c.c.}$$

60.8% 24.0% 8.0% 6.2%

Lisovyi, et. al. EPJ C 76 (2016) 397

# Total Charm Production Cross Section

Charm Hadron		Cross Section $d\sigma/dy$ ( $\mu\text{b}$ )
Au+Au 200 GeV (10-40%)	$D^0$	$41 \pm 1 \pm 5$
	$D^+$	$18 \pm 1 \pm 3$
	$D_s^+$	$15 \pm 1 \pm 5$
	$\Lambda_c^+$	$78 \pm 13 \pm 28^*$
	<b>Total</b>	<b><math>152 \pm 13 \pm 29</math></b>
p+p 200 GeV	<b>Total</b>	<b><math>130 \pm 30 \pm 26</math></b>

\* extracted from 10-80%

- Total charm cross section follows  $\sim N_{\text{bin}}$  scaling from p+p to Au+Au
- However, charm hadrochemistry changes considerably!

# Theory Uncertainties

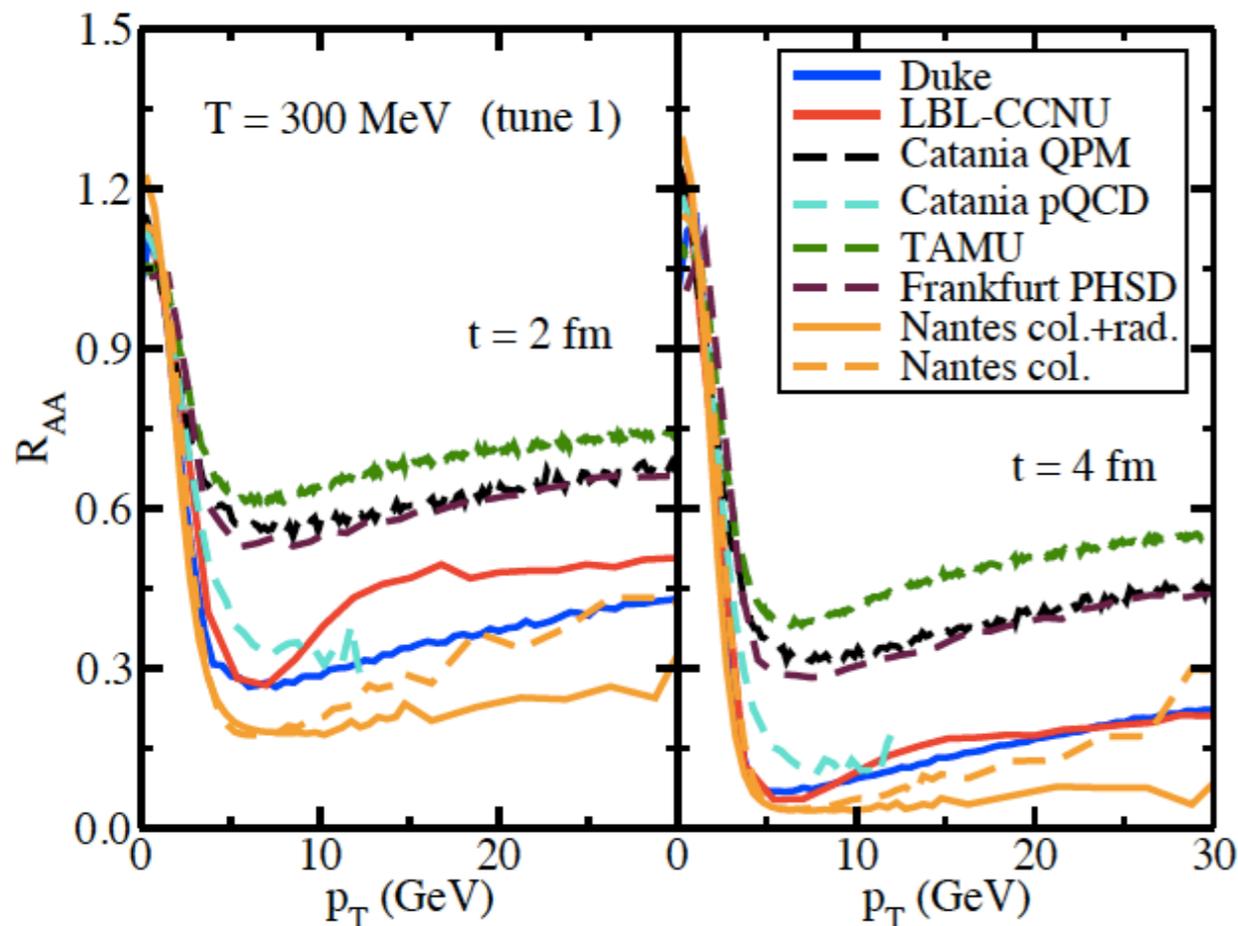
Rapid developments among theorists to resolve/understand trivial/non-trivial differences between different models

EMMI Rapid Reaction Task Force  
Jet-HQ Working Group

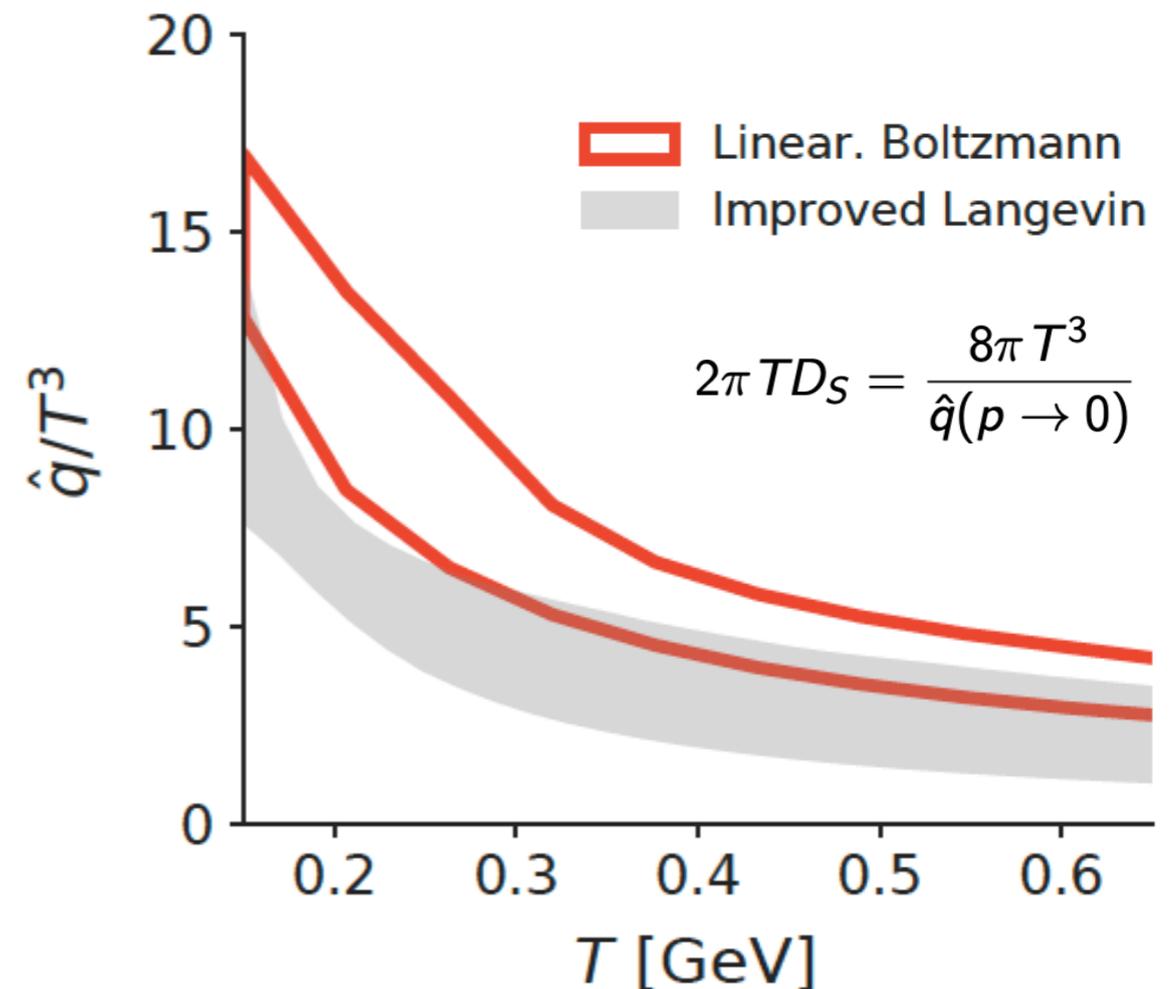
- R. Rapp et al., NPA 979 (2018) 21

- S.S. Cao et al., PRC 99 (2019) 054907

$R_{AA}$  of charm quark in a static medium



$p = 10$  [GeV]



all models in their full calculations  
reproduce experimental  $R_{AA}$

S.S. Cao et al., PRC 99 (2019) 054907

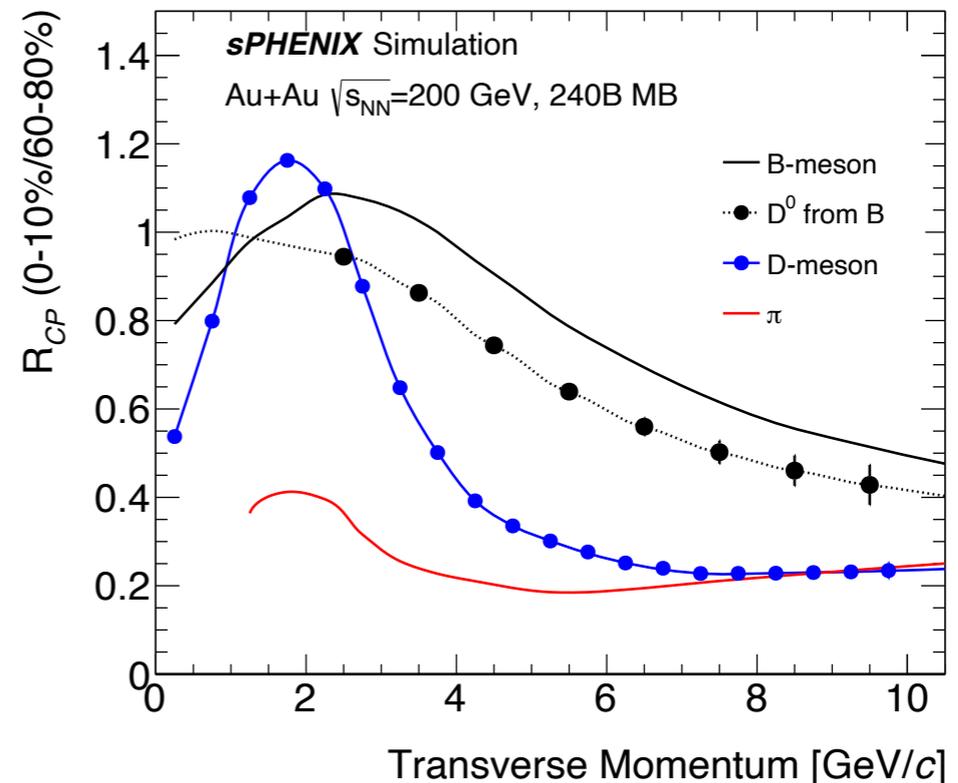
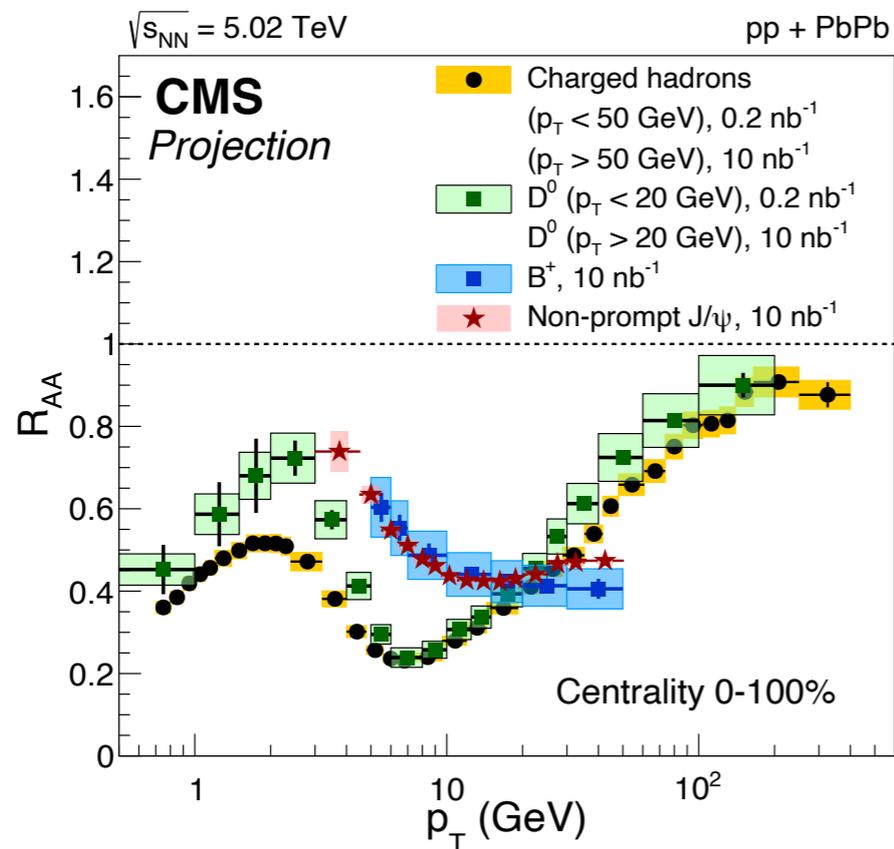
W.Y. Ke et al., PRC 98 (2018) 064901



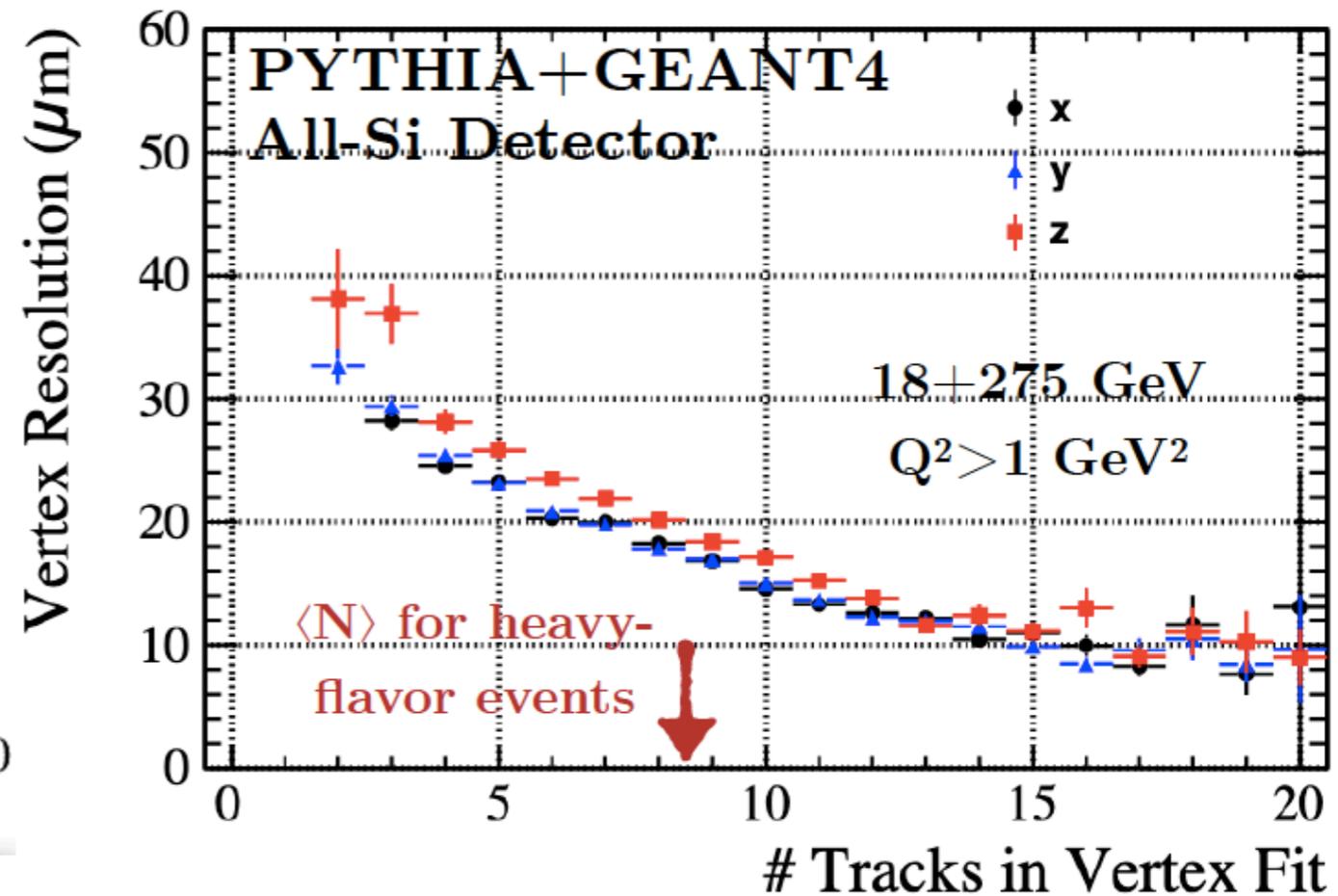
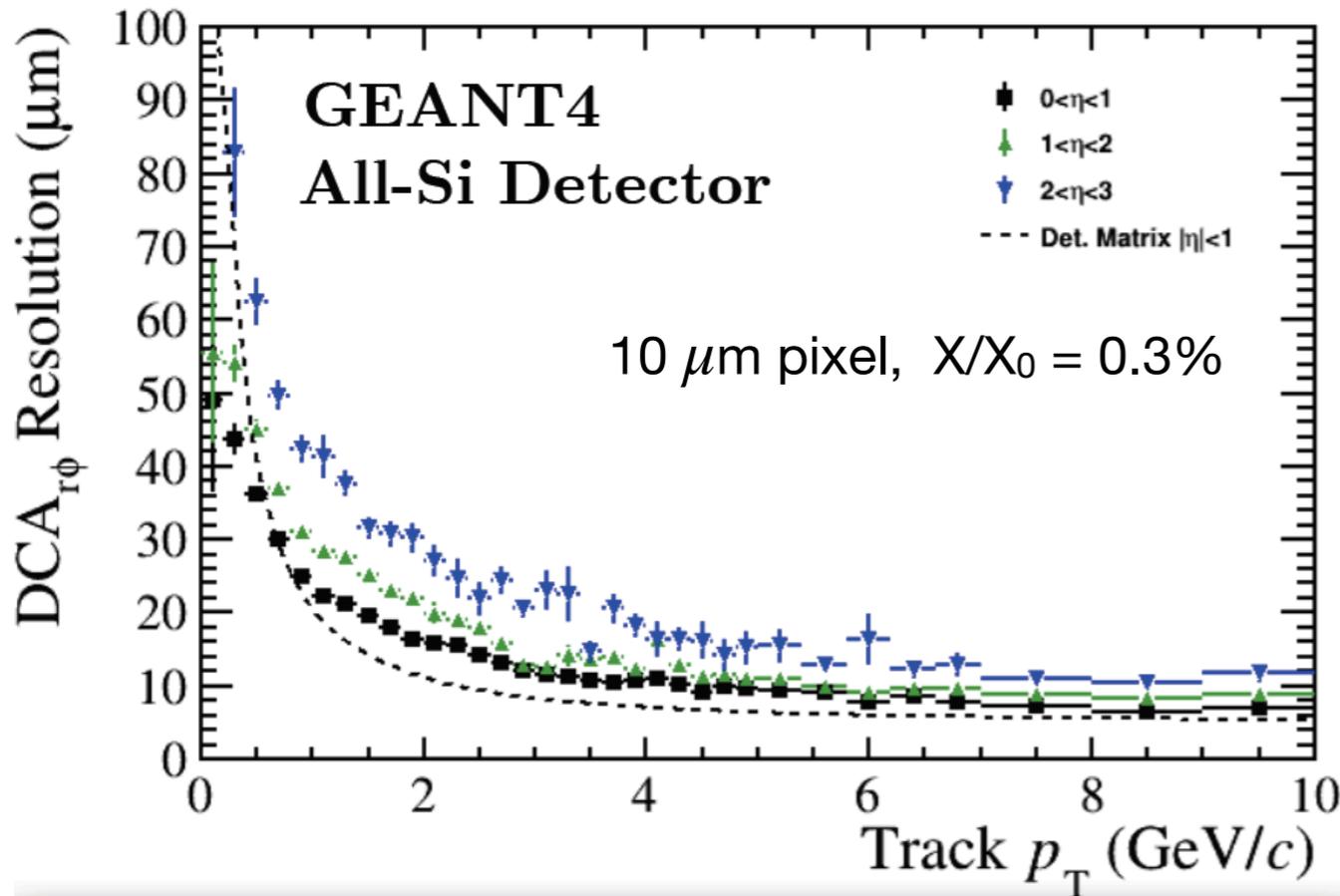
# Heavy Flavor Program at RHIC

	2014	2015	2016	2017	2018	2019	2020	2021	2022+
RHIC	HF Phase-I			pp	CME	BES-II			HF Phase-II
LHC	LS1	Run-2				LS2		Run-3	

Next generation MAPS pixel detectors: ITS2@ALICE, MVTX@sPHENIX  
*Precision open bottom*  
*Heavy flavor baryons and correlations*



# Pointing & Vertex Resolution



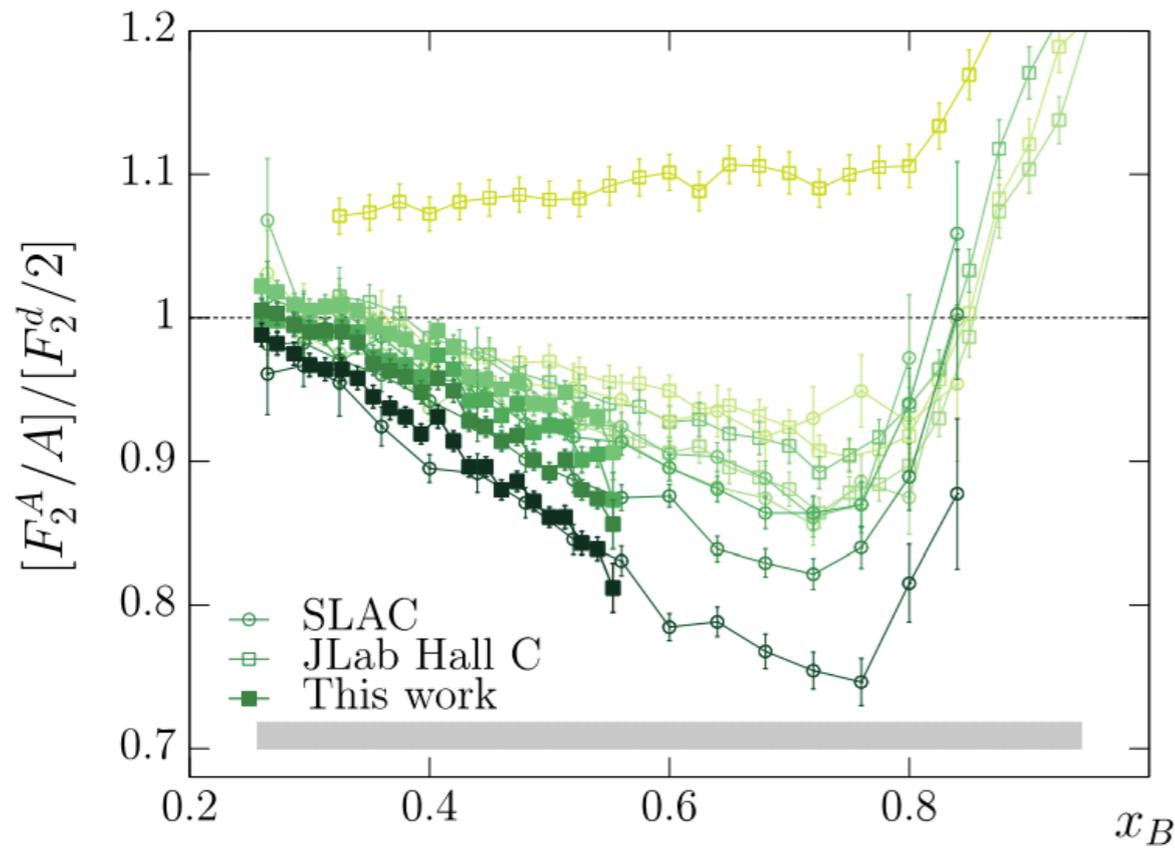
All-Si tracker pointing resolution:  $\sigma_{r\phi} \sim 25 \mu\text{m}$  @ 1 GeV/c ( $|\eta| < 1$ )

- slight/anticipated degradation at higher  $\eta$

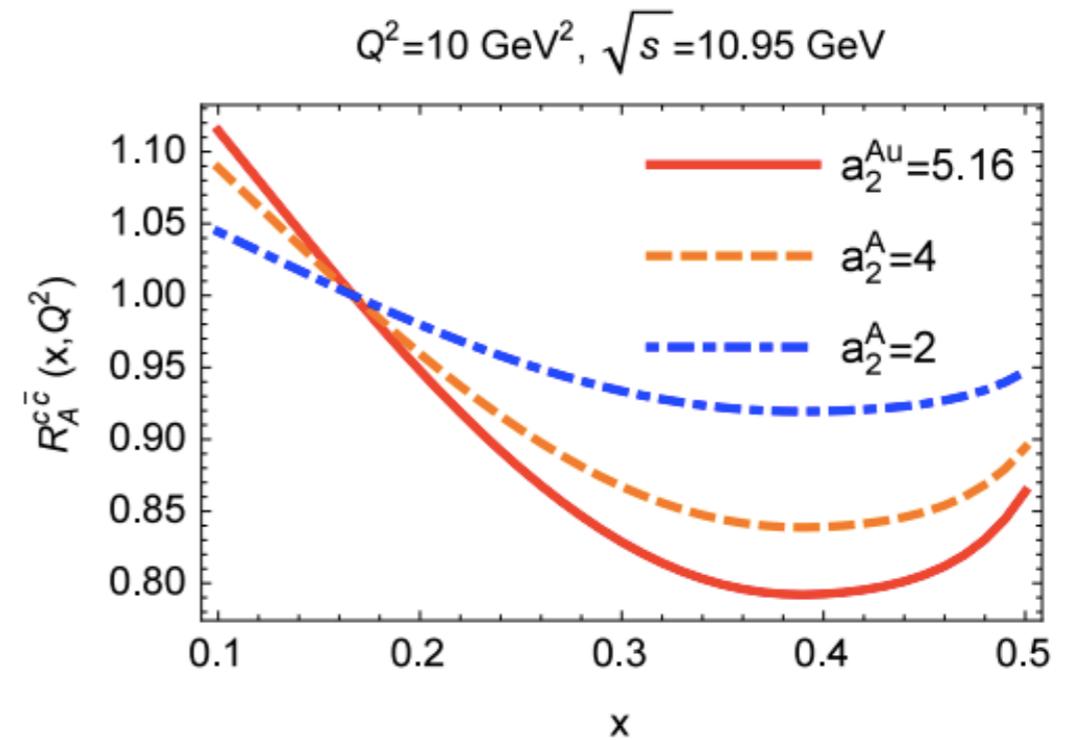
All-Si tracker vertexing resolution:  $\sigma_{XYZ} < 20 \mu\text{m}$  for HF events

- Satisfying experimental requirements for reconstructing charm/  
bottom decays ( $c\tau \sim 60\text{-}500 \mu\text{m}$ )

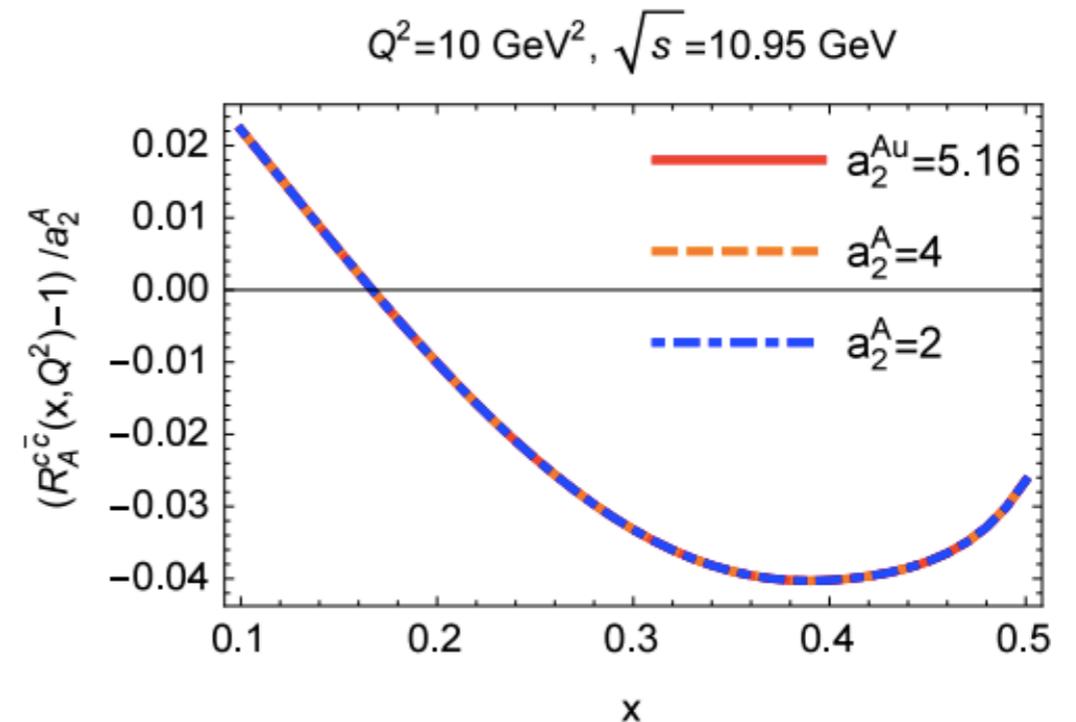
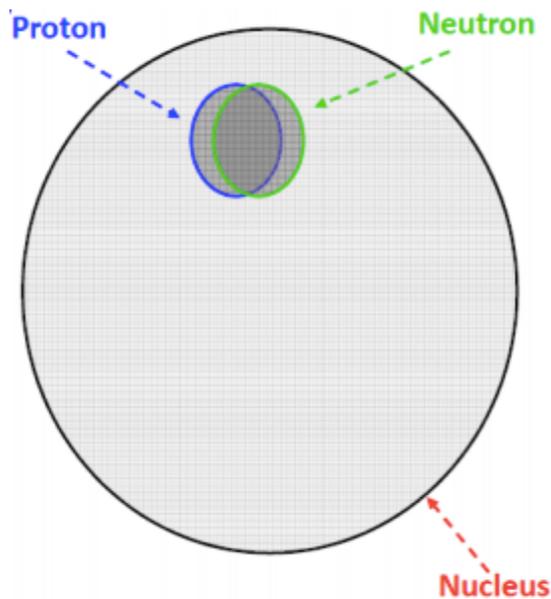
# EMC $\leftrightarrow$ Short-Range Correlation



charm  $\rightarrow$  gluon probe to SRC



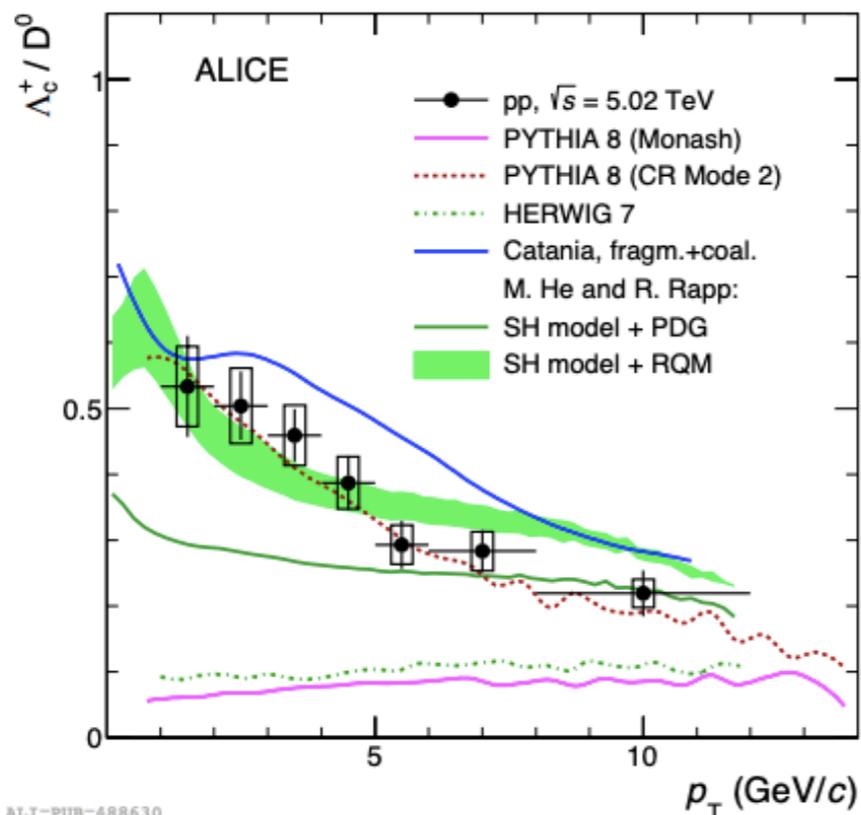
EMC effect at large  $x \leftrightarrow$  SRC-np



*J. Xu and F. Yuan, PLB 801 (2019) 135187*

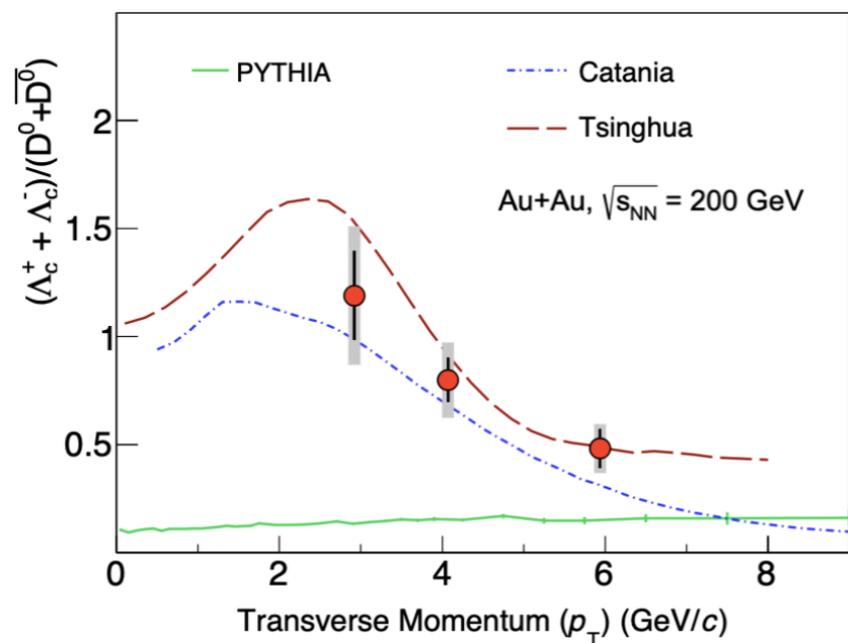
# Hadronization and CNM

## Charm hadrochemistry

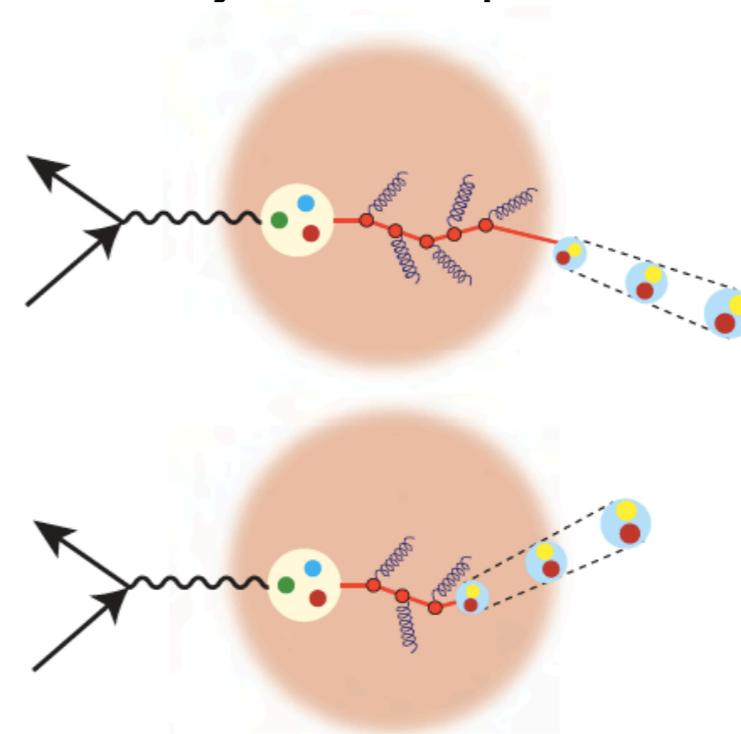


ALI-PUB-488630

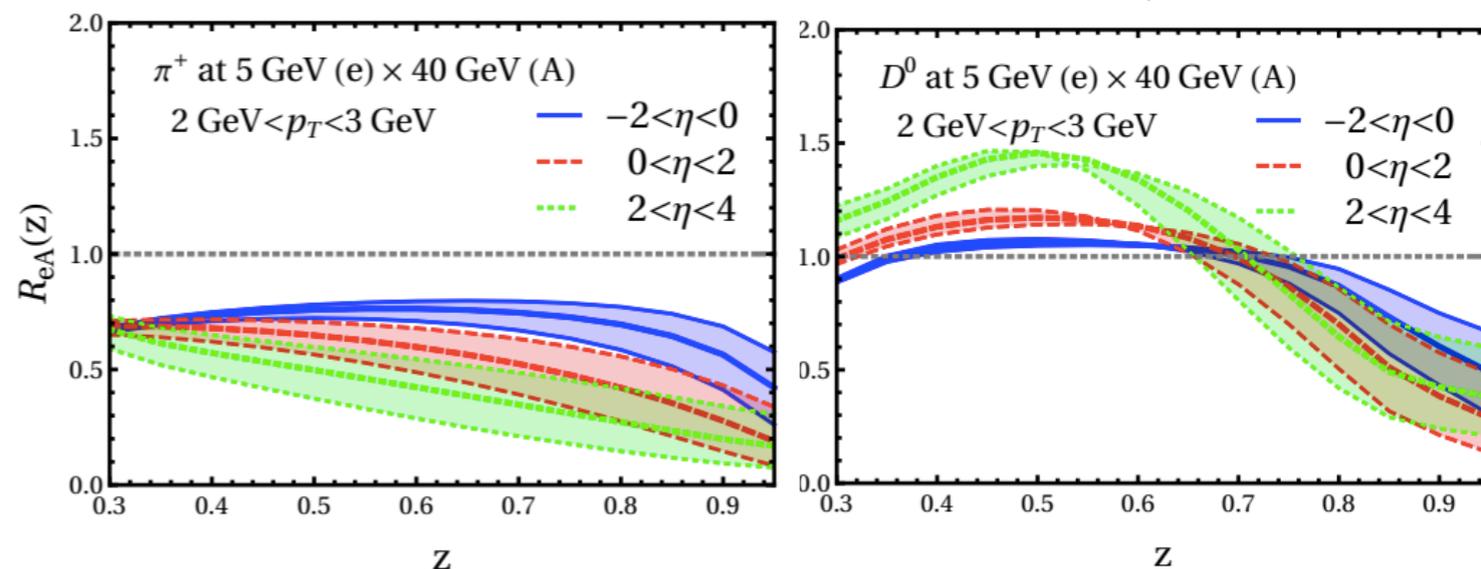
STAR, PRL 124 (2020) 172301



## Cold Nuclear Matter Effect on light/heavy hadron production



H. Liu et. al., 2007.10994

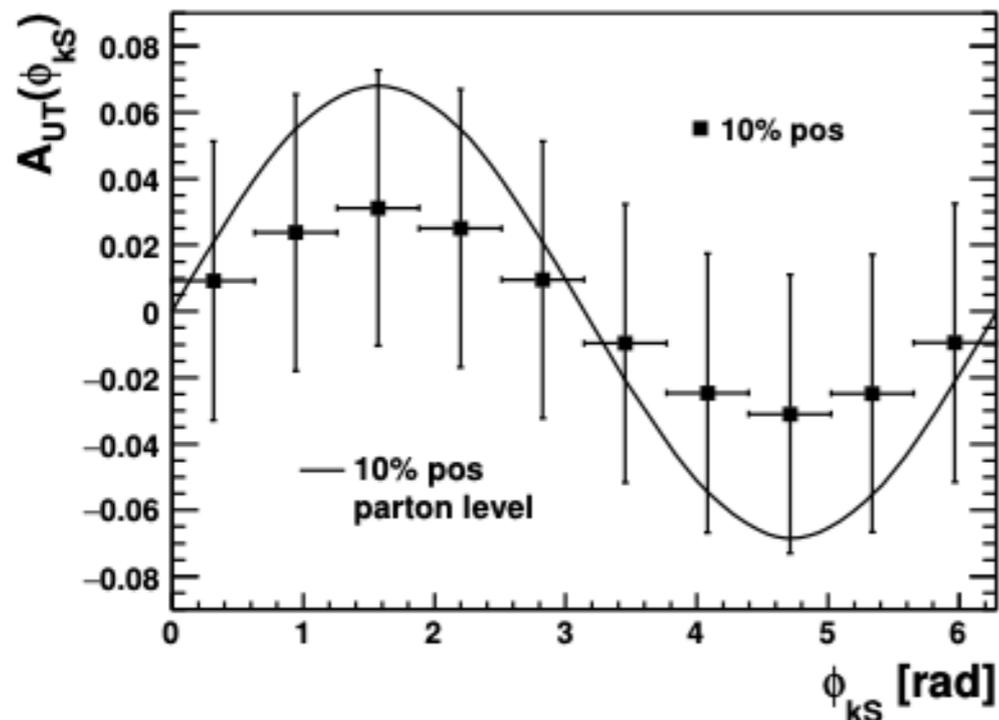


# $D\bar{D}$ Pair - Probe Gluon TMDs

Charm hadron pair in transverse polarized exp.  
- gluon Sivers functions

Charm hadron pair in unpolarized exp.  
- linearly polarized Boer-Mulders function

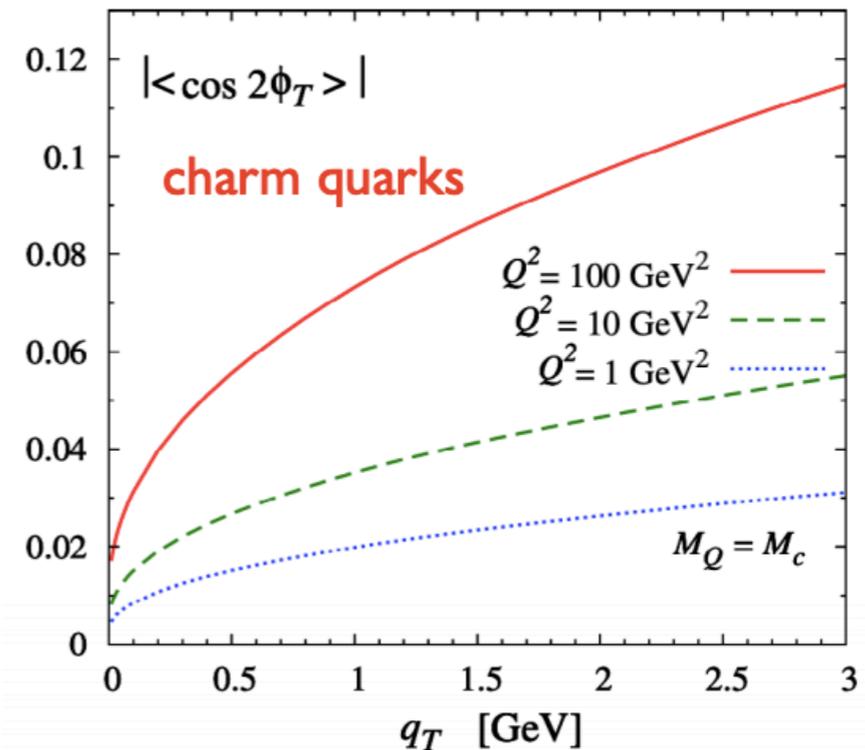
$$A_{UT}(\phi_{k_S}, k_T) = \frac{d\sigma^\uparrow(\phi_{k_S}, k_T) - d\sigma^\downarrow(\phi_{k_S}, k_T)}{d\sigma^\uparrow(\phi_{k_S}, k_T) + d\sigma^\downarrow(\phi_{k_S}, k_T)} \propto \frac{\Delta^N f_{g/p^\uparrow}(x, k_\perp)}{2f_{g/p}(x, k_\perp)},$$



L. Zheng et. al., PRD 98 (2018) 034011

		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1 = \odot$		$h_1^\perp = \odot - \ominus$ Boer-Mulders
	L		$g_{1L} = \odot \rightarrow - \ominus \rightarrow$ Helicity	$h_{1L}^\perp = \odot \rightarrow - \ominus \rightarrow$
	T	$f_{1T}^\perp = \odot \uparrow - \ominus \downarrow$ Sivers	$g_{1T}^\perp = \odot \rightarrow - \ominus \rightarrow$	$h_1 = \odot \uparrow - \ominus \downarrow$ Transversity $h_{1T}^\perp = \odot \rightarrow - \ominus \rightarrow$

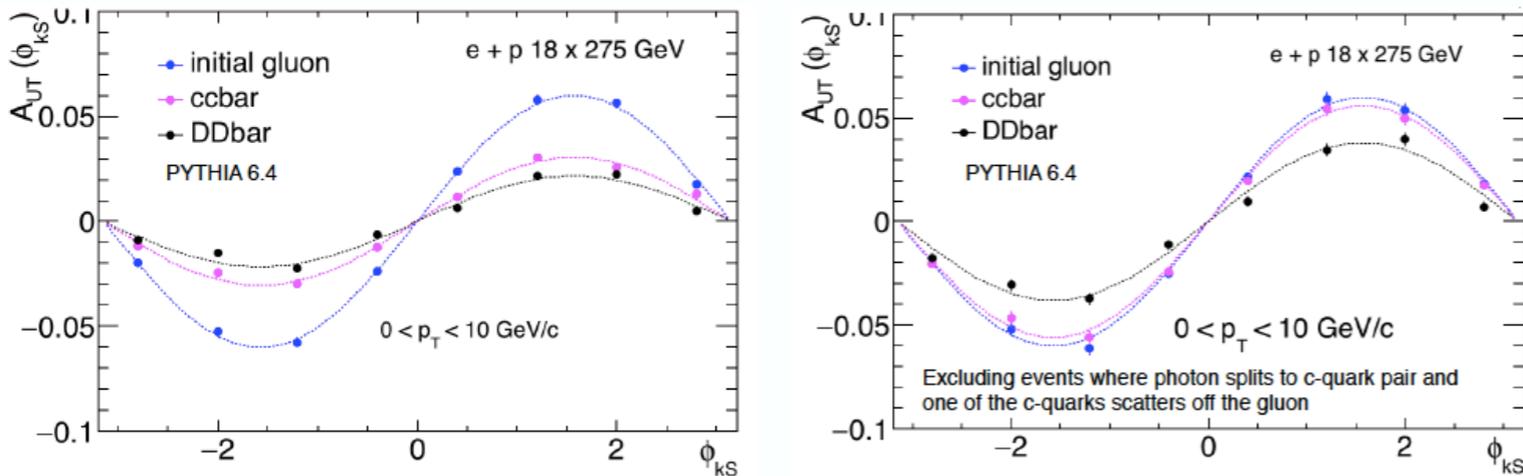
$$|\langle \cos 2\phi_T \rangle| = \frac{q_T^2}{2M^2} \frac{|h_1^{\perp g}(x, p_T^2)|}{f_1^g(x, p_T^2)} \frac{|\mathcal{B}_0^{eg \rightarrow eQ\bar{Q}}|}{\mathcal{A}_0^{eg \rightarrow eQ\bar{Q}}}$$



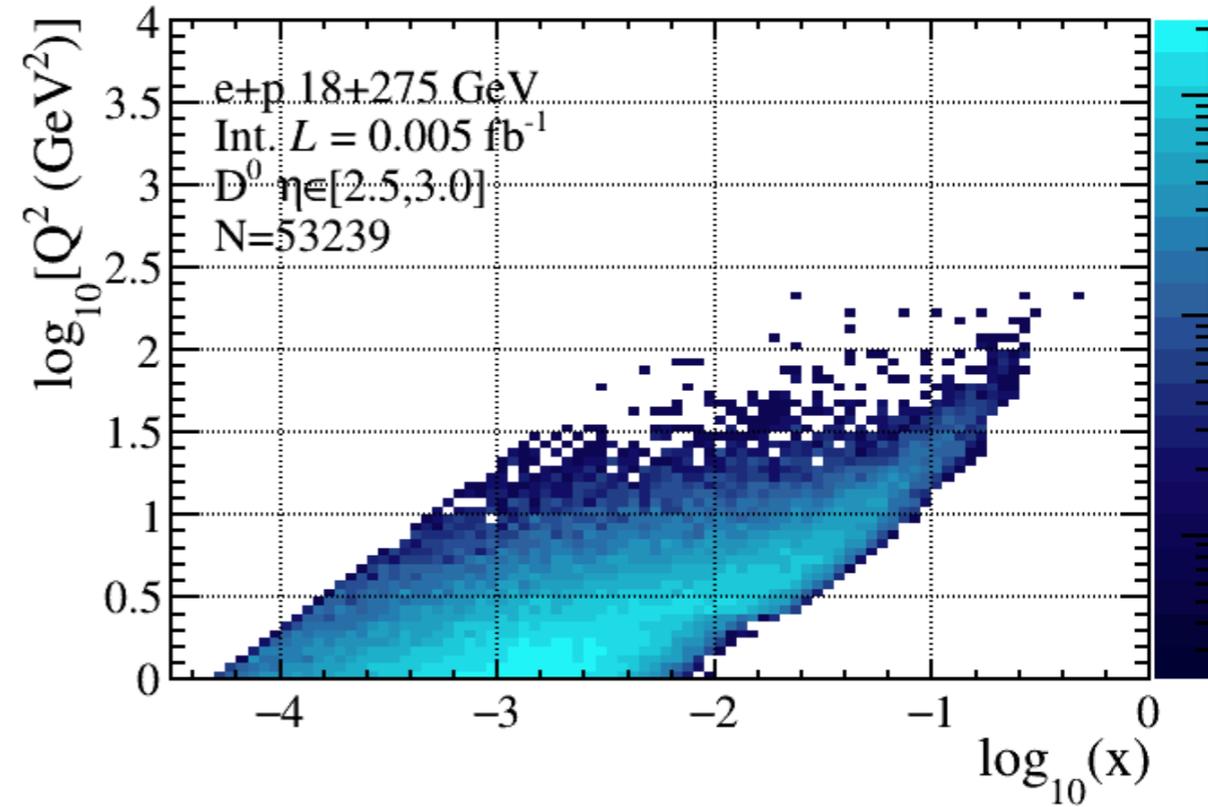
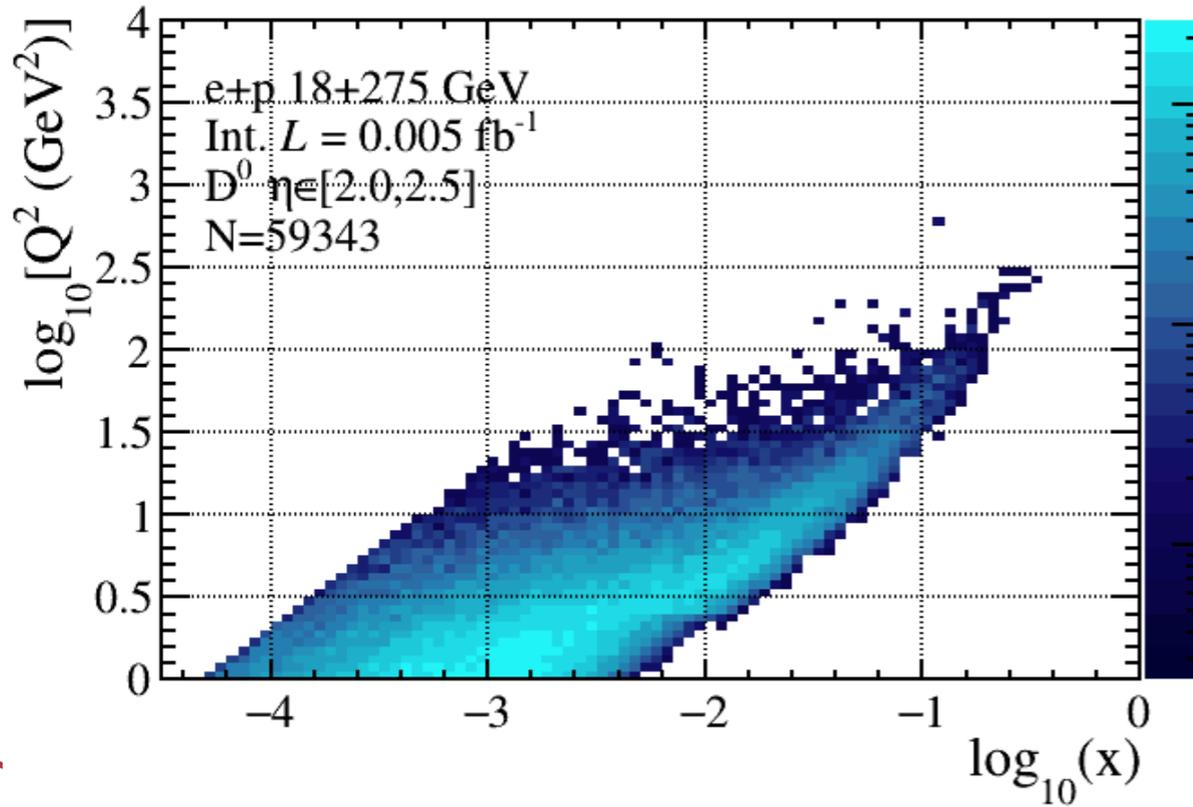
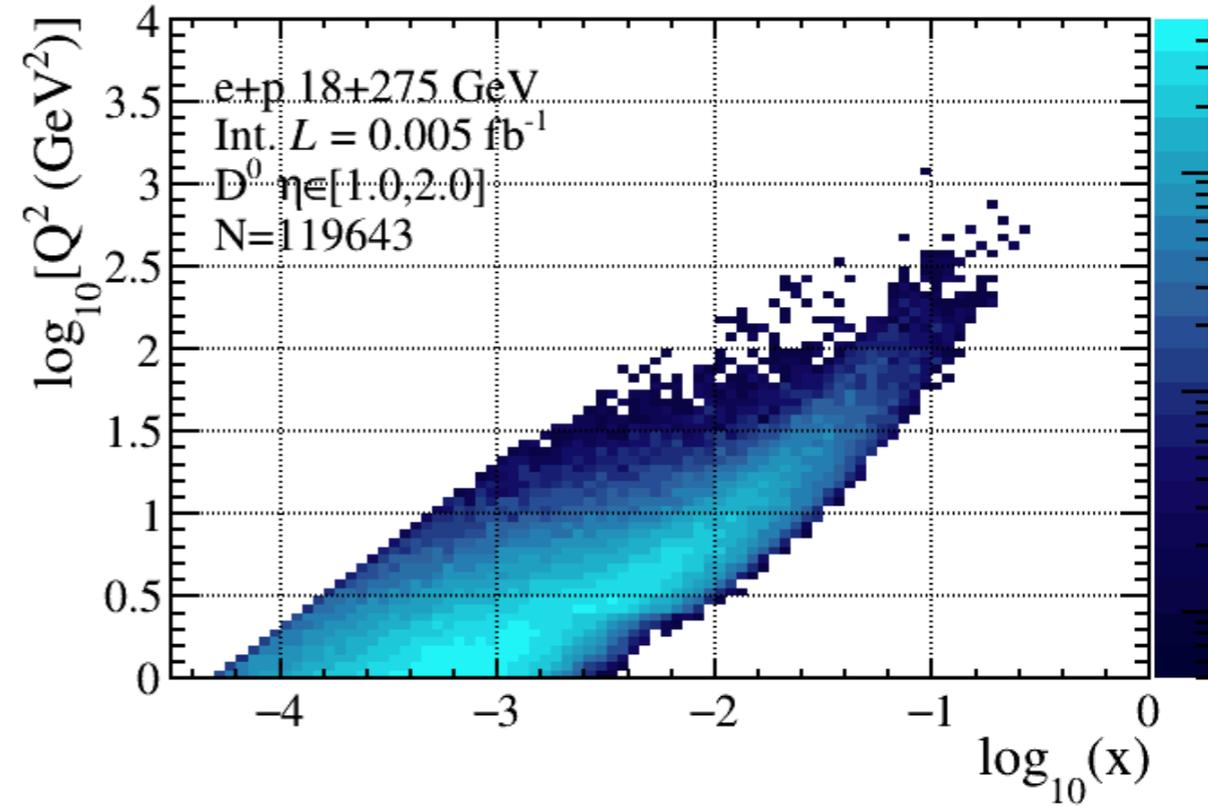
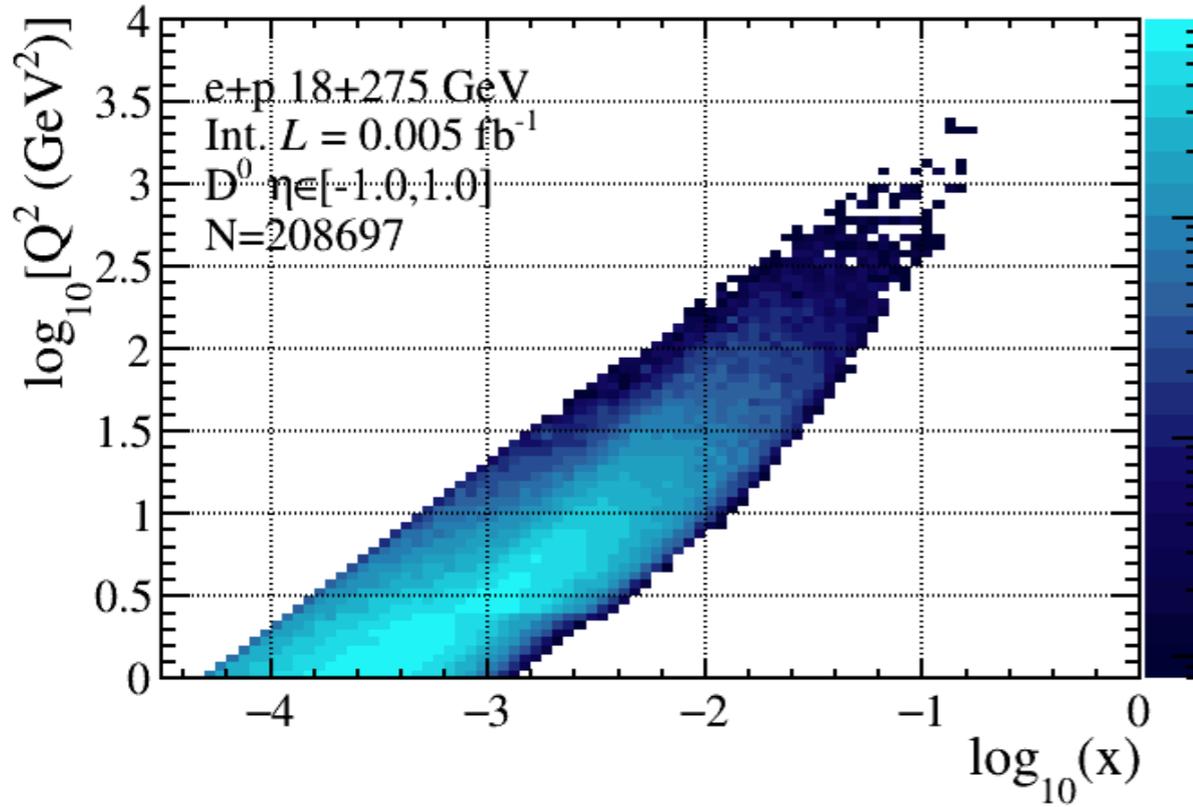
D. Boer et. al., JHEP 08 (2016) 001

# Projection on Gluon Sivers Function

## PYTHIA6 Simulation

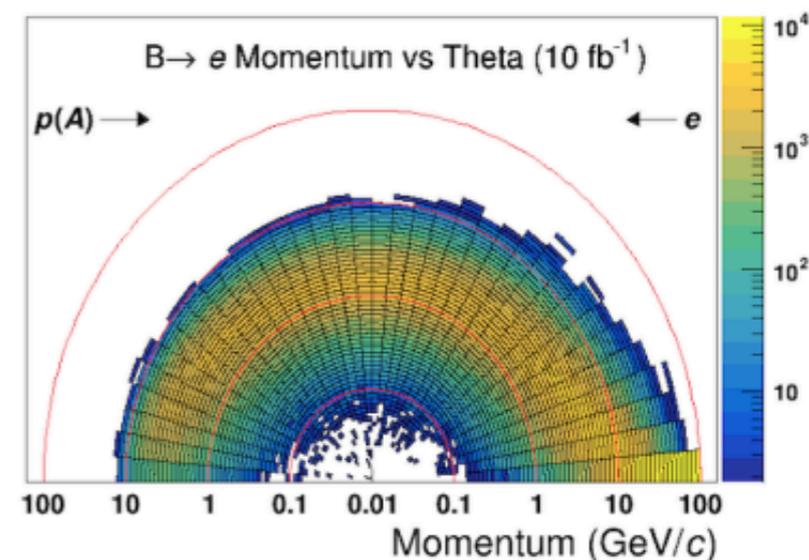
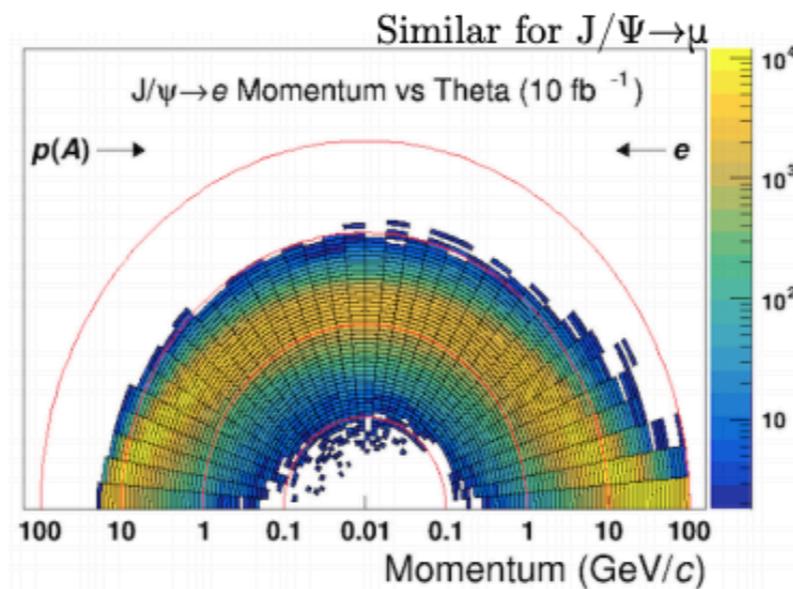
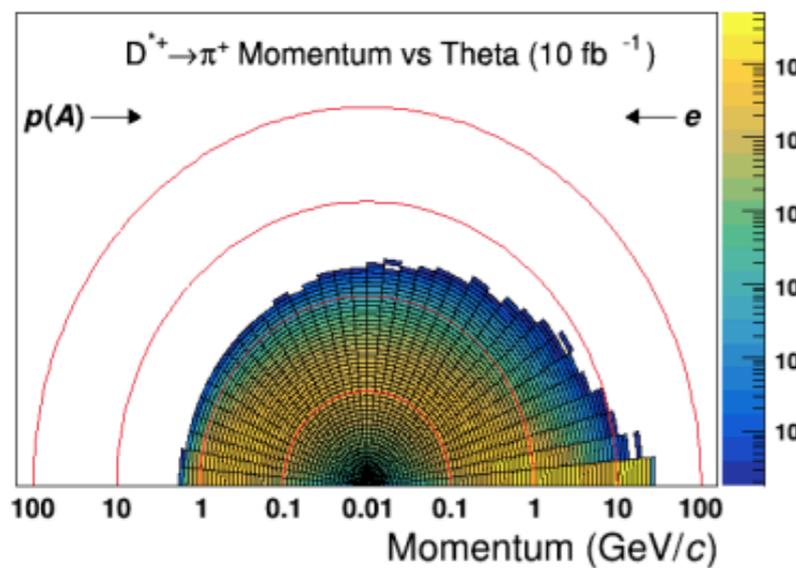
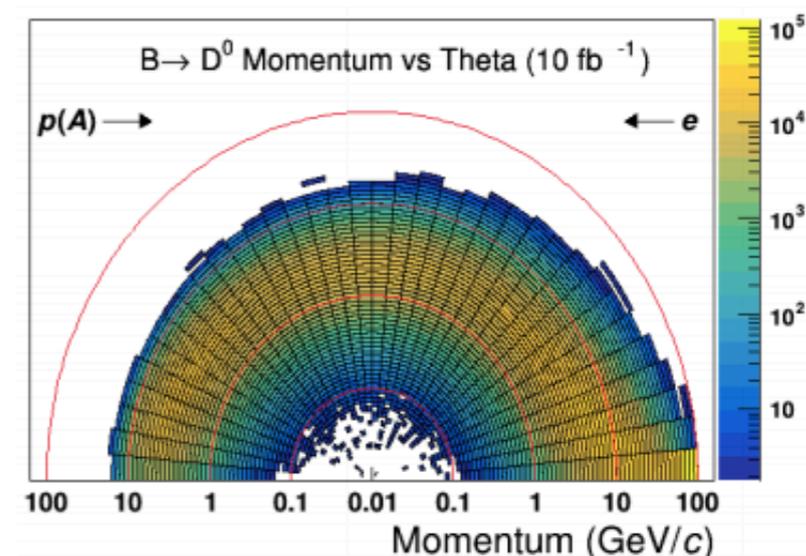
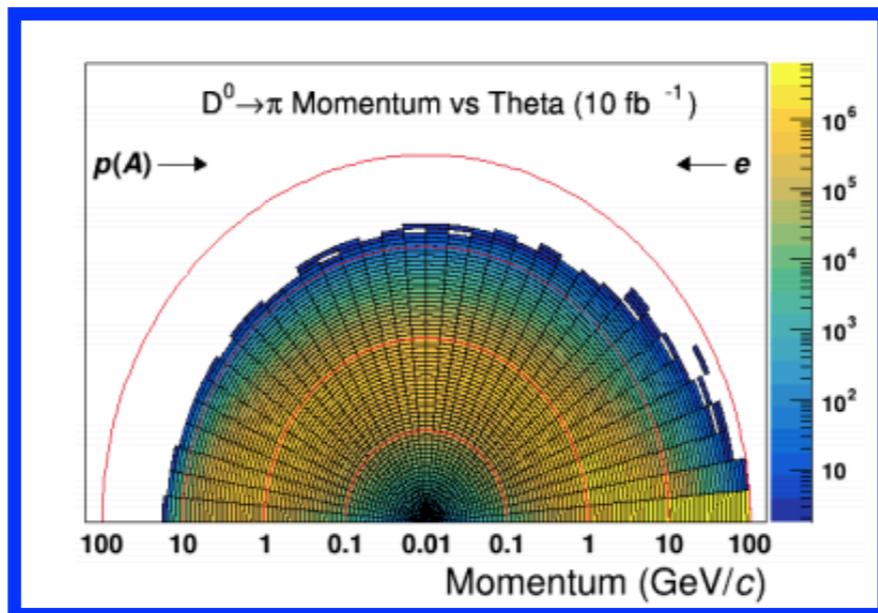
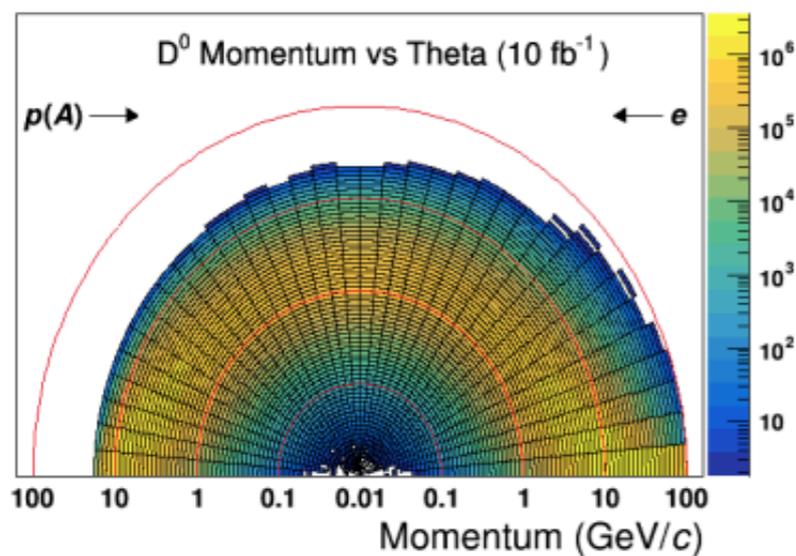


- PYTHIA simulation shows a small impact on  $D\bar{D}$  angular correlation
  - larger dilution due to process selection - under investigation
- same input asymmetry assumed as in *L. Zheng et al., PRD 98 (2018) 034011*



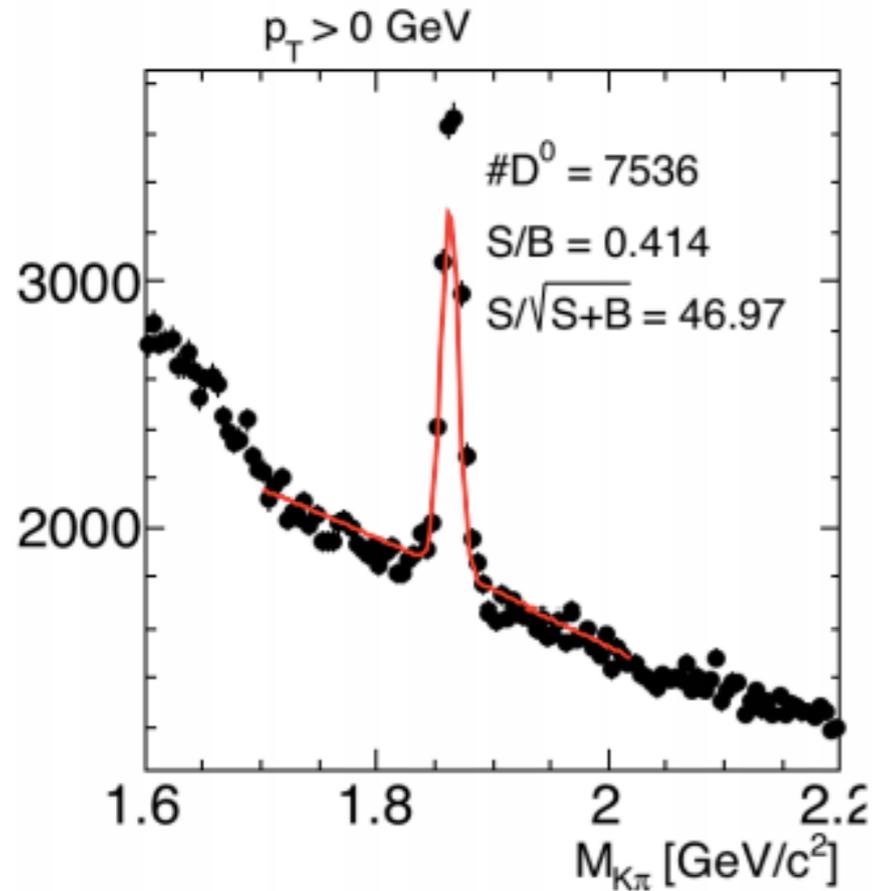
# Kinematic Distributions

$e + p$  18 x 275 PYTHIA 6.4



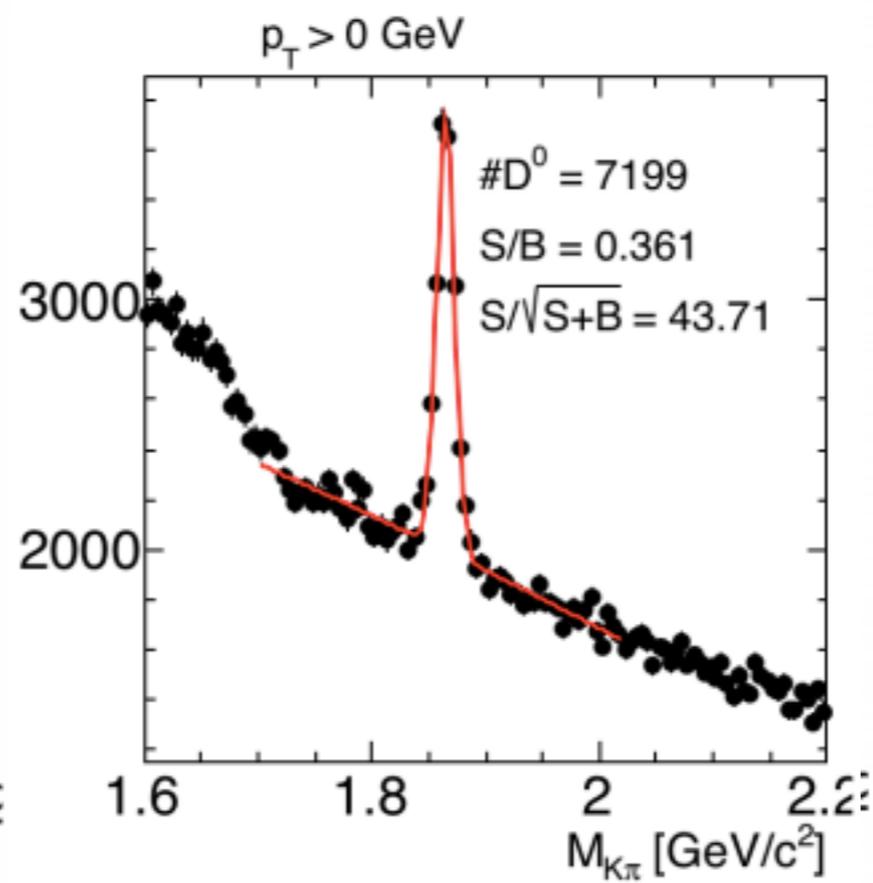
# Impact of Pointing Resolution on $D^0$ Significance

$\sigma \sim 20\mu m$  @ 1 GeV/c



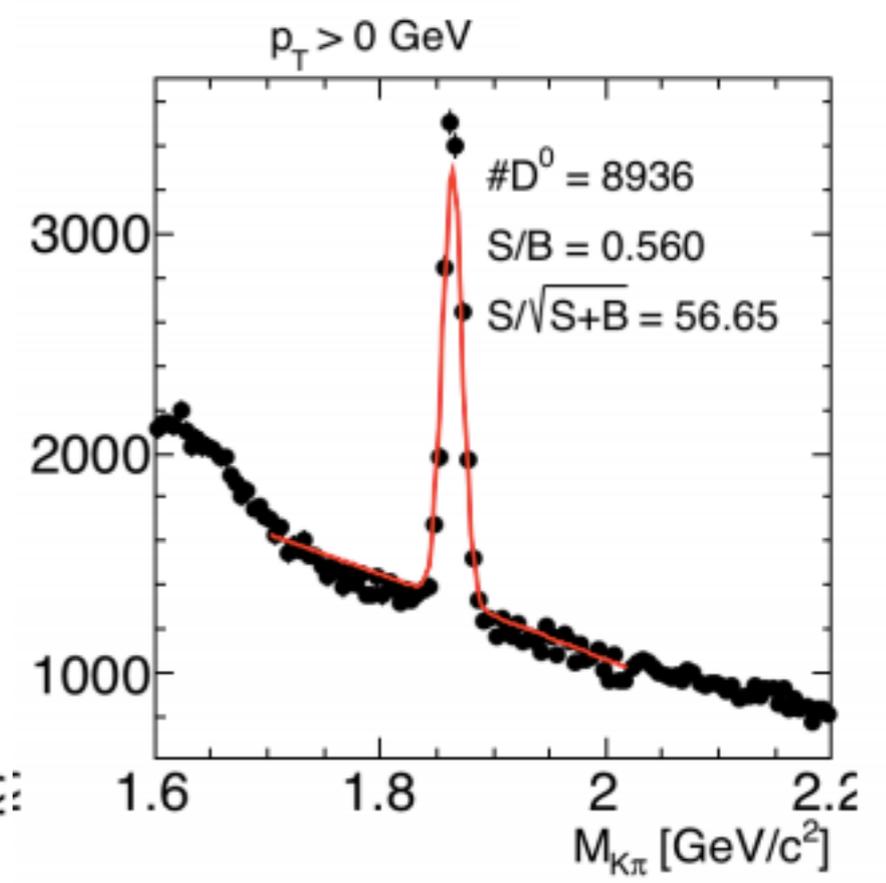
$D^0$  significance

$\sigma \sim 30\mu m$  @ 1 GeV/c



-10%

$\sigma \sim 10\mu m$  @ 1 GeV/c



+20%

- vertex res. assumed to be  $20\mu m$

# Full Simulation with Fun4All

## All-Silicon Detector Concept

10  $\mu\text{m} \times 10 \mu\text{m}$  pixel devices

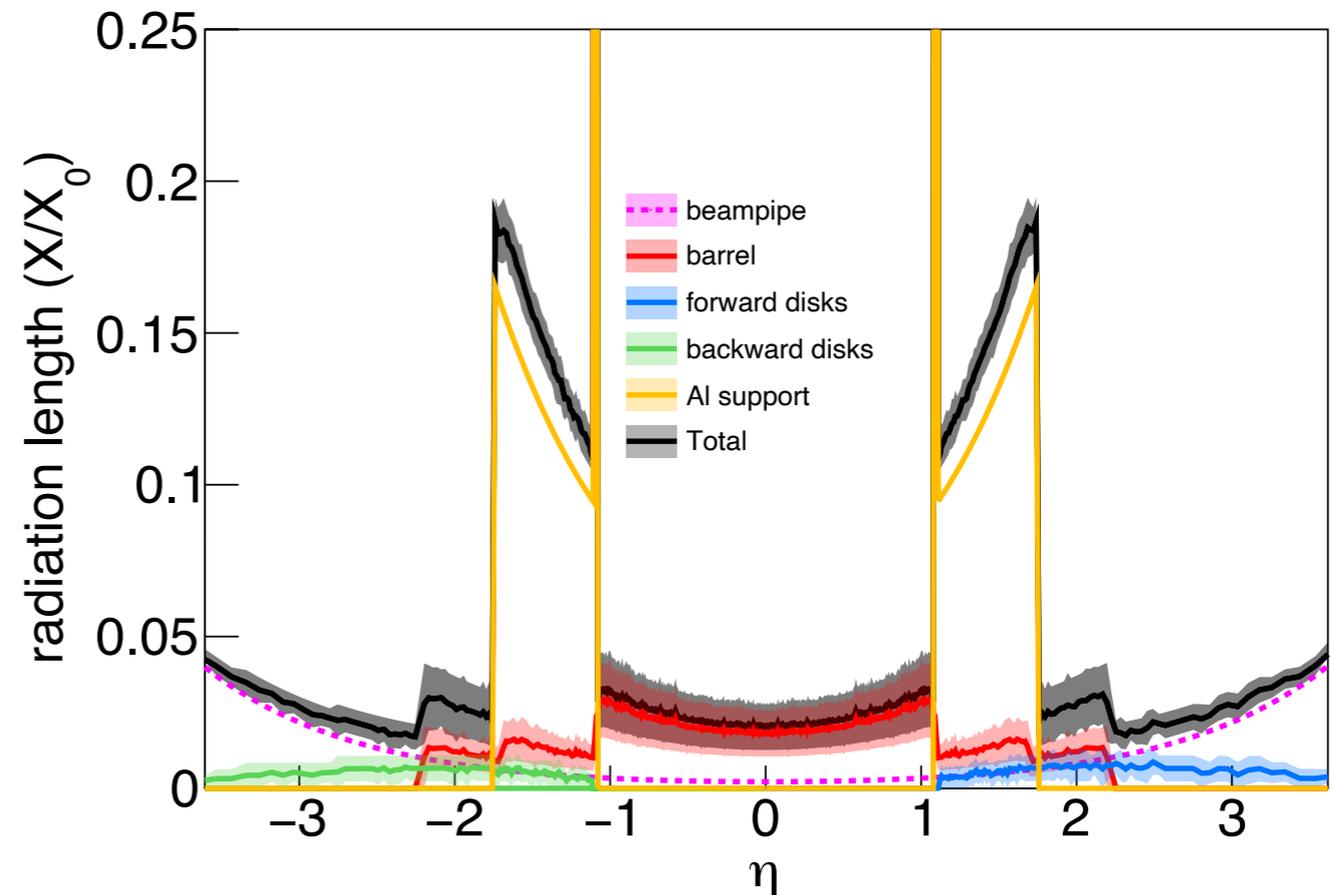
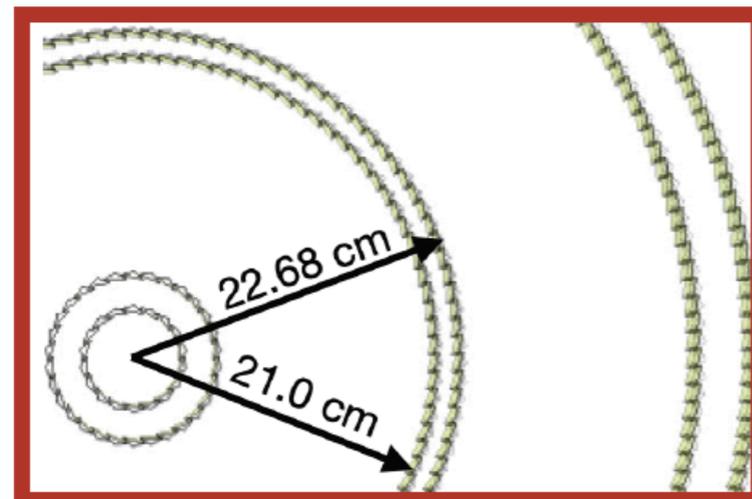
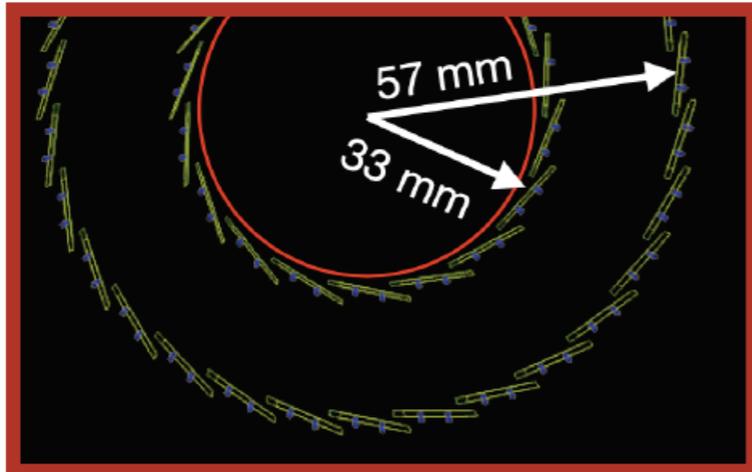
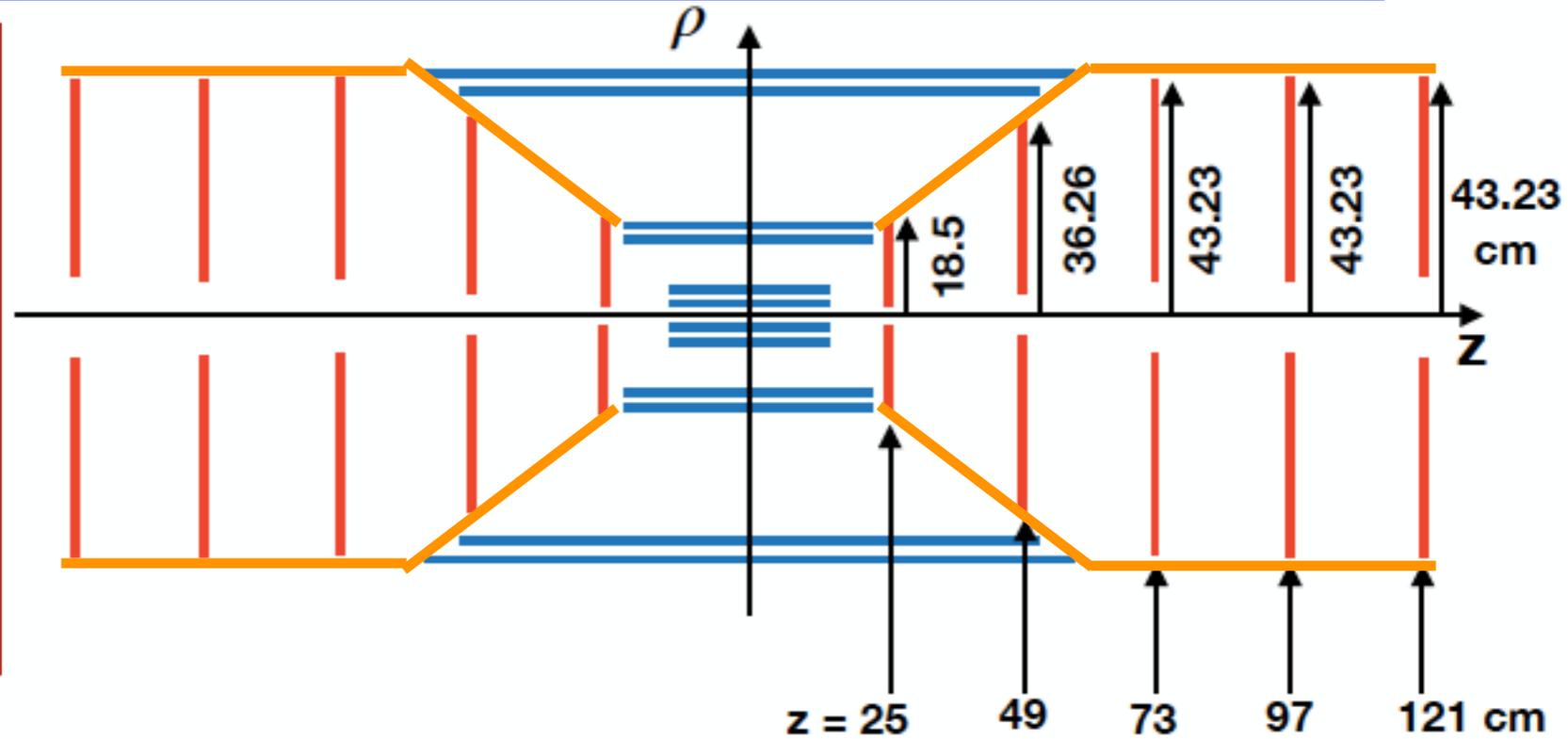
$X/X_0 = 0.3\%$  per layer

← ALICE-ITS2

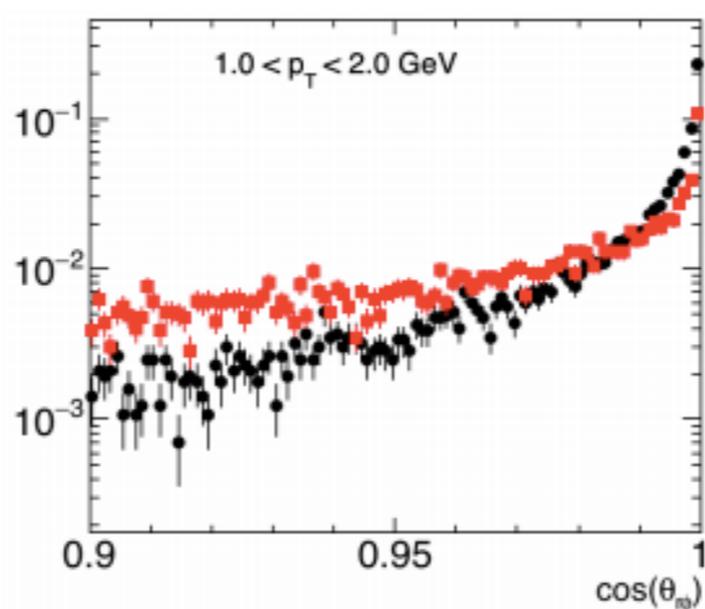
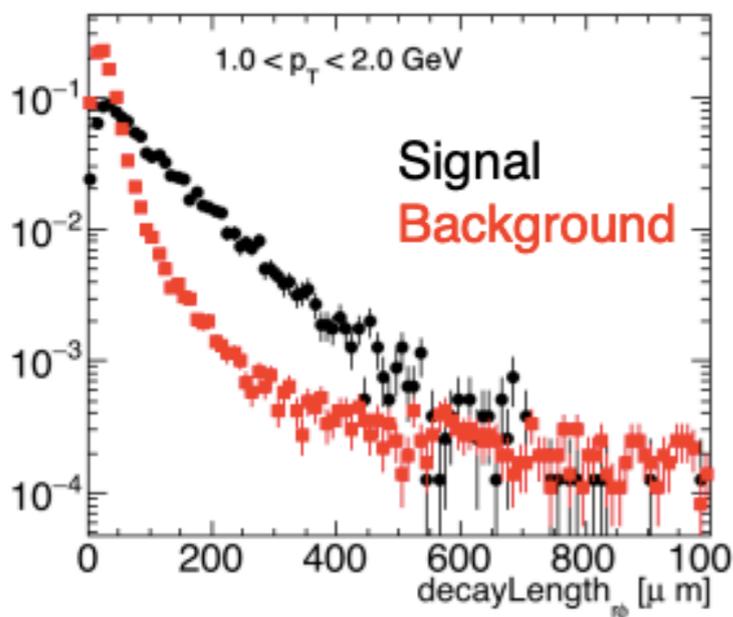
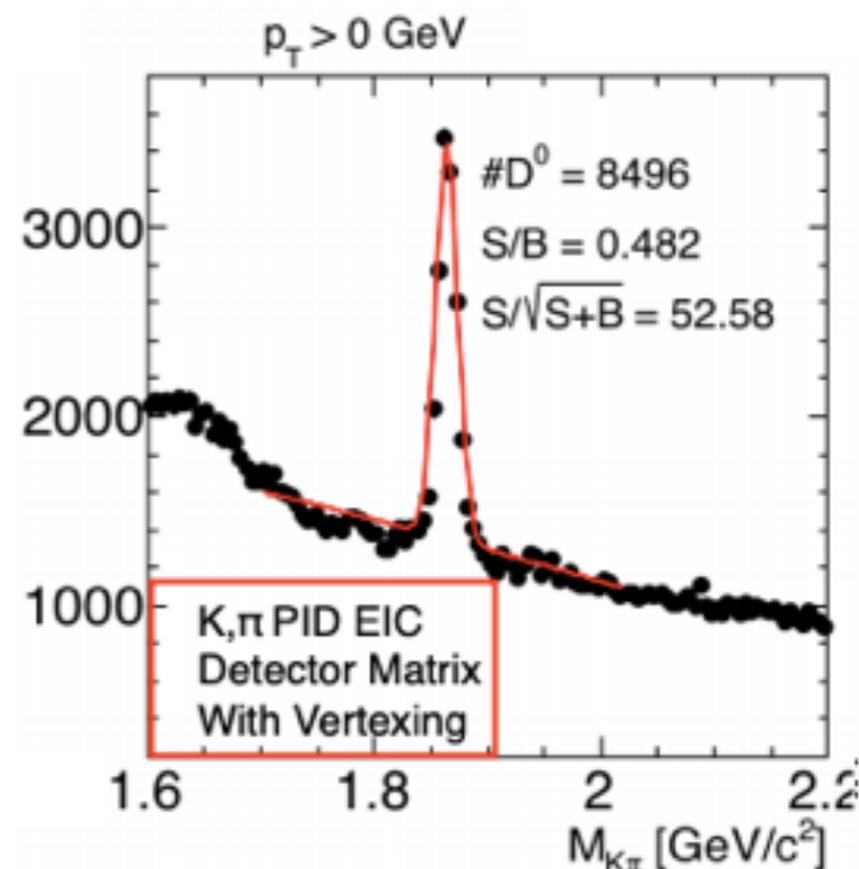
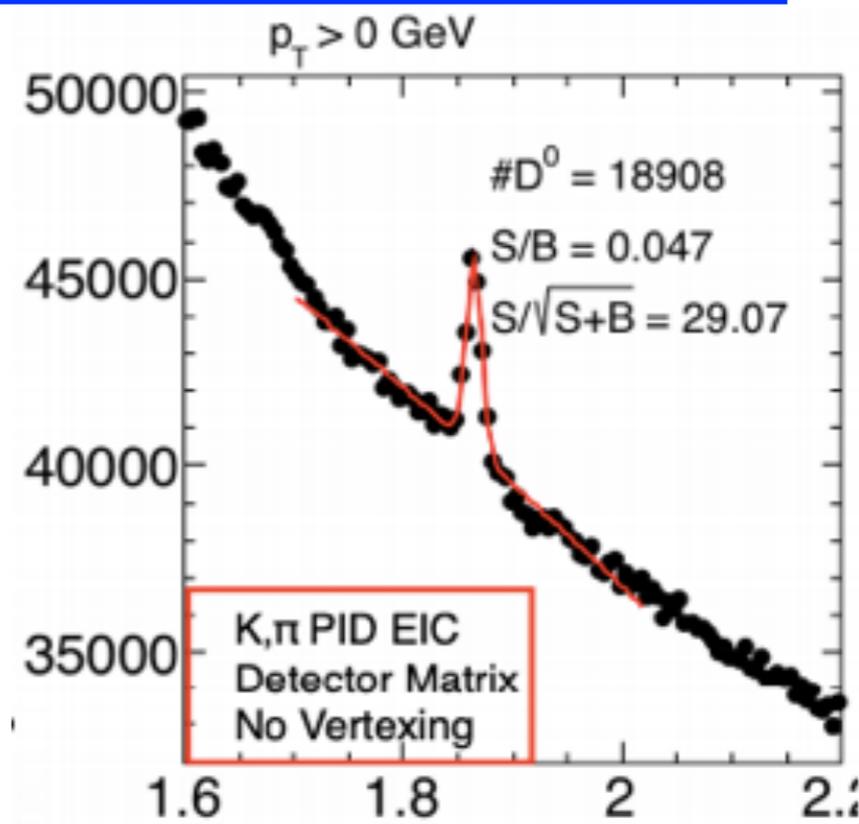
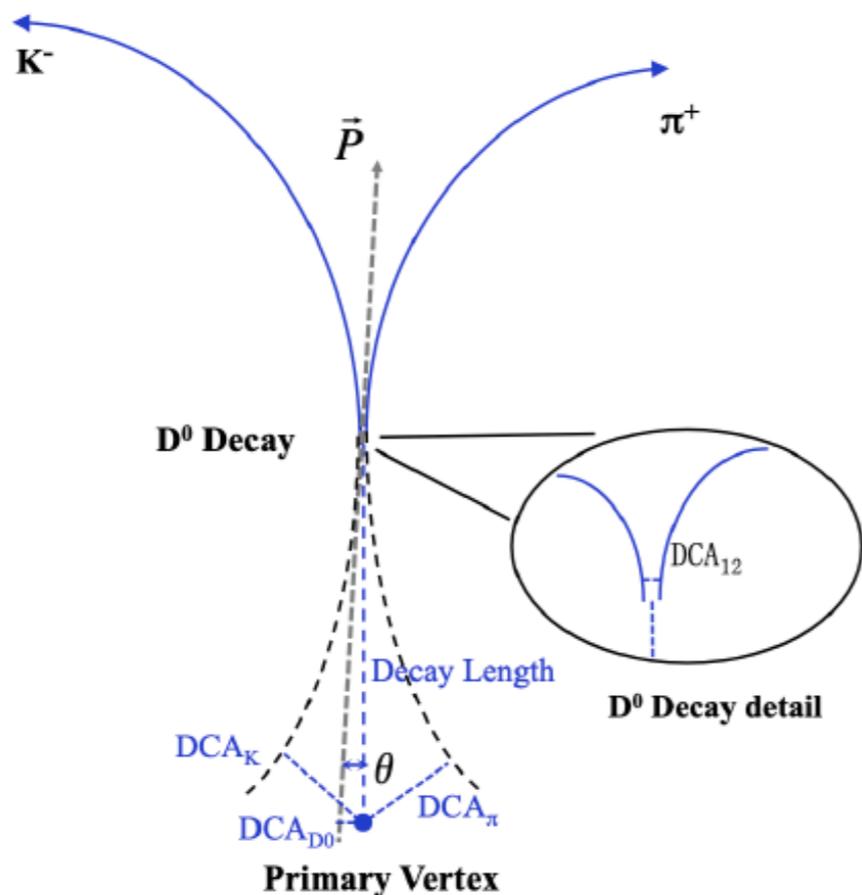
Beampipe  $r = 3.1 \text{ cm}$

$B = 3.0 \text{ T}$

Outer barrel  $r = 39, 42 \text{ cm}$



# Topological Reconstruction of Heavy Flavor Decays



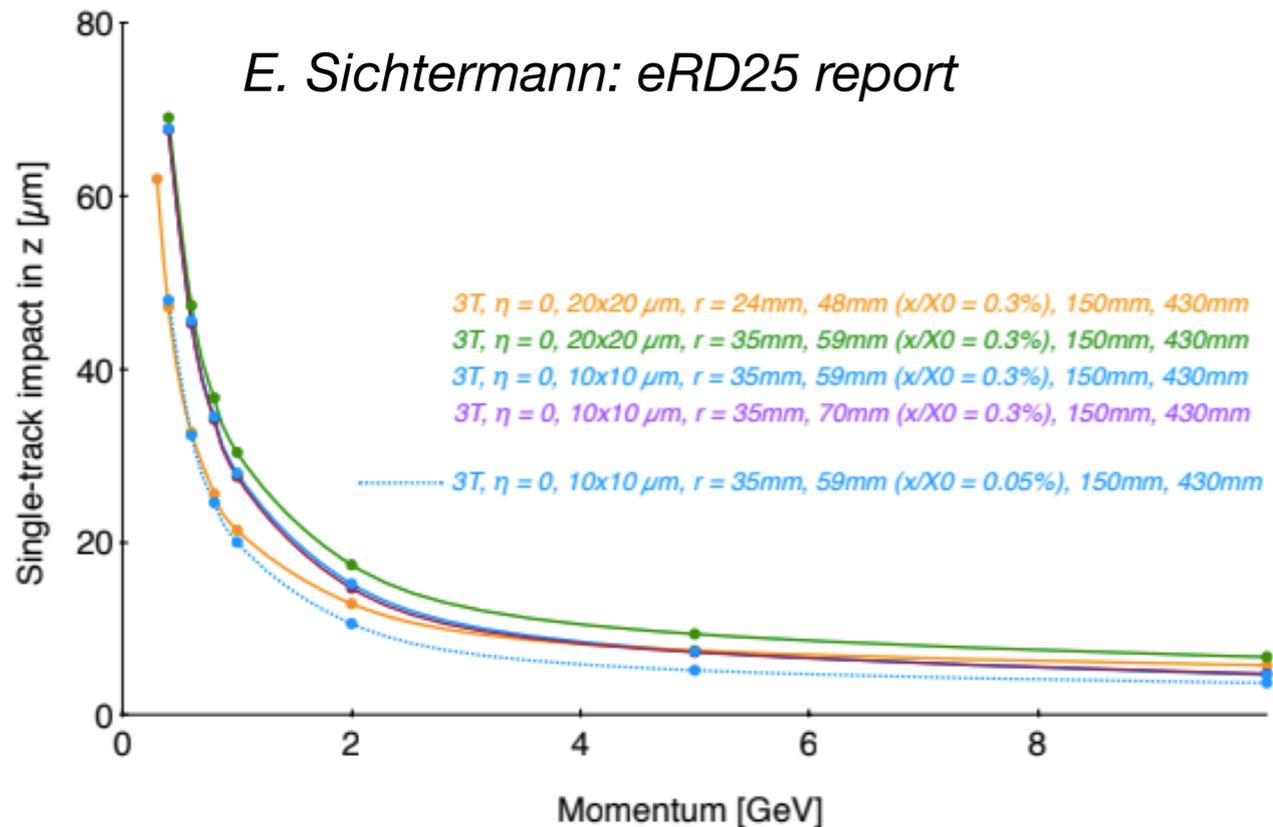


# Specifications

Parameter	ALPIDE (existing)	Wafer-scale sensor (this proposal)
Technology node	180 nm	65 nm
Silicon thickness	50 $\mu\text{m}$	20-40 $\mu\text{m}$
Pixel size	27 x 29 $\mu\text{m}$	O(10 x 10 $\mu\text{m}$ )
Chip dimensions	1.5 x 3.0 cm	scalable up to 28 x 10 cm
Front-end pulse duration	$\sim 5 \mu\text{s}$	$\sim 200 \text{ ns}$
Time resolution	$\sim 1 \mu\text{s}$	$< 100 \text{ ns}$ (option: $< 10 \text{ ns}$ )
Max particle fluence	100 MHz/cm <sup>2</sup>	100 MHz/cm <sup>2</sup>
Max particle readout rate	10 MHz/cm <sup>2</sup>	100 MHz/cm <sup>2</sup>
Power Consumption	40 mW/cm <sup>2</sup>	$< 20 \text{ mW/cm}^2$ (pixel matrix)
Detection efficiency	$> 99\%$	$> 99\%$
Fake hit rate	$< 10^{-7}$ event/pixel	$< 10^{-7}$ event/pixel
NIEL radiation tolerance	$\sim 3 \times 10^{13}$ 1 MeV n <sub>eq</sub> /cm <sup>2</sup>	$10^{14}$ 1 MeV n <sub>eq</sub> /cm <sup>2</sup>
TID radiation tolerance	3 MRad	10 MRad

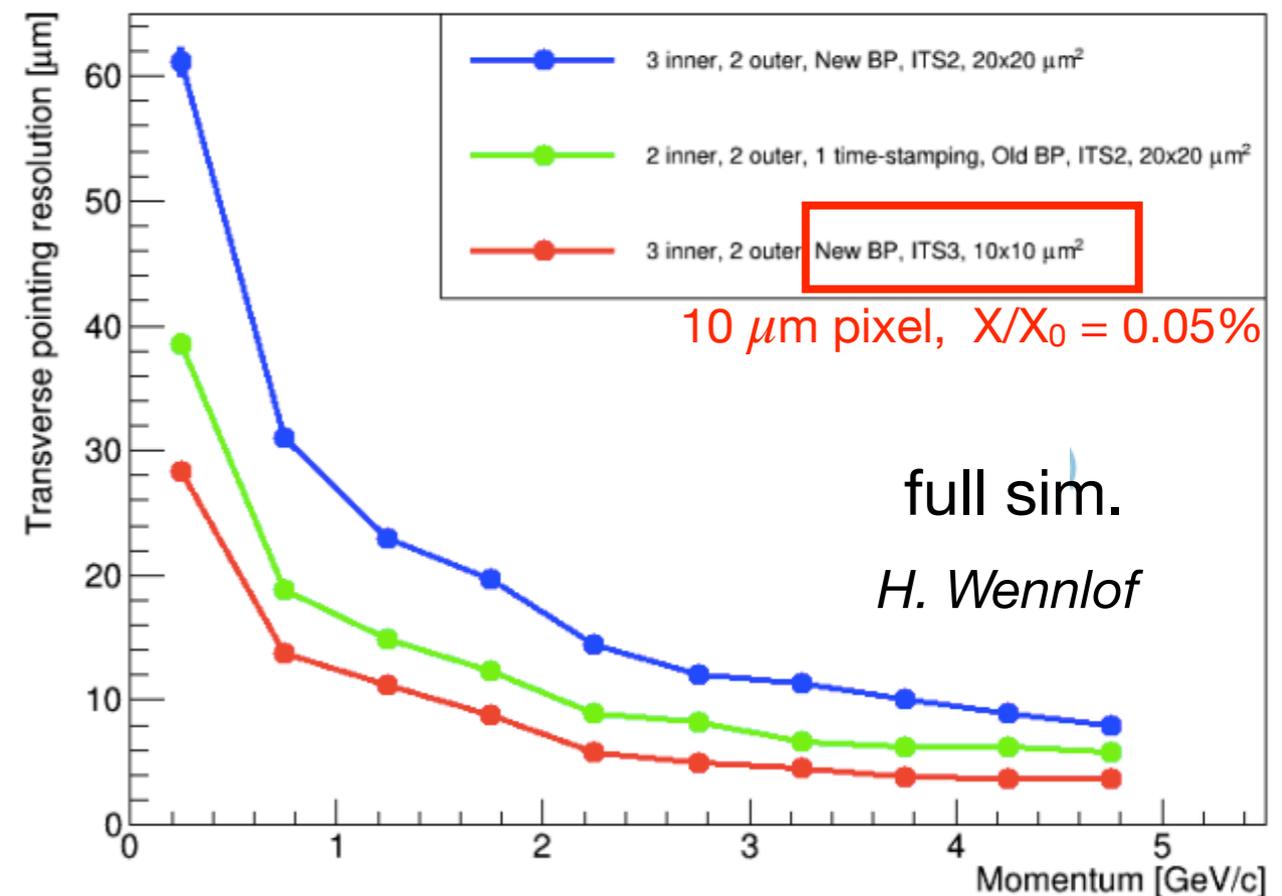
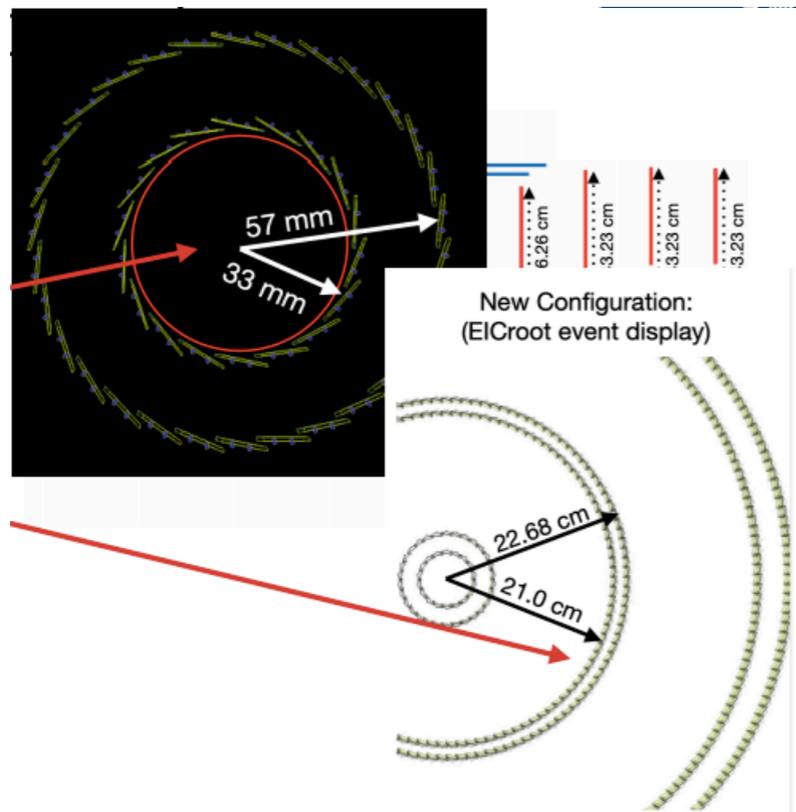
M. Mager | ITS3 kickoff | 04.12.2019 |

# Benefits of Ultra-thin Fine-pitch MAPS Detector

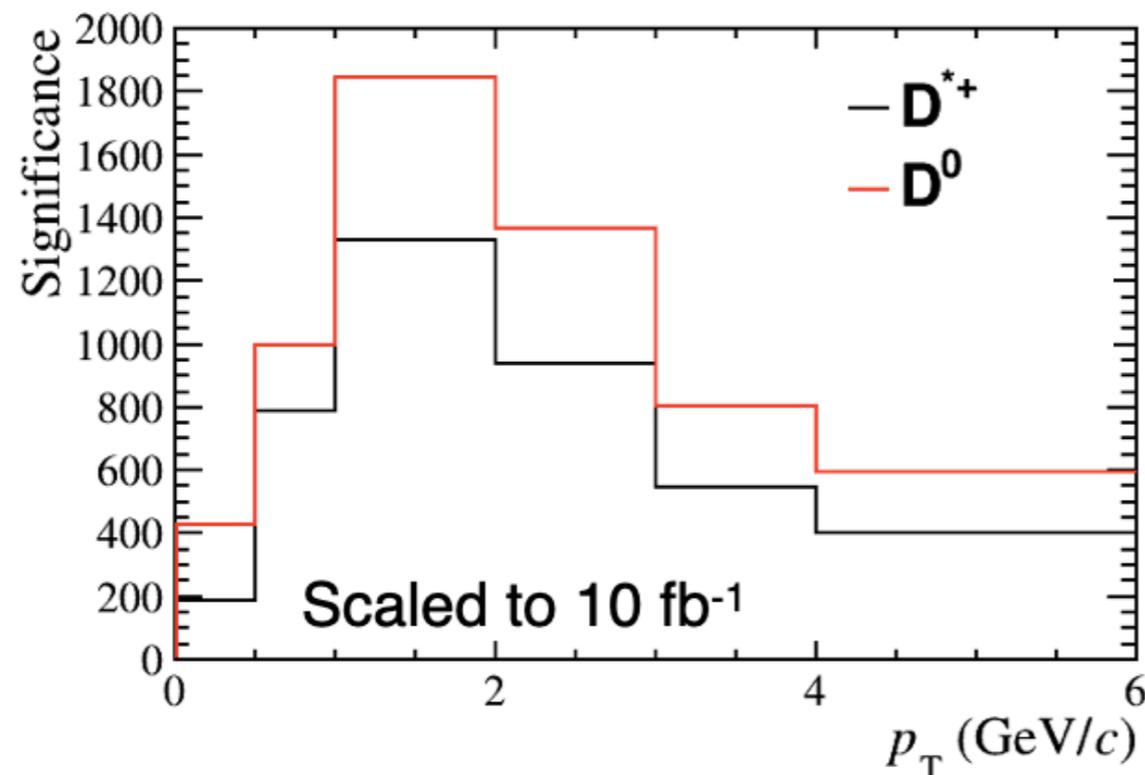
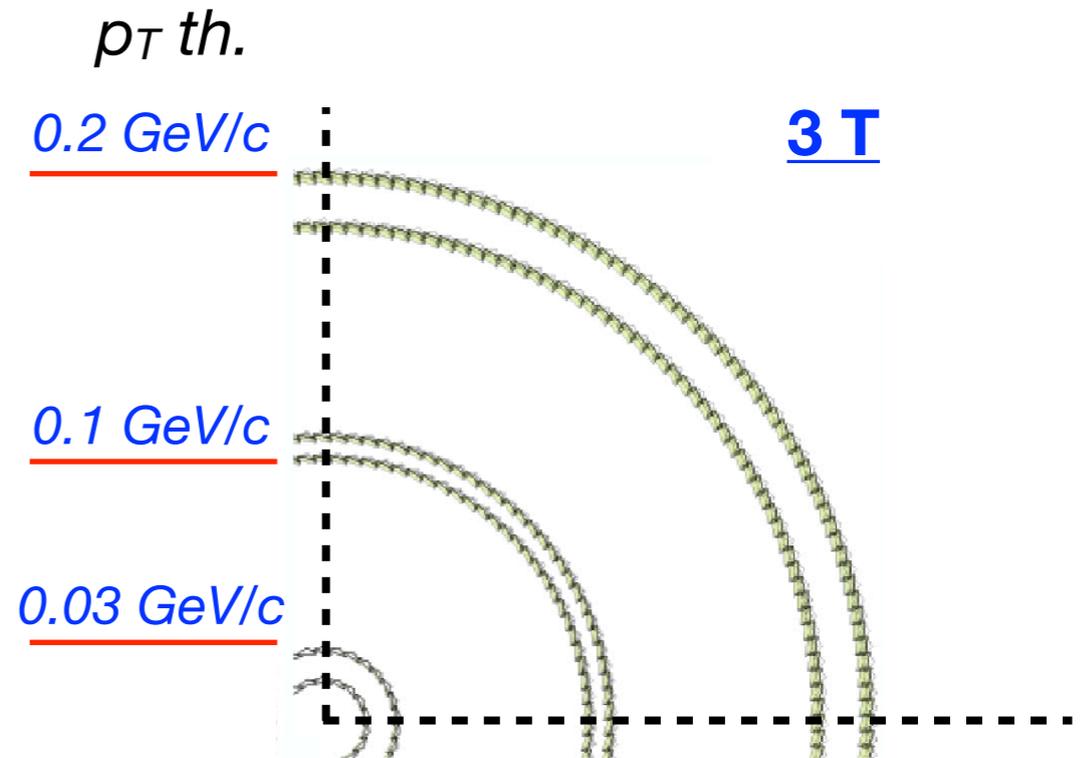
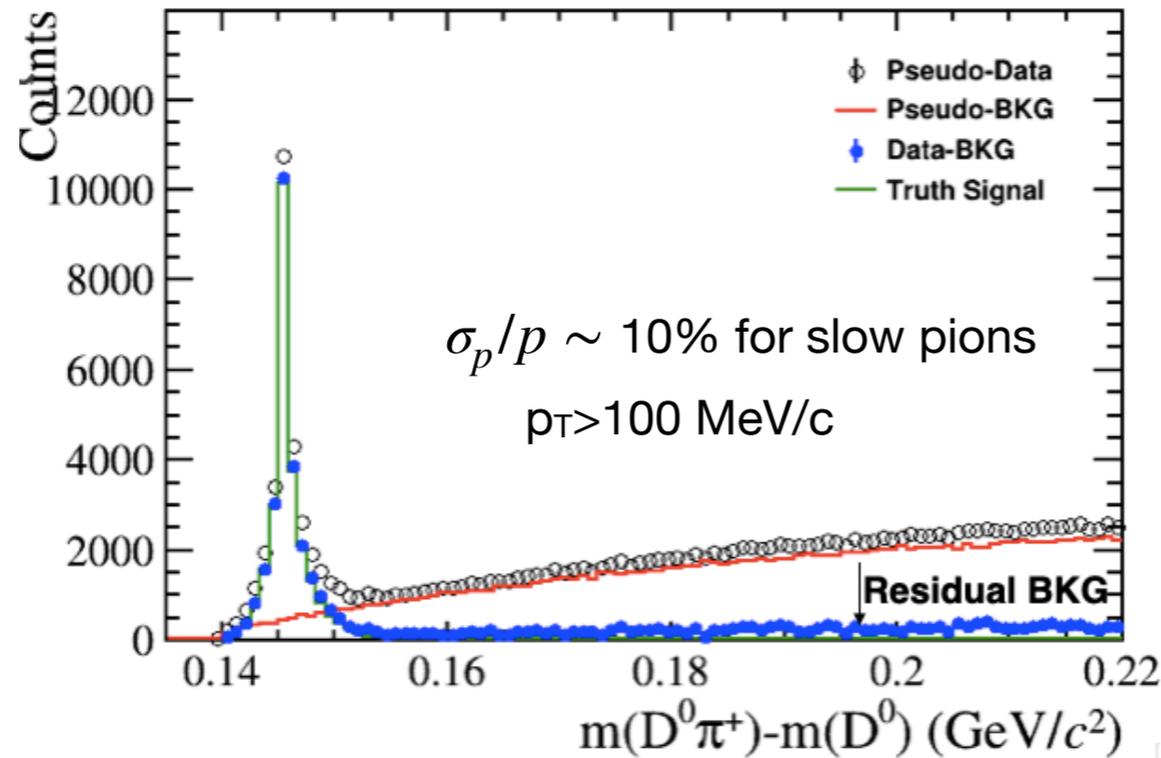


A larger beampipe leads to worsening of pointing resolution

- high mom region can be recovered with smaller pitch design ( $10 \times 10 \mu\text{m}^2$ )
- low mom region can only be recovered with ultra-thin detector design ( $0.05\% X_0$ )



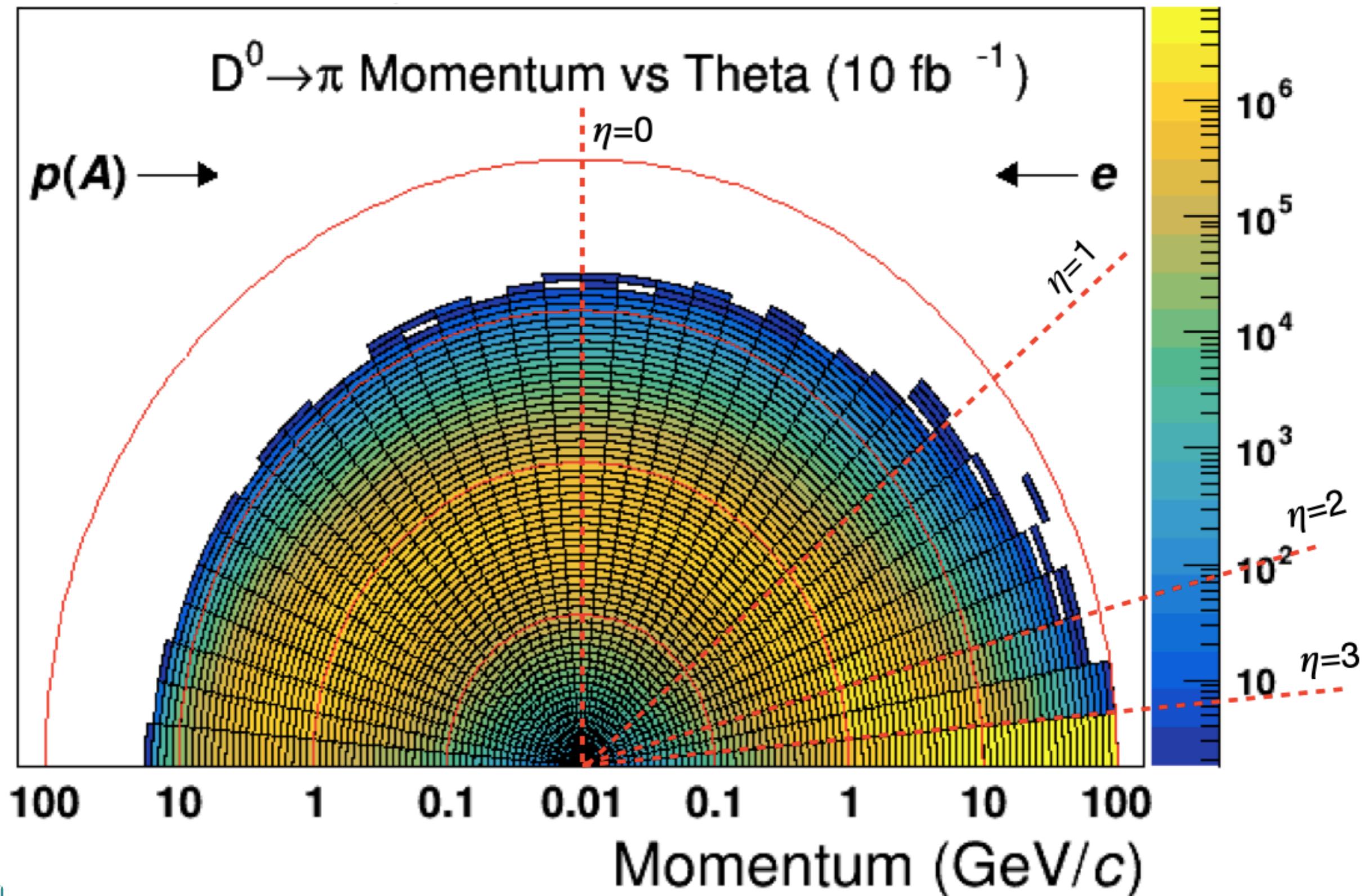
# Low- $p_T$ Cut-off and $D^*$ Reconstruction



- $D^{*+}$  can be a viable channel
- Inner vertex layer optimization  
 - a third layer for redundancy + low  $p_T$  acceptance

# Kinematic Distributions

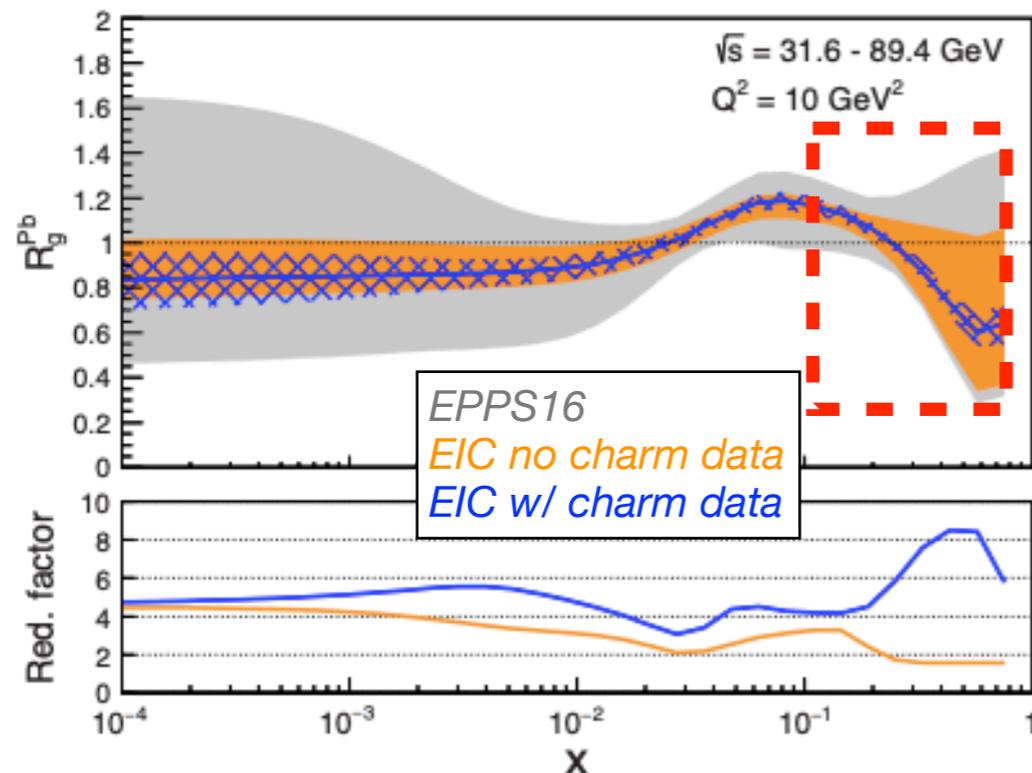
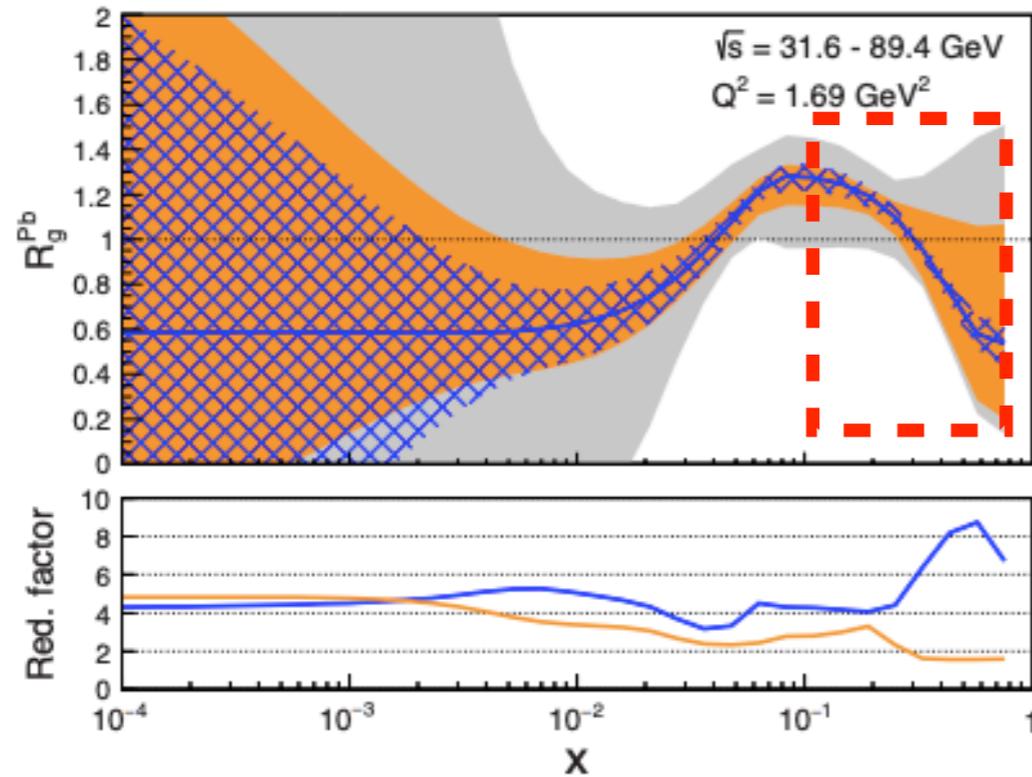
$e + p$  18 + 275 PYTHIA 6.4



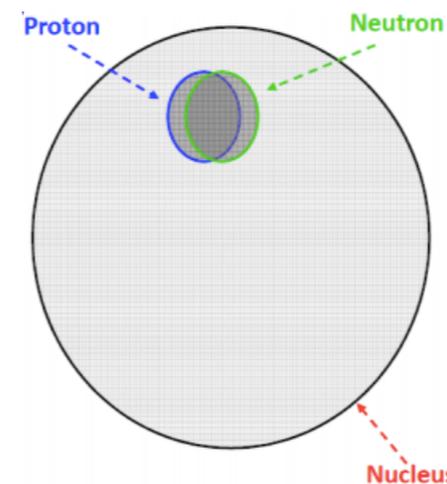
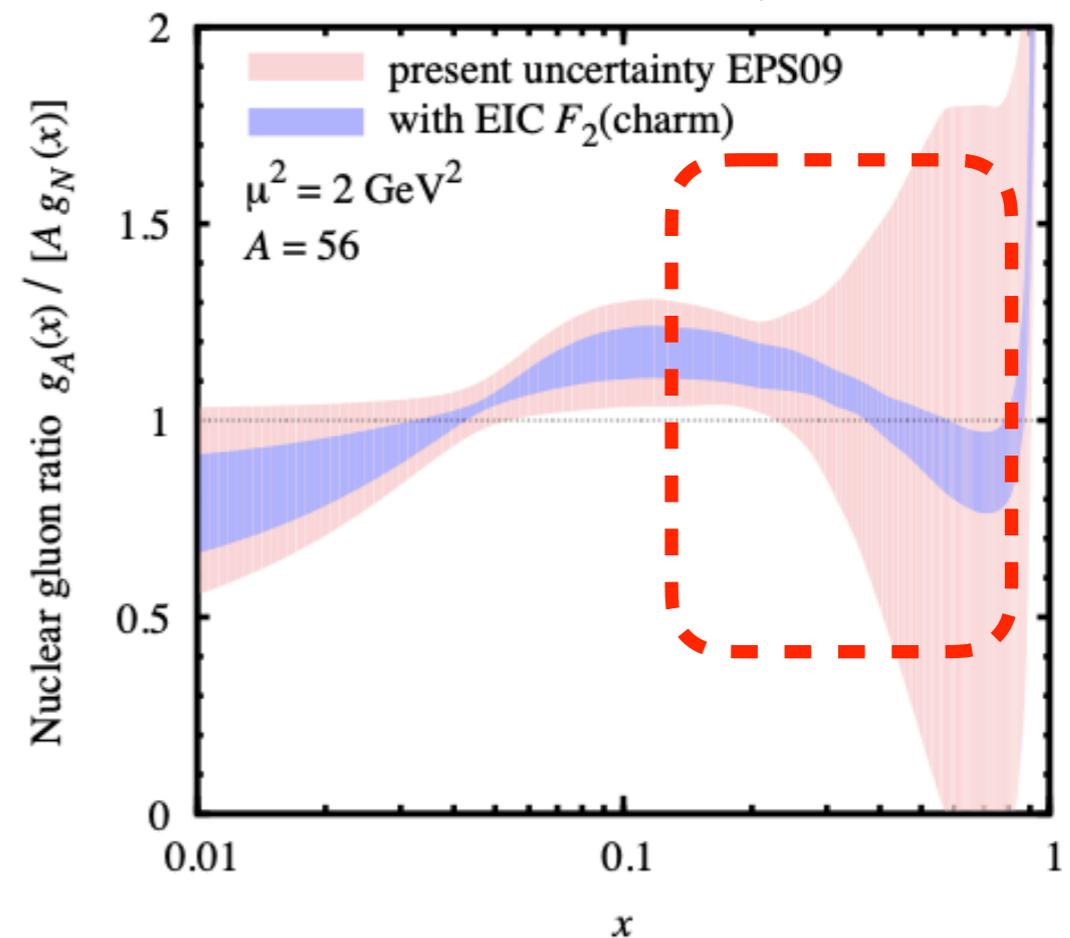
# Inclusive Charm -> Gluon nPDF at High x

$$R_g^{Pb} = f_g^{Pb}(x, Q^2) / f_g^p(x, Q^2)$$

E.C. Aschenauer et al, 1708.01527



E. Chudakov et al, 1610.08536



gluon probe to short range correlation at "EMC" region

# Detector Resolution Parametrization for Physics Simulation

## Momentum Resolution (DM)

$\eta$ Region	Resolution (%)
$-3.5 < \eta < -2.5$	$0.1 \cdot p \oplus 0.5$
$-2.5 < \eta < -2.0$	$0.1 \cdot p \oplus 0.5$
$-2.0 < \eta < -1.0$	$0.05 \cdot p \oplus 0.5$
$-1.0 < \eta < 1.0$	$0.05 \cdot p \oplus 0.5$
$1.0 < \eta < 2.5$	$0.05 \cdot p \oplus 1.0$
$2.5 < \eta < 3.5$	$0.1 \cdot p \oplus 2.0$

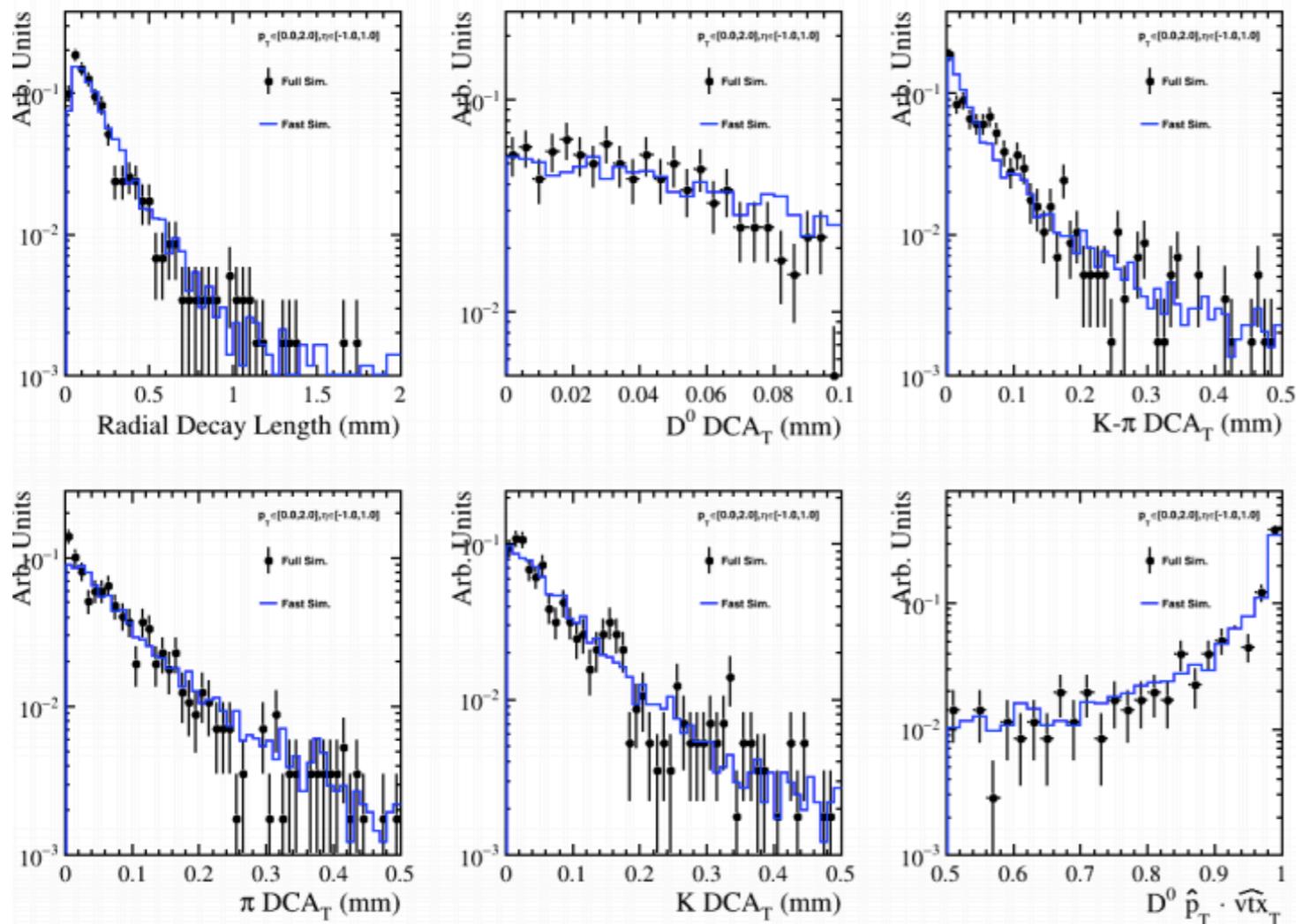
## Pointing Resolution

$\eta$ Region	Detector Matrix ( $\mu\text{m}$ )	Stringent ( $\mu\text{m}$ )
$-3.0 < \eta < -2.5$	$30/p_T \oplus 40$	$30/p_T \oplus 10$
$-2.5 < \eta < -2.0$	$30/p_T \oplus 20$	$30/p_T \oplus 10$
$-2.0 < \eta < -1.0$	$30/p_T \oplus 20$	$25/p_T \oplus 10$
$-1.0 < \eta < 1.0$	$20/p_T \oplus 5$	$20/p_T \oplus 5$
$1.0 < \eta < 2.0$	$30/p_T \oplus 20$	$25/p_T \oplus 10$
$2.0 < \eta < 2.5$	$30/p_T \oplus 20$	$30/p_T \oplus 10$
$2.5 < \eta < 3.0$	$30/p_T \oplus 40$	$30/p_T \oplus 10$
$3.0 < \eta < 3.5$	$30/p_T \oplus 60$	N/A

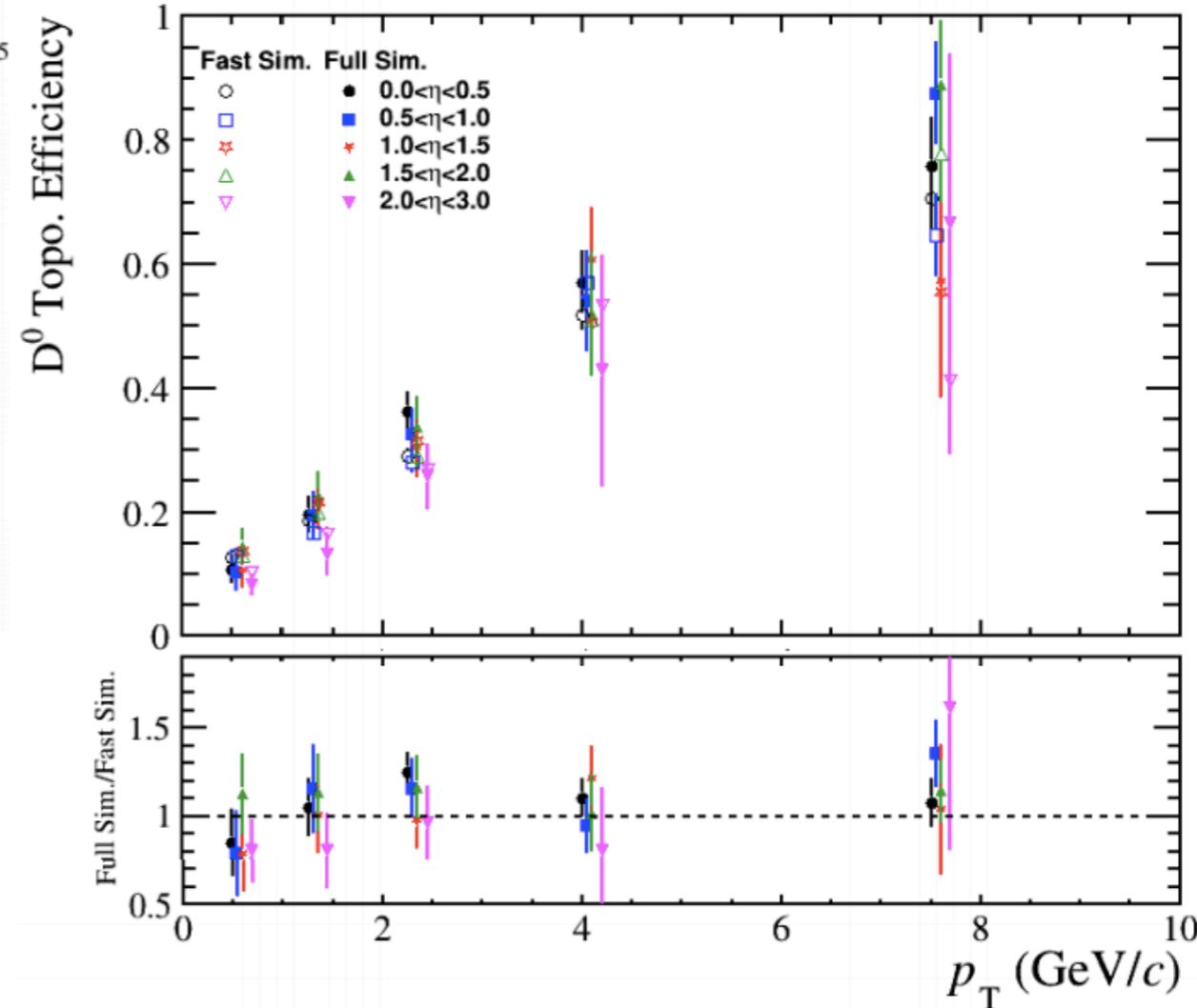
PID criteria follows the Detector Matrix table guidance  
( $K/\pi$   $3\sigma$  separation up to 7 GeV/c within  $|\eta| < 1$ )

- Charm and bottom reconstruction using fast simulation smearing of PYTHIA 6.4 output
- Momentum and pointing resolutions taken from detector matrix page as baseline
  - *A more stringent pointing resolution also used for comparison*

# Validation of Fast Simulation w/ Fun4All



Radial Decay Vertex  $> 0.04$  mm  
 $K-\pi$   $DCA_T < 0.15$  mm  
 $\cos(D^0 \text{ pointing angle}) > 0.98$



Fast simulation reproduces all topological distributions and  $D^0$  efficiency !