

# EM Calorimetry for an EIC Detector

A.Bazilevsky, BNL  
IR2@EIC Workshop  
March 17-19, 2021

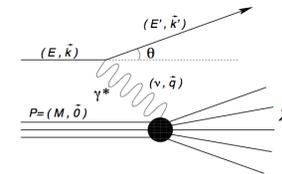
YR-Calorimetry WG conveners: V.Berdnikov and E.Chudakov

# EMCal for an EIC Detector

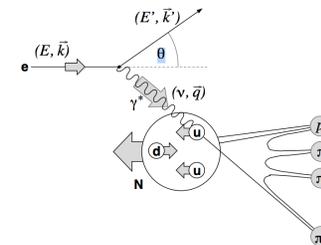
Electron/photon PID, energy, angle/position:

Coverage (in rapidity and energy), resolution, granularity, projectivity

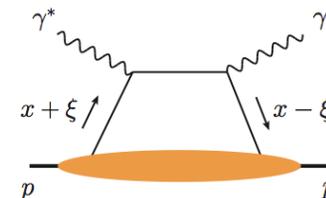
Inclusive DIS: scattered electron



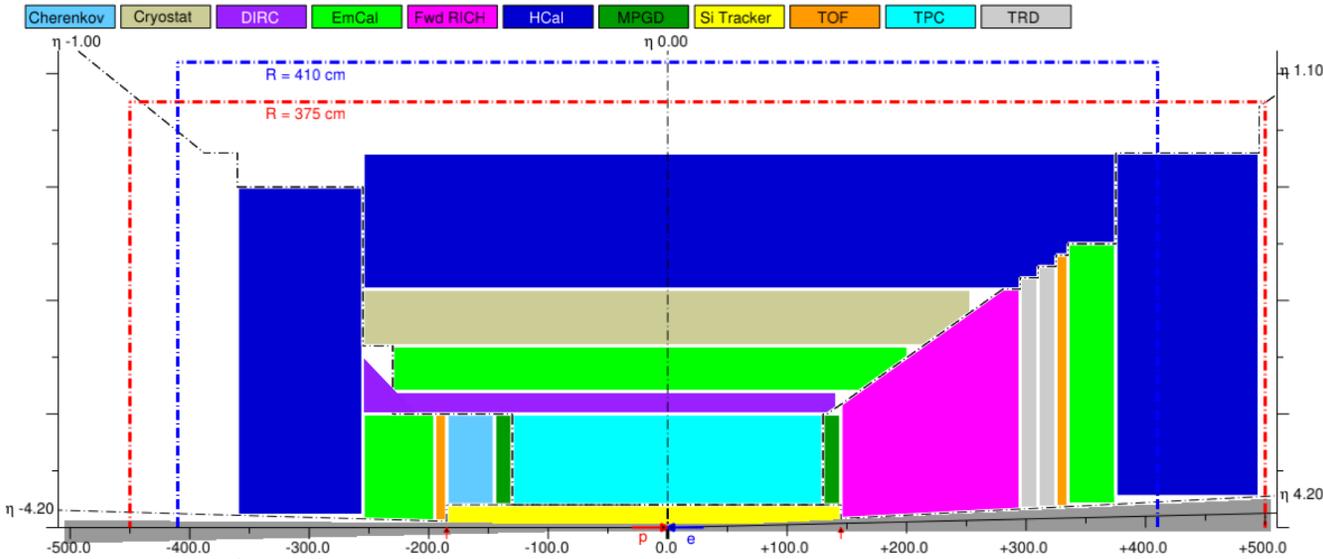
Semi-Inclusive DIS:  $\pi^0 \rightarrow \gamma\gamma$ , HF  $\rightarrow e$



Exclusive DIS: DVCS photons,  $J/\psi \rightarrow ee$  etc.



# EMCal in an EIC Detector



Central Detector:

EMCal:  $|\eta| < 4$

Far-Backward:

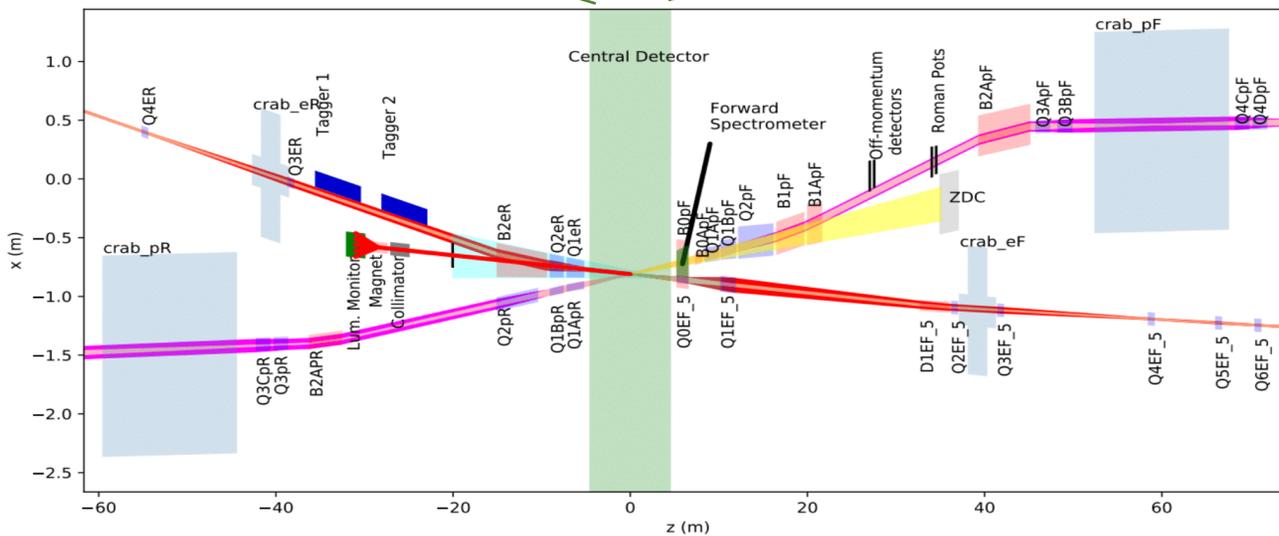
EMCal for low  $Q^2$  tagger

EMCal for lum. det

Far-Forward:

ZDC: EMCAL&HCal

EMCal/PS at B0?



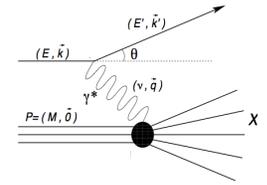
Space is at premium everywhere

# Key Characteristics

- **Depth (long. size)** **=> Compactness**  
Defined by  $X_0$  and energy range ( $L \sim 20X_0$ )
- **Granularity** **=>  $\sigma_{X,Y}$ ,  $e/\pi$ ,  $\gamma/\pi_0$**   
Defined by  $R_m$  ( $\sim X_0 \cdot 21 \text{ MeV}/E_{\text{crit}}$ )
- **Projectivity** **=>  $\sigma_{X,Y}$ ,  $e/\pi$ ,  $\gamma/\pi_0$**
- **Minimal detectable energy** **=> Decays**  
Defined by noise level
- **Resolution** **=> Precision, kin. reach**  
$$\frac{\sigma_E}{E} = a \oplus \frac{b}{\sqrt{E}} \oplus \frac{c}{E}$$

a: defined by syst. effects (non-uniformity, calibration, leakage)  
b: defined by sampling fraction, light yield  
c: noise term

# Energy Resolution and eID



## DIS kinematics through electron measurements

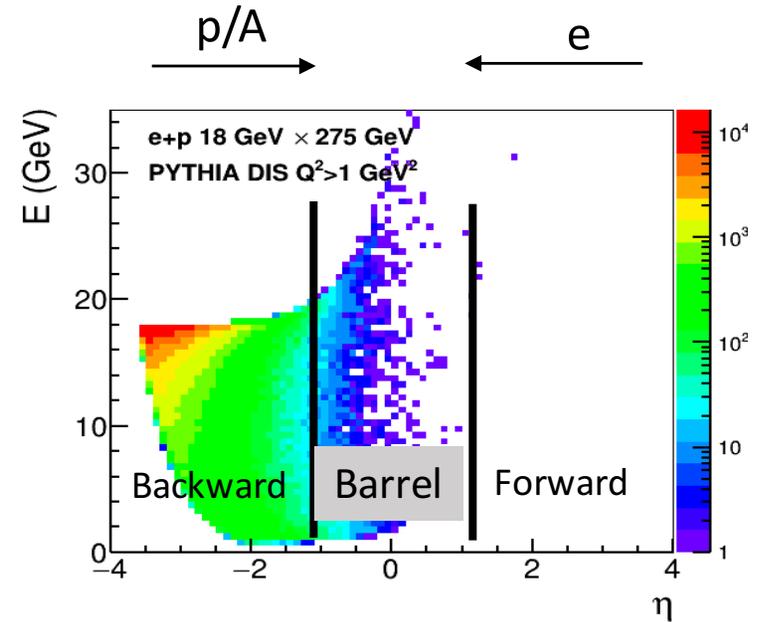
$$Q^2 = 4EE' \sin^2\left(\frac{\theta}{2}\right) \quad y = 1 - \frac{E'}{E} \cos^2\left(\frac{\theta}{2}\right) \quad x = \frac{Q^2}{sy}$$

- Mainly barrel and backward
- Energy/Momentum measurement is critical:
 
$$\frac{\sigma_{Q^2}}{Q^2} \sim \frac{\sigma_{E'}}{E'} \quad ; \quad \frac{\sigma_x}{x} \sim \frac{1}{y} \frac{\sigma_{E'}}{E'}$$
- Enhanced role at higher electron energies (tracking at lower energies)

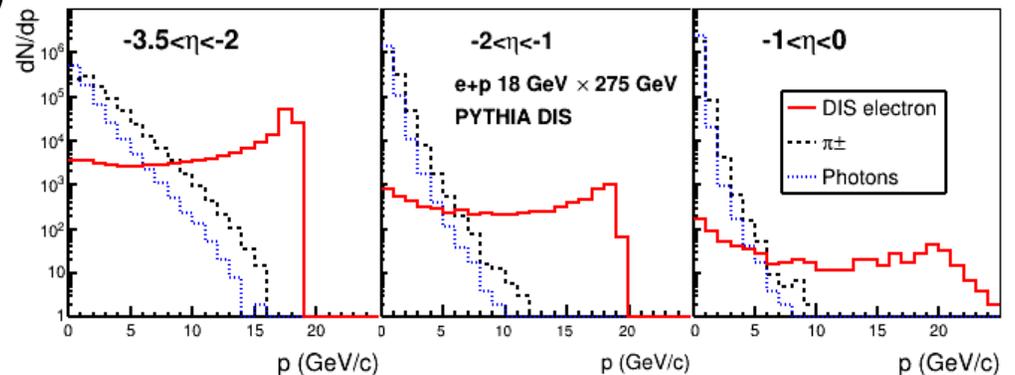
Minimize const term in  $\sigma_E$

- Highest resolution EMCal in the most backward region (due to degraded tracking mom. res.)
- Lowest material budget (to minimize Bremsstrahlung)

Need to suppress pions



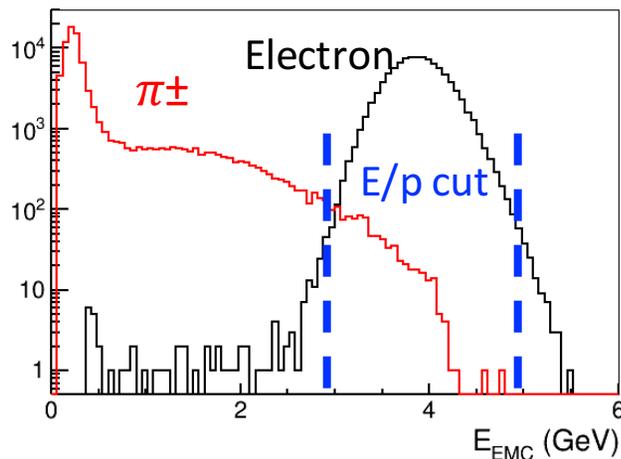
dN/dp vs p



# e/ $\pi$ Separation

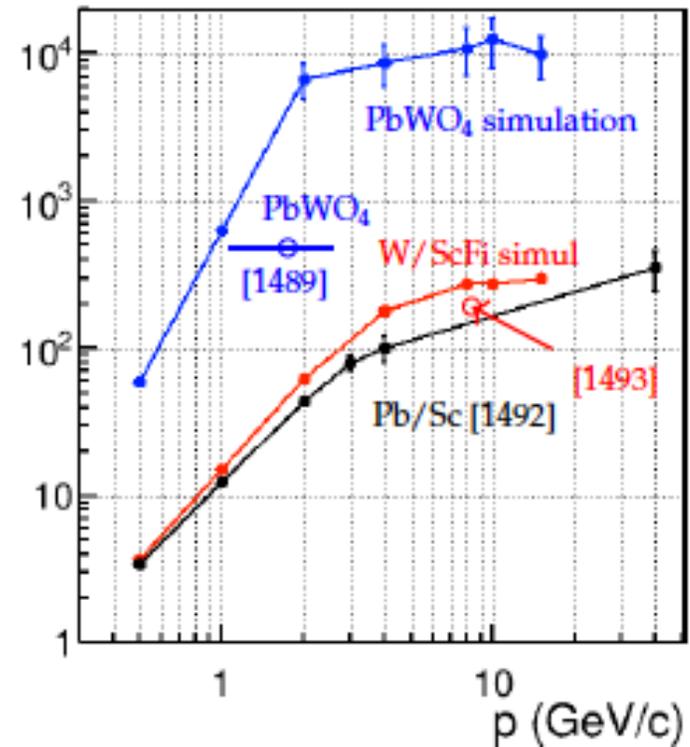
## E/p matching cut

EMCal response to p=4 GeV/c  
(GEANT4 for SPACal-like EMCal)



Additional  $\times(2-4)$  suppression from lateral shower profile

## $\pi^\pm$ rejection



Strongly depends on EMCal technology:

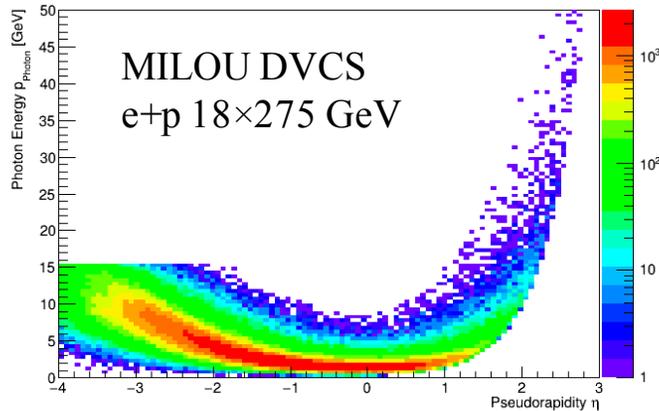
- The smaller  $X_0/\lambda_{int}$  the better (smaller prob to initiate had. shower)
- The larger e/h the better (smaller response to had. shower energy)
- The higher energy res. the better (tighter E/p and shower profile cut)
- The higher granularity the better (shower profile)

# Granularity

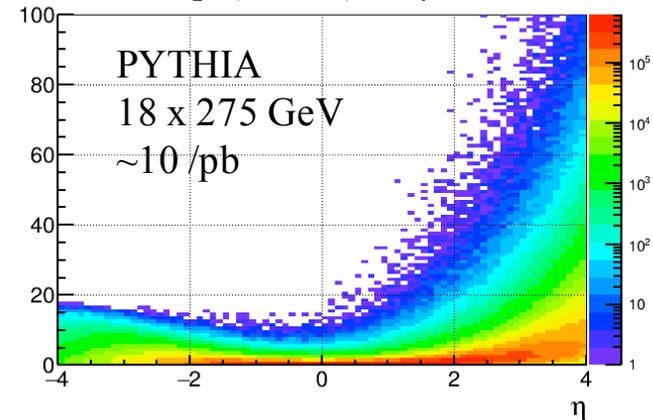
$$\theta_{min} = \frac{2m_{\pi 0}}{E_{\pi 0}}$$

$\gamma/\pi 0$  discrimination

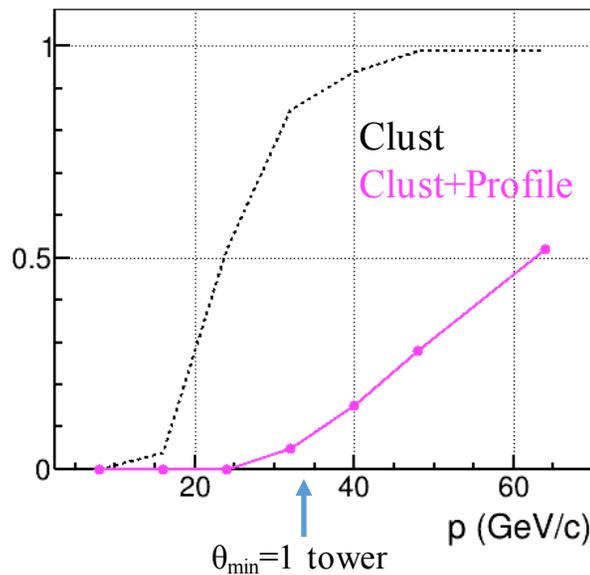
DVCS photons  
 $p$  (GeV/c) vs  $\eta$



SIDIS  $\pi 0$   
 $p$  (GeV/c) vs  $\eta$



$\pi 0$  merging prob vs  $p$

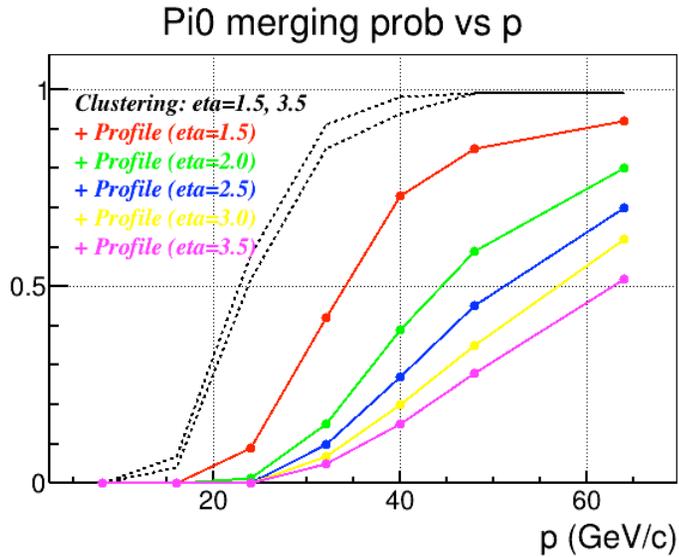


GEANT4:  
Forward EMCAL with  
granularity  $\sim 0.008$   
( $2.5 \times 2.5 \text{ cm}^2$  at  $z=3\text{m}$ )

Scalable with tower size  $d$   
and location  $Z$ :

$$p \sim Z/d$$

# Projectivity



GEANT4:  
Forward EMCAL with  
granularity  $\sim 0.008$   
( $2.5 \times 2.5 \text{ cm}^2$  at  $z=3\text{m}$ )

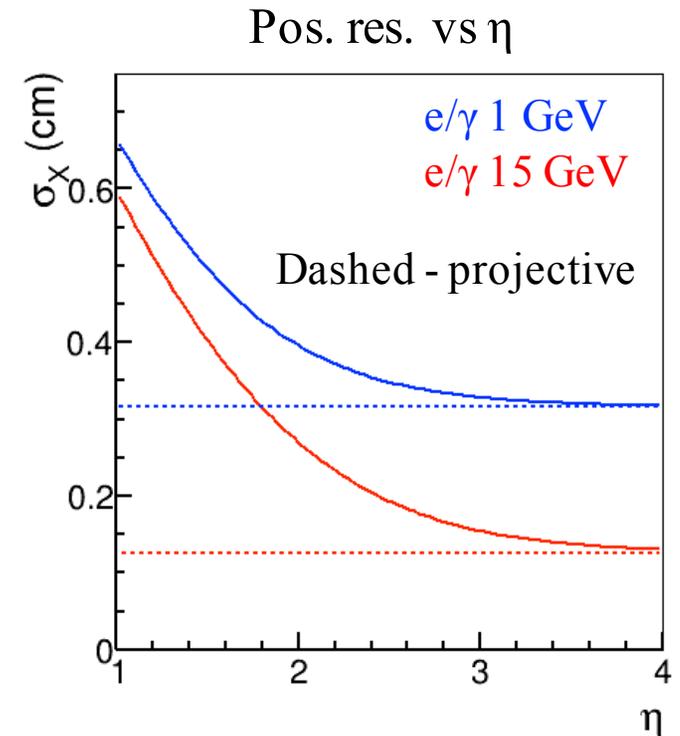
Significant loss of  $\gamma/\pi^0$   
discrimination power at lower  
rapidity in **non-projective EMCAL**

$$\sigma_X(E, \theta_X) = \sigma_X(E, 0^0) \oplus d \sin(\theta_X)$$

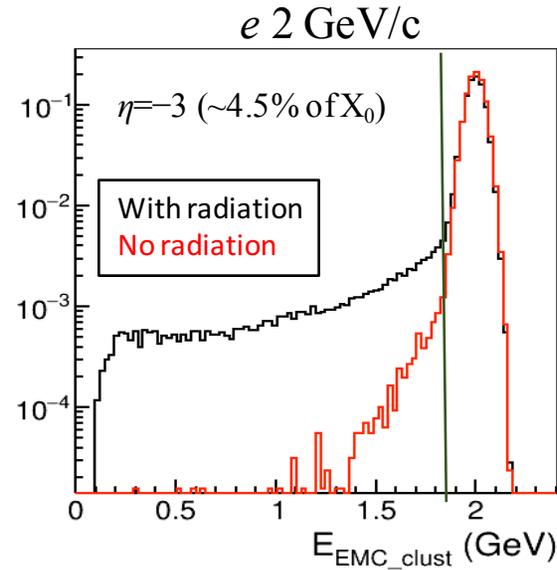
For projective  
geometry

“Non-projectivity” term  
(from long. shower fluct.)  
 $d \sim X_0$

Position resolution is dominated by  
“non-projectivity” term

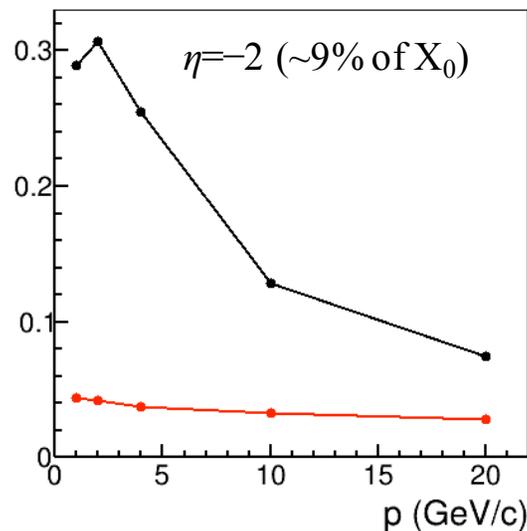
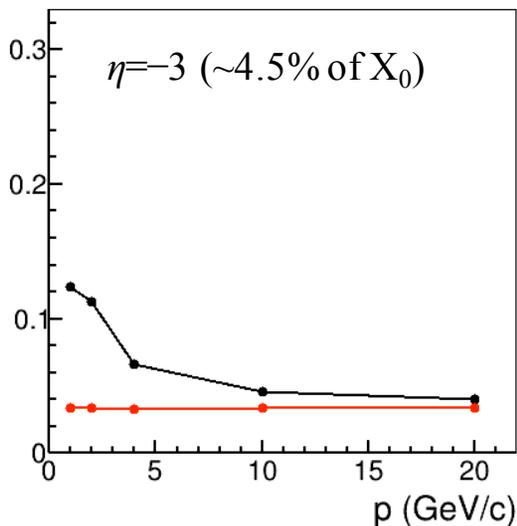


# Effect of Material Upfront

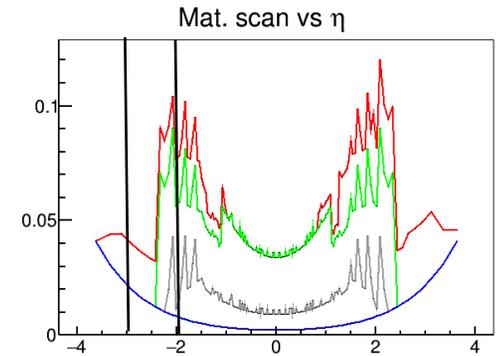


Eff:  $E_{\text{EMC}} > E_{\text{nom}} - 2 \sigma_{\text{EMC}}$

Losses vs  $p$  (GeV/c)



2.3% for a pure Gaussian response



E/p matching performance for eID will be significantly affected!

Material effect may neutralize the power of high resolution EMCal and tracking for e/ $\pi$  separation

We'd better know when we measure  $e$  vs  $e + \gamma$  in the EMCal

# Preshower?

- May address a number of issues raised above
- Will relax the requirements for the EMCal

2-3 X0 absorber + MIP detector

- High probability to initiate EM shower  
Compared to hadronic shower
- Small shower transverse size ( $\sim 1$  mm)  
A few cm in the EMCal

## $e/\pi$ separation

Additional  $\pi^\pm$  suppression factor of 4-10 is expected

## $\gamma/\pi^0$ discrimination

In proposed geometry and  $p$  range ( $< 50$  GeV/c), the minimal distance between decay photons is  $\sim 1.5$  cm  
 $\Rightarrow 0.5$  cm granularity may be enough

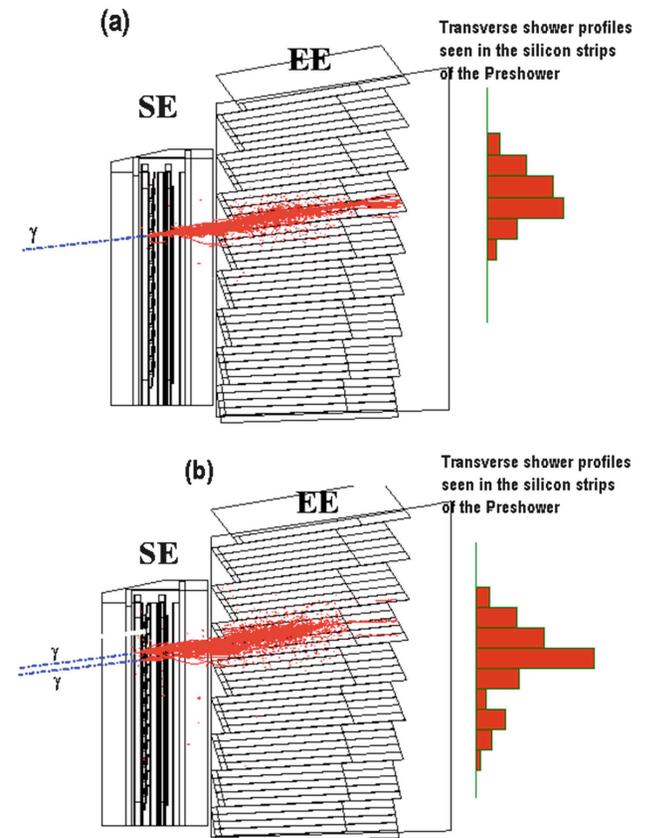
## $e/e+\gamma$ separation

Will mitigate the material effect (e.g. in E/p matching)

## $e$ & $\gamma$ position resolution improved

Particularly vs non-projective EMCal

## CMS preshower+PWO EMCal



# YR: Detector Requirements (from Physics WG)

$\eta$	Nomenclature		Tracking				Electrons and Photons			$\pi/K/p$ PID		HCAL		Muons					
			Min $p_T$	Resolution	Allowed $X/X_0$	Si-Vertex	Min E	Resolution $\sigma_E/E$	PID	p-Range (GeV/c)	Separation	Min E	Resolution $\sigma_E/E$						
-6.9 — -5.8	↓ p/A	Auxiliary Detectors	low- $Q^2$ tagger	$\delta\theta/\theta < 1.5\%$ ; $10^{-6} < Q^2 < 10^{-2} \text{ GeV}^2$															
...																			
-4.5 — -4.0			Instrumentation to separate charged particles from $\gamma$																
-4.0 — -3.5																			
-3.5 — -3.0	Central Detector	Backwards Detectors		$\sigma_p/p \sim 0.1\% \times p + 2.0\%$		$\sigma_{xy} \sim 30 \mu\text{m}/p_T + 40 \mu\text{m}$	2%/ $\sqrt{E} + (1-3)\%$	$\pi$ suppression up to $1:10^4$	$\leq 7 \text{ GeV}/c$	$\geq 3\sigma$	$\sim 500 \text{ MeV}$	$\sim 50\%/\sqrt{E} + 6\%$	Useful for bkg, improve resolution						
-3.0 — -2.5																			
-2.5 — -2.0						$\sigma_p/p \sim 0.05\% \times p + 1.0\%$						$\sigma_{xy} \sim 30 \mu\text{m}/p_T + 20 \mu\text{m}$		7%/ $\sqrt{E} + (1-3)\%$				$\sim 45\%/\sqrt{E} + 6\%$	
-2.0 — -1.5																			
-1.5 — -1.0																			
-1.0 — -0.5																			
-0.5 — 0.0																			
0.0 — 0.5					Barrel	100 MeV $\pi$ 135 MeV K	$\sigma_p/p \sim 0.05\% \times p + 0.5\%$					$\sim 5\%$ or less		$\sigma_{xyz} \sim 20 \mu\text{m}$ , $d_0(z) \sim d_0(r\phi) \sim 20/p_T \text{ GeV} \mu\text{m} + 5 \mu\text{m}$		$\leq 10 \text{ GeV}/c$			$\sim 85\%/\sqrt{E} + 7\%$
0.5 — 1.0																$\leq 15 \text{ GeV}/c$			
1.0 — 1.5																$\leq 30 \text{ GeV}/c$			
1.5 — 2.0																			
2.0 — 2.5			Forward Detectors		$\sigma_p/p \sim 0.05\% \times p + 1.0\%$		$\sigma_{xy} \sim 30 \mu\text{m}/p_T + 20 \mu\text{m}$		$\leq 50 \text{ GeV}/c$										
2.5 — 3.0																			
3.0 — 3.5					$\sigma_p/p \sim 0.1\% \times p + 2.0\%$		$\sigma_{xy} \sim 30 \mu\text{m}/p_T + 40 \mu\text{m}$ $\sigma_{xy} \sim 30 \mu\text{m}/p_T + 60 \mu\text{m}$		$\leq 30 \text{ GeV}/c$ $\leq 45 \text{ GeV}/c$			$\sim 35\%/\sqrt{E}$							
3.5 — 4.0	↑ e	Auxiliary Detectors	Instrumentation to separate charged particles from $\gamma$																
4.0 — 4.5																			
...																			
> 6.2			Proton Spectrometer		$\sigma_{\text{intrinsic}}( f / t ) < 1\%$ ; Acceptance: $0.2 < p_T < 1.2 \text{ GeV}/c$														

# YR: Detector options (from Detector WG)

system	system components	reference detectors	detectors, alternative options considered by the community		
tracking	vertex	MAPS, 20 um pitch	MAPS, 10 um pitch		
	barrel	TPC	TPC <sup>a</sup>	MAPS, 20 um pitch	MICROMEGAS <sup>b</sup>
	forward & backward	MAPS, 20 um pitch & sTGCs <sup>c</sup>	GEMs	GEMs with Cr electrodes	
	very far forward & far backward	MAPS, 20 um pitch & AC-LGAD <sup>d</sup>	TimePix (very far backward)		
ECal	barrel	W powder/ScFi or Pb/Sc Shashlyk	SciGlass	W/Sc Shashlyk	
	forward	W powder/ScFi	SciGlass	PbGI	Pb/Sc Shashlyk or W/Sc Shashlyk
	backward, inner	PbWO <sub>4</sub>	SciGlass		
	backward, outer	SciGlass	PbWO <sub>4</sub>	PbGI	W powder/ScFi or W/Sc Shashlyk <sup>e</sup>
	very far forward	Si/W	W powder/ScFi	crystals <sup>f</sup>	SciGlass
h-PID	barrel	High performance DIRC & dE/dx (TPC)	reuse of BABAR DIRC bars	fine resolution TOF	
	forward, high p	double radiator RICH (fluorocarbon gas, aerogel)	fluorocarbon gaseous RICH	high pressure Ar RICH	
	forward, medium p		aerogel		
	forward, low p	TOF	dE/dx		
	backward	modular RICH (aerogel)	proximity focusing aerogel		
e/h separation at low p	barrel	hpDIRC & dE/dx (TPC)	very fine resolution TOF		
	forward	TOF & areogel			
	backward	modular RICH	adding TRD	Hadron Blind Detector	
HCal	barrel	Fe/Sc	RPC/DHCAL	Pb/Sc	
	forward	Fe/Sc	RPC/DHCAL	Pb/Sc	
	backward	Fe/Sc	RPC/DHCAL	Pb/Sc	
	very far forward	quartz fibers/ scintillators			

<sup>a</sup> TPC surrounded by a micro-RWELL tracker

<sup>b</sup> set of coaxial cylindrical MICROMEGAS

<sup>c</sup> Small-Strip Thin Gas Chamber (sTGC)

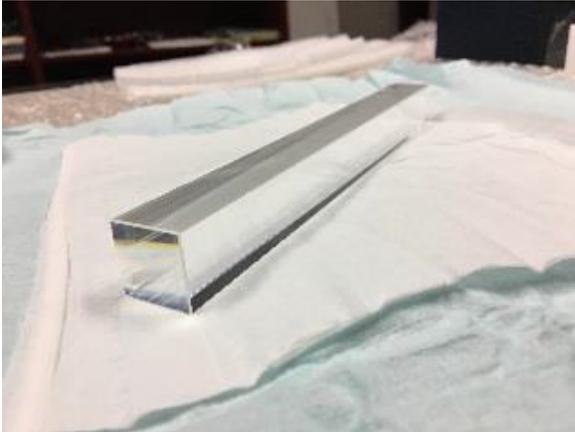
<sup>d</sup> MAPS for B0 and off-momentum poarticles, LGAD for Roman Pots

<sup>e</sup> also Pb/Sc Shashlyk

<sup>f</sup> alternative options: PbWO<sub>4</sub>, LYSO, GSO, LSO

# Homogeneous EMCal: PbWO<sub>4</sub>

eRD1: T.Horn



Scintillating light => photo sensor

$X_0 = 0.9\text{cm}$  => Compactness

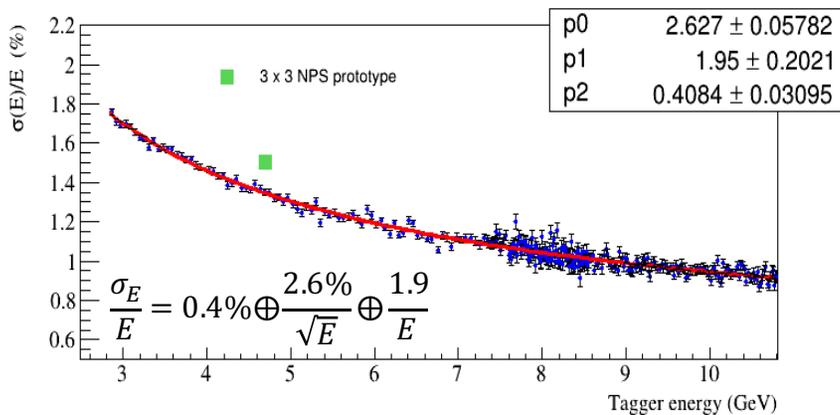
$R_m = 2\text{cm}$  => High granularity

$\frac{\sigma_E}{E} = (0.4 - 1)\% \oplus \frac{(2-3)\%}{\sqrt{E}}$  => High resolution

$>1000\text{ krad}$  => Radiation hard

$d(\text{LY})/dT = -(2-3)\%/^{\circ}\text{C}$  => Temperature sensitive

Jlab-PrimEx eta/NPS PWO EMCal prototype



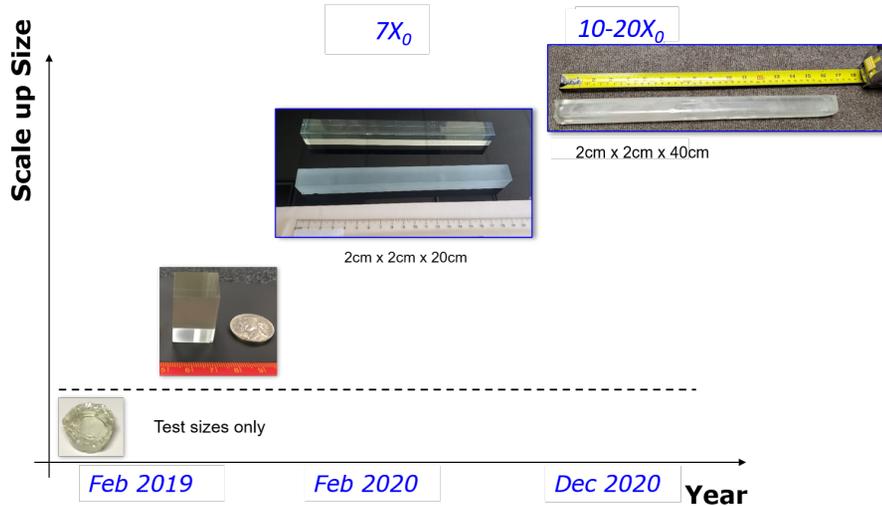
An ideal EMCal for EIC Detector

# Homogeneous EMCal: SciGlass

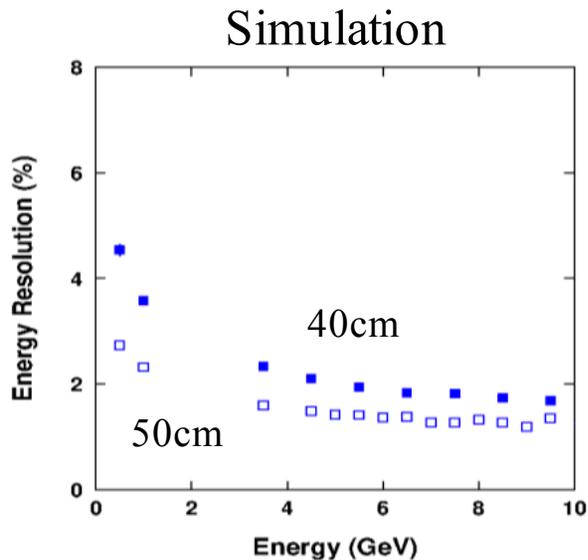
eRD1: T.Horn

Scintillating light => photo sensor

- Scaling up to  $\sim 20X_0$  in 2021  
With acceptable mechanical and optical properties
- Test beam in 2021
- Prepare for the large scale production



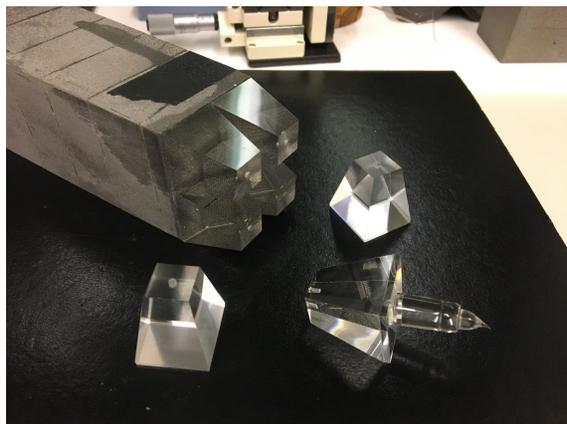
Alternative to high resolution (expensive) EMCal



	PWO	SciGlass
$X_0$ , cm	0.9	2-3
$R_m$ , cm	2.0	2.2 – 2.8
$\frac{\sigma_E}{E}$ , %	$(0.4 - 1)\% \oplus \frac{(2 - 3)\%}{\sqrt{E}}$	Similar for the same length in $X_0$
Rad. Tolerance, krad	>1000	>1000
$d(LY)/dT$ , %/°C	2-3	0

# Sampling EMCal: W/SciFi

eRD1: C.Woody & O.Tsai

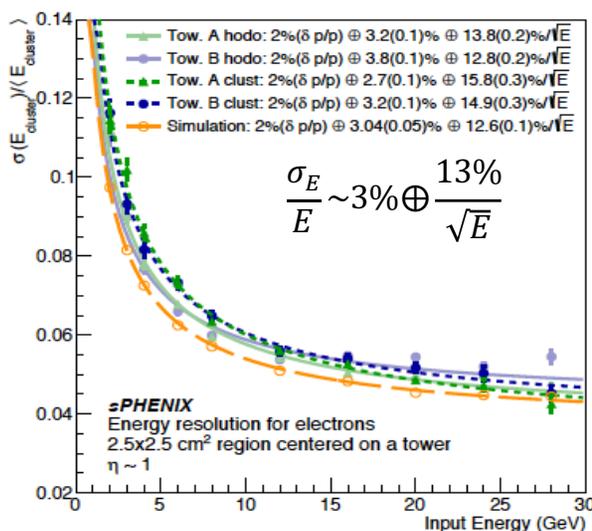


Light generated in scintillating fibers, embedded in an absorber (W/epoxy mix), is transported to photo sensor

## sPHENIX barrel EMCal:

- Compact:  $X_0 = 0.7\text{cm}$
- High granularity:  $R_m = 2\text{cm}$
- Sampling fraction:  $\sim 2.3\%$
- Modest resolution

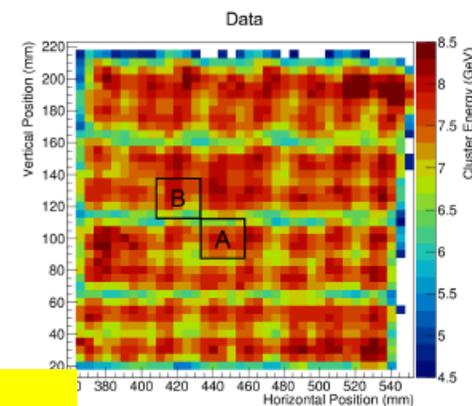
## BNL-sPHENIX: W/SciFi



Can be improved by increasing the sampling fraction, at the expense of larger  $X_0$  and  $R_m$

## R&D:

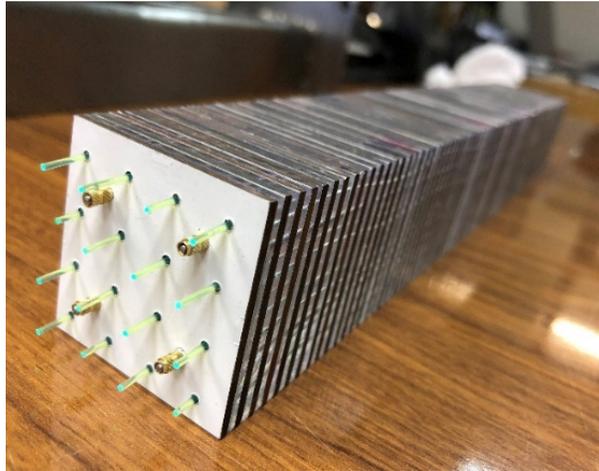
Improve light collection eff. and uniformity



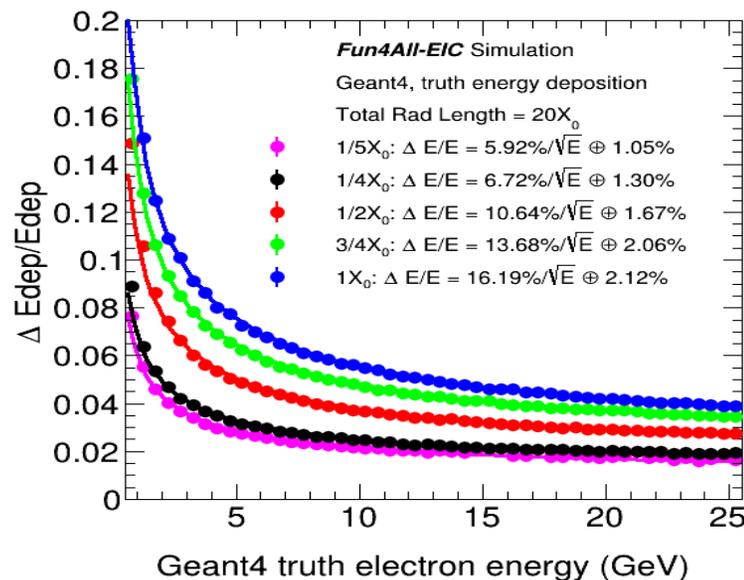
Close to satisfy EIC Detector requirements in barrel and forward region

# Sampling EMCal: W/Sc shashlik

eRD1: C.Woody&E.Kistenev



$X_0 \sim 0.9\text{cm}$   $R_m \sim 2\text{cm}$

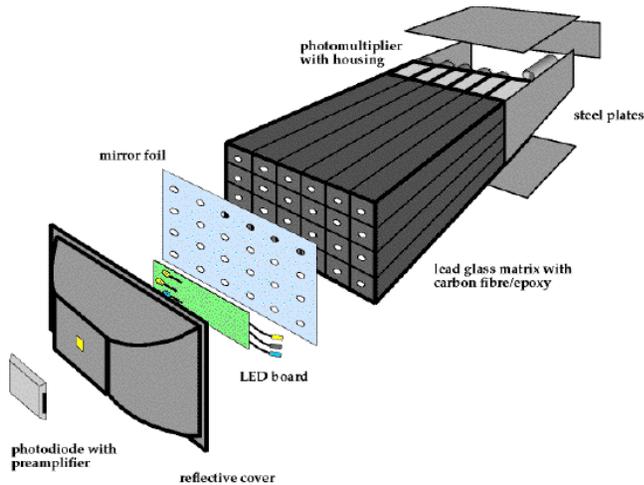


Light generated in scintillating tiles transported through the WLS fibers to photo sensors

- Each fiber readout by its own SiPM
- More detailed info on shower development within a tower
  - Improve position resolution
  - Improve energy res. (const term)
  - May improve  $\gamma/\pi^0$  discrimination
- Tunable resolution through the change of sampling fraction and/or frequency:
 
$$\frac{\sigma_E}{E} = (1 - 2)\% \oplus \frac{(6 - 16)\%}{\sqrt{E}}$$
- Test beam data in 2021

Satisfies EIC Detector requirements everywhere except for the most backward region

# Refurbish existing EMCals



## PbGI

A lot of modules from previous experiments:

$$\frac{\sigma_E}{E} \sim \frac{6\%}{\sqrt{E}} \oplus 1\%$$

Good  $e/\pi$  separation

$\Rightarrow$  Good candidate for e-endcup ( $\eta > -2$ )

## PbSc-Shashlik

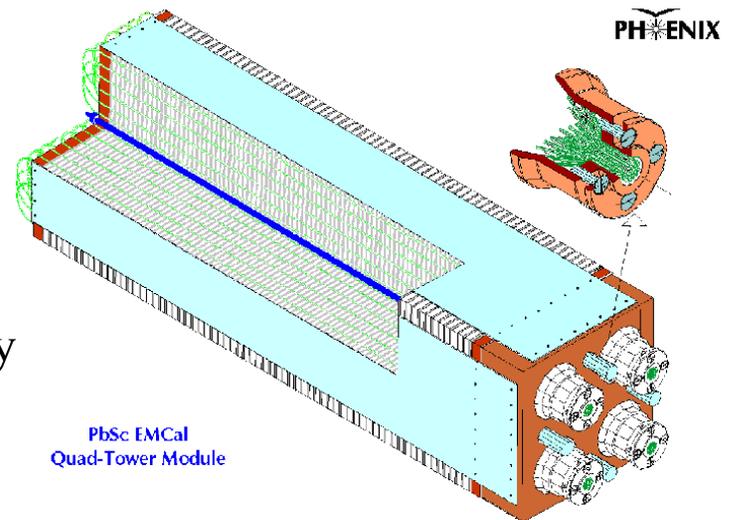
$\sim 15k$  towers from PHENIX ( $5.5 \times 5.5 \text{ cm}^2$ )

$$\frac{\sigma_E}{E} \sim \frac{8\%}{\sqrt{E}} \oplus 2\%$$

Each fiber readout would make it of high granularity

Shower core is  $\ll R_m$  !

$\Rightarrow$  Good candidate for h-endcup



# Summary



- Detector requirements defined by YR Physics Working groups
- All requirements initially defined for EMCAL can be satisfied with the existing technologies
  - Different technologies can be used in each kin. regions
  - Space is at premium; larger space would allow more options
  - Active R&D for different technologies
  - Existing EMCALs to refurbish
  - Preshower may help to enhance EMCAL capabilities

# Backup

# YR: Detector options (from Detector WG)

From YR Wiki

Table.5 Calorimetry for EIC

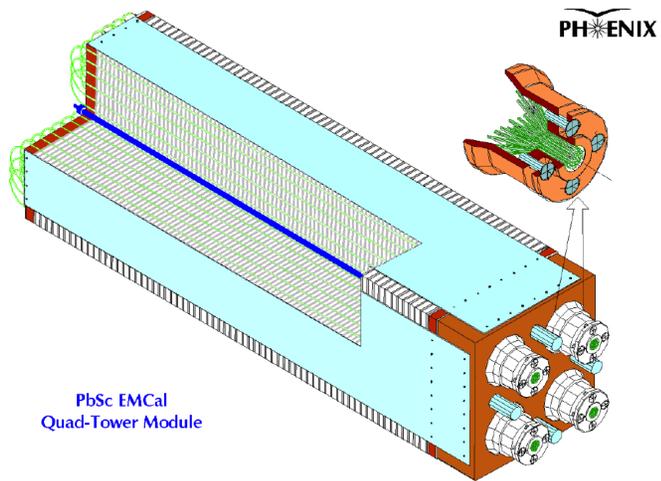
$\eta$	ECAL								HCAL				
	Total depth, cm	Depth, RL	Energy resolution $\sigma E/E$ , %	Spatial resolution $\sigma X$ , mm	Granularity, mm <sup>2</sup>	Min. photon energy, MeV	PID e/ $\pi$ , $\pi$ suppression	Technology examples*	total depth, cm	Energy resolution $\sigma E/E$ , %	Spatial resolution $\sigma X$ , mm	Granularity, mm <sup>2</sup>	Technology examples
-4.0:-2.0	38	22	$1.0 \oplus 2.2 \sqrt{E} \oplus 1.0/E$	$3 \sqrt{E} \oplus 1$	20x20	20	1000	PbWO <sub>4</sub> crystals	105	$50 \sqrt{E} \oplus 10$	$50 \sqrt{E} \oplus 30$	100x100	Fe/Sc
-2.0:-1.0	38	20	$1.5 \oplus 8.0 \sqrt{E} \oplus 2/E$	$3 \sqrt{E} \oplus 1$	25x25	50	300	W/Sc Shashlyk	105	$50 \sqrt{E} \oplus 10$	$50 \sqrt{E} \oplus 30$	100x100	Fe/Sc
	38	20	$2 \oplus 12 \sqrt{E} \oplus 2/E$	$3 \sqrt{E} \oplus 1$	25x25	50		W powder/ScFi					
	50	22	$1.5 \oplus (7-8) \sqrt{E} \oplus 2/E$	$6 \sqrt{E} \oplus 1$	40x40	50		Pb/Sc Shashlyk					
	50 (65)**	13* 16*	? $1.5 \oplus 4.0 \sqrt{E} \oplus 2/E$	$6 \sqrt{E} \oplus 1$ $6 \sqrt{E} \oplus 1$	40x40 40x40	30 30		SciGlass SciGlass					
-1.0:1.0	30	18	$2 \oplus 12 \sqrt{E} \oplus 2/E$	$3 \sqrt{E} \oplus 1$	25x25	100	300	W/Sc Shashlyk	110	$100 \sqrt{E} \oplus 10$	$50 \sqrt{E} \oplus 30$	100x100	Fe/Sc
	30	18	$3 \oplus 14 \sqrt{E} \oplus 2/E$	$3 \sqrt{E} \oplus 1$	25x25	100	300	W powder/ScFi					
	38	22	$1.0 \oplus 2.2 \sqrt{E} \oplus 1.0/E$	$3 \sqrt{E} \oplus 1$	20x20	20	1000	PWO					
	65*	16*	$1.5 \oplus 4.0 \sqrt{E} \oplus 2/E$	$6 \sqrt{E} \oplus 1$	40x40	30	300	SciGlass					
1.0:4.0	38	20	$1.5 \oplus 8.0 \sqrt{E} \oplus 2/E$	$3 \sqrt{E} \oplus 1$	25x25	100	300	W/Sc Shashlyk	105	$50 \sqrt{E} \oplus 10$	$50 \sqrt{E} \oplus 30$	100x100	Fe/Sc
	38	20	$2 \oplus 12 \sqrt{E} \oplus 2/E$	$3 \sqrt{E} \oplus 1$	25x25	100		W powder/ScFi					
	(50)**	22	$1.5 \oplus 10.0 \sqrt{E} \oplus 2/E$	$6 \sqrt{E} \oplus 1$	40x40	100		Pb/Sc Shashlyk					
	(65)**	16*	$1.5 \oplus 4.0 \sqrt{E} \oplus 2/E$	$6 \sqrt{E} \oplus 1$	40x40	30		SciGlass					

All technologies are either mature or a part of ongoing R&D

# EMCal in Central Detector

	E-endcup -4< $\eta$ <-2	E-endcup -2< $\eta$ <-1	Barrel   $\eta$  <2	H-endcup 1< $\eta$ <4	
Resolution, $\frac{\sigma_E}{E}$	$\frac{2\%}{\sqrt{E}} \oplus (1-3)\%$	$\frac{7\%}{\sqrt{E}} \oplus (1-3)\%$	$\frac{10-12\%}{\sqrt{E}} \oplus (1-3)\%$	$\frac{10-12\%}{\sqrt{E}} \oplus (1-3)\%$	Important to minimize const term
Min E, GeV	0.1	0.1	0.1	0.1	To measure decays
Granularity, $\Delta\theta$	<0.02	<0.02	<0.025	<0.01	Defines $\gamma/\pi^0$ discr., helps for e/ $\pi$
Projectivity	Desirable	Desirable	Yes	Desirable	Affects $\gamma/\pi^0$ discr and pos. res
Avail. space	$\Delta z=60\text{cm}$	$\Delta z=60\text{cm}$	$\Delta r=30\text{cm}$	$\Delta z=40\text{cm}$	Including all services

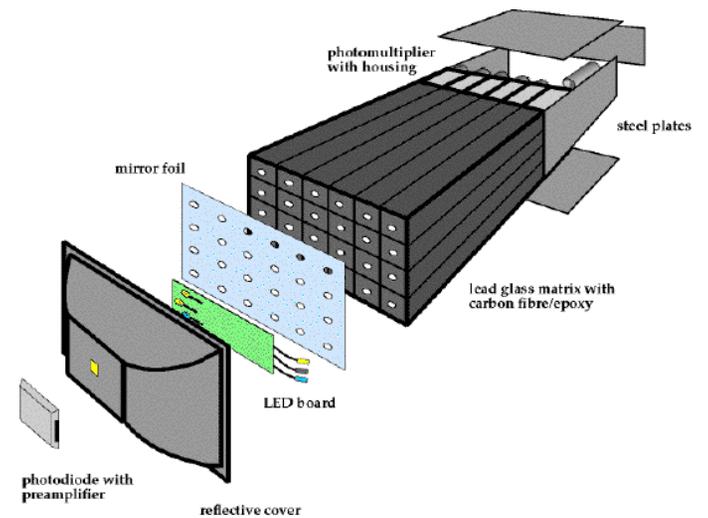
# Available options



PHENIX PbSc  
18X0 (X0 ~ 2cm)  
5.5 x 5.5 x 37.5 cm<sup>3</sup>

PHENIX PbG1  
14.4X0 (X0 ~ 2.8cm)  
4 x 4 x 40 cm<sup>3</sup>

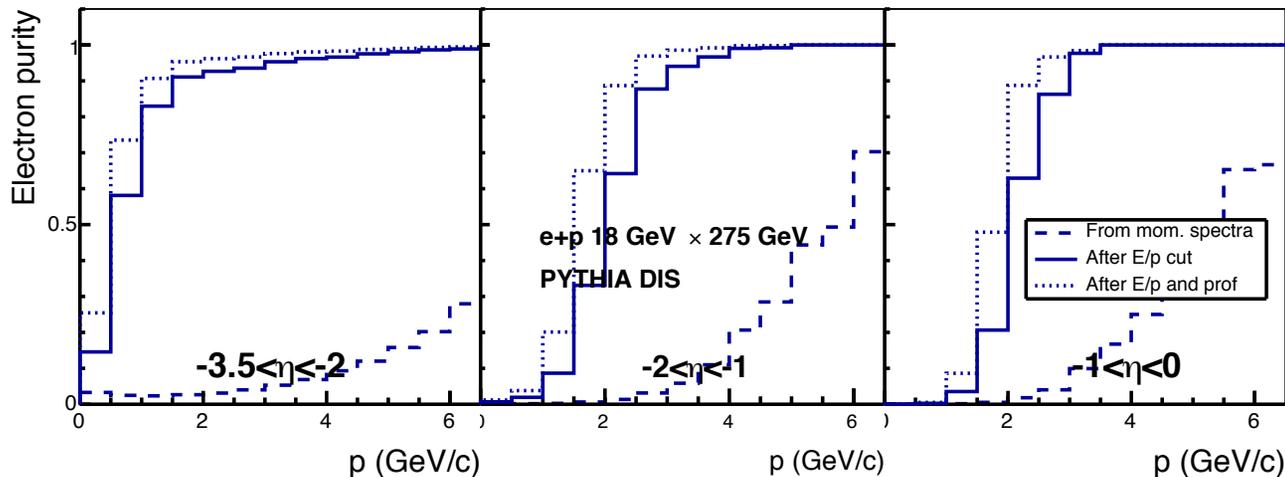
Many other PbG1 modules are available  
at BNL and JLab



# e/ $\pi$ separation

For DIS electron

$-3.5 < \eta < -2$	$-2 < \eta < -1$	$-1 < \eta < 1$
$\frac{\sigma_E}{E} = \frac{2.5\%}{\sqrt{E}} \oplus 1\%$	$\frac{\sigma_E}{E} = \frac{7\%}{\sqrt{E}} \oplus 2\%$	$\frac{\sigma_E}{E} = \frac{12\%}{\sqrt{E}} \oplus 2\%$



e-endcup:  $p < 2$  GeV/c will be covered by mRICH  
 barrel:  $p < 1.3$  GeV/c will be covered by DIRC

Additional eID capabilities for  $1 < p < 4$  GeV/c would be highly desirable

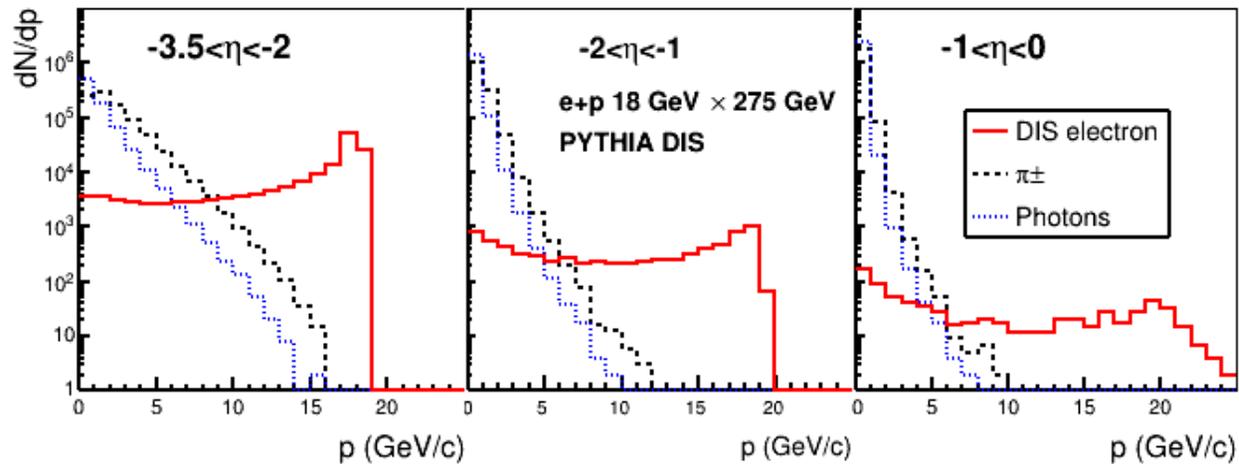
## Ideal case:

- No material on the way to EMCAL
- Perfect EMCAL (no gaps/cracks)
- Gaussian response to electron

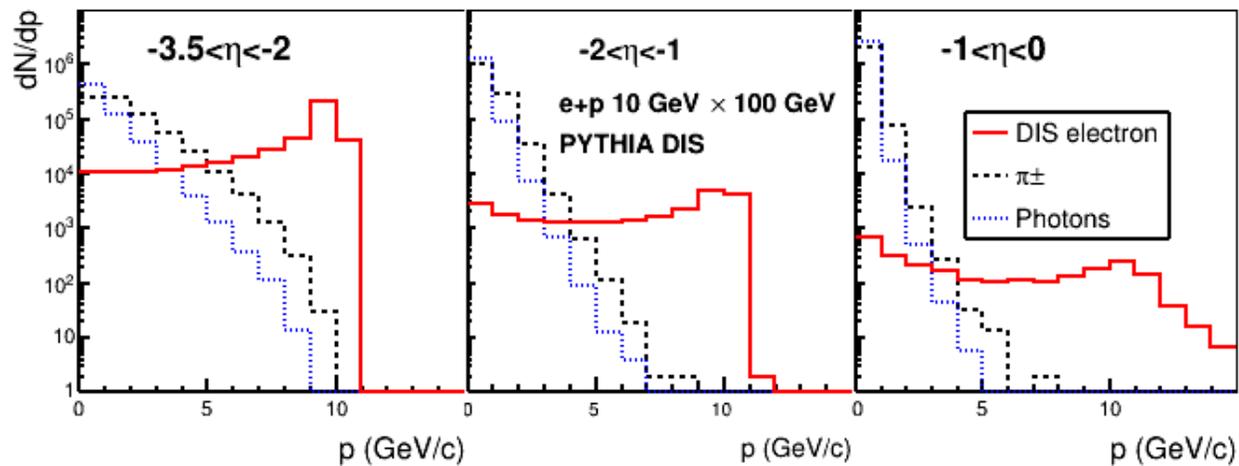
$$\text{Purity} = e / (e+h)$$

Expect to get high  $e$  purity  
 at  $>4$  GeV/c for 18 GeV  
 electron beam

( $>3$  GeV/c for 10 GeV  
 electron beam)

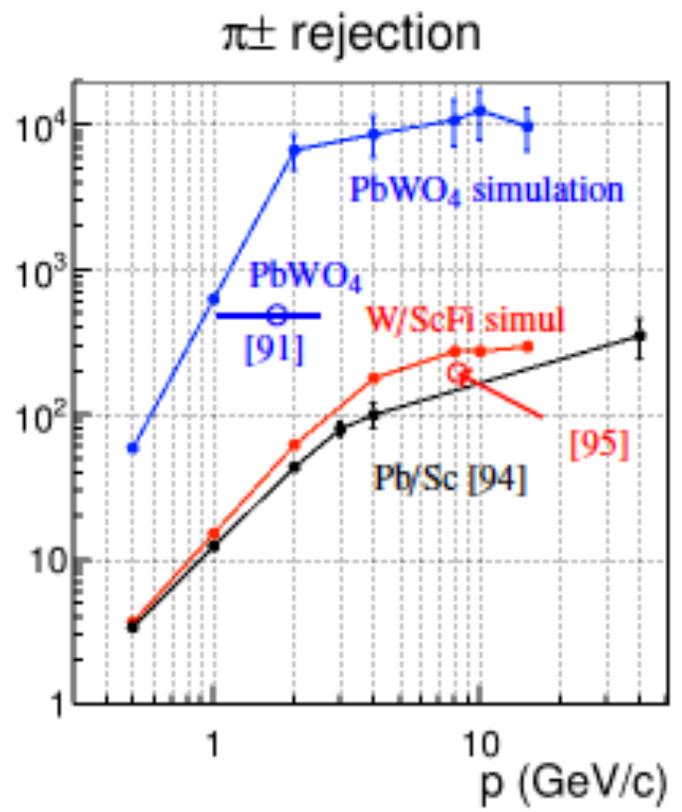
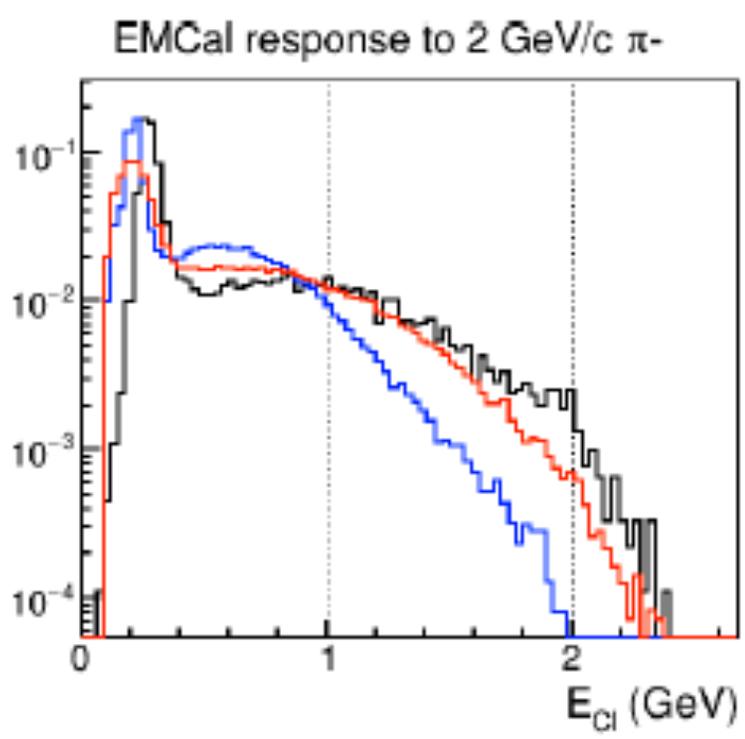


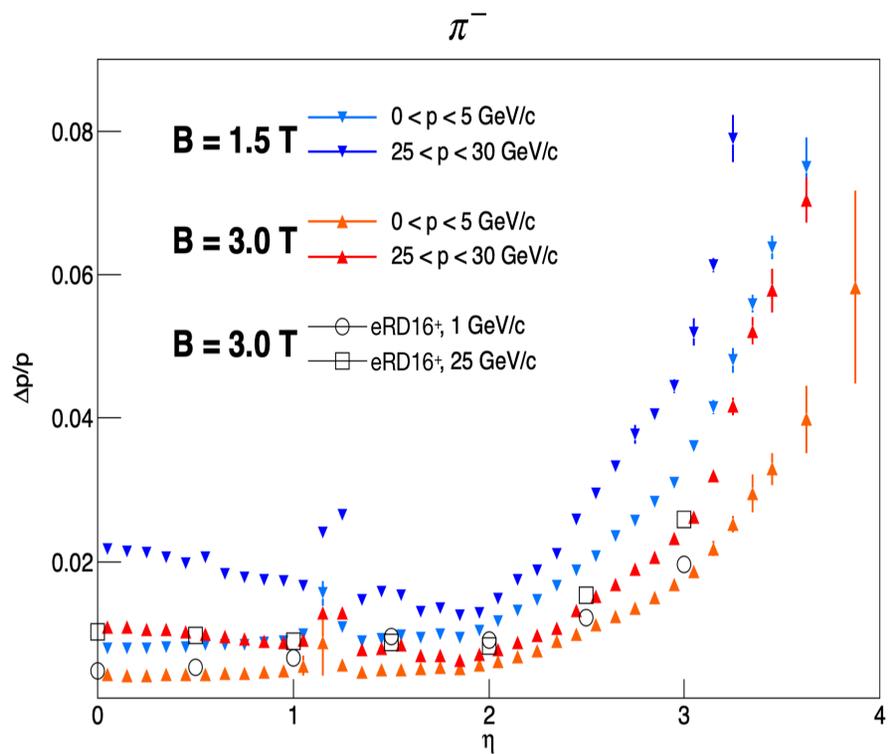
18x275 GeV



10x100 GeV

Clean measurements at higher momenta  
Huge background at lower momenta





BaBar-based Tracking model:  
 TPC (barrel), Si +GEM (forw)  
 (Fun4All-GEANT4 simulation)

