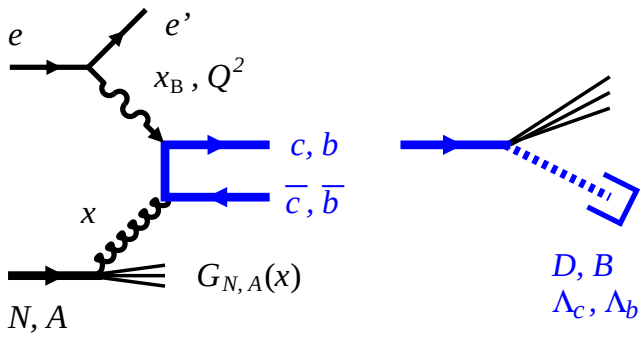
Open heavy flavor production and reconstruction with EIC at lower CM energies

C. Weiss (JLab), IR2@EIC Workshop, 18-Mar-21

Jefferson Lab



E. Chudakov, D. Higinbotham, C. Hyde, S. Furletov, Yu. Furletova, D. Nguyen N. Sato, M. Stratmann, M. Strikman, C. Weiss∗, JLab 2016/17 LDRD Project `https://wiki.jlab.org/nuclear_gluons/` [arXiv:1610.08536], [arXiv:1608.08686]

Original study focused on HF production in DIS as probe of nuclear gluons at large x

Results in HF production/reconstruction at EIC can be used for other physics purposes

[Similar studies: Aschenauer et al 2017]

- Physics of open HF production

  Applications, theory, HERA results

- HF production at EIC

  Rates and kinematic dependences

  Momentum and angle distributions

- HF reconstruction at EIC

  Challenges at $x_B \gtrsim 0.1$

  π/K identification, vertex detection

  Exclusive D meson decays

  Inclusive decays with displaced vertex

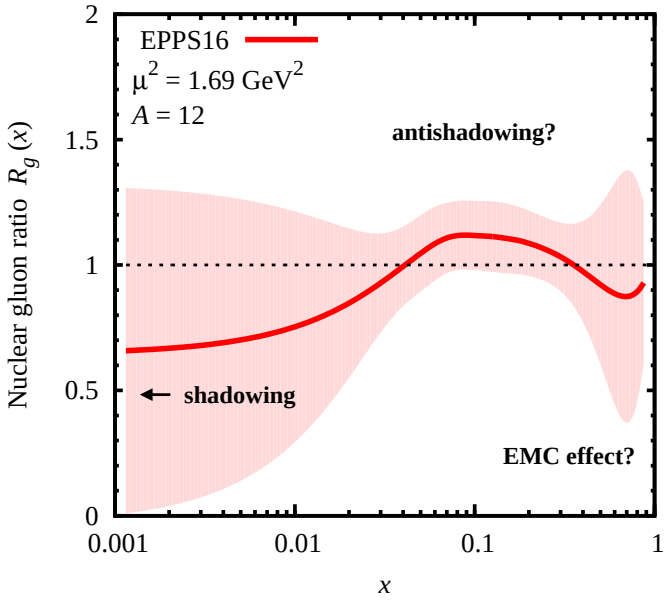
- Future physics studies

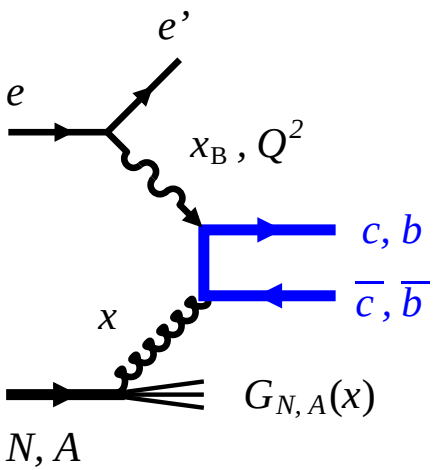
Open HF: Physics interest ep/eA → I. Vitev, this session

- HF production in QCD: Precision calculations, higher orders, mass schemes
- HF in partonic structure: HF as gluon probe, HF PDFs, intrinsic HF at large x
- HF in jets: Jet physics studies, charged-current $s \to c$ events
- HF hadronization: Fragmentation in vacuum, heavy meson decays
- HF in nuclei: Final-state interactions, probe of cold/hot medium
- HF spectroscopy: Near-threshold bound states (XYZ)

Further information and materials:
Workshop "Heavy flavor physics with EIC," CFNS Stony Brook, Nov 4-6, 2020 [Webpage]

Open HF: Gluon probe, production mechanism





- Nuclear gluon density

  Modifications $\leftrightarrow$ nucleon interactions in QCD

  $x \gtrsim 0.1$: EMC effect? Antishadowing?

  Probes: $F_{2A,LA}$ + DGLAP, HF production $\leftarrow$

- HF production in DIS

  LO photon-gluon fusion

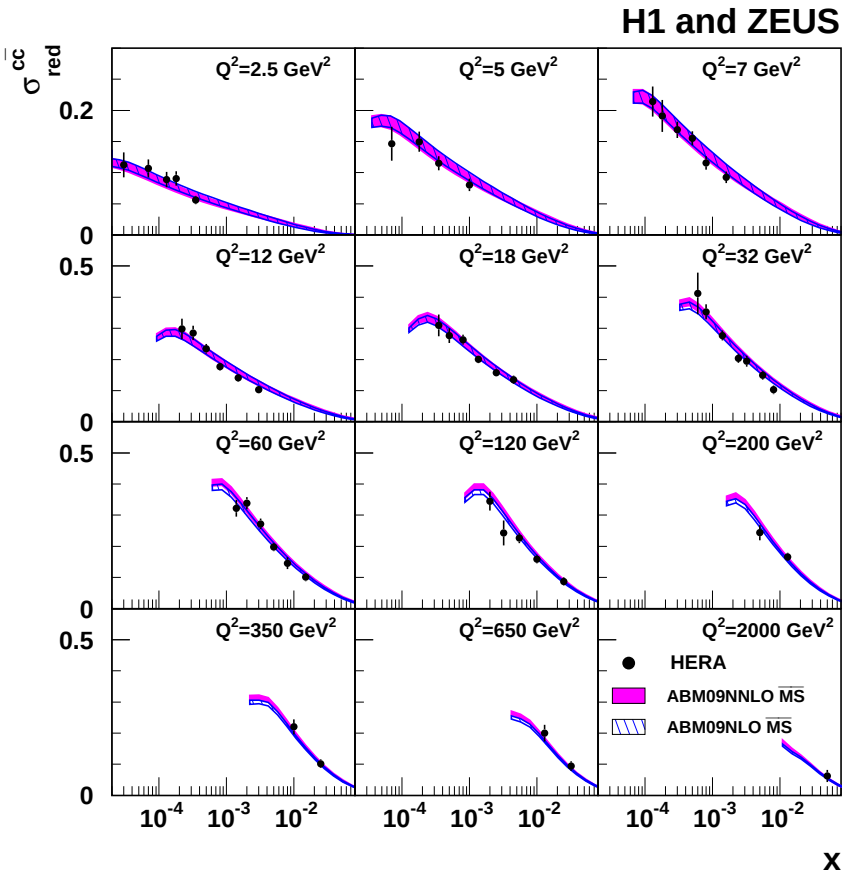
  Probes gluons at $x > ax_B, \quad a = (1 + 4m_h^2/Q^2)$

  NLO+ calculated, uncertainties estimated
  Laenen, Riemersma, Smith Van Neerven, Harris 93+.
  Alekhin, Moch, Blümlein, Vogt, Kawamura et al. 11+

  Inclusive σ^c and differential $d\sigma^c/d\eta dp_T$

  Photoproduction $Q^2 = 0$ also hard process

Open HF: HERA results



H1 + ZEUS summary. H. Abramowicz *et al.* EPJC 73 (2013) 2311

- $c\bar{c}$, $b\bar{b}$ production in $ep/\gamma p$

  Mostly $x < 10^{-2}$

  Various reconstruction methods

  Extensive tests of theory

  Measurements of $c \to D$ and $b \to B$ fragmentation functions

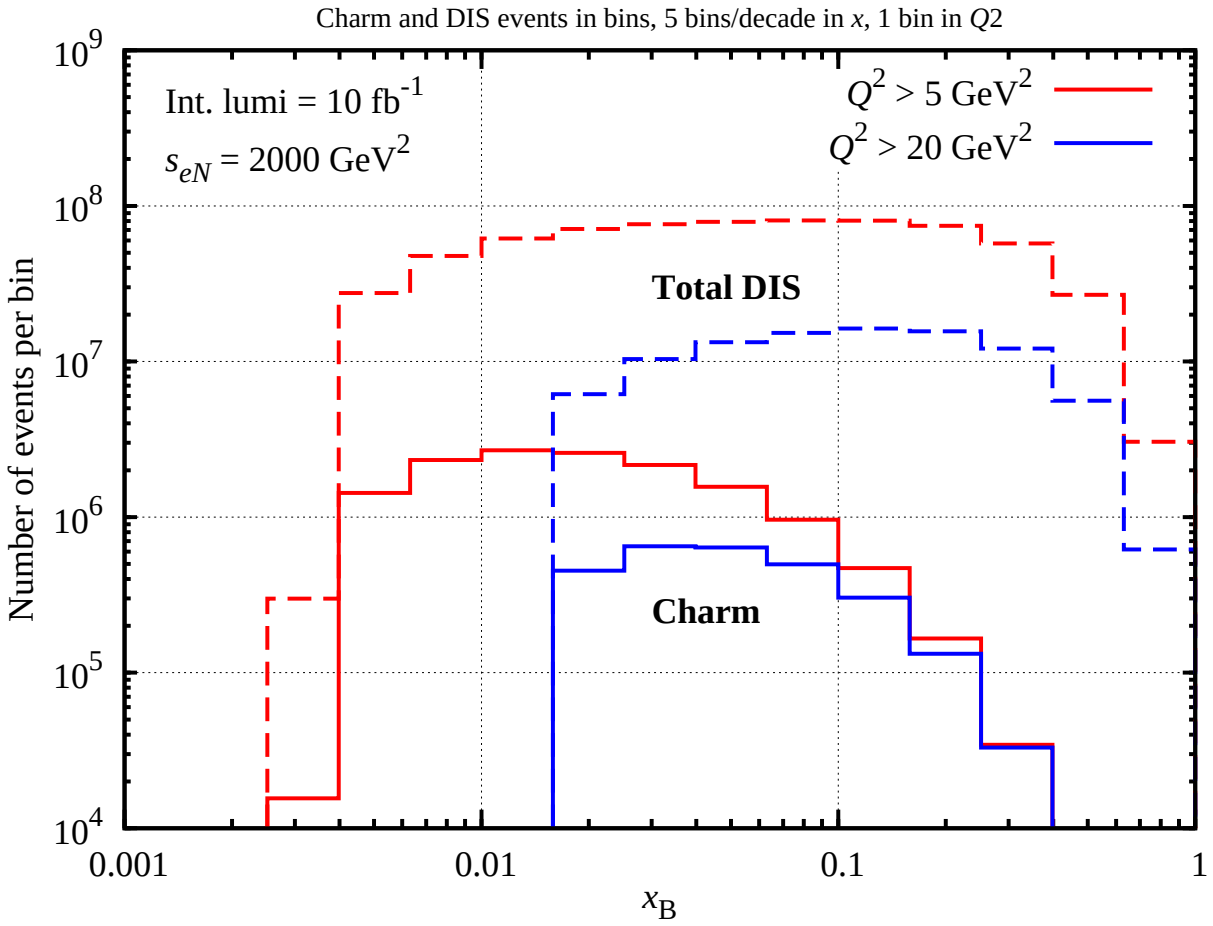
- Simulation tools

  HVQDIS LO/NLO cross secn + MC integration Harris, Smith 98

  Simple codes for QCD cross secns and rate estimates JLab LDRD

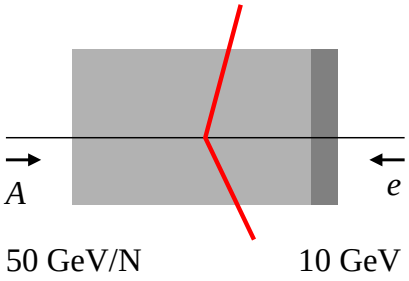
  PYTHIA, HERWIG MC for full DIS final state π, K, ...

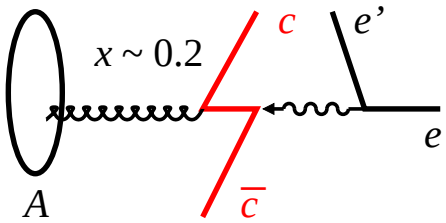
EIC: Charm production rates



- Charm production rates drop rapidly at large x_B
- Charm production rates $\sim 10^5$ at $x_B \sim 0.1$ (int. lumi 10 fb^{-1})
  Defines charm reconstruction efficiency needed for physics
- Charm/DIS ratio $\sim$ 2–3 % at $x_B \sim 0.1$
  Defines charm reconstruction environment

EIC: Charm angle/momentum distributions



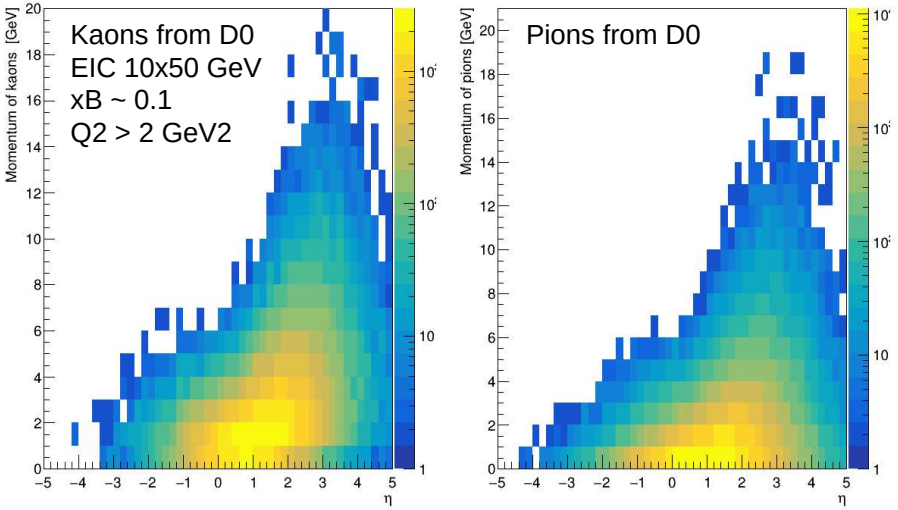


- Large-x $c\bar{c}$ pairs produced at central rapidities if $x \sim E_e/E_N$

  CM frame of electron-gluon collision

  Example: $x = 0.2$ and 10×50 GeV

- π/K from D decays have typical momenta $\lesssim 5$ GeV



- PID and vertex detection available in central detector

  Enables "new" methods of charm reconstruction with EIC

  PID not available at HERA

EIC: Charm reconstruction methods

- Exclusive D-meson decays
- Inclusive decays with displaced vertex

Questions

How well do the methods work at large x_B ($\gtrsim 0.1$)?

What are the overall efficiencies and uncertainties?

What detector performance is required?

Simulations at different levels

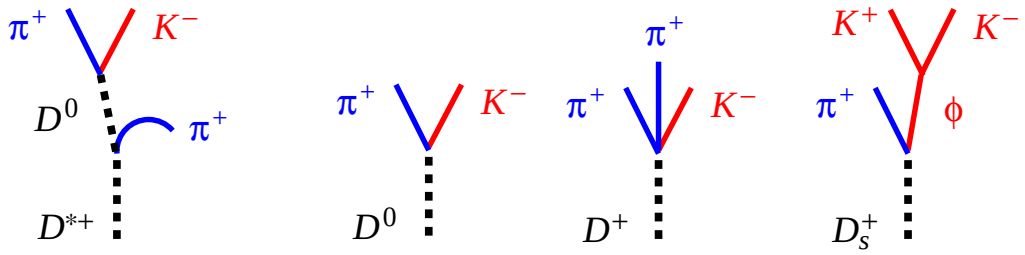
1) Theoretical estimates of reconstruction efficiency

2) Model acceptance and PID performance, describe resolution effects through smearing of vertex and momentum distributions

[3) Tracking and vertexing based on EIC detector model]

EIC: Charm reconstruction with exclusive D's

h_c	f	Decay	BR
D^0	59%	$K^-\pi^+$	3.9%
		$K^-\pi^+\pi^+\pi^-$	8.1%
D^+	23%	$K^-\pi^+\pi^+$	9.2%
D^{*+}	23%	$(K^-\pi^+)_{D0}\ \pi^+_{\rm slow}$	2.6%
		$(K^-\pi^+\pi^+\pi^-)_{D0}\ \pi^+_{\rm slow}$	5.5%
D_s^+	9%	$(K^+K^-)_\phi\ \pi^+$	2.3%
Λ_c^+	8%	$pK^-\pi^+$	5.0%



- Simple exclusive channel $D^{*+} \to \pi^+(\text{slow}) + (K^-\pi^+)_{D0}$

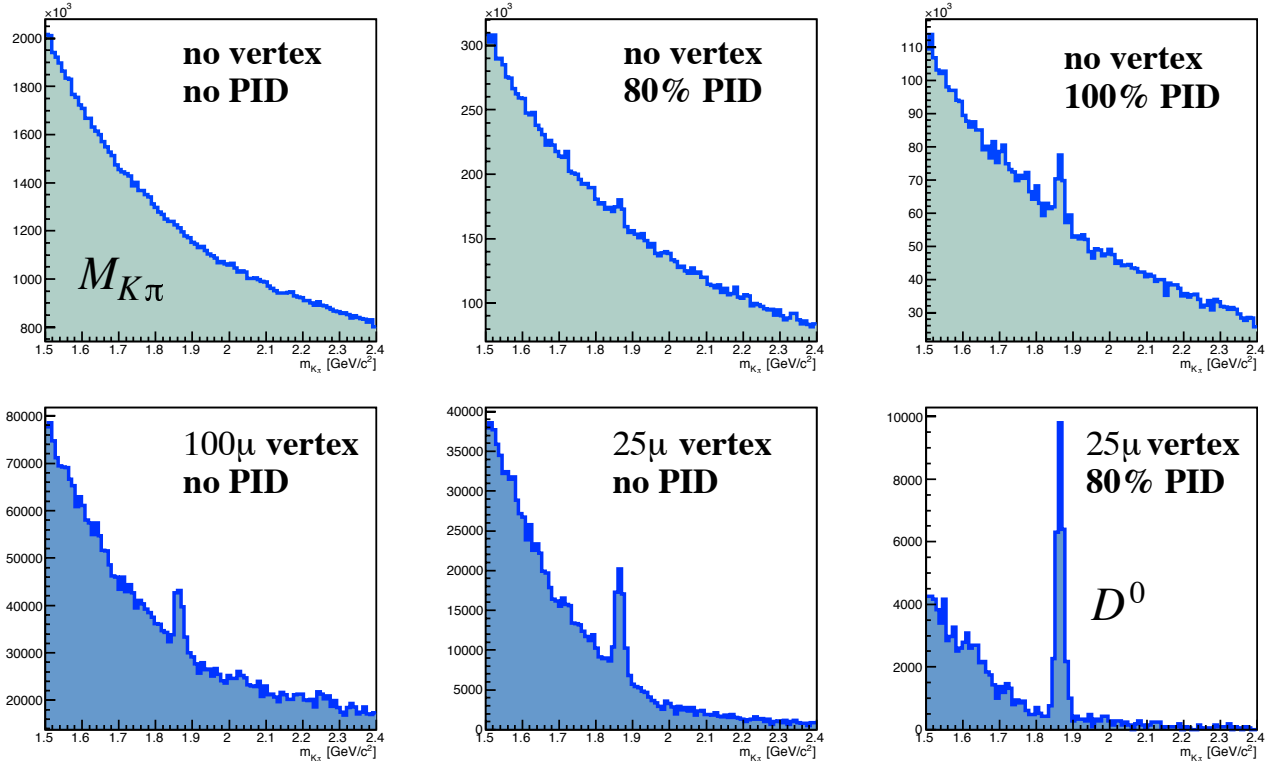
  Used at HERA without PID. Efficiency $< 1\%$

- EIC PID + vertex detection allow use of other exclusive channels D^0, D^+, D_s^+

- Theoretical efficiency $\sim 10\%$ summed over channels

  Fragmentation ratio f $\times$ Branching ratio BR

EIC: Impact of PID and vertex detection



Invariant mass spectrum of two charged tracks/mesons in sample of charm events with $Q^2 > 10$ GeV^2 and $x_B > 0.05$. PYTHIA 6 simulation, arbitrary normalization of event sample, no DIS background, vertex cut 100 μm.

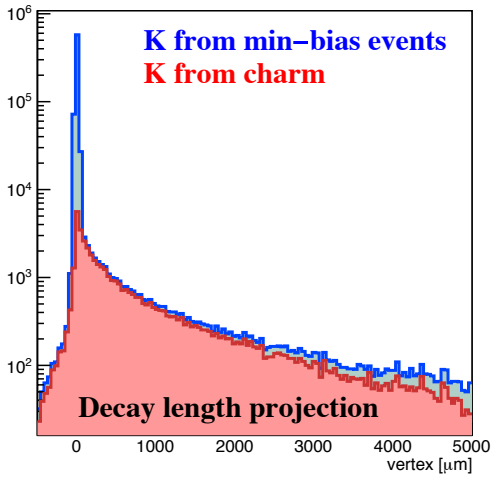
[JLab 2016/17 LDRD]

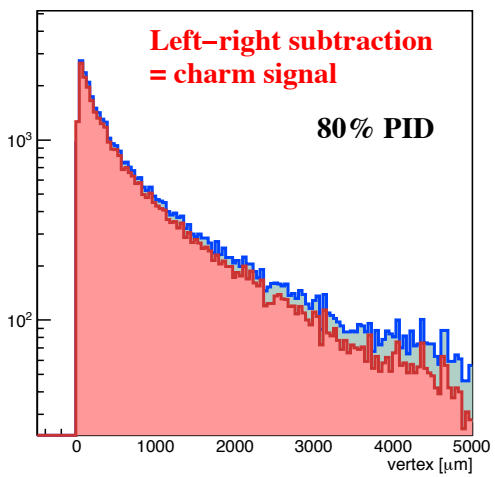
- Example: D^0 meson reconstruction using exclusive decay $D^0 \to K^-\pi^+$

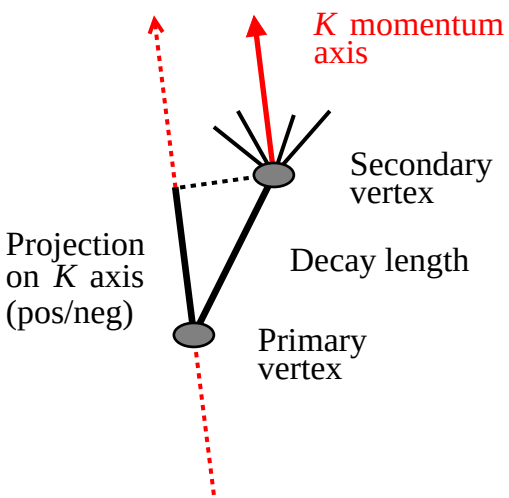
  Level-2 simulation with mass/momentum and vertex smearing

  Also other channels

EIC: Charm reconstruction with inclusive modes







- Decay length significance distribution

  Establish secondary vertex

  Project decay length on jet axis, positive/negative

  Identify D-meson decays through positive projection

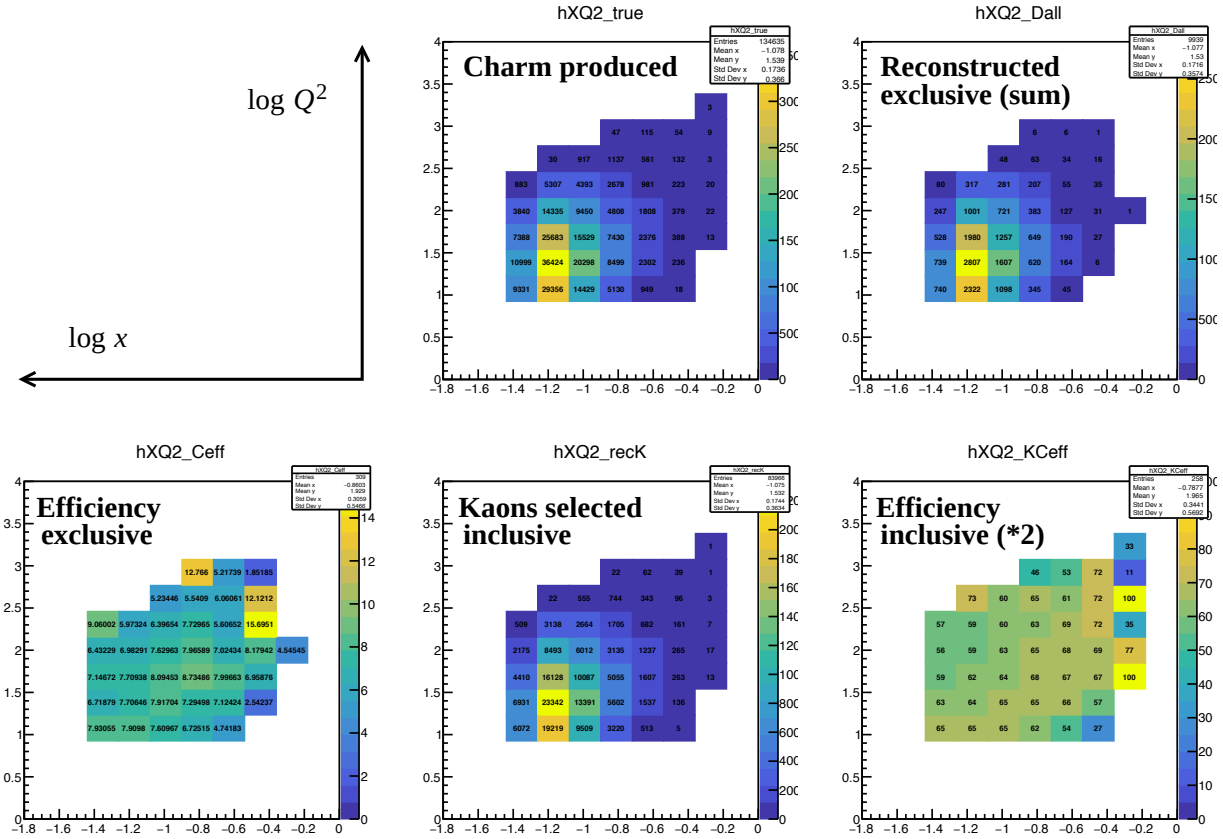
- Used at HERA with vertex detector

- Use for charm at EIC

  Identified K from PID

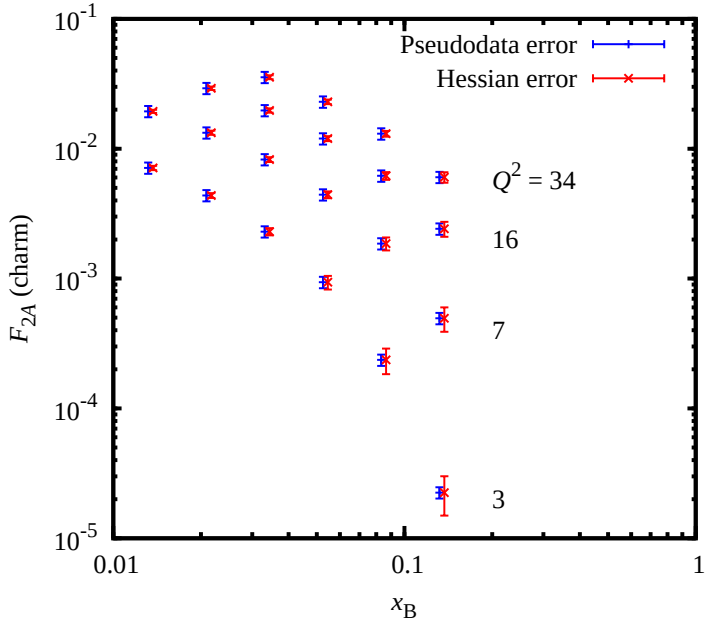
  Efficiency up to $\sim$ 30%

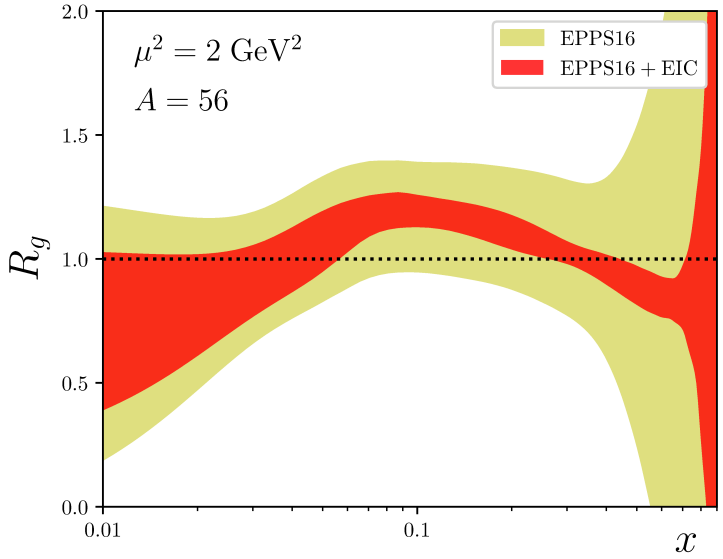
EIC: Charm reconstruction efficiency



- Total efficiency estimated ∼5-7% exclusive, ∼30% inclusive [JLab 2016/17 LDRD]
- Little kinematic variation in (x, Q^2) region of interest
- Systematic uncertainties? HERA $\lesssim 10\%$
- Both vertex detection and PID are essential for charm reconstruction

EIC: Charm impact on large-x gluons in nuclei





- PDF reweighting

  Example: $F_2^c(x, Q^2)$ pseudodata, 10% total uncertainty, dominated by sys, point-to-point

  Impact on EPPS16 NLO PDF C. Andres Casas, N. Sato

- Charm data constrain gluon antishadowing and EMC effect
  See also: Aschenauer et al, PRD 96 114005 (2017)

- Theoretical uncertainties to be estimated

  Nuclear final-state interactions vs. initial-state modifications

Summary

- HF production at $x_B \gtrsim 0.01$ practically unexplored

  Many physics applications: Partonic structure, jets, charged-current events, nuclei

- EIC enables HF production/reconstruction at $x_B \gtrsim 0.1$

  Challenges: Low rates, large DIS background

  Capabilities: π/K PID, vertex detection

  Methods: Exclusive and/or inclusive reconstruction

- HF production should be pursued at both IR1 and IR2

  Role of CM energy?

  Detector complementarity?

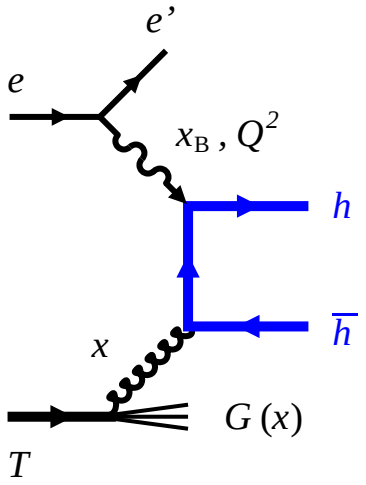
- Simulation tools and results available from Yellow Report and earlier studies
  EIC Software Webpage: `http://eicug.org/web/content/eic-software`

Suggested further studies

- Use of differential charm cross sections for PDF analysis
- Charm reconstruction with high-p_T pairs – rare but distinct events, double tag
- Beauty production and reconstruction
- HF photoproduction using low-Q^2 electron tagger

Supplementary material

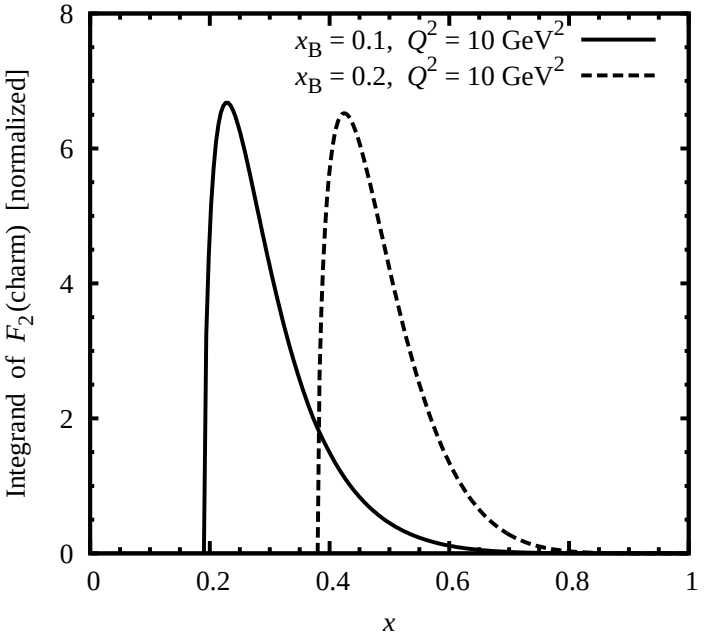
HF productionin QCD: Leading order



$$F_2^h(x_B, Q^2) = \int_{ax_B}^1 \frac{dx}{x}\, xG(x)\, \hat{F}_g^h(x_B/x, Q^2, m_h^2, \mu^2)$$

$$\hat{F}_g^h(\ldots) = e_h^2 g^2 Q^2/m_h^2 \times \text{fun}(x_B/x, Q^2) \qquad \text{coefficient function}$$

$$a = 1 + 4m_h^2/Q^2 \qquad \text{sets limit of } x \text{ integral}$$



- QCD factorization $\gamma^* T \to h\bar{h} + X$

  Inclusive heavy structure functions F_2^h, F_L^h

  Differential cross section $d^4\sigma/dQ^2 d\eta d^2p_T$

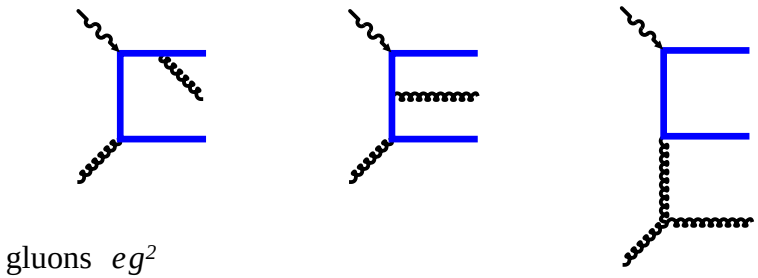
- Photon-gluon fusion at LO $\mathcal{O}(e_h g)$

  Couples to gluons only

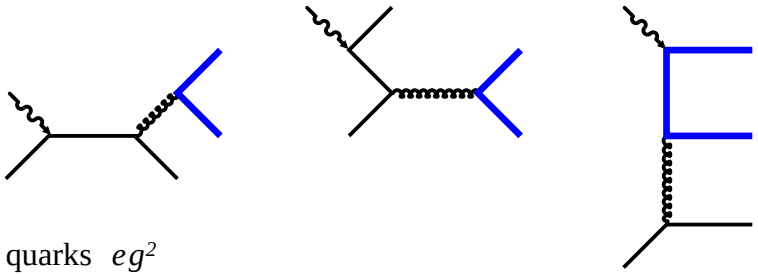
  Integrand localized above $x \sim ax_B$,
  probes gluons almost locally in x

Witten 76; Babcock, Sivers 78;
Vainshtein, Shifman, Zakharov 78; Gluck, Reya 79

HF production in QCD: Higher orders



gluons eg^2



quarks eg^2

- Heavy quark production at NLO

  Sensitivity to light quarks at $\mathcal{O}(e_h g^2)$

  LO photon-gluon fusion large at $x > 0.1$

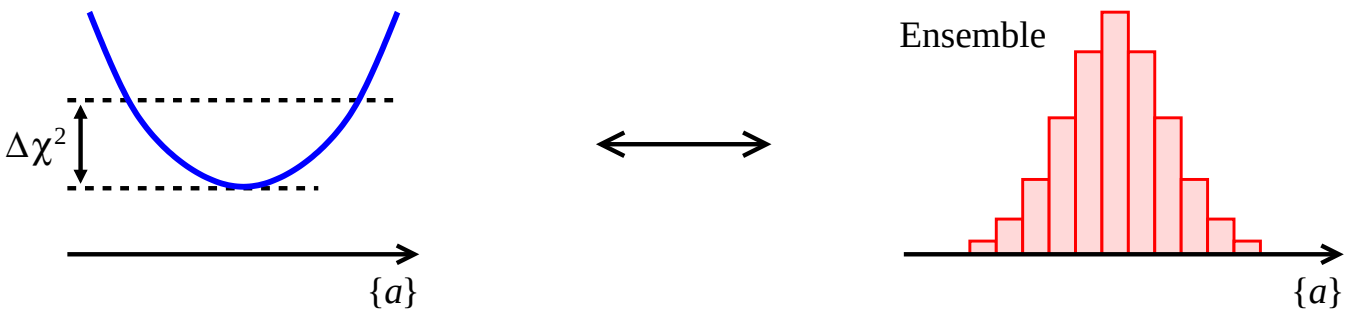
  Theoretical uncertainties quantified
  Laenen, Riemersma, Smith Van Neerven, Harris 93+.
  Alekhin, Moch, Blümlein, Vogt, Kawamura et al. 11+

- Perturbative stability LO $\rightarrow$ NLO

  Good stability of F_2^c with choice of effective LO scale
  Gluck, Reya, Stratmann 94

  Rapidity, p_T distributions more sensitive

Charm impact on gluon PDF: Reweighting



- PDF reweighting

  Method for quantifying impact of new (pseudo-) data on existing global fit
  Giele, Keller 98; NNPDF Collab Ball et al 11; Paukkunen, Zurita 14; Sato et al 16

  Represents existing fit as statistical ensemble, uses Bayes' theorem

  Avoids costly re-fitting

  Widely used in PDF analysis, HEP

- Implemented for charm pseudodata from EIC

  Presently F_{2c}, can be extended to other observables

  Python code package, on github: `https://github.com/JeffersonLab/F2c`