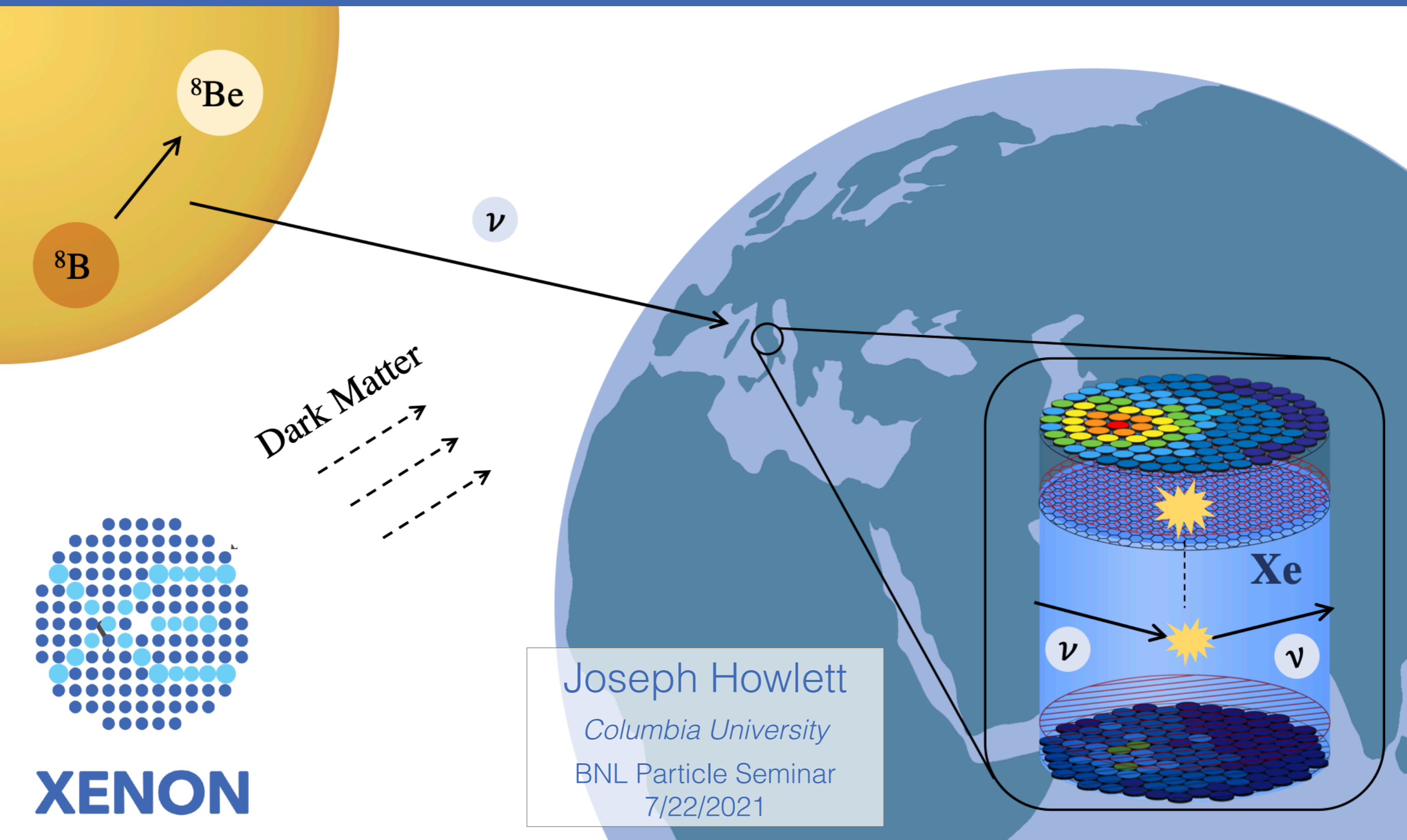


# *A Gamble in the Fog*

## Solar $^8\text{B}$ Neutrino Search in XENON1T



Joseph Howlett

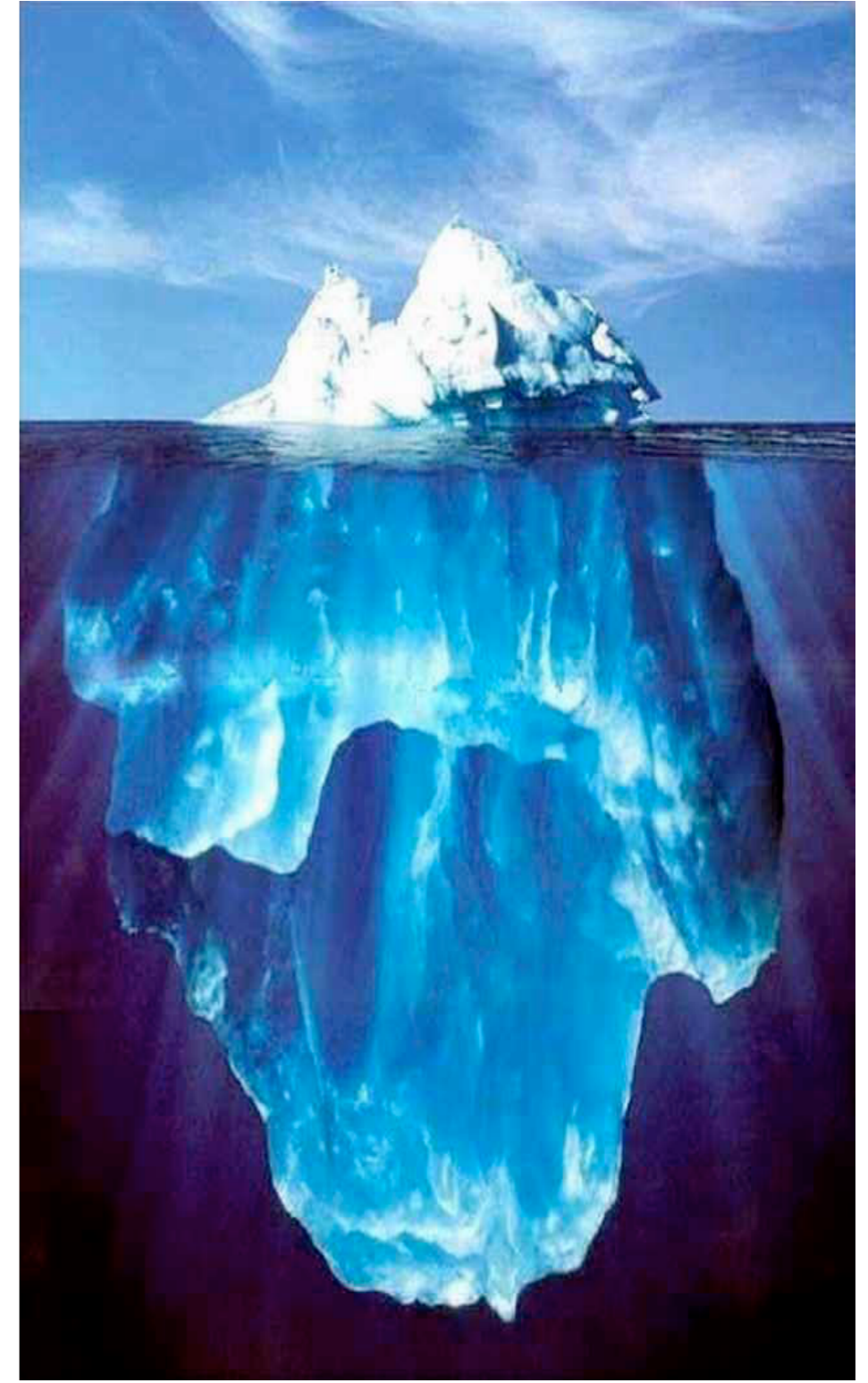
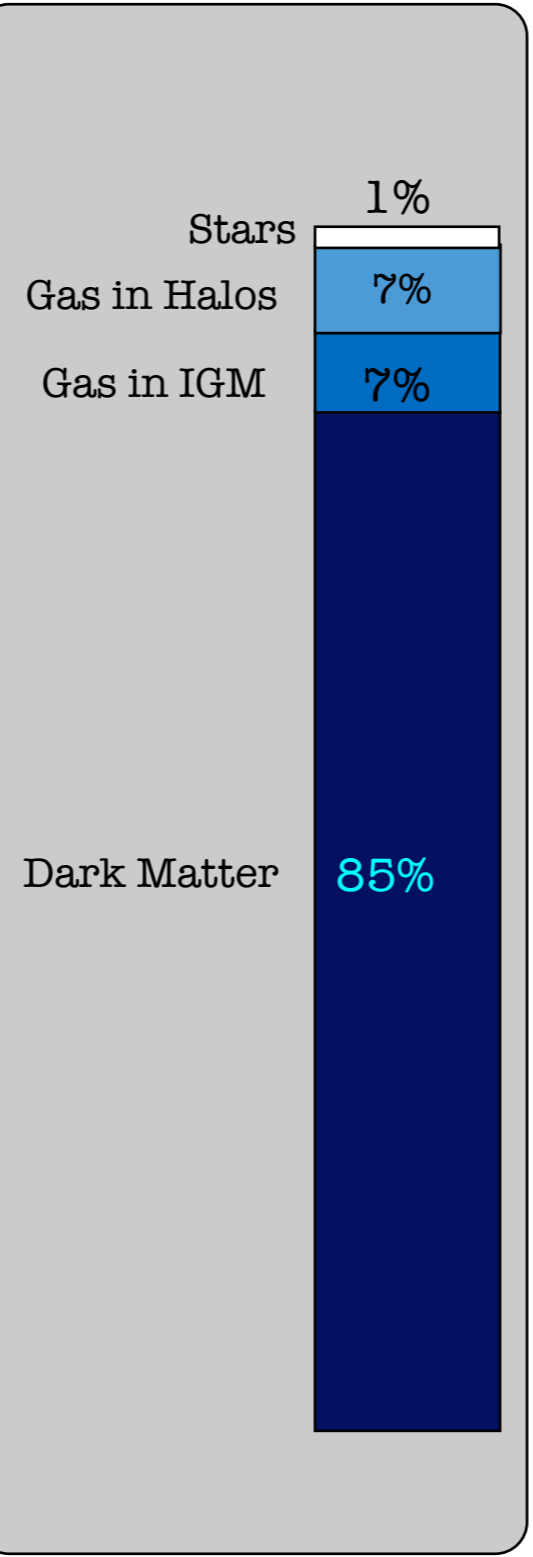
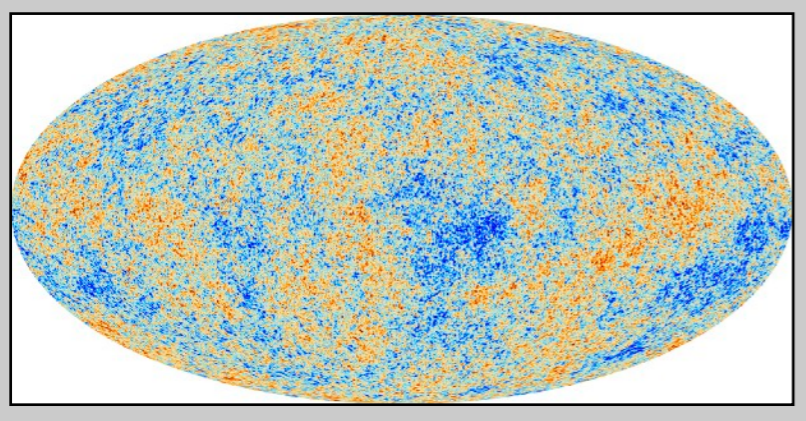
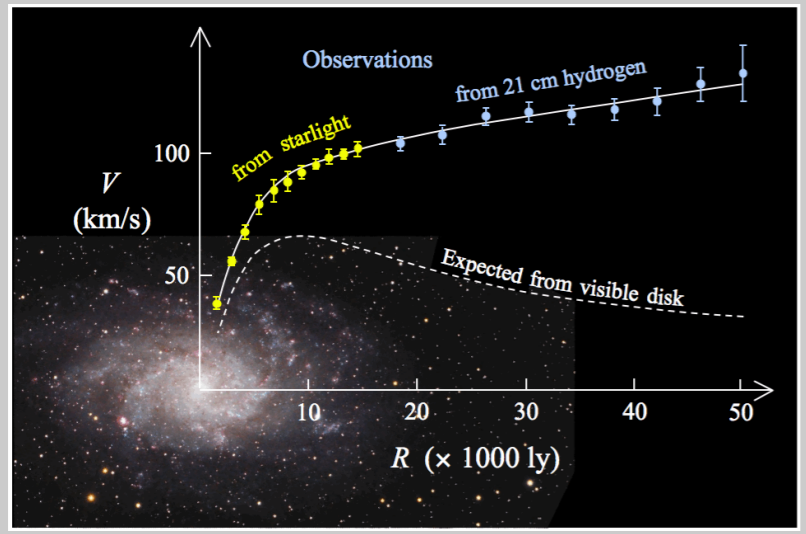
*Columbia University*

BNL Particle Seminar

7/22/2021



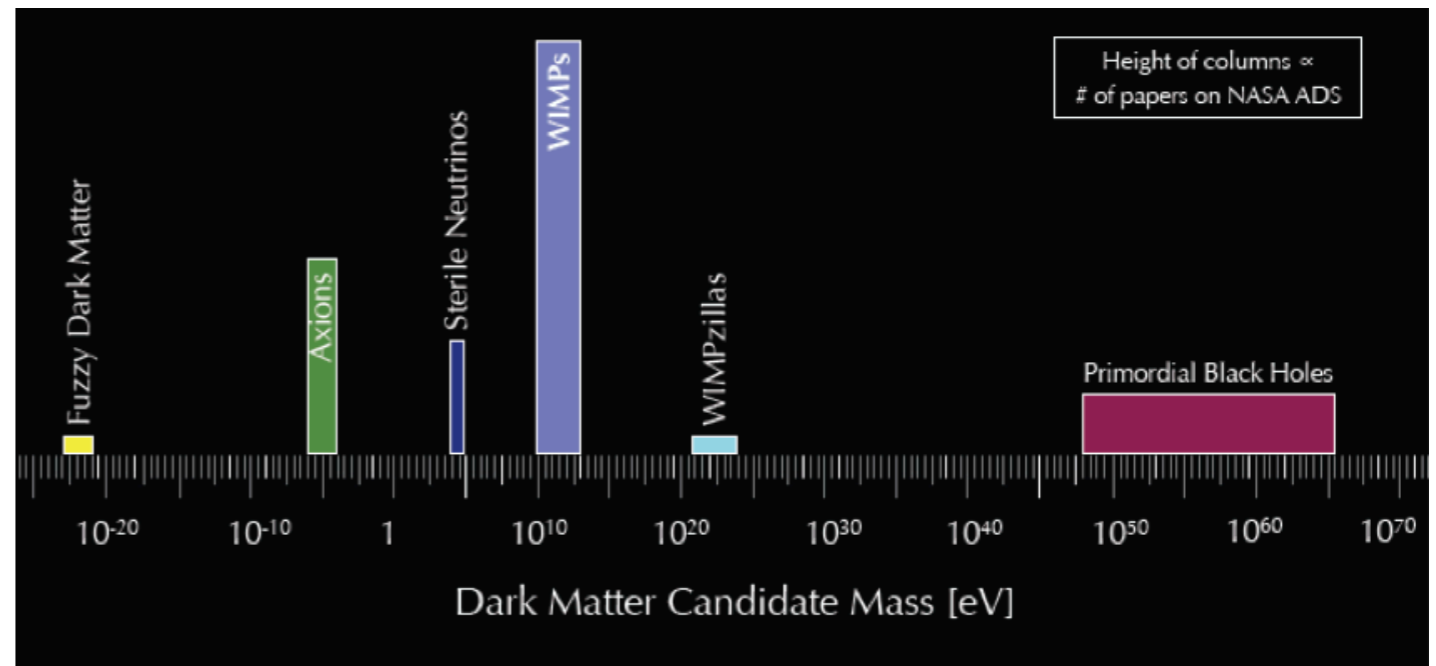
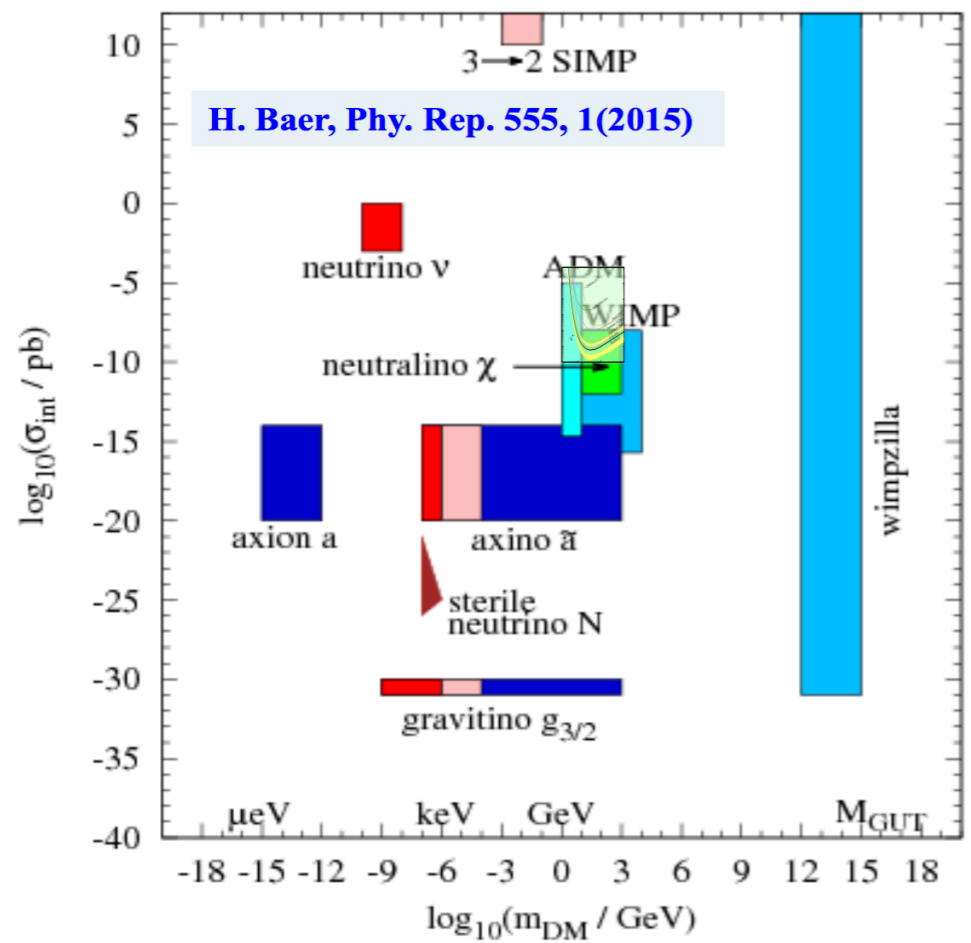
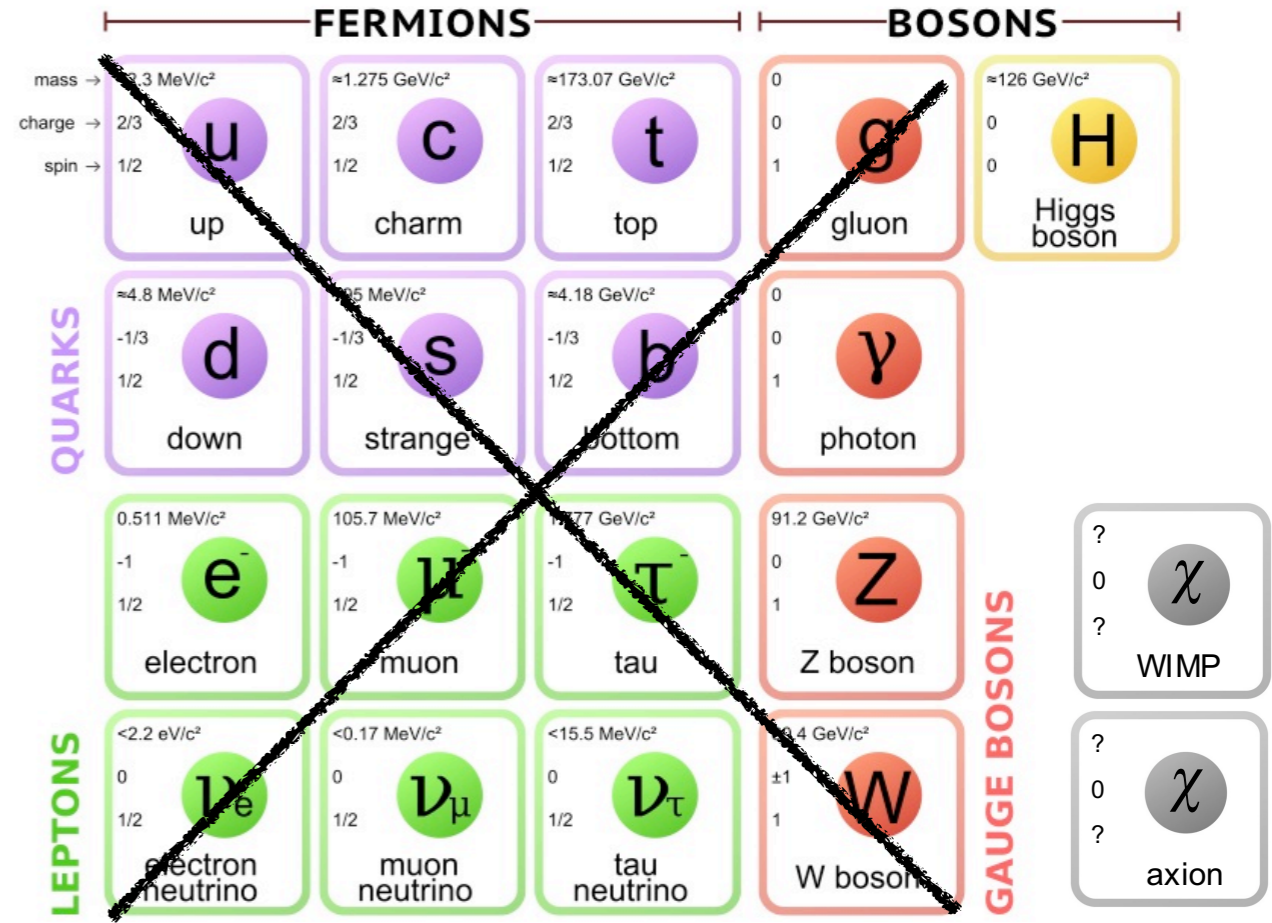
# Dark Matter





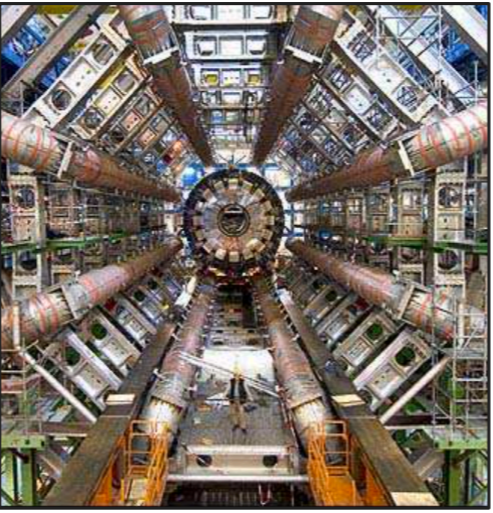
# What is Dark Matter?

- Neutral: no EM interaction
- Stable: Lifetime > age of the Universe
- Massive: for structure formation
- Interaction besides Gravity ??

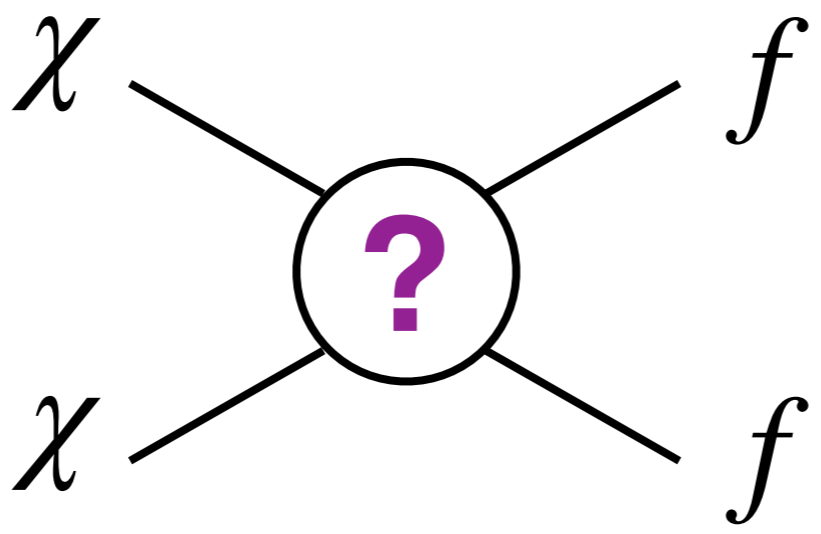




# Direct Detection



**Collider Searches**



**Direct Detection**

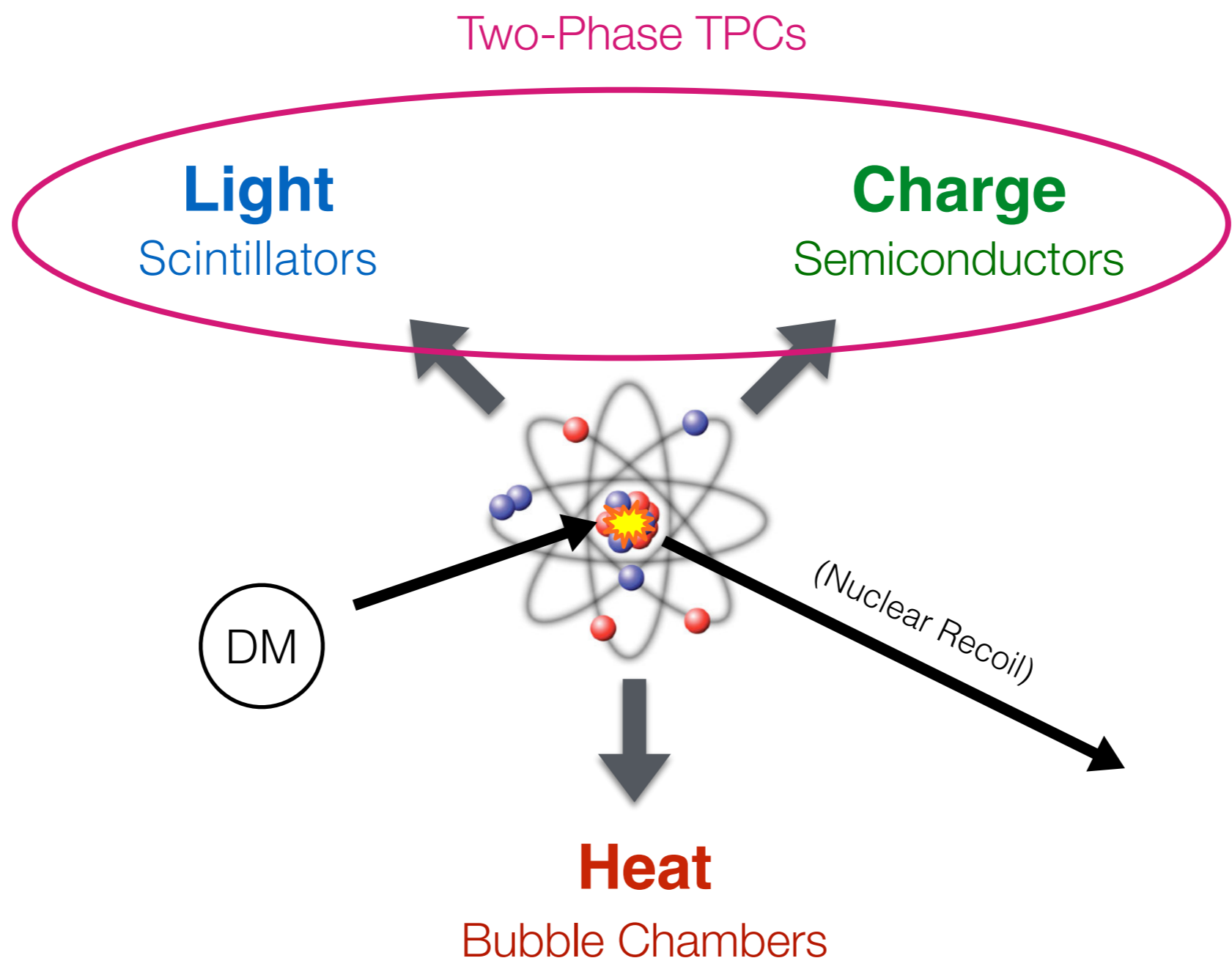


**Indirect Detection**





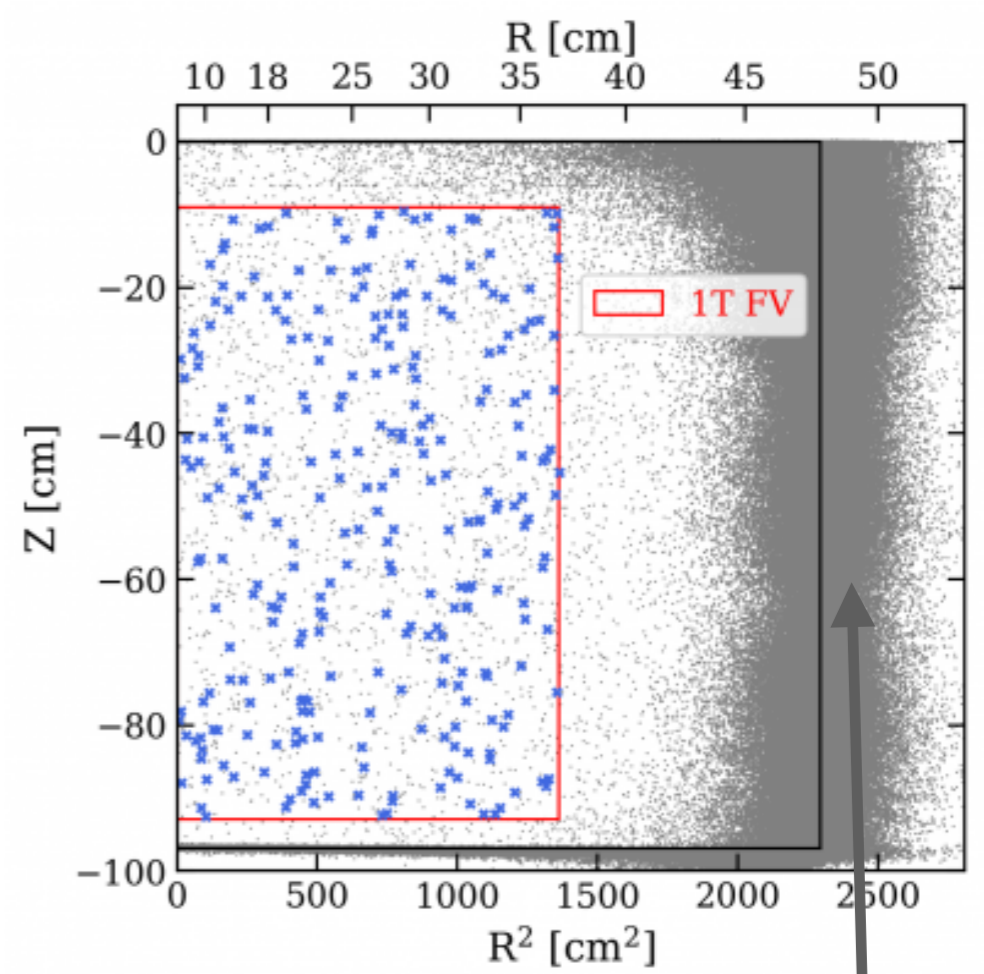
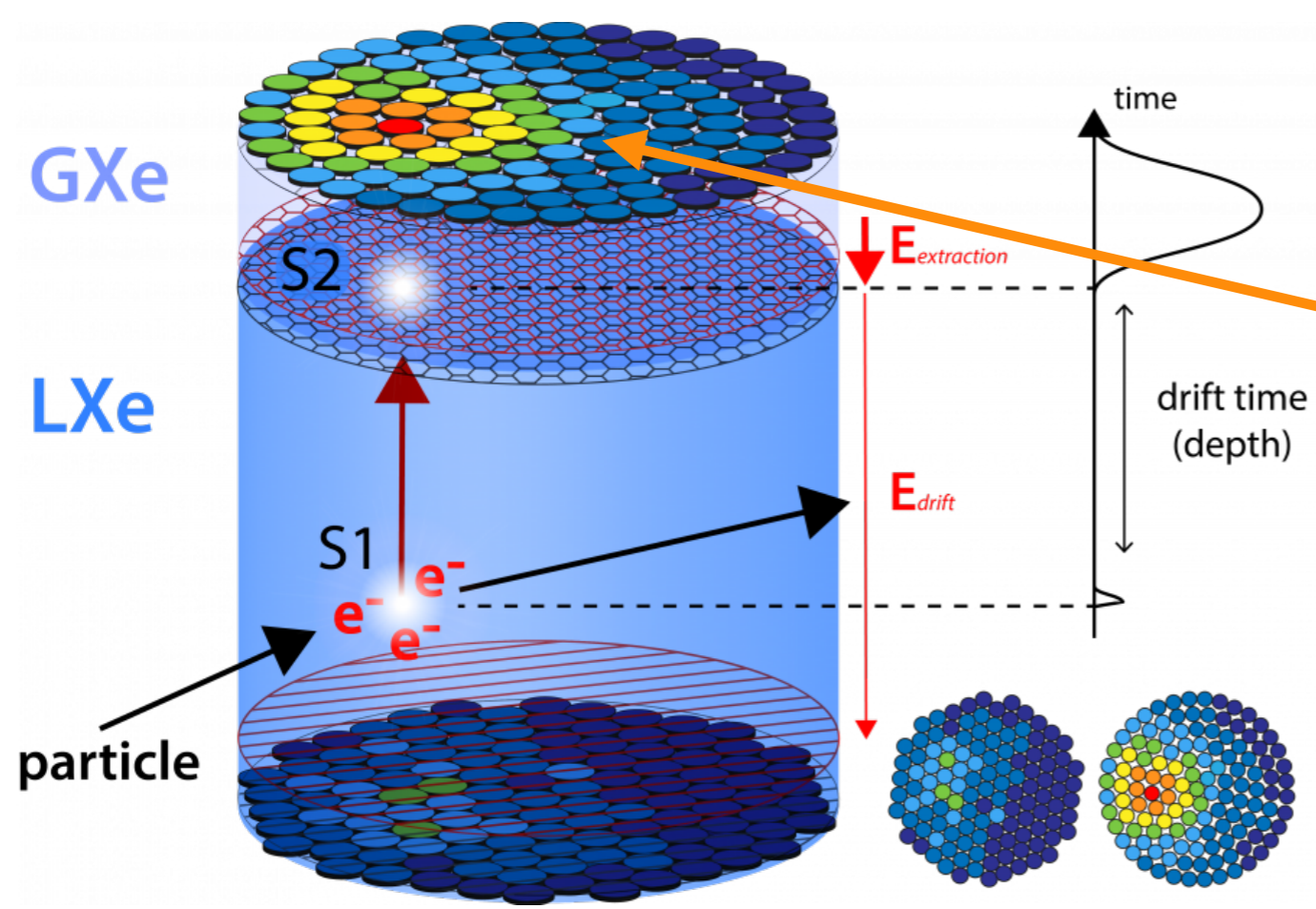
# Direct Detection





# Dual-Phase TPCs

- Light (S1) is detected by PMT arrays
- Charge is drifted through liquid, extracted into gas - scatters and produces proportional scintillation (S2)

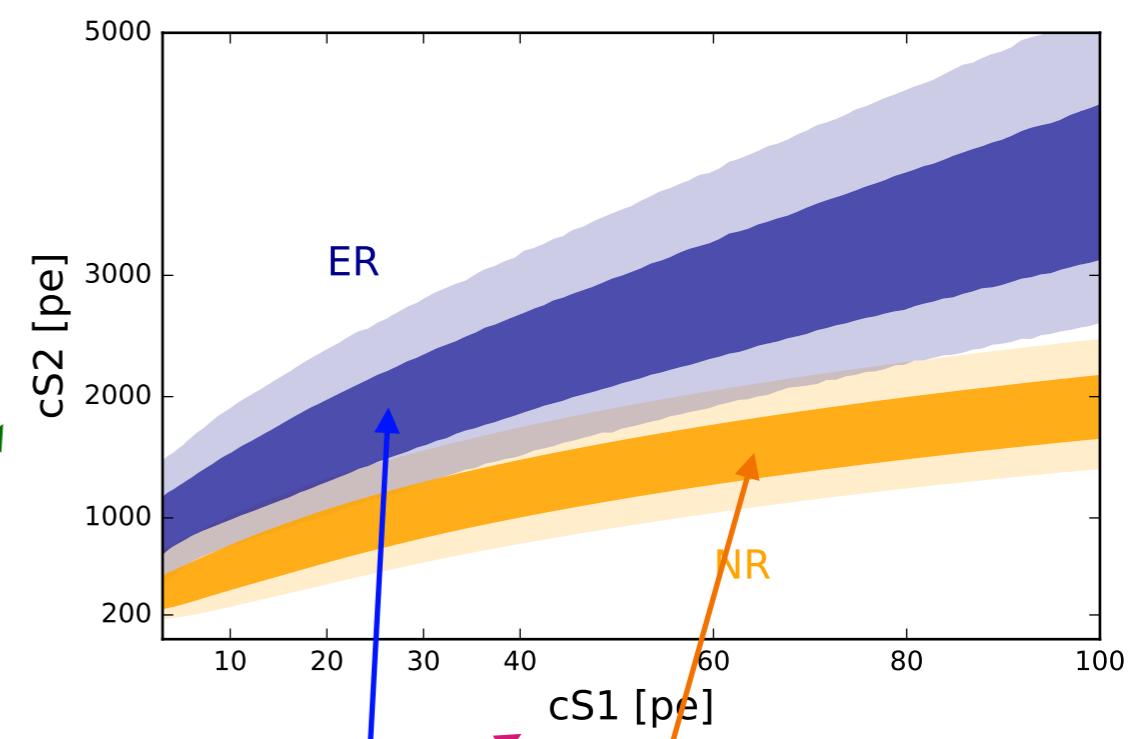
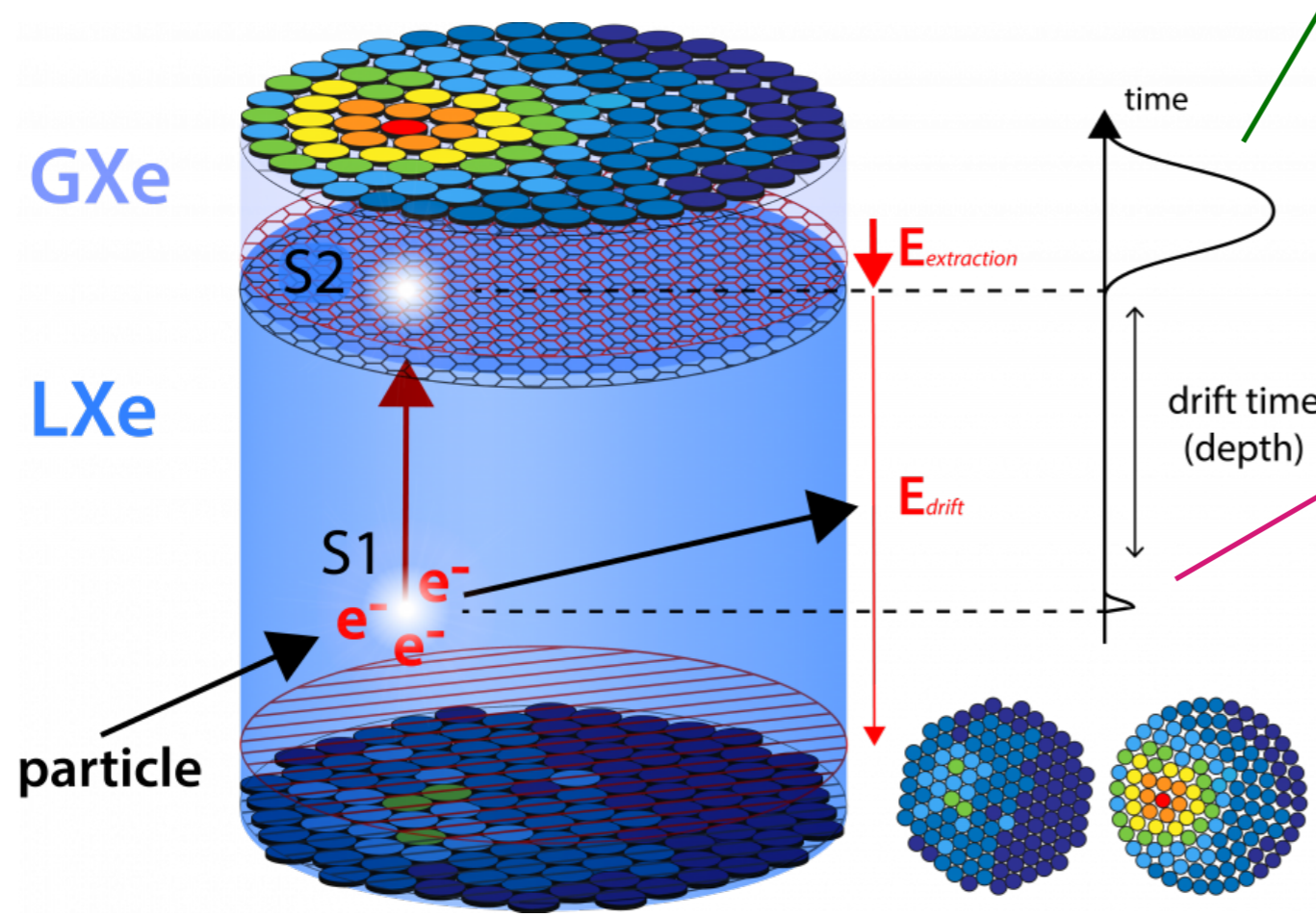


- R from PMT pattern
- Z from drift time
- Self-shielding from gammas
- Fiducialization



# Two-Phase TPCs

- Light (S1) is detected by PMT arrays
- Charge is drifted through liquid, extracted into gas - scatters and produces proportional scintillation (S2)



- ERs and NRs have different S2/S1 ratios
- Discriminate WIMPs from dominant ( $\beta$ ) background



# XENON Collaboration





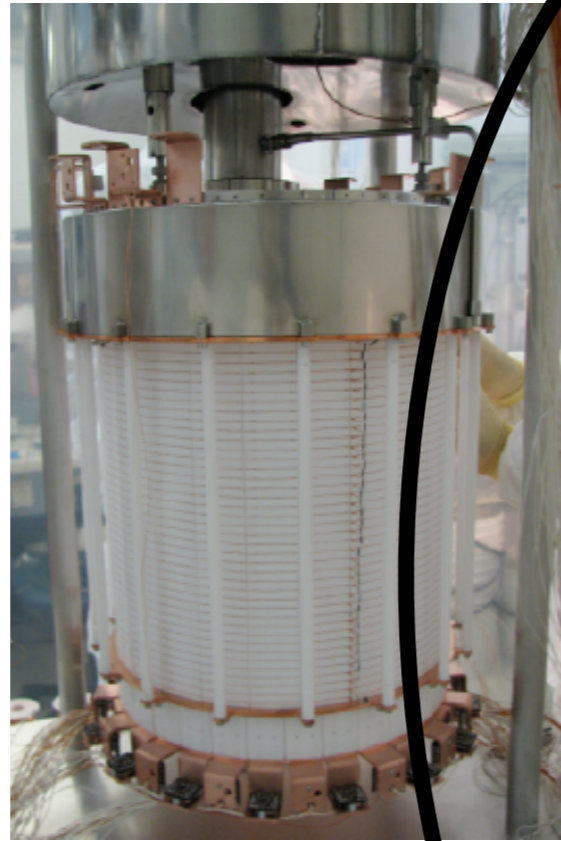


# Evolution of XENON

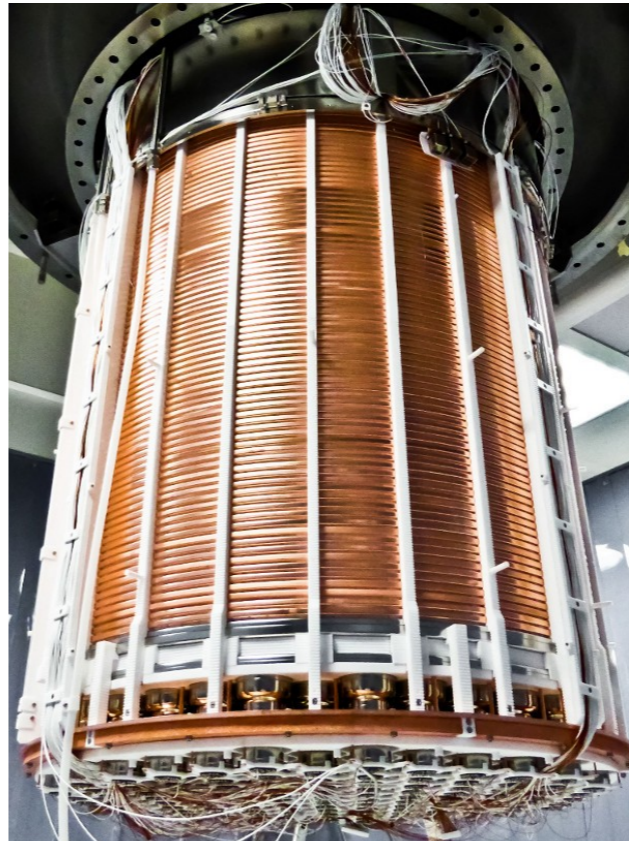
XENON10



XENON100



XENON1T



XENONnT



2005-2007

2008-2016

2012-2018

2019-202x

25 kg - 15cm drift

161 kg - 30 cm drift

3.2 ton - 1 m drift

8.6 ton - 1.5 m drift

$\sim 10^{-43} \text{ cm}^2$

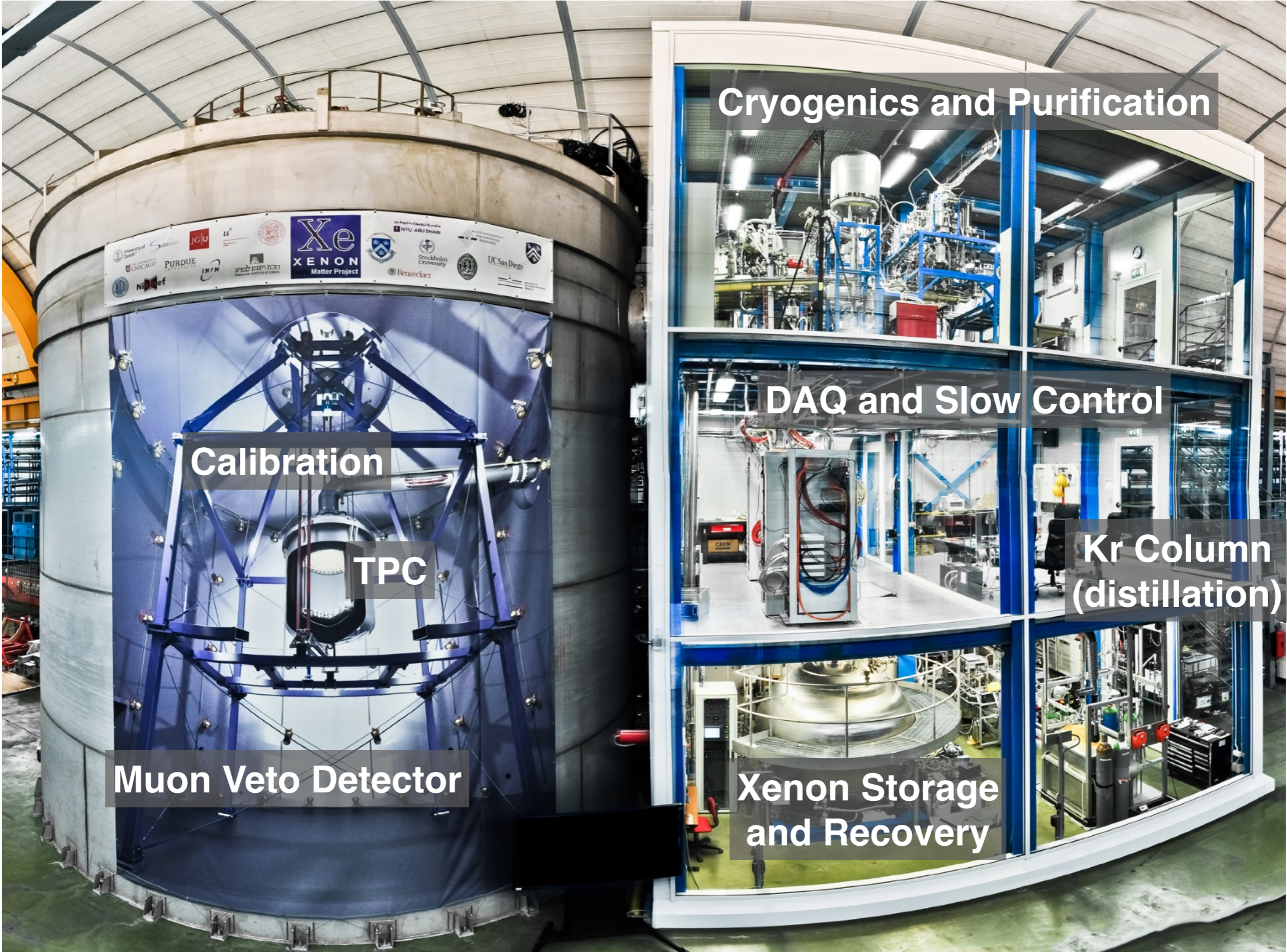
$\sim 10^{-45} \text{ cm}^2$

$\sim 10^{-47} \text{ cm}^2$

$\sim 10^{-48} \text{ cm}^2$



# XENON1T Systems



Cryogenics and Purification

DAQ and Slow Control

Kr Column (distillation)

Xenon Storage and Recovery

Calibration

TPC

Muon Veto Detector



# WIMP Search Result

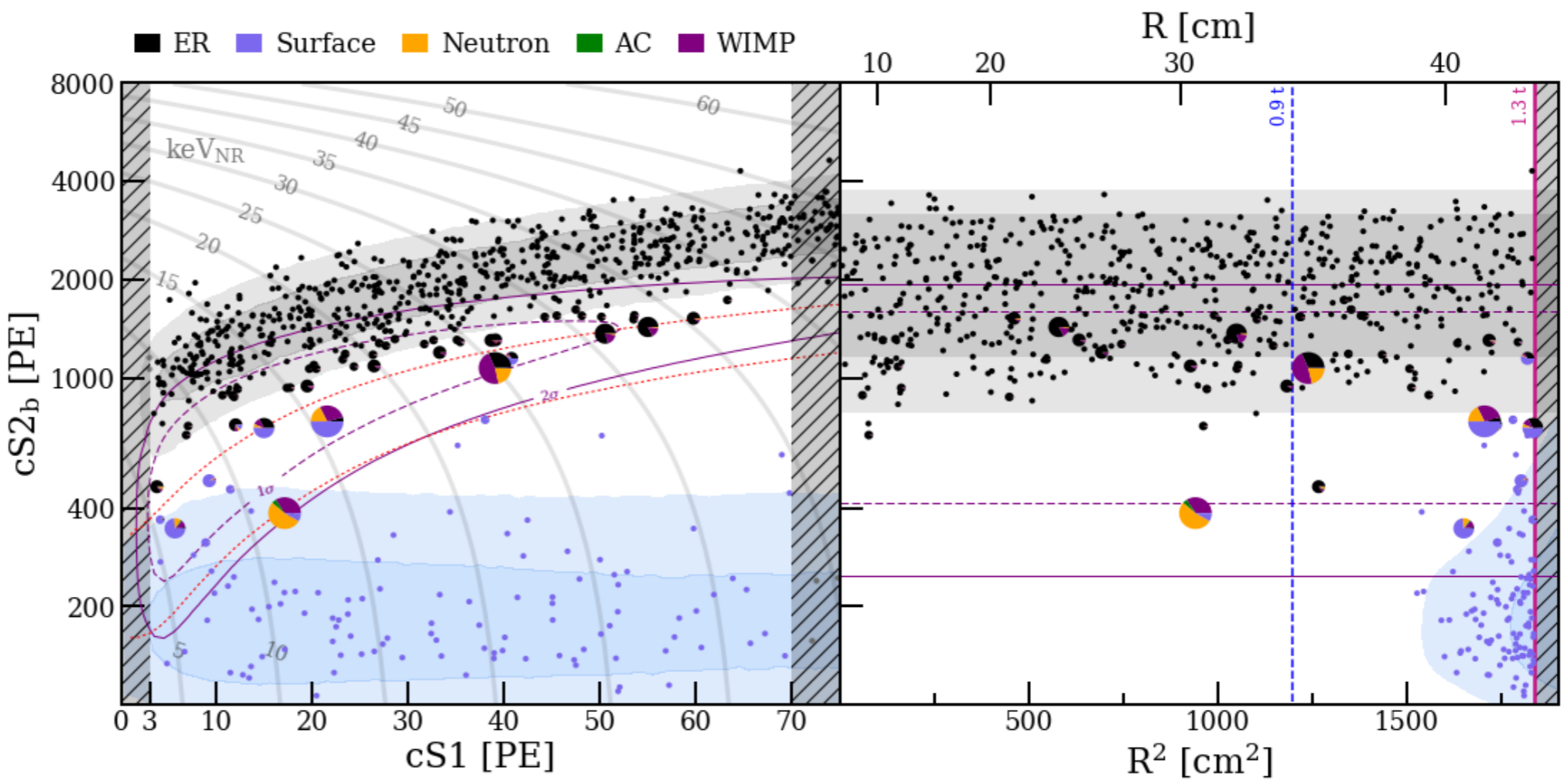
Phys. Rev. Lett. 121, 111302 (2018)

1 Ton x Year Exposure of XENON1T  
(blind analysis)

Source	1.3 t	1.3 t, NR Ref.	0.9 t, NR Ref.
ER	$627 \pm 18$	$1.6 \pm 0.3$	$1.1 \pm 0.2$
Radiogenic	$1.4 \pm 0.7$	$0.8 \pm 0.4$	$0.4 \pm 0.2$
CE $\nu$ NS	$0.05 \pm 0.01$	$0.03 \pm 0.01$	0.02
Accidental	$0.5^{+0.3}_{-0.0}$	$0.10^{+0.06}_{-0.00}$	$0.06^{+0.03}_{-0.00}$
Surface	$106 \pm 8$	$4.8 \pm 0.4$	0.02
<b>Total</b>	<b><math>735 \pm 20</math></b>	<b><math>7.4 \pm 0.6</math></b>	<b><math>1.6 \pm 0.3</math></b>
200 GeV WIMP	3.6	1.7	1.2
<b>Data</b>	<b>739</b>	<b>14</b>	<b>2</b>

No significant excess due to WIMPs

lots of constraints...





# Physics Reach

## Dark Matter

- Dark photons
- Axion-like particles
- Planck mass

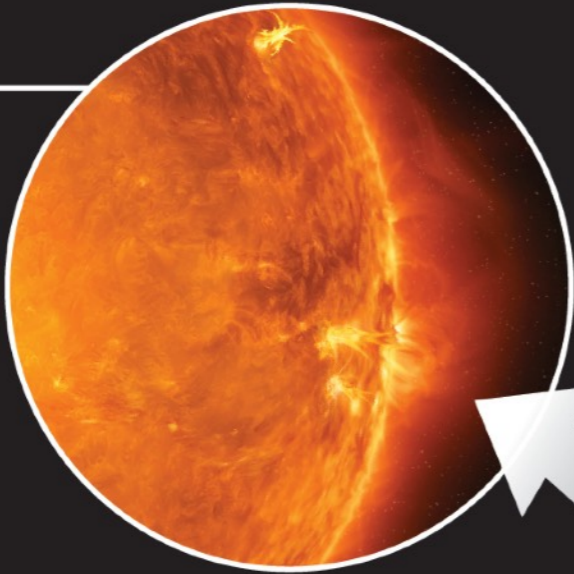


## WIMPs

- Spin-independent
- Spin-dependent
- Sub-GeV

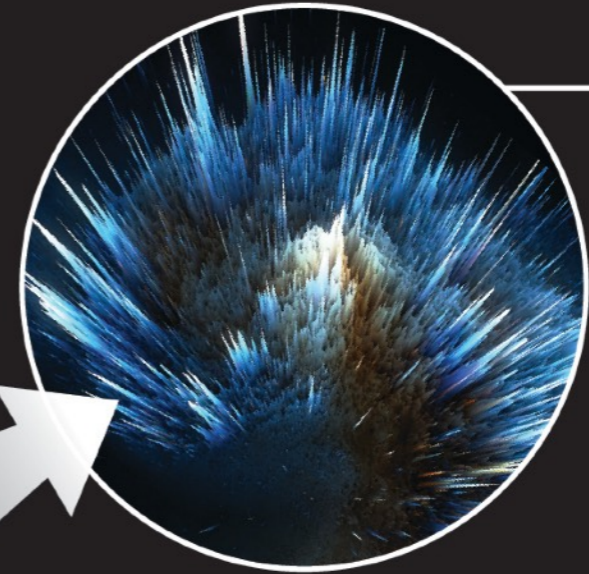
## Sun

- Solar pp neutrinos
- Solar Boron-8 neutrinos



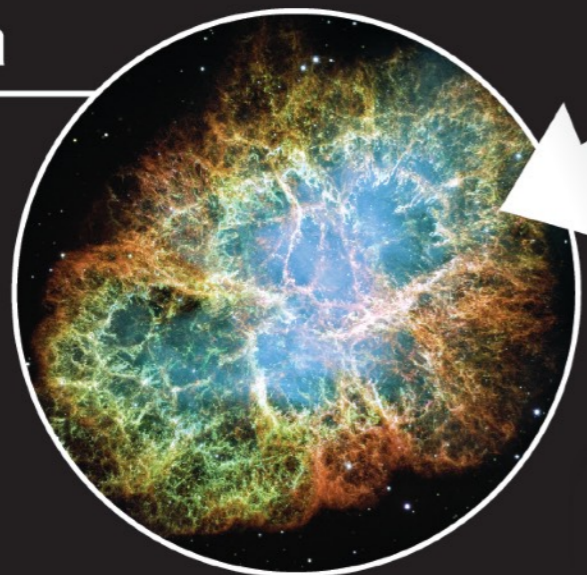
## Big Bang

- Neutrinoless double beta decay
- Double electron capture



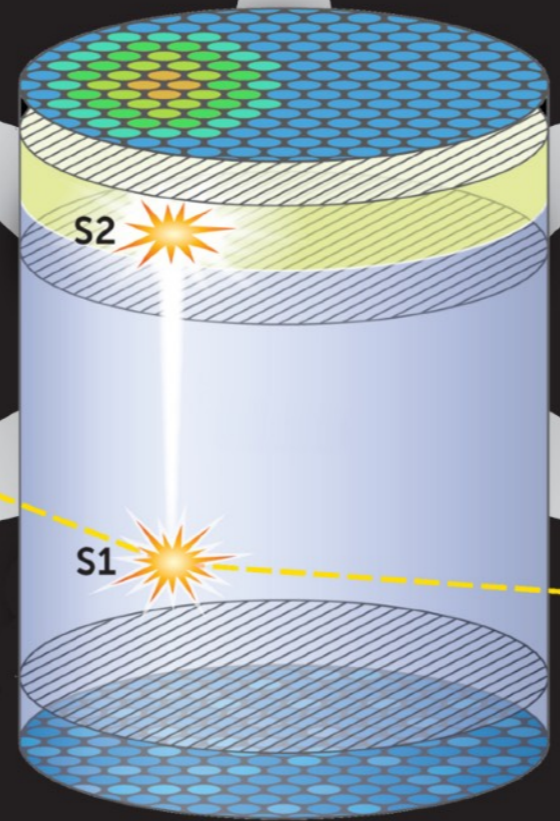
## Supernova

- Supernova neutrinos
- Multi-messenger



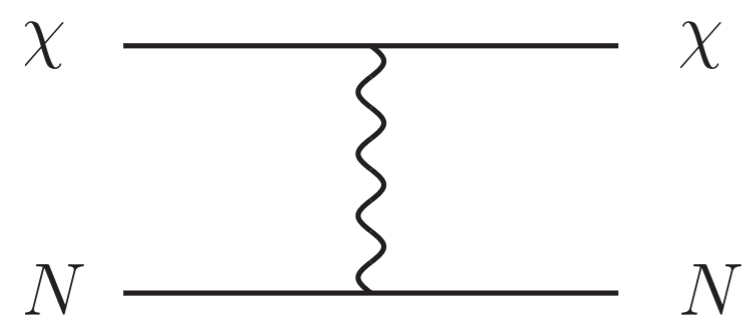
## Cosmic Rays

- Atmospheric neutrinos

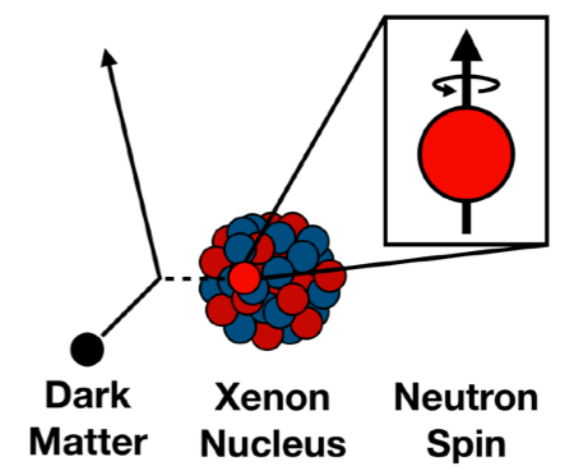




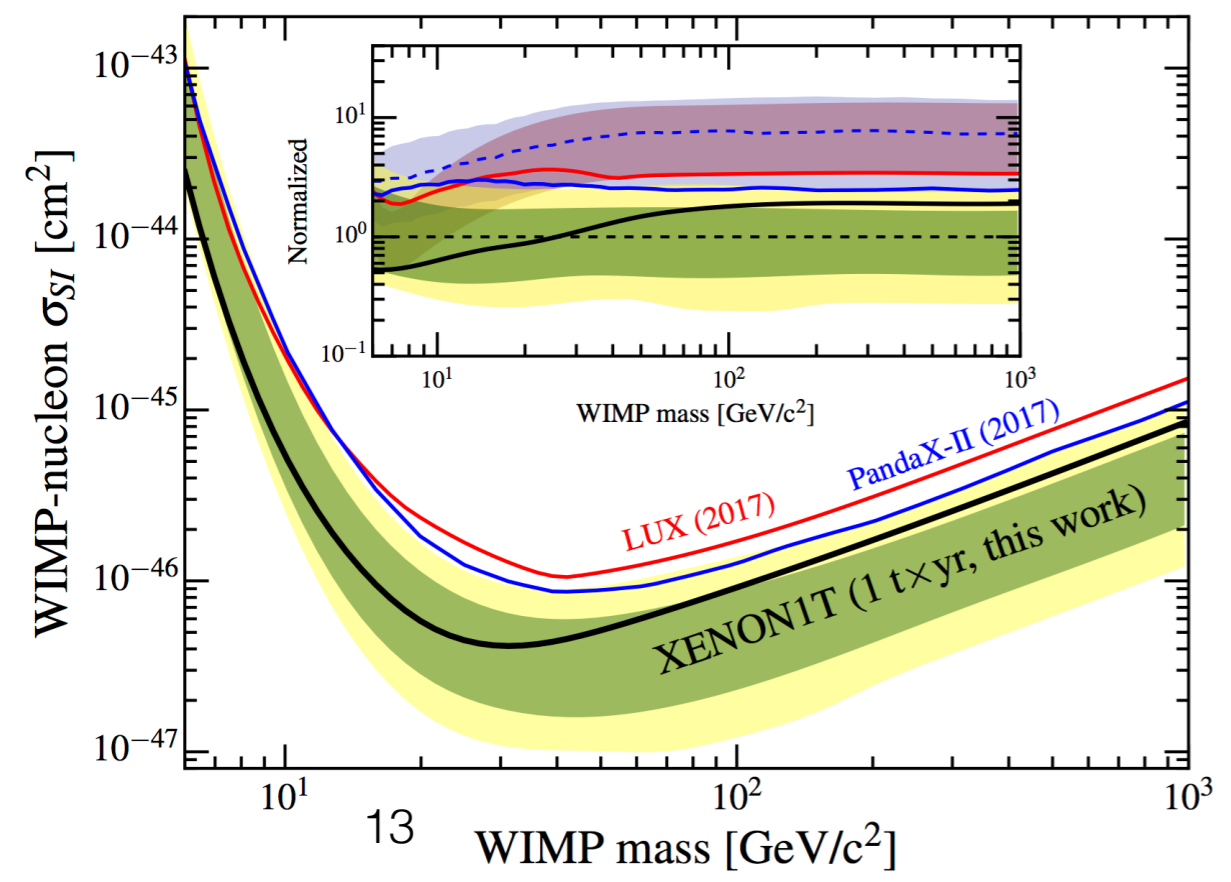
# WIMP Constraints



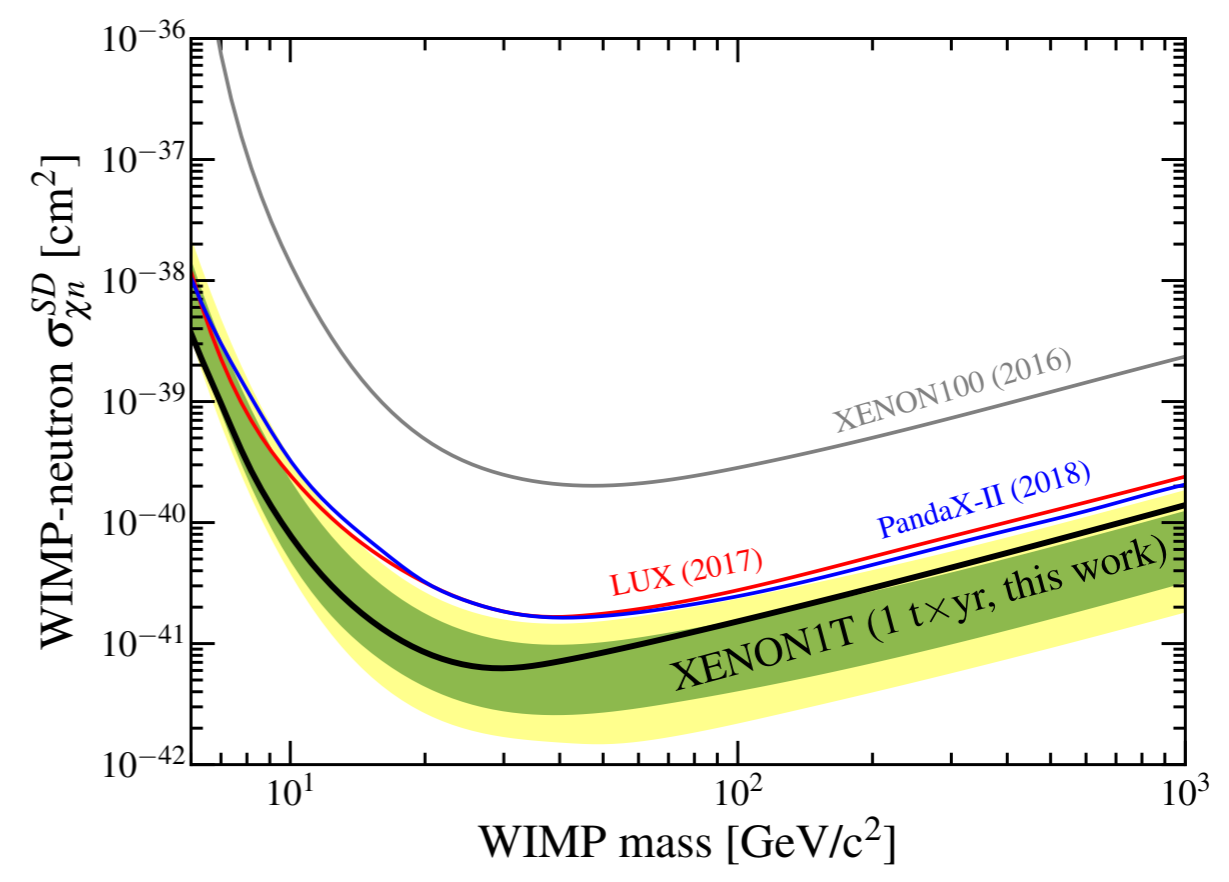
Phys. Rev. Lett. 121, 111302 (2018)



Phys. Rev. Lett. 122, 141301 (2019)



$\sigma < 4.1 \times 10^{-47} \text{ cm}^2 \text{ at } 30 \text{ GeV}/c^2$



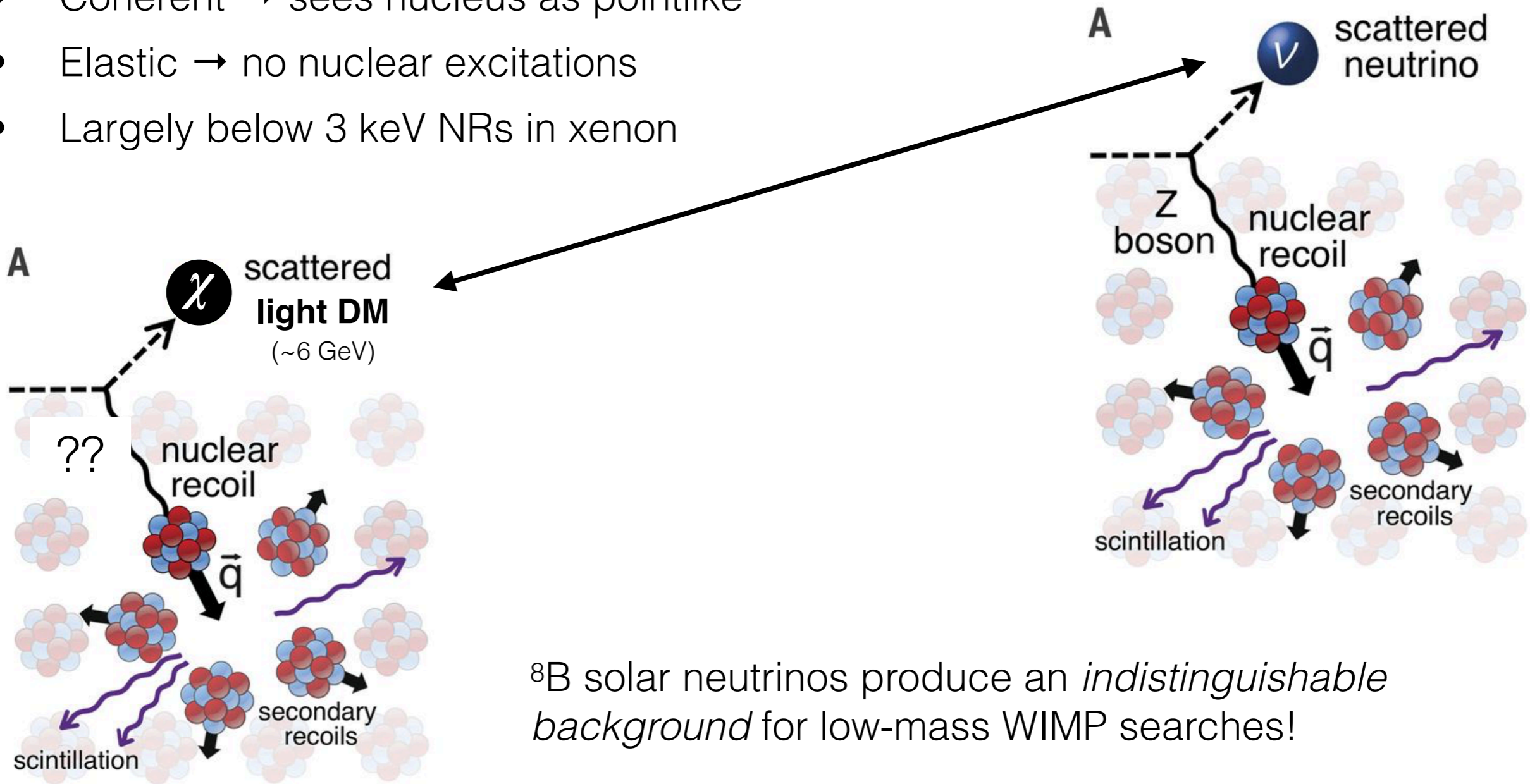
$\sigma < 46.3 \times 10^{-42} \text{ cm}^2 \text{ at } 30 \text{ GeV}/c^2$



# CEvNS looks like WIMPs!

At low momentum-transfer the mediator wavelength is longer than the nuclear radius, neutrinos see the nucleus and not its constituents

- Coherent  $\rightarrow$  sees nucleus as pointlike
- Elastic  $\rightarrow$  no nuclear excitations
- Largely below 3 keV NRs in xenon



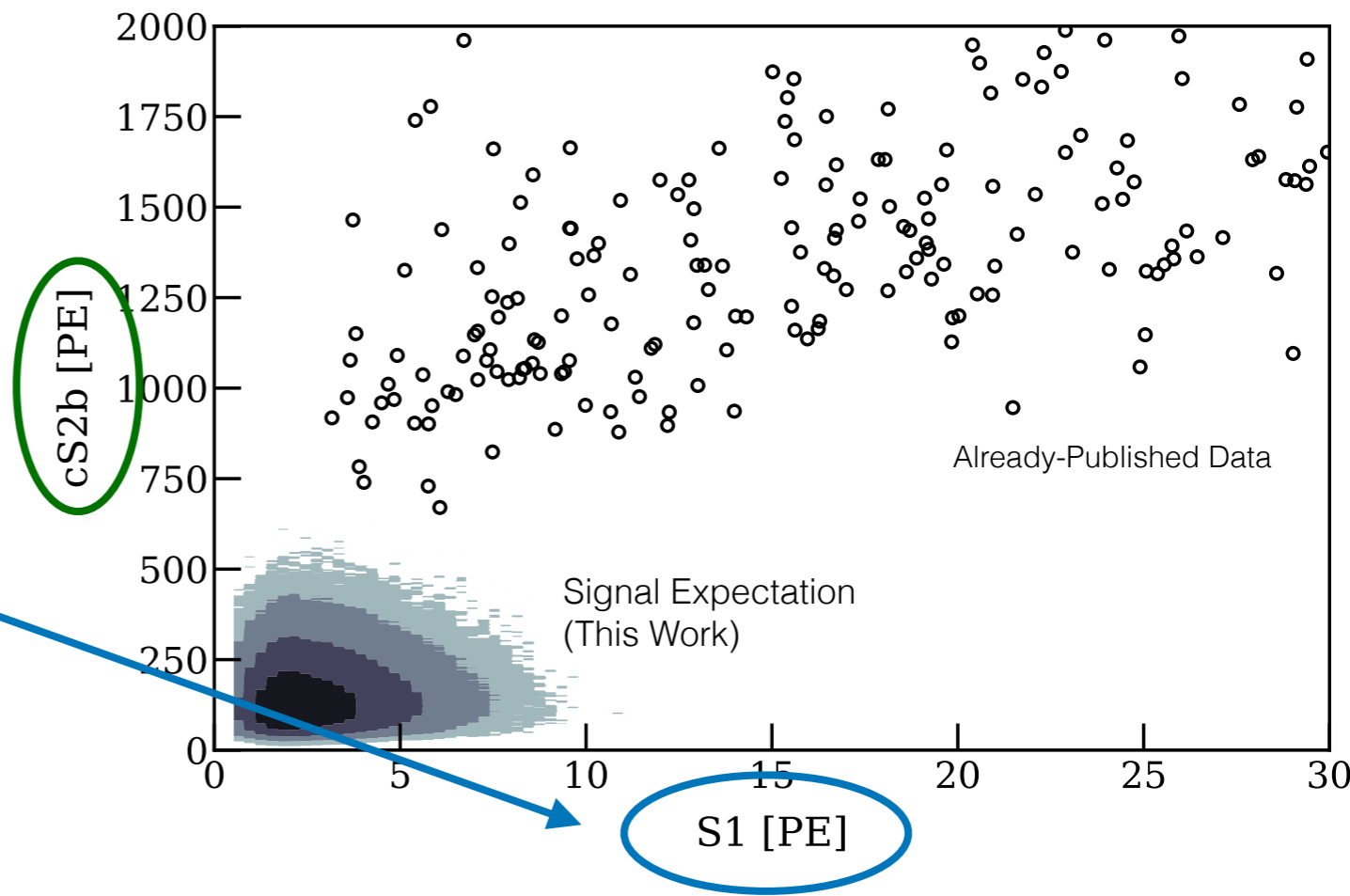
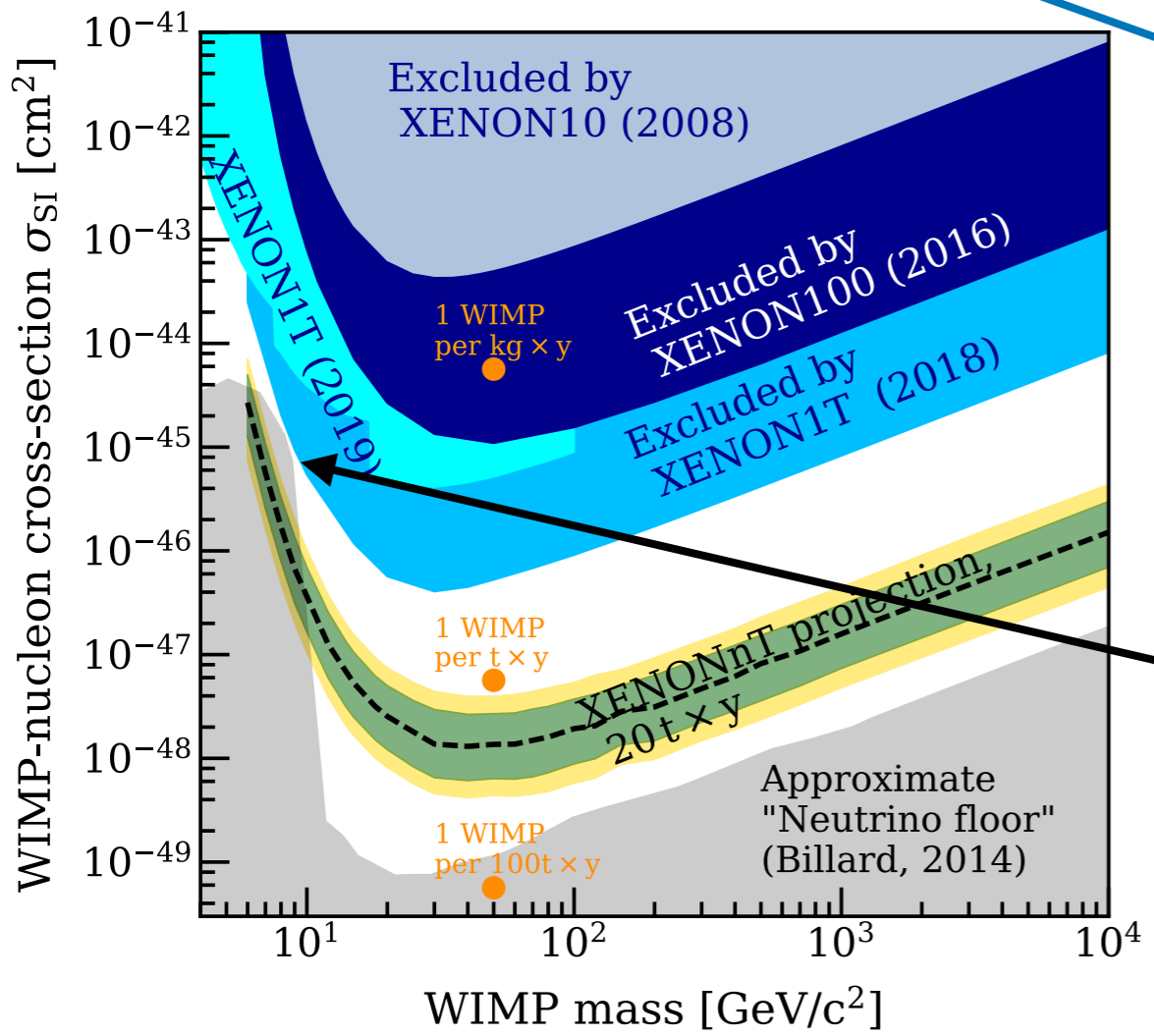
$^8\text{B}$  solar neutrinos produce an *indistinguishable background* for low-mass WIMP searches!

COHERENT Collab., Science **357**, 1123 (2017)



# Why so few?

$^8\text{B}$  CEvNS falls far below our previous science analysis threshold in both **scintillation** and **ionization**



This background becomes more important as we push further down in parameter space



# Can we look for it?

$$R = \phi(\nu) \times \sigma_\nu \times N_{Xe} \times \text{exposure}$$

$$\simeq 600 \text{ events}/(\text{tonne} \times \text{year})$$

Source	1.3 t	1.3 t, NR Ref.
ER	$627 \pm 18$	$1.6 \pm 0.3$
Radiogenic	$1.4 \pm 0.7$	$0.8 \pm 0.4$
<b>CEvNS</b>	<b><math>0.05 \pm 0.01</math></b>	$0.03 \pm 0.01$
Accidental	$0.5^{+0.3}_{-0.0}$	$0.10^{+0.06}_{-0.00}$
Surface	$106 \pm 8$	$4.8 \pm 0.4$
Total	$735 \pm 20$	$7.4 \pm 0.6$
200 GeV	3.6	1.7
Data	<b>739</b>	<b>14</b>

~ 0.01% acceptance!

## Rate

number of recoils

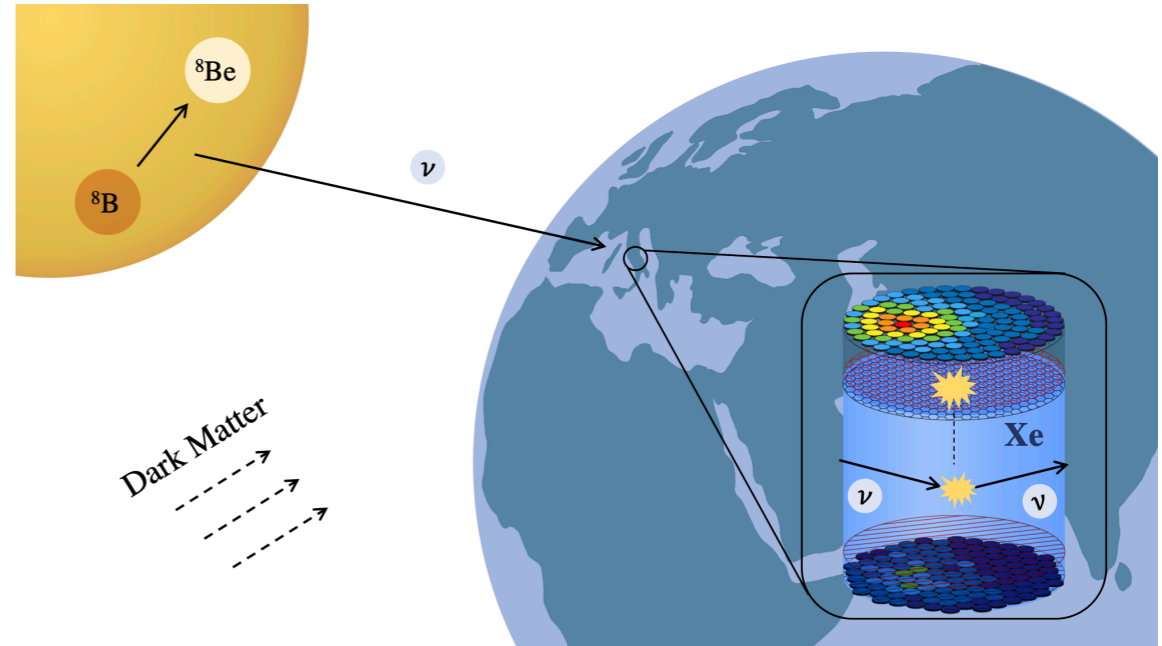
## Xenon Response

number of observables

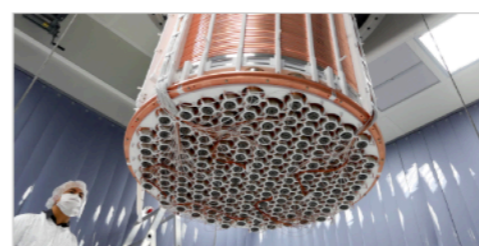
## Detection Efficiency

energy threshold

Phys. Rev. Lett. 126, 091301 (2021) — Editor's Suggestion



Featured in *Physics Magazine*



RESEARCH NEWS  
**Neutrinos Rising from the Floor**  
 June 29, 2021  
 A neutrino background that could confound dark matter searches is now becoming an opportunity for probing new physics. [Read More »](#)





## Rate

number of recoils

- $^8\text{B}$  neutrino flux
- Cross-section (SM)

## Xenon Response

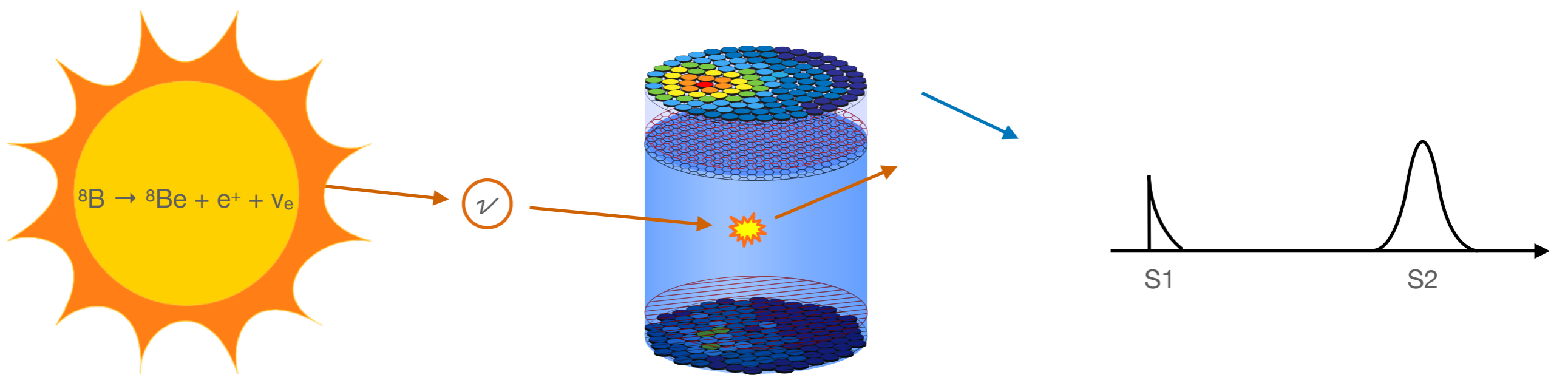
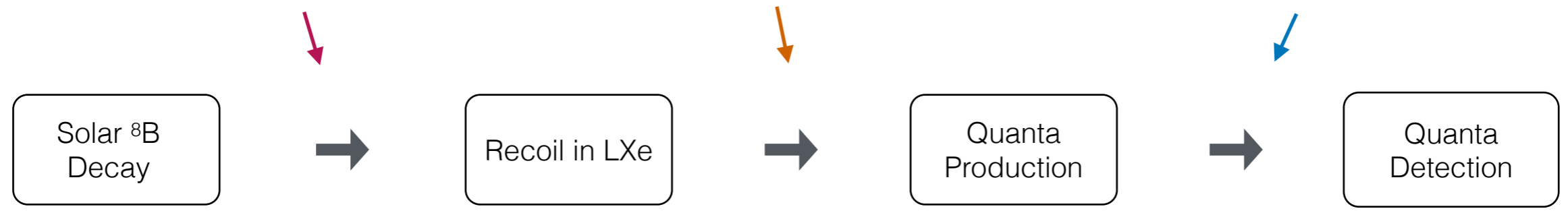
number of observables  
(conservative model used)

- Charge Yield
- Light Yield

## Detection Efficiency

energy threshold  
(set high to cut background)

- PMT Coincidence
- Software Event Trigger





# How can we detect?

## Rate

number of recoils



**Constrain** w/  $^8\text{B}$  flux measurements (5% uncertainty) and SM cross-section

## Xenon Response

number of observables (conservative model used)



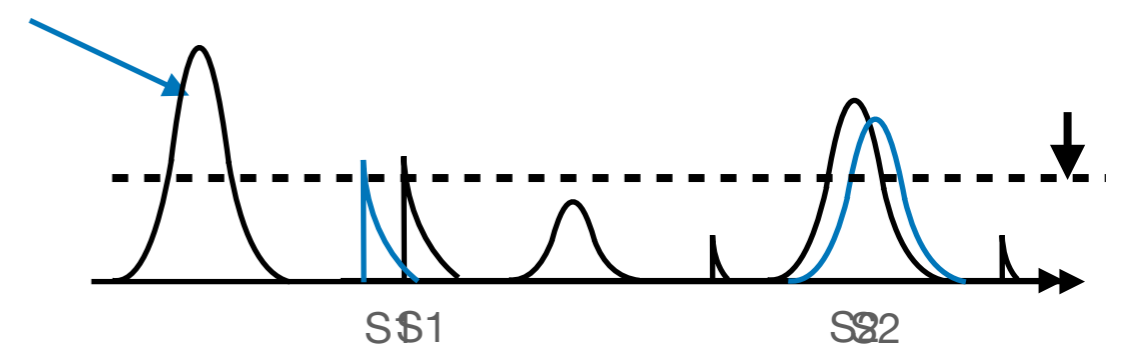
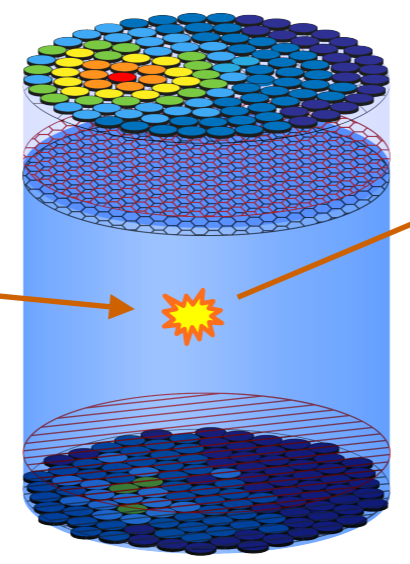
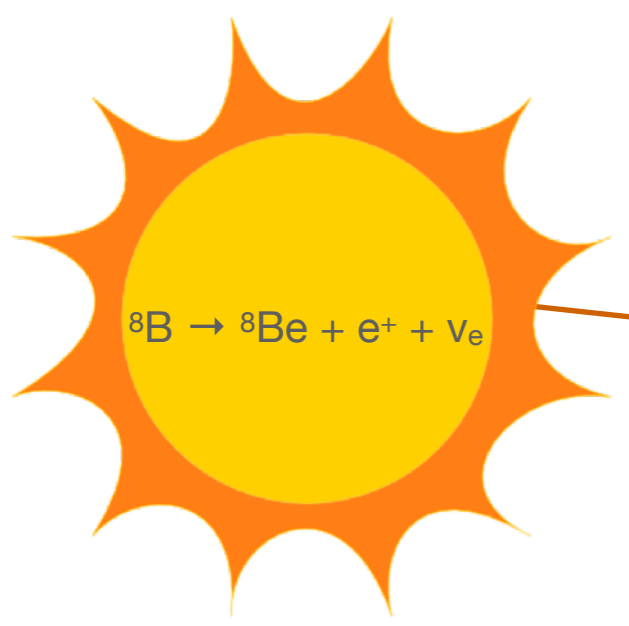
**Model** w/ data from other LXe experiments (20% uncertainty)

## Detection Efficiency

energy threshold (set high to cut background)



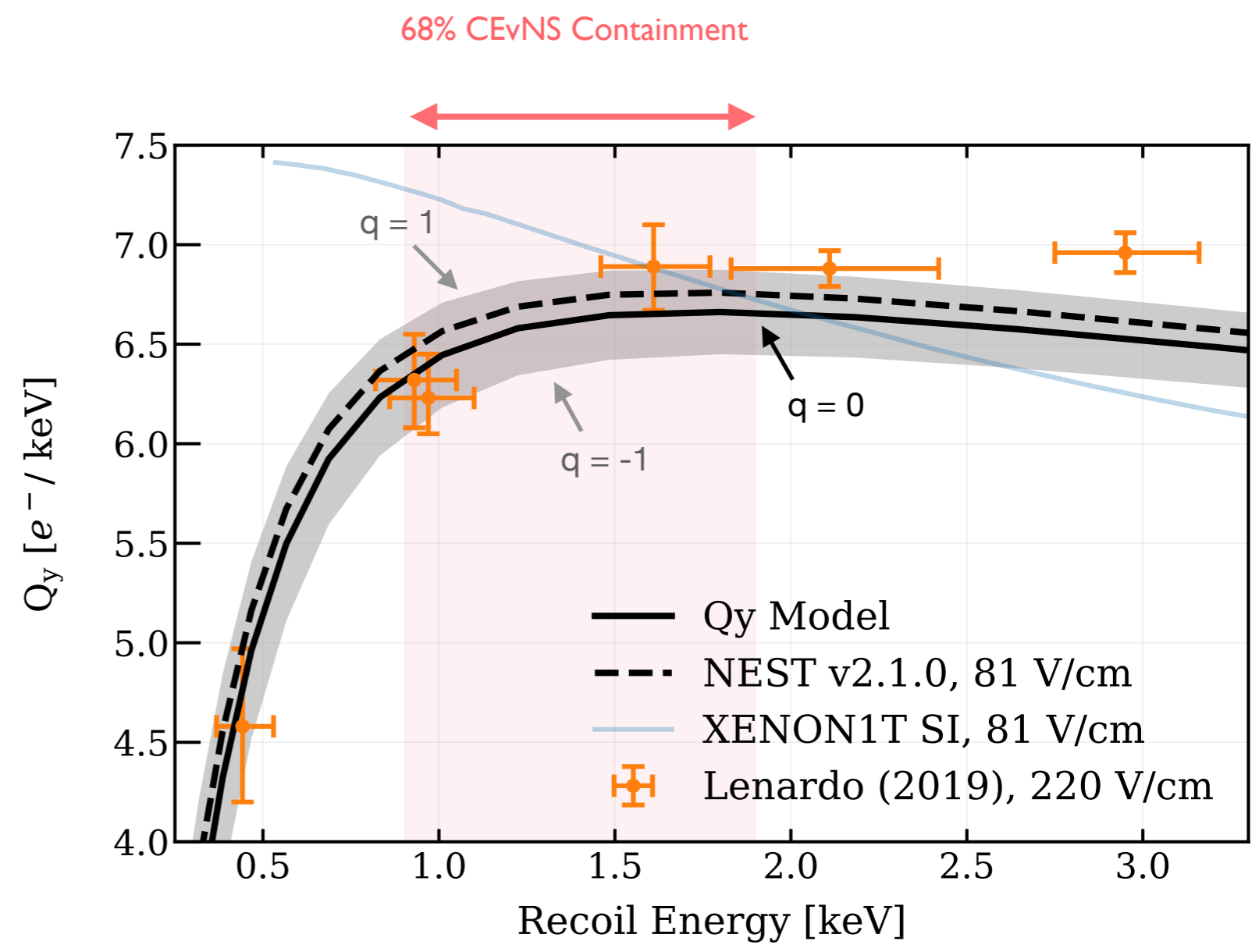
**Improve** by lowering the energy threshold





## Charge Yield

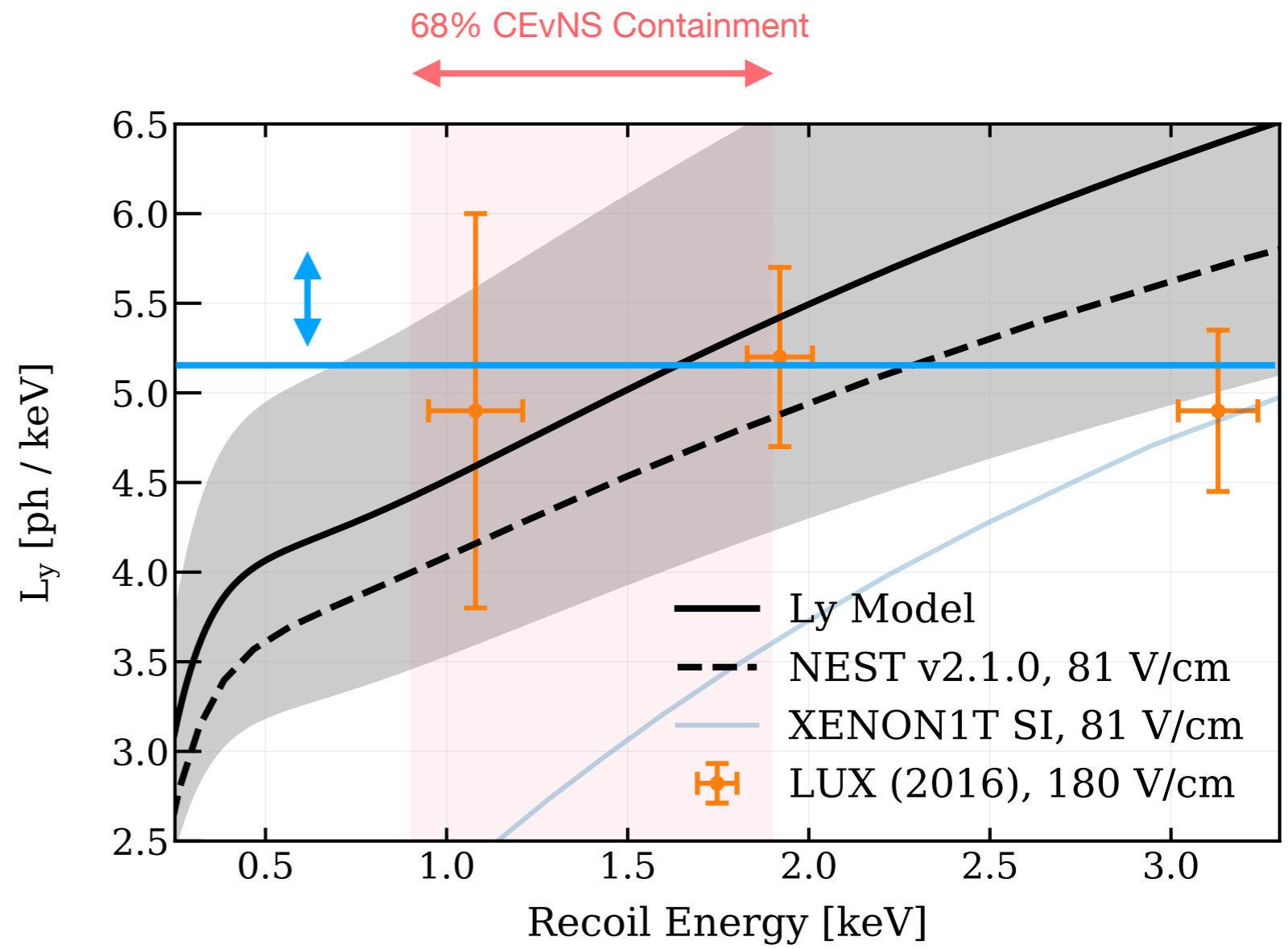
- Need a model that matches **measurements at CEvNS energies**
- **Existing model** (NEST) additionally constrained through correlations with higher-energy data
- “Interpolation parameter” **q** is introduced to scale the curve within the NEST  $Q_y$  uncertainty
- Fit to the data within 68% CEvNS containment, rescaled to units of sigma





## Light Yield

- Need a model that matches measurements at CEvNS energies
- Far more uncertain than  $Q_y$
- **Existing model** (NEST) less reliable



### Two Models Used:

1. Scale NEST curve by a free parameter: **CEvNS search, new physics searches**
2. Single-valued Ly: **Constraining Ly**



## Rate

number of recoils

- 8B neutrino flux
- Cross-section (SM)

## Xenon Response

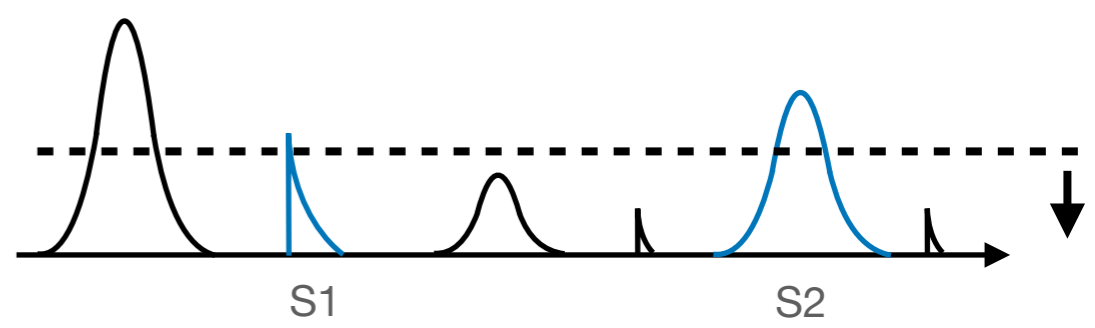
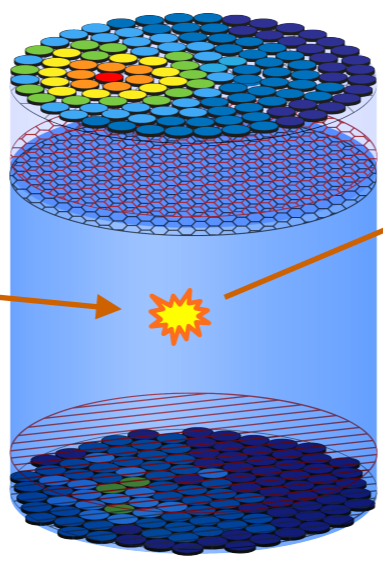
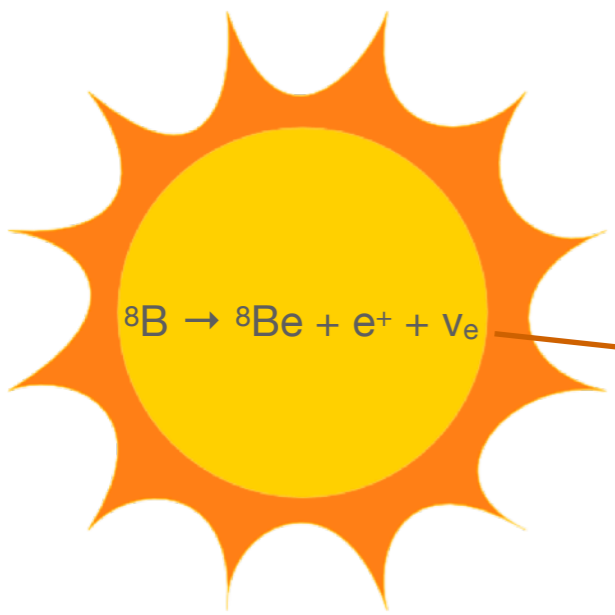
number of observables

- Charge Yield
- Light Yield
- Response Cutoff

## Detection Efficiency

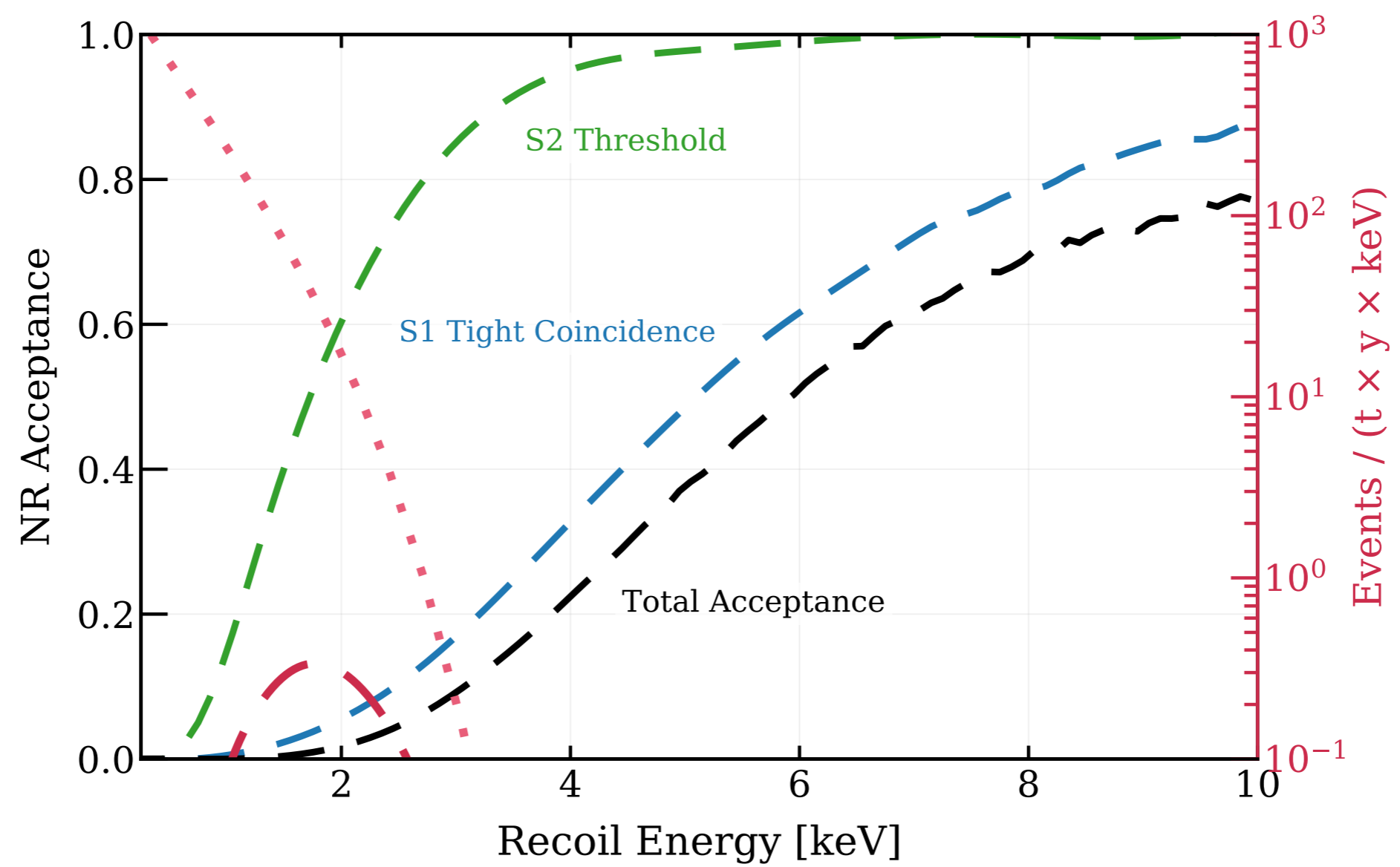
energy threshold  
(set high to cut background)

- PMT Coincidence Requirement
- Software Event Trigger





# NR Acceptance

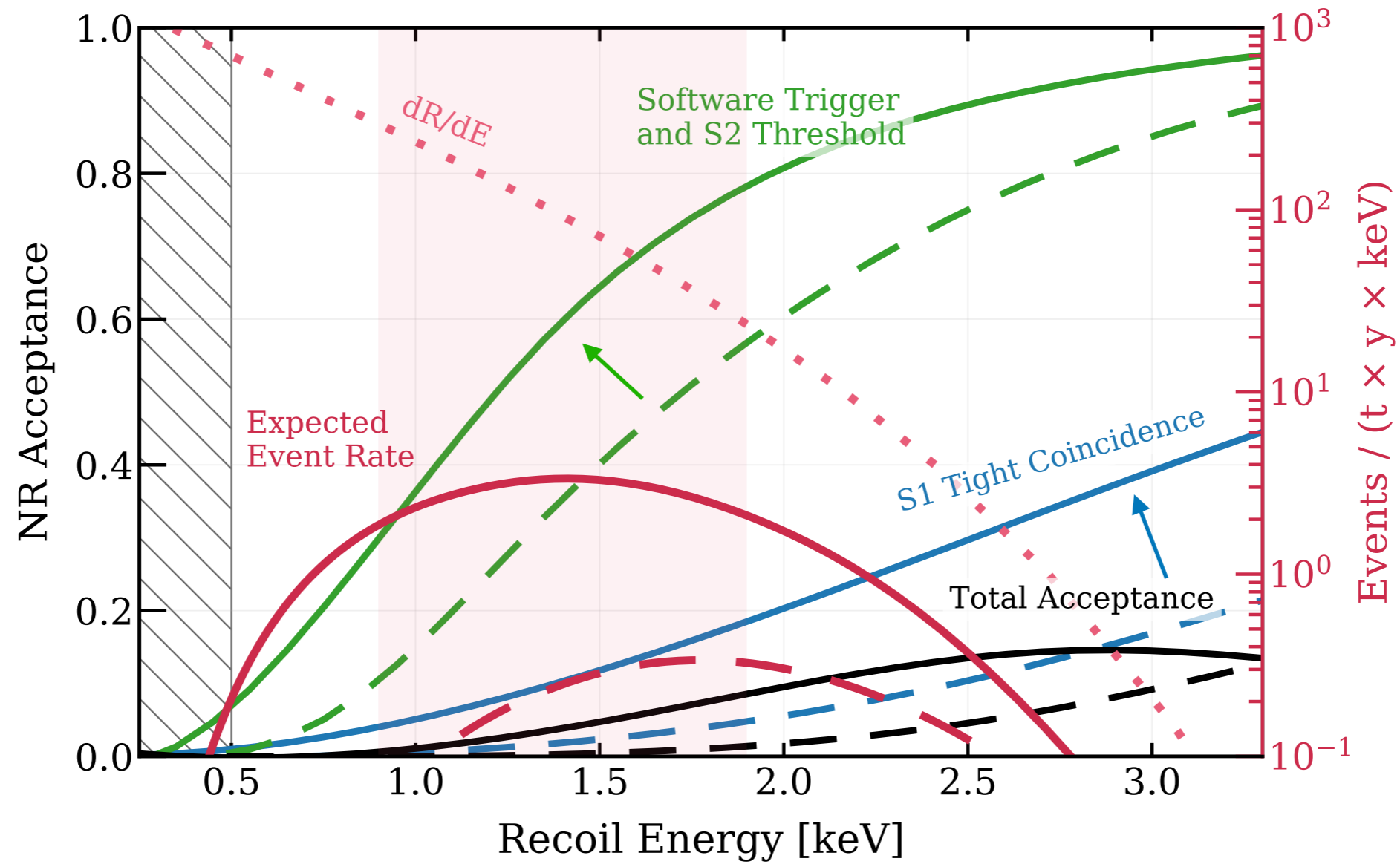


## Two Effects Define Our Energy Threshold:

1. S1 “Tight Coincidence”: **Three PMTs** see light within 50ns
2. Software Event Trigger: Require **S2s > 200 PE** for 100% trigger efficiency



# NR Acceptance



## Two Effects Define Our Energy Threshold – We Modify Both:

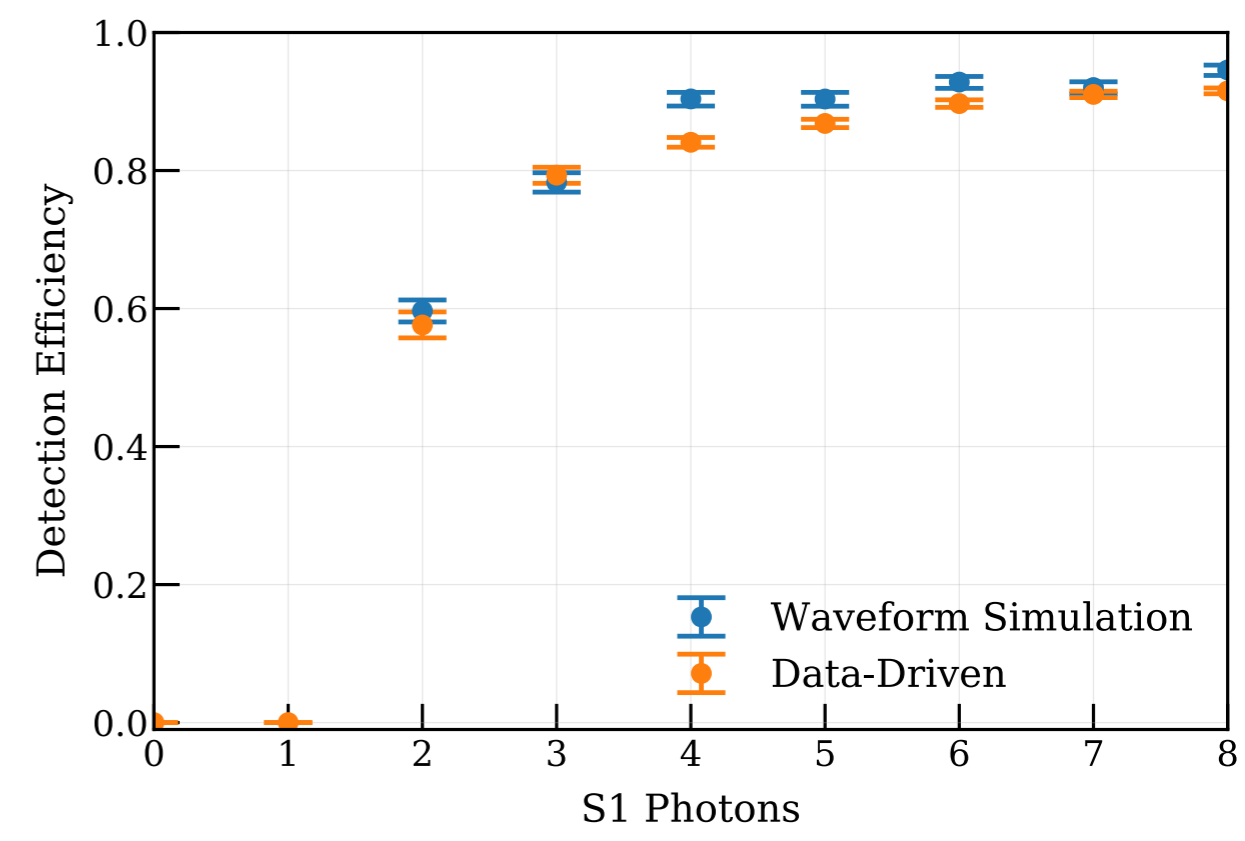
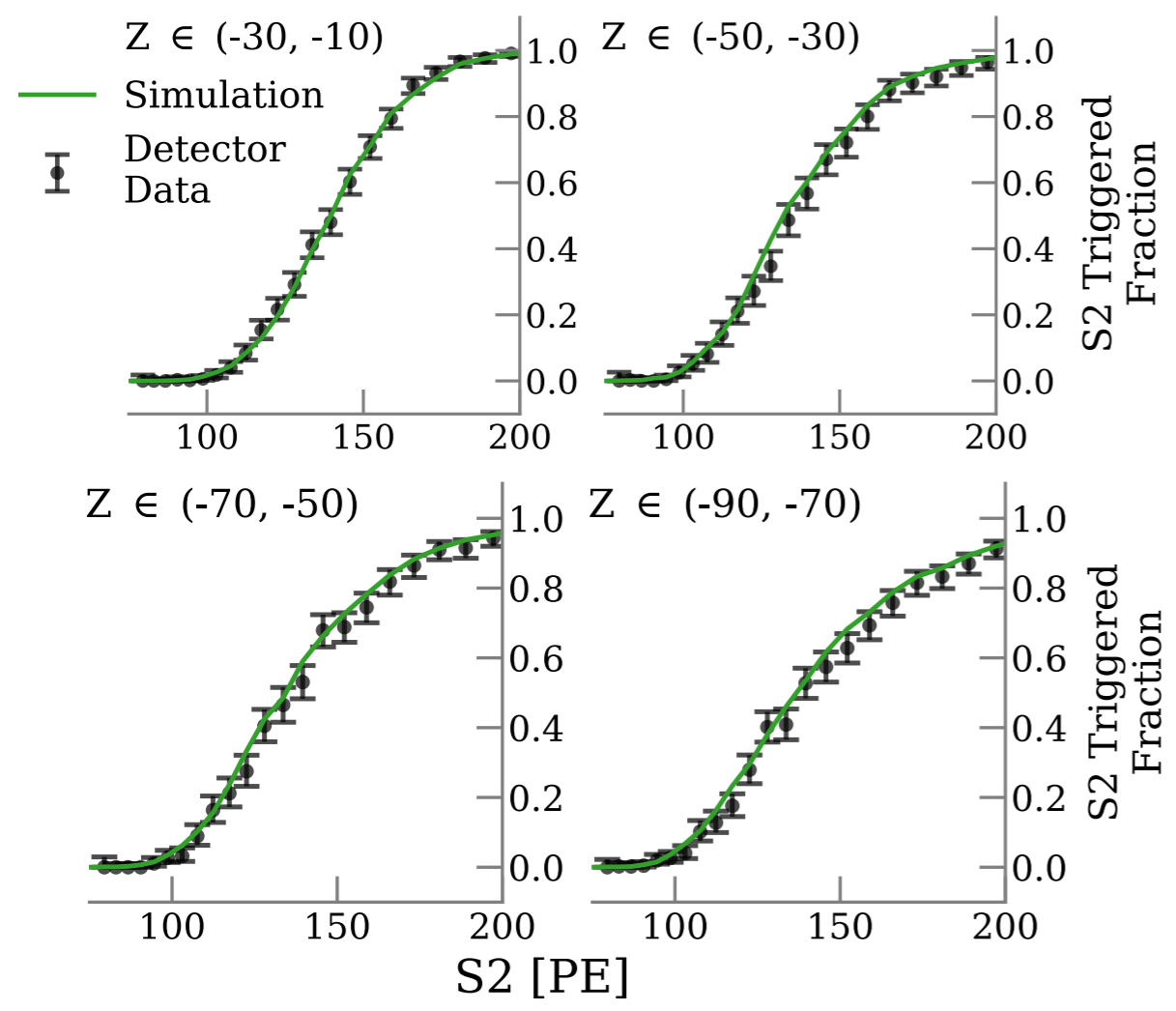
1. S1 “Tight Coincidence”: **Three Two PMTs** see light within 50ns
2. Software Event Trigger: Require **S2s > 200 120 PE**, **no longer ensuring** the event makes a trigger



# NR Acceptance

## S1 Detection Efficiency

- Small S1s are simulated with the waveform simulator
- Cross-checked with data-driven approach by bootstrapping from large S1s



## Trigger Efficiency

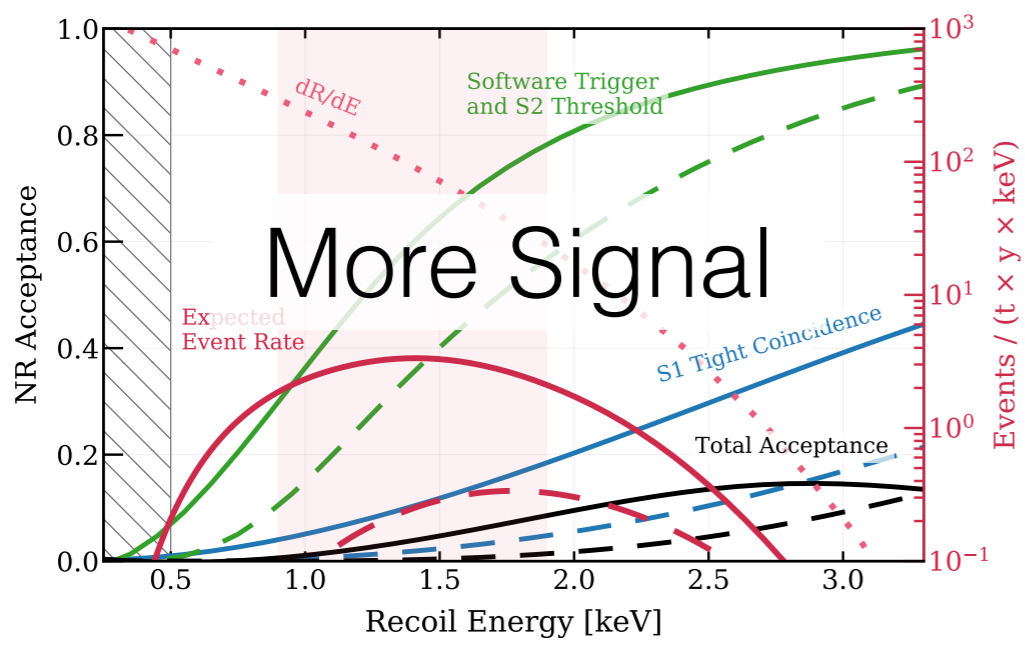
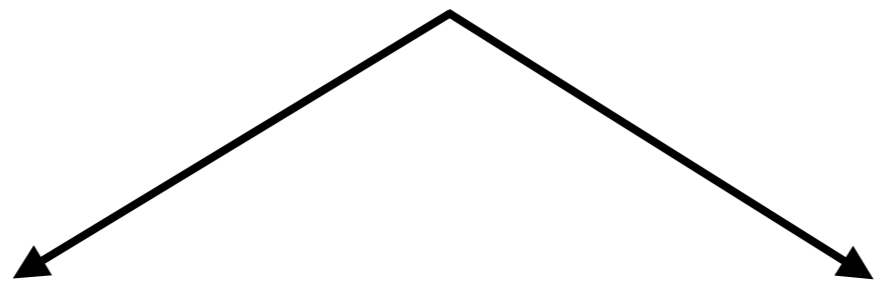
- Small S2s are simulated with the waveform simulator and passed through the event builder
- Validated against S2s extracted from events where S1 created the trigger
- Position dependence included





# Backgrounds

Lowered Threshold



More Background!



# Backgrounds

Four Backgrounds Modeled in XENON1T:

1. Accidental Coincidence (AC)
2. Electronic Recoils ( $^{214}\text{Pb}$  beta decay)
3. Radiogenic Neutrons
4. **“Surface” Events**  $\longrightarrow$  Reduced to  $< 0.04$  events by  $R < 37\text{cm}$  cut

Source	Expectation
<b>CEvNS</b>	2.25
<b>Accidental</b>	5.14
<b>ER</b>	0.21
<b>Radiogenic</b>	0.03
<b>Surface</b>	Negligible
<b>Total</b>	7.65



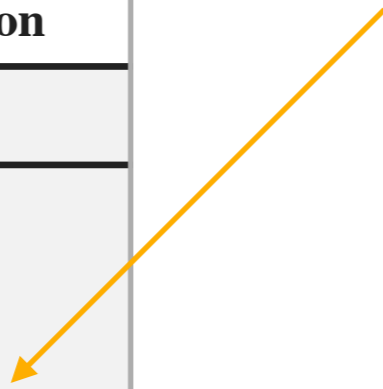
# Backgrounds

Four Backgrounds Modeled in XENON1T:

1. Accidental Coincidence (AC)
2. Electronic Recoils ( $^{214}\text{Pb}$  beta decay)
- 3. Radiogenic Neutrons**
4. ~~“Surface” Events~~

Very low but correlated with CEvNS through systematics (yields) so we model to be safe

Source	Expectation
CEvNS	2.25
Accidental	5.14
ER	0.21
<b>Radiogenic</b>	0.03
Surface	Negligible
Total	7.65



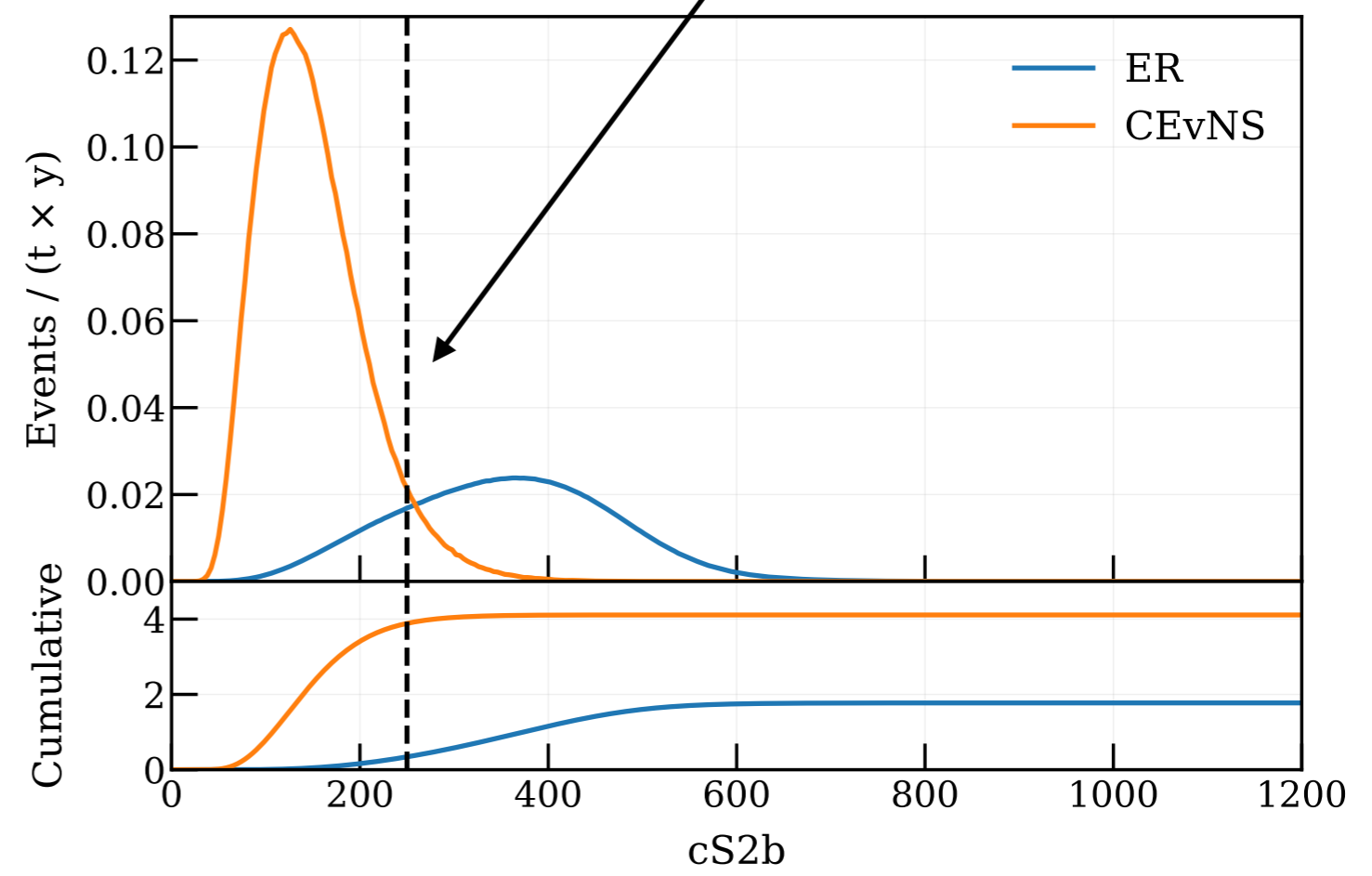
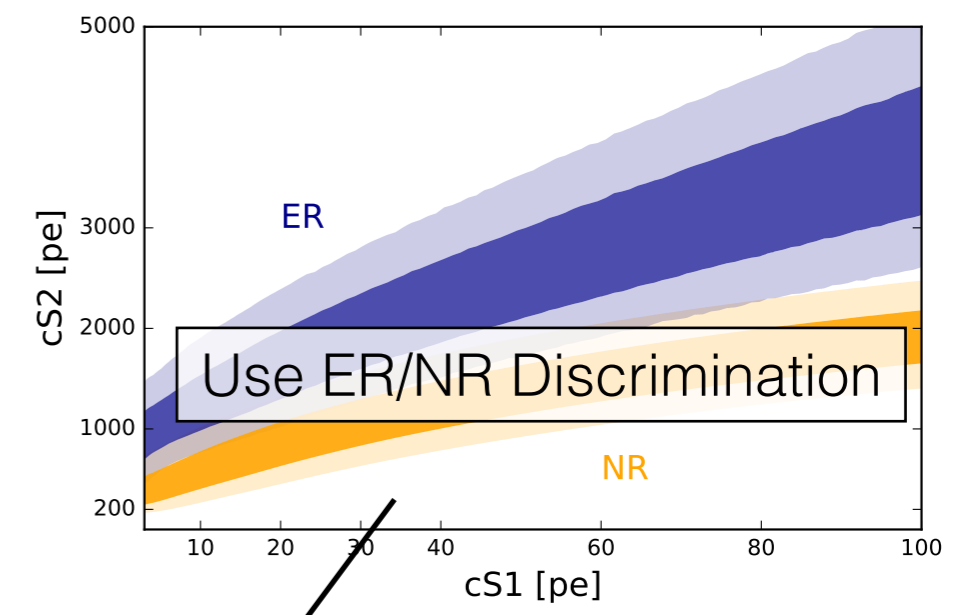


# Backgrounds

Four Backgrounds Modeled in XENON1T:

1. Accidental Coincidence (AC)
2. **Electronic Recoils ( $^{214}\text{Pb}$  beta decay)**
3. Radiogenic Neutrons
4. "Surface" Events

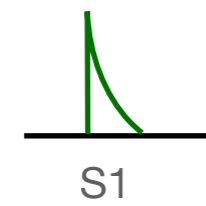
Source	Expectation
<b>CEvNS</b>	2.25
<b>Accidental</b>	5.14
<b>ER</b>	0.21
<b>Radiogenic</b>	0.03
<b>Surface</b>	Negligible
<b>Total</b>	7.65



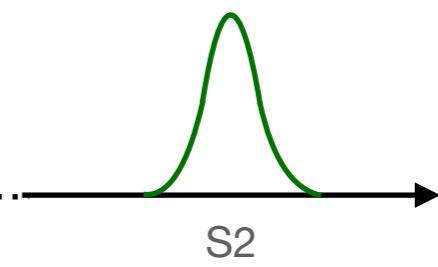


# Backgrounds: AC

Isolated S1s due to e.g. spurious firing of 2+ PMTs...



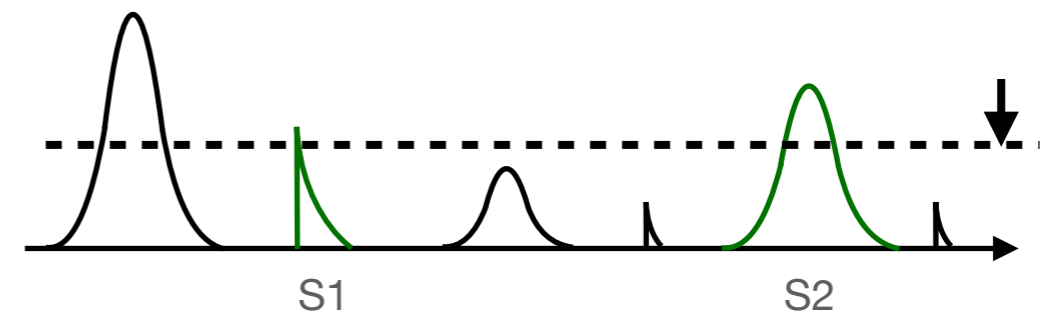
... get paired with isolated S2s



## 1. Accidental Coincidence (AC)

Reduced tight-coincidence requirement and **S2 threshold** lead to ~100x increase!

Source	Expectation
CEvNS	2.25
Accidental	5.14
ER	0.21
Radiogenic	0.03
Surface	Negligible
Total	7.65



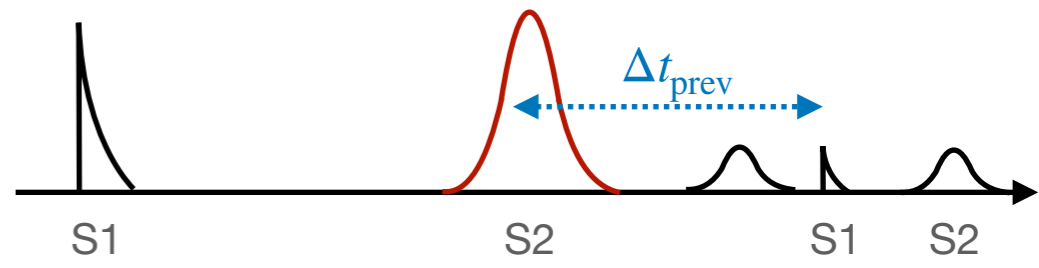
many new cuts required to suppress to this level...



# AC Suppression: I

High energy events lead to subsequent AC events

- S1: More spurious PMT hits (unclear why)
- S2: More single electrons



Reduced by cut on X&Y of preceding event

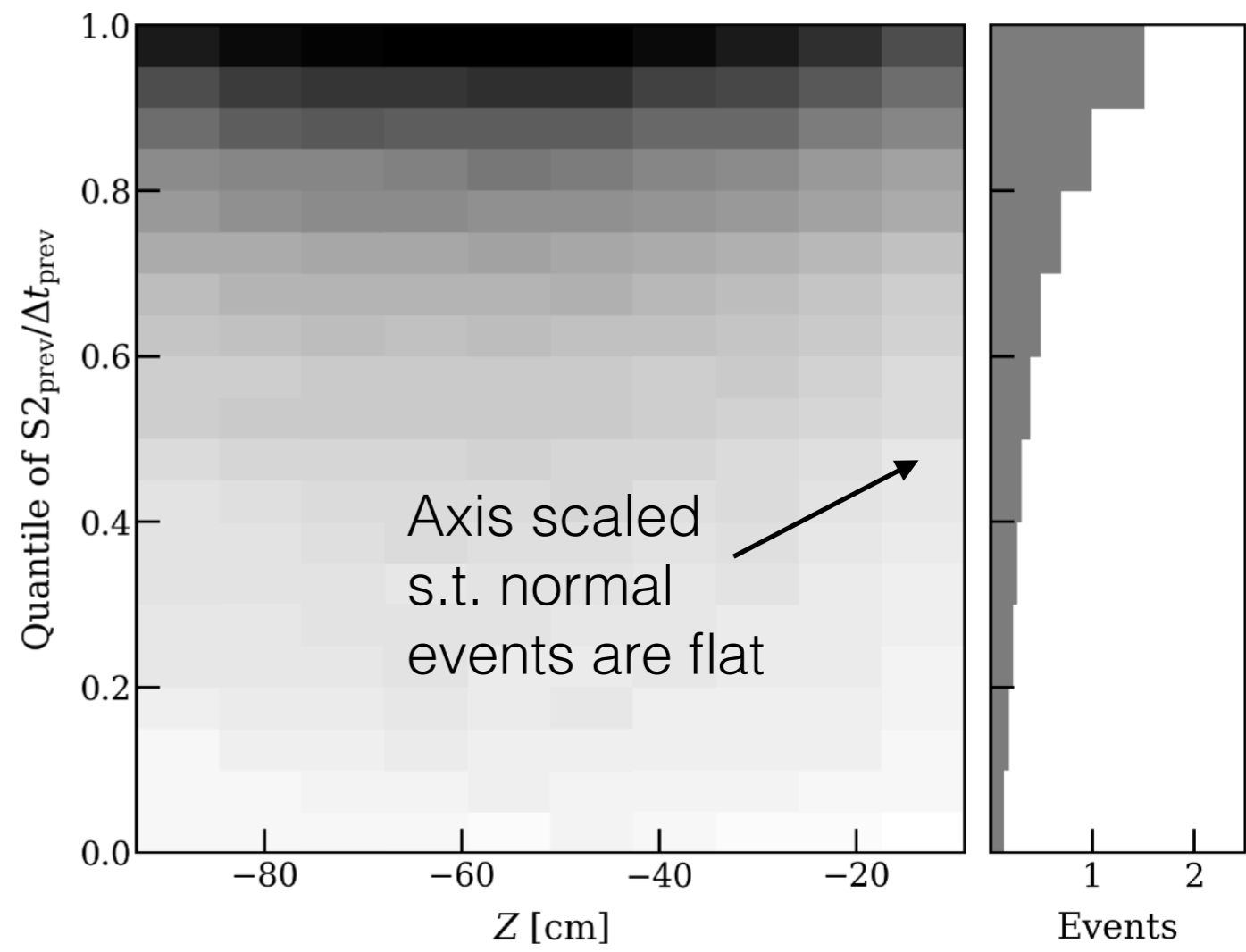
The **larger** the previous event and...

...the **sooner** the suspect event follows it, the more likely it is AC:

Define FoM:  $S2_{prev} / \Delta t_{prev}$

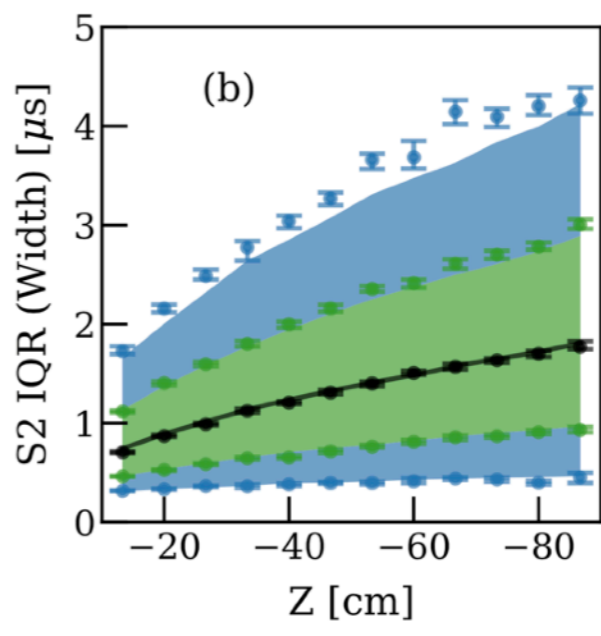
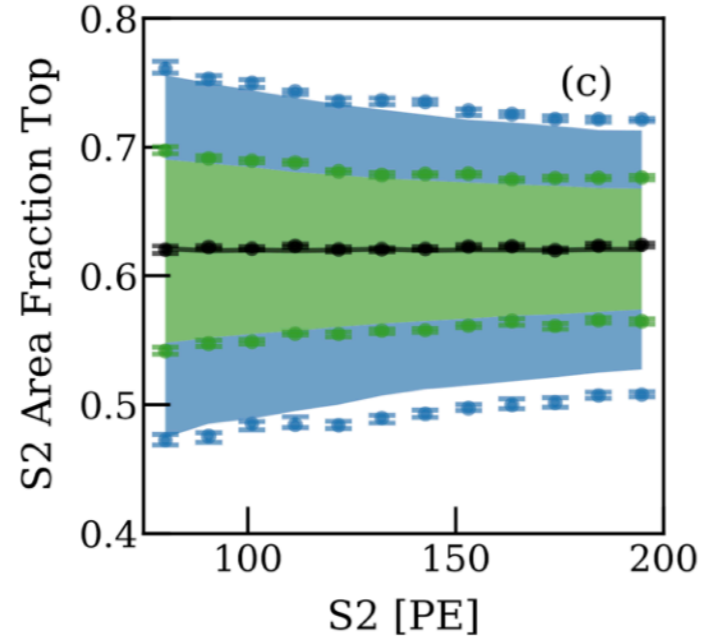
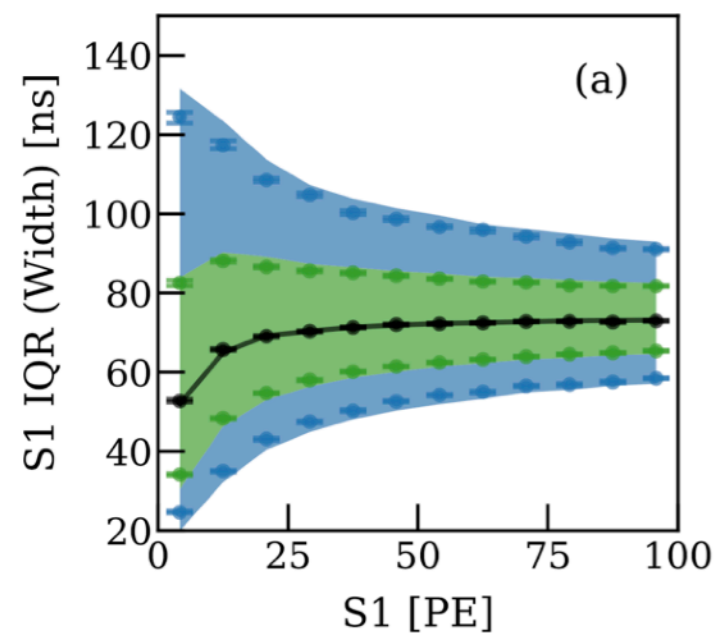
- S1: 65% rejection → 11.2 Hz
- S2: 0.7 mHz (1/3 of S1 result)
- Removes S1-S2 correlations

Signal acceptance: 82%





# AC Suppression: II

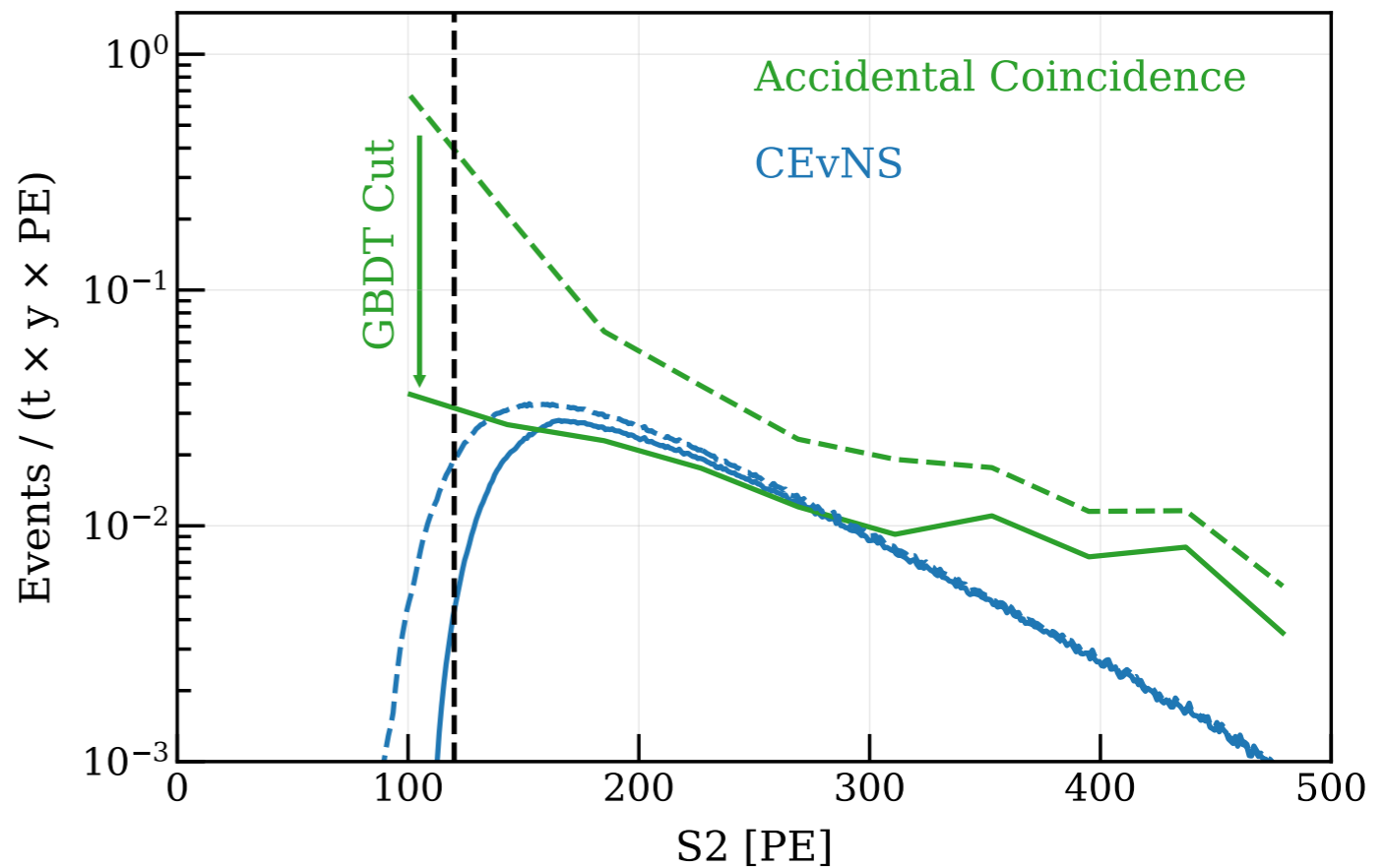


- Use:
1. S2 Size
  2. S2 Width
  3. S2 Top/Total
  4. Depth

**G**radient **B**oosted **D**ecision **T**ree  
(ensemble classifier)

Training Data:

- Waveform simulation for signal
- Randomly-combined isolated S1s and S2s for AC





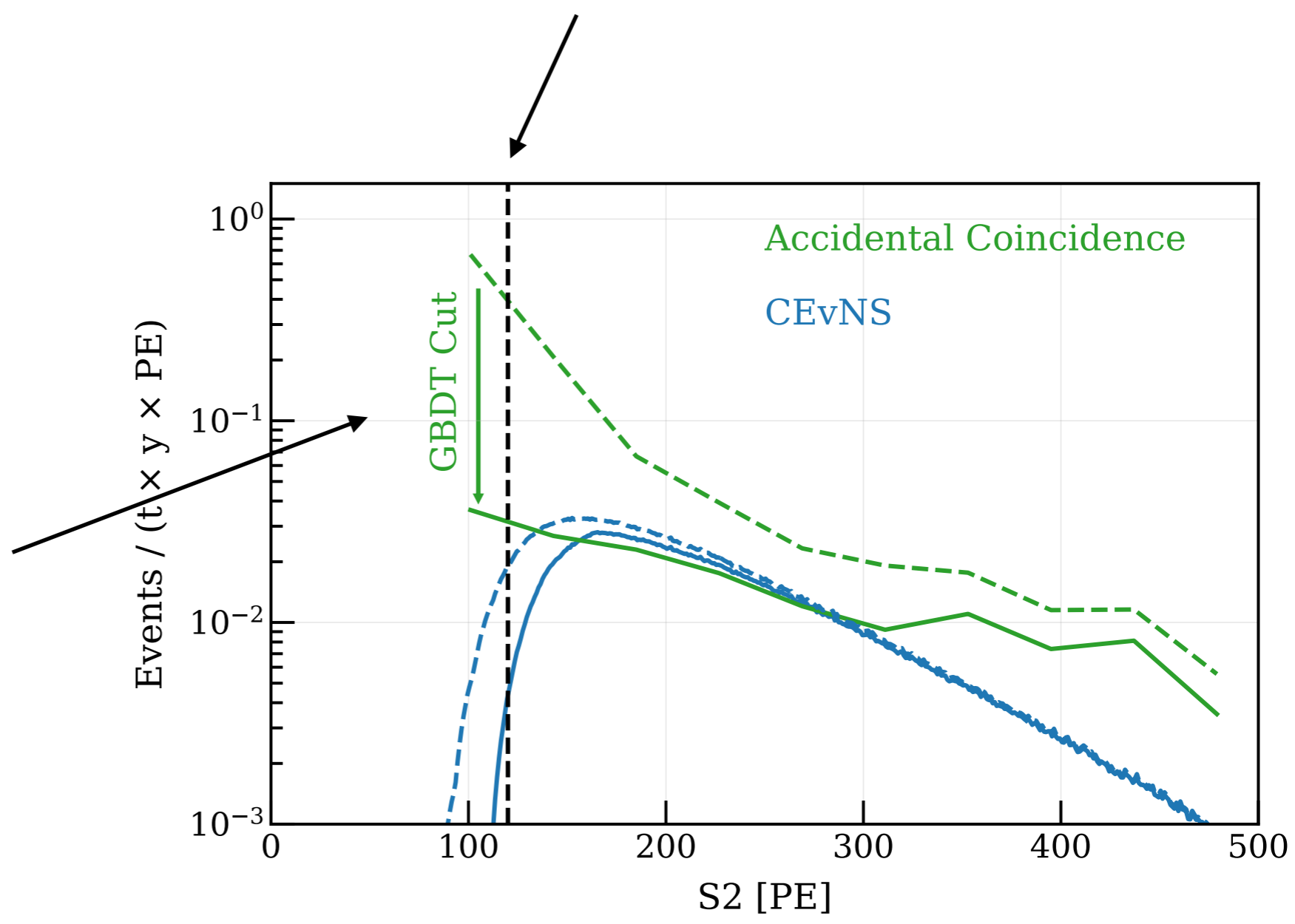
# Sidebands

**Control Region:** Approx. zero CEvNS events have  $S2 < 120$  PE

**Use to check AC prediction**

**AC Validation Region:**  
Vast majority of events failing GBDT cut are AC

**Use to check AC model**

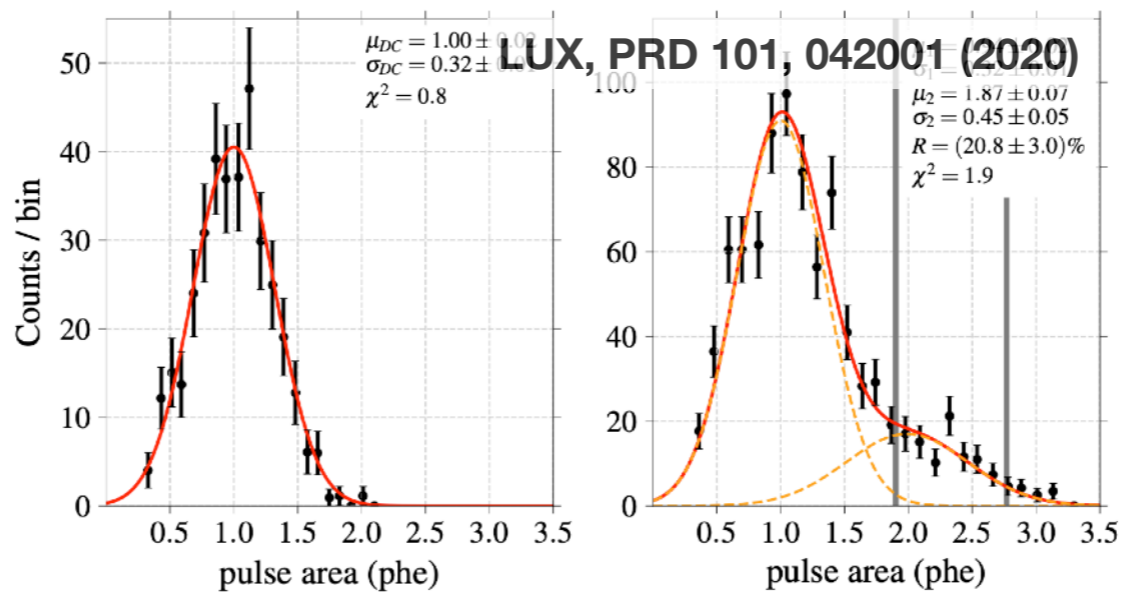






# AC Suppression: III

Isolated S1s composed of dark counts **rarely contain > 2 PE hits** ( $1/100$ )



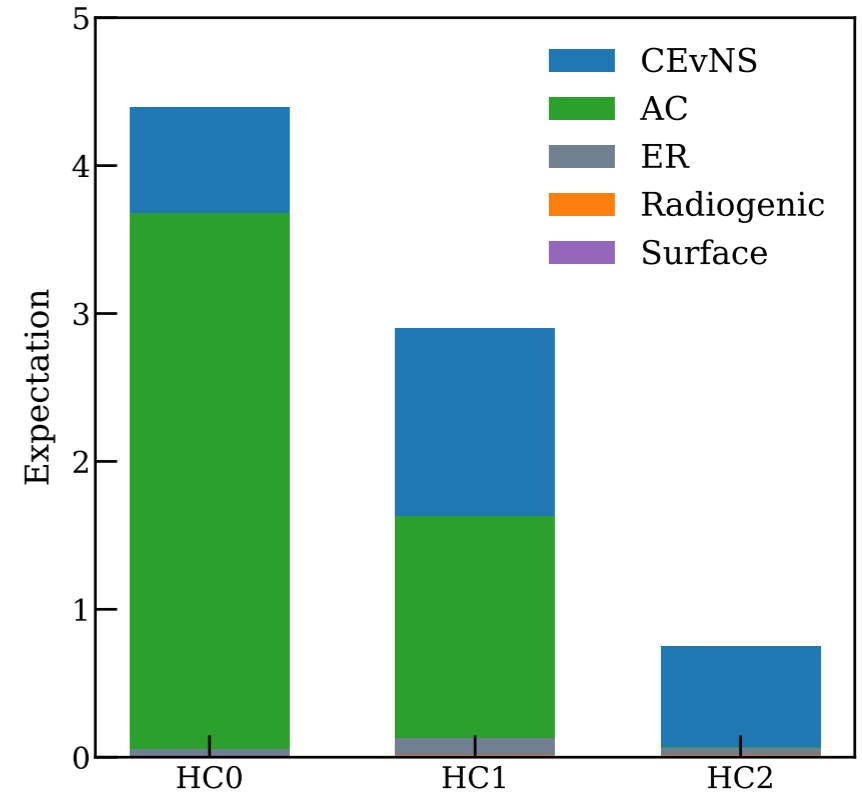
Signal S1s have a **~20% DPE** probability per hit

**Two “Largest Hit Area” Bins:** LHA < 2 PE; LHA ≥ 2 PE

Isolated S1s are roughly **evenly distributed across PMTs**, and **rarely contain three PMTs**; Signal S1s are **larger** and prefer **bottom PMTs**

## Three “Hit Category” Bins

- HC0: 2 Hits, 1+ in the top array of PMTs → Very AC-like
- HC1: 2 Hits, 0 in the top array of PMTs → More Signal-like
- HC2: 3 Hits (anywhere) → Very Signal-like





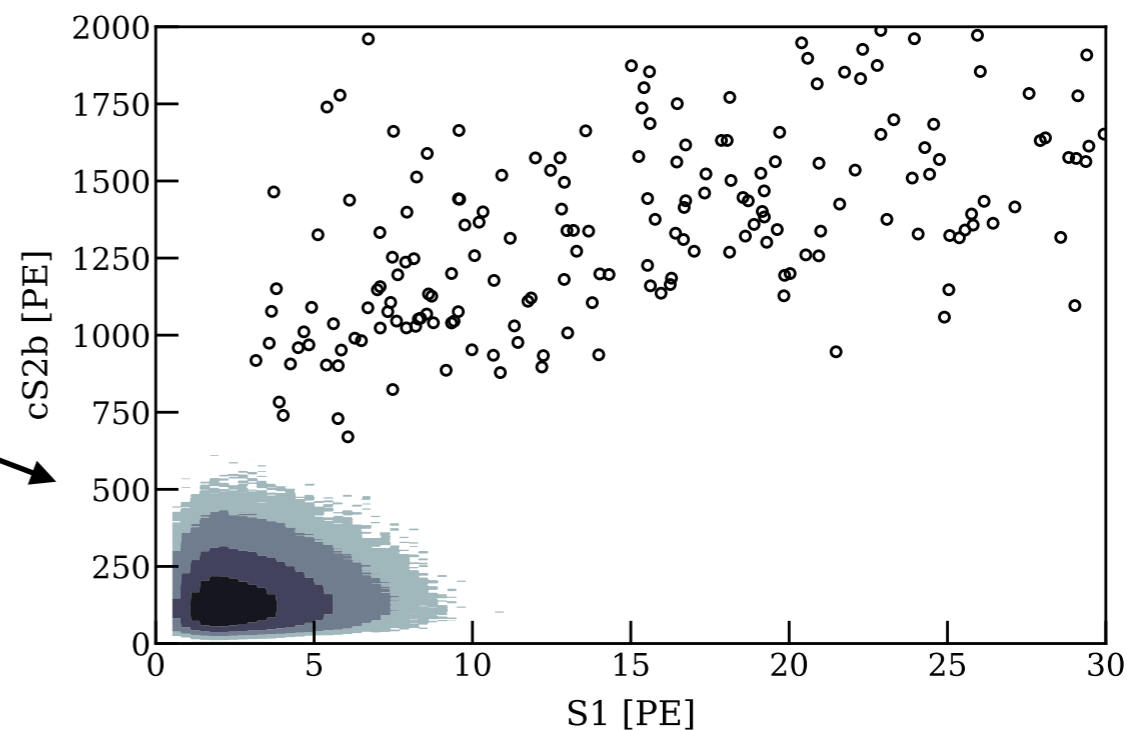
# Unblinding Procedure

1. Investigate **Control Region** ( $80 \text{ PE} < S2 < 120 \text{ PE}$ )
  - Revise Selections
2. Unblind **AC Validation Region** (Invert GBDT Cut)
  - Test GoF
3. Unblind ROI

5% of CEvNS expectation overlaps with previous data



“blind” analysis





# Control Region Investigation

80 PE < S2 < 120 PE: Very low signal expectation (<1%), High AC

Expected:  $27.7 \pm 1.4$

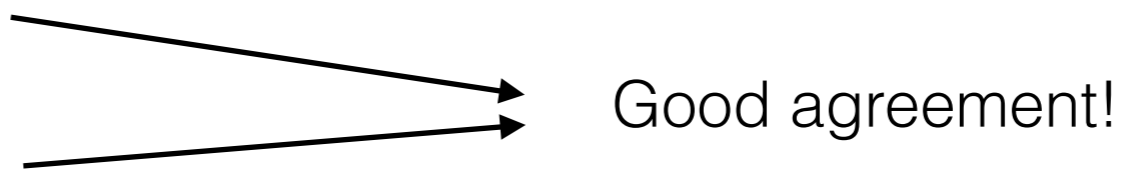
Observed: 27

Four of these had two hits on a single PMT → likely PMT afterpulses

↪ New cut (> 99% acceptance)

Expected:  $27.7 \pm 1.4$

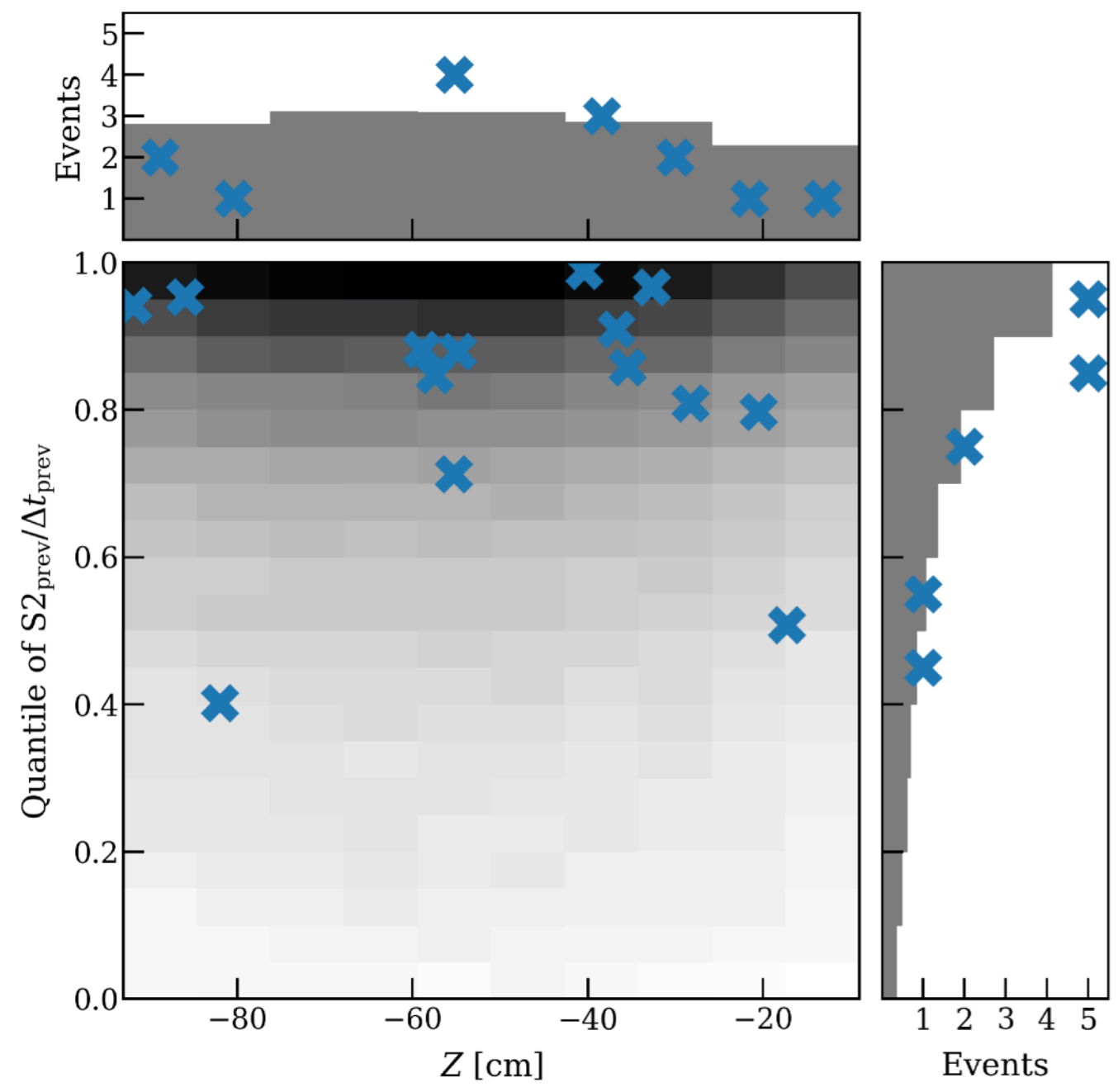
Observed: 23



Based on relative disagreement, conservative 20% AC rate uncertainty is used in the inference



# AC Validation Unblinding



GoF Scores:

Continuous Axes:  $p = 0.33$

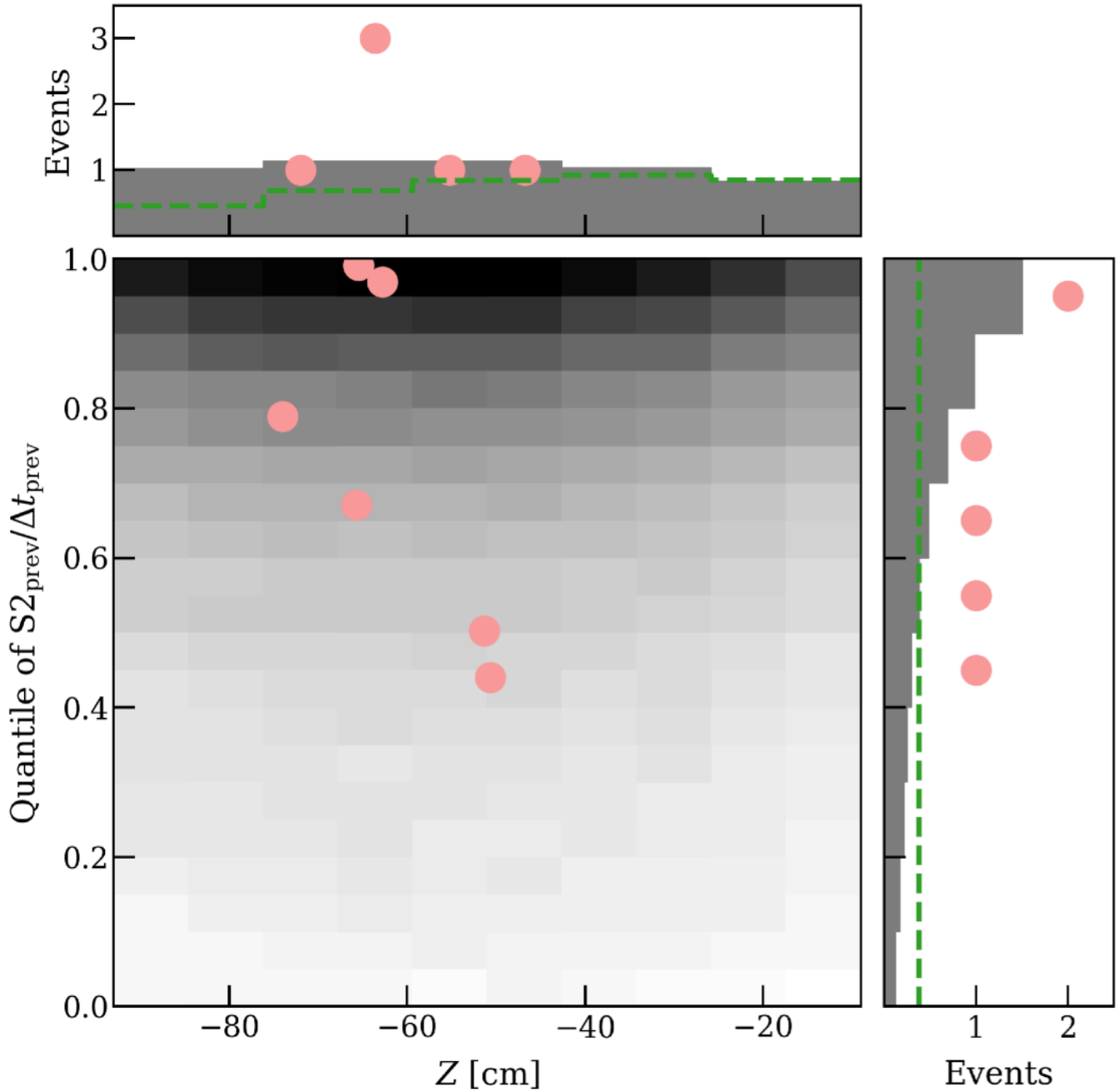
Six (HC x LHA) bins:  $p = 0.95$

HC	LHA	Expected	Observed
0	$\geq 2$	0.23	0
0	$< 2$	9.37	10
1	$\geq 2$	0.07	0
1	$< 2$	3.93	4
2	$\geq 2$	0.00	0
2	$< 2$	0.03	0
<b>Total:</b>		<b>13.63</b>	<b>14</b>



# Science Unblinding

Data consistent with AC background ( $p > 0.05$ )



GoF Scores:

Continuous Axes:  $p = 0.72$

Six (HC x LHA) bins:  $p = 0.64$

HC	LHA	BG	Signal	Observed
0	$\geq 2$	0.10	0.13	0
0	$< 2$	3.58	0.46	4
1	$\geq 2$	0.06	0.25	0
1	$< 2$	1.58	0.84	2
2	$\geq 2$	0.02	0.18	0
2	$< 2$	0.05	0.39	0
<b>Total:</b>		<b>5.38</b>	<b>2.25</b>	<b>6</b>

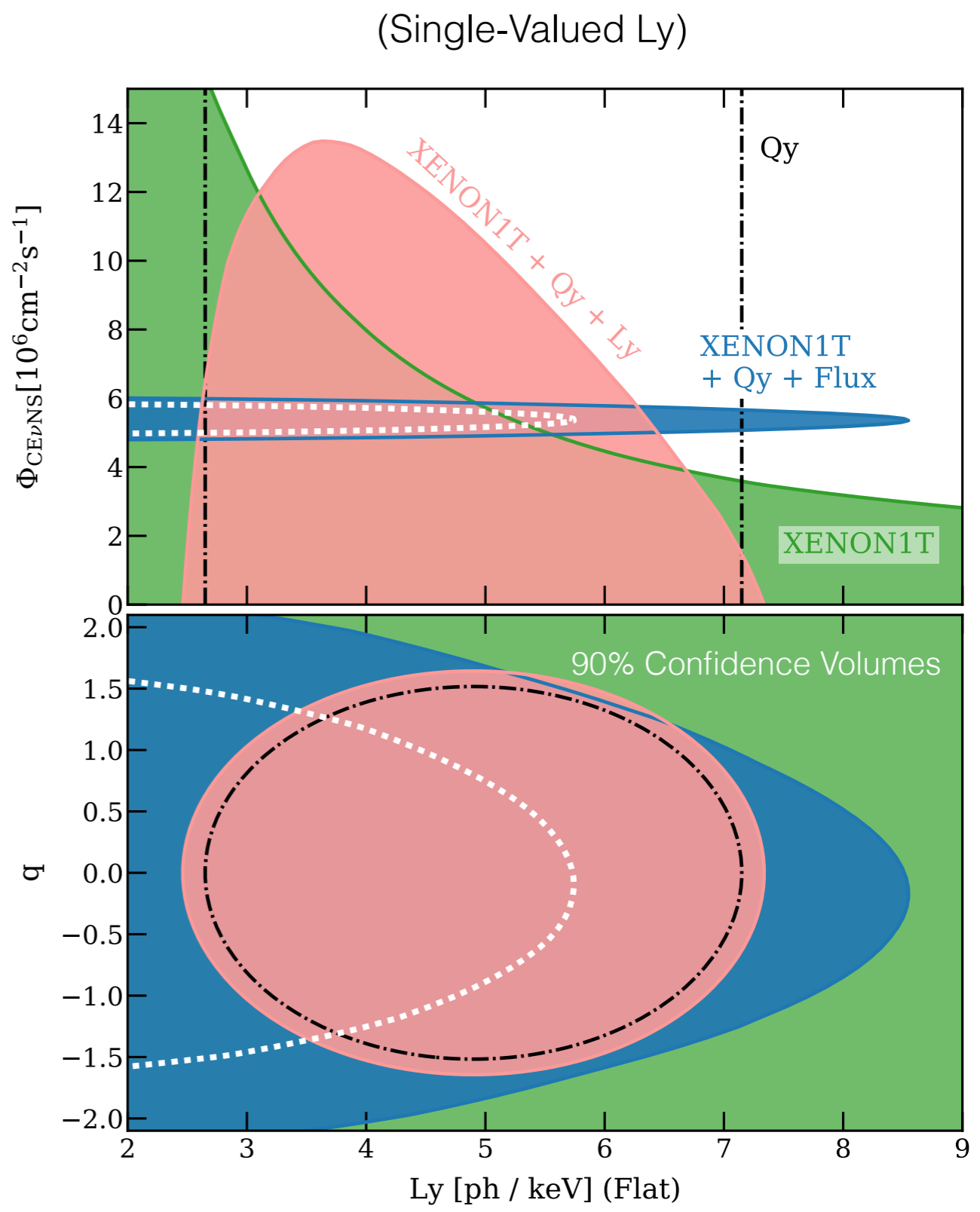
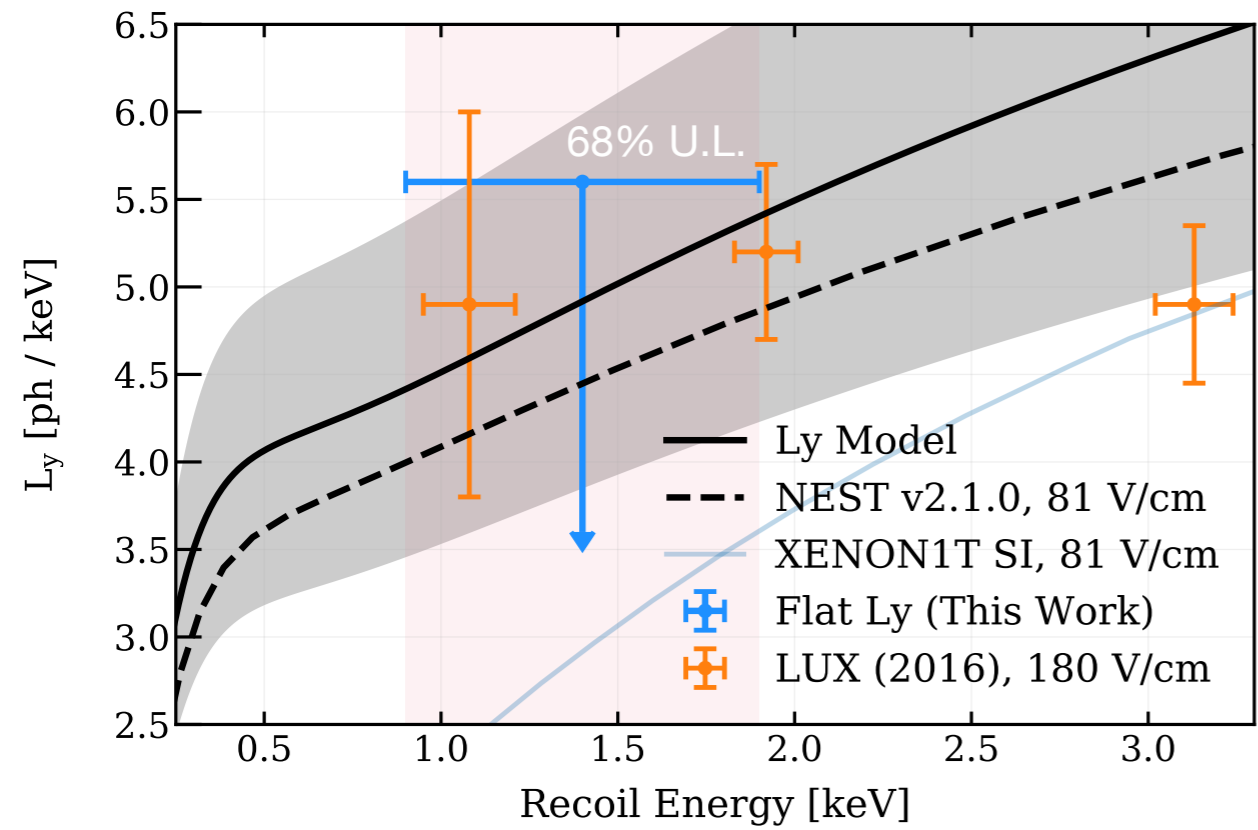


# CEvNS Constraints

Combination with various external data to learn about the solar flux and xenon response

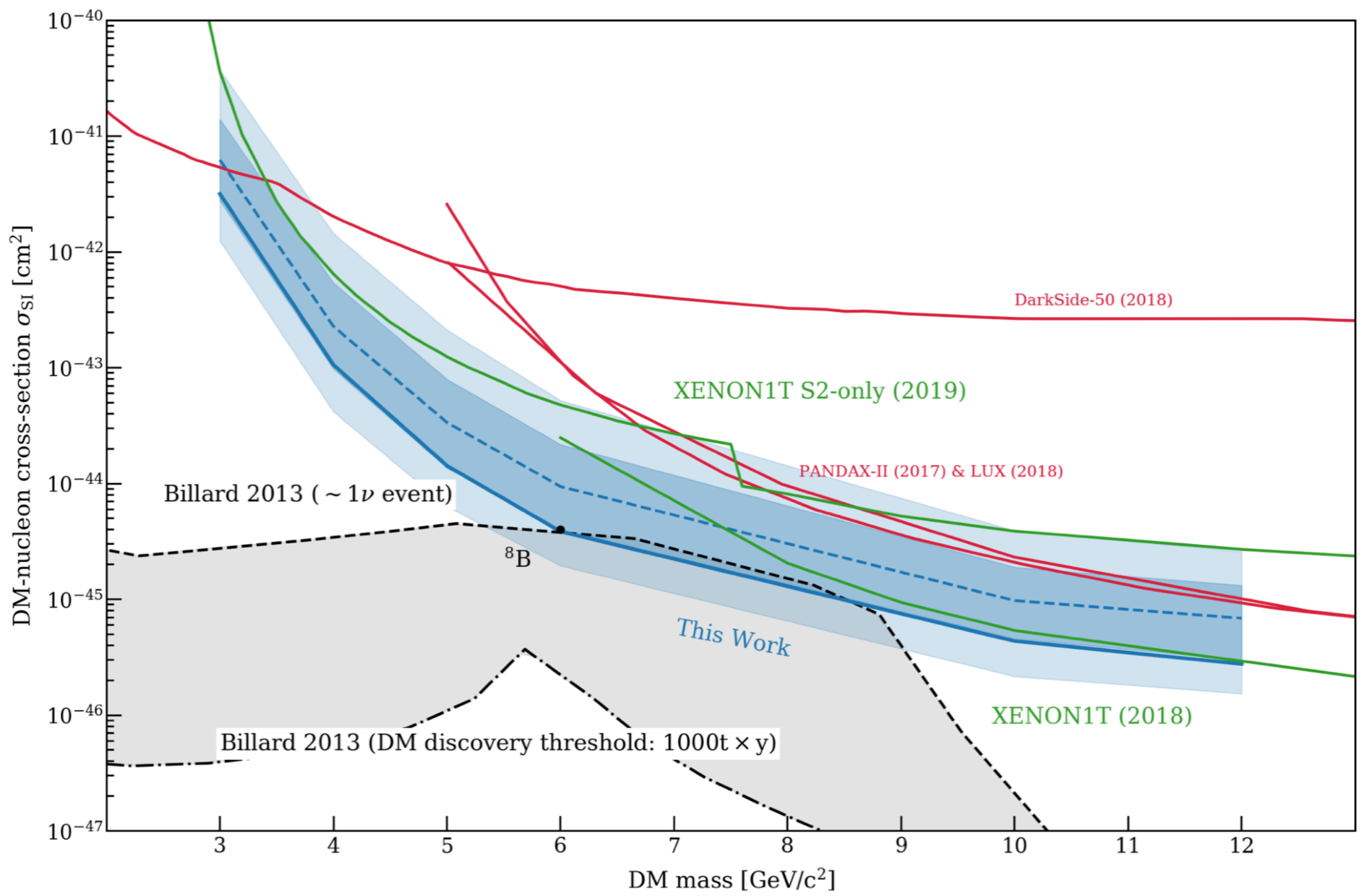
Yield data (LUX / Livermore)  
 + XENON1T → **Flux <math>1.4 \times 10^{-7} / \text{cm}^2 \text{s}</math>**

Flux (Borexino) + Qy (Livermore)  
 + XENON1T → **Ly <math>8.5 \text{ ph / keV}</math>**





# WIMP Constraints

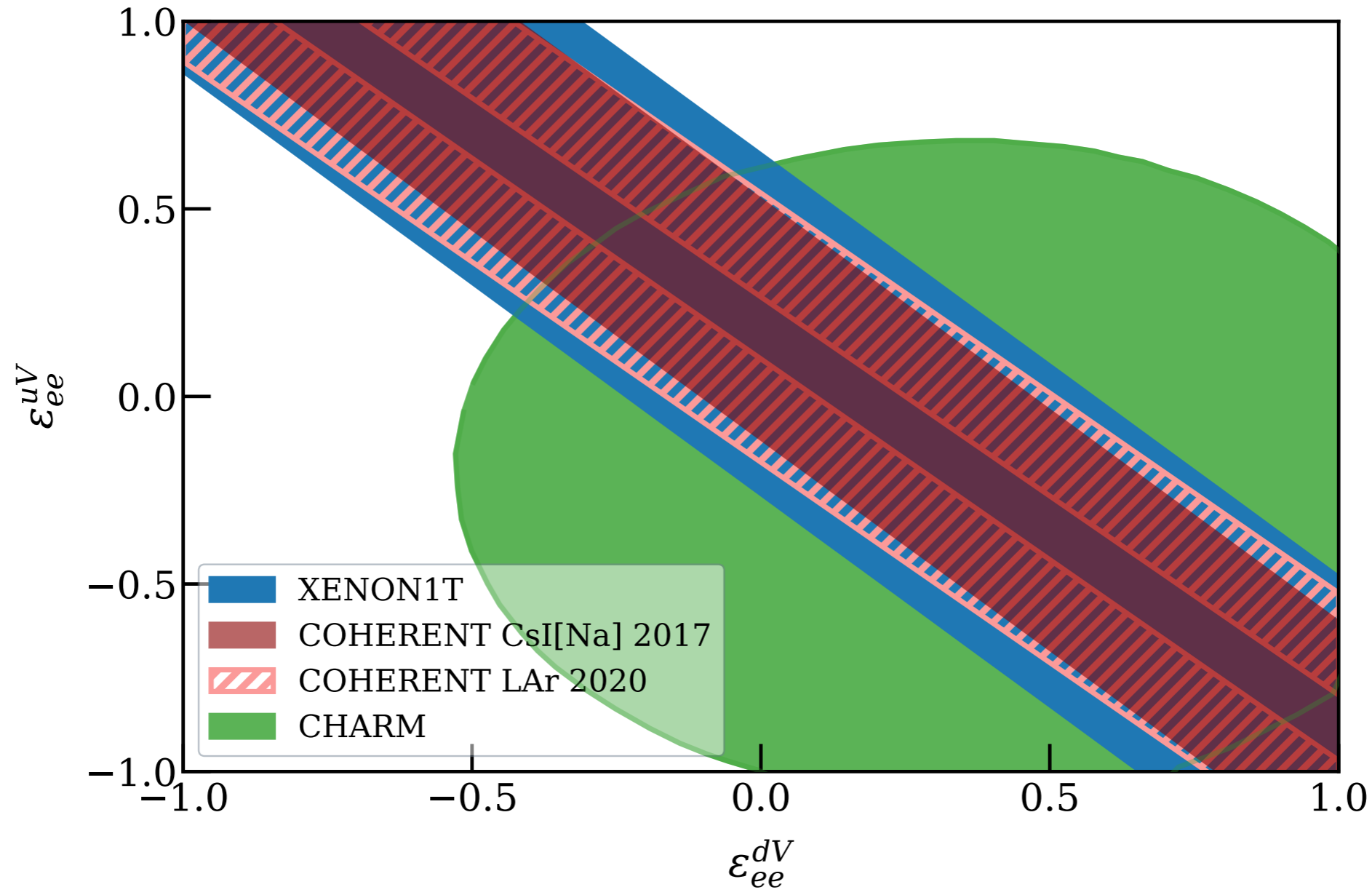




# Neutrino Constraints

Relax SM CEvNS cross-section  $\rightarrow$  constrain non-standard neutrino couplings to quarks

$$Q_w \rightarrow \tilde{Q}_w = N(1 + 2\epsilon_{ee}^{uV} + 4\epsilon_{ee}^{dV}) + Z(4\sin^2\theta_w - 1 + 4\epsilon_{ee}^{uV} + 2\epsilon_{ee}^{dV})$$

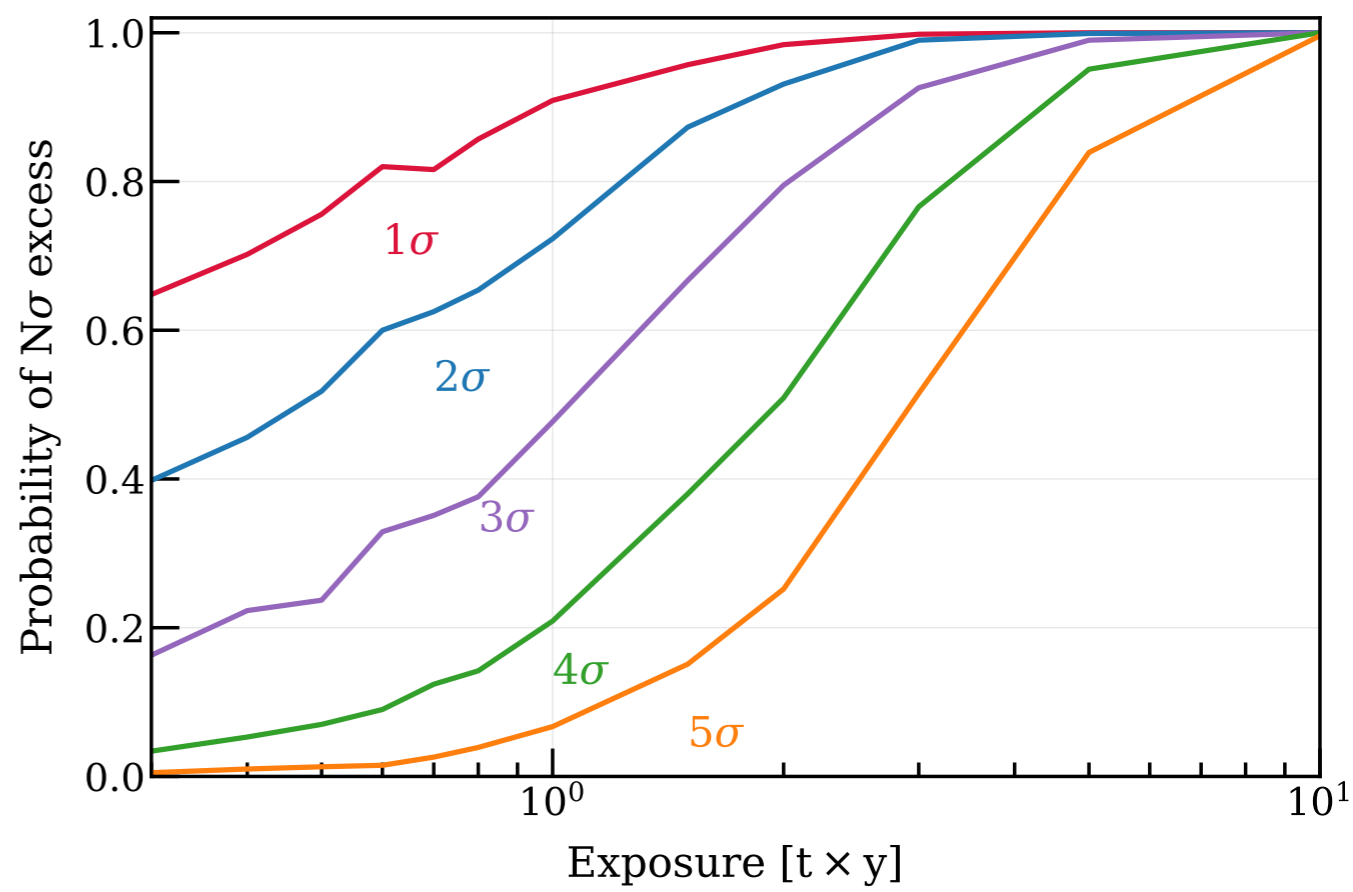
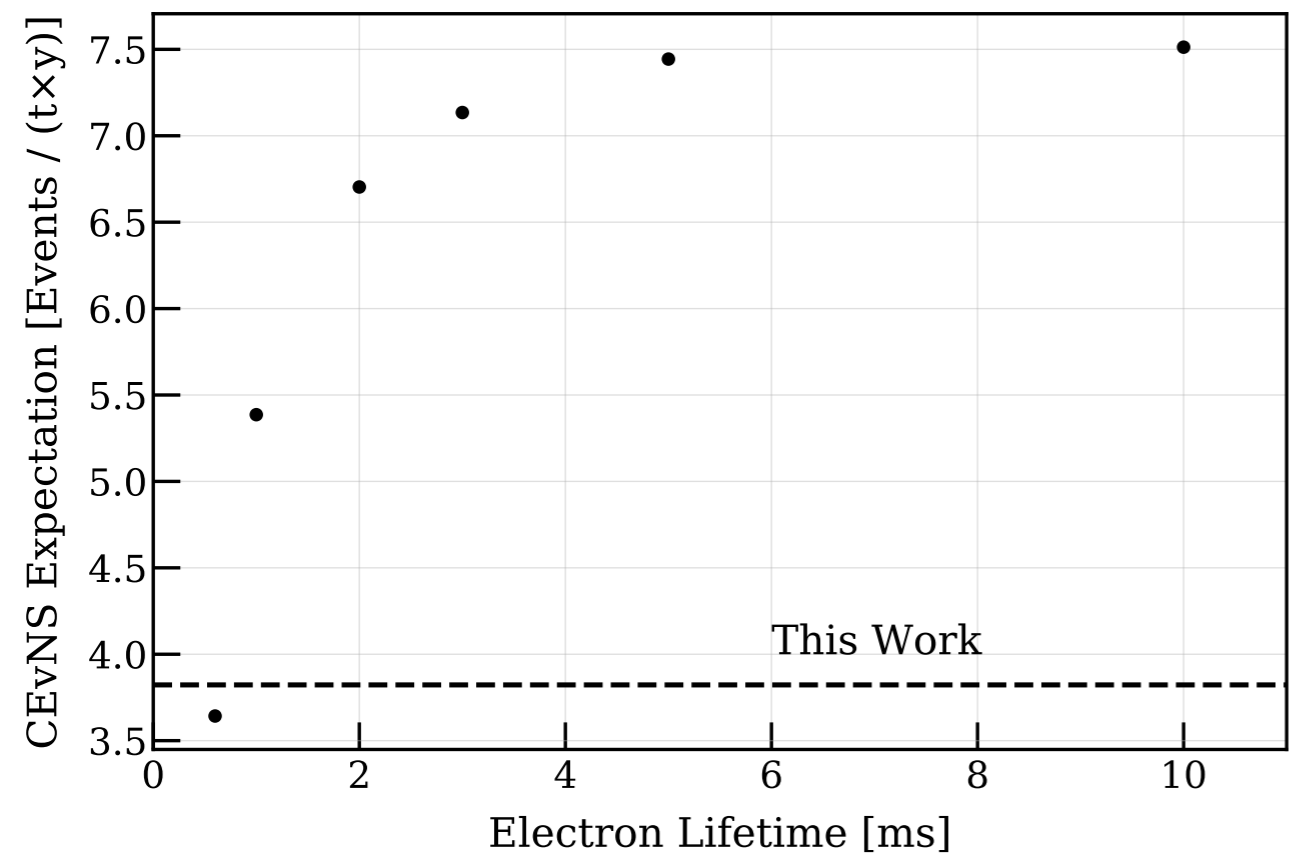






# Looking Forward

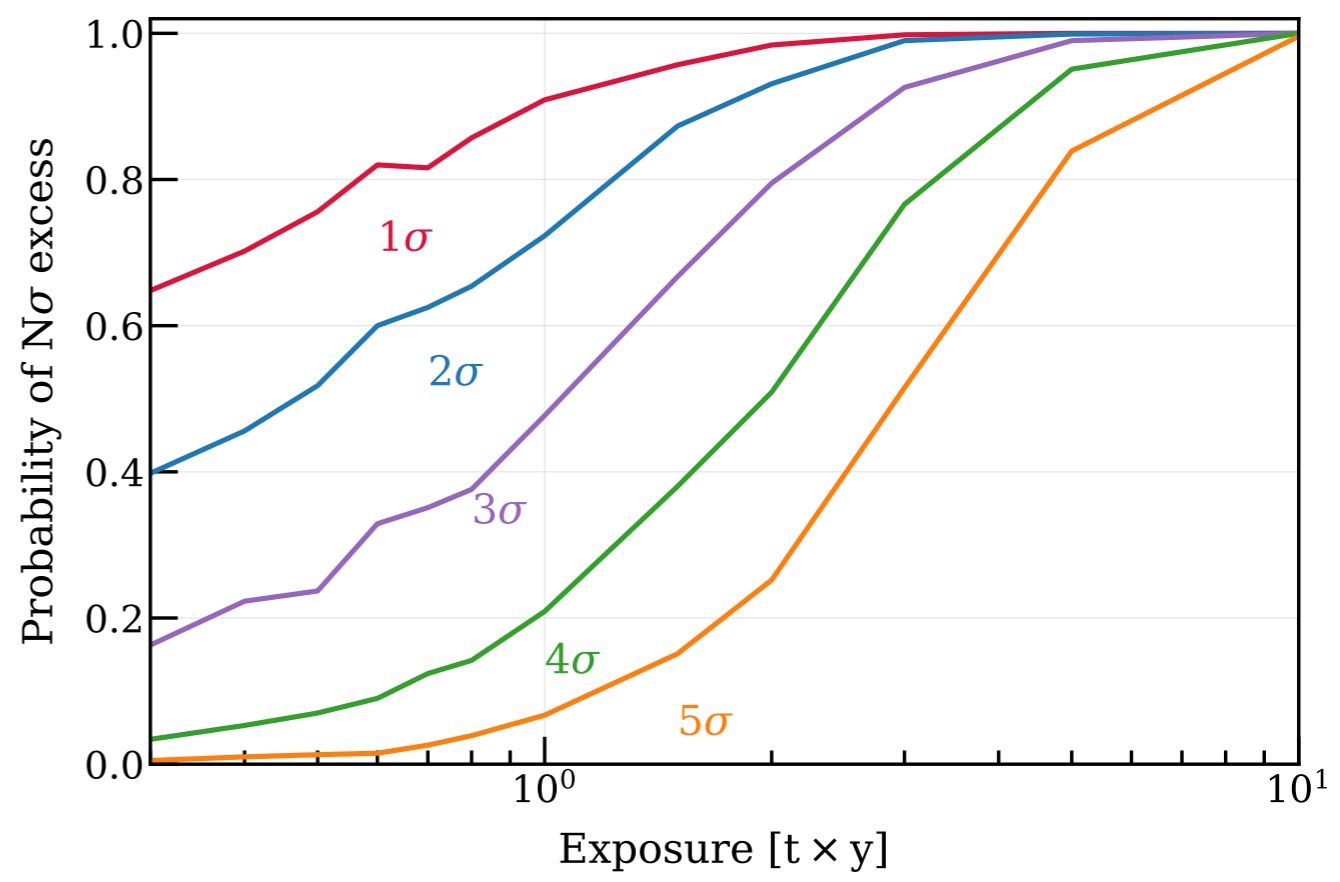
Heavily statistics-limited — few t-y greatly increases the discovery power



Profiling over the constrained yields and considering CEvNS as a background improves low-mass DM limits



# XENONnT: Coming Soon!



Commissioning since early 2021

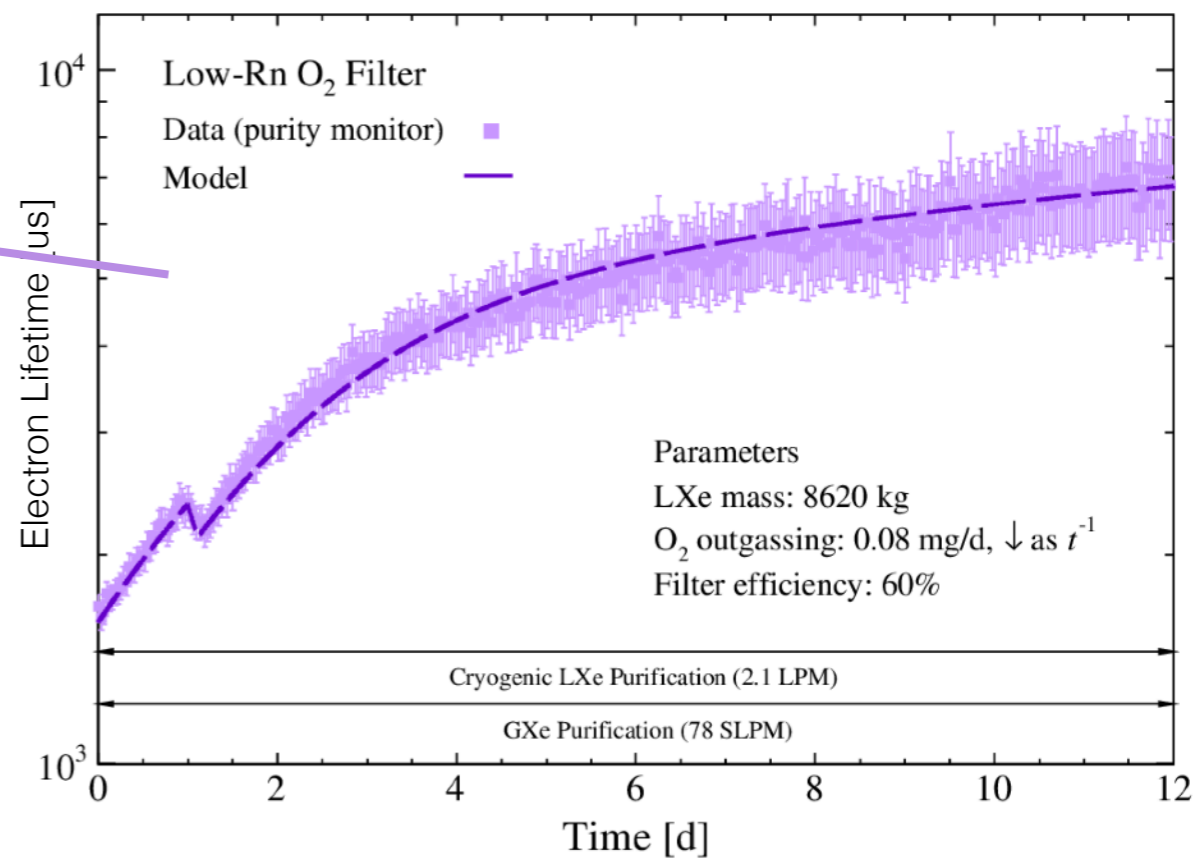
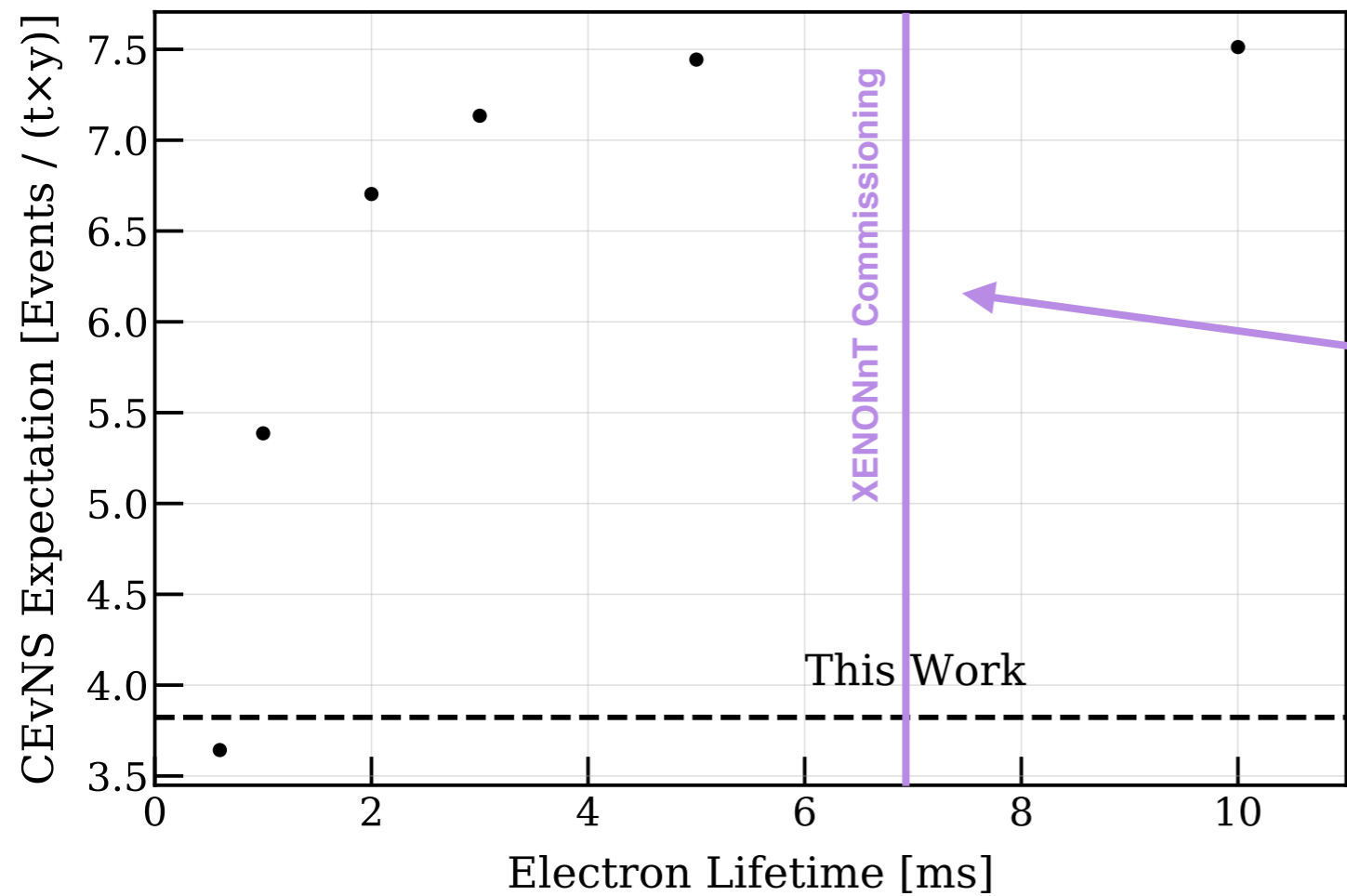
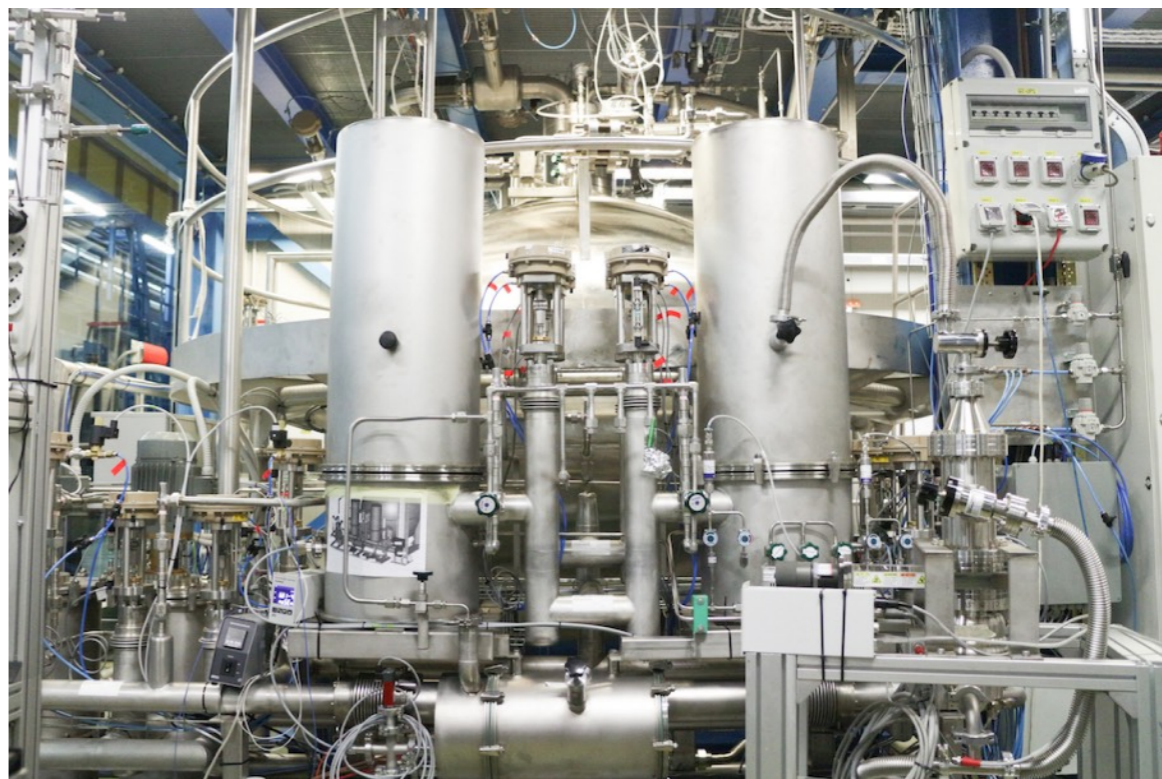
- 4x higher fiducial mass
- Lower ER background



# XENONnT: Coming Soon!

Commissioning since early 2021

Liquid xenon pumps and cryogenic filtration enable much faster purification





# Summary

- Increased discovery power achieved (20% chance of 3-sigma, 50% of 2-sigma) but no such luck
- Non-detection allows constraints on nuisance parameters, including a competitive Ly U.L.
- World-leading limit for low-mass (3-10 GeV) DM
- Discovery potential very high for next generation (coming soon!)
- High electron lifetime and mitigation of AC background essential
- Low-energy LXe light yield calibration would improve DM sensitivity

