



The Little ν Neutral One

QuarkNet Workshop, BNL July 6-10, 2021

Mary Bishai
Brookhaven National Laboratory

July 8th, 2021



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About Neutrinos

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Neutrinos: A
History

Cosmic rays and ν 's
Accelerator Neutrinos

Disappearing
Neutrinos

ν Mixing

Example Expts

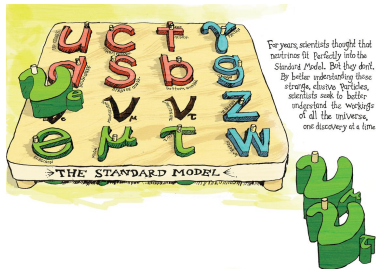
Reactor ν
T2K

CP Violation

NO ν A
LBNF/DUNE

ν Apps

Conclusions



From Symmetry Magazine, Feb
2013

Cosmic Gall

by John Updike

1 Neutrinos, they are very small.
2 They have no charge and have no mass
3 And do not interact at all.
4 The earth is just a silly ball
5 To them, through which they simply pass,
6 Like dustmaids down a drafty hall
7 Or photons through a sheet of glass.
8 They snub the most exquisite gas,
9 Ignore the most substantial wall,
10 Cold-shoulder steel and sounding brass,
11 Insult the stallion in his stall,
12 And, scorning barriers of class,
13 Infiltrate you and me! Like tall
14 And painless guillotines, they fall
15 Down through our heads into the grass.
16 At night, they enter at Nepal
17 And pierce the lover and his lass
18 From underneath the bed—you call
19 It wonderful; I call it crass.

Credit: "Cosmic Gall" from Collected Poems 1963–1993, by John Updike. Copyright John Updike. Used by permission of Alfred A. Knopf, a division of Random House, Inc.



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A BRIEF HISTORY OF THE NEUTRINO



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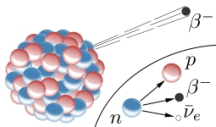
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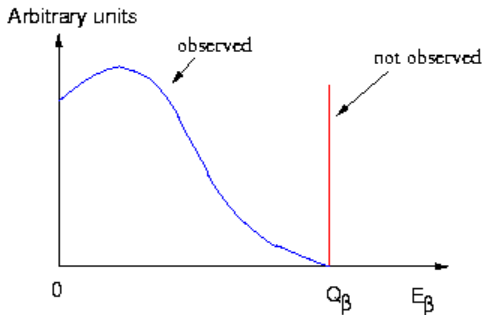
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Before 1930's: beta decay spectrum continuous - is this energy non-conservation?



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Dec 1930: Wolfgang Pauli's letter to physicists at a workshop in Tübingen:



Wolfgang Pauli

Dear Radioactive Ladies and Gentlemen,

....., I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons.... The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant.....

Unfortunately, I cannot appear in Tübingen personally since I am indispensable here in Zurich because of a ball on the night of 6/7 December. With my best regards to you, and also to Mr Back.

Your humble servant

. W. Pauli

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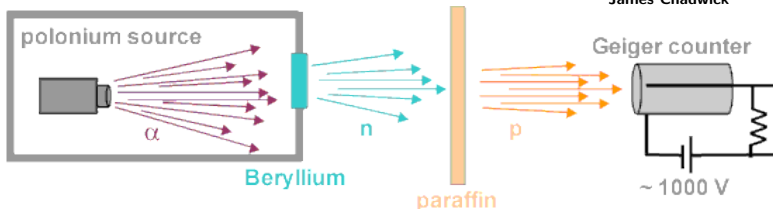
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1932: **James Chadwick** discovers the neutron,
 $\text{mass}_{\text{neutron}} = 1.0014 \times \text{mass}_{\text{proton}}$ - its too heavy -
cant be Pauli's particle



James Chadwick



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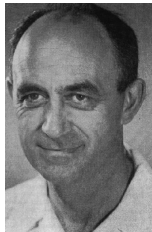
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Solvay Conference, Bruxelles 1933: Enrico Fermi
proposes to name Pauli's particle the "**neutrino**".

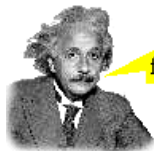


Enrico Fermi

Particle physics units and symbols

Symbols used for some common particles:

Symbol	Particle
$\nu, \bar{\nu}$	Neutrino and anti-neutrino
γ	Photon
e^-	Electron
e^+	Anti-electron (positron)
p	proton
n	neutron
N	nucleon - proton or neutron



Mass is just a
form of energy!

Particle physicists express masses in terms of energy, $E = mc^2$

Mass of proton = 1.67×10^{-24} g \approx 1 billion (Giga) electron-volts (GeV)

1 thousand GeV = energy of a flying mosquito

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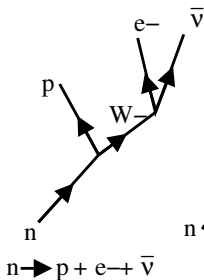
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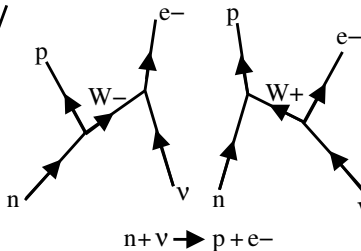
≥ 1933 : Fermi builds his theory of **weak interactions and beta decay**

Charged current interactions

Decay of neutron

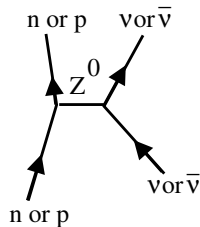


Neutrino interacts
with neutron



Neutral current interactions

n or p interacts with
neutrino or antineutrino



The Theory of Weak Interactions

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A little exercise:

$$n \rightarrow p^+ + e^- + \bar{\nu}$$

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A little exercise:

$$\begin{aligned} n &\rightarrow p^+ + e^- + \bar{\nu} \\ n + \nu &\rightarrow p^+ + e^- \end{aligned}$$

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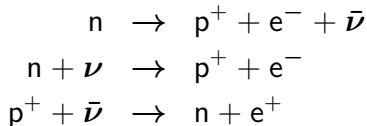
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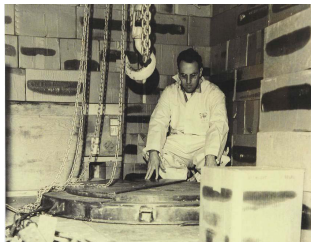
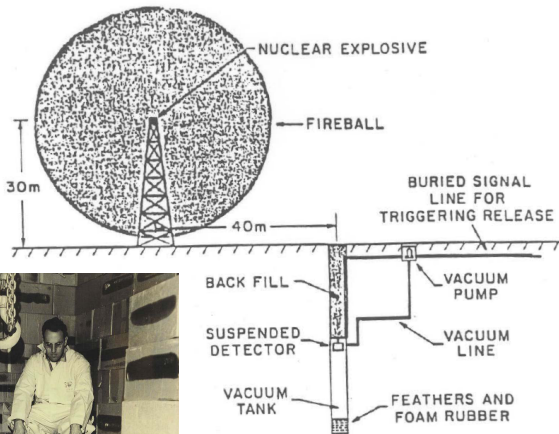




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Finding Neutrinos.... 1st attempt

1950's: Fredrick Reines, protege of Richard Feynman proposes to find neutrinos



NOT ATTEMPTED

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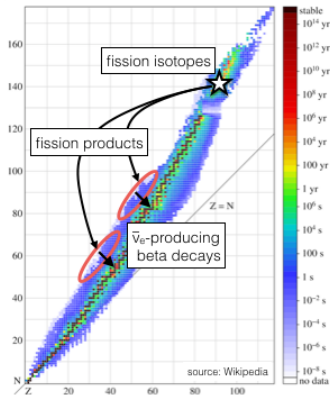
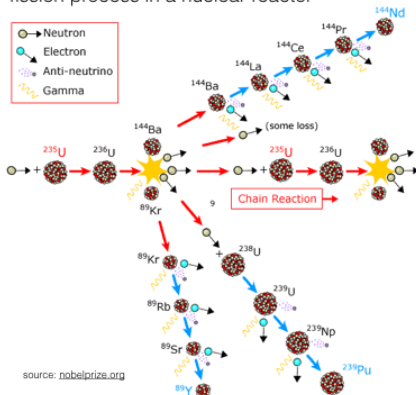
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1950's: Fred Reines at Los Alamos and Clyde Cowan propose to use the Hanford nuclear reactor (1953) and the new Savannah River nuclear reactor (1955) to find neutrinos.

fission process in a nuclear reactor



Finding Neutrinos.... 2nd attempt

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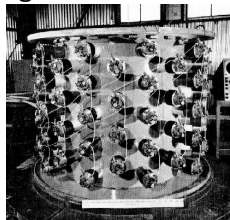
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A detector filled with **water with CdCl₂ in solution** was located 11 meters from the reactor center and 12 meters underground.

The detection sequence was as follows:

- 1 $\bar{\nu}_e + p \rightarrow n + e^+$
- 2 $e^+ + e^- \rightarrow \gamma\gamma$
- 3 $n + {}^{108}\text{Cd} \rightarrow {}^{109}\text{Cd}^* \rightarrow {}^{109}\text{Cd} + \gamma (\tau = 5\mu\text{s}).$



Neutrinos first detected using a nuclear reactor!

Reines shared 1995 Nobel for work on neutrino physics.

ν : A Truly Elusive Particle!

Reines and Cowan were the first to estimate the interaction strength of neutrinos. The cross-section is $\sigma \sim 10^{-43} \text{cm}^2$ per nucleon (N = n or p).

$$\nu \text{ mean free path} = \frac{1}{\sigma \times \text{number of nucleons per cm}^3}$$

ν **Exercise:** What is the mean free path of a neutrino in lead?
(use Table of atomic and nuclear properties)

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$$\begin{aligned} &= \frac{1}{10^{-43} \text{cm}^2 \times 11.4 \text{g/cm}^3 \times 6.02 \times 10^{23} \text{nucleons/g}} \\ &\approx 1.5 \times 10^{16} \text{m} \end{aligned}$$

How many light years is that? How does it compare to the distance from the sun to the moon?

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How many light years is that? How does it compare to the distance from the sun to the moon?

$$= 1.6 \text{ LIGHT YEARS OF LEAD}$$

$$= 100,000 \text{ distance earth to sun}$$

A proton has a mean free path of 10cm in lead

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Discovery of the Muon (μ)

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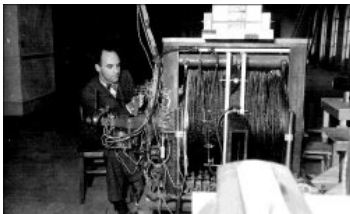
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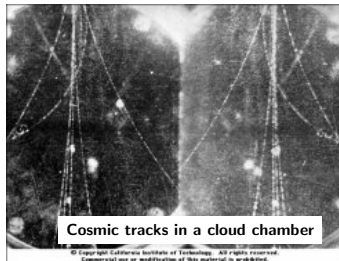
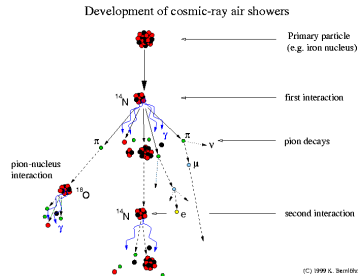
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1936: Carl Andersen, Seth Neddermeyer observed an unknown charged particle in cosmic rays with mass between that of the electron and the proton - called it the μ meson (now muons).



C. Anderson with a magnetized cloud chamber

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Cosmic tracks in a cloud chamber

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I. I Rabbi (founder of BNL): Who ordered THAT?

The Lepton Family and Flavors

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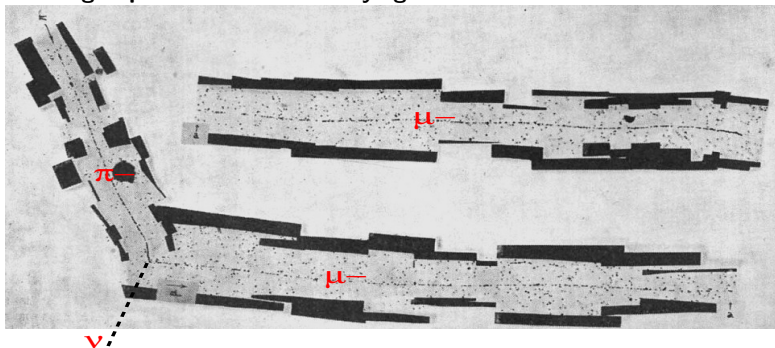
The muon and the electron are *different "flavors" of the same family of elementary particles called leptons.*

Generation	I	II	III
Lepton	e^-	μ	τ
Mass (GeV)	0.000511	0.1057	1.78
Lifetime (sec)	stable	2.2×10^{-6}	2.9×10^{-13}

Neutrinos are neutral leptons.

Discovery of the Pion: 1947

Cecil Powell takes emulsion photos aboard high altitude RAF flights.
A charged particle is found decaying to a muon:



$mass_{\pi^-} = 0.1396 \text{ GeV}/c^2$, $\tau = 26 \text{ nano-second (ns)}$.

Pions are composite particles from the “hadron” family which includes protons and neutrons.

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Proposal to find Atmospheric Neutrinos

Slide to find atmospheric neutrinos by Fred Reines (Case Western Institute):

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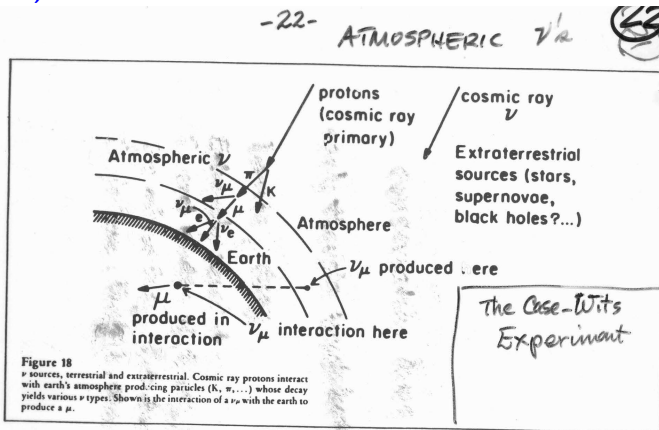
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ν SOURCES TERRESTRIAL
& EXTRA-TERRESTIAL



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The CWI-SAND Experiment

1964: The Case Western Institute-South Africa Neutrino Detector (CWI-SAND) and a search for atmospheric ν_μ at the East Rand gold mine at 3585m depth

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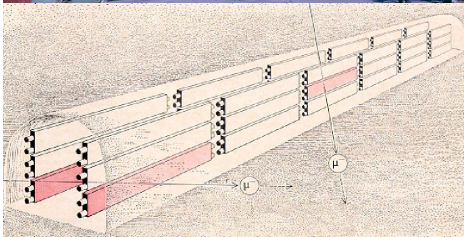
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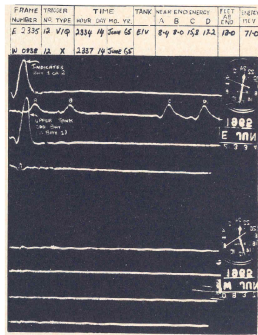




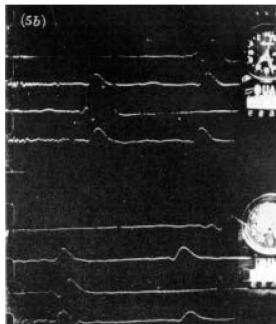
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The CWI-SAND Experiment

1964: The Case Western Institute-South Africa Neutrino Detector (CWI-SAND) and a search for atmospheric ν_μ at the East Rand gold mine in South Africa at 3585m depth



Downward-going Muon
(background)



Horizontal Muon
(neutrino signal)

Detection of the first neutrino in nature!

Producing Neutrinos from an Accelerator

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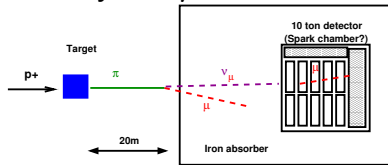
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1962: Leon Lederman, Melvin Schwartz and Jack Steinberger use a proton beam from BNL's Alternating Gradient Synchrotron (AGS) to produce a beam of neutrinos using the decay $\pi \rightarrow \mu \nu_x$



The AGS

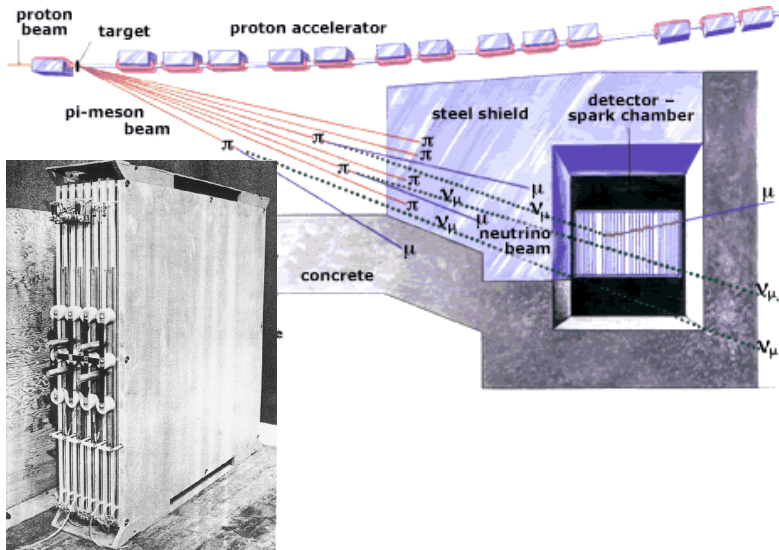


Making ν 's



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The Two-Neutrino Experiment



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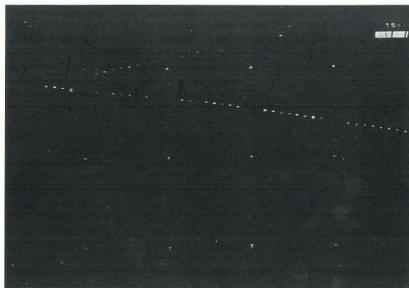
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NEUTRINO EVENT



COLUMBIA (NEW)



JINR

Classification of "Events"

Single Tracks

$p_{\mu} < 300 \text{ MeV/c}^a$	49
$p_{\mu} > 300$	34
> 400	19
> 500	8
> 600	3
> 700	2
Total "single Muon Events"	34

Vertex Events

Visible Energy Released $< 1 \text{ BeV}$	16
Visible Energy Released $> 1 \text{ BeV}$	7
Total vertex events	22

"Shower" Events

Energy of "electron" $= 200 \pm 100 \text{ MeV}$	3
220	1
240	1
280	1
Total "shower events" ^b	6

^a These are not included in the "event" count.

^b The two shower events which are so located that their potential energy release in the chamber corresponds to muons of less than 390 MeV/c are not included here.

The first event!



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The Two-Neutrino Experiment

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Result: 40 neutrino interactions recorded in the detector, 6 of the resultant particles were identified as background and 34 identified as

$$\mu \Rightarrow \nu_x = \nu_\mu$$

The first successful accelerator neutrino experiment was at Brookhaven Lab.

1988 NOBEL PRIZE

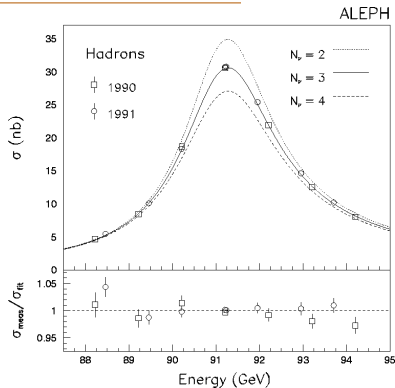
Number of Neutrino Flavors: Particle Colliders

1980's - 90's: The number of neutrino types is precisely determined from studies of Z^0 boson properties produced in e^+e^- colliders.

The LEP e^+e^- collider at CERN, Switzerland



The 27km LEP ring was reused to
build the Large Hadron Collider



$$N_\nu = 2.984 \pm 0.008$$



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The Particle Zoo

The Little
 ν Neutral
One

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Neutrinos

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T2K

CP Violation

NO ν A

LBNF/DUNE

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Quarks

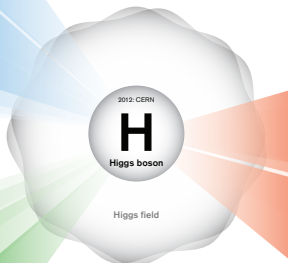
1968: SLAC u up quark	1974: Brookhaven & SLAC c charm quark	1995: Fermilab t top quark
1968: SLAC d down quark	1947: Manchester University s strange quark	1977: Fermilab b bottom quark

Leptons

1956: Savannah River Plant ν_e electron neutrino	1962: Brookhaven ν_μ muon neutrino	2000: Fermilab ν_τ tau neutrino
1897: Cavendish Laboratory e electron	1937: Caltech and Harvard μ muon	1976: SLAC τ tau

Forces

1979: DESY g gluon
1923: Washington University γ photon
1983: CERN W W boson
1983: CERN Z Z boson





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Sources of Neutrinos

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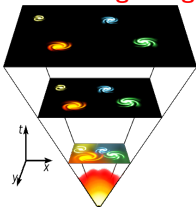
CP Violation

NO ν A
LBNF/DUNE

ν Apps

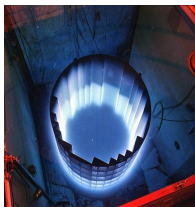
Conclusions

Big Bang



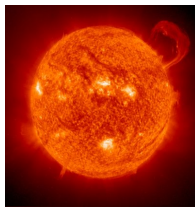
10^{-4} eV
 $300/\text{cm}^3$

Reactors



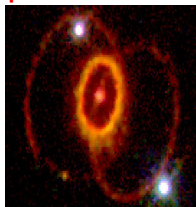
few MeV
 $10^{21}/\text{GW}_{\text{th}}/\text{s}$

Sun



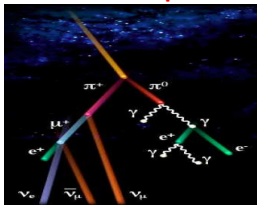
0.1-14 MeV
 $10^{10}/\text{cm}^2/\text{s}$

SuperNova



~ 10 MeV
 $10^9/\text{cm}^2/\text{s}$

Atmosphere



~ 1 GeV
 $\text{few}/\text{cm}^2/\text{s}$

Accelerators



1-20 GeV
 $10^6/\text{MW}/\text{cm}^2/\text{s}$ (at 1km)

Extragalactic



TeV-PeV
varies

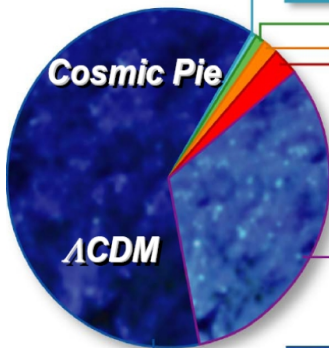


Neutrinos and Today's Universe

Neutrino mass < 2 eV (beta-decay limits)

$$\Omega_i \equiv \rho_i / \rho_{\text{CRITICAL}}$$

$$\Omega_{\text{TOTAL}} = 1$$



Heavy Elements:

$$\Omega = 0.0003$$



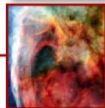
Neutrinos (ν):

$$\Omega = 0.0047$$



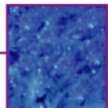
Stars:

$$\Omega = 0.005$$



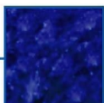
Free H
& He:

$$\Omega = 0.04$$



Cold Dark Matter:

$$\Omega = 0.25$$



Dark Energy (Λ):

$$\Omega = 0.70$$

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NEUTRINO MIXING AND OSCILLATIONS



Solar Neutrinos

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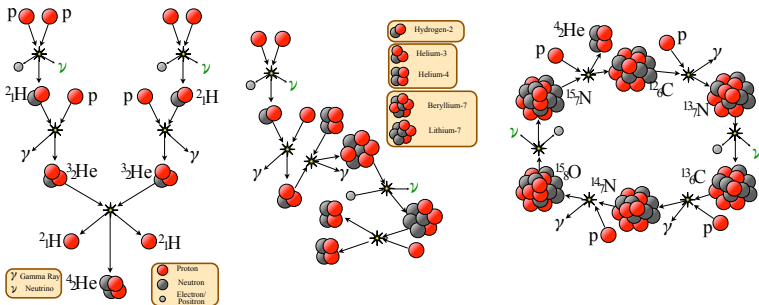
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Conclusions

Fusion of nuclei in the Sun produces solar energy and neutrinos



The Homestake Experiment

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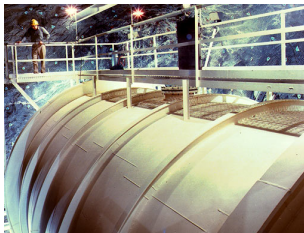
1967: **Ray Davis** from BNL installs a large detector, containing 615 tons of tetrachloroethylene (cleaning fluid), 1.6km underground in Homestake mine, SD.

1 $\nu_e^{\text{sun}} + {}^{37}\text{Cl} \rightarrow e^- + {}^{37}\text{Ar}$, $\tau({}^{37}\text{Ar}) = 35$ days.

2 Number of Ar atoms \approx number of ν_e^{sun} interactions.



Ray Davis



Results: 1969 - 1993 Measured 2.5 ± 0.2 SNU (1 SNU = 1 neutrino interaction per second for 10^{36} target atoms) while theory predicts 8 SNU. This is a

ν_e^{sun} deficit of 69%.

Where did the suns ν_e 's go?

2002 Nobel Prize

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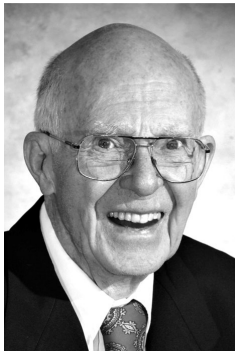
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Conclusions



Ray Davis
Brookhaven Lab, USA
(Homestake experiment)



Masatoshi Koshiba
University of Tokyo, USA
(Kamiokande experiment)

The Nobel Prize in Physics 2002 was awarded 1/4 to Ray Davis and 1/4 Masatoshi Koshiba *"for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos."*



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The Super-Kamiokande Experiment. Kamioka Mine, Japan

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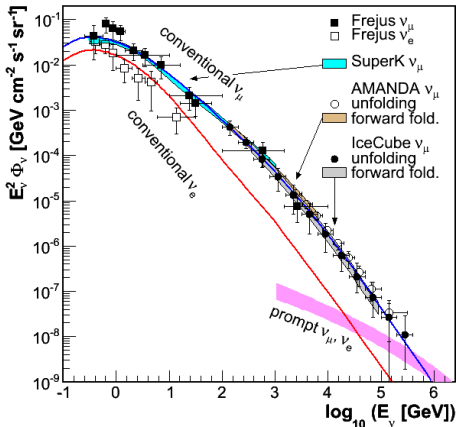
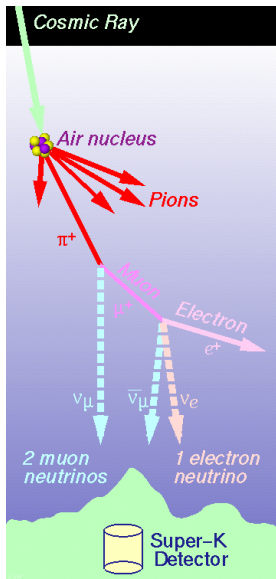
CP Violation

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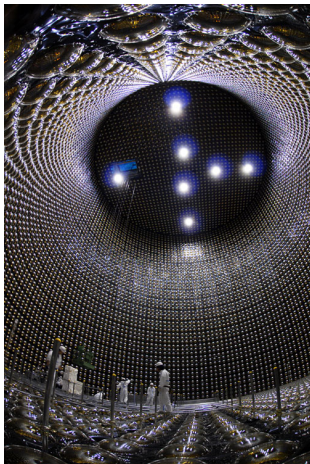
ν Apps

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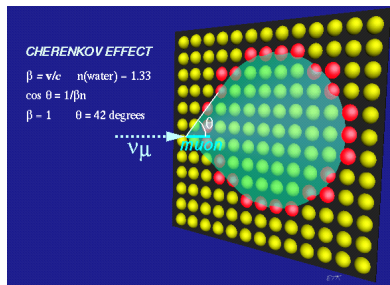
Many decades in E

The Super-Kamiokande Experiment. Kamioka Mine, Japan



50kT double layered tank of ultra pure water surrounded by 11,146 20" diameter photomultiplier tubes.

Neutrinos are identified by using CC interaction $\nu_{\mu,e} \rightarrow e^{\pm}, \mu^{\pm} \chi$. The lepton produces Cherenkov light as it goes through the detector:





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The Super-Kamiokande Experiment. Kamioka Mine, Japan

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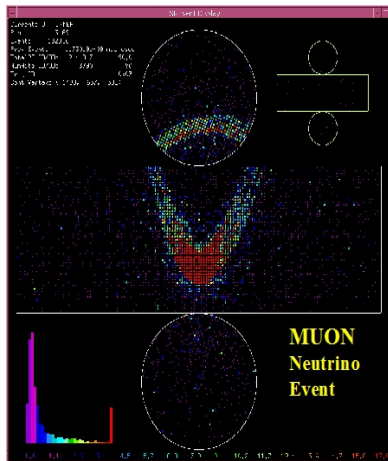
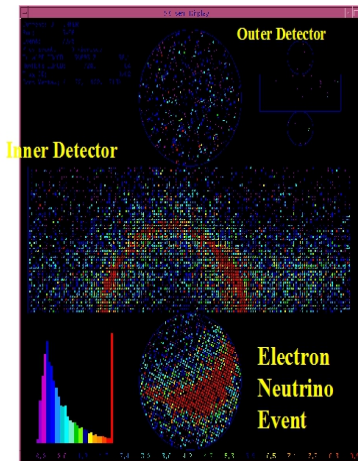
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More Disappearing Neutrinos!!

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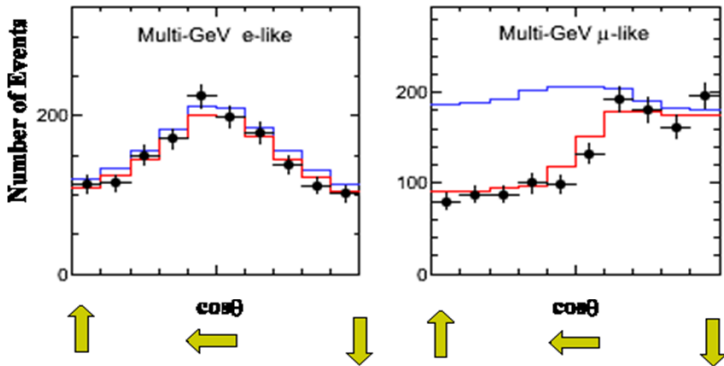
CP Violation

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Conclusions



All the ν_e are there! But what happened to the ν_μ ??

SNO Experiment: Solar ν Measurements

1 \leftrightarrow 2 mixing

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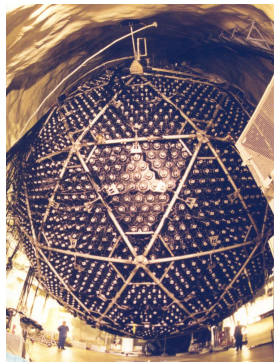
NO ν A
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Conclusions

2001-02: Sudbury Neutrino Observatory. Water Čerenkov detector with 1 kT heavy water (**0.5 B\$ worth on loan from Atomic Energy of Canada Ltd.**) located 2Km below ground in INCO's Creighton nickel mine near Sudbury, Ontario. Can detect the following ν^{sun} interactions:

- 1) $\nu_e + d \rightarrow e^- + p + p$ (CC).
- 2) $\nu_{e,x} + e^- \rightarrow e^- + \nu_x$, $\nu_e : \nu_x = 6 : 1$ (ES).
- 3) $\nu_x + d \rightarrow p + n + \nu_x$, $x = e, \mu, \tau$ (NC).



SNO measured:

$$\phi_{\text{SNO}}^{\text{CC}}(\nu_e) = 1.75 \pm 0.07(\text{stat})_{-0.11}^{+0.12}(\text{sys.}) \pm 0.05(\text{theor}) \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

$$\phi_{\text{SNO}}^{\text{ES}}(\nu_x) = 2.39 \pm 0.34(\text{stat})_{-0.14}^{+0.16}(\text{sys.}) \pm \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

$$\phi_{\text{SNO}}^{\text{NC}}(\nu_x) = 5.09 \pm 0.44(\text{stat})_{-0.43}^{+0.46}(\text{sys.}) \pm \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

All the solar ν 's are there but ν_e appears as ν_x !

Some Quantum Mechanics

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Conclusions

1924: **Louis-Victor-Pierre-Raymond, 7th duc de Broglie** proposes in his doctoral thesis that all matter has wave-like and particle-like properties.

For highly relativistic particles : energy \approx momentum



De Broglie

$$\text{Wavelength (nm)} \approx \frac{1.24 \times 10^{-6} \text{ GeV.nm}}{\text{Energy (GeV)}}$$

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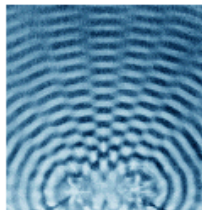
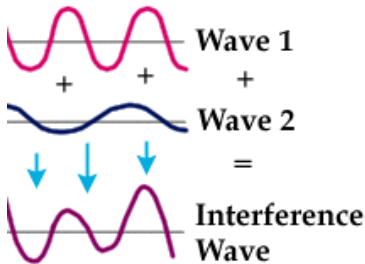
CP Violation

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ν Apps

Conclusions

1957,1967: B. Pontecorvo proposes that neutrinos of a particular flavor are a mix of quantum states with different masses that propagate with different phases:



The interference of water waves coming from two sources.

The interference pattern depends on the difference in masses

Neutrino Mixing \Rightarrow Oscillations

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Conclusions

$$\begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

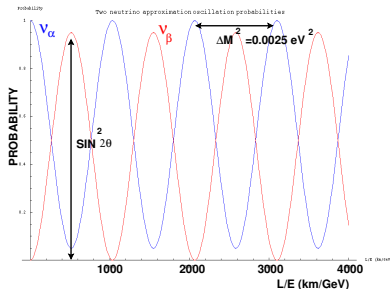
$$\begin{aligned} \nu_a(t) &= \cos(\theta)\nu_1(t) + \sin(\theta)\nu_2(t) \\ P(\nu_a \rightarrow \nu_b) &= |\langle \nu_b | \nu_a(t) \rangle|^2 \\ &= \sin^2(\theta) \cos^2(\theta) |e^{-iE_2 t} - e^{-iE_1 t}|^2 \end{aligned}$$

$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2 \frac{1.27 \Delta m_{21}^2 L}{E}$$

where $\Delta m_{21}^2 = (m_2^2 - m_1^2)$ in eV^2 , L (km) and E (GeV).

Observation of oscillations

implies non-zero mass eigenstates





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Two Different Mass Scales!

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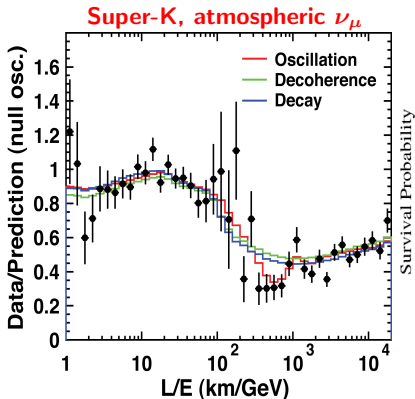
CP Violation

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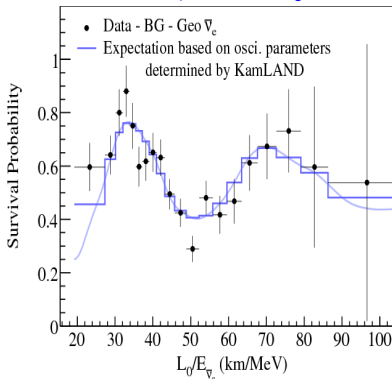
Global fit 2013:

$$\Delta m_{\text{atm}}^2 = 2.43^{+0.06}_{-0.10} \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{\text{atm}} = 0.386^{+0.24}_{-0.21}$$

Atmospheric $L/E \sim 500 \text{ km/GeV}$

KamLAND, reactor $\bar{\nu}_e$



Global fit 2013:

$$\Delta m_{\text{solar}}^2 = 7.54^{+0.26}_{-0.22} \times 10^{-5} \text{ eV}^2$$

$$\sin^2 \theta_{\text{solar}} = 0.307^{+0.18}_{-0.16}$$

Solar $L/E \sim 15,000 \text{ km/GeV}$

2015 Nobel Prize

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Takaaki Kajita
University of Tokyo, Japan
(SuperKamiokande)



Arthur B. MacDonald
Queens University, Canada
(SNO)

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"*



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Neutrino Mixing: 3 flavors, 3 amplitudes, 2 mass scales

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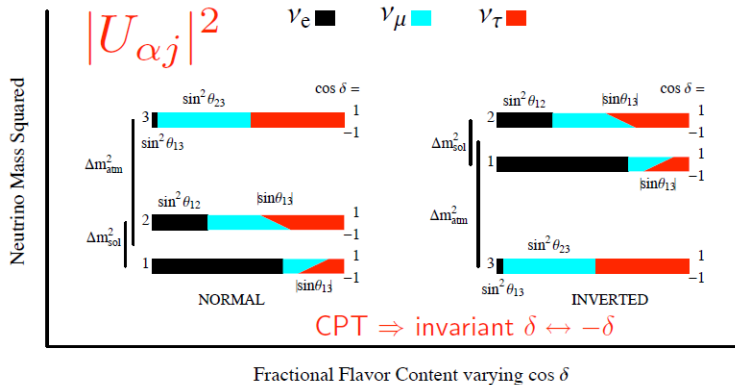
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Conclusions



The “mixing angles” ($\theta_{13}, \theta_{12}, \theta_{23}$) represent the fraction of ν_e, ν_μ in the 3 mass states. They determine the probability of oscillation from one flavor to the other

$\sin^2 \theta_{12} \approx \sin^2 \theta_{\text{solar}}, \sin^2 \theta_{23} \approx \sin^2 \theta_{\text{atmospheric}}$

3 quantum states interfering \Rightarrow phase δ



Example Neutrino Experiments: Reactor experiments and measuring the ν_e content of ν_3

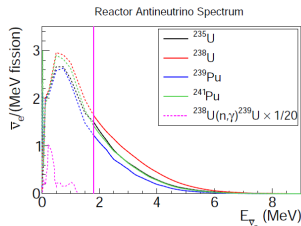
Reactor power and neutrinos

Exercise:

The following table shows the breakdown of energy released per fission from ^{235}U :

Fission fragment	Energy (MeV)
Fission products	175
$\langle 2.44 \rangle$ neutrons	5
γ from fission	7
γ s and β s from beta decay	13
$\langle 6 \rangle$ neutrinos	10
Total	210

5% of a reactor's power is in neutrinos !



How many neutrinos are emitted per second from a 1 Gigawatt (thermal) reactor?

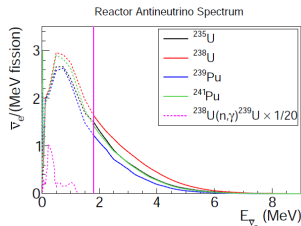
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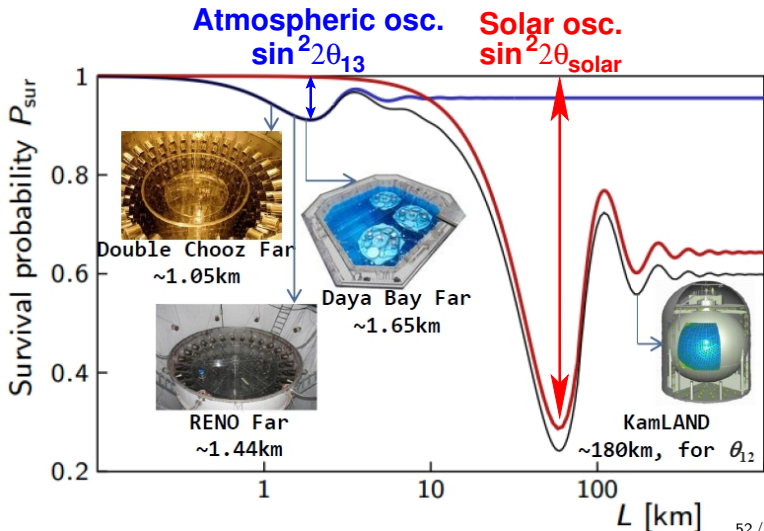
$$\begin{aligned}
 1 \times 10^9 \text{ Joules/sec} &= 6.242 \times 10^{18} \text{ GeV/sec} \\
 &= 3 \times 10^{19} \text{ fissions/sec} \\
 &\sim 2 \times 10^{20} \text{ } \nu \text{/sec} \\
 &= 1.6 \times 10^{13} \text{ /m}^2 \text{/sec at 1 km}
 \end{aligned}$$



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Reactor Experiments and Neutrino Mixing Parameters

$\sin^2 \theta_{13}$ = fraction of ν_e in ν_3 state, $\sin^2 \theta_{12}$ = fraction of ν_e in ν_2 state





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The Daya Bay Reactor Complex

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CP Violation

NO ν A
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Neutrino Apps

Conclusions



Reactor Specs:

Located 55km north-east of Hong Kong.

Initially: 2 cores at Daya Bay site + 2 cores at Ling Ao site = 11.6 GW_{th}

By 2011: 2 more cores at Ling Ao II site = 17.4 GW_{th} \Rightarrow top five worldwide

1 GW_{th} = $2 \times 10^{20} \bar{\nu}_e$ /second

Deploy multiple near and far detectors

Reactor power uncertainties < 0.1%

The Daya Bay Collaboration : 231 Collaborators

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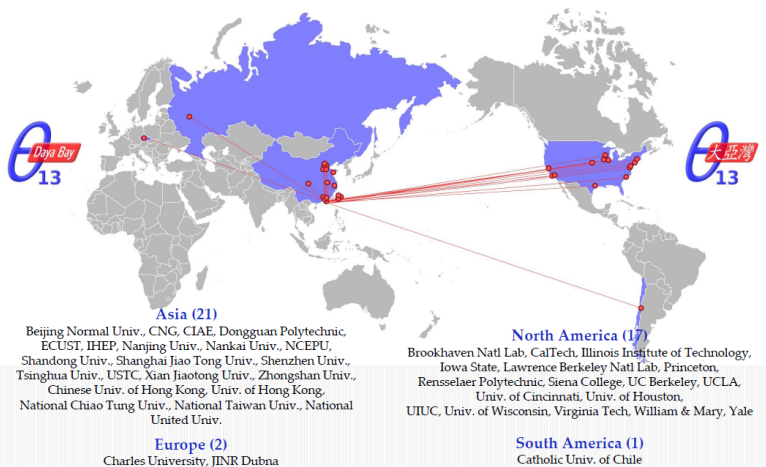
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Detecting Neutrinos from the Daya Bay Reactors

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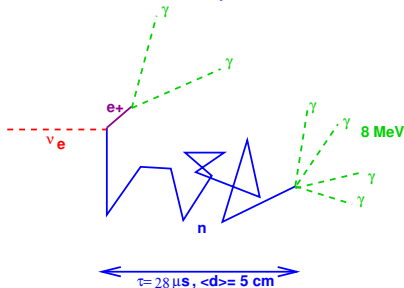
CP Violation

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ν Apps

Conclusions

The active target in each detector is liquid scintillator loaded with 0.1% Gd



- $\bar{\nu}_e + p \rightarrow n + e^+$
- $e^+ + e^- \rightarrow \gamma\gamma$ (2X 0.511 MeV + T_{e^+} , prompt)
- $n + p \rightarrow D + \gamma$ (2.2 MeV, $\tau \sim 180\mu s$). OR
- $n + Gd \rightarrow Gd^* \rightarrow Gd + \gamma's$ (8 MeV, $\tau \sim 28\mu s$).

\Rightarrow delayed co-incidence of e^+ conversion and n-capture (> 6 MeV)

with a specific energy signature

The Daya Bay Experimental Apparatus

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Neutrinos: A
History
Cosmic rays and ν 's
Accelerator Neutrinos

Disappearing
Neutrinos

ν Mixing

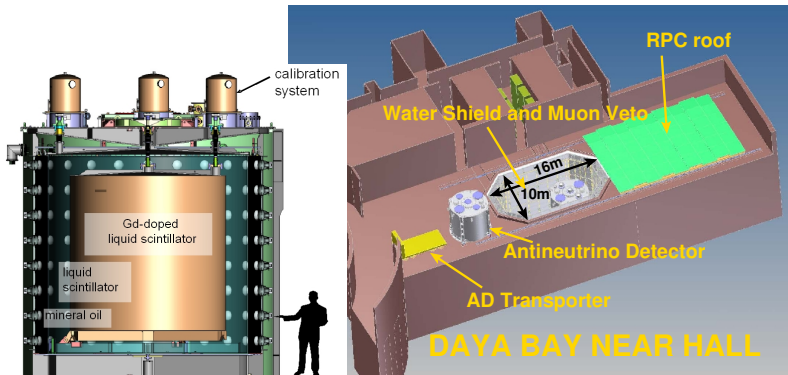
Example Expts

Reactor ν
T2K

CP Violation
NO ν A
LBNF/DUNE

ν Apps

Conclusions



- Multiple “identical” detectors at each site.
- Manual and multiple automated calibration systems per detector.
- Thick water shield to reduce cosmogenic and radiation bkgds.

	DYB	LA	Far
Event rates/20T/day	840	740	90

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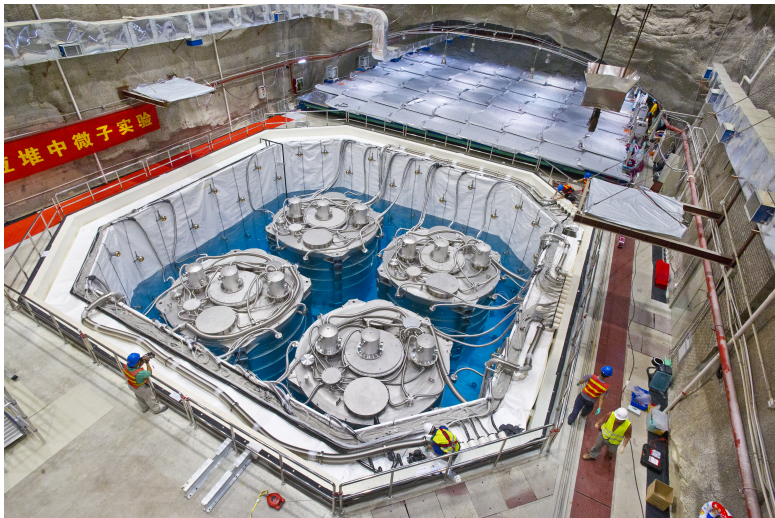
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Daya Bay Measurement of Non-zero θ_{13}

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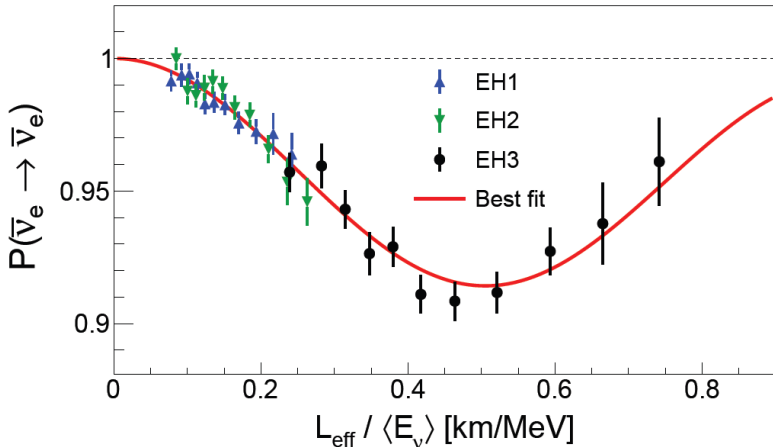
CP Violation

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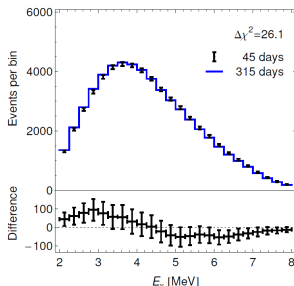
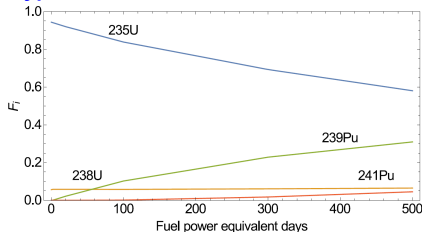
Conclusions



First to discover non-zero θ_{13} (2012) and currently most precise result (2018):

$$\sin^2 2\theta_{13} = 0.086 \pm 0.003 \Rightarrow \sin^2 \theta_{13} = 0.0219 \pm 0.0008$$

Fuel burnup in a typical 3.5 GW commercial reactor:



A neutrino detector in a standard ISO shipping container with $4.3\text{E}29$ target protons (10-20 metric tons). Difference in reactor ν spectrum at 45 days vs 315 days.

Corresponds to difference in plutonium content of about 7kg



Current Neutrino Experiments: Accelerator ν_μ beams and observing $\nu_\mu \rightarrow \nu_e$

Confirming $\nu_\mu \rightarrow \nu_e$ flavor change

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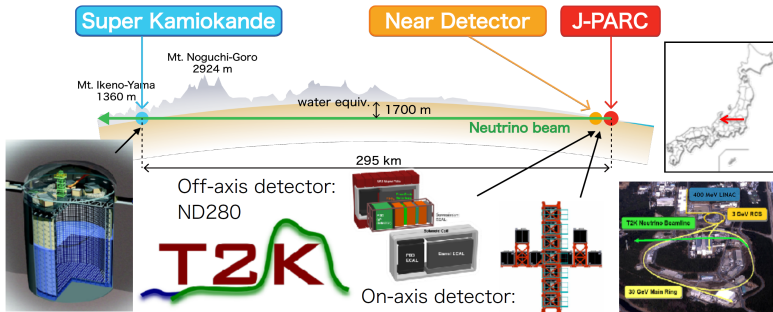
NO ν A

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Conclusions

The T2K experiment: a beam of ν_μ neutrinos generated from the decay of pions produced at the Japan Proton Accelerator Complex (JPARC) located in Tokai, Japan travels 295km to the SuperKamiokande neutrino detector:



Confirming $\nu_\mu \rightarrow \nu_e$ flavor change

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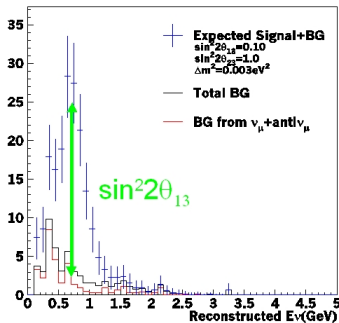
NO ν A

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Conclusions

The T2K experiment: a beam of ν_μ neutrinos generated from the decay of pions produced at the Japan Proton Accelerator Complex (JPARC) located in Tokai, Japan travels 295km to the SuperKamiokande neutrino detector:



T2K beam ν_e Candidate Event 2010

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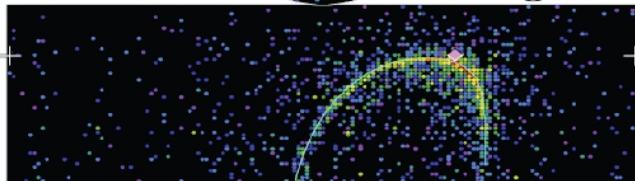
Conclusions

Super-Kamiokande IV

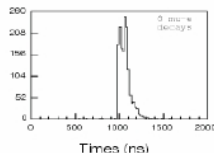
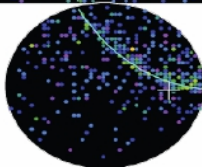
T2K Beam Run 0 Spill 822275
Run 66778 Sub 585 Event 134229437
10-05-12:11:03:22
T2K beam dt = 1903.3 ns
Inner: 1600 hits, 3601 pe
Outer: 2 hits, 2 pe
Trigger: 0x8000000
D.Mall: #14.4 CR
e-1180, p - 177.6 MeV/c

Charge (pe)

- >26.7
- 23.2-26.7
- 20.2-23.2
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2-8.0
- 4.7-6.2
- 3.3-4.7
- 2.2-3.3
- 1.3-2.2
- 0.7-1.3
- 0.2-0.7
- < 0.2



Item	Event	T2K cut
Date (JST)	2010 May 12th 21:3:22	
Ring, PID	1-Ring electron-like	OK
Momentum	378 MeV	>100
N_{dcy}	0	0
$\cos(\theta_{\nu e})$	0.55 (57 degree)	N/A
M_{ass}	0.13 MeV	<105
E_{rec}	496 MeV	<1250





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T2K: First Observation of $\nu_\mu \rightarrow \nu_e$ APPEARANCE

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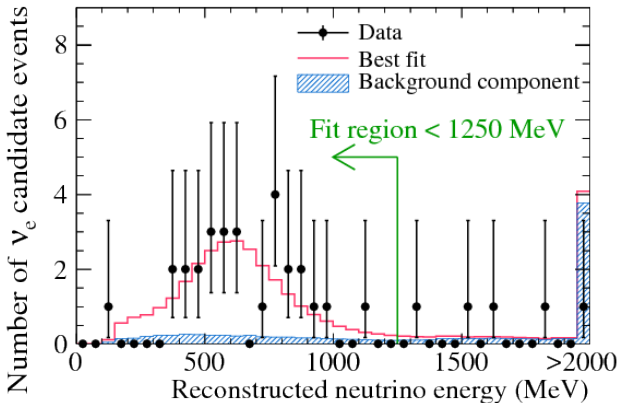
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In 2014 T2K observes conversion of ν_μ to ν_e (atmospheric oscillation scale) with an amplitude of

$$\sin^2 2\theta_{13} = 0.140^{+0.038}_{-0.032}$$

2016 Breakthrough Prize in Fundamental Physics

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The 2016 Breakthrough Prize in Fundamental Physics awarded to 7 leaders and 1370 members of 5 experiments investigating neutrino oscillation: Daya Bay (China); KamLAND (Japan); K2K / T2K (Japan); Sudbury Neutrino Observatory (Canada); and Super-Kamiokande (Japan)



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Neutrinos and matter/anti-matter asymmetry of the Universe



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Charge-Parity Symmetry

Charge-parity symmetry: laws of physics are the same if a particle is interchanged with its anti-particle and left and right are swapped.

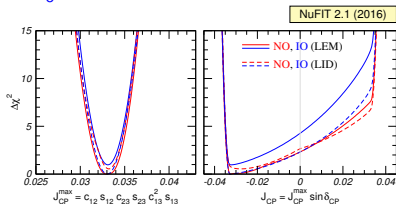
A violation of CP \Rightarrow matter/anti-matter asymmetry.



CP Violation in Particle Physics

In flavor mixing the degree of CP violation is determined by the Jarlskog invariant:

$$J_{CP}^{PMNS} \equiv \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \sin \delta_{CP}.$$



(JHEP 11 (2014) 052, arXiv:1409.5439)

Given the current best-fit values of the ν mixing angles (see [here](#))

$$J_{CP}^{\nu} \approx 3 \times 10^{-2} \sin \delta_{CP}.$$

Mixing has already been observed between the 3 quark generations):

$$J_{CP}^{\text{quarks}} \approx 3 \times 10^{-5},$$

despite the large value of $\delta_{CP}^{\text{quarks}} \approx 70^\circ$.

$\nu_\mu \rightarrow \nu_e$ Oscillations

$\nu_\mu \rightarrow \nu_e$ oscillations are sensitive to all mixing parameters contributing to the Jarlskog invariant. With terms up to second order in $\alpha \equiv \Delta m_{21}^2 / \Delta m_{31}^2 = 0.03$ and $\sin^2 \theta_{13} = 0.02$, (M. Freund. Phys. Rev. D 64, 053003):

$$P(\nu_\mu \rightarrow \nu_e) \cong P(\nu_e \rightarrow \nu_\mu) \cong \underbrace{P_0}_{\theta_{13}} + \underbrace{P_{\sin \delta}}_{\text{CP violating}} + \underbrace{P_{\cos \delta}}_{\text{CP conserving}} + \underbrace{P_3}_{\text{solar oscillation}}$$

where **for oscillations in vacuum:**

$$P_0 = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2(\Delta),$$

$$P_{\sin \delta} = \alpha 8J_{\text{cp}} \sin^3(\Delta),$$

$$P_{\cos \delta} = \alpha 8J_{\text{cp}} \cot \delta_{\text{CP}} \cos \Delta \sin^2(\Delta),$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2(\Delta),$$

where $\Delta = 1.27 \Delta m_{31}^2 L/E$

For $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$, $\underbrace{P_{\sin \delta} \rightarrow -P_{\sin \delta}}_{\text{CP asymmetry } (\delta \neq 0)}$

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where for oscillations in matter with constant density:

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

$$P_{\sin \delta} = \alpha \frac{8J_{\text{CP}}}{A(1-A)} \sin \Delta \sin(A\Delta) \sin[(1-A)\Delta],$$

$$P_{\cos \delta} = \alpha \frac{8J_{\text{CP}} \cot \delta_{\text{CP}}}{A(1-A)} \cos \Delta \sin(A\Delta) \sin[(1-A)\Delta],$$

$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

where $\Delta = 1.27 \Delta m_{31}^2 L/E$ and $A = \sqrt{2} G_F N_e 2E / \Delta m_{31}^2$

For $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$, $\underbrace{P_{\sin \delta} \rightarrow -P_{\sin \delta}}_{\text{CP asymmetry } (\delta \neq 0)}$, $\underbrace{A \rightarrow -A}_{\text{matter asymmetry}}$

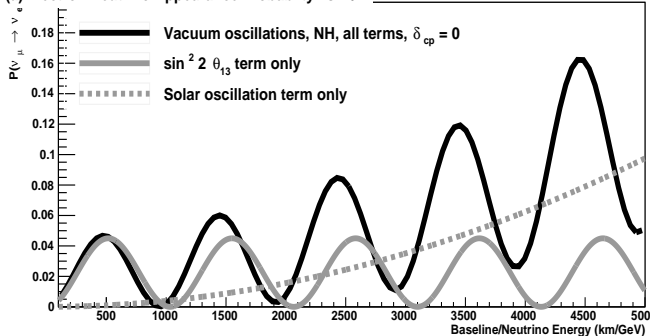
ν Exercise: Use ROOT or Jupyter and reproduce the plots shown below

G_F = Fermi coupling constant, Multiply by $(\hbar c)^3$ to get units in $\text{GeV} \cdot \text{m}^3$.

N_e = electron number density in the earth per m^3 . Assume density of crust = 2.8 g/cm^3

Oscillations in vacuum - different terms ($\delta_{CP} = 0$)

(a) Electron Neutrino Appearance Probability vs. L/E



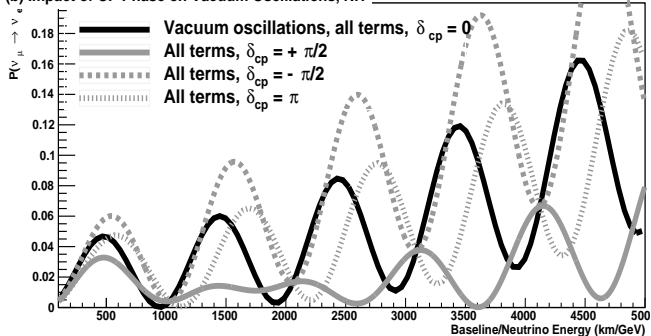
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Impact of δ_{CP} on oscillations in vacuum, $\Delta m_{31}^2 > 0$ (NH)

(b) Impact of CP Phase on Vacuum Oscillations, NH



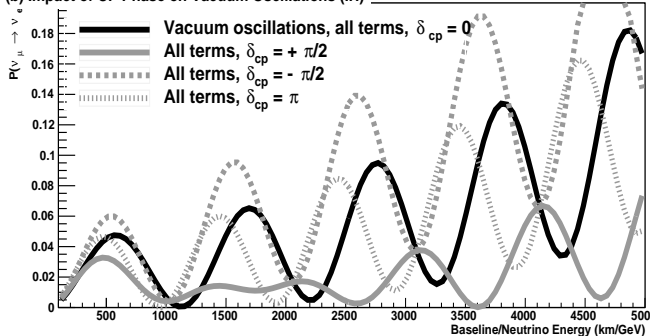
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N_e = electron number density in the earth per m^3 . Assume density of crust = 2.8 g/cm^3

Impact of δ_{CP} on oscillations in vacuum, $\Delta m_{31}^2 < 0$ (IH)

(b) Impact of CP Phase on Vacuum Oscillations (IH)

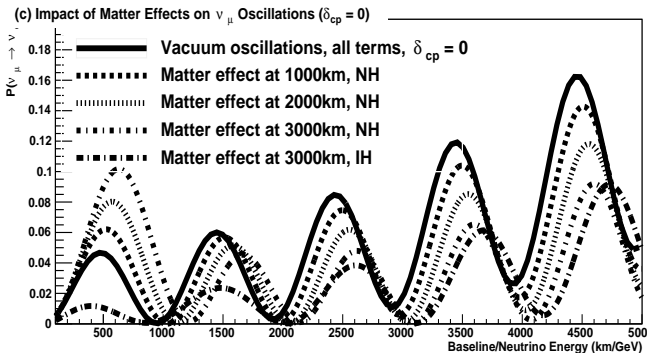


ν Exercise: Use ROOT or Jupyter and reproduce the plots shown below

G_F = Fermi coupling constant, Multiply by $(\hbar c)^3$ to get units in $\text{GeV} \cdot \text{m}^3$.

N_e = electron number density in the earth per m^3 . Assume density of crust = 2.8 g/cm^3

Impact of matter effect on ν_μ oscillations ($\delta_{CP} = 0$)

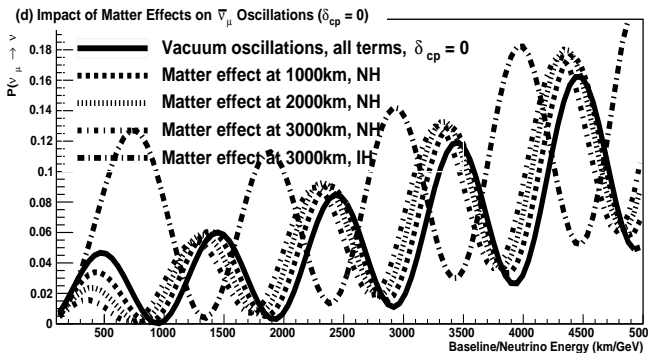


ν Exercise: Use ROOT or Jupyter and reproduce the plots shown below

G_F = Fermi coupling constant, Multiply by $(\hbar c)^3$ to get units in $\text{GeV} \cdot \text{m}^3$.

N_e = electron number density in the earth per m^3 . Assume density of crust = 2.8 g/cm^3

Impact of matter effect on $\bar{\nu}_\mu$ oscillations ($\delta_{CP} = 0$)



Expected Appearance Signal Event Rates

ν Exercise: The total number of electron neutrino appearance events expected for a given exposure from a muon neutrino source as a function of baseline is given as

$$N_{\nu_e}^{\text{appear}}(L) = \int \Phi^{\nu_\mu}(E_\nu, L) \times P^{\nu_\mu \rightarrow \nu_e}(E_\nu, L) \times \sigma^{\nu_e}(E_\nu) dE_\nu$$

Assume the neutrino source produces a flux that is constant in energy and using only the dominant term in the probability(no matter effect)

$$\Phi^{\nu_\mu}(E_\nu, L) \approx \frac{C}{L^2}, \quad C = \text{number of } \nu_\mu / \text{m}^2 / \text{GeV} / \text{sec at 1 km}$$

$$P^{\nu_\mu \rightarrow \nu_e}(E_\nu, L) \approx \underbrace{\sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2(1.27 \Delta m_{31}^2 L / E_\nu)}_{P_0}$$

$$\sigma^{\nu_e}(E_\nu) = 0.7 \times 10^{-42} (\text{m}^2 / \text{GeV} / \text{N}) \times E_\nu, \quad E_\nu > 1 \text{ GeV}$$

Prove that the rate of ν_e appearing integrated over a constant range of L/E is independent of baseline for $L > 500 \text{ km}$!

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$$N_{\nu_e}^{\text{appear}}(L) \propto \text{constant term} \times \int \frac{\sin^2(ax)}{x^3} dx,$$

$$x \equiv L/E_\nu, \quad a \equiv 1.27 \Delta m_{31}^2 \text{ GeV}/(\text{eV}^2 \cdot \text{km})$$

ν Exercise:

$C \approx 1 \times 10^{17} \nu_\mu/\text{m}^2/\text{GeV}/\text{yr}$ at 1 km (from 1MW accelerator)
 $\sin^2 2\theta_{13} = 0.084, \sin^2 \theta_{23} = 0.5, \Delta m_{31}^2 = 2.4 \times 10^{-3} \text{eV}^2$

Calculate the rate of ν_e events observed per kton of detector integrating over the region $x = 100 \text{ km/GeV}$ to 2000 km/GeV . Use ROOT to do the integral!

Expected Appearance Signal Event Rates

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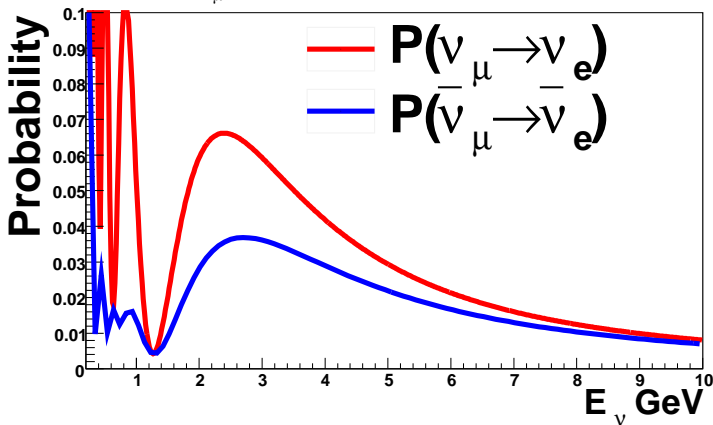
$$N_{\nu_e}^{\text{appear}}(L) \approx (2 \times 10^6 \text{ events/kton/yr}) \cdot (\text{km/GeV})^2 \int_{x_0}^{x_1} \frac{\sin^2(ax)}{x^3} dx,$$

$$N_{\nu_e}^{\text{appear}}(L) \sim \mathcal{O}(20 - 30) \text{ events/kton/yr}$$

Charge-parity Symmetry and Neutrino Mixing

Could neutrinos and anti-neutrinos oscillate differently?

Measuring ν_μ oscillations over a distance of 1300km



Could this explain the excess of matter in the Universe?

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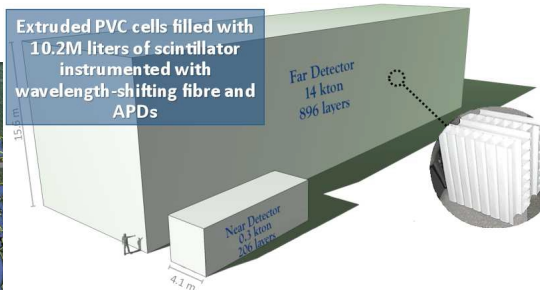
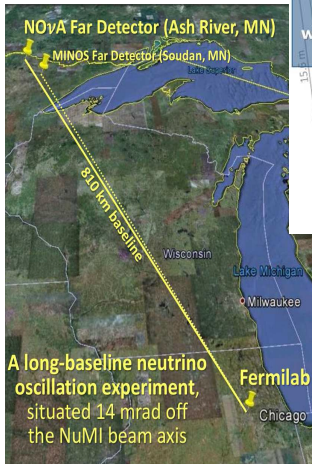
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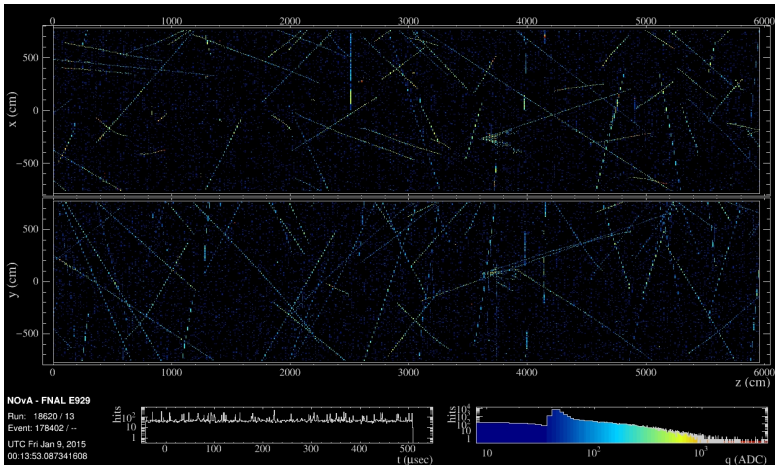
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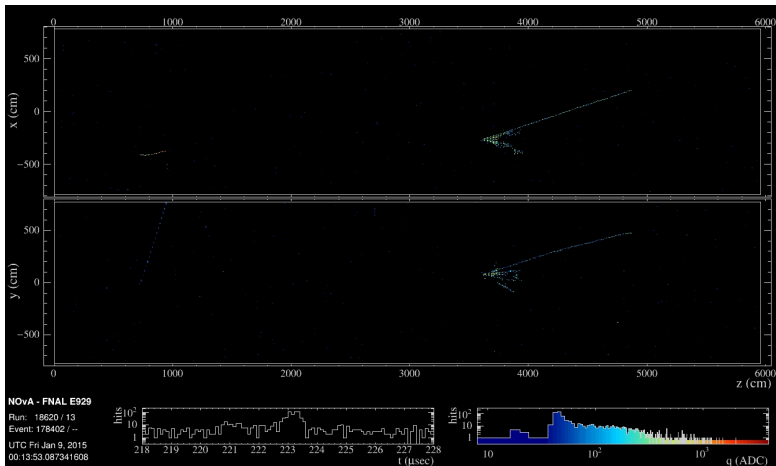
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NO ν A ν_e and $\bar{\nu}_e$ Appearance - 2019

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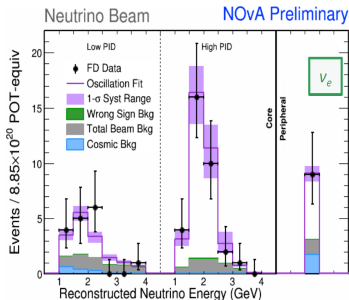
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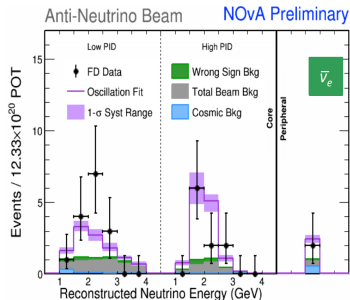
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Conclusions



Total Observed	58
Total Prediction	59.0
Wrong-sign	0.7
Beam Bkgd.	11.1
Cosmic Bkgd.	3.3
Total Bkgd.	15.1



Total Observed	27
Total Prediction	27
Wrong-sign	2.2
Beam Bkgd.	7.0
Cosmic Bkgd.	1.1
Total Bkgd.	10.3

4.4 σ evidence of $\bar{\nu}_e$
appearance

Erika Catano-Mur (William & Mary, NO ν A)

Strong evidence of $\bar{\nu}_e$ appearance



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Future Neutrino Experiments

The Deep Underground Neutrino Experiment

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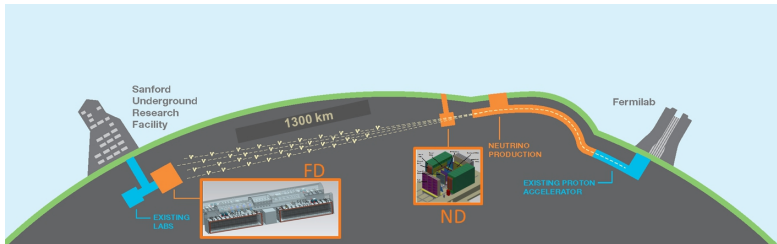
CP Violation

NO ν A

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Conclusions



- **A very long baseline experiment:** 1300km from Fermilab in Batavia, IL to the Sanford Underground Research Facility (former Homestake Mine) in Lead, SD.
- A highly capable near detector at Fermilab.
- A very deep (1 mile underground) far detector: **massive 40-kton Liquid Argon Time-Projection-Chamber** with state-of-the-art instrumentation.
- **High intensity tunable wide-band neutrino beam** from LBNF produced from upgraded MW-class proton accelerator at Fermilab.



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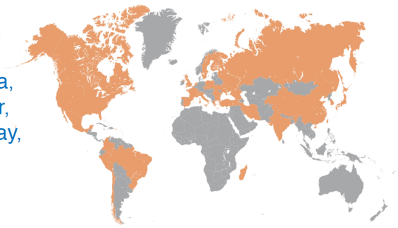
The DUNE Scientific Collaboration

As of Jan 2018:

60 % non-US

1061 collaborators from 175 institutions in 31 nations

Armenia, Brazil, Bulgaria,
Canada, CERN, Chile, China,
Colombia, Czech Republic,
Finland, France, Greece, India,
Iran, Italy, Japan, Madagascar,
Mexico, Netherlands, Paraguay,
Peru, Poland, Romania,
Russia, South Korea, Spain,
Sweden, Switzerland, Turkey,
UK, Ukraine, USA



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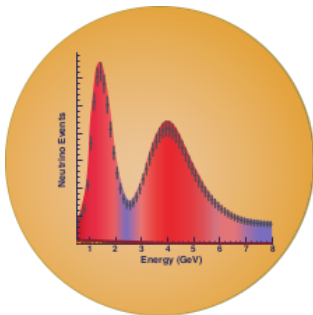
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- 1 precision measurements of the parameters that govern $\nu_\mu \rightarrow \nu_e$ oscillations; this includes precision measurement of the third mixing angle θ_{13} , measurement of the charge-parity (CP) violating phase δ_{CP} , and determination of the neutrino mass ordering (the sign of $\Delta m_{31}^2 = m_3^2 - m_1^2$), the so-called mass hierarchy
- 2 precision measurements of the mixing angle θ_{23} , including the determination of the octant in which this angle lies, and the value of the mass difference, $-\Delta m_{32}^2$, in $\nu_\mu \rightarrow \nu_{e,\mu}$ oscillations



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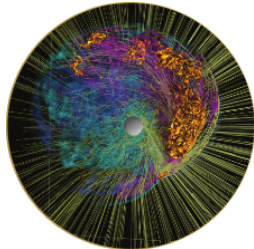
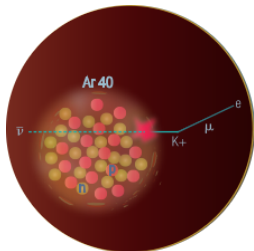
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Conclusions



3 search for proton decay, yielding significant improvement in the current limits on the partial lifetime of the proton (τ /BR) in one or more important candidate decay modes, e.g., $p \rightarrow K^+ \bar{\nu}$

4 detection and measurement of the neutrino flux from a core-collapse supernova within our galaxy, should one occur during the lifetime of DUNE

The Sanford Underground Research Facility

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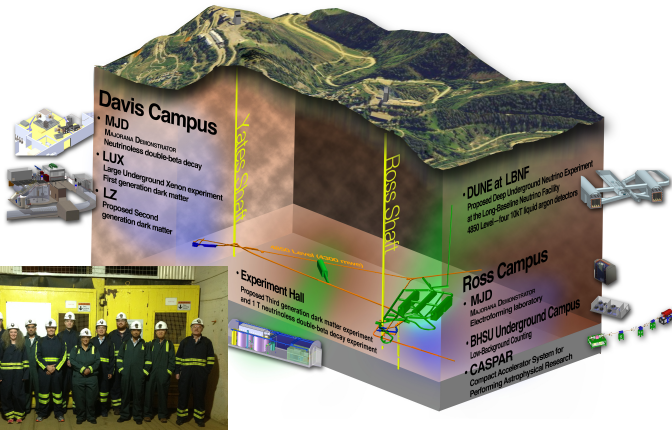
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Experimental facility operated by the state of South Dakota. LUX/LZ (dark matter), Majorana ($0\nu - 2\beta$) demonstrator and CASPER (accelerator for astrophysical research) operational expts at 4850-ft level.

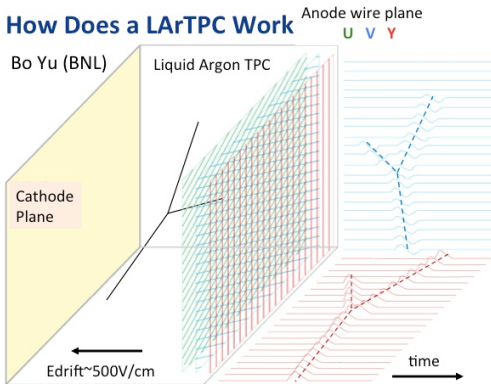


The DUNE Far Detector

A large cryogenic liquid Argon detector located a mile underground in the former Homestake Mine with a mass of at least 40 kilo-tons is used to image neutrino interactions with unprecedented precision:

Single Phase LArTPC

How Does a LArTPC Work



The DUNE prototype wireplane

The DUNE Far Detector

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Dual Phase LArTPC

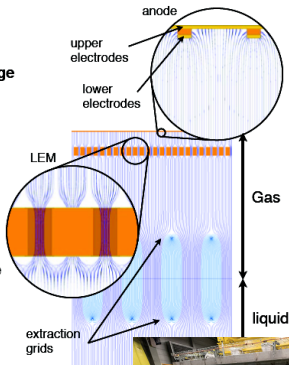
4.) Charge collection on a 2D anode readout
(symmetric unipolar signals with two
orthogonal views)

3.) Charge multiplication in the holes of the Large
Electron Multiplier (LEM)



2.) Drift electrons are efficiently emitted into the
gas phase

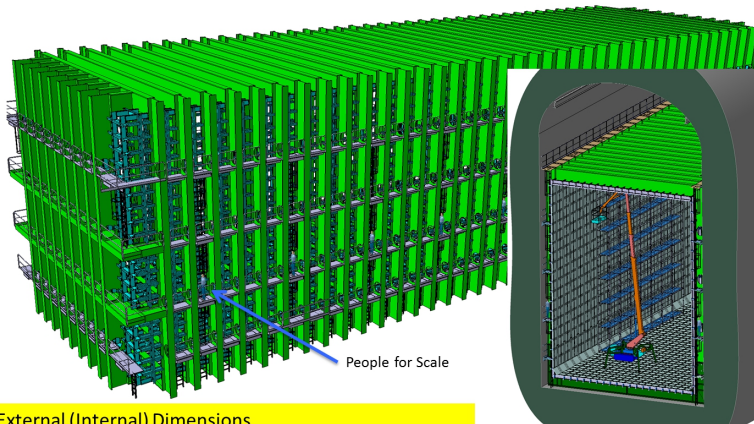
1.) Ionization electrons drift towards the liquid
argon surface





The DUNE Far Detector

The 40-kton (fiducial) detector is constructed of four modules with a total mass of 17.4 kton each.



External (Internal) Dimensions

19.1m (16.9m) W x 18.0m (15.8m) H x 66.0m (63.8m) L

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DUNE Prototypes ($\sim 5\%$) in charged particle beam at CERN

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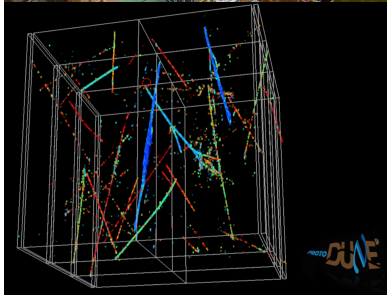
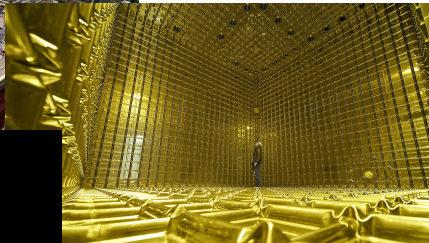
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Reconstructed Neutrino Interactions in a LArTPC

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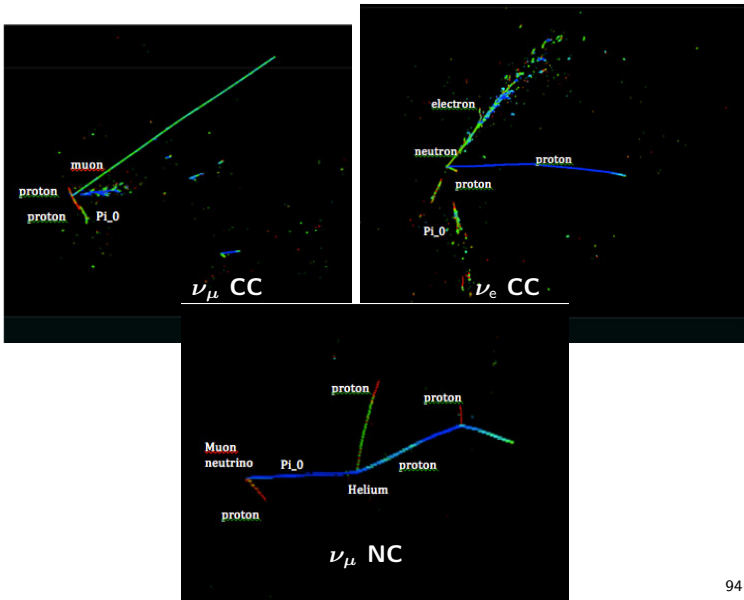
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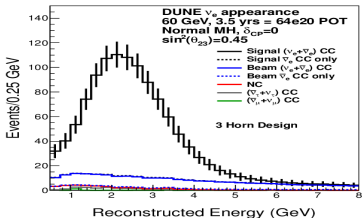
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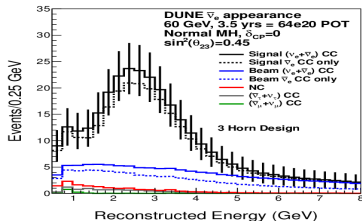
DUNE Event Spectra

Exposure: 150 kT.MW.yr (equal $\nu/\bar{\nu}$) 1MW.yr = 1×10^{21}

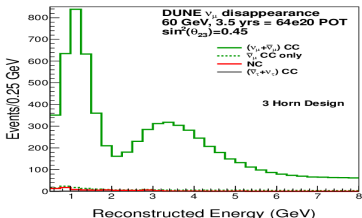
p.o.t at 120 GeV. ($\sin^2 2\theta_{13} = 0.085$, $\sin^2 \theta_{23} = 0.45$, $\delta m_{31}^2 = 2.46 \times 10^{-3} \text{ eV}^2$)



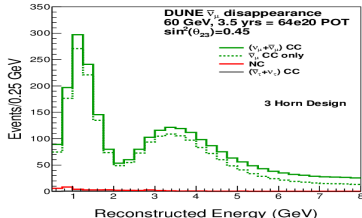
930 ν_e , 5 $\bar{\nu}_e$, 204 ν_e^{beam} , 17 NC, 19 ν_T , 3 ν_μ



154 $\bar{\nu}_e$, 32 ν_e , 98 ν_e^{beam} , 7 NC, 8 ν_T , 1 ν_μ



8329 ν_μ , 192 $\bar{\nu}_\mu$, 72 NC, 29 ν_T



2420 $\bar{\nu}_\mu$, 791 ν_μ , 33 NC, 13 ν_T

Simultaneous fit to all four samples. Richness of spectral information in both ν_μ and $\bar{\nu}_\mu \Rightarrow$ explicit demonstration of CPV



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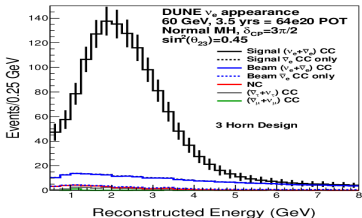
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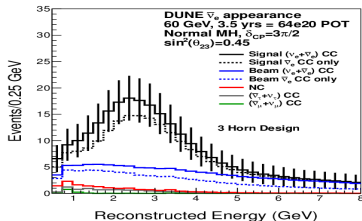
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Exposure: 150 kT.MW.yr (equal $\nu/\bar{\nu}$) 1MW.yr = 1×10^{21}

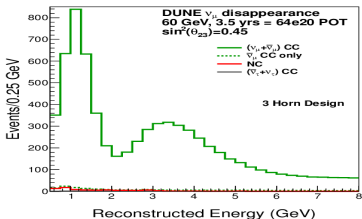
p.o.t at 120 GeV. ($\sin^2 2\theta_{13} = 0.085$, $\sin^2 \theta_{23} = 0.45$, $\delta m_{31}^2 = 2.46 \times 10^{-3} \text{ eV}^2$)



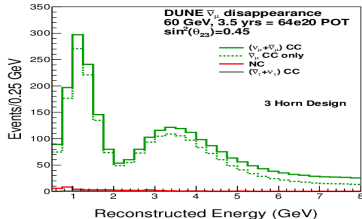
1171 ν_e , 3 $\bar{\nu}_e$, 204 ν_e^{beam} , 17 NC, 19 ν_τ , 3 ν_μ



94 $\bar{\nu}_e$, 39 ν_e , 98 ν_e^{beam} , 7 NC, 8 ν_τ , 1 ν_μ



8329 ν_μ , 192 $\bar{\nu}_\mu$, 72 NC, 29 ν_τ

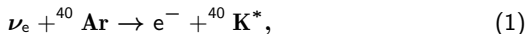


2420 $\bar{\nu}_\mu$, 791 ν_μ , 33 NC, 13 ν_τ

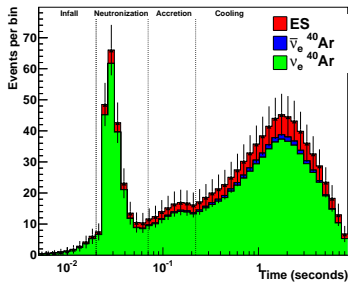
Simultaneous fit to all four samples. Richness of spectral information in both ν_μ and $\bar{\nu}_\mu \Rightarrow$ explicit demonstration of CPV

Possible Supernova Signature in DUNE

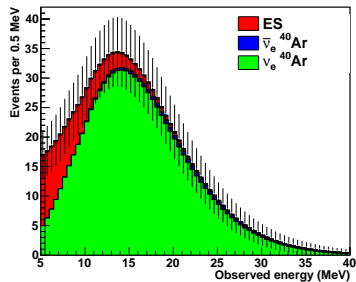
Liquid argon is particularly sensitive to the ν_e component of a supernova neutrino burst:



Expected time-dependent signal in 40 kton of liquid argon for a Supernova at 10 kpc:



Time distribution



Energy spectrum (time integrated)

- **2017:** Far site pre-excavation begins
- **2018:** DUNE prototypes (single & dual phase) operational in test beam at CERN
- **2022:** Technical design review (beam and far detectors) by US-DOE and international funding agencies. Conceptual design for near detector ready.
- **2026:** First 10kton FD module (single phase) installation begins
- **2028:** Second FD module (single phase) installation begins
- **2029-2030:** First beam operations at 1.2 MW



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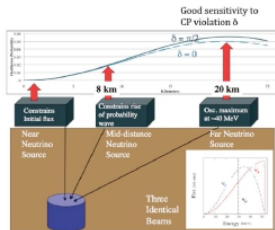
PRACTICAL APPLICATIONS of ν



Practical Applications of Technologies for ν Experiments

Synergies and Applications - Examples

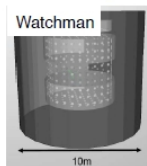
Cyclotrons for neutrino physics (and industrial applications)



KEN K2600 SUPERCONDUCTING RING CYCLOTRON



Neutrino detectors for reactor monitoring and non-proliferation



remote discovery of undeclared nuclear
reactors with large detectors at km scale



US Short-Baseline
Experiment

reactor antineutrino studies at short baselines

Multi-MW Accelerators Driving Thorium Reactors

First proposed by Carlo Rubbia in 1995
(1984 Nobel Prize winner)



Requires proton accelerators with powers of 10 MW. Currently neutrino and neutron experiments are driving the technology of high power MW class proton beams.

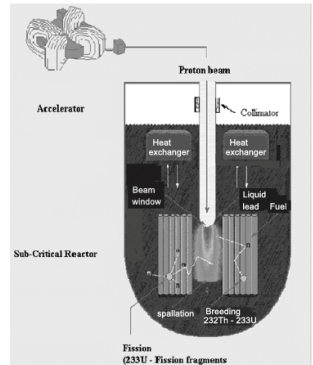


Figure 1. Schematic representation of Energy Amplifier proposed by Rubbia [4].

Global energy resources in ZettaJoules

Resource	Type	Yearly consumption (1999) ZJ	Resources ZJ	Consumed until 1999 (ZJ)
Oil	Conventional	0.13	12.08	4.85
	Unconventional	0.01	20.35	0.29
	Total oil	0.14	32.42	5.14
Natural gas	Conventional	0.08	16.56	2.35
	Unconventional	0.00	33.23	0.03
	Total gas	0.08	49.79	2.38
Coal	Total coal	0.09	199.67	5.99
Total Fossils		0.31	281.88	13.51
Uranium	Thermal reactors	0.04	5.41 (2'000, sw)	
	Breeder	0	324 (120'000, sw)	
Thorium			1'300'000	

sw: including sea water

1 ZJ (ZettaJoule) = 10^{21} J (ExaJoule) = 10^{21} J (Joule)

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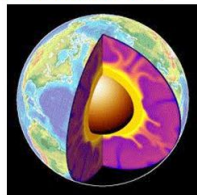
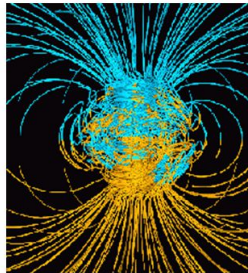
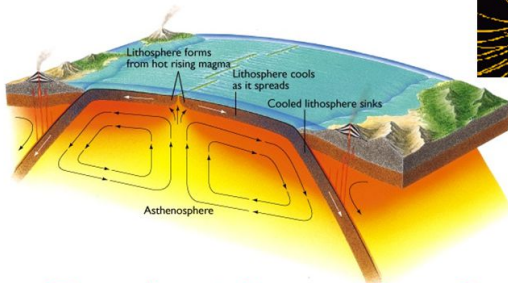
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Plate Tectonics, Convection, Geodynamo



Does heat from radioactive decay
drive the Earth's engine?



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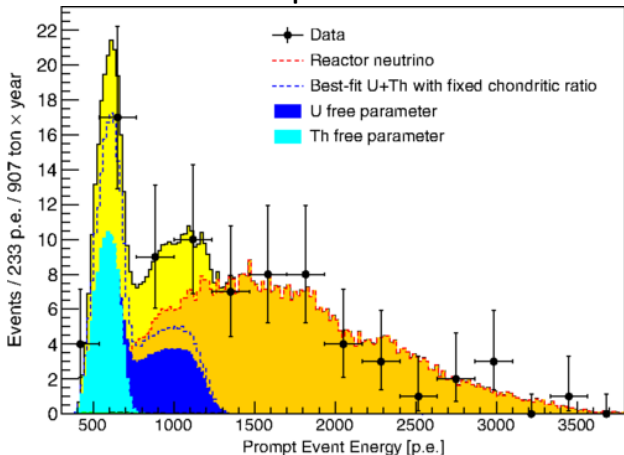
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Signal of $\bar{\nu}_e$ from radioactive decays of U/Th in the earth observed in the BOREXINO solar neutrino experiment:



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Conclusions

- Neutrinos have been at the forefront of fundamental discoveries in particle physics for decades.
- Discoveries of neutrino properties like the very small mass, large almost maximal mixing, are the *ONLY direct evidence for physics beyond the Standard Model of particle physics, and new hidden symmetries.*
- Results from the current generation of accelerator based neutrino experiments hint (inconclusively) at large matter/anti-matter asymmetries.
- The future T2HK and LBNF/DUNE project are ambitious multi-national neutrino experiments designed to probe matter/anti-matter asymmetries, neutrino oscillations and cosmological neutrinos with unprecedented precision.
- Studying neutrinos is advancing new technologies in accelerators, non-proliferation, geology...etc



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THANK YOU

Click for Neutrino rap!!