# NNN 15

SIMONS GENTER FOR GEONETRY AND PHYSICS International Workshop for the Next Generation Nucleon Decay and Neutrino Detector **Global Picture of Neutrino Oscillations** UD2 Unification Day 2 (UD2)

Simons Center for Geometry and Physics, Stony Brook University

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#### **Neutrinos in the limelight**

#### Nobelpriset i fysik 2015

#### Nobelpriset i fysik 2015



Takaaki Kajita Super-Kamiokande Collaboration University of Tokyo, Kashiwa, Japan



The Nobel Prize in Physics 2015

Arthur B. McDonald Sudbury Neutrino Observatory Collaboration Queen's University, Kingston, Canada

"för upptäckten av neutrinooscillationer, som visar att neutriner har massa" the discovery of neutrino oscillations, which shows that neutrinos have mass

### **Golden Era of Neutrino Physics**

- The neutrino has been the show stopper in high energy physics for the period 1998 2005
- Results from SuperKamiokande experiment confirmed oscillation of atmospheric neutrinos
- Results from the SNO solar neutrino experiment established the presence of  $\nu_{\mu}/\nu_{\tau}$  in the solar  $\nu_e$  flux
- Result from the KamLAND experiment confirmed solar neutrino oscillations using reactor neutrinos
- Result from K2K, MINOS confirmed atmospheric neutrino oscillations using accelerator neutrinos
- The results could be explained by dominant two flavour oscillations

#### **Neutrino Oscillations**





$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i < j} \operatorname{Re} \left( U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} U_{\beta i} \right) \sin^2 \Delta_{ij}$$
$$+ 2 \sum_{i > j} \operatorname{Im} \left( U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} U_{\beta i} \right) \sin 2\Delta_{ij}$$

Flavour

states

$$\begin{bmatrix} \nu_{e} \\ \nu_{\mu} \end{bmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \end{pmatrix}$$

 $P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta \sin^2(\Delta m^2 L/4E)$ 



Neutrino Oscillation requires Non-zero neurino mass Non-zero mixing angles Oscillation effect  $\Delta m^2 \sim E/L$  Not sensitive to the sign of  $\Delta m^2$ Not sensitive to the octant of  $\theta$ 

#### Not sensitive to the absolute masses

#### **MSW effect**

In matter, only  $\nu_e$ 's undergoes Charged current interaction  $\rightarrow$  an effective potential of  $\sqrt{2}G_FN_e$ 

#### Effective mixing angle $\theta_M$ in matter

 $\tan 2\theta_M = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - 2\sqrt{2}G_F n_e E}$ 

$$\Delta m^2 \cos 2\theta = 2\sqrt{2}G_F n_e E, \ \theta_M \to \pi/4$$
 MSW Resonance

L. Wolfenstein, PRD 17, 1978 S.P. Mikhyev, A.Yu. Smirnov, SJNP 42, 1985

#### The mass squared difference in matter

$$\Delta m_M^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2\sqrt{2}G_F n_e E) + \sin^2 2\theta}$$

For antineutrinos the potential changes sign

Resonance occur for  $\Delta m^2 < 0$ 

Matter effect is sensitive to the ordering of the mass states

Probabilities are obtained by solving propagation equation in matter

Depends on density profile of matter

#### **Three neutrino oscillation parameters**



• 
$$\Delta m_{21}^2$$
,  $\theta_{12}$ ,  $\theta_{13}$  Solar + KamLND

• 
$$\Delta m_{31}^2$$
,  $heta_{13}$  Reactor

• 
$$\Delta m_{31}^2$$
,  $\theta_{23}$ ,  $\theta_{13}$ ,  $\delta_{CP}$  Atm + LBL

Interplay among different sectors because of  $\,\theta_{\!13}$ 



### **Status of oscillation parameters (2014)**

NuFIT 2.0 (2014)

Parameter	Best-fit for NH(IH)	$3\sigma$ Ranges for NH(IH)
$\theta_{12}^{\circ}$	33.48	31.29 - 35.91
$\theta^{\circ}_{23}$	42.3(49.5)	38.2 - 53.3(38.6 - 53.3)
$\theta^{\circ}_{13}$	8.50(8.51)	7.85 - 9.10(7.87 - 9.11)
$\delta^{\circ}_{CP}$	306(254)	0 - 360
$\frac{\Delta m_{21}^2}{10^{-5} {\rm eV}^2}$	7.50	7.02-8.09
$\frac{\Delta m_{3l}^2}{10^{-3} { m eV}^2}$	2.457(2.449)	2.317 - 2.607(2.590 - 2.307)

$$\Delta m_{3I}^2 = \Delta m_{31}^2 > 0$$
 for NH and  $\Delta m_{3I}^2 = \Delta m_{32}^2 < 0$  for IH

Gonzalez-Garcia Maltoni Schwetz JHEP 11 (2014) 052[arXiv:1409.5439] No hierarchy sensitivity

$$\chi^2(NH) - \chi^2(IH) < 1$$



### Status of $\theta_{23}$ (2014)



### Data set included in Global Analysis

#### Solar experiments

- Chlorine total rate [3], 1 data point.
- Gallex & GNO total rates [4], 2 data points.
- SAGE total rate [5], 1 data point.
- SK1 full energy and zenith spectrum [6], 44 data points.
- SK2 full energy and day/night spectrum [7], 33 data points.
- SK3 full energy and day/night spectrum [8], 42 data points.
- SK4 1669-day energy spectrum and day/night asymmetry [9], 24 data points.
- SNO combined analysis [10], 7 data points.
- Borexino 740.7-day low-energy data [11], 33 data points.
- Borexino 246-day high-energy data [12], 6 data points.

#### Reactor experiments

- KamLAND combined DS1 & DS2 spectrum [14], 17 data points.
- CHOOZ energy spectrum [15], 14 data points.
- Palo-Verde total rate [16], 1 data point.
- Double-Chooz 227.9-day spectrum [17], 18 data points.
- Daya-Bay 621-day spectrum [18], 36 data points.
- Reno 800-day near & far total rates [19], 2 data points (with free normalization).
- SBL reactor data (including Daya-Bay total flux at near detector), 77 data points [18, 20].

Atmospheric experiments

• SK1-4 (including SK4 1775-day) combined data [13], 70 data points.

#### Accelerator experiments

- MINOS  $10.71\times 10^{20}$  pot  $\nu_{\mu}\text{-disappearance}$  data [21], 39 data points.
- MINOS 3.36 × 10<sup>20</sup> pot ν
  <sub>μ</sub>-disappearance data [21], 14 data points.
- MINOS  $10.6 \times 10^{20}$  pot  $\nu_e$ -appearance data [22], 5 data points.
- MINOS  $3.3 \times 10^{20}$  pot  $\bar{\nu}_e$ -appearance data [22], 5 data points.
- T2K 6.57  $\times\,10^{20}$  pot  $\nu_{\mu}\text{-disappearance data}$  [23], 16 data points.
- T2K 6.57  $\times\,10^{20}$  pot  $\nu_e$  -appearance data [24], 5 data points.



#### The unknowns







The sign of  $\Delta m_{31}^2$  i.e.  $\Delta m_{31}^2 > 0 \Rightarrow$  Normal Hierarchy (NH) or  $\Delta m_{31}^2 < 0 \Rightarrow$  Inverted Hierarchy (IH). The octant of  $\theta_{23}$  i.e.  $\theta_{23} > 45^{\circ} \Rightarrow$  Higher Octant (HO) or  $\theta_{23} < 45^{\circ} \Rightarrow$  Lower Octant (LO). Leptonic CP phase  $\delta_{CP}$   $\delta_{CP} = 0, \pm 180^{\circ}$  (CP conserving)  $\delta_{CP} = \pm 90^{\circ}$  (maximal CP violation)  $-180^{\circ} < \delta_{CP} < 0^{\circ}$  (LHP)  $0^{\circ} < \delta_{CP} < 180^{\circ}$ (UHP)

A non-zero value of  $\theta_{13}$  crucial for all three

### Measurement of $\theta_{13}$

- **9** Till 2011 :  $\theta_{13} < 13^{\circ}$
- Reactor experiments Double Chooz, Daya-Bay and RENO has measured non-zero θ<sub>13</sub>
- Long Baseline: distance  $\sim$  1 km L/E  $\sim$  Km/MeV
- $P_{ee} = 1 \sin^2 2\theta_{13} \sin^2 1.27 \Delta_{ee} L/E$



- **P** The deficit is governed by  $\theta_{13}$
- L/E dependence is sensitive to  $\Delta_{ee}$

See talk by S. Wagner NNN15

### **Global Picture of** $\theta_{13}$



### **Current Status of Solar Parameters**

The best-fit value of  $\Delta m_{21}^2$  from KamLAND lies above that of solar  $\implies 2\sigma$  effect



Absence of low energy spectrum turn up of <sup>8</sup> B spectrum as expected in LMA MSW

The indication of a non-zero day-night asymmetry in SuperKamiokande

### Impact of non-zero $\theta_{13}$



The Probabilities for solar and KamLAND  

$$P_{ee}^{Solar}(^{8}B) \approx c_{13}^{4} \sin^{2} \theta_{12}$$
  
 $P_{ee}^{KL} \approx c_{13}^{4}(1 - \sin^{2} 2\theta_{12} \sin^{2} \Delta m_{21}^{2}L/4E)$ 

● For  $\theta_{13} = 0$  solar and KamLAND prefer different values of  $\theta_{12}$ 

For  $\theta_{13} > 0$  solar prefer higher  $\theta_{12}$ KamLAND prefer lower  $\theta_{12}$ 

Impact of non-zero  $θ_{13}$  ⊨→ best-fit  $θ_{12}$  from solar andKamLAND lies at the same value

> Gonzalez-Garcia Maltoni Schwetz JHEP 11 (2014) 052

### **Three generation effect in Atmospheric Neutrinos**



#### **Three generation effect in atmospheric neutrinos**

#### Excess of electron-like events:

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$$\frac{N_e}{N_e^0} - 1 \simeq (rs_{23}^2 - 1)P_{2\nu}(\Delta m_{31}^2, \theta_{13}) \quad \theta_{13} - effects$$

+  $(rc_{23}^2 - 1)P_{2\nu}(\Delta m_{21}^2, \theta_{12})$   $\Delta m_{21}^2 - effects$ -  $2s_{13}s_{23}c_{23}\operatorname{Re}(A_{ee}^*A_{\mu e})$  interference :  $\delta_{cp}$ 

$$r = \frac{F_{\mu}^{0}}{F_{e}^{0}} \approx 2$$

Resonant matter effect in  $P_{2\nu}(\Delta m_{31}^2, \theta_{13})$  for multi-GeV neutrinos.

All three terms important for sub-GeV neutrinos

Sensitivity to both Hierarchy and Octant and  $\delta_{\rm CP}$ 

For s<sup>2</sup><sub>23</sub> <0.5 the 1-2 sector gives excess while 1-3 sector gives depletion

Peres and Smirnov , 2004 Gonzalez-Garcia, Maltoni, Smirnov, 2004

#### **Three Generation analysis of SuperK data**



#### **Three generation effect in Accelerator Neutrinos**



### **Three flavour oscillation analysis : MINOS**

#### Full three flavour analysis combining appearance and disappearance data

Also included atmospheric neutrino data

Phys. Rev. Lett. 112, 191801 (2014)





Combined analysis improves Previous constraints

See talk by L. Whitehead NNN15

Hiorarchy	Octant	Best fit parameters				-24log(L)
піегагсну		$\Delta m_{32}^2 / 10^{-3} eV^2$	$sin^2\theta_{_{23}}$	$sin^2\theta_{13}$	δ <sub>ср</sub> /π	-2Δl0g(L)
Inverted	Lower	-2.41	0.41	0.0243	0.62	-
Inverted	Higher	-2.41	0.61	0.0241	0.37	0.09
Normal	Lower	2.37	0.41	0.0242	0.44	0.23
Normal	Higher	2.35	0.61	0.0238	0.62	1.74

#### **Three flavour oscillation analysis: T2K**



### Hint of $\delta_{CP}$ from T2K data



First hint for  $\delta_{CP} = -90^{\circ}$  from T2k data Using only 8% of proton on targets

Best-fit value obtained for sin<sup>2</sup>2θ<sub>1</sub>3 is larger than the reactor value When applying the reactor constraint, region where sin<sup>2</sup>2θ<sub>1</sub>3 is as small as possible is favored Due to δ<sub>CP</sub> - sin<sup>2</sup>2θ<sub>1</sub>3 correlation, this favored region is for δ<sub>CP</sub>≈ -π/2

L. Escudero, ICHEP 2014

#### **Global Analysis 2015**

First anti-v data from T2K, disapp.+app. (included, preliminary)

First data from NOvA, disapp.+app. (LID or LEM)\* (included, preliminary) See talk by A. Sousa NNN15

\*LID (Likelihood Identification) = primary NOvA analysis LEM (Library Event Matching) = alternative NOvA analysis

**Frequentist Method** using a  $\chi^2$  statistics

Capozzi, Lisi& Marrone (Univ. & INFN, Bari)

#### **Global Analysis 2015**



Capozzi, Lisi& Marrone (Univ. & INFN, Bari)

E. Lisi, VLVNT\_2015

#### **Global Analysis 2015**



### **Known Parameters (2015)**



### $\delta_{CP}$ sensitivity of T2K and Feldman-Cousin intervals



Wilkes theorem does not hold good for  $\delta_{CP}$ 

•Non-linear dependence of event rates on  $\delta_{CP}$  • Parameter degeneracy

Standard  $\Delta \chi^2$  confidence level definitions do not hold good

Monte Carlo simulation of data to determine the FC confidence intervals

**Elevant** 

**Schwetz** 

1506.07685

Large deviations from the Gaussian limit, depends on true hierarchy,  $\theta_{23}$ ,  $\delta_{CP} = \delta_{CP} \simeq \pi/2$  disfavoured at 2-3 $\sigma$ 

Stronger rejection of  $\delta_{CP} \simeq \pi/2$  as compared to Gaussian approximation

#### **Bayesian vs Frequentist**



### **Results of Bayesian Analysis**

Normal Ordering							
	Point Estimates	$\chi^2$ Intervals	Bayes Credible Intervals				
	$\begin{array}{cc} \mathrm{bfp} & \mathrm{max \ of} \\ \mathcal{L}_{\mathrm{marg}} \end{array} \end{array}$ mean median	$1\sigma$ CI $3\sigma$ CI	$1\sigma$ CI $3\sigma$ CI				
$\sin^2 \theta_{12}$	$0.304 \ \ 0.304 \ \ 0.305 \ \ 0.305$	[0.292, 0.317] $[0.270, 0.344]$	[0.292, 0.317] $[0.269, 0.344]$				
$\sin^2 \theta_{13}$	0.0218 0.0218 0.0218 0.0218	[0.0208, 0.0228] [0.0186, 0.0250]	[0.0207, 0.0228] [0.0187, 0.0250]				
$\frac{\Delta m_{21}^2}{10^{-5} eV^2}$	7.5 7.5 7.5 7.5	[7.33,7.69] [7.02,8.07]	[7.33,7.69] [7.03,8.09]				
$\frac{\Delta m_{3\ell}^2}{10^{-3} eV^2}$	2.457 $2.460$ $2.459$ $2.459$	[2.417, 2.504] $[2.317, 2.607]$	[2.414, 2.506] $[2.320, 2.601]$				
Inverted Ordering							
$\sin^2 \theta_{12}$	$0.304 \ \ 0.305 \ \ 0.305 \ \ 0.305$	[0.292, 0.317] $[0.270, 0.344]$	[0.292, 0.317] $[0.269, 0.344]$				
$\sin^2 \theta_{13}$	0.0219 0.0219 0.0220 0.0220	[0.0209, 0.0230] [0.0188, 0.0251]	[0.0209, 0.0231] $[0.0189, 0.0252]$				
$\frac{\Delta m_{21}^2}{10^{-5} eV^2}$	7.5 7.5 7.5 7.5	[7.33,7.69] [7.02,8.07]	[7.33,7.68] [7.02,8.09]				
$\frac{\Delta m_{3\ell}^2}{10^{-9} eV^2}$	- 2.449 - 2.445 - 2.445 - 2.445	[-2.496, -2.401] $[-2.590, -2.307]$	[-2.492, -2.400] $[-2.584, -2.308]$				

Determination of four parameters robust against statistical analysis

Gonzalez-Garcia Maltoni Schwetz arXiv:1507.04366

## Bayesian Analysis of $\theta_{23}$



Bayesian analysis prefers the second octant more for NO

Gonzalez-Garcia Maltoni Schwetz arXiv:1507.04366

### Bayesian analysis and $\delta_{CP}$



 $\begin{array}{c} & & & \\ & &$ 

Marginal and Profile Likelihoods have maxima at the same value of  $~\delta_{\rm CP}$ 

Bayesian Analysis prefers larger  $\,\delta_{_{CP}}\,$ 

Gonzalez-Garcia Maltoni Schwetz arXiv:1507.04366

### **Future Projections**

Topics Not covered

Systematic Uncertainties : Review Talk by Pilar Coloma NNN15 Sterile Neutrinos : Review Talk by C. Giunti, NNN15

### Future determination of hierarchy, octant and $\delta_{_{CP}}$

#### Current Generation Superbeam Experiments

T2K : Tokai to Kamioka, 295 km . 0.76 GeV , 0.75 MW , Detector: SuperK

NOvA: FNAL to Ash River, 810 km, 1.7 GeV, 0.7 MW, 14 kt TASD detector

#### **Next generation Superbeam experiments**

T2HK: JPARC to Kamioka, detector: HyperK, 1.6 Mw DUNE : FNAL-LEAD , 1300km, 0.7 MW, Detector 10 (34) kt LiqArTPC ESS: European Spallation source Linac , configurations under study , 540 km, 2 GeV

#### Proton decay at rest experiments

DAE  $\delta$  DALUS : low energy, low distance (50 MeV, 20 km)

#### Reactor Experiments

JUNO (China), RENO50 (Korea), reactor neutrinos, 50 km

#### See talks by Z. Yu, J. Zhao, T. Kutter, NNN15

### **Future Atmospheric Neutrino Experiments**

#### Magnetized iron detector

Talk by M. Naimuddin, NNN15

- ➢ Volume : 50 − 100 kton
- Excellent muon energy and direction reconstruction
- Charge identification
- >Can determine the neutrino energy through hadron shower reconstruction
- ➤Example : INO
- Cerenkov Detectors Talks by H. Tanaka. T. Deyong , M. Jongen, Y. Highnight, Y. Nishimura NNN15
- Sensitive to both muon and electron events
- ➢No charge id
- Mega ton Water detector (HyperKamiokade, Memphys)
- Multi Megaton ice detector (PINGU of ICECUBE)
- >Multi Megaton under water detector (ORCA)

#### Liquid Argon TimeProjection Chambers Talks by S. Murphy, S. Dorota, NNN15

- ➤Sensitive to both electrons and muons
- Execellent energy and direction reconstruction for both
- ≻Charge id ?
- Example: **DUNE**

#### **Degeneracy Menace**



$$P_{\mu\mu}(\theta_{23}) \simeq P_{\mu\mu}(\theta_{23} - \pi/2)$$

$$P_{\mu e}(\theta_{13}, \delta_{CP}) = P_{\mu e}(\theta_{13}', \delta_{CP}')$$

$$P_{\mu e}(\Delta, \delta_{CP}) = P_{\mu e}(\Delta', \delta_{CP}')$$

U<sub>13</sub>

 $P_{\mu e}(\Delta,$ 

Hierarchy - 
$$\delta_{CP}$$
 degeneracyIntrinsic octant degeneracyOctant -  $\delta_{CP}$  degeneracy $P_{\mu e}(\Delta, \delta_{CP}) = P_{\mu e}(-\Delta, \delta'_{CP})$  $P_{\mu \mu}(\theta_{23}) = P_{\mu \mu}(\theta_{23} - \pi/2 - \theta_{23})$  $P_{\mu e}(\theta_{23}, \delta_{CP}) = P_{\mu e}(\theta'_{23}, \delta'_{CP})$ 

### **General picture of degeneracy : post**

Solution with

 $P_{\mu e}(\theta_{23}, \theta_{13}, \delta_{CP}) = P_{\mu e}(\theta'_{23}, \theta'_{13}, \delta'_{CP}) \Rightarrow$  generalized octant degeneracy.

Coloma,Minakata,Parke , 1406.2551

 $P_{\mu e}(\theta_{23}, \Delta, \delta_{CP}) = P_{\mu e}(\theta'_{23}, -\Delta', \delta'_{CP}) \Rightarrow \text{generalized (hierarchy - \theta_{23} - \delta_{CP}) degeneracy}$ 

Solution with

Ghosh,Ghoshal,Goswami,Nath,Raut, 2015

 $\theta_{13}$ 

**Eight** possibilities (different from the earlier eightfold

e

(right $\delta_{CP}$ )	wrong $\delta_{CP}$ )	degeneracy)		
I. RH-RO-R $\delta_{CP}$	V. WH-WO-W $\delta_{CP}$	In literature mainly discussed III,		
× II. RH-WO-R $\delta_{CP}$	VI. RH-RO-W $\delta_{CP}$			
III. WH-RO-R $\delta_{CP}$	VII. RH-WO-W $\delta_{CP}$	V is often part of IV because of		
IV. WH-WO-R $\delta_{CP}$	x VIII. WH-RO-W $\delta_{CP}$	VI intrinsic CP degeneracy also		
		connsidered by Minakata and Park arXiv 1303.6178		

#### **The diagnostics**

**Hierarchy Sensitivity** 



**Octant Sensitivity** 

**CP discovery** 

$$\chi^2 = \min \frac{(N_{ex}(\delta_{CP}^{tr}) - N_{th}(\delta_{CP}^{test}))^2}{N_{ex}(\delta_{CP}^{tr})}$$

$$\delta_{CP}^{test} = 0, \pi$$

$$\chi^2 = \chi_v^2 + \chi_{\overline{v}}^2$$

### **Degeneracies with T2K and NOVA**



### **Removal of Degeneracy: Global Picture**



WO-WCP solution is removed by combining neutrinos and antineutrinos (since the neutrinos and antineutrinos have degeneracy for opposite octants)

WH solution is removed by adding T2K and NOVA (different dependence on  $\delta_{CP}$  due to different baselines)



#### **Removal of degeneracy : Global Picture**



WH –WCP solution removed by adding atmospheric data from ICAL (matter effects break hierarchy degeneracies) (other atmospheric experiments/reactors)

Dune can remove WH and WO solutions at almost  $4\sigma$  (larger baseline and larger matter effects, pure  $V_{\mu}$  beam , known direction)



### Global picture for hierarchy, octant and $\delta_{\rm CP}$ (future)



Addition of ICAL to T2K+NOVA increases the sensitivity in the unfavourable zones

- ICAL can enhance the CP sensitivity of T2K+NOVA by removing the WH solutions
- DUNE alone can reach more than  $5\sigma$  sensitivity for hierarchy and octant for favourable  $\delta_{CP}$  values and close to  $4\sigma$  sensitivity for  $\delta_{CP}$  in the whole range
- With addition of T2K+NOVA+ICAL to DUNE hierarchy and octant can reach more than 5 or and  $\delta_{CP}$  close to 5 or over the full range

#### **The Global Picture**

- The parameters  $\Delta m_{21}^2 |\Delta m_{31}^2|, \theta_{12}, \theta_{13}$  are well determined and stable across different statistical analysis
- Solution with the second statistically significant yet  $\mathbf{I}_{13}$  with the second statistically significant yet  $\mathbf{I}_{13}$  with the second statistically significant yet  $\mathbf{I}_{13}$  is the second statistically significant yet  $\mathbf{I}_{13}$  is the second statistical stat
- $\checkmark$  The major undetermined quantities are hierarchy, octant and  $\delta_{CP}$
- The determination of these are interrelated and suffer from degeneracies
- **Solution** For favourable values of parameters  $2-3\sigma$  hint can come from T2K and NOVA
- In the unfavourable zone improvement possible exploiting atmospheric neutrinos
- **DUNE** can reach  $4-5\sigma$  sensitivity
- Synergy between different channels and experiments plays and important role



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