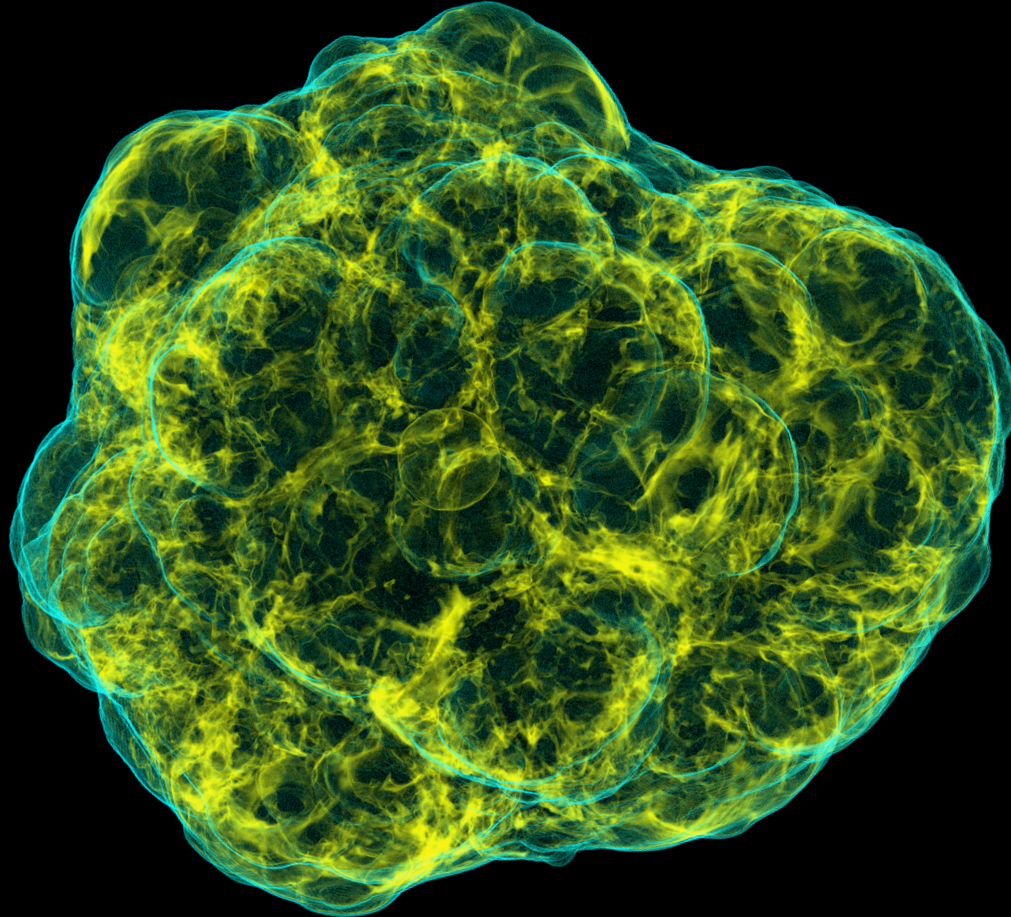


Supernova Neutrinos: A Review



Christian D. Ott

TAPIR, California Institute of Technology

With input and help from Luke Roberts (Caltech),
Evan O'Connor (NC State), Tobias Fischer (Wraclaw),
John Beacom (OSU), Mark Vagins (IPMU)

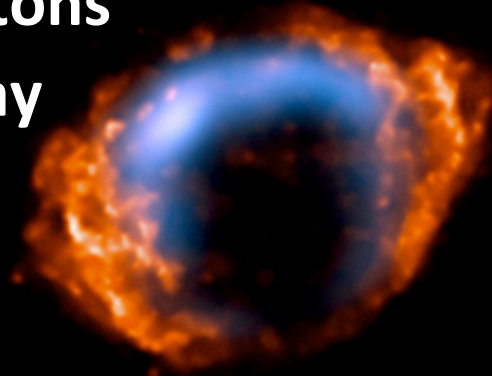
Caltech



Stellar Death & Supernova Explosions

- **~10 SN/s in the Universe**
- **~multiple SN/day discovered in photons**
- **~1 SN/50-100 yrs (?) in the Milky Way**
- **>1 SN/year within 10 Mpc**

Chandra



- **~20% thermonuclear SNe (Type Ia)**
-> exploding white dwarfs
- **~80% core-collapse SNe (CCSNe, II, Ib,c)**
-> exploding massive stars

G1.9+0.3

Explosion ~140 yrs ago.

@ 8 kpc in Sgr

Core-Collapse Supernovae:

Explosions of Massive Stars $8M_{\odot} \lesssim M \lesssim 130M_{\odot}$



© Anglo-Australian Observatory



Supernova 1987A

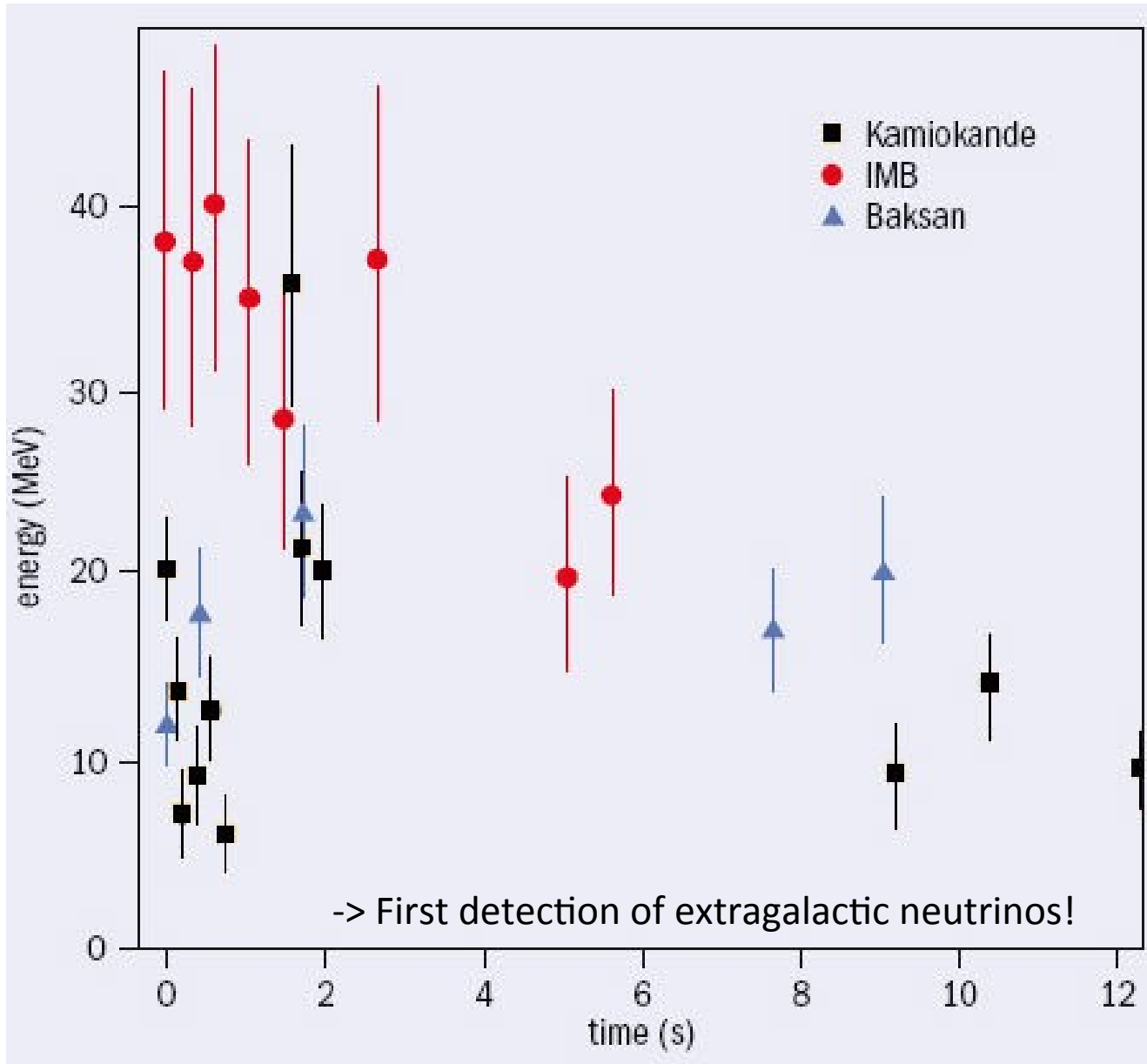
Large Magellanic Cloud

Progenitor:

BSG Sanduleak -69° 220a, $\approx 18 M_{\text{SUN}}$

SN 1987A: Neutrino Detection!

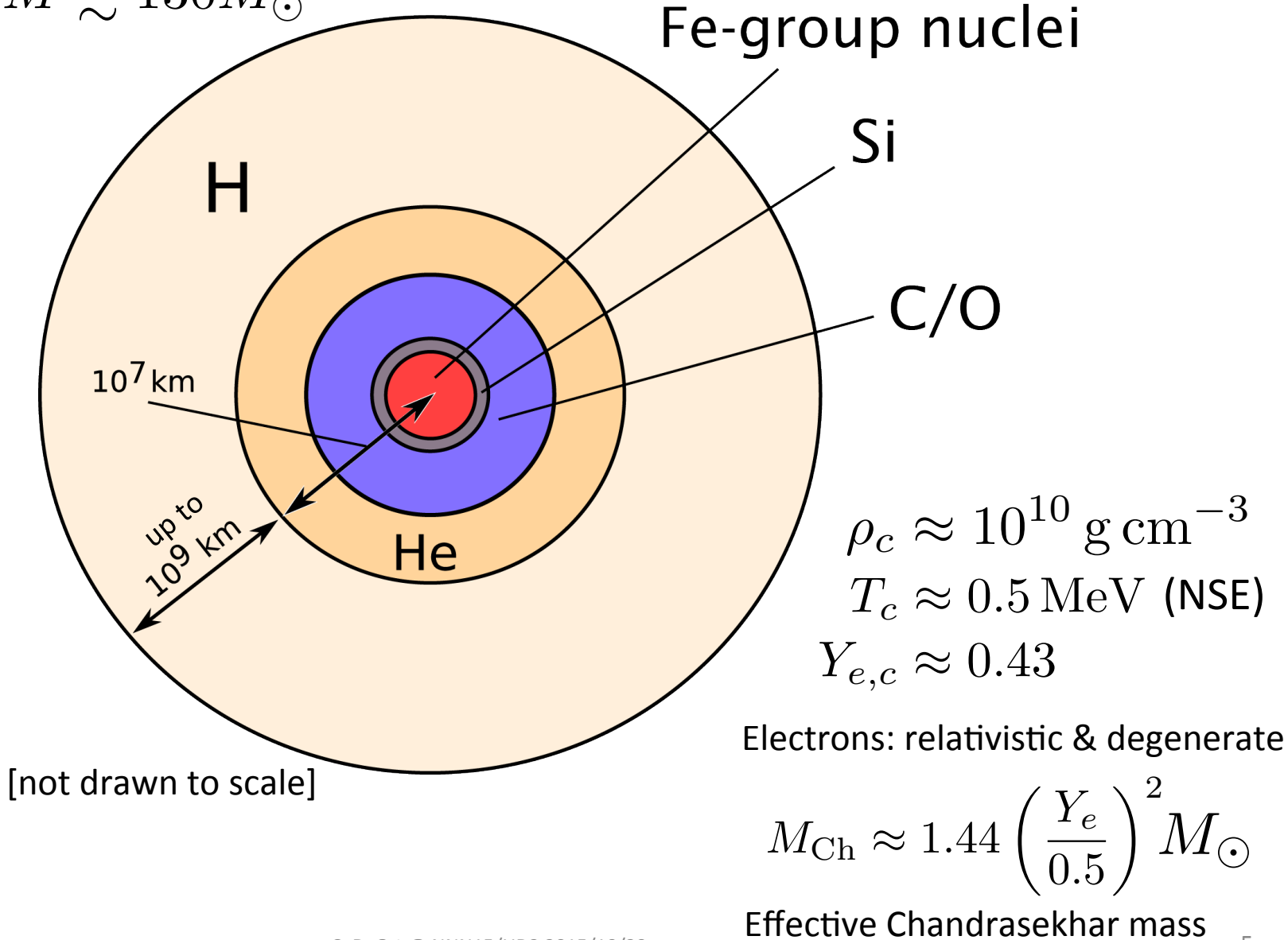
Hirata+87
Bionta+87
Aleksiev+87



http://images.iop.org/objects/ccr/cern/47/1/28/CCesup3_01-07.jpg

The Basic Theory of Core Collapse

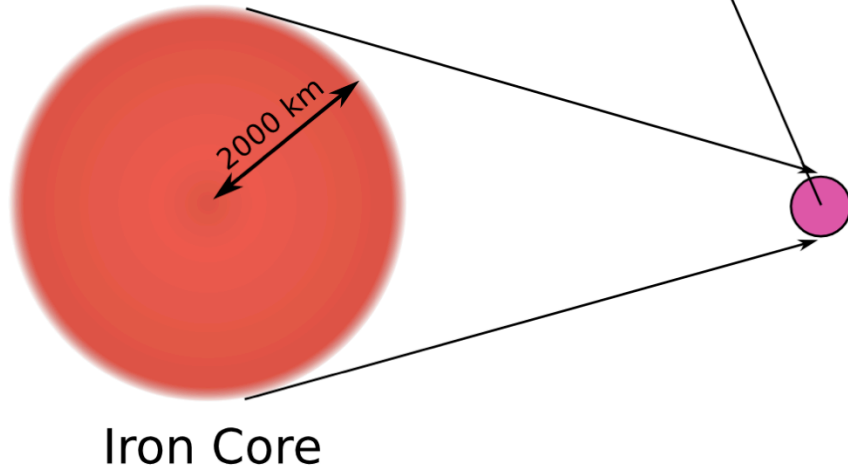
$$8M_{\odot} \lesssim M \lesssim 130M_{\odot}$$



[not drawn to scale]

Core Collapse Basics

Protoneutron Star, $R \sim 30$ km



Nuclear equation of state (EOS) stiffens at nuclear density.

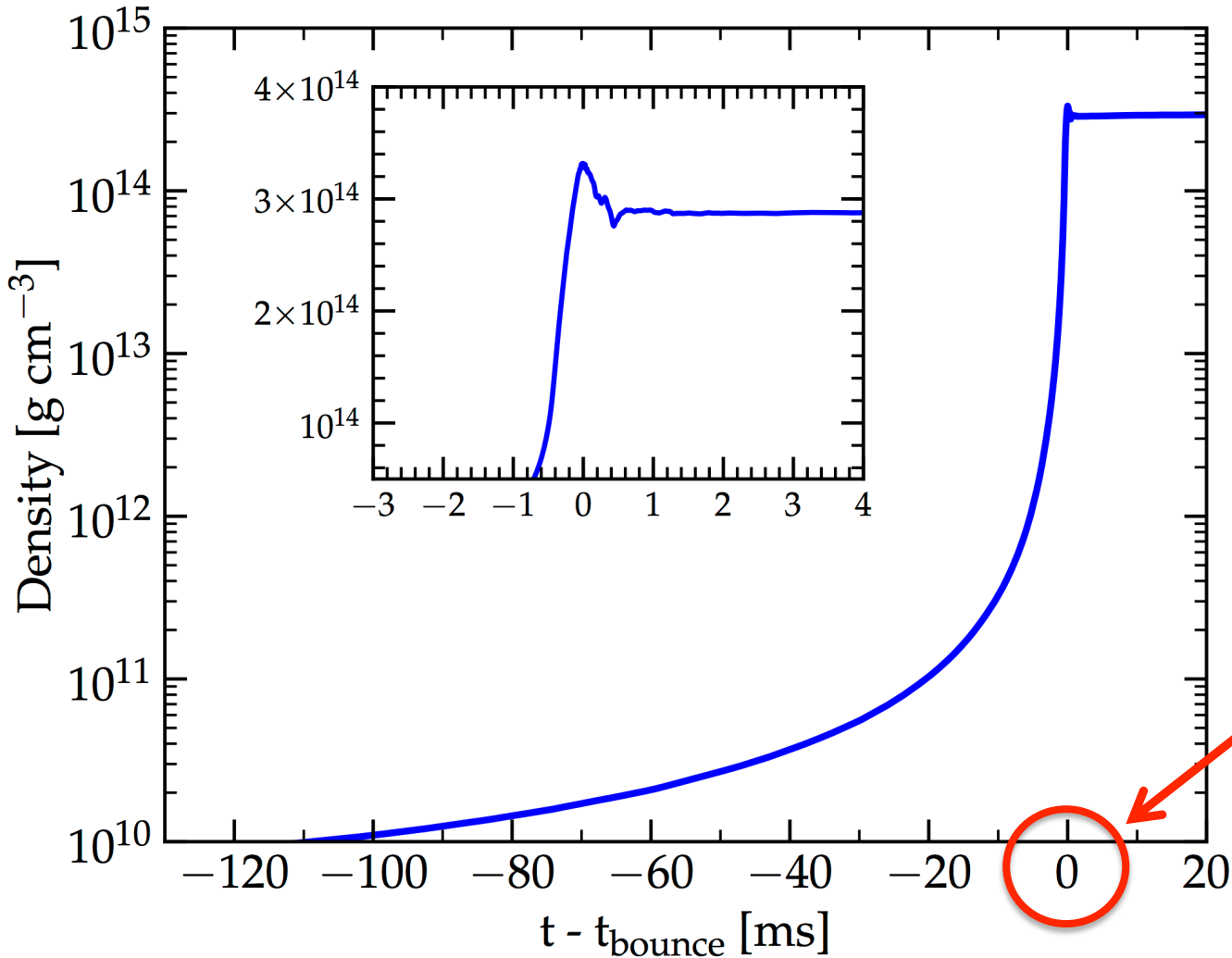
“Core Bounce”

Reviews:

Janka+12

Burrows 13

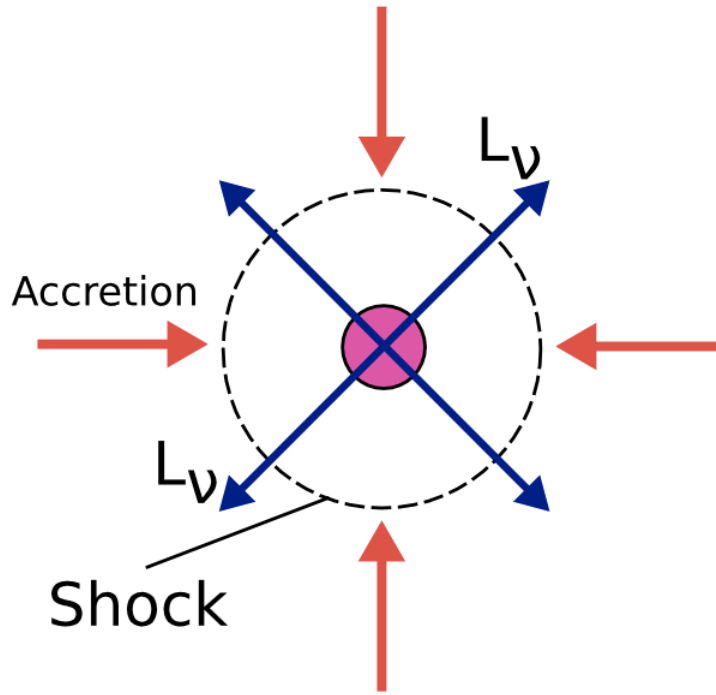
Collapse and Core “Bounce”



Stiff Nuclear **Equation of State (EOS):**
“Core Bounce”

Bounce:
 $t=0$ for SN theorists.

Core Collapse Basics



Reviews:
Janka+12
Burrows 13

“Core Bounce”

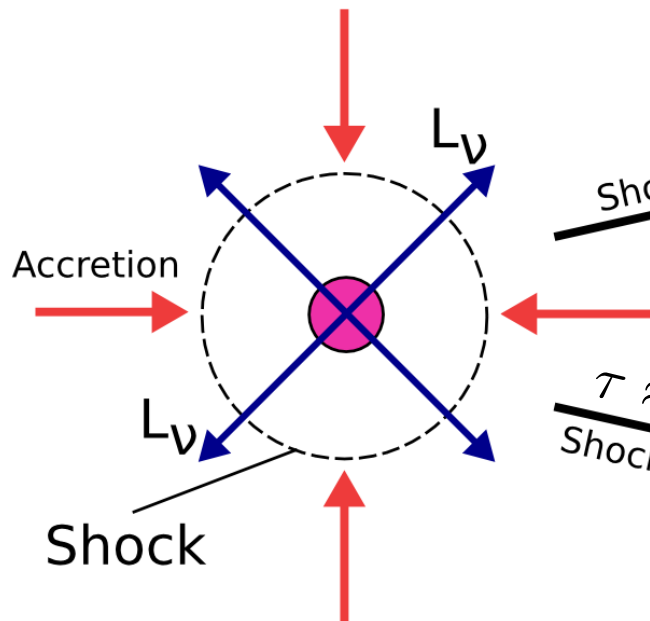
Inner core ($\sim 0.5 M_{\text{Sun}}$)
-> **protoneutron star** core.
Shock wave formed.

Outer core accretes onto
shock & protoneutron star
with $O(1) M_{\odot}/s$.

-> **Shock stalls at $\sim O(100)$ km,**
must be “revived” to drive
explosion.

“Postbounce” Evolution

Protoneutron Star, $R \sim 30$ km



Shock is revived.

$\tau \approx 1 - \text{few } s$
Shock is not revived.

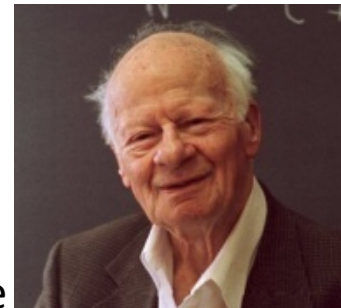
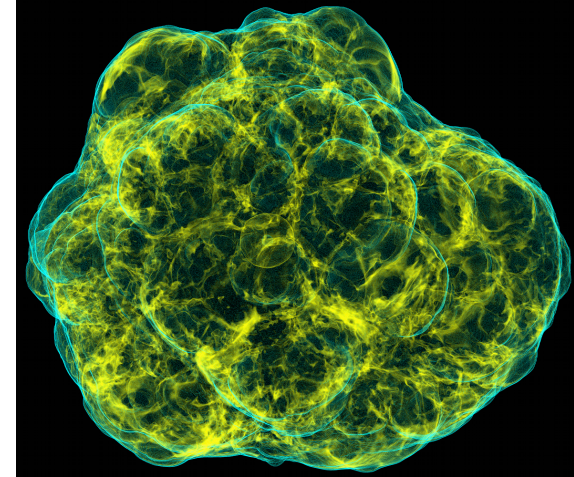
Supernova Explosion



●
Collapse to Black Hole

Core-Collapse Supernovae & Neutrinos

- CCSNe: *Neutrino-spewing gravity bombs!*
- Collapse to a neutron star:
Gravitational energy release
 $\sim 2-4 \times 10^{53}$ erg = 200–400 [B]ethe
- $\sim 10^{51}$ erg = 1 B kinetic and internal energy of the explosion.
(Extreme cases: 10 B; “hypernova”)
- 99% of the energy is radiated in neutrinos over tens of seconds in proto-NS cooling.
($L_{\nu} \approx L_{\text{photon}}$ of all stars in the universe!)



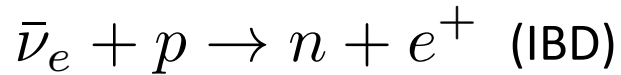
Hans Bethe

CCSNe: Neutrino-Spewing Gravity Bombs

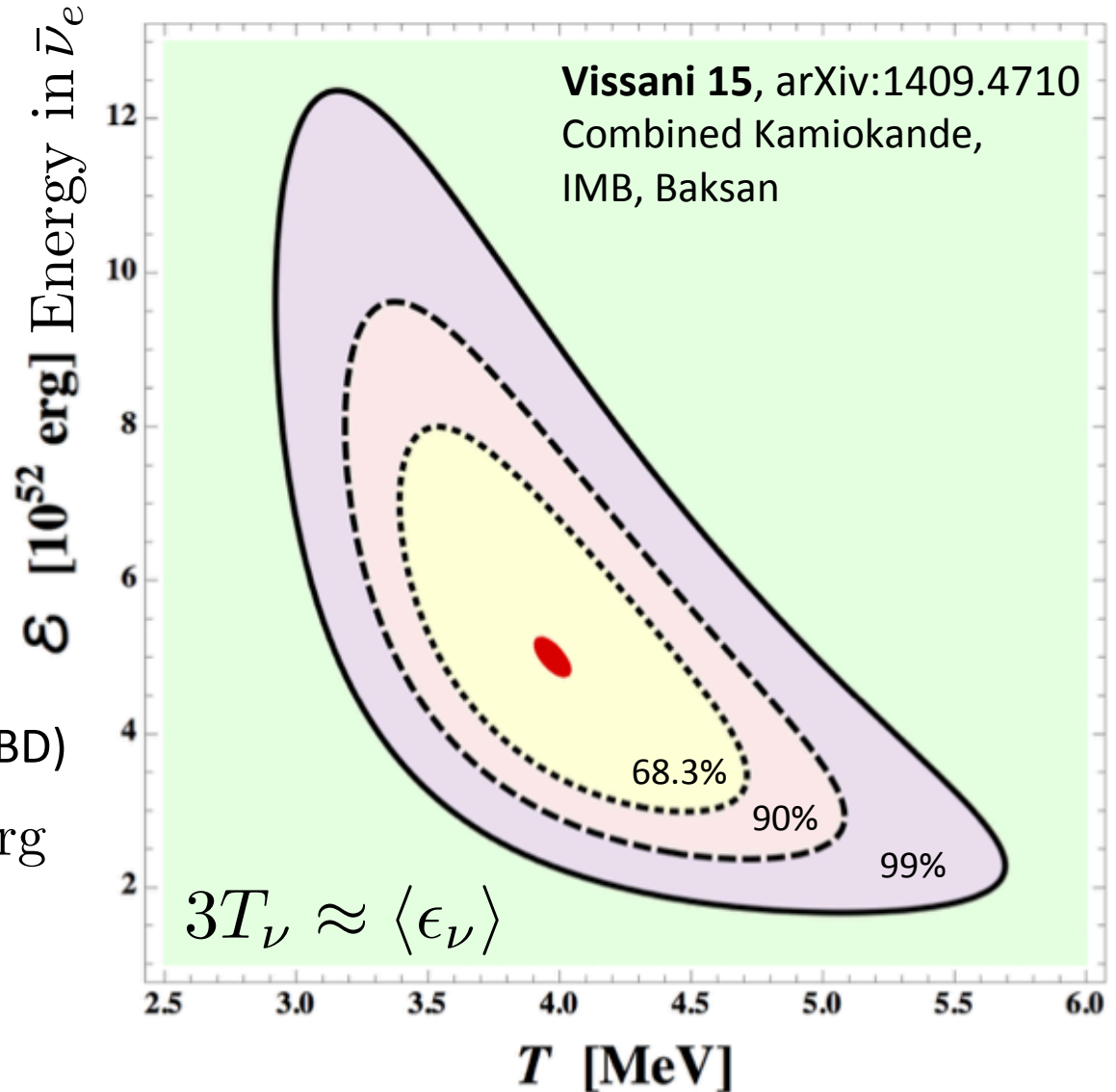


SN 1987A

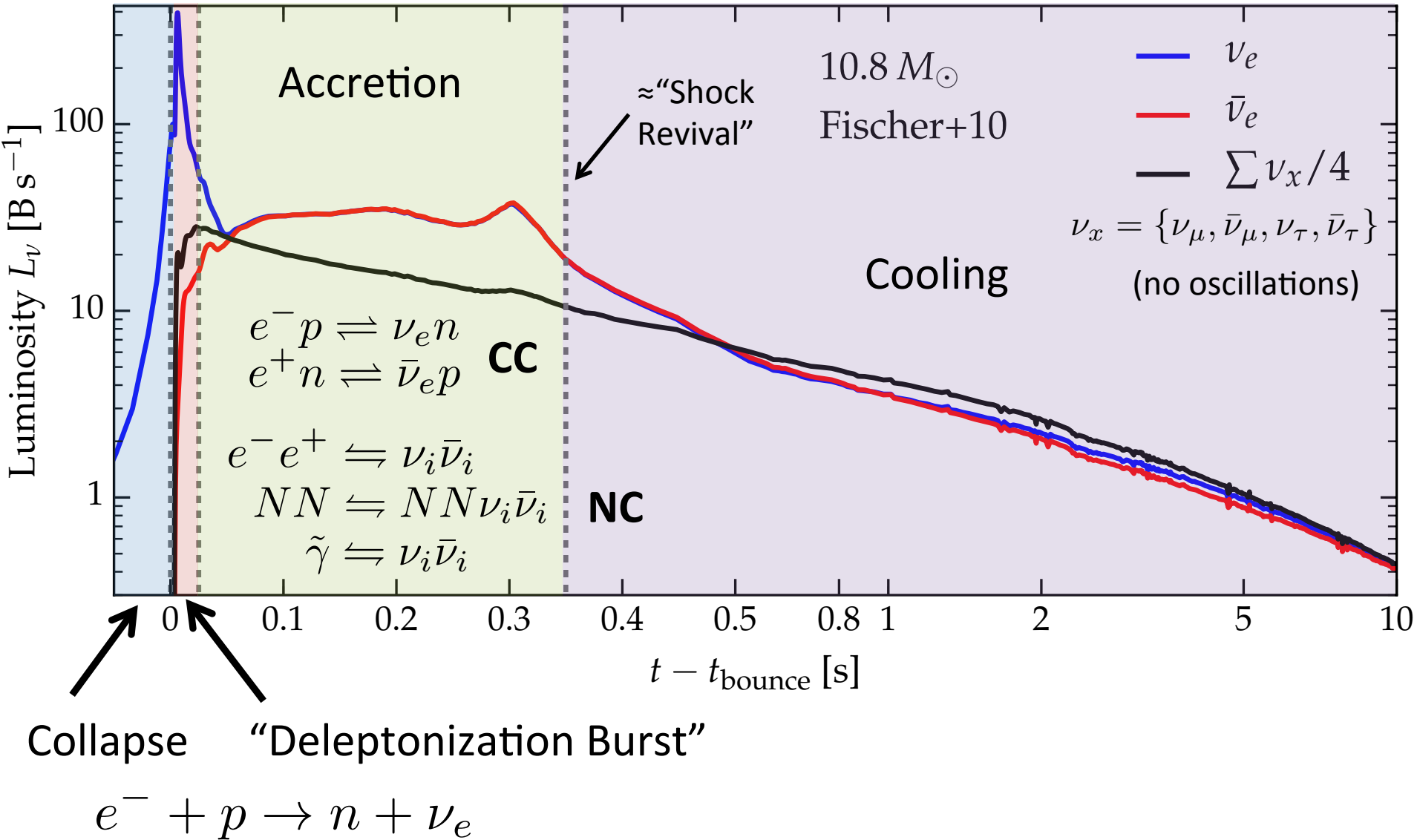
Kamiokande II,
IMB, Baksan:



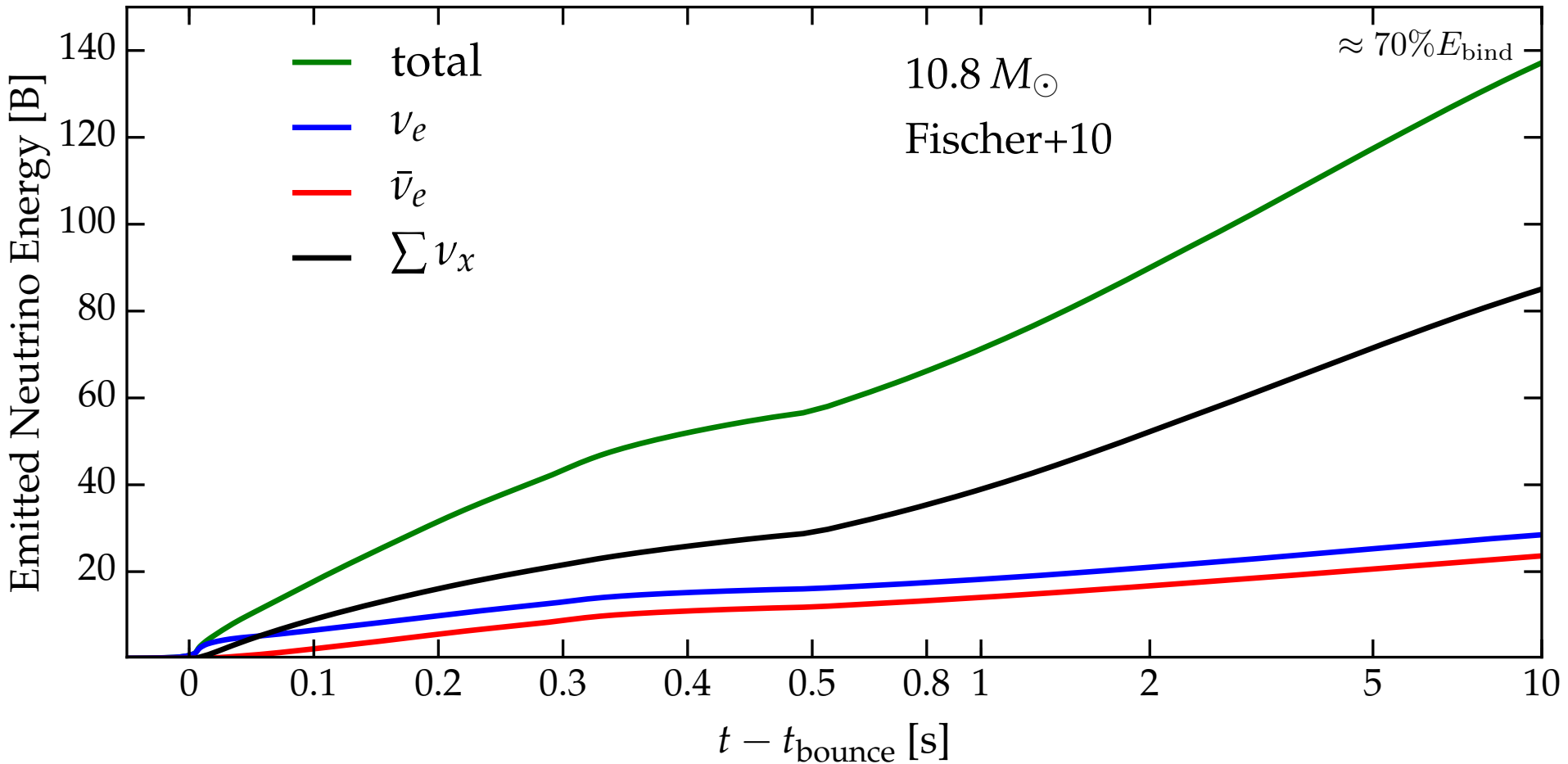
$$E_{\text{tot}} \approx 6\mathcal{E} \approx 3 \times 10^{53} \text{ erg}$$



Supernova Neutrino “Lightcurves”



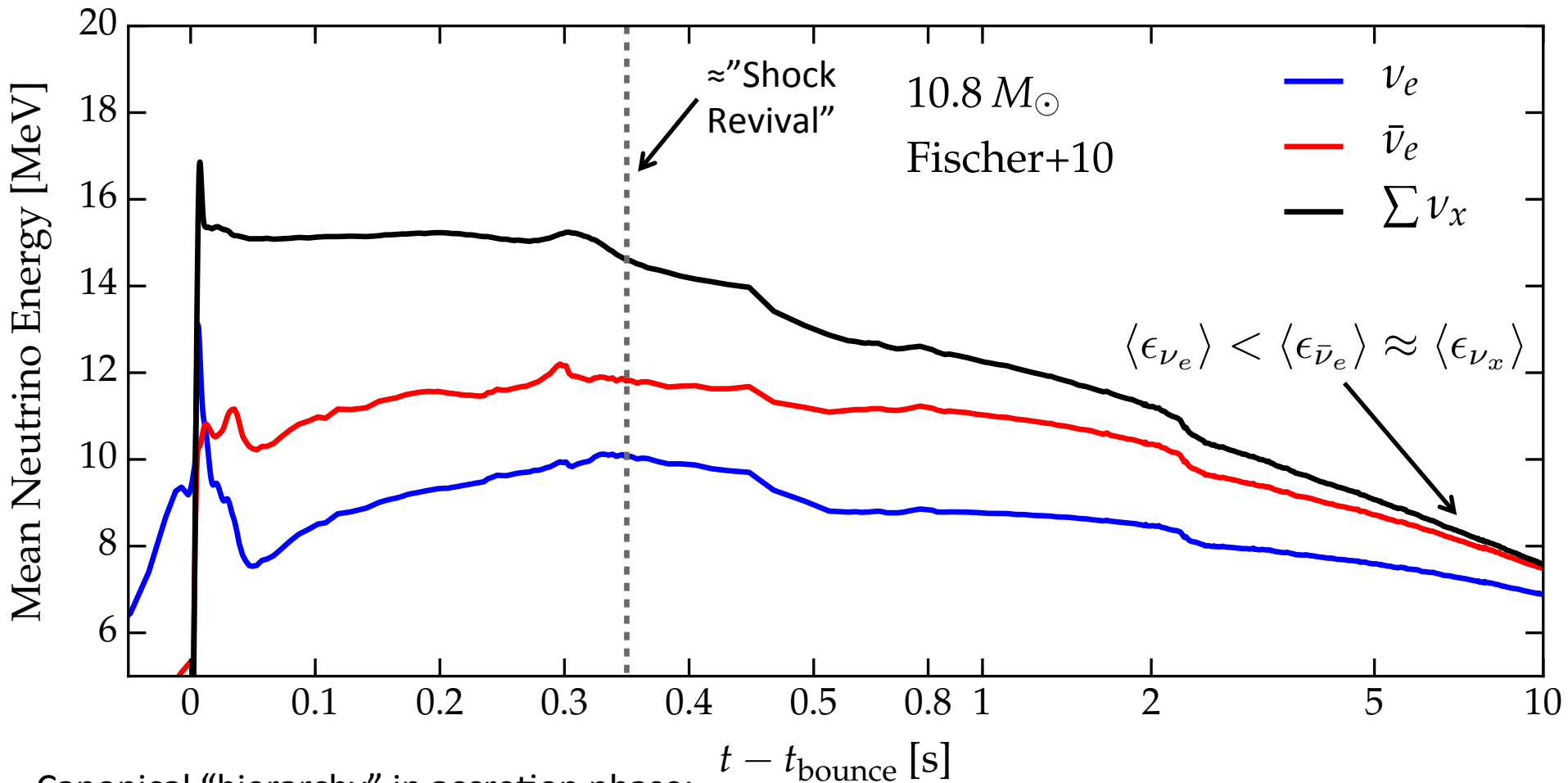
Neutrinos: Emitted Energy



$$M_{\text{bary,NS}} \approx 1.44 M_{\odot} \quad M_{\text{grav,NS}} \approx 1.33 M_{\odot}$$

$$E_{\text{bind}} \approx 2 \times 10^{53} \text{ erg}$$

Neutrinos: Mean Energies



Canonical "hierarchy" in accretion phase:

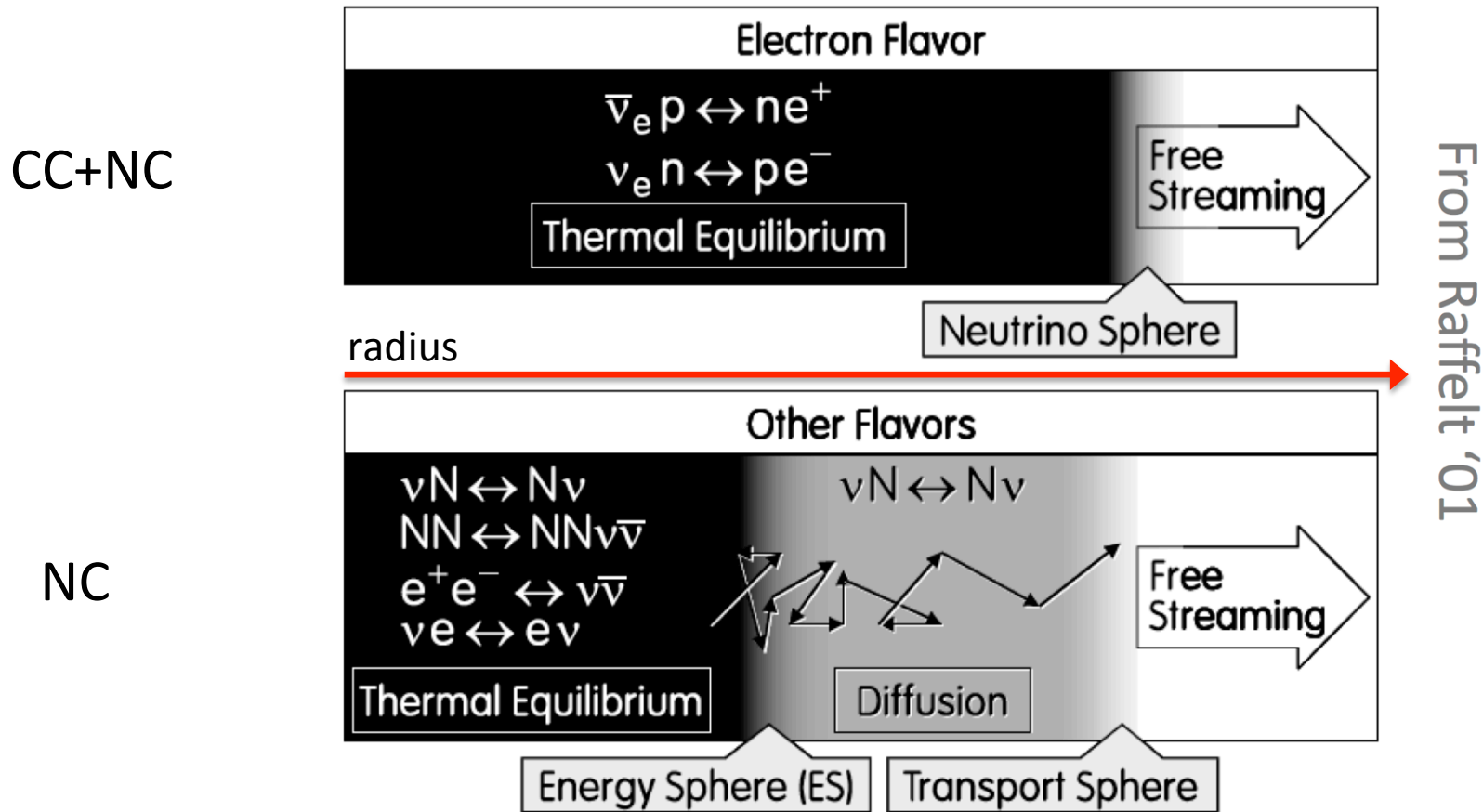
$$\langle \epsilon_{\nu_e} \rangle < \langle \epsilon_{\bar{\nu}_e} \rangle < \langle \epsilon_{\nu_x} \rangle$$

(at least at early times & lower-mass stars)

$$\langle \epsilon_{\nu_e} \rangle < \langle \epsilon_{\nu_x} \rangle \lesssim \langle \epsilon_{\bar{\nu}_e} \rangle$$

(late accretion phase, more massive stars)

Neutrinos: Spectrum Formation



$$\tau = \int \kappa ds \quad \tau_{\text{NS}} = 2/3$$

Spectra: Quasi-thermal, pinched (high-energy component downscattered)

Neutrinos: Spectra

Roberts 2012; also:
 Burrows&Lattimer 86,
 Pons+99, Keil+03,
 Fischer+10,12, Hüdepohl+10,
 Nakazato+13, Mirizzi+15

Fermi-Dirac:

$$f(\epsilon_\nu) \propto \epsilon_\nu^2 [1 + \exp(\epsilon_\nu/T - \eta)]^{-1}$$

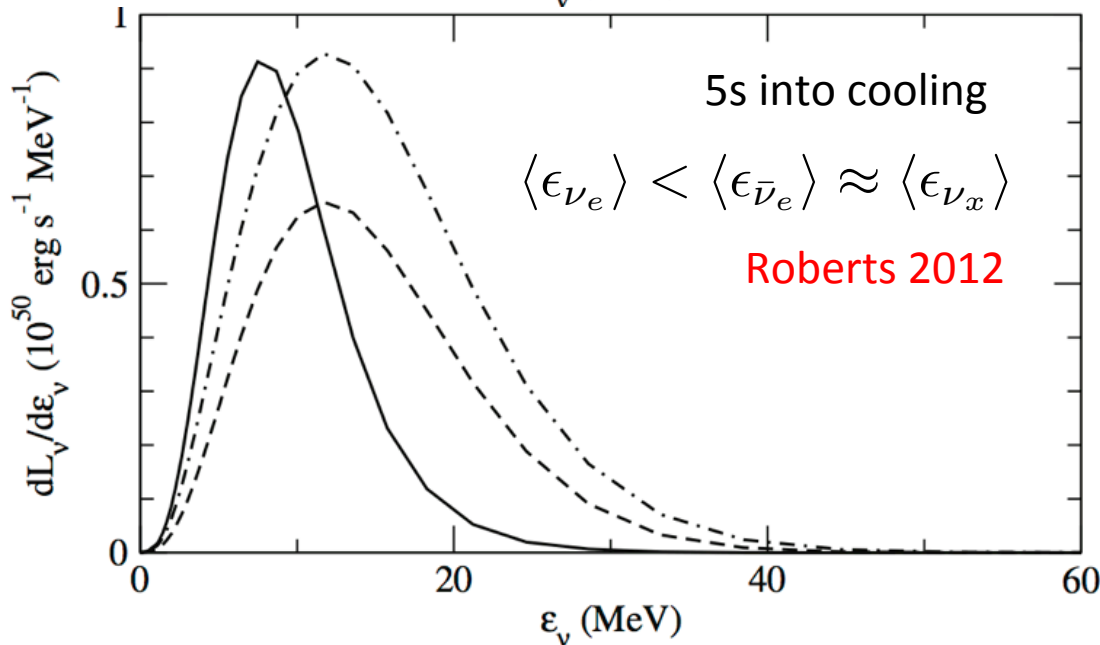
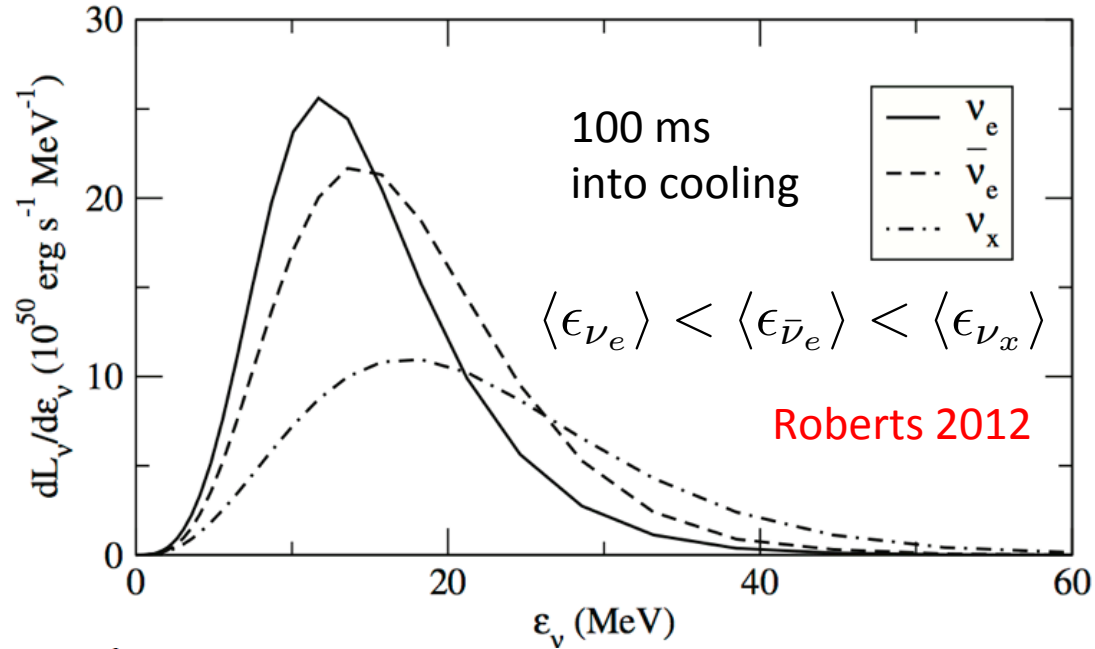
$$dL_\nu/d\epsilon_\nu = \epsilon_\nu f(\epsilon_\nu)$$

Keil+03:

$$f_\alpha(\epsilon_\nu) = \left(\frac{\epsilon_\nu}{\langle \epsilon_\nu \rangle} \right)^\alpha e^{-(\alpha+1)\epsilon_\nu/\langle \epsilon_\nu \rangle}$$

$$\frac{\langle \epsilon_\nu^2 \rangle}{\langle \epsilon_\nu \rangle^2} = \frac{2 + \alpha}{1 + \alpha}$$

α : Pinch Parameter
 (time dependent)



What can Supernova Neutrinos do for you?

Revive the stalled shock! -> “Neutrino Mechanism”

Probe Stellar Structure

Probe Stellar-Mass Black Hole Formation

Probe Multi-Dimensional Supernova Dynamics

Bonus: ν -Driven Wind & (no) r-Process Nucleosynthesis ($A > 120$)

Probe the Equation of State of Nuclear Matter

Reveal Neutrino Oscillation & Flavor Physics

(Constrain Exotic Particle Physics [Axions etc.]; see Raffelt '90, '12)

Probe the Supernova History of the Universe

Blowing up Stars: Neutrino Mechanism

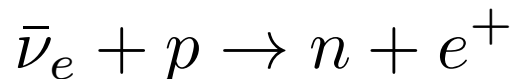
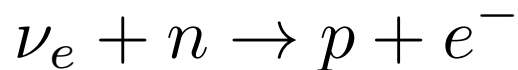
Bethe & Wilson '85; also see: Janka '01, Janka+ '07

Cooling:

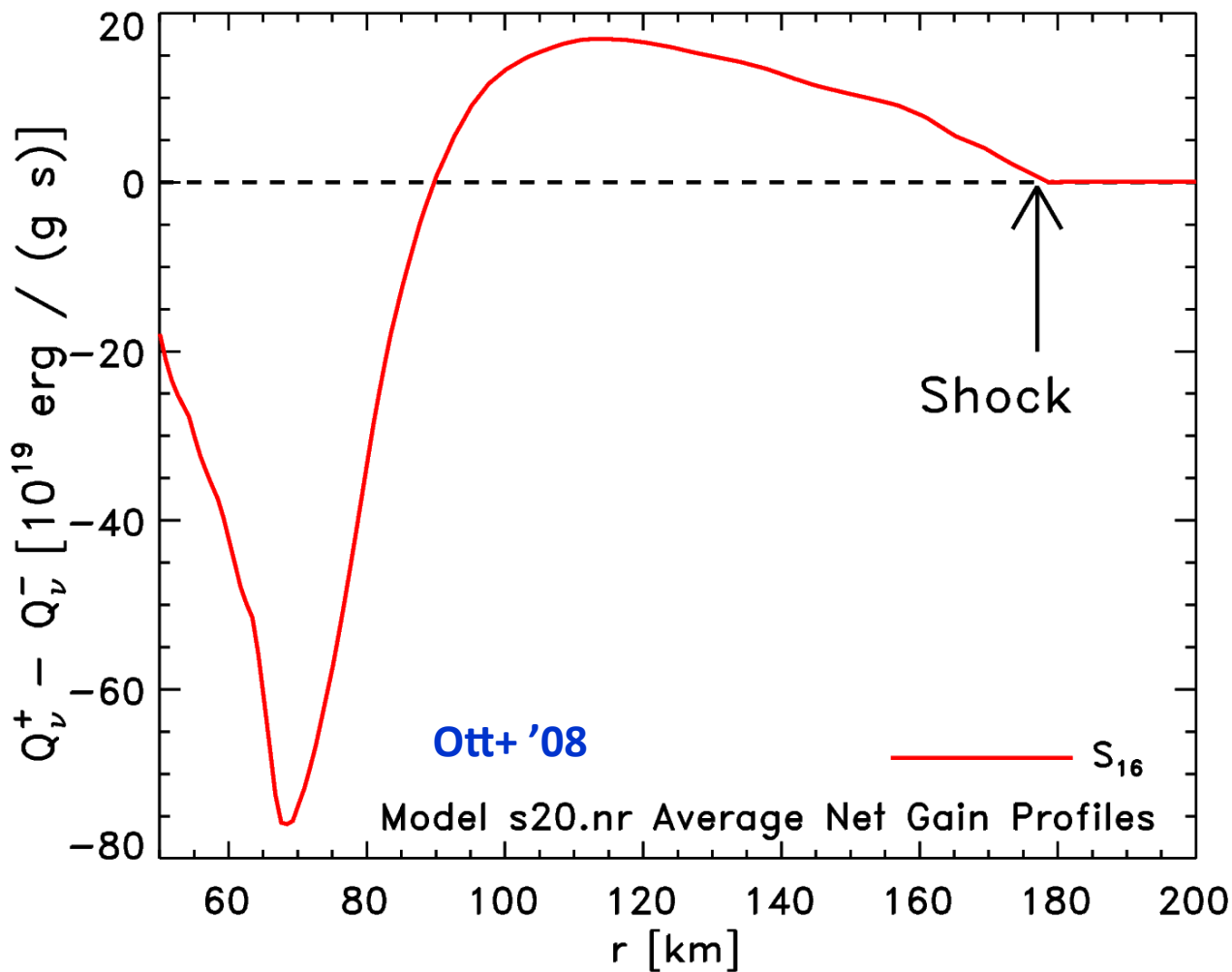
$$Q_{\nu}^{-} \propto T^6, T^9$$

Heating:

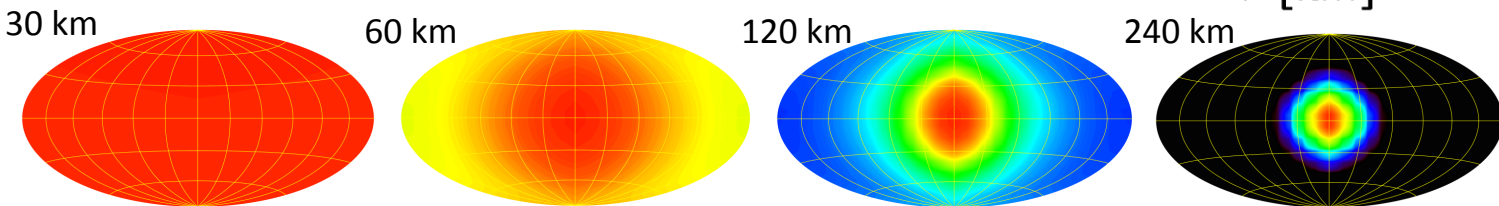
charged-current
absorption



$$Q_{\nu}^{+} \propto \left\langle \frac{1}{F_{\nu}} \right\rangle L_{\nu} r^{-2} \langle \epsilon_{\nu}^2 \rangle$$



Neutrino radiation field:



-6.18 ms

Ott+2013

Caltech,
full 3D & GR,
parameterized
neutrino heating

Multi-D
dynamics
essential.

1D explosions
fail.

Much other work:

Hanke+13,

Melson+15ab,

Lentz+15,

Takiwaki+14,

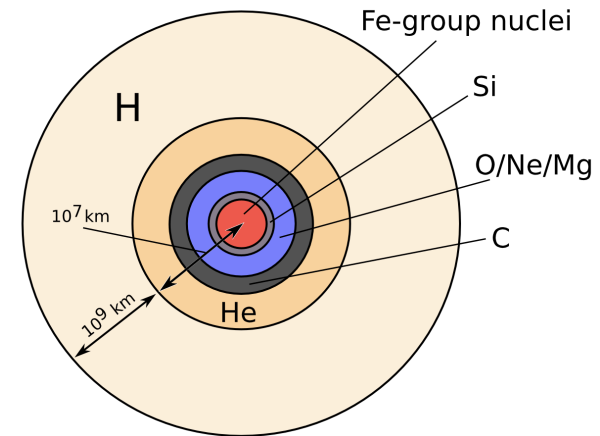
Couch&O'Connor+14

Abdikamalov+14

Probing Stellar Structure

- Neutrino signal in the **accretion phase** is determined by
(1) the accretion rate of the stellar envelope and
(2) the proto-NS core temperature.

$$\xi_M = \frac{M / M_{\odot}}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$



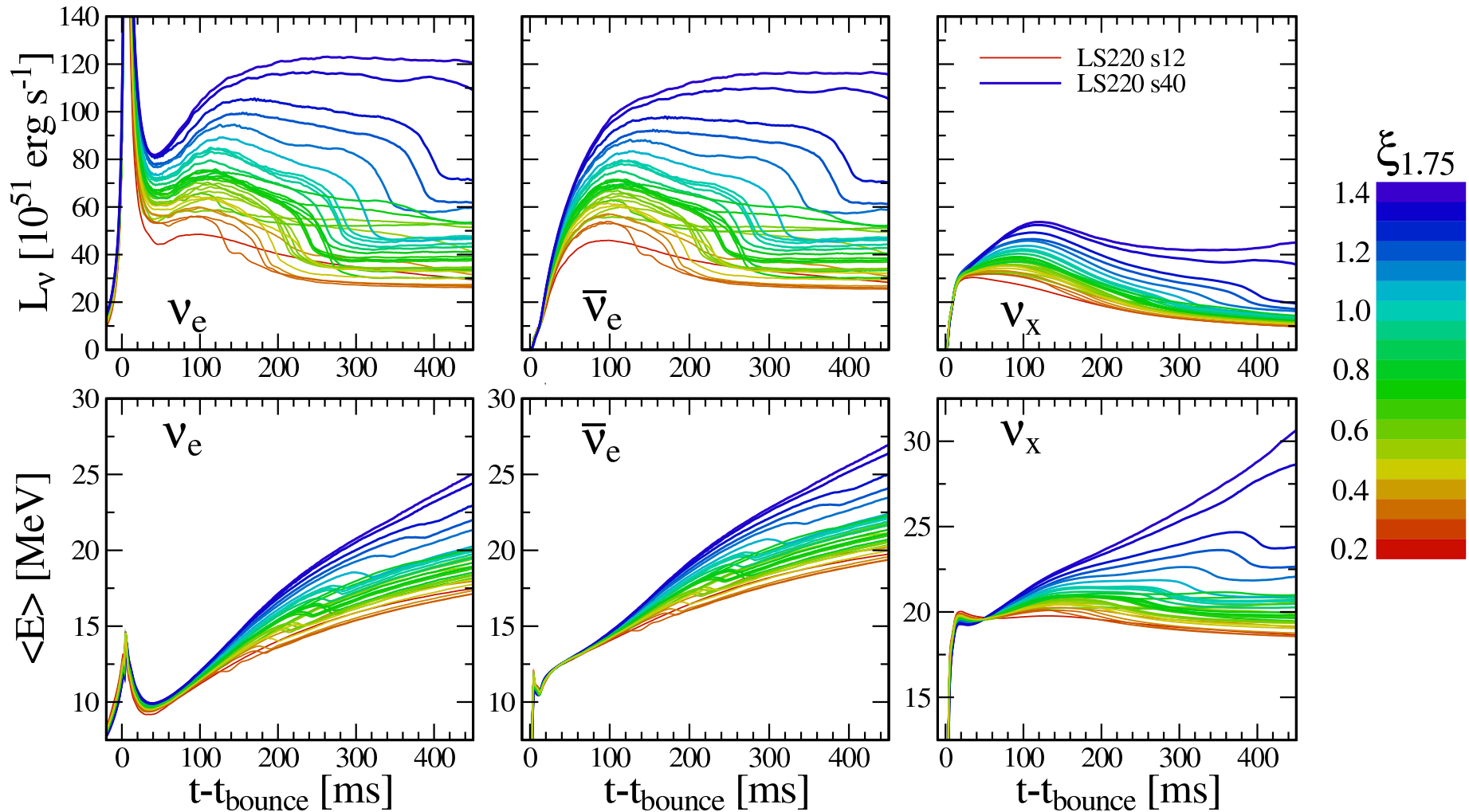
“compactness parameter” (O’Connor & Ott ‘11)

Rule of Thumb:

More compact progenitor

-> higher accretion rate & core temperature

Probing Stellar Structure

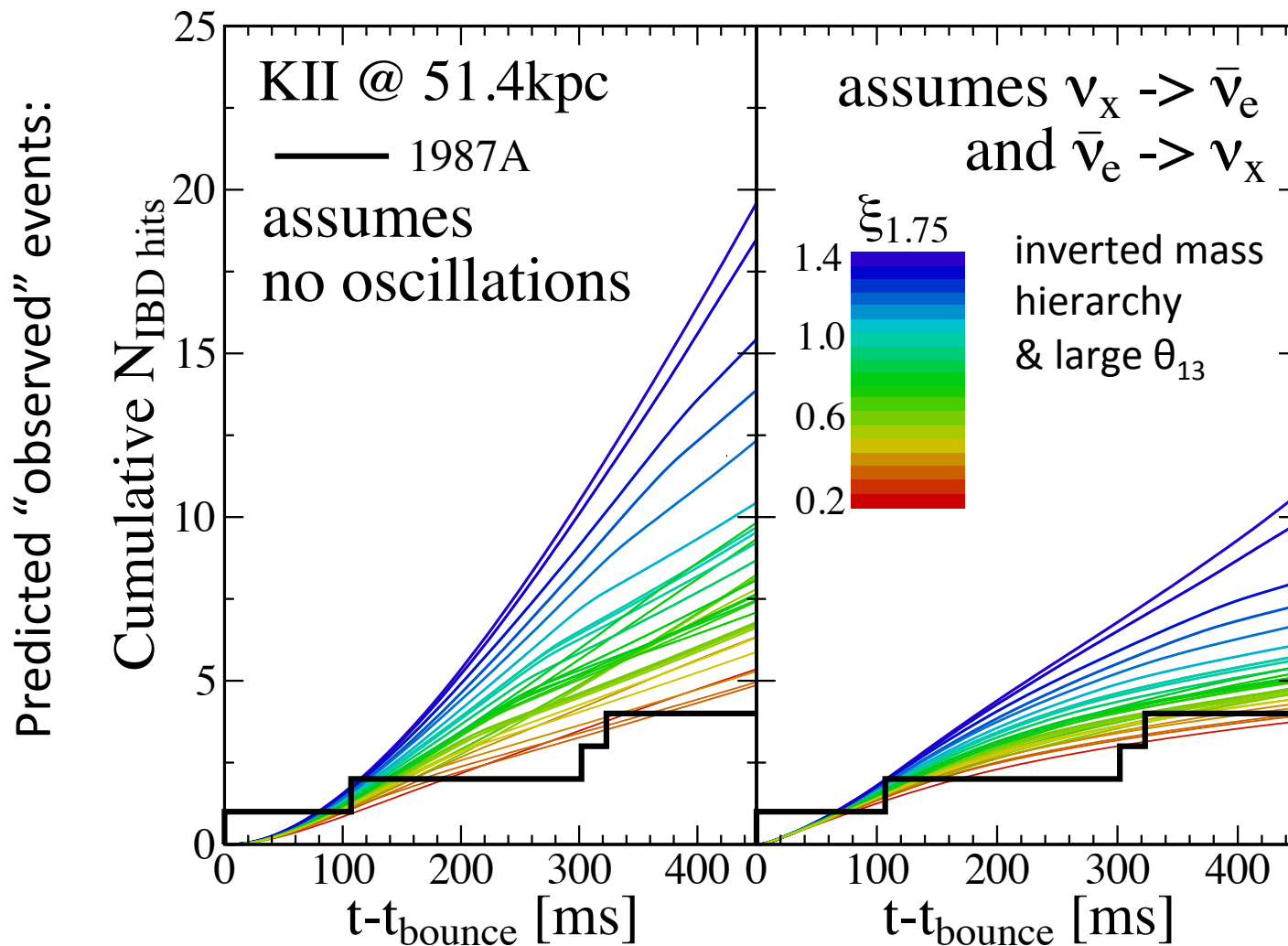


O'Connor & Ott 13

$$\xi_M = \frac{M / M_\odot}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$

Progenitor Structure of SN 1987A?

O'Connor & Ott 2013

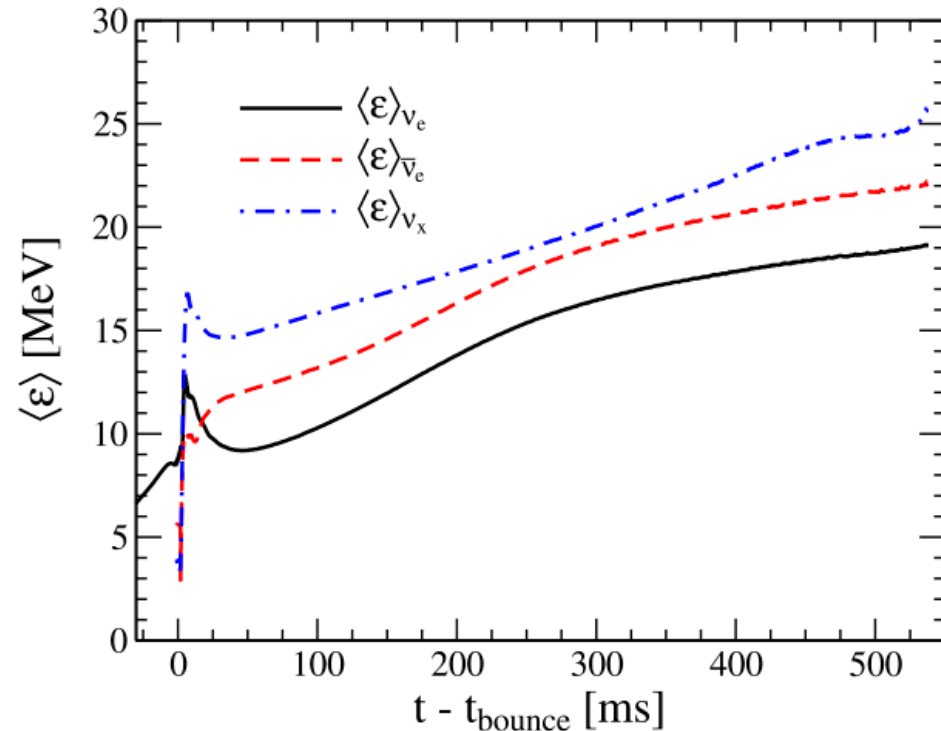
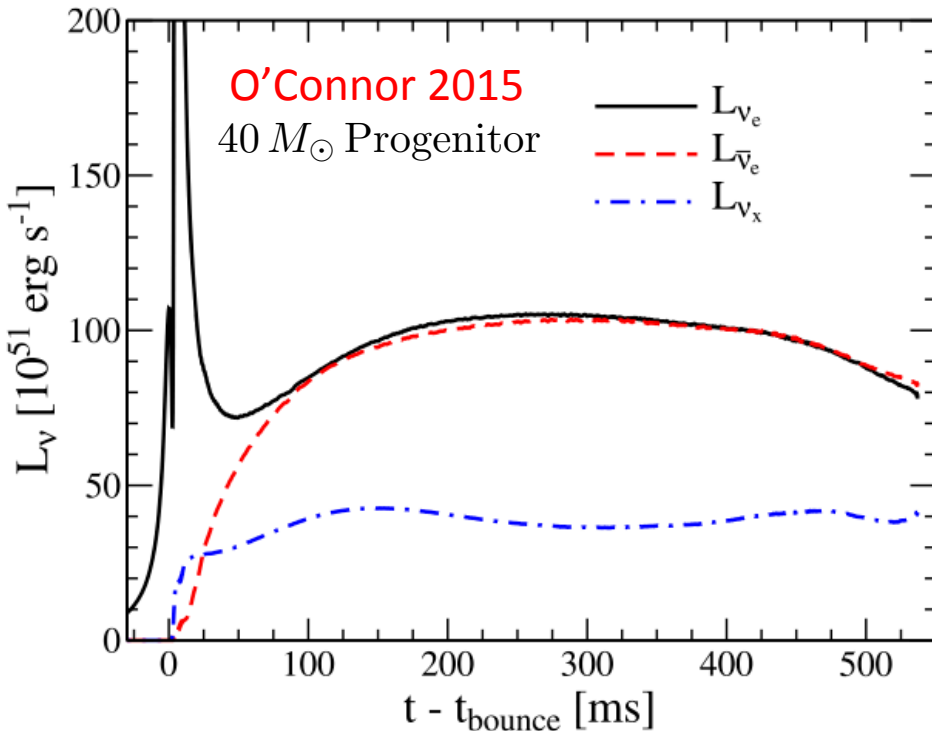


-> Potential Conclusion: **early explosion OR low-compactness progenitor core!**
But: beware of small-number statistics!!

Probing Black Hole Formation

- BH-forming collapse: always proto-NS phase!
- Failure of explosion -> BH formation
- BH formation fraction? Up to 50%, but uncertain.

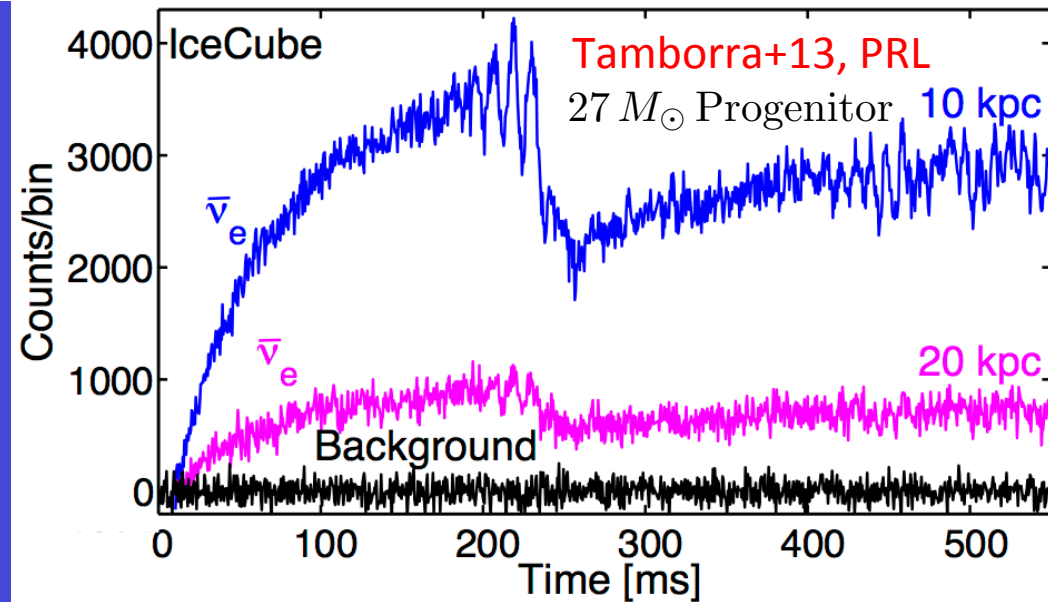
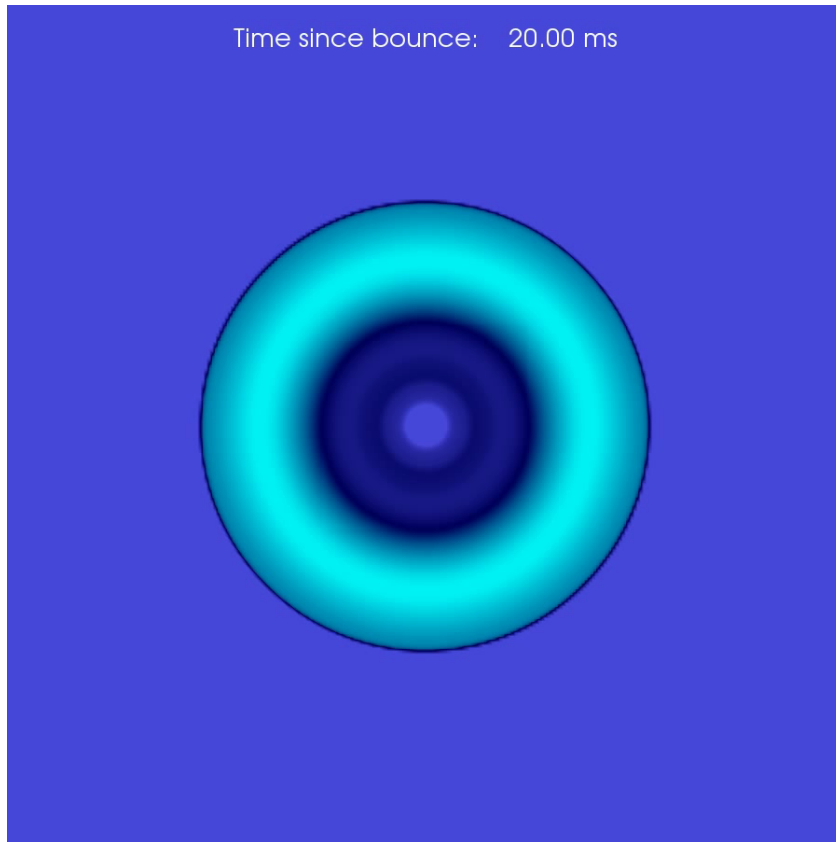
O'Connor & Ott 11,
Kochanek 15,
Clausen+15, Ertl+15



- Signature: high $\langle \epsilon_{\nu} \rangle$, **abrupt termination at $t < 1-3$ s.**
- ν emission set by accretion rate and nuclear equation of state.

Probing Multi-Dimensional Supernova Dynamics

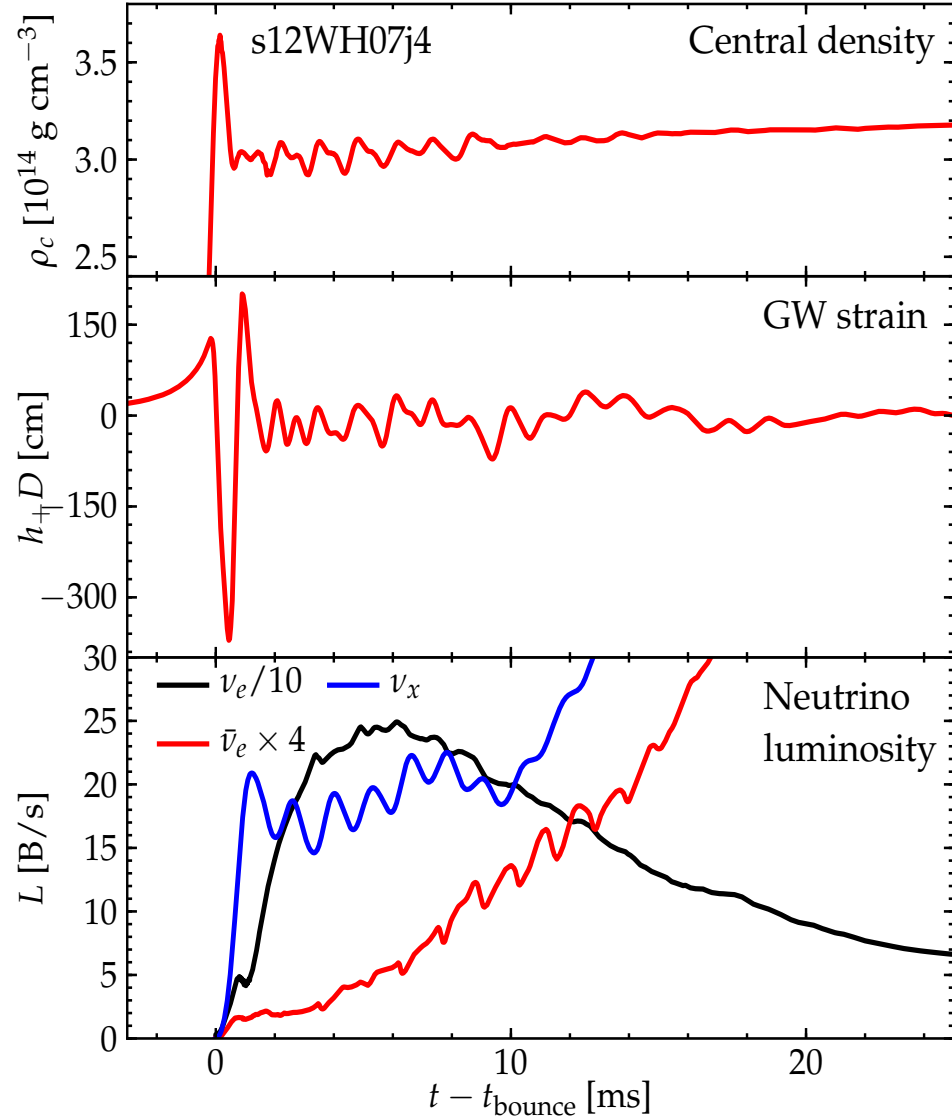
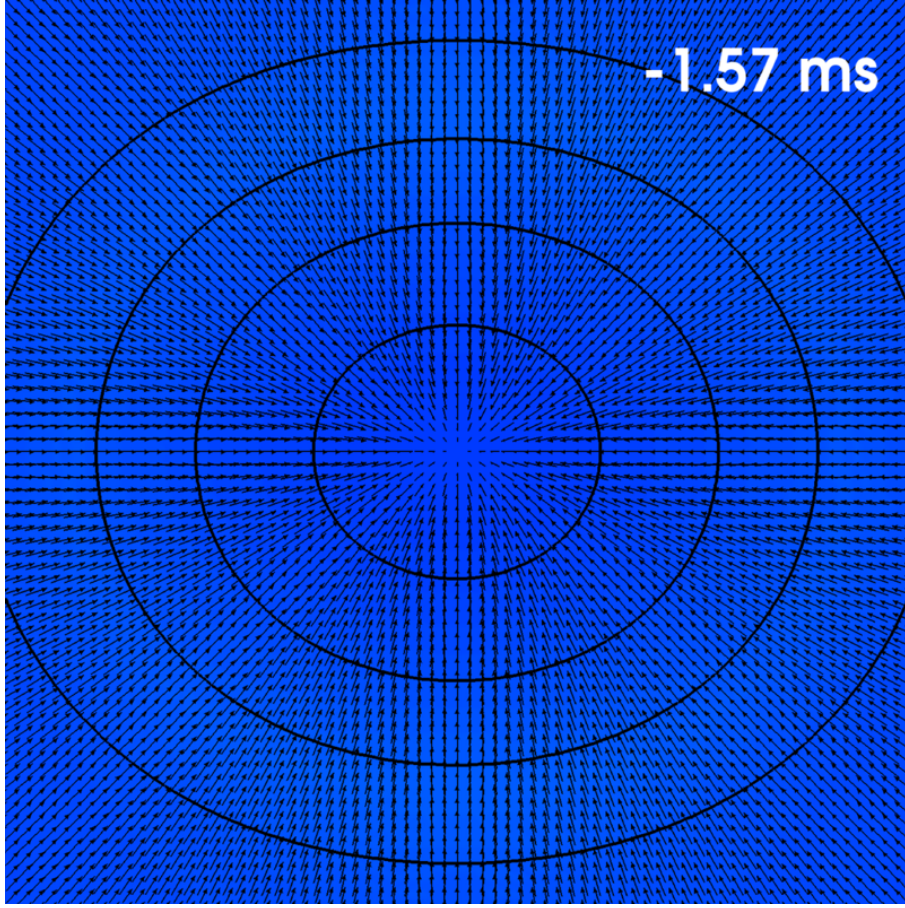
Adikamalov+15



- Neutrino-driven convection & standing accretion shock instability (SASI) *modulate* neutrino signal.

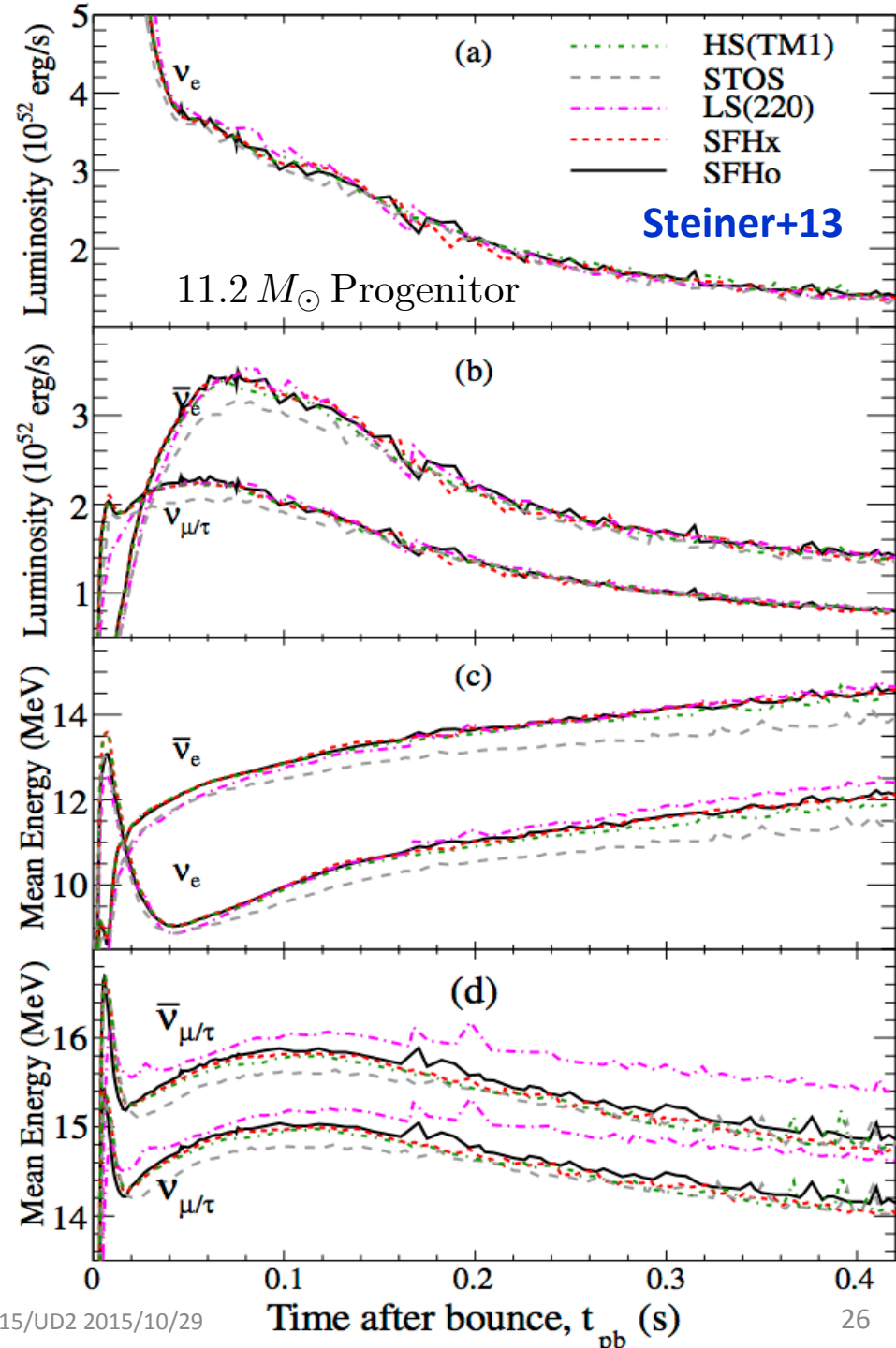
Probing Multi-Dimensional Supernova Dynamics

- **Rotating core collapse:** Correlated neutrino and gravitational-wave signal.
Ott+2012



Probing the Nuclear Equation of State

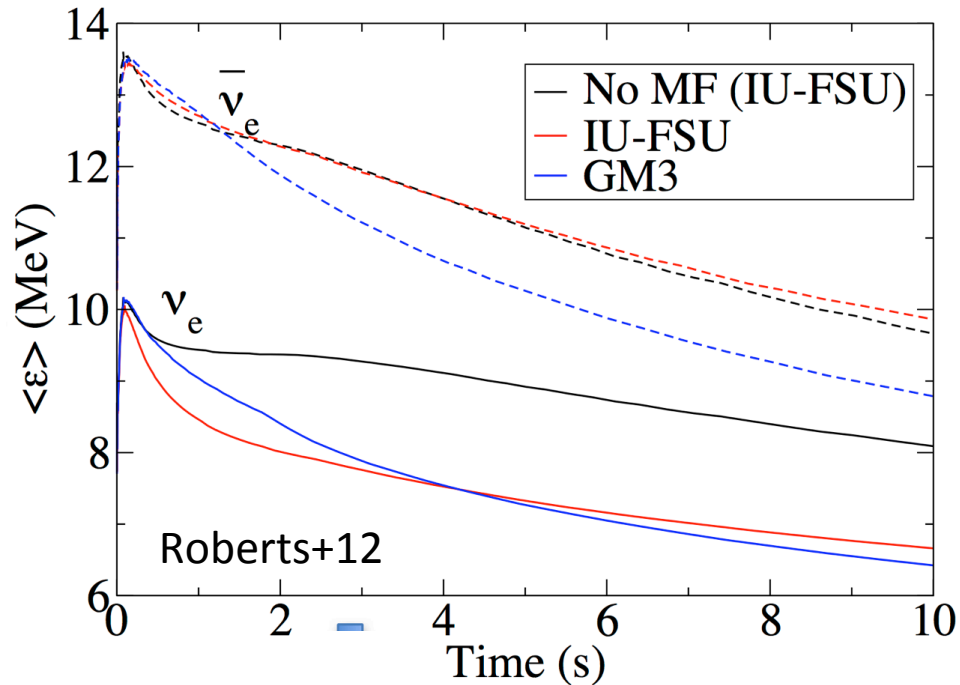
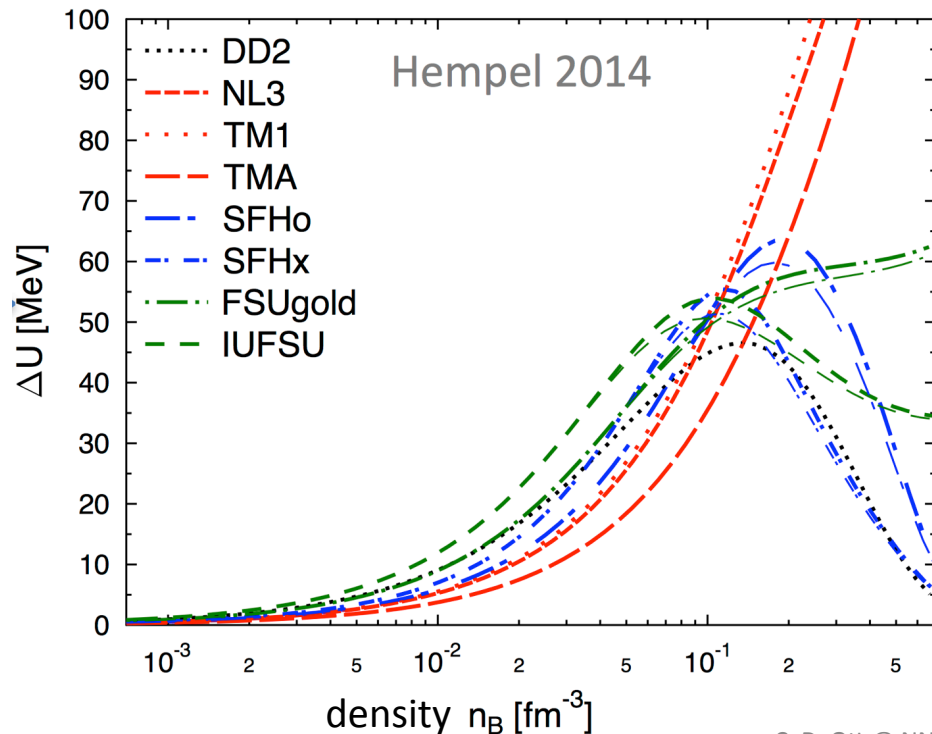
- High-density nuclear EOS uncertain ($\gtrsim 2\text{-}3 \times 10^{14} \text{ g/cm}^3$, $s \sim 1 \text{ kB/baryon}$)
- Limited experimental / astrophysical constraints. (Steiner+13, Lattimer&Steiner 14, Lattimer&Lim 13, Hebeler+13)
- ν Signal: Must disentangle progenitor, time of explosion, and EOS effects (O'Connor & Ott 13).
- Too few studies to make definite statements. (Mirizzi+15, Marek+09, Steiner+13, Hempel+12, Roberts+12)



Probing the Nuclear Equation of State

(Reddy+98, Roberts+12, Horowitz+12, and others)

- Mean-field potentials of nucleons in proto-NS medium:
-> energy shifts ΔU -> influence on charged-current reactions.
- ΔU sensitive to EOS model & parameters (symmetry energy!).
- Shifts of electron neutrino & antineutrino energies & luminosities.
Changes deleptonization rate of proto-NS.



Supernova Neutrino Oscillations

(see, e.g., Mirizzi+15, Duan+10 for reviews)

Three flavors, three mass eigenstates: $|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle$

$$\delta m^2 = m_2^2 - m_1^2 > 0 \quad \text{“solar”}$$

$$\Delta m^2 = \left| m_3^2 - \frac{m_1^2 + m_2^2}{2} \right| \quad \text{“atmospheric”}$$

(sign unknown)

$$\delta m^2 \ll \Delta m^2$$

Two possible mass hierarchies:

$$\text{NH} : m_3 > m_2 > m_1$$

$$\text{IH} : m_2 > m_1 > m_3$$

Mixing parameters (compiled by Mirizzi+15):

$$\Delta m^2 = (2.43_{-0.13}^{+0.12}) \times 10^{-3} \text{ eV}^2 ,$$

$$\delta m^2 = (7.54_{-0.39}^{+0.46}) \times 10^{-5} \text{ eV}^2 ,$$

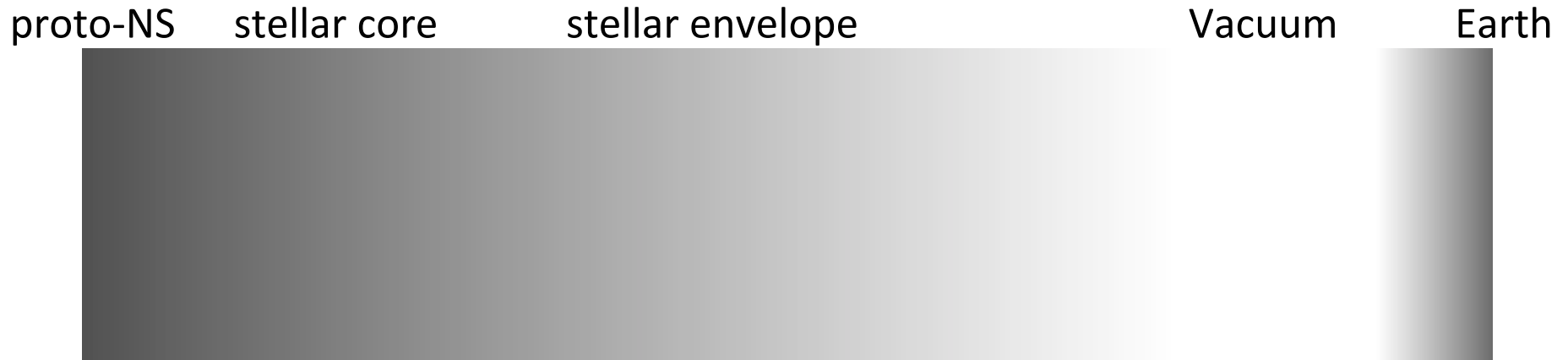
$$\sin^2 \theta_{12} = (3.08_{-0.34}^{+0.17}) \times 10^{-1} ,$$

$$\sin^2 \theta_{23} = (4.37_{-0.44}^{+1.15}) \times 10^{-1} ,$$

$$\sin^2 \theta_{13} = (2.34_{-0.39}^{+0.40}) \times 10^{-2} . \quad \longleftarrow \text{Daya Bay Reno 2012}$$

Supernova Neutrino Oscillations

(see, e.g., Mirizzi+15, Duan+10 for reviews)



Multiple contributors: $H = H^{\text{vac}} + H^{\text{MSW}} + H^{\nu\nu}$

Relevant potentials:

kinetic (vacuum) $\omega_{ij} = \Delta m_{ij}^2 / 2E$

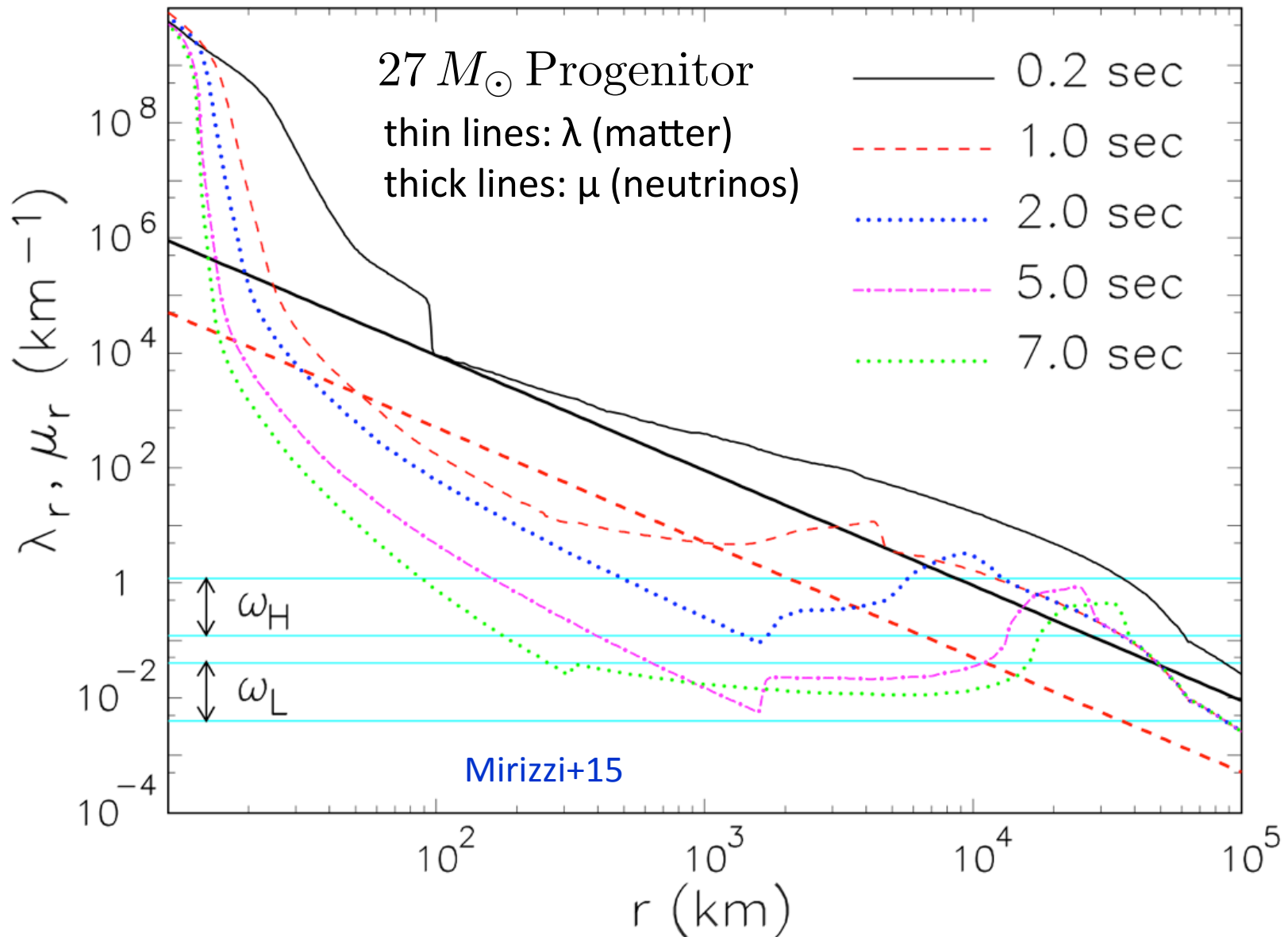
MSW: $\nu - e$ $\lambda = \sqrt{2}G_F n_e \propto R^{-3}$

Self-induced: $\nu - \nu$ $\mu \simeq \sqrt{2}G_F n_\nu^{\text{eff}} \propto R^{-4}$ if $\mu \gg \lambda$

(Pantaleone 92 + much work since 2006 – see Duan+10 & Mirizzi+15)

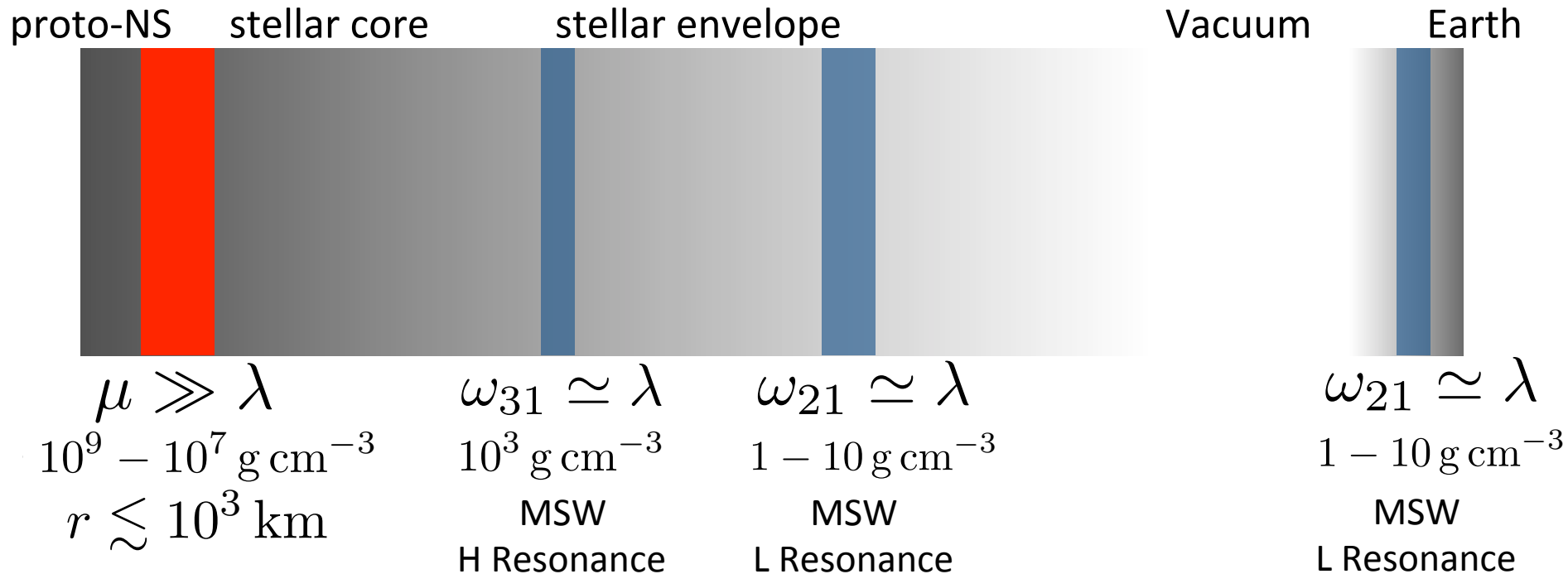
Supernova Neutrino Oscillations

(see, e.g., Mirizzi+15, Duan+10 for reviews)



Supernova Neutrino Oscillations

(see, e.g., Mirizzi+15, Duan+10 for reviews)



Self-Induced

(likely)

**suppressed in
accretion phase**

(figure inspired by C. Lunardini)

SN ν Oscillations: Simplest Scenario

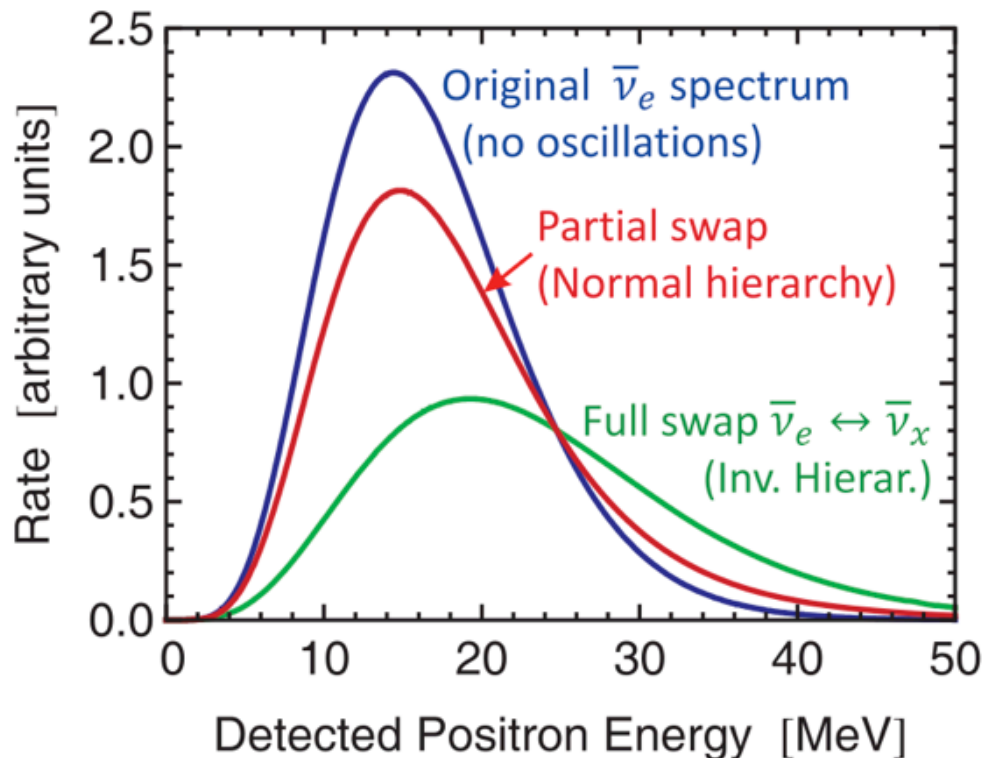
(see, e.g., Mirizzi+15, Duan+10 for reviews)

- No self-induced oscillations, no Earth effects, adiabatic evolution.

Survival probabilities:

Normal Hierarchy: $(P_{ee}, \bar{P}_{ee}) = (0, \cos^2 \theta_{12})$

Inverted Hierarchy: $(P_{ee}, \bar{P}_{ee}) = (\sin^2 \theta_{12}, 0)$



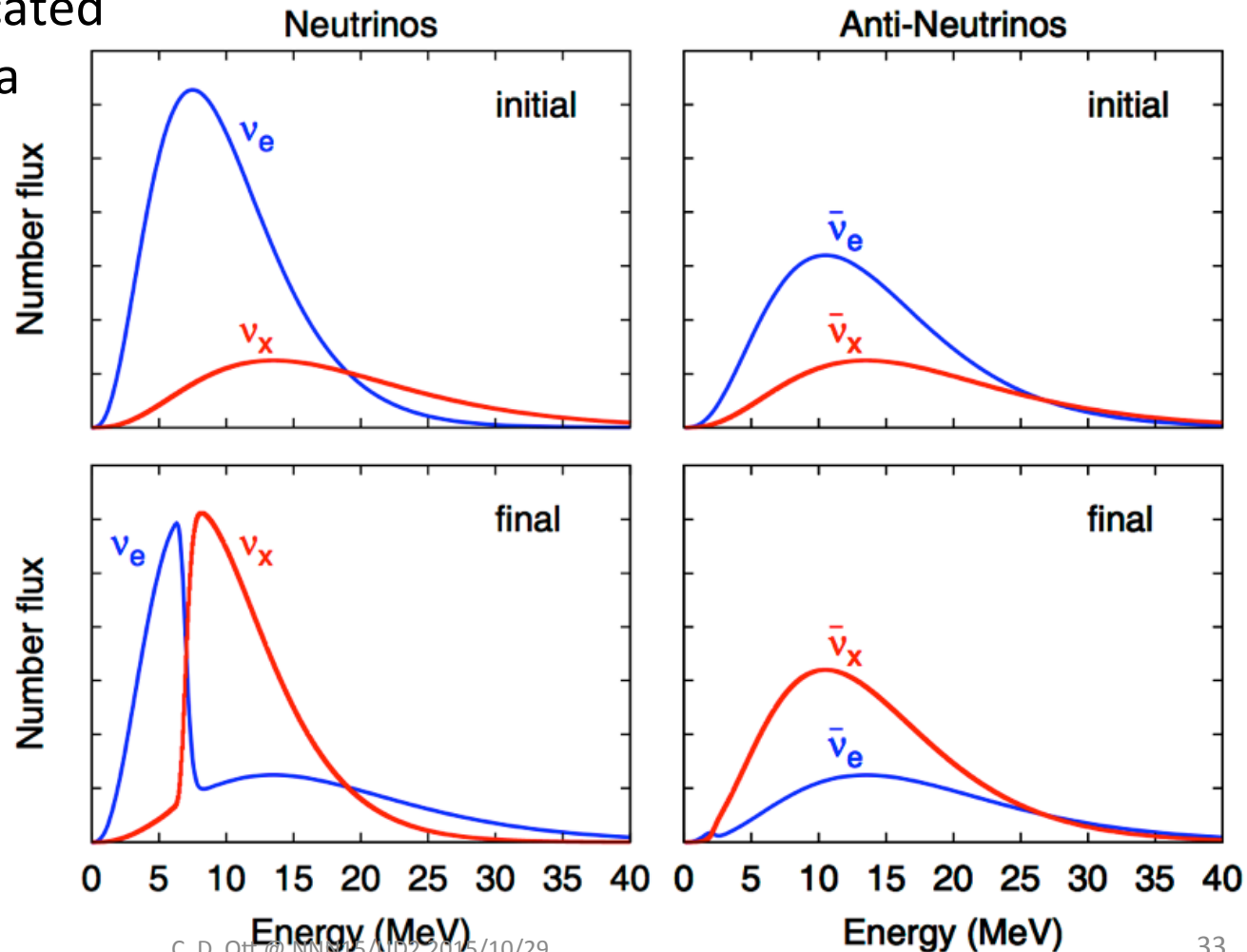
Complications:
Shocks, turbulence

Raffelt 12

Self-Induced “Collective” Oscillations:

(see, e.g., Mirizzi+15, Duan+10 for reviews)

- **Inverted hierarchy:** spectral splits & swaps
- Provided: no matter suppression ($\mu \gg \lambda$), non-zero flux asymmetry.
- Various other complicated oscillation phenomena possible (see Mirizzi+15).

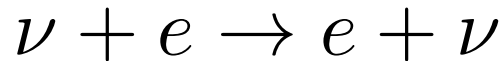


Inferring the Neutrino Mass Hierarchy

(see, e.g., Mirizzi+15, Raffelt 12 for reviews)

- **Normal Hierarchy:** $(P_{ee}, \bar{P}_{ee}) = (0, \cos^2 \theta_{12})$
-> Deleptonization burst in ν_e goes away!

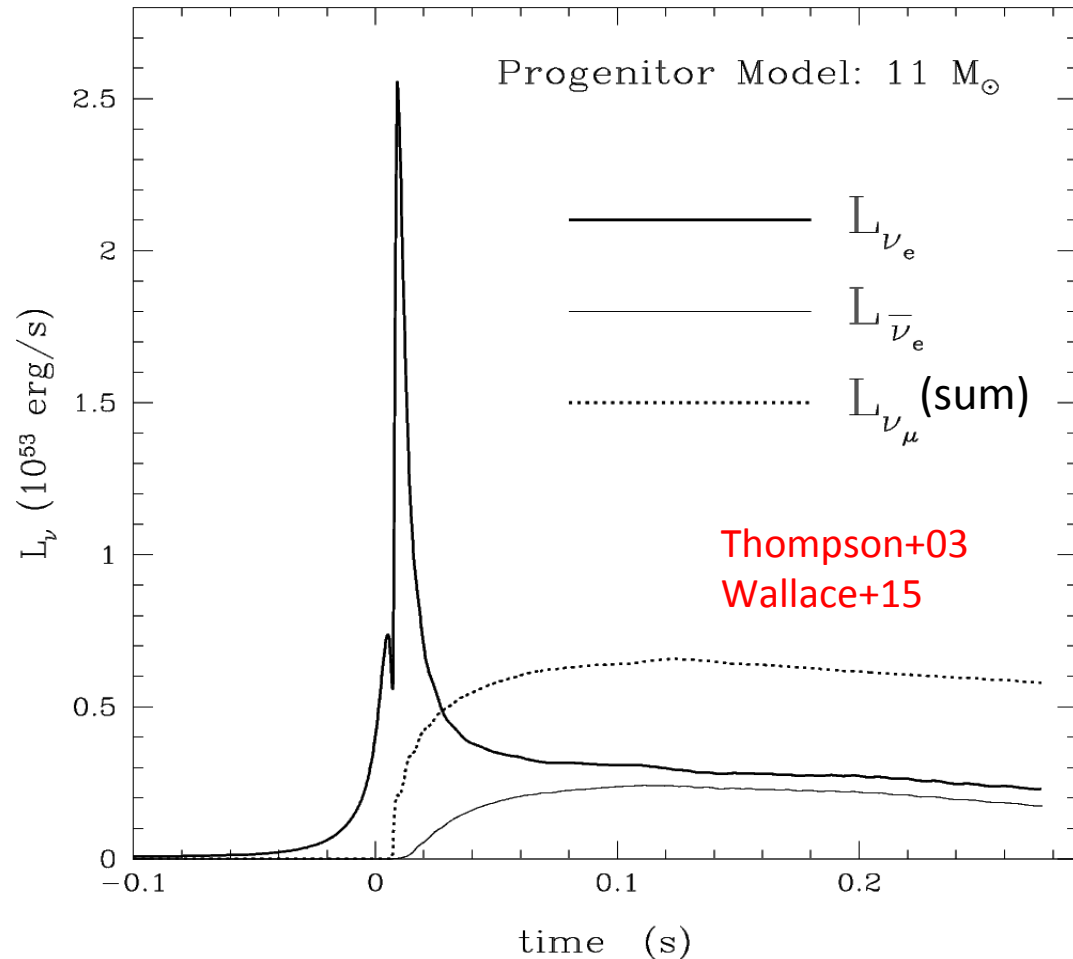
- In most detectors:
 ν_e detectable via



but at low rates.

In NH: factor ~ 7 reduction
of early e-scattering signal.

- Ideal: detector sensitive
to ν_e (liquid argon, lead, iron)



Inferring the Neutrino Mass Hierarchy

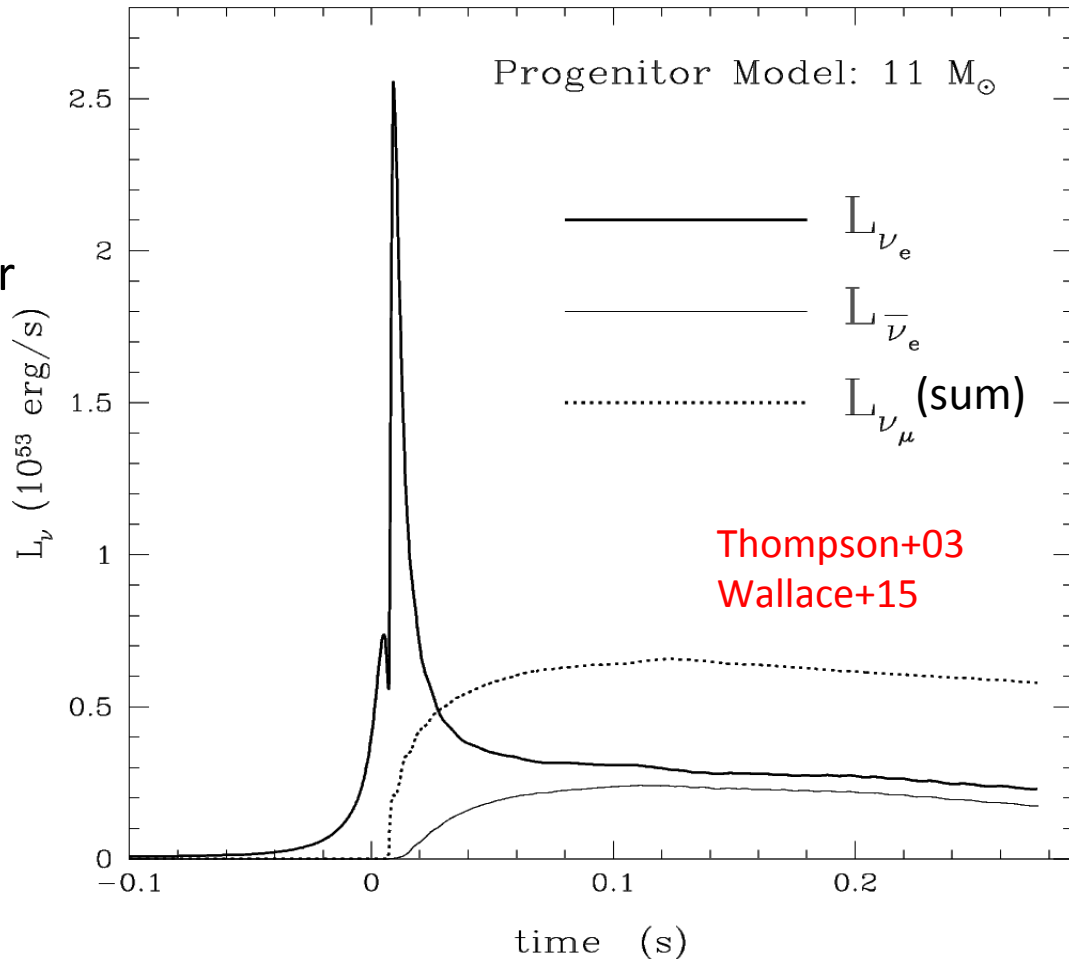
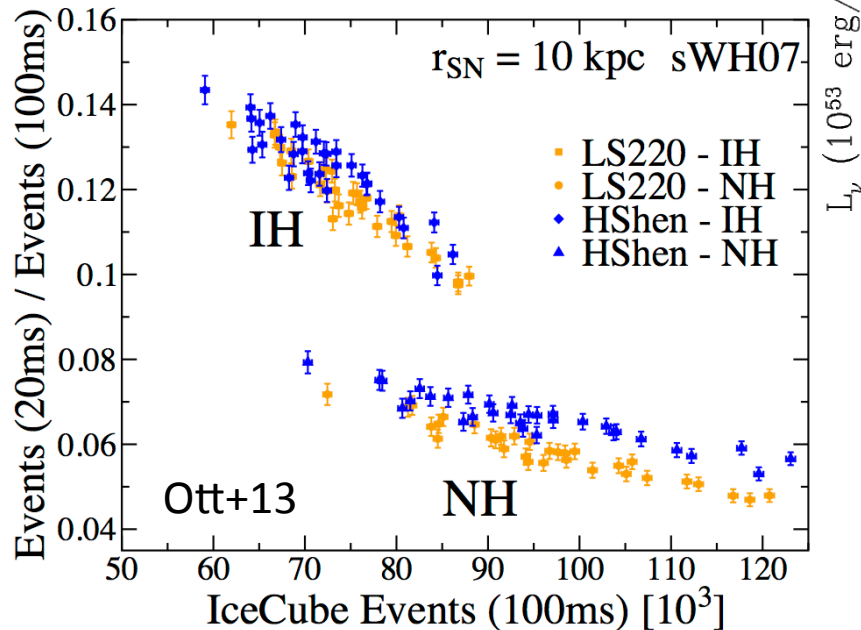
(see, e.g., Mirizzi+15, Raffelt 12 for reviews)

- Inverted Hierarchy:** $(P_{ee}, \bar{P}_{ee}) = (\sin^2 \theta_{12}, 0)$
 $\rightarrow \bar{\nu}_e \rightarrow \bar{\nu}_x$

- Exploit difference in signal rise times.**

Serpico+12, Ott+13

Independent of EOS and progenitor



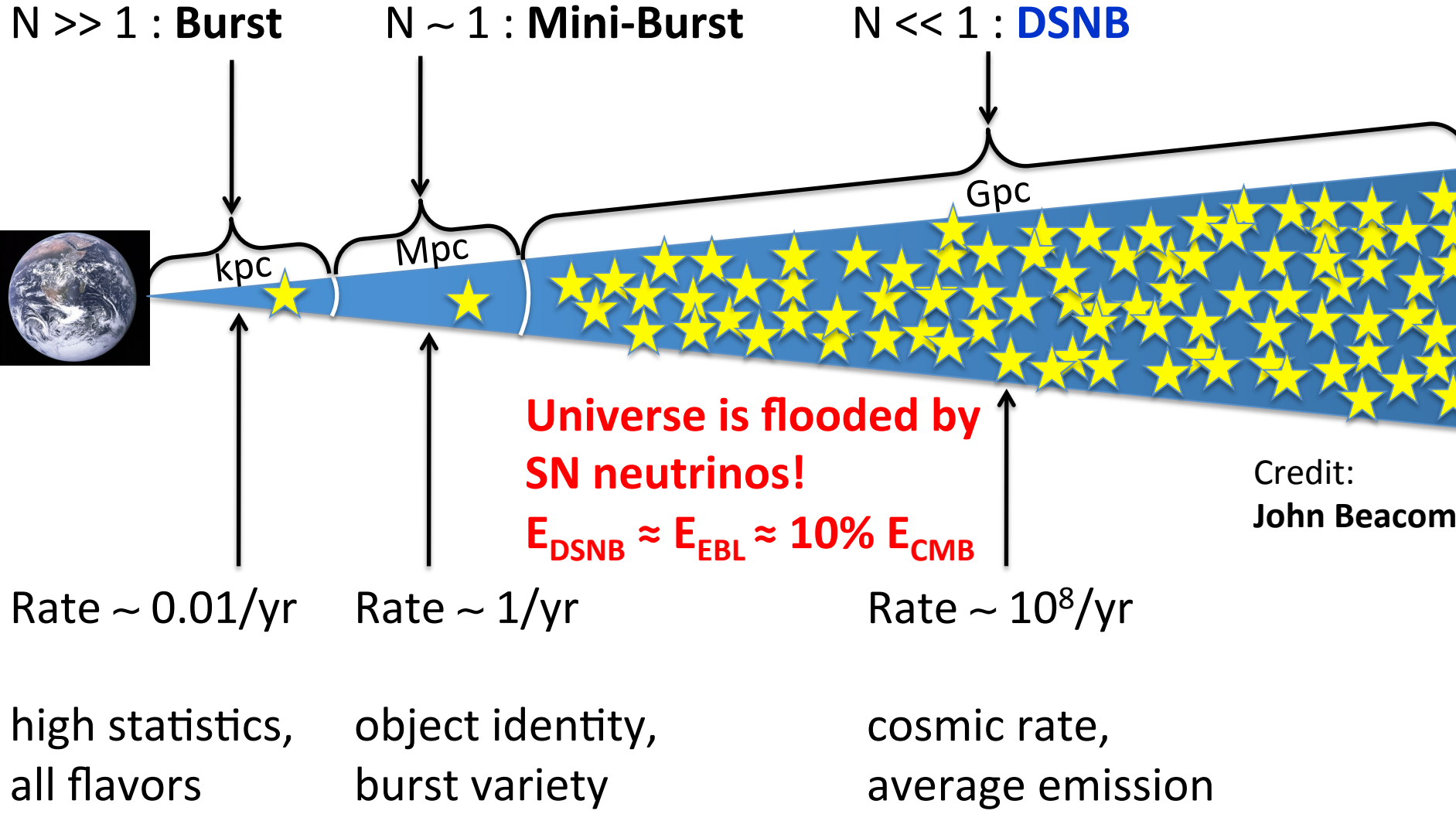
Supernova Neutrinos without the annoying wait!

(Mark Vagins)

Diffuse Supernovae Neutrino Background (DSNB)

The Diffuse Supernova Neutrino Background (DSNB)

Reviews: Beacom ARNP 2010 , Mirizzi+15



Credit: John Beacom

The Diffuse Supernova Neutrino Background (DSNB)

Reviews: Beacom ARNP 2010 , Mirizzi+15

Signal rate spectrum in measured energy

SN Rate (astronomy, known)

$$\frac{dN_e}{dE_e}(E_e) = N_p \sigma(E_\nu) \int_0^\infty \left[(1+z) \varphi[E_\nu(1+z)] \right] \left[R_{SN}(z) \right] \left[\left| \frac{c dt}{dz} \right| dz \right]$$

detector capabilities (known)

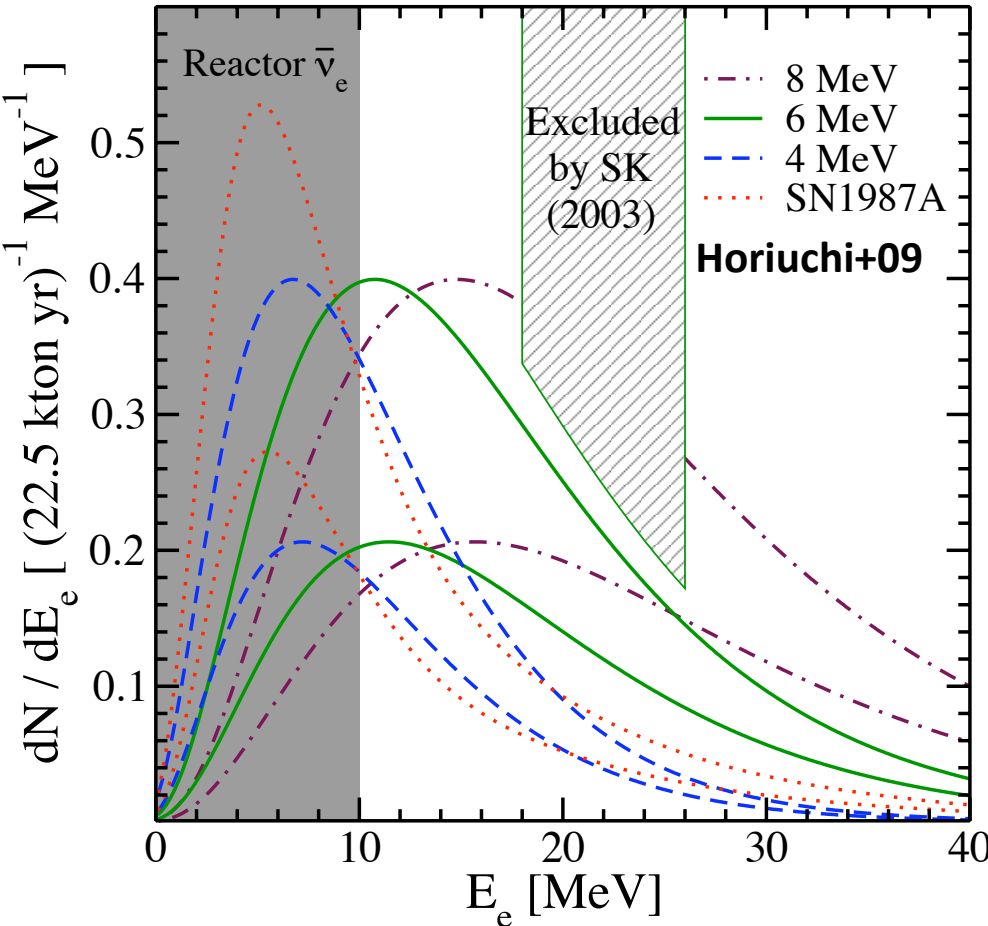
avg. SN ν spectrum (want!)

Cosmology (known)

- DSNB ν spectrum: redshifted *average* SN ν spectrum
- Will reveal relevance of “normal” core-collapse SNe vs. “special” events (BH formation, low-mass progenitors, supermassive stars...)

The Diffuse Supernova Neutrino Background (DSNB)

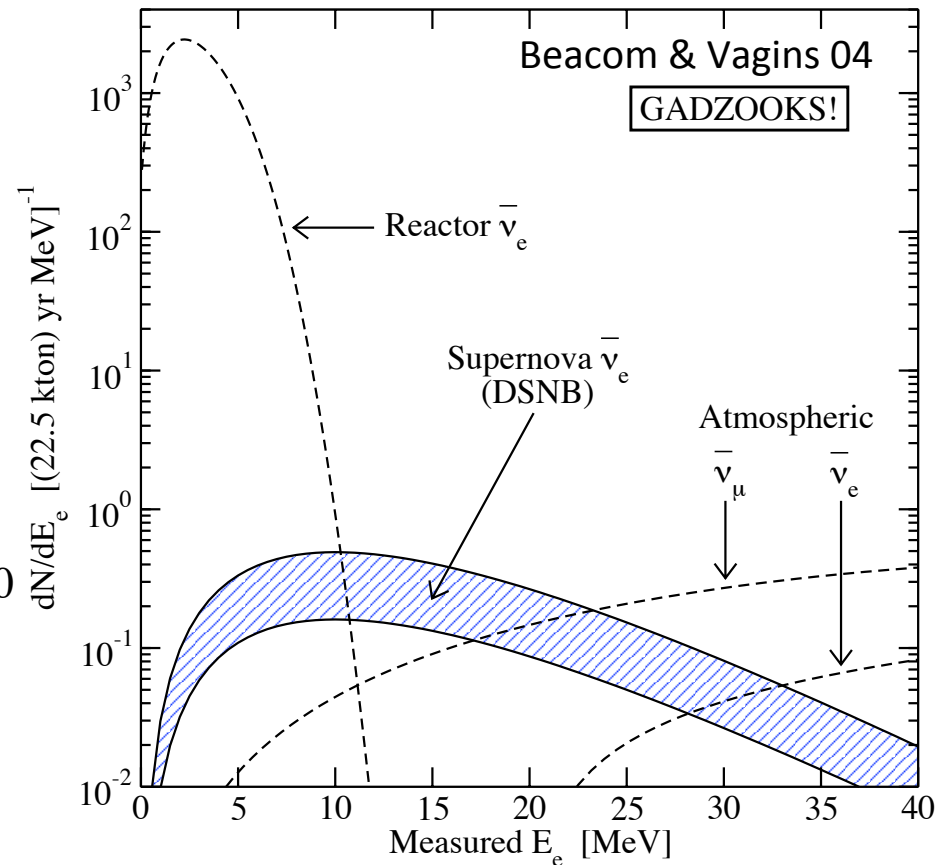
Reviews: Beacom ARNP 2010 , Mirizzi+15



Bands indicate uncertainty from cosmic supernova rate

Must reduce background!

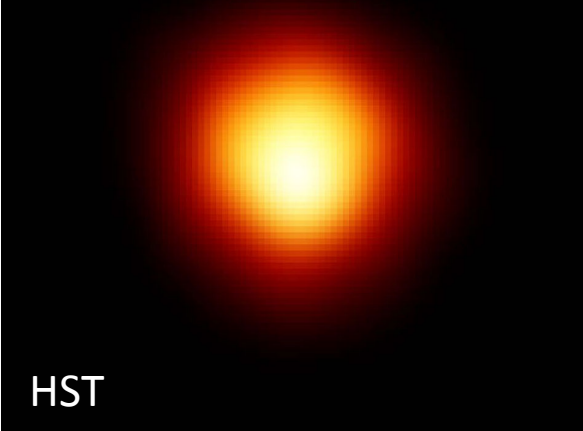
- > Use gadolinium to tag IBD events with 8 MeV photon cascade.
- > M. Vagins's Wednesday talk.



Concluding Remarks

**The next galactic
Core-Collapse Supernova
has already exploded!**

Betelgeuse, $D \sim 200$ pc
 10^7 events in SK



- Core-collapse SN neutrinos are powerful messengers and probes of astrophysics and fundamental physics.
- Supernova theorists & phenomenologists have got work to do! Not yet “ready” for the next galactic core-collapse supernova.
- Gadolinium-doped SuperK 2018/19:
[DSNB](#), pre-SN neutrinos, + much more
- More details in recent reviews on Supernova Neutrinos:
Mirizzi+15, arXiv:1508.00785 (broad)
Vissani 15, arXiv:1409.4710 (SN 1987A)
Scholberg 12, arXiv:1205.6003 (detection)