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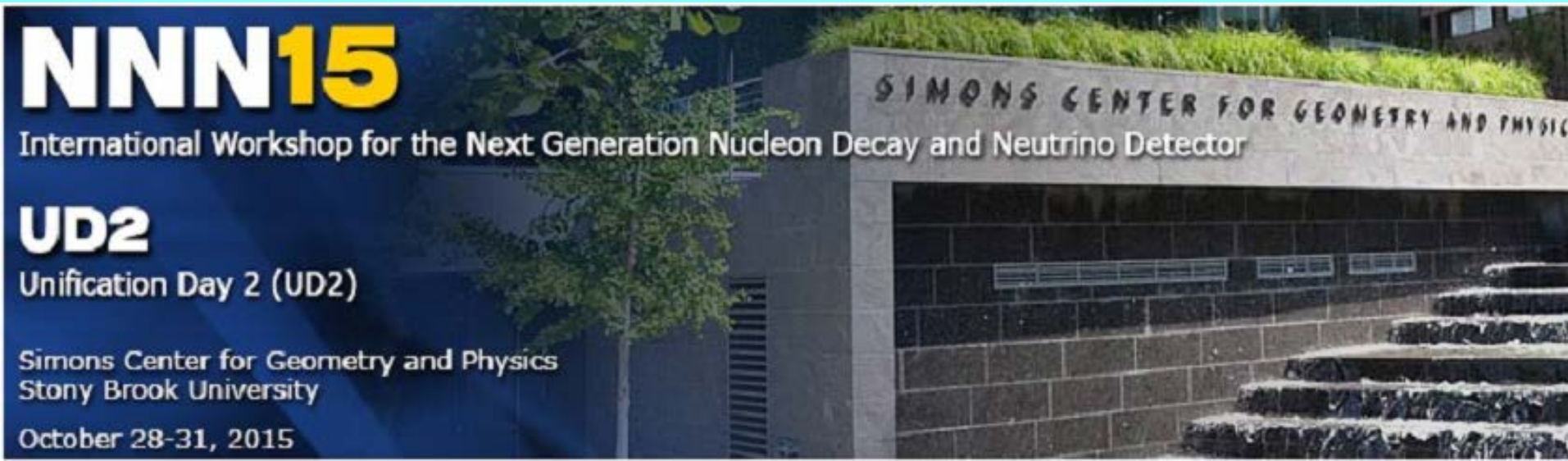
(Ilia State Univ, Georgia)

Aspects of a Realistic SUSY SO(10)

with K.S. Babu and J.C. Pati

JHEP 1006 (2010) 084

1511.???? [hep-ph]



Outline

- Some motivations for SUSY GUTs & Puzzles
- Realistic SUSY SO(10) Model →
 - Simple GUT breaking, -TD splitting
 - Calculable thresholds, improving $\alpha_3(M_Z)$
 - Correlation between d=5 & d=6 decays
 - Consistent & well defined fermion sector →
 - Upper bounds on lifetimes
- Summary

SUSY GUT →

address questions & puzzles of SM/MSSM

- Charge Quantization, Unification of multiplets $\subset 16$ of $SO(10)$

In $SO(10)$: $(q, u^c, e^c, d^c, l, \nu_R) = 16$

→ Charge Quantization - $\frac{Y(q)}{Y(u^c)} = -\frac{1}{4}, \frac{Y(q)}{Y(e^c)} = \frac{1}{6}, \dots$ ▲

And interesting asymptotic relations [in $SO(10)$]:

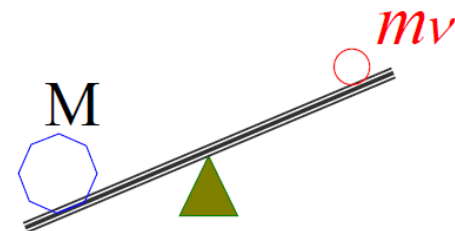
$$\lambda_t = \lambda_b = \lambda_\tau, \quad m_{\nu D} = m_t \dots$$

- Neutrino Masses : ν_R of $SO(10)$ → see-saw

→ Neutrino masses, Oscillations

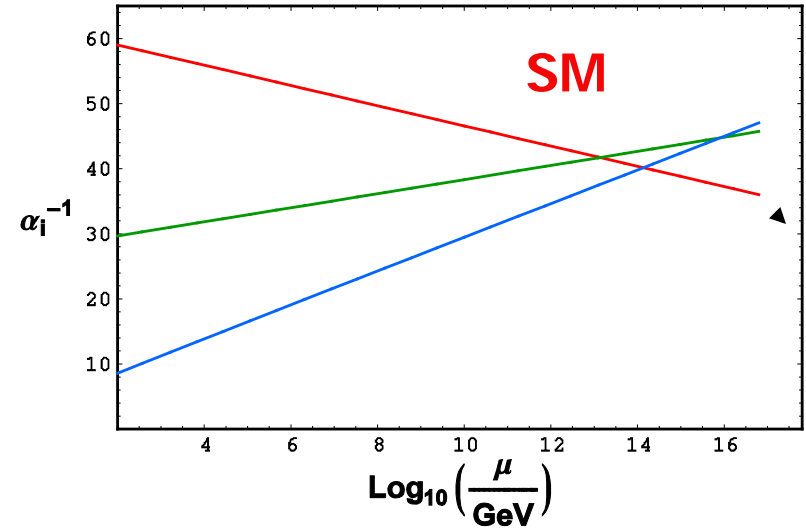
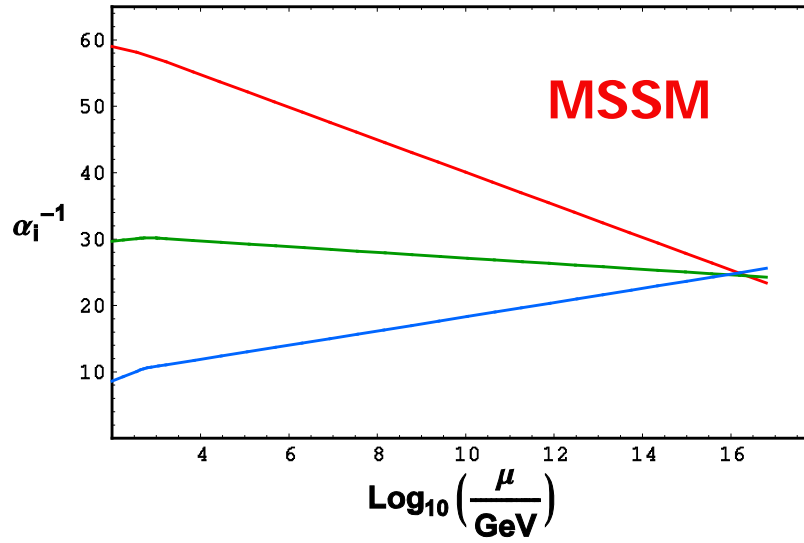
→ L-violation → leptogenesis

$$m_\nu \sim \frac{\langle H \rangle^2}{M_R}$$



SUSY GUT \rightarrow

- Successful Coupling Unification



- Stab. Hierarchy (Light Higgs) \leftarrow low SUSY scale
- Dark Matter Candidate LSP (with R-parity)

GUT \rightarrow Baryon Asymmetry

- Baryogenesis – GUT baryogenesis

K.S. Babu, R.N. Mohapatra
PRL 109 (2012) 091803
PR D86 (2012) 035018

via (see-saw) Leptogenesis

M. Fukugita, T. Yanagida
PLB 174 (1986) 45

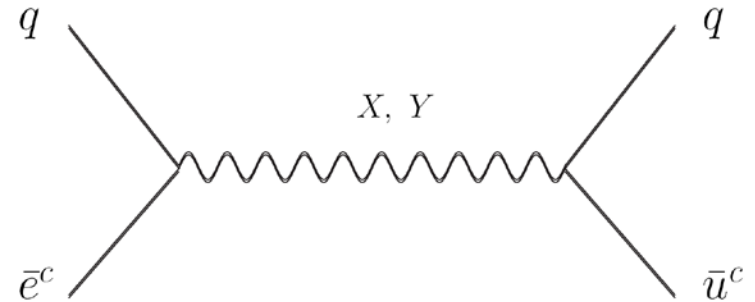
- Prediction: B-violation \rightarrow proton decay

GUT Main Prediction:

Baryon Number Violation: $\Delta B \neq 0 \rightarrow$ Proton Decay

- Gauge Mediated d=6 Decay**

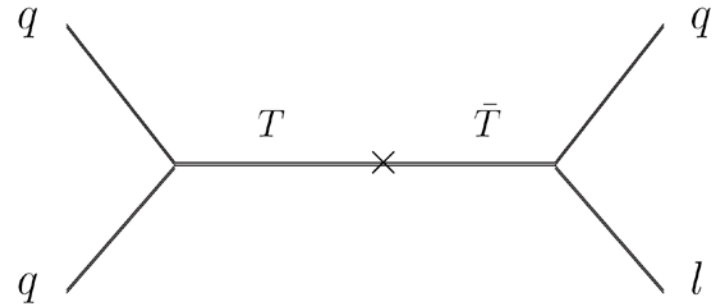
$X, Y \subset \text{GUT/SM}$



- In SUSY: new d=5 Decays**

$T, \bar{T} \subset \text{"Unified Higgses"}$:

$H(5)=(h_u, T)$, $\bar{H}(\bar{5})=(h_d, \bar{T})$



Too Fast in SU(5)

SUSY GUT puzzles:

- **GUT Symmetry Breaking? (flat directions/goldstones)**
- **Doublet-Triplet Splitting**
- **How/why μ -Term ~ 100 GeV ? (harder in GUT)**
- **Proton Stability (especially $d=5$ decay)**
- **Fermion Masses & Mixings (flavor problem)**
- **SUSY FCNC (sflavor problem)**
- **Consistency of the Coupling Unification**
 - **Calculability of GUT Threshold Corrections**
 - **Perturbativity all the way up to M_{Planck}**

All these issues are closely related and

**Unless *Unified* solution is found,
none of the predictions can be trusted..**

Realistic SUSY SO(10)

SO(10) ->
Solution of DT hierarchy via missing VEV

Dimopoulos, Wilczek'81
Babu, Barr'93
Barr, Raby'97

Missing VEV Solution:

$$\langle A \rangle = i\sigma_2 \otimes \text{Diag}(a, a, a, 0, 0)$$

→ Massless Higgs doublets
for unbroken SUSY

$$\langle A(45) \rangle \sim \begin{pmatrix} M_{\text{GUT}} & & & & \\ & M_{\text{GUT}} & & & \\ & & M_{\text{GUT}} & & \\ & & & 0 & \\ & 0 & & & m_{\text{susy}} \\ & & & & & m_{\text{susy}} \end{pmatrix}$$

μ -Term Generation
after SUSY breaking

$$H A H' \implies \mu \sim m_{\text{susy}}$$

Model: Realistic SUSY SO(10)

- 'Higgs' System: $H(10) \supset h_u+h_d + T_H+\bar{T}_H \longleftrightarrow 16_3 16_3 H$
and $H'(10)$ for HAH' coupling

$$A(45)+C(16)+\bar{C}(16^*) \text{ for } SO(10) \xrightarrow{BR} SU(3)\times SU(2)\times U(1)$$

additional plets: $C'(16)+\bar{C}'(16^*)$ and $Z(1)+S(1)$

(like by: Barr, Raby'97)

Insuring desirable sym. br. & **NO** flat dir./pseudo-Golds.

- Additional Symmetries:

$$U(1)_A \times Z_2$$

Symmetry Breaking, All order DT splitting,
mu -term, Nucleon stability (predictions)
Realistic & simple fermion pattern

Some More Studies of Missing VEV Mechanism

Several 45's →
Large GUT thresholds

Babu, Barr '93
Chacko, Mohapatra '99

Economical Higgs system
With $45+16+16^*+10,10'$
→ Small GUT thresholds

Babu, Pati, Wilczek '99
Barr, Raby '97

Anomalous $U(1)$ → all order
Hierarchy, But Several 45's
→ Large GUT thresholds

Berezhiani, Tavartkiladze '97
Maekawa '01
Maekawa, Yamashita '02

Other possibility
(interesting, but not widely discussed):
Missing Partner mechanism in $SO(10)$ GUT

Babu, Gogoladze, Tavartkiladze, PLB 650 (2007) 49;

Babu, Gogoladze, Nath, Syed, PRD85 (2012) 075002

Interesting, but requires high representations.

→ Large GUT thresholds...

(not done complete analysis yet..)

\$U(1)_{A \times Z_2}\$ Transformations:

	\$A(45)\$	\$H(10)\$	\$H'(10)\$	\$C(16)\$	\$\bar{C}(\overline{16})\$	\$Z\$	\$S\$	\$C'(16)\$	\$\bar{C}'(\overline{16})\$	\$16_{1,2}\$	\$16_3\$
\$U(1)\$	\$0\$	\$1\$	\$-1\$	\$\frac{k+4}{2k}\$	\$-\frac{1}{2}\$	\$\frac{2}{k}\$	\$\frac{2}{k}\$	\$\frac{k-4}{2k}\$	\$-\frac{k+8}{2k}\$	\$-1\$	\$-\frac{1}{2}\$
\$Z_2\$	\$-\$	\$+\$	\$-\$	\$+\$	\$+\$	\$-\$	\$+\$	\$+\$	\$+\$	\$P_{1,2}\$	\$+\$

`Scalar' Superpotential (fixed):

$$W(A) = M_A \text{tr} A^2 + \frac{\lambda_A}{M_*} (\text{tr} A^2)^2 + \frac{\lambda'_A}{M_*} \text{tr} A^4 ,$$

$$W(A, C, C') = C \left(\frac{a_1}{M_*} Z A + \frac{b_1}{M_*} C \bar{C} + c_1 S \right) \bar{C}' + C' \left(\frac{a_2}{M_*} Z A + \frac{b_2}{M_*} C \bar{C} + c_2 S \right) \bar{C}$$

$$W(DT) = \lambda_1 H A H' + \lambda_{H'} \frac{(S^k, Z^k)}{M_*^{k-1}} (H')^2 + \lambda_2 H \bar{C} \bar{C} + \frac{\lambda_3}{M_*} A H' C C' .$$

Missing VEV Solution:

$$\langle A \rangle = i\sigma_2 \otimes \text{Diag} (a, a, a, 0, 0)$$

(incl. FI-term)

Fixed VEVs:

$$\langle A \rangle, \langle C \rangle, \langle \bar{C} \rangle, \langle Z \rangle, \langle S \rangle \neq 0$$

Symmetry breaking and VEVs:

Anom. D-term: $V_D = g_A^2 (\xi + \Sigma q_\phi |\phi|^2)^2$

$$c^2 + |z|^2 + |s|^2 = -\frac{k}{2}\xi$$

At least one VEV is fixed. This trigger all remaining VEVs:

F=0 directions: $-\frac{3a_1}{M_*}za + \frac{b_1}{M_*}c^2 + c_1s = 0$, $-\frac{3a_2}{M_*}za + \frac{b_2}{M_*}c^2 + c_2s = 0$



$c, s, z \neq 0$

No flat directions, no pseudo-goldstones
Only Light MSSM states including doublets are massless

DT Splitting to All Orders

$$M_{D,T} = \begin{matrix} \bar{5}_H \\ \bar{5}_{H'} \\ \bar{5}_C \\ \bar{5}_{C'} \end{matrix} \begin{pmatrix} 5_H & 5_{H'} & 5_{\bar{C}} & 5_{\bar{C}'} \\ 0 & \eta_{D,T} \lambda_1 a & \lambda_2 c & 0 \\ -\eta_{D,T} \lambda_1 a & M_{H'} & 0 & 0 \\ 0 & 0 & 0 & \kappa_{D,T} Y_1 \\ 0 & Y_{D,T} & \kappa_{D,T} Y_2 & M_{C'} \end{pmatrix}$$

with $\eta_D = 0$, $\eta_T = 1$, $\kappa_D = 3$, $\kappa_T = 2$.

\Rightarrow massless doublet pair $M(h_u, h_d)=0$,
and *all* $M(\text{triplets})$ -heavy

In SUSY limit $\mu - \text{term} = 0$

After SUSY breaking $\mu \sim m_{\text{susy}}$

μ -Term Generation after SUSY breaking

Including soft A & B-terms, VEVs are shifted by $\sim m_{\text{susy}}$

$$\langle A(45) \rangle \sim \begin{pmatrix} M_{\text{GUT}} & & & & & \\ & M_{\text{GUT}} & & & & \\ & & M_{\text{GUT}} & & & \\ & & & m_{\text{susy}} & & \\ & & & & m_{\text{susy}} & \\ & & & & & m_{\text{susy}} \end{pmatrix}$$
$$H A H' \implies \mu \sim m_{\text{susy}}$$

*This can always happen with
linear terms ($\sim m_{\text{susy}}$) in potential*

Dvali, Lazarides, Shafi PLB 424, 259;

Babu, Dutta, Mohapatra PRD65, 016005; Kitano, Okada ph/0107084

Hall, Nomura, Pierce PLB 538, 359

coupling $S H_u H_d$ with $\langle S \rangle \sim m_{\text{susy}} \rightarrow \mu \sim m_{\text{susy}}$

GUT Spectrum (all heavy)

Well defined spectrum:

5-plets:

$$M_{D,T} = \begin{matrix} \bar{5}_H \\ \bar{5}_{H'} \\ \bar{5}_C \\ \bar{5}_{C'} \end{matrix} \begin{pmatrix} 5_H & 5_{H'} & 5_{\bar{C}} & 5_{\bar{C}'} \\ 0 & \eta_{D,T} \lambda_1 a & \lambda_2 c & 0 \\ -\eta_{D,T} \lambda_1 a & M_{H'} & 0 & 0 \\ 0 & 0 & 0 & \kappa_{D,T} Y_1 \\ 0 & Y_{D,T} & \kappa_{D,T} Y_2 & M_{C'} \end{pmatrix}$$

with $\eta_D = 0$, $\eta_T = 1$, $\kappa_D = 3$, $\kappa_T = 2$.

$$\frac{M_{D_1} M_{D_2} M_{D_3}}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} = \frac{9}{4 M_{\text{eff}} \cos \gamma}, \quad \text{with} \quad \frac{1}{M_{\text{eff}}} = (M_T^{-1})_{11} = \frac{M_{H'}}{\lambda_1^2 a^2}$$

10-plets:

$$M(\Psi^{10}) = \begin{matrix} \Psi_A^{10} \\ \Psi_C^{10} \\ \Psi_{C'}^{10} \end{matrix} \begin{pmatrix} \bar{\Psi}_A^{10} & \bar{\Psi}_{\bar{C}}^{10} & \bar{\Psi}_{\bar{C}'}^{10} \\ M_\Psi & 0 & X_1 \\ 0 & 0 & \kappa_\Psi Y_1 \\ X_2 & \kappa_\Psi Y_2 & M_{C'} \end{pmatrix}$$

with $\Psi = (u^c, q, e^c)$, $\kappa_\Psi = (2, 1, 0)$, $M_\Psi = (0, 0, M_\Sigma/2)$

10's fragments masses:

Matter: $\mathcal{U}_1^c \mathcal{U}_2^c = Y_1 Y_2 (4 + \tilde{p}^2)$, $\mathcal{Q}_1 \mathcal{Q}_2 = Y_1 Y_2 (1 + \tilde{p}^2)$, $\mathcal{E}_1^c \mathcal{E}_2^c = Y_1 Y_2 \hat{p}^2$

$$\tilde{p} = p \quad \tilde{p}^2 = \frac{|X_1|^2}{|Y_1|^2} = \frac{|X_2|^2}{|Y_2|^2} , \quad \hat{p}^2 = \tilde{p}^2 \left| 1 - \frac{M_\Sigma M_{C'}}{2X_1 X_2} \right|$$

Gauge: $M^2(X, Y) = g^2 a^2 \equiv M_X^2$, $M^2(X', Y') = M_X^2 (1 + p^2)$

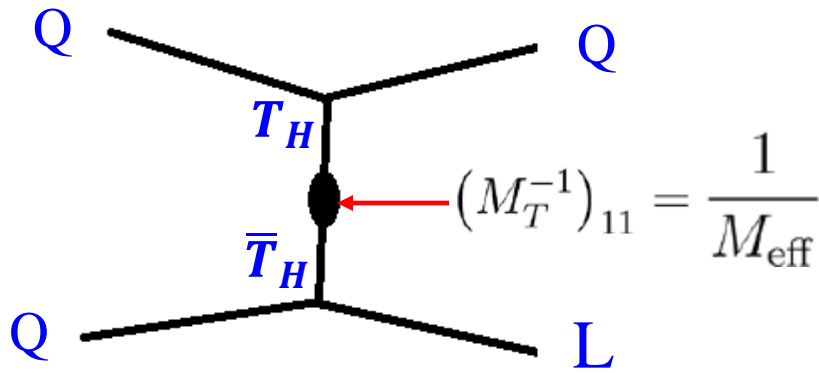
$$M^2(V_{u^c, \bar{u}^c}) = M_X^2 (4 + p^2) , \quad M^2(V_{e^c, \bar{e}^c}) = M_X^2 p^2 \quad p^2 = \frac{4c^2}{a^2}$$

3-matter + 1 vector superfield \leftrightarrow N=4 SYM multiplet

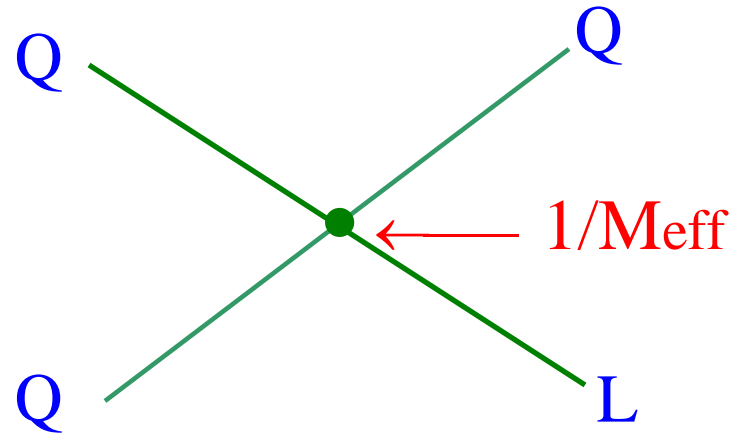
Gauge β – function = 0

**\leftarrow Great reduction
of thresholds**

M_{eff} : In d=5 decay



\Rightarrow

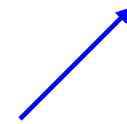


In RG Equations \rightarrow

$$\frac{M_{D_1} M_{D_2} M_{D_3}}{M_{T_1} M_{T_2} M_{T_3} M_{T_4}} = \frac{9}{4M_{\text{eff}} \cos \gamma}$$

$$\cos \gamma \sim \tan \beta/60$$

$$\alpha_U^{-1}(\Lambda) = \alpha_i^{-1}(M_Z) - \frac{b_i}{2\pi} \ln \frac{\Lambda}{M_Z} + \Delta_{i,w}^{(2)} + \Delta_i^{\text{GUT}}$$



Calculable Thresholds!

RG and Gauge Coupling Unification

$$\ln \frac{M_{\text{eff}} \cos \gamma}{M_Z} = \frac{5\pi}{6} \left(3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) - (\alpha_1^{-1} + \Delta_{1,w}^{(2)}) \right) - \ln \frac{4\kappa^{5/2}}{9} + \ln \frac{p}{\hat{p}}$$

$$\ln \frac{(M_X^2 M_\Sigma)^{1/3}}{M_Z} = \frac{\pi}{18} \left(5(\alpha_1^{-1} + \Delta_{1,w}^{(2)}) - 3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) \right) + \frac{1}{6} \ln \kappa - \frac{1}{3} \ln \frac{p}{\hat{p}}$$

$$\kappa \equiv M_8/M_3 = 2$$

SUSY spectrum $\rightarrow \Delta_{i,w}^{(2)}$
Fermion sector $\rightarrow \text{cosy}$

$$(\alpha_3(M_Z)^{-1})^{\text{centr.}} = 1/0.1184$$

Can be obtained

By $\frac{\hat{p}}{p} \sim 10^{-4}$

$$(M_X^2 M_\Sigma)^{1/3} \approx 10^{16} \text{ GeV}$$

$$M_{\text{eff}} = \text{few} \times 10^{19} \text{ GeV}$$

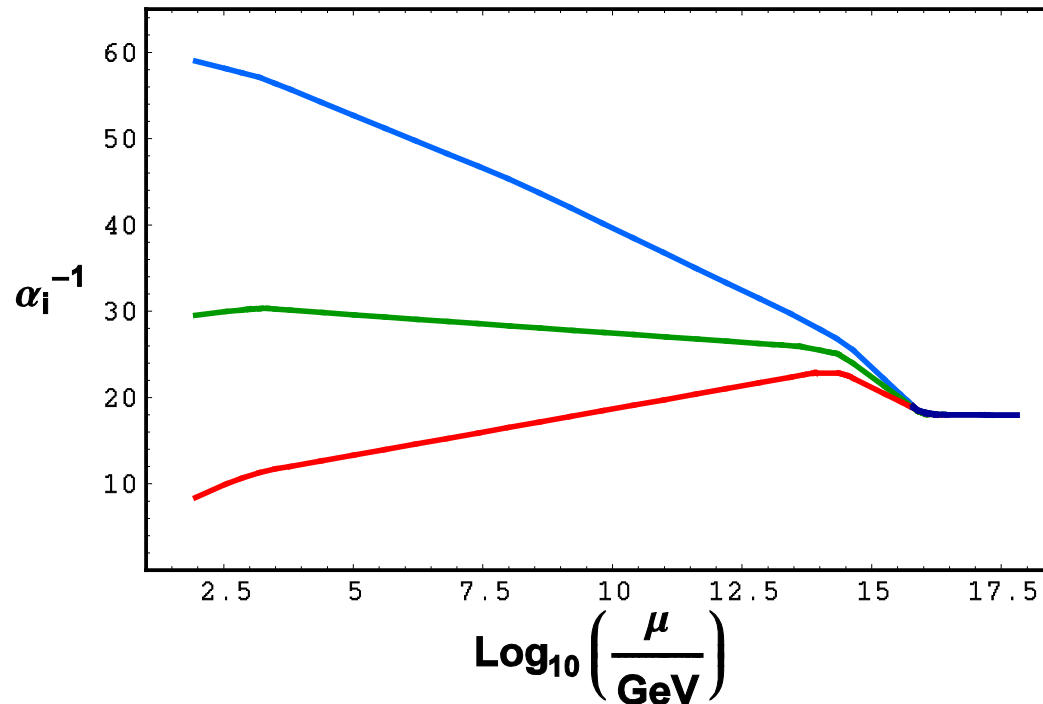
- Eliminating $\frac{\hat{p}}{p} \rightarrow$ correlation: $M_{\text{eff}} \sim \frac{1}{M_X^3}$

[Compare with SU(5) - Hisano, Murayama, Yanagida'93]

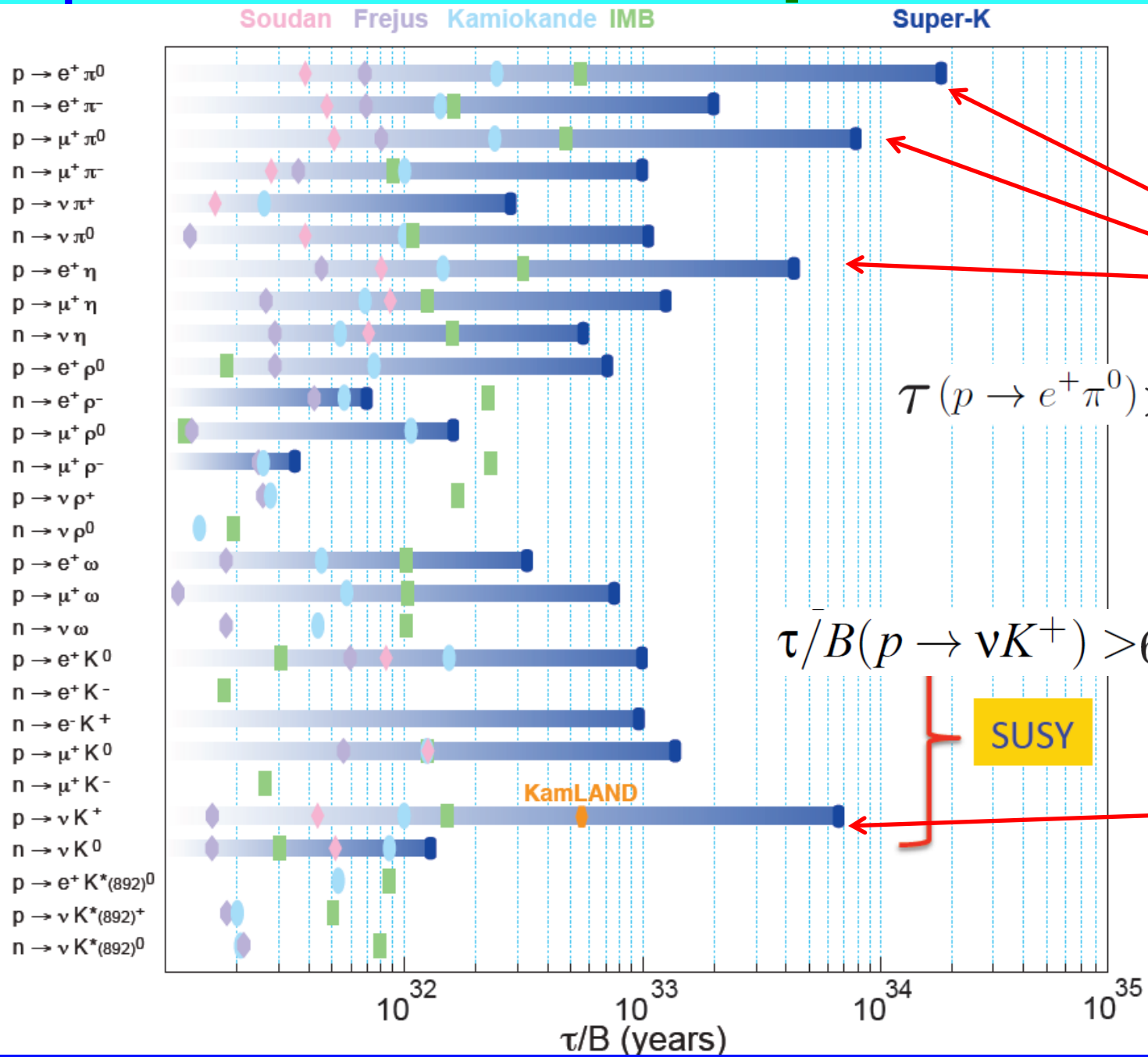
Large M_{eff} suppress Nucleon decay:

$$\Gamma_{d=5}^{-1}(p \rightarrow \bar{\nu} K^+) = 5.75 \cdot 10^{34} \text{ yrs} \times$$
$$\times \left(\frac{0.012 \text{ GeV}^3}{|\beta_H|} \right)^2 \left(\frac{6.34}{\bar{A}_S^\alpha} \right)^2 \left(\frac{1.25}{R_L} \right)^2 \left(\frac{M_{\text{eff}}}{1.4 \times 10^{20} \text{ GeV}} \right)^2 \left(\frac{835.7 \text{ GeV}}{m_{\tilde{W}}} \right)^2.$$

And compatible with coupling unification..



Exp. limits on Nucleon lifetime [from Kearns talk, PDF2015]



In d=5 suppressed (e.g. by λu)

$$\tau(p \rightarrow e^+ \pi^0) > 1.67 \times 10^{34} \text{ y}$$

$$\tau/B(p \rightarrow \nu K^+) > 6.61 \times 10^{33} \text{ years}$$

SUSY

SUSY GUT's dominant d=5 mode

SUSY Spectrum

(Taking into account GUT thresholds)

Gauginos: $M_i = M_i^0 \frac{\alpha_G^0}{\alpha_G} \frac{m_{1/2}}{m_{1/2}^0}$

Squarks,
sleptons: $m_{\tilde{f}}^2 = (m_{\tilde{f}}^0)^2 + \Delta m_{\tilde{f}}^2$

Higgses: $m_{h_{u,d}}^2 \simeq (m_{h_{u,d}}^0)^2 + \Delta m_{h_{u,d}}^2$

Changes due to GUT thresholds: e.g. $\Delta m_{\tilde{q}_i}^2 \simeq \frac{m_{1/2}^2}{4\pi} \left(12\alpha_X \ln \frac{M_G}{M_X} - C_{\tilde{q}}^i \Delta I_i \right) - \frac{\delta_{i3}}{4\pi^2} I_{\tilde{q}_3}^{\lambda_t}$

Selecting Input: Such that have
rad. EWSB, Higgs mass=126 GeV
SUSY spectrum satisfy all LHC bounds

Several Examples with inverted squar/slepton masses:

(Inputs from Ref.

M. Badziak, E. Dudas, M. Olechowski, S. Pokorski,
arXiv:1205.1675

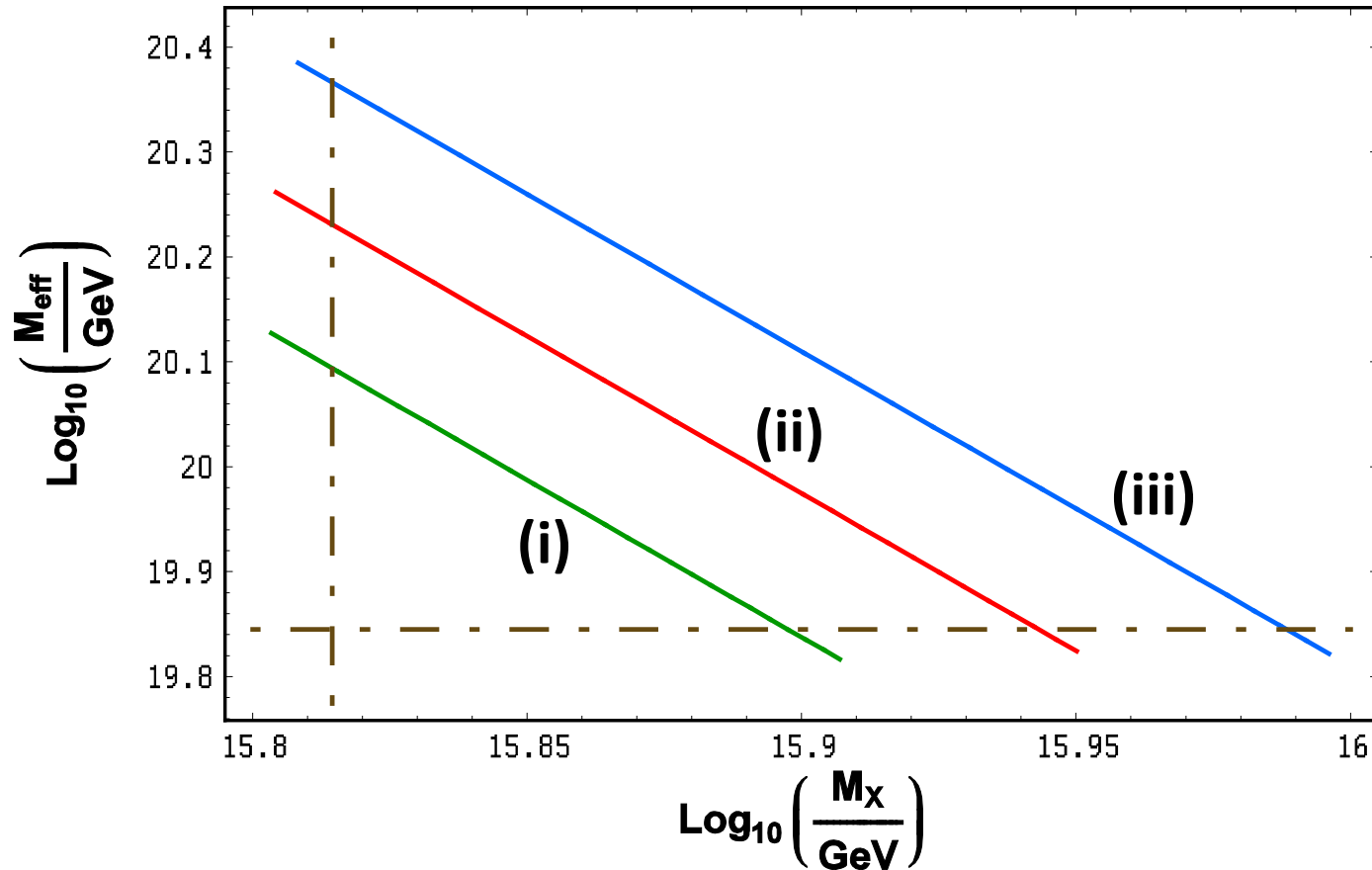
Our Output

	Spectrum $A_{SO(10)}$	Spectrum $B_{SO(10)}$	Spectrum $C_{SO(10)}$
μ	1080.4	759.6	631.6
M_A	3648.3	3236.3	3569.3
A_t	-2298	-2392	-2393
$m_{\tilde{B}}$	457	652.7	685
$m_{\tilde{W}}$	835.73	1295.3	1301.25
$m_{\tilde{g}}$	2537.1	3561	3587.1
Neutralinos : $m(\tilde{\chi}_i^0) \simeq$	(457 - 1081)	(653 - 1295)	(631 - 1301)
Charginos : $m(\tilde{\chi}_i^\pm) \simeq$	(836 - 1081)	(759 - 1295)	(631 - 1301)
$m_{\tilde{t}_{1,2}}$	503.15, 1701	735.02, 1503.2	540.8, 1525
$m_{\tilde{q}_{1,2}}$	17682	21122	22532
$m_{\tilde{u}_{1,2}^c}$	17681	21125	22538
$m_{\tilde{b}_{1,2}}$	1847, 17688	1637.64, 21121	1696, 22534
$m_{\tilde{d}_{1,2}^c}$	17688	21121	22534
$m_{\tilde{l}_{1,2}}$	17686	21077	22504
$m_{\tilde{l}_3}$	3435	3115	3477
$m_{\tilde{e}_{1,2}^c}$	17693	21081	22510
$m_{\tilde{\tau}^c}$	3493.5	3227.6	3595.4
$m_h \simeq$	125	125	125

Correlation Between M_{eff} & M_X

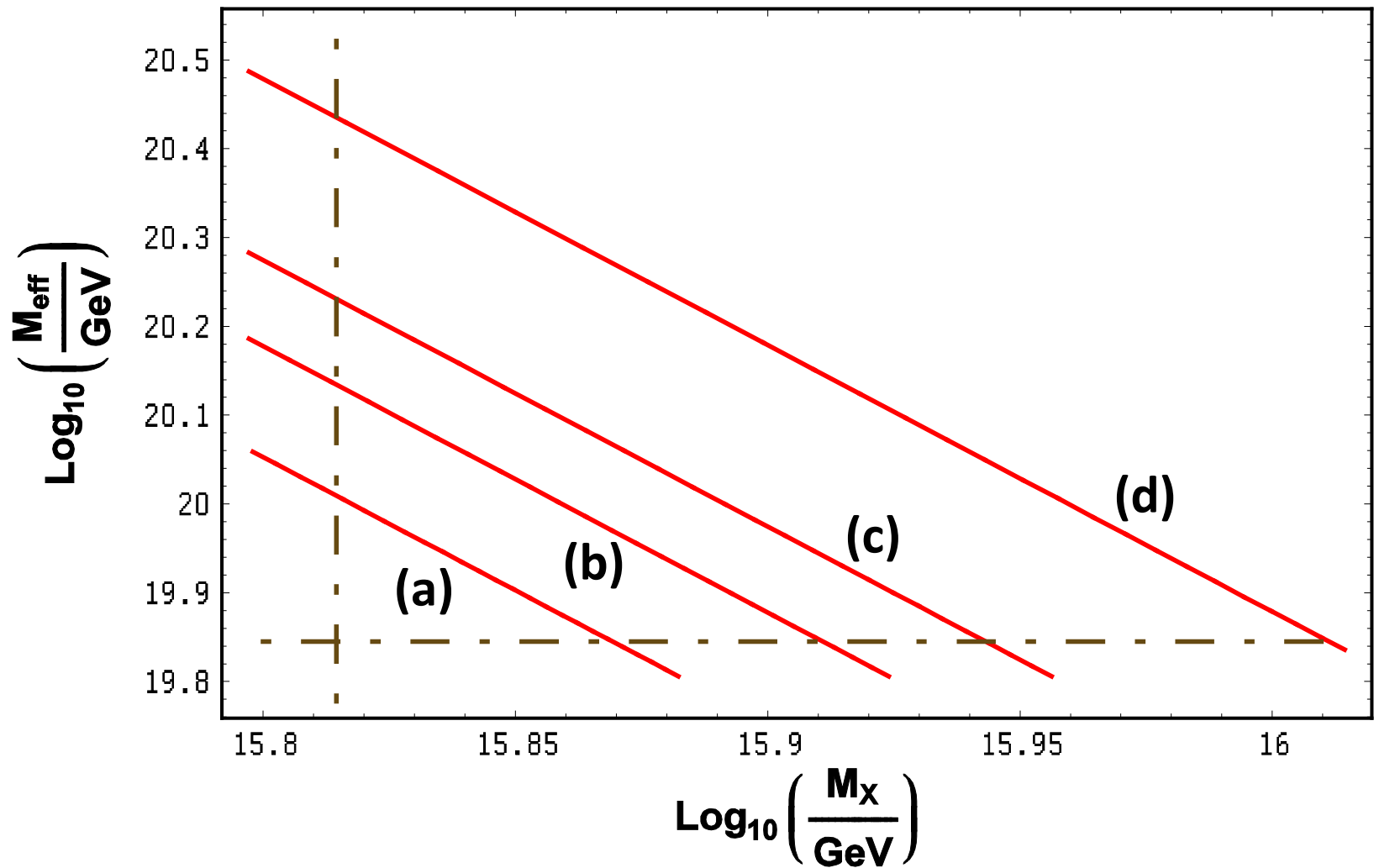
For spectrum $B_{SO(10)}$

$$M_X r^{1/3} = (0.5644, 0.6269, 0.6957) \cdot 10^{15} \text{ GeV} \cdot \left(\frac{0.673 \times 10}{\eta_\gamma \tan \beta} \right)^{1/3} \left(\frac{4.5 \cdot 10^{19} \text{ GeV}}{M_{\text{eff}}} \right)^{1/3}$$



Correlation for spectrum with $\tan\beta = 10$ and $r = 1/2500$

(i): $\alpha_3 = 0.1177$. (ii): $\alpha_3 = 0.1184$. (iii): $\alpha_3 = 0.1191$.



Correlation for spectrum with $\tan\beta = 10$ and $\alpha_3 = 0.1184$.
(a) $r=1/1500$. **(b)**: $r=1/2000$ **(c)** $r=1/2500$ **(d)** $r=1/4000$.

→ Correlation Between $d=5$ & $d=6$ Proton Decays and Upper Bounds on Lifetimes

$$\Gamma_{d=6}^{-1}(p \rightarrow e^+ \pi^0) \simeq 7.06 \cdot 10^{34} \text{ yrs} \left(\frac{0.012 \text{ GeV}^3}{|\alpha_H|} \right)^2 \left(\frac{2.7}{A_R} \right)^2 \left(\frac{5.12}{f(p)} \right) \left(\frac{1/20}{\alpha_X} \right)^2 \left(\frac{M_X}{10^{16} \text{ GeV}} \right)^4$$

$$\Gamma_{d=5}^{-1}(p \rightarrow \bar{\nu} K^+) = 2.36 \cdot 10^{34} \text{ yrs} \left(\frac{0.012 \text{ GeV}^3}{|\beta_H|} \right)^2 \left(\frac{6.33}{\bar{A}_S^\alpha} \right)^2 \left(\frac{1.25}{R_L} \right)^2 \left(\frac{M_{\text{eff}}}{1.4 \cdot 10^{20} \text{ GeV}} \right)^2 \left(\frac{1295.3 \text{ GeV}}{m_{\tilde{W}}} \right)^2$$

Naturalness suggest range: $\frac{1}{5000} < r \left(= \frac{M_\Sigma}{M_X} \right) < \frac{1}{1500}$ $B_{SO(10)}$

+ exp. Bounds & correlation, for $r_{min} = \frac{1}{2500}$ →

Lead to: $\Gamma_{d=6}^{-1}(p \rightarrow e^+ \pi^0) \lesssim \begin{cases} 1.66 \cdot 10^{35} \text{ yrs} , & \text{For } A_{SO(10)} \\ 4.65 \cdot 10^{34} \text{ yrs} , & \text{For } B_{SO(10)} \\ 4.76 \cdot 10^{34} \text{ yrs} , & \text{For } C_{SO(10)} \end{cases}$

Upper Bounds on $d=5$ Lifetimes:

$$\Gamma^{-1}(p \rightarrow \bar{\nu} K^+) \lesssim \begin{cases} 2.88 \cdot 10^{35} \text{ yrs} , & \text{For } A_{SO(10)} \\ 4.26 \cdot 10^{34} \text{ yrs} , & \text{For } B_{SO(10)} \\ 4.41 \cdot 10^{34} \text{ yrs} , & \text{For } C_{SO(10)} \end{cases}$$

Potentially observable with improvement of
exp. sensitivity by factor ~ 10

Comments:

- 1) For calculating $d=5$ Proton decay, well defined Yukawa sector is important

We build Yukawa Sector with Q4 Flavor Symmetry (see blow)

- 2) Insure that Planck scale operators do not introduce large B-violation

Symmetries of the Yukawa sector suppress additional B-violation

Planck Scale induced d=5 p-decay is strongly suppressed

ANTICIPATING:

By model's symmetries: **QQQL** –type ops.

$$Z \vec{X} \vec{Y}^2 \vec{16}^3 16_3, \quad S \vec{Y}^2 \vec{16}^2 16_3^2, \quad Z^2 S \vec{Y} \vec{16} 16_3^3$$

Emerged terms $q_1 q_2 q_3 l_i / M_*$ have suppression $\lesssim \text{few} \times 10^{-9}$

Adequate suppression

Yukawa Sector with Q4 Flavor Symmetry

Q4 → Interesting (predictive) Textures,
Solves SUSY FCNC problem

Pouliot, Seiberg '93
(*) Babu, Kubo '05

$$\vec{q} \equiv (\hat{q}_1, \hat{q}_2) \quad m_{\text{susy}}^2 (|\tilde{q}_1|^2 + |\tilde{q}_2|^2)$$

$$Q_4: \vec{16} \equiv (16_1, 16_2) \sim \mathbf{2}, \quad 16_3 \sim \mathbf{1} \quad \vec{X}, \vec{Y} \sim \mathbf{2} - \text{Flavons}$$

$$(*) : \quad \mathbf{1}' \times \mathbf{1}' = \mathbf{1}'' \times \mathbf{1}'' = \mathbf{1}''' \times \mathbf{1}''' = \mathbf{1} \quad \mathbf{1}' \times \mathbf{1}'' = \mathbf{1}'''$$

$$\mathbf{1}'' \times \mathbf{1}''' = \mathbf{1}' \quad \mathbf{1}''' \times \mathbf{1}' = \mathbf{1}''$$

$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix}_2 \times \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}_2 = (x_1 y_2 - x_2 y_1)_{\mathbf{1}} \oplus (x_1 y_1 + x_2 y_2)_{\mathbf{1}'} \oplus (x_1 y_2 + x_2 y_1)_{\mathbf{1}''} \oplus (x_1 y_1 - x_2 y_2)_{\mathbf{1}'''}$$

**Effective Yukawa Interactions are fixed
by symmetries → Predictive**

$$W_{\text{Yukawa}}^{(D)} = 16_3 16_3 H + \frac{\vec{X}}{M_*} \vec{16} 16_3 H + \frac{SZ^2 A}{M_*^4} \vec{16} \vec{16} H + \frac{Z^3 C}{M_*^4} \vec{16} \vec{16} C' +$$

$$\frac{AC \vec{Y}}{M_* \langle Z \rangle^2} \left(\vec{16} \cdot 16_3 + 16_3 \cdot \vec{16} \right) C' + \frac{AC}{M_*^2 \langle Z \rangle^2} (\vec{X} \vec{16})(\vec{Y} \vec{16}) C' .$$

$$\langle \vec{X} \rangle \simeq \left(\frac{M_*}{40}, 0 \right) \quad \langle \vec{Y} \rangle \simeq \left(\frac{M_*}{200}, 0 \right)$$

Can be obtained by integrating heavy states

Mass Matrices

$$Y_u = \begin{matrix} u_1 \\ u_2 \\ u_3 \end{matrix} \begin{pmatrix} u_1^c & u_2^c & u_3^c \\ 0 & \epsilon' & 0 \\ -\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} \lambda_t$$

$$Y_d = \begin{matrix} d_1 \\ d_2 \\ d_3 \end{matrix} \begin{pmatrix} d_1^c & d_2^c & d_3^c \\ 0 & \epsilon' + \eta' & 0 \\ -\epsilon' - \eta' & \xi_{22}^d & \sigma + \epsilon \\ 0 & \sigma + \bar{\epsilon} & 1 \end{pmatrix} \lambda_b$$

$$Y_e = \begin{matrix} e_1 \\ e_2 \\ e_3 \end{matrix} \begin{pmatrix} e_1^c & e_2^c & e_3^c \\ 0 & -3\epsilon' - \eta' & 0 \\ 3\epsilon' + \eta & 3\xi_{22}^d & \sigma + 3\bar{\epsilon} \\ 0 & \sigma + 3\epsilon & 1 \end{pmatrix} \lambda_b$$

$$Y_\nu = \begin{matrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{matrix} \begin{pmatrix} \nu_1^c & \nu_2^c & \nu_3^c \\ 0 & -3\epsilon' & 0 \\ 3\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{pmatrix} \lambda_t$$

$$\lambda_b = \lambda_t \cos \gamma$$

$$\sigma = 0.0508, \epsilon = -0.0188 + 0.0333i \quad \bar{\epsilon} = 0.106 + 0.0754i$$

Input:

$$\epsilon' = 1.56 \cdot 10^{-4}, \eta' = -0.00474 + 0.00177i, \xi_{22}^d = 0.014e^{4.1i}$$

At low energies: (perform RG running)

Input: $\tan \beta = 10 \quad m_t(m_t) = 160 \text{ GeV} \quad m_\tau(M_Z) = 1.746 \text{ GeV}$

Output→

- $m_e = 0.51 \text{ MeV} \quad m_\mu = 105.66 \text{ MeV} \quad m_\tau = 1.776 \text{ GeV}$

- $m_u(2 \text{ GeV}) = 3.55 \text{ MeV}, m_c(m_c) = 1.15 \text{ GeV}$

- $m_b(m_b) = 4.67 \text{ GeV}$

- $m_d(2 \text{ GeV}) = 6.45 \text{ MeV}, m_s(2 \text{ GeV}) = 137.6 \text{ MeV}$

- At M(Z) scale:

$$|V_{us}| = 0.225 , \quad |V_{cb}| = 0.0414 , \quad |V_{ub}| = 0.0034 , \quad |V_{td}| = 0.00878$$

CP violation: • $\bar{\eta} = 0.334 , \quad \bar{\rho} = 0.12$
($\sin 2\beta = 0.663$)

$$\bar{\rho} + i\bar{\eta} = -V_{ud}V_{ub}^*/(V_{cd}V_{cb}^*)$$

All in good agreement with experiments

**See also Babu, Pati, Rastogi
Phys. Rev. D71 (2005) 015005.
for similar results within SO(10)*

Neutrino Sector

'Majorana' Couplings:

$$W_{\text{Yukawa}}^{(M)} = \frac{Z^{k-4} \vec{Y}^2}{M_*^{k-3} M_{N''}^2} \vec{16}^2 \bar{C}^2 + \frac{Z^{k-2} \vec{Y}}{M_*^{k-2} M_{N'}^2} \vec{16} 16_3 \bar{C}^2 + \frac{Z^{k-1} S}{M_*^{k-1} M_N^2} 16_3^2 \bar{C}^2$$

$$M_R = \begin{matrix} & \nu_1^c & \nu_2^c & \nu_3^c \\ \nu_1^c & \left(\begin{array}{ccc} c & 0 & 0 \\ 0 & b & a \\ 0 & a & 1 \end{array} \right) & & \\ \nu_2^c & & & \\ \nu_3^c & & & \end{matrix} M_0 \quad \leftarrow 4 \text{ parameters}$$

$$M_{\nu D} = \begin{matrix} & \nu_1^c & \nu_2^c & \nu_3^c \\ \nu_1 & \left(\begin{array}{ccc} 0 & -3\epsilon' & 0 \\ 3\epsilon' & 0 & \sigma \\ 0 & \sigma & 1 \end{array} \right) & & \\ \nu_2 & & & \\ \nu_3 & & & \end{matrix} m_N^0 \quad \begin{matrix} m_N^0 = m_U^0 \\ \leftarrow \text{All fixed} \end{matrix}$$

Neutrino Masses & Mixings

$$\mathbf{m}_\nu = \mathbf{m}_D \frac{1}{M_{\nu C}} \mathbf{m}_D^T \rightarrow$$

- **With input:** $M_0 = 5.85 \times 10^{14} \text{ GeV}$ $c = 3.821 \cdot 10^{-8} e^{2.6857i}$
 $a = 0.0515366 e^{-0.017947i}$, $b = 0.00265706 e^{-0.035831i}$

- **Output:** $\theta_{12} = 33.6^\circ$, $\theta_{23} = 38.4^\circ$, $\theta_{13} = 8.93^\circ$, $\delta \simeq \pi$

$$\Delta m_{\text{sol}}^2 = m_2^2 - m_1^2 = 7.5 \cdot 10^{-5} \text{ eV}^2 , \quad \Delta m_{\text{atm}}^2 = m_3^2 - m_2^2 = 2.41 \cdot 10^{-3} \text{ eV}^2$$

(Good agreement with data)

- **Normal Hierarchy** $\rightarrow (m_1, m_2, m_3) = (0.0016, 0.00881, 0.0499) \text{ eV}$

Summary

- Presented minimal/economical SUSY SO(10)
- Minimal Higgs System, Simple GUT Breaking and Natural DT Splitting to all orders
- Natural mu-term Generation
- Calculable GUT Threshold Corrections
- Correlation Between d=5 & d=6 Proton Decay Modes
- Obtained Upper Limits on $\Gamma_{d=6}^{-1}(p \rightarrow e^+ \pi^0)$ and $\Gamma^{-1}(p \rightarrow \bar{\nu} K^+)$

Makes model testable by future experiments!

Thank You

Backup Slides

d=5 decay vs. Unification within SUSY SU(5)

$$M_{\text{eff}} \cos \gamma \rightarrow M_T \quad \square \quad \text{d=5 decay } (p \rightarrow \bar{\nu} K^+)$$

- Minimal renorm. SUSY SU(5) \rightarrow

$$\Gamma_{d=5}^{-1}(p \rightarrow \bar{\nu} K^+) \simeq 1.2 \cdot 10^{31} \text{ yrs} \times \left(\frac{0.012 \text{ GeV}^3}{\beta_H} \right)^2 \left(\frac{7}{\bar{A}_S^\alpha} \right)^2 \left(\frac{1.25}{R_L} \right)^2 \times \\ \times \left(\frac{M_T}{2 \cdot 10^{16} \text{ GeV}} \right)^2 \left(\frac{m_{\tilde{q}}}{1.5 \text{ TeV}} \right)^4 \left(\frac{190 \text{ GeV}}{M_{\tilde{W}}} \right)^2 ,$$

For $\tau_{\text{exp}}(p \rightarrow \bar{\nu} K^+) \gtrsim 4 \cdot 10^{33} \text{ yrs.}$ One needs $M_T \gtrsim 3.6 \cdot 10^{17} \text{ GeV}$

How large can be M_T ?

● Minimal renorm. SUSY SU(5) →

RG:
$$\ln \frac{M_T}{M_Z} = \frac{5\pi}{6} \left(3(\alpha_2^{-1} + \Delta_{2,w}^{(2)} - \frac{1}{6\pi}) - 2(\alpha_3^{-1} + \Delta_{3,w}^{(2)} - \frac{1}{4\pi}) - (\alpha_1^{-1} + \Delta_{1,w}^{(2)}) \right)$$

$$\alpha_3(M_Z) = 0.12 \rightarrow M_T \simeq 7 \cdot 10^{14} \text{ GeV} \implies \tau(p \rightarrow \bar{\nu}K^+) \simeq 1.5 \cdot 10^{28} \text{ yrs}$$

$$M_T \gtrsim 2 \cdot 10^{16} \text{ GeV} \implies \alpha_3(M_Z) \gtrsim 0.132 \quad [\leftarrow \text{also problem of unification}]$$

In gross conflict with experiments:

$$\alpha_3^{exp}(M_Z) = 0.1176 \pm 0.002$$

$$\tau_{exp}(p \rightarrow \bar{\nu}K^+) \gtrsim 4 \cdot 10^{33} \text{ yrs.}$$

● One solution:

SUSY SU(5) with high dim. Ops. →

Large threshold corrections →

(Bajc, Perez, Senjanovic '02)

$$M_T \geq 10^{17} \text{ GeV}$$

Considered SO(10) has no these problems

μ -Term Generation by Triggered VEV $\sim m_{\text{susy}}$

GUT VEV Fields: $\Phi_i^0 = v_i + \Phi_i \quad \langle \Phi_i \rangle = 0$

$$W(\Phi_i) = \frac{1}{2} \Phi_i M_{\Phi}^{ij} \Phi_j + \dots$$

Soft SUSY br. terms

$$\Phi^\dagger M_{\Phi}^\dagger M_{\Phi} \Phi$$

$$m_{\text{susy}} M_G^2 \phi_{C'}(\nu^c)$$

$$m_{\text{susy}} M_G^2 \phi_{\bar{C}'}(\bar{\nu}^c)$$

Two categories of fields: $\Phi_i = (\hat{\Phi}_{\hat{i}}, \tilde{\Phi}_{\tilde{i}})$

$$V = \hat{\Phi}^\dagger \hat{M}_{\Phi}^\dagger \hat{M}_{\Phi} \hat{\Phi} + \tilde{\Phi}^\dagger \tilde{M}_{\Phi}^\dagger \tilde{M}_{\Phi} \tilde{\Phi} + \hat{\Phi}^\dagger \mathcal{M}_{\Phi} \tilde{\Phi} + \tilde{\Phi}^\dagger \mathcal{M}_{\Phi}^\dagger \hat{\Phi} + m_{\text{susy}} (\hat{\Phi}^\dagger \hat{\rho} + \hat{\rho}^\dagger \hat{\Phi})$$

$$\langle \hat{\Phi}_{\hat{i}} \rangle = -m_{\text{susy}} \left[\hat{M}_{\Phi}^\dagger \hat{M}_{\Phi} - \mathcal{M}_{\Phi} (\tilde{M}_{\Phi}^\dagger \tilde{M}_{\Phi})^{-1} \mathcal{M}_{\Phi}^\dagger \right]_{\hat{i}\hat{j}}^{-1} \hat{\rho}_{\hat{j}}$$

$$\langle \tilde{\Phi}_{\tilde{i}} \rangle = - \left[(\tilde{M}_{\Phi}^\dagger \tilde{M}_{\Phi})^{-1} \mathcal{M}_{\Phi}^\dagger \right]_{\tilde{i}\hat{j}} \langle \hat{\Phi}_{\hat{j}} \rangle$$

← Triggered VEV $\sim m_{\text{susy}}$

Applying for present SO(10):

Gauge choice

$$Z = (z + Z_0)e^\Omega, \quad S = (s + S_0)e^\Omega, \quad \phi_C(\nu^c) = \left(c + \frac{\Delta}{\sqrt{2}}\right)e^{\Delta'+\Omega}$$

$$\phi_{\bar{C}}(\bar{\nu}^c) = \left(c + \frac{\Delta}{\sqrt{2}}\right)e^{-\Delta'}, \quad \phi_{C'}(\nu^c) = \phi' e^{\Delta'-\Omega}, \quad \phi_{\bar{C}'}(\bar{\nu}^c) = \bar{\phi}' e^{-\Delta'-2\Omega}$$

$$A(45) = \langle A(45) \rangle \oplus \frac{1}{\sqrt{24}}\eta_a \oplus \frac{1}{\sqrt{8}}\eta_b,$$

$$M_\Phi = \begin{pmatrix} \phi' & \bar{\phi}' & \eta_b & \eta_a & \Delta & S_0 \\ \phi' & \bar{\phi}' & \frac{a_2 z c}{M_* 2\sqrt{8}} & -\frac{3a_2 z c}{M_* 2\sqrt{6}} & \frac{\sqrt{2}b_2 c^2}{M_*} & c_2 c \\ \bar{\phi}' & \phi' & \frac{a_1 z c}{M_* 2\sqrt{8}} & -\frac{3a_1 z c}{M_* 2\sqrt{6}} & \frac{\sqrt{2}b_1 c^2}{M_*} & c_1 c \\ \eta_b & \frac{a_2 z c}{M_* 2\sqrt{8}} & M_{\eta_b} & 0 & 0 & 0 \\ \eta_a & -\frac{3a_2 z c}{M_* 2\sqrt{6}} & 0 & M_{\eta_b} & 0 & 0 \\ \Delta & \frac{\sqrt{2}b_2 c^2}{M_*} & 0 & 0 & 0 & 0 \\ S_0 & c_2 c & 0 & 0 & 0 & 0 \end{pmatrix}$$

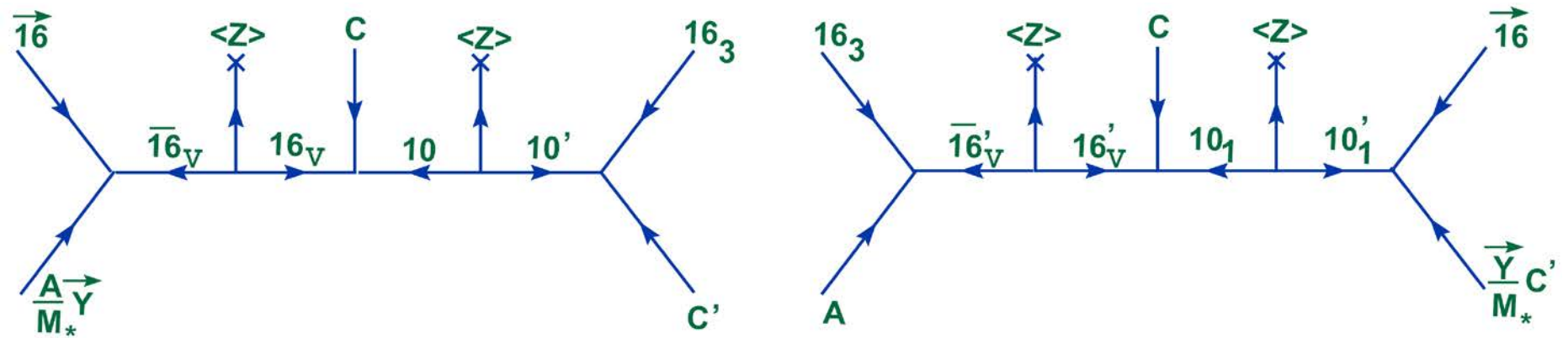
← Singlet mass matrix.
All massive!

State identification: $\hat{\Phi}^T = (\phi', \bar{\phi}')$ $\tilde{\Phi}^T = (\eta_b, \eta_a, \Delta_0, S_0)$

VEV in B-L direction: $\langle \eta_b \rangle \simeq 3m_{\text{susy}}$

$$\lambda_1 H A H' \rightarrow \lambda_1 \frac{\langle \eta_b \rangle}{\sqrt{8}} h_u h_d \sim m_{\text{susy}} h_u h_d \quad \mu \sim m_{\text{susy}} \sim \text{TeV}$$

Generation of Effective Yukawa Couplings



This 16, 10-plet exchange gives Clebsch **3** for lepton vs. quark
 → Good relation

Q4 Breaking: No flat directions and massless modes

$$\langle \vec{X} \rangle = (x_1, 0) \quad \langle \vec{Y} \rangle = (y_1, 0) \quad x_1, y_1 \neq 0$$

Additional Singlets: $S_1 \sim 1'$, $S_2 \sim 1$, $\hat{X}, \hat{Y} \sim 1''$

For VEV fixing and mass generation

$$W_{\text{Flavon}} = S_1 \left(\frac{Z^6}{M_*^6} \vec{X}^2 + \frac{1}{M_*^4} \vec{X}^6 \right) + S_2 \left(\frac{1}{M_*^2} \vec{X}^2 \vec{Y}^2 + \frac{1}{M_*^8} Z^{10} \right) + \hat{X} \vec{X}^2 + \hat{Y} \vec{Y}^2$$

$$F_Z = F_{S_{1,2}} = F_{\vec{X}} = F_{\vec{Y}} = 0$$

$$\langle S_1 \rangle = \langle S_2 \rangle = 0 \quad x_1 \sim z \left(\frac{z}{M_*} \right)^{1/2} \sim \frac{M_*}{40} \quad y_1 \sim z^5 / (x_1 M_*^3) \sim \frac{M_*}{200}$$

$$\hat{X} \vec{X}^2 + \hat{Y} \vec{Y}^2 \rightarrow \hat{X} X_1 X_2 + \hat{Y} Y_1 Y_2$$

All states are heavy

Fermion mass/mixing RG from GUT scale down to low energies:

$$\left. \frac{m_{u,c}}{m_t} \right|_{m_t} = \eta_t^3 \frac{m_{u,c}^0}{m_t^0} \quad m_u(2 \text{ GeV}) = 1.8m_u(m_t), \quad m_c(m_c) = 2.11m_c(m_t)$$

$$\eta_t = 1.1097 \quad \eta_t = \exp \left[\frac{1}{16\pi^2} \int_{M_Z}^{M_G} \lambda_t^2(\mu) d \ln \mu \right]$$

$$\frac{m_b}{m_\tau} = \eta_t^{-1} R_{b\tau} \frac{m_b^0}{m_\tau^0} \quad R_{b\tau} = \exp \left[\frac{1}{3\pi} \int_{M_Z}^{M_G} (4\alpha_3(\mu) - \alpha_1(\mu)) d \ln \mu \right]$$

$$\left. \frac{m_{d,s}}{m_b} \right|_{M_Z} = \left. \frac{m_{d,s}}{m_b} \right|_{m_t} = 1.1159 \frac{m_{d,s}^0}{m_b^0}$$

$$V_{\alpha\beta} = \eta_t V_{\alpha\beta}^0 \quad \text{for } \alpha\beta = (ub, cb, td, ts) \quad V_{\alpha\beta} = V_{\alpha\beta}^0 \quad \alpha\beta = (ud, us, cd, cs, tb)$$

$$|V_{us}| = |V_{us}^0| \quad |V_{cb}| = \eta_t |V_{cb}^0| \quad |V_{ub}| = \eta_t |V_{ub}^0| \quad \bar{\eta} = \bar{\eta}^0$$

GUT thresholds in soft terms' RG: 1.

$$\lambda_t 16_3 \cdot 16_3 H \rightarrow$$

$$\lambda_t \left((q_3 t^c + \nu_3^c l_3) H_u + (q_3 b^c + l_3 \tau^c) H_d + \left(\frac{1}{2} q_3 q_3 + t^c \tau^c + \nu_3^c b^c \right) T_H + (q_3 l_3 + t^c b^c) \bar{T}_H \right)$$

$$16\pi^2 \frac{d}{d \ln \mu} m_{\tilde{q}_3}^2 = \beta_{\tilde{q}_3}^0 + C_{\tilde{q}}^i \left((g_i^0 M_i^0)^2 - (g_i M_i)^2 \right) - 12\tilde{g}^2 \tilde{M}^2 \theta(\mu - M_X)$$

$$+ 4\lambda_t^2 (2m_{\tilde{q}_3}^2 + \tilde{m}_{T_H}^2) \theta(\mu - M_{T_H}), \quad C_{\tilde{q}}^i = \left(\frac{2}{15}, 6, \frac{32}{3} \right),$$

$$16\pi^2 \frac{d}{d \ln \mu} m_{\tilde{t}^c}^2 = \beta_{\tilde{t}^c}^0 + C_{\tilde{u}^c}^i \left((g_i^0 M_i^0)^2 - (g_i M_i)^2 \right) - 16\tilde{g}^2 \tilde{M}^2 \theta(\mu - M_X)$$

$$+ 2\lambda_t^2 (m_{\tilde{t}^c}^2 + m_{\tilde{\tau}^c}^2 + \tilde{m}_{T_H}^2) \theta(\mu - M_{T_H}), \quad C_{\tilde{u}^c}^i = \left(\frac{32}{15}, 0, \frac{32}{3} \right),$$

GUT thresholds in soft terms' RG: 2.

$$\begin{aligned}
 HAH' \rightarrow & \frac{\lambda_1}{2} \left(h_u(\sigma_3 - \frac{3}{\sqrt{60}}S_\Sigma)h_d + T_H\sigma_Y h_d + h_u\sigma_X\bar{T}_{H'} \right) \\
 & \frac{\lambda_1}{\sqrt{20}}X_A h_u h_d + \frac{\lambda_1}{\sqrt{2}}h_u (\bar{e}_A^c H_u' + \bar{q}_A T_{H'}) .
 \end{aligned}$$

$$\begin{aligned}
 \Delta\beta_{h_u}^{\lambda_1} = & \frac{3}{8}\lambda_1^2 (m_{h_u}^2 + m_{h_d}^2 + \tilde{m}_{\sigma_3}^2) \theta(\mu - M_{\sigma_3}) + \frac{3}{40}\lambda_1^2 (m_{h_u}^2 + m_{h_d}^2 + \tilde{m}_{S_\Sigma}^2) \theta(\mu - M_{S_\Sigma}) \\
 & + \frac{3}{4}\lambda_1^2 (m_{h_u}^2 + \tilde{m}_{\sigma_X}^2 + \tilde{m}_{T_{H'}}^2) \theta(\mu - \max(M_{\sigma_X}, M_{T_{H'}})) + \frac{1}{10}\lambda_1^2 (m_{h_u}^2 + m_{h_d}^2 + \tilde{m}_{X_A}^2) \theta(\mu - M_{X_A}) \\
 & + \lambda_1^2 (m_{h_u}^2 + \tilde{m}_{H_u'}^2 + \tilde{m}_{\bar{e}_A^c}^2) \theta(\mu - \max(M_{H_u'}, M_{\bar{e}_A^c})) + 3\lambda_1^2 (m_{h_u}^2 + \tilde{m}_{T_{H'}}^2 + \tilde{m}_{\bar{q}_A}^2) \theta(\mu - \max(M_{T_{H'}}, M_{\bar{q}_A}))
 \end{aligned}$$

$$\begin{aligned}
 \Delta\beta_{h_d}^{\lambda_1} = & \frac{3}{8}\lambda_1^2 (m_{h_u}^2 + m_{h_d}^2 + \tilde{m}_{\sigma_3}^2) \theta(\mu - M_{\sigma_3}) + \frac{3}{40}\lambda_1^2 (m_{h_u}^2 + m_{h_d}^2 + \tilde{m}_{S_\Sigma}^2) \theta(\mu - M_{S_\Sigma}) \\
 & + \frac{3}{4}\lambda_1^2 (m_{h_d}^2 + \tilde{m}_{\sigma_Y}^2 + \tilde{m}_{T_H}^2) \theta(\mu - \max(M_{\sigma_Y}, M_{T_H})) + \frac{1}{10}\lambda_1^2 (m_{h_u}^2 + m_{h_d}^2 + \tilde{m}_{X_A}^2) \theta(\mu - M_{X_A}) .
 \end{aligned}$$