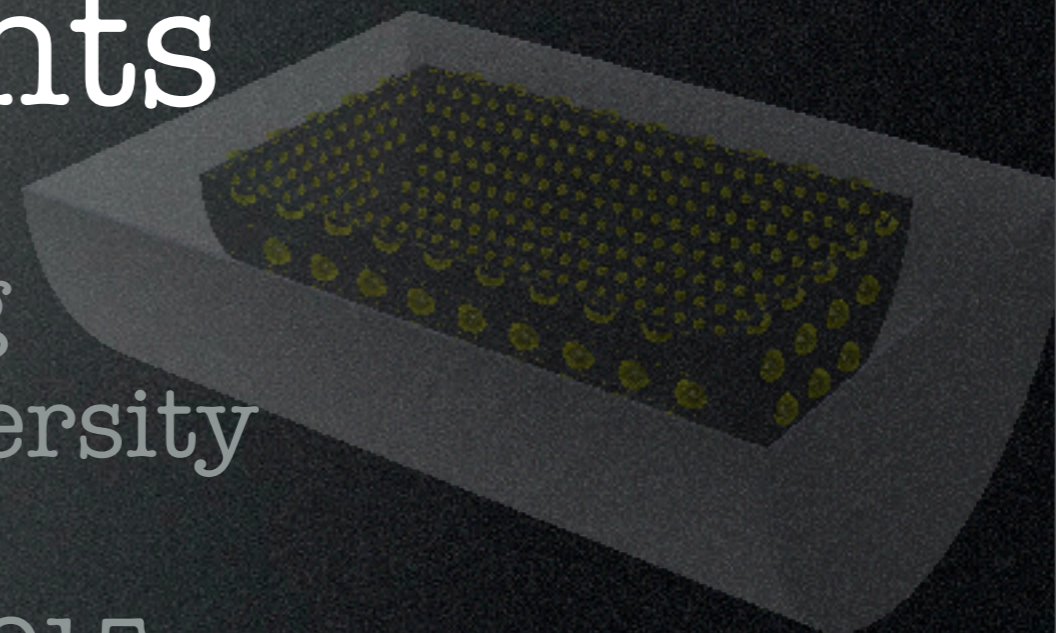


$\nu$ PRISM:

An Experimental Method to  
Remove Neutrino Interaction  
Uncertainties from Oscillation  
Experiments

Mike Wilking  
Stony Brook University  
NNN 2015  
October 29th, 2015

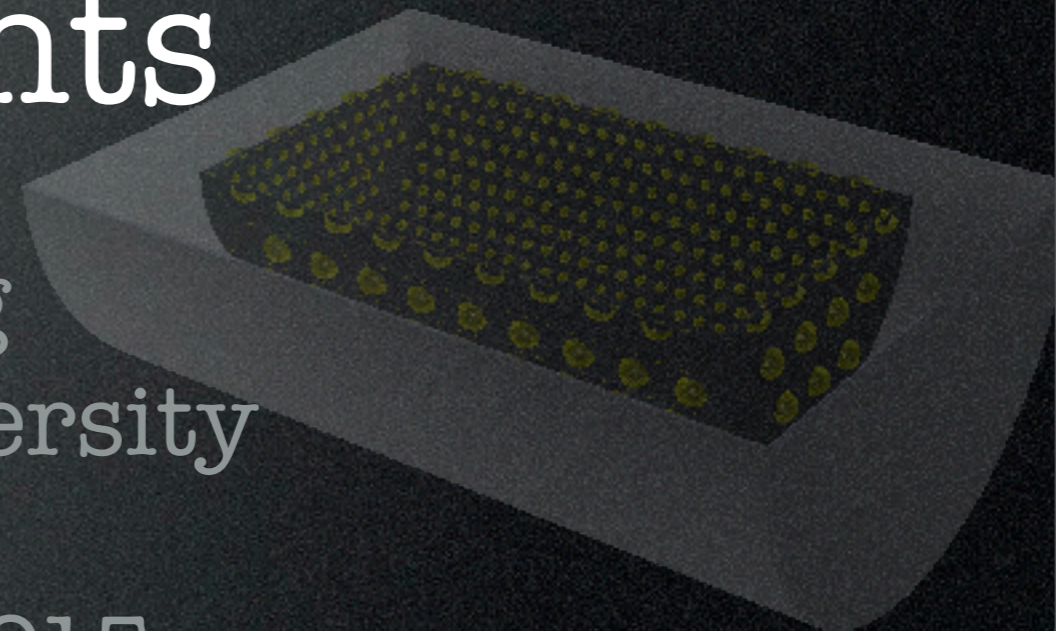


precision  
reaction  
independent  
spectrum  
measurement

$\nu$ PRISM:

# An Experimental Method to Remove Neutrino Interaction Uncertainties from Oscillation Experiments

Mike Wilking  
Stony Brook University  
NNN 2015  
October 29th, 2015



# What is NuPRISM?

- NuPRISM is a near detector that spans a wide angular range ( $\sim 1^\circ$ - $4^\circ$ ) off-axis from the neutrino beam direction
- This type of detector can perform a wide variety of interesting neutrino physics measurements

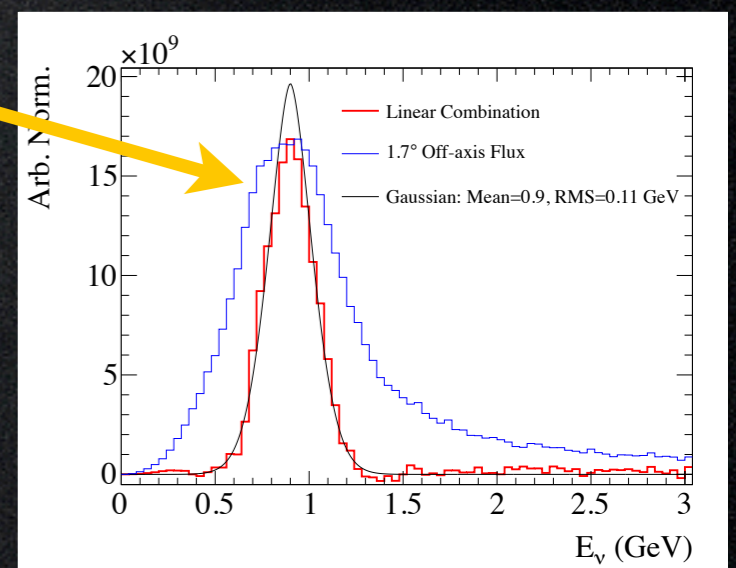
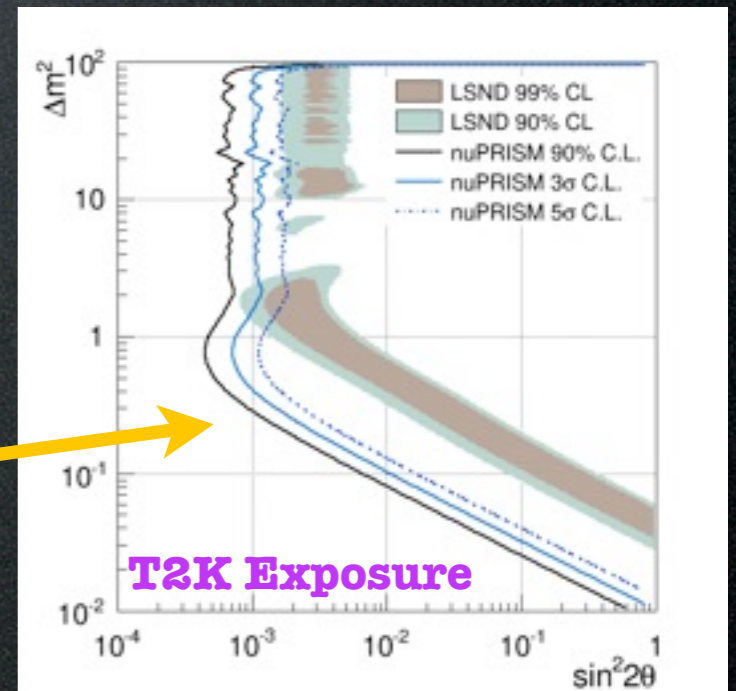
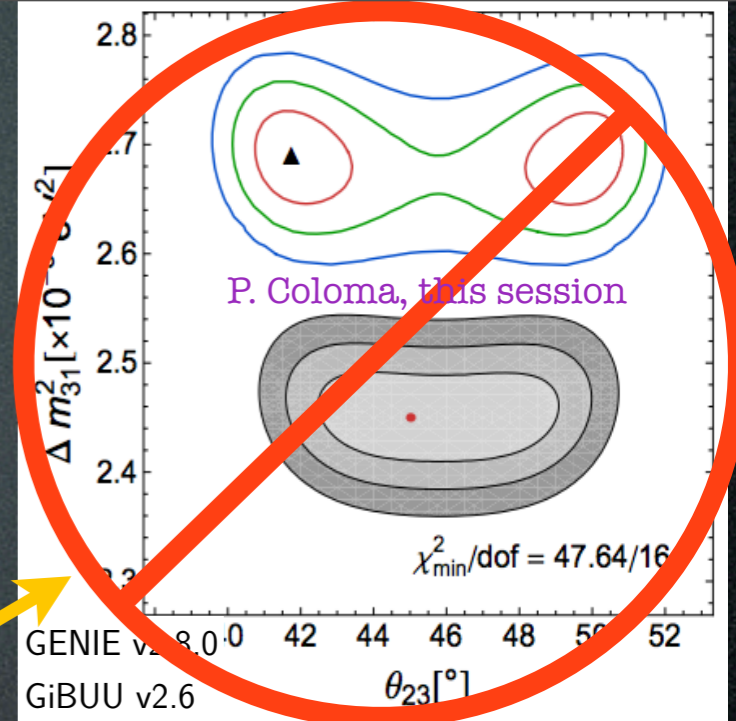
1. NuPRISM can greatly **reduce neutrino interaction uncertainties** in T2K/Hyper-K/DUNE/...

- These will be the largest uncertainties for the full T2K dataset (even more problematic for T2K2/HK/DUNE)

2. NuPRISM can perform a high precision search for **sterile neutrino oscillations**

3. NuPRISM can determine neutrino interaction final states from **mono-energetic neutrino beams**

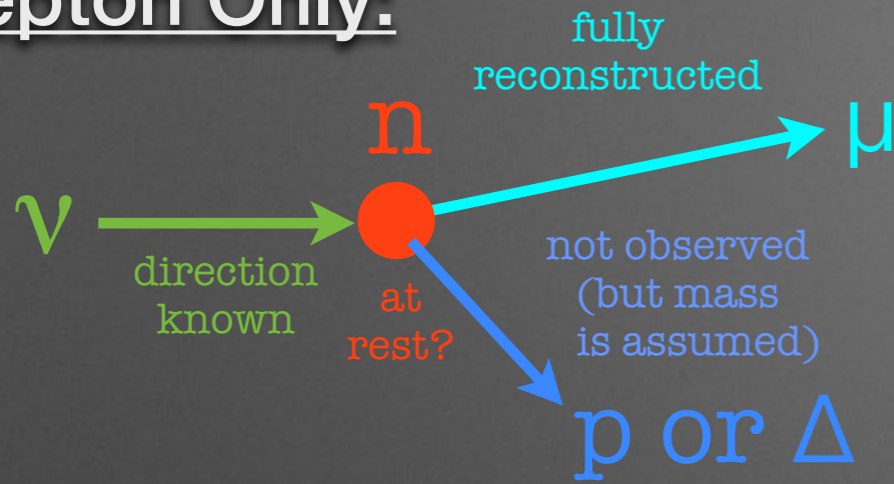
- Electron-scattering-like measurements are now possible
- Very interesting probe for nuclear physics, and to constrain the relationship between neutrino energy and observable lepton kinematics



# Measuring $E_\nu$

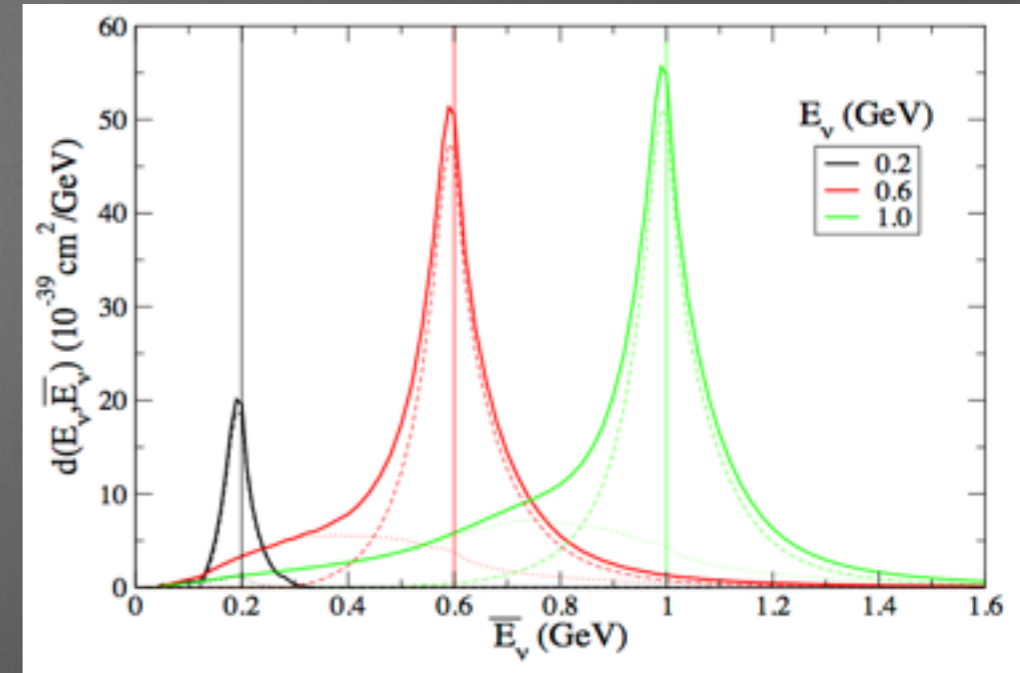
Martini et al. arXiv:1211.1523

## Lepton Only:

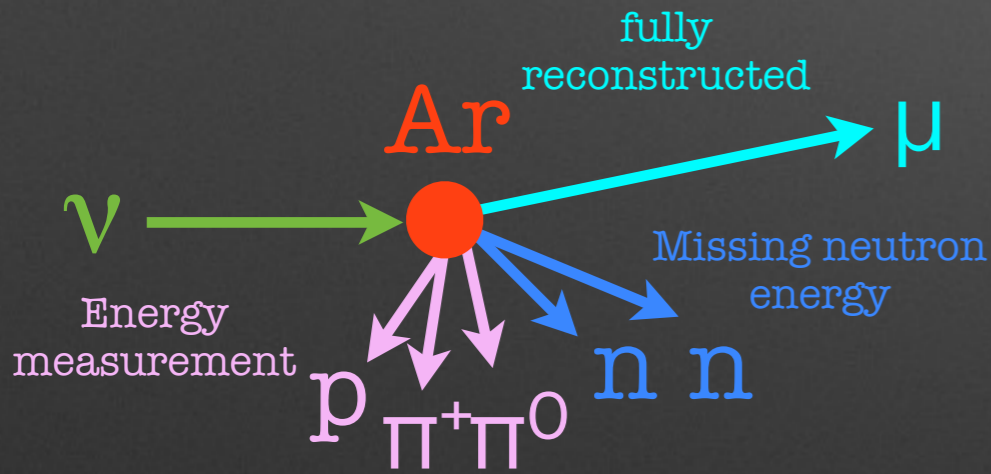


Must assume mass of recoiling hadron(s)

Problematic! due to Multi-nucleon interactions



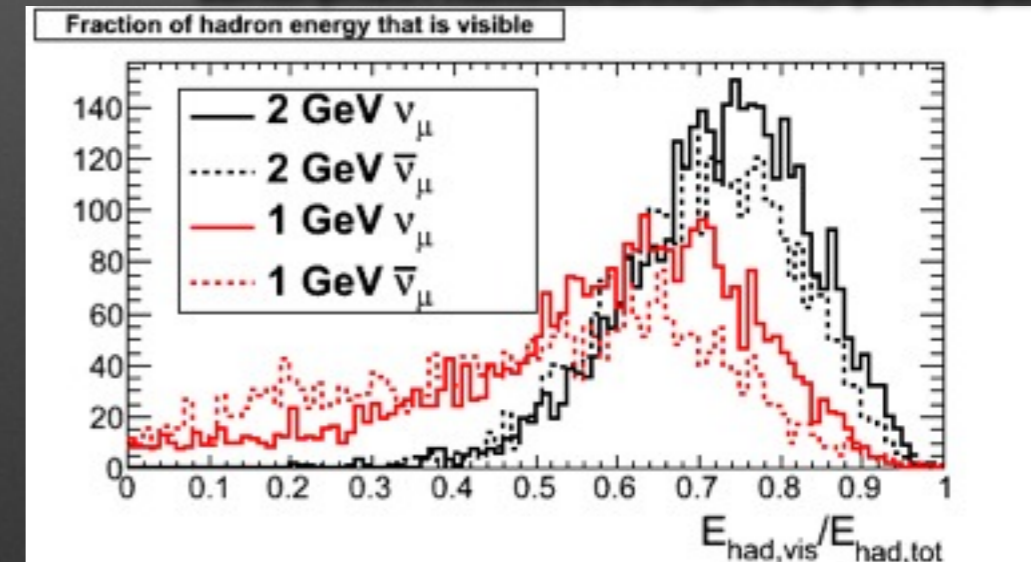
## Lepton + Hadronic Energy:



Energy loss is worse at lower  $E_\nu$

Different for  $\nu$  and anti- $\nu$

[http://public.lanl.gov/friedland/LBNEApril2014/LBNEApril2014talks/McGrew\\_LANL\\_Apr2014.pdf](http://public.lanl.gov/friedland/LBNEApril2014/LBNEApril2014talks/McGrew_LANL_Apr2014.pdf)



GEANT4 Simulation of a large LAr volume

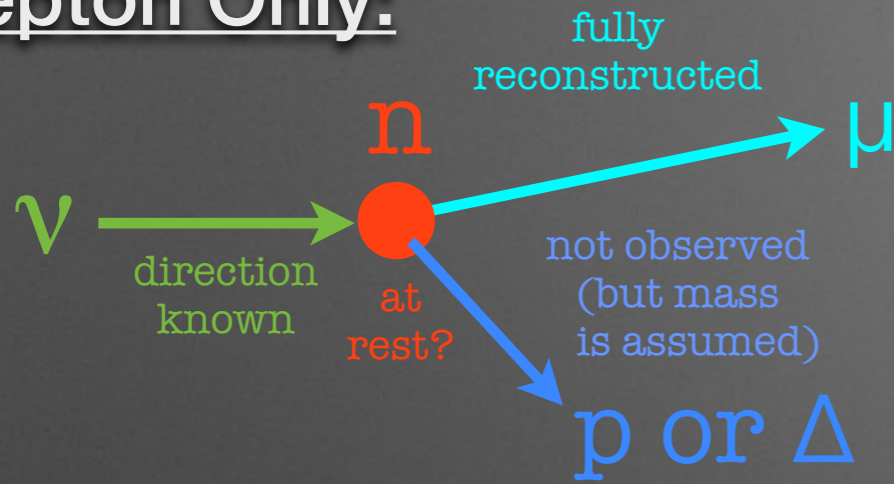
(True deposited hadronic energy) / (True initial hadronic energy)

- Both effects lead to underestimating the neutrino energy (feed down)
- Need to calibrate both leptonic ( $e$  &  $\mu$ ) & hadronic energy scales and energy tails (variance)

# Measuring $E_\nu$

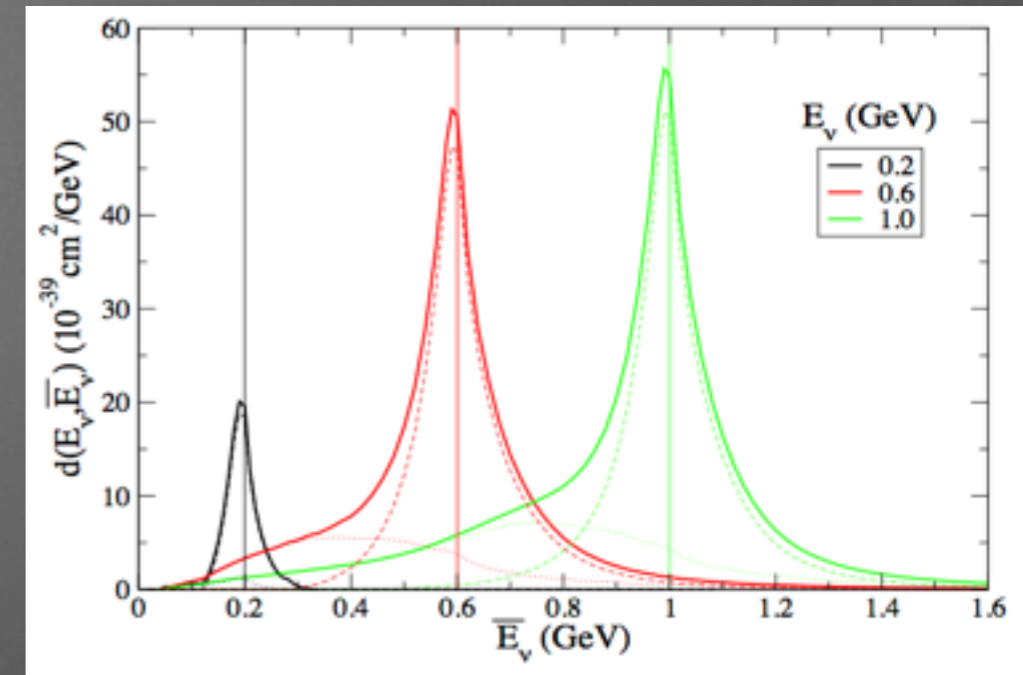
Martini et al. arXiv:1211.1523

## Lepton Only:

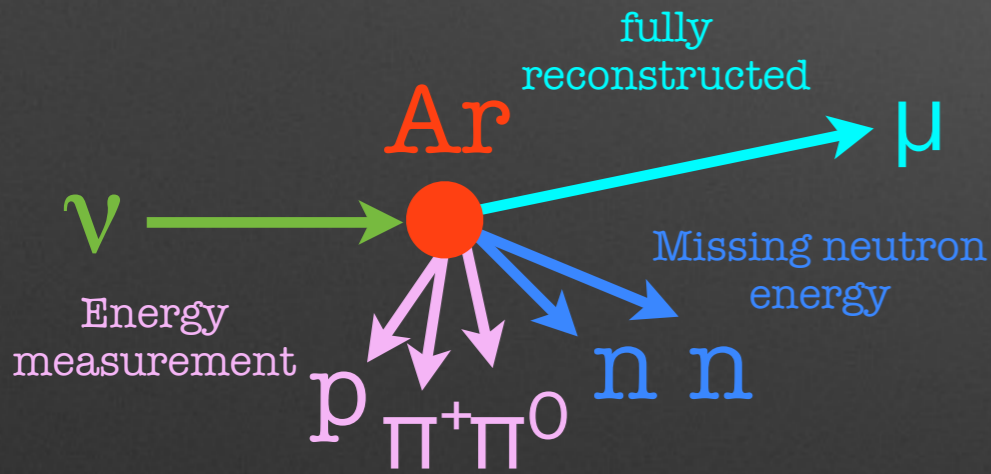


Must assume mass of recoiling hadron(s)

Problematic! due to Multi-nucleon interactions



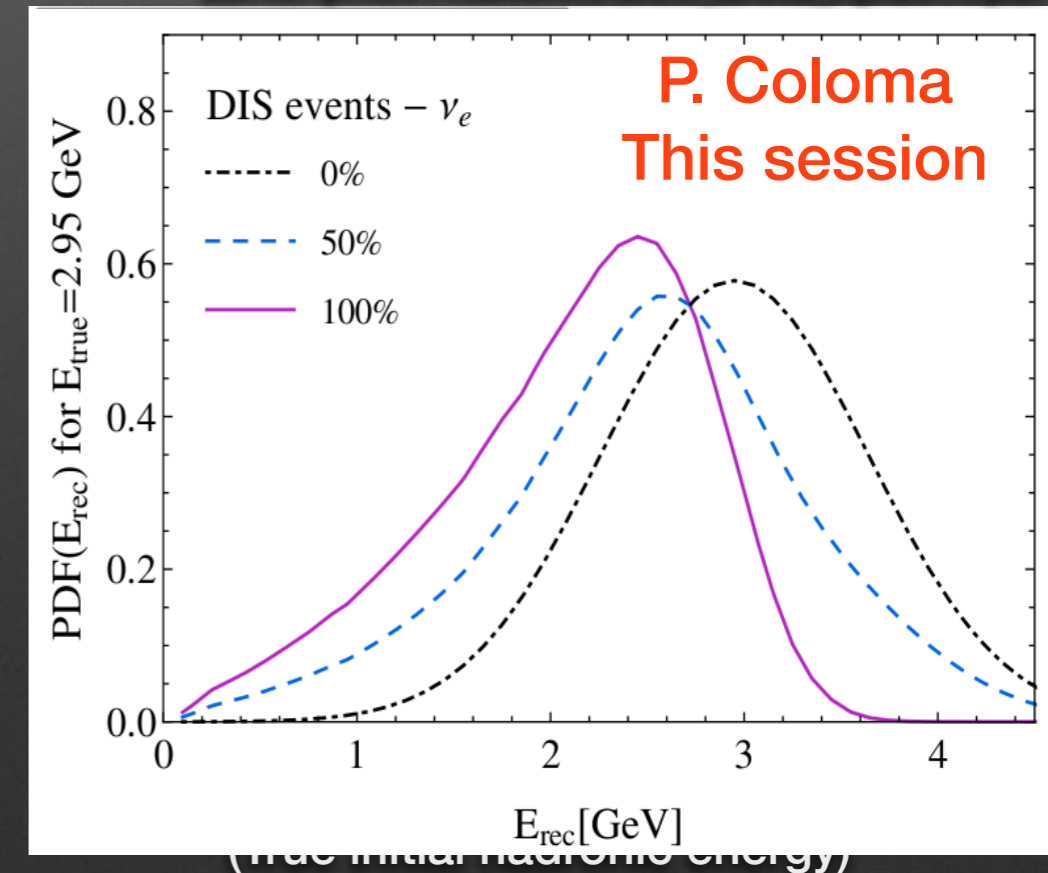
## Lepton + Hadronic Energy:



Energy loss is worse at lower  $E_\nu$

Different for  $\nu$  and anti- $\nu$

[http://public.lanl.gov/friedland/LBNEApril2014/LBNEApril2014talks/McGrew\\_LANL\\_Apr2014.pdf](http://public.lanl.gov/friedland/LBNEApril2014/LBNEApril2014talks/McGrew_LANL_Apr2014.pdf)

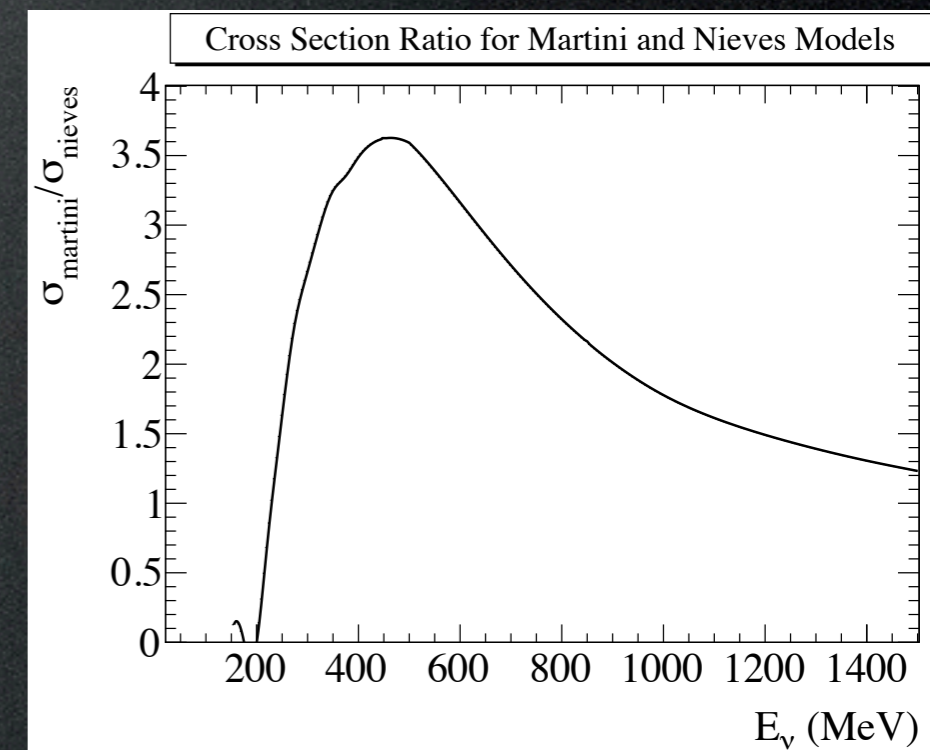
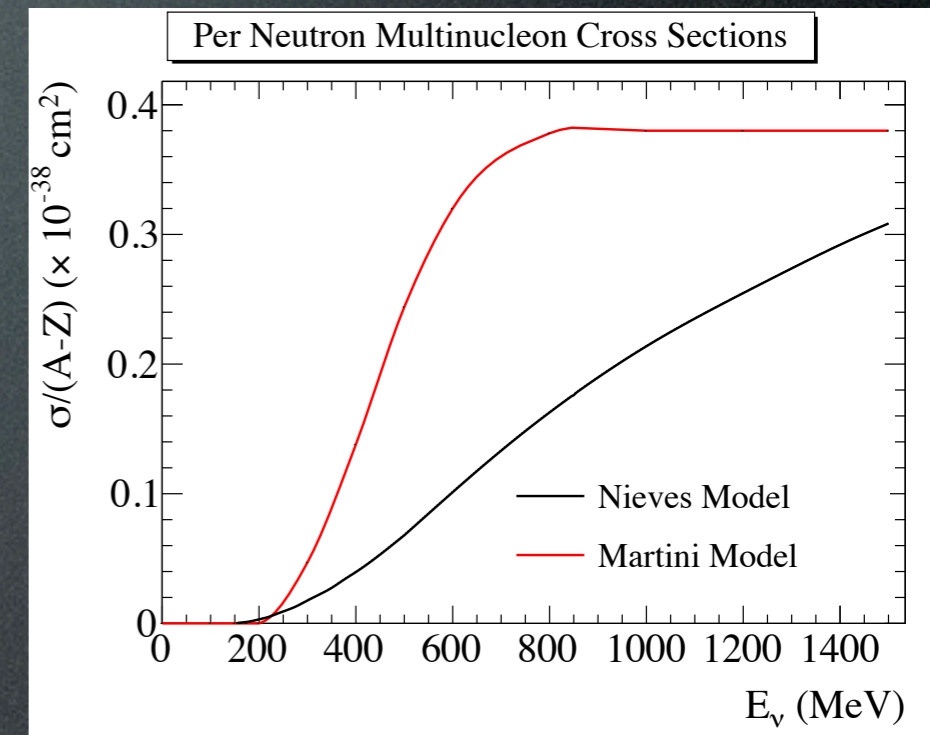


P. Coloma  
This session

- Both effects lead to underestimating the neutrino energy (feed down)
- Need to calibrate both leptonic ( $e$  &  $\mu$ ) & hadronic energy scales and energy tails (variance)

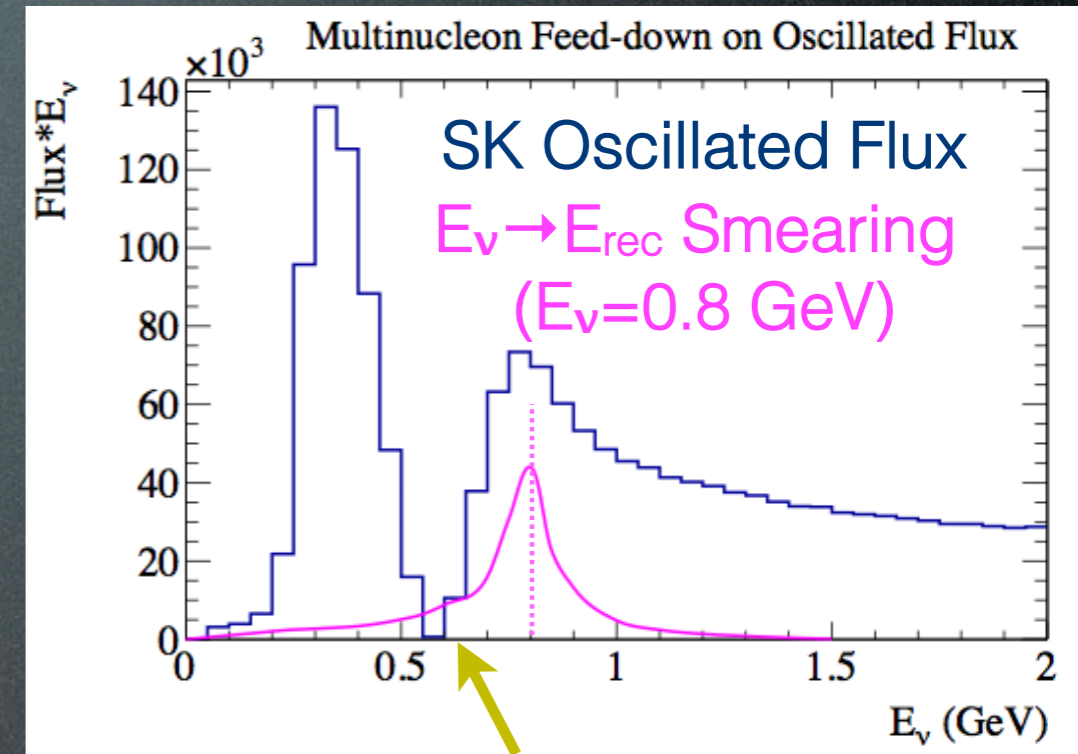
# Current State of Multinucleon Interaction Modeling

- The two most commonly used multinucleon models can be compared
  - J. Nieves, I. Ruiz Simo, and M. J. Vicente Vacas, PRC 83:045501 (2011)
  - M. Martini, M. Ericson, G. Chanfray, and J. Marteau, PRC 80:065501 (2009)
- Cross section differs by a **factor of 2 to 3** over a large range of neutrino energies
- A theoretical description of neutrino-nucleus interactions at 1 GeV is difficult
  - Will we ever be able to trust these models to the percent level?
  - A direct constraint from data is vital



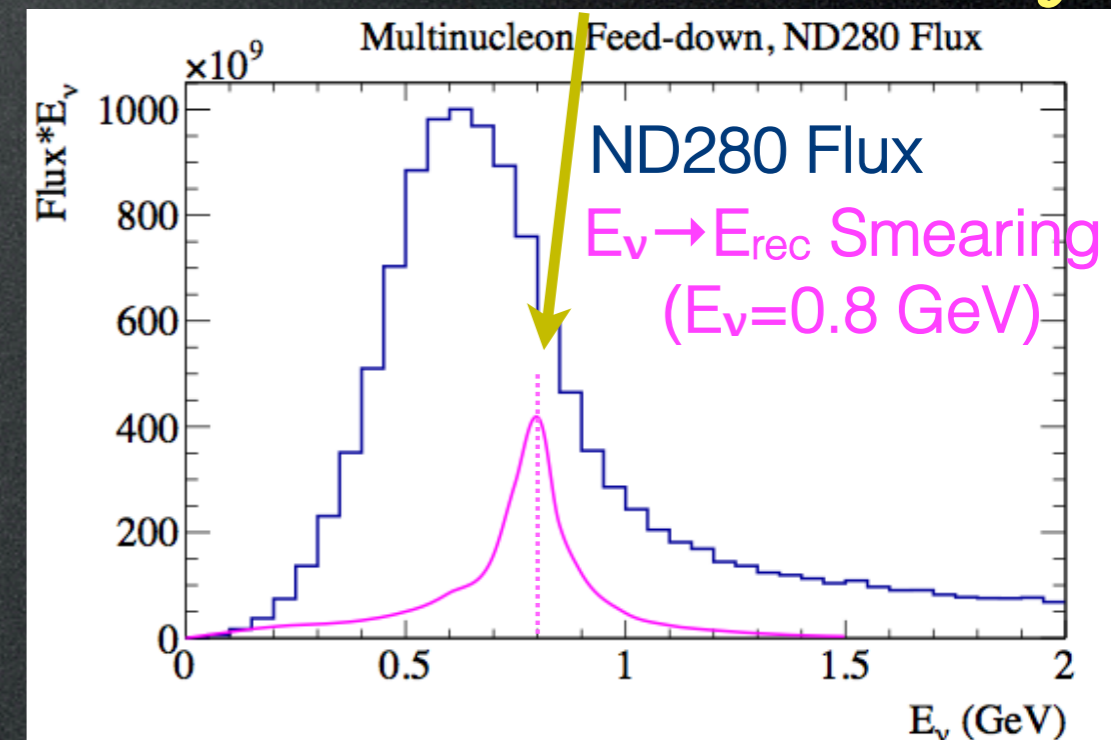
# Constraints from Typical Near Detectors

- Shouldn't cross section systematics cancel in a near/far fit?
  - Some errors, like total normalization, will cancel
- However, multi-nucleon effect causes feed-down of events into oscillation dip
  - Cannot disentangle with near detectors
    - Energy spectrum is not oscillated
- More multi-nucleon = smaller dip
  - **Multi-nucleon effects are largely degenerate with mixing angle effect!**



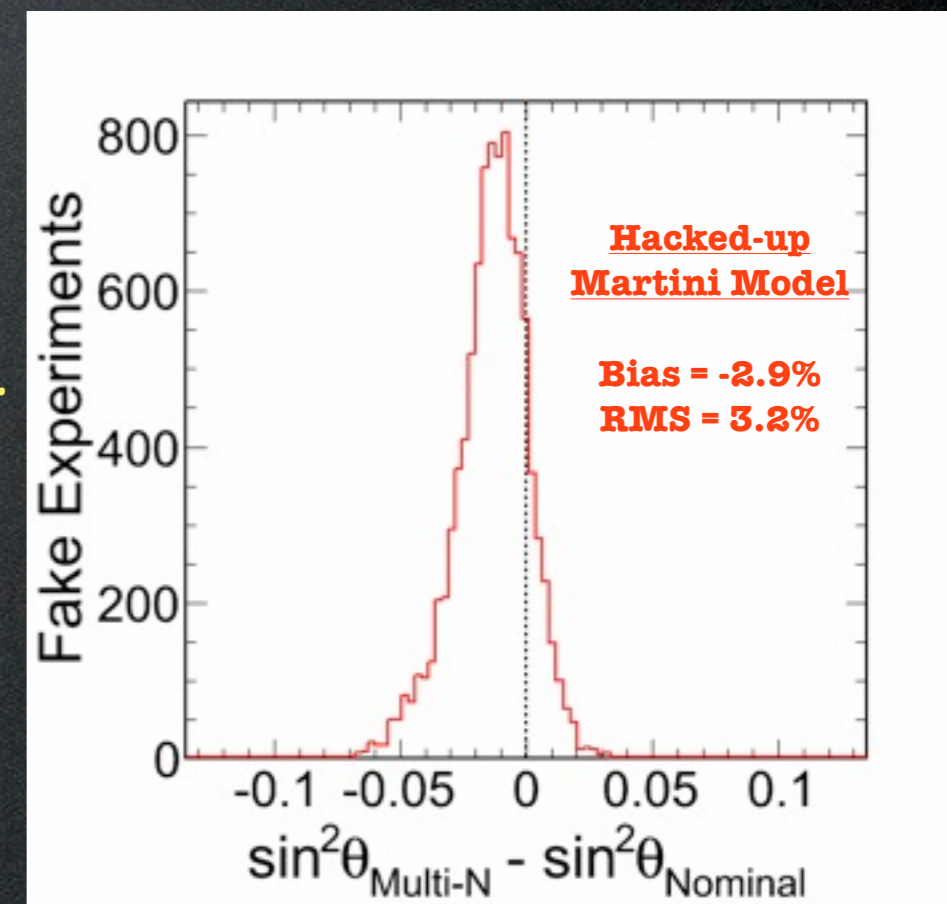
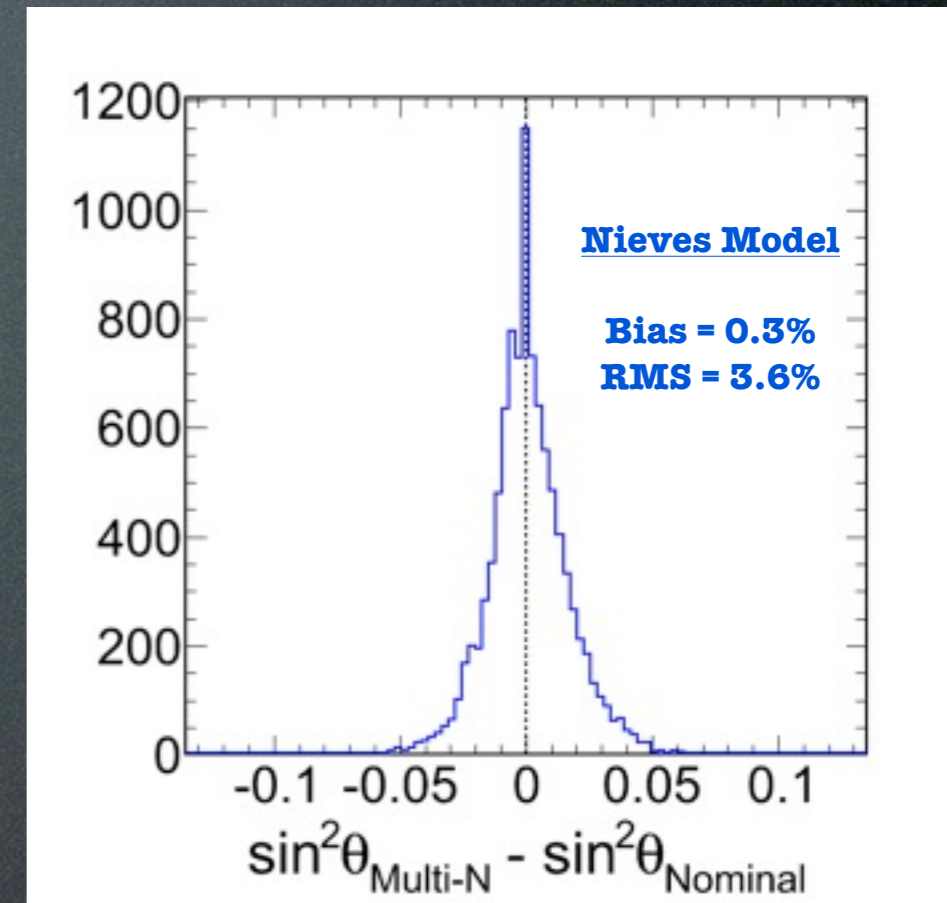
**Mixing Angle Bias!**

**Near detectors lack sensitivity**



# Effect on T2K $\nu_\mu$ Disappearance

- Create “fake data” samples with flux and cross section variations
  - With and without multi-nucleon events
- For each fake data set, full T2K near/far oscillation fit is performed
  - For each variation, plot difference with and without multi-nucleon events
- For Nieves model, “average bias” (RMS) = **3.6%**
- For Martini model, mean bias = -2.9%, RMS = 3.2%
  - Full systematic =  $\sqrt{(2.9\%^2 + 3.2\%^2)} = \mathbf{4.3\%}$
  - **This is expected to be one of the largest systematic uncertainties for the full T2K run**
- But this is just a comparison of 2 models
  - How much larger could the actual systematic uncertainty be?
- **A data-driven constraint is needed**



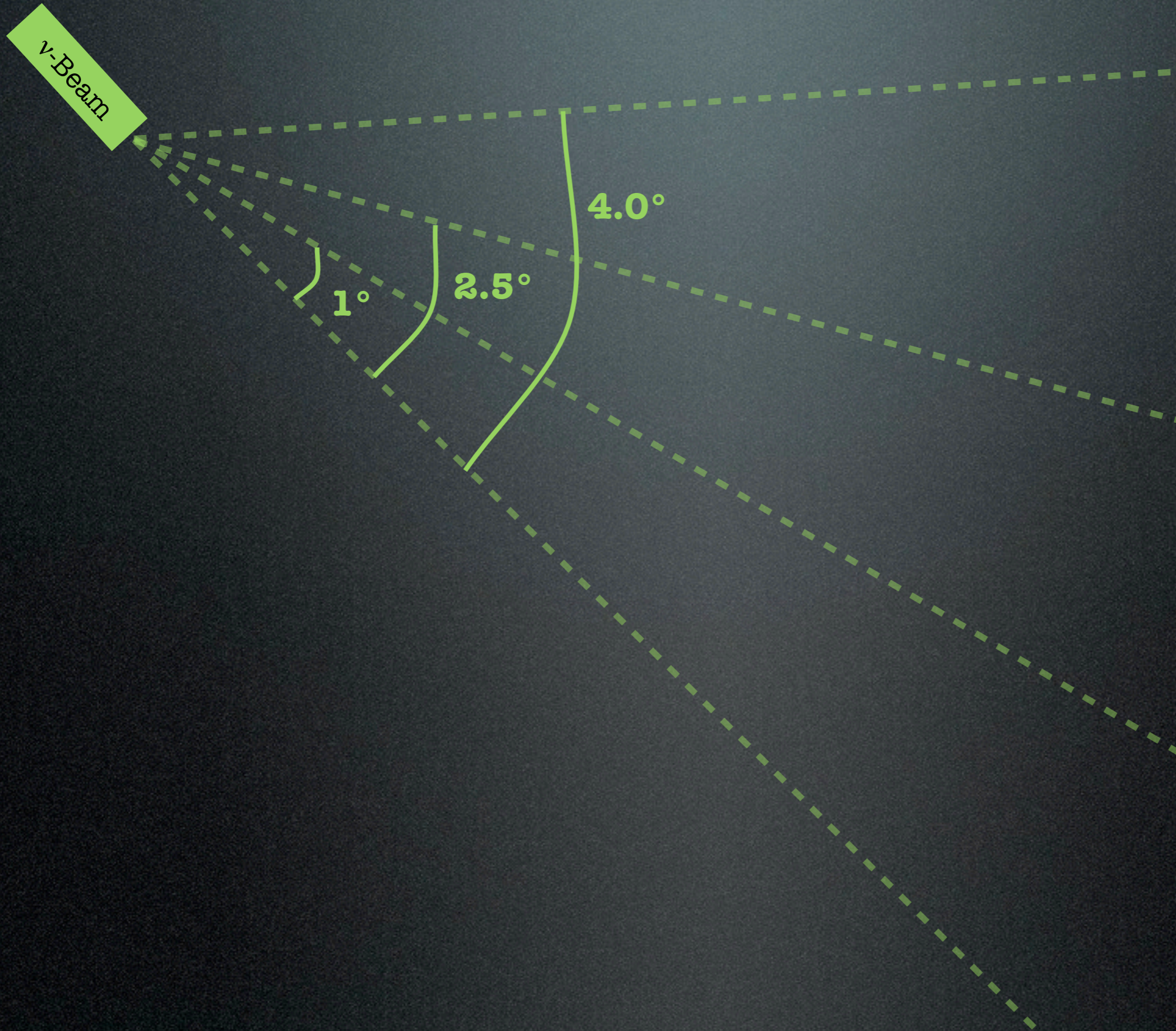


**Can the  $E_\nu$  problem be  
solved experimentally?**

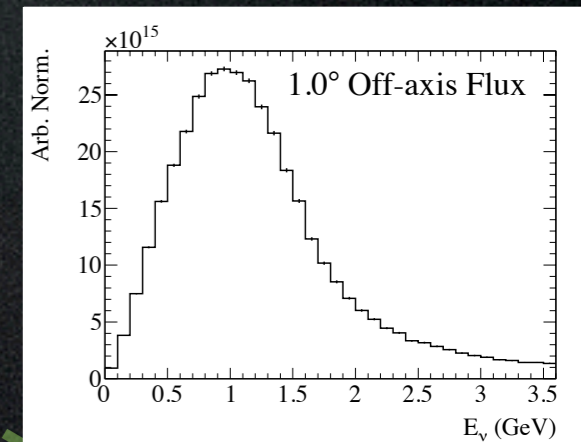
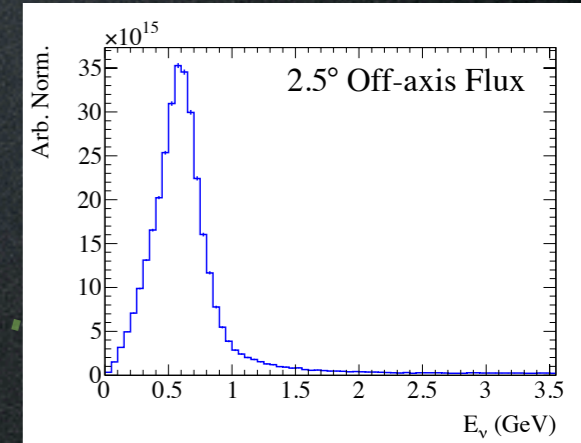
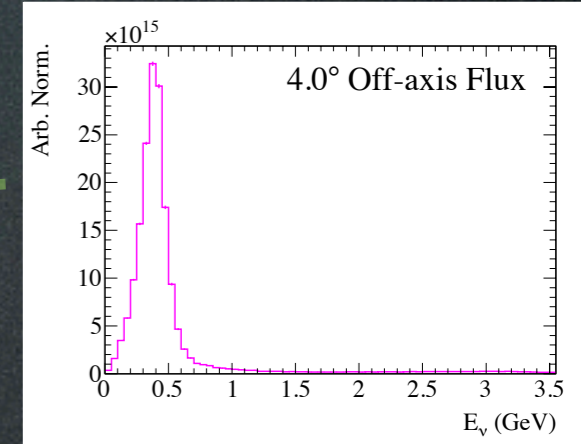
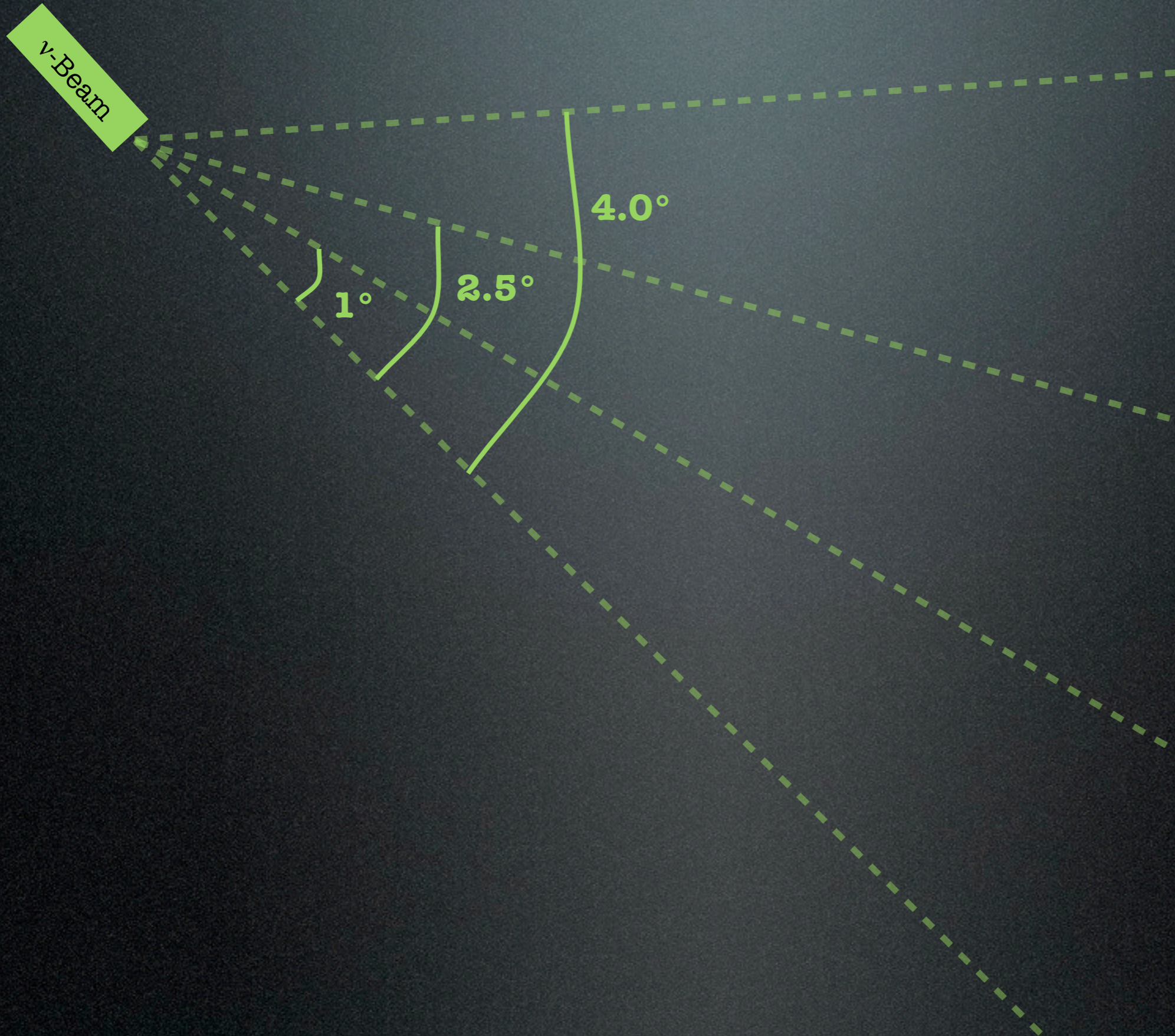
# NuPRISM Detector Concept



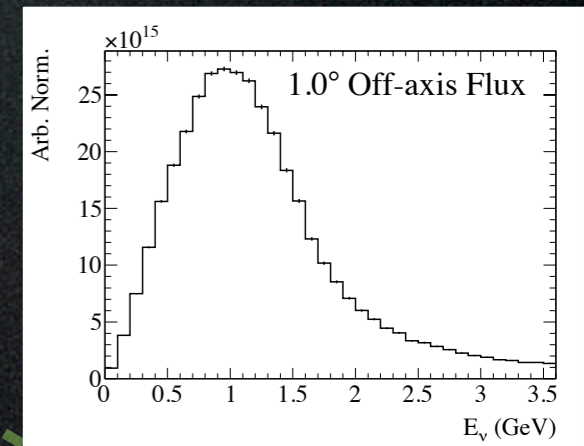
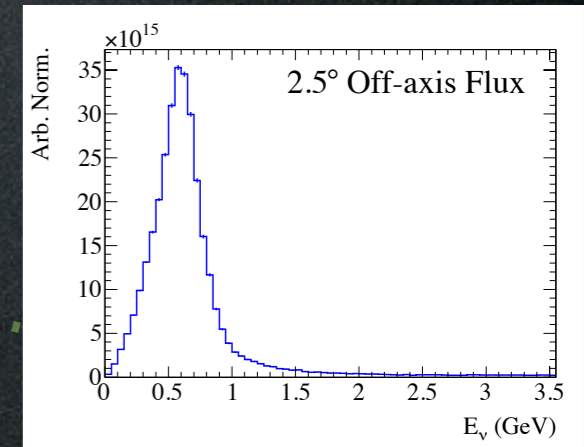
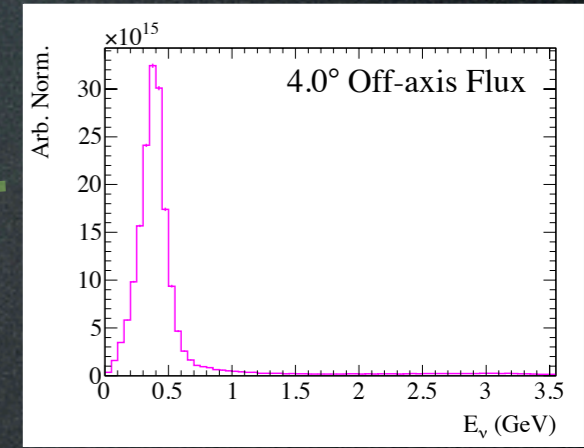
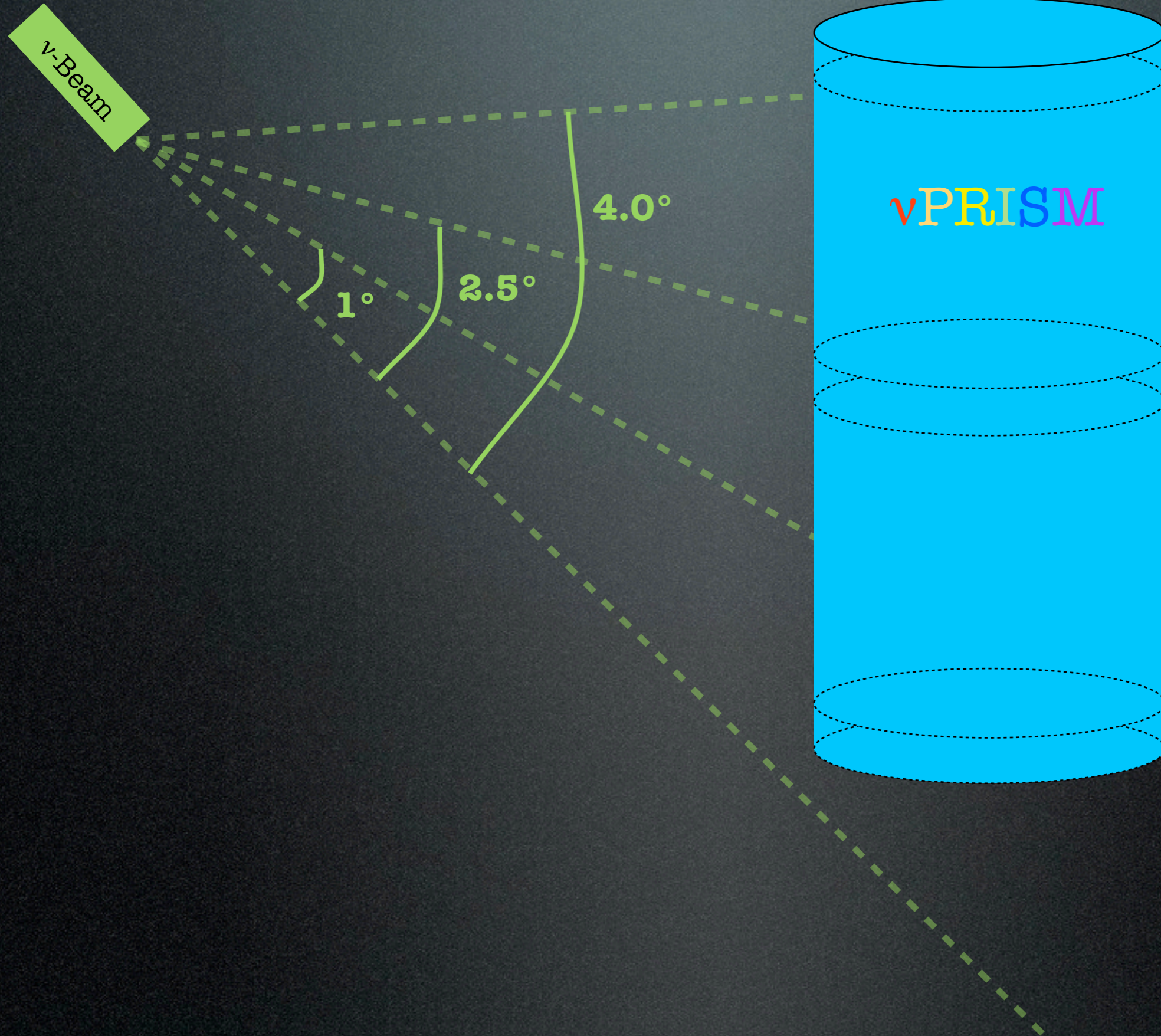
# NuPRISM Detector Concept



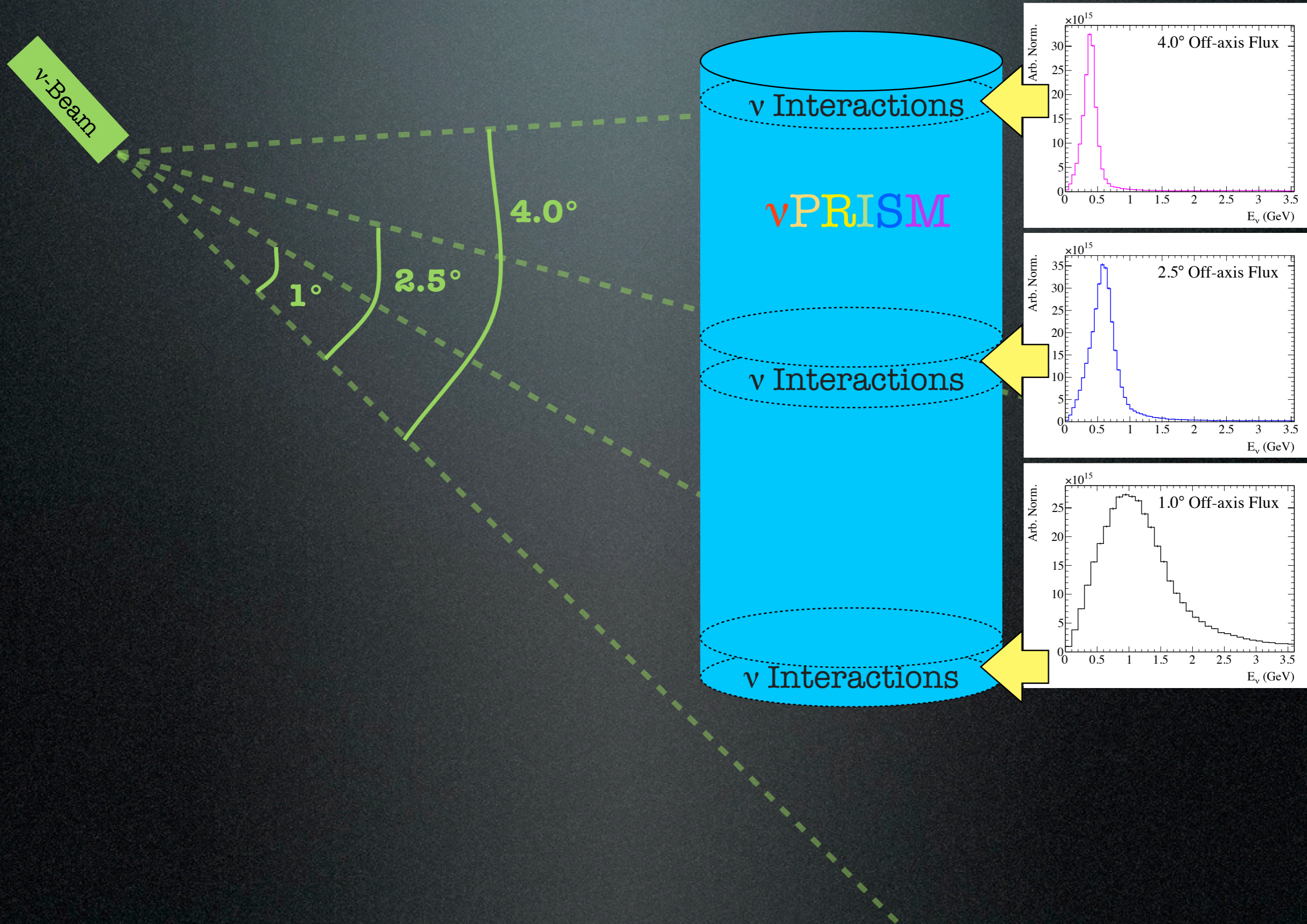
# NuPRISM Detector Concept



# NuPRISM Detector Concept

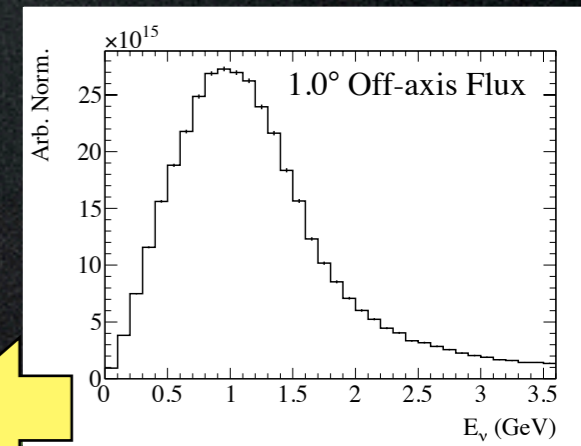
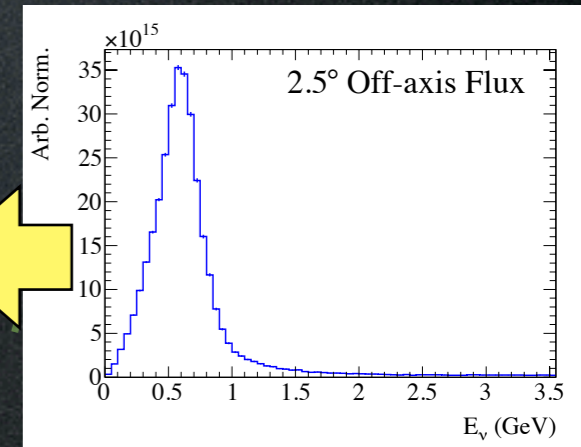
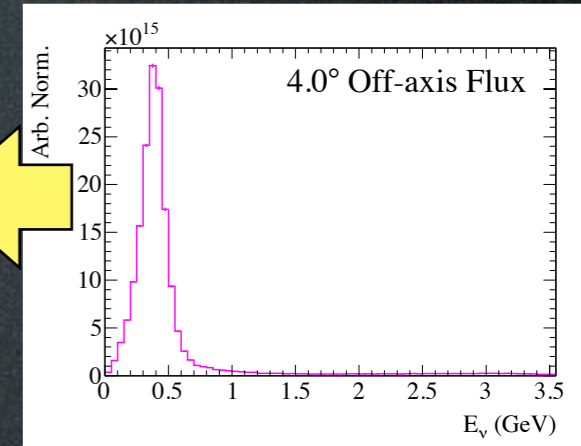
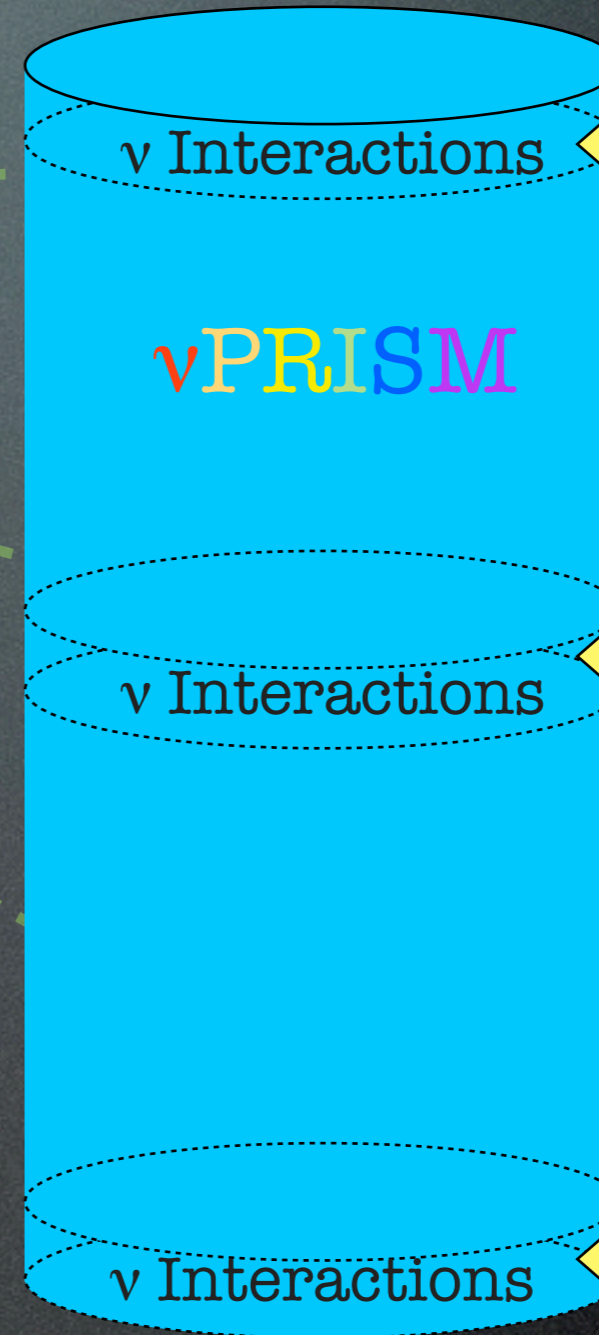
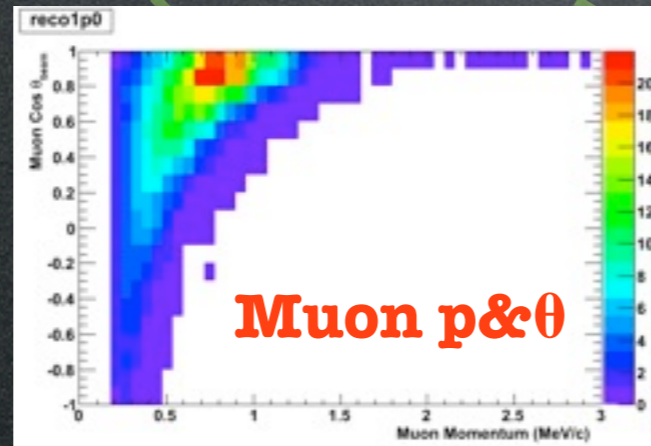
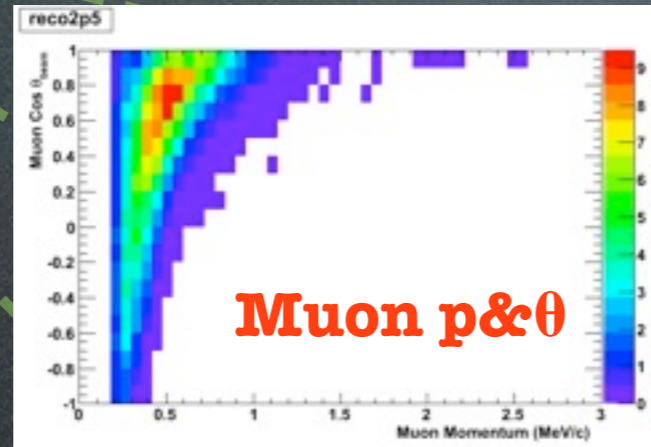
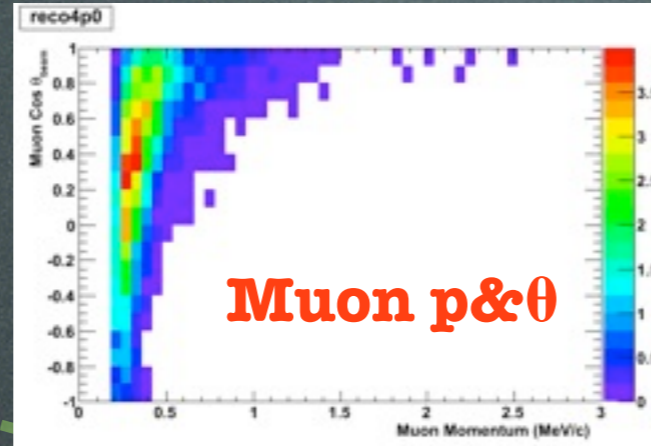


# NuPRISM Detector Concept



# NuPRISM Detector Concept

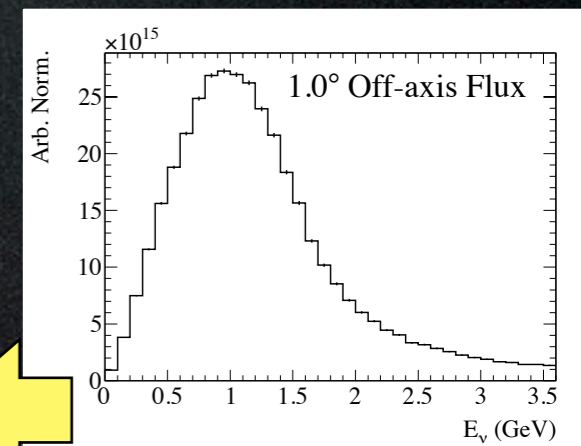
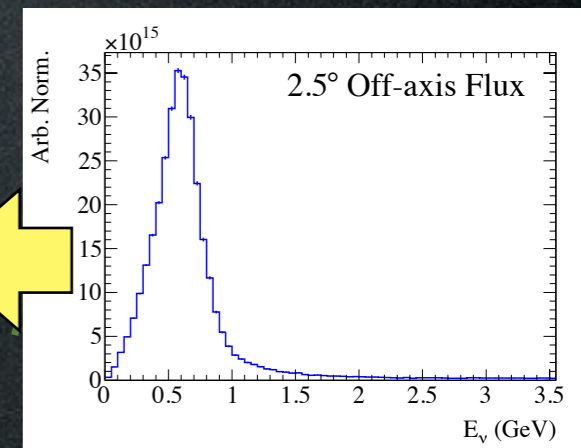
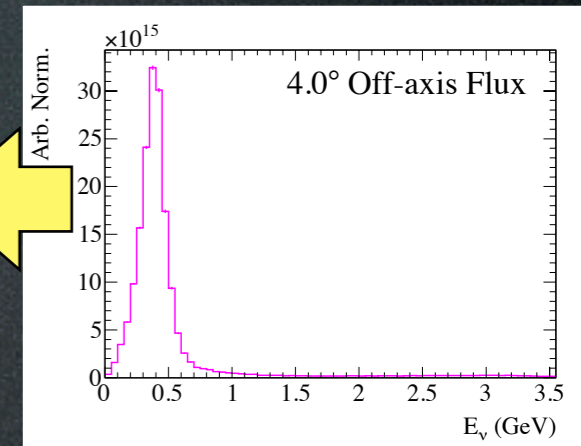
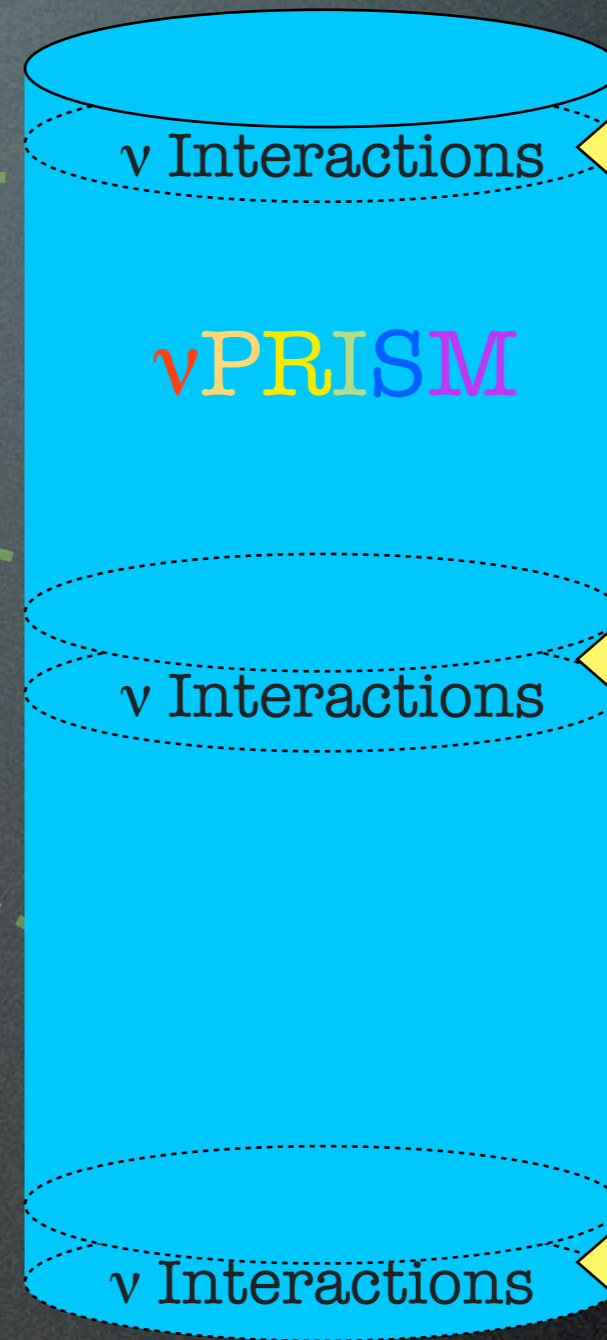
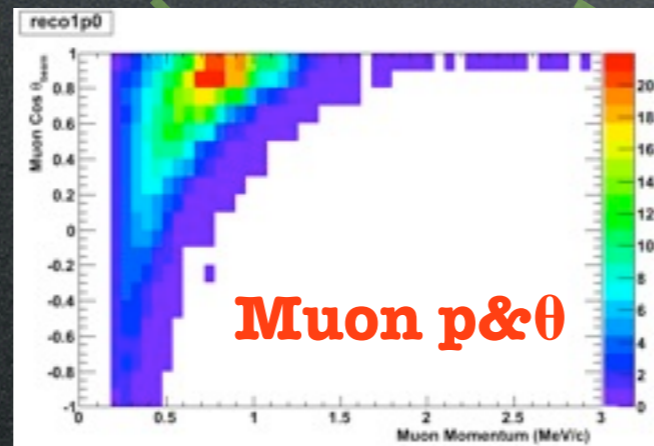
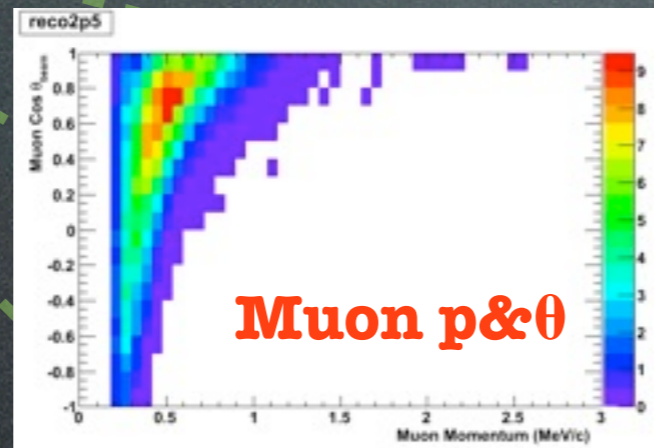
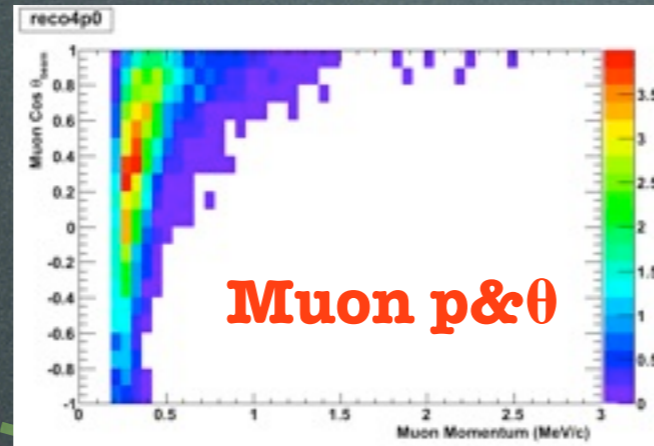
$\nu$ -Beam



# NuPRISM Detector Concept

$\nu$ -Beam

Take linear combinations!



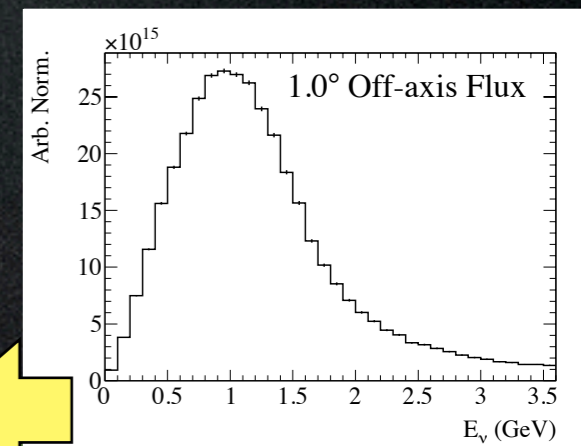
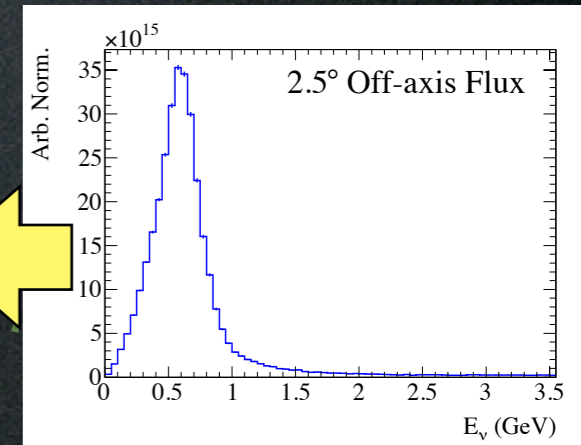
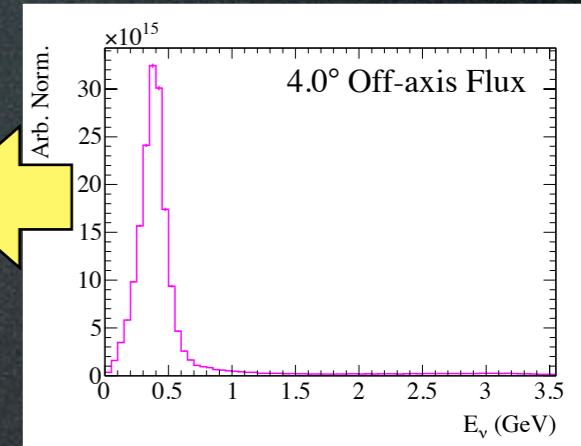
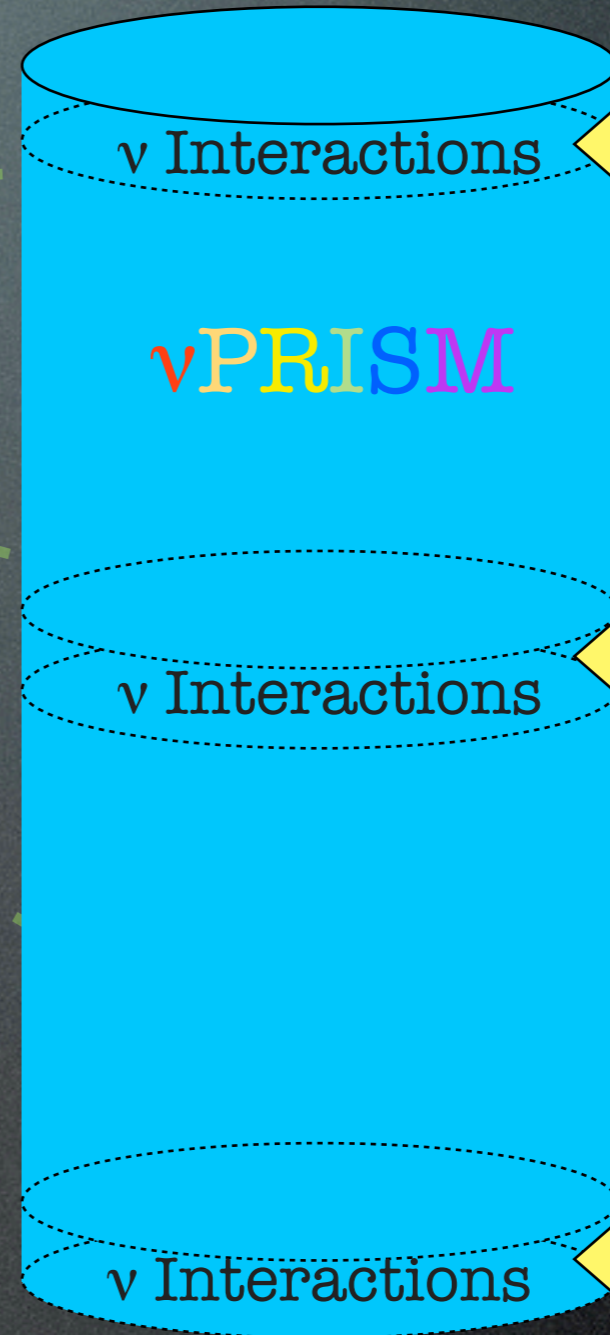
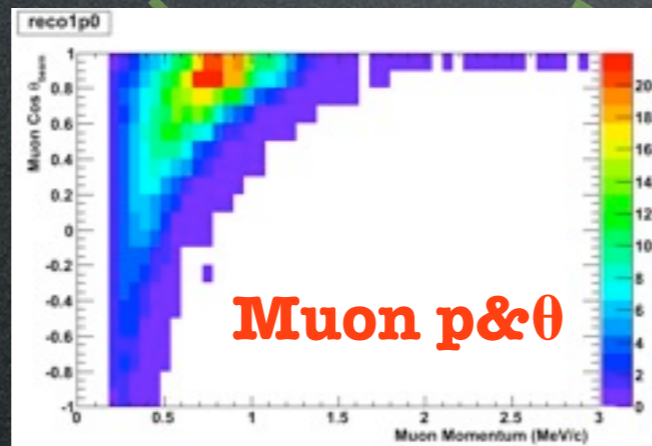
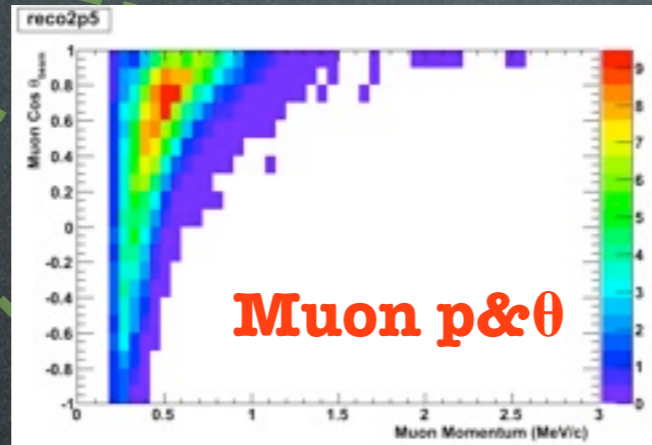
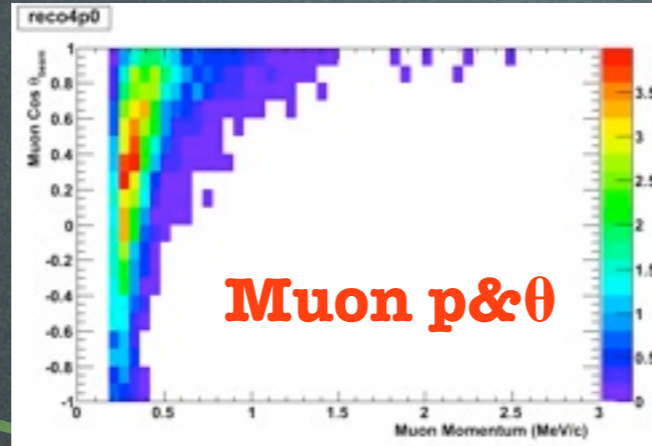


# NuPRISM Detector Concept

$\nu$ -Beam

-0.5 \*

Take linear combinations!



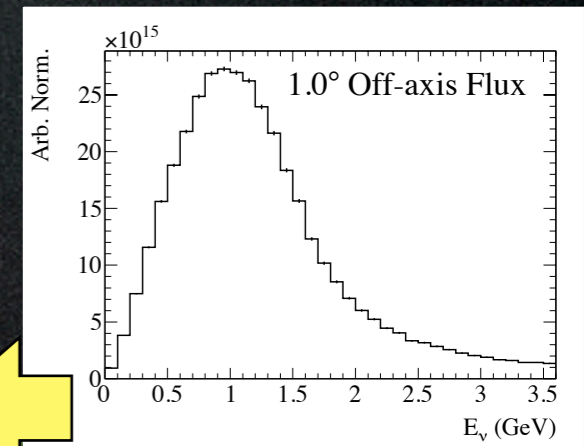
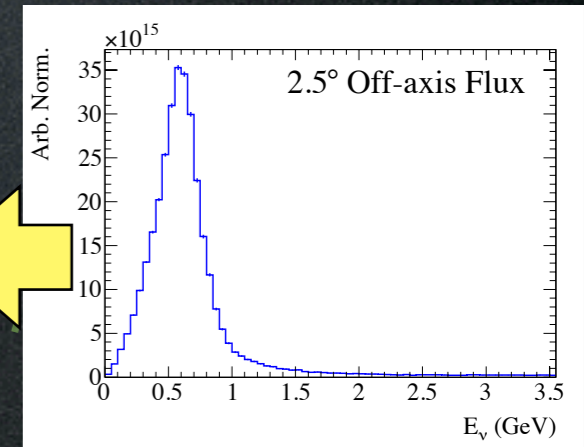
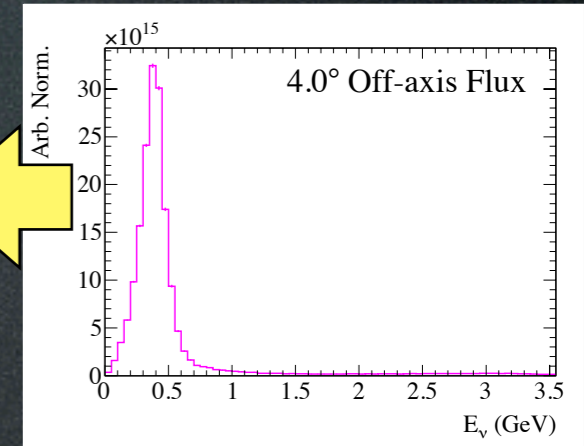
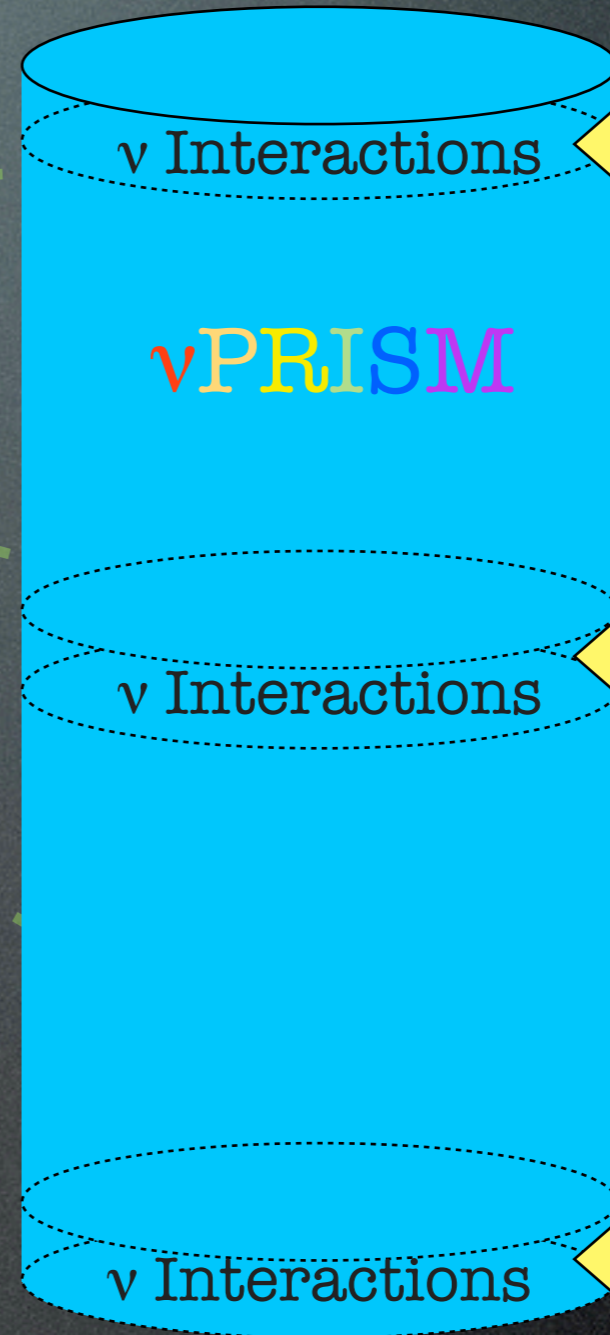
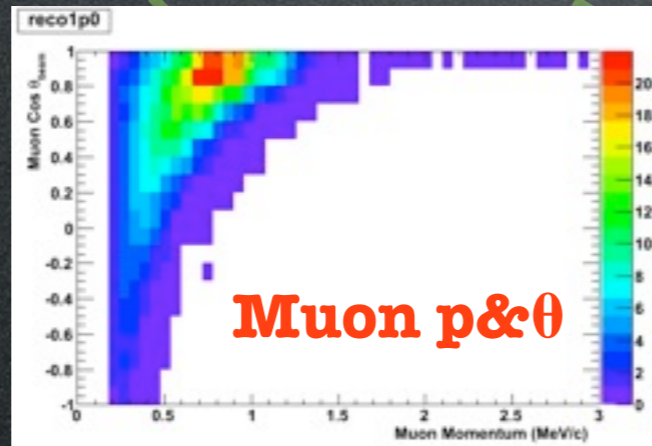
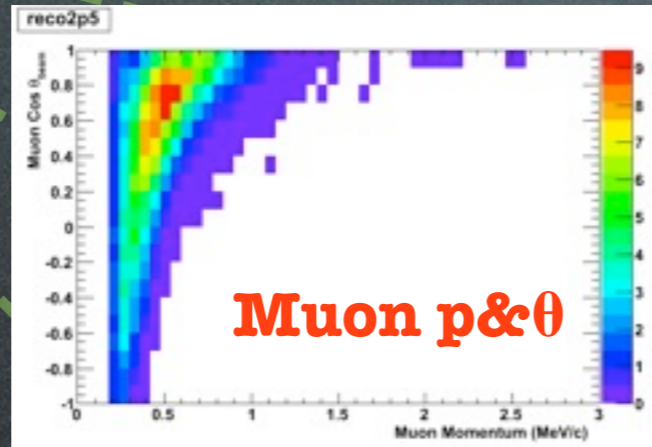
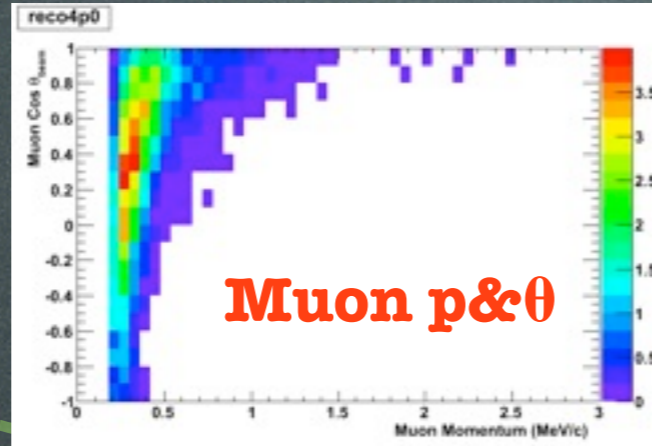
# NuPRISM Detector Concept

$\nu$ -Beam

-0.5 \*

+1.0 \*

Take linear combinations!



# NuPRISM Detector Concept

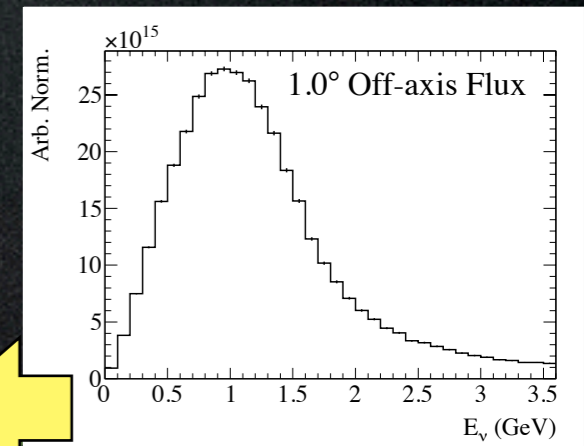
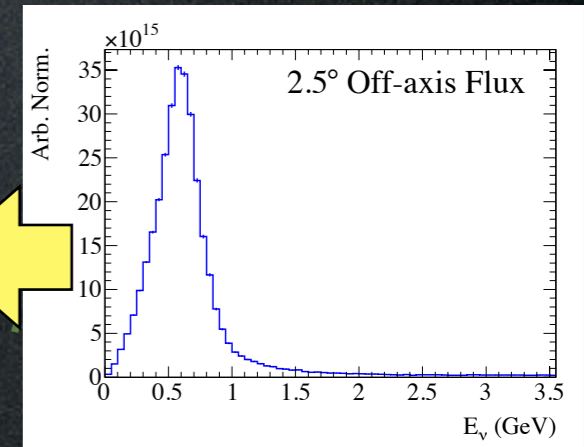
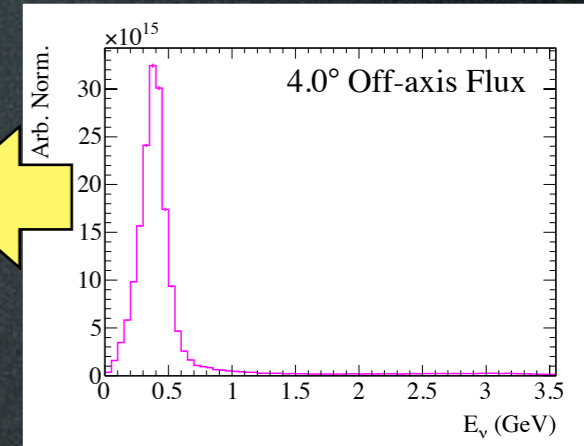
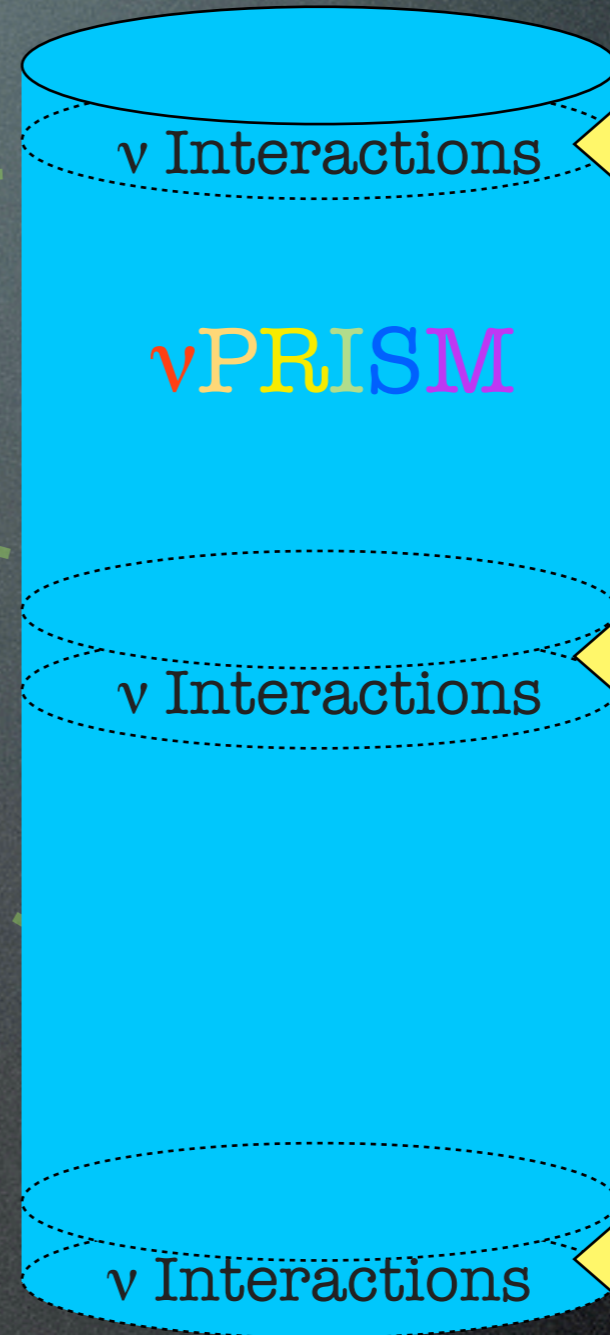
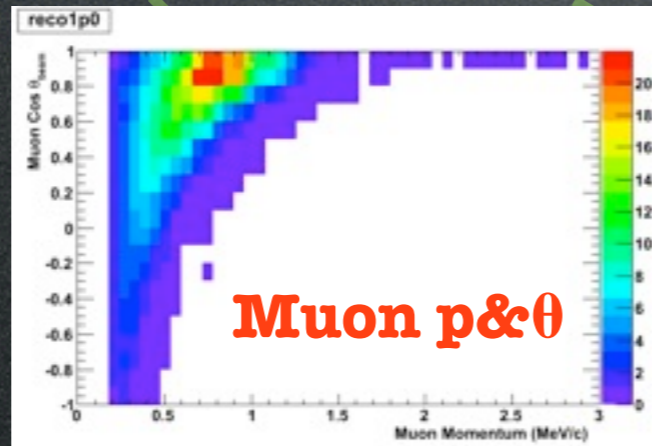
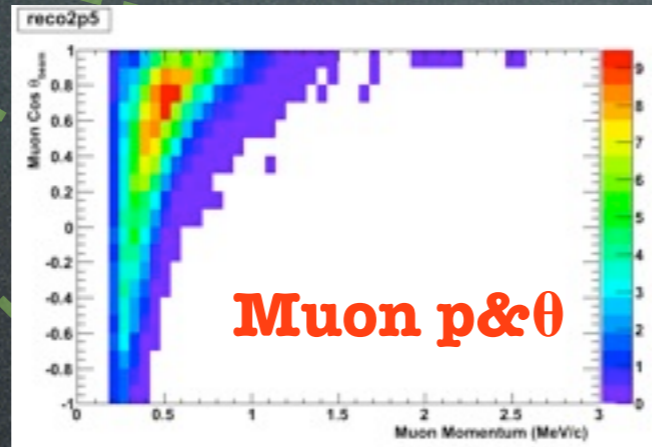
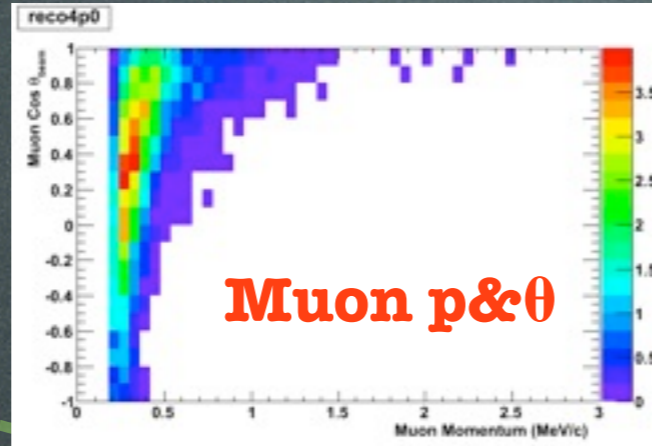
$\nu$ -Beam

$-0.5^*$

$+1.0^*$

$-0.2^*$

Take linear combinations!



# NuPRISM Detector Concept

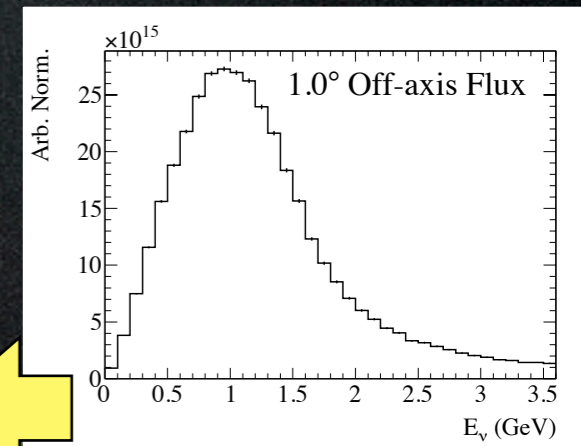
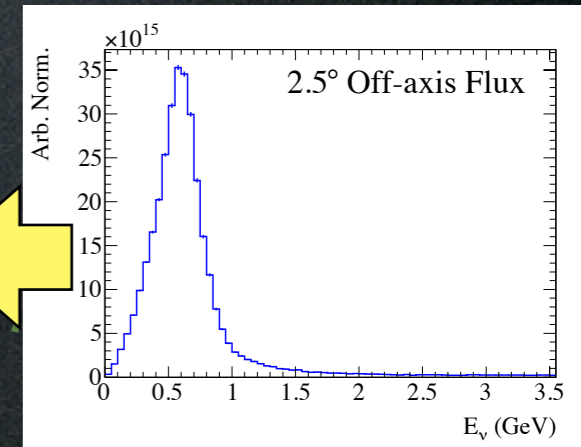
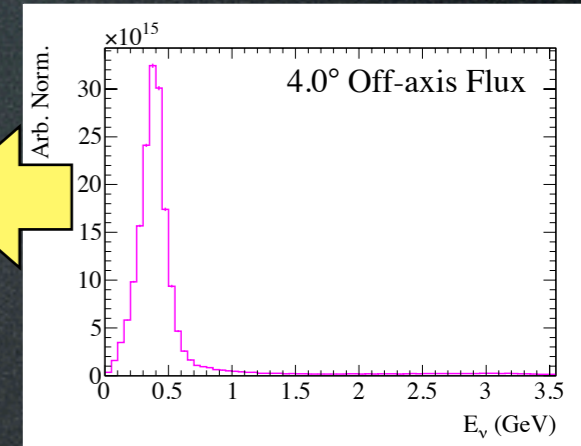
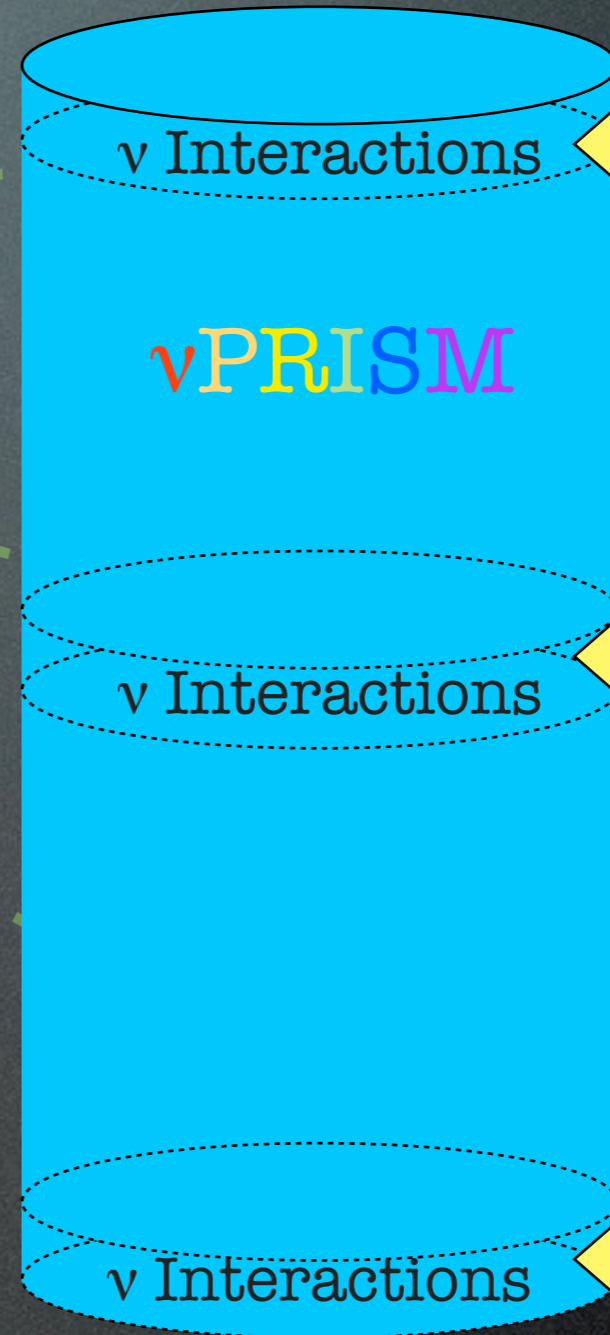
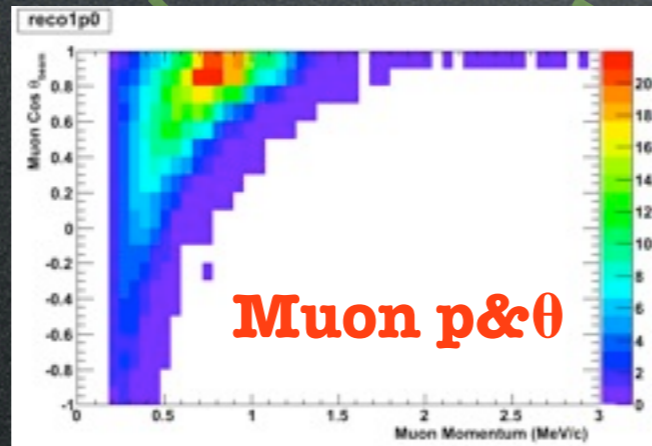
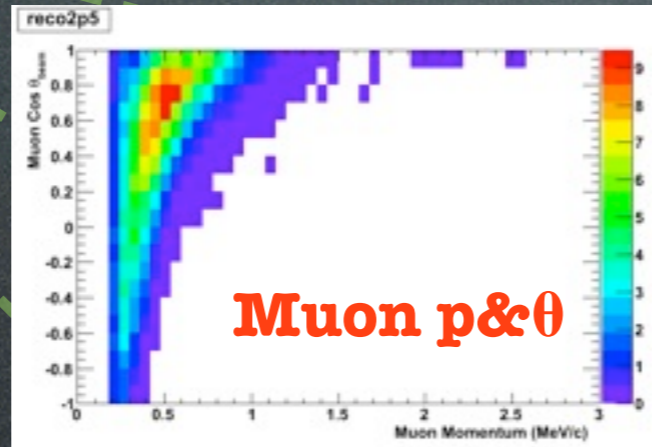
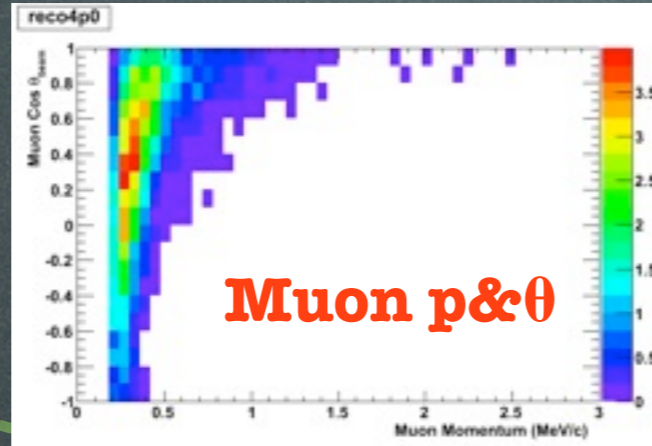
$\nu$ -Beam

$-0.5^*$

$+1.0^*$

$-0.2^*$

Take linear combinations!



# NuPRISM Detector Concept

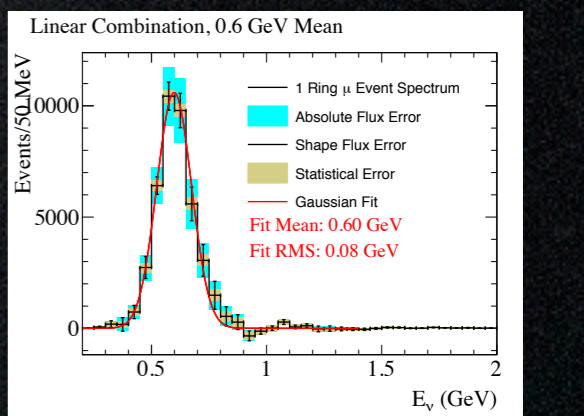
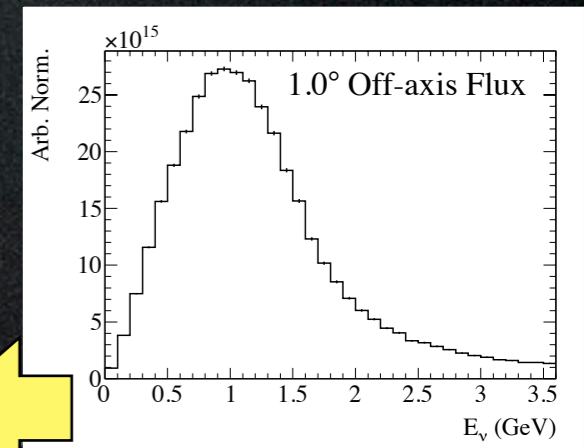
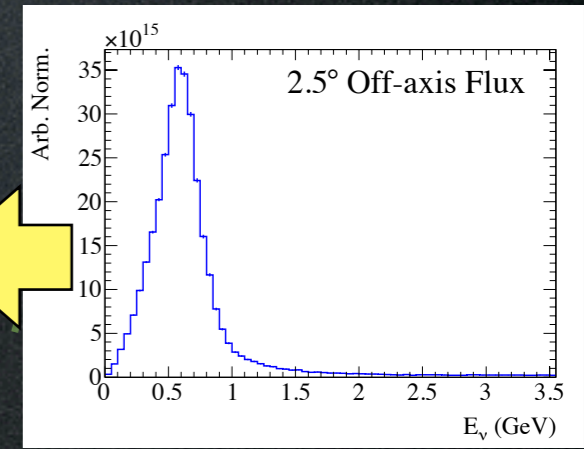
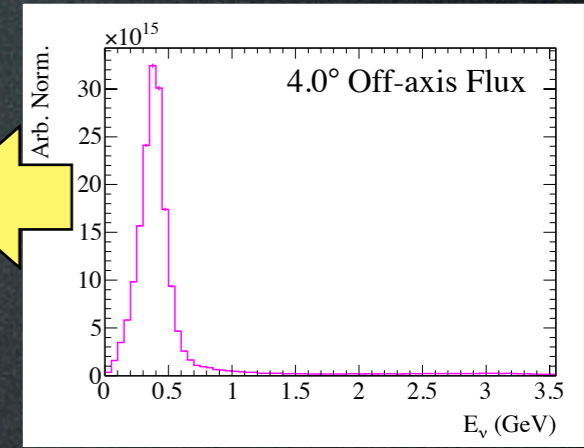
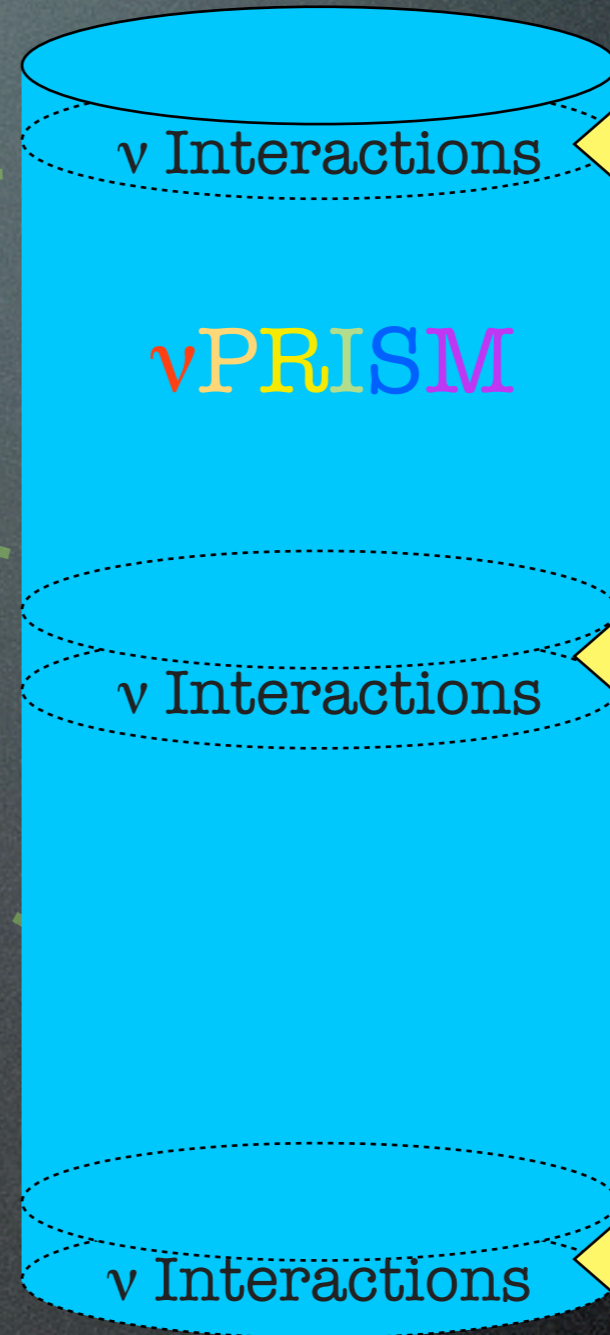
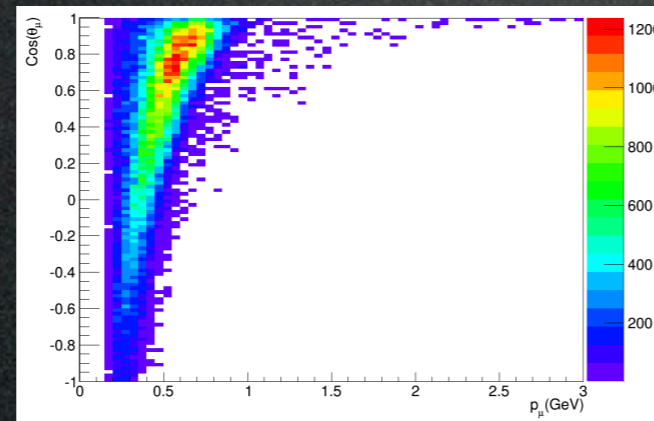
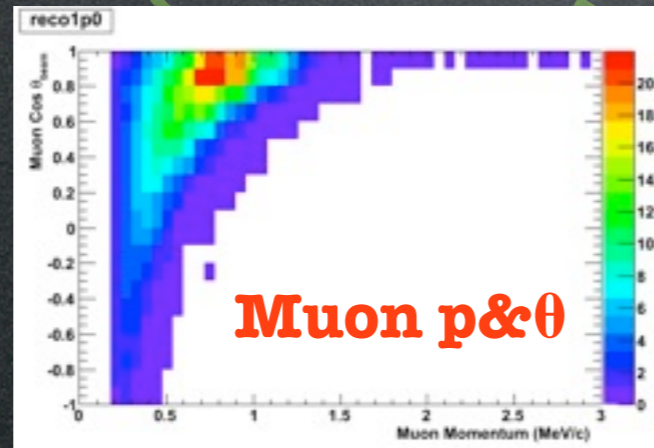
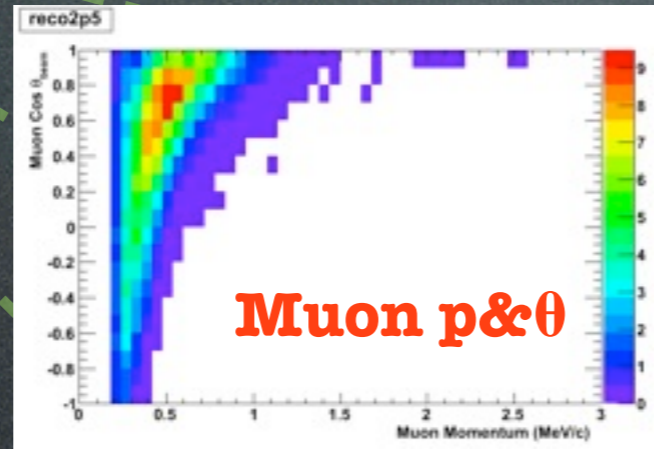
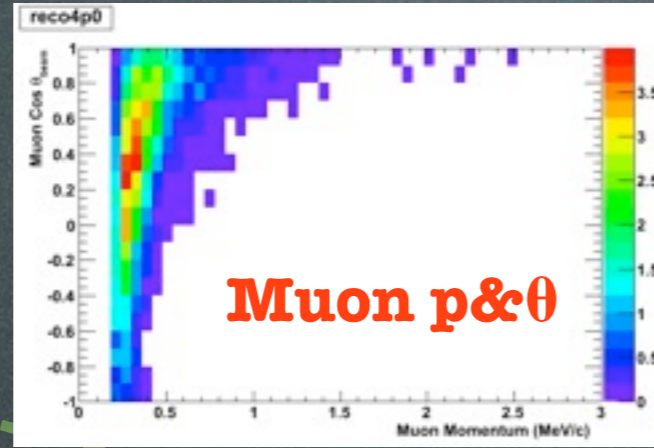
$\nu$ -Beam

-0.5 \*

+1.0 \*

-0.2 \*

Take linear combinations!



# NuPRISM Detector Concept

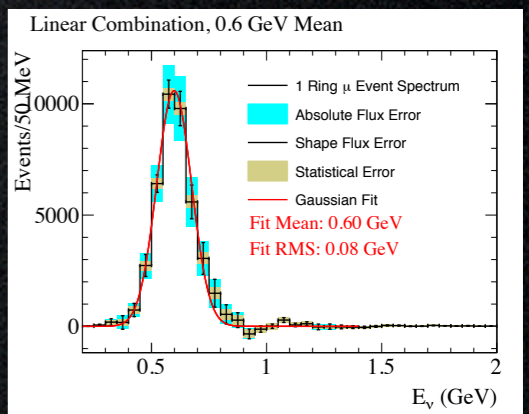
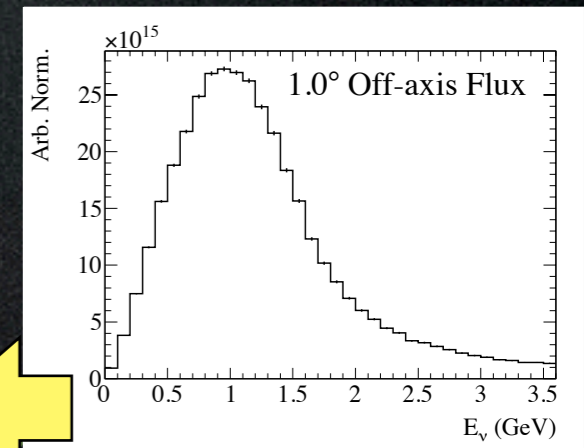
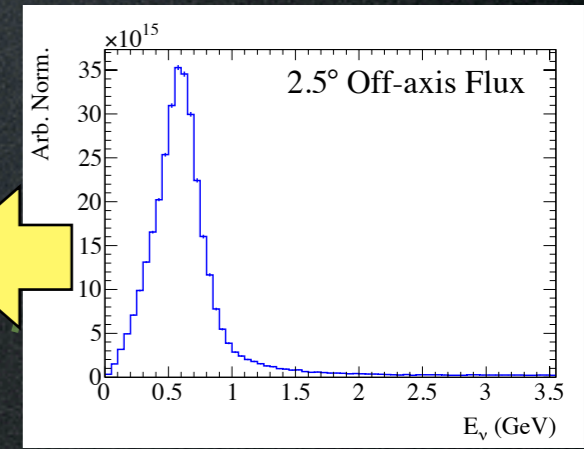
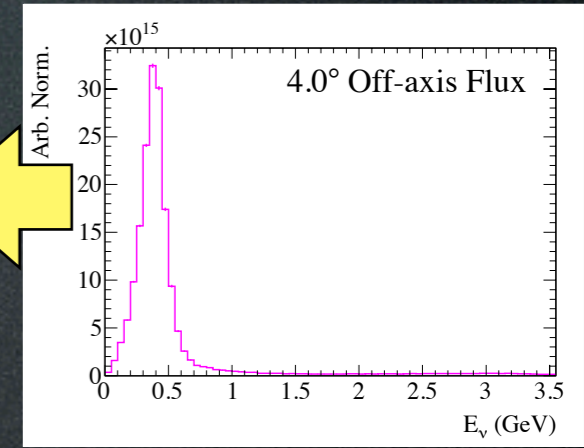
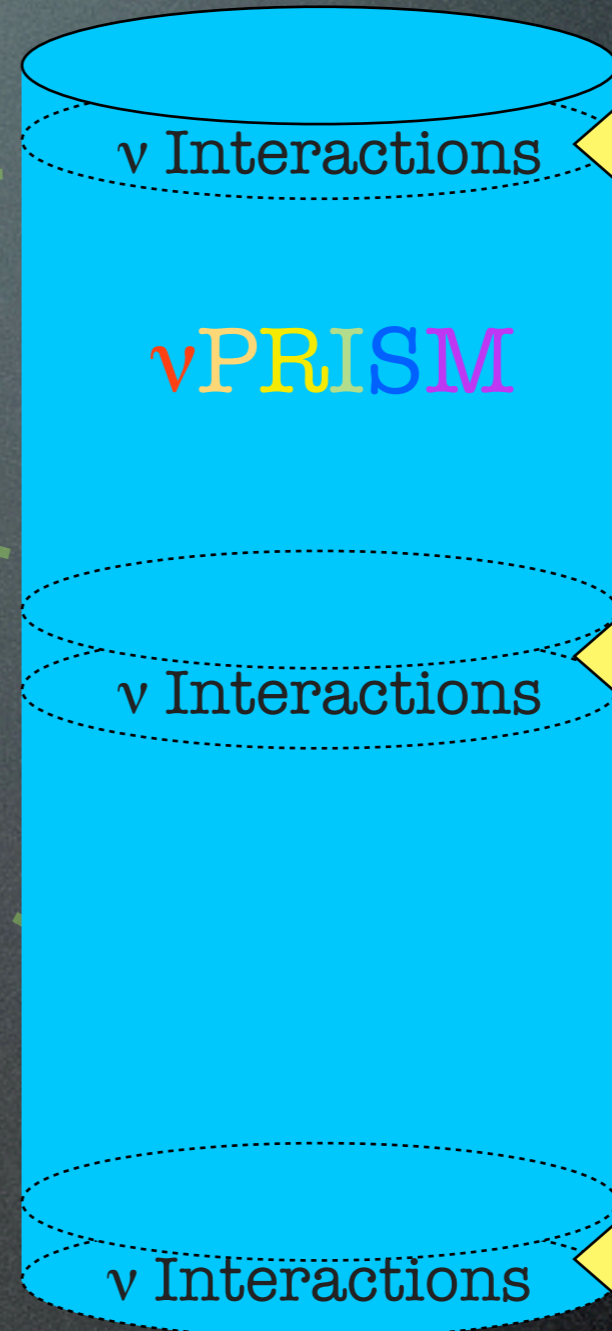
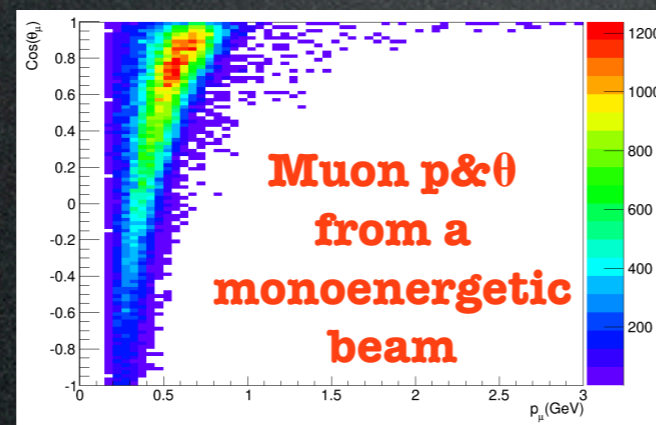
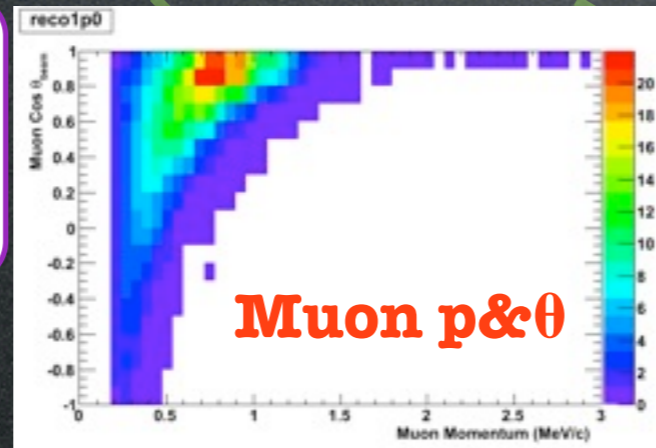
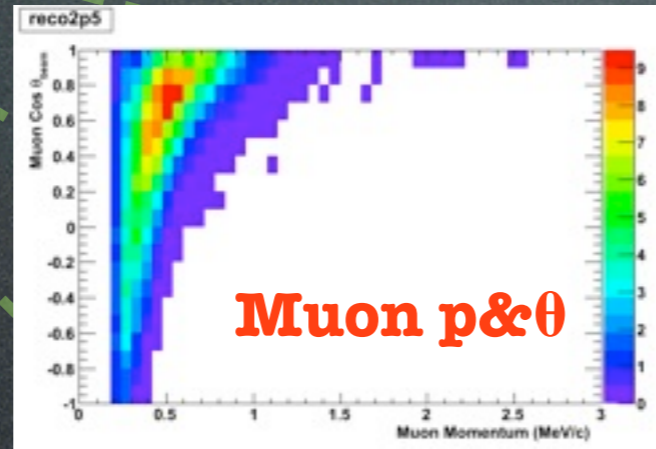
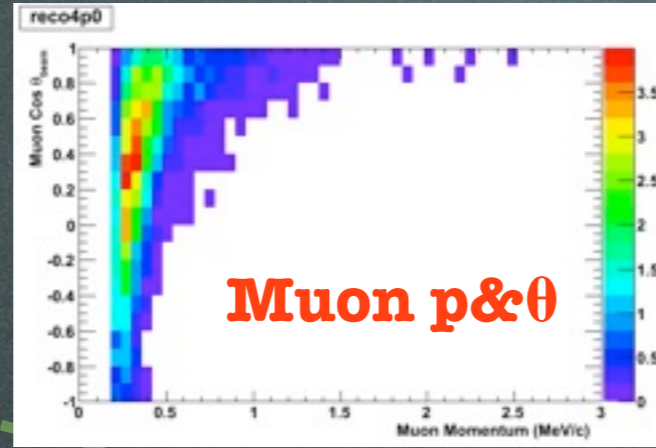
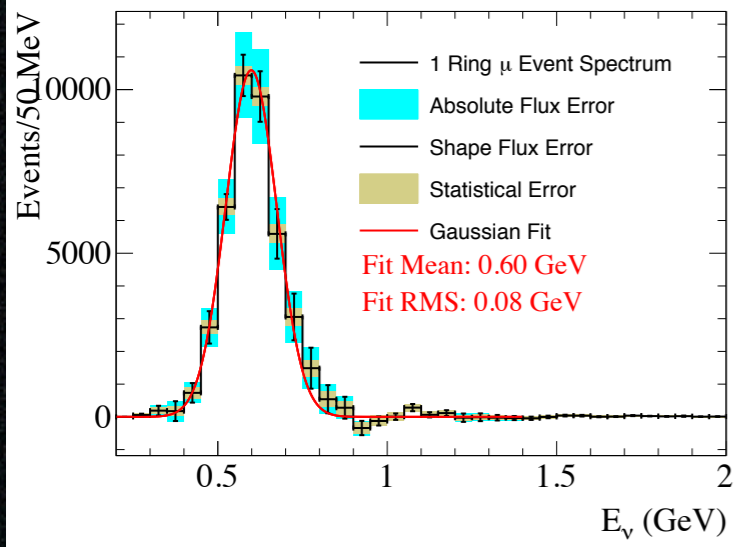
$\nu$ -Beam

-0.5 \*

+1.0 \*

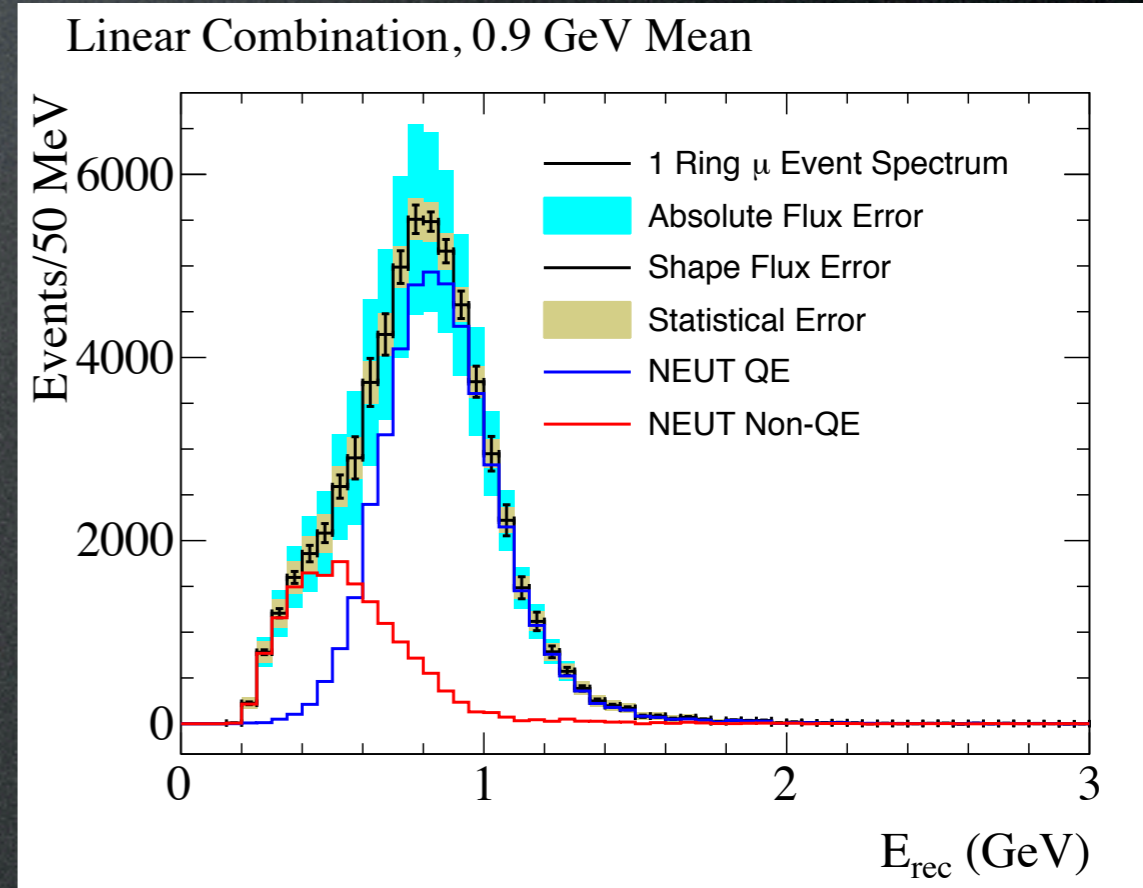
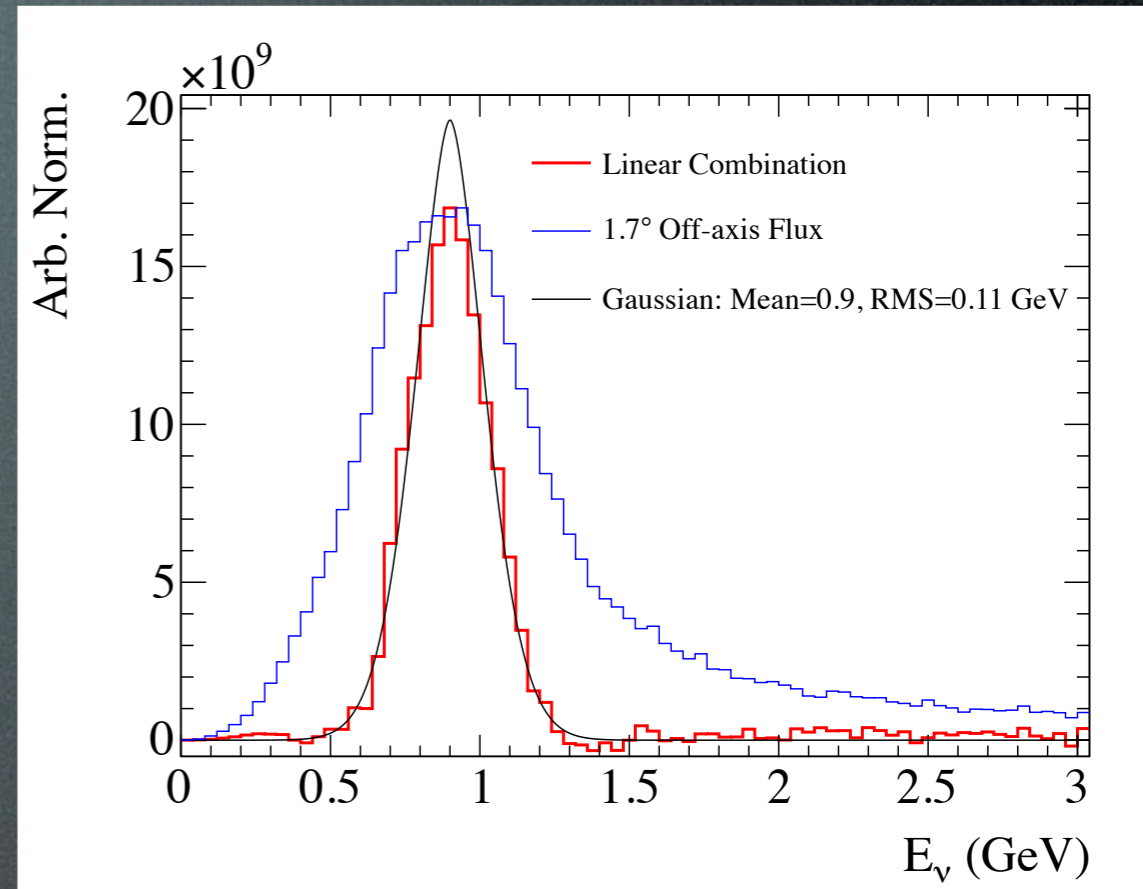
Take linear combinations!

600 MeV Monoenergetic Beam  
using 60 slices  
in off-axis angle



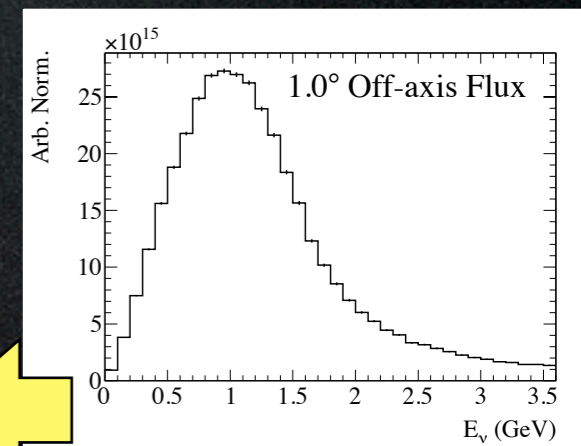
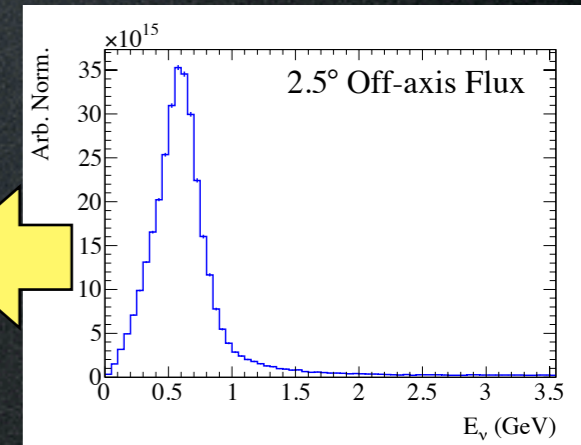
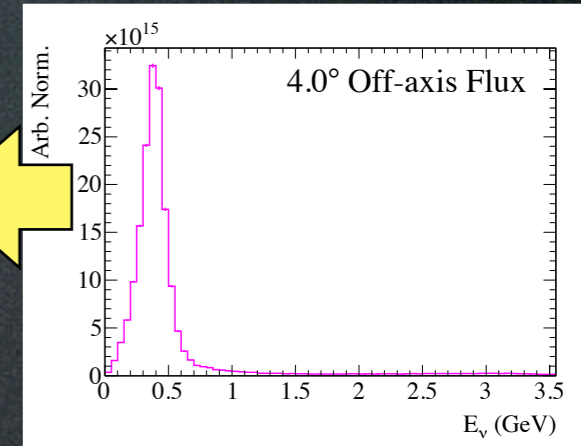
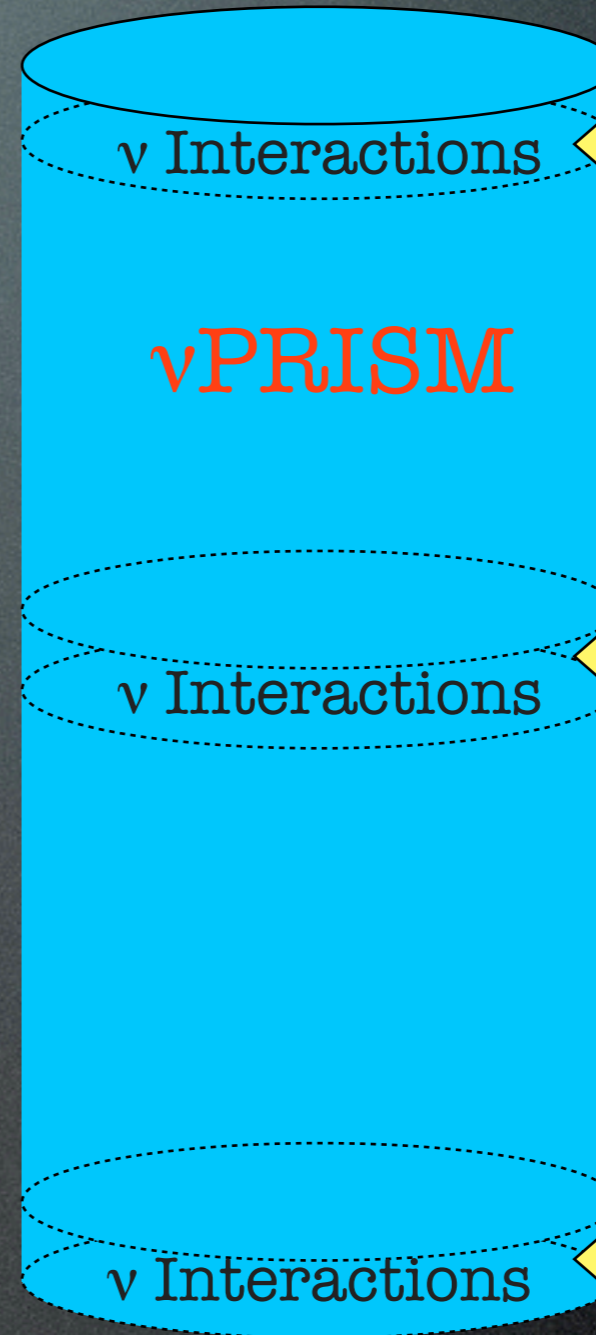
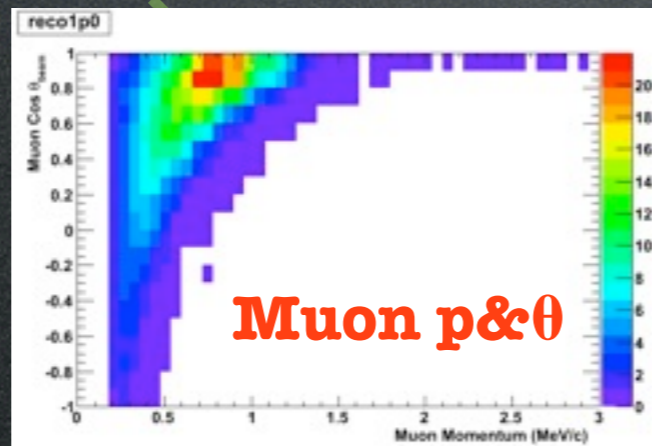
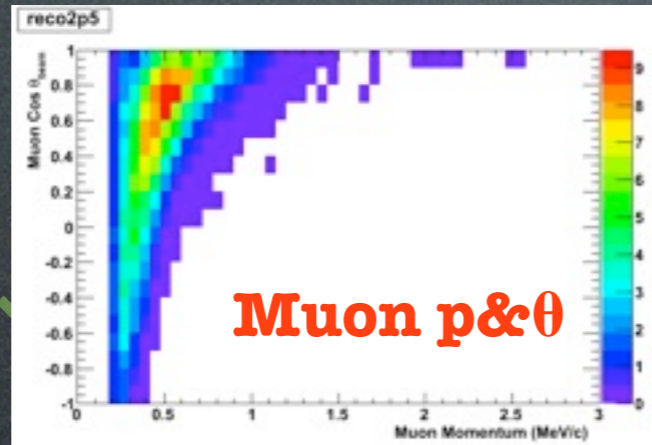
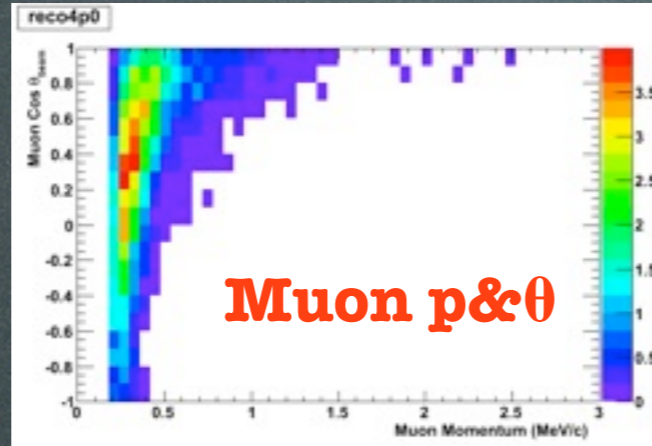
# Benefits of a Monoenergetic Beam

- **Fully specified initial state!**
  - Electron-scattering-like measurements with neutrinos!
- First ever measurements of  $\sigma^{\text{NC}}(E_\nu)$ 
  - Much better constraints on NC oscillation backgrounds
- First ever “**correct**” measurements of  $\sigma^{\text{CC}}(E_\nu)$ 
  - No longer rely on final state particles to determine  $E_\nu$
- It is now possible to **separate the various components** of single- $\mu$  events!



# NuPRISM in Oscillation Experiments

$\nu$ -Beam

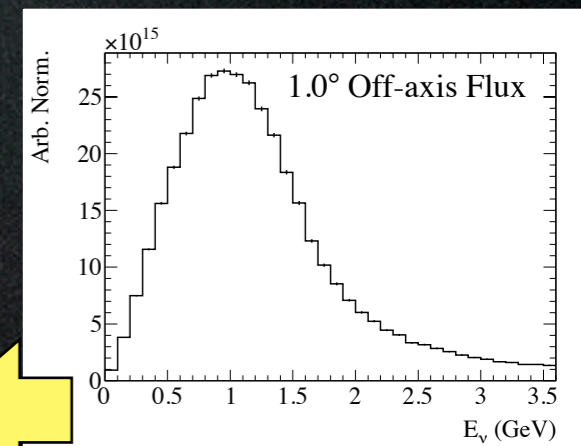
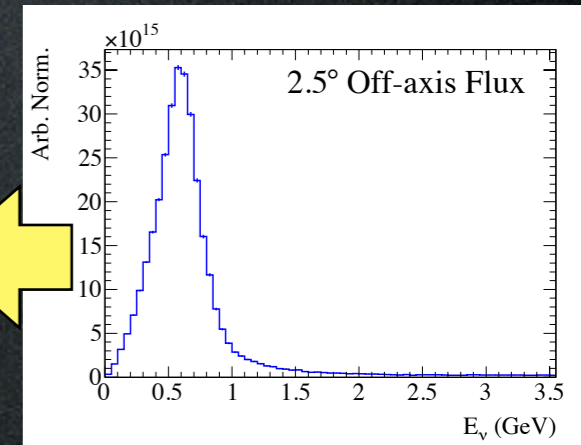
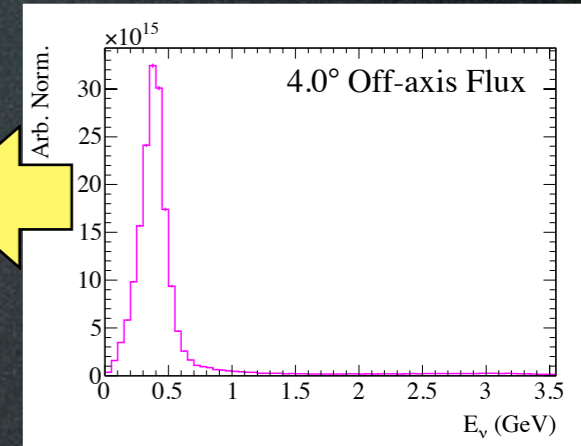
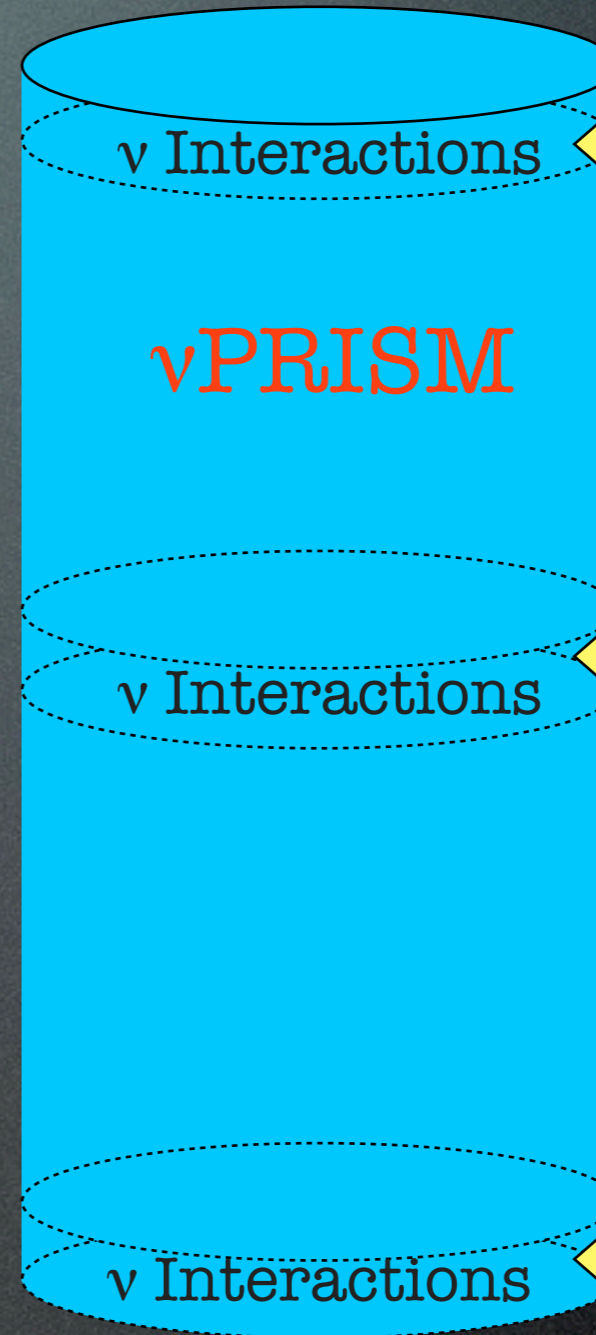
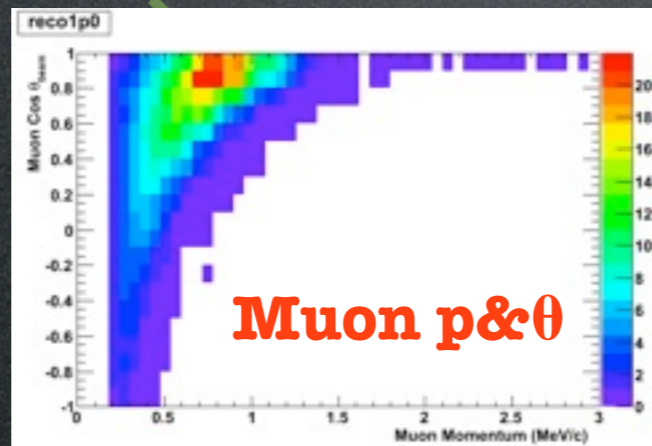
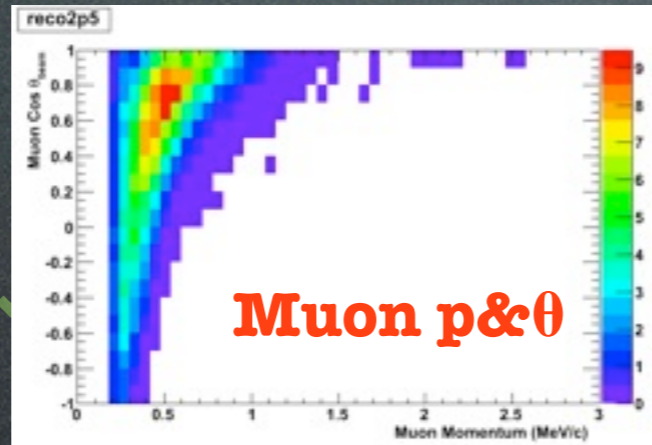
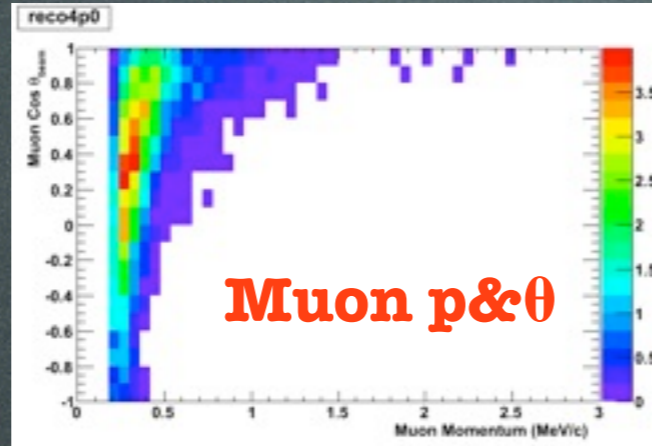




# NuPRISM in Oscillation Experiments

$\nu$ -Beam

Take different  
linear  
combinations!

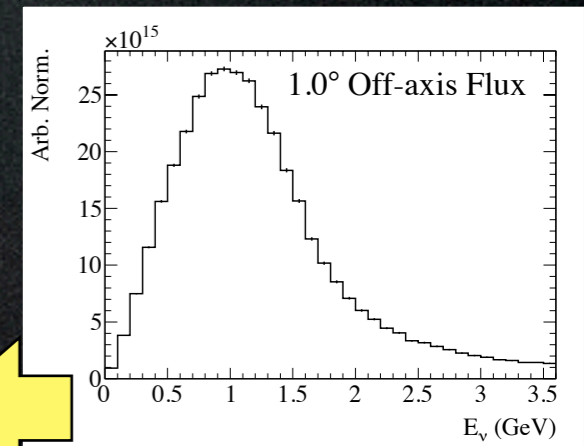
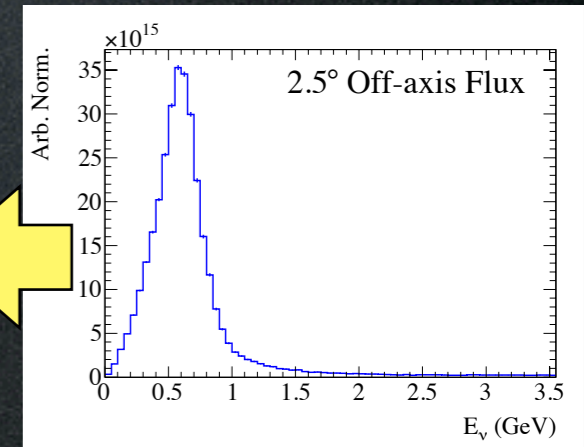
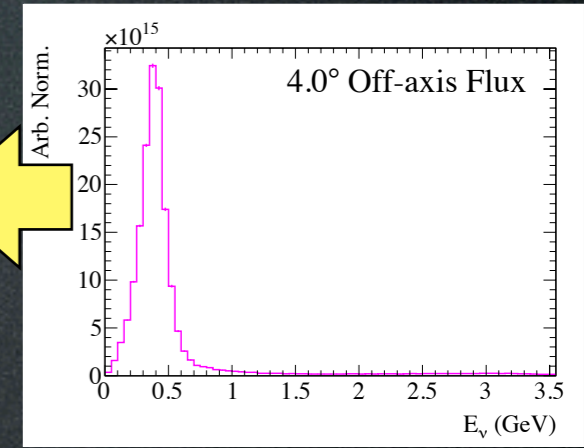
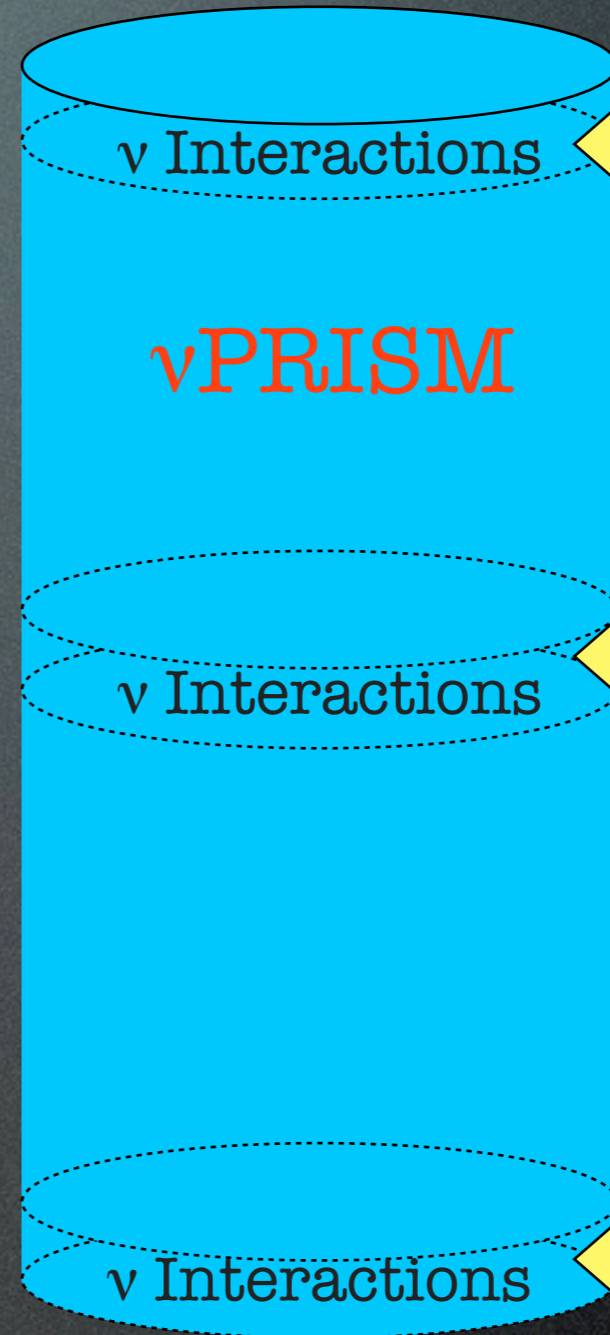
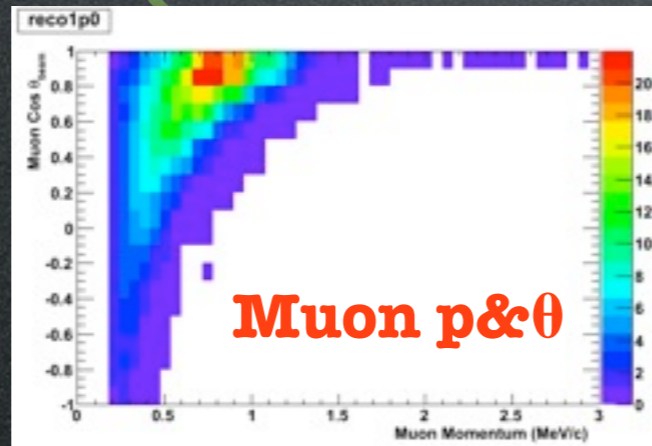
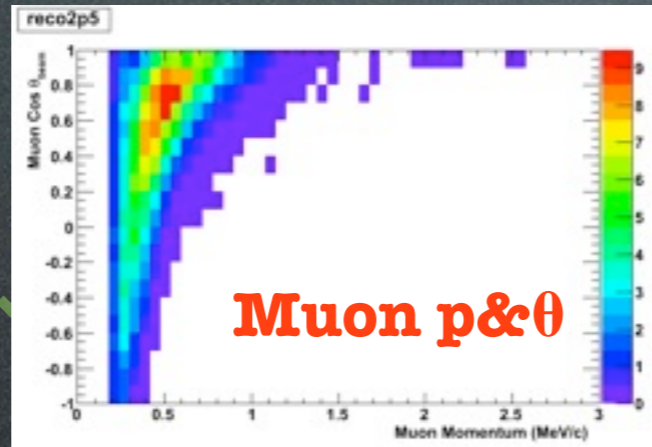
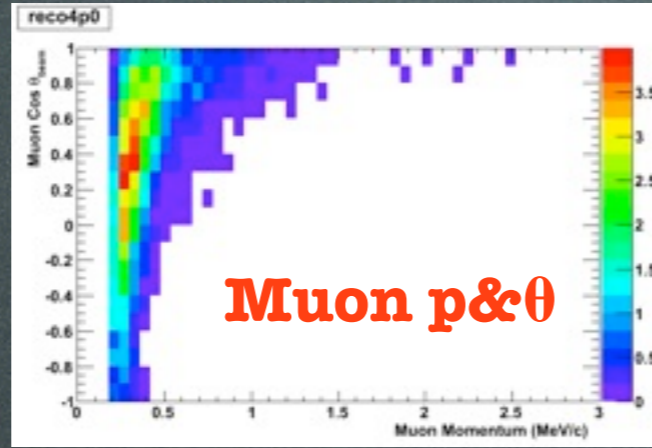


# NuPRISM in Oscillation Experiments

$\nu$ -Beam

+1.0\*

Take different linear combinations!



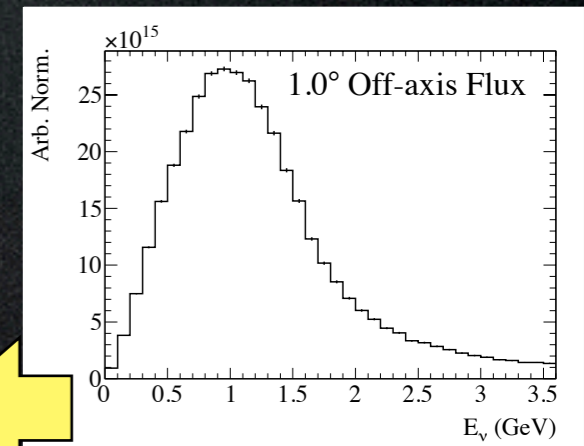
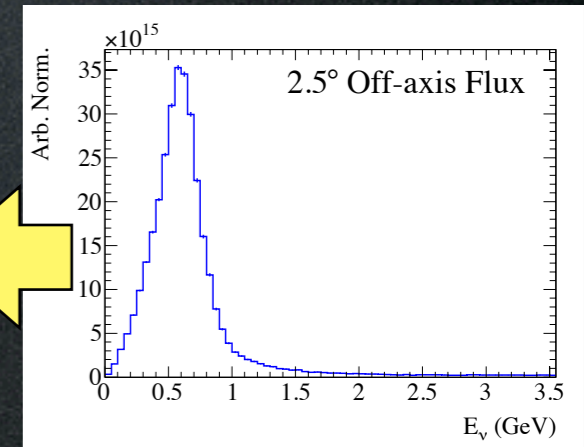
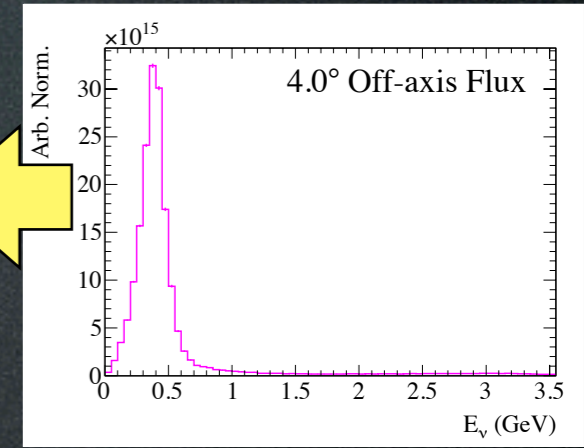
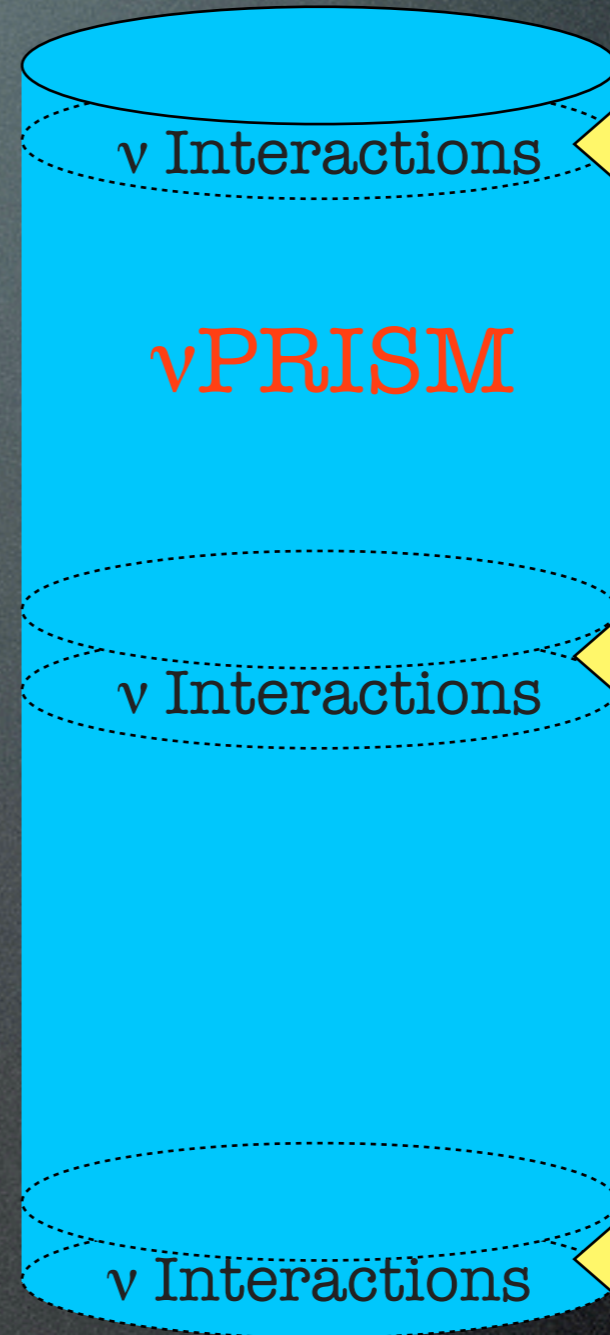
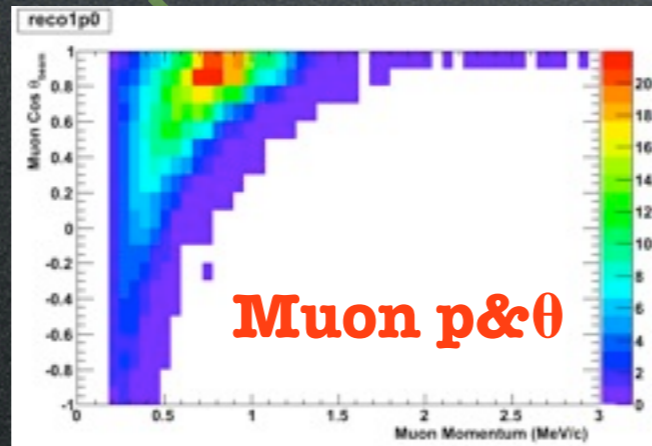
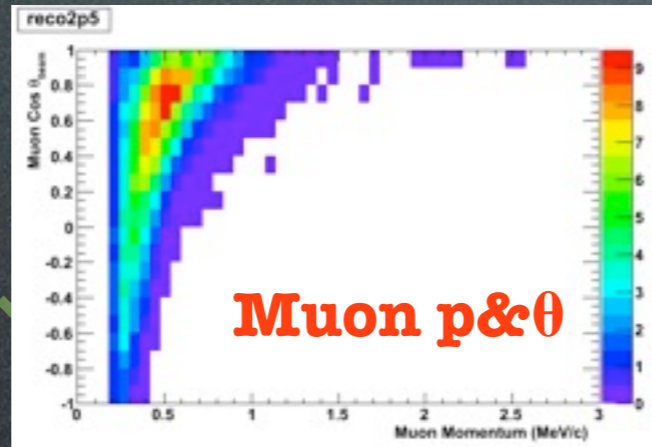
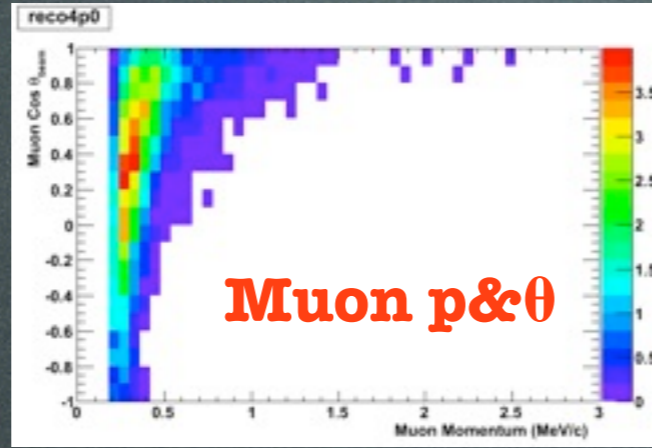
# NuPRISM in Oscillation Experiments

$\nu$ -Beam

Take different  
linear  
combinations!

+1.0\*

-0.8\*

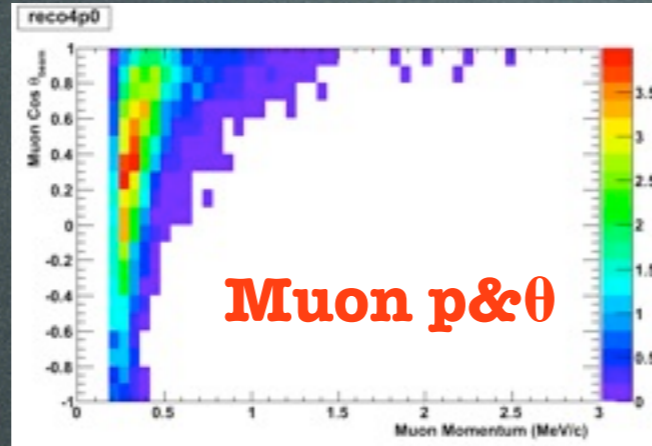


# NuPRISM in Oscillation Experiments

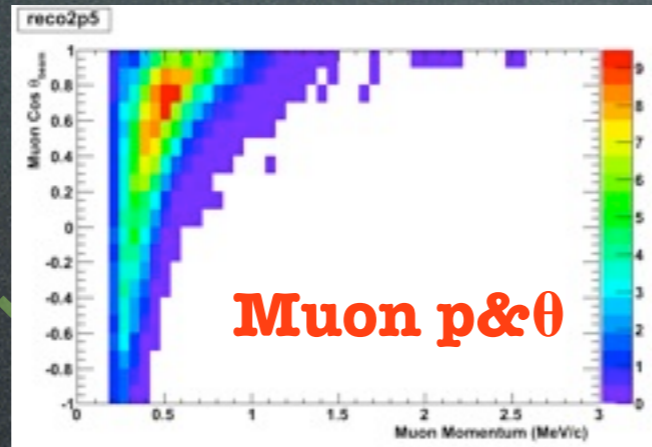
$\nu$ -Beam

Take different  
linear  
combinations!

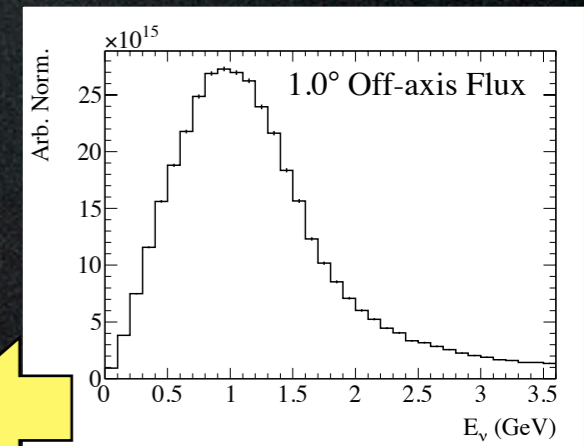
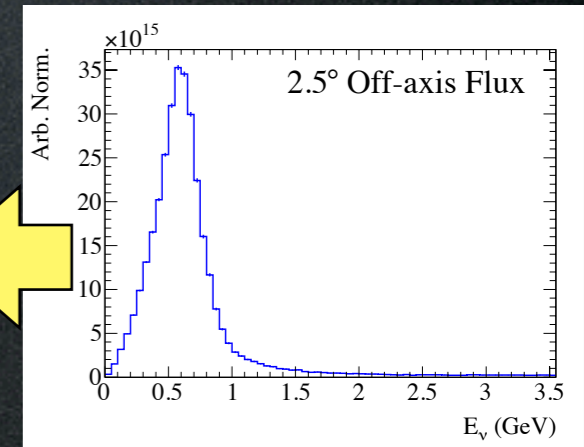
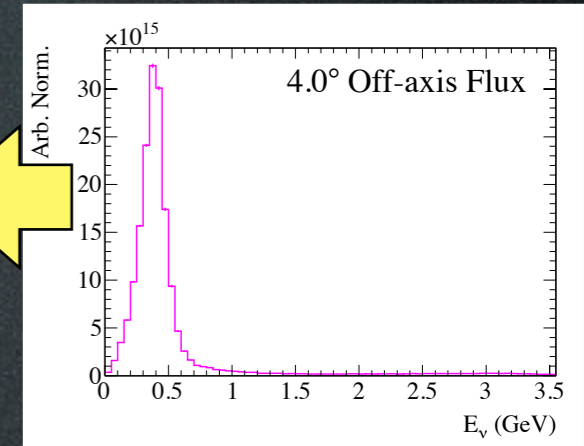
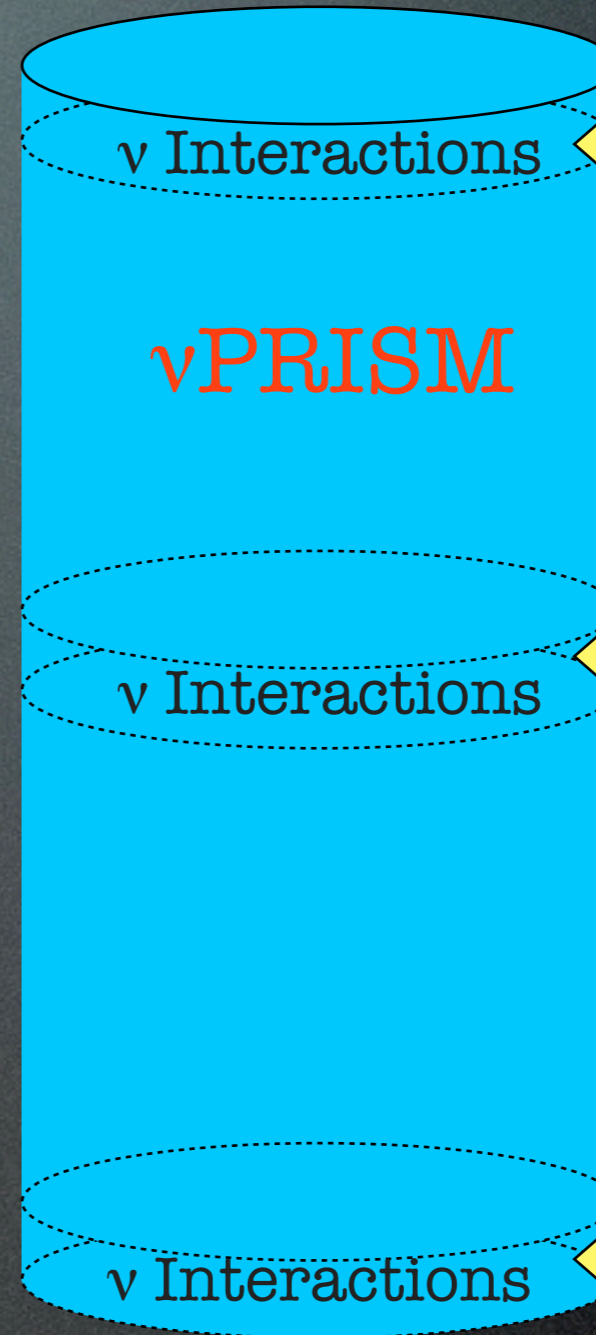
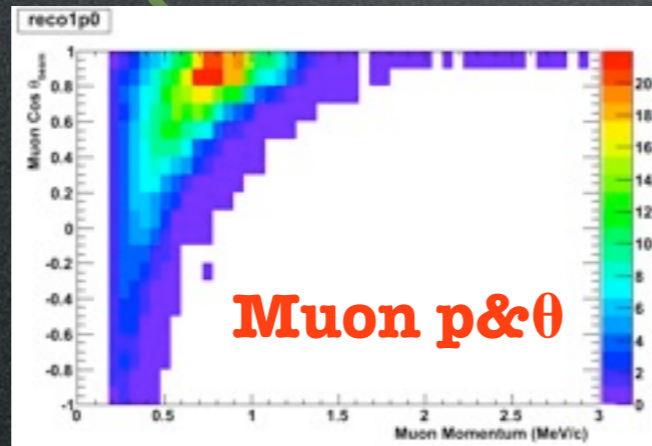
+1.0\*



-0.8\*



+0.2\*



# NuPRISM in Oscillation Experiments

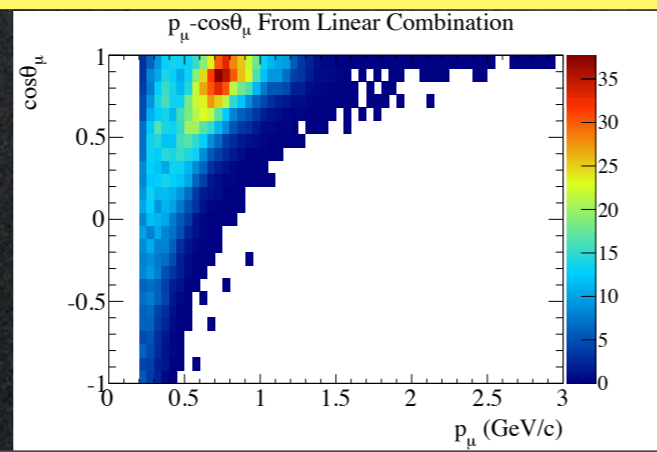
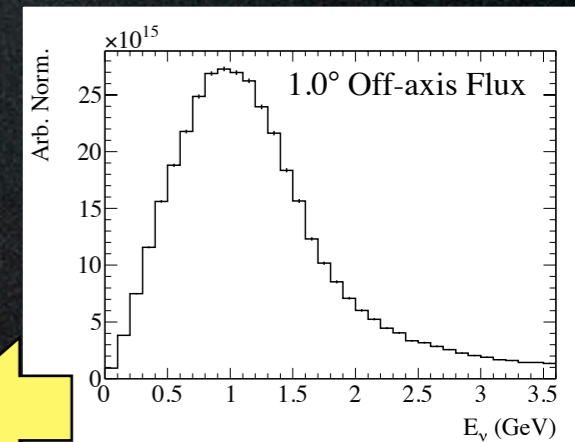
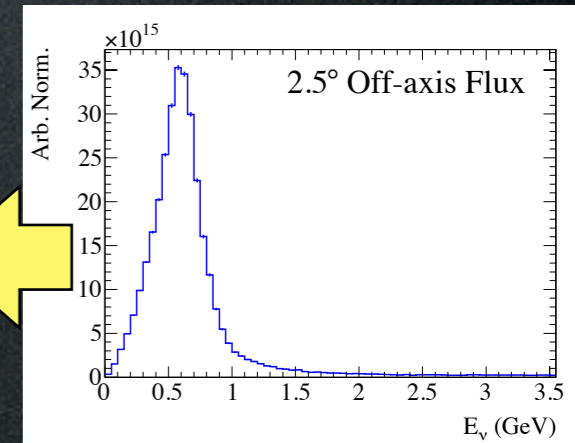
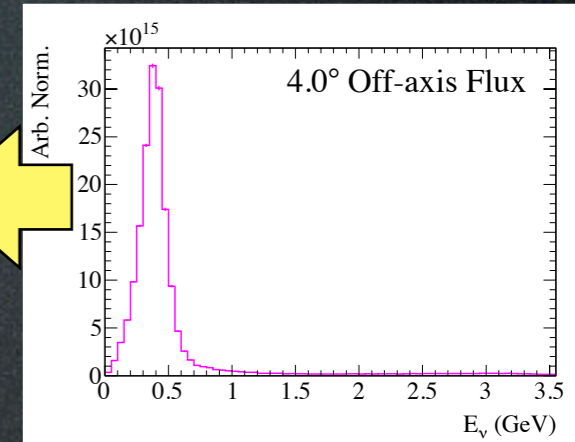
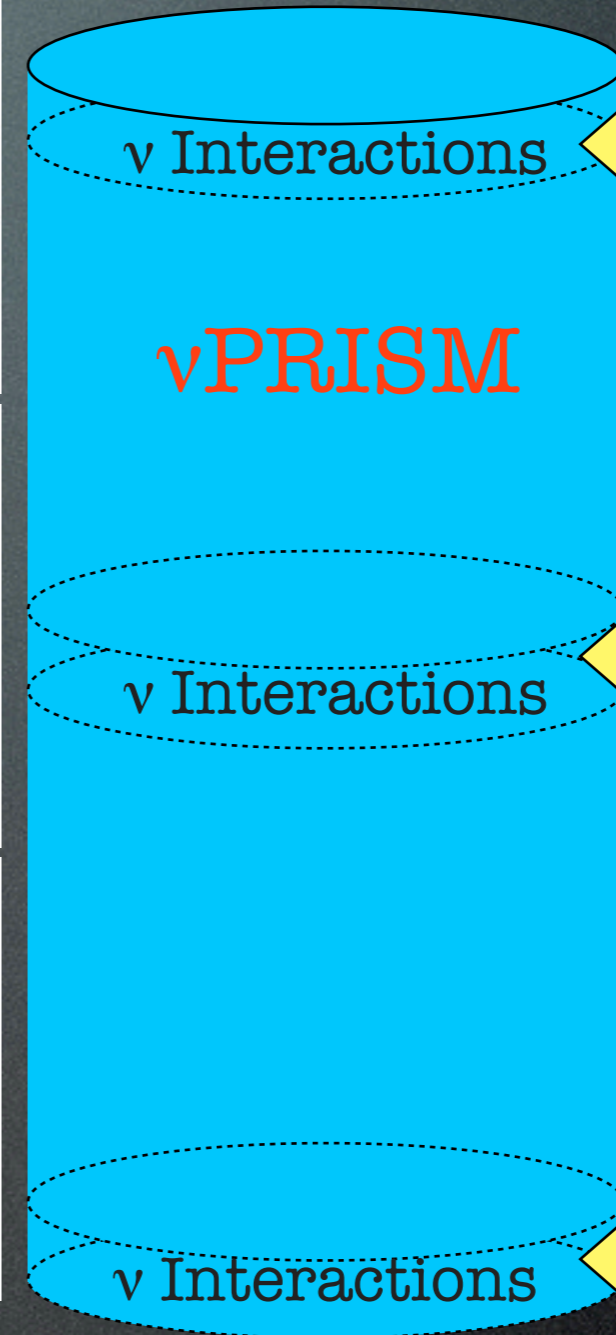
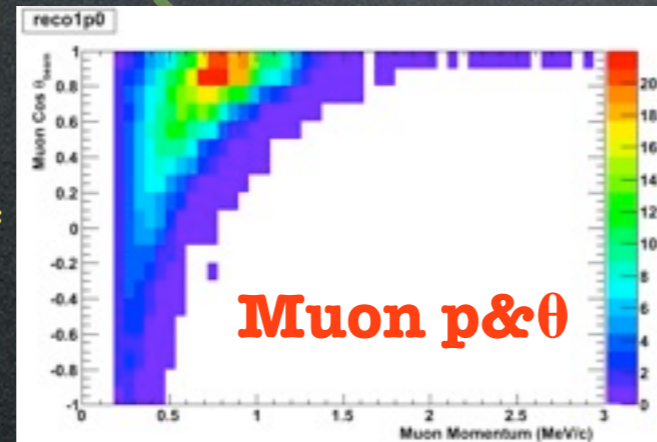
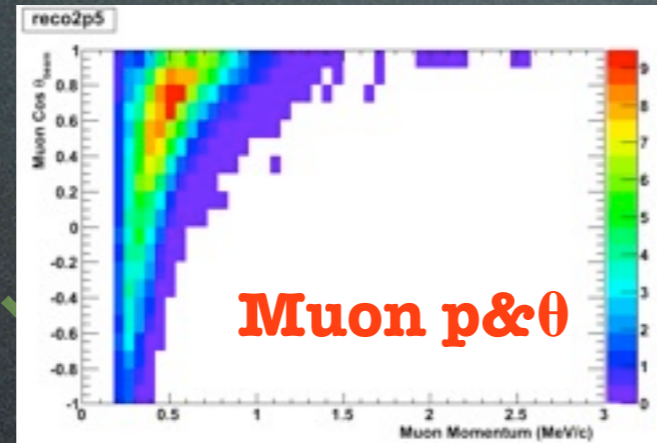
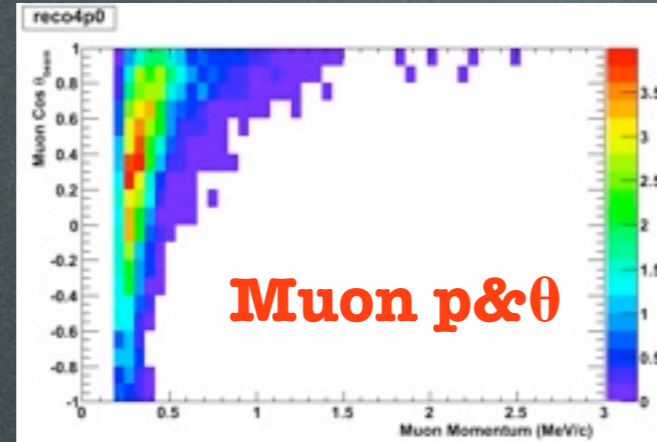
$\nu$ -Beam

Take different linear combinations!

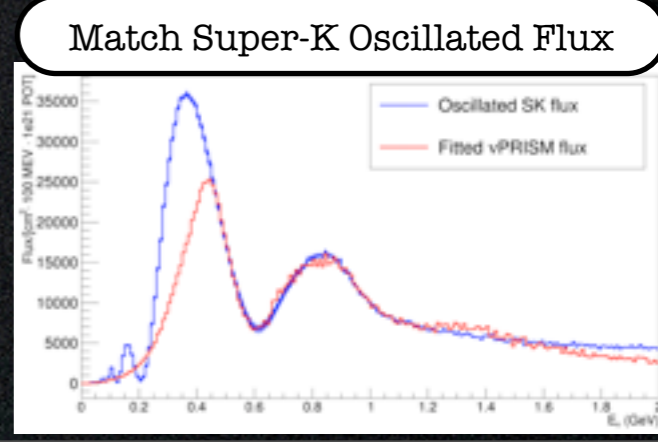
+1.0\*

-0.8\*

+0.2\*



Measured oscillated  $p \& \theta$  spectrum in a near detector!



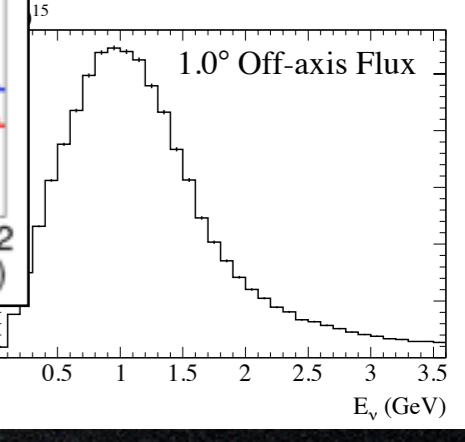
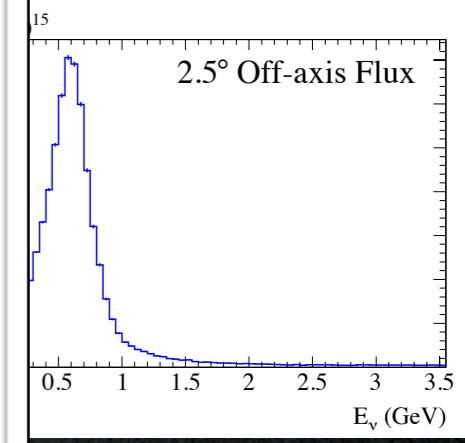
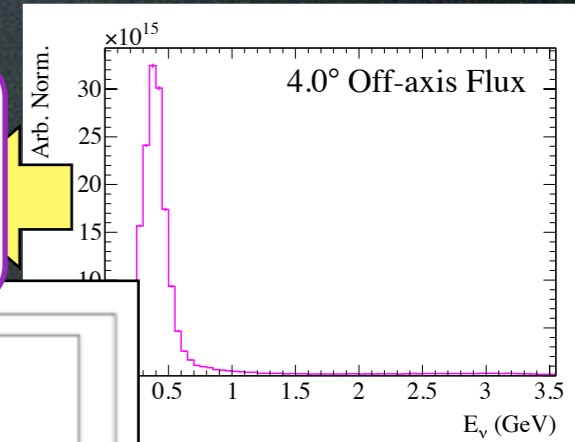
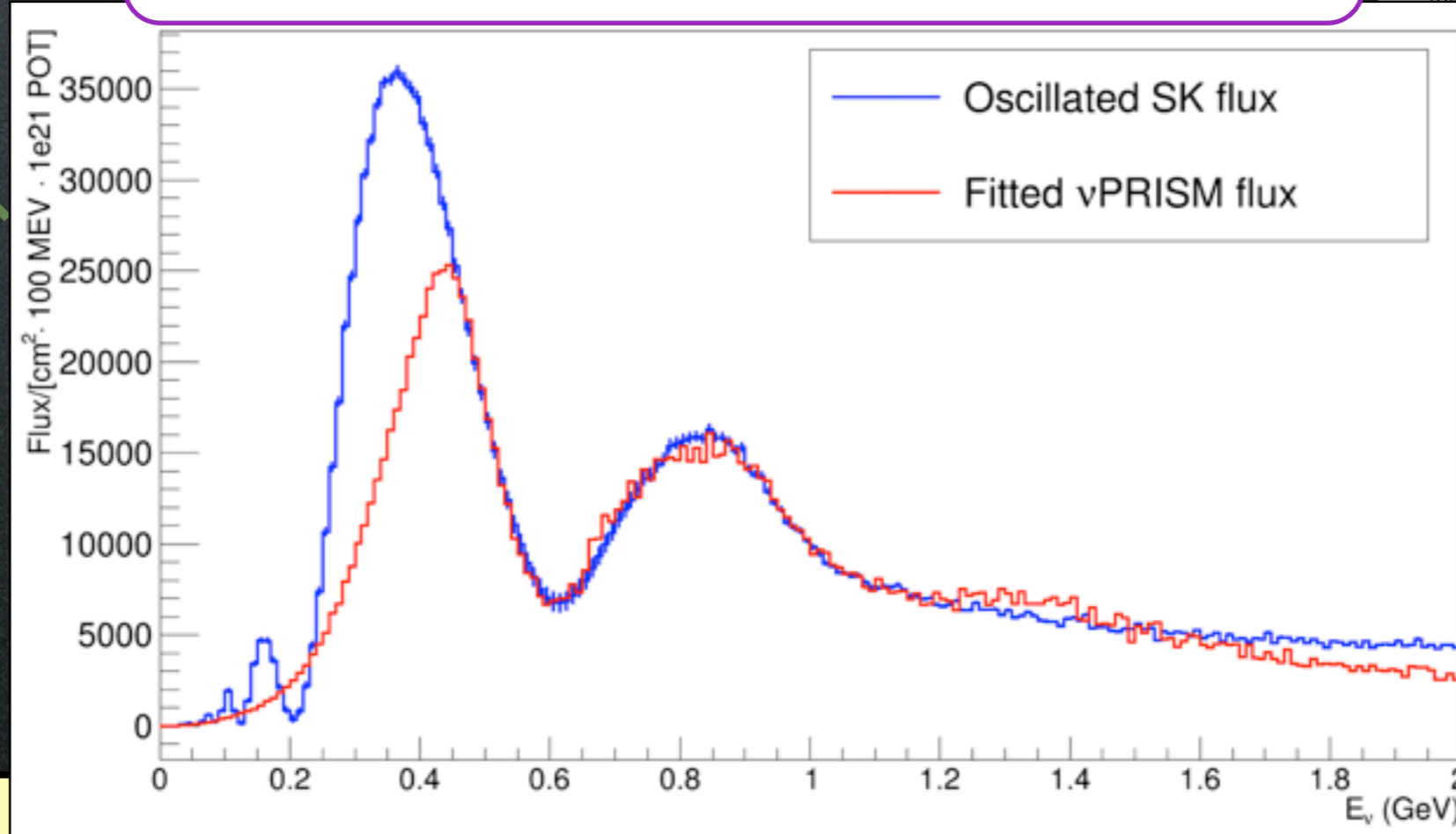
# NuPRISM in Oscillation Experiments

$\nu$ -Beam

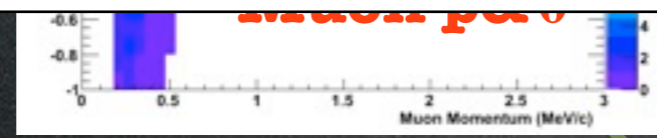
Take different linear combinations!

+1

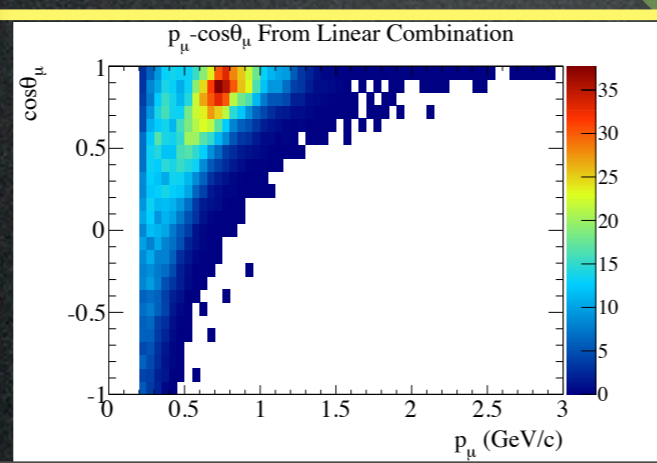
**Reproduce Super-K Oscillation Pattern at a Near Detector!**



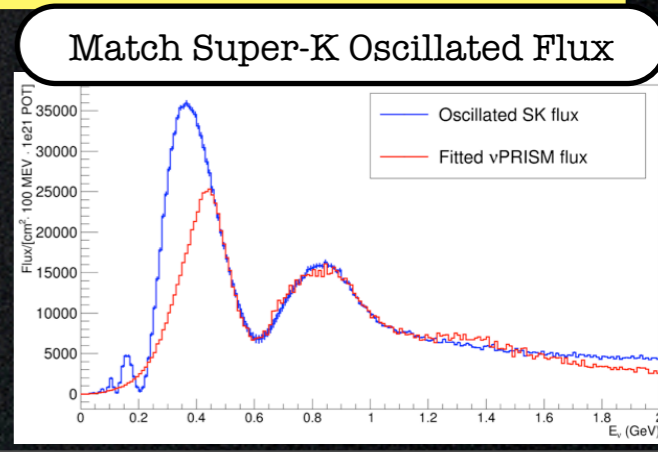
This is the procedure used for the **T2K/nuPRISM  $\nu_\mu$  disappearance analysis** (next slides)



$\nu$  Interactions

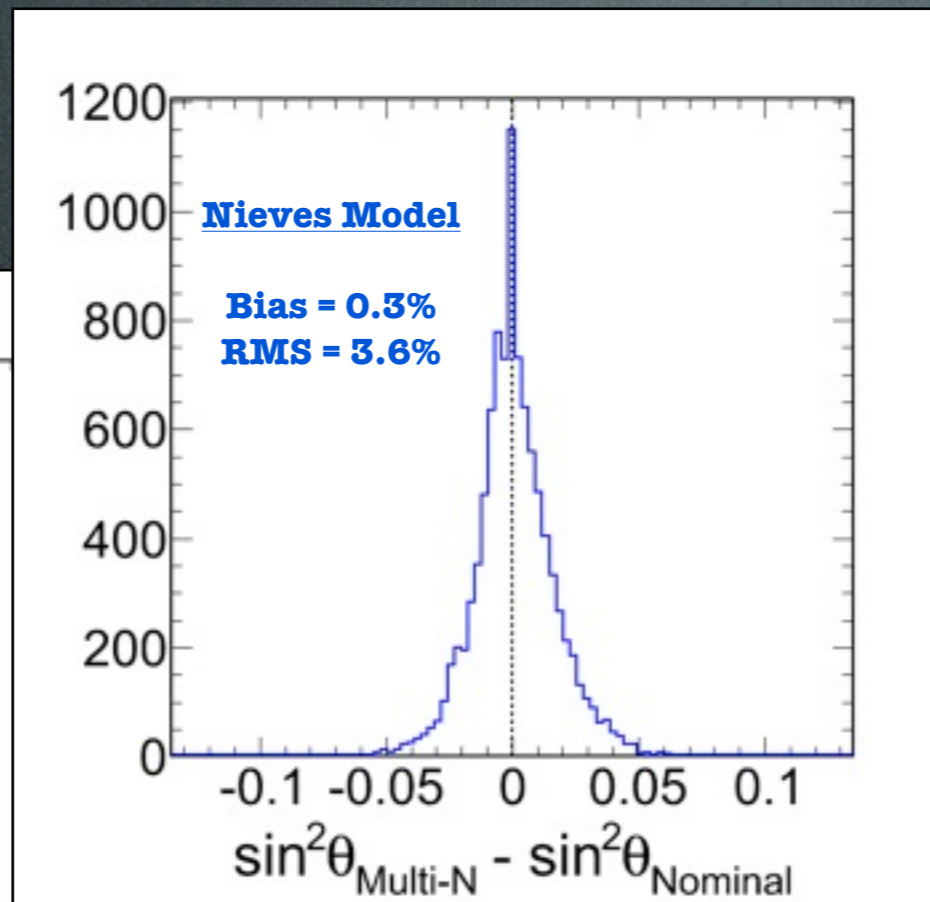
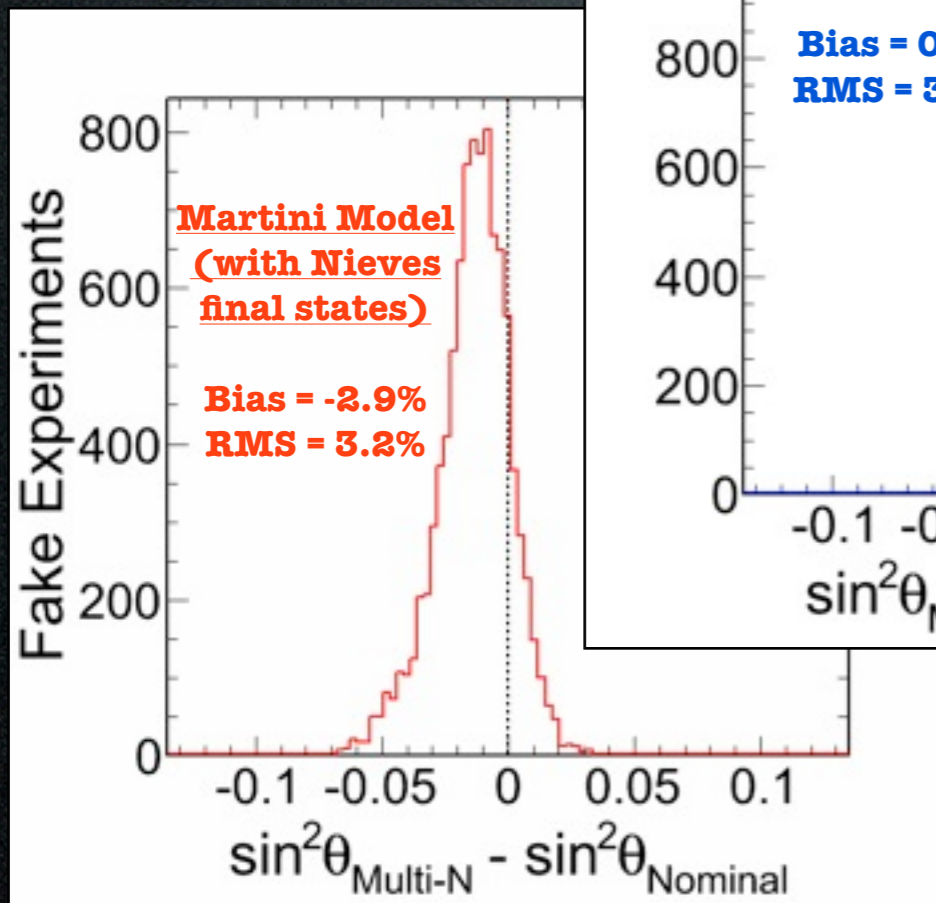


**Measured oscillated  $p\&\theta$  spectrum in a near detector!**



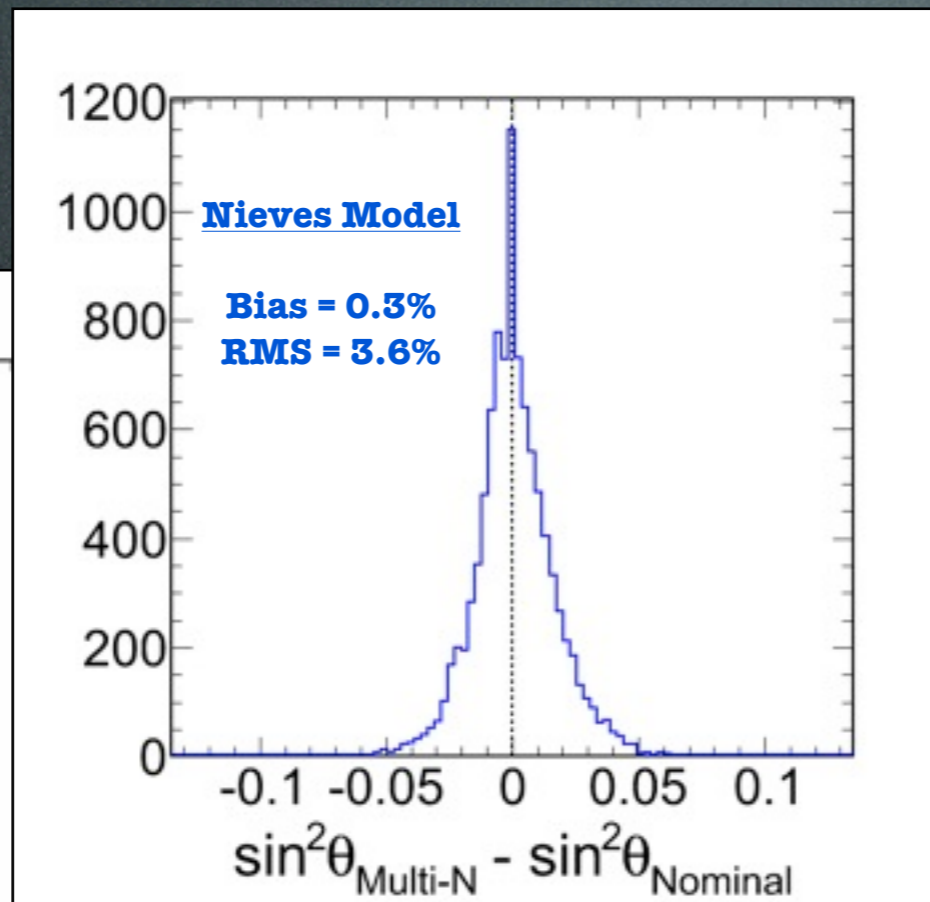
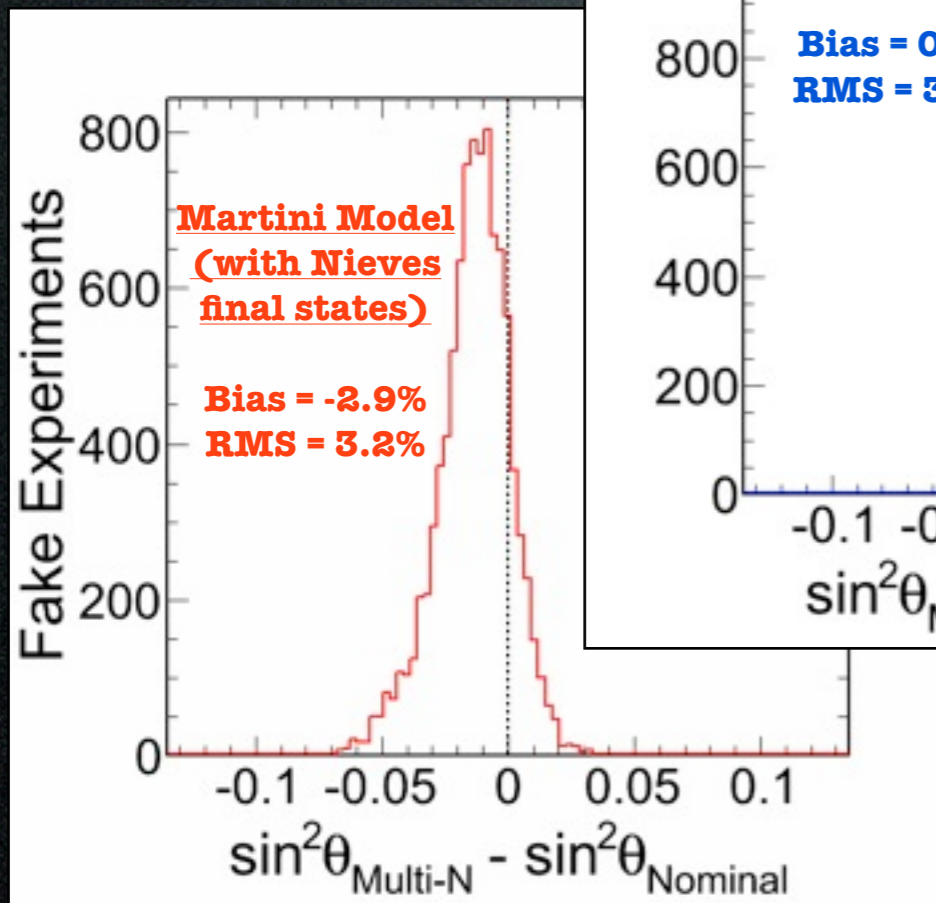
# NuPRISM $\nu_\mu$ Disappearance Constraint

## Standard T2K Analysis



# NuPRISM $\nu_\mu$ Disappearance Constraint

## Standard T2K Analysis

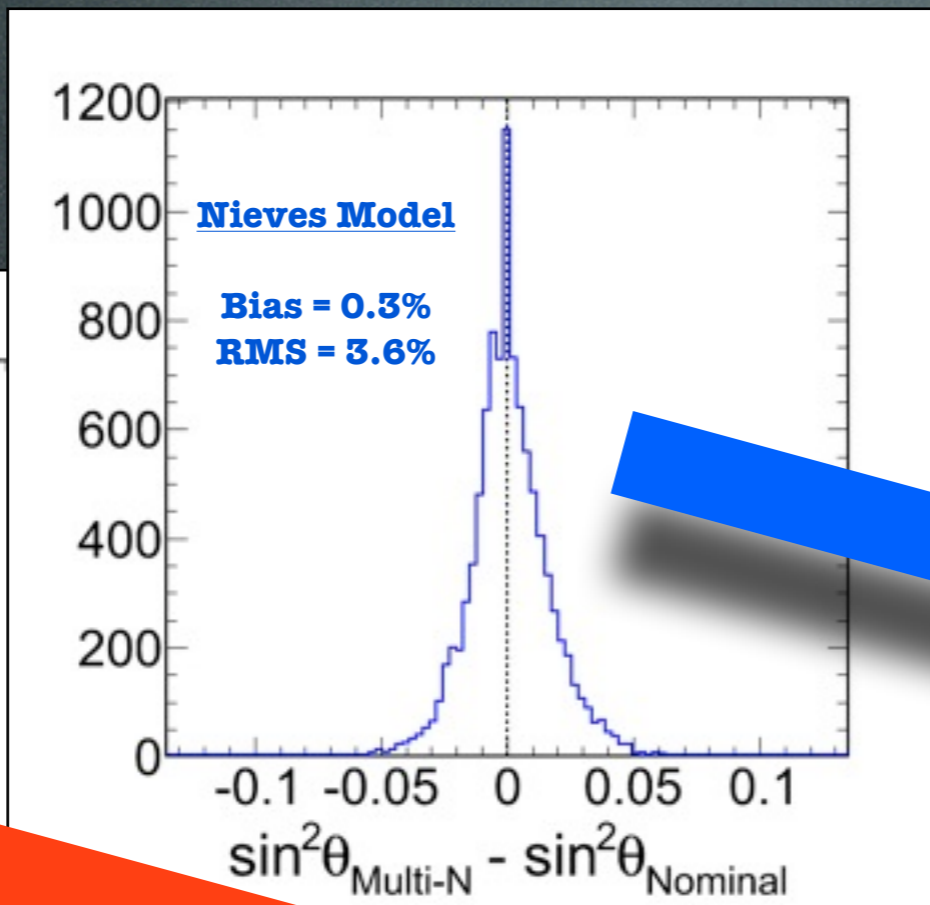
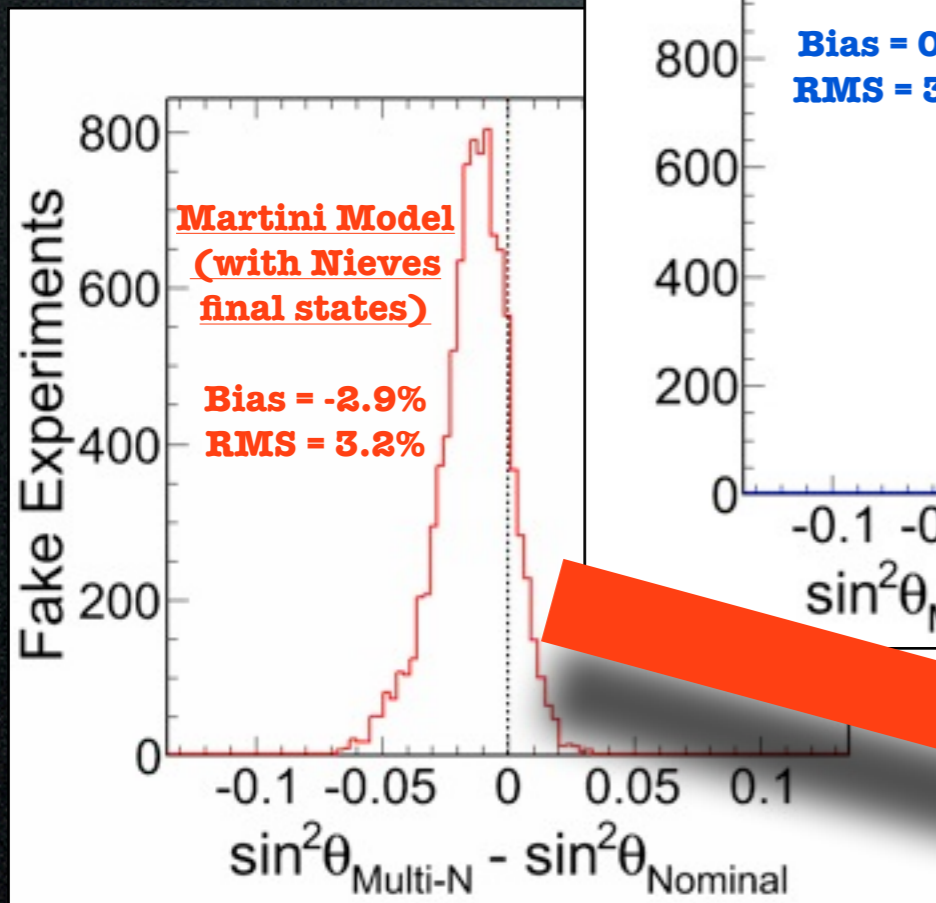


## $\nu$ PRISM Analysis

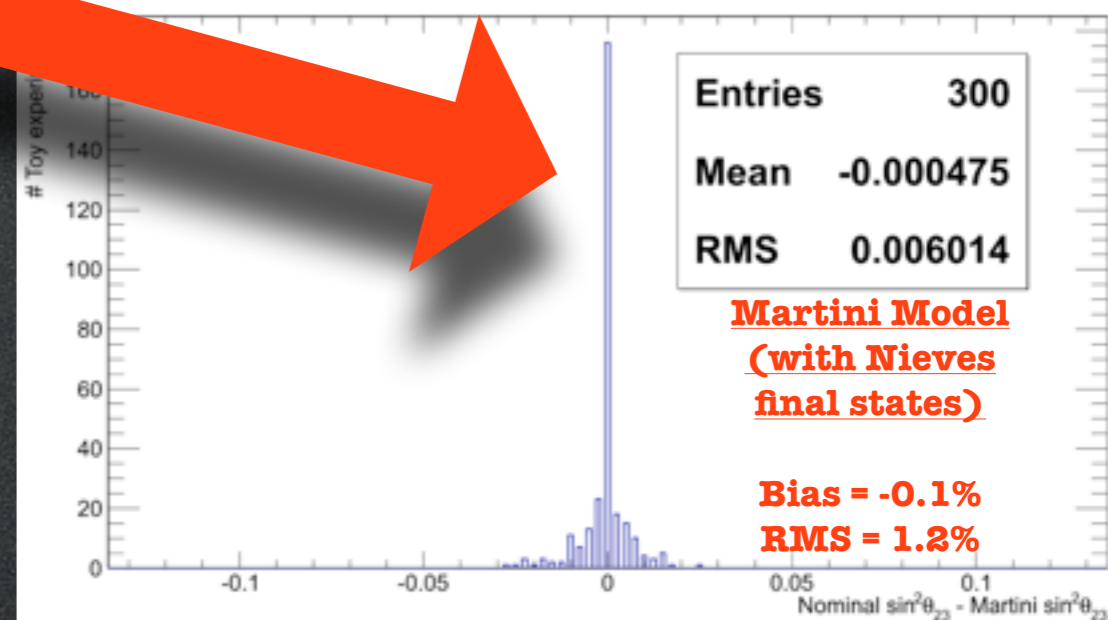
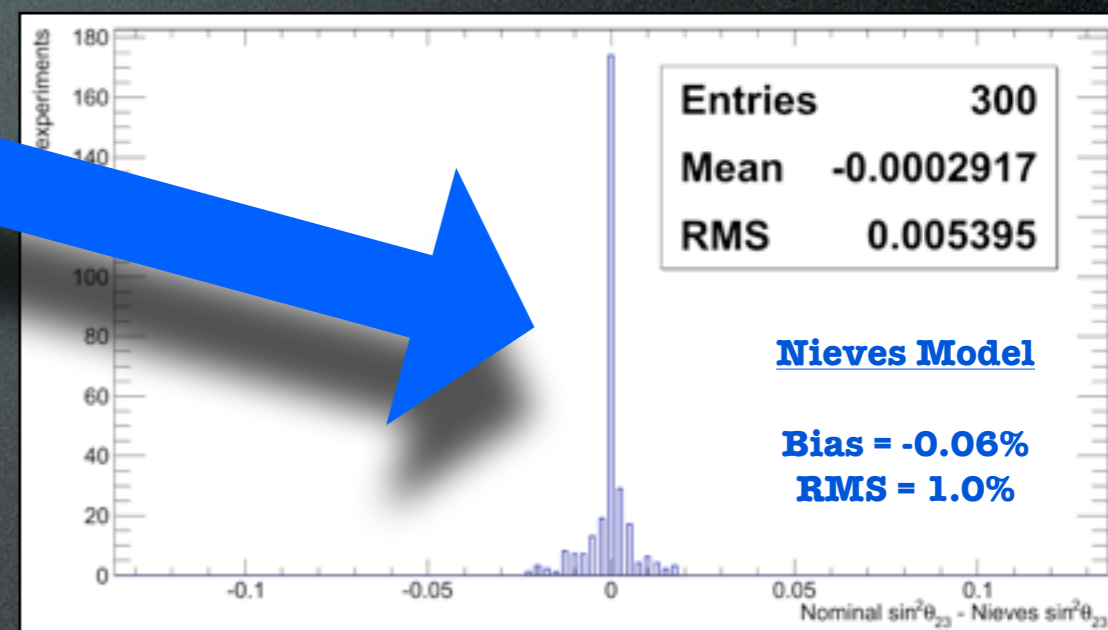


# NuPRISM $\nu_\mu$ Disappearance Constraint

## Standard T2K Analysis

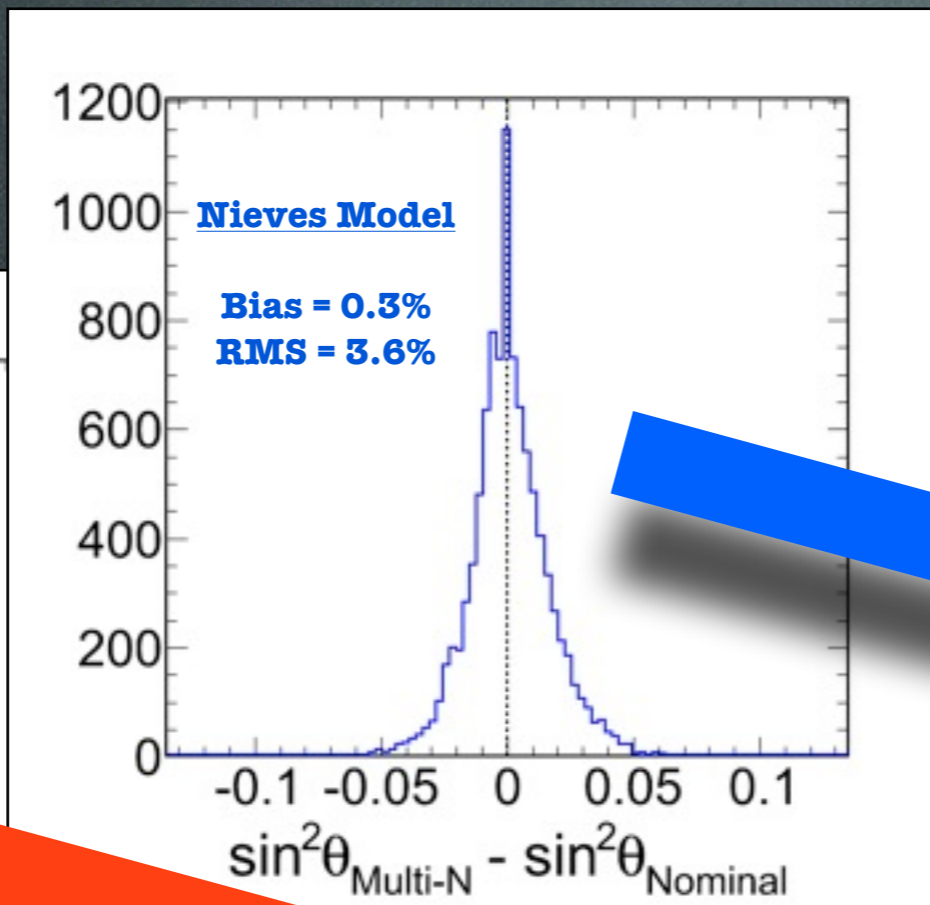
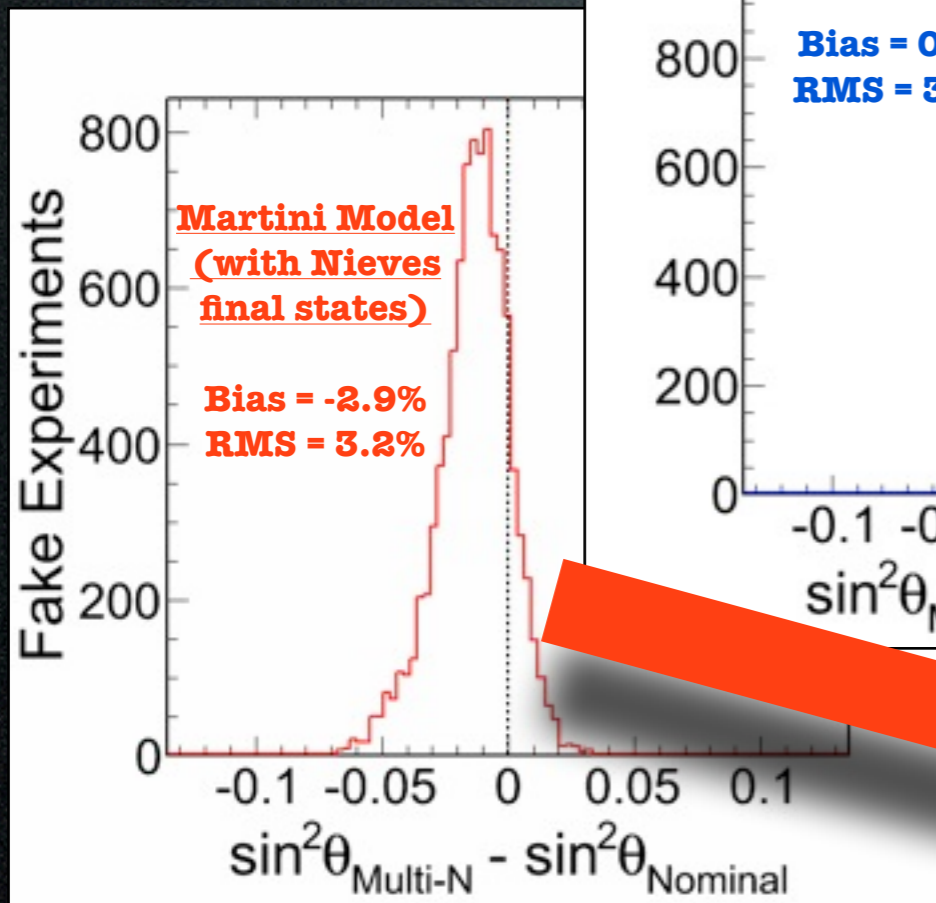


## $\nu$ PRISM Analysis

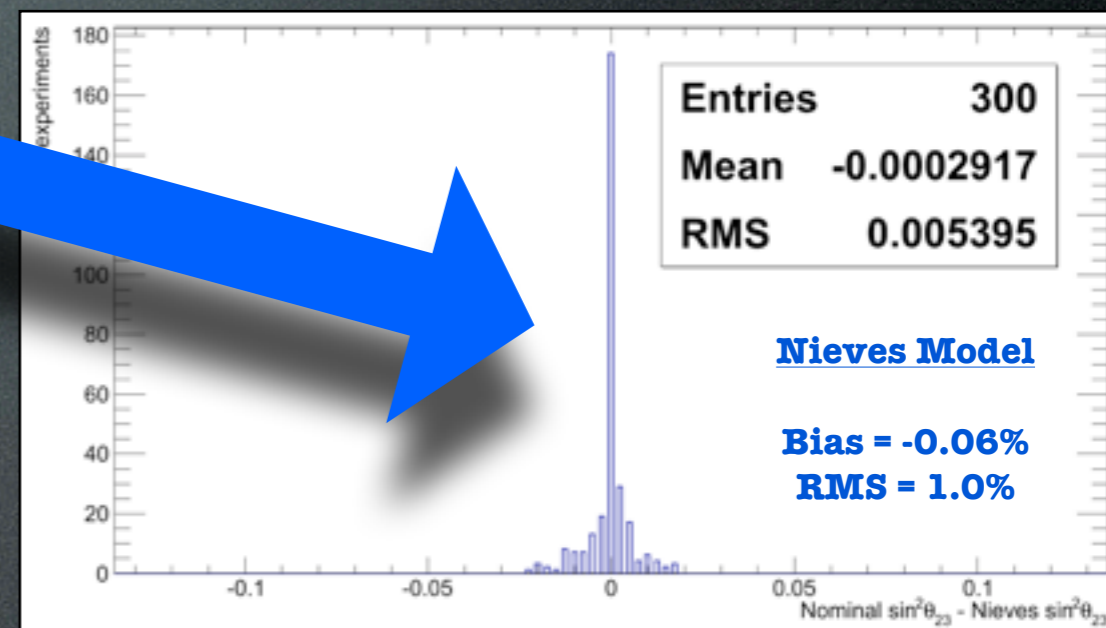


# NuPRISM $\nu_\mu$ Disappearance Constraint

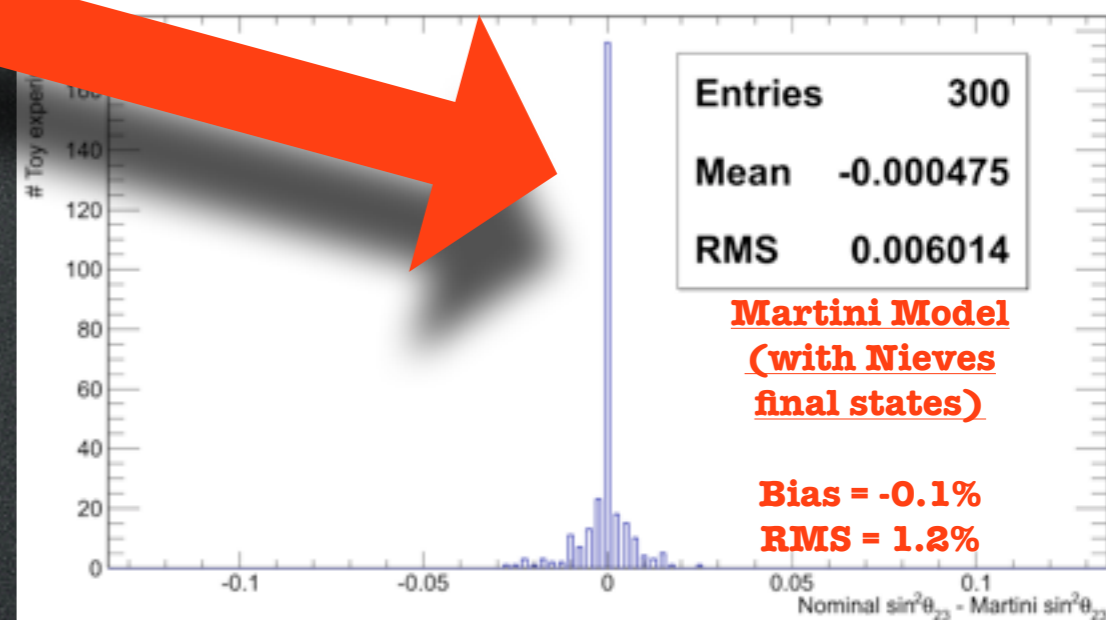
## Standard T2K Analysis



## $\nu$ PRISM Analysis

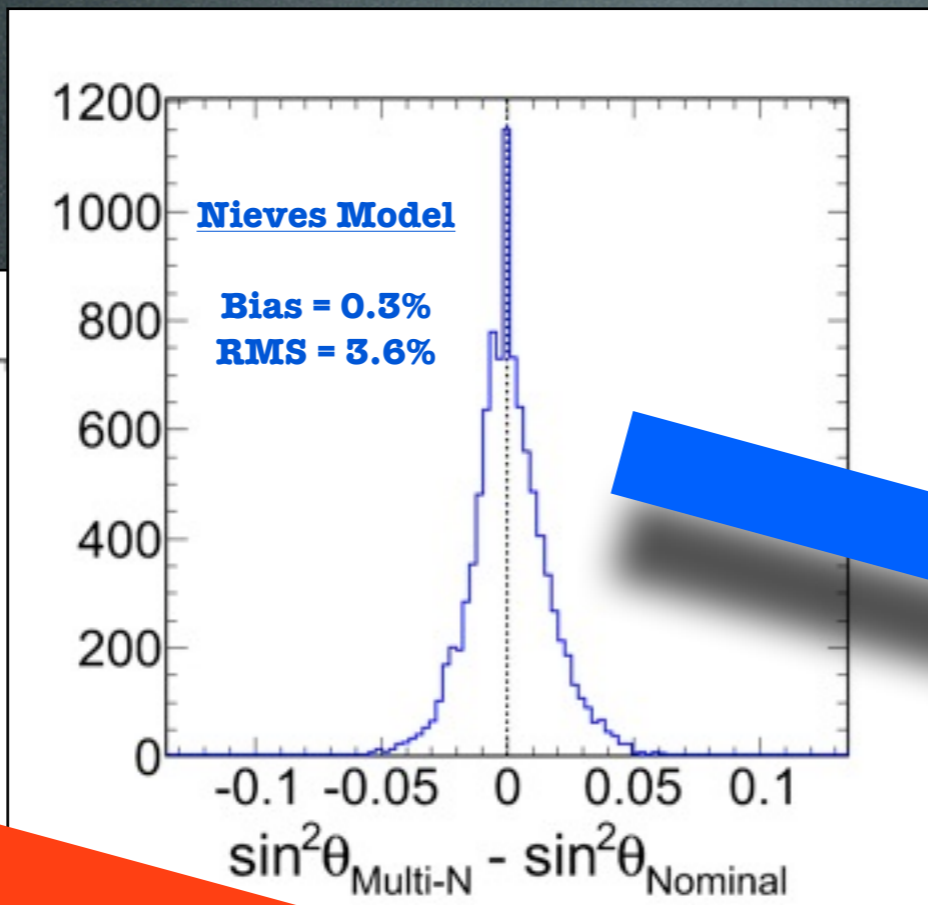
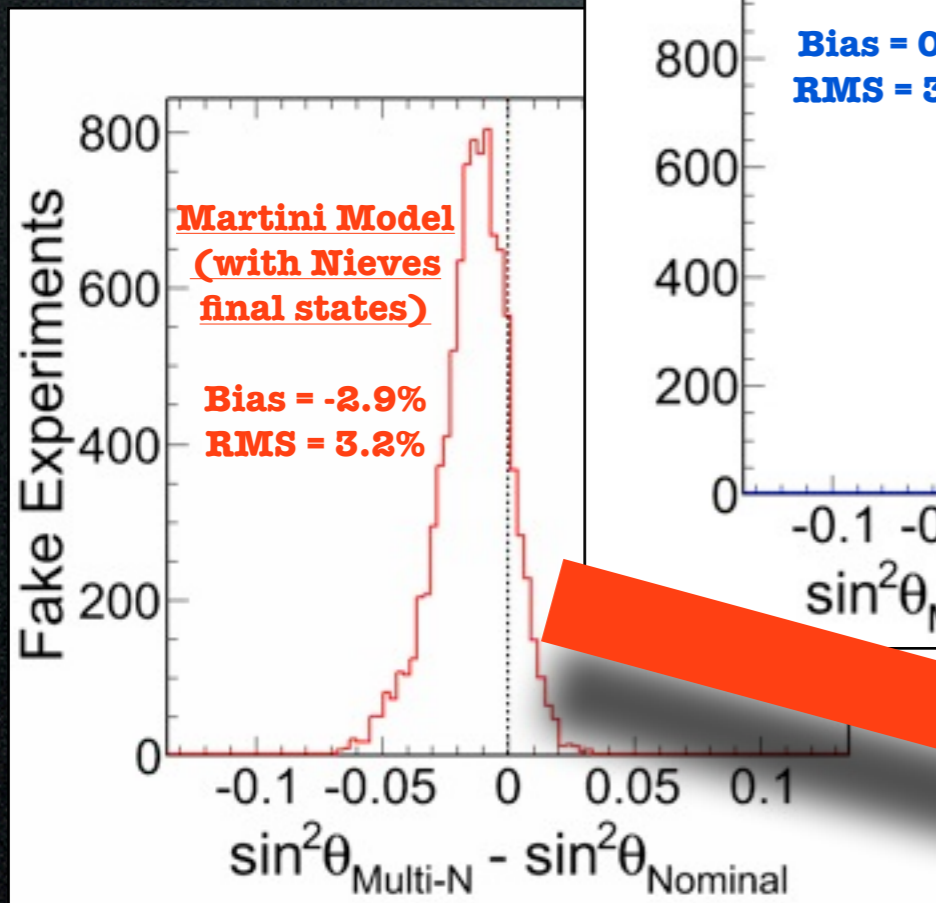


- Fake data studies show the bias in  $\theta_{13}$  is reduced from **4.3%/3.6%** to **1.2%/1.0%**

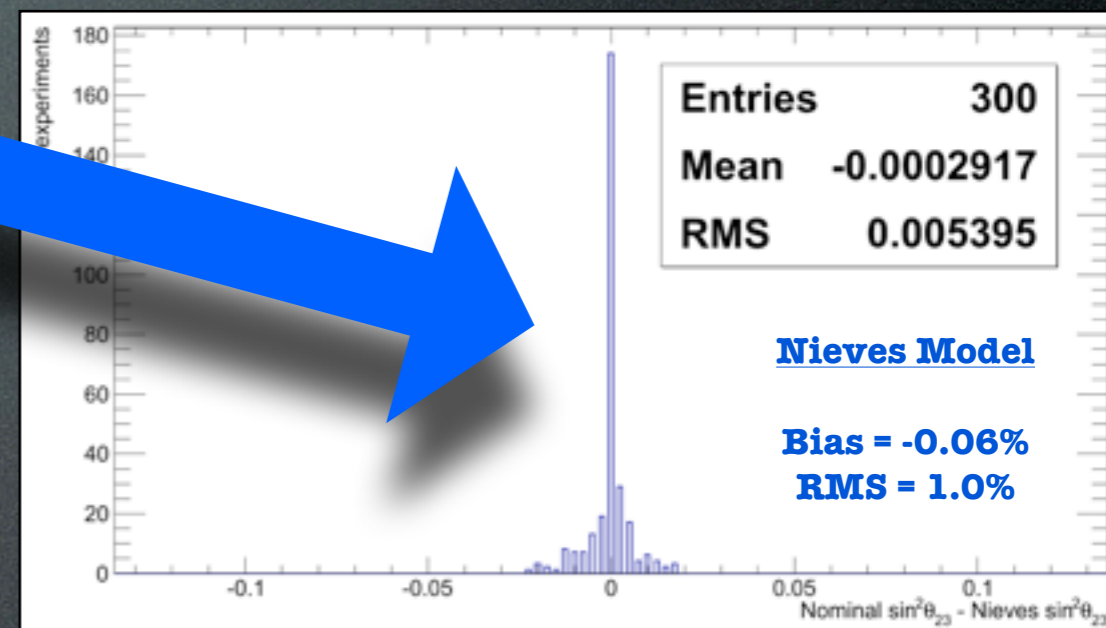


# NuPRISM $\nu_\mu$ Disappearance Constraint

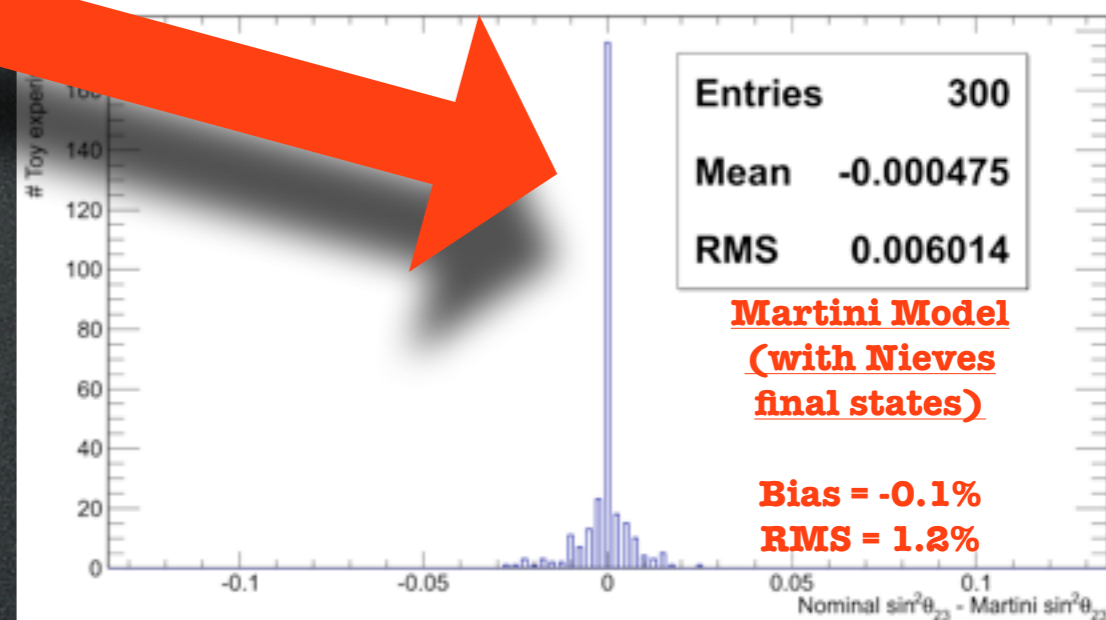
## Standard T2K Analysis



## $\nu$ PRISM Analysis

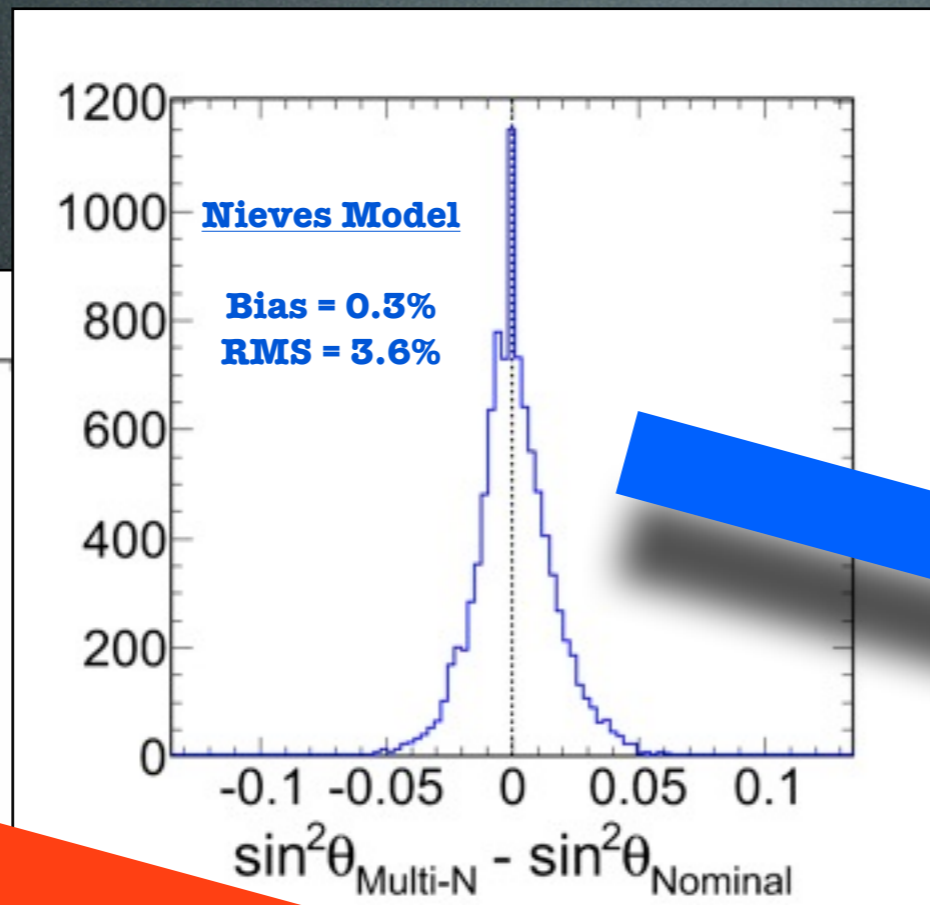
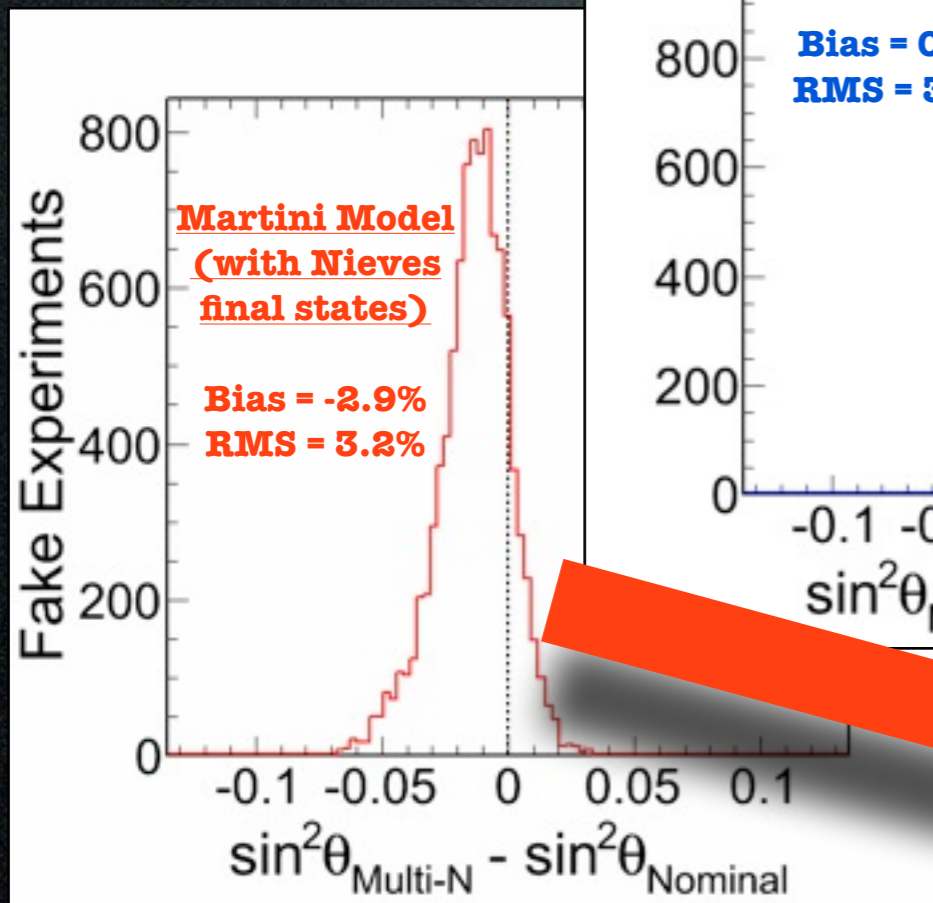


- Fake data studies show the bias in  $\theta_{13}$  is reduced from **4.3%/3.6%** to **1.2%/1.0%**
- More importantly, this is now based on a **data constraint**, rather than a model-based guess

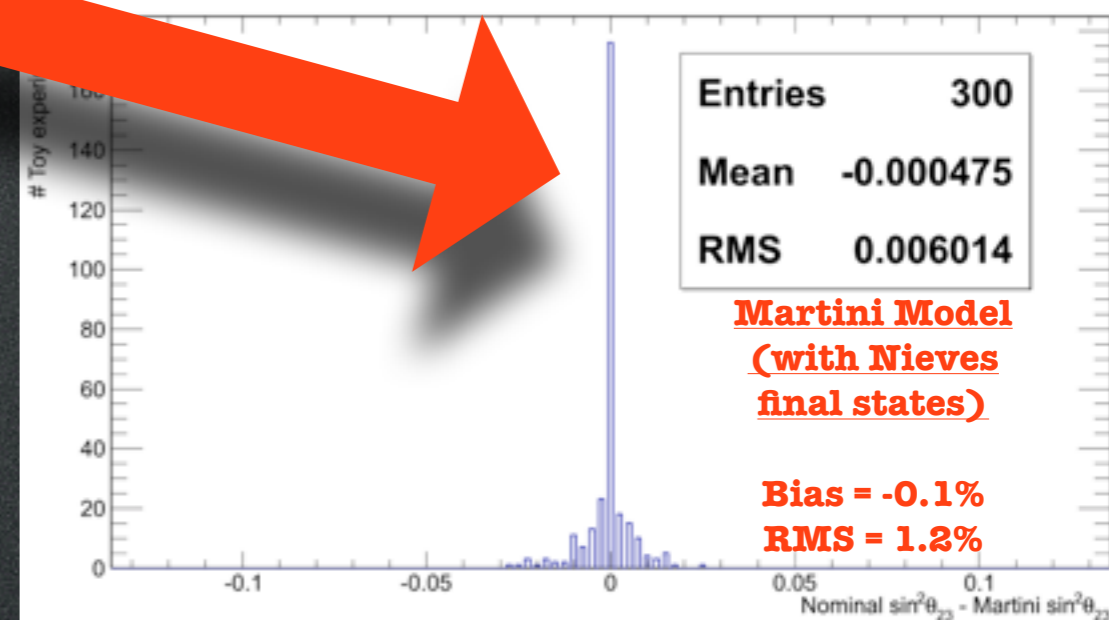
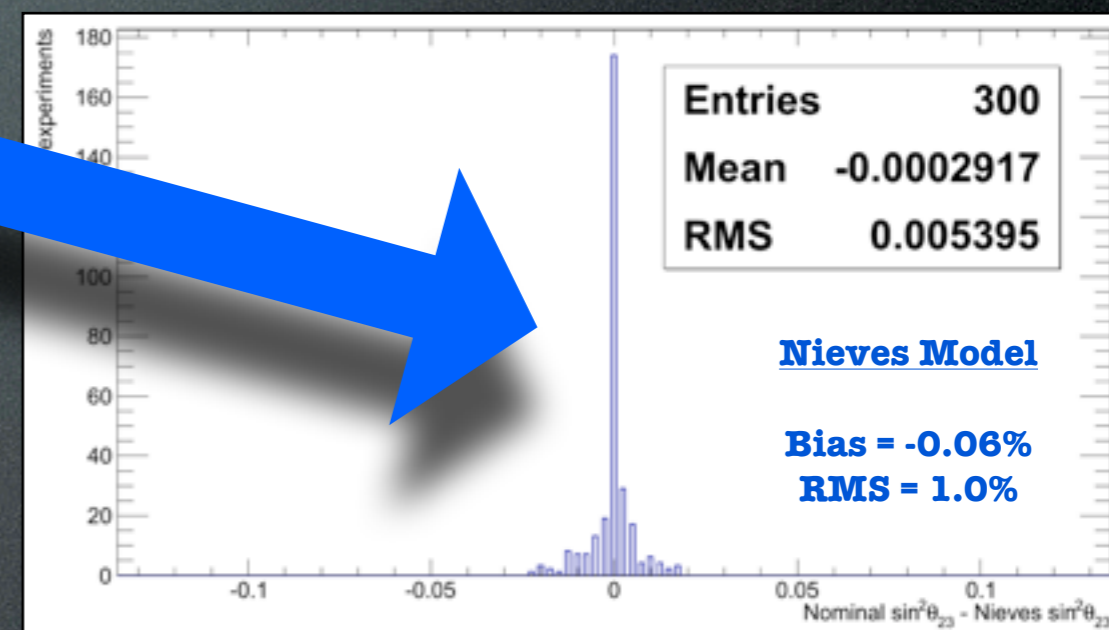


# NuPRISM $\nu_\mu$ Disappearance Constraint

## Standard T2K Analysis



## $\nu$ PRISM Analysis



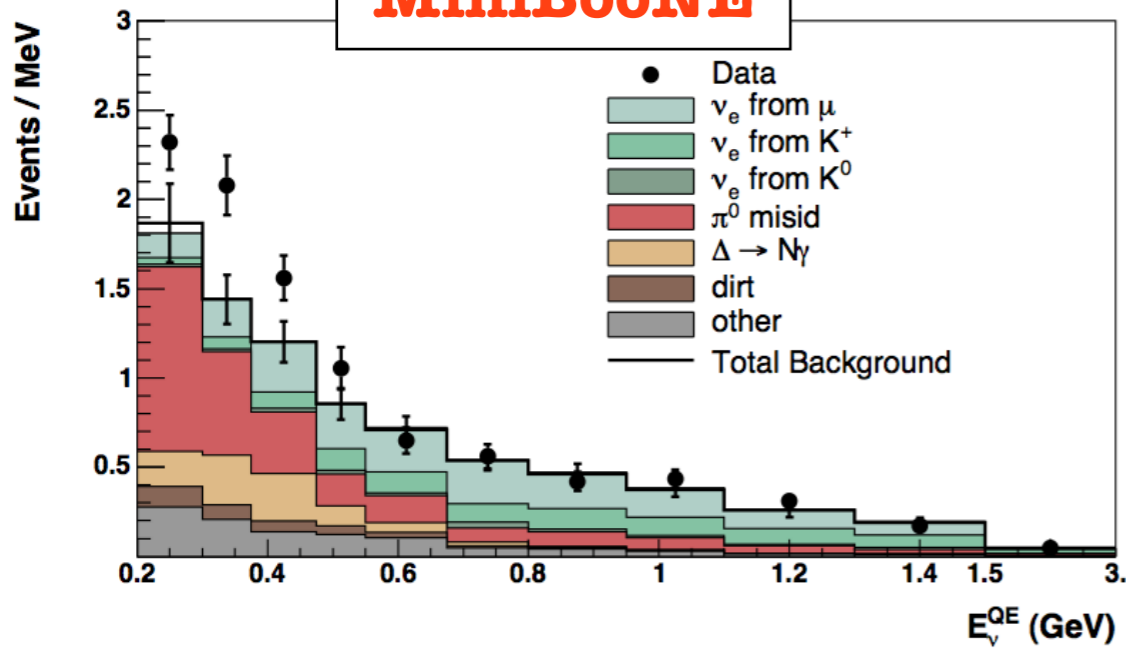
- Fake data studies show the bias in  $\theta_{13}$  is reduced from **4.3%/3.6%** to **1.2%/1.0%**
- More importantly, this is now based on a **data constraint**, rather than a model-based guess
- Expect the NuPRISM constraints to get significantly better as additional constraints are implemented (very conservative errors)

# More Physics!

NuPRISM can do more than just improve  
long-baseline measurements

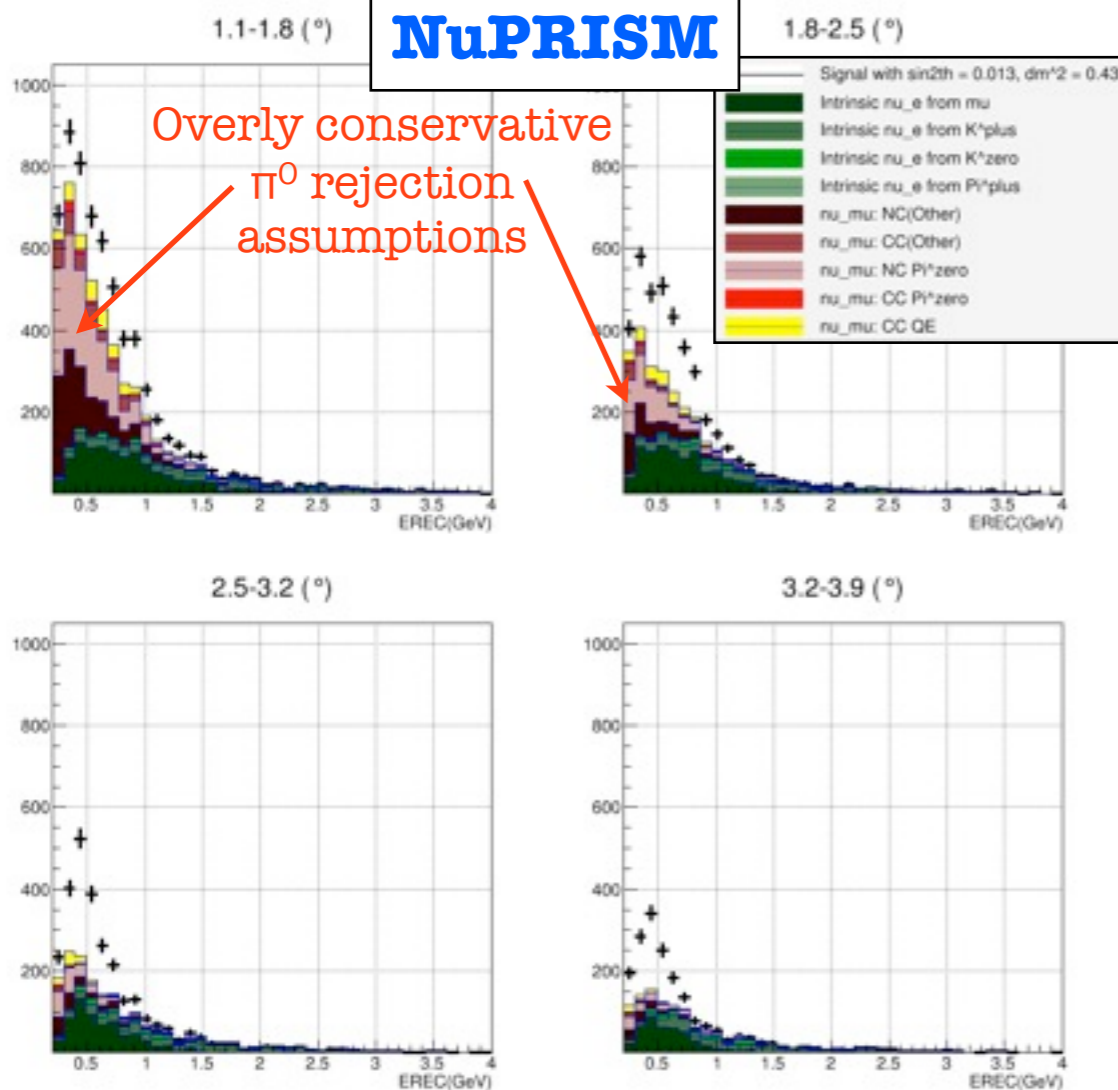
# Sterile Neutrinos

## MiniBooNE

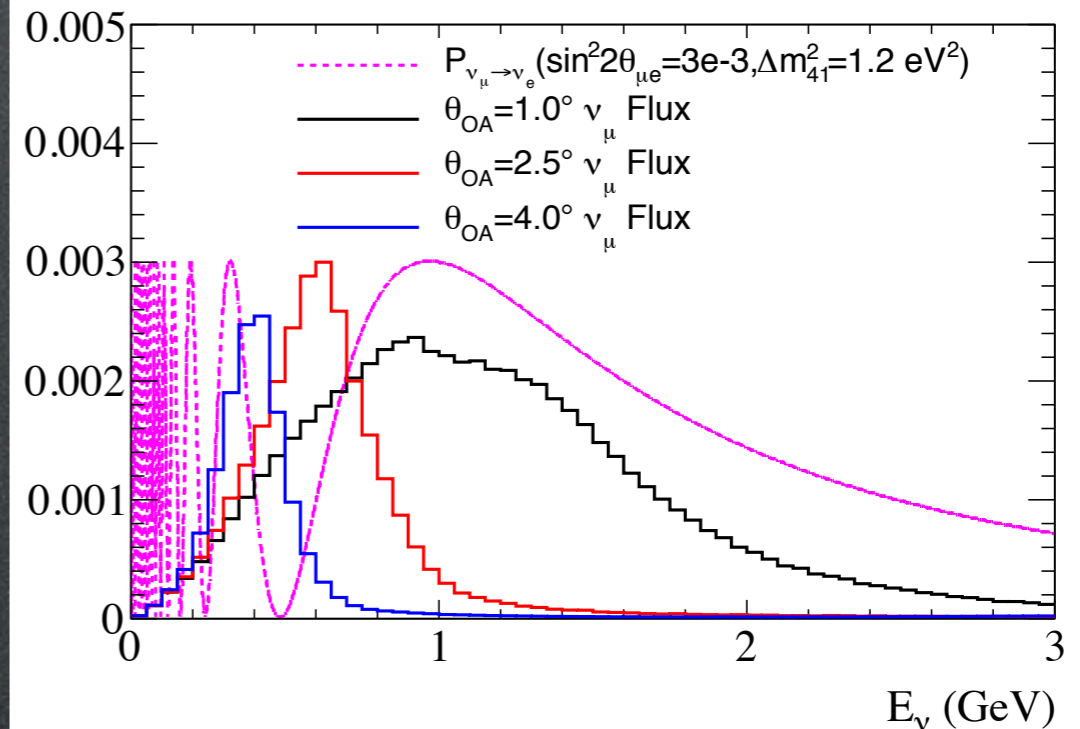


- A multi-kton detector,  $\sim 1$  km from a 600 MeV neutrino beam is well suited to confirm or refute the MiniBooNE/LSND event excesses
- NuPRISM has the additional benefit of continuously sampling a variety of L/E values
  - Oscillation signal and backgrounds vary differently vs off-axis angle
- This provides an additional handle on many uncertain backgrounds (e.g. NC single-photon production)

## NuPRISM



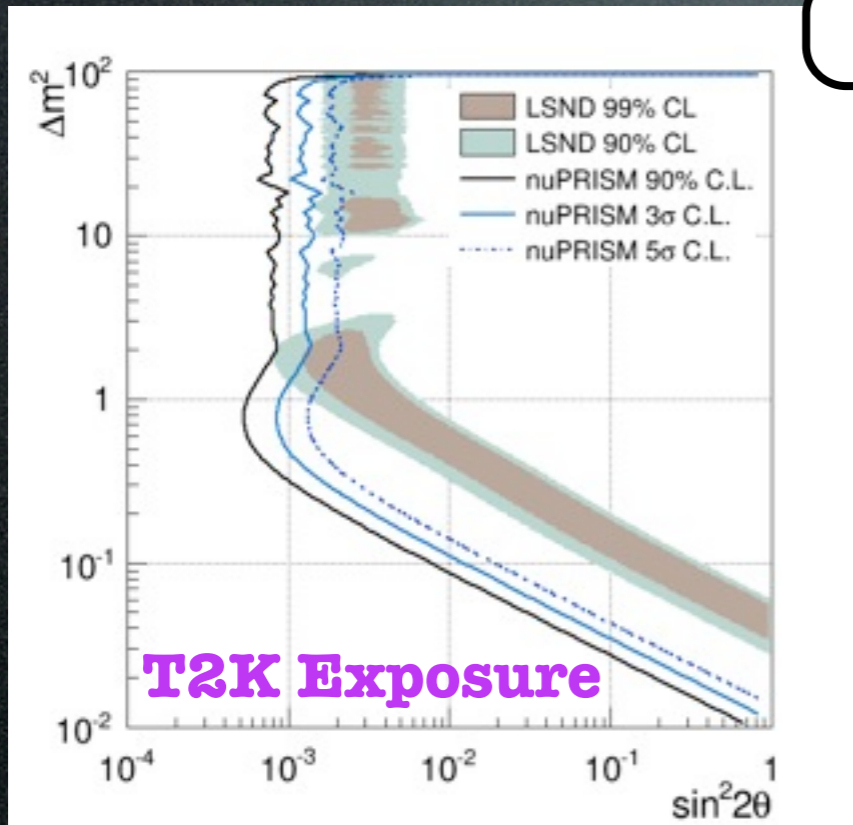
Short Baseline Osc. Prob. and  $\nu$ PRISM Fluxes



# Sterile Neutrino Analysis

- To compute first sensitivities, make several conservative assumptions
- **No constraint from the existing near detector (ND280)**
  - Eventually, a powerful 2-detector constraint will be incorporated
- **No constraints on background processes**
  - NuPRISM should provide control samples for all of the major backgrounds to impose strong data-driven constraints
- **Assume Super-K detector efficiencies and resolutions**
  - NuPRISM has smaller phototubes, and should perform better closer to the wall (which is important, since the diameter is much smaller)
  - Significant increase in  $\nu_e$  statistics is expected
- Since this analysis is still statistics limited, any additional running (T2K2 and/or Hyper-K) will improve the sensitivity

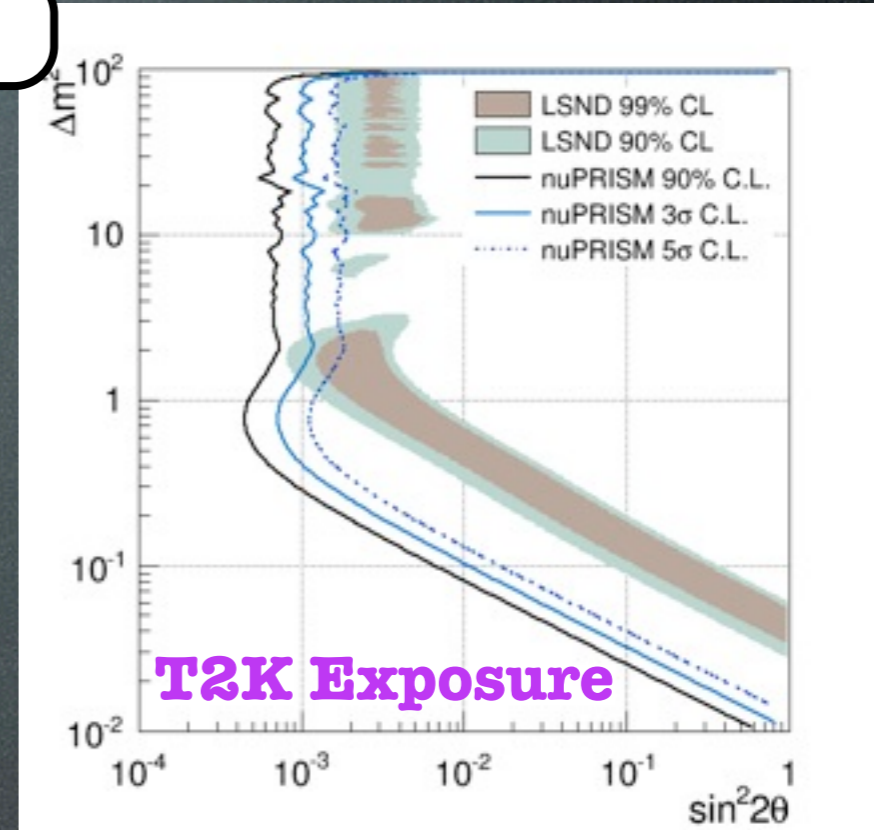
# Current Sterile- $\nu$ Sensitivities



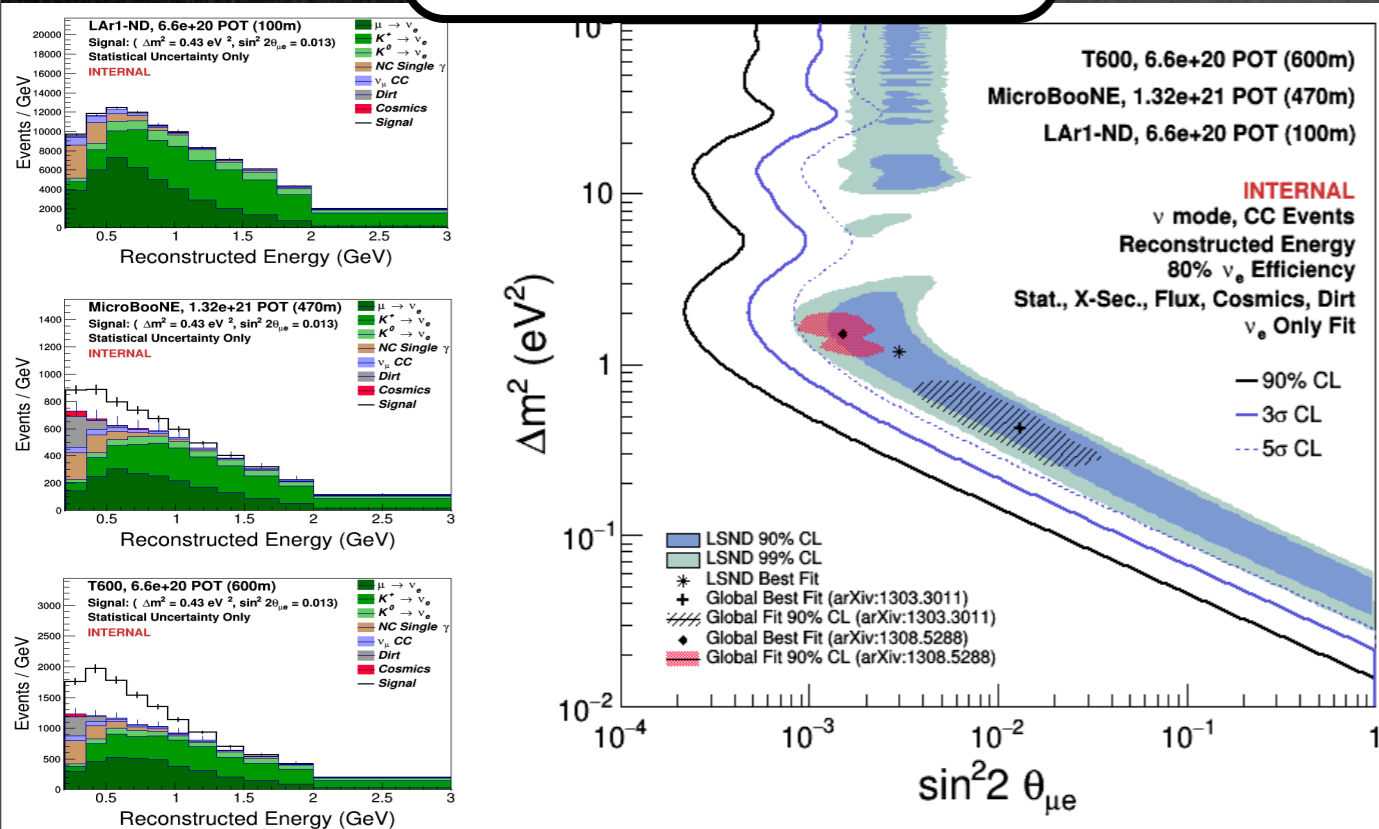
## NuPRISM

30% reduction  
in  $\pi^0$  background  
or  $\pi^0$  uncertainty

Should be  
easily  
achievable



## Fermilab SBN

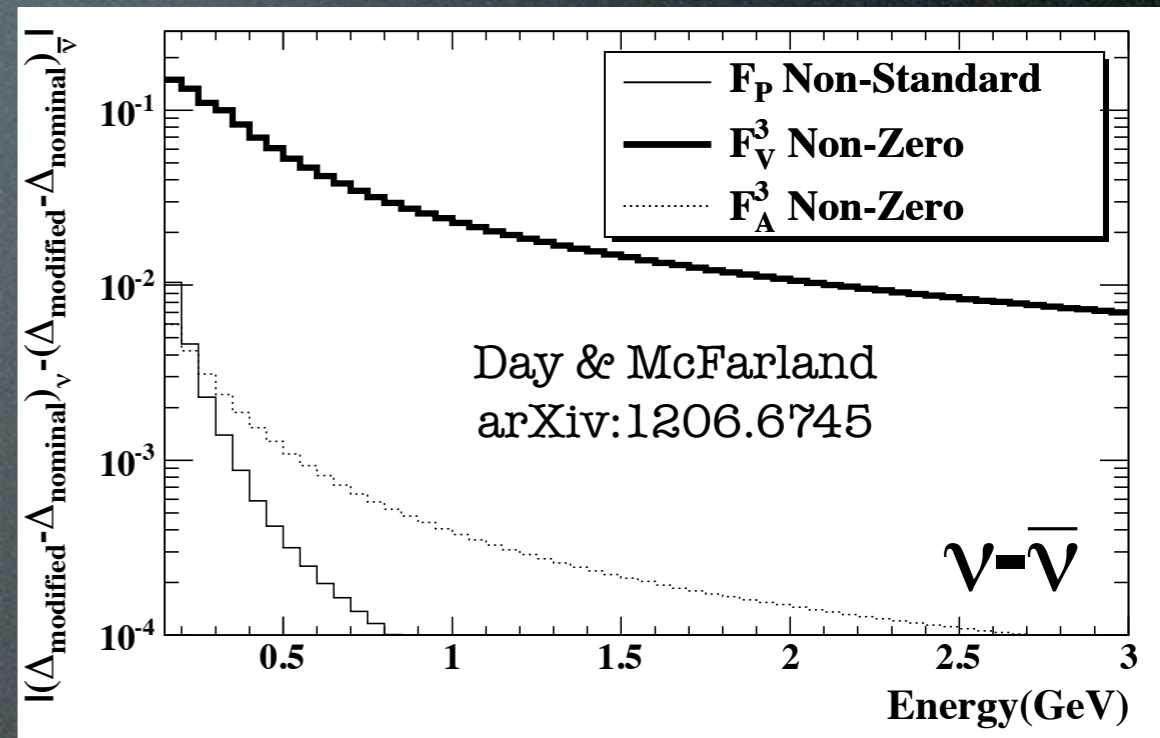


- Much of the LSND allowed region is already excluded at 3-5 $\sigma$
- Much better limits expected as the analysis improves (or with higher statistics)
- Current sensitivity is comparable to Fermilab short-baseline program
- More importantly, Fermilab SBN has less power to rule out background explanations than NuPRISM



# Constraining $\delta_{CP}$

- The **strong constraints on  $\nu_\mu$**  interactions provided by NuPRISM will provide a lot of information about nuclear effects in  $\nu_e$  interactions
- However, there may still be some differences between  $\nu_e$  and  $\nu_\mu$  cross sections (e.g. 2nd class currents?)
- How do we constrain  $\nu_e$  events?
  - Intrinsic  $\nu_e$  in beam
    - Requires a large detector with the same nucleus and acceptance as the far detector
  - **NuPRISM!**
  - Otherwise, we may have to build **NuSTORM**



**Need to measure:**

$$\sigma(\nu_\mu) / \sigma(\bar{\nu}_\mu)$$

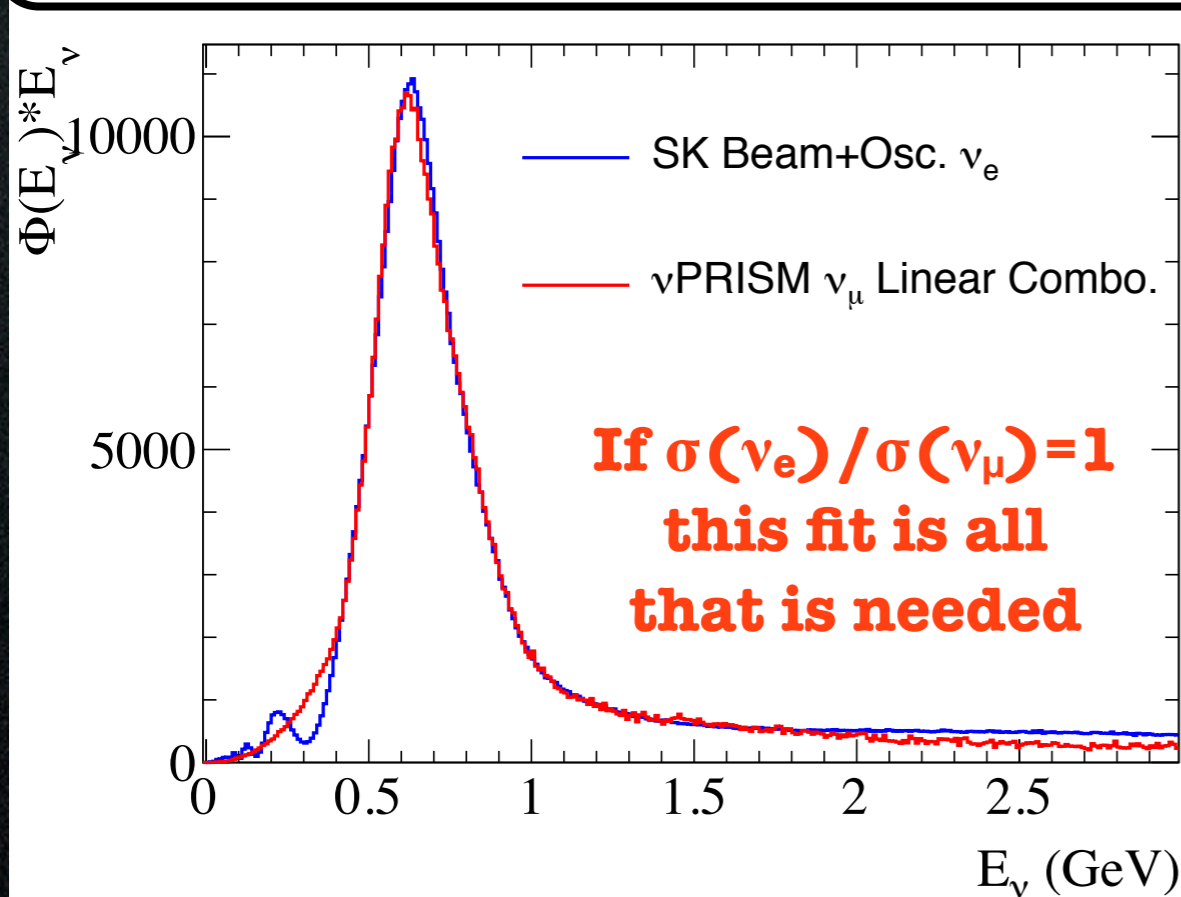
$$\sigma(\nu_e) / \sigma(\nu_\mu)$$

$$\sigma(\bar{\nu}_e) / \sigma(\bar{\nu}_\mu)$$

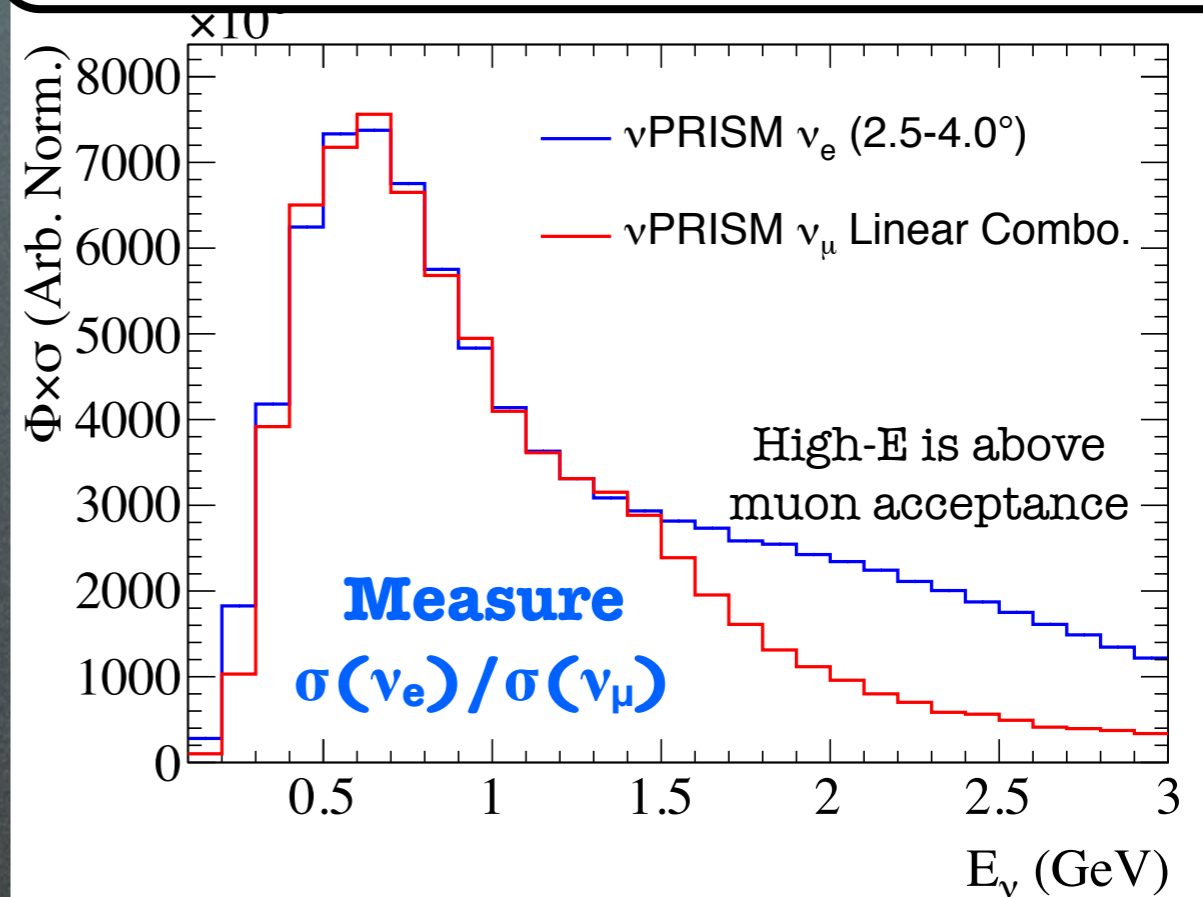
# NuPRISM $\nu_e$ Appearance (CPV)

## 2 step approach:

Step 1: Measure **Super-K**  $\nu_e$  response with nuPRISM  $\nu_\mu$



Step 2: Measure **nuPRISM**  $\nu_e$  response with nuPRISM  $\nu_\mu$



- Step 1 is the  $\nu_e$  version of the  $\nu_\mu$  disappearance analysis
- Step 2 uses only nuPRISM to measure  $\sigma(\nu_e)/\sigma(\nu_\mu)$ 
  - High energy disagreement is above muon acceptance
- Need large mass near detector to make a few percent measurement of  $\sigma(\nu_e)/\sigma(\nu_\mu)$  (ND280 target is a few ton, NuPRISM target is a few kton)

# Constraining the $\nu_e$ Cross Section

- Water Cherenkov detectors can achieve high  $\nu_e$  purities
  - In T2K, 3.50 intrinsic  $\nu_e$  events vs 0.96 NC events  
→ **77%  $\nu_e$  purity**
- Studies to optimize PMT size/granularity to maximize  $\nu_e$  purity in NuPRISM are ongoing
- NuPRISM can also make use of higher off-axis angles:

**50% increase  
in  $\nu_e$  fraction  
from 2.5° to  
4.0° off-axis**

Off-axis angle (°)	$\nu_e$ Flux 0.3-0.9 GeV	$\nu_\mu$ Flux 0.3-5.0 GeV	Ratio $\nu_e/\nu_\mu$
2.5	1.24E+15	2.46E+17	0.507%
3.0	1.14E+15	1.90E+17	0.600%
3.5	1.00E+15	1.47E+17	0.679%
4.0	8.65E+14	1.14E+17	0.760%

# $\nu_e$ Cross Section Precision

- For  $10^{22}$  POT, expect **9340  $\nu_e$  single-e** (i.e. CCQE-like) interactions
  - $2.5^\circ - 4.0^\circ$  range
  - $0.3 < E_\nu < 0.9$  MeV
  - 2 m fiducial volume
- Assuming Super-K efficiency, this would provide a **1.3% statistical error** on  **$N_{\nu_e}/N_{\nu_\mu}$** 
  - Backgrounds will dilute the sensitivity, but NuPRISM can make very precise in-situ measurements of the backgrounds
- **$\nu_e/\nu_\mu$  flux uncertainty** is 3.2% (5.2%) in the 300-600 (600-900) MeV range
  - If hadron production uncertainties are reduced by half,  $\nu_e/\nu_\mu$  flux uncertainty is reduced to 1.7% (3.4%)
- **3% uncertainty may be achievable**
  - In addition, NuPRISM  $\nu_e/\nu_\mu$  flux matching technique provides a unique measurement of  $(d^2\sigma_{\nu_e}/dpd\theta) / (d^2\sigma_{\nu_\mu}/dpd\theta)$

# $\nu$ Cross Section Measurements

- T2K  $\nu_\mu$  disappearance is subject to large  $\text{NC}\pi^+$  uncertainties

- 1 existing measurement
- $\nu\text{PRISM}$  can place a strong constraint on this process vs  $E_\nu$

- NuPRISM is an ideal setup to measure proton decay backgrounds

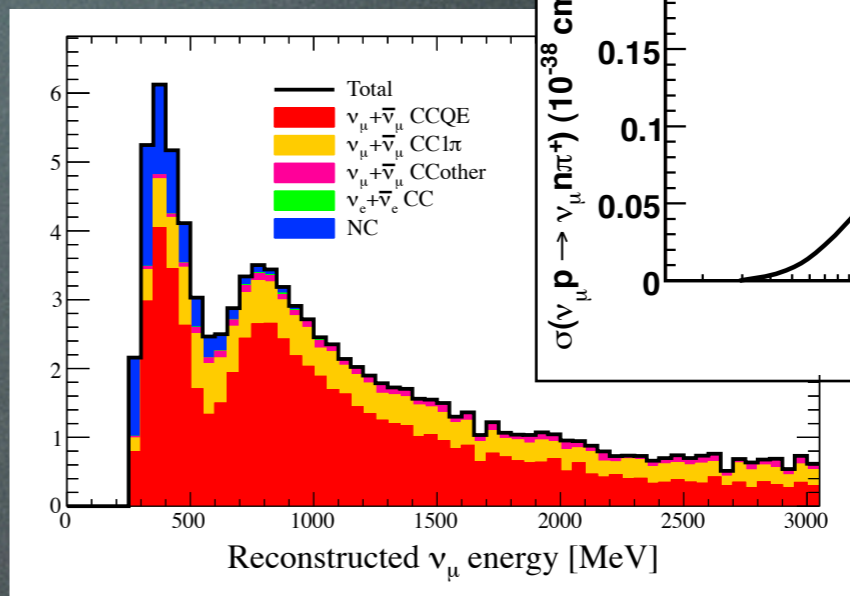
- Repeat  $p \rightarrow e^+\pi^0$  background measurement from K2K 1 kton detector

- 50% of the  $p \rightarrow K^+\nu$  background is from  $\nu$ -induced  $K^+$  production

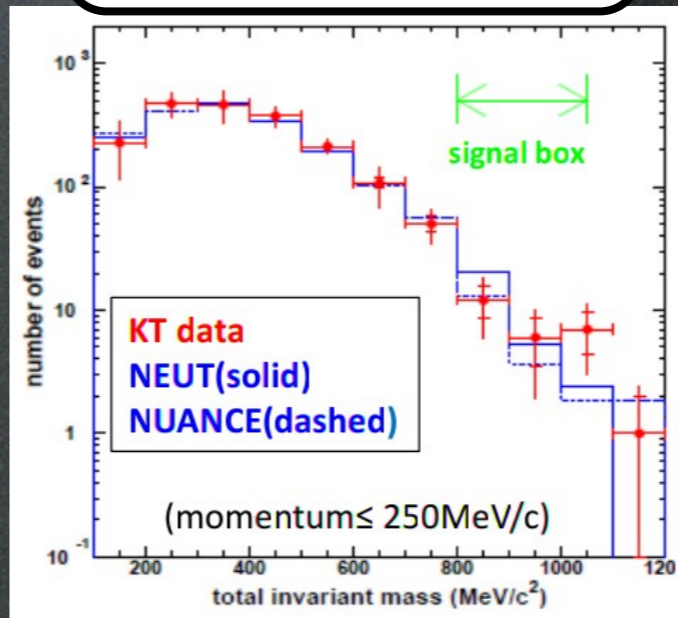
- Production rate has large uncertainties

- Hyper-K proton decay measurements are background limited, so these measurements are crucial

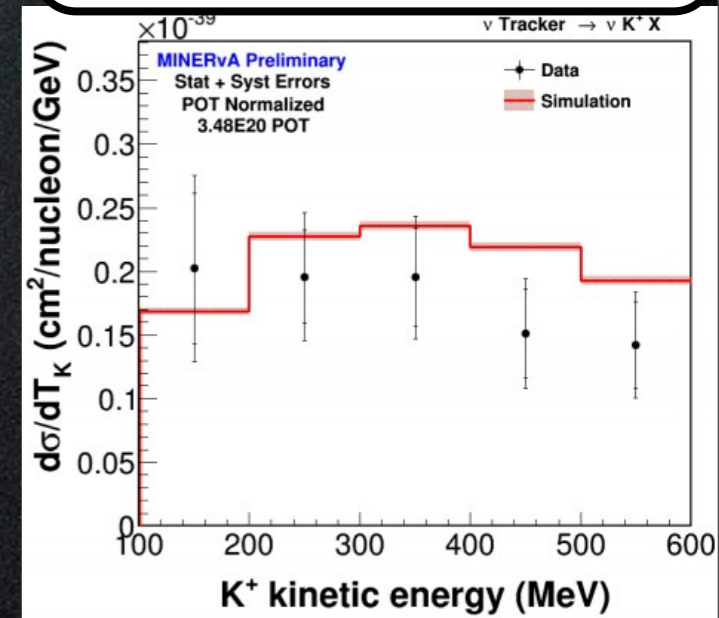
## NC $\pi^+$ at T2K



## K2K $e^+\pi^0$ Bkgd Measurement



## MINERvA $K^+$ Prod. Measurement

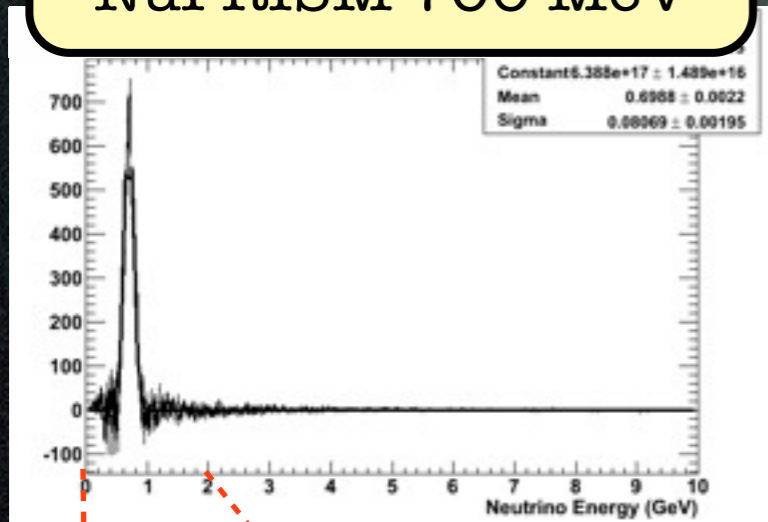


$$N(\text{Mtyr}^{-1}) = 1.63_{-0.33}^{+0.42}(\text{stat})_{-0.51}^{+0.45}(\text{syst}).$$

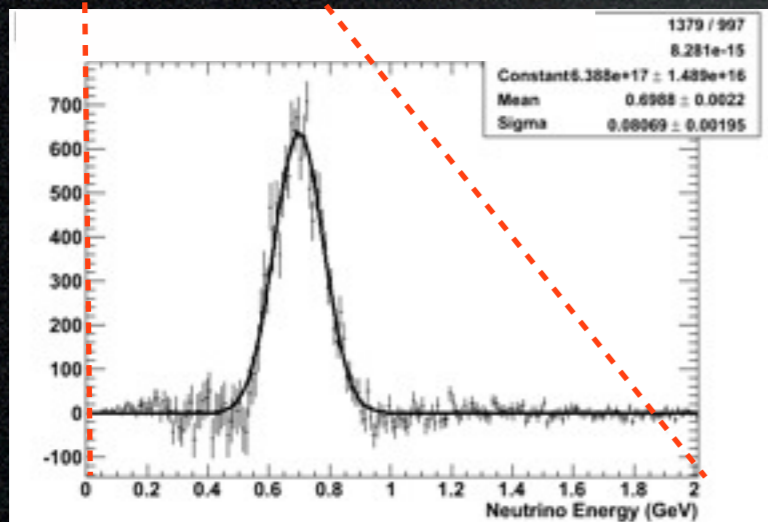
# DUNE-PRISM

- NuPRISM technique also works for DUNE (or NuSTORM)
  - Main drawback: on-axis flux limits high- $E_\nu$  reach
  - Can only make mono-energetic beams up to the first osc. max ( $\sim 2.5$  GeV)
    - However, 2nd oscillation maximum can be very well constrained

NuPRISM 700 MeV



zoom

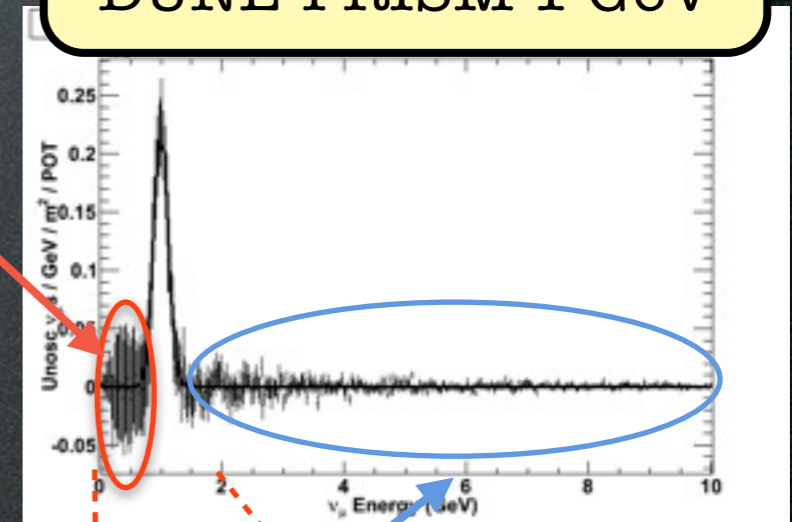


(Larger errors at low E are due to more off-axis slices & no flux-weight smoothing)

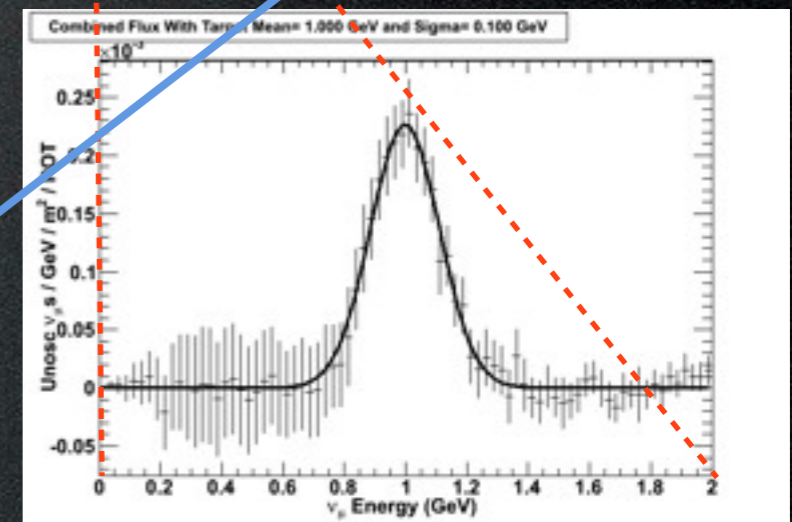
Easy to fix

Good cancelation of high energy flux tail

DUNE-PRISM 1 GeV



zoom



# Summary

- We are entering an era where the largest uncertainties in neutrino oscillation experiments will be determined by poorly understood models
  - NuPRISM provides an **experimental solution** to the **neutrino energy measurement problem**
- NuPRISM will produce a wide variety of other interesting measurements
  - A unique **sterile neutrino** search
  - **Nuclear physics** from mono-energetic beams
  - A wide variety of **unique cross section measurements** and model constraints
- These physics goals can be achieved within the currently allocated beam time for T2K
  - Plans for an extended run will enhance sensitivity for sterile neutrinos and  $\sigma(\nu_e)$  measurements
- The NuPRISM concept can be applied to any long-baseline neutrino experiment (e.g. DUNE)

