# PHIALA SHANAHAN AB-INITIO AI FOR THE STRUCTURE OF MATTER



Massachusetts Institute of Technology

# PHIALA SHANAHAN AB-INITIO AI FOR THE STRUCTURE OF MATTER



Massachusetts Institute of Technology

### MATTER

ATOMS

# PROTONS & NEUTRONS

### QUARKS, GLUONS AND THE QUANTUM VACUUM

The Standard Model of nuclear and particle physics





The Standard Model isn't everything

- Dark matter and dark energy
- Neutrino masses

BUT

- Matter–antimatter asymmetry
- Gravity

. . .

• Naturalness problems



Understanding the quark and gluon structure of matter

Emergence of complex structure in nature

Backgrounds and benchmarks for searches for new physics



First-principles studies of the Standard Model of nuclear and particle physics





 Require guarantees of exactness, incorporation of complex symmetries

### Acceleration via "AB-INITIO AI"

### The structure

E.g., Not enough Supercomputing in the world to Model of nuc for dark matter scattering from detectors!

 Demand extreme-scale computation



 Require guarantees of exactness, incorporation of complex symmetries

### Acceleration via "AB-INITIO AI"

### IAIFI: Ab-initio AI

Machine learning that incorporates first principles, best practices, and domain knowledge from fundamental physics

The NSF AI Institute for Artificial Intelligence and Fundamental Interactions (IAIFI) "eye-phi"



## AB-INITO AI FOR AB-INITIO STUDIES OF THE STANDARD MODEL OF PARTICLE PHYSICS

11

## Strong interactions

Study nuclear structure from the strong interactions

### Quantum Chromodynamics (QCD)

Strongest of the four forces in nature



Binds quarks and gluons into protons, neutrons, pions etc.



### Binds protons and neutrons into nuclei

12

Forms other types of exotic matter e.g., quark-gluon plasma

Phia

### Strong interactions

#### Interaction strength depends on energy

[Gross, Politzer, Wilczek, Nobel 2004]



### Lattice QCD

Numerical first-principles approach to non-perturbative QCD

- QCD equations  $\longleftrightarrow$  integrals over the values of quark and gluon fields on each site/link (QCD path integral)
- ~10<sup>12</sup> variables (for state-of-the-art)



- Evaluate by importance sampling
- Paths near classical action dominate
- Calculate physics on a set (ensemble) of samples of the quark and gluon fields

### Lattice QCD

Numerical first-principles approach to non-perturbative QCD

4D Euclidean space-time grid

- Non-zero lattice spacing (take limit as spacing becomes small)
- Volume  $L^3 \times T \approx 64^3 \times 128$



Approximate the QCD path integral by Monte Carlo

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}A\mathcal{D}\overline{\psi}\mathcal{D}\psi\mathcal{O}[A,\overline{\psi}\psi] e^{-S[A,\overline{\psi}\psi]} \longrightarrow \langle \mathcal{O} \rangle \simeq \frac{1}{N_{\text{conf}}} \sum_{i}^{N_{\text{conf}}} \mathcal{O}([U^{i}])$$

with field configurations  $U^i$  distributed according to  $e^{-S[U]}$ 

### Lattice QCD

Generate field configurations  $\phi(x)$  with probability  $P[\phi(x)] \sim e^{-S[\phi(x)]}$ 

- Gauge field configurations represented by  $\sim 10^{10}$  links  $U_{\mu}(x)$  encoded as SU(3) matrices (3x3 complex matrix M with det[M] = 1,  $M^{-1} = M^{\dagger}$ ) i.e.,  $\sim 10^{12}$  double precision numbers
- Configurations sample probability distribution corresponding to LQCD action  $S[\phi]$ (function that defines the quark and gluon dynamics)
  - Weighted averages over configurations determine physical observables of interest
- Calculations use  $\sim 10^3$  configurations



QCD gauge field configurations sampled via

Hamiltonian dynamics + Markov Chain Monte Carlo



Generate field configurations  $\phi(x)$  with probability  $P[\phi(x)] \sim e^{-S[\phi(x)]}$ 



Burn-in time and correlation length dictated by Markov chain **'autocorrelation time'**: shorter autocorrelation time implies less computational cost

QCD gauge field configurations sampled via Hamiltonian dynamics + Markov Chain Monte Carlo





"Critical slowing-down" of generation of uncorrelated samples

QCD gauge field configurations sampled via Hamiltonian dynamics + Markov Chain Monte Carlo





"Critical slowing-down" of generation of uncorrelated samples

QCD gauge field configurations sampled via Hamiltonian dynamics + Markov Chain Monte Carlo





"Critical slowing-down" of generation of uncorrelated samples

QCD gauge field configurations sampled via Hamiltonian dynamics + Markov Chain Monte Carlo



## Machine learning for LQCD

Worldwide efforts to apply ML tools to many aspects of the lattice QCD workflow

#### Field configuration generation by e.g.,

- Multi-scale approaches
- Accelerated HMC

. . .

• Direct sampling methods

Shanahan et al., Phys.Rev.D 97 (2018) Albergo et al., Phys.Rev.D 100 (2019) Rezende et al., 2002.02428 (2020) Kanwar et al., Phys.Rev.Lett. 125 (2020) Boyda et al., 2008.05456 (2020) Tanaka and Tomiya, 1712.03893 (2017) Zhou et al., Phys.Rev.D 100 (2019) Li et al., PRX 10 (2020) Pawlowski and Urban 1811.03533 (2020) Nagai, Tanaka, Tomiya 2010.11900 (2020) Luo, Clark Stokes, 2012.05232 (2020) Favoni et al, 2012.12901 (2021) Luo et al, 2101.07243 (2021)

### Efficient computations of correlation functions/observables

Yoon, Bhattacharya, Gupta, Phys. Rev. D 100, 014504 (2019) Zhang et al, Phys. Rev. D 101, 034516 (2020) Nicoli et al., 2007.07115 (2020)

Sign-problem avoidance via contour deformation of path integrals Alexandruet al., Phys. Rev. Lett. 121 (2020), Detmold et al., 2003.05914 (2020)

#### Analysis, order parameters, insights

Tanaka and Tomiya, Journal of the Physical Society of Japan, 86 (2017) Wetzel and Scherzer, Phys. Rev. B 96 (2017) S. Blücher et al., Phys. Rev. D 101 (2020) Boyda et al., 2009.10971 (2020)

#### \*Early developmental stage — many of these papers use toy theories instead of QCD \*Much more related work in e.g., condensed matter context

## Machine learning for LQCD

Worldwide efforts to apply ML tools to many aspects of the lattice QCD workflow

#### Efficient comput

#### functions/observables

Approaches must rigorous/y preserve quantum field theory in applicable limits Yoon, Bhattacharya, Gupta, Phys. Rev. D 100, 014504 (2017) Zhang et al, Phys. Rev. D 101, 034516 (2020) Nicoli et al., 2007.07115 (2020)

Sign-problem avoidance via contour deformation of path integrals Alexandruet al., Phys. Rev. Lett. 121 (2020), Detmold et al., 2003.05914 (2020)

#### Boyda et al., 20

\*Early developmental stage se papers use toy theories instead of QCD \*Much more related work in e.g., condensed matter context



### Test case: scalar lattice field theory

One real number  $E(-\infty,\infty)$  per lattice site x (2D lattice)









"panda"

57.7% confidence



noise







57.7% confidence





99.3% conf

23

Generate field configurations  $\phi(x)$  with probability  $P[\phi(x)] \sim e^{-S[\phi(x)]}$ 



Ensemble of lattice QCD gauge fields

- Ensemble of gauge fields has meaning
- $64^3 \times 128 \times 4 \times N_c^2 \times 2$ ~10<sup>9</sup> numbers
- ~1000 samples
- Long-distance correlations are important
- Gauge and translationinvariant with periodic boundaries

CIFAR benchmark image set for machine learning

- Each image has meaning
- 32 x 32 pixels x 3 cols ~3000 numbers
- 60000 samples
- Local structures are important
- Translation-invariance within frame

Ensemble of lattice QCD gauge fields

- Ensemble of gauge fields has meaning
- $64^3 \times 128 \times 4 \times N_c^2 \times 2$ ~10<sup>9</sup> numbers
- ~1000 samples
- Long-distance correlations are important
- Gauge and translationinvariant with periodic boundaries

**Physics** is invariant under specific field transformations

## Rotation, translation (4D), with boundary conditions



Encode same physics

### Ensemble of lattice QCD gauge fields

- Ensemble of gauge fields has meaning
- $64^3 \times 128 \times 4 \times N_c^2 \times 2$ ~10<sup>9</sup> numbers
- ~1000 samples
- Long-distance correlations are important
- Gauge and translationinvariant with periodic boundaries

## **Physics** is invariant under specific field transformations





### Generative flow models

Flow-based models learn a change-of-variables that transforms a known distribution to the desired distribution [Rezende & Mohamed 1505.05770]

Can be made exact through accept/reject!



### Generative flow models

Flow-based models learn a change-of-variables that transforms a known distribution to the desired distribution [Rezende & Mohamed 1505.05770]

Can be made exact through accept/reject!



### Training the model

Target distribution is known up to normalisation

$$p(\phi) = e^{-S(\phi)} / Z_{p(\phi)} = e^{-S(\phi)} / Z$$

Train to minimise shifted KL divergence: [Zhang, E, Wang 1809.10188]

$$shift removes unknown normalisation Z$$

$$shift removes unknown normalisation Z$$

$$= \int \prod_{j} d\phi_{j} \, \tilde{p}_{f}(\phi) \left( \log \overline{\tilde{p}}_{f}(\phi) \left( \log \overline{\tilde{p}}_{f}(\phi) \left( \log \overline{\tilde{p}}_{f}(\phi) + S(\phi) \right) \right) \right)$$

$$L(\tilde{p}_{f}) := D(\tilde{p}_{f}) = D(\tilde{p}) = D(\tilde{p$$

### Exactness via Markov chain

**Guarantee exactness** of generated distribution by forming a Markov chain: accept/reject with Metropolis-Hastings step

Acceptance  
probability 
$$_{A}A(\phi^{(i-1)}, \phi') = \min\left(1, \frac{\tilde{p}(\phi^{(i-1)})}{p(\phi^{(i-1)})} \frac{p(\phi')}{\tilde{p}(\phi')}\right)$$
 True dist  
Model dist  
proposal independent  
of previous sample



### Fields via flow models



Summary chart: Tej Kanwar

### Application: scalar field theory

First application: scalar lattice field theory

One real number  $\phi(x) \in (-\infty, \infty)$  per lattice site x (2D lattice)

Action: kinetic terms and quartic coupling

$$S(\phi) = \sum_{x} \left( \sum_{y} \frac{1}{2} \phi(x) \Box(x, y) \phi(y) + \frac{1}{2} m^2 \phi(x)^2 + \lambda \phi(x)^4 \right)$$

5 lattice sizes:  $L^2 = \{6^2, 8^2, 10^2, 12^2, 14^2\}$  with parameters tuned for analysis of critical slowing down

	E1	E2	E3	E4	E5
L	6	8	10	12	14
$m^2$	-4	-4	-4	-4	-4
$\lambda$	6.975	6.008	5.550	5.276	5.113
$m_pL$	3.96(3)	3.97(5)	4.00(4)	3.96(5)	4.03(6)

 $\tilde{p}_f(\phi)$ 

couple

split

 $Z_a$ 

#### combine $\phi_a$ $\phi_b$ $\phi_b$

Application of g<sub>i</sub><sup>-1</sup>

ti

### Application: scalar field theory

### First application: scalar lattice field theory

 $f^{-1}(z)$ 

 $g_{i+1}^{-1}$ 

35

Choose real non-volume preserving flows: [Dinh et al. 1605.08803]

- Affine transformation of half of the variables:
  - scaling by exp(s)
  - translation by t

 $\mathcal{Z}$ 

r(z)

- s and t arbitrary neural networks depending on untransformed variables only
- Simple inverse and Jacobian

 $g_1^{-1}$ 

### Application: scalar field theory

#### First application: scalar lattice field theory

- Prior distribution chosen to be uncorrelated Gaussian:  $\phi(x) \sim \mathcal{N}(0, 1)$ 
  - $\substack{\phi(x) \sim \mathcal{N}(0,1) \\ \text{Real non-volume-preserving (NVP) couplings}}$ 
    - \* 8-12 Real NVP coupling layers
    - \* Alternating checkerboard pattern for variable split
    - \* NNs with 2-6 fully connected layers with 100-1024 hidden units
    - Train using shifted KL loss with Adam optimizer
    - \* Stopping criterion: fixed acceptance rate in Metropolis-Hastings MCMC

 $g_1$ 

 $g_{2}$ 

q

 $g_2^{-1}$ 

### Application: scalar field theory

#### First application: scalar lattice field theory

Success:Critical slowing down is eliminatedCost:Up-front training of the model



### From toy models to QCD

Target application: Lattice QCD for nuclear physics







Aurora21 Early Science Project

### Incorporating symmetries

#### Gauge field theories

- Field configurations represented by links  $U_{\mu}(x)$  encoded as matrices
- e.g., for Quantum Chromodynamics, SU(3) matrices (3x3 complex matrices M with det[M]=1 ,  $M^{-1}=M^{\dagger}$  )
- Group-valued fields live not on real line but on compact manifolds
- Action is invariant under group transformations on gauge fields



Incorporate symmetries: gauge-equivariant flows

[2008.05456 (2020), PRL 125, 121601 (2020), 2002.02428 (2020)]

Phiala Shanahan, MIT



Previously: Real non-volume preserving flows



Need:

Flows on compact, connected manifolds e.g., circles, tori, spheres



#### Test case: Flows on the circle

e.g., U(I) field theory, robot arm positions



Diffeomorphism if:

$$\begin{split} f(0) &= 0, & \text{Ensures} \\ f(2\pi) &= 2\pi, & \text{Ensures} \\ \nabla f(\theta) &> 0, & \text{invertible} \\ \nabla f(\theta)|_{\theta=0} &= \nabla f(\theta)|_{\theta=2\pi} \end{split}$$

Expressive transformations through:

• Composition  $f = f_K \circ \cdots \circ f$ 

Convex combination 
$$\rho_i \ge 0$$
  
 $f(\theta) = \sum_i \rho_i f_i(\theta) \quad \sum_i \rho_i = 1$ 

6





Circular splines

- Rational quadratic function of  $\theta$  on each of K segments
- Several conditions on coefficients to guarantee diffeomorphism

#### Non-compact projection

• Project to the real line and back: careful with numerical instabilities at endpoints



$$f(\theta) = \frac{\alpha_{k2}\theta^2 + \alpha_{k1}\theta + \alpha_{k0}}{\beta_{k2}\theta^2 + \beta_{k1}\theta + \beta_{k0}}$$

$$f(\theta) = 2 \tan^{-1} \left( \alpha \tan \left( \frac{\theta}{2} - \frac{\pi}{2} \right) + \beta \right) + \pi$$

Normalizing Flows on Tori and Spheres

[arXiv:2002.02428]

Danilo Jimenez Rezende<sup>\*1</sup> George Papamakarios<sup>\*1</sup> Sébastien Racanière<sup>\*1</sup> Michael S. Albergo<sup>2</sup> Gurtej Kanwar<sup>3</sup> Phiala E. Shanahan<sup>3</sup> Kyle Cranmer<sup>2</sup>



## Incorporating symmetries

#### Incorporating symmetries

- Not essential for correctness of ML-generated ensembles
- BUT: Crucially important in training high-dimensional models especially with high-dimensional symmetries



## Incorporating symmetries

#### Incorporating symmetries

- Not essential for correctness of ML-generated ensembles
- BUT: Crucially important in training high-dimensional models especially with high-dimensional symmetries

Flow defined from coupling layers will be invariant under symmetry if

The prior distribution is symmetric



**Each coupling layer is equivariant under the symmetry** i.e., all transformations commute through application of the coupling layer

### Gauge field theory

### First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant

#### Gauge transformation

Separate group transformation of each link matrix  $U_{\mu}(x)$ 



 $U_{\mu}(x) \to U'_{\mu}(x) = \Omega(x)U_{\mu}(x)\Omega^{\dagger}(x+\hat{\mu})$ for all  $\Omega(x) \in U(1)$ 



### First gauge theory application: U(1) field theory

Generative flow architecture that is gauge-equivariant



Act on a subset of the variables in each layer



### First gauge theory application: U(1) field theory

Generative flow architecture that is gauge-equivariant

Define invertible, equivariant coupling layer  $g(U^A, U^B) = (U'^A, U^B)$ 



### First gauge theory application: U(1) field theory

Generative flow architecture that is gauge-equivariant



[Kanwar et al., PRL 125, 121601 (2020)]

### First gauge theory application: U(1) field theory

Generative flow architecture that is gauge-equivariant



### First gauge theory application: U(1) field theory

Generative flow architecture that is gauge-equivariant



[Kanwar et al., PRL 125, 121601 (2020)]

Phiala Shanahan, MIT



### First gauge theory application: U(I) field theory

Generative flow architecture that is gauge-equivariant



Other recent related work: Luo, Clark Stokes, 2012.05232 (2020) Favoni et al, 2012.12901 (2021) Luo et al, 2101.07243 (2021)





[2008.05456 (2020), PRL 125, 121601 (2020), 2002.02428 (2520)]

Phiala Shanahan, MIT

### Fermions

QCD action includes Grassman-valued (anticommuting) fermion fields

- $\begin{array}{c} \text{AP-field:} \quad \hline r_{ap}(\chi) \\ \bullet \text{ Analytic integration leaves } S[\Phi] \text{field} pell \\ r_{p}(\zeta) \\ \bullet \\ r_{p}(\zeta) \\ \bullet \\ \hline r_{p}(\zeta) \\ \hline r_{q}(\cdot) \\ \hline r_{q}(\cdot$
- Conventionally auxiliary "pseudofermion" fields are introduced and marginalised over
- Target density invariant under translations of the fields with anti-periodic boundary conditions on the pseudofermion field
- Design, translationequivariant convolutions, equivariant linear operators

[arXiv:2106.05934]



Autoregressive model based on coupling layers and linear flows



#### Phiala Shanahan, MIT

First gauge theory application: U(1) field theory

One complex number  $U = e^{i\theta}$  per link on a 2D lattice

Action: expressed in terms of plaquettes (products of links around closed loops) with a single coupling  $S(U) := -\beta \sum_{x} \operatorname{Re} P(x)$  $P(x) := U_0(x)U_1(x+\hat{0})U_0^{\dagger}(x+\hat{1})U_1^{\dagger}(x)$  $U_1^{\dagger}(x)$ 

Fixed lattice size:  $L^2 = 16$  with couplings  $\beta = \{1, 2, 3, 4, 5, 6, 7\}$ 

Continuum limit (critical slow-down) as  $eta 
ightarrow \infty$ 

First gauge theory application: U(1) field theory

- Prior distribution chosen to be uniform
  - Gauge-equivariant coupling layer N(0,1)
    - \* 24 coupling layers
    - Kernels h: mixtures of non-compact projections,
       6 components, parameterised with convolutional
       NNs (i.e., NN output gives params. of NCP)
    - NNs with 2 hidden layers with 8x8 convolutional filters, kernel size 3

## Train using shifted KL loss with Adam optimizer

\* Stopping criterion: loss plateau



Phiala Shanahan, MIT

First gauge theory application: U(1) field theory

Success: Critical slowing down is significantly reducedCost: Up-front training of the model

Sampling of the topological charge P(x) $Q := \frac{1}{2\pi} \sum_{x} \arg(P(x))$ 4 2 <u>i tana ang mang na ang mana pala ang </u> HMC Conventional HB approaches  $\mathbf{0}$ Flow 20000100000 40000 60000 80000  $\left( \right)$ Markov chain step

2D, L=16, β=6

[2008.05456 (2020), PRL 125, 121601 (2020), 2002.02428 (2020)]

First gauge theory application: U(1) field theory

2D, L=16

Success: Critical slowing down is significantly reducedCost: Up-front training of the model



58

[2008.05456 (2020), PRL 125, 121601 (2020), 2002.02428 (2020)]

Phiala Shanahan, MIT

First gauge theory application: U(1) field theory



### Interdisciplinary relevance



#### Molecular genetics and drug design



#### **RESEARCH ARTICLE SUMMARY**

#### MACHINE LEARNING

#### Boltzmann generators: Sampling equilibrium states of many-body systems with deep learning

Frank Noé\*†, Simon Olsson\*, Jonas Köhler\*, Hao Wu

#### Robotics



#### 1 Sample Gaussian distribution





#### Phiala Shanahan, MIT

### Outlook

ML-accelerated algorithms have huge potential to enable first-principles nuclear physics studies

#### Flow-based generation of QCD gauge fields at scale would

- ★ Enable fast, embarrassingly parallel sampling
   → high-statistics calculations
- \* Allow parameter-space exploration (re-tune trained models)
- \* Reduce storage challenges (store only model, not samples)

### Implementations of flow models at scale (e.g., 4D, $64^3 \times 128$ ) conceptually straightforward, but work needed

- \* Training paradigms
- ✤ Model parallelism
- \* Exascale-ready implementations
- \* ....



61

Emergence of complex structure in nature

> Backgrounds and benchmarks for searches for new physics



## HUGE POTENTIAL FOR AB-INITO AI

The NSF AI Institute for Artificial Intelligence and Fundamental Interactions (IAIFI) "eye-phi"



Ш

15h

Massachusetts Institute of Technology 63