



When Reinforcement Learning meets Quantum Computing

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F O in @BrookhavenLab

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I. Introduction

II. Reinforcement Learning (RL)

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I. Introduction

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2016 AlphaGo



ARTICLE

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Mastering the game of Go with deep neural networks and tree search

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The game of Go has long been viewed as the most challenging of classic games for artificial intelligence owing to its enormous search space and the difficulty of evaluating board positions and moves. Here we introduce a new approach to computer Go that uses 'value networks' to evaluate board positions and 'policy networks' to select moves. These deep neural networks are trained by a novel combination of supervised learning from human expert games, and reinforcement learning from games of self-play. Without any lookahead search, the neural networks play Go at the level of state-of-the-art Monte Carlo tree search programs that simulate thousands of random games of self-play. We also introduce a new search algorithm that combines Monte Carlo simulation with value and policy networks. Using this search algorithm, our program AlphaGo achieved a 99.8% winning rate against other Go programs, and defeated the human European Go champion by 5 games to 0. This is the first time that a computer program has defeated a human professional player in the full-sized game of Go, a feat previously thought to be at least a decade away.



2017~ QC hardware



Google Al Quantum hardware roadmap





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Algorithmic qubits defined as the effective number of qubits for typical algorithms, limited by the 2Q fidelity
 Employs 10:1 error-correction encoding
 Employs 20:1 error-correction encoding

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Reinforcement Learning (RL)

 Reinforcement Learning (RL) is a type of machine learning technique that enables an agent to learn in an interactive environment by trial and error using feedback from its own actions and experiences.







Reinforcement Learning (RL)

- RL: An agent interacts with an *environment* & over a number of discrete time steps.
- The agent receives *state* or *observation* s_t and then chooses an *action* a_t from a set of actions \mathscr{A} according to its *policy* π .
- Goal: Maximize the **total discounted**

return
$$R_t = \sum_{t'=t}^{I} \gamma^{t'-t} r_{t'}$$





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Quantum Computing

- Classical computers: Classical bits 0 vs 1
- Quantum computers: Quantum bits (qubit) $|\Psi\rangle = \alpha |0\rangle + \beta |1\rangle$ where α and β are complex numbers $\mathbb C$
- Quantum entanglements: A unique property of quantum physics —> No analog in the classical computer
- Famous algorithms:
 - Shor's algorithm: Can be used to break the state-of-the-art public key cryptography systems such as RSA
 - Grover's algorithm: Quadratic speedup in unstructured search



- Designing a quantum algorithm is non-trivial task
- Even harder in the noisy quantum machines



Quantum States

Single Qubit State

$$|0\rangle = \begin{bmatrix} 1\\ 0 \end{bmatrix}$$
$$|1\rangle = \begin{bmatrix} 0\\ 1 \end{bmatrix}$$

Two Qubit State $|0\rangle \otimes |0\rangle = \begin{bmatrix} 1\\ 0 \end{bmatrix} \otimes \begin{bmatrix} 1\\ 0 \end{bmatrix} = \begin{bmatrix} 1\\ 0\\ 0\\ 0 \end{bmatrix}$

$$\underbrace{|0\rangle \otimes |0\rangle \otimes \cdots \otimes |0\rangle}_{N} = \underbrace{\begin{bmatrix}1\\0\end{bmatrix} \otimes \begin{bmatrix}1\\0\end{bmatrix} \otimes \cdots \otimes \begin{bmatrix}1\\0\end{bmatrix}}_{N}$$



Quantum States

Density Operators

$$\rho = \sum_{j} p_{j} \left| \psi_{j} \right\rangle \left\langle \psi_{j} \right|$$

Examples:

$$|0\rangle = \begin{bmatrix} 1\\0 \end{bmatrix} \quad \langle 0| = \begin{bmatrix} 1 & 0 \end{bmatrix}$$
$$\downarrow$$
$$|0\rangle\langle 0| = \begin{bmatrix} 1\\0 \end{bmatrix} \begin{bmatrix} 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0\\0 & 0 \end{bmatrix}$$



 p_i

Basis state

Probability

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Quantum Operations



Example:



 $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} = |1\rangle$



Quantum Operations





Quantum Operations





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Quantum Machine Learning



Hybrid Quantum-Classical Paradigm

Quantum Computer



Optimization



Interfacing with Classical ML





Quantum Reinforcement Learning



Variational Quantum Circuits (VQC)

- Quantum circuits with tunable parameters.
- Subject to iterative optimization procedures.
- $U(\mathbf{x})$: encoding circuit
- $V(\theta)$: variational circuit

• 🖂 : measurement





Quantum Encoding and State Preparation

A general N qubit quantum state can be represented as:

$$\left|\psi\right\rangle = \sum_{\left(q_{1},q_{2},\cdots,q_{N}\right)\in\{0,1\}} c_{q_{1},q_{2},\cdots,q_{N}} \left|q_{1}\right\rangle \otimes \left|q_{2}\right\rangle \otimes \cdots \otimes \left|q_{N}\right\rangle$$

where $c_{q_1,\cdots,q_N} \in \mathbb{C}$ is the complex amplitude for each basis state and each $q_i \in \{0,1\}$

The total probability is equal to 1:
$$\sum_{(q_1,\dots,q_N)\in\{0,1\}} \|c_{q_1,\dots,q_N}\|^2 = 1$$

Quantum Encoding and State Preparation

Amplitude Encoding

Encode a vector $(\alpha_0, \dots, \alpha_{2^n-1})$ into a *n*-qubit quantum state:

$$|\Psi\rangle = \alpha_0 |00\cdots0\rangle + \cdots + \alpha_{2^n-1} |11\cdots1\rangle$$

where α_i are real numbers and $(\alpha_0, \cdots, \alpha_{2^n-1})$ is normalized

N-dimensional vector will require only $\log_2(N)$ qubits to encode

Variational Encoding

Input numbers $x_1 \cdots x_n$ are used as quantum rotation angles

$$|0\rangle - H - R_y(\arctan(x_1)) - R_z(\arctan(x_1^2)) - R_z(\arctan(x_1^2)) - R_z(\arctan(x_2^2)) - R_z(\arctan(x_2^2)) - R_z(\arctan(x_2^2)) - R_z(\arctan(x_3)) - R_z(\arctan(x_3^2)) - R_z(\arctan(x_3^2)) - R_z(\arctan(x_4^2)) - R_z(\operatorname{arctan}(x_4^2)) - R_z(\operatorname{arctan}(x_4)) - R_z(\operatorname{arctan}(x_4^2)) - R_z(\operatorname{arctan}(x_4)) - R_z(\operatorname{arctan}(x_4)$$

Simpler implementation than amplitude encoding

Quantum Deep Q-Learning

```
Algorithm 1 Variational Quantum Deep Q Learning
   Initialize replay memory \mathcal{D} to capacity N
   Initialize action-value function quantum circuit Q with random parameters
   for episode = 1, 2, \ldots, M do
        Initialise state s_1 and encode into the quantum state
        for t = 1, 2, ..., T do
             With probability \epsilon select a random action a_t
             otherwise select a_t = \max_a Q^*(s_t, a; \theta) from the output of the quantum circuit
             Execute action a_t in emulator and observe reward r_t and next state s_{t+1}
             Store transition (s_t, a_t, r_t, s_{t+1}) in \mathcal{D}
            Sample random minibatch of transitions (s_j, a_j, r_j, s_{j+1}) from \mathcal{D}
Set y_j = \begin{cases} r_j & \text{for terminal } s_{j+1} \\ r_j + \gamma \max_{a'} Q(s_{j+1}, a'; \theta) \text{ for non-terminal } s_{j+1} \end{cases}
             Perform a gradient descent step on (y_i - Q(s_i, a_i; \theta))^2
        end for
   end for
```



Quantum Deep Q-Learning

(a)

- Env: FrozenLake
- 16 discrete states
- 4 actions







(c)

 $R(lpha_1,eta_1,\gamma_1$ $R_z(\phi_1)$ $|0\rangle$ $R_x(\theta_1)$ **Environment with 16 states.** $R(lpha_2,eta_2,\gamma_2)$ $R_x(\theta_2)$ $R_z(\phi_2)$ $|0\rangle$ Æ States numbered as 0~15 $R_x(\theta_3)$ $R(\alpha_3, \beta_3, \gamma_3)$ $|0\rangle$ $R_z(\phi_3)$ Example: State 12 : 1100 ->1,1,0,0 $R_x(\theta_4)$ $R(\alpha_4, \beta_4, \gamma$ $|0\rangle$ $R_z(\phi_4)$ $\theta_i = \pi \times b_i$ S F **Rotation :** $\phi_i = \pi \times b_i$ $|1\rangle \otimes |1\rangle \otimes |0\rangle \otimes |0\rangle$ **Result:** F 27













• Env: CognitiveRadio





Time







(b)







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Evolutionary Quantum RL

- Why?
 - Gradient-based methods may suffer from local optima.
 - Certain QRL models are difficult to train via gradient-based methods.
 - In classical RL, evolutionary optimization can beat gradientbased methods in some hard tasks.



Chen, S. Y. C., Huang, C. M., Hsing, C. W., Goan, H. S., & Kao, Y. J. (2022). Variational quantum reinforcement learning via evolutionary optimization. *Machine Learning: Science and Technology*, *3*(1), 015025.

Evolutionary Optimization

• Initialization:

Initialize the population \mathscr{P} of N agents with each of them given randomly generated initial parameters θ , which are sampled from $\mathscr{N}(0,I)$

• Running and evaluating the agents:

Each agent plays the game R_1 times and get the average score $S_i^{avg} = \frac{1}{R_1} \sum_{r=1}^{R_1} S_{i,r}$

- Top *T* agents age selected to be the *parents* to generate the next generation
- Mutation and the next generation:
 - N-1 children: Each child is generated via a randomly selected agent from the parent group and slightly mutated according to $\theta \leftarrow \theta + \sigma \epsilon$ where σ is the mutation power and ϵ is the Gaussian noise
 - The *elite* or N^{th} child is the best performing from the parent group



Environments-CartPole

- Observation: A four dimensional vector s_t comprising values of the cart position, cart velocity, pole angle and pole velocity at the top.
- Action: There are two actions: pushing to the *right* or *left*.
- **Reward:** A reward +1 is given for every time step where the pole close to being upright.







Chen, S. Y. C., Huang, C. M., Hsing, C. W., Goan, H. S., & Kao, Y. J. (2022). Variational quantum reinforcement learning via evolutionary optimization. *Machine Learning: Science and Technology*, *3*(1), 015025.

Environments-MiniGrid

- Observation: A 147 dimensional vector s_t
- Action: There are 6 actions:
 - Turn left
 - Turn right
 - Move forward
 - Pick up an object
 - Drop the object
 - Toggle
- **Reward:** A reward of 1 is given when the agent reaches the goal. A penalty is subtracted from the reward according to:
 - 1 0.9 × (number of steps/max steps allowed)





Hybrid TN-VQC model





Chen, S. Y. C., Huang, C. M., Hsing, C. W., Goan, H. S., & Kao, Y. J. (2022). Variational quantum reinforcement learning via evolutionary optimization. *Machine Learning: Science and Technology*, *3*(1), 015025.









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Follow-up works

TITLE 🖪 :

Variational quantum circuits for deep reinforcement learning SYC Chen, CHH Yang, J Qi, PY Chen, X Ma, HS Goan IEEE Access 8, 141007-141024

- Lockwood, O., & Si, M. (2020, October). Reinforcement learning with quantum variational circuit. In Proceedings of the AAAI Conference on Artificial Intelligence and Interactive Digital Entertainment (Vol. 16, No. 1, pp. 245-251).
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- Wu, S., Jin, S., Wen, D., & Wang, X. (2020). Quantum reinforcement learning in continuous action space. arXiv preprint arXiv:2012.10711.
- Jerbi, S., Gyurik, C., Marshall, S., Briegel, H. J., & Dunjko, V. (2021). Variational quantum policies for reinforcement learning. arXiv preprint arXiv:2103.05577.
- Skolik, A., Jerbi, S., & Dunjko, V. (2021). Quantum agents in the Gym: a variational quantum algorithm for deep Q-learning. arXiv preprint arXiv:2103.15084.



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RL for Quantum Architecture Search (QAS) Quantum Computer



Kuo, E. J., Fang, Y. L. L., & Chen, S. Y. C. (2021). Quantum Architecture Search via Deep Reinforcement Learning. arXiv preprint arXiv:2104.07715.

RL for QAS

- Goal:
 - Find the specific quantum circuit for a desired quantum states
 - Use as few gates as possible
- Algorithms:
 - Deep Q-learning
 - Policy Gradients



Policy Gradient

- Q-learning or deep Q-learning: value-based RL-> learns the value function and use it as the reference to generate the decision on each time-step.
- Policy gradient -> the policy function $\pi(a \mid s; \theta)$ is parameterized with the parameters θ
- REINFORCE algorithm: parameters θ are updated along the direction $\nabla_{\theta} \log \pi \left(a_t \mid s_t; \theta \right) R_t$
- To reduce the variance, **baseline** function is introduced

$$\nabla_{\theta} \log \pi \left(a_{t} \mid s_{t}; \theta \right) \left(R_{t} - b_{t} \left(s_{t} \right) \right)$$



Advantage Actor-Critic (A2C)

- Advantage function $A(s_t, a_t)$ is defined to be $R_t b_t = Q(s_t, a_t) V(s_t)$
- How good or bad the action a_t compared to the average value at this state $V(s_t)$



Proximal Policy Optimization (PPO)

Provide more stable policy gradient training through limiting the policy update step size at each training step.

•
$$q_t(\theta) = \frac{\pi \left(a_t \mid s_t; \theta\right)}{\pi \left(a_t \mid s_t; \theta_{\text{old}}\right)}$$

•
$$L_{\text{policy}}(\theta) = \mathbb{E}_t \left[q_t(\theta) A_t \right] = \mathbb{E}_t \left[\frac{\pi \left(a_t \mid s_t; \theta \right)}{\pi \left(a_t \mid s_t; \theta_{\text{old}} \right)} A_t \right]$$

•
$$L_{\text{policy}}(\theta) = \mathbb{E}_t \left[-\min\left(q_t A_t, \operatorname{clip}\left(q_t, 1 - C, 1 + C\right) A_t\right) \right]$$

Schulman, J., Wolski, F., Dhariwal, P., Radford, A., & Klimov, O. (2017). Proximal policy optimization algorithms. arXiv preprint arXiv:1707.06347.

2-qubit Bell state

Bell
$$\rangle = \frac{|0\rangle^{\otimes 2} + |1\rangle^{\otimes 2}}{\sqrt{2}} = \frac{|00\rangle + |11\rangle}{\sqrt{2}}$$

$$\mathbb{G} = \bigcup_{i=1}^{n} \left\{ U_i(\pi/4), X_i, Y_i, Z_i, H_i, CNOT_{i,(i+1)(mod2)} \right\}$$

• For
$$n = 2$$
, there are 12 actions.









(b) **PPO for noise-free two-qubit system.**





-0.2

0

FIG. 4: Quantum circuit for the Bell state generated by the DRL(PPO) agent. 50

Kuo, E. J., Fang, Y. L. L., & Chen, S. Y. C. (2021). Quantum Architecture Search via Deep Reinforcement Learning. arXiv preprint arXiv:2104.07715.

3-qubit GHZ state

$$|\text{GHZ}\rangle = \frac{|0\rangle^{\otimes 3} + |1\rangle^{\otimes 3}}{\sqrt{2}} = \frac{|000\rangle + |111\rangle}{\sqrt{2}}$$

$$\mathbb{G} = \bigcup_{i=1}^{n} \left\{ U_i(\pi/4), X_i, Y_i, Z_i, H_i, CNOT_{i,(i+1)(mod2)} \right\}$$

• For
$$n = 3$$
, there are 21 actions. $|0\rangle$







(a) A2C for noise-free three-qubit system.



FIG. 6: Quantum circuit for the GHZ state generated by the DRL(PPO) agent.

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H



Kuo, E. J., Fang, Y. L. L., & Chen, S. Y. C. (2021). Quantum Architecture Search via Deep Reinforcement Learning. arXiv preprint arXiv:2104.07715.

Continual RL

- Noise pattern in the quantum device may change
- RL policies trained previously may not perform well with new environments.
- Training a new policy from scratch is computationally expensive and time-consuming.



Continual RL for QAS

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- Given:
 - 1. A new task Ω we want to solve
 - 2. A Policy Library $L = \{\Pi_1, \ldots, \Pi_n\}$
 - 3. An initial value of the temperature parameter, τ , and an incremental size, $\Delta \tau$, for the Boltzmann policy selection strategy
 - 4. A maximum number of episodes to execute, K
 - 5. A maximum number of steps per episode, H
 - 6. The parameters ψ and v for the π -exploration strategy
 - 7. The parameters γ and α for the Q-learning update equation



• Initialize:

- 1. $Q_{\Omega}(s,a) = 0, \forall s \in \mathcal{S}, a \in \mathcal{A}$
- 2. Initialize W_{Ω} to 0
- 3. Initialize W_i to 0
- 4. Initialize the number of episodes where policy Π_{Ω} has been chosen, $U_{\Omega} = 0$
- 5. Initialize the number of episodes where policy Π_i has been chosen, $U_i = 0, \forall i = 1, ..., n$



- For k = 1 to K do
 - Choose an action policy, Π_k , assigning to each policy the probability of being selected computed by the following equation (equation 4):

$$P(\Pi_j) = rac{e^{ au W_j}}{\sum_{p=0}^n e^{ au W_p}}$$

where W_0 is set to W_{Ω}

- Execute the learning episode k
 - * If $\Pi_k = \Pi_{\Omega}$, execute a Q-Learning episode following a fully greedy strategy
 - * Otherwise, use the π -reuse exploration strategy to reuse Π_k , i.e. call π -reuse $(\Pi_k, 1, H, \psi, v)$
 - * In any case, receive the reward obtained in that episode, say R, and the updated Q function, $Q_{\Omega}(s, a)$

- Set
$$W_k = \frac{W_k U_k + R}{U_k + 1}$$

- $\text{ Set } U_k = U_k + 1$
- Set $\tau = \tau + \Delta \tau$
- Return the policy derived from $Q_{\Omega}(s, a)$



 π -reuse $(\Pi_{past}, K, H, \psi, \upsilon).$ Initialize $Q^{\Pi_{new}}(s,a) = 0, \forall s \in \mathcal{S}, a \in \mathcal{A}$ For k = 0 to K - 1Set the initial state, s, randomly. Set $\psi_1 \leftarrow \psi$ for h = 1 to H With a probability of ψ_h , $a = \prod_{past}(s)$ With a probability of $1 - \psi_h$, $a = \epsilon$ -greedy $(\prod_{n \in W} (s))$ Receive the next state s', and reward, $r_{k,h}$ Update $Q^{\prod_{n \in W}}(s, a)$, and therefore, $\prod_{n \in W}$: $Q^{\Pi_{new}}(s,a) \leftarrow (1-\alpha)Q(s,a)^{\Pi_{new}} +$ $\alpha[r + \gamma \max_{a'} Q^{\prod_{n \in W}}(s', a')]$ Set $\psi_{h+1} \leftarrow \psi_h v$ Set $s \leftarrow s'$ $W = \frac{1}{K} \sum_{k=0}^{K} \sum_{h=0}^{H} \gamma^{h} r_{k,h}$ Return $W, Q^{\Pi_{new}}(s,a)$ and Π_{new}



Probabilistic Policy Reuse with DQN

- Extend the Probabilistic Policy Reuse with deep neural networks
- Experience Replay and Target Networks









FIG. 3: Environment-0 (Noise-Free) training-from-scratch simulation



Results: Noise on X gate



(a) Training-from-scratch simulation for Environment-1.



(b) Policy reuse simulation result for Environment-1. Starting Policy Library: Simulation from Environment-0.



Results: Noise on X, H gates



(a) Training-from-scratch simulation for Environment-2.



(b) Policy reuse simulation result for Environment-2. Starting Policy Library: from Scratch - Environment-0, Policy Reuse - Environment-1.



Results: Noise on X, CNOT gates





(b) Policy reuse simulation result for Environment-3. Starting Policy Library: from Scratch - Environment-0, Policy Reuse - Environment-1, Policy Reuse - Environment-2.





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- Quantum encoding / embedding methods are critical.
- Trainable quantum-inspired classical architectures help data compression.
- With careful design, quantum reinforcement learning can learn a similar task with fewer model parameter.
- Gradient-based and gradient-free algorithms for quantum RL
- Reinforcement learning can help finding quantum circuit architecture under various patterns.
- Previously-learned policies can be used to help the training of RL agent for unseen environments.

