

AI tools for lattice QCD computations

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RBRC Virtual Workshop: Small-x Physics in the EIC Era

December 16, 2021

Outline

Lattice QCD (LQCD)

- Lightning review

- How is progress made in LQCD?

- LQCD for small- x physics?

Machine learning (ML)

- How can we employ ML?

Application: normalizing flows for LQFT sampling

- Framework

- Progress towards LQCD

- Access to new sorts of observables(?)

Outlook

Lattice QCD (LQCD)

Only known controlled, systematically improvable way to investigate non-perturbative dynamics

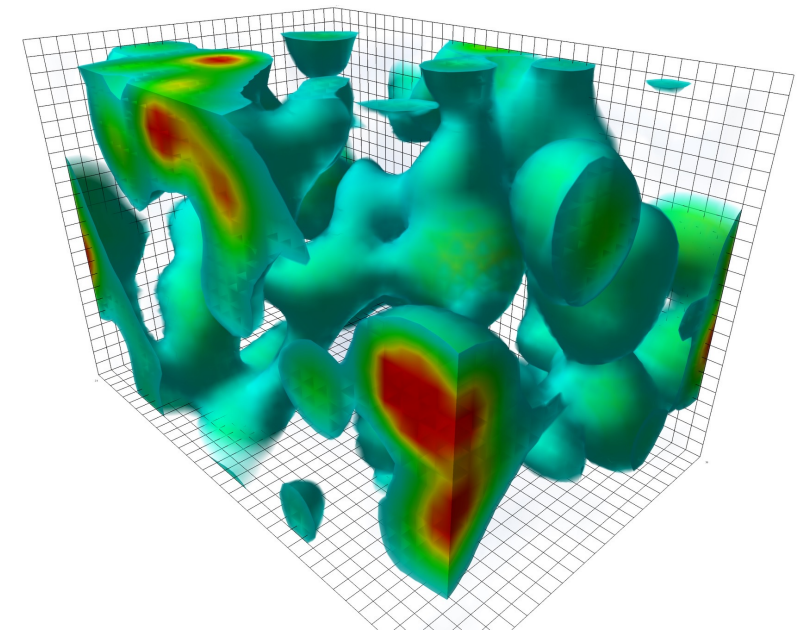
QCD path integral:

$$Z = \int \mathcal{D}[A, \psi, \bar{\psi}] e^{i S[A, \psi, \bar{\psi}]}$$

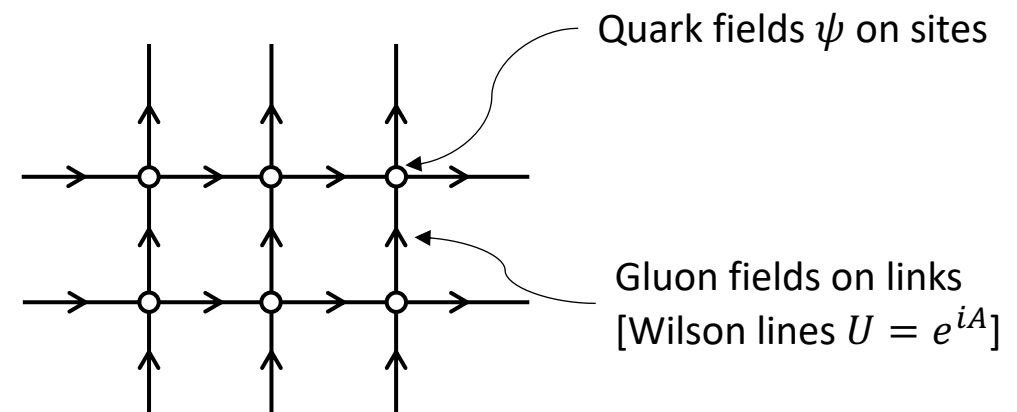
- ↓
1. Wick rotate: $iS \rightarrow -S_E$
(3+1)d Minkowski \rightarrow 4d Euclidean
 2. Discretize fields (UV regulator)
 3. Restrict to finite box (IR regulator)

$$Z = \int d[U, \psi, \bar{\psi}] e^{-S(U, \psi, \bar{\psi})}$$

Result: finite, multidimensional integral
 \rightarrow Evaluate numerically using MCMC



Visualizations of Quantum Chromodynamics



LQCD Calculation Workflow

1. Configuration generation

Use MCMC to draw samples (gauge configurations) from $p(U) \propto e^{-S_E(U)}$

Computationally intensive

...and gets increasingly expensive for finer lattices

2. Measurement

Evaluate observables on each config

e.g. hadronic two-point functions $\sim \langle \bar{\chi}(x) \chi(0) \rangle$

e.g. hadronic three-point functions $\sim \langle \bar{\chi}(x) J(y) \chi(0) \rangle$

Also expensive

3. Analysis

Must relate Euclidean-time matrix elements to real-world QCD

MCMC expectations

$$\langle O \rangle \approx \frac{1}{N} \sum_{i=1}^N O(U_i)$$

Asymptotically unbiased
 \Rightarrow Exactness guaranteed

Advancement in LQCD

Algorithmic

Make new calculations tractable

Examples:

Hybrid Monte Carlo (HMC)

→ Controlled treatment of fermions

Conjugate gradient preconditioners

e.g. mass/Hasenbusch

e.g. algebraic multigrid

→ Lighter/physical quark masses

Formal

New kinds of calculations

Example:

LaMET / quasi PDFs

SDF / pseudo PDFs

(Challenges for) LQCD for small x

LaMET / quasi PDFs: see Ji's talk yesterday

“Small x : brute force” resource requirements [Ji's talk]:

$a \sim 0.01$ fm and $L/a \sim 256$ for $x \sim 0.01$

→ Difficult for modern LQCD

Other approaches:

Reconstructing x dependence from Mellin moments
(many more)

Small x : brute force (cont.)

- Longest correlation length λ : $\lambda \sim P^z z$

Since the largest λ get $x=0.01$, is

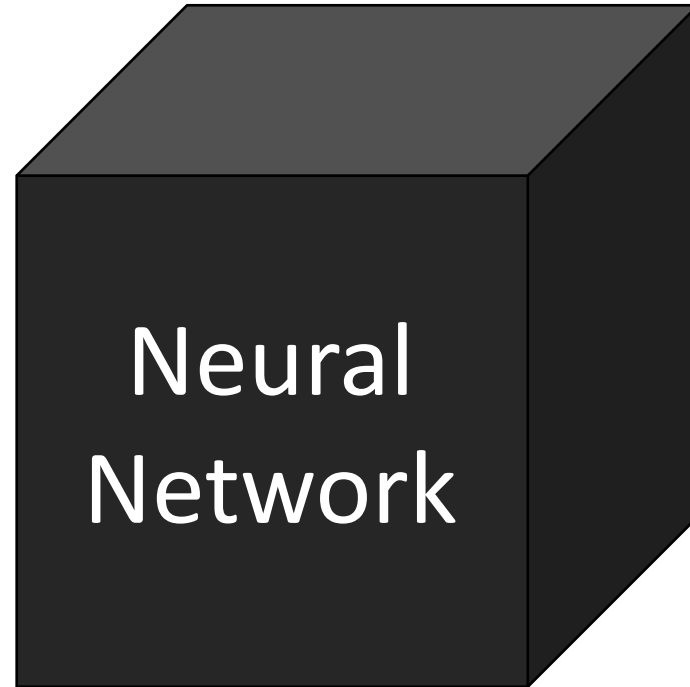
$$\lambda \sim \frac{1}{x} \sim 100$$

- $z = 100 / P^z = 1$ fm: size of the gluon cloud
with $a \sim 0.01$ fm

- One need about 256 pts!

- Thus one needs configurations 256 pts with 0.01fm!

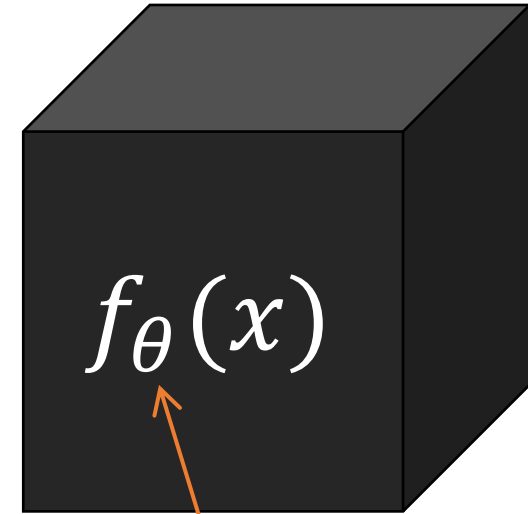
Machine learning



ML for LQCD?

Open research questions:

1. Where can we use black boxes without compromising exactness?
2. How can we build physics knowledge into NNs / ML models?



$\theta = \text{optimizable parameters}$

ML for LQCD: recent work (non-exhaustive)

Configuration Generation, not including (lots of) other work on ML for measurement, analysis

Flow-based generative models for Markov chain Monte Carlo in lattice field theory

Albergo, Kanwar, Shanahan [1904.12072](#)

Equivariant flow-based sampling for lattice gauge theory

Kanwar, Albergo, Boyda, Cranmer, DH, Racanière Rezende, Shanahan [2003.06413](#)

Sampling using SU(N) gauge equivariant flows

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Flow-based sampling for multimodal distributions in lattice field theory

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Estimation of Thermodynamic Observables in Lattice Field Theories with Deep Generative Models

Nicoli, Anders, Funcke, Hartung, Jansen, Kessel, Nakajima, Stornati [2007.07115](#)

Efficient modeling of trivializing maps for lattice ϕ^4 theory using normalizing flows: A first look at scalability

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Reducing Autocorrelation Times in Lattice Simulations with Generative Adversarial Networks

Pawlowski, Urban [1811.03533](#)

Towards reduction of autocorrelation in HMC by machine learning

Akinori, Tomiya [1712.03893](#)

Gauge covariant neural network for 4 dimensional non-abelian gauge theory

Tomiya, Nagai [2103.11965](#)

Adaptive Monte Carlo augmented with normalizing flows

Gabrié, Rotskoff, Vanden-Eijnden
<https://arxiv.org/abs/2105.12603>

Exploring cluster Monte Carlo updates with Boltzmann machines

Wang [1702.08586](#)

Unbiased Monte Carlo cluster updates with autoregressive neural networks

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HMC with Normalizing Flows

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LeapfrogLayers: A Trainable Framework for Effective Topological Sampling

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Scaling Up Machine Learning For Quantum Field Theory with Equivariant Continuous Flows

de Haan, Rainone, Cheng, Bondesan [2110.02673](#)

Normalizing Flows and the Real-Time Sign Problem

Lawrence, Yamauchi [2101.05755](#)

Deep Learning Beyond Lefschetz Thimbles

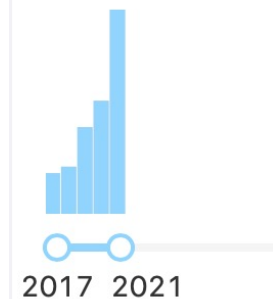
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Machine learning to alleviate Hubbard-model sign problems

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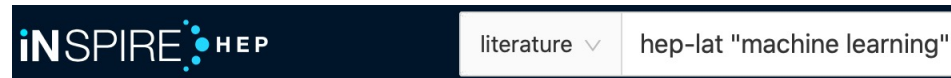
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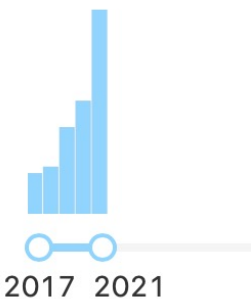
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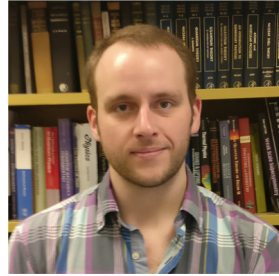
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Collaborators (non-exhaustive)



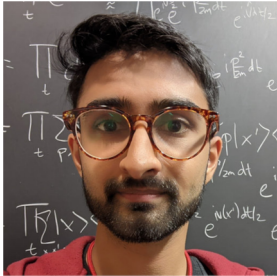
Phiala Shanahan



Dan Hackett



Denis Boyda



Gurtej Kanwar



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SEIT 1386



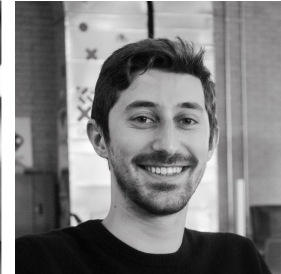
Julian Urban



NEW YORK UNIVERSITY



Kyle Cranmer



Michael Albergo



Chung-Chun Hsieh



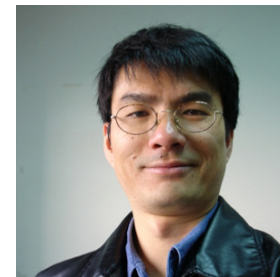
Sébastien Racanière



Danilo Rezende



Kai-Feng Chen



Jiunn-Wei Chen

Normalizing Flows

ML method to construct samplers for complicated probability distributions

Sampling from the model distribution:

1. Draw noise z from base distribution w/ density $r(z)$
2. Apply flow f_θ to obtain sample from model $U = f_\theta(z)$

Model density given by conservation of probability:

$$q_\theta(U) = \left| \det \frac{\partial f_\theta(z)}{\partial z} \right|^{-1} r(z)$$

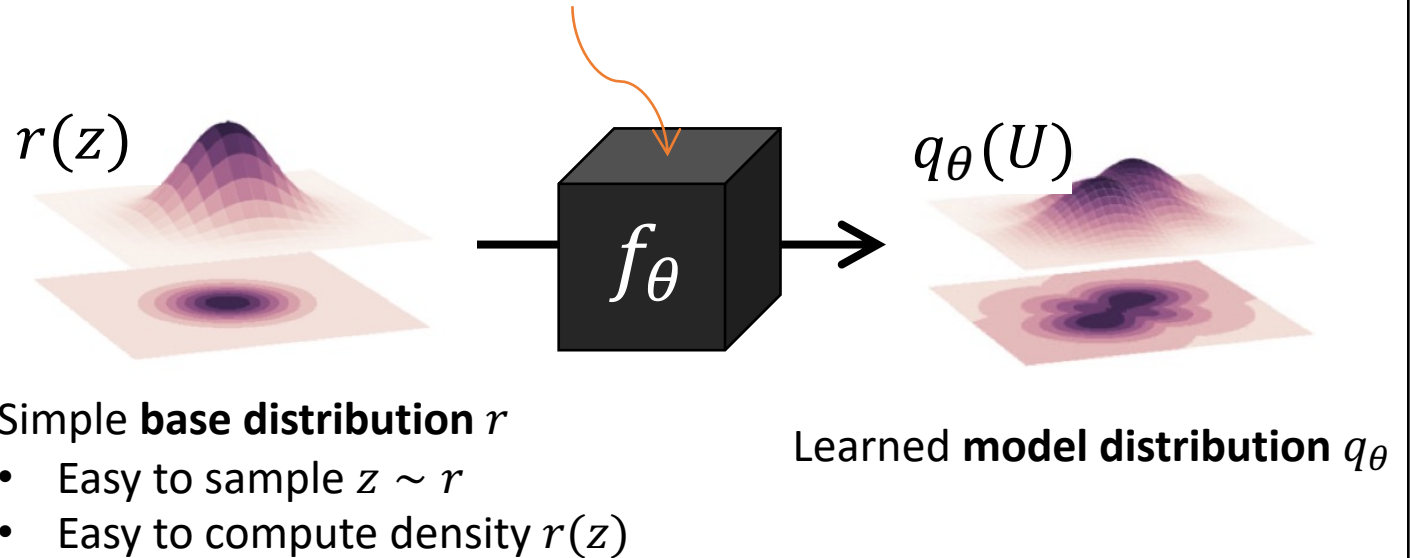
→ sufficient info to compute unbiased expectations

$$\text{Reweighting: } \langle O \rangle \approx \frac{1}{N} \sum_i \frac{p(U_i)}{q(U_i)} O(U_i)$$

Or: use as proposals for MCMC

"Flow"

- Invertible transformation of field dof
- Parametrized by NNs (trainable, expressive ansatz)
- Tractable Jacobian determinant



Building symmetries into ML models

Symmetries \Leftrightarrow Constraints between model parameters

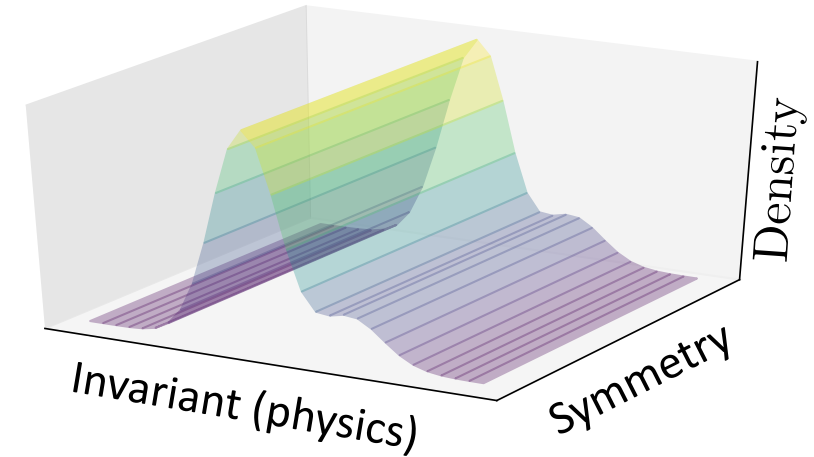
→ must be learned

→ training more computationally expensive, less stable

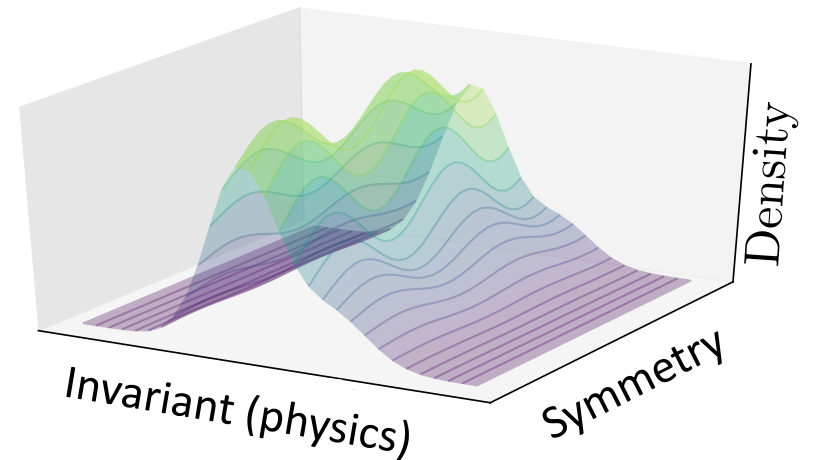
Instead: put constraints in by hand

General approach: invariant models/equivariant flows

1. *Invariant* base distribution: $r(\phi) = r(t(\phi))$
2. *Equivariant* flow $f(t(\phi)) = t(f(\phi))$



True distribution



**Approximation learned by
symm-naive ML model**

Examples

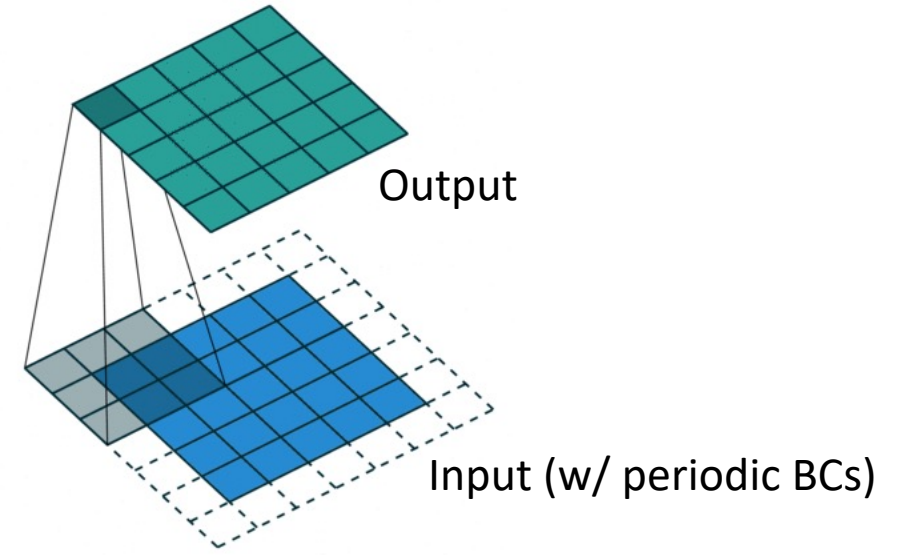
Translational symmetry

1. Invariant base distribution: same for each site
2. Equivariant flow: parametrized by CNNs

Bosons: PBCs (out-of-the-box circular padding)

Fermions: antiperiodic in time, PBCs in space

[\[Albergo, Kanwar, Racanière, Rezende, Urban, Boyda, Cranmer, DH, Shanahan 2106.05934\]](#)



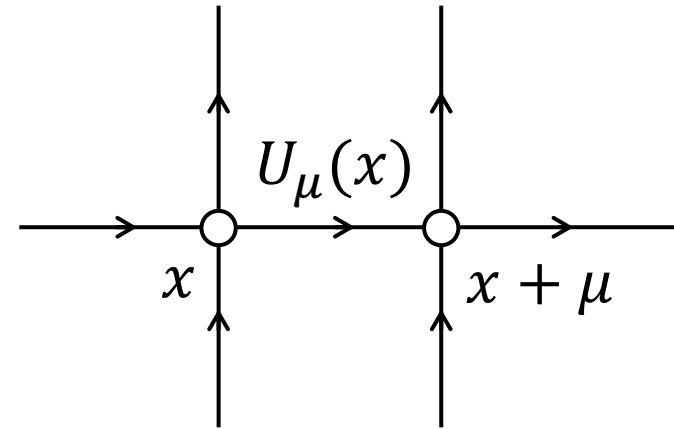
[\[Image from freecodecamp.org\]](#)

Gauge invariance

1. Base distribution: Haar measure
2. Gauge equivariant flow

U(1): [\[Kanwar, Albergo, Boyda, Cranmer, DH, Racanière, Rezende, Shanahan 2003.06413\]](#)

SU(N): [\[Kanwar, Albergo, Boyda, Cranmer, DH, Racanière, Rezende, Shanahan 2003.06413\]](#)



$$U_\mu(x) \rightarrow \Omega^\dagger(x + \mu) U_\mu(x) \Omega(x)$$

Climbing the theory ladder

(1+1)d real scalar field theory

[\[Albergo, Kanwar, Shanahan 1904.12072\]](#)

Broken phase: [\[DH, Hsieh, Albergo, Boyda, JW Chen, KF Chen, Cranmer, Kanwar, Shanahan 2107.00734\]](#)

(1+1)d Abelian gauge theory

[\[Kanwar, Albergo, Boyda, Cranmer, DH, Racanière, Rezende, Shanahan 2003.06413\]](#)

(1+1)d non-Abelian gauge theory

[\[Kanwar, Albergo, Boyda, Cranmer, DH, Racanière, Rezende, Shanahan 2003.06413\]](#)

(1+1)d Yukawa model

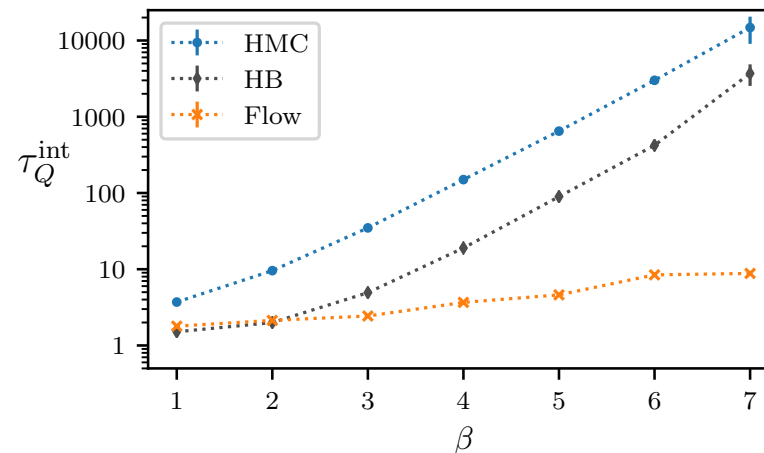
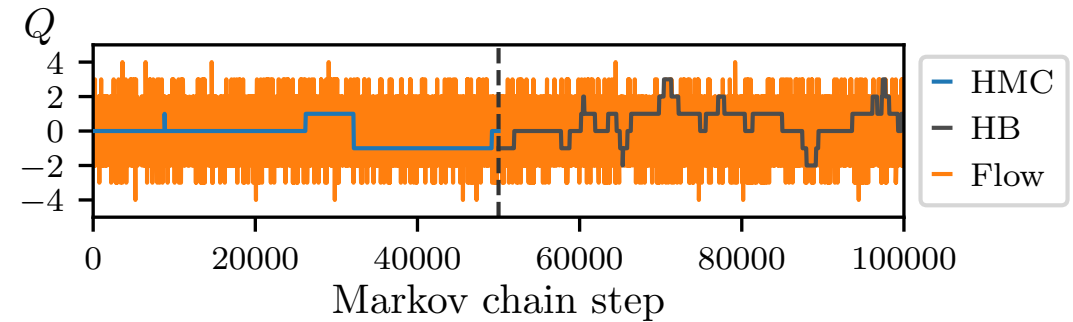
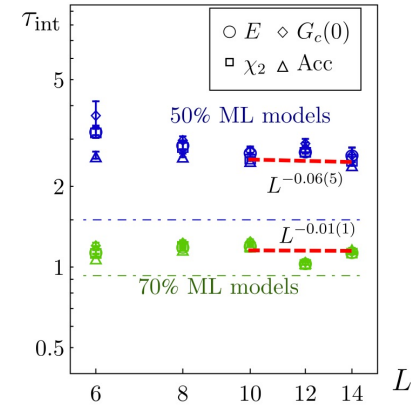
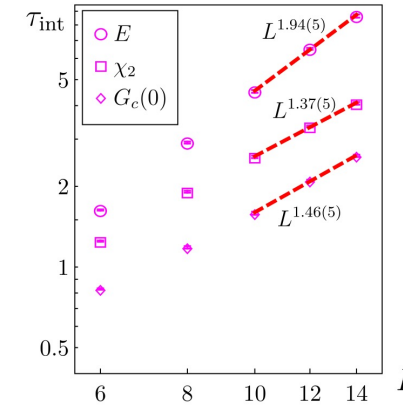
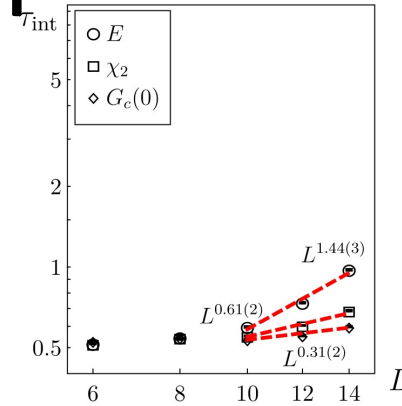
i.e. real scalar field theory + fermions

[\[Albergo, Kanwar, Racanière, Rezende, Urban, Boyda, Cranmer, DH, Shanahan 2106.05934\]](#)

...

QCD!

Real scalar field theory



(1+1)d U(1) gauge theory

Novel capability: direct access to partition function

[\[Nicoli, Anders, Funcke, Hartung, Jansen, Kessel, Nakajima, Stornati 2007.07115\]](#)

Only know how to compute unnormalized $p(U)$

$$p(U) = \frac{e^{-S(U)}}{Z}$$

Known, tractably computable function of the fields

Intractable (using traditional MCMC algos)

but: flow model density $q(U)$ is normalized

→ unbiased stochastic estimate of Z using flow model samples

$$Z \approx \frac{1}{N} \sum_i \frac{e^{-S(U_i)}}{q(U_i)}$$

Example use case: *direct* estimation of thermodynamic observables (i.e. finite- T equation of state)

Much simpler, more controlled than existing techniques

Outlook

Small x physics are challenging for LQCD

...but better algorithms and new ideas will help

ML for LQCD

Possible to use ML without compromising exactness

ML provides new opportunities for algorithmic & formal advances

Early results with normalizing flows show great promise

Only beginning to explore what's possible; stay tuned.