

Pursuing Precision Study for CGC in Forward Hadron Productions

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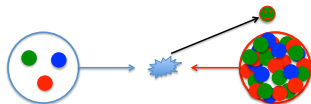
RBRC workshop, December 2021



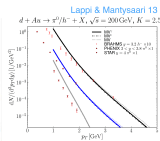
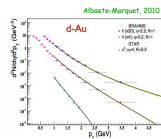
Forward hadron production in pA collisions

[Dumitru, Jalilian-Marian, 02] Dilute-dense factorization at forward rapidity

$$\frac{d\sigma_{\text{LO}}^{pA \rightarrow hX}}{d^2p_{\perp} dy_h} = \int_{\tau}^1 \frac{dz}{z^2} \left[x_1 q_f(x_1, \mu) \mathcal{F}_{x_2}(k_{\perp}) D_{h/q}(z, \mu) + x_1 g(x_1, \mu) \tilde{\mathcal{F}}_{x_2}(k_{\perp}) D_{h/g}(z, \mu) \right].$$



projectile: $x_1 \sim \frac{p_{\perp}}{\sqrt{s}} e^{+y} \sim 1$ valence
target: $x_2 \sim \frac{p_{\perp}}{\sqrt{s}} e^{-y} \ll 1$ gluon

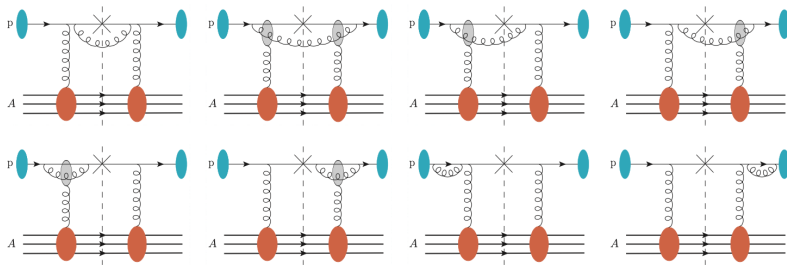


- Proton: Collinear PDFs and FFs (Strongly depends on μ^2).; Nucleus: Small- x gluon!
- Need NLO correction! IR cutoff: [Dumitru, Hayashigaki, Jalilian-Marian, 06; Altinoluk, Kovner 11] [Altinoluk, Armesto, Beuf, Kovner, Lublinsky, 14]; Full NLO [Chirilli, BX and Yuan, 12]



NLO diagrams in the $q \rightarrow q$ channel

[Chirilli, BX and Yuan, 12]

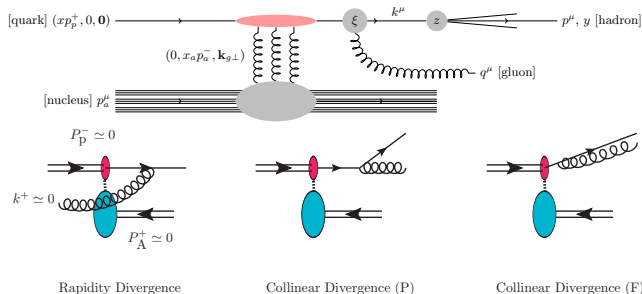


- Take into account real (top) and virtual (bottom) diagrams together!
- Non-linear multiple interactions inside the grey blobs!
- Integrate over gluon phase space \Rightarrow Divergences!.



Factorization for single inclusive hadron productions

Factorization for the $p + A \rightarrow H + X$ process [Chirilli, BX and Yuan, 12]



- Include all real and virtual graphs in all channels $q \rightarrow q$, $q \rightarrow g$, $g \rightarrow q(\bar{q})$ and $g \rightarrow g$.
- 1. collinear to the target nucleus; \Rightarrow BK evolution for UGD $\mathcal{F}(k_\perp)$.
- 2. collinear to the initial quark; \Rightarrow DGLAP evolution for PDFs
- 3. collinear to the final quark. \Rightarrow DGLAP evolution for FFs.



Hard Factor of the $q \rightarrow q$ channel

$$\frac{d^3 \sigma^{p+A \rightarrow h+X}}{dy d^2 p_\perp} = \int \frac{dz}{z^2} \frac{dx}{x} \xi x q(x, \mu) D_{h/q}(z, \mu) \int \frac{d^2 x_\perp d^2 y_\perp}{(2\pi)^2} \left\{ S_Y^{(2)}(x_\perp, y_\perp) \left[\mathcal{H}_{2qq}^{(0)} + \frac{\alpha_s}{2\pi} \mathcal{H}_{2qq}^{(1)} \right] \right. \\ \left. + \int \frac{d^2 b_\perp}{(2\pi)^2} S_Y^{(4)}(x_\perp, b_\perp, y_\perp) \frac{\alpha_s}{2\pi} \mathcal{H}_{4qq}^{(1)} \right\}$$

$$\mathcal{H}_{2qq}^{(1)} = C_F \mathcal{P}_{qq}(\xi) \ln \frac{c_0^2}{r_\perp^2 \mu^2} \left(e^{-ik_\perp \cdot r_\perp} + \frac{1}{\xi^2} e^{-i \frac{k_\perp}{\xi} \cdot r_\perp} \right) - 3C_F \delta(1-\xi) \ln \frac{c_0^2}{r_\perp^2 k_\perp^2} e^{-ik_\perp \cdot r_\perp} \\ - (2C_F - N_c) e^{-ik_\perp \cdot r_\perp} \left[\frac{1+\xi^2}{(1-\xi)_+} \tilde{I}_{21} - \left(\frac{(1+\xi^2) \ln(1-\xi)^2}{1-\xi} \right)_+ \right] \\ \mathcal{H}_{4qq}^{(1)} = -4\pi N_c e^{-ik_\perp \cdot r_\perp} \left\{ e^{-i \frac{1-\xi}{\xi} k_\perp \cdot (x_\perp - b_\perp)} \frac{1+\xi^2}{(1-\xi)_+} \frac{1}{\xi} \frac{x_\perp - b_\perp}{(x_\perp - b_\perp)^2} \cdot \frac{y_\perp - b_\perp}{(y_\perp - b_\perp)^2} \right. \\ \left. - \delta(1-\xi) \int_0^1 d\xi' \frac{1+\xi'^2}{(1-\xi')_+} \left[\frac{e^{-i(1-\xi') k_\perp \cdot (y_\perp - b_\perp)}}{(b_\perp - y_\perp)^2} - \delta^{(2)}(b_\perp - y_\perp) \int d^2 r'_\perp \frac{e^{ik_\perp \cdot r'_\perp}}{r'^2_\perp} \right] \right\}, \\ \text{where } \tilde{I}_{21} = \int \frac{d^2 b_\perp}{\pi} \left\{ e^{-i(1-\xi) k_\perp \cdot b_\perp} \left[\frac{b_\perp \cdot (\xi b_\perp - r_\perp)}{b_\perp^2 (\xi b_\perp - r_\perp)^2} - \frac{1}{b_\perp^2} \right] + e^{-ik_\perp \cdot b_\perp} \frac{1}{b_\perp^2} \right\}.$$



Numerical implementation of the NLO result

Single inclusive hadron production up to NLO

$$d\sigma = \int xf_a(x) \otimes D_a(z) \otimes \mathcal{F}_a^{x_g}(k_\perp) \otimes \mathcal{H}^{(0)} + \frac{\alpha_s}{2\pi} \int xf_a(x) \otimes D_b(z) \otimes \mathcal{F}_{(N)ab}^{x_g} \otimes \mathcal{H}_{ab}^{(1)}.$$

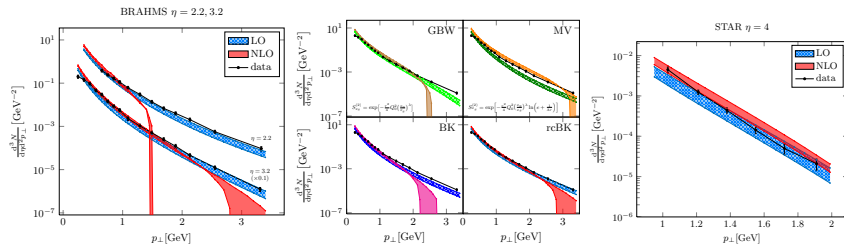
Consistent implementation should include all the NLO α_s corrections.

- **NLO parton distributions.** (MSTW or CTEQ)
- **NLO fragmentation function.** (DSS or others.)
- **Use NLO hard factors.** Partially by [Albacete, Dumitru, Fujii, Nara, 12]
- **Use the one-loop approximation for the running coupling**
- **rcBK evolution equation for the dipole gluon distribution** [Balitsky, Chirilli, 08; Kovchegov, Weigert, 07]. Full NLO BK evolution not available.
- **Saturation physics at One Loop Order (SOLO).** [Stasto, Xiao, Zaslavsky, 13]



Numerical implementation of the NLO result

Saturation physics at One Loop Order (**SOLO**). [Stasto, Xiao, Zaslavsky, 13]

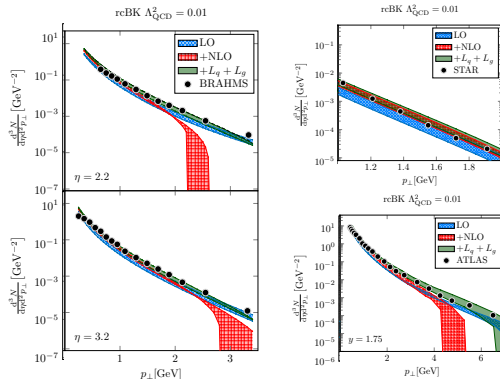


- Reduced factorization scale dependence!
- The abrupt drop at NLO when $p_T > Q_s$ was **surprising and puzzling**.
- Fixed order calculation in field theories is not **guaranteed to be positive**.



NLO hadron productions in pA collisions: An Odyssey

[Watanabe, Xiao, Yuan, Zaslavsky, 15] **Rapidity subtraction!** with kinematic constraints



- Originally assume the limit $s \rightarrow \infty$

$$\int_0^{1 - \frac{q_\perp^2}{x p^s}} \frac{d\xi}{1 - \xi} = \underbrace{\ln \frac{1}{x_g}}_{1 - \xi < \frac{q_\perp^2}{k_\perp^2}} + \underbrace{\ln \frac{k_\perp^2}{q_\perp^2}}_{\text{missed earlier}} \Rightarrow$$

New terms: $L_q + L_g$ from $q_\perp^2 \leq (1 - \xi)k_\perp^2$.

Related to threshold double logs!

- Negative when $p_T \gg Q_s$ at forward y ($x_p \rightarrow 1$)!
- Approach **threshold** at high k_\perp .



Extending the applicability of CGC calculation

Some Remarks:

- Towards a more complete framework. [Altinoluk, Armesto, Beuf, Kovner, Lublinsky, 14; Kang, Vitev, Xing, 14; Ducloue, Lappi and Zhu, 16, 17; Iancu, Mueller, Triantafyllopoulos, 16; Liu, Ma, Chao, 19; Kang, Liu, 19; Kang, Liu, Liu, 20;]
- Goal: find a solution within our **current factorization** (exactly resum $\alpha_s \ln 1/x_g$) to extend the applicability of CGC. **Other scheme choices** certainly is possible.
- More than just negativity problem. Need to work reliably (describe data) from RHIC to LHC, **low p_T to high p_T** .
- Demonstrate **onset of saturation** and visualize **smooth transition to dilute regime**.
- Add'l consideration: numerically challenging due to **limited computing resources**.
- A lot of logs occur in pQCD loop-calculations: **DGLAP, small- x , threshold, Sudakov**.
- **Breakdown** of α_s expansion occurs due to the appearance of logs in certain PS.



Threshold Logarithms

[Watanabe, Xiao, Yuan, Zaslavsky, 15; Shi, Wang, Wei, Xiao, 21] ▶ 2112.06975 [hep-ph]

- Numerical integration (8-d in total) is notoriously hard in r_\perp space. Go to k_\perp space.
- In the coordinate space, we can identify two types of logarithms

$$\text{single log: } \ln \frac{k_\perp^2}{\mu_r^2} \rightarrow \ln \frac{k_\perp^2}{\Lambda^2}, \quad \ln \frac{\mu^2}{\mu_r^2} \rightarrow \ln \frac{\mu^2}{\Lambda^2}; \quad \text{double log: } \ln^2 \frac{k_\perp^2}{\mu_r^2} \rightarrow \ln^2 \frac{k_\perp^2}{\Lambda^2},$$

with $\mu_r \equiv c_0/r_\perp$ with $c_0 = 2e^{-\gamma_E}$. Performing Fourier transformations

$$\begin{aligned} \int \frac{d^2 r_\perp}{(2\pi)^2} S(r_\perp) \ln \frac{\mu^2}{\mu_r^2} e^{-ik_\perp \cdot r_\perp} &= - \int \frac{d^2 l_\perp}{\pi l_\perp^2} \left[F(k_\perp + l_\perp) - J_0\left(\frac{c_0}{\mu} l_\perp\right) F(k_\perp) \right] \\ &= - \frac{1}{\pi} \int \frac{d^2 l_\perp}{(l_\perp - k_\perp)^2} \left[F(l_\perp) - \frac{\Lambda^2}{\Lambda^2 + (l_\perp - k_\perp)^2} F(k_\perp) \right] + F(k_\perp) \ln \frac{\mu^2}{\Lambda^2}. \end{aligned}$$

- Introduce a semi-hard auxiliary scale $\Lambda^2 \sim \mu_r^2 \gg \Lambda_{QCD}^2$. Identify dominant r_\perp !
- Dependences on μ^2 , Λ^2 cancel order by order. Choose “natural” values at fixed order.



Threshold resummation in the CGC formalism

Threshold logarithms: **Sudakov soft gluon** part and **Collinear (plus-distribution)** part.

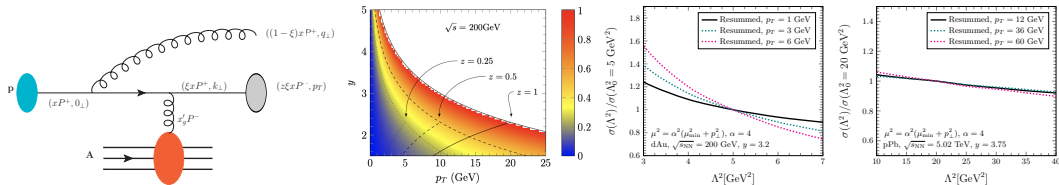
- Soft single and double logs ($\ln k_{\perp}^2/\Lambda^2, \ln^2 k_{\perp}^2/\Lambda^2$) are resummed via Sudakov factor.
- Two equivalent methods to resum the collinear part ($P_{ab}(\xi) \ln \Lambda^2/\mu^2$):
 1. Reverse DGLAP evolution; 2. RGE method (threshold limit $\xi \rightarrow 1$).
- Introduce forward threshold quark jet function $\Delta^q(\Lambda^2, \mu^2, \omega)$, which satisfies

$$\frac{d\Delta^q(\omega)}{d \ln \mu^2} = -\frac{d\Delta^q(\omega)}{d \ln \Lambda^2} = -\frac{\alpha_s C_F}{\pi} \left[\ln \omega + \frac{3}{4} \right] \Delta^q(\omega) + \frac{\alpha_s C_F}{\pi} \int_0^\omega d\omega' \frac{\Delta^q(\omega) - \Delta^q(\omega')}{\omega - \omega'}.$$

- Consistent with the threshold resummation in SCET[Becher, Neubert, 06]!
 Physically, the auxiliary scale Λ^2 is analogous to the intermediate scale μ_i^2 in SCET.
- Two formulations. [Xiao, Yuan, 18; Kang, Liu, 19; Liu, Kang, Liu, 20] Z. Kang, Wed



Natural Choice of the Auxiliary Scale



- **At threshold**: radiated gluon is soft! $\tau = \frac{p_T e^y}{\sqrt{s}} = x\xi z \leq 1$ with large k_\perp (p_T).
- Intuitively, semi-hard cutoff $\Lambda^2 \sim (1 - \xi)k_\perp^2 \sim (1 - \tau)p_T^2 \gg \Lambda_{QCD}^2$ at fixed coupling.
- Saddle point approximation for r_\perp integration at fixed and running coupling. $\Lambda^2 \sim \mu_r^2$
- For running coupling, $\Lambda^2 = \Lambda_{QCD}^2 \left[\frac{(1-\xi)k_\perp^2}{\Lambda_{QCD}^2} \right]^{C_R/[C_R+\beta_1]}$. **Akin to CSS & Catani *et al.***
- When saturation momentum is large, $\Lambda^2 \sim Q_s^2$. (competing mechanism)
- **Enhancement** at high- p_T ; **Mild** Λ dependence at low p_T far away from boundary.



Numerical Setup

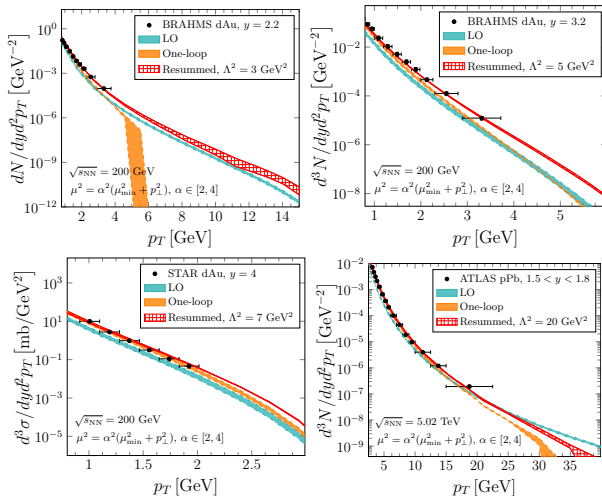
[Xiao, Yuan, 18; Shi, Wang, Wei, Xiao, 2112.06975 [hep-ph]]

$$\begin{aligned}
 d\sigma &= \int x f_a(x, \mu) \otimes D_a(z, \mu) \otimes \mathcal{F}_a^{x_g}(k_\perp) \otimes \mathcal{H}^{(0)} \otimes \Delta(\mu, \Lambda) \otimes \mathcal{S}_{\text{Sud}}(\mu, \Lambda) \\
 &\quad + \frac{\alpha_s}{2\pi} \int x f_a(x, \mu) \otimes D_b(z, \mu) \otimes \mathcal{F}_{(N)ab}^{x_g} \otimes \mathcal{H}_{ab}^{(1)}(\mu, \Lambda), \\
 &= \int x f_a(x, \Lambda) \otimes D_a(z, \Lambda) \otimes \mathcal{F}_a^{x_g}(k_\perp) \otimes \mathcal{H}^{(0)} \otimes \mathcal{S}_{\text{Sud}}(\mu, \Lambda) \quad \leftarrow \mu = \mu_b \text{ TMD} \\
 &\quad + \frac{\alpha_s}{2\pi} \int x f_a(x, \mu) \otimes D_b(z, \mu) \otimes \mathcal{F}_{(N)ab}^{x_g} \otimes \mathcal{H}_{ab}^{(1)}(\mu, \Lambda).
 \end{aligned}$$

- Natural choice of Λ^2 : Competition between saturation and Sudakov $\Lambda \sim c_0/r_\perp$.
- Two implementation methods give similar numerical results.
- $\Delta(\mu, \Lambda)$ and $\mathcal{S}_{\text{Sud}}(\mu, \Lambda)$ satisfy collinear and Sudakov (soft) RGEs. $\Delta(\mu, \mu) = 1$



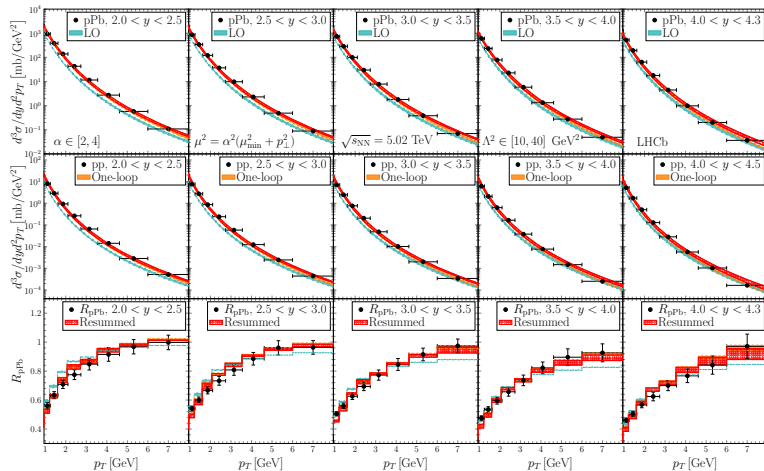
Numerical Results for p_A spectra



- $\mu^2 = \alpha^2(\mu_{\min}^2 + p_T^2)$ & $\alpha \in [2, 4]$;
- RHIC: $\Lambda^2 \sim Q_s^2$; LHC, larger Λ^2 .
- $\mu \sim Q \geq 2k_\perp$ ($\alpha > 2$) at high p_T .
 $2 \rightarrow 2$ hard scattering.
- Estimate higher order correction by varying μ and Λ .
- Threshold enhancement for σ .
- **Nice agreement** with data across many orders of magnitudes for different energies and p_T ranges.



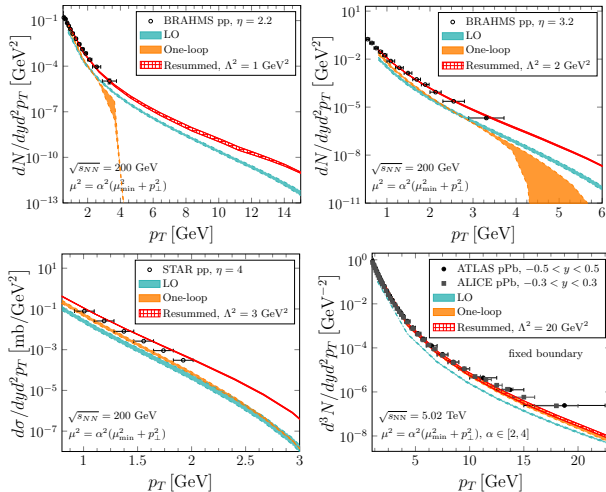
Comparison with the new LHCb data



- LHCb data: 2108.13115
- [Data Link](#) [DIS2021](#)
- $\mu \sim (2 \sim 4)p_T$ with proper choice of Λ
- Threshold effect is not important at low p_T for LHCb data. Saturation effects are still dominant.
- Predictions are improved from LO to NLO.



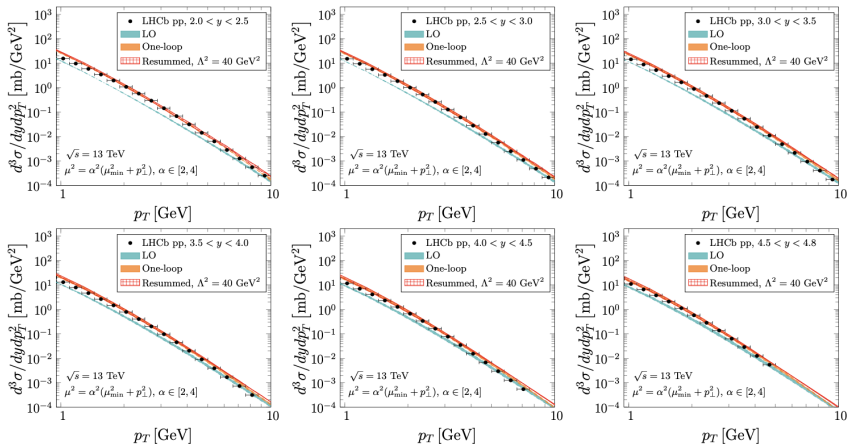
Numerical Results for forward pp spectra and central rapidity pA



- Set $\mu^2 = \alpha^2(\mu_{\min}^2 + p_T^2)$ with $\alpha = 2 \rightarrow 4$
- $\mu \sim Q \geq 2k_{\perp}$ ($\alpha > 2$) in the high p_T region. $2 \rightarrow 2$ hard scattering.
- Nice agreement with data for pp collisions and central rapidity pA !
- For large p_T data in pA , events with $x_g > 0.01$ starts to contribute.



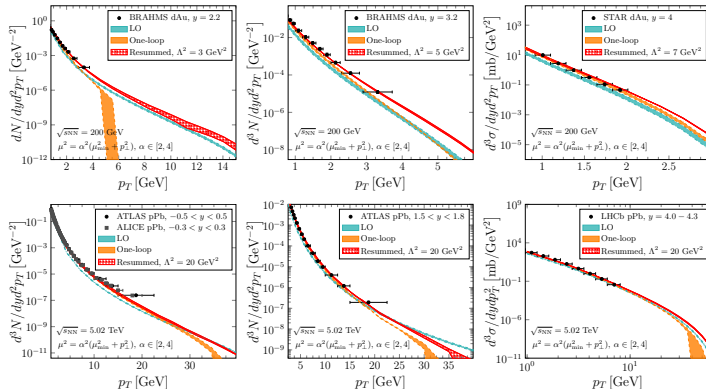
Comparison with the new LHCb pp data at 13 TeV



NLO is important and Resummed results overlap with One-loop!



Why the threshold resummation works?



At low p_T , **saturation dominates**; At high p_T , **threshold wins**!

- At one-loop, negativity appears under two conditions:

- 1 Need $p_T \gg Q_s$ for the threshold logarithmic terms to take over.
- 2 Need to go to sufficiently **forward rapidity** to reach the kinematic boundary.

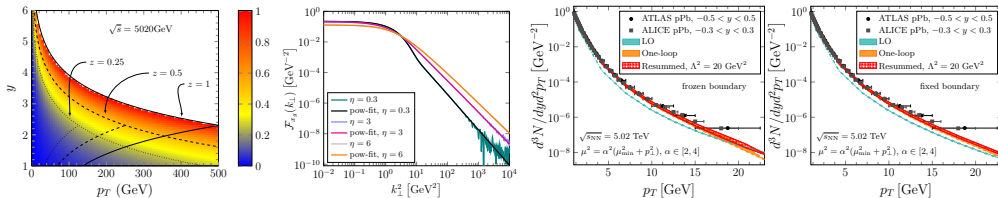
- At RHIC, negativity does not appear at $y = 4$ due to lack of phase space.

- Maybe counter-intuitive, but p_T expansion is key.



Applicability of CGC and Initial Condition

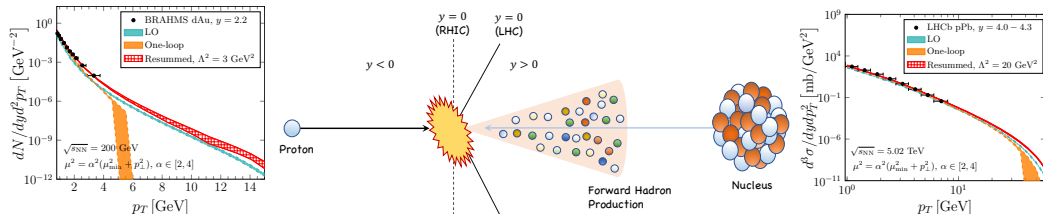
Kinematics: constraint $\tau/z = \frac{p_T e^y}{z\sqrt{s}} \leq 1$ and CGC constraint $x_g \equiv \frac{p_T e^{-y}}{z\sqrt{s}} \leq 10^{-2}$.



- Small- x gluon: [Albacete, Armesto, Milhano, Quiroga-Arias and Salgado, 11] [▶ Link](#)
- Initial condition set at $x_g \equiv \frac{p_\perp e^{-y}}{z\sqrt{s}} = 10^{-2}$ + running coupling BK evolution.
- Applicability of CGC: rapidity y sufficiently large and $p_T = k_\perp z$ not too large.
- At high p_T , events with $x_g > 0.01$ start to contribute. $y = 0$ and $k_\perp > 50$ GeV.



Summary



- **Ten-Year Odyssey** in **NLO hadron productions** in pA collisions in CGC.
- Towards the **precision** test of saturation physics (CGC) at RHIC and LHC. **Key!**
- Extension to larger k_\perp region and QCD threshold resummation. **Unified framework!**
Low- $k_\perp \Leftrightarrow$ saturation; **High- $k_\perp \Leftrightarrow$ matching to pQCD + Resummation.**
- Next Goal: **Global analysis** for CGC including data in both pA and DIS.

