



Opportunities and problems with inclusive processes

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New challenges for lattice, in flavor physics

What can we compute other than the form factors? (choices of my interest)

$$B \rightarrow X_c \ell \nu \text{ (inclusive)}$$

$$B \rightarrow X_u \ell \nu \text{ (inclusive)}$$

$$B \rightarrow X_s \ell^+ \ell^- \text{ (inclusive)}$$

hadronic decays: $B \rightarrow \pi\pi$ etc

$D^0 - \bar{D}^0$ mixing

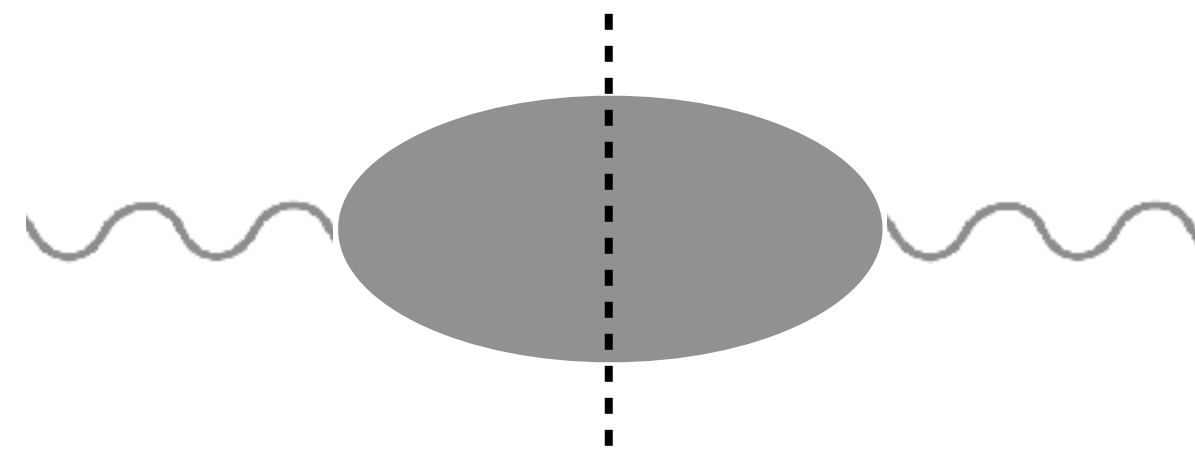
$\tau(D^+)/\tau(D^0)$ (inclusive hadronic)

$$B \rightarrow K^{(*)} \ell^+ \ell^- \text{ (charm loop)}$$

→ View from a different angle:
reweighting of the spectral function
(or a “smeared spectrum”)

Spectral function at work

Muon g-2: a well-known story



spectral function

$$a_{\mu}^{\text{HVP}} = \left(\frac{\alpha}{\pi}\right)^2 \int_0^{\infty} \frac{ds}{s} \overbrace{\frac{1}{\pi} \text{Im}\Pi(s)}^{\text{spectral function}} K(s)$$

“smeared spectrum”

$$a_{\mu}^{\text{HVP}} = \left(\frac{\alpha}{\pi}\right)^2 \int_0^{\infty} dQ^2 f(Q^2) \hat{\Pi}(Q^2)$$

Bernecker-Meyer (2011)

$$\hat{\Pi}(Q^2) = 4\pi^2 \int_0^{\infty} dt C(t) \left[t^2 - \frac{4}{Q^2} \sin^2 \frac{Qt}{2} \right]$$

$$a_{\mu}^{\text{HVP}} = \left(\frac{\alpha}{\pi}\right)^2 \int_0^{\infty} dt C(t) \tilde{f}(t)$$

Lesson: “smeared spectrum” can be written using Euclidean correlator.

Spectrum \rightarrow Physics

see also, Hansen, Meyer, Robaina, arXiv:1704.08993 (idea to go through approx spectrum)

Spectral function: $\rho(\omega) \propto \sum_X \delta(\omega - E_X) |\langle X|J|0\rangle|^2 \quad \sim \langle 0|J \delta(\omega - \hat{H}) J|0\rangle$

What you want:

$$\bar{K} = \int_0^\infty d\omega K(\omega) \rho(\omega) \quad \sim \langle 0|JK(\hat{H})J|0\rangle$$

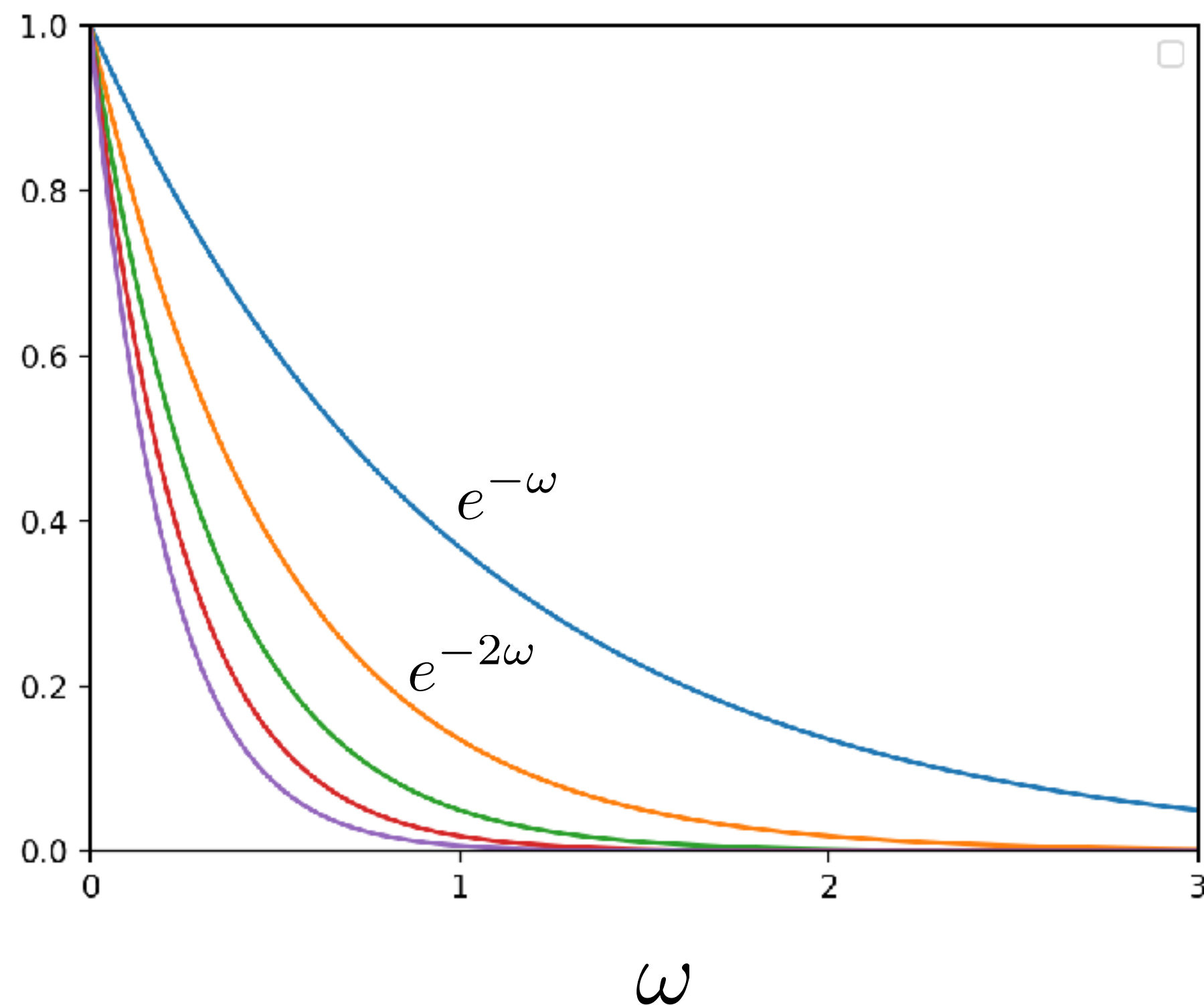
approx: $K(\hat{H})$ by $e^{-\hat{H}t}$?

What you have:

$$C(t) = \int_0^\infty d\omega \rho(\omega) e^{-\omega t} \quad \sim \langle 0|J e^{-\hat{H}t} J|0\rangle$$

Approximation

$$K(\hat{H}) \simeq k_0 + k_1 e^{-\hat{H}} + k_2 e^{-2\hat{H}} + \dots + k_N e^{-N\hat{H}}$$



- Not always possible; when the function varies rapidly, in particular.
- Some methods developed recently.

- **Modified Backus-Gilbert**

Hansen, Lupo, Tantalo, arXiv:1903.06476

- **Or, Chebyshev polynomial**

Bailas, Ishikawa, SH, arXiv:2001.11779

Chebyshev approx:

Bailas, Ishikawa, SH, arXiv:2001.11779

$$K(\omega) \simeq \frac{c_0}{2} + \sum_{j=1}^N c_j^* T_j^*(e^{-\omega})$$

(shifted) Chebyshev polynomials

$$T_0^*(x) = 1$$

$$T_1^*(x) = 2x - 1$$

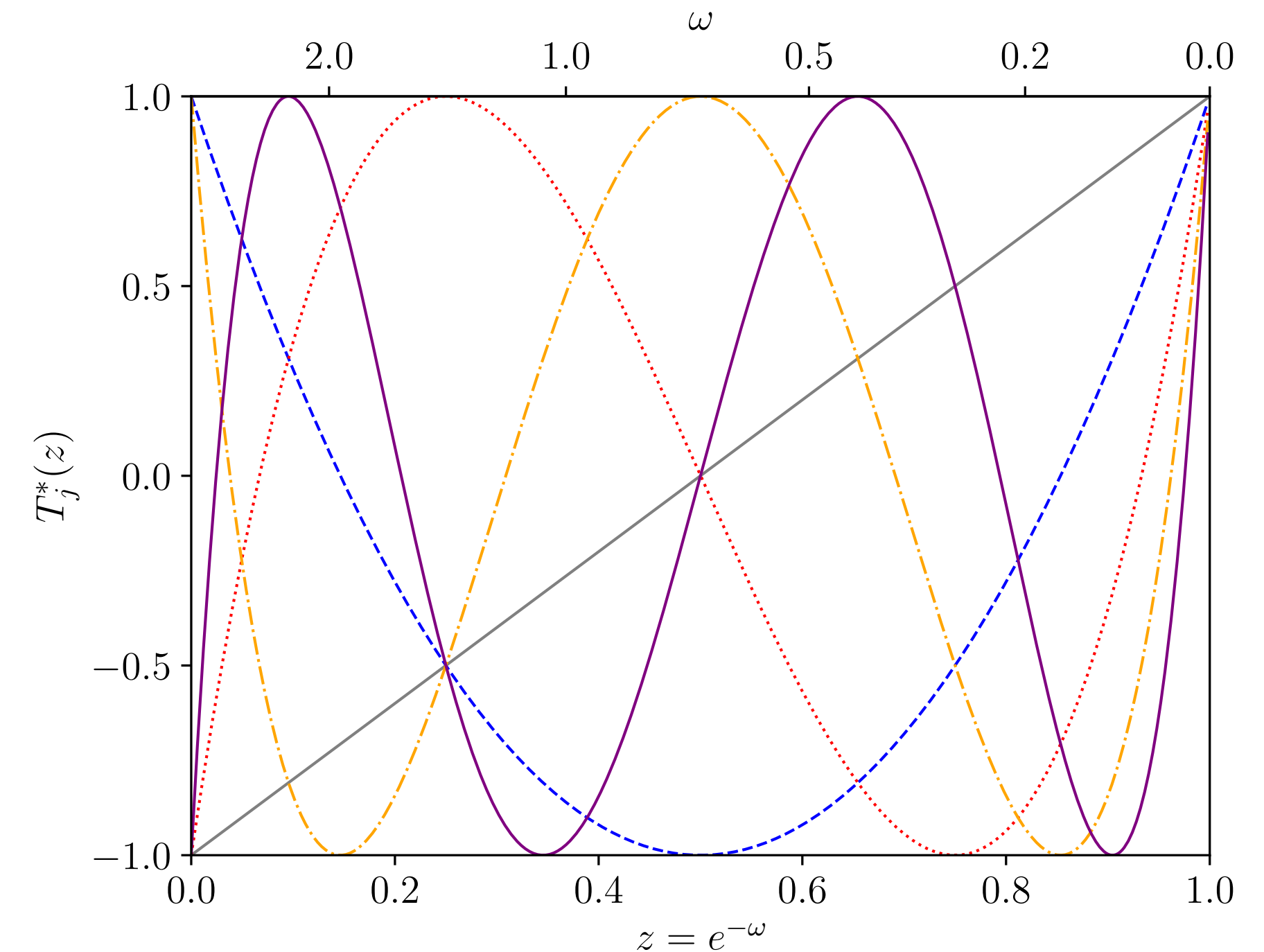
$$T_2^*(x) = 8x^2 - 8x + 1$$

$$T_{j+1}^*(x) = 2(2x - 1)T_j^*(x) - T_{j-1}^*(x)$$

$x^t \rightarrow C(t)$

“Best” approximation can be obtained with

$$c_j^* = \frac{2}{\pi} \int_0^\pi d\theta S \left(-\ln \frac{1 + \cos \theta}{2} \right) \cos(j\theta)$$



- Constraint $|T_j^*(e^{-\omega})| \leq 1$ stabilizes the expansion.
- Higher orders are suppressed when the coefficients are. It is the case for smooth function $K(\omega)$

Inclusive semileptonic decay

Inclusive versus exclusive

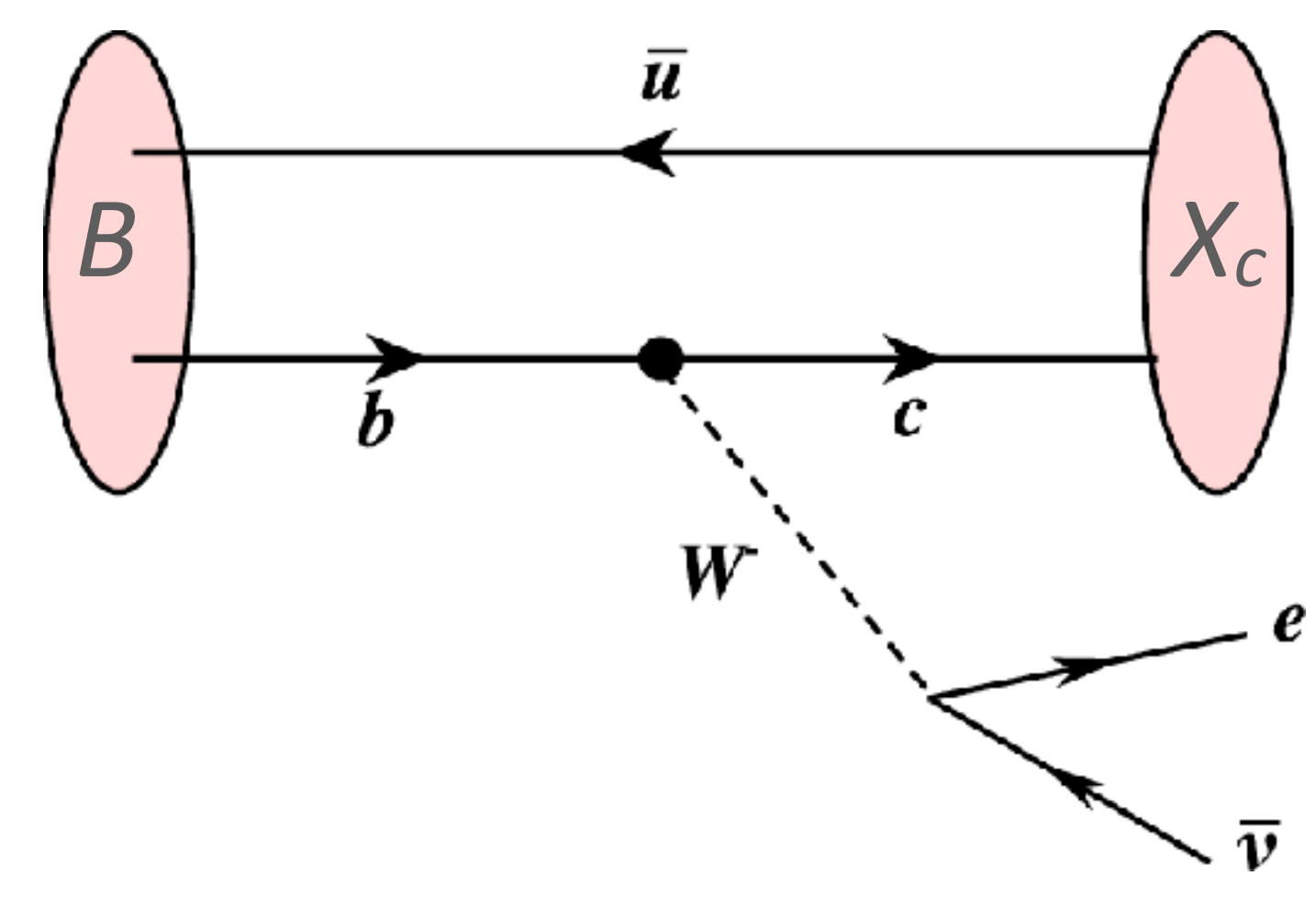
Can we treat the both on the lattice?



m_D^2 $m_{D^*}^2$ $D\pi, D\pi\pi, \dots$

exclusive *inclusive*

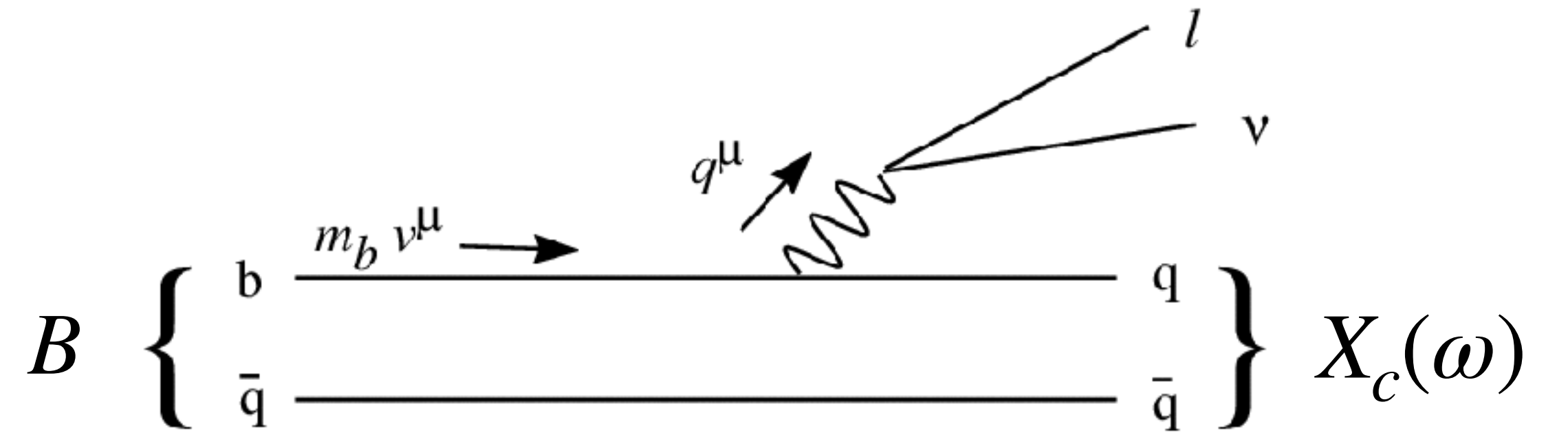
m_X^2
invariant mass of the hadronic system



Inclusive rate

Differential decay rate:

$$d\Gamma \sim |V_{cb}|^2 l^{\mu\nu} W_{\mu\nu}$$



Structure function:

$$W_{\mu\nu} = \sum_X (2\pi)^2 \delta^4(p_B - q - p_X) \frac{1}{2M_B} \langle B(p_B) | J_\mu^\dagger(0) | X \rangle \langle X | J_\nu(0) | B(p_B) \rangle$$

$$\rightarrow \langle B(\mathbf{0}) | \tilde{J}_\mu^\dagger(-\mathbf{q}; t) \delta(\omega - \hat{H}) \tilde{J}_\nu(\mathbf{q}; 0) | B(\mathbf{0}) \rangle$$

“spectral function”

Decay rate:

$$\Gamma \propto \int_0^{\mathbf{q}_{\max}^2} d\mathbf{q} \int_{\sqrt{m_D^2 + \mathbf{q}^2}}^{m_B - \sqrt{\mathbf{q}^2}} d\omega K(\omega; \mathbf{q}^2) \langle B(\mathbf{0}) | \tilde{J}^\dagger(-\mathbf{q}) \delta(\omega - \hat{H}) \tilde{J}(\mathbf{q}) | B(\mathbf{0}) \rangle$$

known kinematical factor

Sum over states = energy integral

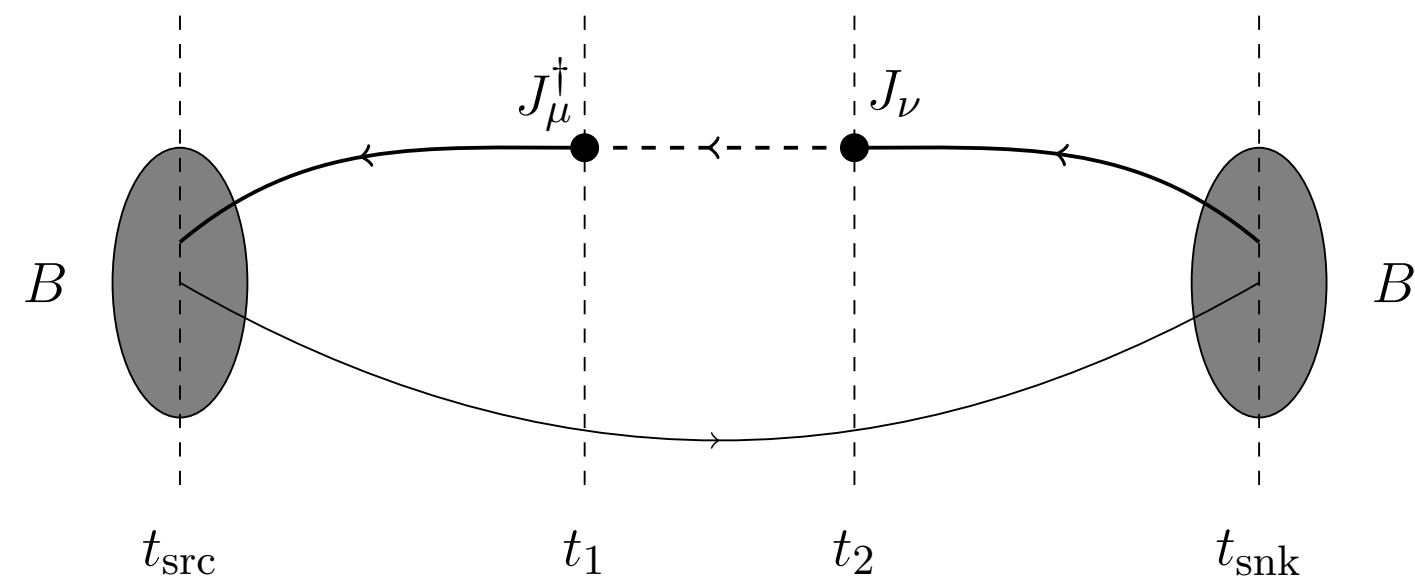
$$\Gamma \propto \int_0^{q_{\max}^2} dq \int_{\sqrt{m_D^2 + q^2}}^{m_B - \sqrt{q^2}} d\omega K(\omega; q^2) \langle B(\mathbf{0}) | \tilde{J}^\dagger(-\mathbf{q}) \delta(\omega - \hat{H}) \tilde{J}(\mathbf{q}) | B(\mathbf{0}) \rangle$$

$$= \langle B(\mathbf{0}) | \tilde{J}^\dagger(-\mathbf{q}) K(\hat{H}; q^2) \tilde{J}(\mathbf{q}) | B(\mathbf{0}) \rangle$$

“smeared spectral function”

Lattice Compton amplitude:

$$\langle B(\mathbf{0}) | \tilde{J}_\mu^\dagger(-\mathbf{q}; t) \tilde{J}_\nu(\mathbf{q}; 0) | B(\mathbf{0}) \rangle \longrightarrow \langle B(\mathbf{0}) | \tilde{J}^\dagger(-\mathbf{q}) e^{-\hat{H}t} \tilde{J}(\mathbf{q}) | B(\mathbf{0}) \rangle$$



approx: $K(\hat{H})$ by $e^{-\hat{H}t}$?

Kernel to approximate

To implement the upper limit of integ

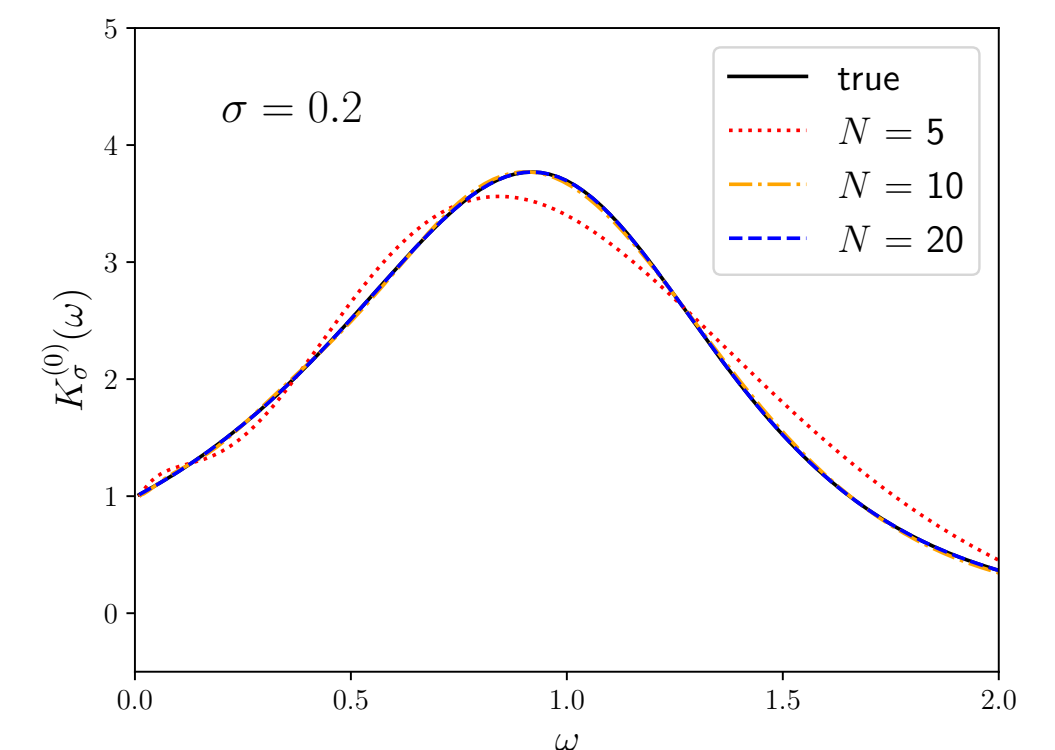
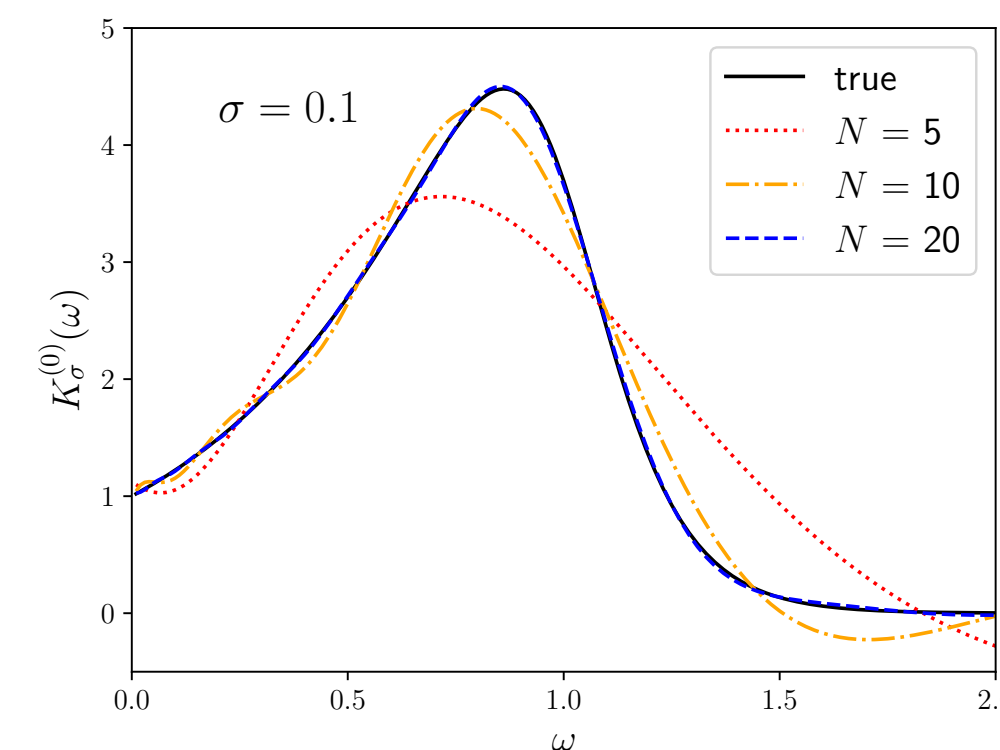
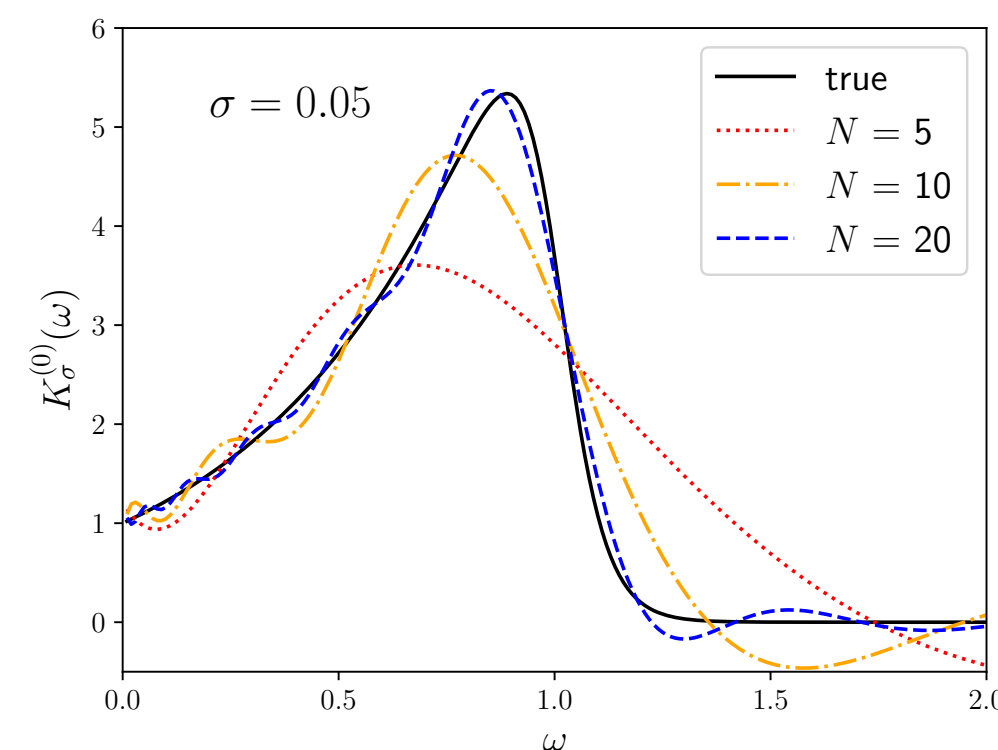
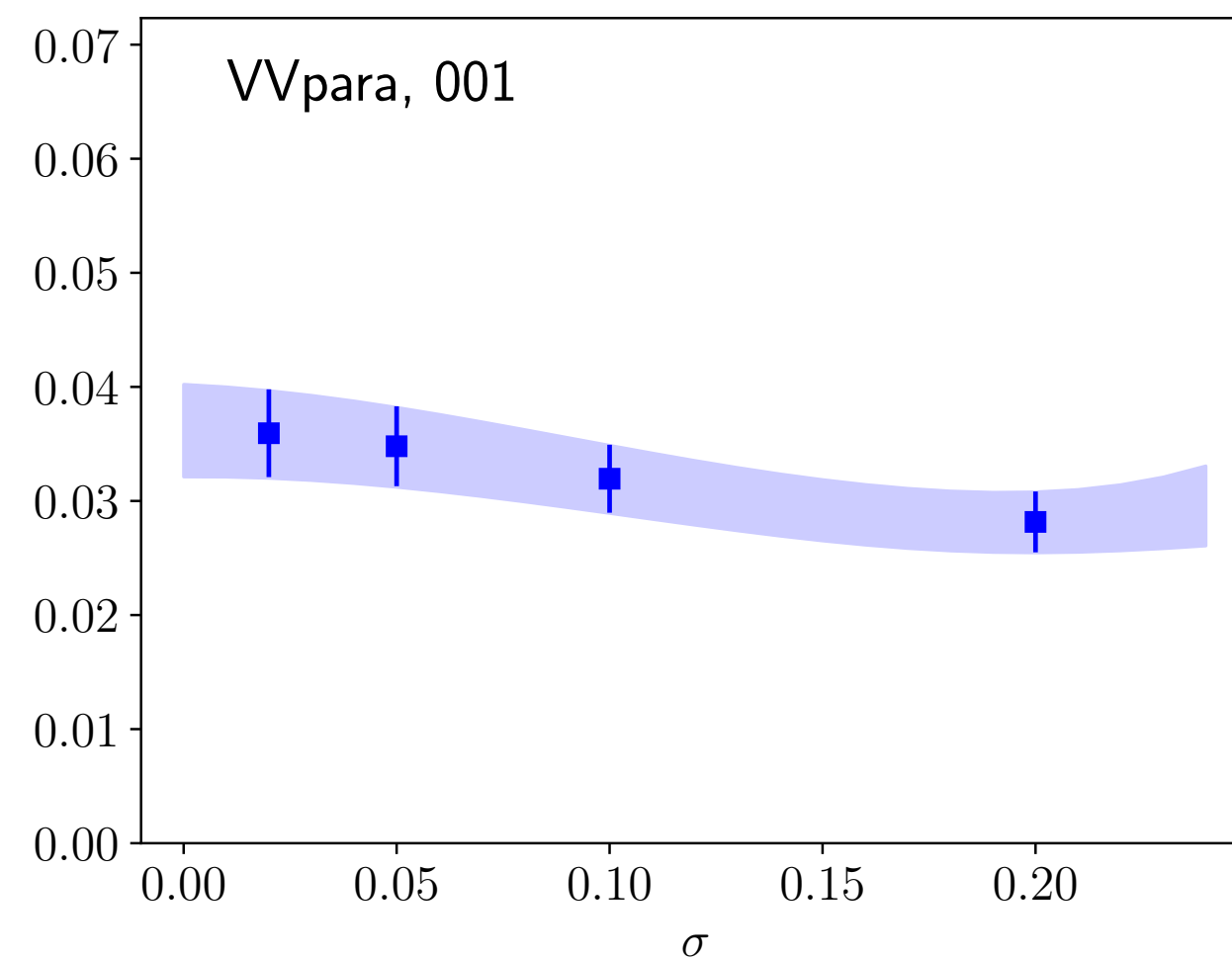
$$K(\omega) \sim e^{2\omega t_0} \underbrace{(m_B - \omega)^l}_{\text{kinematical factor}} \theta(m_B - |\mathbf{q}| - \omega)$$



Smear by “sigmoid” with a width σ
Need to take a limit of $\sigma \rightarrow 0$

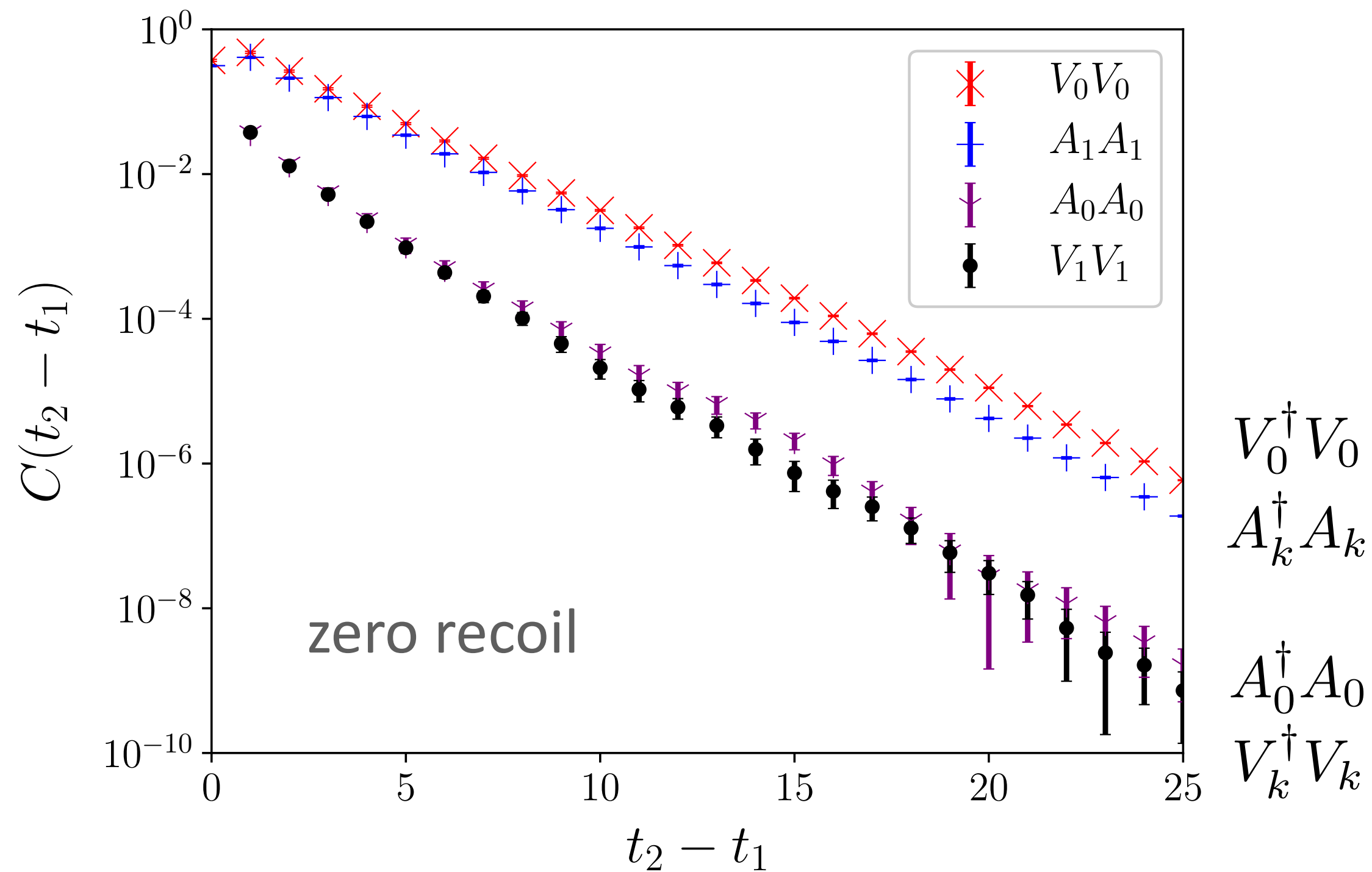
narrow

wide



Compton amplitude

$$\langle B(\mathbf{0}) | \tilde{J}_\mu^\dagger(-\mathbf{q}; t) \tilde{J}_\nu(\mathbf{q}; 0) | B(\mathbf{0}) \rangle$$



Pilot lattice computation [JLQCD setup]

- On a lattice of $48^3 \times 96$ at $1/a = 3.6$ GeV
- Strange spectator quark
- physical charm quark mass
- (unphysically) light b quark ~ 2.7 GeV
- 100 configs x 4 src

S-wave (D and D*)

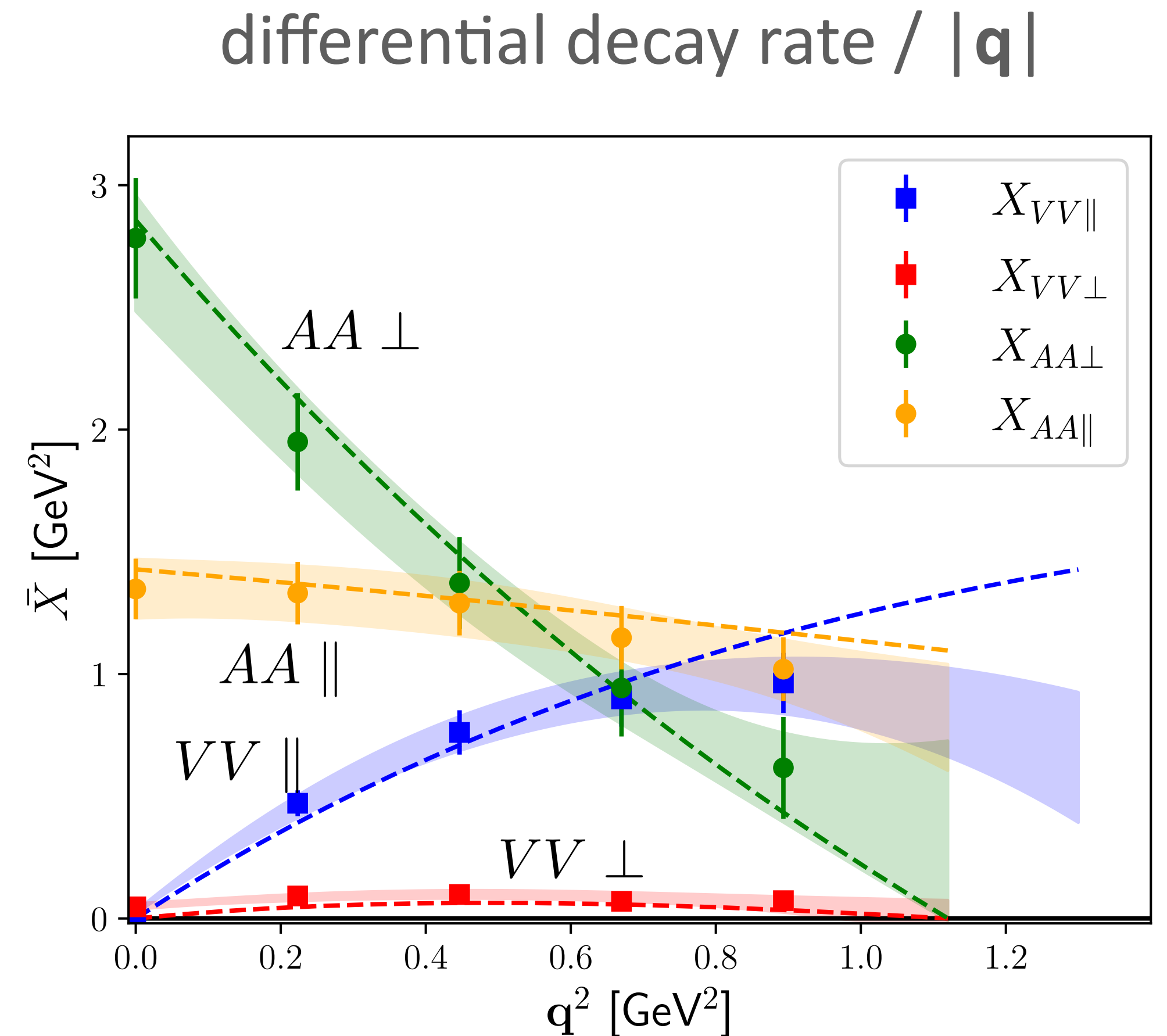
- Very well approximated by a single-exp = no sign of excited state contrib.

P-wave (D**'s)

- Small : no wave function overlap of excited states when $m_b = m_c$ and zero recoil

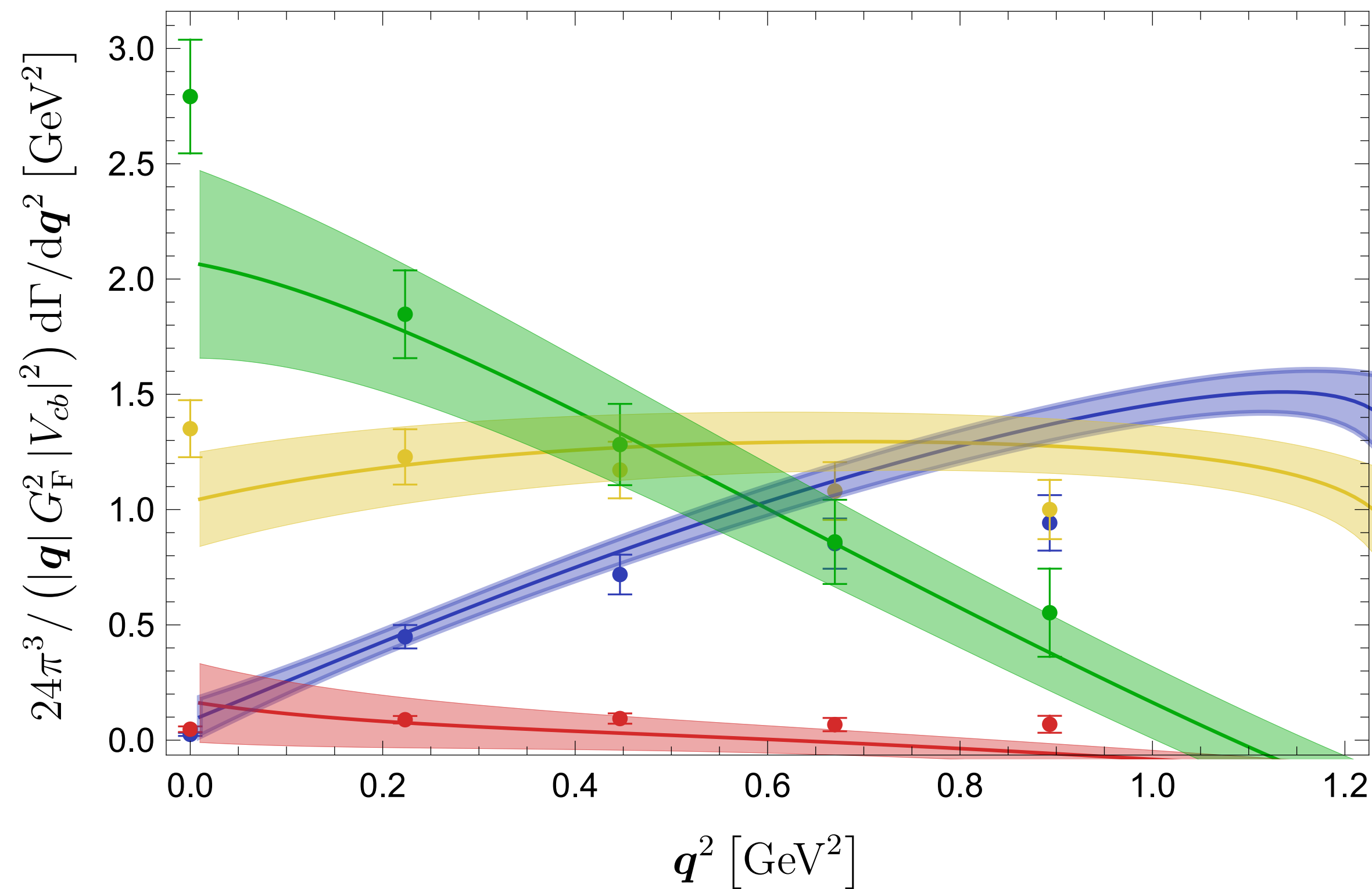
Inclusive decay rate

- Breakdown to individual channels: VV and AA; parallel and perp with respect to the recoil momentum
- Compared to exclusive contributions estimated from $B \rightarrow D^{(*)}$ form factors (dashed line), that are separately calculated.
 - $VV_{||}$ dominated by $B \rightarrow D$
 - All others by $B \rightarrow D^*$



Comparison with OPE

Gambino, SH, Machler, arXiv:2111.02833



OPE at $O(\alpha_s)$, $O(1/m_b^3)$ with

- physical charm mass
- m_b to reproduce B_s mass

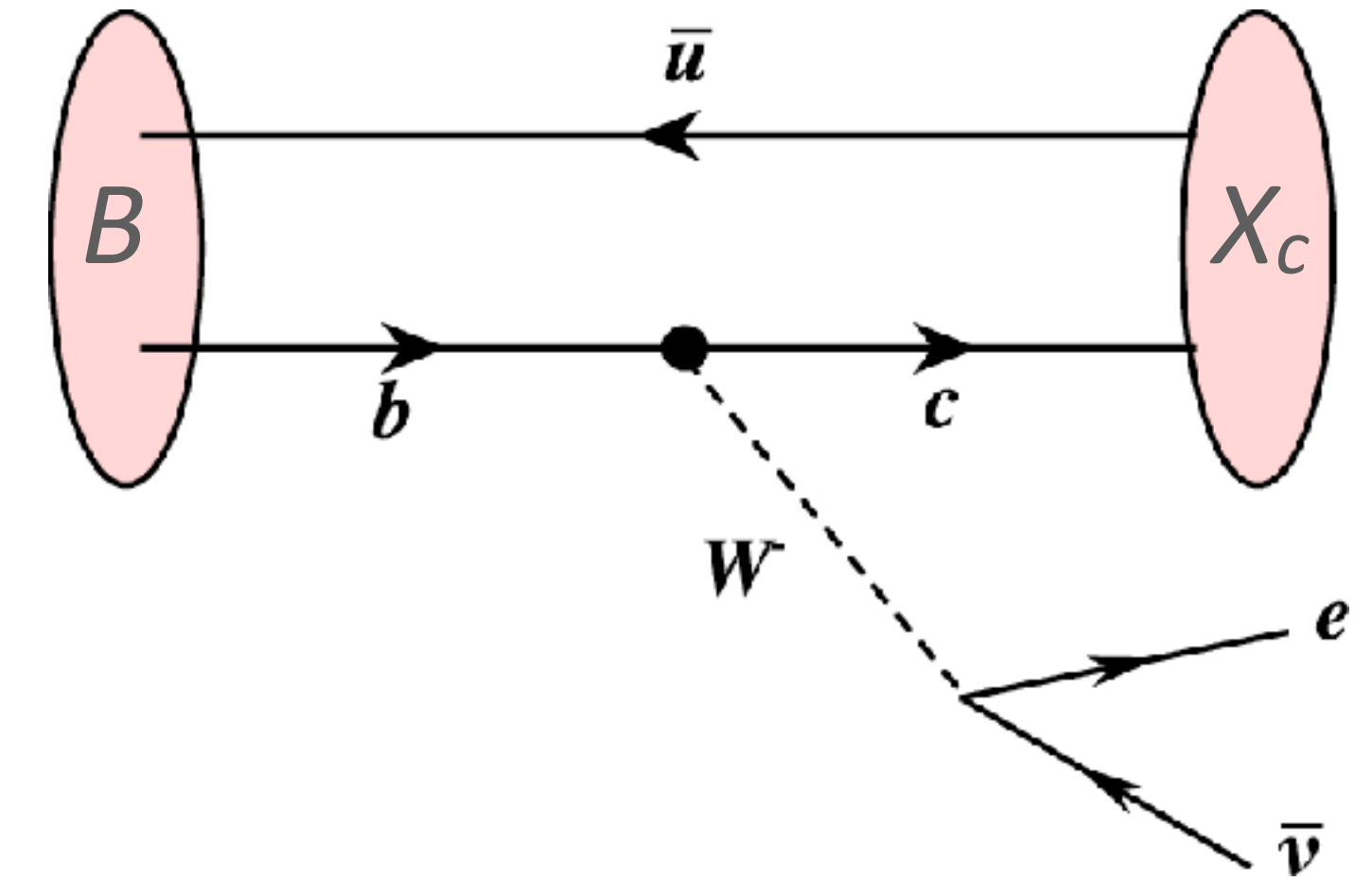
Gambino, Melis, Simula, arXiv:1704.06105

- MEs from fits of exp't; allowing 15% or 25% uncertainty (for those of $1/m_b^2$ and $1/m_b^3$)
- $\alpha_s = 0.32(1)$

Reasonable agreement observed. Further analysis to study the consistency between OPE and lattice.

(arbitrary) Moments

Gambino, SH, Machler, arXiv:2111.02833



Arbitrary moments/cuts can be implemented.

$$\int_0^{\mathbf{q}_{\max}^2} d\mathbf{q} \int_{\sqrt{m_D^2 + \mathbf{q}^2}}^{m_B - \sqrt{\mathbf{q}^2}} d\omega \int_{E_\ell^{\min}}^{E_\ell^{\max}} dE_\ell K(\omega, E_\ell; \mathbf{q}^2) \langle B(\mathbf{0}) | \tilde{J}^\dagger(-\mathbf{q}) \delta(\omega - \hat{H}) \tilde{J}(\mathbf{q}) | B(\mathbf{0}) \rangle$$

cuts by modifying the upper/lower limits

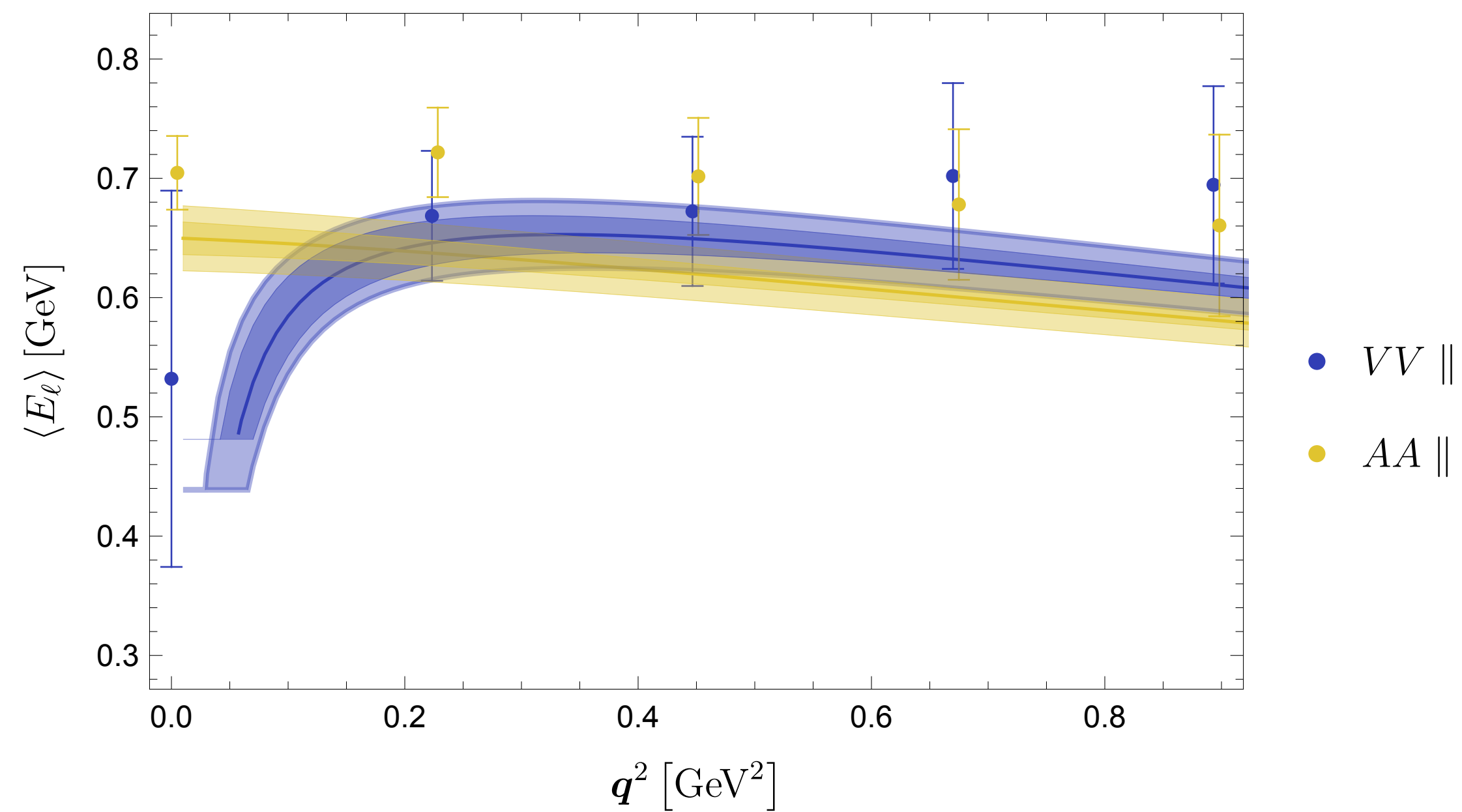
insert any function

$$X(\omega, E_\ell, \mathbf{q})$$

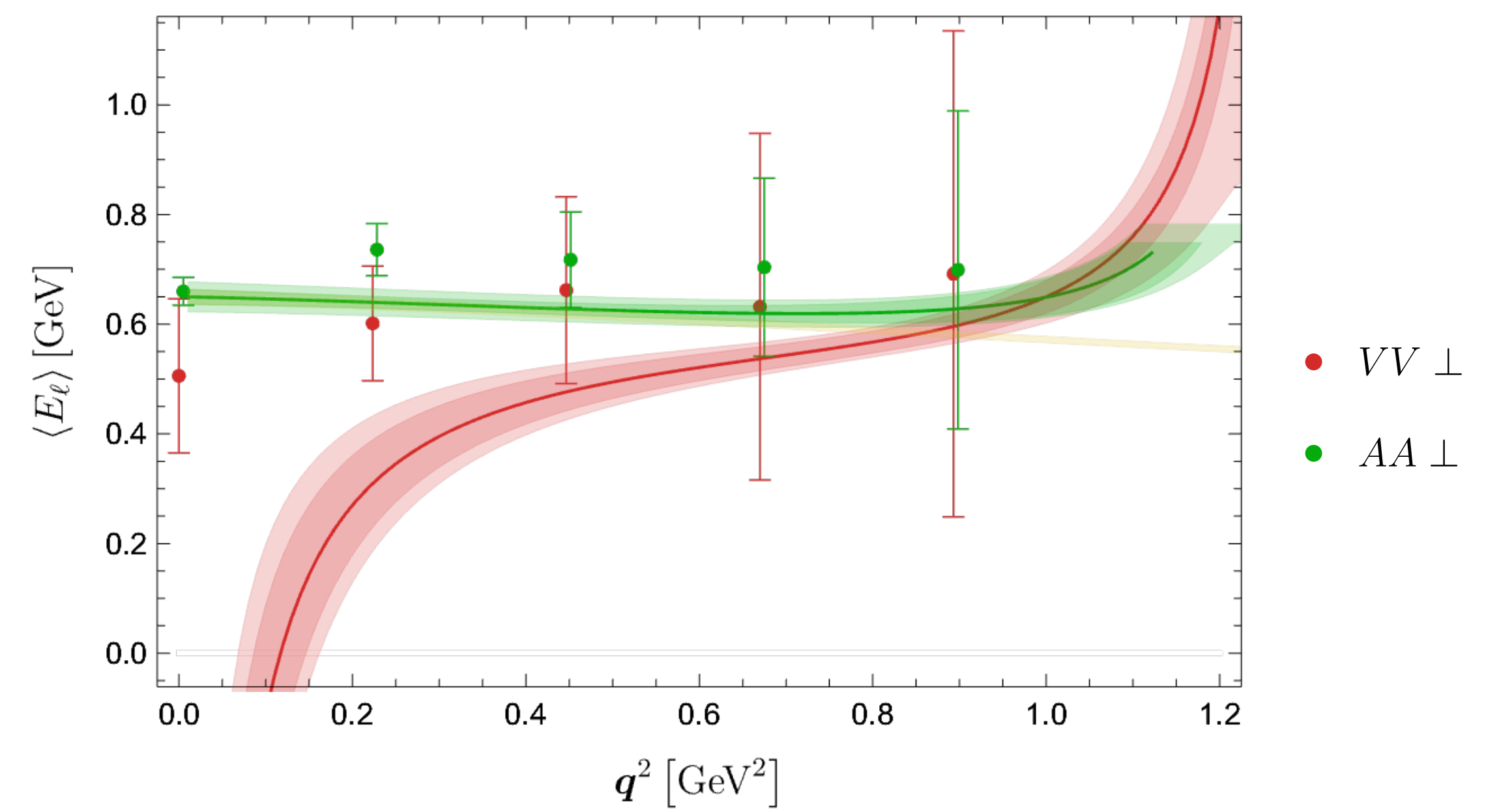
- Smooth function of ω can be approximated easier.
- Cuts would be crucial for $b \rightarrow u$ to avoid large background from $b \rightarrow c$.

(arbitrary) Moments

e.g. Lepton energy moment $\langle E_l \rangle$



Gambino, SH, Machler, arXiv:2111.02833



Potential impacts

- Inclusive and Exclusive ($B \rightarrow D^{(*)}$) can be computed on the same lattice setup. Treat the both with similar systematics. Does the incl-vs-excl tension persist?
- Can be used to test the convergence of OPE.
- Can find the best moments to suppress errors for lattice, pQCD (or OPE), exp't.
- Can be applied for $b \rightarrow u$ and $b \rightarrow s$

Possible problems

- Does the approximation really control systematic errors? Non-trivial, especially on finite volumes where the spectrum is non-smooth.

**More applications of the
smearred spectrum**

Scattering amplitude

Time-like pion form factor, $0 \rightarrow \pi\pi$, as an example: Bulava, Hansen, arXiv:1903.11735

LSZ reduction:

$$\int d^4x_1 e^{-iq_1x_1} \theta(t_1) \langle \pi(\mathbf{p}_2) | \phi(x_1) J(0) | 0 \rangle = \frac{Z^{1/2}(\mathbf{p}_1)}{2E(\mathbf{p}_1)} \mathcal{M}(\pi(\mathbf{p}_2), \pi(\mathbf{p}_1); 0) \frac{i}{-q_1^0 - E(\mathbf{p}_1) + i\epsilon} + \dots$$

at the pole

amplitude

inserting complete set of states

$$\rho_{\mathbf{p}_2,0}(E_1, -\mathbf{p}_1) = \sum_{\alpha} \pi \delta(E_1 - E_{\alpha}) \langle \pi(\mathbf{p}_2) | \tilde{\phi}(q_1) | \alpha \rangle \langle \alpha | J(0) | 0 \rangle$$

$$= \int_0^{\infty} \frac{dE_1}{\pi} \frac{i}{E(\mathbf{p}_2) - q_1^0 - E_1 + i\epsilon} \rho_{\mathbf{p}_2,0}(E_1, -\mathbf{p}_1)$$

$$\Rightarrow \mathcal{M}(\pi(\mathbf{p}_2), \pi(\mathbf{p}_1); 0) = \frac{2E(\mathbf{p}_1)}{Z^{1/2}(\mathbf{p}_1)} \lim_{\epsilon \rightarrow 0} \epsilon \int_0^{\infty} \frac{dE_1}{\pi} \frac{i}{E(\mathbf{p}_2) + E(\mathbf{p}_1) - E_1 + i\epsilon} \rho_{\mathbf{p}_2,0}(E_1, -\mathbf{p}_1)$$

Looks like a smeared spectrum

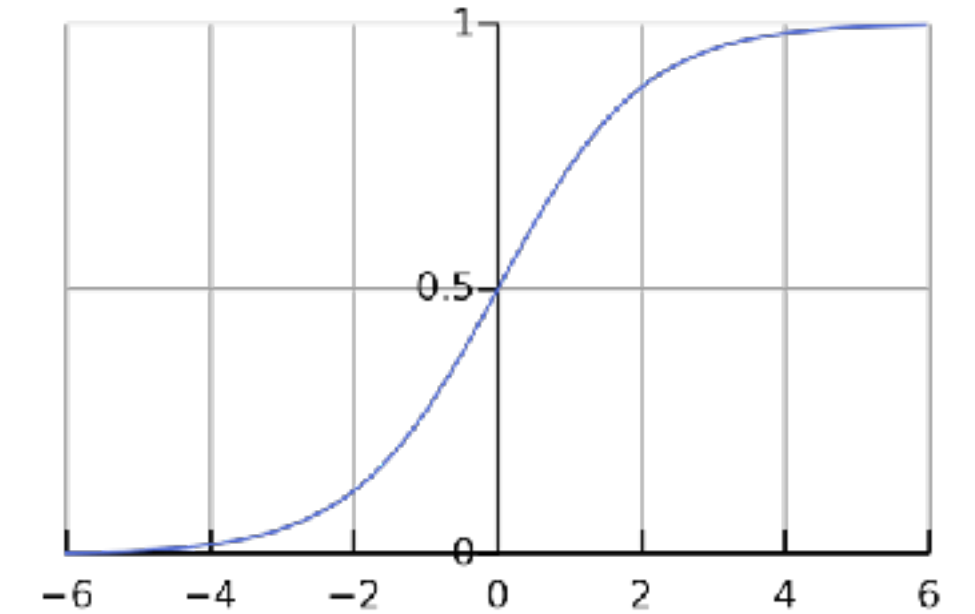
Smearred spectrum as a filtering

M. Bruno and M. Hansen, arXiv:2012.11488

Maiani-Testa says that only the threshold amplitude ($\mathbf{q} = \mathbf{0}$) can be obtained from

$$\langle \pi(\mathbf{q}) | \phi_{-\mathbf{q}}(t) J(0) | 0 \rangle$$

Otherwise, the zero (relative) momentum states will dominate the correlator.



Consider, instead,

$$\langle \pi(\mathbf{q}) | \phi_{-\mathbf{q}}(t) \Theta(\hat{H} - \sqrt{s}, \Delta) J(0) | 0 \rangle$$

smoothed Heaviside function

- Look at the intermediate states only above \sqrt{s}
- Introduce the smearing so that it can be calculated more easily.

$$\langle \pi(\mathbf{q}) | \phi_{-\mathbf{q}}(t) \Theta(\hat{H} - \sqrt{s}, \Delta) J(0) | 0 \rangle$$

$$\rightarrow e^{-E(\mathbf{q})t} \left[\underbrace{\Theta(0, \Delta)}_{\dots\dots\dots} \underbrace{\text{Re}[f(s)]}_{\dots\dots\dots} - 2 \underbrace{\mathcal{J}^{(0)}(t, s, \Delta)}_{\dots\dots\dots} \underbrace{\text{Im}[f(s)]}_{\dots\dots\dots} + \dots \right]_{s=(2E(\mathbf{q}))^2}$$

known functions

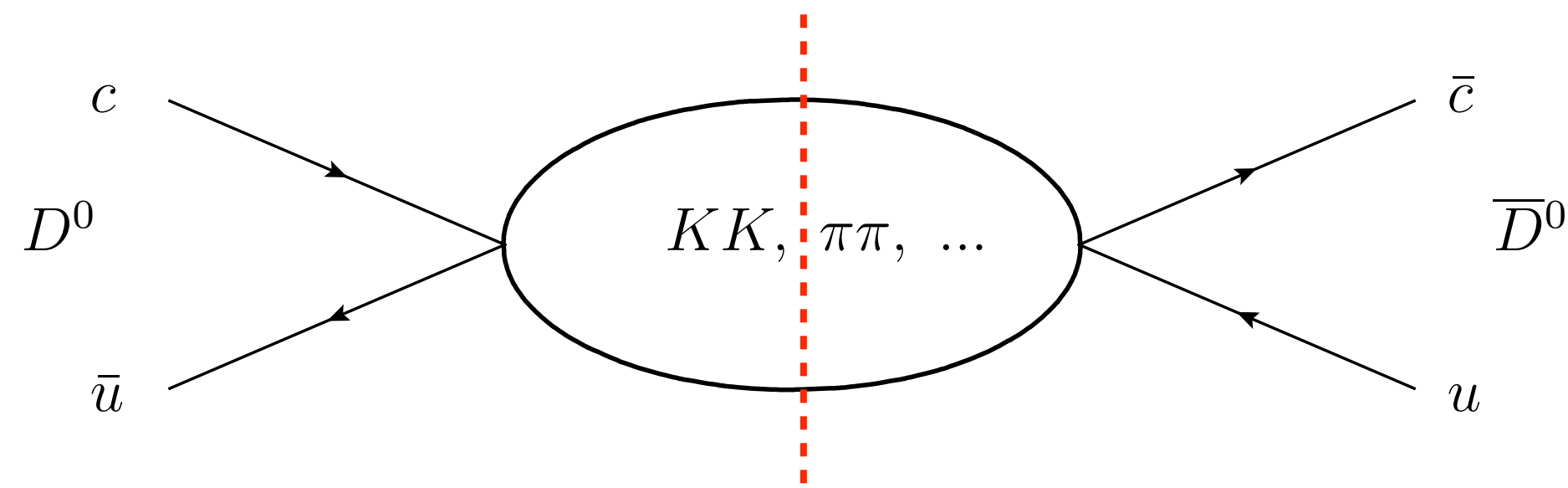
$f(s) = \langle \pi\pi; s | J(0) | 0 \rangle$: time-like form factor
at any energy

Again, the question reduces to how well one can implement (matrix-valued) sigmoid function.

Potential application to: $K \rightarrow \pi\pi$, $D \rightarrow \pi\pi$, ..., $B \rightarrow \pi\pi$

Even more challenging (towards DWQ@50)

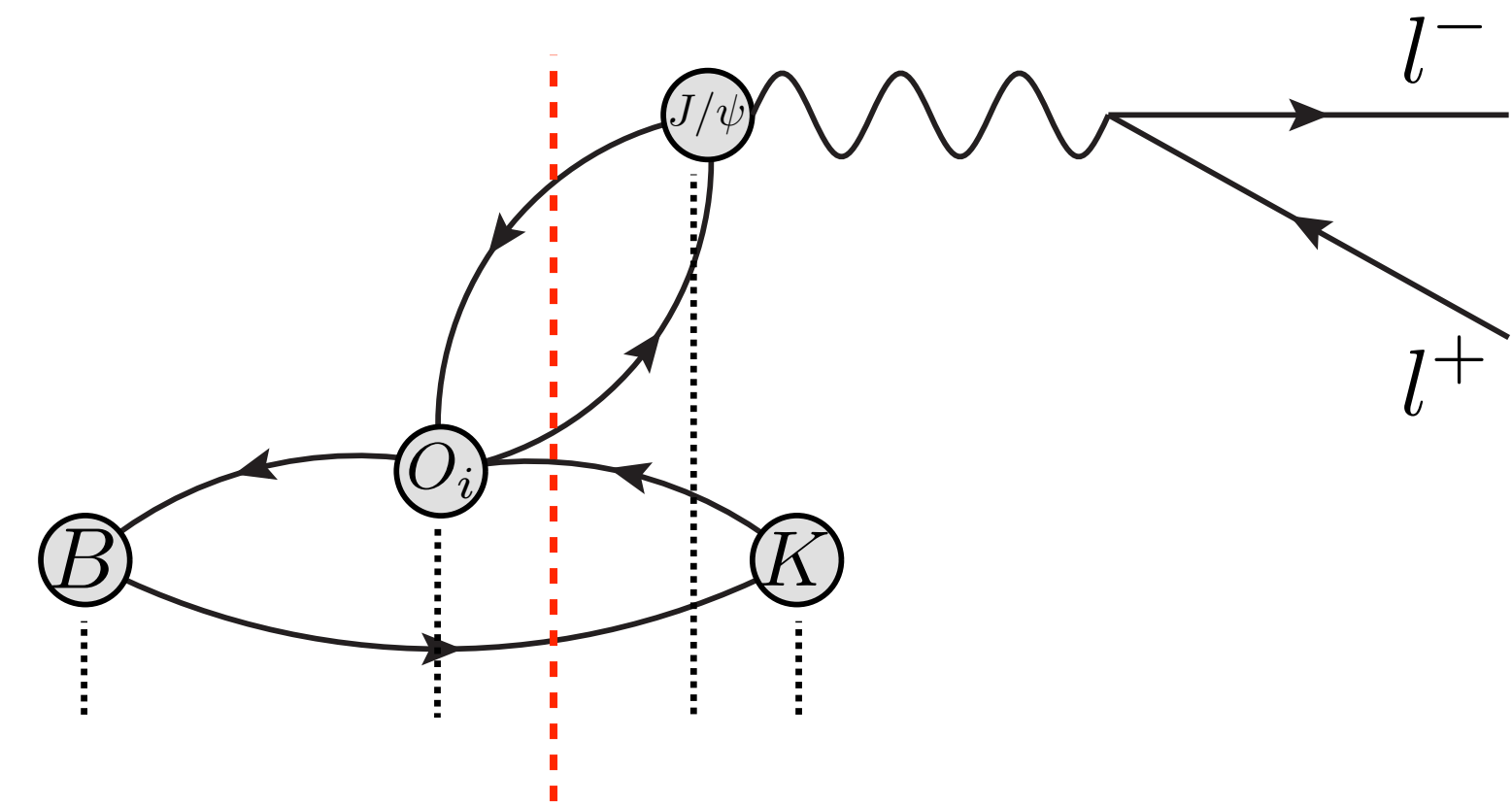
$D^0-\bar{D}^0$ mixing



all possible states with $\omega = m_D$

$$\sim \langle D^0 | J \delta(\hat{H} - m_D) J | \bar{D}^0 \rangle$$

$B \rightarrow K(c\bar{c}) \rightarrow K \ell^+ \ell^-$



all possible states with $\omega = m_B$

$$\sim \langle B | O_i \delta(\hat{H} - m_B) J | K \rangle$$

To select the states that are otherwise inaccessible due to Maiani-Testa.

Towards DWQ@50

Be prepared; Not too distant future!

- Interesting new applications, even if only feasible with much larger lattices and statistics.
- Inclusive B decays, ..., inelastic νN scattering (see Yoo's talk on Thursday).
- Experiments are there, or under construction. (LHC is accumulating more data; HL-LHC will be from 2027. Belle II still at the beginning, runs till 2031 at least; DUNE will run from 2027.)
- (Challenging) Suggestions are welcome especially from exp colleagues.