

Proton Decay Amplitudes with Physical Chirally-Symmetric Quarks

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[arXiv:2111.01608]



*BNL-HET & RBRC Joint Workshop "DWQ@25"
December 16, 2021*

- Proton decay basics
 - Experimental lifetime limits & outlook*
 - Motivation and theory status*
 - Effective nucleon decay operators and matrix elements*
- Need for lattice calculations
 - Past calculations and model uncertainty*
 - Summary of the present calculation*
- Lattice calculation and analysis
 - Hadron masses and energies*
 - Extraction of matrix elements*
 - Operator renormalization*
 - Momentum & continuum extrapolations*
- Results
 - Comparison to earlier calculations*
 - Nucleon annihilation amplitudes*
 - Conclusions*

Baryogenesis and Broken Symmetries

Why More Matter > Antimatter in the Universe?

$$\frac{n_B - n_{\bar{B}}}{n_\gamma} \approx 6 \cdot 10^{-10}$$

Three necessary components

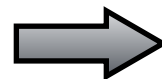
[A.Sakharov (1967)] :

*proton decay,
neutron oscillations*

**Baryon
number-changing
interactions**

*(alternatively,
leptogenesis
+ sphalerons)*

*neutrinoless
beta-decays*

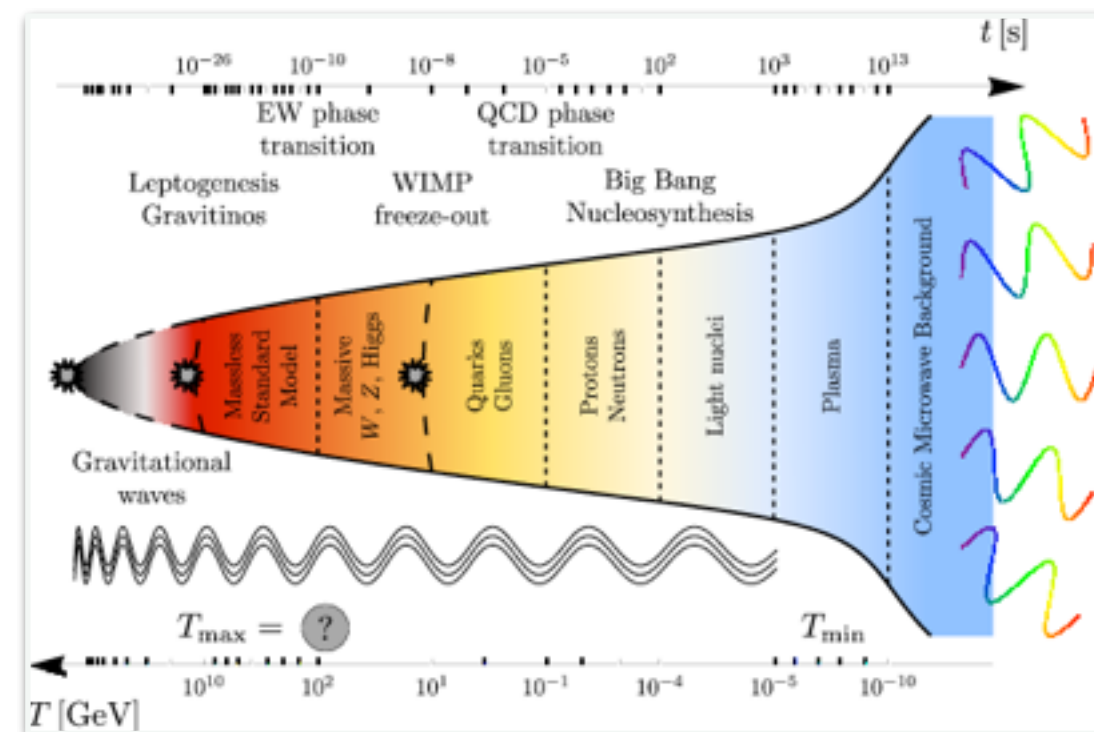


**Violations of
C- and CP-
symmetries**

*(electric dipole moments of
 p , n , e^- , nuclei, atoms)*



**Interactions
out of
equilibrium**



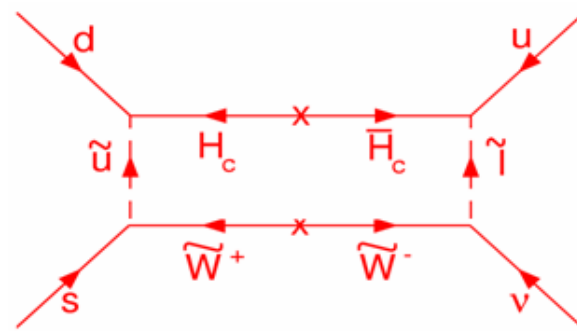
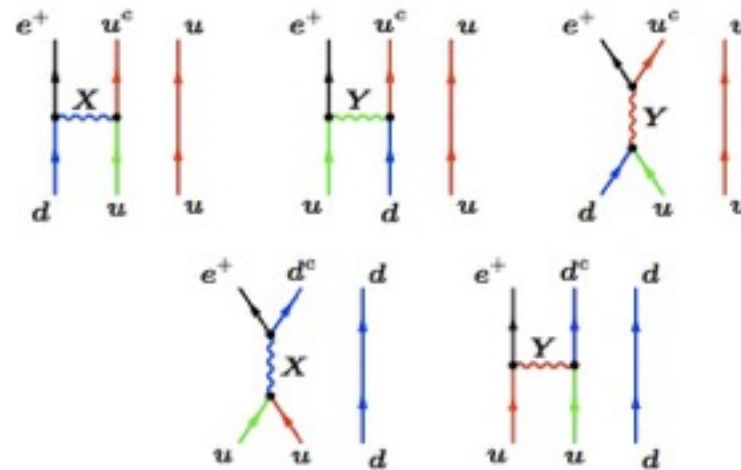
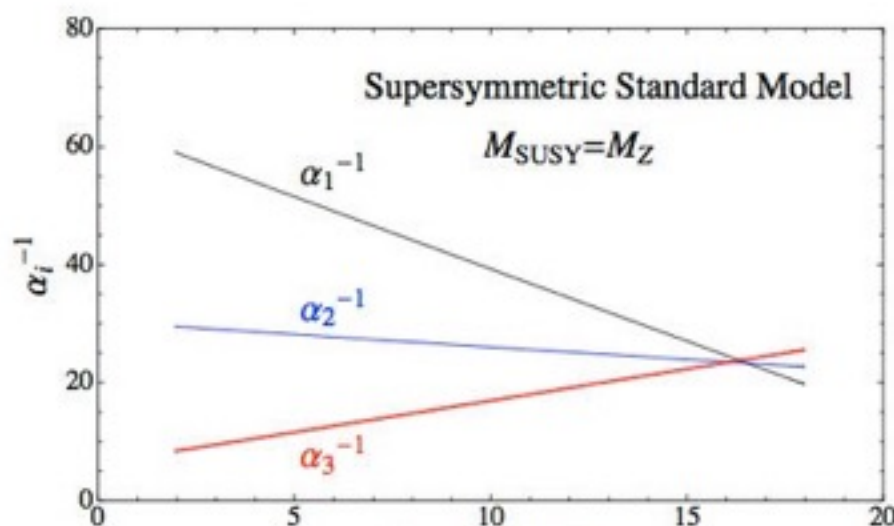
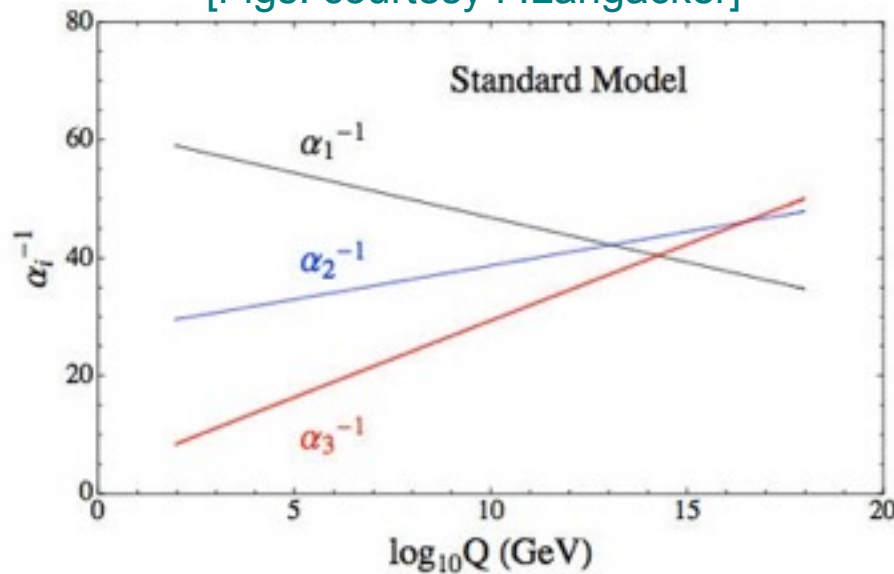
Proton Decays and Grand Unification

Proton decay rate in a Grand Unified Theory

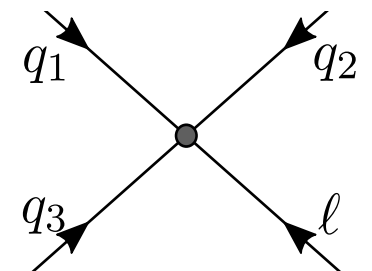
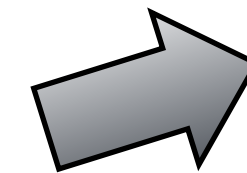
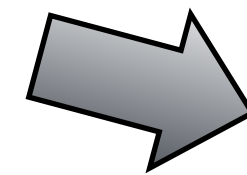
$$\frac{\tau_p}{Br(p \rightarrow \pi \bar{\ell})} \approx \frac{1.4 \cdot 10^{33} \text{ years}}{|c_I|^2 \cdot |\langle \pi | \mathcal{O}_{\text{decay}} | p \rangle|^2} \cdot \left(\frac{\Lambda_{\text{GUT}}}{10^{15} \text{ GeV}} \right)^4$$

\nearrow O(1) coupling at Λ_{GUT}
 \nwarrow decay amplitude at nuclear scale
 \nwarrow \approx GUT boson mass

Coupling constants of the Standard Model
[Figs. courtesy P.Langacker]

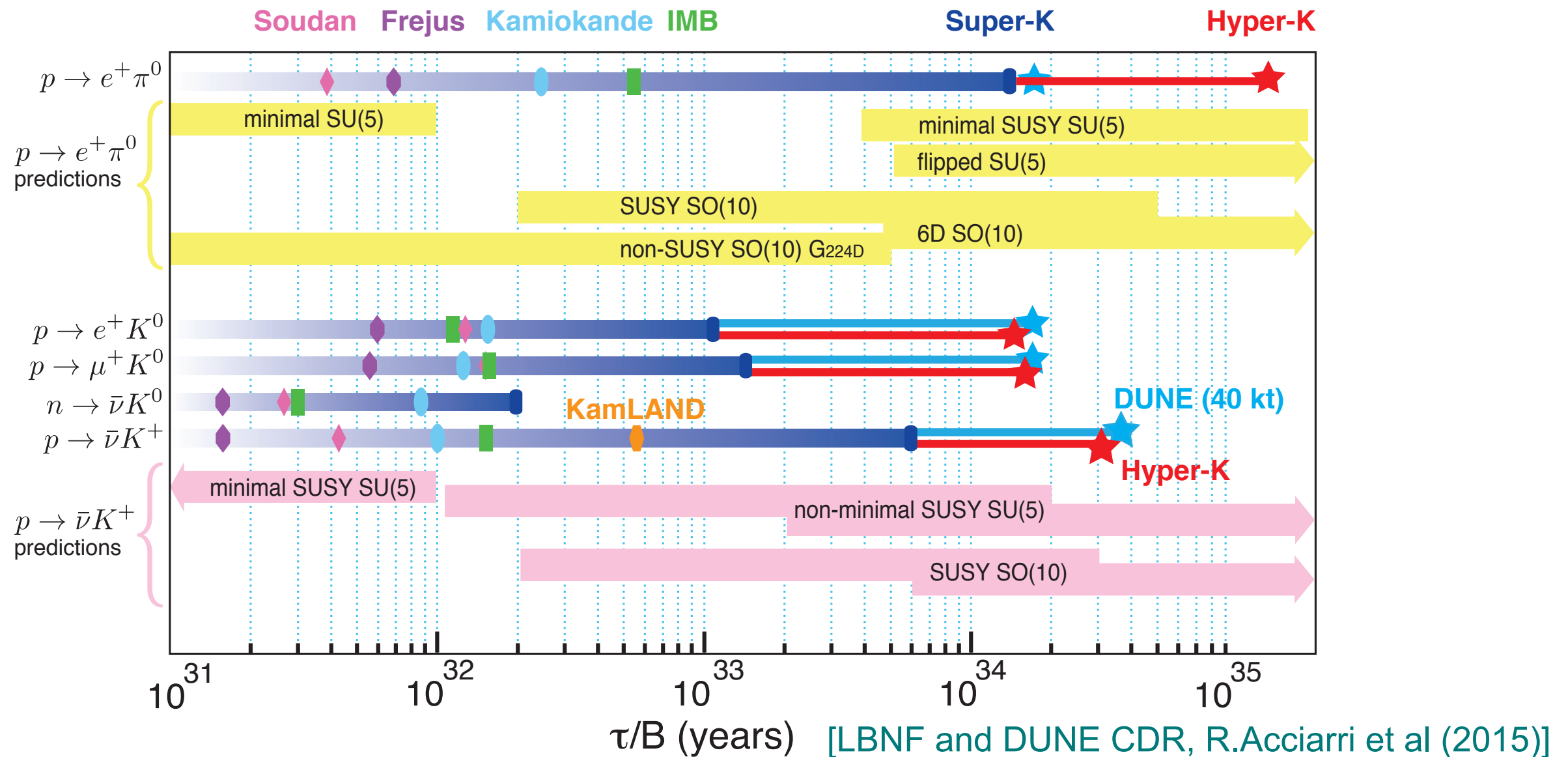


SUSY $\Lambda_{\text{GUT}} \approx 10^{16}$ GeV, but $p \rightarrow \bar{\nu} K^+$ faster due to colored Higgs



$(qqql) + h.c.$
effective interaction
at hadron scale

Proton Stability: Status and Outlook



- Current limits $\tau(p \rightarrow e^+ \pi^0) \gtrsim 1.6 \cdot 10^{34}$, $\tau(p \rightarrow \bar{\nu} K^+) \gtrsim 5.9 \cdot 10^{33}$ [Super-K]
- Expect x10 improvement on lifetime limit from Hyper-K and DUNE
- Better sensitivity to $p \rightarrow \bar{\nu} K^+$ that affects supersymmetric GUT models

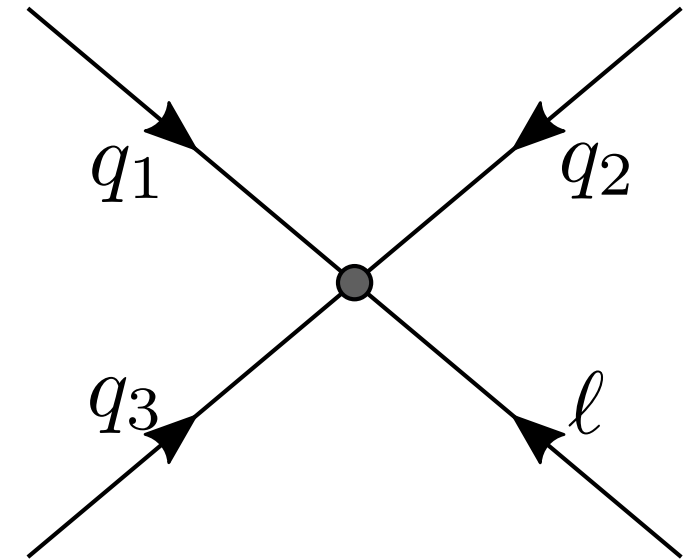
How Nucleon Structure Affects GUT Limits

Effective interaction

$$\mathcal{L}_{\text{eff}} = \sum_I C_I \mathcal{O}_I + \text{h.c.}$$

$$\mathcal{O}_I = \epsilon^{abc} (\bar{q}_1^{aC} P_{\chi_I} q_2^b) (\bar{\ell}^C P_{\chi'_I} q_3^c) = \bar{\ell}_\alpha^C \mathcal{O}_{I,\alpha}^{3q}$$

$$q_{1,2,3} \in \{u, d, s\}, \quad P_{\chi_I^{(\prime)}} = \frac{1 \pm \gamma_5}{2}$$



Decay matrix elements $(W_{0,1})_I$

$$\langle \bar{\ell}(q) \Pi(p) | \mathcal{O}^{\chi'} | N(k) \rangle = \bar{v}_{\ell\alpha}^C(q) P_{\chi'} \left[W_0(-q^2) - \frac{i \not{q}}{m_N} W_1(-q^2) \right] u_N(k)$$

and $W_{\bar{\ell}} = \left[W_0 + W_1 \cdot O(m_{\bar{\ell}}/m_N) \right]_{q^2=m_{\bar{\ell}}^2}$

\nearrow
nonperturbative QCD

$\underbrace{\hspace{10em}}$
 negligible for e^+ ;
 $\approx 10\%$ for μ^+

[S.Aoki et al, PRD62:014506 (2000)]

Protons Stable due to Topology?

Why these experiments found nothing ?

- **more complicated** GUT scenario,
- **no BV interactions** at GUT scale ,
- OR protons&neutrons are **just not likely** to decay ?

$$\langle \text{vac} | \begin{array}{c} \xrightarrow{q_1} \\ \xrightarrow{q_3} \end{array} \begin{array}{c} \xrightarrow{q_2} \\ \xrightarrow{\ell} \end{array} | N \rangle \quad \text{small?}$$

"quark pudding" estimate:

$$\langle \text{vac} | \mathcal{O}^{3q} | N \rangle \sim \rho_q^{3/2} \sqrt{V_N} \sim \frac{1}{V_N} \approx 0.004 \text{ GeV}^3$$

$$\langle \Pi | \mathcal{O}^{3q} | N \rangle \sim \langle \text{vac} | \mathcal{O}^{3q} | N \rangle / f_\pi \approx 0.03 \text{ GeV}^2$$

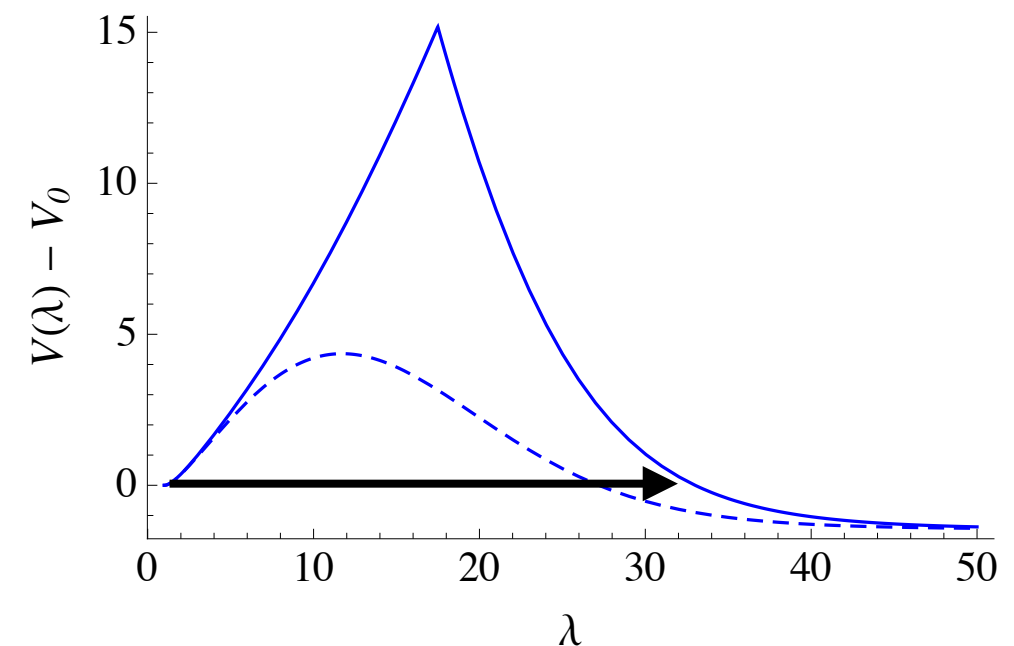
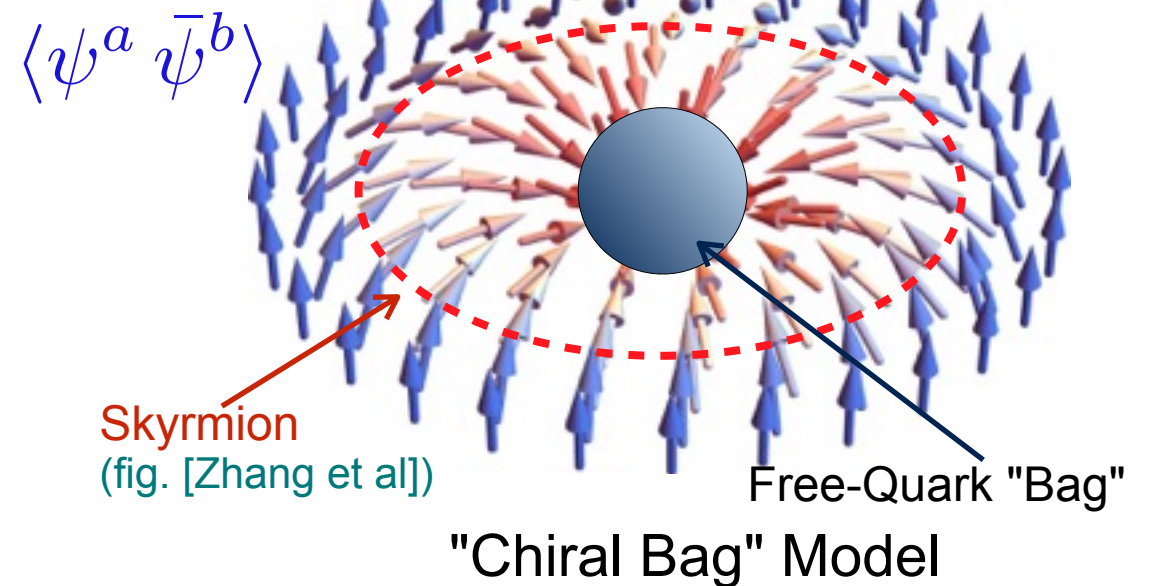
Topological stability of proton as a "Chiral Bag"

[A.Martin, G.Stavenga '12]

- proton decay as quantum tunneling over topological barrier

Depending on R_{Bag} , decay rate may be suppressed $\sim O(10^{-4}) - O(10^{-12})$

chiral condensate
orientation
 $\langle \psi^a \bar{\psi}^b \rangle$



Uncertainty can be addressed only by an ab initio QCD calculation

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$$\langle \text{vac} | \begin{array}{c} \nearrow^{q_1} \\ \searrow^{q_2} \\ \nearrow^{q_3} \\ \searrow^{\ell} \end{array} | N \rangle \quad \text{small?}$$

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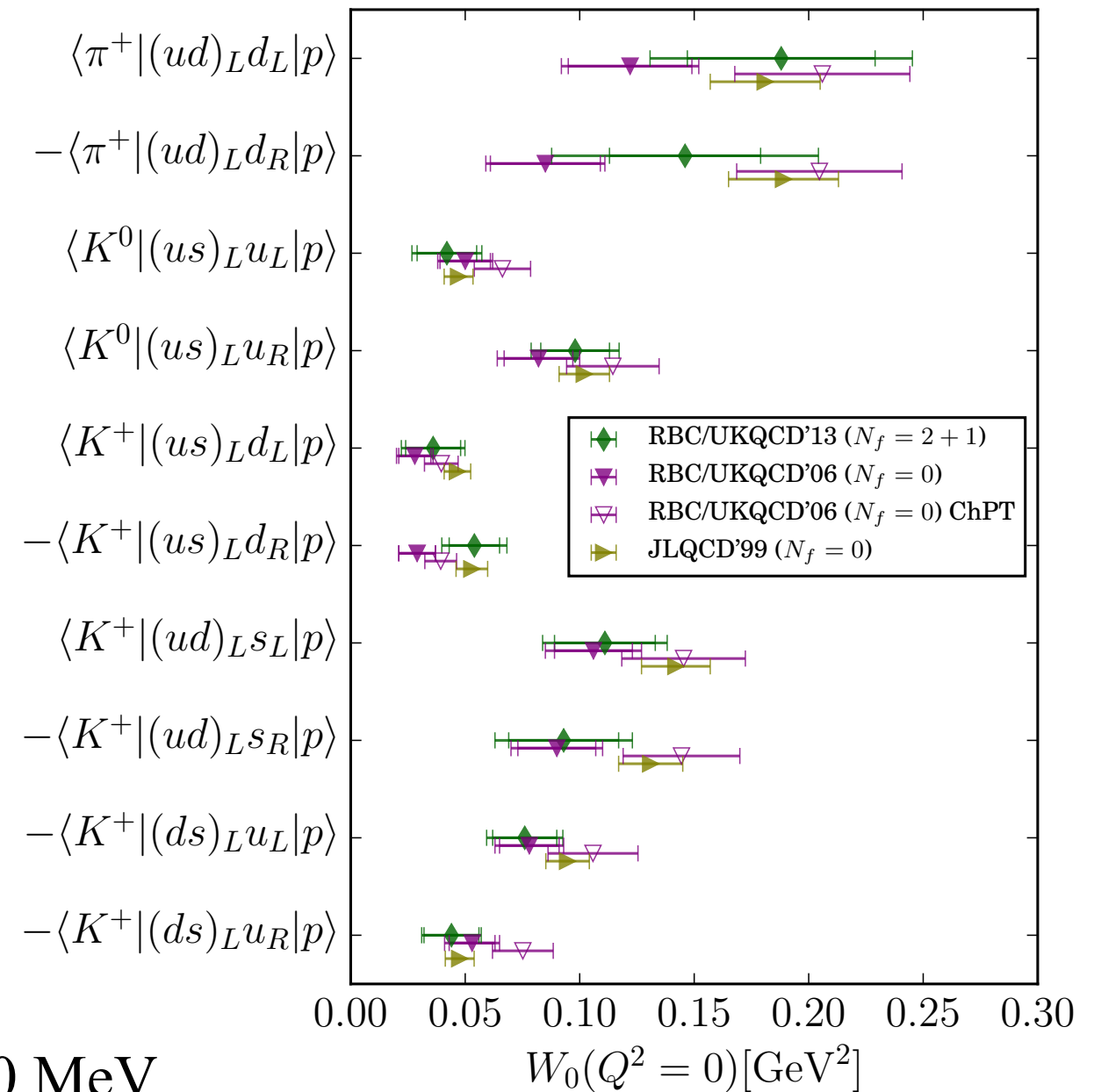
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Lattice QCD

- [S.Aoki et al (2000)] Wilson fermions
- [Y.Aoki et al (2006)] DW quenched
- [Y.Aoki et al (2013)] DW Nf=2+1 $m_\pi \gtrsim 330 \text{ MeV}$

lattice calculations
with $m_\pi \gtrsim 330 \text{ MeV}$



Any surprises in chiral limit? at physical light quarks?

This Work: Lattice Setup

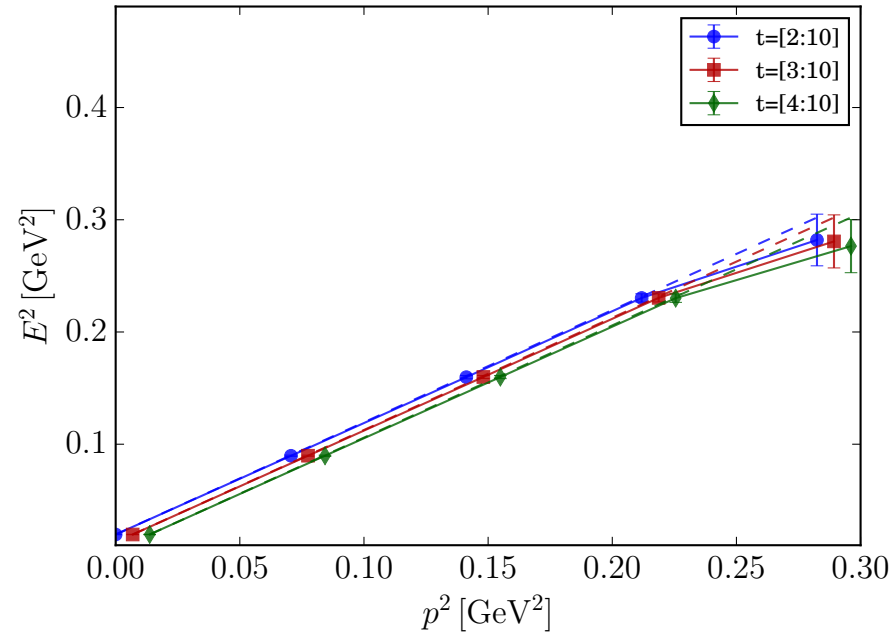
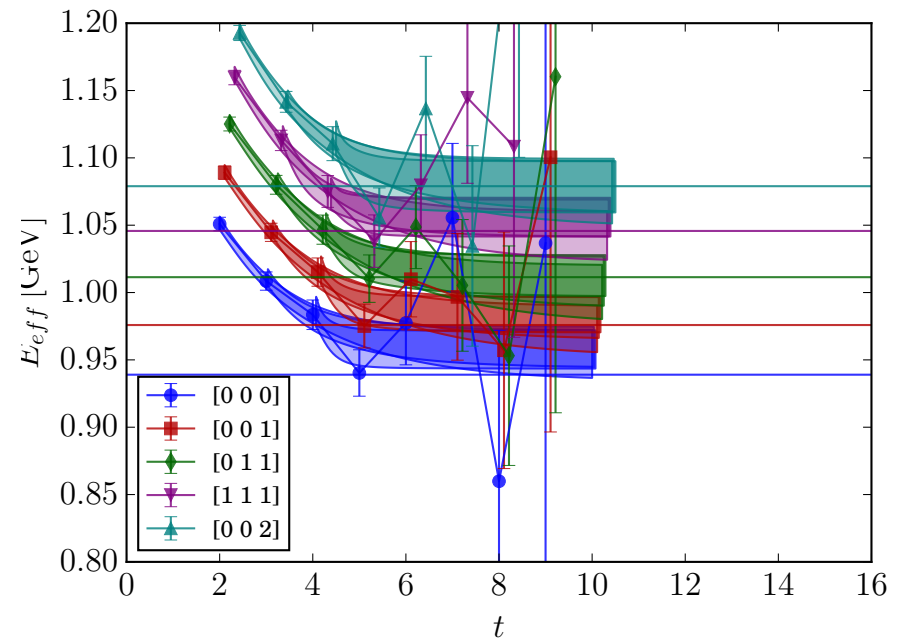
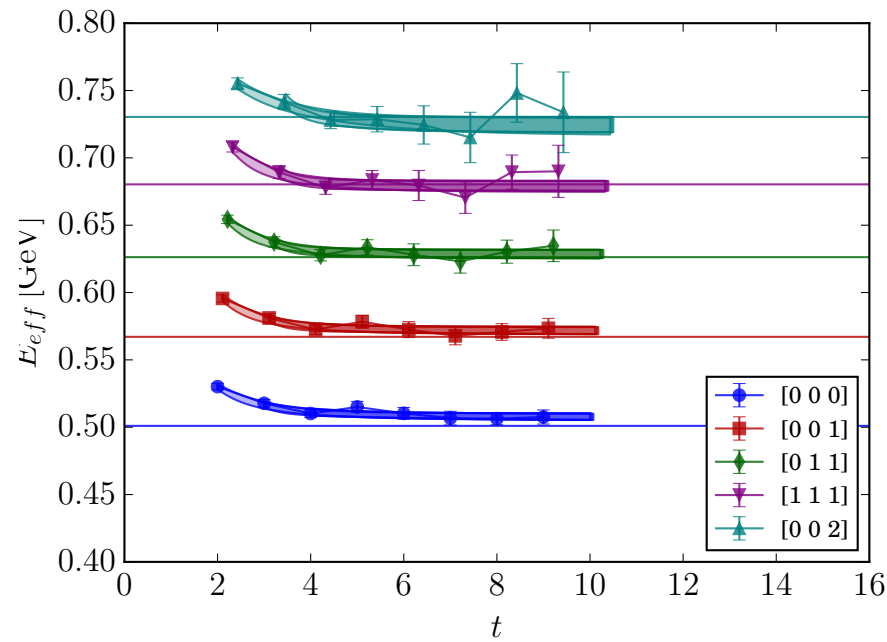
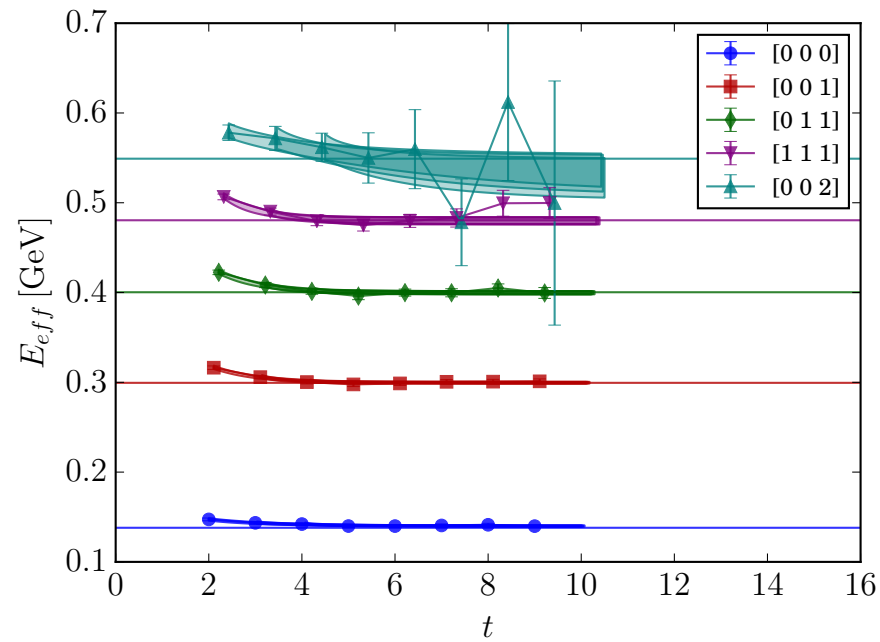
- Two ensembles: [32ID] $32^3 \times 64 (a=0.14 \text{ fm})$ and [24ID] $24^3 \times 64 (a=0.20 \text{ fm})$
- Iwasaki gauge action+ Dislocation-supp. det.ratio (DSDR)
- $N_f = 2+1$ Chirally-symmetric (Mobius-)Domain Wall fermion action with physical light and strange quark masses
- Multigrid deflation of z-Mobius operator + AMA
- "Direct" ($p \rightarrow \pi, K$ matrix elements) and "Indirect" ($p \rightarrow \text{vacuum} + \text{ChPT}$)
- Nonperturbative renormalization
- Two state-fit analysis of π, K, N spectrum and $p \rightarrow \pi, K$ matrix elements
- a^2 Continuum extrapolation

| | 24ID $24^3 \times 64$ | 32ID $32^3 \times 64$ |
|-----------------------|--------------------------|--------------------------|
| β | 1.633 | 1.75 |
| $a, \text{ fm}$ | 0.20 | 0.14 |
| $a^{-1}, \text{ GeV}$ | 1.02 | 1.37 |
| $m_\pi L$ | 3.4 | 3.3 |
| N_{conf} | 134 | 94 |
| N_{samp} | 4288 | 3008 |

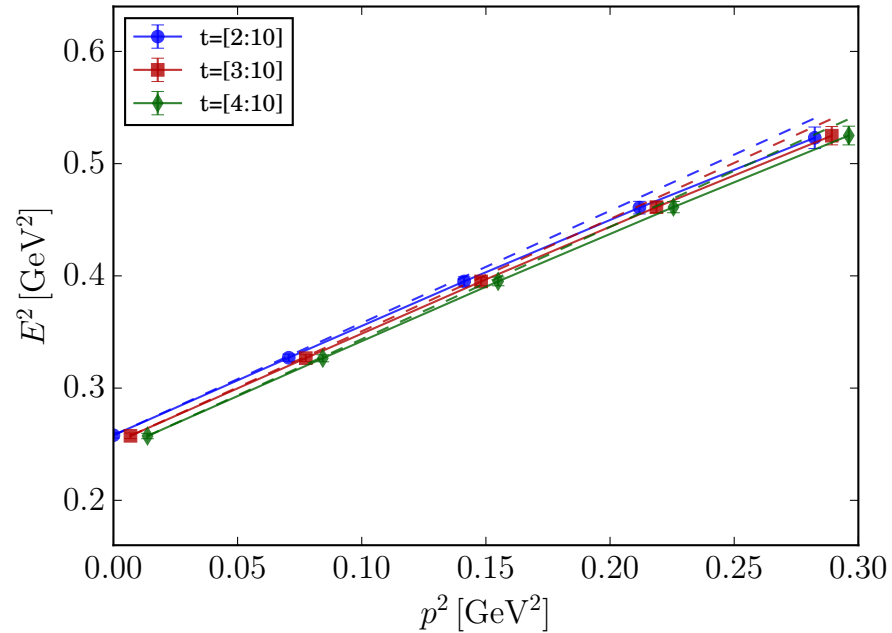
- three kinematic (Q^2) points to interpolate matrix elements to decay kinematic $Q^2 = -(m_{\bar{\ell}})^2$

| | \vec{n}_Π | \vec{n}_N | $Q^2 (\text{GeV}^2)$ | |
|-------|---------------|-------------|----------------------|--------|
| | | | (24c) | (32c) |
| π | [1 1 1] | [0 0 0] | 0.010 | -0.012 |
| | [1 1 1] | [0 1 0] | 0.113 | 0.095 |
| | [0 0 2] | [0 0 0] | -0.116 | -0.140 |
| K | [0 1 1] | [0 0 0] | -0.034 | -0.042 |
| | [0 1 1] | [0 1 0] | 0.058 | 0.056 |
| | [0 0 1] | [0 0 0] | 0.075 | 0.074 |

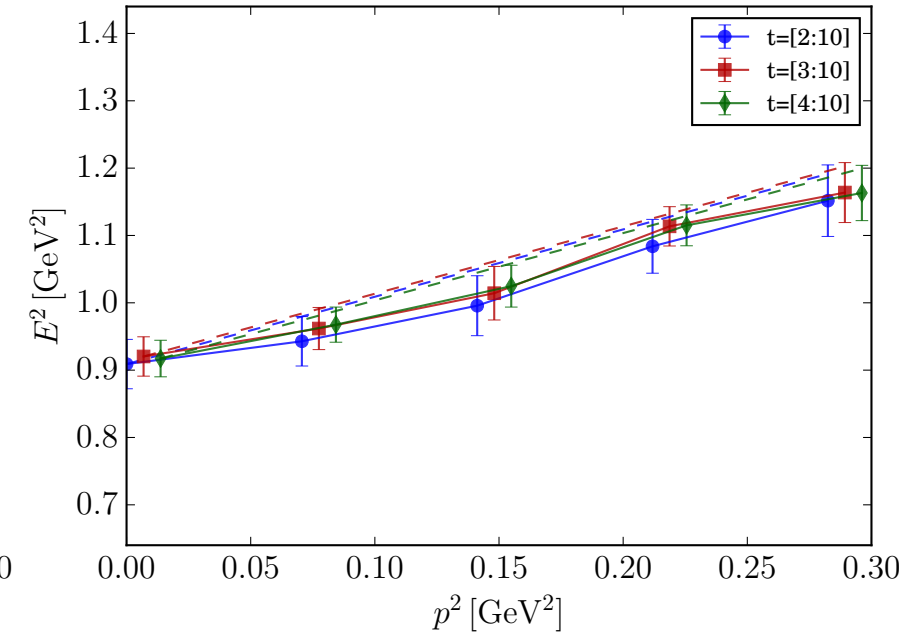
Proton and Meson Spectrum



pion



kaon



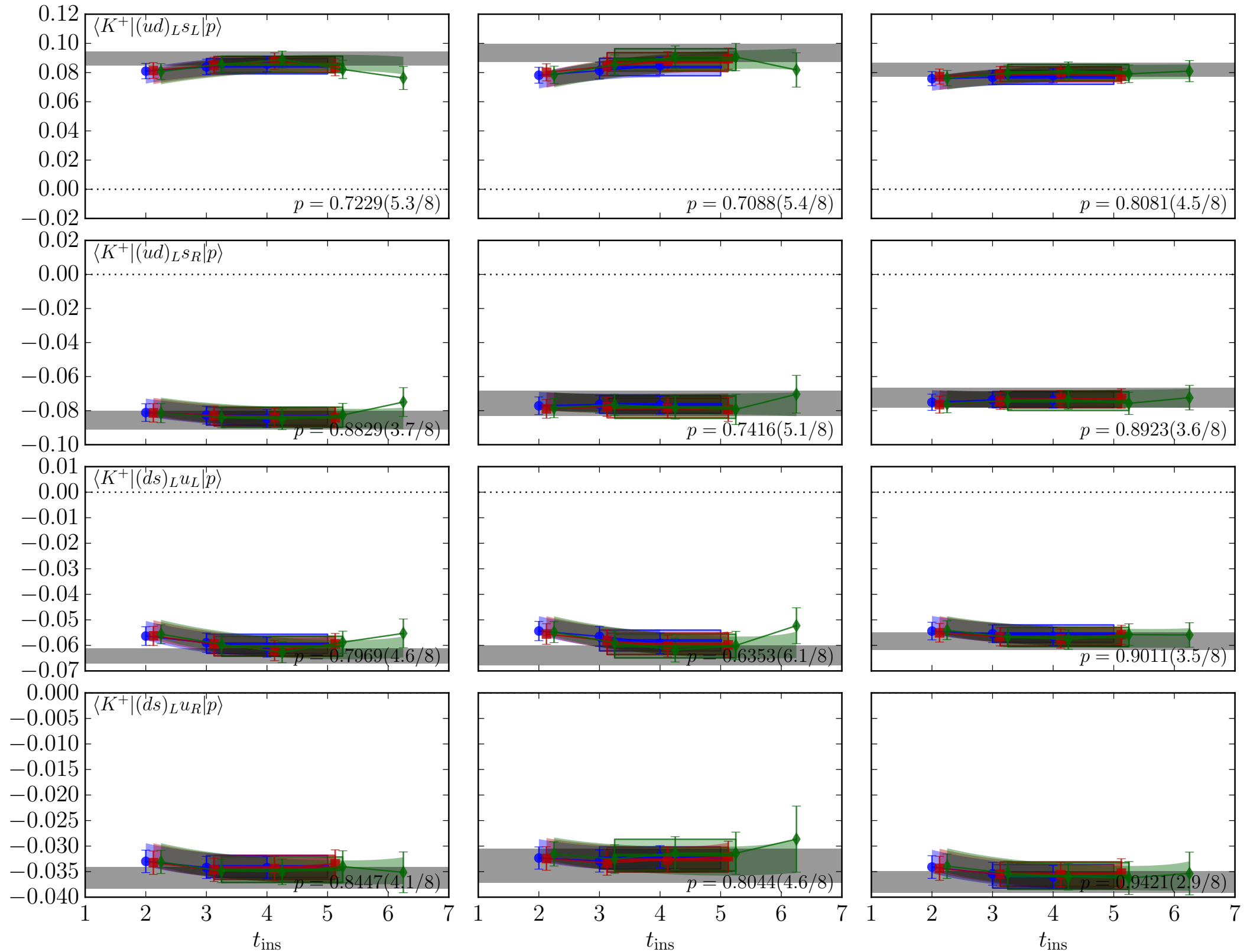
nucleon

- 24ID ensemble ($a=0.20$ fm)
- Two-state fits + priors from large- t_{\min} one-state fits

Extraction of Matrix Elements

32ID

W_0



- Two-state fits with energies fixed from spectrum fits: *no signs of excited-state sys.errors*

Nonperturbative Renormalization

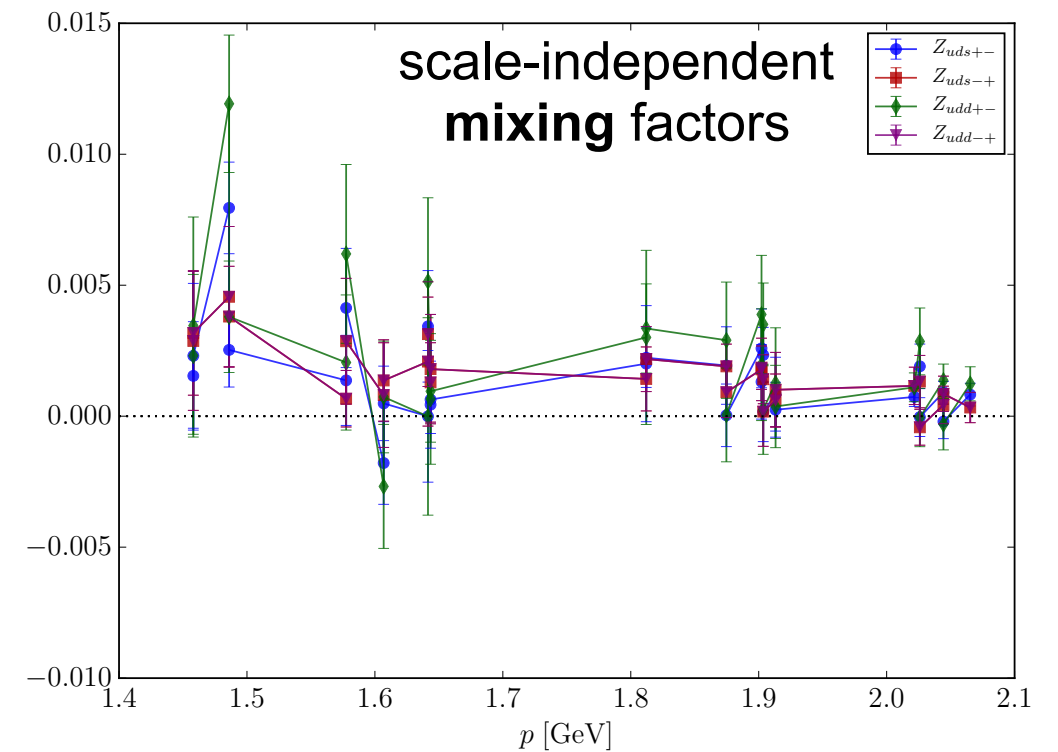
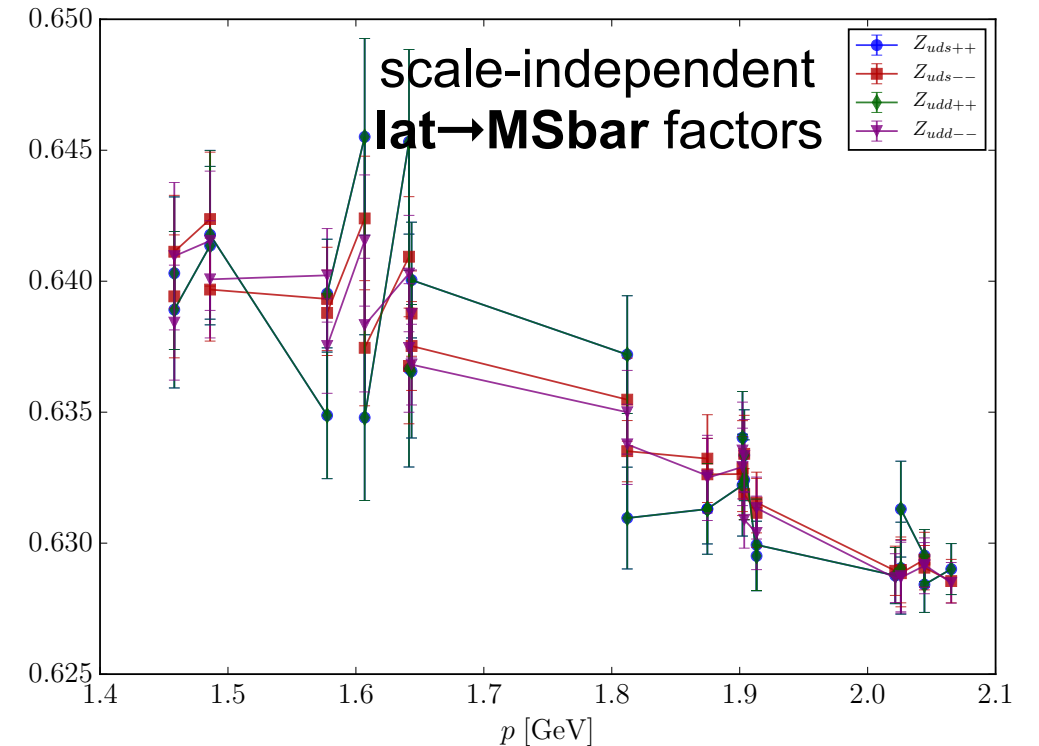
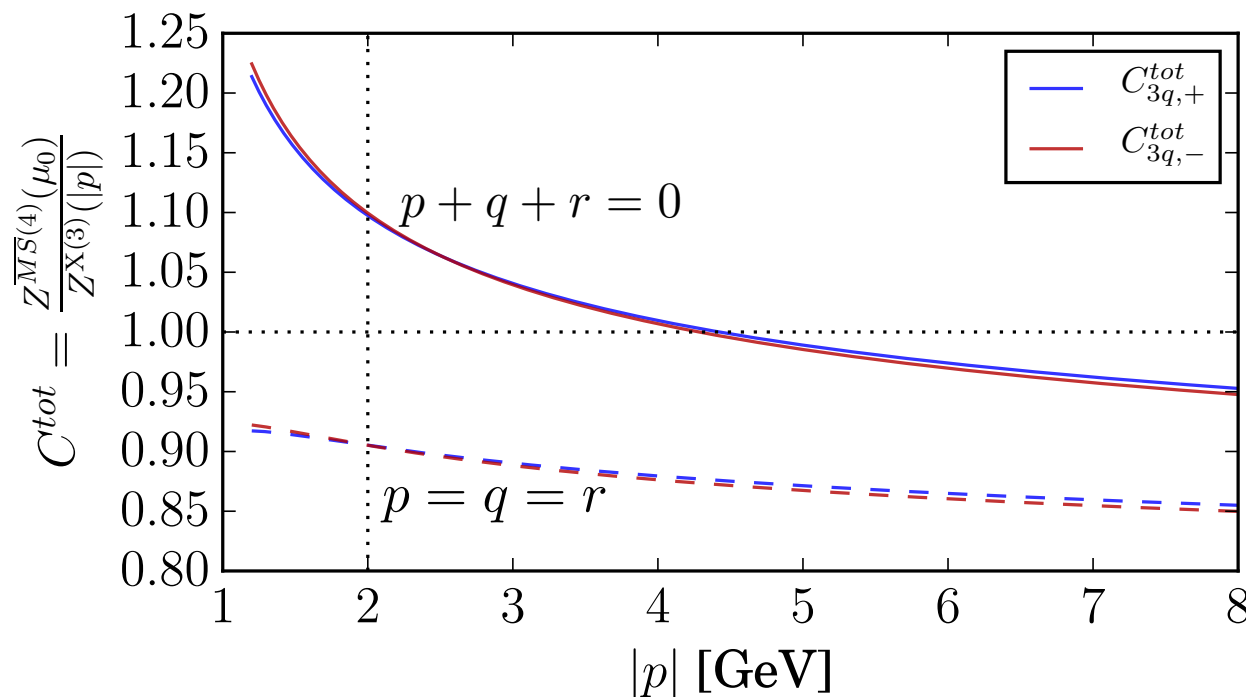
- symmetry-allowed mixing

| | $\mathcal{S} = -1$ | $\mathcal{S} = +1$ |
|--------------------|--------------------|--------------------|
| $\mathcal{P} = -1$ | SS, PP, AA | VV, TT |
| $\mathcal{P} = +1$ | SP, PS, AV | VA, TQ |

- symmMOM* scheme : $p+q+r = 0$, $p^2=q^2=r^2=\mu^2$

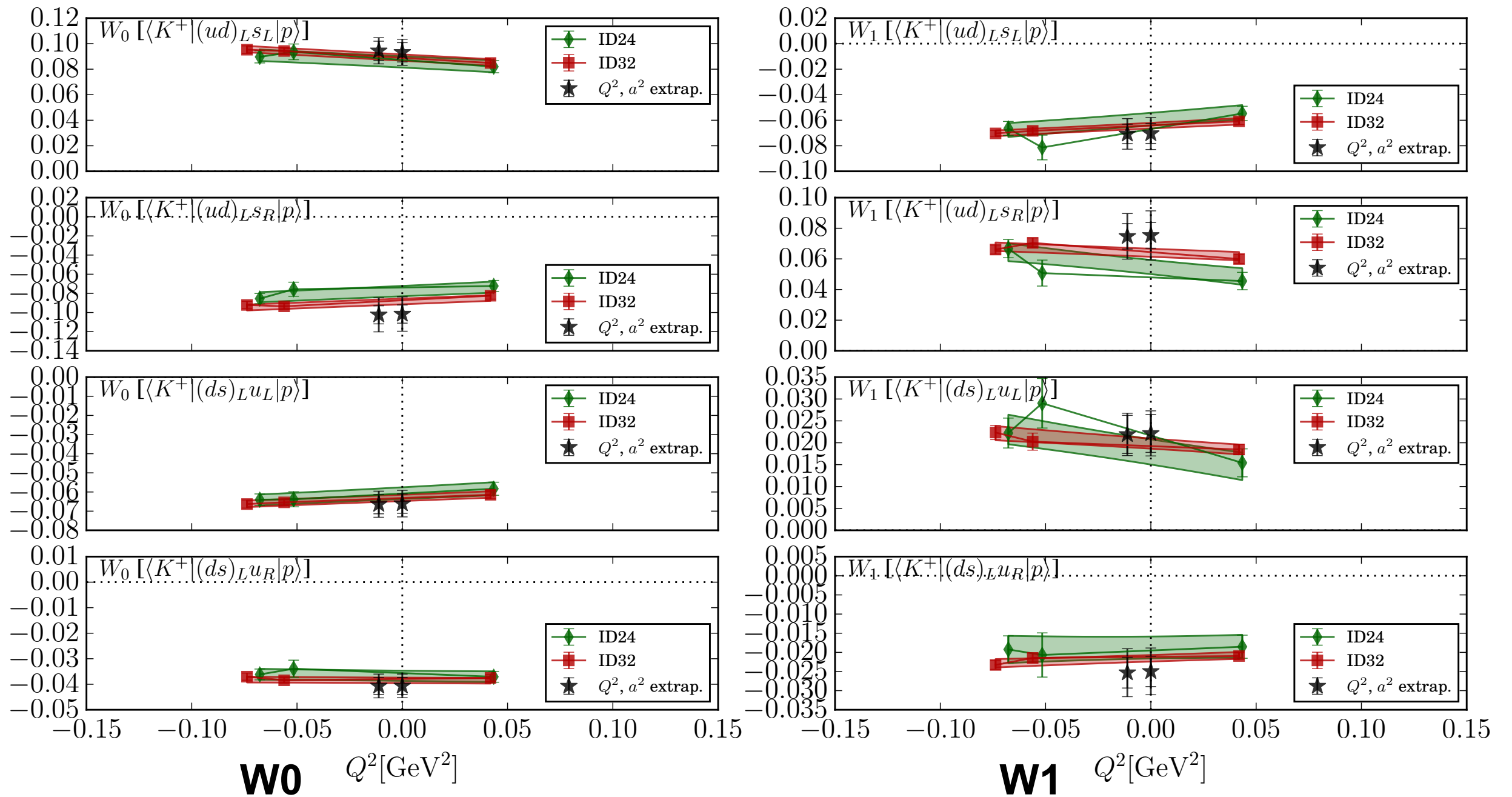
$$Z_{IK}^{3q}(\mu) \text{Proj}_J [\langle \bar{q}_1(p) \bar{q}_2(q) \bar{q}_3(r) \mathcal{O}_K^{3q} \rangle_{\text{amp}}] = \delta_{IJ}$$

- symmMOM*(p) \rightarrow MSbar(2 GeV)
perturbative conversion at $O(\alpha^3)$
[J.Gracey, JHEP09:052 (2012)]



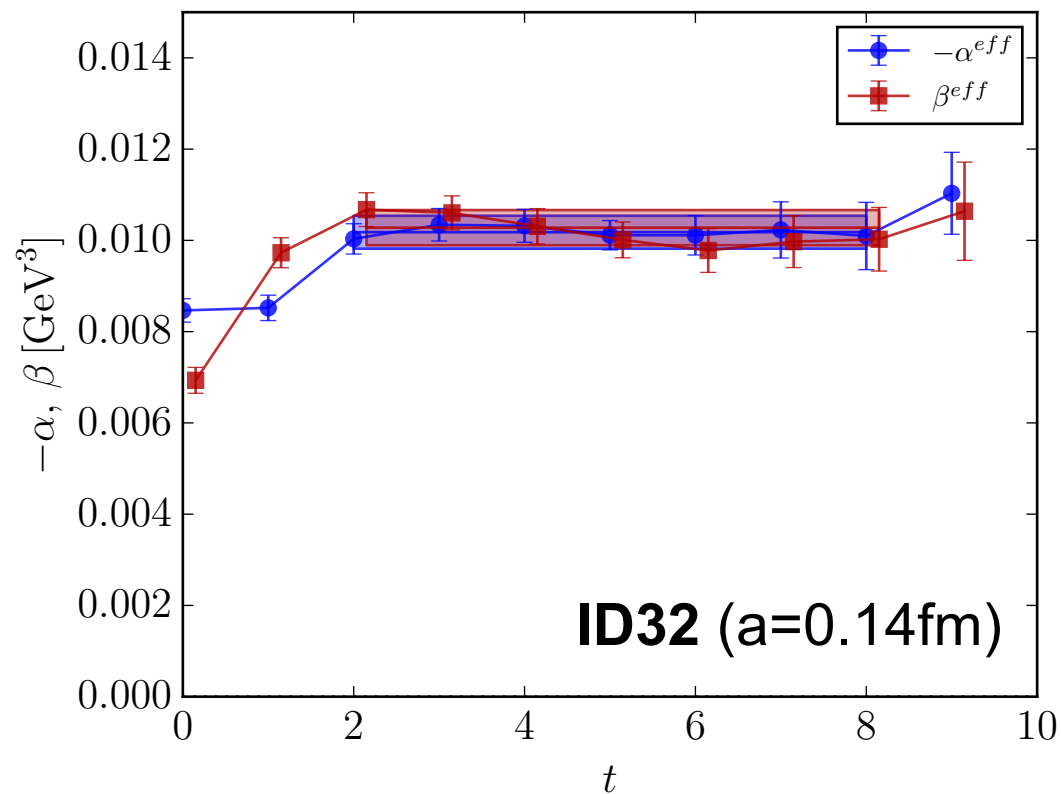
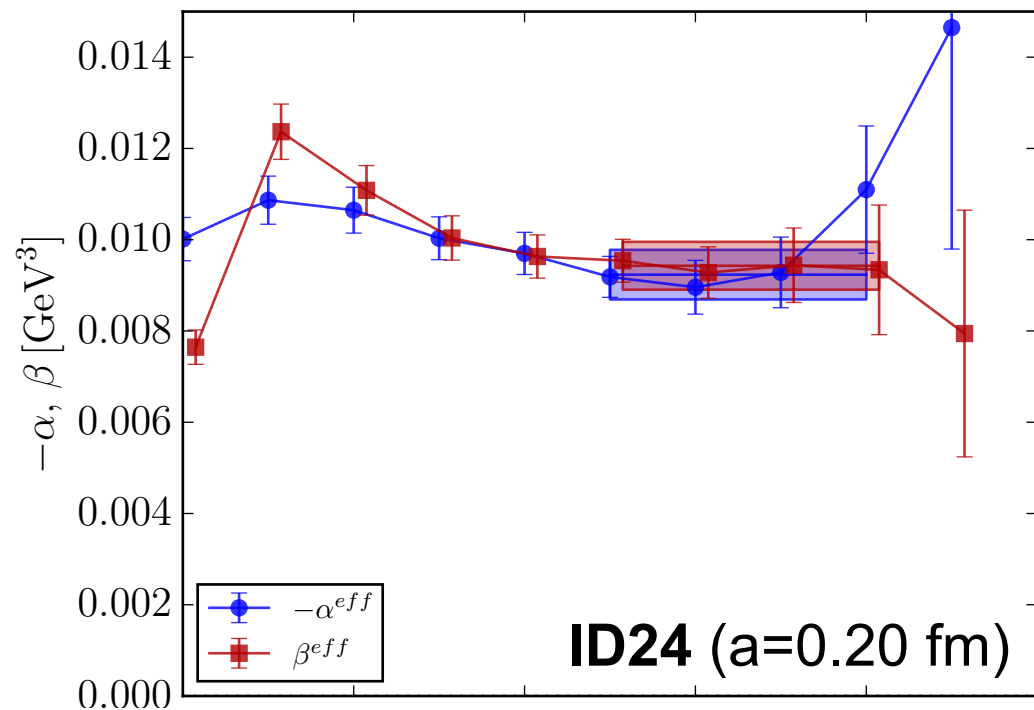
- chiral symmetry suppresses mixing of $L \rightleftharpoons R$ fields & operators

Momentum and Continuum Extrapolation



- linear momentum extrapolation $Q^2 \rightarrow m_e^2, m_\mu^2$ to the decay kinematics
- Continuum extrapolation $A(a^2) \sim (A_0 + A_2 a^2)$; *conservative* sys.error = $|A_0 - A_{[a=0.14\text{fm}]}|$

Proton Decay constants



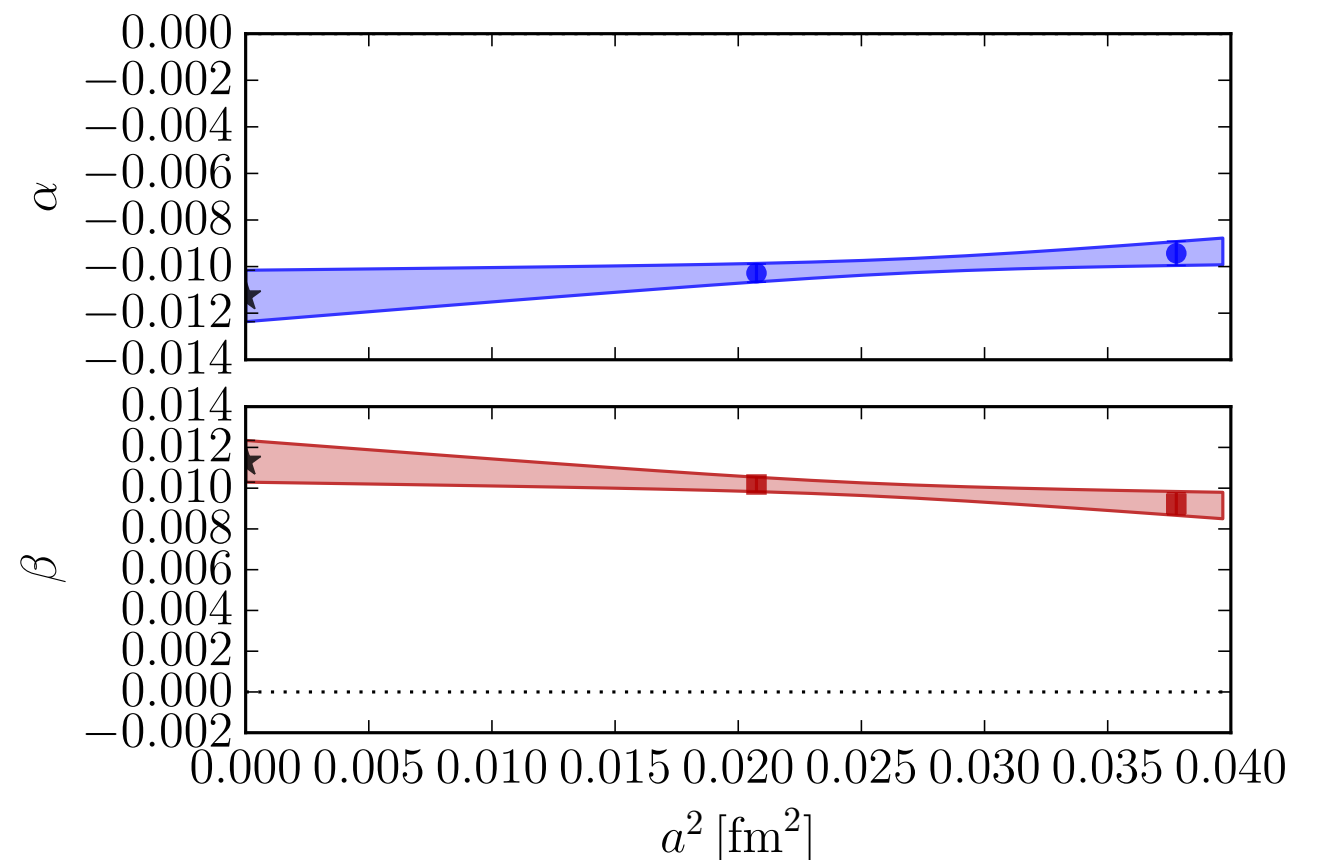
$$\langle \text{vac} | \epsilon^{abc} (\bar{u}^{aC} d^b)_R u_L^c | N \rangle = \alpha P_L U_N$$

$$\langle \text{vac} | \epsilon^{abc} (\bar{u}^{aC} d^b)_L u_L^c | N \rangle = \beta P_L U_N$$

- connected to $\langle \pi/K | O^{3q} | N \rangle$ by soft-pion theorem
- $(\alpha + \beta) = 0$ [within errorbars] implying

$$\epsilon^{abc} (\bar{u}^{aC} d^b) \gamma_5 u^c | N \rangle \stackrel{?}{\approx} 0$$

parity (−) (−) (+)



Proton Annihilation Amplitudes

LO ChPT proton decay amplitudes

$$\begin{aligned}
 \langle \pi^+ | (ud)_L u_L | p \rangle &= \frac{\beta}{f} (1 + D + F), \\
 \langle \pi^+ | (ud)_L u_R | p \rangle &= \frac{\alpha}{f} (1 + D + F), \\
 \langle K^0 | (us)_L u_L | p \rangle &= \frac{\beta}{f} \left(1 - (D - F) \frac{m_N}{m_B} \right), \\
 \langle K^0 | (us)_L u_R | p \rangle &= -\frac{\alpha}{f} \left(1 + (D - F) \frac{m_N}{m_B} \right), \\
 \langle K^+ | (us)_L d_L | p \rangle &= \frac{\beta}{f} \left(\frac{2D}{3} \frac{m_N}{m_B} \right) \\
 \langle K^+ | (us)_L d_R | p \rangle &= \frac{\alpha}{f} \left(\frac{2D}{3} \frac{m_N}{m_B} \right) \\
 \langle K^+ | (ud)_L s_L | p \rangle &= \frac{\beta}{f} \left(1 + \left(\frac{D}{3} + F \right) \frac{m_N}{m_B} \right), \\
 \langle K^+ | (ud)_L s_R | p \rangle &= \frac{\alpha}{f} \left(1 + \left(\frac{D}{3} + F \right) \frac{m_N}{m_B} \right), \\
 \langle K^+ | (ds)_L u_L | p \rangle &= -\frac{\beta}{f} \left(1 - \left(\frac{D}{3} - F \right) \frac{m_N}{m_B} \right), \\
 \langle K^+ | (ds)_L u_R | p \rangle &= \frac{\alpha}{f} \left(1 + \left(\frac{D}{3} - F \right) \frac{m_N}{m_B} \right),
 \end{aligned}$$

$$\langle \text{vac} | \epsilon^{abc} (\bar{u}^{aC} d^b)_R u_L^c | N \rangle = \alpha P_L U_N$$

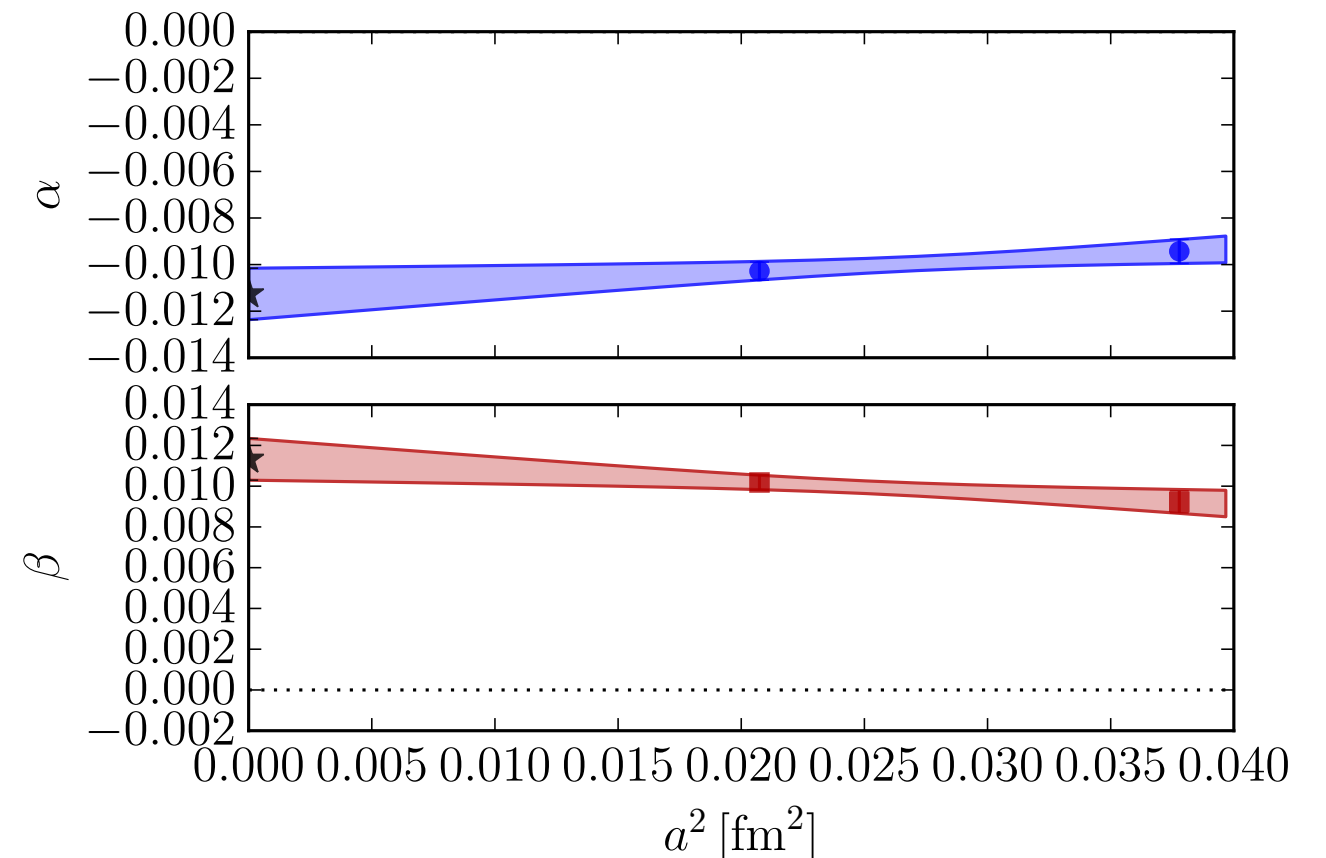
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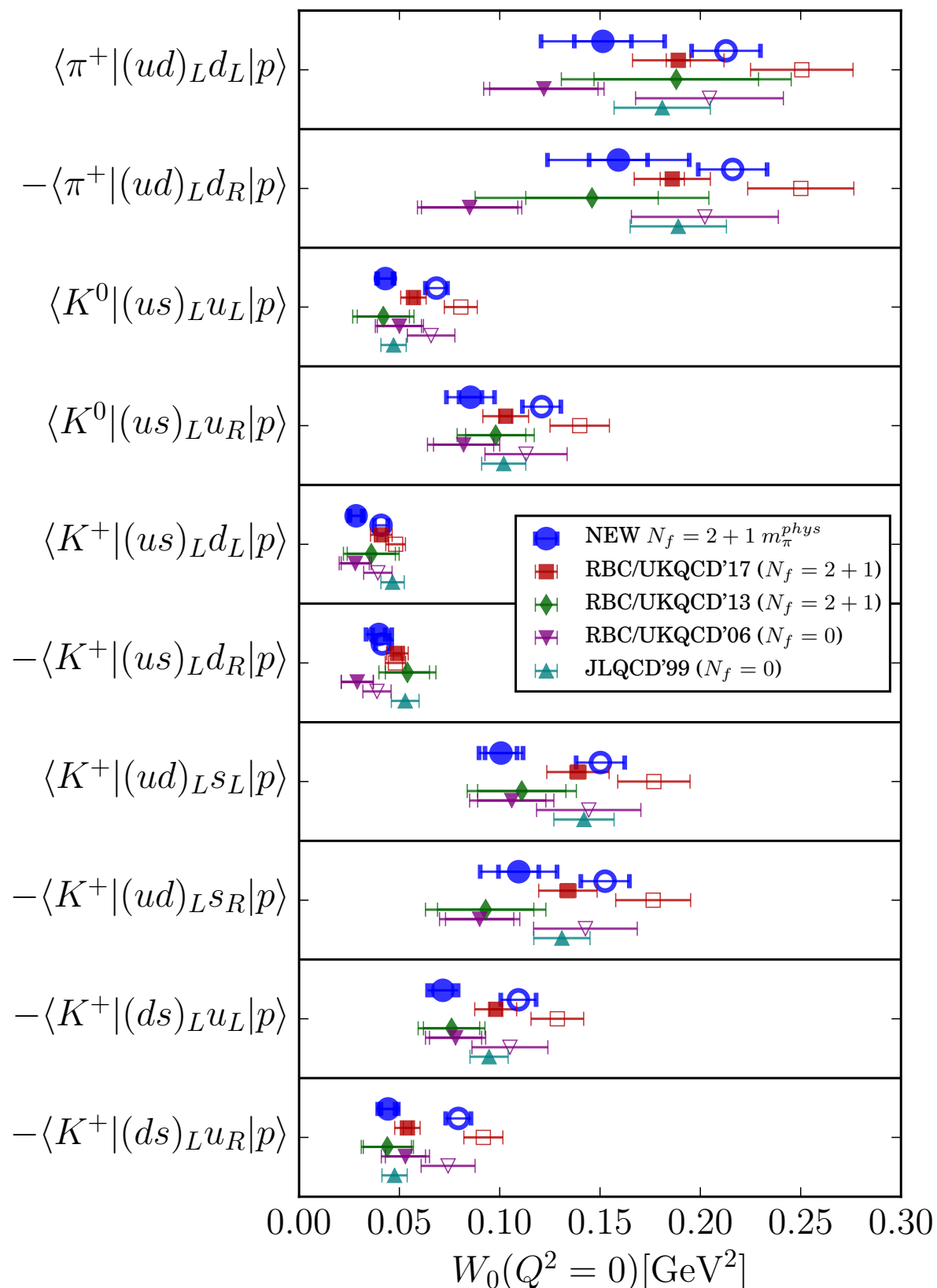
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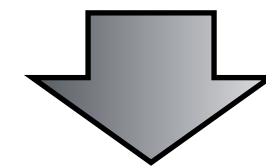


Comparison to Previous Work



- New results with **physical quarks**:
stat.+sys. uncertainty $\sim 10\text{-}20\%$
[J.Yoo, S.S., et al, arXiv:2111.01608]
- (No finite-volume check: $m_\pi \cdot L \sim 3.4$)
- (Coarse lattices $a = 0.14 \dots 0.20$ fm)
- physical-point results agree with
prev. calculations at $m_\pi \gtrsim 340$ MeV
[Y.Aoki et al (2000–2013)]

NO SUPPRESSION of nucleon decay
due to chiral skyrmion topology



*Protons are indeed **sensitive probes**
of Grand-Unified Theories*

Summary & Conclusions

- *Proton decay amplitudes at the physical point with chiral symmetric quarks and continuum extrapolation*
- *No topological suppression of nucleon decay found; limits on Grand-Unified Theories **stand***
- *Sys+Stat. precision $O(10-20\%)$; may be improved with more statistics, finer lattice spacing, finite-volume study*

BACKUP