

# Lattice Calculation of $\Delta m_K$

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December 13–17, 2021

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# $K^0 - \bar{K}^0$ mixing and $\Delta m_K$

$K^0 (S = -1)$  and  $\bar{K}^0 (S = +1)$ , each having definite strangeness, which is conserved in the strong processes, mix through second order weak interactions.

$$i \frac{d}{dt} \begin{pmatrix} K^0(t) \\ \bar{K}^0(t) \end{pmatrix} = (M - \frac{i}{2}\Gamma) \begin{pmatrix} K^0(t) \\ \bar{K}^0(t) \end{pmatrix}, \quad (1)$$

where the matrix  $M$  is given by:

$$M_{ij} = m_K^{(0)} \delta_{ij} + \mathcal{P} \sum_n \frac{\langle K_i^0 | H_W | n \rangle \langle n | H_W | K_j^0 \rangle}{m_K - E_n}, \quad (2)$$

If the small effects of CP violation are neglected, long-lived ( $K_L$ ) and short-lived ( $K_S$ ) are the two eigenstates:

$$K_S \approx \frac{K^0 - \bar{K}^0}{\sqrt{2}}, \quad K_L \approx \frac{K^0 + \bar{K}^0}{\sqrt{2}}. \quad (3)$$

$$\Delta m_K \equiv m_{K_L} - m_{K_S} = 2\text{Re}M_{12}. \quad (4)$$

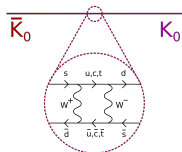


Figure: from wikipedia

Different life times:

$$\begin{aligned} K_S &\xrightarrow[\text{even}]{\text{CP}} \pi\pi, \\ 2m_\pi &\approx 280\text{MeV} < m_K \\ K_L &\xrightarrow[\text{odd}]{\text{CP}} \pi\pi\pi, \\ 3m_\pi &\approx 420\text{MeV} \lesssim m_K \end{aligned}$$

$\Delta m_K$  is given by:

$$\Delta m_K \equiv m_{K_L} - m_{K_S} = 2\text{Re}M_{12} = 2\mathcal{P} \sum_n \frac{\langle \bar{K}^0 | H_W | n \rangle \langle n | H_W | K^0 \rangle}{m_K - E_n}. \quad (5)$$

- This quantity is:

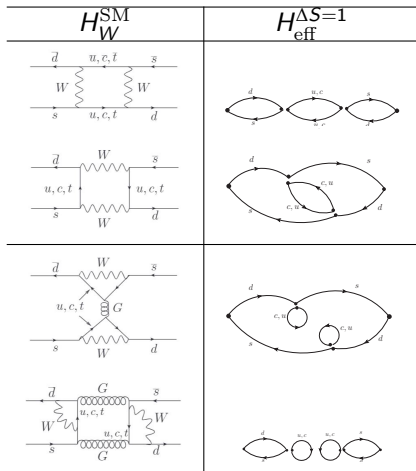
- ① **Tiny** compared to the  $K^0$  mass  $\sim 498$  MeV, and precisely measured

$$\Delta m_{K,\text{exp}} = 3.483(6) \times 10^{-12} \text{ MeV}$$

- ② **Sensitive to new physics:** FCNC via 2nd order weak interaction
- ③ Significant contribution from scale of  $m_c$  (GIM mechanism)
- ④ **Difficult to compute by treating charm quark perturbatively:** strong coupling at  $m_c$  scale

J. Brod and M. Gorbahn, Phys. Rev. Lett. 108, 121801 (2012)

# Non-perturbative calculation of $\Delta m_K$ using a renormalization scale above the charm quark mass



- $\text{Re}(\text{X})_{\text{GIM}} \simeq \lambda_u^2 (\text{X})^{(u-c)(u-c)}$  [arXiv:1402.2577]

- The  $\Delta S = 1$  effective weak Hamiltonian:

$$H_{\text{eff}}^{\Delta S=1} = \frac{G_F}{\sqrt{2}} \sum_{q,q'=u,c} V_{qd} V_{q's}^* (C_1 Q_1^{qq'} + C_2 Q_2^{qq'}) \quad (6)$$

where the  $Q_i^{qq'}$   $i=1,2$  are current-current operators, defined as:

$$Q_1^{qq'} = (\bar{s}_i \gamma^\mu (1 - \gamma^5) d_i) (\bar{q}_j \gamma^\mu (1 - \gamma^5) q'_j)$$

$$Q_2^{qq'} = (\bar{s}_i \gamma^\mu (1 - \gamma^5) d_j) (\bar{q}_j \gamma^\mu (1 - \gamma^5) q'_i)$$

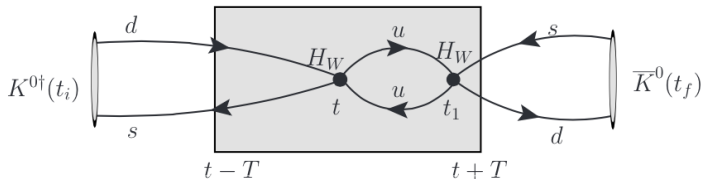
# Extract $\Delta m_K$ from single-integrated correlators

- The single-integrated correlator is defined as:

$$\mathcal{A}^s(t, T) \equiv \frac{1}{2!} \sum_{t_1=t-T}^{t+T} \langle 0 | T \{ \overline{K^0}(t_f) H_W(t_1) H_W(t) K^0(t_i) \} | 0 \rangle \quad (7)$$

- If we insert a complete set of intermediate states, we find:

$$\mathcal{A}^s = N_K^2 e^{-m_K(t_f-t_i)} \sum_n \frac{\langle \overline{K^0} | H_W | n \rangle \langle n | H_W | K^0 \rangle}{m_K - E_n} (-1 + e^{(m_K - E_n)(T+1)}) \quad (8)$$



# Status of the calculation

- " $K_L - K_S$  mass difference from Lattice QCD"

Z. Bai, N. H. Christ, T. Izubuchi, C. T. Sachrajda, A. Soni and J. Yu

Phys. Rev. Lett. 113(2014), 112003

All diagrams included on a  $24^3 \times 64$  lattice with unphysical masses

- "The  $K_L - K_S$  mass difference"

Z. Bai, N. H. Christ, C. T. Sachrajda

EPJ Web of Conferences 175(2018), 13017

All diagrams included on a  $64^3 \times 128$  lattice with physical masses on 59 configurations:  $\Delta m_K = 5.5(1.7)_{\text{stat}} \times 10^{-12}$  MeV.

- "Calculation of the  $K_L - K_S$  mass difference for physical quark masses"

B. Wang

PoS LATTICE2019 (2019) 093

All diagrams included on a  $64^3 \times 128$  lattice with physical masses on 152 configurations:  $\Delta m_K = 6.7(0.6)_{\text{stat}}(1.7)_{\text{sys}} \times 10^{-12}$  MeV.

- In this talk I will present the most recent  $\Delta m_K$  results and address studies performed on smaller lattices to estimate the systematic errors in our result.

# $\Delta m_K$ calculation with physical quark masses

- $64^3 \times 128 \times 12$  lattice with Möbius DWF and the Iwasaki gauge action with physical pion mass (136 MeV).

Lattice ensemble	Action (F+G)	$a^{-1}$ (GeV)	Lattice Volume	$\beta$	b+c	$L_s$	$m_l$	$m_h$	$m_{\text{res}}$
64l	MDWF+I	2.359(7)	$64^3 \times 128 \times 12$	2.25	2.0	12	0.000678	0.02661	0.000314

- Data analysis:

- Sample AMA correction: [Phys. Rev. D88(9), 094503 (2013)]

data type	CG stop residual
Sloppy	$1e-4$
Exact	$1e-8$

Diagram types	sample AMA correction	# of Sloppy	# of Exact
Type-3&4	Y	116	36
Type-1&2	N	0	36

The super-jackknife method is used to estimate the statistical errors for the AMA corrected data.

- Disconnected Type4 diagrams:  
save left- and right-pieces separately and use multiple source-sink separation for fitting.



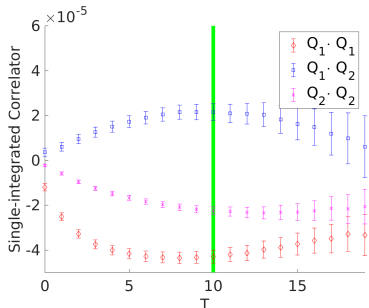
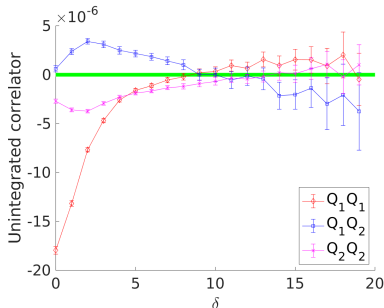
# Calculation of $\Delta m_K$ using single-integrated correlators

- Subtract light states from the averaged unintegrated correlator:

$$\tilde{G}_{ij}^{\text{sub}}(\delta) = \tilde{G}_{ij}(\delta) - \sum_{n \in \{n_l\}} \langle \bar{K}^0 | Q'_i | n \rangle \langle n | Q'_j | K^0 \rangle e^{(m_K - E_n)\delta} \quad (9)$$

- Perform a single-integration over  $\delta$  for the subtracted correlator between  $\delta = 0$  and  $\delta = T$  to obtain:

$$\tilde{\mathcal{A}}_{ij}^S(T) = \sum_{\delta=1}^T \tilde{G}_{ij}^{\text{sub}}(\delta) + \frac{1}{2} \tilde{G}_{ij}^{\text{sub}}(0) \quad (10)$$



# Results for $\Delta m_K$ **preliminary**

- We choose to use the results from the single-integration method:

Analysis method	$\Delta m_K / 10^{-12} \text{MeV}$	$\Delta m_K (\text{type1\&2})$	$\Delta m_K (\text{type3\&4})$
Double-integration	6.31(0.98)	6.71(0.48)	-0.20(0.65)
Single-integration	<b>6.34(0.57)</b>	6.24(0.24)	0.33(0.50)

- Systematic errors:

- **Finite-volume corrections:** **small**

"Effects of finite volume on the  $K_L - K_S$  mass difference"

N.H. Christ, X. Feng, G. Martinelli and C.T. Sachrajda, arXiv:1504.01170

$$\delta(\Delta m_K)^{FV} = -0.54(18) \times 10^{-12} \text{MeV}.$$

- **Discretization effects** are the **largest** source of systematic error:

- effects from low-energy scale  $\sim \Lambda_{\text{QCD}}$
- heavy charm quark,  $\sim (m_c a)^2$  gives **25%**
- Another estimate based on HVP calculation is  $\sim$  **15%**

# Finite lattice spacing effects: scaling tests **preliminary**

- Scaling tests: perform calculations of three- and four-point quantities on two lattices with different lattice spacings. We need a coarser lattice to be compared with a finer lattice.
  - **64l(2.4 GeV)**  $\leftrightarrow$  96l(2.8 GeV)      Hard to do
  - **24l(1.8 GeV)**  $\leftrightarrow$  32l(2.4 GeV)      ✓
- We would like to see how large the discrepancy is
  - at a relatively small  $m_c a$ , which shows the finite lattice spacing effects from low-energy scale  $\sim \Lambda_{\text{QCD}}$ .
  - **at a relatively large  $m_c a \sim 0.32$ , which corresponds to the physical mass in our calculation on the 64l ensemble.**

Lattice name	Action (F+G)	$a^{-1}$ (GeV)	Lattice Volume	$\beta$	b+c	$L_s$	$m_l$	$m_h$	$m_{\text{res}}$
24l	DWF+I	1.785(5)	$24^3 \times 64 \times 16$	2.13	1.0	16	0.0050	0.0400	0.00308
32l	DWF+I	2.383(9)	$32^3 \times 64 \times 16$	2.25	1.0	16	0.0040	0.0300	0.000664

# Finite lattice spacing effects: scaling tests **preliminary**

- Set up input masses to keep physics consistent on the two ensembles:

Lattice	$m_x$	$m_y$	$m_\pi$	$m_K$	$m_c$ 's
24l	0.00667	0.0321	0.2079	0.3125	0.15:0.05:0.35
32l	0.00649	0.0249	0.1557	0.2332	$(0.15:0.05:0.35) \frac{1.785}{2.383}$

- Quantities to be compared are:

- 2-point:

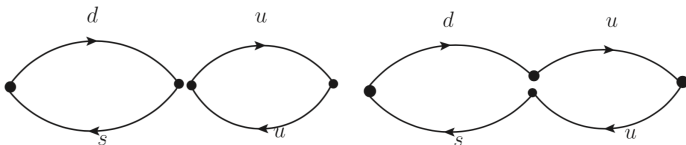
- $m_\pi, m_K \rightarrow$  confirm the valence masses yield consistent physical values.

Lattice	$N_{\text{conf}}$	$m_\pi/\text{MeV}$	$m_K/\text{MeV}$
24l	186	371.3(7)	556.2(7)
32l	222	371.4(6)	557.5(6)

- $m_D \rightarrow$  to calculate  $m_c(m_D)$  to match the physics.
- 3-point figure-8 diagrams: light charm which has degenerate mass with  $m_u$   
 $\langle \pi | Z_{(84,1)} Q_+ | K^0 \rangle, \langle \pi | Z_{(20,1)} Q_- | K^0 \rangle,$
- 4-point single-integrated correlators (connected diagrams only) with  $m_c$  dependency:

Integrated correlators: with operator combinations:  $Q_+ Q_+$  and  $Q_- Q_-$

# Scaling test - three-point figure-8 results **preliminary**



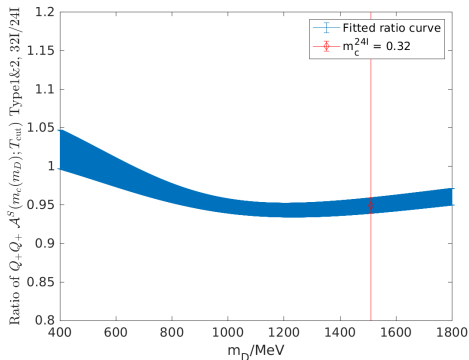
$\mu/\text{GeV}$	Op.	Z factors		Matrix elements in physical Unit		Scaling violation
		32l ( $a^{-1}=2.38\text{GeV}$ )	24l ( $a^{-1}=1.78\text{GeV}$ )	32l ( $a^{-1}=2.38\text{GeV}$ )	24l ( $a^{-1}=1.78\text{GeV}$ )	
2.15	$Q_+$	0.52997(11)	0.47143(8)	0.003957(18)	0.004045(18)	-2.19 %
	$Q_-$	0.58755(14)	0.57493(26)	0.011949(65)	0.009936(59)	<b>18.39 %</b>
2.64	$Q_+$	0.52489(6)	0.46996(6)	0.003919(18)	0.004032(18)	-2.84 %
	$Q_-$	0.60358(11)	0.58239(11)	0.012275(67)	0.010065(60)	<b>19.78 %</b>

**Table:** The Z factors of NPR in  $(\gamma_\mu, \gamma_\mu)$  scheme and  $\langle \pi | Q_\pm | K^0 \rangle$  (figure-8 only) in physical units on the two lattice ensembles and different scale  $\mu$ .

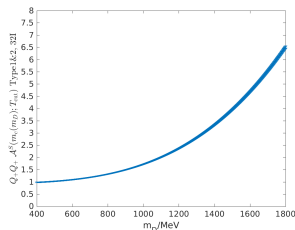
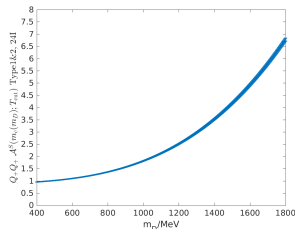
Comment:  $K \rightarrow \pi\pi$  matrix elements,  $O \in (8,8)$  irrep also show similarly large finite lattice spacing errors. [\[PhysRevD.91.07450\]](#)

# Scaling test - four-point $Q_+Q_+$ single-integrated correlators

preliminary

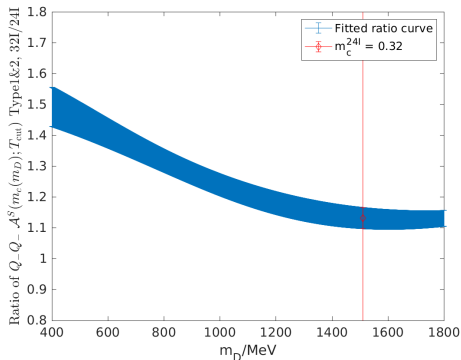


- at low  $m_D$  values, the ratio is consistent with 1.
- at  $m_c a = 0.32$ , which corresponds to the physical charm mass on 64l, the scaling violation is about 5%.

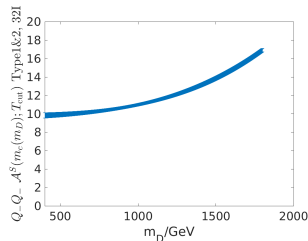
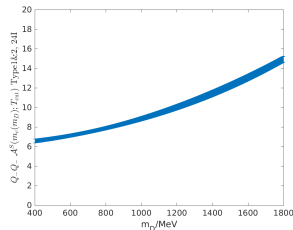


# Scaling test - four-point $Q-Q$ single-integrated correlators

preliminary



- at low  $m_D$  values, the ratio is about 1.45. The scaling violation:  $\sim 40\%$ .
- at  $m_c a = 0.32$ , the scaling violation:  $\sim 14\%$ .



# Summary of the scaling tests **preliminary**

Quantities compared between the two lattice spacings are:

- 2-point:
  - $m_\pi, m_K$  ✓
  - $m_D$  ✓
- 3-point: light charm which has degenerate mass with  $m_u$ :
  - $\langle \pi | Z_{(84,1)} Q_+ | K^0 \rangle$  ✓      3% difference
  - $\langle \pi | Z_{(20,1)} Q_- | K^0 \rangle$  !      20% difference

Comment:  $K \rightarrow \pi\pi$  matrix elements  $\in (8,8)$  irrep also show similarly large finite lattice spacing errors. [[PhysRevD.91.07450](#)].
- 4-point (connected only) with  $m_c$  dependency:
  - single-integrated correlators: with operators
  - $Z_{(84,1)}^2 Q_+ Q_+$  ✓      5% difference
  - $Z_{(20,1)}^2 Q_- Q_-$  !      40% difference

**We estimate the finite lattice spacing error in our  $\Delta m_K$  calculation to be of order of 40%.**



# Conclusion and outlook

- Our **preliminary** result for  $\Delta m_K$  based on 152 configurations is:

$$\Delta m_K = 5.8(0.6)_{\text{stat}}(2.3)_{\text{sys}} \times 10^{-12} \text{MeV}, \quad (11)$$

to be compared to the experimental value:

$$(\Delta m_K)^{\text{exp}} = 3.483(6) \times 10^{-12} \text{MeV}. \quad (12)$$

We find reasonable agreement given the large finite lattice spacing errors.

- Outlook:

Future calculations:

- $\Delta m_K$ : on  $96^3 \times 192$  lattice with  $a^{-1} = 2.8 \text{ GeV}$ 
  - Better estimate of finite lattice spacing effect:  
**64l(2.4 GeV)**  $\leftrightarrow$  96l(2.8 GeV)      continuum limit to be explored
  - Further improvement of the precision to  $\sim 5\%$  level.
- long-distance  $\epsilon_K$ : Joe Karpie, improve the accuracy of  $\epsilon_K$  to sub-percent level.

*Thanks for your attention!*

# Backup slides

# $K^0 - \bar{K}^0$ mixing and $\Delta m_K$

Two particles:  $K^0$ : ( $d\bar{s}$ ,  $S = -1$ ) and  $\bar{K}^0$  ( $\bar{d}s$ ,  $S = +1$ ).

- Strong interactions: conserve strangeness
- Weak interactions:  $H_W$  changes strangeness,  $\Delta S = 1$ .

Second-order weak process:  $K^0 \leftrightarrow \bar{K}^0$

$$i \frac{d}{dt} \begin{pmatrix} K^0(t) \\ \bar{K}^0(t) \end{pmatrix} = (M - \frac{i}{2}\Gamma) \begin{pmatrix} K^0(t) \\ \bar{K}^0(t) \end{pmatrix}. \quad (13)$$

If the small effects of CP violation are neglected, long-lived ( $K_L$ ) and short-lived ( $K_S$ ) are the two eigenstates:

$$K_S \approx \frac{K^0 - \bar{K}^0}{\sqrt{2}}, \quad K_L \approx \frac{K^0 + \bar{K}^0}{\sqrt{2}}. \quad (14)$$

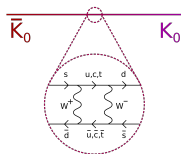


Figure: figure from wikipedia

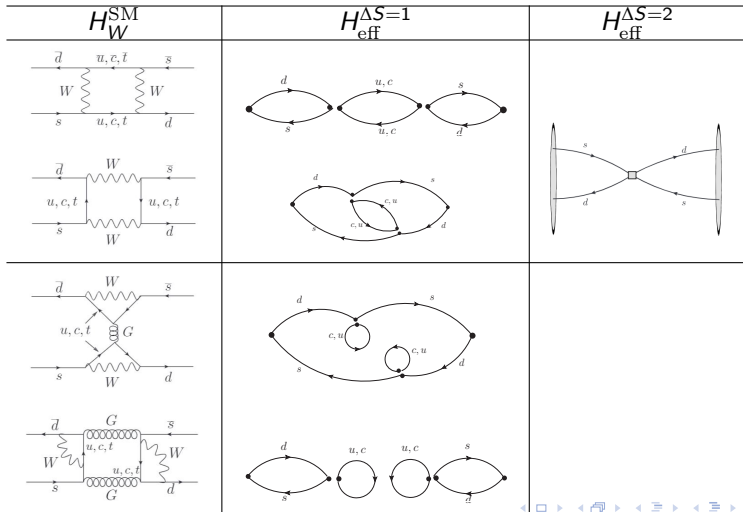
Different life times:

$$\begin{aligned} K_S &\xrightarrow[\text{even}]{\text{CP}} \pi\pi, \\ 2m_\pi &\approx 280\text{MeV} < m_K \\ K_L &\xrightarrow[\text{odd}]{\text{CP}} \pi\pi\pi, \\ 3m_\pi &\approx 420\text{MeV} \lesssim m_K \end{aligned}$$

# The operator product expansion(OPE) and $\Delta m_K$

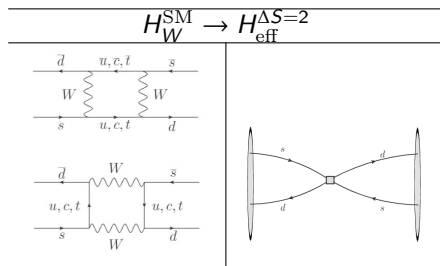
OPE: full theory  $H_W \xrightarrow[\text{heavy particles}]{\text{integrate out}} H_{\text{eff}} = \sum_j C_j(\mu) O_j(\mu)$ , renormalized at scale  $\mu$

$C_j(\mu)$ : short-distance, perturbative;  $O_j(\mu)$ : long-distance, non-perturbative



# Earlier calculations of $\Delta m_K$ : charm quark is integrated out

The specific division  $\mu < m_c$  in OPE where charm quark is integrated out.  
 short-distance box only:                      leaving out:



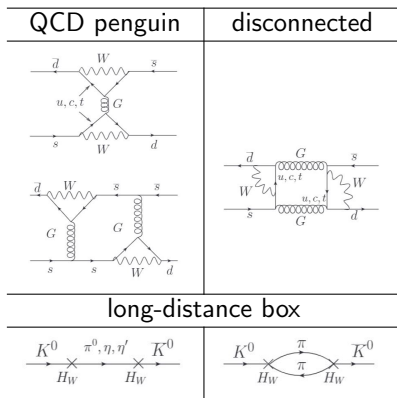
$$H_{\text{eff}}^{\Delta S=2} = C(\mu) O_{LL}(\mu), \quad (15)$$

$$O_{LL} = (\bar{s}d)_{V-A}(\bar{s}d)_{V-A}, \quad (16)$$

Only 36% accuracy in the next-to-next-to-leading-order(NNLO) calculation of the QCD correction factors using perturbation theory: **slow convergence of the perturbative series**

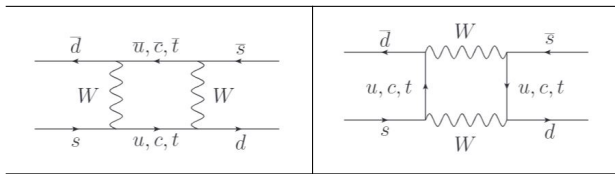
J. Brod and M. Gorbahn, Phys. Rev. Lett. 108, 121801 (2012)

→ better to treat charm quark non-perturbatively on the lattice



# GIM mechanism and the short- and long-distance characteristics of $\Delta m_K$

GIM mechanism: flavor-changing neutral currents(FCNC) are suppressed in loop diagrams  $\rightarrow$  charm quark  $\rightarrow$  the CKM matrix



- Quark mixing: at each weak vertex  
 $\rightarrow$  a product of CKM matrix elements  $V_{qd}V_{q's}^*$ , where  $q, q' = u, c, t$ .
- Define  $\lambda_q = V_{q,d}V_{q,s}^*$ ,  $q = u, c, t$ ,  
 unitarity of the CKM matrix  $\rightarrow \lambda_u + \lambda_c + \lambda_t = 0 \rightarrow \lambda_c = -\lambda_u - \lambda_t$

- Specific diagram with GIM mechanism:

$$\textcircled{X}_{GIM} = \lambda_u^2 \textcircled{X}^{(u-c)(u-c)} + \lambda_t^2 \textcircled{X}^{(t-c)(t-c)} + 2\lambda_u\lambda_t \textcircled{X}^{(u-c)(t-c)}$$

- For  $\Delta m_K = 2\text{Re}M_{12}$ , the first term dominates.

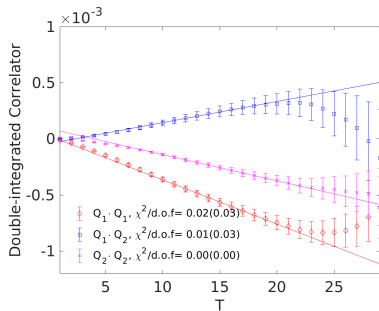
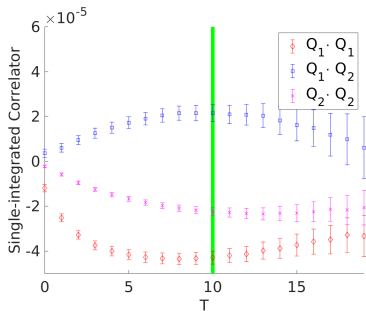
# Overview of the calculation of $\Delta m_K$

Quantities to be calculated are:

- two-point correlation functions:
  - meson masses:  $m_\pi$ ,  $m_K$ ,  $m_{\pi\pi}$ ,  $m_\eta$
  - normalization factors of meson interpolating operators:  $N_\pi$ ,  $N_K$ ,  $N_{\pi\pi}$ ,  $N_\eta$
- three-point correlation functions:
  - light state matrix elements to be subtracted:  
 $\langle \pi | Q'_i | K^0 \rangle = \langle \pi | Q_i | K^0 \rangle - c_{si} \langle \pi | \bar{s}d | K^0 \rangle$ , and  $\langle \pi \pi_{I=0} | Q_i - c_{pi} \bar{s}\gamma_5 d | K^0 \rangle$ .
  - coefficients of the  $\bar{s}d$  and  $\bar{s}\gamma_5 d$  operators:  
 $c_{si} = \frac{\langle \eta | Q_i | K^0 \rangle}{\langle \eta | \bar{s}d | K^0 \rangle}$ ,  $c_{pi} = \frac{\langle 0 | Q_i | K^0 \rangle}{\langle 0 | \bar{s}\gamma_5 d | K^0 \rangle}$ .
- four-point correlation functions:
  - unintegrated correlation functions calculated from diagrams having light state contribution subtracted:  
$$\tilde{G}^{\text{sub}}(\delta) = \tilde{G}(\delta) - \sum_{n \in \{n_l\}} \langle \bar{K}^0 | H_W | n \rangle \langle n | H_W | K^0 \rangle e^{(m_K - E_n)\delta}$$
  - single-integrated correlation functions:  
$$\tilde{\mathcal{A}}^S(T) = \sum_{\delta=1}^T \tilde{G}^{\text{sub}}(\delta) + \frac{1}{2} \tilde{G}^{\text{sub}}(0) \rightarrow \Delta m_K$$



# Calculation of $\Delta m_K$ using double-integrated correlators



Analysis method	$\Delta m_K$
Double-integration	6.31(0.98)
Single-integration	6.34(0.57)

# Scaling of diagrams with a specific topology

- A collection of diagrams to be studied in isolation in a lattice calculation
  - Fermion propagators contracted with a fixed topology.
  - The path integral provides a sum over all possible gluon emissions, gluon self-interactions and closed fermion loop insertions.
- Conditions for a continuum limit:
  - The quark propagator topology **DOES NOT** introduce new divergent sub-diagrams not present in QCD. The renormalizability and chiral symmetry of DWF QCD will lead to a continuum limit with a  $ca^2$  scaling behavior.
  - The quark propagator topology **DOES** introduce new divergent sub-diagrams not present in QCD: include these same diagrams when performing the NPR subtraction.

# Non-perturbative renormalizations

- Renormalization of lattice operator  $Q_{1,2}$  in 3 steps:

$$C_i^{lat} = C_a^{\overline{MS}} (1 + \Delta r)_{ab}^{RI \rightarrow \overline{MS}} Z_{bi}^{lat \rightarrow RI}$$

- Non-perturbative Renormalization: from the lattice to the RI-SMOM

$$Z^{lat \rightarrow RI} = \begin{bmatrix} 0.5642 & -0.03934 \\ -0.03934 & 0.5642 \end{bmatrix} \quad (17)$$

- Perturbation theory: from the RI-SMOM to the  $\overline{MS}$

C. Lehner, C. Sturm, Phys. Rev. D 84(2011), 014001

$$\Delta r^{RI \rightarrow \overline{MS}} = 10^{-3} \times \begin{bmatrix} -2.28 & 6.85 \\ 6.85 & -2.28 \end{bmatrix} \quad (18)$$

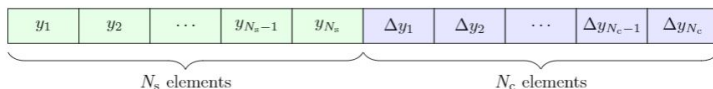
- Use Wilson coefficients in the  $\overline{MS}$  scheme

G. Buchalla, A.J. Buras and M.E. Lautenbacher, arXiv:hep-ph/9512380

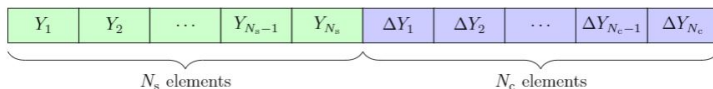
$$C^{\overline{MS}} = 10^{-3} \times \begin{bmatrix} -0.260 & 1.118 \end{bmatrix} \quad (19)$$

# The jackknife and super-jackknife method

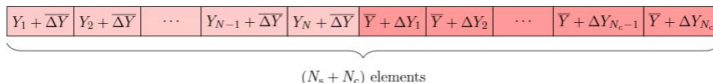
Raw data sets:



Jackknife data sets:



Super-jackknife data set:



The mean of the fitting parameter  $\Theta$  is given by:

$$\overline{\Theta} = \frac{1}{N_s + N_c} \sum_{i=1}^{N_s+N_c} \Theta_i, \quad \sigma_{\overline{\Theta}}^2 = \frac{N_s + N_c - 1}{N_s + N_c} \sum_{i=1}^{N_s+N_c} (\Theta_i - \overline{\Theta})^2. \quad (20)$$

# Scaling test - three-point $K \rightarrow \pi$ diagrams

