

Resurrecting Parton-Hadron Duality Systematically

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arXiv:2003.02275 w/ Felix Ringer
arXiv:2010.02934

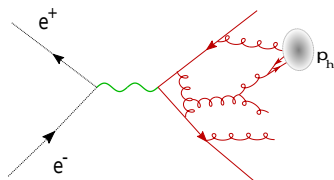
- Traditional approach to Fragmentation
- Angular Ordering
- Angular Ordering Revisited
- Plots and Data
- SIDIS?

How does QCD make hadrons after a hard interaction?

Question Narrowed

How does QCD divide the total energy of an event into its asymptotic states (hadrons)?

For instance, e^+e^- ,



q momentum of photon (green wave), $Q^2 = |q^2|$.

- Fragmentation: $D(x, Q^2)$: number of hadrons carrying energy fraction x .

$$FF : D(x, Q^2) = \sum_i \int_x^1 \frac{dz}{z} d_{h/i} \left(\frac{x}{z}, \mu^2 \right) C_i^T \left(z, \mu^2, Q^2 \right), \quad x = \frac{2P_h \cdot q}{Q^2}$$

- C^T encode the quantum fluctuations of photon interacting with QCD.
- d : randomly break a parton into hadrons.
- x is the momentum fraction.
- True to all orders in perturbation theory.
- C^T is *understood* very well.
- Extension to SIDIS *straightforward*.

$$FF : D(x, Q^2) = \sum_i \int_x^1 \frac{dz}{z} d_{h/i} \left(\frac{x}{z}, \mu^2 \right) C_i^T \left(z, \mu^2, Q^2 \right), \quad x = \frac{2P_h \cdot q}{Q^2}$$

- d universal and non-perturbative, sensitive to quantum fluctuations at $\Lambda_{QCD} \sim 1$ GeV.
- C^T process dependent and perturbative,¹ sensitive to quantum fluctuations at $Q^2 \gg \Lambda_{QCD}^2$.
- μ defines boundary between these scales, controlled renormalization group equations, giving scaling properties of D .

¹Due to asymptotic freedom.

Aside: Moments

Often we will switch to moment space:

$$\bar{g}(n) = \int_0^1 dx x^n g(x),$$

$$xg(x) = \int_{c-i\infty}^{c+i\infty} \frac{dn}{2\pi i} x^{-n} \bar{g}(n)$$

$x \rightarrow 0$ means $n \rightarrow 0$

$$\frac{1}{n^\ell} \leftrightarrow \frac{1}{x} \ln^\ell x$$

Factorization Theorem Approach to FF

Usual procedure to tackle FF.

- Perturbation theory gives sum over interaction histories, given as sums over “loop integrals.”
- Each integral a summation over all possible momentum regions for a given interaction history.
- These are *infra-red* divergent.
- Regulate IR in **dimensional regularization**.
$$\int d^4p \rightarrow \int d^d p.$$
- Bare non-perturbative functions corrected by *scaleless integrals* which are zero.
- Renormalized non-perturbative functions are the IR singularities of perturbation theory.
- Integration of anomalous dimensions give IR singularities.

This yields:

$$xD(x, Q^2, \Lambda^2) = \int_{c-i\infty}^{c+i\infty} \frac{dn}{2\pi i} x^{-n} \exp\left(\int_0^{\alpha_s(\mu^2)} \frac{d\alpha}{\beta(\alpha, \epsilon)} \gamma^T(\alpha, n)\right) \bar{C}^T(n, Q^2, \mu^2),$$

γ^T are time-like anomalous dimensions of QCD.

$\beta(\alpha, \epsilon)$ gives the renormalization group equation of the QCD coupling constant.

μ and $d = 4 - 2\epsilon$ dimensional regularization parameters.

Last Step in Factorization Approach to FF

- IR divergences of perturbation theory, i.e.,
$$\exp\left(\int_0^{\alpha_s(\mu^2)} \frac{d\alpha}{\beta(\alpha,\epsilon)} \gamma^T(\alpha, n)\right),$$
are not physically real.
- But γ^T and β determine the Q^2 dependence of D .

Final Step: discard singularities for some generic function for d , and fit to data.

Why Dimensional Regularization?

Dimensional regularization is nice!

- Minimal subtraction schemes.
- No power-law divergences.
- Mass independent renormalization group eqs.
- Gauge invariant.
- Can import advance math (algebraic geometry, number theory, complex analysis) to perform loop integrals analytically.
- Ease of calculations, high orders in perturbation theory.

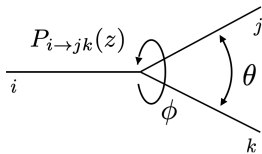
$\gamma^T(n)$ known to 3 loops [**Chen, Yang, Zhu, Zhu**
arXiv:2006.10534], β at 5.

- Pro: Solid grounding in Field Theory.
- Con: No insight into the physics of $d_{h/i}$, the fragmentation function.
- Con: Restricts itself to $0 \ll x < 1$, as $x \rightarrow 0$ live DRAGONS.²

²One exception: [**Anderle, Kaufmann, Stratmann, Ringer 1611.03371**] see also [**Kom, Vogt, Yeats 1207.5631**]

Alternative: “Angular-Ordered DGLAP”

Embrace $x \rightarrow 0$.



- Emissions are collinear when they are close in *angle* on celestial sphere.
- IR divergences in fragmentation from $\theta \rightarrow 0$.
- Use an *angular ordered evolution* with a *relative transverse momentum cutoff*.
- Hadronization: Cutoff transverse momentum fluctuations below $\sim 200\text{MeV}$.
- Resums $\ln x$ as $x \rightarrow 0$ at leading logarithm.

In fragmentation: This is old idea (1980~ 1991):

Mueller, Dokshitzer, Marchesini, ...

But no systematics, beyond leading log,

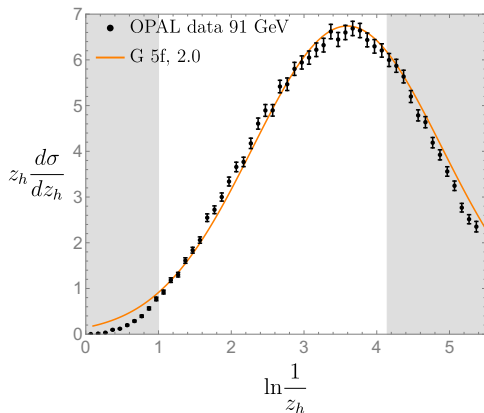
- What is being resummed relative to QCD factorization?
- What is the corresponding fragmentation function and coefficient function?

- Y. L. Dokshitzer, V. A. Khoze, and S. Troian, Inclusive particle spectra from QCD cascades, Int. J. Mod. Phys. A 7 (1992) 1875-1906

- C. Fong and B. Webber, One and two particle distributions at small x in QCD jets, Nucl. Phys. B 355 (1991) 54-8

However, it is predictive:

- As $Q \rightarrow \infty$, $x D(x, Q^2)$ is gaussian in $\ln \frac{1}{x}$.



[Opal Collab., 1990, “A study of coherence of Soft Gluons in Hadron Jets”]

arXiv:2003.02275 D.N., Felix Ringer

- Can we unite the phenomenological success of angular-ordering with traditional factorization theorems?
- Can we elucidate the mapping between space-like and time-like processes? (e.g., local twist \leftrightarrow light-ray twist OPE's, see Ian Moul's talk)

Angular Regularization in fragmentation

- Introduce Fragmentation Cross-section with minimum branching angle R_{ir} to observed hadron:

$$D_{\triangleleft}\left(x, R_{ir}^2, \frac{\mu^2}{Q^2}\right)$$

- x energy fraction of observed hadron.
- Always work in $d = 4 - 2\epsilon$ dimensions
- μ mass scale of dimensional regularization.

Angular Evolution in fragmentation

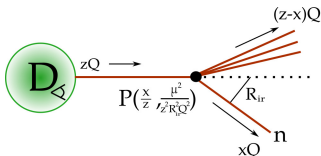
- Introduce Fragmentation Cross-section with minimum branching angle R_{ir} to observed hadron:

$$D_{\triangleleft}\left(x, R_{ir}^2, \frac{\mu^2}{Q^2}\right)$$

What happens as $R_{ir} \rightarrow 0$?

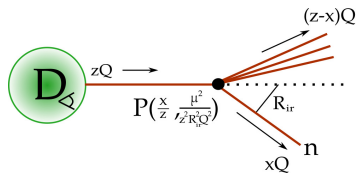
Changing cutoff R_{ir} (pure Yang-Mills):

$$R_{ir}^2 \frac{d}{dR_{ir}^2} x^{1+2\epsilon} D_{\triangleleft} \left(x, R_{ir}^2, \frac{\mu^2}{Q^2} \right) = \rho \left(\frac{\mu^2}{R_{ir}^2 Q^2} \right) x^{1+2\epsilon} D_{\triangleleft} \left(x, R_{ir}^2, \frac{\mu^2}{Q^2} \right) + \int_x^1 \frac{dz}{z} P \left(\frac{x}{z}; \frac{\mu^2}{z^2 R_{ir}^2 Q^2} \right) z^{1+2\epsilon} D_{\triangleleft} \left(z, R_{ir}^2, \frac{\mu^2}{Q^2} \right).$$



- $zR_{ir}Q$ is transverse momentum of *parent parton* WRT fragmented hadron's direction.
- “Divined” from a celestial “BFKL” equation.

Details of Kernel

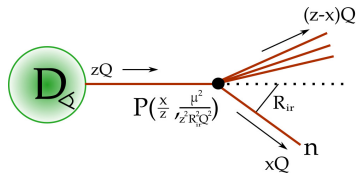


Kernels P, ρ have expansions:

$$P\left(\frac{x}{z}; \frac{\mu^2}{z^2 R_{ir}^2 Q^2}\right) = \sum_{\ell=0}^{\infty} p^{(\ell)}\left(\frac{x}{z}, \alpha_s, \epsilon\right) \times \left(\frac{\mu^2}{z^2 R_{ir}^2 Q^2}\right)^{(\ell+1)\epsilon}$$
$$\rho\left(\frac{\mu^2}{R_{ir}^2 Q^2}\right) = - \sum_{\ell=0}^{\infty} \beta_i \left(\frac{C_A \alpha_s}{\pi}\right)^{\ell+1} \left(\frac{\mu^2}{R_{ir}^2 Q^2}\right)^{(\ell+1)\epsilon}$$

β_i are the coefficients of the QCD beta function. $p^{(\ell)}$ has expansions in both ϵ and α_s .

Details of Kernel: Reciprocity

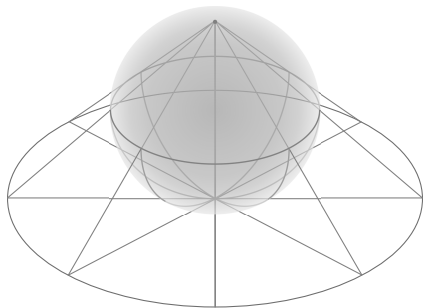


Space-like/Time-like Duality: P is determined from the anomalous dimensions for *parton distribution function*.

[Basso, Korchemsky hep-th/0612247], [Dokshitzer et al.
hep-ph/0511302]

Geometrical Realization of Space-Time Reciprocity

Stereographic projection of $S^2 \rightarrow \mathbb{R}^2$



Final state radiation distributing energy over the celestial sphere about a hard scattering interaction.

\leftrightarrow

Initial state constituents moving along the nucleon's direction, distributed in the transverse plane with respect to the nucleon's momentum.

[Hatta 0810.0889]

What does this accomplish?

Solve evolution equation,

Evolve to $R_{ir} = 0$,

Get Dim. Reg. fragmentation cross-section:

$$\begin{aligned} D(x, Q^2) &= \lim_{R_{ir} \rightarrow 0} \int_x^1 \frac{dz}{z} d_{\triangleleft} \left(\frac{x}{z}, R_{ir}^2, R_{uv}^2, \frac{\mu^2}{z^2 Q^2} \right) D_{\triangleleft} \left(z, R_{uv}^2, \frac{\mu^2}{Q^2} \right) \\ &= \int_{c-i\infty}^{c+i\infty} \frac{dn}{2\pi i} x^{-n} \exp \left(\int_0^{\alpha_s(\mu^2)} \frac{d\alpha}{\beta(\alpha, \epsilon)} \gamma^T(\alpha, n) \right) \bar{C}^T(n, Q^2, \mu^2) \end{aligned}$$

- Calculates: γ^T from γ^S , as $\gamma^S(n + 2\gamma^T(n)) = \gamma^T(n)$
- Resums Soft Region: d_{\triangleleft} contains **all** logarithmically enhanced terms as $x \rightarrow 0$ in both γ^T and C^T .

Checks: Does it work?

As $x \rightarrow 0$:

$$C^T(x) \sim \frac{1}{x} \left(c_0^{LL} \alpha \ln x + c_1^{LL} \alpha^2 \ln^3 x + c_3^{LL} \alpha^3 \ln^5 x + \dots \right. \\ \left. + c_0^{NLL} \alpha + c_1^{NLL} \alpha^2 \ln^2 x + c_3^{NLL} \alpha^3 \ln^4 x + \dots \right)$$

$$\gamma^T(x) \sim \frac{1}{x} \left(\gamma_0^{LL} \alpha + \gamma_1^{LL} \alpha^2 \ln^2 x + \gamma_3^{LL} \alpha^3 \ln^4 x + \dots \right. \\ \left. + \gamma_1^{NLL} \alpha^2 \ln x + \gamma_3^{NLL} \alpha^3 \ln^3 x + \dots \right)$$

- Predicts resummed terms to N^2LL in C^T , N^3LL in γ^T , reproducing perturbative expansion up-to and at 3 loops. (see also [**Kom, Vogt, Yeats 1207.5631**]).
- Relates the time-like fragmentation process to quantities defined by the space-like process (FF \leftrightarrow PDF).
- Generalizes to evolution of largest eigenvalue in singlet DGLAP matrix.

Recall *rigorous* factorization theorem:

$$D(x, Q^2) = \sum_i \int_x^1 \frac{dz}{z} d_{h/i}\left(\frac{x}{z}, \mu^2\right) C_i^T(z, \mu^2, Q^2), \quad x = \frac{2P_h \cdot q}{Q^2}$$

- Parton-Hadron Duality: $D(x, Q^2) \propto \delta(1-x)$ at $Q^2 \sim 1$ GeV.
- *The fragmentation function is the functional reciprocal of the coefficient function.*³

³Exact reciprocal in mellin-space.

Modeling Fragmentation From Resummed Perturbation Theory

The fragmentation function is the functional reciprocal of the coefficient function???

- This manifestly does not work in fixed order perturbation theory.
- But we can resum the perturbation series.
- Possible field theory origin: evolving the cross-section using resummed “*physical*” anomalous dimension scheme, not \overline{MS} .

Model for Fragmentation Functions from Perturbation Theory

Take:

$$\left(\bar{C}^T\left(n, \Lambda_{QCD}^2, \mu^2\right)\right)^{-1}.$$

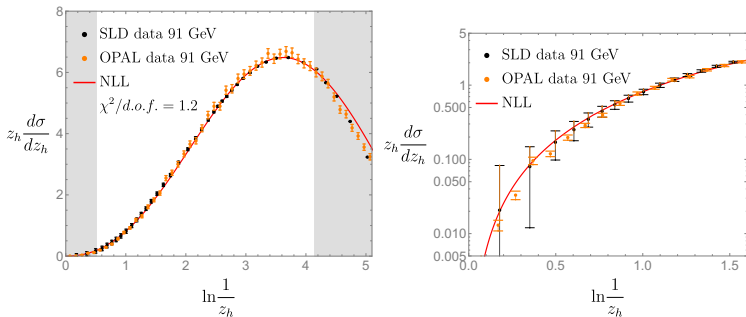
as mellin-space fragmentation function.

- Run down to $\mu \sim \Lambda_{QCD}$, fit for normalization⁴ and Λ_{QCD} .
- Use $x \rightarrow 0$ resummed Coef., FF, γ^T . (Derived from angular-ordered evolution).

⁴(normalization not predicted, as data is on charged hadrons only.)

Model for FF from Perturbation Theory

Even though for $x \rightarrow 0$, works almost everywhere:

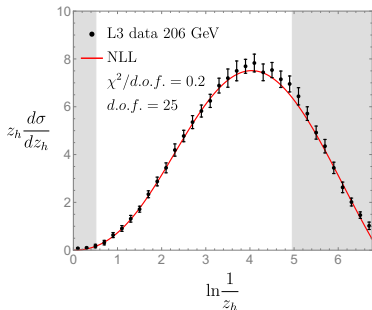
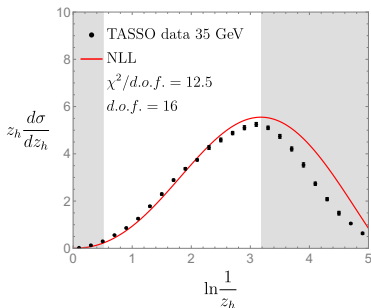


$$e^+e^- \rightarrow h^\pm + X$$

Excluded Gray Regions: hadron mass corrections to energy fraction, recall assumption $p_h^2 \approx 0$, threshold region.

Model for FF from Perturbation Theory

Evolution:

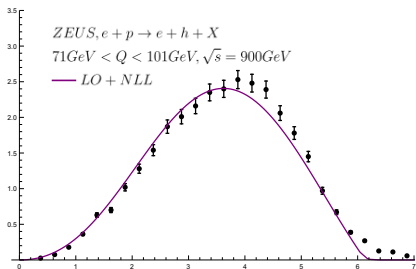


$$e^+e^- \rightarrow h^\pm + X$$

Excluded Gray Regions: hadron mass corrections to energy fraction, recall assumption $p_h^2 \approx 0$, threshold region. Lower Q data, hadron mass corrections?

SIDIS?

- Fragmentation in SIDIS: *Preliminary*.
- Using same methods from e^+e^- , in Briet Frame.

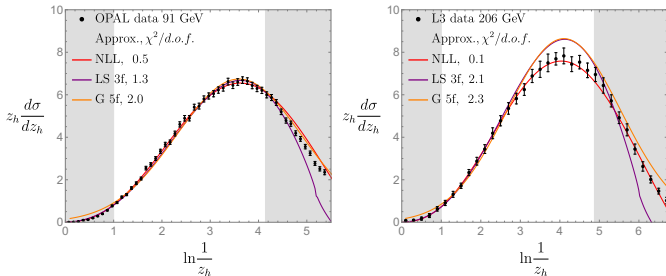


Data: [ZEUS collab. 1001.4026]

Conclusions:

- We can resurrect ancient angular-ordering methods, now connecting directly to traditional factorizations.
- Why does $x \rightarrow 0$ work everywhere?

Angular ordering is old, used to derive “mixed-leading log” approximation (LS→Limiting Spectrum and G→Gaussian curves below), with unclear systematics.



Old formulation of angular ordering does not evolve nicely above $Q \sim 91\text{GeV}$. LS- Y. L. Dokshitzer, V. A. Khoze, and S. Troian, Inclusive

particle spectra from QCD cascades, *Int. J. Mod. Phys. A* 7 (1992) 1875-1906

G- C. Fong and B. Webber, One and two particle distributions at small x in QCD jets, *Nucl.*

Phys. B 355 (1991) 54-8

Mellin Space Angular Evolution

$$R_{ir}^2 \frac{d}{dR_{ir}^2} \bar{d}_{\triangleleft}(n, R_{ir}^2, R_{uv}^2, \mu^2, Q^2) = \sum_{\ell=1}^{\infty} \rho^{(\ell-1)}(\alpha_s; \epsilon) \left(\frac{\mu^2}{R_{ir}^2 Q^2} \right)^{\ell\epsilon} \bar{d}_{\triangleleft}(n, R_{ir}^2, R_{uv}^2, \mu^2, Q^2) \\ + \sum_{\ell=1}^{\infty} \bar{P}^{(\ell-1)}(n - 2\epsilon; \alpha_s; \epsilon) \left(\frac{\mu^2}{R_{ir}^2 Q^2} \right)^{\ell\epsilon} \bar{d}_{\triangleleft}(n - 2\ell\epsilon, R_{ir}^2, \mu^2, Q^2).$$

Mellin Space Angular Evolution II

Setting $\mu = Q$, and writing:

$$\bar{d}_{\triangleleft}(n, R_{ir}^2, R_{uv}^2, \mu^2, \mu^2) = \bar{d}_{\triangleleft}(n, R_{ir}^2, R_{uv}^2),$$

$$I(\ell_1; n; R_{ir}, R_{uv}) = 2 \int_{R_{ir}}^{R_{uv}} \frac{d\theta_1}{\theta_1^{1+2\ell_1\epsilon}} \bar{P}^{(\ell_1-1)}(n-2\epsilon),$$

$$I(\ell_1, \dots, \ell_k; n; R_{ir}, R_{uv}) = 2^k \int_{R_{ir}}^{R_{uv}} \frac{d\theta_1}{\theta_1^{1+2\ell_1\epsilon}} \bar{P}^{(\ell_1-1)}(n-2\epsilon)$$

$$\times \int_{R_{ir}}^{R_{uv}} \prod_{i=2}^k \frac{d\theta_i}{\theta_i^{1+2\ell_i\epsilon}} \Theta(\theta_i - \theta_{i-1}) \bar{P}^{(\ell_i-1)}\left(n - 2\epsilon\left(1 + \sum_{j=1}^{i-1} \ell_j\right)\right), \text{ if } k > 1.$$

Then the iterative expansion is obtained to be:

$$\begin{aligned} \bar{d}_{\triangleleft}(n, R_{ir}^2, R_{uv}^2) &= \exp\left(-\int_{R_{ir}^2}^{R_{uv}^2} \frac{d\theta^2}{\theta^2} \rho(\theta^{-2})\right) \left(1 + \sum_{\ell_1} I(\ell_1; n; R_{ir}, R_{uv})\right. \\ &\quad \left.+ \sum_{\ell_1, \ell_2} I(\ell_1, \ell_2; n; R_{ir}, R_{uv}) + \sum_{\ell_1, \ell_2, \ell_3} I(\ell_1, \ell_2, \ell_3; n; R_{ir}, R_{uv}) + \dots\right). \end{aligned}$$

“Celestial” BFKL Equation

On “angular” space:

$$\begin{aligned}x \frac{d}{dx} \mathcal{D}(x, n_a \cdot n_b) \\&= -(1 + 2\epsilon) \mathcal{D}(x, n_a \cdot n_b) \\&\quad - \left(\frac{\mu\epsilon}{xQ} \frac{\gamma_E}{2} \right)^{2\epsilon} \frac{\alpha_s C_A}{\pi} \int \frac{d^{2-2\epsilon} \Omega_{\hat{j}}}{4\pi^{1-\epsilon}} \frac{n_a \cdot n_b}{n_a \cdot n_j n_j \cdot n_b} \left(\mathcal{D}(x, n_a \cdot n_j) + \mathcal{D}(x, n_b \cdot n_j) - \mathcal{D}(x, n_a \cdot n_b) \right),\end{aligned}$$

Null vectors: $n = (1, \hat{n})$. n_a, n_b, n_j are null vectors parametrizing eikonal lines in a large N_c -dipole.

Small angles:

$$\begin{aligned}x \frac{d}{dx} \mathcal{D}(x, \vec{\theta}_{ab}^2) &= -(1 + 2\epsilon) \mathcal{D}(x, \vec{\theta}_{ab}^2) \\&\quad - \left(\frac{\mu\epsilon}{xQ} \frac{\gamma_E}{2} \right)^{2\epsilon} \frac{\alpha_s C_A}{\pi} \int \frac{d^{2-2\epsilon} \vec{\theta}_j}{2\pi^{1-\epsilon}} \frac{\vec{\theta}_{ab}^2}{\vec{\theta}_{aj}^2 \vec{\theta}_{jb}^2} \left(\mathcal{D}(x, \vec{\theta}_{aj}^2) + \mathcal{D}(x, \vec{\theta}_{jb}^2) - \mathcal{D}(x, \vec{\theta}_{ab}^2) \right)\end{aligned}$$

$\vec{\theta}_{ab}$ tangent vector on sphere, pointing from n_b to n_a .