

B-physics Anomalies: from Data to New Physics Models

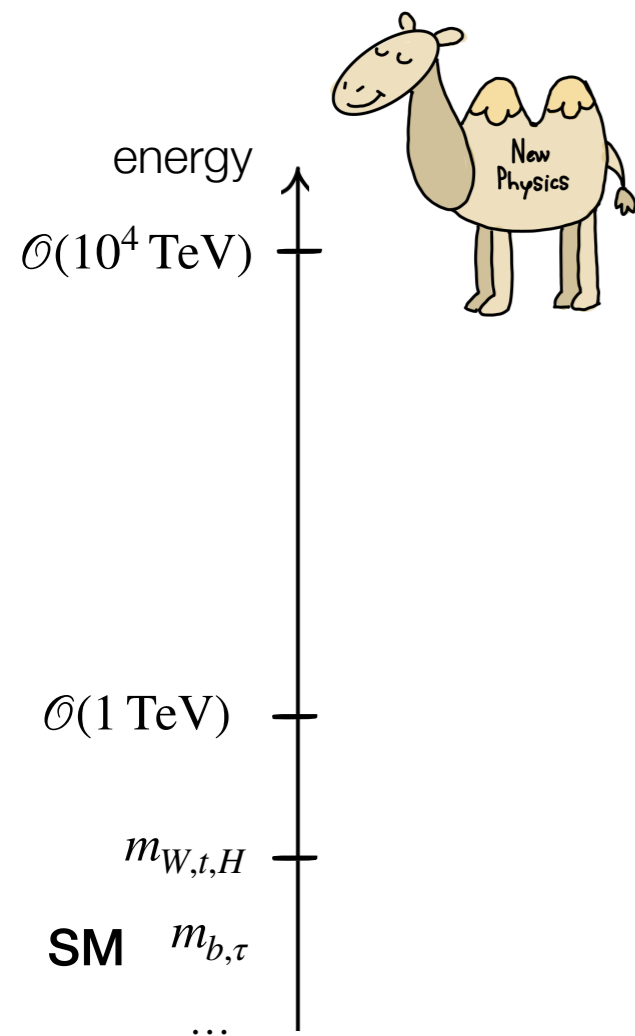
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New Physics and Flavor

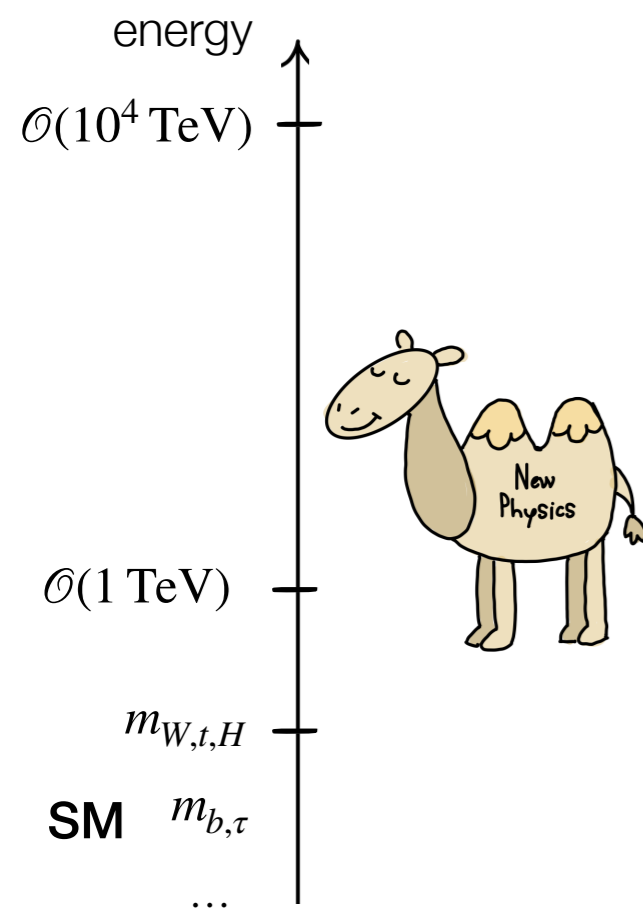
With a few exceptions, flavor-changing observables agree with the SM.



- ▶ If NP has a generic flavor structure, flavor bounds force it to be very heavy: $\bar{K}K, \mu \rightarrow e\gamma \dots \Rightarrow \Lambda > 10^{4-5} \text{ TeV}$

New Physics and Flavor

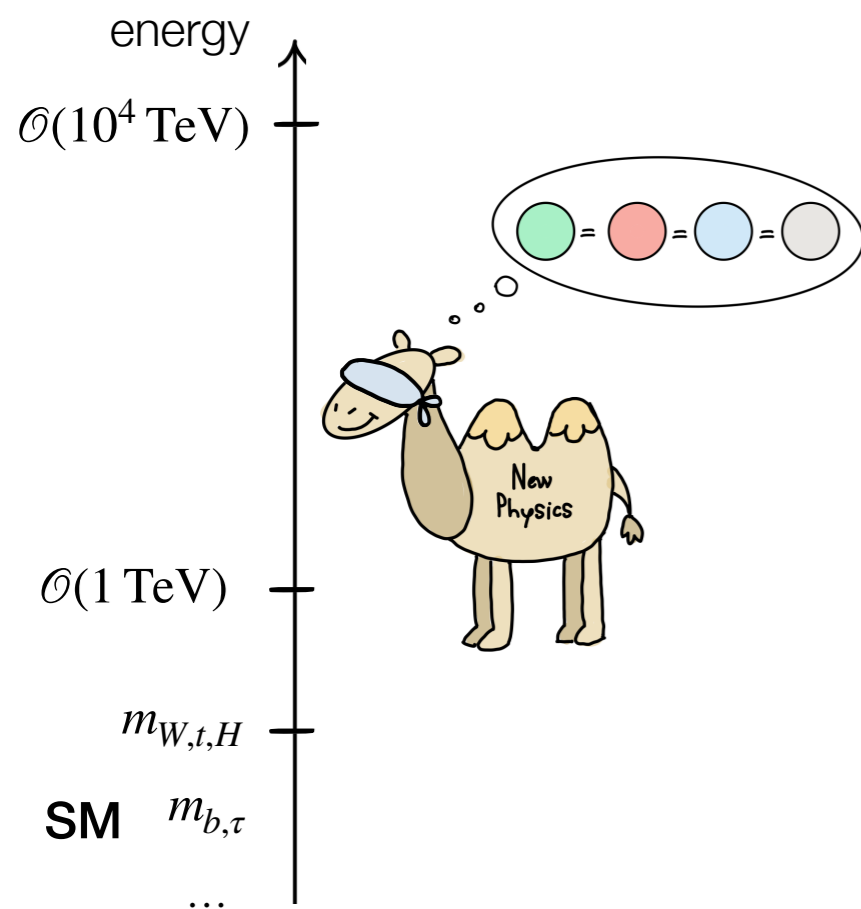
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e.g. Minimal Flavor Violation:

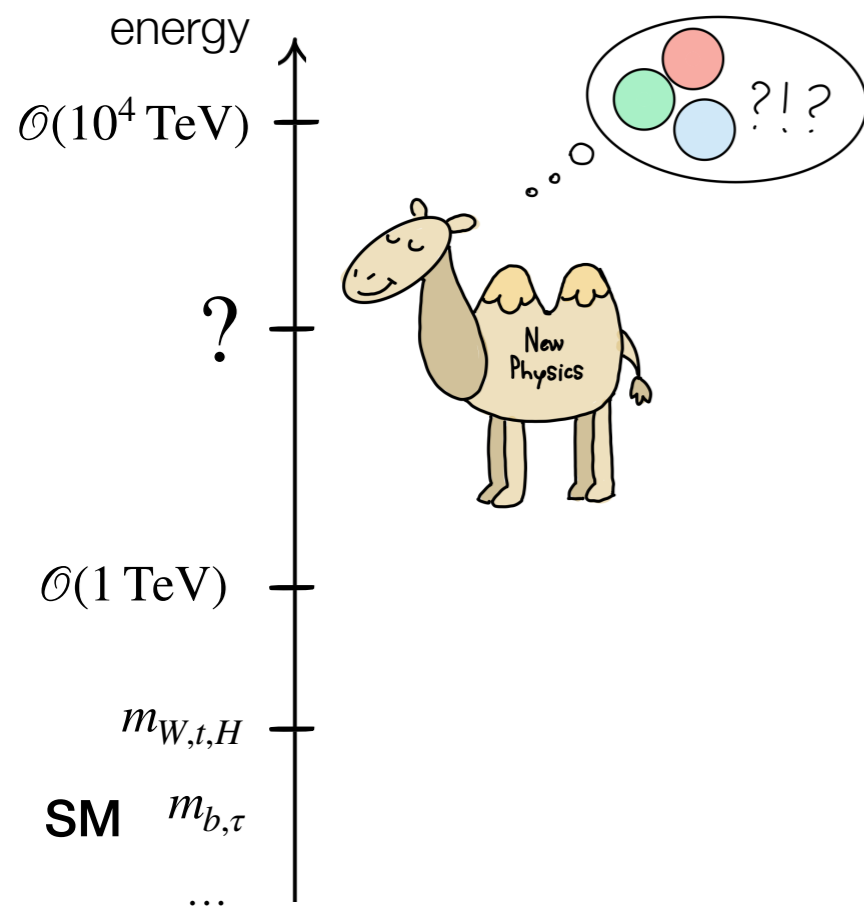
Y_{SM} only source of flavor violation (up to $\Lambda \gg 1 \text{ TeV}$)

→ TeV-scale NP is flavor blind

→ by construction little to no effects in flavor obs.

New Physics and Flavor

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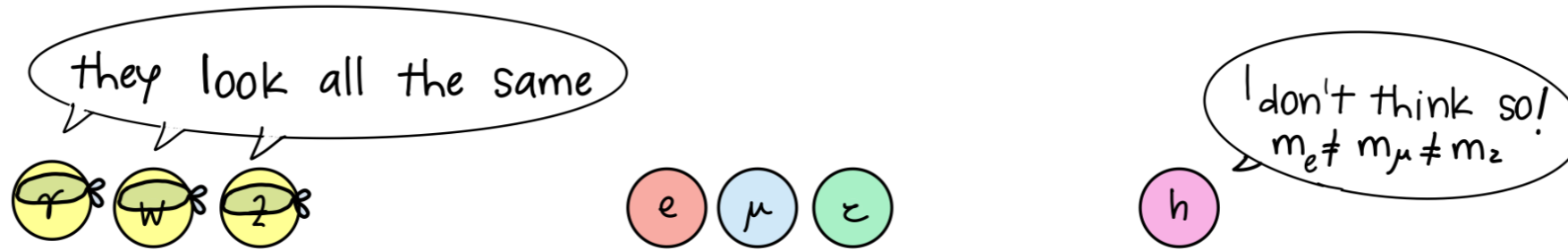
▶ Now we have hints from flavor, the B anomalies.

Taking them as genuine BSM signals, what do learn about the scale and flavor structure of New Physics?

Data

The B -physics anomalies

In the SM:



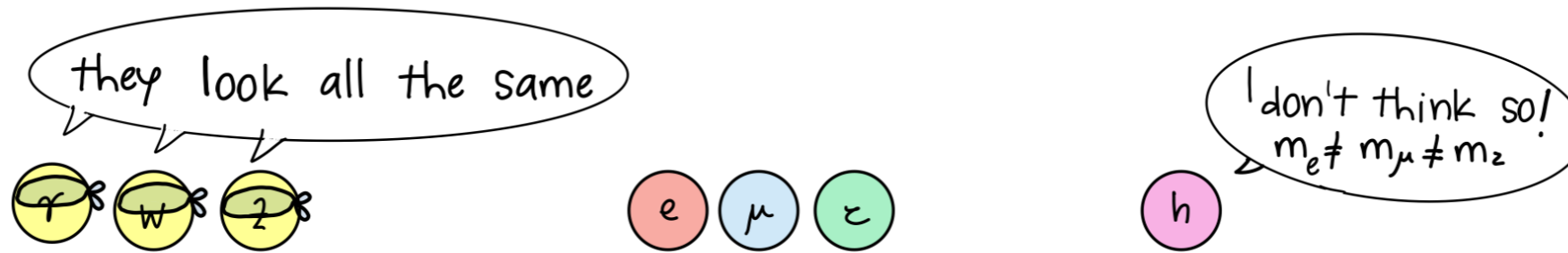
Gauge interactions are lepton-flavor universal.
Lepton masses are the only source of non universality.

$$\Rightarrow \Gamma_e = \Gamma_\mu = \Gamma_\tau$$

(up to kinematical effects)

The B -physics anomalies

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Hints of LFU violation in semi-leptonic B decays:

▶ μ vs e universality in $b \rightarrow sl\ell$

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)}\mu\mu)}{\mathcal{B}(B \rightarrow K^{(*)}ee)} < R_{K^{(*)}}^{\text{SM}}$$

+ angular obs. and rates in $b \rightarrow s\mu\mu$
 $\sim 4\sigma$

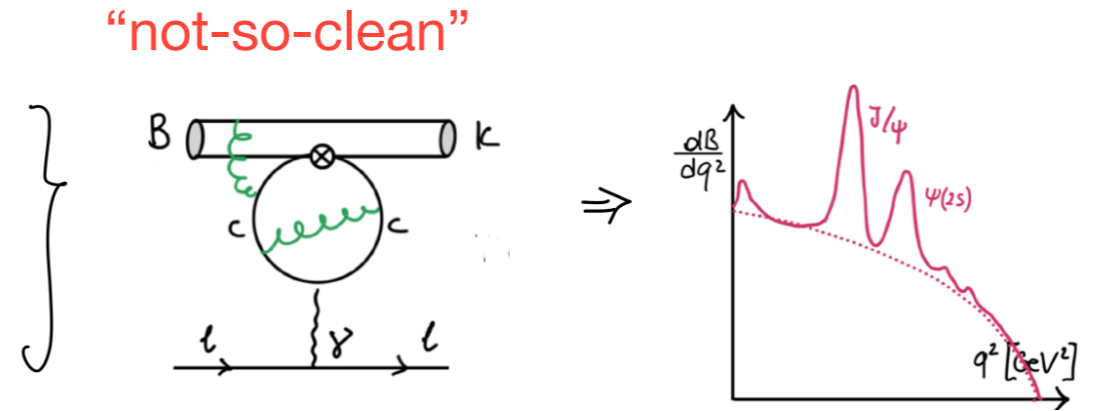
▶ τ vs μ, e universality in $b \rightarrow cl\nu$

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)}\tau\bar{\nu})}{\mathcal{B}(B \rightarrow D^{(*)}\ell\bar{\nu})} > R_{D^{(*)}}^{\text{SM}}$$

$\sim 3\sigma$

The $b \rightarrow sll$ anomalies

- ▶ discrepancy in $B \rightarrow K^* \mu \mu$ angular distribution
- ▶ deficit in $\mathcal{B}(B \rightarrow X_s \mu \mu)$ $X_s = K, K^*, \phi$



- ▶ μ/e LFUV in $B \rightarrow K^{(*)} ll$
- ▶ deficit in $\mathcal{B}(B_s \rightarrow \mu \mu)$

“clean”

$$R_{K^{(*)}}^{[1.1,6] \text{ GeV}^2} = 1.00 \pm 0.01 \quad [\text{Bordone et al, 1605.07633}]$$

$$\mathcal{B}(B_s \rightarrow \mu \mu)_{\text{SM}} = (3.66 \pm 0.14) \times 10^{-9}$$

[Beneke et al., 1908.07011]

LHCb results on LFU ratios **this year:**

$$R_K^{[1.1,6]} \text{ update } (3.1 \sigma)$$

[LHCb, 2103.11769]

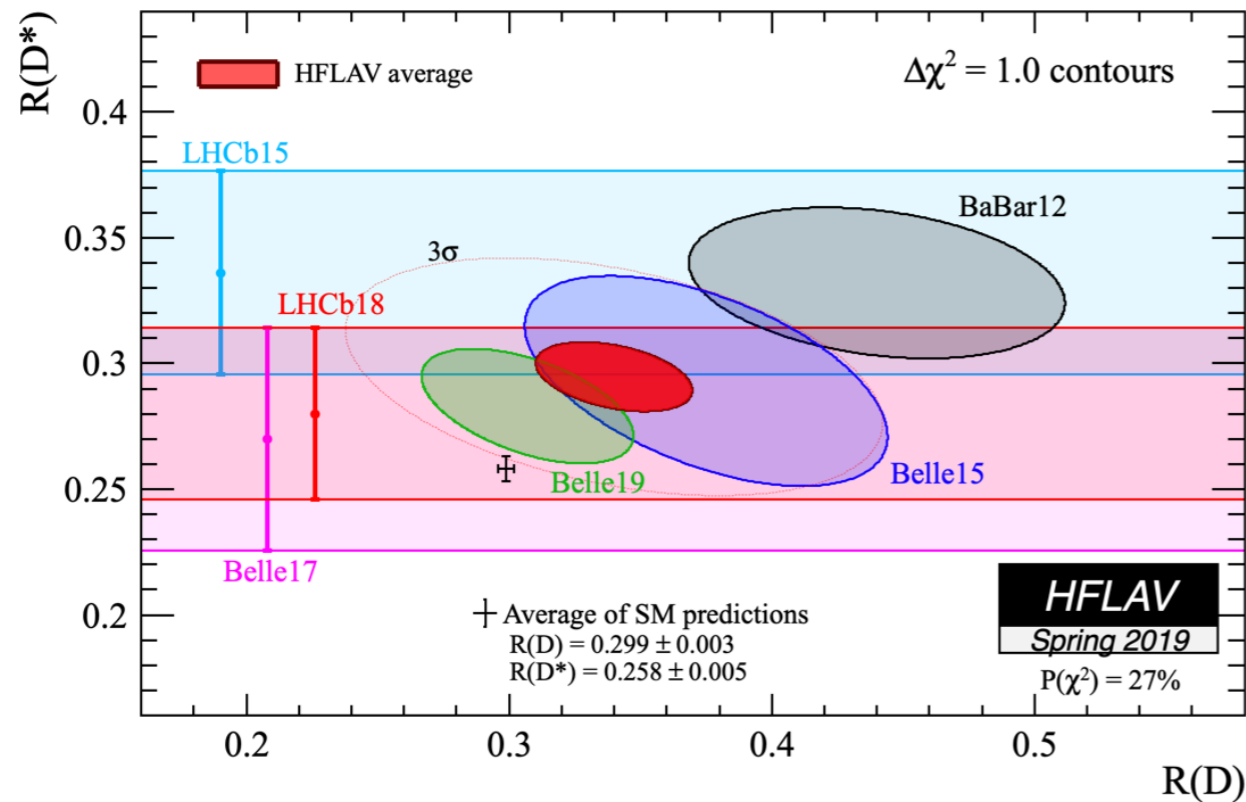
$$\text{first results for } R_{K_s} \text{ and } R_{K^{*+}}$$

[LHCb, 2110.09501]

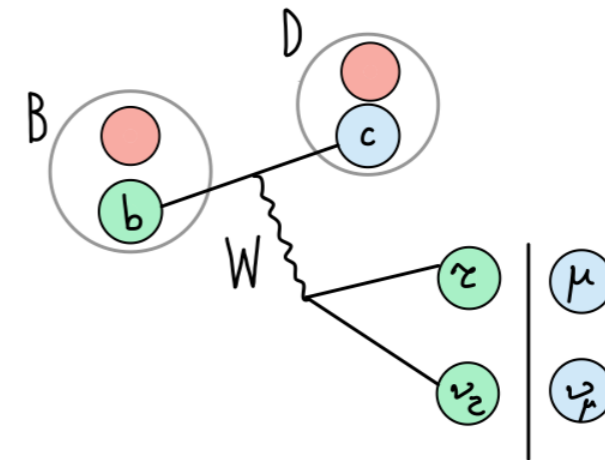
Global significance for New Physics in $b \rightarrow sll \sim 4 \sigma$

[Isidori, Lancierini, Owen, Serra, 2104.05631]

The $b \rightarrow cl\nu$ anomalies



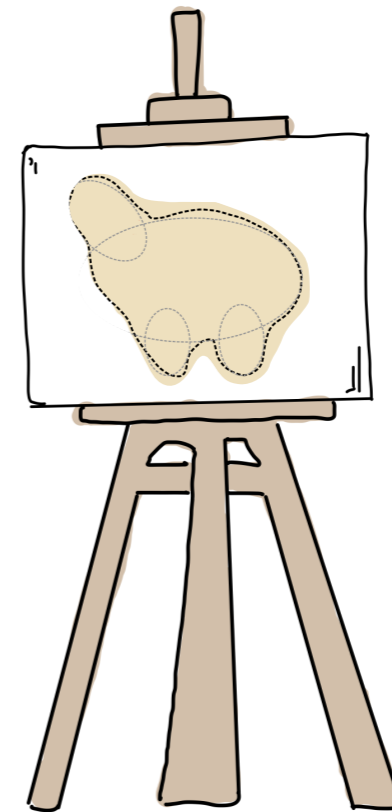
$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)}\tau\bar{\nu})}{\mathcal{B}(B \rightarrow D^{(*)}\ell\bar{\nu})}$$



- ▶ $\sim 15\%$ enhancement due to excess in tau mode
- ▶ theoretically clean
- ▶ measurements by Babar, Belle, LHCb (so far R_{D^*} only) in good agreement
- ▶ 3.1σ tension (combined)

Lower significance, need experimental clarification.

NP interpretation (I): Effective Theory



EFT for $b \rightarrow sll$

$$\mathcal{L}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{ts}^* V_{tb} \frac{\alpha}{4\pi} \sum_i C_i O_i \quad \begin{aligned} O_9^\mu &= (\bar{s}_L \gamma_\mu b_L)(\bar{\mu} \gamma^\mu \mu) \\ O_{10}^\mu &= (\bar{s}_L \gamma_\mu b_L)(\bar{\mu} \gamma^\mu \gamma_5 \mu) \end{aligned}$$

▶ NP in C_9^μ only

▶ left-handed LFUV NP + subleading LFU shift

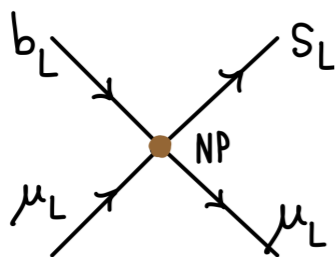
$$\Delta C_9^\mu = -\Delta C_{10}^\mu \quad \Delta C_9^U$$

clean obs. only 4.6σ

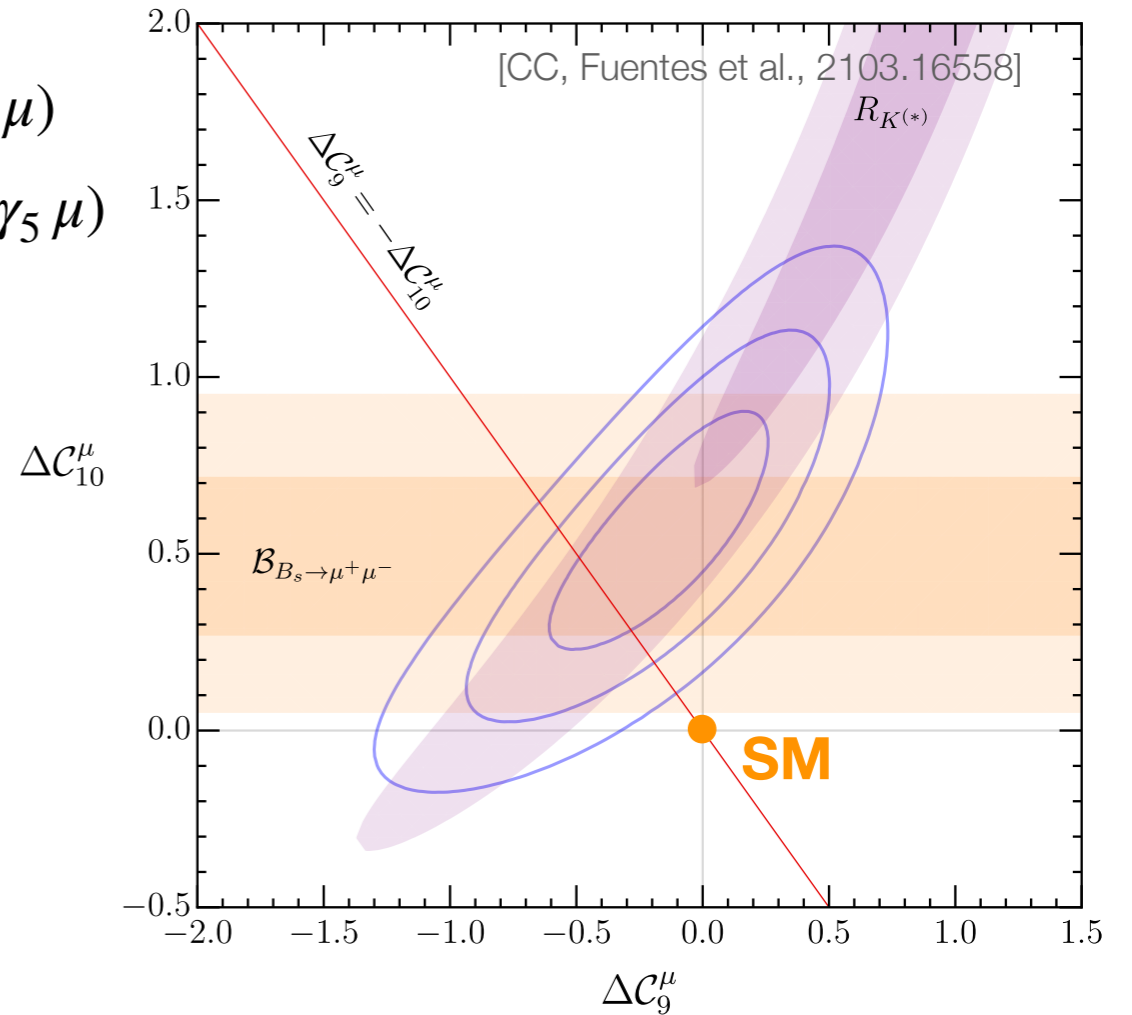
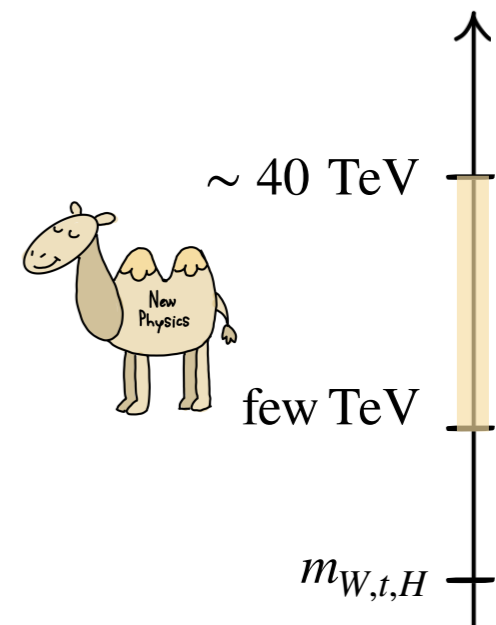
all obs., marginalizing in ΔC_9^U 4.8σ

all obs. + estimate of $c\bar{c}$ loop $\gg 5\sigma$

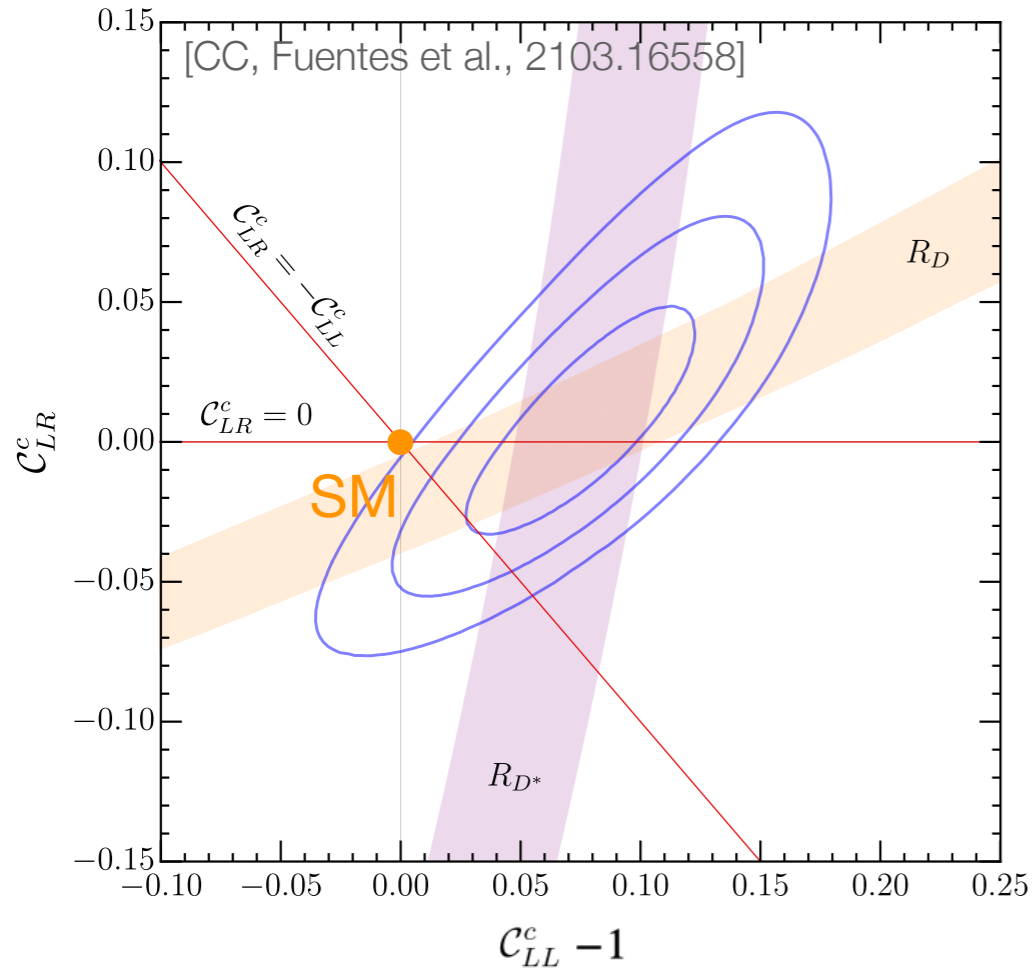
[2103.13370, 2104.0892, 2104.10058...]



$$\sim 4 \times 10^{-5} G_F \Rightarrow \frac{g_{\text{NP}}^2}{\Lambda^2} \sim \frac{1}{(40 \text{ TeV})^2}$$



EFT for $b \rightarrow c\tau\nu$

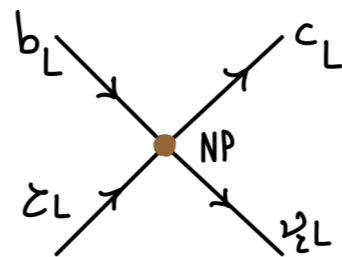


$$\mathcal{L}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{cb} \sum_i C_i O_i$$

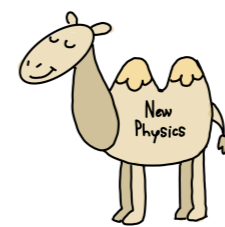
$$O_{LL}^i = (\bar{u}_L^i \gamma_\mu \nu_L)(\bar{\tau}_L \gamma^\mu b_L) \quad C_{LL}^{\text{SM}} = 1$$

$$O_{LR}^i = (\bar{u}_L^i \gamma_\mu \nu_L)(\bar{\tau}_R \gamma^\mu b_R) \quad C_{LR}^{\text{SM}} = 0$$

- ▶ left-handed NP (=Fermi interaction!)
- ▶ other structures also possible

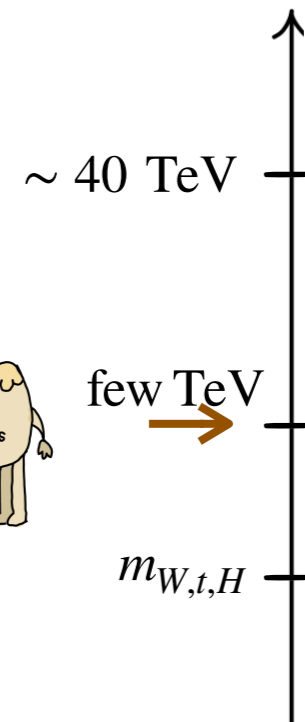


$$\sim 10^{-2} G_F$$



few TeV

$m_{W,t,H}$



Why both?

No obvious connection. Why combine both anomalies in a single NP framework?

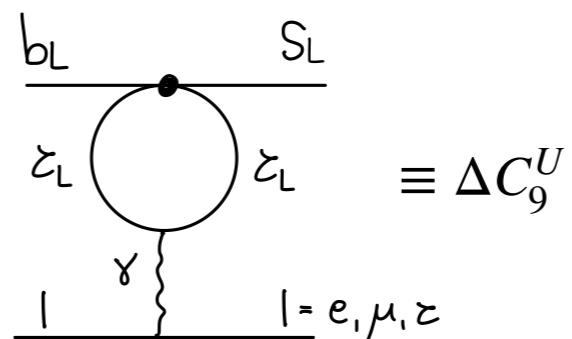
$$\begin{array}{ccc}
 b \rightarrow sll & & b \rightarrow cl\nu \\
 (\bar{s}_L \gamma^\mu b_L)(\bar{l}_L \gamma_\mu l_L) & \xleftrightarrow{SU(2)_L} & (\bar{c}_L \gamma^\mu b_L)(\bar{\nu}_L \gamma_\mu \nu_L)
 \end{array}$$

⇒ Minimal sol: **left-handed NP in semi-leptonic operators** (RH currents also possible)

$$\mathcal{L}_{\text{EFT}}^{\text{NP}} = -\frac{1}{v^2} \left(C_{lq}^{(3)} (\bar{l}_L \gamma^\mu \tau^a l_L)(\bar{q}_L \gamma^\mu \tau^a q_L) + C_{lq}^{(1)} (\bar{l}_L \gamma^\mu l_L)(\bar{q}_L \gamma^\mu q_L) \right) \approx -\frac{2}{v^2} C_{LL} (\bar{q}_L \gamma^\mu l_L)(\bar{l}_L \gamma_\mu q_L)$$

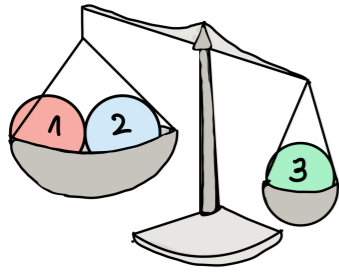
$$b \rightarrow s\nu\bar{\nu} \rightarrow C_{\ell q}^{(3)} \approx C_{\ell q}^{(1)} \equiv C_{LL}$$

Connection between anomalies:

$$R_{D^{(*)}} \Rightarrow b_L \rightarrow c_L \tau_L \nu_L \sim b_L \rightarrow s_L \tau_L \tau_L \xrightarrow{SU(2)_L} \xrightarrow{\text{RGE}} \text{Diagram} \equiv \Delta C_9^U$$


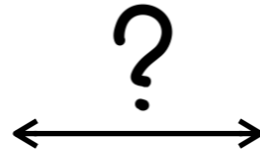
Why both?

FLAVOR HIERARCHIES



standard LFUV

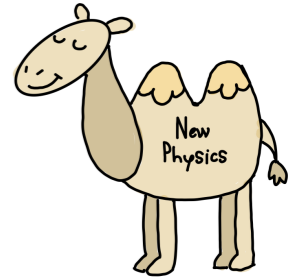
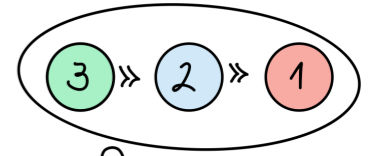
$$y_3 \gg y_2 \gg y_1$$



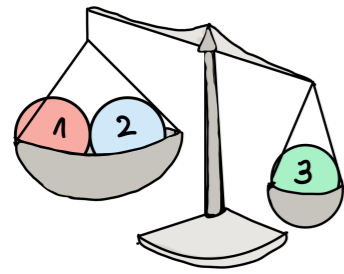
FLAVOR ANOMALIES

non-standard LFUV

NP couples mostly to 3rd family,
smaller couplings to 2nd and 1st.



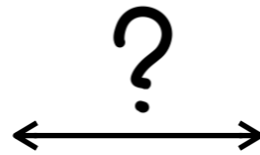
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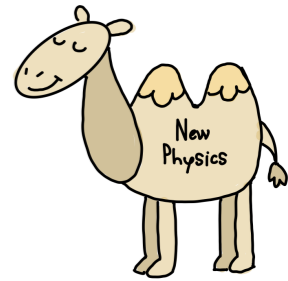
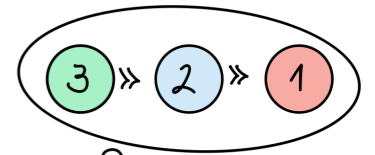
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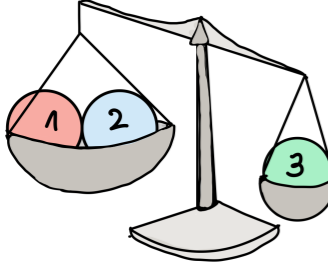
NP couples mostly to 3rd family,
smaller couplings to 2nd and 1st.



$$U(2)^5 = U(2)_q \times U(2)_l \times U(2)_u \times U(2)_d \times U(2)_e$$

$$\psi = (\psi_1 \psi_2 \psi_3)$$

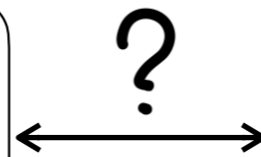
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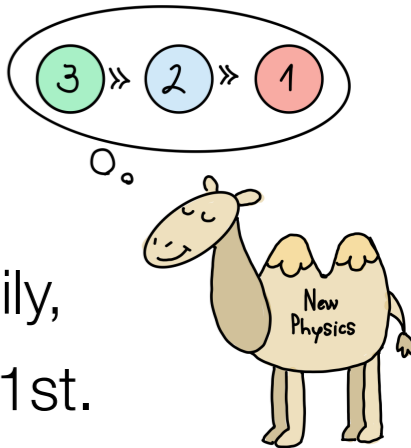
$y_3 \gg y_2 \gg y_1$



FLAVOR ANOMALIES

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$$\psi = (\psi_1 \ \psi_2 \ \psi_3)$$

SM masses & mixings, “flavored”

alternative to MFV [Barbieri et al., 1105.3396]

$$Y = y_3 \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

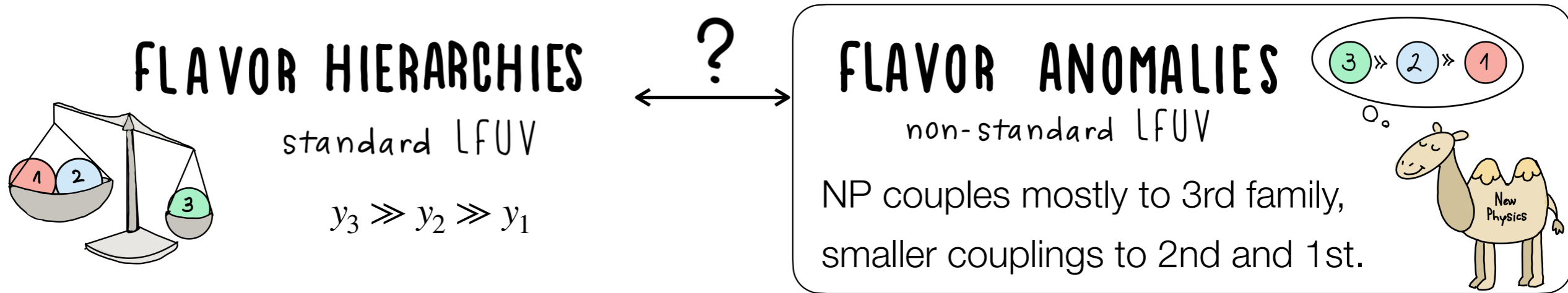
exact $U(2)^5$

$$Y = y_3 \begin{bmatrix} \Delta & V \\ 0 & 1 \end{bmatrix}$$

minimally broken
 $U(2)^5$

$$|V_q| = \epsilon_q = \mathcal{O}(y_t |V_{ts}|) \quad |\Delta_{u,d,e}| \sim y_{c,s,\mu}$$

Why both?



$$U(2)^5 = U(2)_q \times U(2)_l \times U(2)_u \times U(2)_d \times U(2)_e$$

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SM masses & mixings, “flavored”
alternative to MFV [Barbieri et al., 1105.3396]

Same pattern?
[Barbieri et al., 1512.01560]

$$Y = y_3 \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

exact $U(2)^5$

NP coupled only to 3rd family

$$Y = y_3 \begin{bmatrix} \Delta & V \\ 0 & 1 \end{bmatrix}$$

minimally broken
 $U(2)^5$

NP max for 3rd family,
suppressed by ϵ_q (ϵ_l)
for each 2nd family quark (lepton)

$$|V_q| = \epsilon_q = \mathcal{O}(y_t |V_{ts}|) \quad |\Delta_{u,d,e}| \sim y_{c,s,\mu}$$

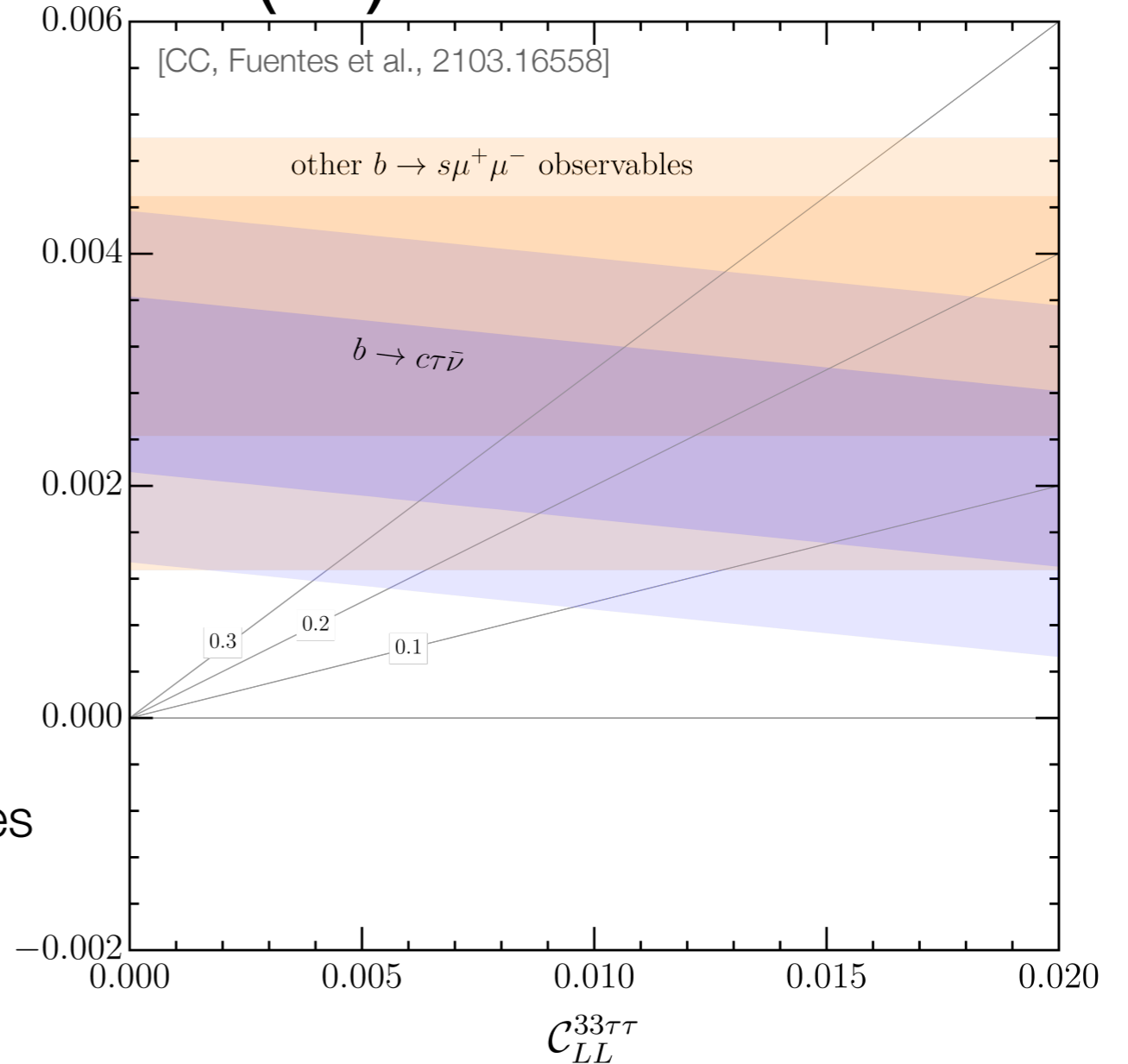
EFT for combined explanations (LL)

$$\mathcal{L}_{\text{EFT}}^{\text{NP}} = -\frac{2}{v^2} C_{LL}^{ij\alpha\beta} (\bar{q}_L^i \gamma^\mu l_L^\alpha) (\bar{l}_L^\beta \gamma_\mu q_L^j)$$

► Data support U(2) scaling,

$$\begin{array}{l} \begin{array}{l} c \\ b \rightarrow c \\ b \rightarrow s \end{array} \begin{array}{l} C_{LL}^{33\tau\tau} \\ C_{LL}^{23\tau\tau} \\ C_{LL}^{23\mu\mu} \end{array} \end{array} \sim \begin{array}{l} 0.1 \\ \epsilon_q C_{LL}^{33\tau\tau} \\ \epsilon_q \epsilon_l^2 C_{LL}^{33\tau\tau} \end{array} \quad \epsilon_q, \epsilon_l \sim 0.1$$

► good consistency between the anomalies



$$\frac{R_{D^{(*)}}}{R_{D^{(*)}}^{\text{SM}}} - 1 = 2\text{Re} \left(C_{LL}^{33\tau\tau} + \frac{V_{cs}}{V_{cb}} C_{LL}^{23\tau\tau} \right)$$

EFT for combined explanations (LL)

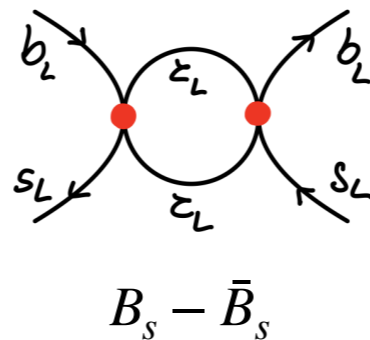
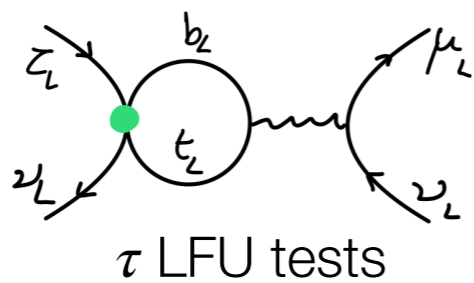
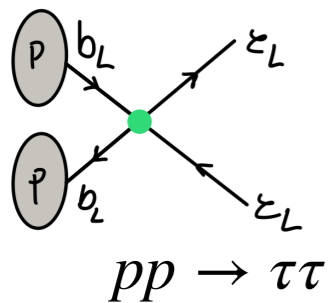
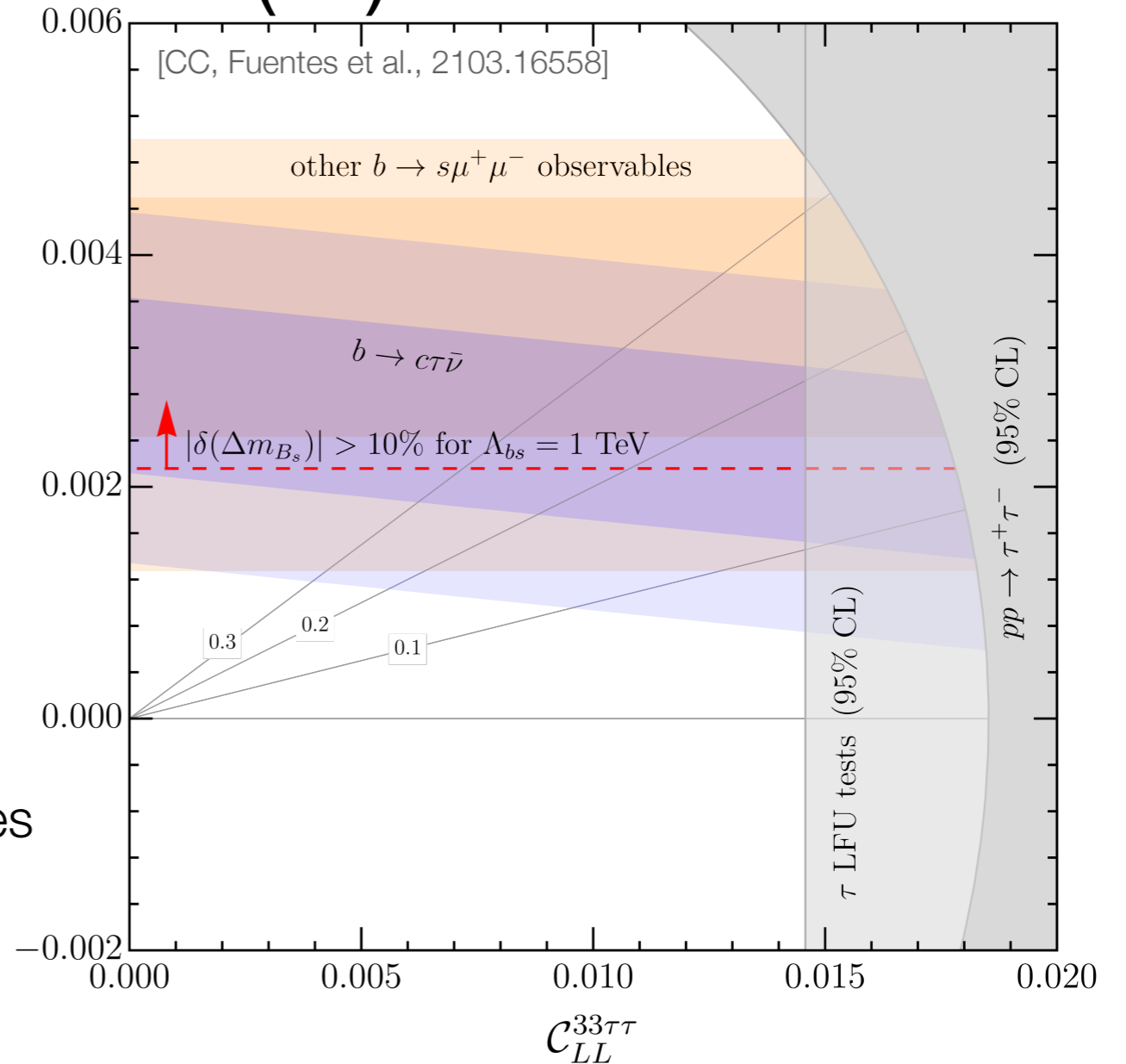
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► Data support U(2) scaling,

$$\begin{aligned} & \begin{array}{l} b \rightarrow c \\ b \rightarrow b \\ b \rightarrow s \end{array} \begin{array}{l} C_{LL}^{33\tau\tau} \\ C_{LL}^{23\tau\tau} \\ C_{LL}^{23\mu\mu} \end{array} \sim \begin{array}{l} 0.1 \\ \epsilon_q C_{LL}^{33\tau\tau} \\ \epsilon_q \epsilon_l^2 C_{LL}^{33\tau\tau} \end{array} \quad \epsilon_q, \epsilon_l \sim 0.1 \end{aligned}$$

► good consistency between the anomalies

► several constraints (driven by $R_{D^{(*)}}$)

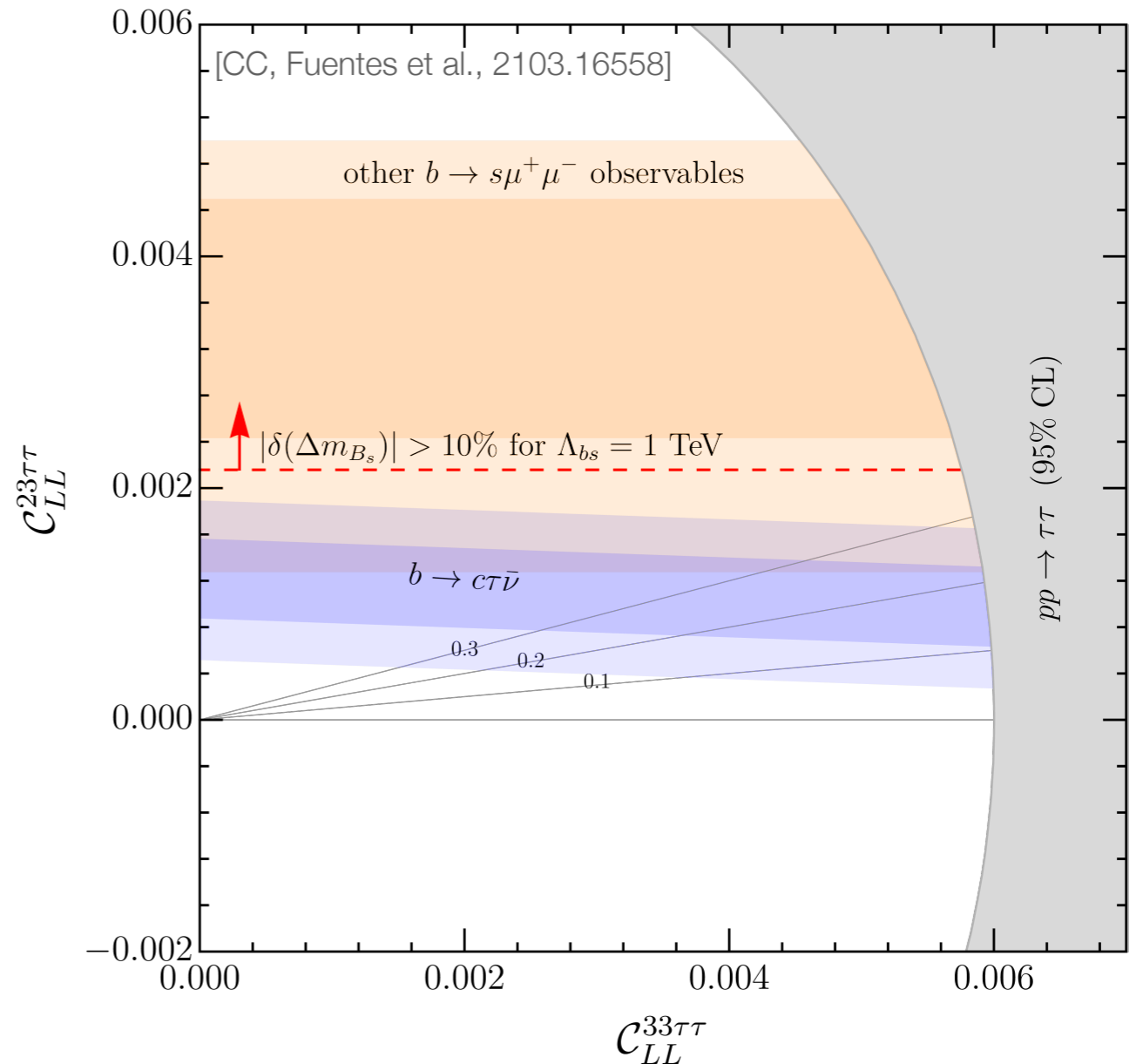


$$\frac{R_{D^{(*)}}}{R_{D^{(*)}}^{\text{SM}}} - 1 = 2\text{Re} \left(C_{LL}^{33\tau\tau} + \frac{V_{cs}}{V_{cb}} C_{LL}^{23\tau\tau} \right)$$

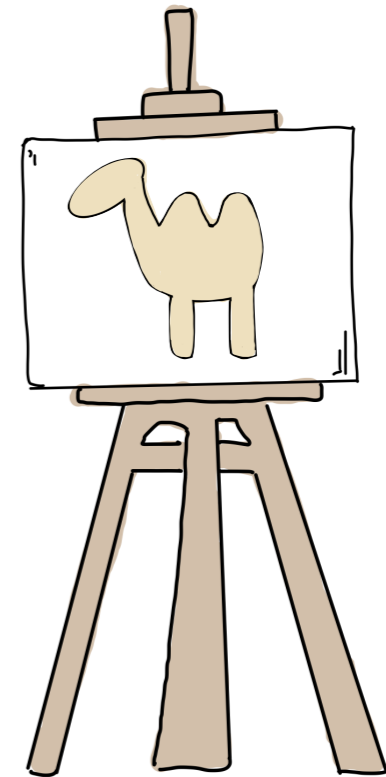
EFT for combined explanations (LL + LR)

$$\mathcal{L}_{\text{EFT}}^{\text{NP}} = -\frac{2}{v^2} \left[C_{LL}^{ij\alpha\beta} (\bar{q}_L^i \gamma^\mu l_L^\alpha) (\bar{l}_L^\beta \gamma_\mu q_L^j) + \left(C_{LR}^{ij\alpha\beta} (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) (\bar{e}_R^\beta \gamma^\mu d_R^j) + \text{h.c.} \right) + C_{RR}^{ij\alpha\beta} (\bar{d}_R^i \gamma_\mu e_R^\alpha) (\bar{e}_R^\beta \gamma^\mu d_R^j) \right]$$

- ▶ LR helps saturating $R_{D^{(*)}}$
 - τ LFU and $B_s - \bar{B}_s$ less stringent.
- ▶ Both chiralities enter $pp \rightarrow \tau\tau$
 - stronger high- p_T bounds.



NP interpretation (II):
the U_1 simplified model



Which mediator?

- ▶ Only leptoquarks (scalars & vectors) are viable tree-level mediators
 - ✓ no 4-lepton and 4-quark processes at tree level
 - ✓ no resonant production in quark-quark initiated processes

▶ Three possibilities for a combined explanation:

- $S_1 + S_3$ [Crivellin et al 1703.09226; Buttazzo et al. 1706.07808; Marzocca 1803.10972...]
- $R_2 + S_3$ [Bečirević et al., 1806.05689]
- $U_1 \sim (\mathbf{3}, \mathbf{1}, 2/3)$ [di Luzio et al., 1708.08450; Calibbi et al., 1709.00692; Bordone, CC, et al. 1712.01368; Barbieri, Tesi 1712.06844; Heck, Teresi 1808.07492...]

✓ no $b \rightarrow s\nu\bar{\nu}$ at tree level

Model	$R_{K^{(*)}}$	$R_{D^{(*)}}$	$R_{K^{(*)}} \& R_{D^{(*)}}$
$S_3 \ (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$	✓	✗	✗
$S_1 \ (\bar{\mathbf{3}}, \mathbf{1}, 1/3)$	✗	✓	✗
$R_2 \ (\mathbf{3}, \mathbf{2}, 7/6)$	✗	✓	✗
$U_1 \ (\mathbf{3}, \mathbf{1}, 2/3)$	✓	✓	✓
$U_3 \ (\mathbf{3}, \mathbf{3}, 2/3)$	✓	✗	✗

[Sumensari et al., 2103.12504]

The U_1 simplified model

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^\mu \left[\beta_L^{i\alpha} (\bar{q}_{L,i}^i \gamma_\mu \ell_L^\alpha) + \beta_R^{i\alpha} (\bar{d}_{R,i}^i \gamma_\mu e_R^\alpha) \right] + \text{h.c.} \quad U_1 \sim (\mathbf{3}, \mathbf{1}, 2/3)$$

$$\beta^L = \begin{pmatrix} 0 & 0 & \beta_{d\tau}^L \\ 0 & \beta_{s\mu}^L & \beta_{s\tau}^L \\ 0 & \beta_{b\mu}^L & \beta_{b\tau}^L \end{pmatrix} \quad \beta^R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \beta_{b\tau}^R \end{pmatrix}$$

$R_{K(*)}$ $R_{D(*)}$ $\tau \rightarrow \mu\gamma$ [loop] $b \rightarrow s\tau\mu$ [tree] $\beta_{b\tau}^L = 1$
 $b \rightarrow s\tau\tau$ [tree] $\beta_{b\tau}^R \sim \mathcal{O}(1)$
 $\beta_{s\tau}^L, \beta_{b\mu}^L \sim \mathcal{O}(0.1)$
 $\beta_{s\mu}^L, \beta_{d\tau}^L \sim \mathcal{O}(0.01)$

- Benchmarks:**
1. $\beta_{b\tau}^R = 0$
 2. $|\beta_{b\tau}^R| = |\beta_{b\tau}^L| = 1$ [models with 3rd family quark-lepton unification]

✓ Good description of all low-energy data with U(2)-like flavor structure.

The U_1 simplified model

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^\mu \left[\beta_L^{i\alpha} (\bar{q}_{L^i} \gamma_\mu \ell_L^\alpha) + \beta_R^{i\alpha} (\bar{d}_{R^i} \gamma_\mu e_R^\alpha) \right] + \text{h.c.} \quad U_1 \sim (\mathbf{3}, \mathbf{1}, 2/3)$$

$$\beta^L = \begin{pmatrix} & & \text{light gray} \\ & \text{light gray} & \text{dark gray} \\ \text{light gray} & \text{dark gray} & \text{black} \end{pmatrix} \quad \beta^R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \beta_{b\tau}^R \end{pmatrix}$$

R_{K^*} R_{D^*} $\tau \rightarrow \mu\gamma$ [loop] $b \rightarrow s\tau\mu$ [tree]

$b \rightarrow s\tau\tau$ [tree]

$\beta_{b\tau}^L = 1$
 $\beta_{b\tau}^R \sim \mathcal{O}(1)$
 $\beta_{s\tau}^L, \beta_{b\mu}^L \sim \mathcal{O}(0.1)$
 $\beta_{s\mu}^L, \beta_{d\tau}^L \sim \mathcal{O}(0.01)$

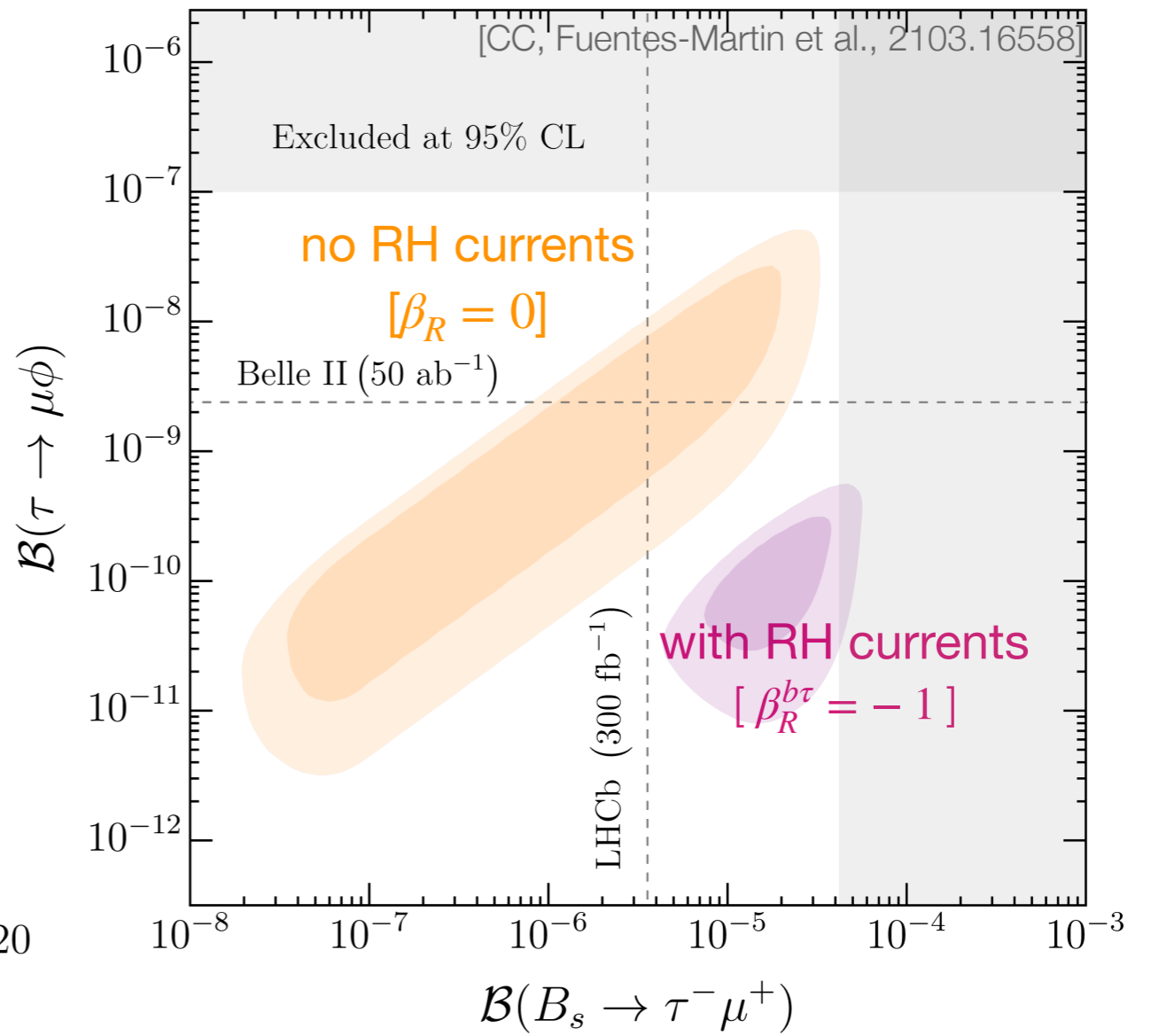
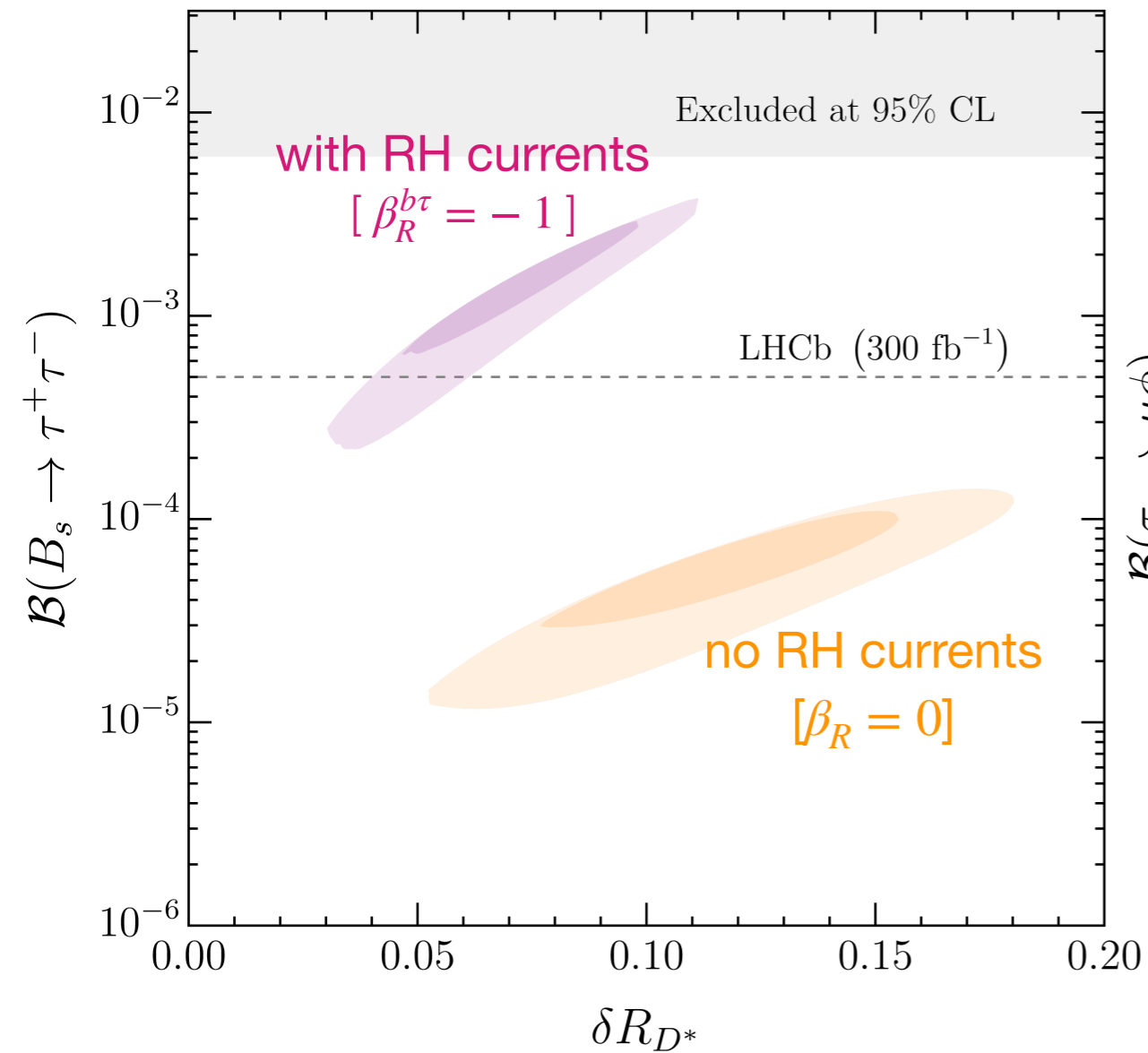
- Benchmarks:**
1. $\beta_{b\tau}^R = 0$
 2. $|\beta_{b\tau}^R| = |\beta_{b\tau}^L| = 1$ [models with 3rd family quark-lepton unification]

✓ Good description of all low-energy data with U(2)-like flavor structure.

Low-energy predictions for the U_1

► large $b \rightarrow s\tau\tau$

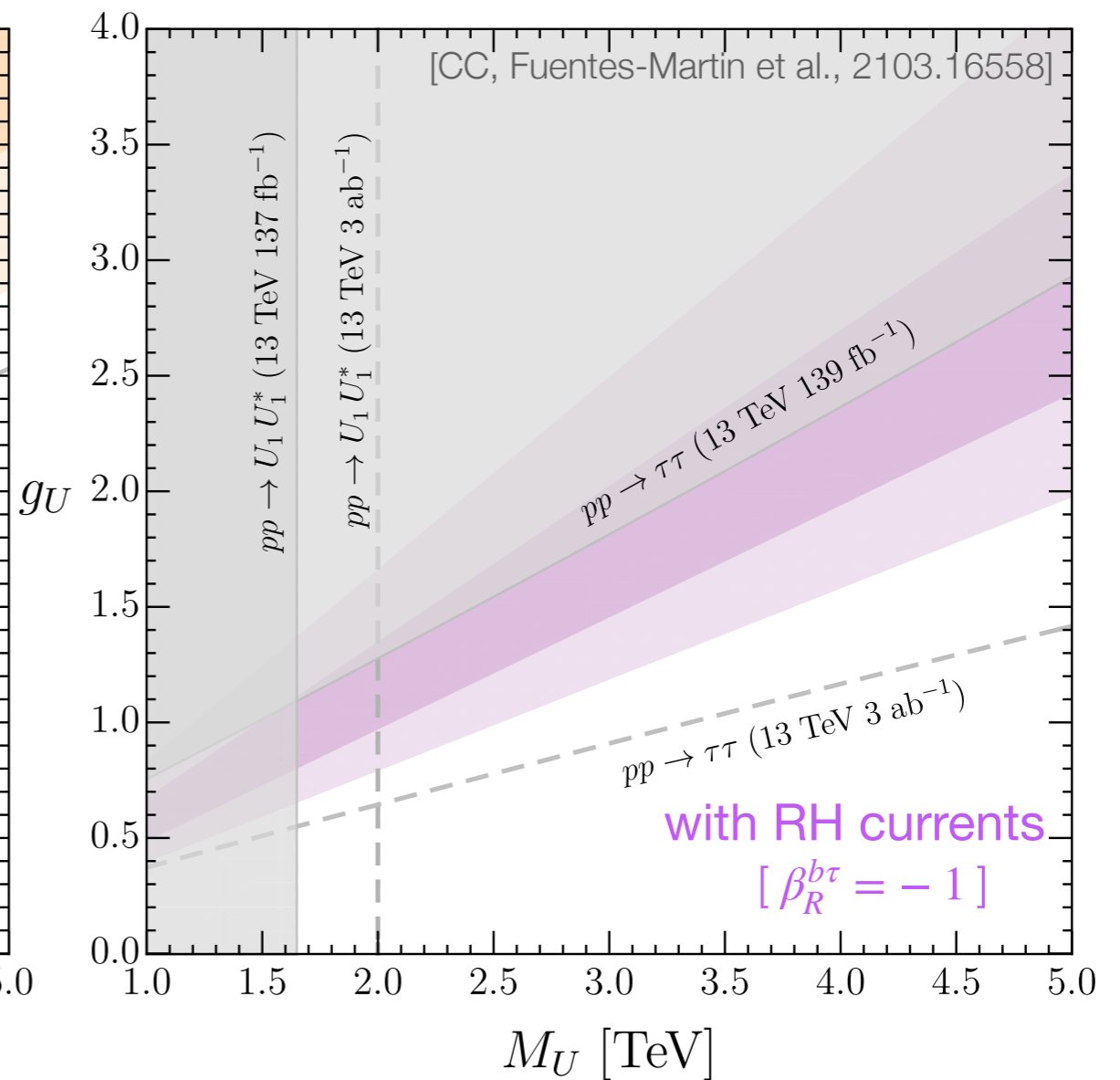
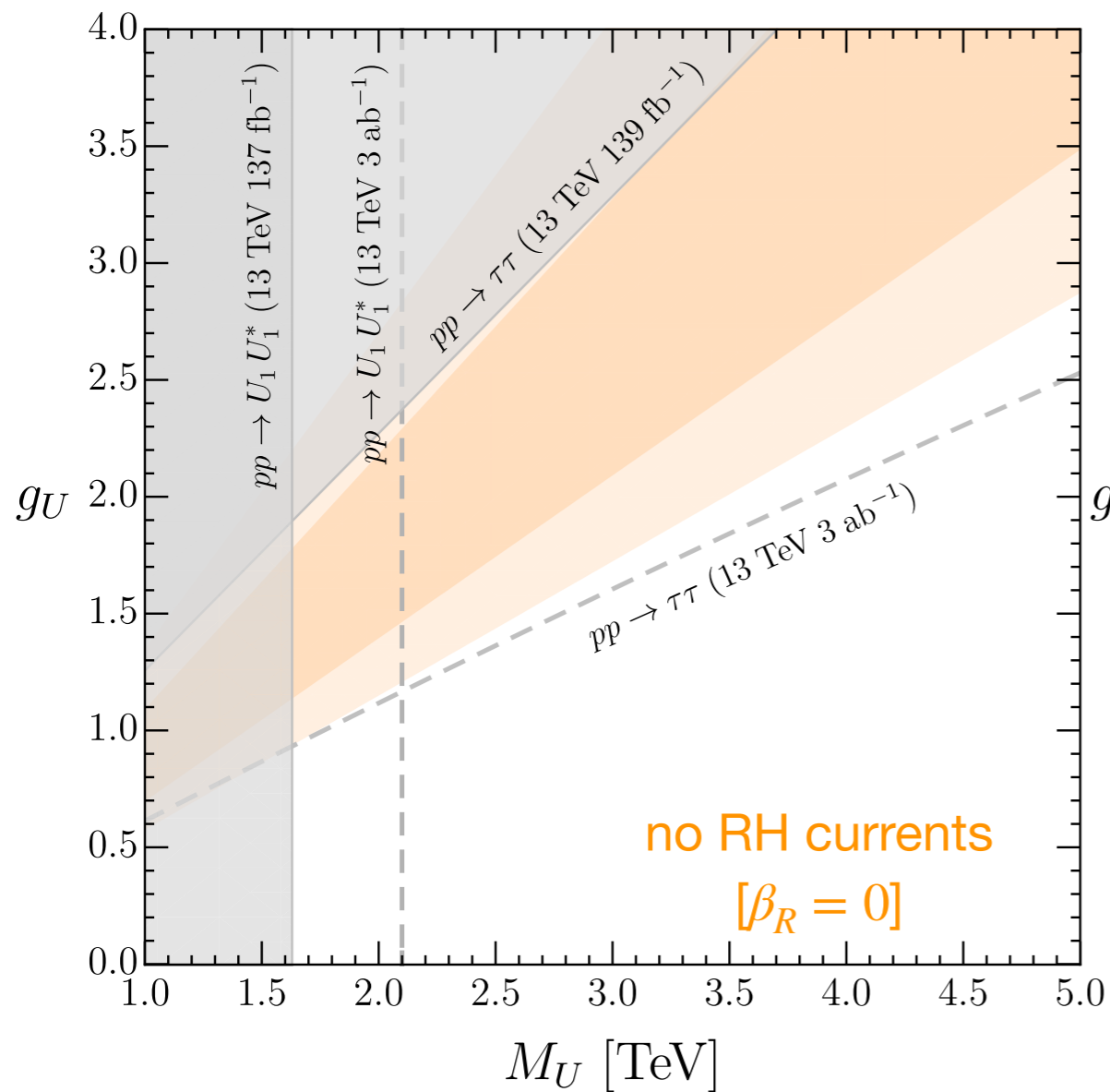
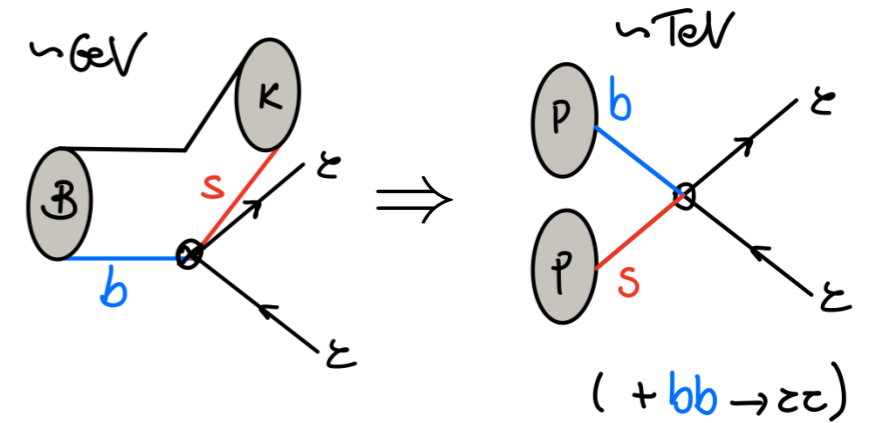
► large τ/μ LFV in $b \rightarrow s\tau\mu$ and τ decays



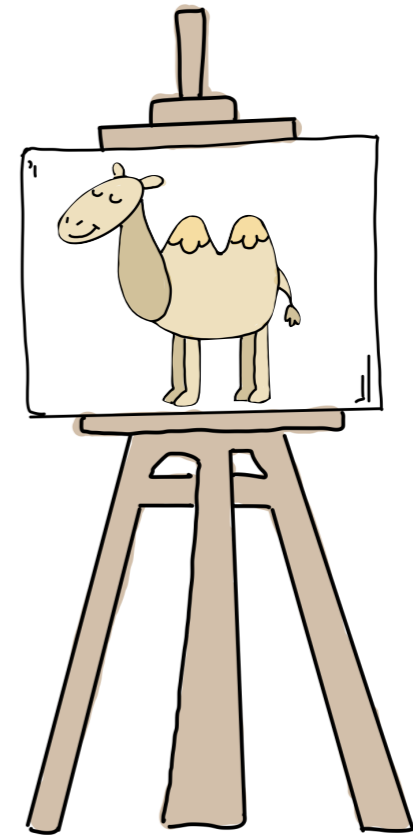
High- p_T bounds for the U_1

The same interaction can be probed in **di-tau tails**.

Expected excess in $pp \rightarrow \tau^+ \tau^-$! [Faroughy et al, 1609.07138]



NP interpretation (III):
UV completing the U_1



UV-completing the U_1 : the gauge path

[Pati, Salam, Phys. Rev. D10 (1974) 275]

$$U_1 \sim (3,1,2/3) \longrightarrow SU(4) \longrightarrow \text{PS} = SU(4) \times SU(2)_L \times SU(2)_R$$

$$SU(4) \sim \begin{pmatrix} G^a & U^\alpha \\ (U^\alpha)^* & Z' \end{pmatrix} \quad \psi_{L,R} = \begin{bmatrix} q_{L,R}^\alpha \\ q_{L,R}^\beta \\ q_{L,R}^\gamma \\ l_{L,R}^\delta \end{bmatrix} \quad \text{PS/SM} \ni U_1, Z'$$

(strongly coupled & flavor universal*)

- ✗ flavor-blind U_1 mediates $K_L \rightarrow \mu e \Rightarrow m_{U_1} \gtrsim 100 \text{ TeV}$
- ✗ *extra fermions can make the U_1 non-universal, not the Z'
- ✗ strongly coupled, universal Z' would be excessively produced at the LHC

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$$\mathcal{G}_{4321} = SU(4) \times SU(3)' \times SU(2)_L \times U(1)' \quad 4321/\text{SM} \ni U_1, Z', G' \sim (8,1,0)$$

- ✓ $SU(4)$ decorrelated from $SU(3)_c$. High-pT problem solved for $g_4 \gg g_1, g_3$
- ✓ both Z' and U_1 can be flavor non-universal

[Georgi and Y. Nakai, 1606.05865;
Diaz, Schmaltz, Zhong, 1706.05033;
Di Luzio, Greljo, Nardecchia, 1708.08450]

Non-universality via mixing

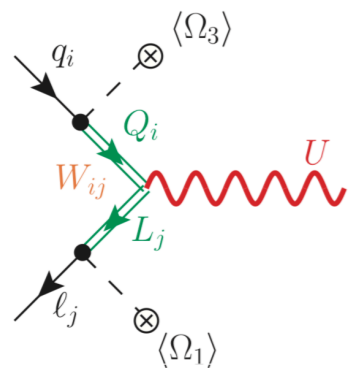
[di Luzio, Greljo, Nardecchia, 1708.08450;
di Luzio, Fuentes-Martin, Greljo, Nardecchia, Renner 1808.00942]

$$\mathcal{G}_{4321} = SU(4) \times SU(3)' \times SU(2)_L \times U(1)'$$

► flavor-universal gauge interactions

- all SM families have SM-like charges under 321
- only vector-like fermions are charged under 4

- no direct NP couplings to SM fields.



- flavor structure of U_1 interactions for B anomalies generated via hierarchical choice of mixing angles
→ 3rd family has to be the “most composite”
- can have U_1 coupled only to left-handed SM fields

- Yukawa couplings as in the SM. No connection flavor anomalies & hierarchies.

$$\Psi = \begin{pmatrix} Q \\ L \end{pmatrix}$$

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$
$q_L^{i\prime}$	1	3	2	1/6
$u_R^{i\prime}$	1	3	1	2/3
$d_R^{i\prime}$	1	3	1	-1/3
$\ell_L^{i\prime}$	1	1	2	-1/2
$e_R^{i\prime}$	1	1	1	-1
Ψ_L^i	4	1	2	0
Ψ_R^i	4	1	2	0
H	1	1	2	1/2
Ω_3	$\bar{4}$	3	1	1/6
Ω_1	$\bar{4}$	1	1	-1/2

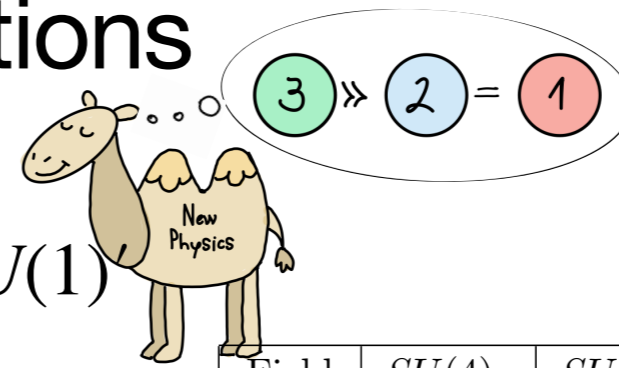
SM fields
all families

vectorlike
fermions

scalar
sector

Non-universal gauge interactions

[Bordone, CC, Fuentes-Martin, Isidori 1712.01368, 1805.09328; Greljo, Stefanek, 1802.04274; CC, Fuentes-Martin, Isidori 1903.11517]



$$\mathcal{G}_{4321} = SU(4)_3 \times SU(3)'_{1+2} \times SU(2)_L \times U(1)_{Y'}$$

► flavor non-universal gauge interactions

- light SM families: SM-like charges under 321
- vectorlike fermions and 3rd SM family charged under 4

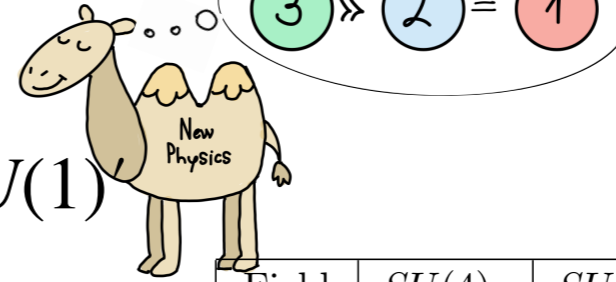
- accidental $U(2)^5$ $\psi = (\psi_1 \psi_2 \psi_3)$
- direct NP coupling to 3rd SM family (L+R)
- TeV scale 3rd family quark-lepton unification

$i = 1, 2$

Field	$SU(4)_3$	$SU(3)_{1+2}$	$SU(2)_L$	$U(1)_{Y'}$	SM fields
q_L^i	1	3	2	1/6	1st & 2nd family
u_R^i	1	3	1	2/3	
d_R^i	1	3	1	-1/3	
ℓ_L^i	1	1	2	-1/2	
e_R^i	1	1	1	-1	
ψ_L^3	4	1	2	0	3rd family
$\psi_{R_{u,d}}^3$	4	1	1	$\pm 1/2$	
χ_L^i	4	1	2	0	vectorlike fermions
χ_R^i	4	1	2	0	
H	1	1	2	1/2	scalar sector
Ω_1	$\bar{4}$	1	1	-1/2	
Ω_3	$\bar{4}$	3	1	1/6	
Ω_{15}	15	1	1	0	

Non-universal gauge interactions

[Bordone, CC, Fuentes-Martin, Isidori 1712.01368, 1805.09328; Grejlo, Stefanek, 1802.04274; CC, Fuentes-Martin, Isidori 1903.11517]



$$\mathcal{G}_{4321} = SU(4)_3 \times SU(3)'_{1+2} \times SU(2)_L \times U(1)_{Y'}$$

► flavor non-universal gauge interactions

- light SM families: SM-like charges under 321
- vectorlike fermions and 3rd SM family charged under 4

- accidental $U(2)^5$ $\psi = (\psi_1 \psi_2) \psi_3$
- direct NP coupling to 3rd SM family (L+R)
- TeV scale 3rd family quark-lepton unification

► $U(2)^5$ broken by SM-vectorlike mixing

Leading breaking:

- U_1 couplings to light families for B anomalies
- 2-3 CKM mixing
- ✓ connection flavor anomalies & hierarchies!

Subleading breaking:

constrained by $K_L \rightarrow \mu e$,
 $K-\bar{K}$ and $D-\bar{D}$

Field	$SU(4)_3$	$SU(3)_{1+2}$	$SU(2)_L$	$U(1)_{Y'}$	SM fields
q_L^i	1	3	2	1/6	1st & 2nd family
u_R^i	1	3	1	2/3	
d_R^i	1	3	1	-1/3	
ℓ_L^i	1	1	2	-1/2	
e_R^i	1	1	1	-1	
ψ_L^3	4	1	2	0	3rd family
$\psi_{R,u,d}^3$	4	1	1	$\pm 1/2$	
χ_L^i	4	1	2	0	vectorlike fermions
χ_R^i	4	1	2	0	
H	1	1	2	1/2	scalar sector
Ω_1	$\bar{4}$	1	1	-1/2	
Ω_3	$\bar{4}$	3	1	1/6	
Ω_{15}	15	1	1	0	

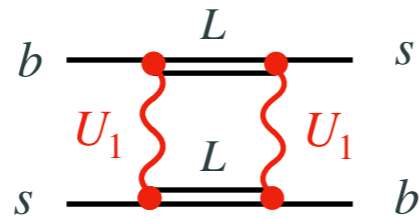
$i = 1, 2$

*Mild 2-3 down alignment required to suppress G' and Z' contribution to $B_s-\bar{B}_s$

UV-sensitive low-energy observables

[Selimovic et al., 2009.11296]
 [CC, Fuentes et al., 2103.16558]

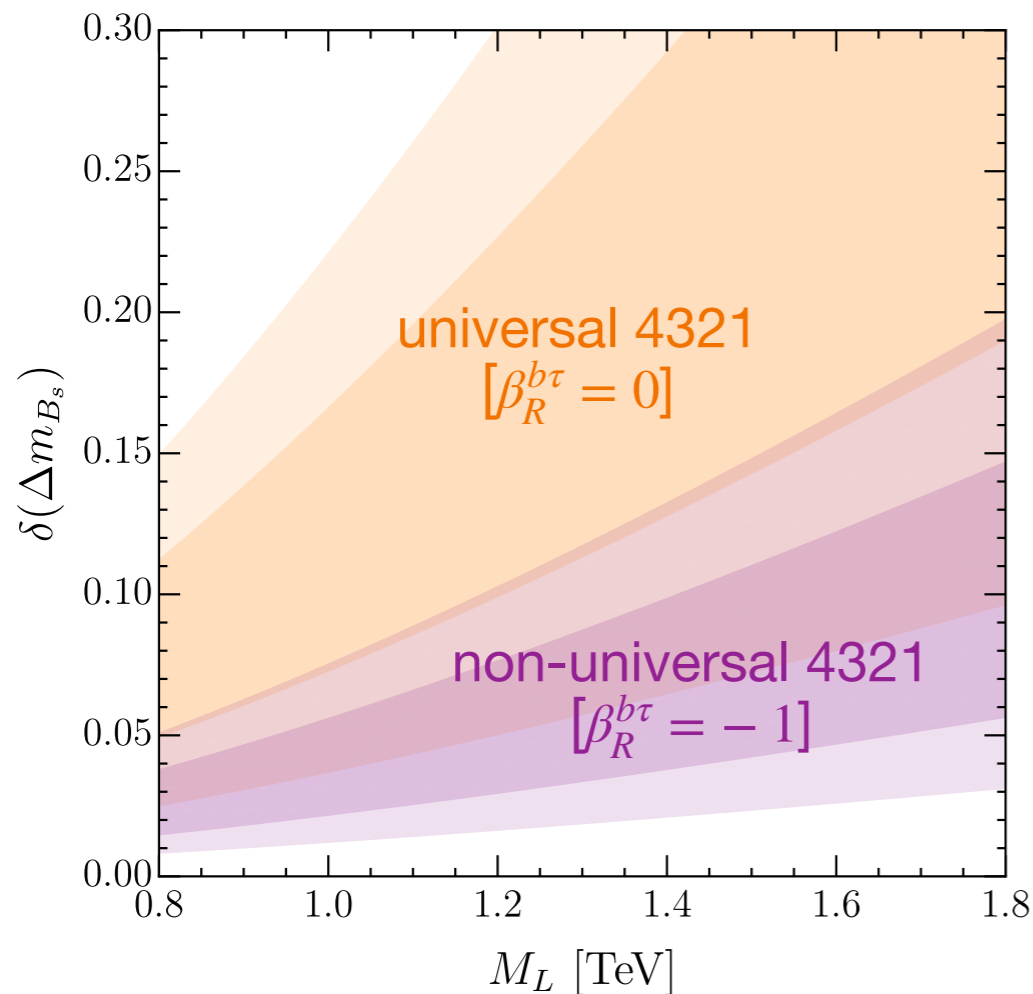
► $B_s - \bar{B}_s$ mixing



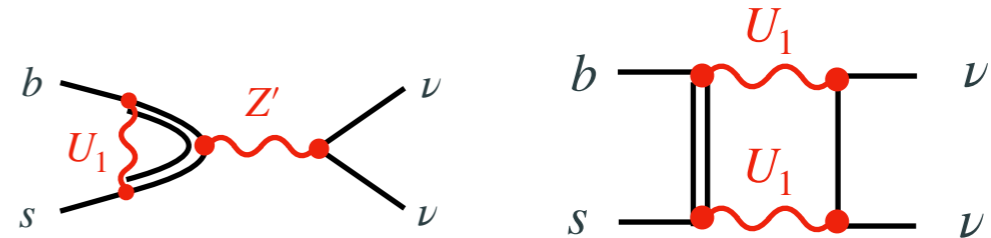
$$\frac{C_{bs}^{\text{NP-tree}}}{C_{bs}^{\text{SM}}} \propto (\beta_L^{s\tau^*})^2 M_L^2$$

→ $U(2)_q$ breaking (for $R_{D^{(*)}}$)

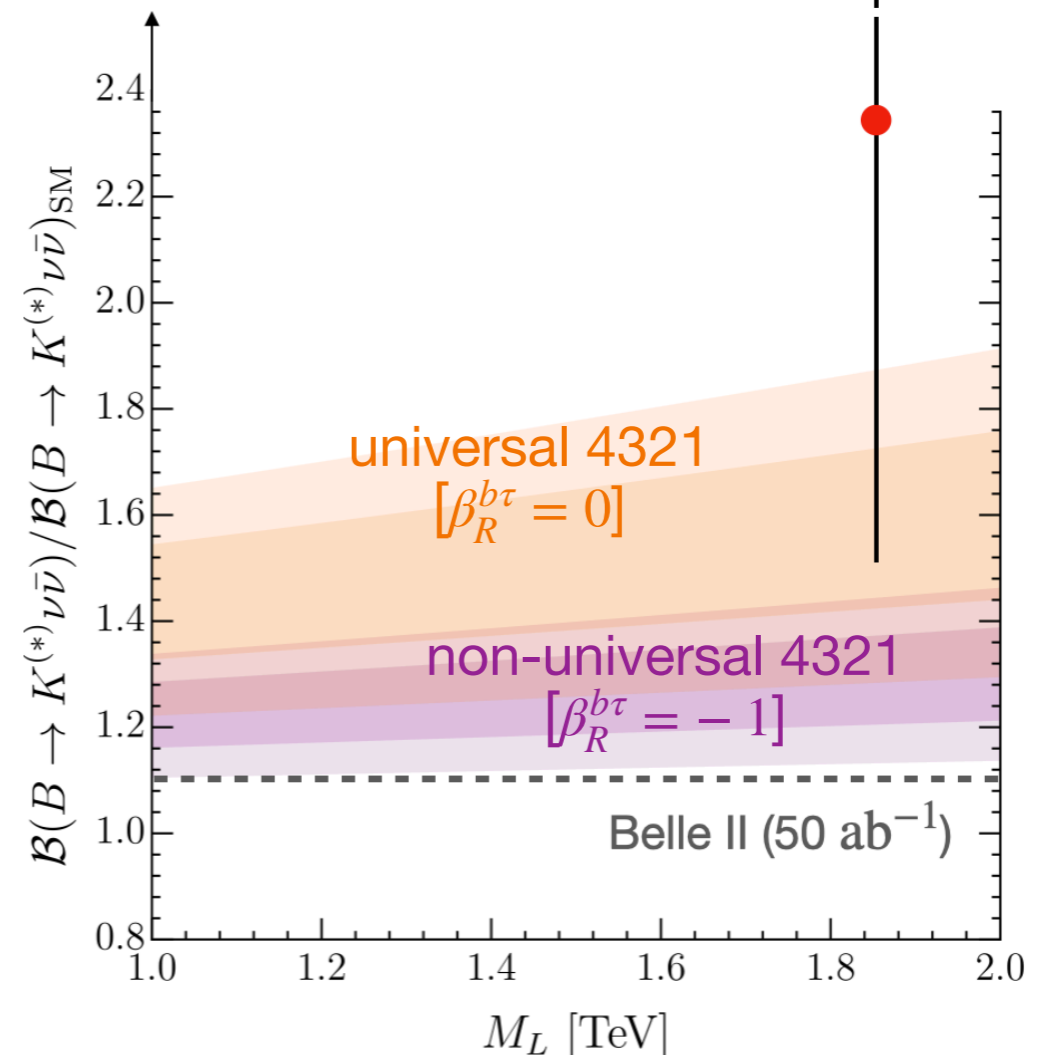
→ vector-like leptons must be light,
 in the reach of the LHC



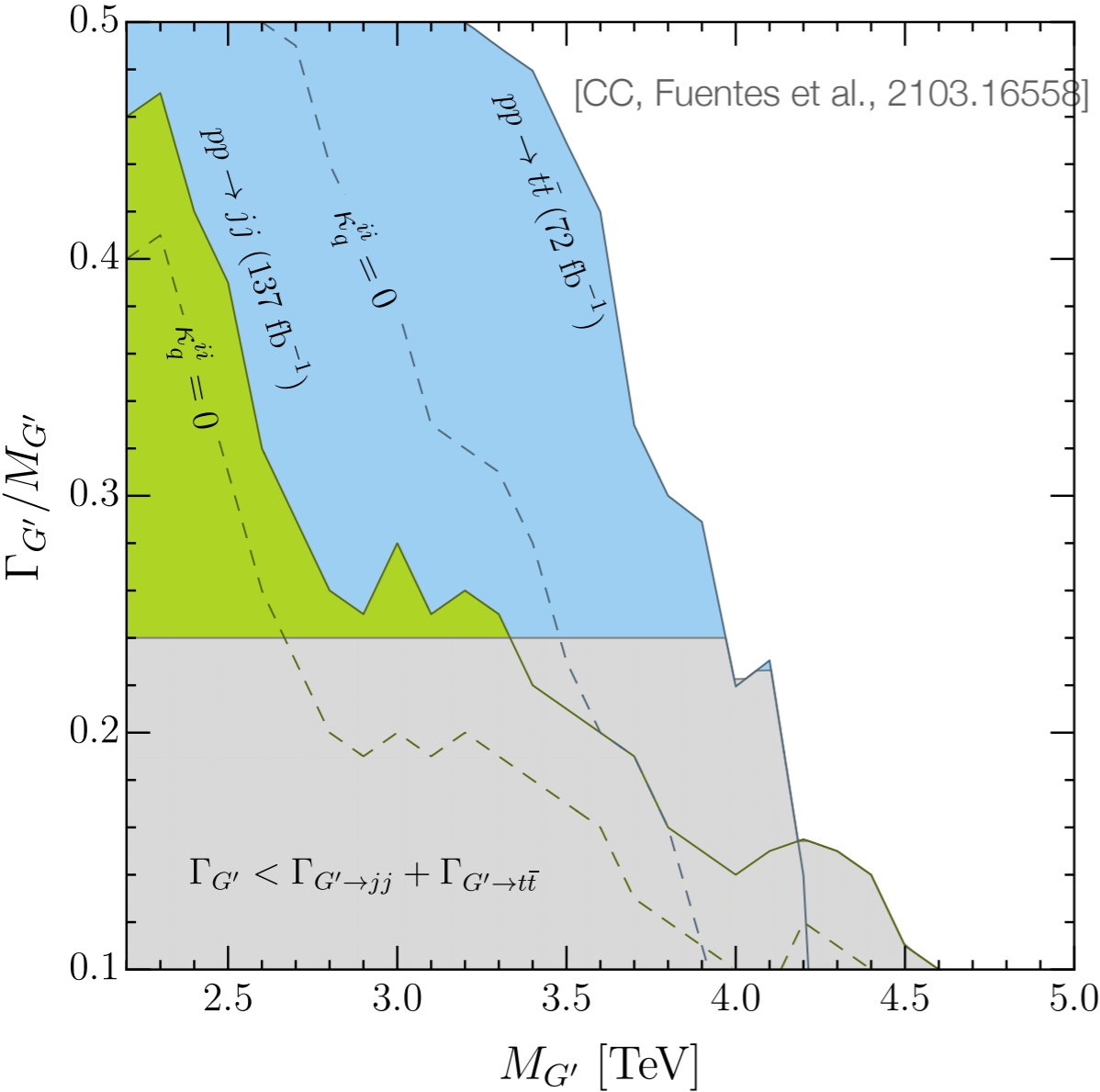
► $B \rightarrow K\nu\bar{\nu}$



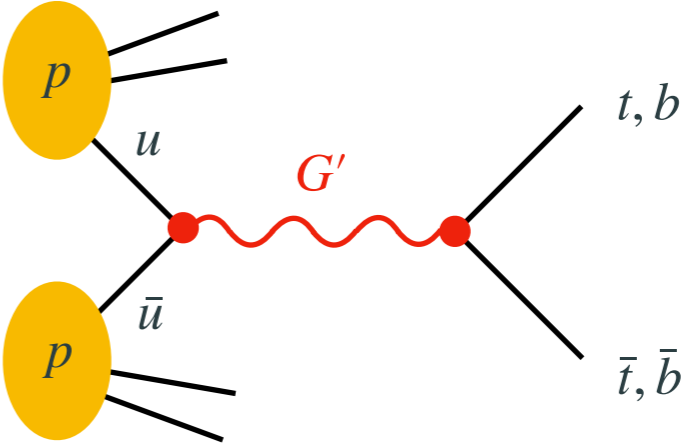
→ 20-50% enhancement over the SM (also
 driven by $R_{D^{(*)}}$), in the reach of Belle II



Coloron direct searches at the LHC



Relevant collider signatures for G'
 (“coloron” = heavy color-octet vector)



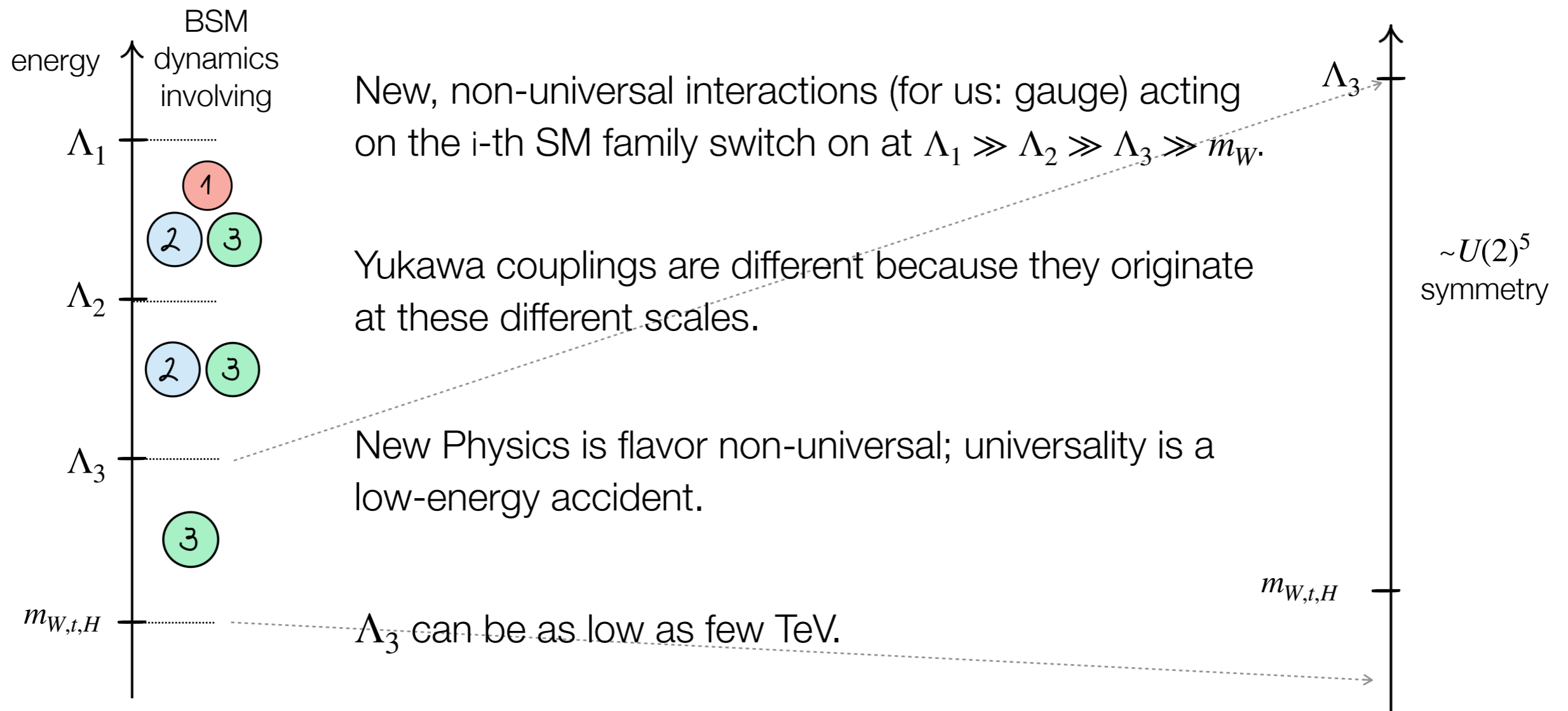
→ G' -mediated $pp \rightarrow t\bar{t}$ gives the strongest constraint on the overall scale of the model!

$$M_{G'} \sim \sqrt{2} M_{LQ}$$

A three-scale picture

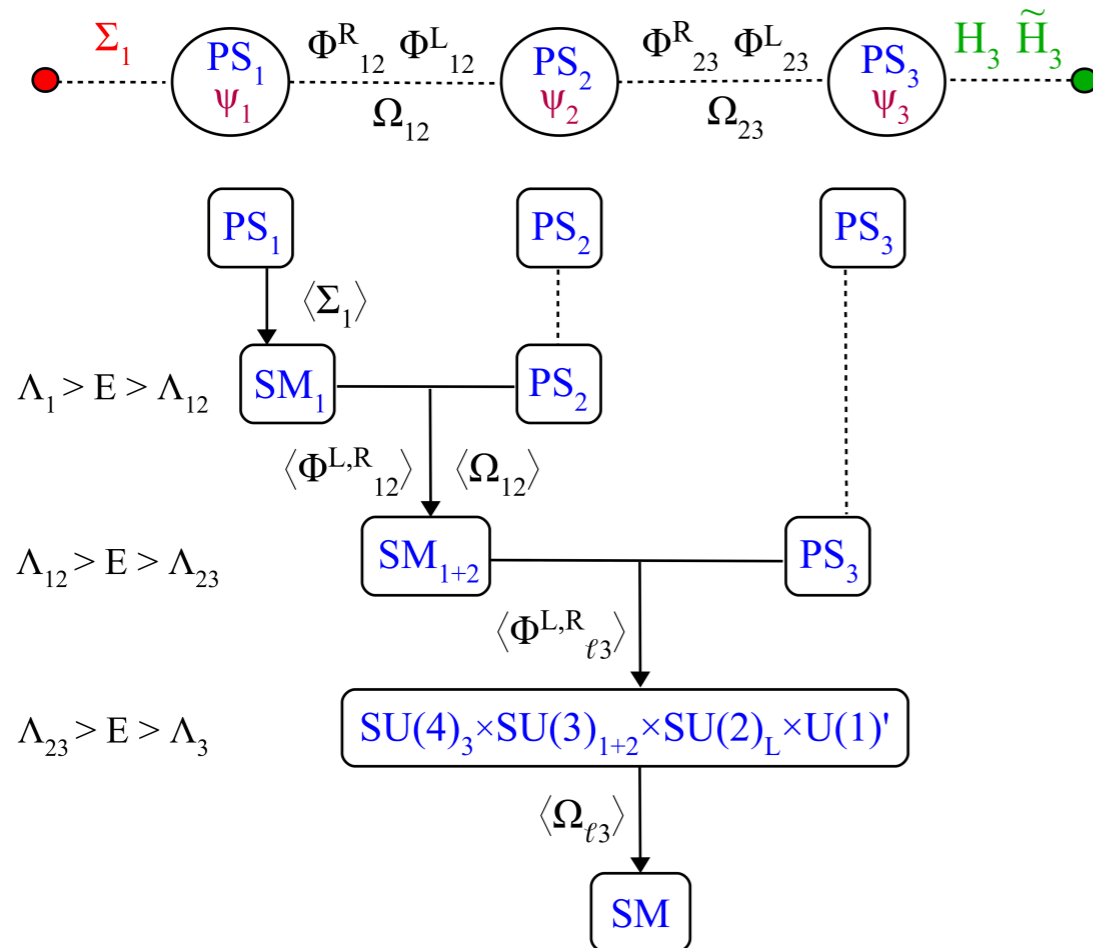
[Barbieri, 2103.15635,
Bordone, CC, Fuentes, Isidori 1712.01368
Panico, Pomarol, 1603.06609 Dvali, Shifman, '00, ...]

B anomalies might hint at a three-scale picture:



Non-universal Pati-Salam unification

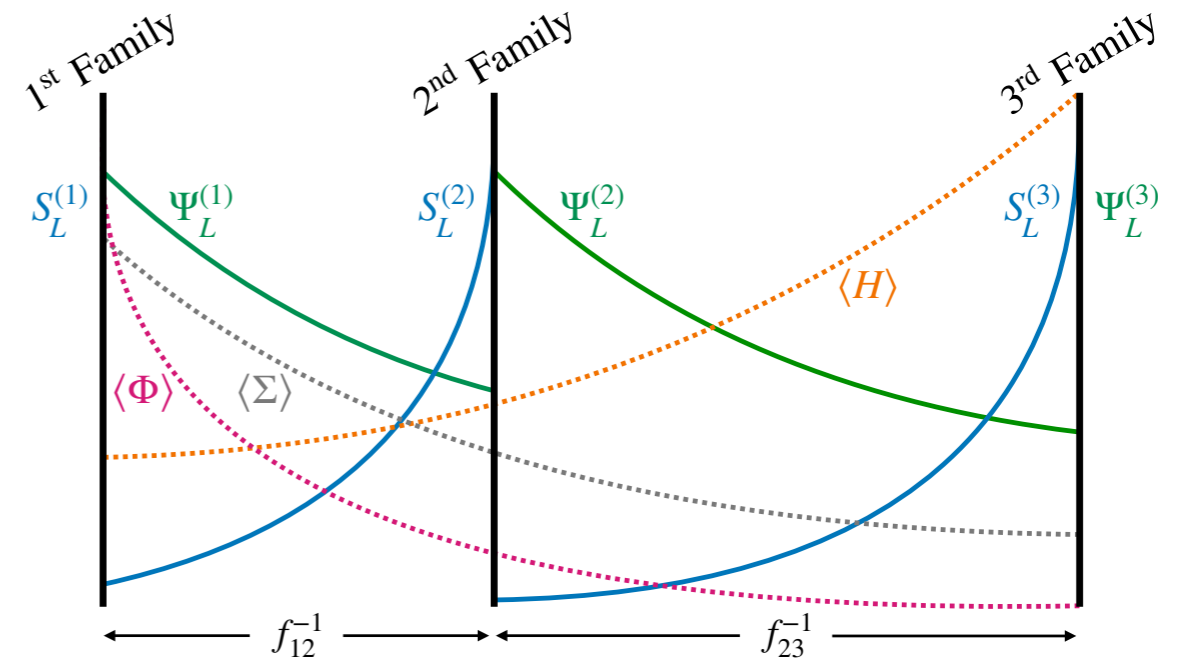
► PS³: 4D three-site model



[Bordone, CC, Fuentes, Isidori 1712.01368]

► 5D construction

warped compact extra dimension with multiple 4-dimensional branes



[Fuentes-Martin, Isidori, Pagès, Stefanek, 2012.10492]

[Fuentes-Martin, Isidori, Lizana, Stefanek, Selimovic, in progress]

NP interpretation (IV): $g-2$ in 4321 models

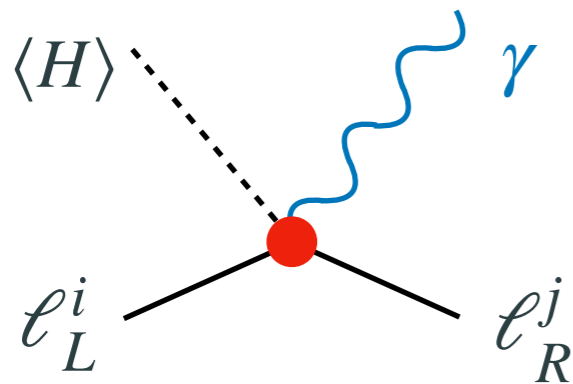
[inspired by Ben Stefanek's Virtual Seminar @ Peking and
Beijing Flavor Anomaly Seminar Series on June 17, 2021]

$(g - 2)_\mu$ as New Physics

The discrepancy is roughly the same size as the SM electroweak contribution:

$$\Delta a_\mu \equiv a_\mu^{\text{exp}} - a_\mu^{\text{SM}} \approx (a_\mu^{\text{SM}})_{\text{EW}} \approx \frac{m_\mu^2}{16\pi^2} \times \frac{4 G_F}{\sqrt{2}} \approx 3 \times 10^{-9}$$

→ New physics scale?



$$\Delta a_\mu^{\text{NP}} \approx \frac{g_{\text{NP}}^2}{16\pi^2} \frac{m_\mu^2}{M_{\text{NP}}^2}$$

$$\implies M_{\text{NP}} \sim v_{\text{EW}}$$

$$\Delta a_\mu^{\text{NP}} \approx \frac{g_{\text{NP}}^2}{16\pi^2} \frac{m_\mu m_f}{M_{\text{NP}}^2}$$

Chiral Enhancement

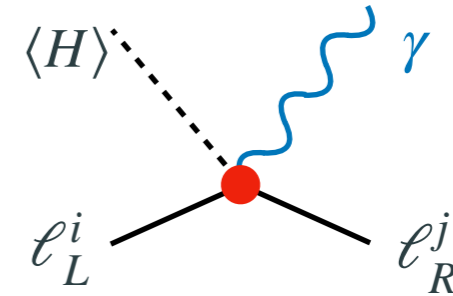
$$\implies M_{\text{NP}} \sim \sqrt{N_c} \begin{cases} 1 \text{ TeV} & m_f = m_b \\ 6 \text{ TeV} & m_f = m_t \end{cases}$$

(Max ~ 10 TeV)

*Another option is light NP $M_{\text{NP}} < v_{\text{EW}}$ with small couplings.

$(g - 2)_\mu$ as New Physics

$$\mathcal{L}_{\text{EFT}} \supset \frac{e}{16\pi^2} \frac{v}{\sqrt{2}} C_{\ell\ell'} (\bar{\ell}_L \sigma_{\mu\nu} \ell'_R F^{\mu\nu})$$



$\ell = \ell'$: Magnetic & electric moments

$\ell \neq \ell'$: cLFV, in particular $\ell \rightarrow \ell' \gamma$

$$(g - 2)_\mu \longrightarrow \text{Re}(C_{\mu\mu}) \approx (4 - 7) \times 10^{-3} \text{ TeV}^{-2}$$

$$\mu \rightarrow e \gamma \longrightarrow |C_{\mu e}| \lesssim 10^{-7} \text{ TeV}^{-2}$$

$$\text{eEDM} \longrightarrow \text{Im}(C_{ee}) \lesssim 10^{-10} \text{ TeV}^{-2}$$

$$\tau \rightarrow \mu \gamma \longrightarrow |C_{\tau\mu}| \lesssim 2 \times 10^{-3} \text{ TeV}^{-2}$$

[Calibbi, Lopez-Ibanez, Melis, Vives, 2104.03296]

\implies cLFV bounds require strong alignment $C_{\mu e}/C_{\mu\mu} \lesssim 10^{-5}$, $C_{\tau\mu}/C_{\mu\mu} \lesssim 0.5$

much stronger than what naively expected from Yukawa-like flavor structure:

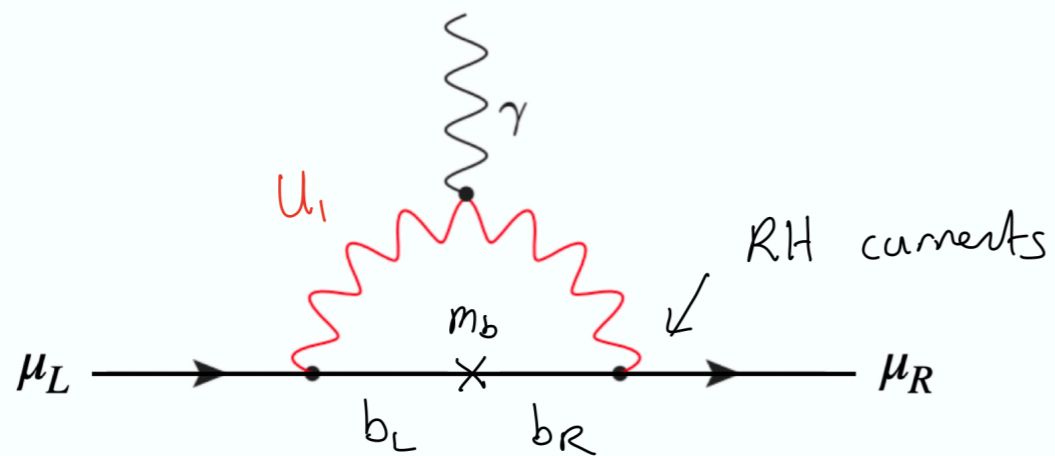
$$C_{\mu e}/C_{\mu\mu} \sim \sqrt{m_e/m_\mu} \approx 0.07, \quad C_{\tau\mu}/C_{\mu\mu} \sim \sqrt{m_\tau/m_\mu} \approx 4$$

\implies Not easy to reconcile $(g - 2)_\mu$ with both B anomalies.

U₁ for both anomalies and g-2?

We already know the U₁ leptoquark works for B-anomalies. What about for (g-2)?

Need large U₁ coupling to μ_R, b_R :



$$\Delta a_\mu \sim \frac{N_c}{4\pi^2} \frac{m_\mu m_b}{M_{LQ}^2} \frac{g_4^2}{2} s_{\mu_L} s_{\mu_R}$$

$$\sim 10^{-9} \left(\frac{3 \text{ TeV}}{m_{LQ}} \right)^2 \left(\frac{s_{\mu_L}}{0.2} \right) \left(\frac{s_{\mu_R}}{0.2} \right)$$

But RH currents generate the scalar operator $\mathcal{O}_s \sim \frac{m_b}{m_\mu} V_{ts} (\bar{s}_L b_R) (\bar{\mu}_R \mu_L)$

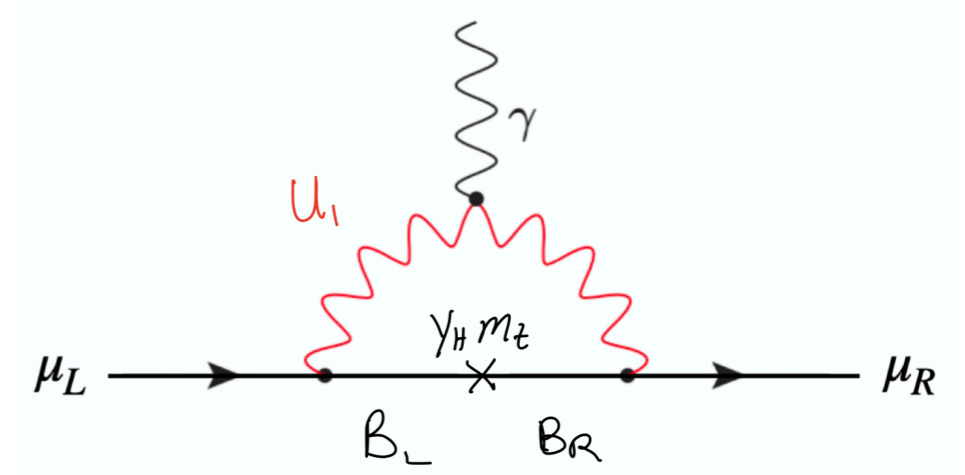
.....would give too large a contribution to $B_s \rightarrow \mu^+ \mu^-$!

U₁ for both anomalies and g-2?

Try with vector-like fermions in the loop:

$$\chi_{L,R} \sim (4, 1, 2, 0), \quad \chi \supset \begin{pmatrix} Q \\ L \end{pmatrix} \quad \xi \supset \begin{pmatrix} D \\ E \end{pmatrix}$$

$$\xi_{L,R} \sim (4, 1, 1, -1/2)$$



VL mass contribution from Higgs: $\mathcal{L} \supset -\bar{\chi}_L y_H H \xi_R - \bar{\chi}_R \tilde{y}_H H \xi_L$

$$\Delta a_\mu \sim \frac{N_c}{4\pi^2} \frac{m_\mu m_t}{M_{LQ}^2} \frac{g_4^2}{2} y_H s_{\mu_L} s_{\mu_R} \sim 3 \times 10^{-9} \left(\frac{4 \text{ TeV}}{m_{LQ}} \right)^2 \left(\frac{s_{\mu_L}}{0.2} \right) \left(\frac{s_{\mu_R}}{0.05} \right) \left(\frac{y_H}{1} \right)$$

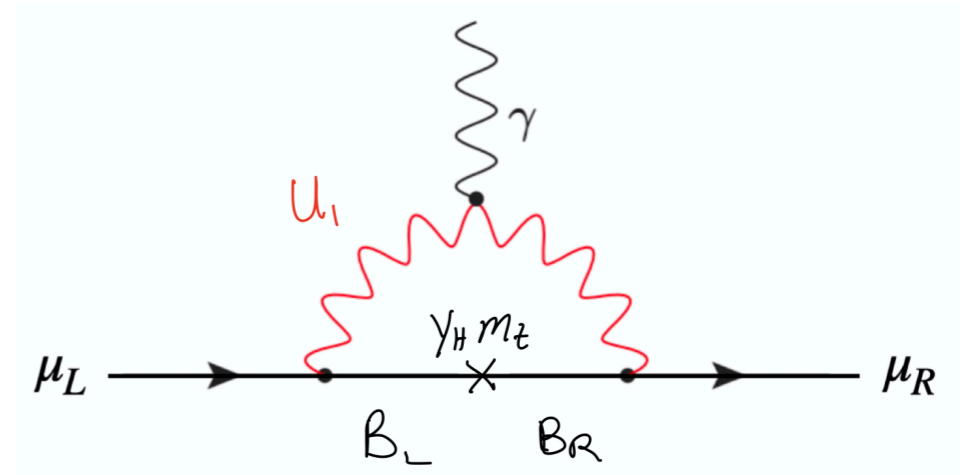
✓ for $y_H, \tilde{y}_H \sim 1$, get full chiral enhancement!

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✓ for $y_H, \tilde{y}_H \sim 1$, get full chiral enhancement!

✗ SM chiral - VL mixing gives large tree-level correction to down-type Yukawas

$$y_\mu = y_\mu^H + \delta y_\mu^{\text{mix}} + \delta y_\mu^{\text{loop}} \sim 6 \times 10^{-4} \quad \delta y_\mu^{\text{mix}} \sim y_H s_{\mu_L} s_{\mu_R} \sim 10^{-2}$$

✗ no control for cLFV. Main problem is the expectation for $\tau \rightarrow \mu\gamma$: $\frac{C_{\tau\mu}}{C_{\mu\mu}} \sim \frac{1}{s_{\mu_L}} \sim 5 \gg 0.5$

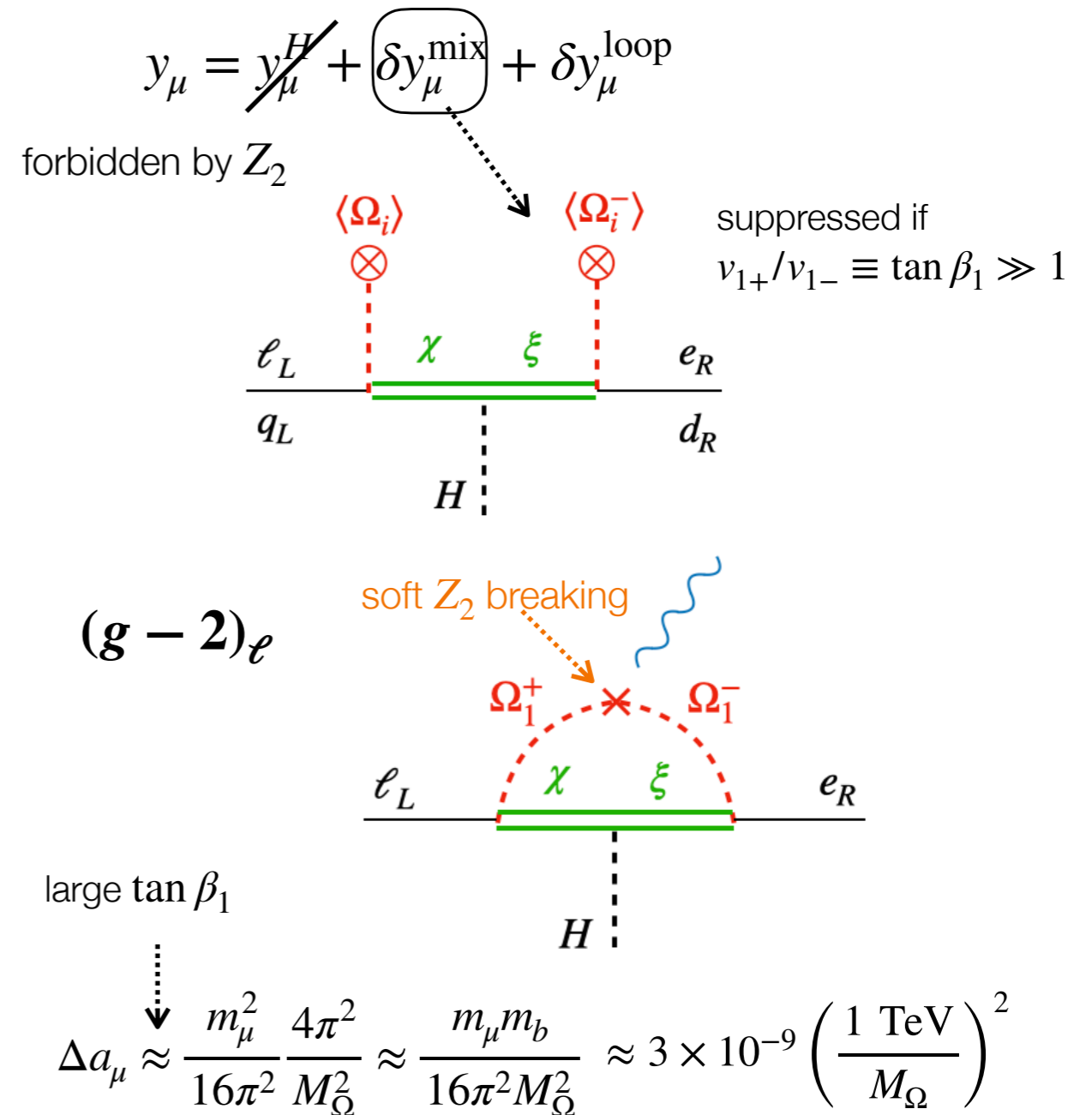
⇒ U₁ vector LQ as a single mediator fails.

A possible solution within universal 4321

Enlarge the scalar sector and add a softly broken Z_2 symmetry can work!

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)_X$	Z_2	Flavor
q_L^i	1	3	2	1/6	+	3_q
u_R^i	1	3	1	2/3	+	3_u
d_R^i	1	3	1	-1/3	-	3_d
ℓ_L^i	1	1	2	-1/2	+	3_ℓ
e_R^i	1	1	1	-1/2	-	3_ℓ
$\chi_{L,R}^i$	4	1	2	0	+	3_ℓ
$\xi_{L,R}^i$	4	1	1	-1/2	+	3_ℓ
H	1	1	2	1/2	+	1
Ω_1^\pm	$\bar{4}$	1	1	-1/2	\pm	1
Ω_3^\pm	$\bar{4}$	3	1	1/6	\pm	1
Ω_{15}	15	1	1	0	+	1

[Fuentes-Martin, Greljo, Stefanek, Thomsen, in progress]



Conclusions and outlook

B anomalies could be the manifestation of a new interaction violating LFU.

In the coming years, on-going experiments will have the final word about their nature!

- ▶ Taken together, they point to TeV-scale leptoquark(s) coupled dominantly to the 3rd family.
 - flavor non-universal gauge interactions?
 - multi-scale picture at the origin of flavor?

Explaining also the $(g-2)$ is possible, but requires additional ingredients.

- ▶ Consistent picture, but present data in $b \rightarrow c\tau\nu$ require NP to be quite close: if $R_{D^{(*)}}$ stays, we NP effects must show up soon, at low and high energy.
Need experimental corroboration to guide us.

