

# SiPM photosensors for RICH

# SiPM option for RICH optical readout



- **pros**

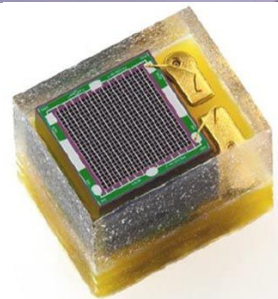
- cheap
- high photon efficiency
- excellent time resolution
- insensitive to magnetic field



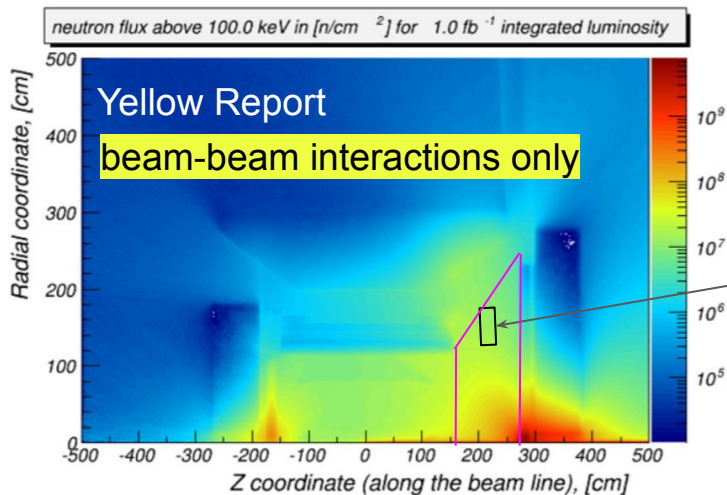
- **cons**

large dark count rates  
not radiation tolerant

28.0855	14
Atomic mass	Atomic number
<b>Si</b>	
Silicon	
786.5	1.90
First ionization energy	Electronegativity



# Neutron fluxes and SiPM radiation damage



Most of the key physics topics discussed in the EIC White Paper [2] are achievable with an integrated luminosity of  $10 \text{ fb}^{-1}$  corresponding to 30 weeks of operations. One notable exception is studying the spatial distributions of quarks and gluons in the proton with polarized beams. These measurements require an integrated luminosity of up to  $100 \text{ fb}^{-1}$  and would therefore benefit from an increased luminosity of  $10^{34} \text{ cm}^{-2} \text{ sec}^{-1}$ .

possible location of dRICH photosensors  
neutron fluence for  $1 \text{ fb}^{-1} \rightarrow 1\text{--}5 \cdot 10^7 \text{ n}/\text{cm}^2$  ( $> 100 \text{ keV} \sim 1 \text{ MeV } n_{\text{eq}}$ )

- radiation level is moderate
- magnetic field is high(ish)

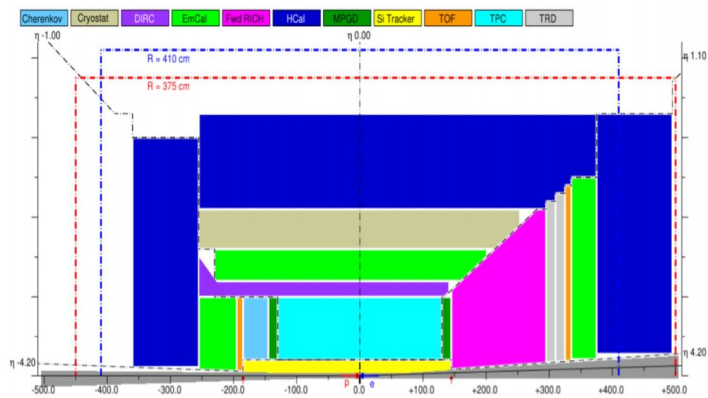
R&D on SiPM as potential photodetector for dRICH, main goal  
**study SiPM usability for Cherenkov up to  $10^{11} \text{ 1-MeV } n_{\text{eq}}/\text{cm}^2$**

notice that  $10^{11} n_{\text{eq}}/\text{cm}^2$  would correspond to 2000-10000  $\text{fb}^{-1}$  integrated  $\mathcal{L}$   
quite a long time of EIC running before we reach there, if ever  
it would be between 6-30 years of continuous running at  $\mathcal{L} = 10^{34} \text{ s}^{-1} \text{ cm}^{-2}$

→ better do study in smaller steps of radiation load

$10^9 \text{ 1-MeV } n_{\text{eq}}/\text{cm}^2$   
 $10^{10} \text{ 1-MeV } n_{\text{eq}}/\text{cm}^2$   
 $10^{11} \text{ 1-MeV } n_{\text{eq}}/\text{cm}^2$

*most of the key physics topics  
should cover most demanding measurements  
possibly never reached*



## **Updated radiation load studies from ATHENA are in backup**

$10^{11}$  1-MeV  $n_{eq}/\text{cm}^2$  at dRICH sensor location is reached after 10 years of operations running at the maximum interaction rate (500 kHz)



# SiPM radiation damage and mitigation strategies

Radiation damages increase currents, affects  $V_{bd}$  and increase DCR  
With very high radiation loads can bring to baseline loss, but...

**does not seem to be a problem up to  $10^{11} n_{eq}/cm^2$  (if cooled,  $T = -30$  C)**

If the baseline is healthy, single-photon signals can be detected  
one can work on reducing the DCR with following mitigation strategies:

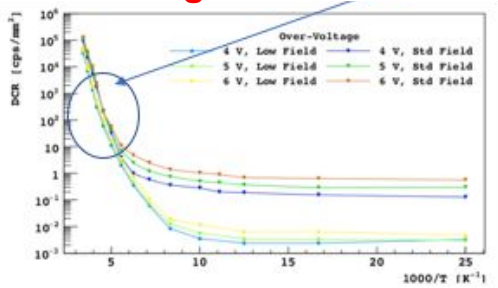
- Reduce operating temperatures (**cooling**)
- Use **timing**
- High-temperature **annealing** cycles

Key point for R&D on RICH optical readout with SiPM:

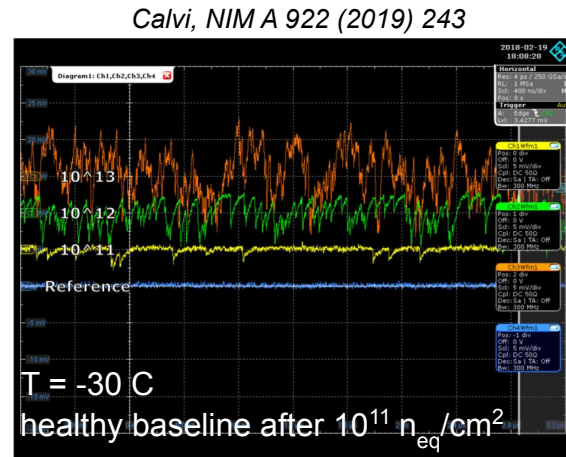
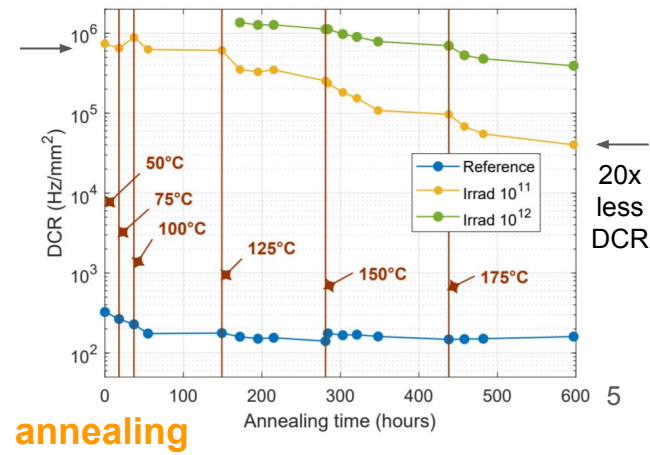
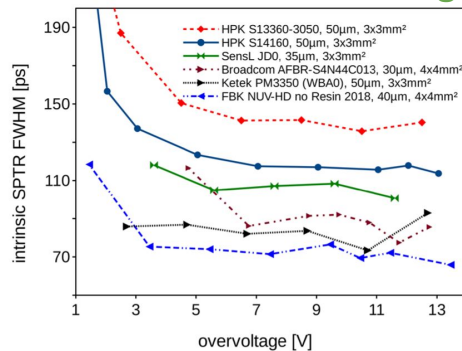
- demonstrate capacity to measure Single Photon
- keep DCR under control (ring imaging background)

despite radiation damages

**cooling**



**timing**



**annealing**

20x  
less  
DCR

# SiPM radiation damage and mitigation strategies

Radiation damages increase currents, affects  $V_{bd}$  and increase DCR

With very high radiation loads can bring to baseline loss, but...

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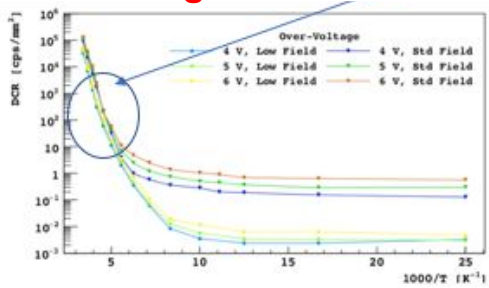
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- Reduce operating temperature
- Use **timing**
- High-temperature **annealing**

Key point for R&D on RICH optical

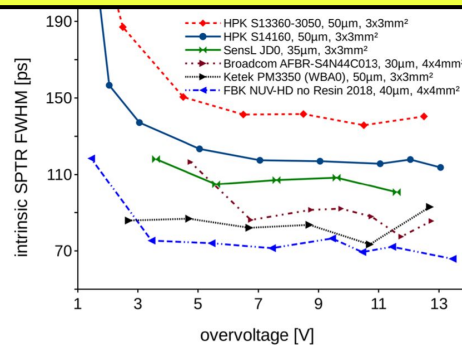
- demonstrate capacity to measure
  - keep DCR under control (ring)
- despite radiation damages

**cooling**

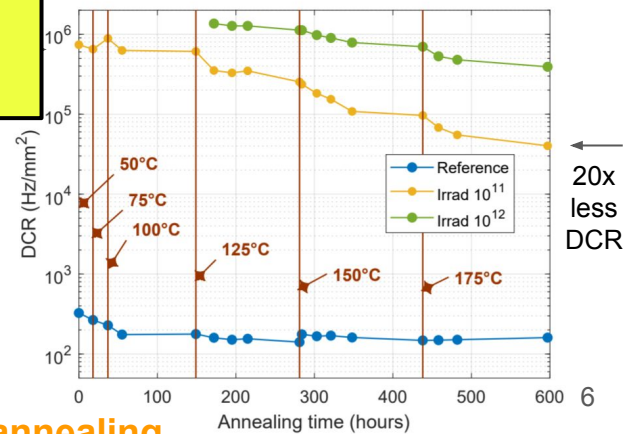
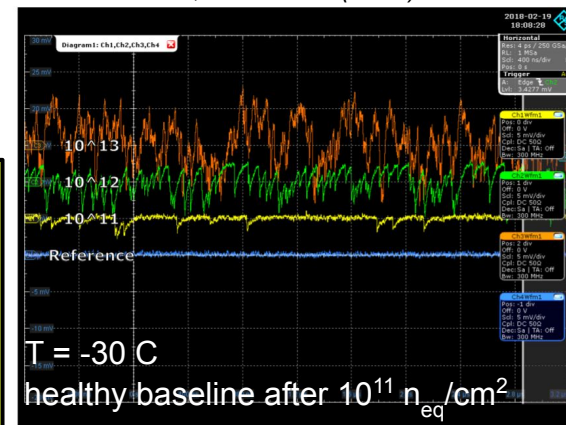


true for the sensors of Calvi which are  $1.3 \times 1.3 \text{ mm}^2$

for  $3 \times 3 \text{ mm}^2$  sensors the story is a bit different as we will see later  
**same DCR/mm²**  
**5x larger DCR/pixel**



Calvi, NIM A 922 (2019) 243



**annealing**

# where we are

*Garutti et al:* “Due to the increased DCR, the single photoelectron separation from noise is lost already at relatively low fluences  $\Phi_{eq} \sim 10^{10} \text{ cm}^{-2}$ . This limit depends on many factors related to the SiPM design and the operation conditions, so it should be tested for each specific application.”

this is what we are doing for the dRICH SiPM option

- **acquired multiple SiPM samples**
  - from different manufacturers
  - and of different types
- **developed electronic boards**
  - SiPM carrier boards
  - adapter boards
  - ASIC readout board
- **first irradiation campaign**
  - FBK prototypes
  - Hamamatsu sensors
  - NIEL:  $\sim 10^8 \ 10^9 \ 10^{10}$  and  $10^{11}$
- **high-temperature annealing**
  - Hamamatsu up to  $T = 150 \text{ C}$
  - FBK up to  $T = 125 \text{ C}$
- **characterisation and operation**
  - I-V characteristics
  - DCR and signal sampling
  - low temperature operation
  - with ALCOR ASIC readout

# Commercial SiPM sensors

board	sensor	uCell ( $\mu\text{m}$ )	$V_{\text{bd}}$ (V)	PDE (%)	DCR (kHz/mm <sup>2</sup> )	window	notes
HAMA1	S13360 3050VS	50	53	40	55	silicone	legacy model Calvi et. al
	S13360 3025VS	25	53	25	44	silicone	legacy model smaller SPAD
HAMA2	S14160 3050HS	50	38	50		silicone	newer model lower $V_{\text{bd}}$
	S14160 3015PS	15	38	32	78	silicone	smaller SPADs radiation hardness
SENSL	MICROFJ 30035	35	24.5	38	50	glass	different producer and lower $V_{\text{bd}}$
	MICROFJ 30020	20	24.5	30	50	glass	the smaller SPAD version
BCOM	AFBR S4N33C013	30	27	43	111	glass	commercially available FBK-NUVHD

PHOTON IS OUR BUSINESS  
**HAMAMATSU**

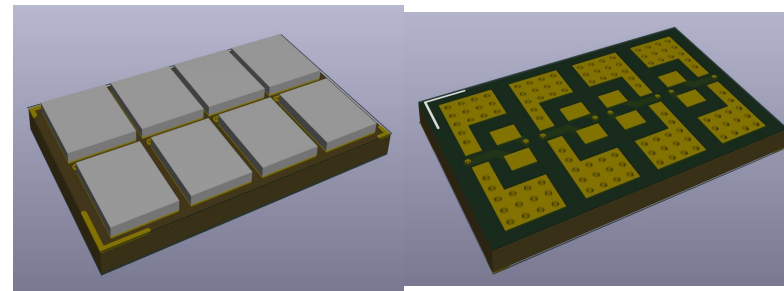


ON Semiconductor®

BROADCOM

# and FBK prototype sensors wire bonded on custom mini-tiles

**FBK has developed for us custom  
mini-tiles hosting 2x4 prototypes each**



**FBK**  
FONDAZIONE  
BRUNO KESSLER

## NUV-HD-RH

2

**NUV-HD-RH**

Technology under development  
optimized for radiation hardness in  
HEP experiments

- Cell pitch 15  $\mu\text{m}$  with high fill factor
- Fast recovery time – reduced cell occupancy  
Tau recharge < 15 ns
- Primary DCR @ +24°C ~ 40 kHz/mm<sup>2</sup>
- Correlated noise 10% @ 6 V

Active area  
X x Y = 3.0 x 3.1 mm

3.95 mm

3.10 mm

**IRIS**  
INTEGRATED RADIATION  
AND IMAGE SENSORS

October 5, 2020 FBK - Confidential

**FBK**  
FONDAZIONE  
BRUNO KESSLER

## NUV-HD-CHK

4

**NUV-HD big cells**

Technology similar to NUV-HD-Cryo  
Optimized for single photon timing

- Cell pitch 40  $\mu\text{m}$
- High PDE > 55%
- Primary DCR @ +24°C ~ 50 kHz/mm<sup>2</sup>
- Correlated noise 35% @ 6 V

3.36mm x 3.86mm

Active area  
X x Y = 3.2 x 3.1 mm<sup>2</sup>

**CONFIDENTIAL**

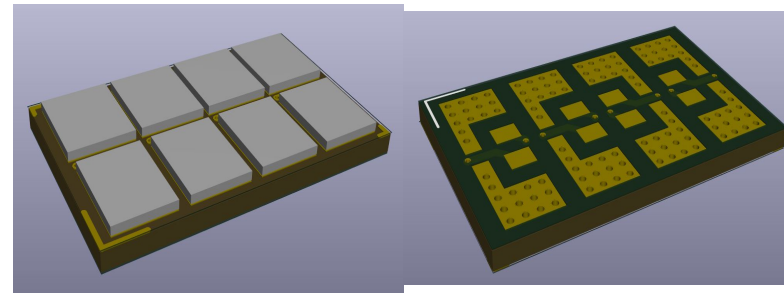
**IRIS**  
INTEGRATED RADIATION  
AND IMAGE SENSORS

October 5, 2020 FBK - Confidential



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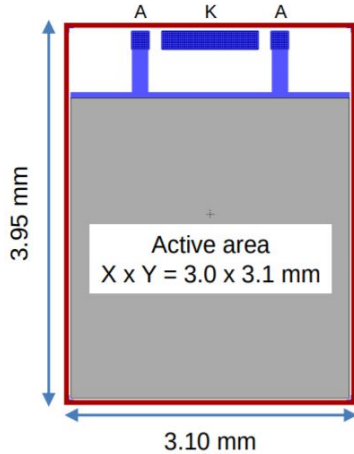
FBK has developed for us custom  
mini-tiles hosting 2x4 prototypes each



**opportunity!** for R&D in  
conjunction with FBK  
INFN-FBK collaboration agreement  
(and DarkSide experience)

possible future engineering runs  
layout changes, substrate, optimisation of  
SPAD size, ...

NUV-HD-P



- Cell pitch 15  $\mu\text{m}$
- Fast recovery time - reduced cell occupancy  
Tau recharge < 15 ns
- Primary DCR @ +24°C ~ 40 kHz/mm<sup>2</sup>
- Correlated noise 10% @ 6 V

X x Y = 3.2 x 3.1 mm<sup>2</sup>

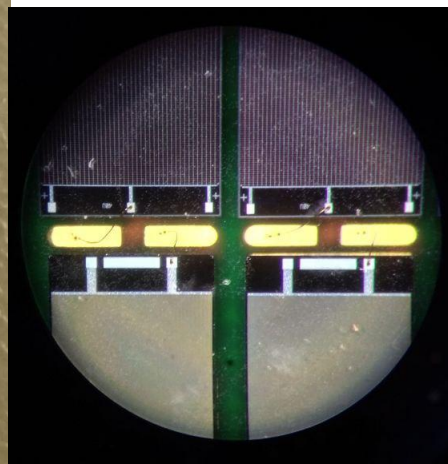
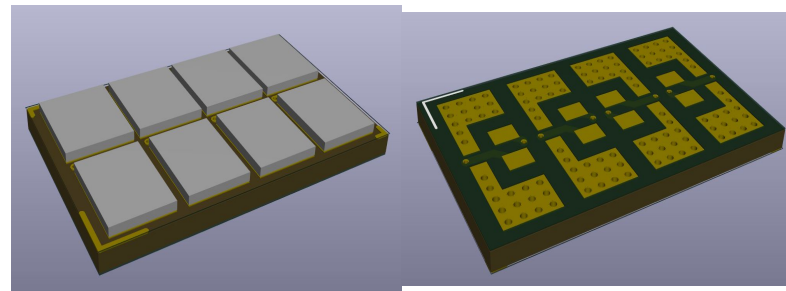
NUV-HD-CHK

NUV-HD big cells

Technology similar to NUV-HD-Cryo  
Optimized for single photon timing

- Cell pitch 40  $\mu\text{m}$
- High PDE > 55%
- Primary DCR @ +24°C ~ 50 kHz/mm<sup>2</sup>
- Correlated noise 35% @ 6 V

**CONFIDENTIAL**





# 1st irradiation round in May

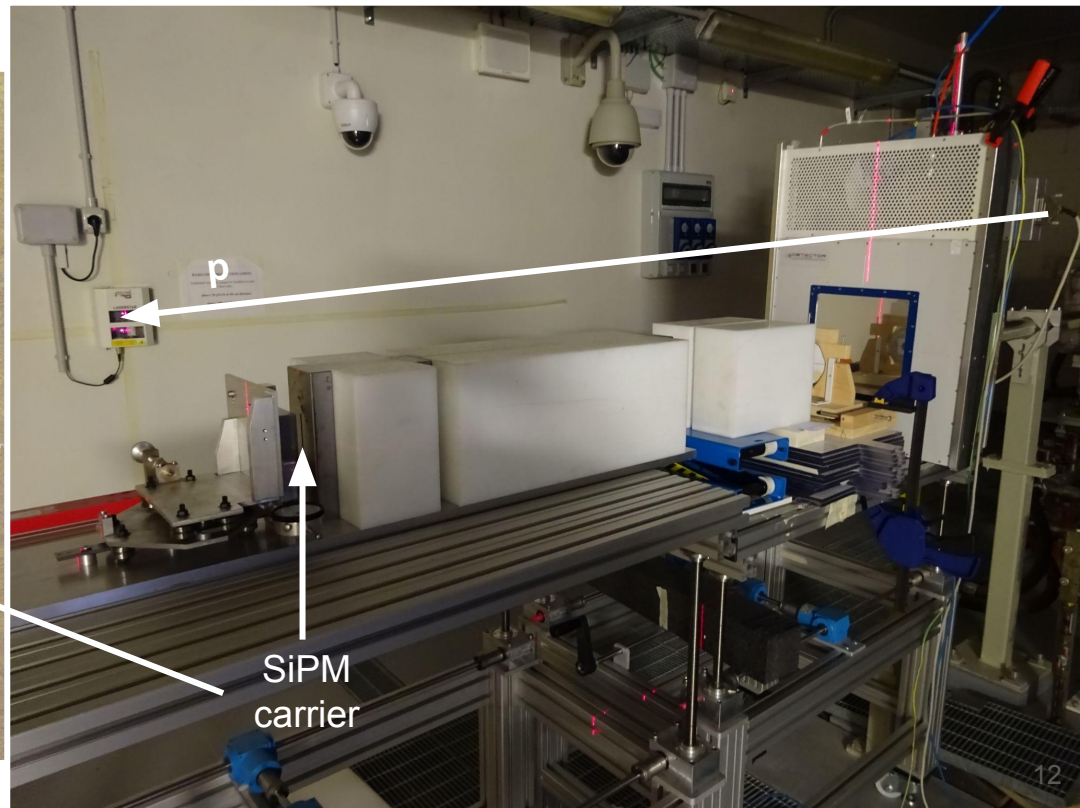
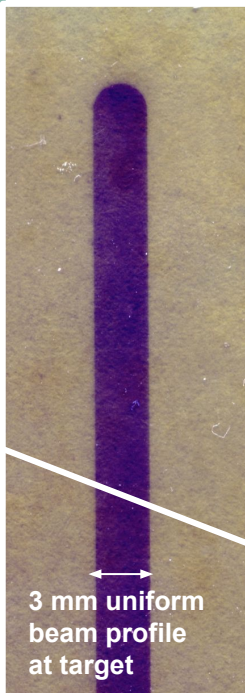
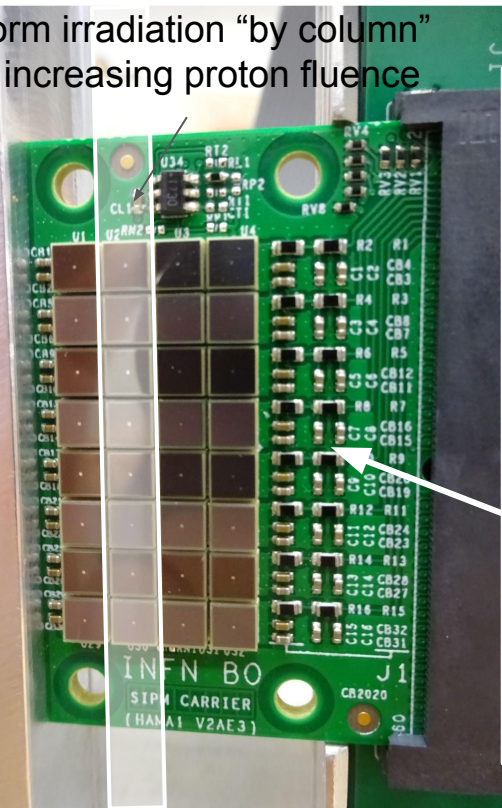
3x3 mm<sup>2</sup> SiPM sensors  
4x8 “matrix” (carrier board)

multiple types of SiPM: **Hamamatsu** commercial (13360 and 14160)  
**FBK** prototypes (rad.hard and timing optimised)

148 MeV protons → scattering system → collimation system → carrier board

uniform irradiation “by column”  
with increasing proton fluence

Hamamatsu 13360 carrier board





# signals after irradiation (before annealing)



TELEDYNE LECROY  
Everywhere you look™

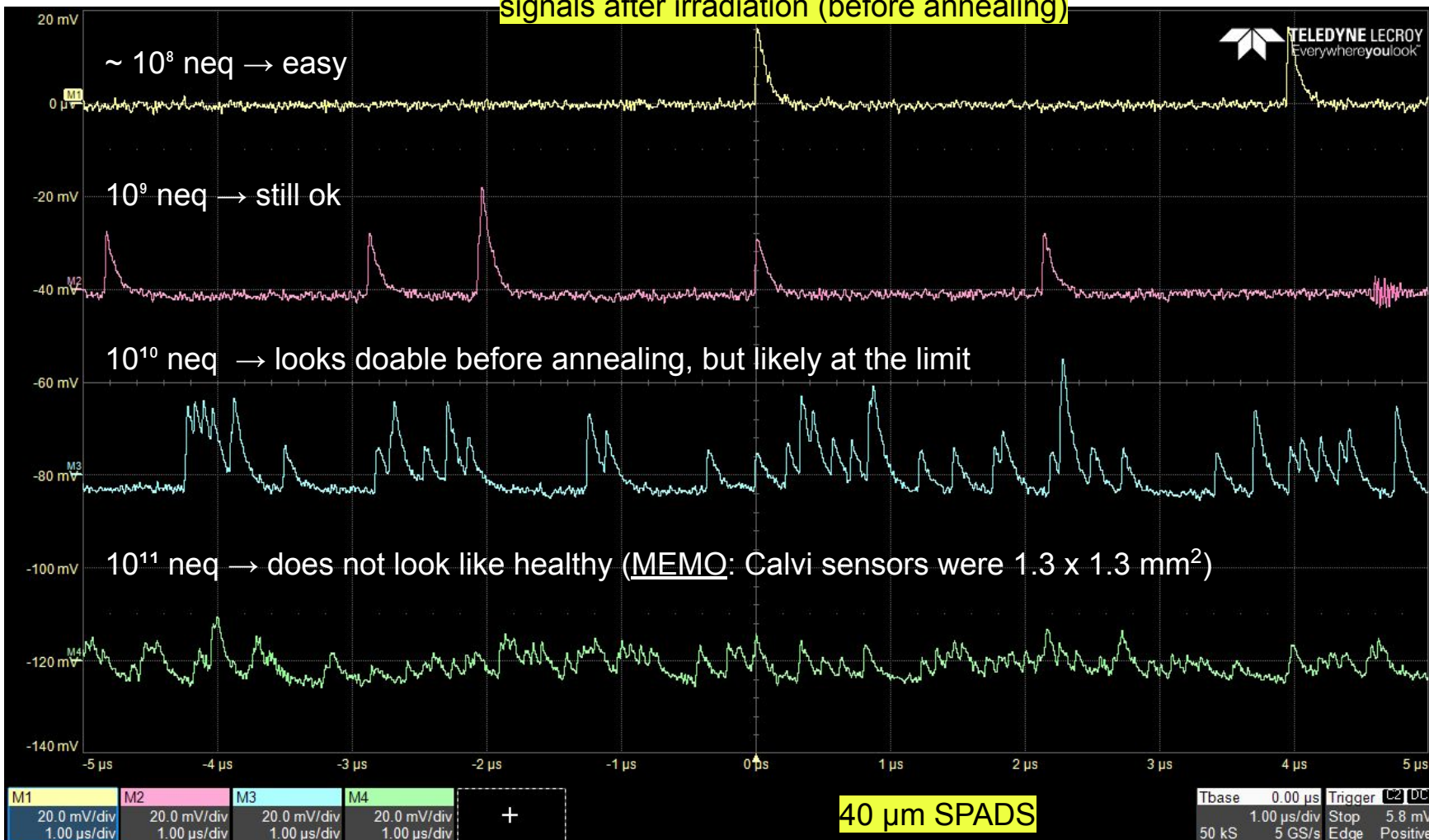
FBK #3 (T = -30 C)  
NUV-HD-CHK (row A)

$\sim 10^8$  neq  $\rightarrow$  easy

$10^9$  neq  $\rightarrow$  still ok

$10^{10}$  neq  $\rightarrow$  looks doable before annealing, but likely at the limit

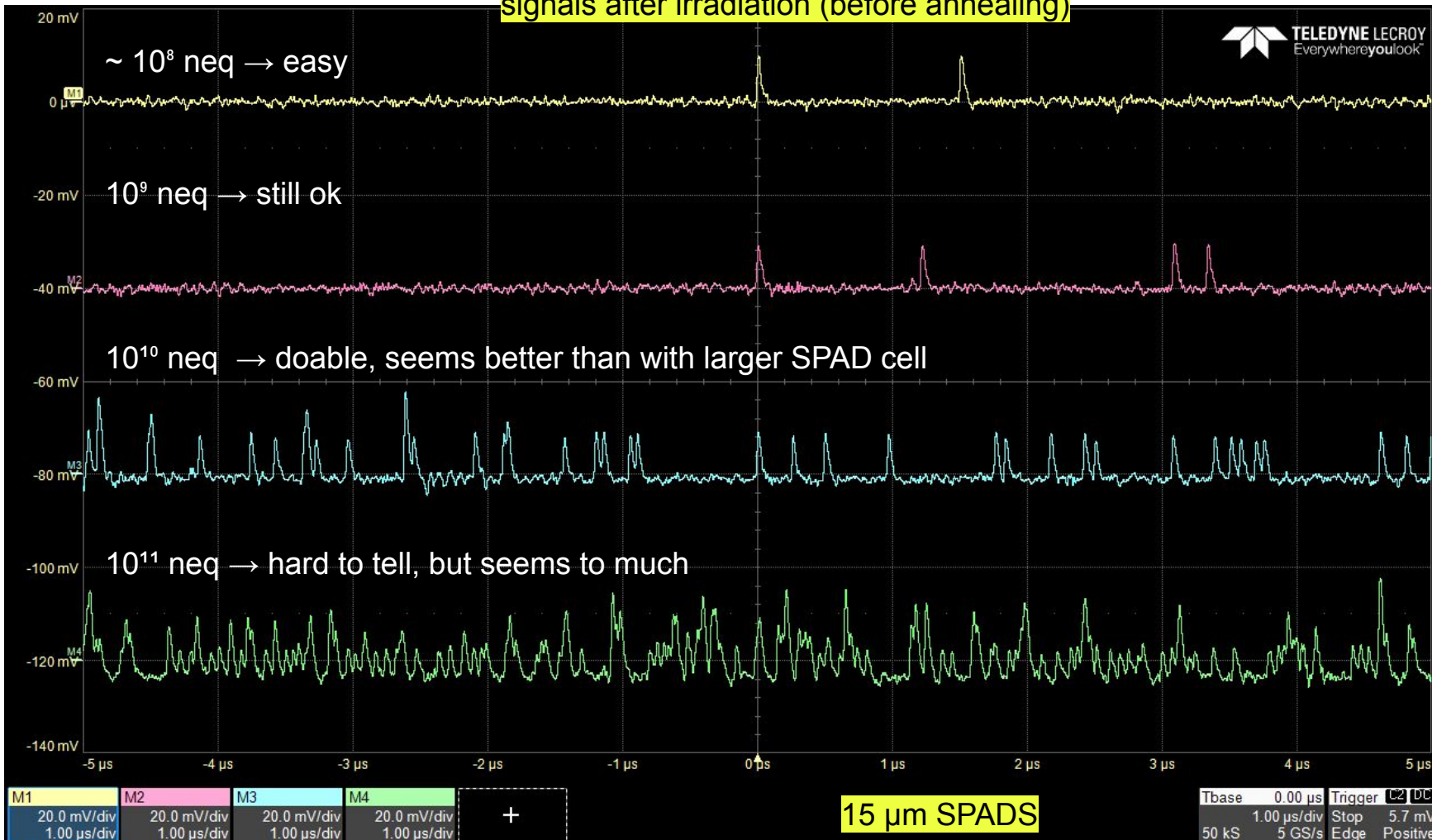
$10^{11}$  neq  $\rightarrow$  does not look like healthy (MEMO: Calvi sensors were  $1.3 \times 1.3 \text{ mm}^2$ )



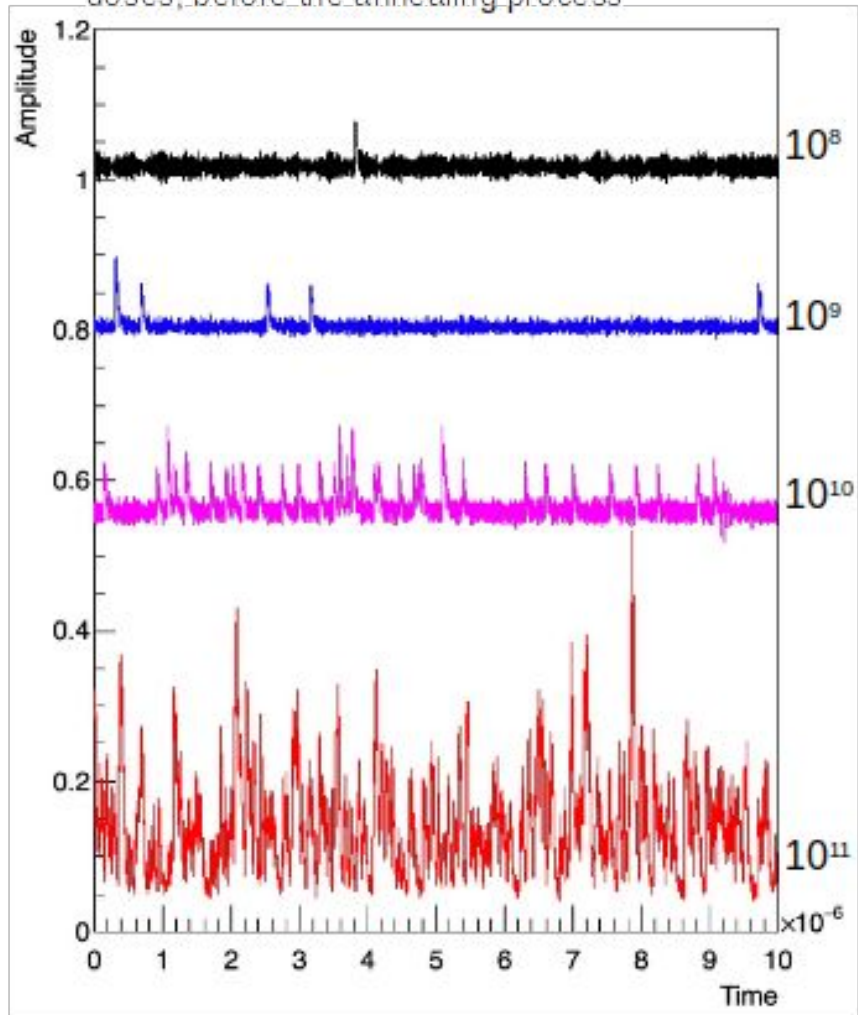
# signals after irradiation (before annealing)



**FBK #3** ( $T = -30\text{ C}$ )  
**NUV-HD-RH** (row B)



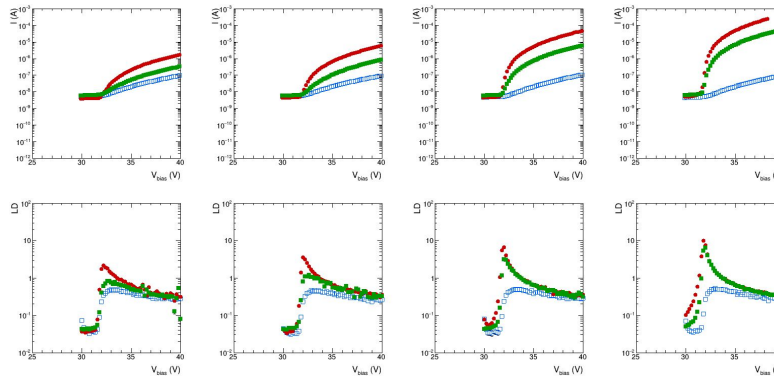
Signals of SiPMs irradiated with different doses, before the annealing process



also Hamamatsu sensors seem  
to be doing ok up to  $10^{10}$  neq

# FBK characterisation after 1 week of annealing at $T = 125\text{ C}$

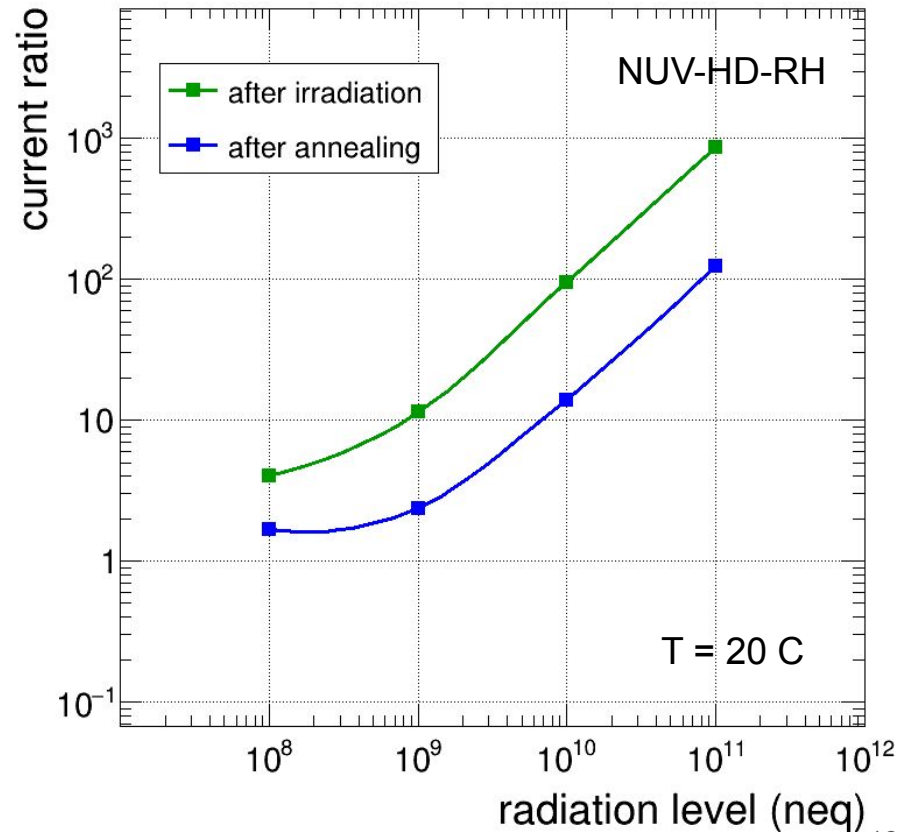
new  
irradiated  
annealed



annealing reduced dark current by a factor of  $\sim 5\text{-}10$ , in line with expectations

SiPM irradiated up to  $10^{11}$  now behave like if they were irradiated by  $10^{10}$

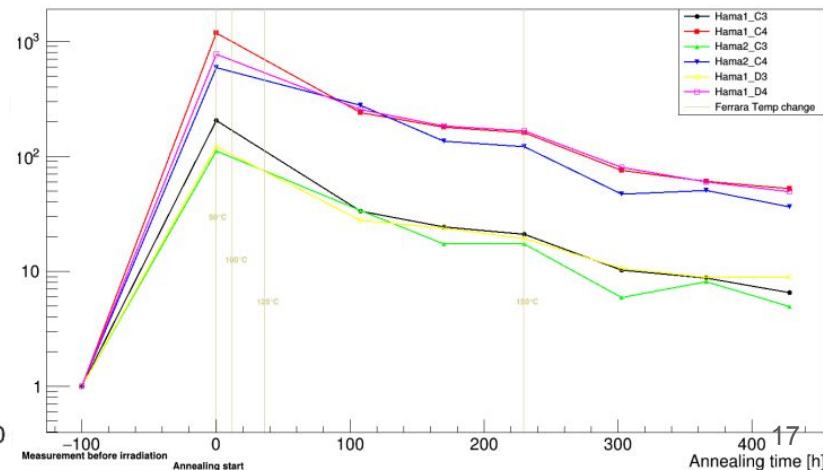
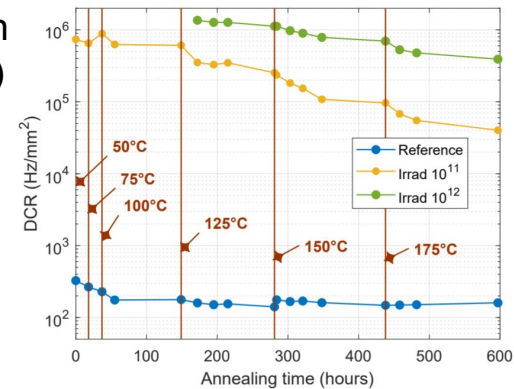
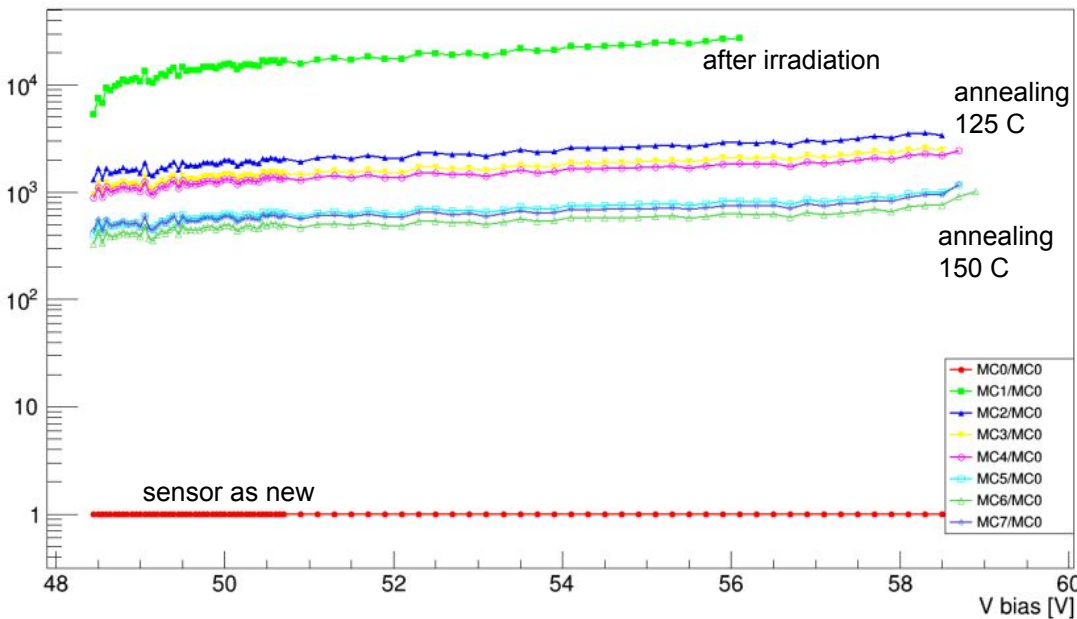
FBK annealing stopped at  $125\text{ C}$  at the time  
little issue related to the solder paste used during assembly ( $T = 138\text{ C}$ ) did not allow to reach  $T = 175\text{ C}$   
→ needed reworking of the carrier boards  
→ reworked boards back from company a few days ago



# Hamamatsu annealing up to $T = 150$ C completed

behaviour in line with literature (Calvi et al)

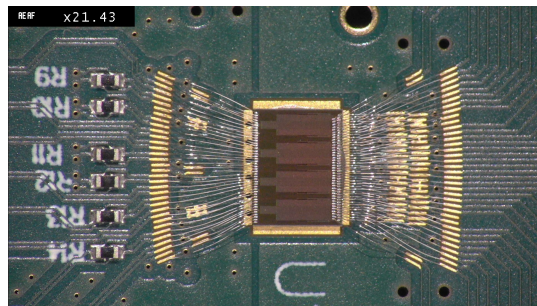
current ratio wrt. before irradiation



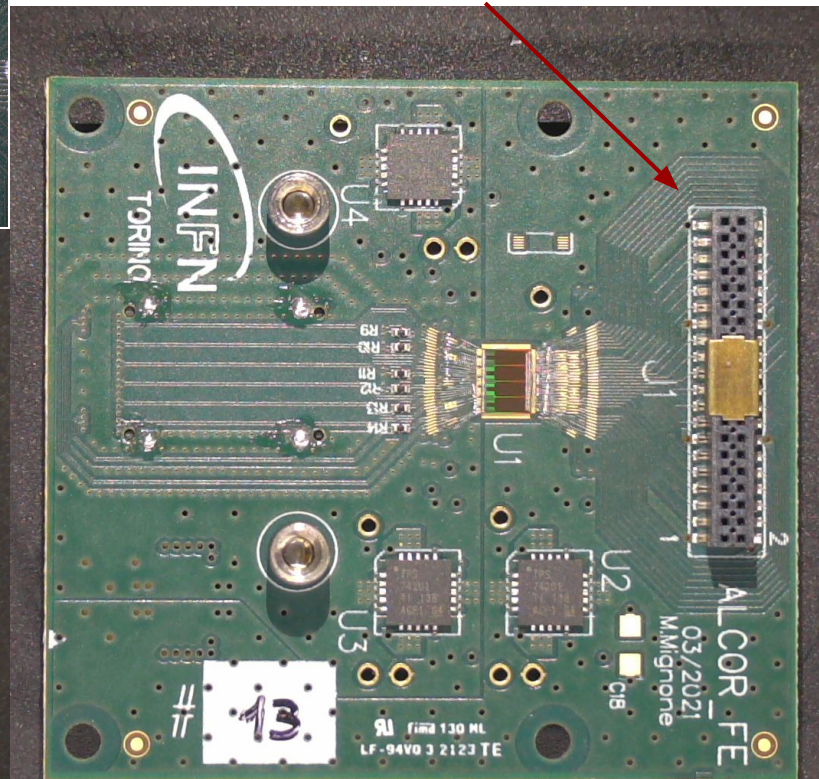


# ALCOR – A Low Power Chip for Optical sensor Readout

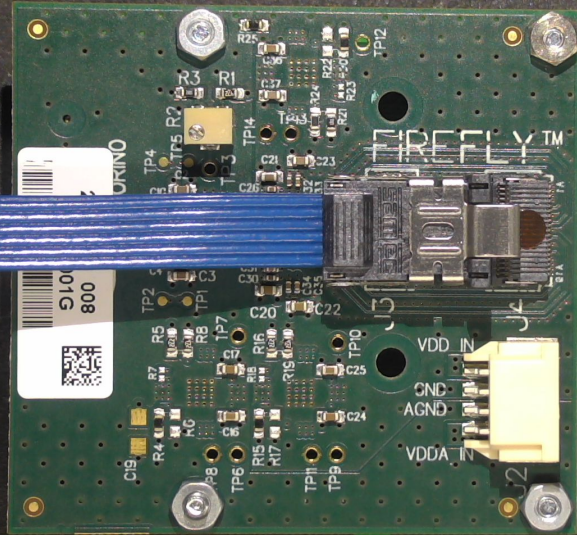
ALCOR-FE frontend board for testbeam with bonded ALCOR chip and FireFly cable



connector to adapter-CA board



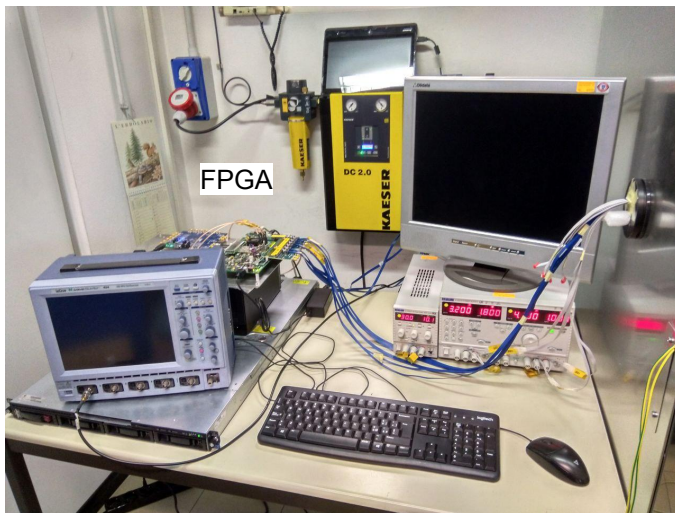
REF x4.39



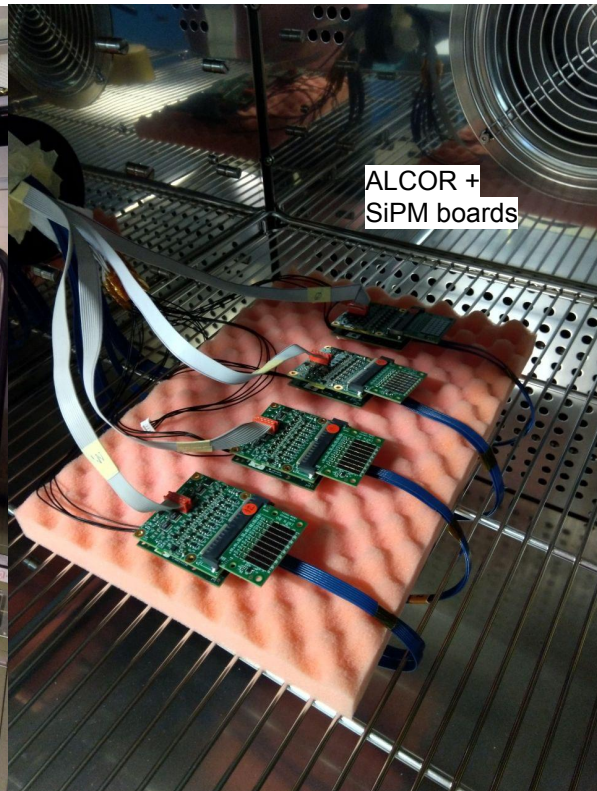
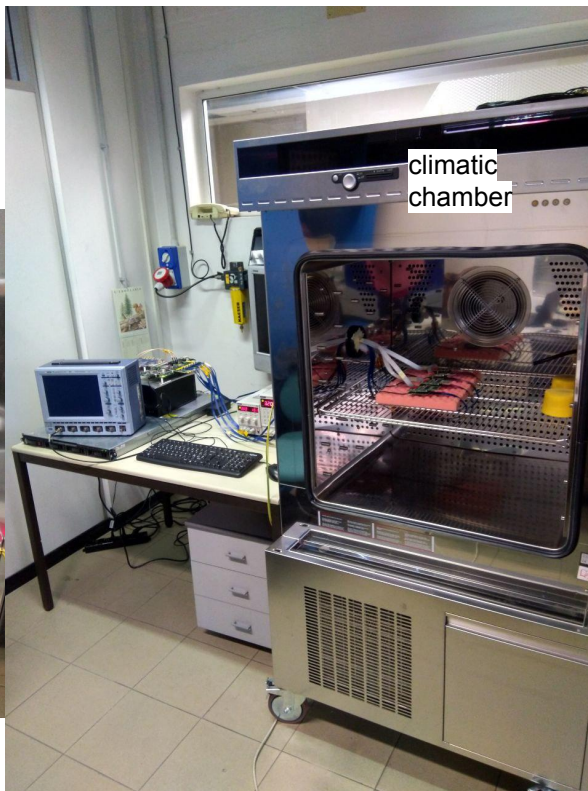


# SiPM+ALCOR setup in Bologna

permanent EIC SiPM setup in the INFN  
Bologna Silicon Labs  
characterisation of performance of  
SiPM with full (ALCOR) readout system  
**measure many SiPM in one go!**



plan to add laser diode (or LED) soon  
illuminate SiPM inside chamber  
measure correlation on top of DCR

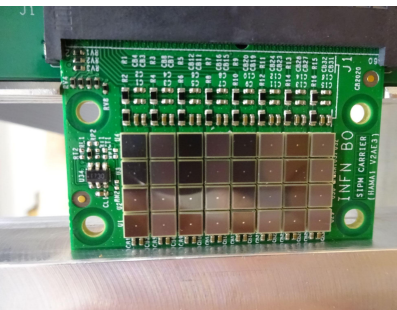


the following results have been obtained with this setup 19

# Hamamatsu (HAMA1 #2) threshold scans

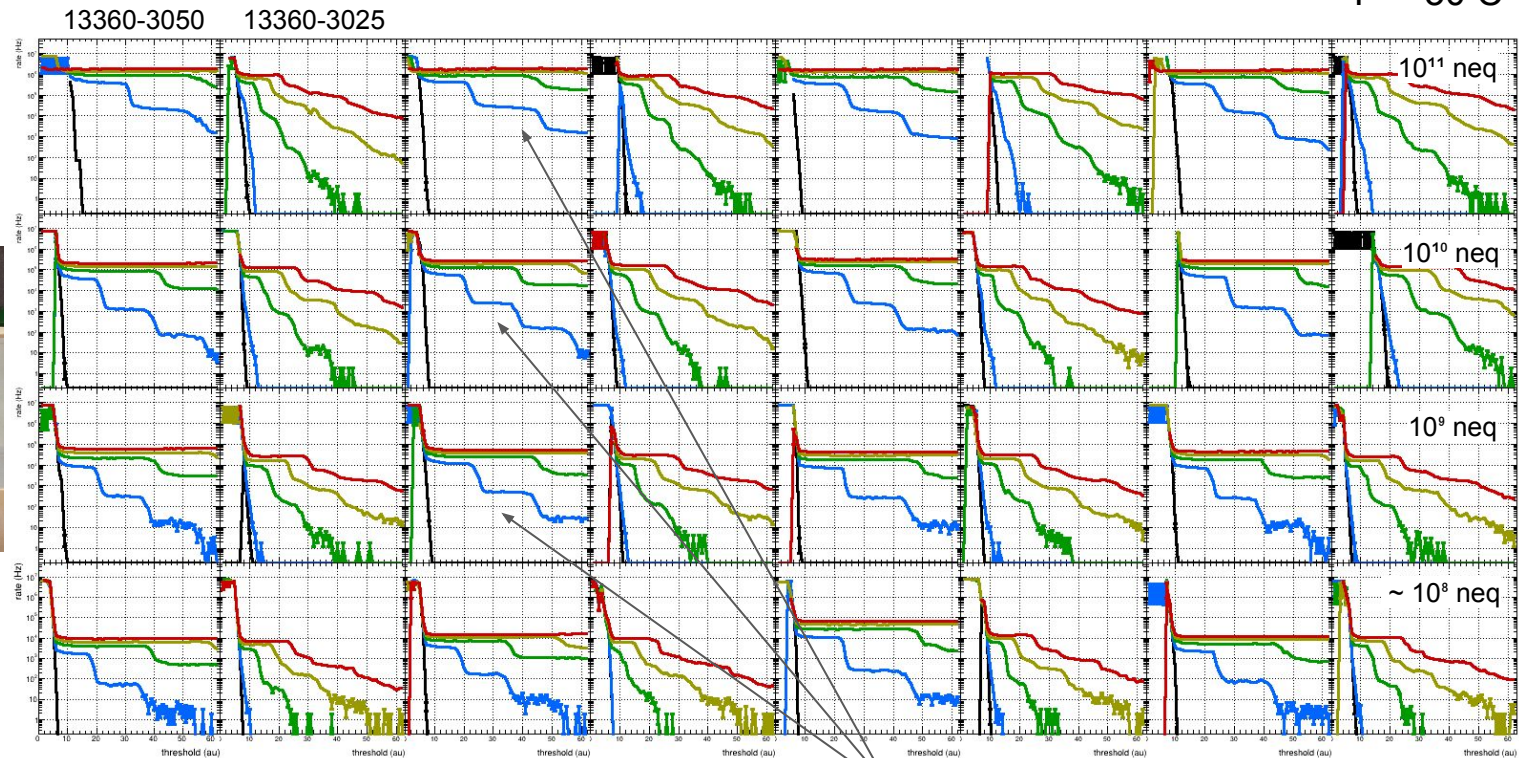
PRELIMINARY

T = -30 C



irradiated board  
after annealing

still working!



clear single-photon  
separation up to 10<sup>11</sup>

Vbias (V)  
48 50 52 54 56  
20

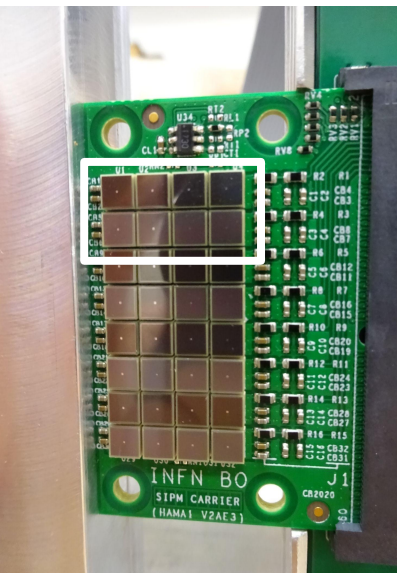


# Hamamatsu (HAMA1) grand comparison

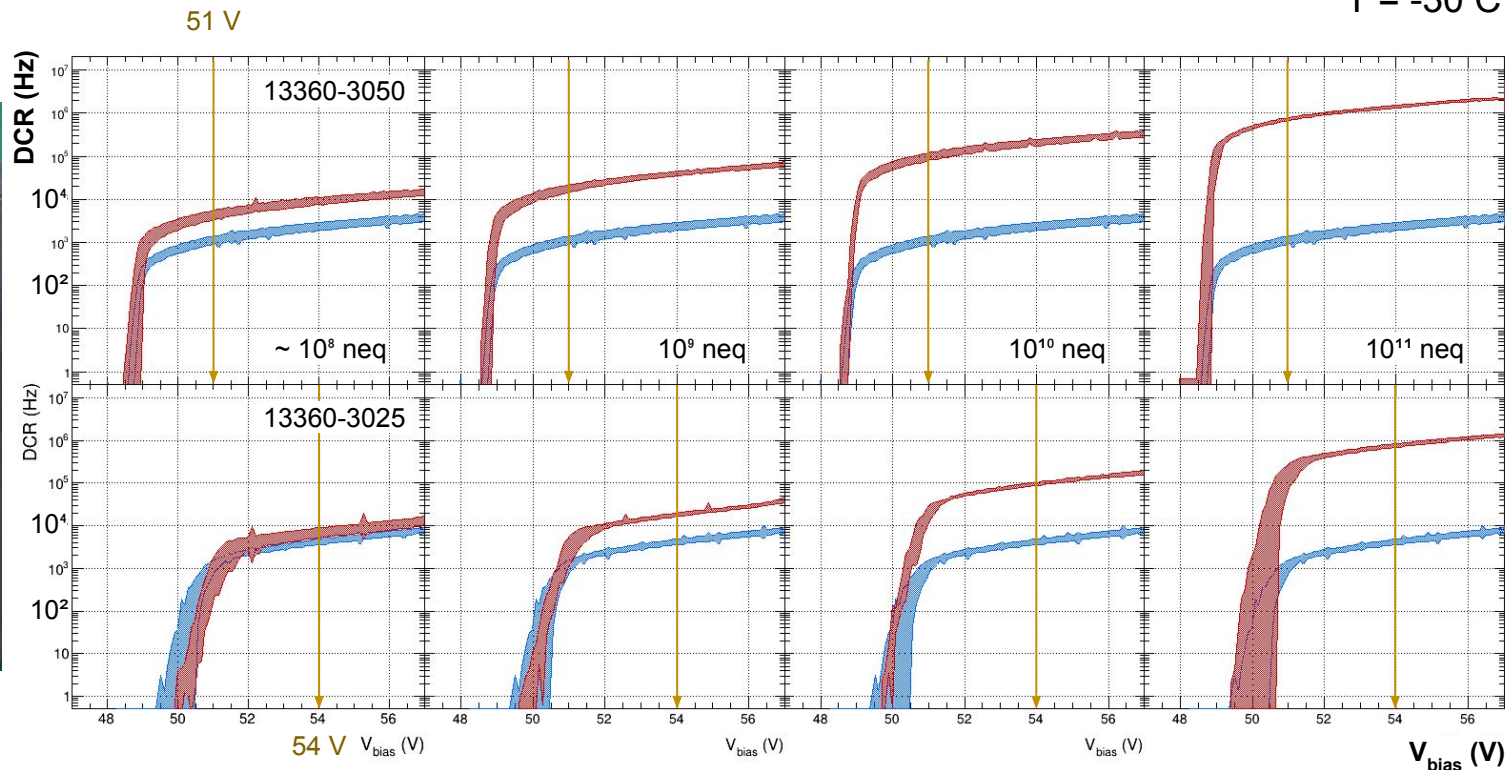
**PRELIMINARY**

$T = -30\text{ C}$

not irradiated board



irradiated board  
after annealing



values at the  
indicated  $V_{bias}$

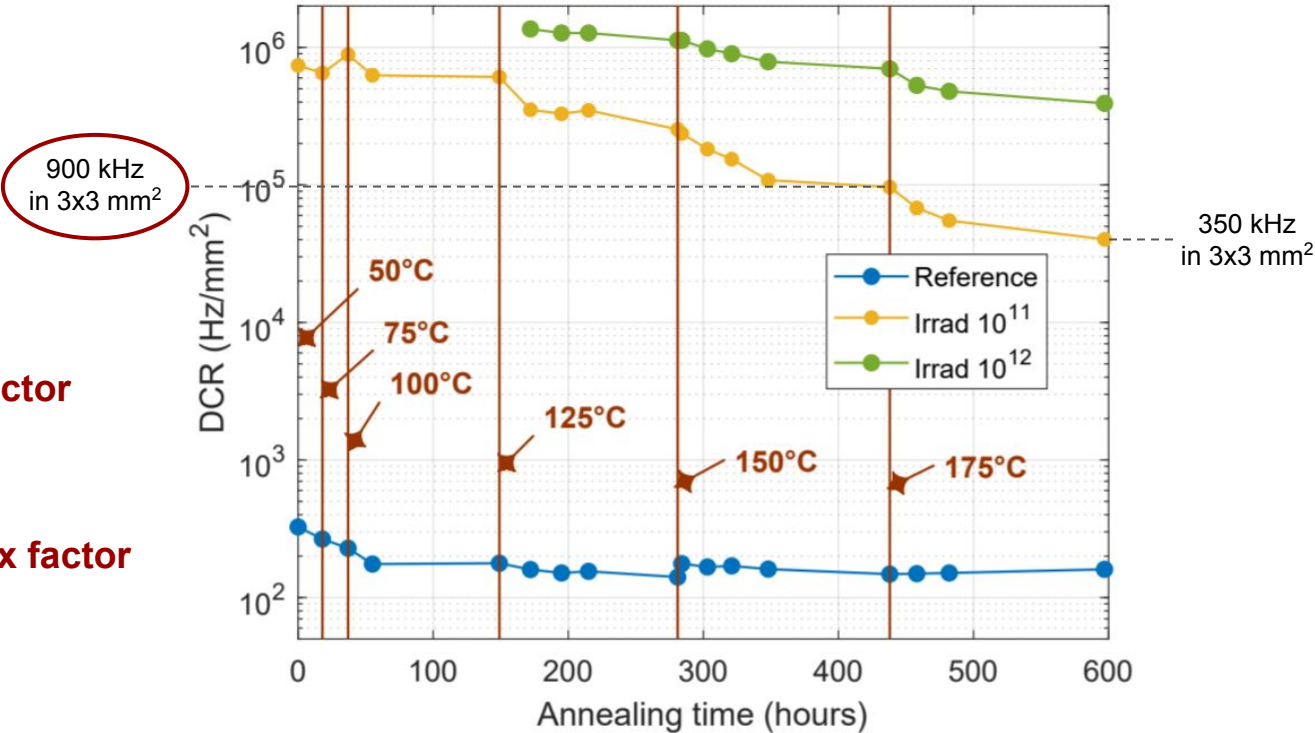
	new	$\sim 10^8$ neq	$10^9$ neq	$10^{10}$ neq	$10^{11}$ neq
<b>13360-3050</b>	1.1 kHz	4.4 kHz	18 kHz	100 kHz	730 kHz
<b>13360-3025</b>	2.4 kHz	7.0 kHz	18 kHz	95 kHz	770 kHz

# Hamamatsu (HAMA1) grand comparison

**measured ~ 750 kHz DCR**  
after  $10^{11}$  neq dose  
and  $T = 150$  C annealing  
in line with Calvi

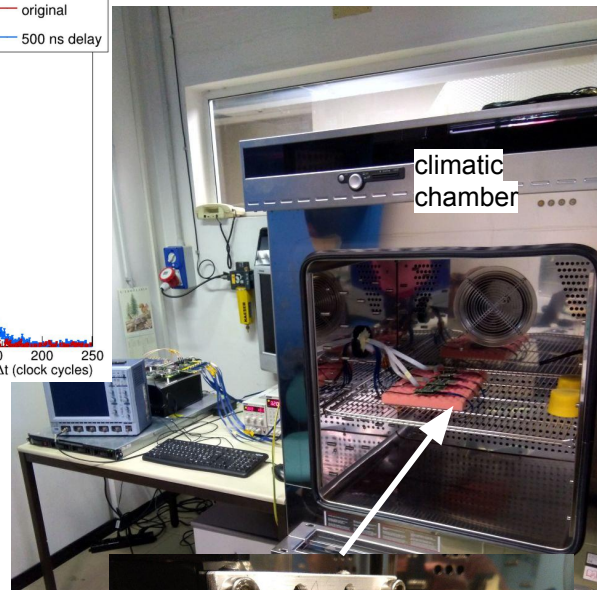
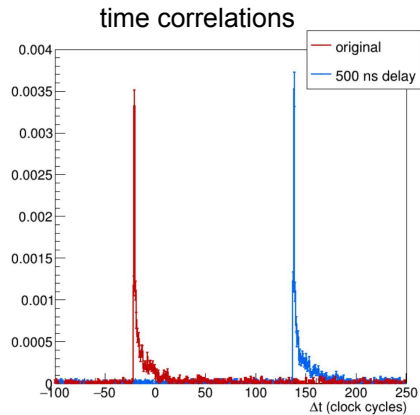
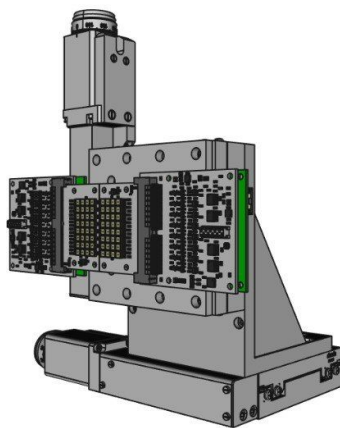
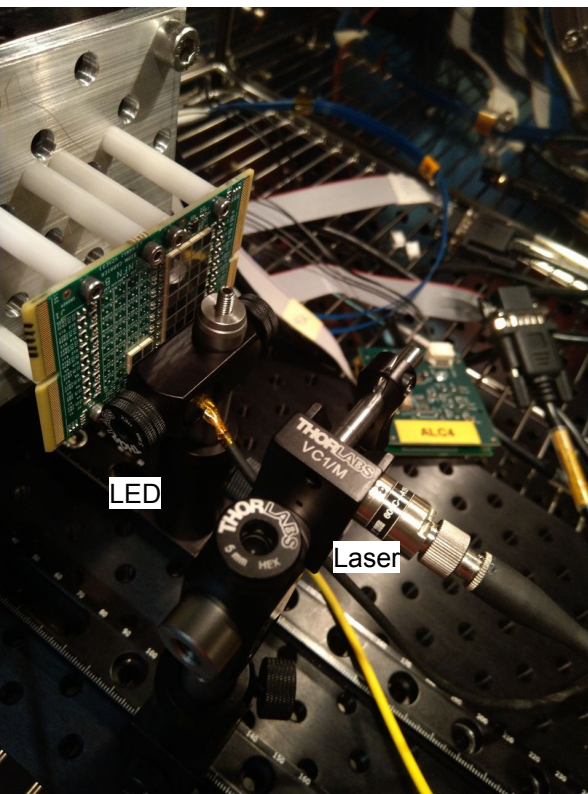
**could reduce by another 3x factor**  
with  $T = 175$  C annealing  
if we believe in Calvi (we do)

**could reduce by a further 2(4)x factor**  
operating at  $T = -40(-50)$  C  
we know DCR decreases by 2x every 10 C

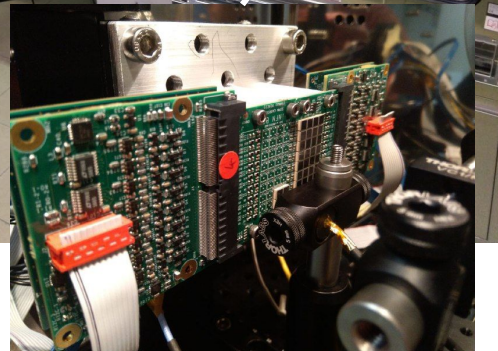
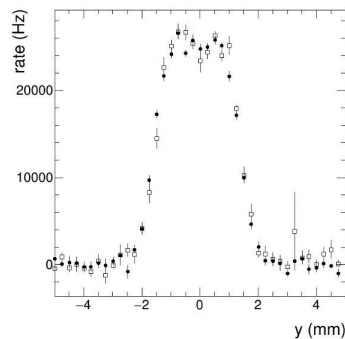
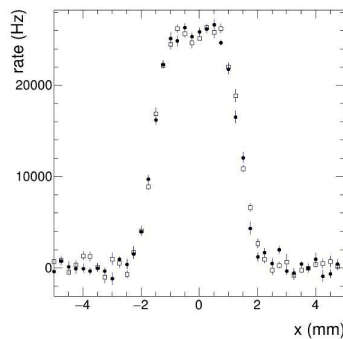


values at the indicated Vbias	new	~ 10 <sup>8</sup> neq	10 <sup>9</sup> neq	10 <sup>10</sup> neq	10 <sup>11</sup> neq
13360-3050	1.1 kHz	4.4 kHz	18 kHz	100 kHz	730 kHz
13360-3025	2.4 kHz	7.0 kHz	18 kHz	95 kHz	770 kHz

# SiPM+ALCOR setup in Bologna



position scan



Bologna setup is **being upgraded** in these days with LED, laser and movement in climatic chamber



# SiPM + ALCOR response with light

NIEL received  $10^{11}$  + annealing

- **use the complete electronics built in 2021 for laboratory tests**

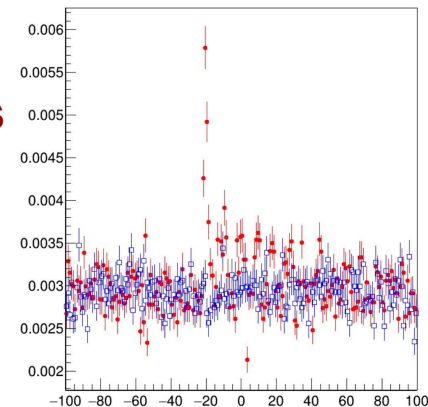
- SiPM carrier + adapter + ALCOR + readout
- mount everything in the climatic chamber
- with an LED / laser in front of the sensor
- plus movimentation to inspect all sensors

- **study response of SiPM to pulsed light**

- pulsed LED / laser
- measure increase of rates
- measure time coincidences
- compare sensors with different NIEL

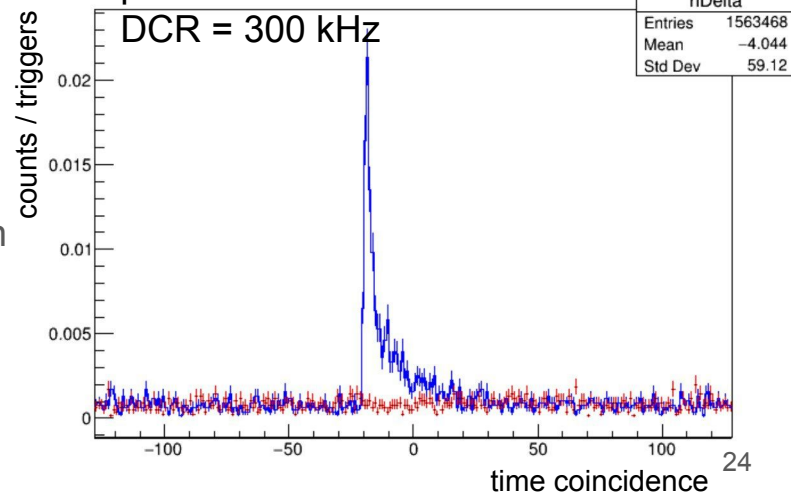
- **system is being setup in Bologna**

- the goal is to have it as a permanent test bench
- to be used to test SiPM response for 2022 irradiation plan
  - ie. relative variation of PDE
- to be used to get ready for test beam



pulser ON / OFF at  $T = 20\text{ C}$

DCR = 300 kHz



how often do we need to do annealing?

signals after irradiation (before annealing)



FBK #3 ( $T = -30\text{ C}$ )  
NUV-HD-RH (row B)

$\sim 10^8\text{ neq} \rightarrow$  easy

$10^9\text{ neq} \rightarrow$  still ok

$10^{10}\text{ neq} \rightarrow$  doable seems better than with larger SPAD cell

$10^{11}\text{ neq} \rightarrow$  hard to tell, but seems to much

M1	M2	M3	M4	
20.0 mV/div 1.00 $\mu$ s/div	20.0 mV/div 1.00 $\mu$ s/div	20.0 mV/div 1.00 $\mu$ s/div	20.0 mV/div 1.00 $\mu$ s/div	+

15  $\mu$ m SPADS

Tbase	0.00 $\mu$ s	Trigger
	1.00 $\mu$ s/div	Stop
50 kS	5 GS/s	Edge

**EXAMPLE**

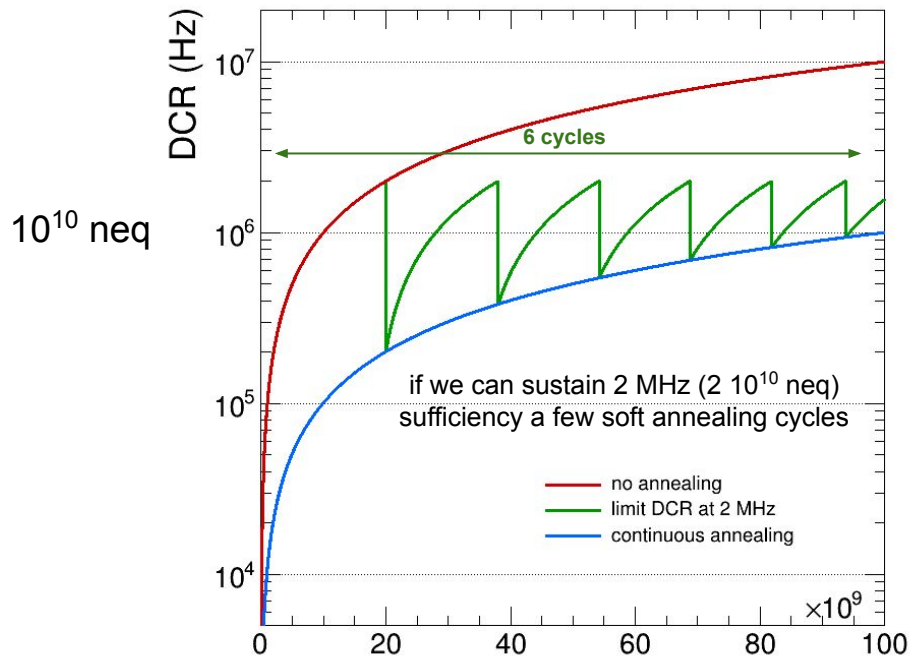
# How often to do annealing

## assumptions

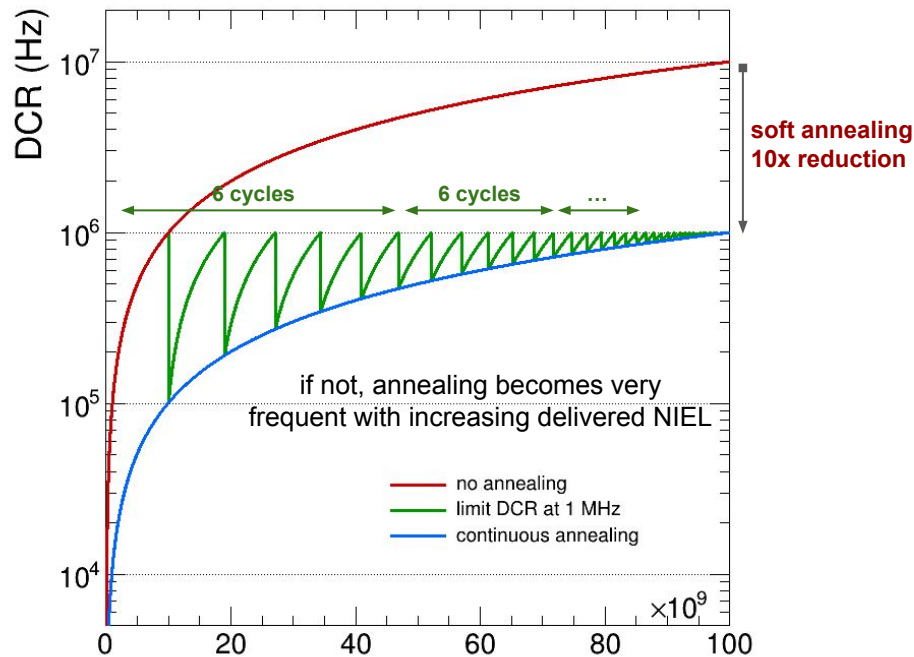
- NIEL =  $10^{11}$  neq/cm<sup>2</sup>  $\Rightarrow$  DCR = 10 MHz
- DCR increases proportionally to NIEL
- annealing always cures same fraction of damage caused by NIEL
  - constant fraction of new damage, regardless total damage

## example

- delivered  $10^{10}$   $\Rightarrow$  DCR = 1 MHz
- annealing, cures 90% of damage  $\Rightarrow$  DCR = 0.1 MHz
- delivered another  $10^{10}$   $\Rightarrow$  DCR = 1.1 MHz
- annealing, cures 90% of new damage  $\Rightarrow$  DCR = 0.2 MHz



it depends on the max DCR that can be sustained



delivered NIEL

**EXAMPLE**

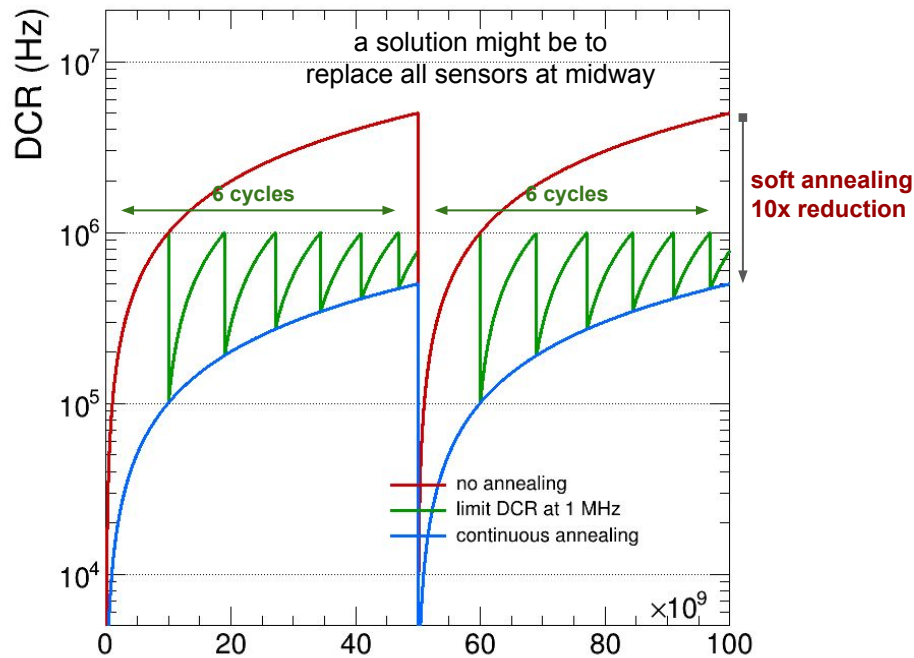
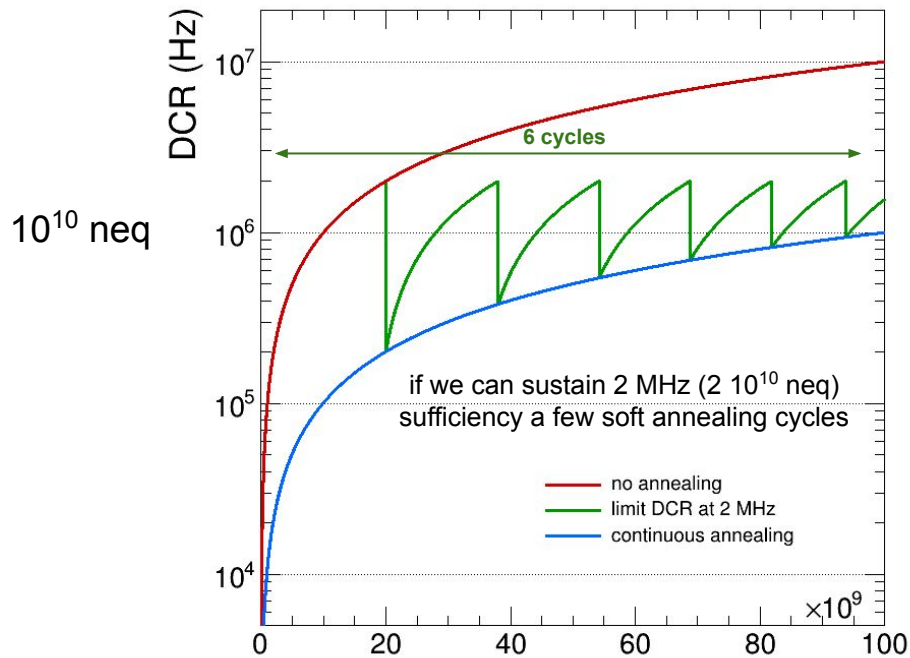
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delivered NIEL

delivered NIEL



**EXAMPLE**

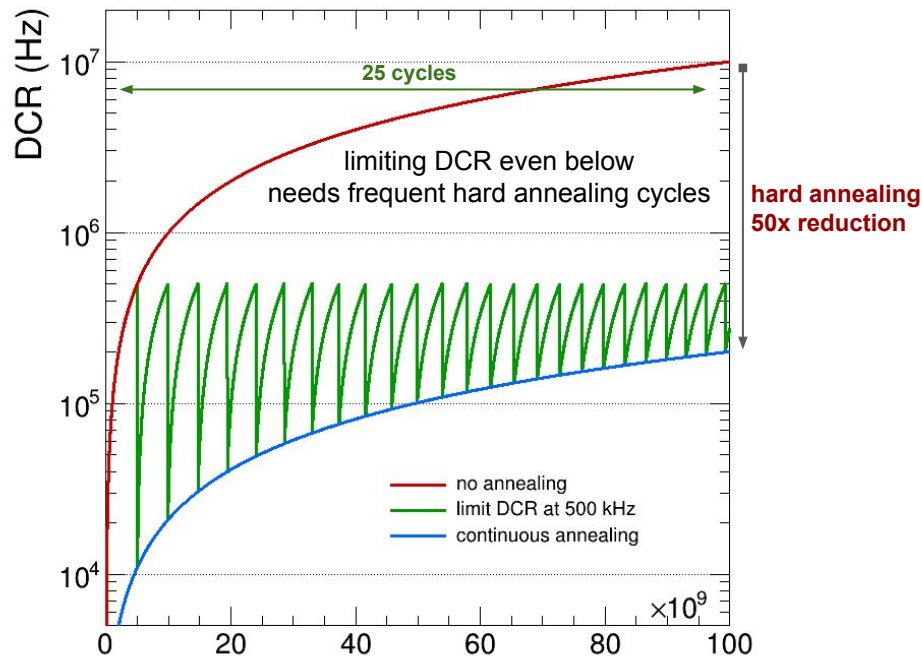
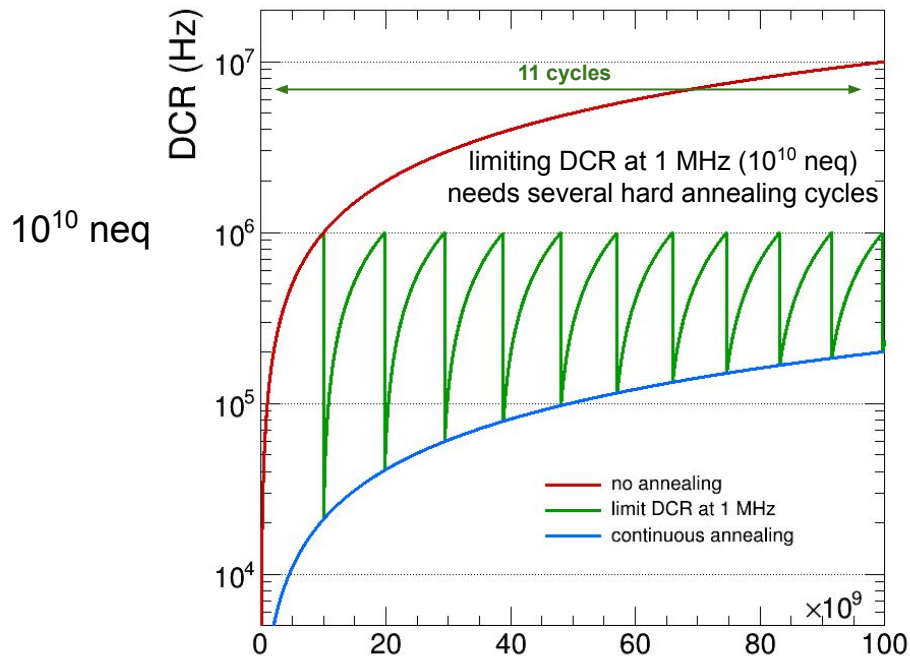
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it depends on the max DCR that can be sustained

delivered NIEL

delivered NIEL

**EXAMPLE**

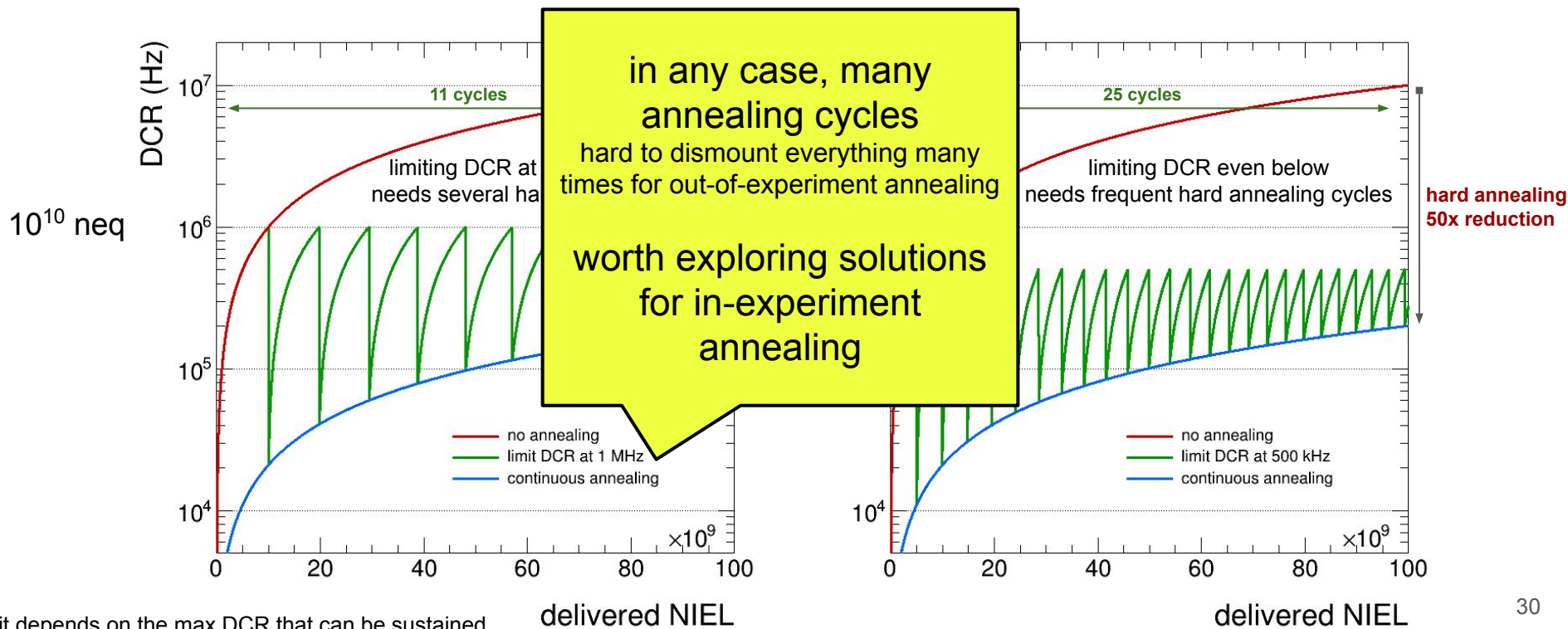
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it depends on the max DCR that can be sustained

# 2022 irradiation proposal

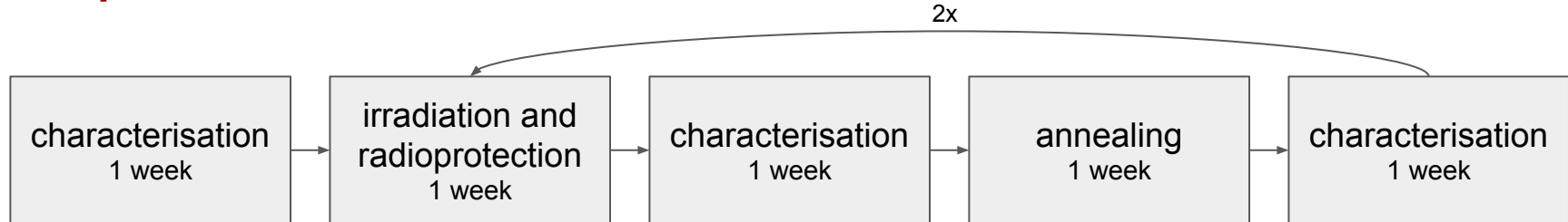
## test SiPM performance and annealing with increasing integrated NIEL

simulate a more realistic experimental situation

## irradiate full SiPM carrier boards with flat proton field

no collimators, this will make life much easier and very efficient use of beam

- **3 short accesses at TN protontherapy centre (TIFPA) in spring**
  - ideally 4 hours on Saturdays, should be sufficient time to setup and fire the beam
  - tentative dates: 30 April, 28 May and 25 June
  - one access every 4 weeks: allow time for radioprotection, characterisation and annealing
  - small NIEL integration steps, perhaps:  $1 \cdot 10^9$ ,  $2 \cdot 10^9$ ,  $4 \cdot 10^9$
- **plus 1 more access in fall**



- **SiPM as photosensors for RICH applications**
  - many pros
  - a few cons
- **R&D program has started**
  - well linked with ASIC development
  - from first irradiation results, SiPM look a very promising option
    - soon correlation/efficiency studies with laser/LED on irradiated SiPM
    - test if we can efficiently distinguish the signal from the DCR
  - next irradiation campaign in 2022
    - test SiPM performance and annealing with increasing integrated NIEL
    - simulate in a more realistic way operation in experimental environment
  - approaches and discussions for sensor development
    - develop radiation harder SiPMs
    - opportunities to exploit INFN-FBK collaboration experience and agreements
- **further engineering needs for SiPM operation in experiment**
  - DAQ system must be able to sustain rate
    - there are handles for that (staging + interaction signal, ...)
  - bring cooling, down to -30 C (or perhaps even -50 C)
    - LHCb experience with SiPM cooling
  - think about how to do annealing on-site
    - warm SiPM with forward bias (Joule effect)
    - design cooling plant to be a warming plant as well
  - cable routing, cooling, piping, connections while keeping ~ 100% active area

- **several reference publication on SiPM radiation damage / recovery**

- review on radiation damage of SiPM
  - <https://inspirehep.net/literature/1694163>
- Calvi et al studies on annealing
  - <https://inspirehep.net/literature/1674007>
- also studies on annealing with forward bias current

- **main messages**

- single-photon detection possible with low-T operation and annealing
  - up to  $\sim \text{NIEL } 10^{11}$
- main SiPM characteristics / parameters do not change up to  $\sim \text{NIEL } 10^{12}$
- limits depend on many factors related to the SiPM design and the operation conditions
  - it should be tested for each specific application.

- **several directions for development of radiation harder SiPMs**

- see following slides

Approaches to develop radiation harder SiPMs

- **as outlined in Garutti et al. “Radiation damage of SiPMs”**
  - <https://inspirehep.net/literature/1694163>
- **dark noise reduction**
  - optimizing the field in the depletion region through field shaping, by
    - reducing the thickness of the depleted region
    - reducing trap-assisted tunneling by reducing the peak electric field in the SiPM p–n-junction.
  - unfortunately these two approaches contradict each other
    - careful R&D has to be performed to find an optimum compromise
- **we selected commercially-available SiPM to study radiation response**
  - different  $V_{bd}$  characteristics and likely different substrate doping / technology
  - thickness of the depleted region can be measured in laboratory
- **discussion with producers / R&D facilities (FBK)**
  - will help finding the direction for the optimisation required for EIC

- **as outlined in Garutti et al. “Radiation damage of SiPMs”**
  - <https://inspirehep.net/literature/1694163>
- **cell occupancy reduction**
  - the cell occupancy reduction can be achieved by
    - reducing the cell active volume (smaller cell size)
    - and cell recovery time
  - however SiPMs with high cell density have large non-sensitive zone areas
    - occupied by polysilicon quenching resistors which are almost opaque
  - the solution to this problem can be the use of
    - very thin trenches ( $<0.5\ \mu\text{m}$ ) separating cells
    - the use of metal film resistors with high transparency to visible light
- **FBK NUV-HD technology uses trenches**
  - we acquired commercial and prototype FBK NUV-HD sensors
    - also with very small SPADs developed for radiation hardness
- **discussion with producers / R&D facilities (FBK)**
  - will help finding the direction for the optimisation required for EIC



- **as outlined in Garutti et al. “Radiation damage of SiPMs”**
  - <https://inspirehep.net/literature/1694163>
- **reduction of the damage in SiPM entrance window**
  - the SiPM entrance window material has to be chosen appropriately
    - avoiding materials with hydrogen or boron content
  - thickness of the SiO<sub>2</sub> layer has to be properly adjusted taking into account surface effects
  - thickness of the non-depleted region near the SiPM entrance window has to be minimized
- **effects of high-temperature annealing on the SiPM entrance window**
  - material has to be chosen appropriately also for that
    - avoid material that become opaque to light in the wavelength of interest
- **discussion with producers / R&D facilities (FBK, Hamamatsu)**
  - we acquired samples of entrance windows from Hamamatsu
    - detailed studies of transparency and modifications with radiation + annealing
  - FBK prototype sensors we acquired
    - packaged for us with special resin to resist radiation high-T annealing e radiation

# Where else the technology has to improve

- **where else the technology has to improve**

- SiPMs need electronics capable to sustain the high DCR rates after radiation
  - ASIC
  - DAQ system + strategies to efficiently / cleverly deal with it
  - see following slides on DAQ and readout considerations

- **what the prospects are**

- in year 2022: consolidate on SiPMs as a viable option for dRICH
- in following 2-3 years (TDR): demonstrate SiPM capability to work within EIC specs
  - both sensors and electronics
- on a longer timescale we expect further optimisation of the sensors

- **where we can benefit from synergies**

- LHC studies (LHCb RICH upgrade, a group in Ferrara)
- INFN-FBK collaboration agreement
- further developments within INFN (DarkSide, ARCADIA, ....)
- further synergies / collaboration with US groups interested on SiPMs for calorimetry

- **what do we need to focus on**

- develop instrumentation and test-bench setups
- establish status of commercial SiPM and manufactures
- develop protocols for cooling and annealing
- engineering problems for a large low-T ~ 100% coverage SiPM array for EIC

DAQ and readout considerations

# dRICH readout current scheme (I)

current scheme: caveat: used for proposal/costing not necessarily the final one. A lot of work ahead, but useful to focus on requirements

**dRICH tile: 1024 x 3x3 mm<sup>2</sup> SIPM sensors**

1

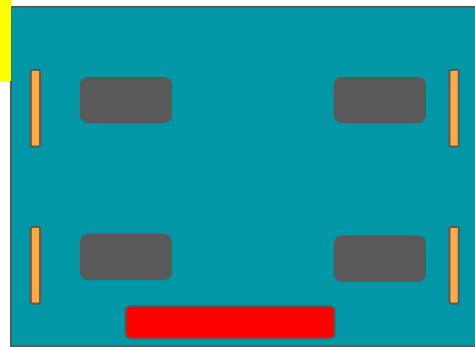
A-1	B-1	C-1	D-1	E-1	F-1	G-1	H-1
A-2	B-2	C-2	D-2	E-2	F-2	G-2	H-2
A-3	B-3	C-3	D-3	E-3	F-3	G-3	H-3
A-4	B-4	C-4	D-4	E-4	F-4	G-4	H-4
A-5	B-5	C-5	D-5	E-5	F-5	G-5	H-5
A-6	B-6	C-6	D-6	E-6	F-6	G-6	H-6
A-7	B-7	C-7	D-7	E-7	F-7	G-7	H-7
A-8	B-8	C-8	D-8	E-8	F-8	G-8	H-8

A-1	B-1	C-1	D-1	E-1	F-1	G-1	H-1
A-2	B-2	C-2	D-2	E-2	F-2	G-2	H-2
A-3	B-3	C-3	D-3	E-3	F-3	G-3	H-3
A-4	B-4	C-4	D-4	E-4	F-4	G-4	H-4
A-5	B-5	C-5	D-5	E-5	F-5	G-5	H-5
A-6	B-6	C-6	D-6	E-6	F-6	G-6	H-6
A-7	B-7	C-7	D-7	E-7	F-7	G-7	H-7
A-8	B-8	C-8	D-8	E-8	F-8	G-8	H-8

dRICH tile 5.6 x 5.6 cm<sup>2</sup>

FEB: Front-End board with ALCOR ASIC (64 ch)

2

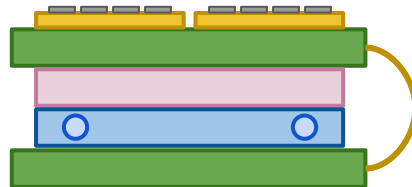


proto-readout-tile

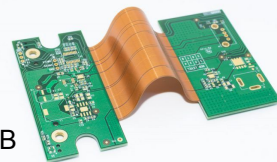
(Peltier cell?)

cooling

front-end ASIC



flex PCB

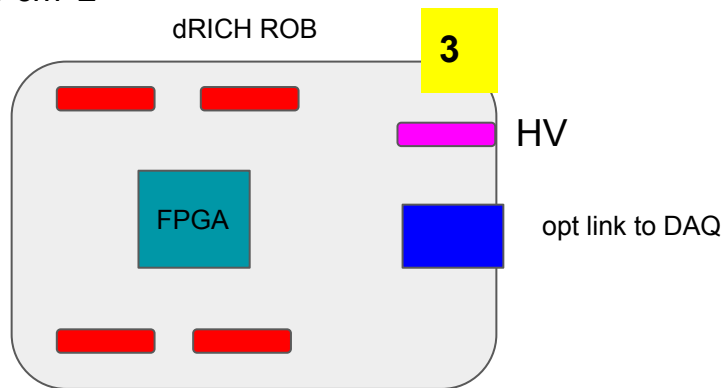
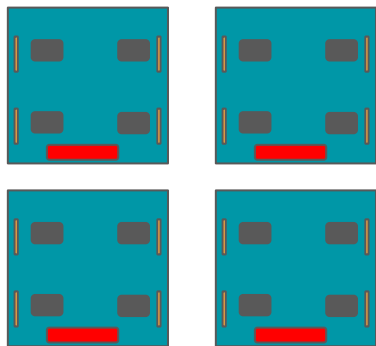


- Note cooling performance is critical (factor 2 less in DCR every 10 C)
- LHCb SciFi cooling system for SIPM expected to work at T=-50 C → factor 4 less in DCR

# dRICH readout scheme (II) ROB (read-out board)

- based on readout/throughput considerations 4 dRICH FEB (1024 ch) should be read-out by 1 dRICH ROB (4096 channels)
- ROB acts as concentrator + data reduction (BC timing) (factor 3-5: EIC 1 BC every 9.6 ns: just get a fraction (like 2 ns of window of interest or possibly less: potential spread is 150 ps but bunch length 0.3-0.4 ns!). In current estimate applied only a factor 3 reduction (could be 5)
- ROB potential area 10x10 cm<sup>2</sup>

4x dRICH FEB, 16x ALCOR-64



current scheme assume ATLAS  
FELIX board on PC  
+ IpGBT link on ROB

- This choice for throughput modelling keeps bandwidth on opt link to DAQ < 10 Gbps (current limitation)
- On each FEB-ROB bus expected throughput at 4 Gbps (at maximum damage from rad before annealing) if no veto on ALCOR is possible
- On each opt-link (after data reduction via timing): 5.9 Gbps

# Throughput considerations & SIPM

SIPM radiation damage will increase DCR. Total throughput for a dRICH-class detector ( $3 \times 10^5$  channels - sensor area  $3 \times 3 \text{ mm}^2$ ), assuming an average 300 kHz DCR/sensor goes to 1.8 Tbps

Note: available on the market SIPMs, operated at  $T = -30 \text{ C}$ , have DCR at 3 kHz  $\rightarrow$  At “turn on” day dRICH will have 18.0 Gbps throughput

The average limit of 300 KHz was assumed because:

it is where Hamamatsu irradiated at  $10^{11} \text{ 1 MeV-neq}$  were brought back in DCR after annealing (“worst case”)

it allows one to keep a manageable number of optical links (310 (assumed limited at 10 Gbps as per current Felix board) and Felix boards

$\rightarrow$  Therefore, 300 KHz is currently the average DCR/sensor that triggers “annealing” when reached

However:

- already available on the market high-end switches (as ARISTA R3 series offer links at 400 Gbps single link and are capable of managing 200 Tbps input rate). The 10 Gbps limitation will certainly go
- if we give up TOT information throughput will decrease by a factor 2
- if we have a MB pre-trigger (GEM/rWell close to dRICH) distributed to our ROB cards (or to a central switch) with sufficient small latency (note ARISTA R3 switches have 24 GB buffers on each port) we could reduce by a factor 200

So the threshold to trigger annealing will likely become ALCOR maximum rate (probably few MHz, may be 10 including BC sub-windows)

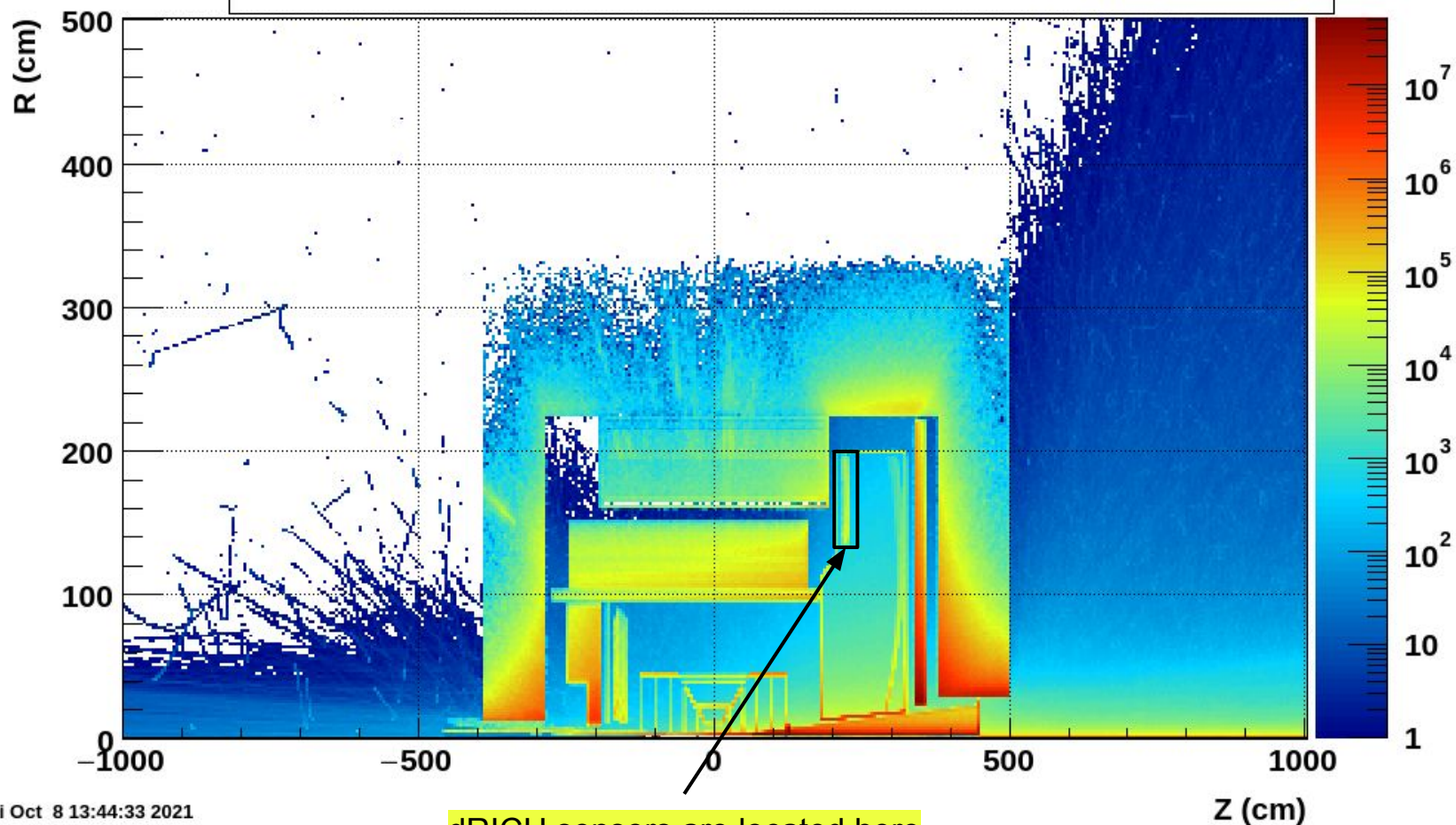
- if we lower temperature to  $-50 \text{ C}$ , DCR will decrease by a factor 4 the “threshold for annealing”

## **Updated radiation load studies from ATHENA in backup**

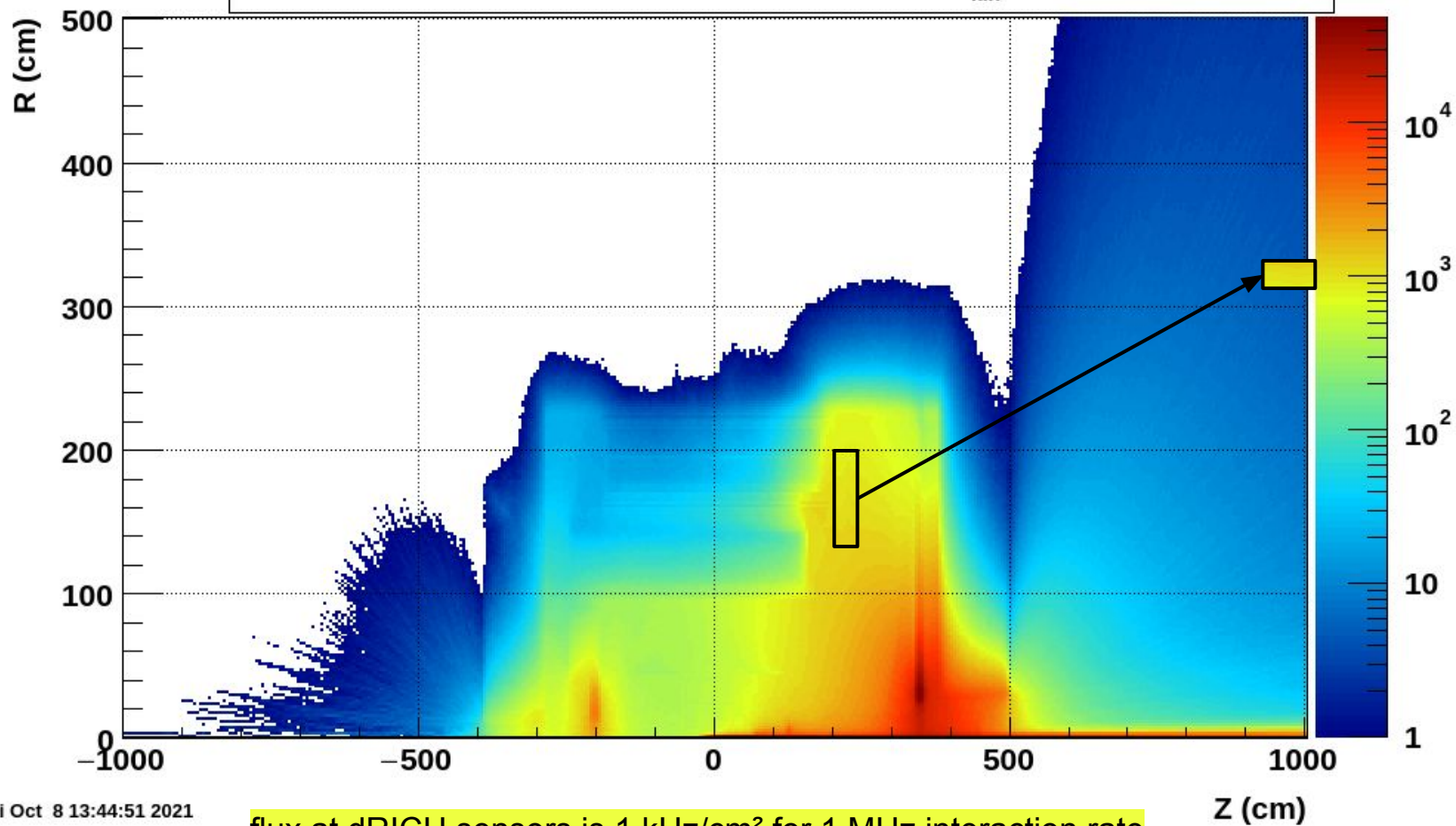
$10^{11}$  1-MeV  $n_{eq}/\text{cm}^2$  at dRICH sensor location is reached  
after 10 years of running at the maximum (500 kHz) interaction rate

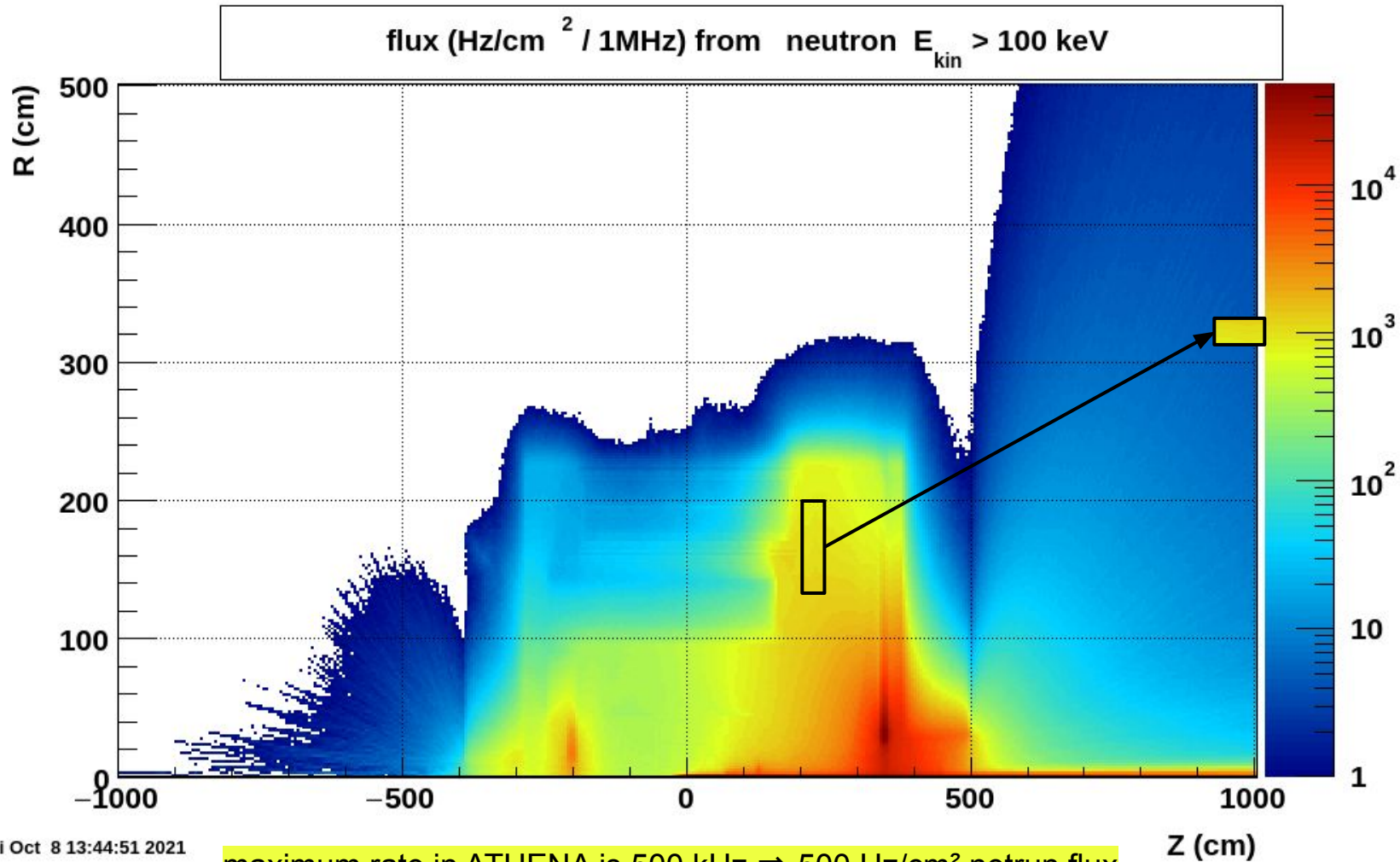


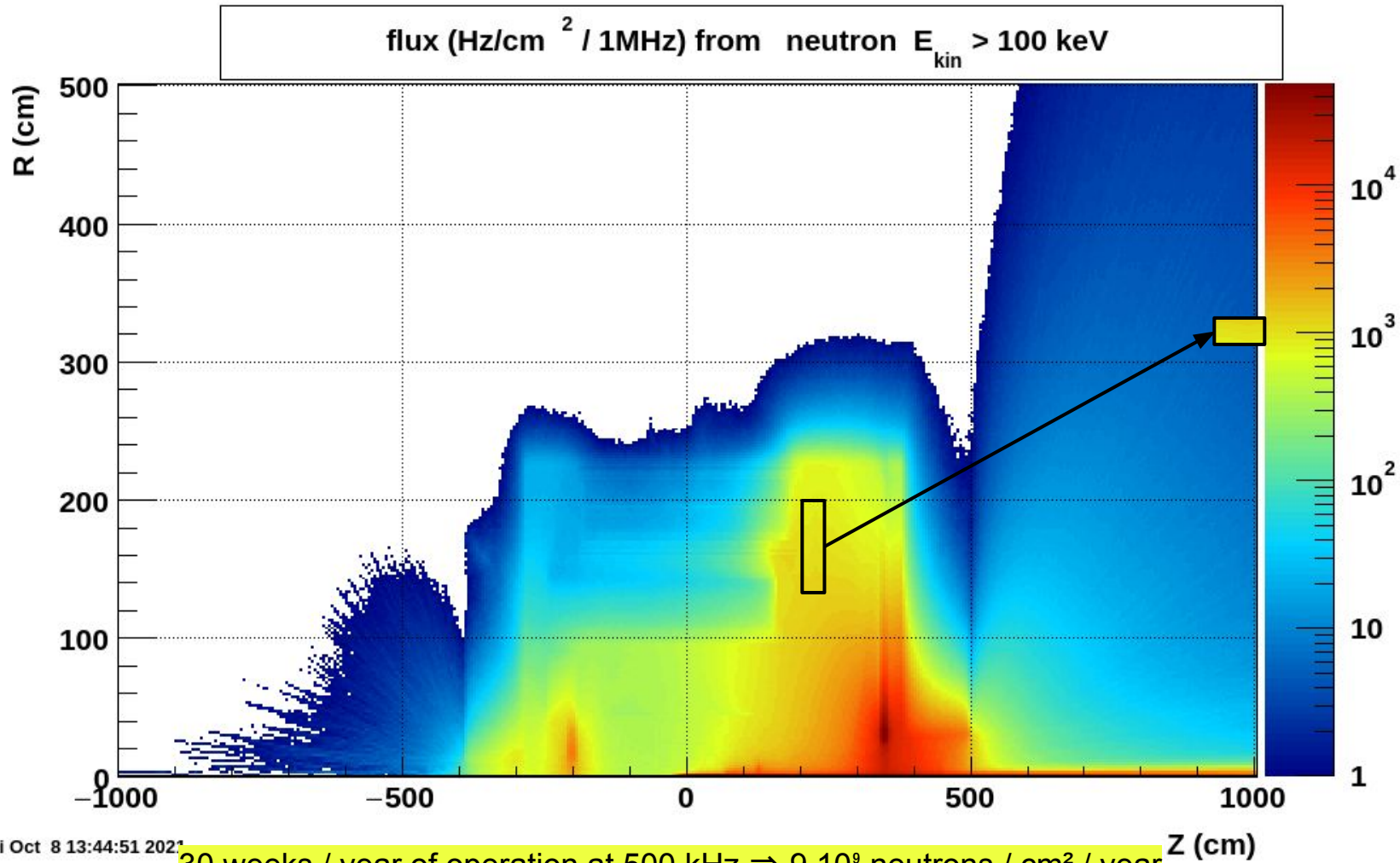
Deposited energy at step ( $\text{keV cm}^{-3} / 1 \text{ MHz}$ ) from charged hadrons



flux ( $\text{Hz}/\text{cm}^2 / 1\text{MHz}$ ) from neutron  $E_{\text{kin}} > 100 \text{ keV}$







Fri Oct 8 13:44:51 2021

30 weeks / year of operation at 500 kHz  $\Rightarrow 9 \cdot 10^9$  neutrons / cm<sup>2</sup> / year

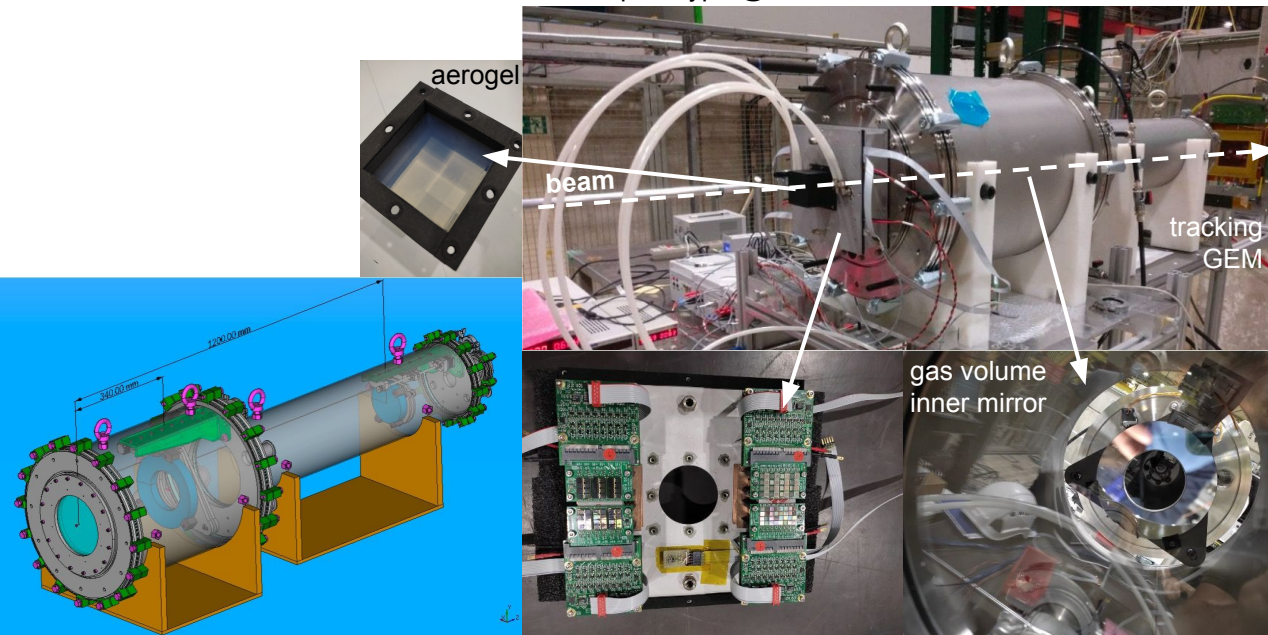


more spare slides

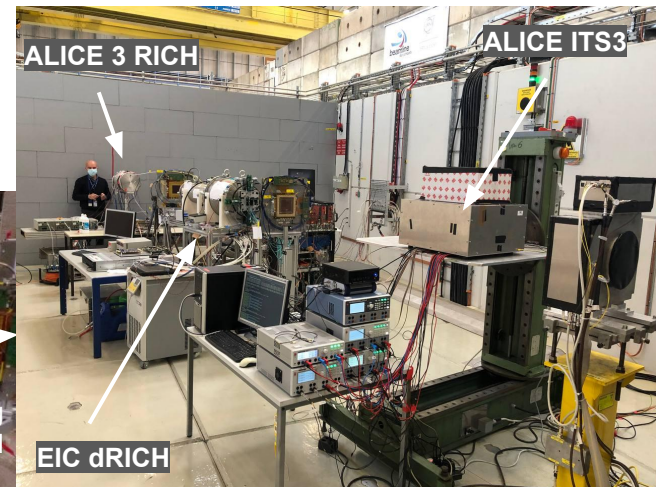
# SiPM tested with beams at CERN

first test-beams in September (SPS) and October  
2021 (PS, in synergy with ALICE) at CERN

dRICH prototype @ CERN-SPS

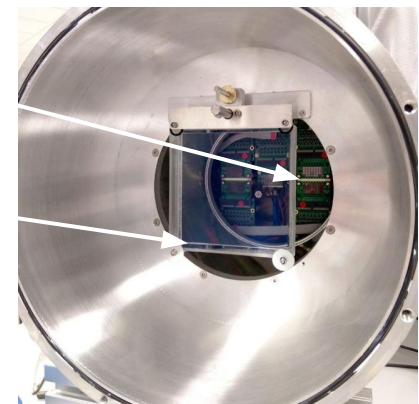


ALICE and EIC at CERN PS T10 October 2021



EIC SiPM with  
ALCOR readout

ALICE 3 aerogel  
Chiba sample

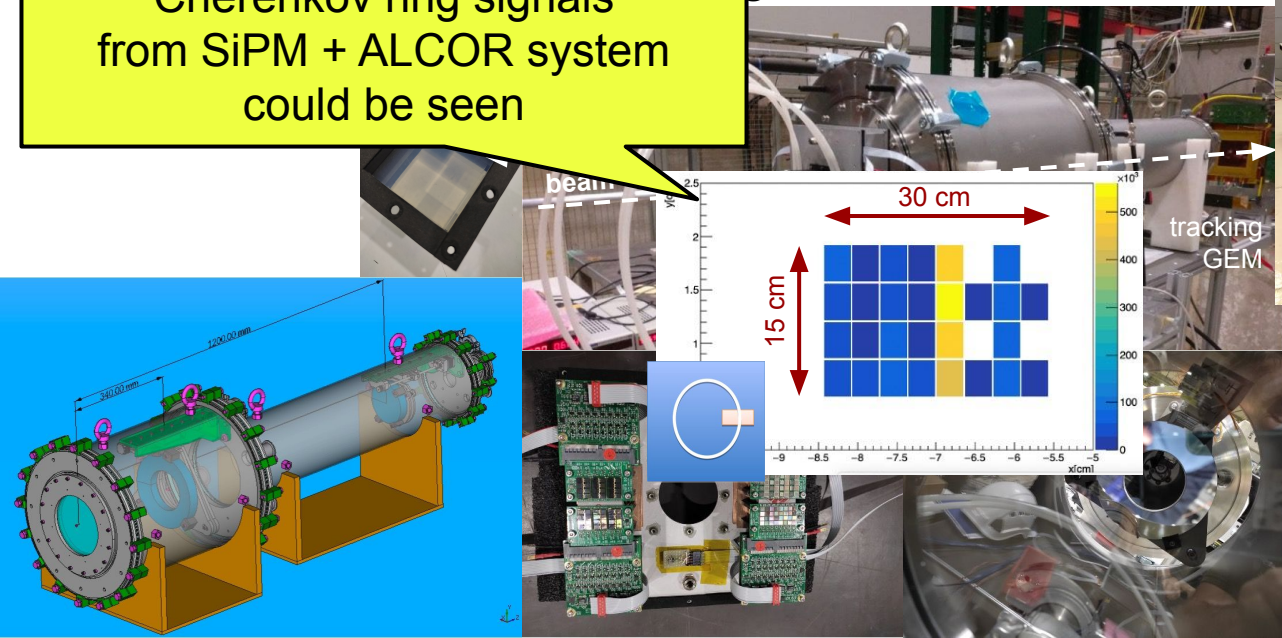


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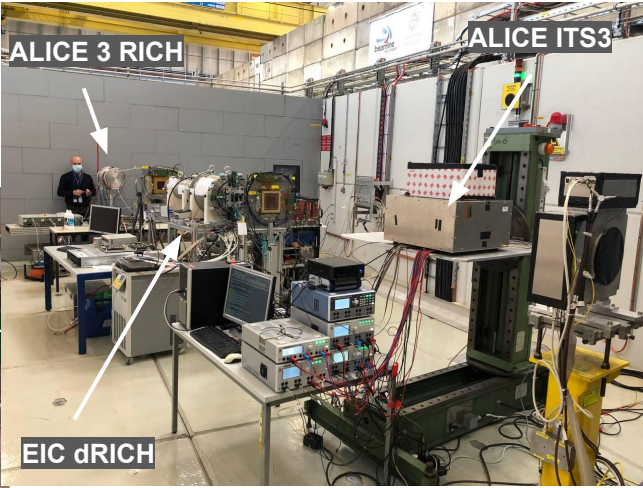
first test-beams in September (SPS) and October 2021 (PS, in synergy with ALICE) at CERN

Cherenkov ring signals from SiPM + ALCOR system could be seen

@ CERN-SPS

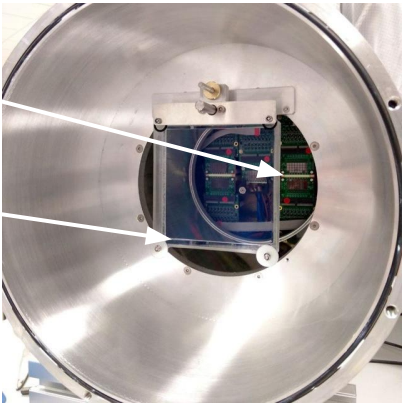


ALICE and EIC at CERN PS T10 October 2021



EIC SiPM with ALCOR readout

ALICE 3 aerogel Chiba sample



# SiPM R&D program

- **born within the forward RICH proposal for EIC**
  - proof of feasibility of SiPM for Cherenkov application at colliders, this requires
    - single-photon counting capabilities (SiPM can do it)
    - reasonable dark-count rates (low-temperature operation, time resolution)
    - radiation tolerance (small SPAD cells, high-temperature annealing)
  - SiPM readout with dedicated readout electronics
    - ALCOR front-end ASIC (Torino)
    - streaming (aka continuous) readout DAQ
- **two main phases in 2021**
  - characterisation of the sensors before and after irradiation
  - use of the sensors (with/without irradiation) in dRICH prototype at test beam
- **can have direct applications in multiple cases, i.e.**
  - other EIC detectors looking for B-tolerant photon counters
  - the Aerogel-RICH proposal for ALICE3

**this R&D is  
100% synergic  
with ALICE3**

acquisition of commercial and prototype (FBK) SiPM sensors  
design and production of dedicated electronics boards

- **SiPM carrier boards (BO)**

- host SiPM matrix: designed with irradiation, annealing and testbeam in mind
- one form factor, different layout for different SiPM family

- **SiPM adapter boards (FE)**

- couples the SiPM carrier board with readout system (oscilloscope, ALCOR)
  - IV-base adapter (for SiPM IV and DCR characterisation)
  - mini-adapter (for ALCOR-TEST board)
  - adapter-CA (for ALCOR-FE board)

- **ALCOR FrontEnd board (TO)**

- hosts ALCOR frontend ASIC

- **FireFly breakout board (ARCADIA)**

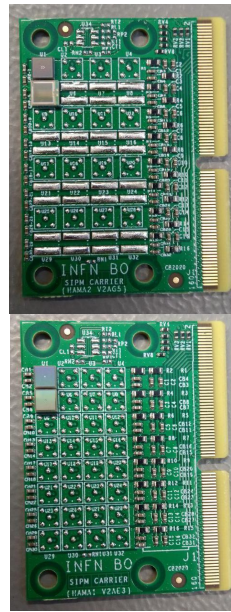
- links ALCOR I/O to FPGA
  - ALCOR configuration and readout

**the list does not stop here, these are the main equipment boards**



# Schede SiPM carrier

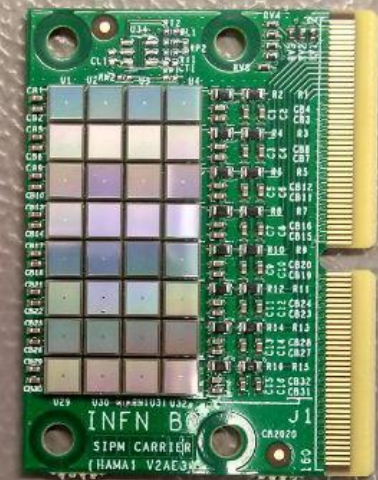
- **SENSL**
  - 2 schede FULL
  - 3 schede LIGHT
- **BCOM**
  - 4 schede FULL
  - 2 schede LIGHT
- **HAMA1**
  - 2 schede FULL
  - 3 schede LIGHT
- **HAMA2**
  - 2 schede FULL
  - 3 schede LIGHT
- **FBK**
  - 4 schede FULL



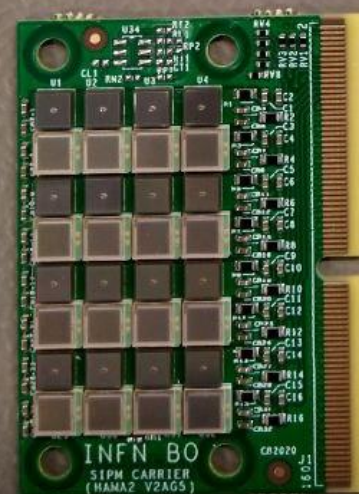
schede LIGHT



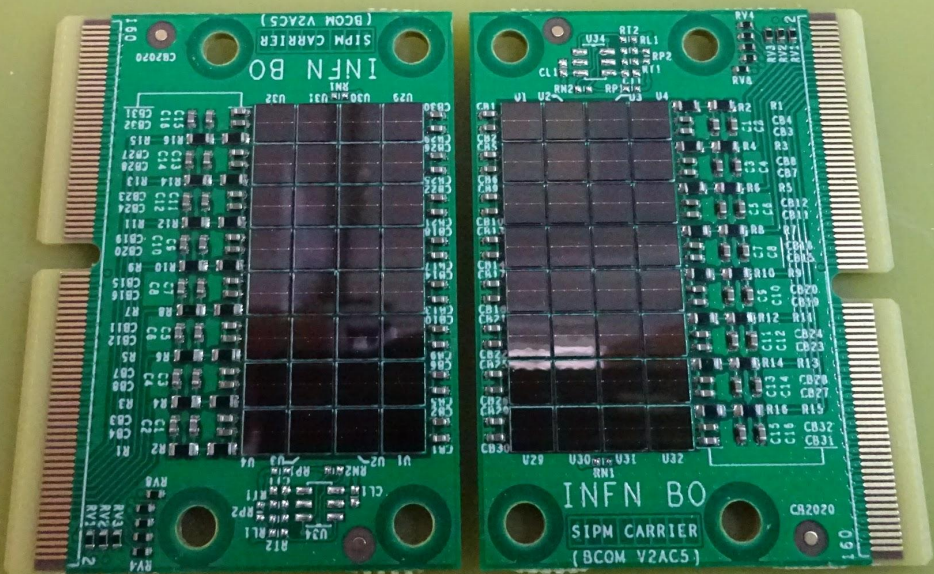
HAMA2



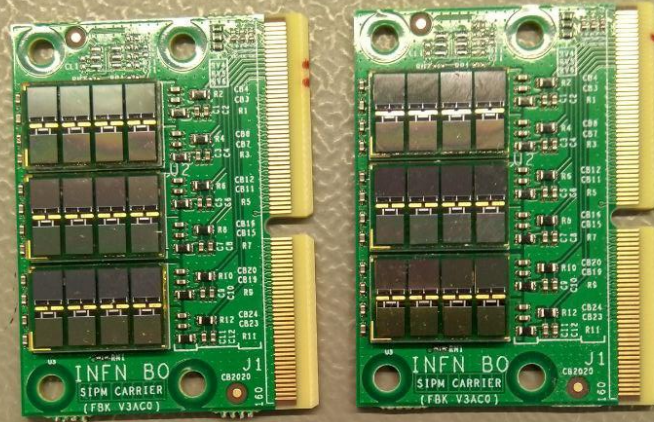
HAMA1



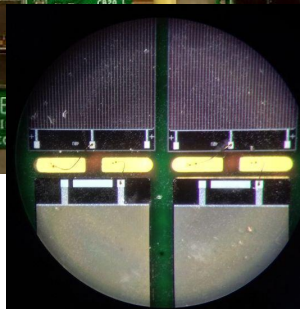
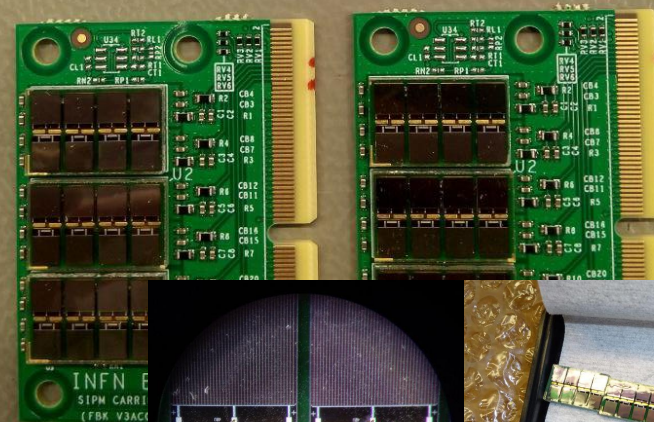




BCOM



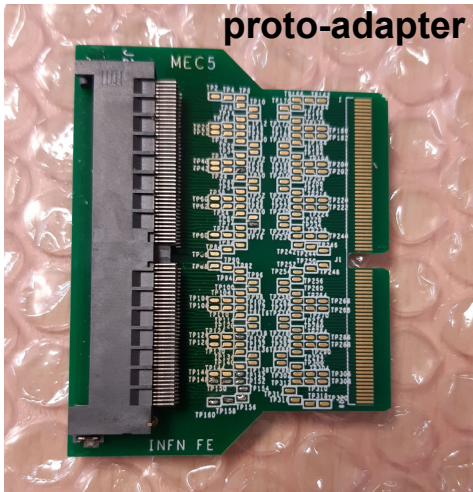
FBK



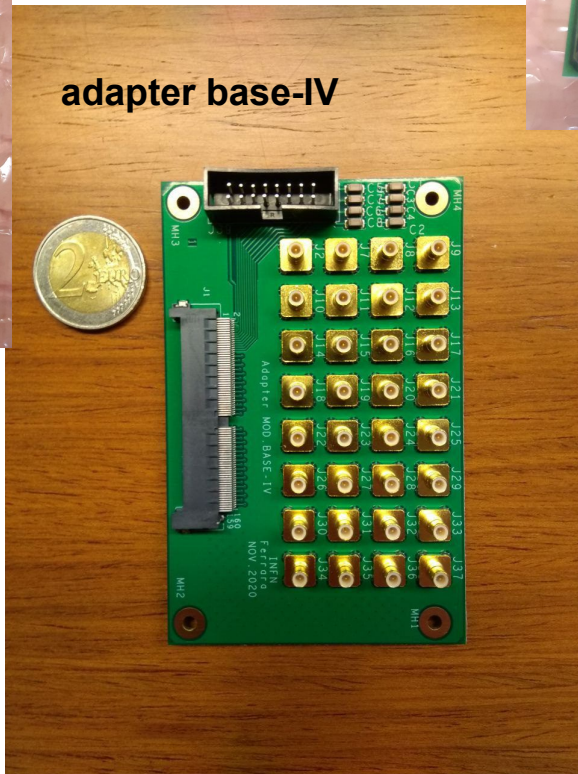


# Schede adapter

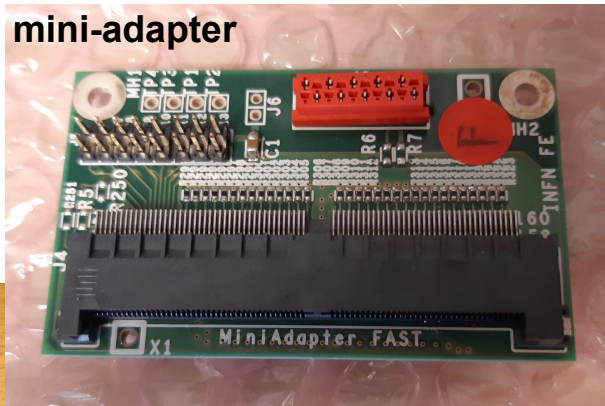
proto-adapter



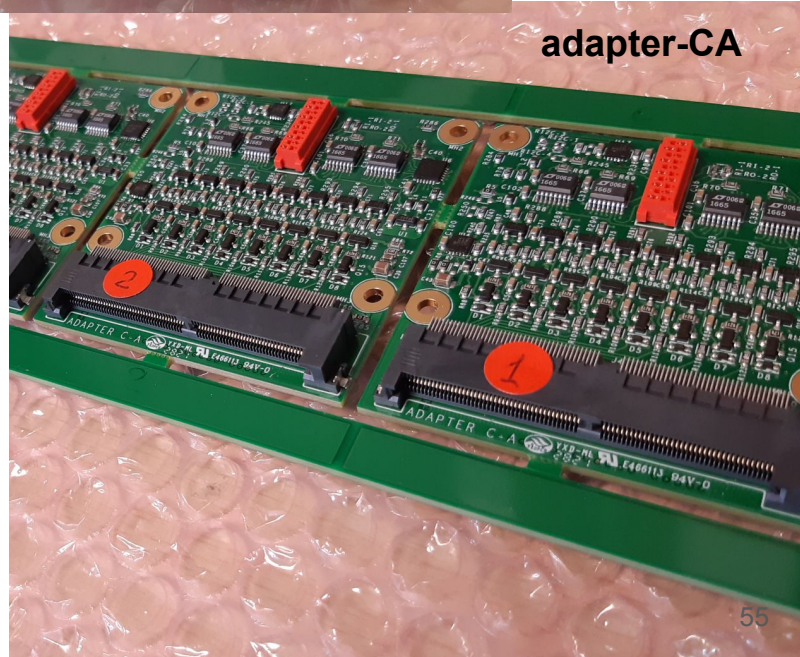
adapter base-IV



mini-adapter



adapter-CA





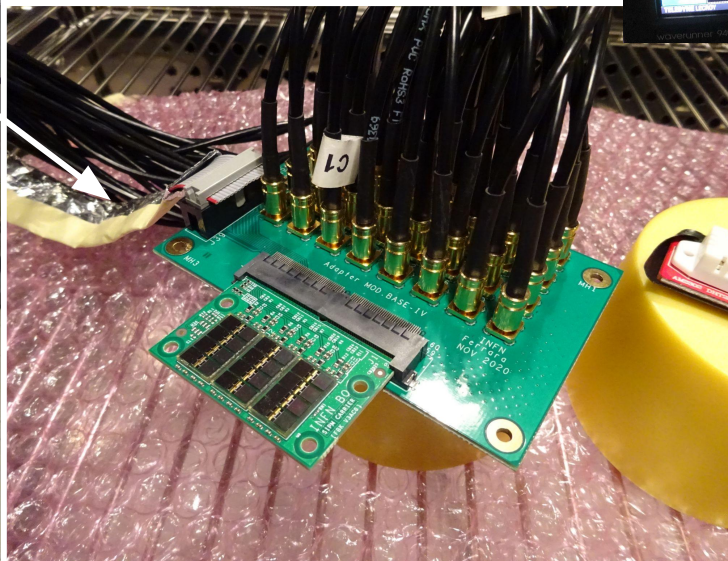
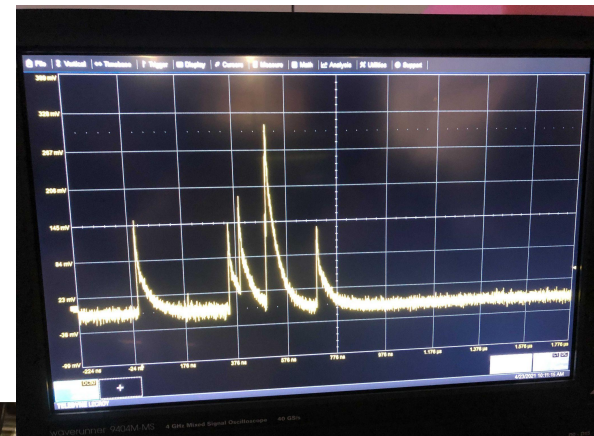
# SiPM characterisation @ BO



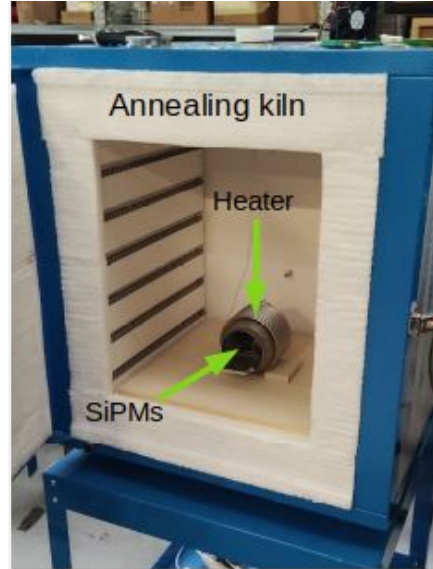
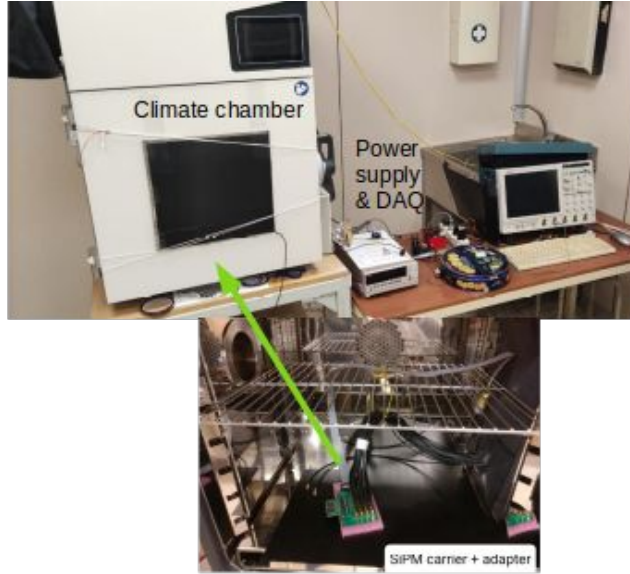
## I-V curves and DCR at different temperatures

+20 C -10 C -30 C

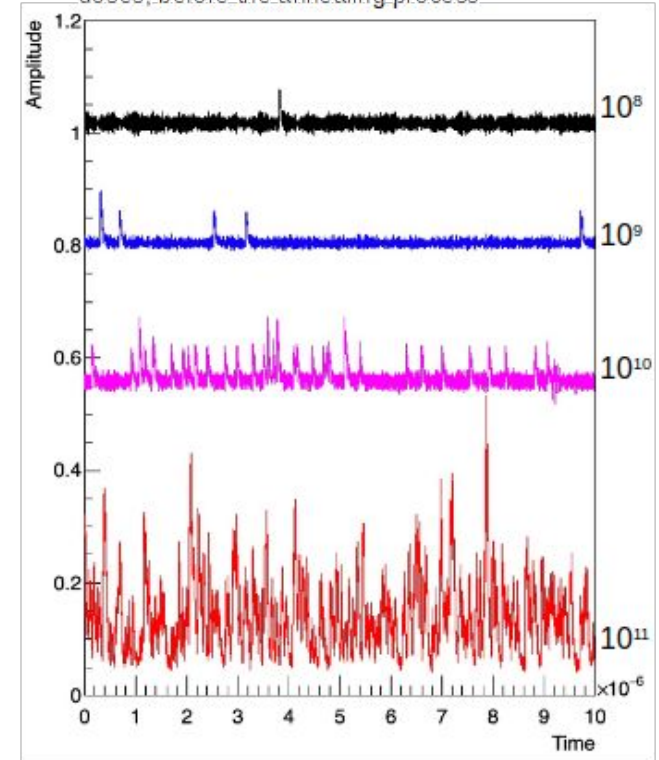
- Memmert climatic chamber
- Keithley source meter
- Keysight power supply
- Cividec amplifier
- Lecroy oscilloscope



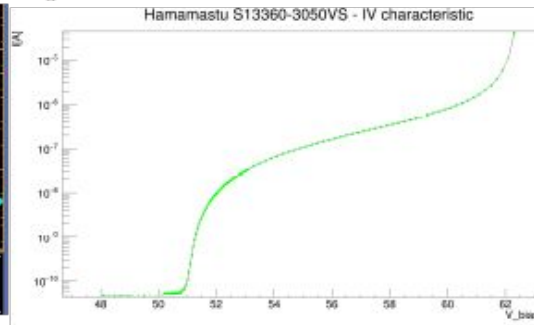
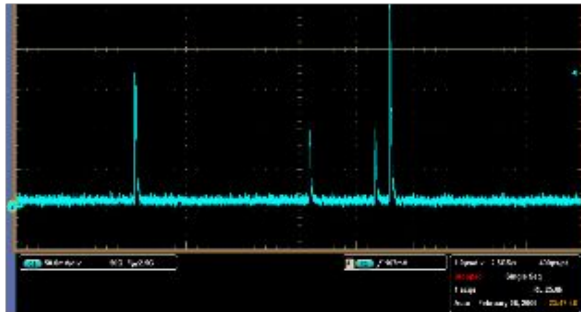
# SiPM characterisation @ FE



Signals of SiPMs irradiated with different doses, before the annealing process



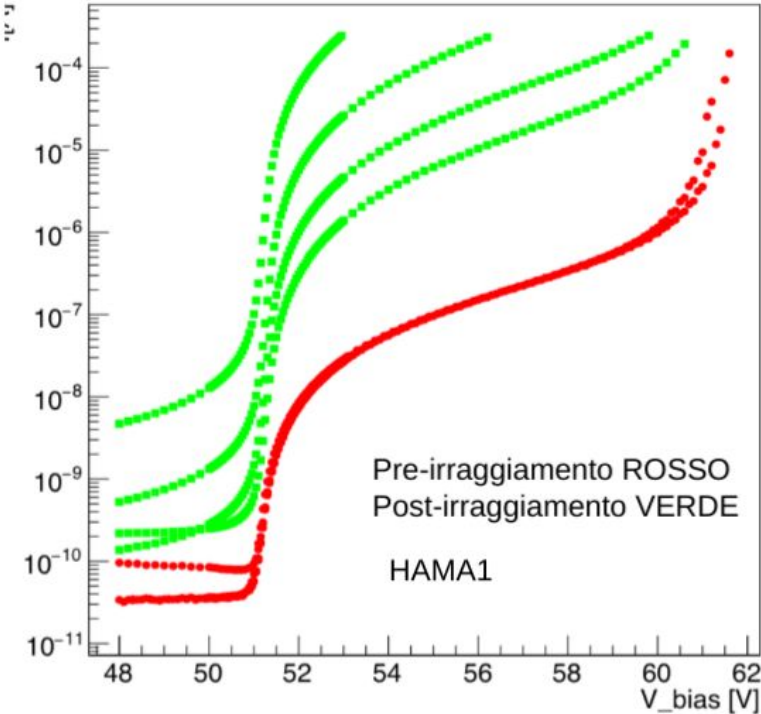
Not irradiated SiPMs, room temperature, signal and IV characteristic





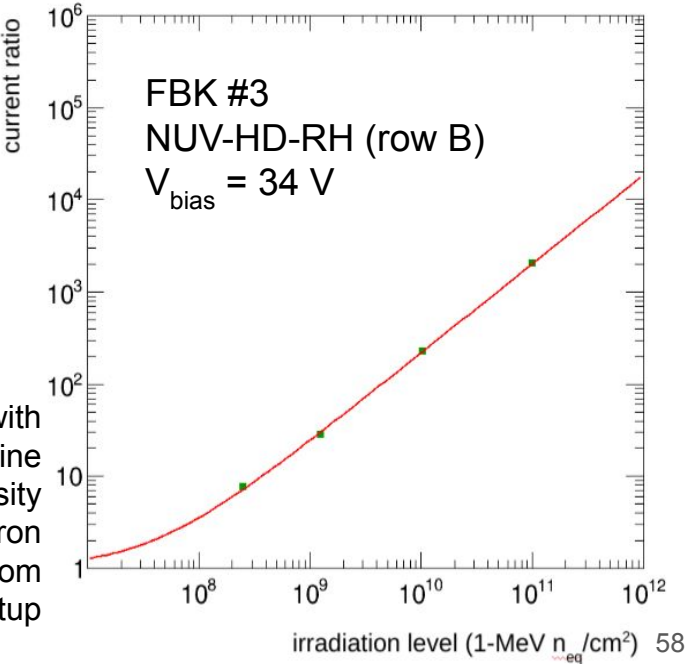
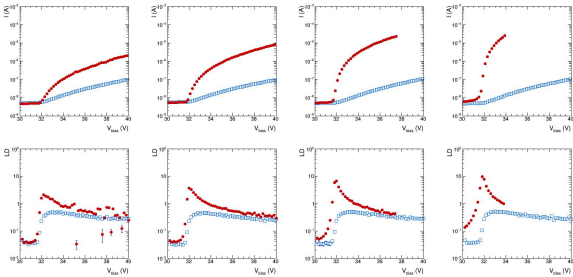
# Post-irradiation characterisation

measured also right after irradiation in TIFPA bunker  
and ~10 days later when TIFPA released the SiPM

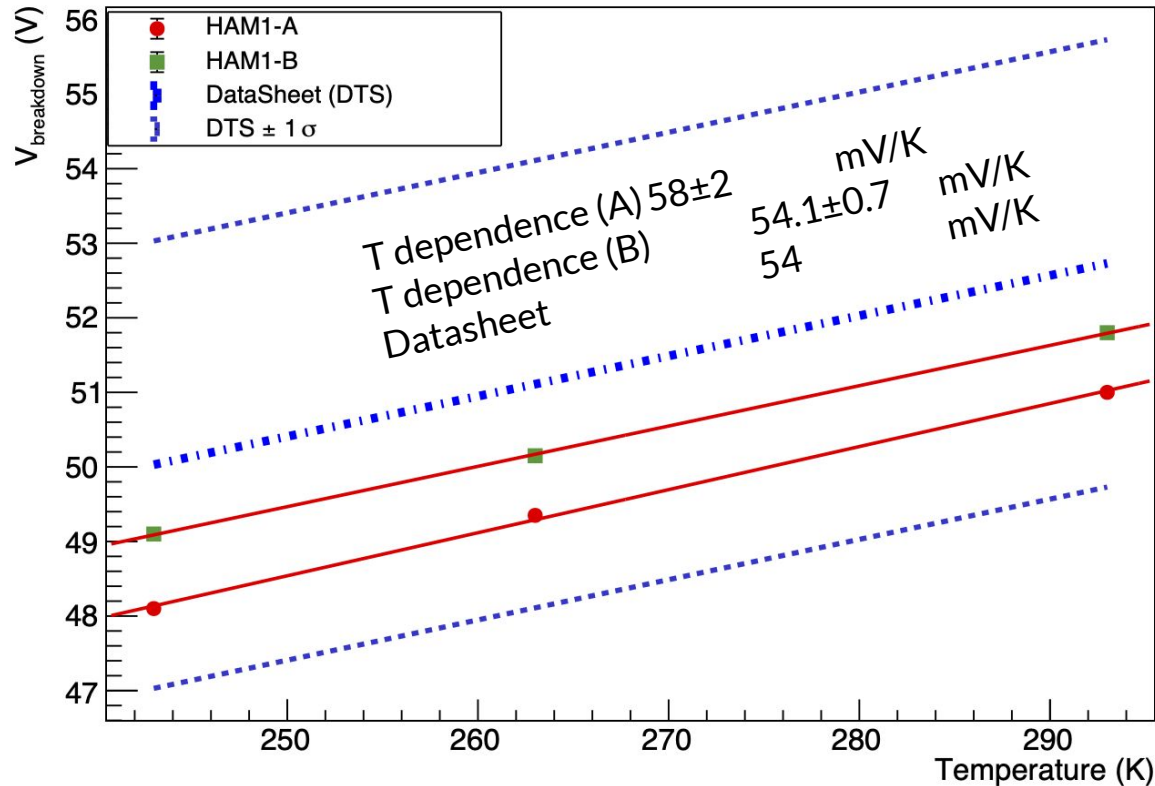


current increases with  
irradiation level in line  
with proton intensity  
calibration + neutron  
background from  
simulations of the setup

after  
before

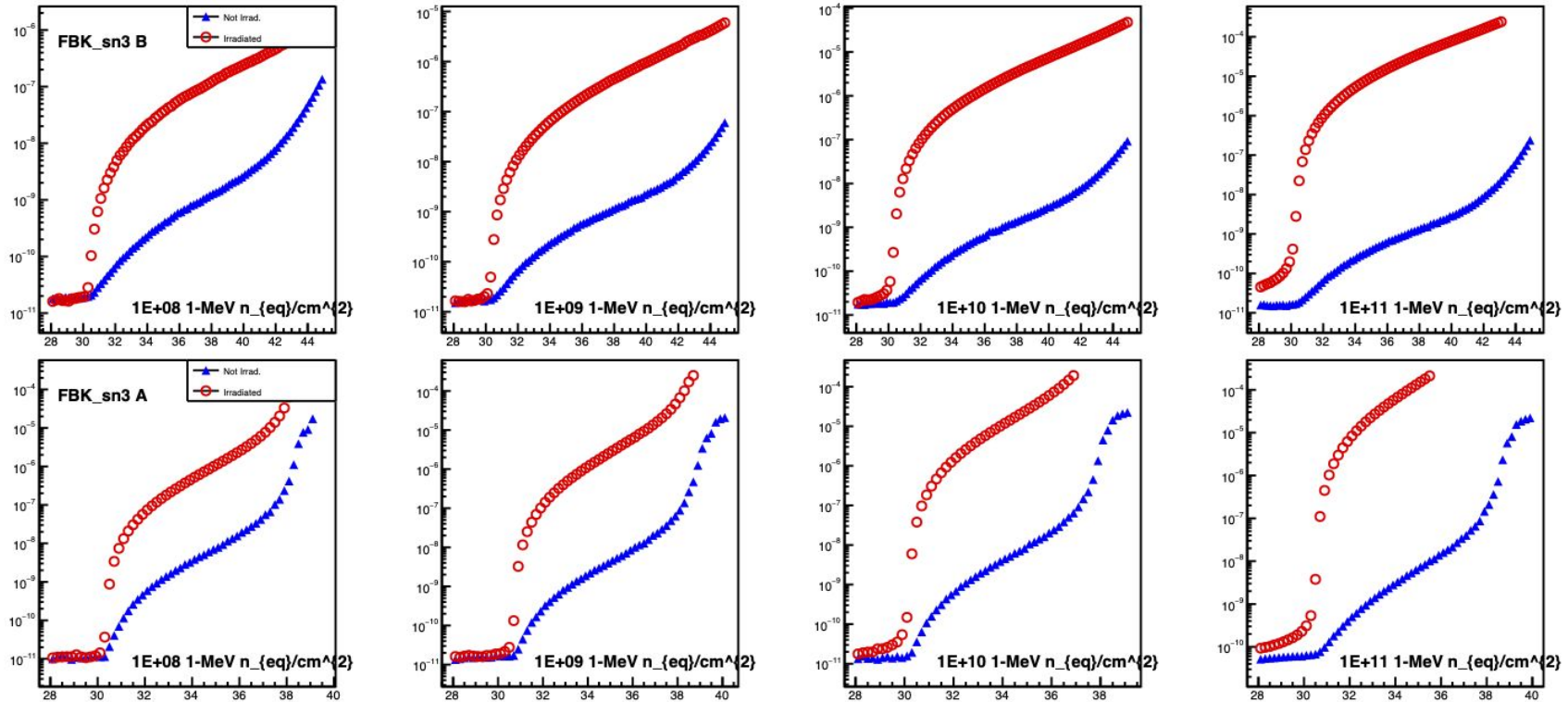


# Breakdown Voltage estimation (HAM1-A & -B)

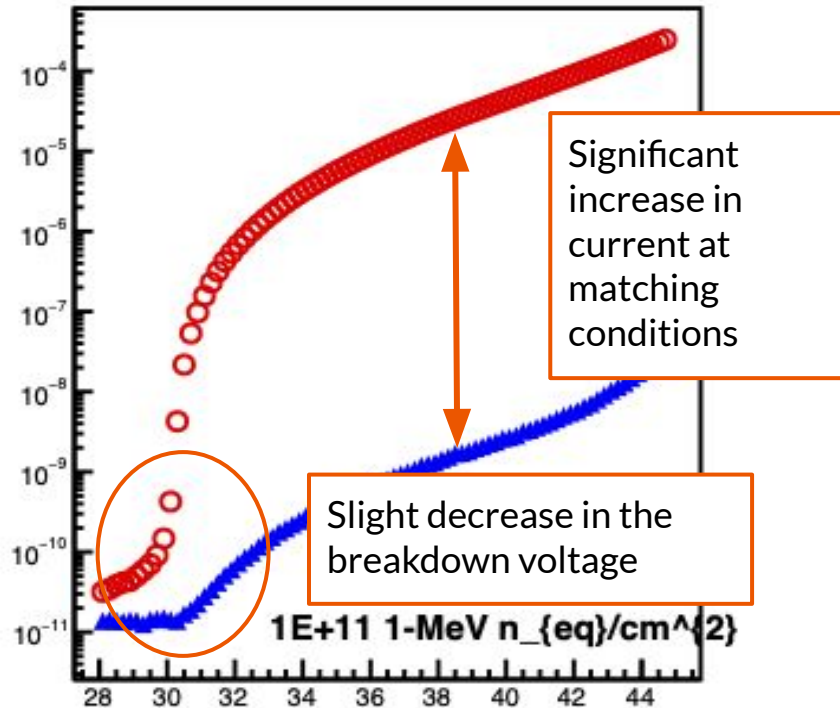


The curve seems consistent with what is reported on the Datasheet.

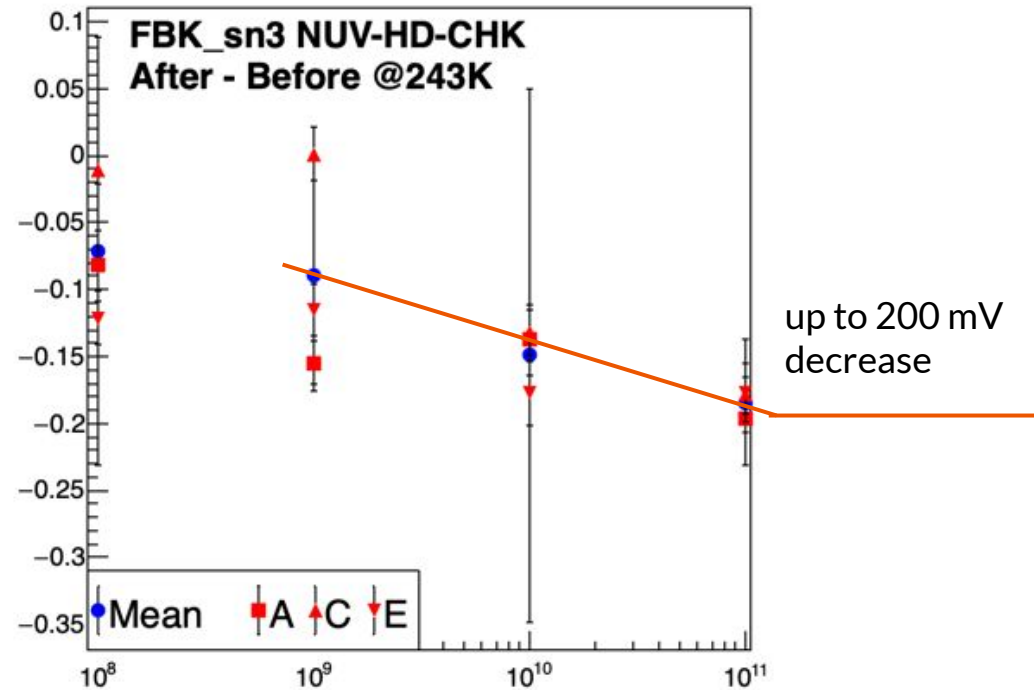
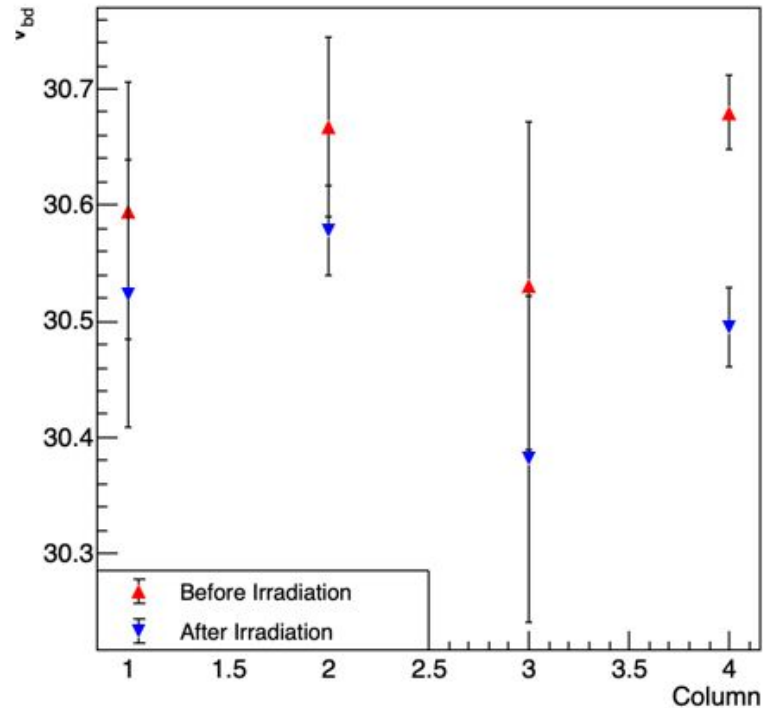
# Current comparison @243K for FBK3-(C)(R)



# Current comparison

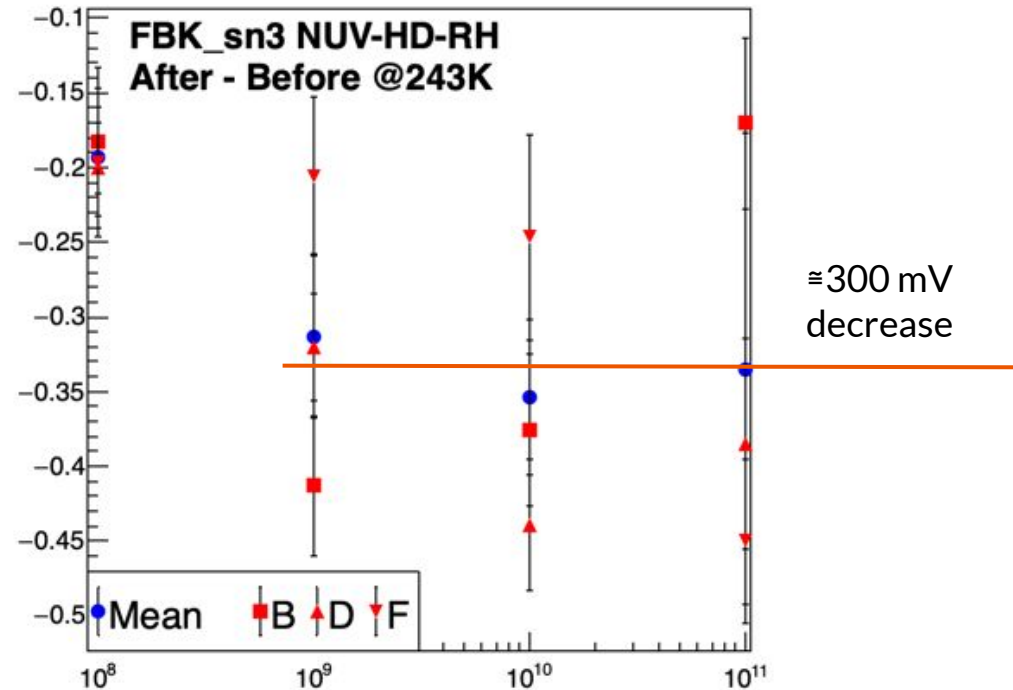
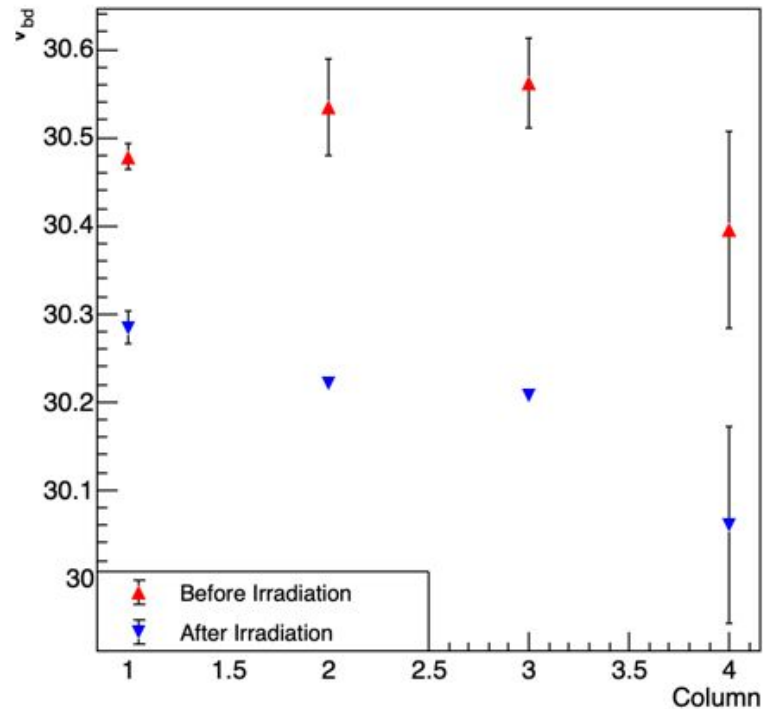


# $V_{BD}$ estimation @243K for FBK3-C

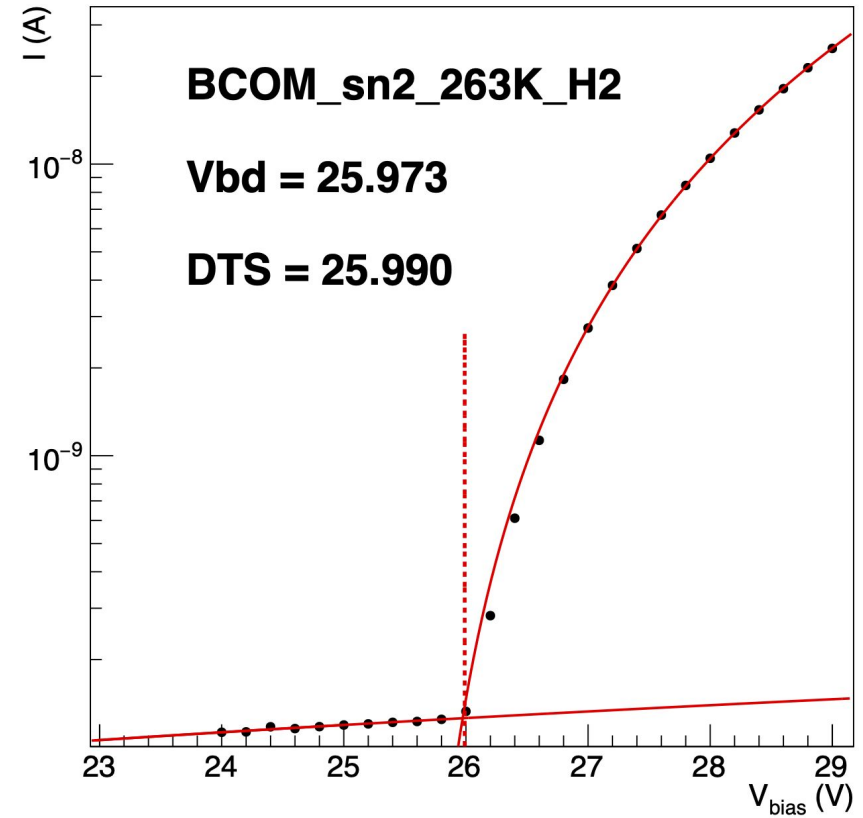




# $V_{BD}$ estimation @243K for FBK3-R



# Breakdown Voltage Estimation



# Breakdown Voltage estimation

- A second order polynomial, fitted to  $I(V_{bias})$  above  $V_{bd}$  after surface-current subtraction, crosses the  $V_{bias}$  axis.

We fit the I-V curve for  $V > V_{BD}$  with a power function:

$$[0] * (x - [1])^2$$

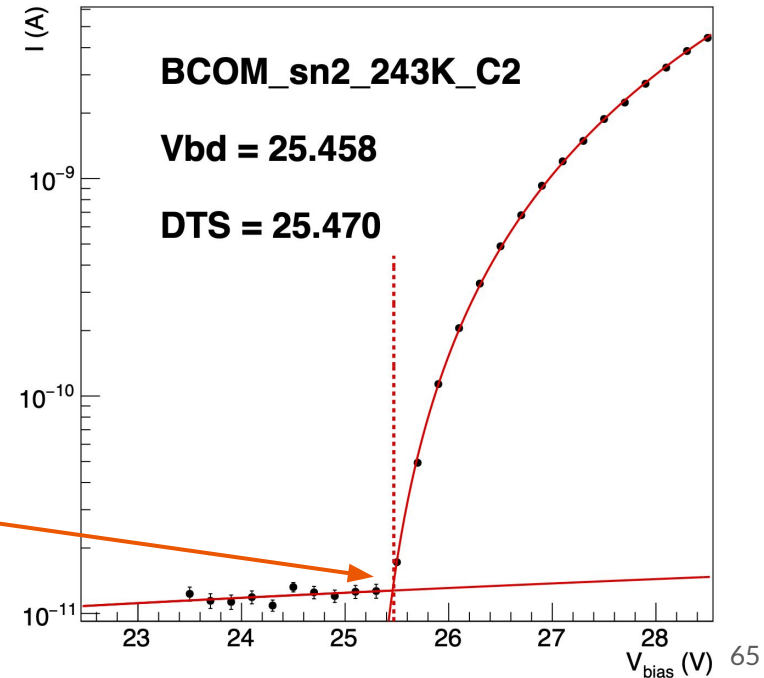
( Generalising the second order polynomial )

We fit the I-V curve for  $V < V_{BD}$  with a linear function:

$$[0] + x * [1]$$

( Acting as the surface current subtraction )

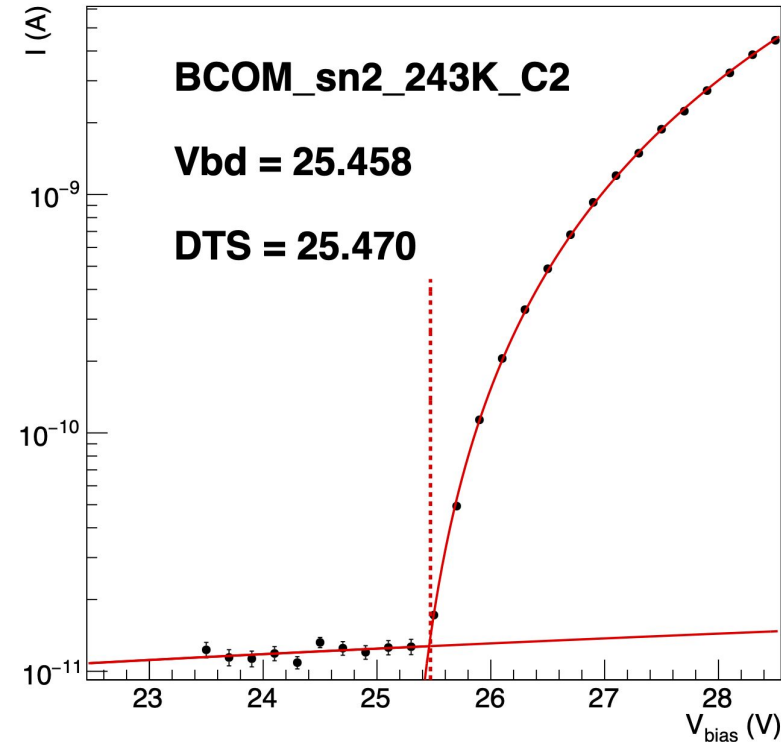
The crossing of the two functions will give us the Breakdown voltage



# Breakdown Voltage estimation

Procedure:

1. We Fit the two functions in the range:  
 $[V_{BD}-4; V_{BD}-1]$  and  $[V_{BD}+1; V_{BD}+4]$  respectively, where  $V_{BD}$  is an initial guess.
2. The two function crossing is found and taken as the new  $V_{BD}$  guess
3. We Fit the two functions in the range:  
 $[V_{BD}-4; V_{BD}]$  and  $[V_{BD}; V_{BD}+4]$  respectively
4. Steps 2 and 3 are repeated until the difference between the new guess and the previous guess is less than  $1.e-5$

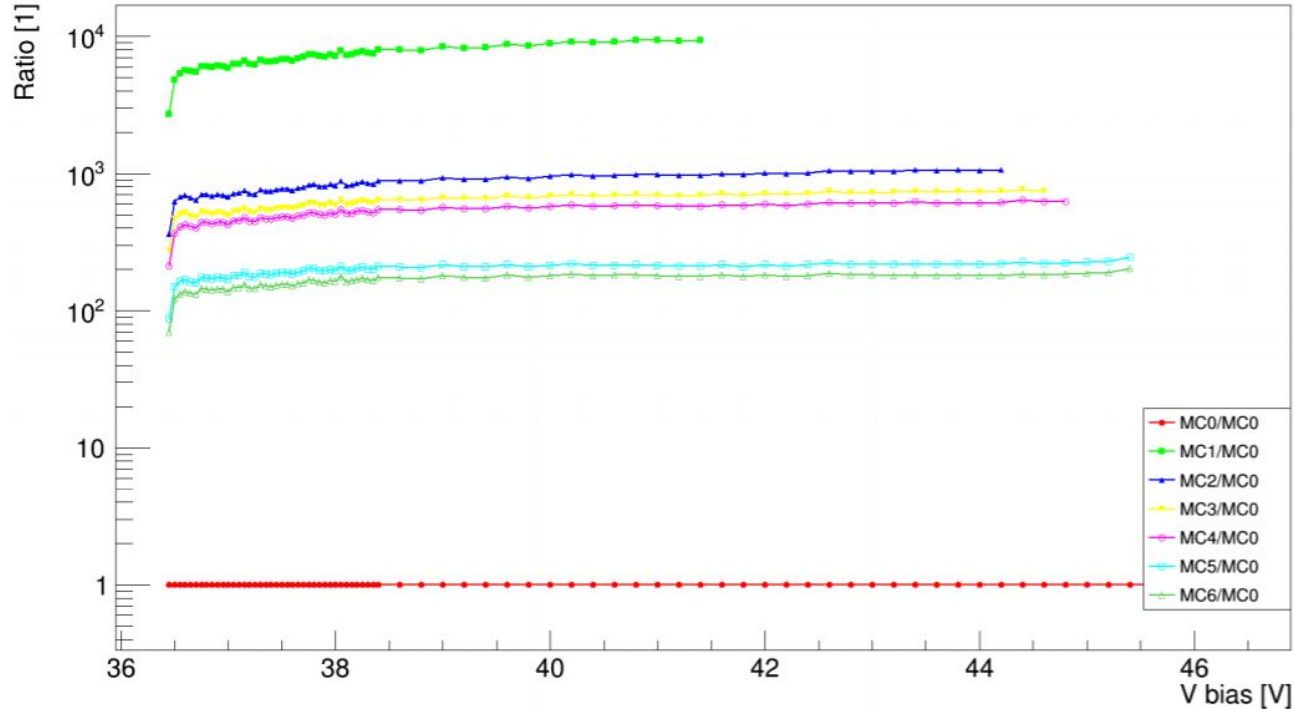


Measurement	MC0	MC1	MC2	MC3	MC4	MC5	MC6
Annealing step	Before irradiation	After irradiation	1 <sup>st</sup> ann. @125°C	2 <sup>nd</sup> ann. @125°C	3 <sup>rd</sup> ann. @125°C	1 <sup>st</sup> ann. @150°C	2 <sup>nd</sup> ann. @150°C
Duration (tot)	N/A	N/A	12h @50°C 24h @100°C 72h @125°C	62h @125°C (134h)	60h @125°C (190h)	73h @150°C (73h)	63h @150°C (136h)



## VI characteristic – ratio with respect to MC0

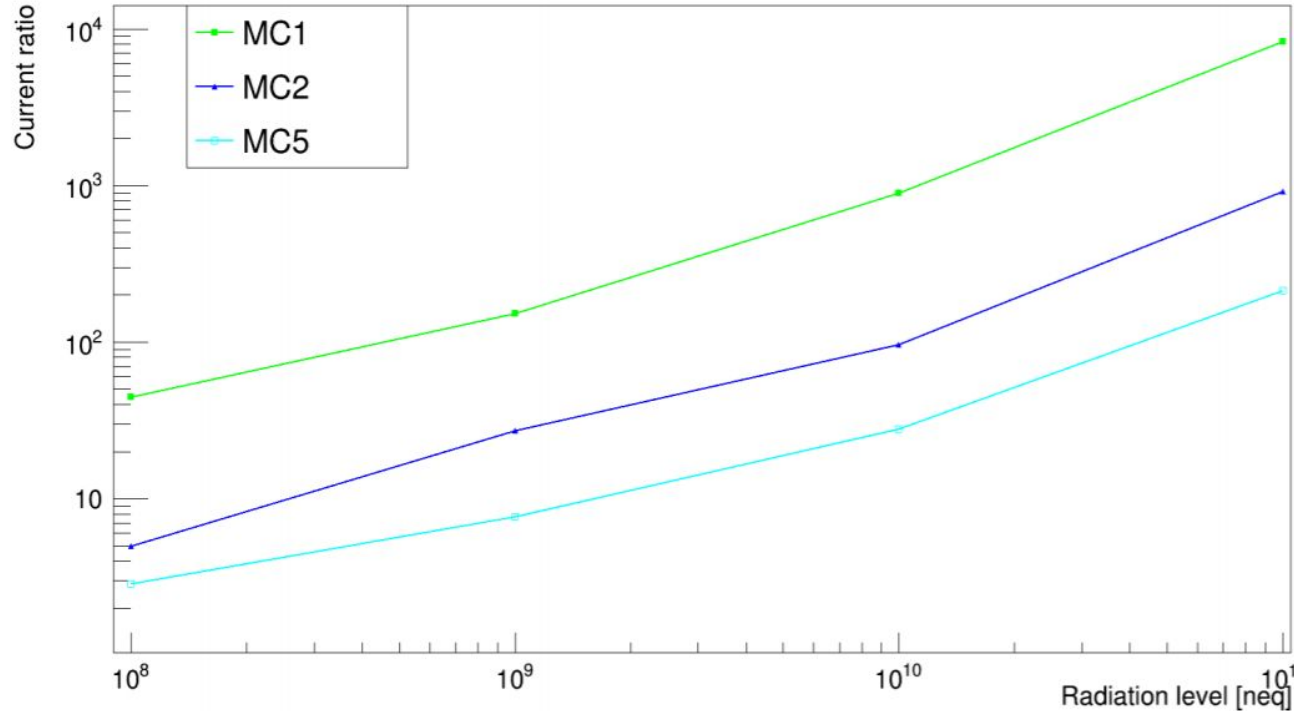
Ratio\_Hama2\_C4



- VI characteristic ratio with respect to MC0
- 2 major gaps corresponding to increasing temperature
- The effect of the second and (probably) third annealing steps is significantly lower than the first.

# Current ratio to the recommended operating voltage

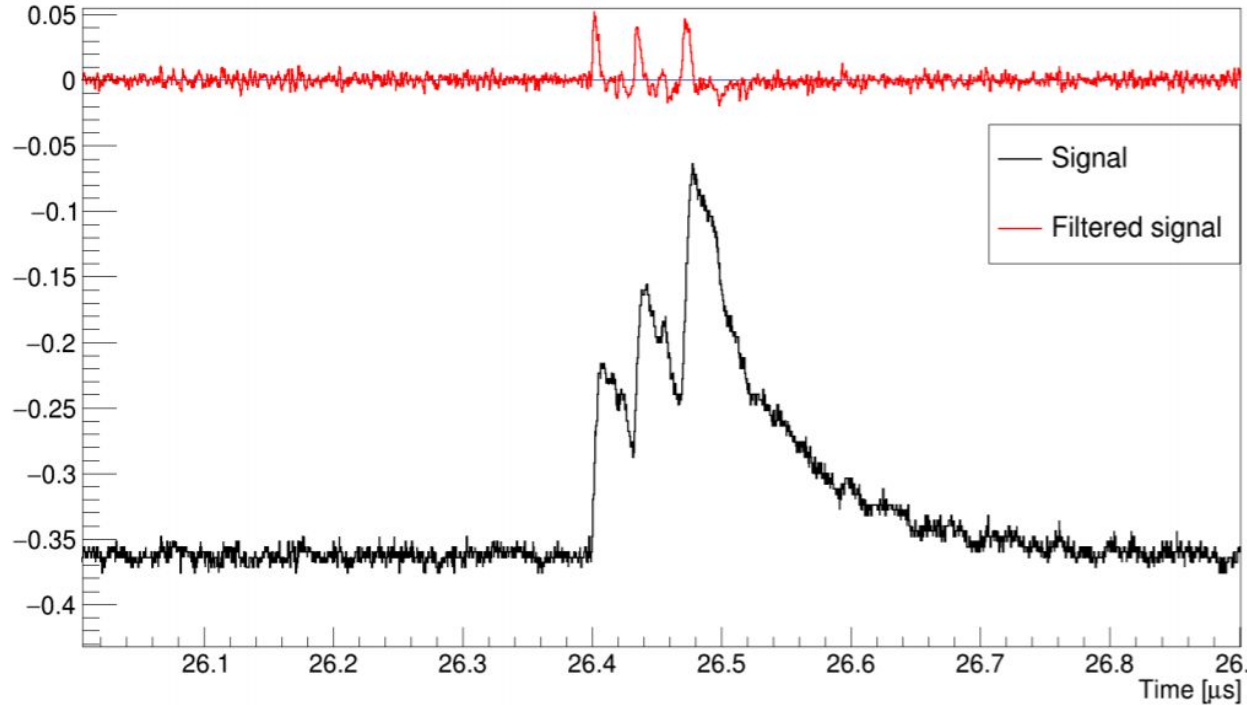
Current ratio vs radiation level



- Current ratio with respect to MC0 measured to the recommended operating voltage
- The plots shows the curves for the measurements before annealing and after the first annealing step at 125°C and 150°C.

## Filter effect

Filter effect



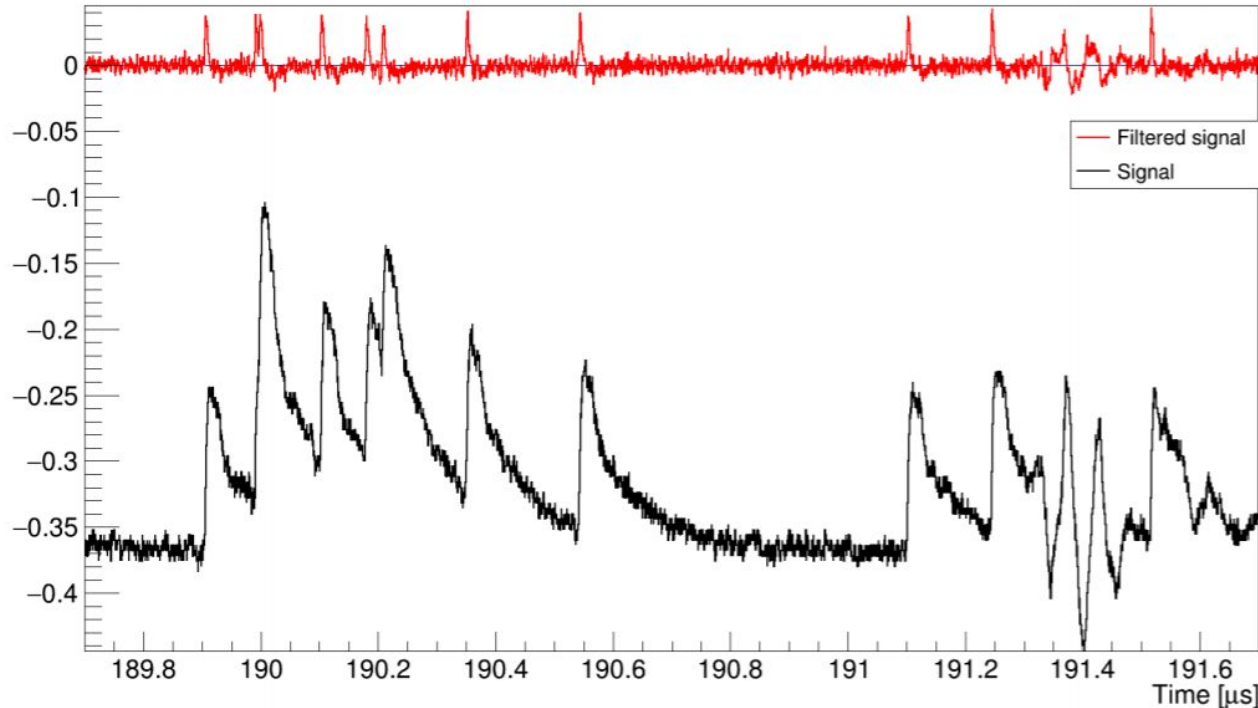
The plot shows the effect of filter.

It allows to distinguish easily the three peaks (in this example)

$$V_i^{filtered} = V_i - \frac{1}{N} \sum_{j=1}^N V_{i-j} \cdot \exp\left(-\frac{t_i - t_{i-j}}{\tau}\right)$$

## Filter effect

Signal and filtered signal - Zoom



The plot shows the effect of filter.

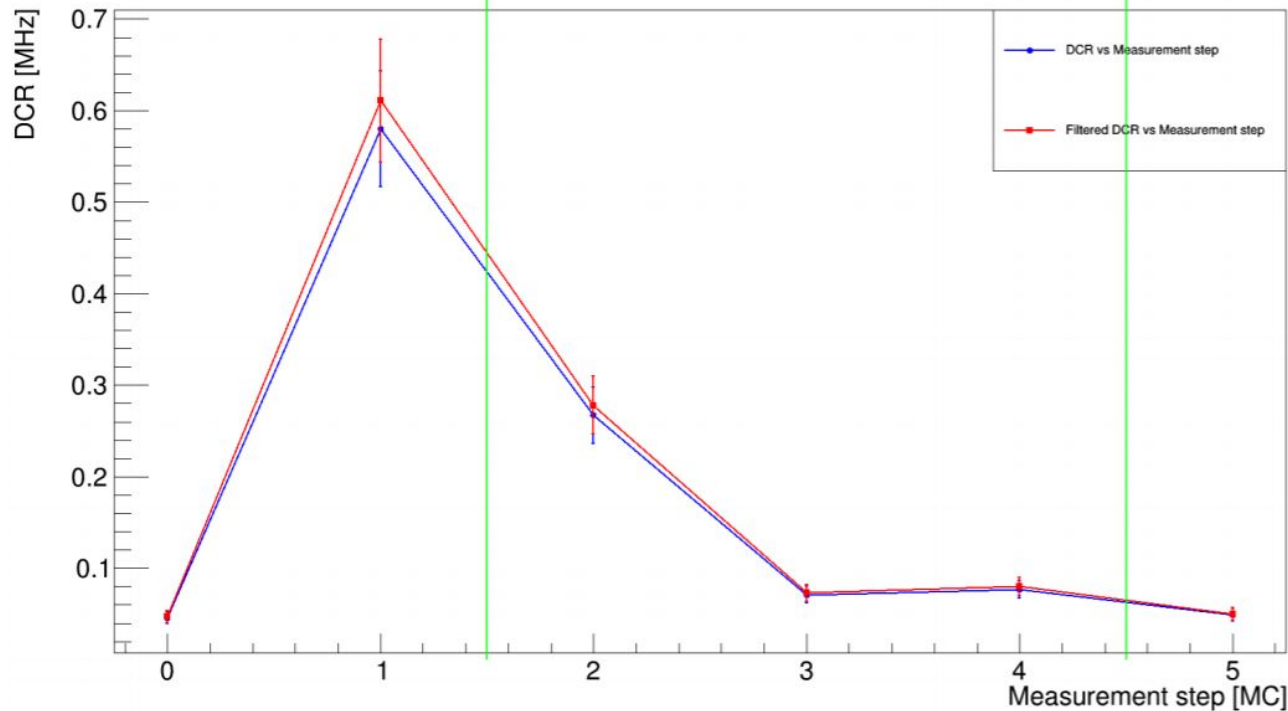
It allows to distinguish easily the three peaks (in this example)

$$V_i^{filtered} = V_i - \frac{1}{N} \sum_{j=1}^N V_{i-j} \cdot \exp\left(-\frac{t_i - t_{i-j}}{\tau}\right)$$

The time-over-threshold criteria to reject the noise appears still valid after filtering.

## Dark Count Rate vs Measurement – Preliminary results

Hama2 C2 - DCR vs Measurement step



The plot shows the DCR vs the measurement for a 50 $\mu$ m-cell SiPM which has received a low dose ( $10^9$ ), with or without filtering.

The DCR is quite low, this allows to obtain it even without the filter.

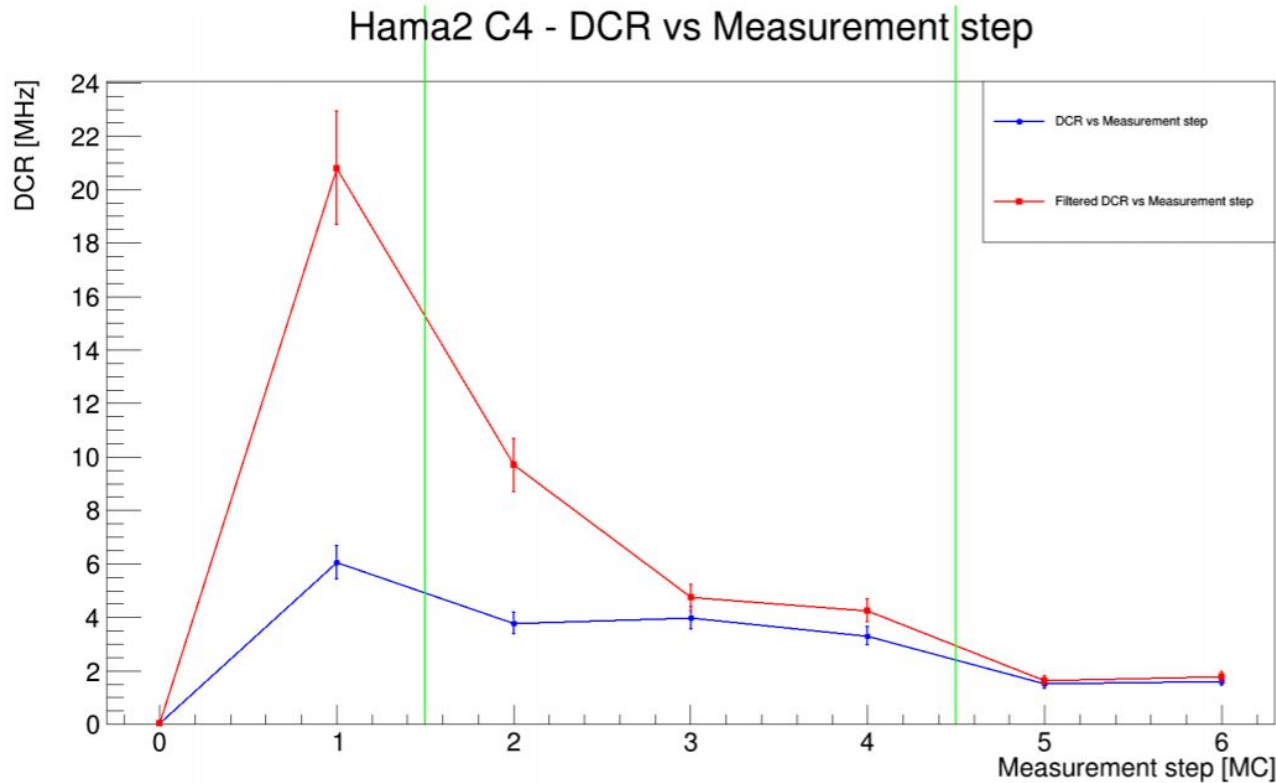
The vertical green lines mark the different annealing temperature steps:

- 125°C, between MC1 and MC2
- 150°C, between MC4 and MC5

As expected, the DCR decreases while the annealing proceeds



## Dark Count Rate vs Measurement – Preliminary results



The plot shows the DCR vs the measurement for a 50 $\mu$ m-cell SiPM which has received a high dose ( $10^{11}$ ), with or without filtering.

When the DCR increases, the analysis without filter fails to identify nearby peaks.

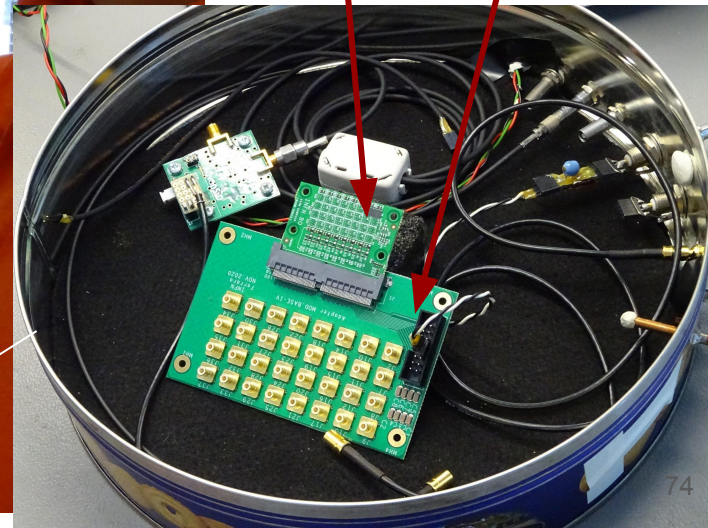


in azienda durante  
produzione schede SiPM

segnali dai SiPM !

SiPM carrier LIGHT

SiPM adapter Base-IV



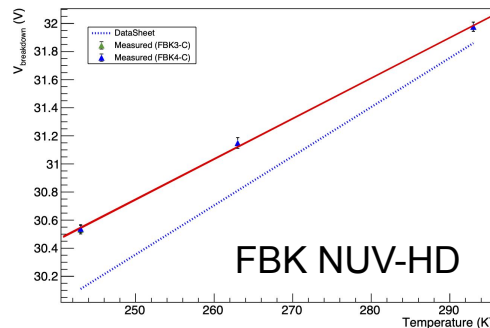
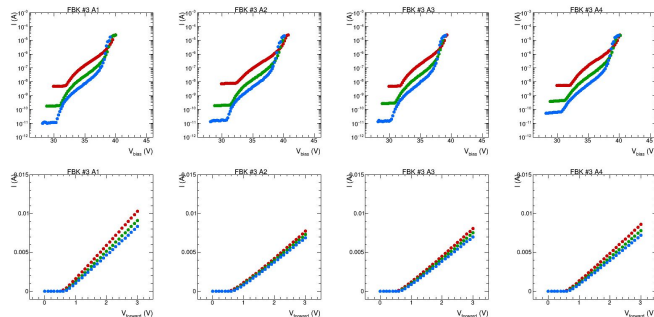
# SiPM characterisation

IV characteristics at different T

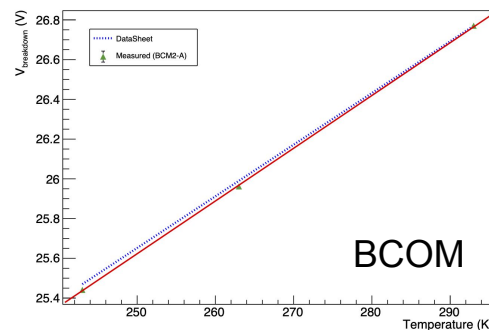
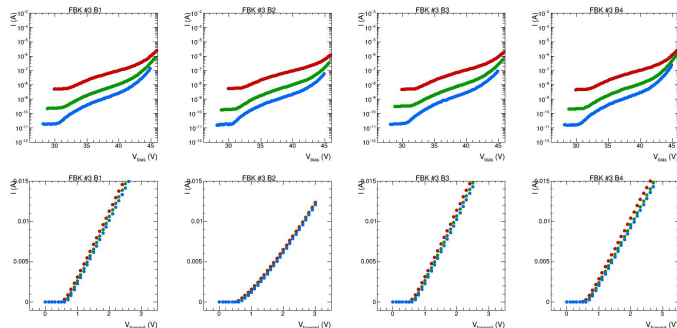
+20 C  
-10 C  
-30 C

breakdown voltage vs. temperature

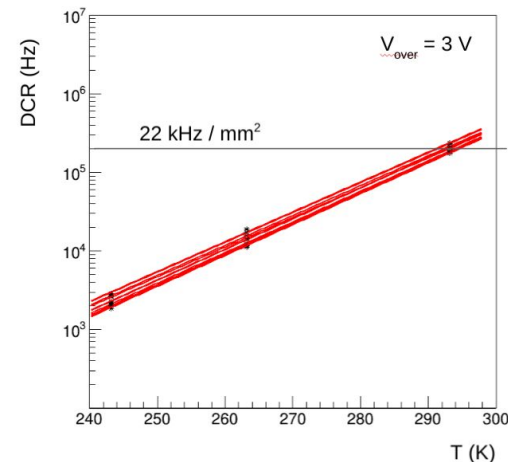
FBK NUV-HD-CHK



FBK NUV-HD-RH



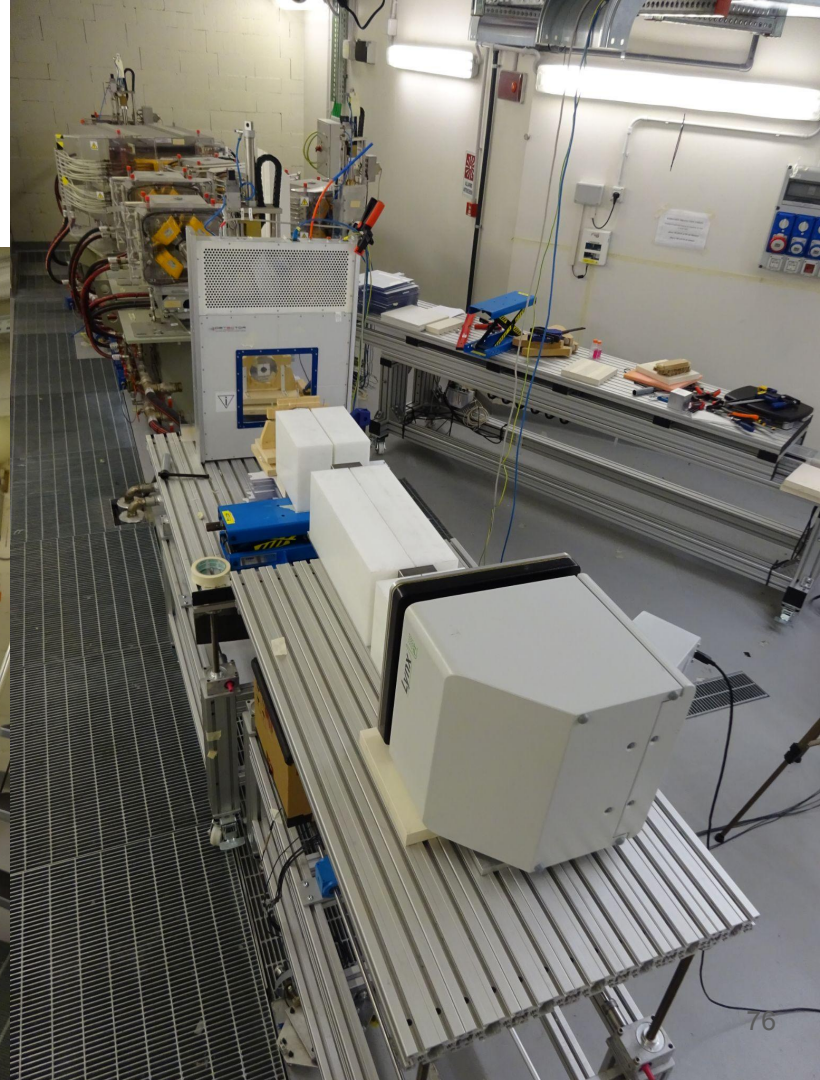
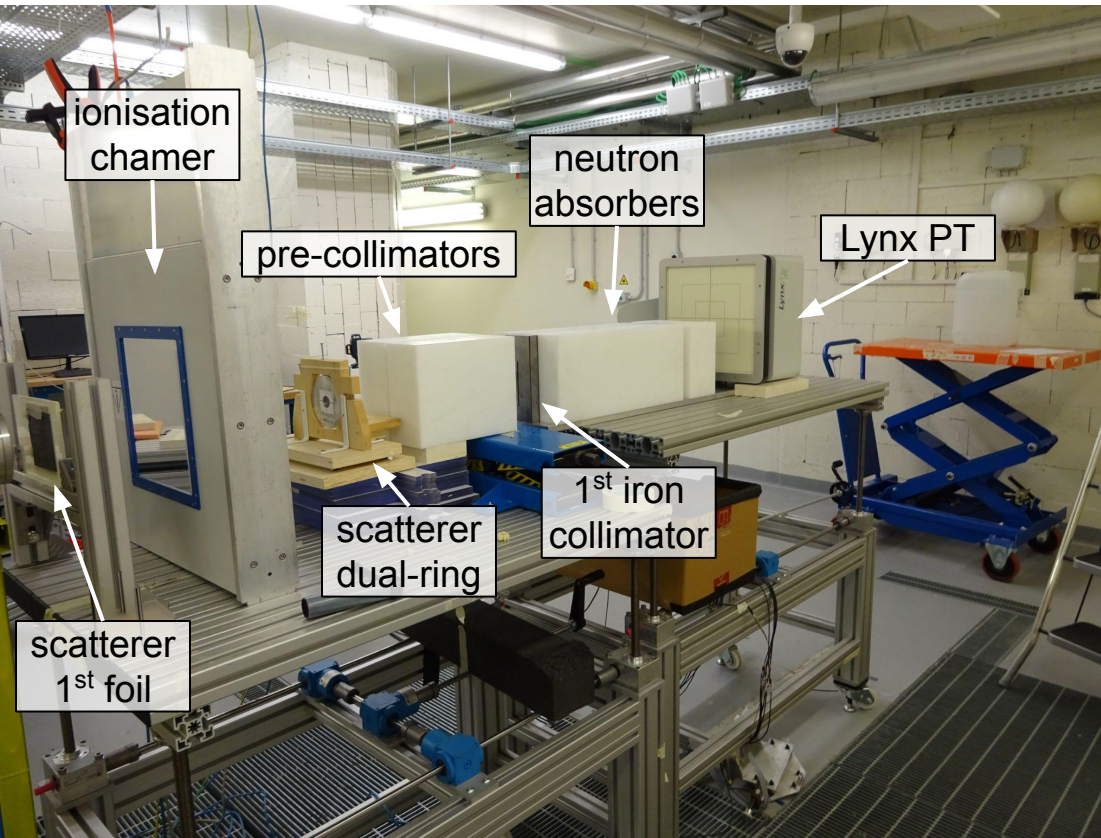
dark count rate vs. temperature



only a little fraction of the large amount of data collected shown



# Collimator setup: intensity calibration



a look into the operation of a complete SiPM readout



# Hamamatsu (HAMA1 #1) threshold scans

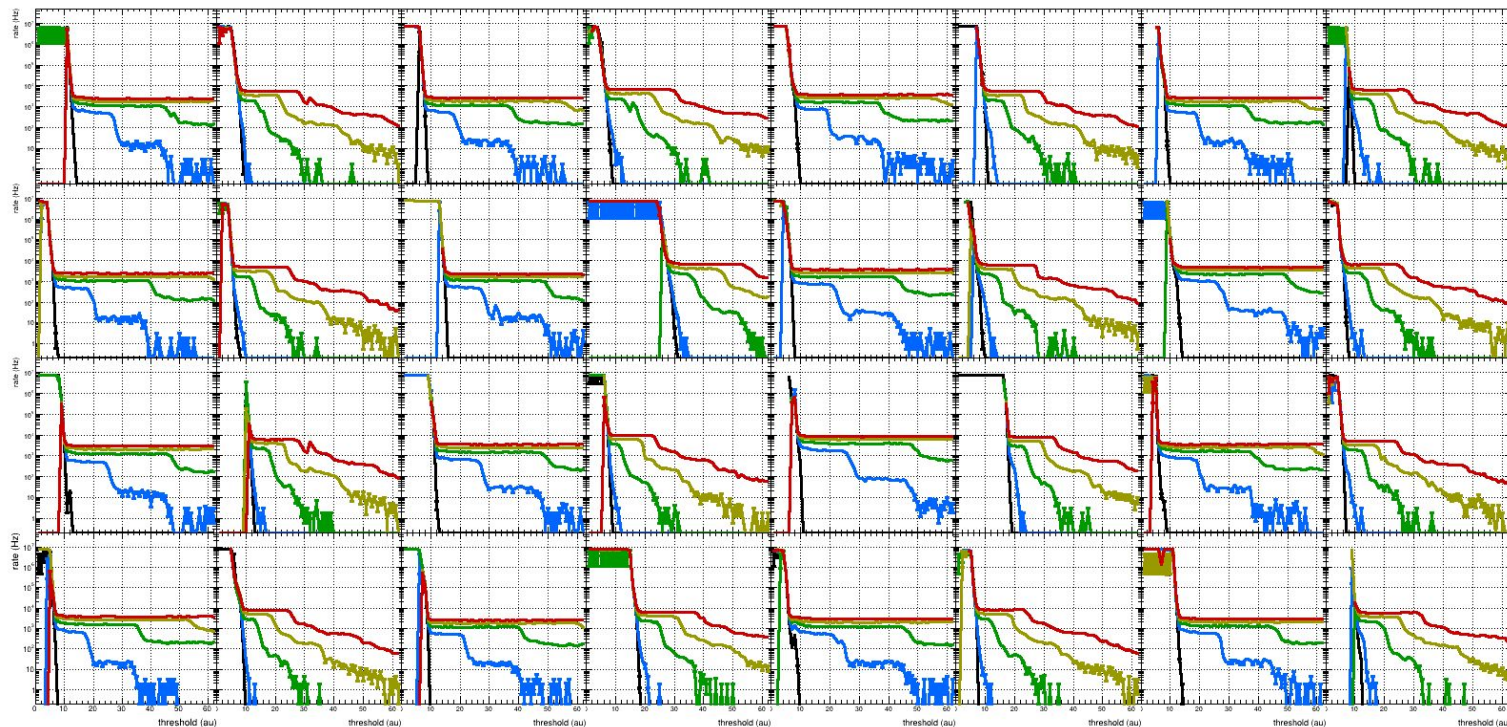
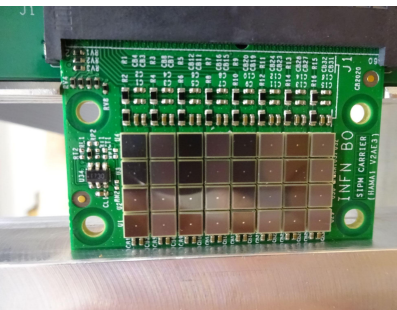
PRELIMINARY

T = -30 C

13360-3050

13360-3025

not irradiated board



Vbias (V)  
48 50 52 54 56

78

# Hamamatsu (HAMA1 #2) threshold scans

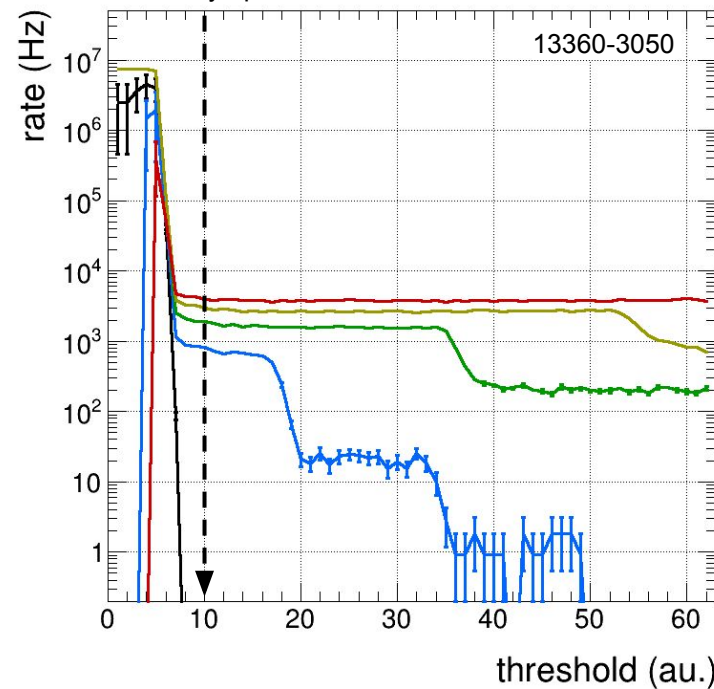
PRELIMINARY

T = -30 C

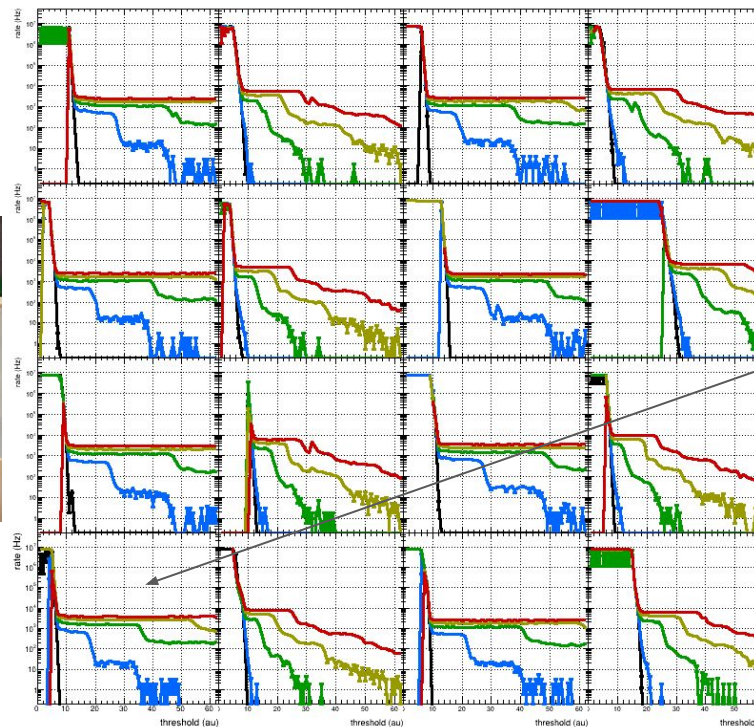
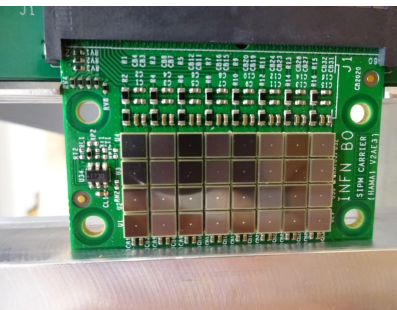
13360-3050 13360-3025

selected threshold value  
automatically optimised

13360-3050



not irradiated board



Vbias (V)

48 50 52 54 56



# Hamamatsu (HAMA1 #1) threshold scans

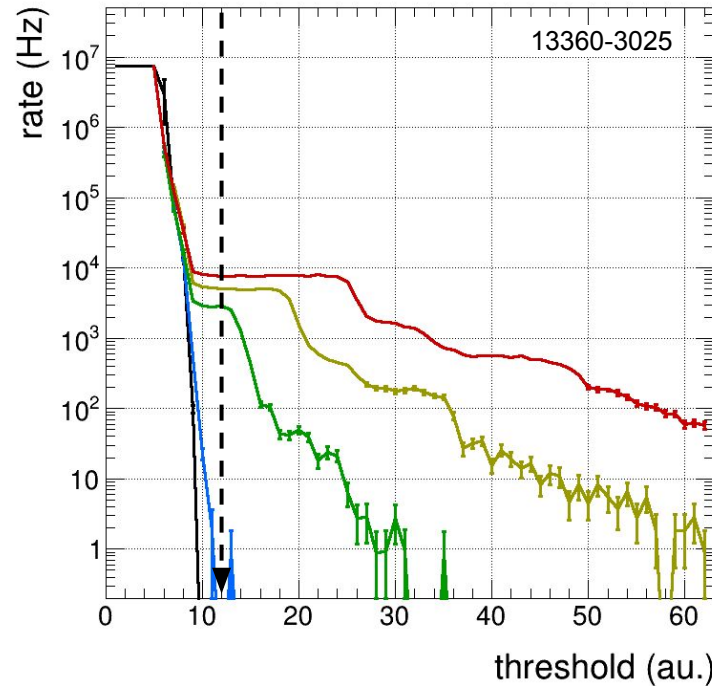
PRELIMINARY

T = -30 C

13360-3050 13360-3025

selected threshold value  
automatically optimised

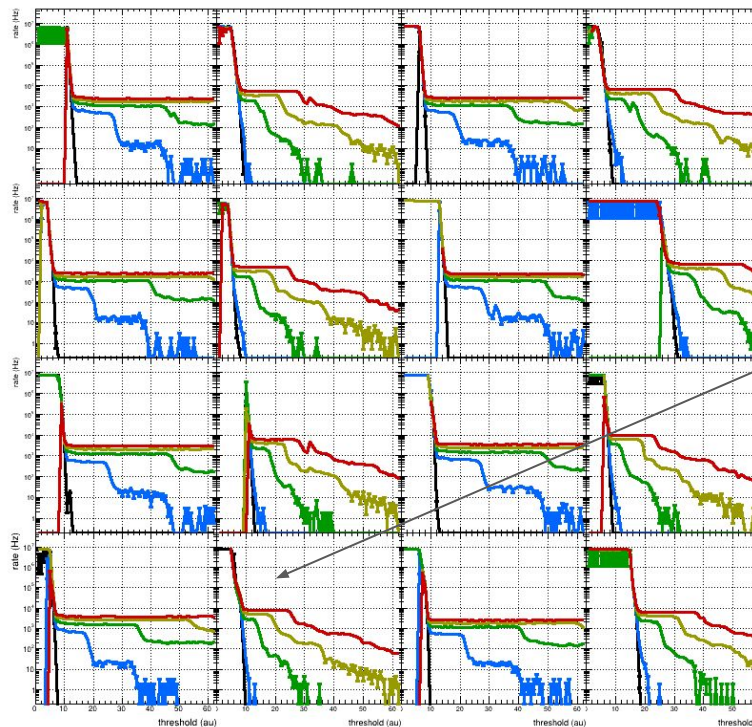
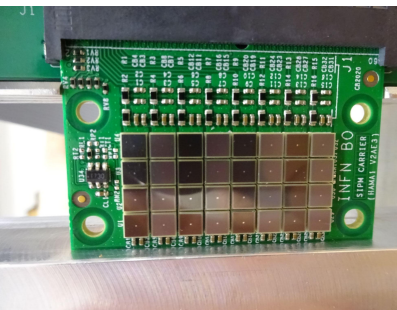
13360-3025



Vbias (V)  
48 50 52 54 56

80

not irradiated board



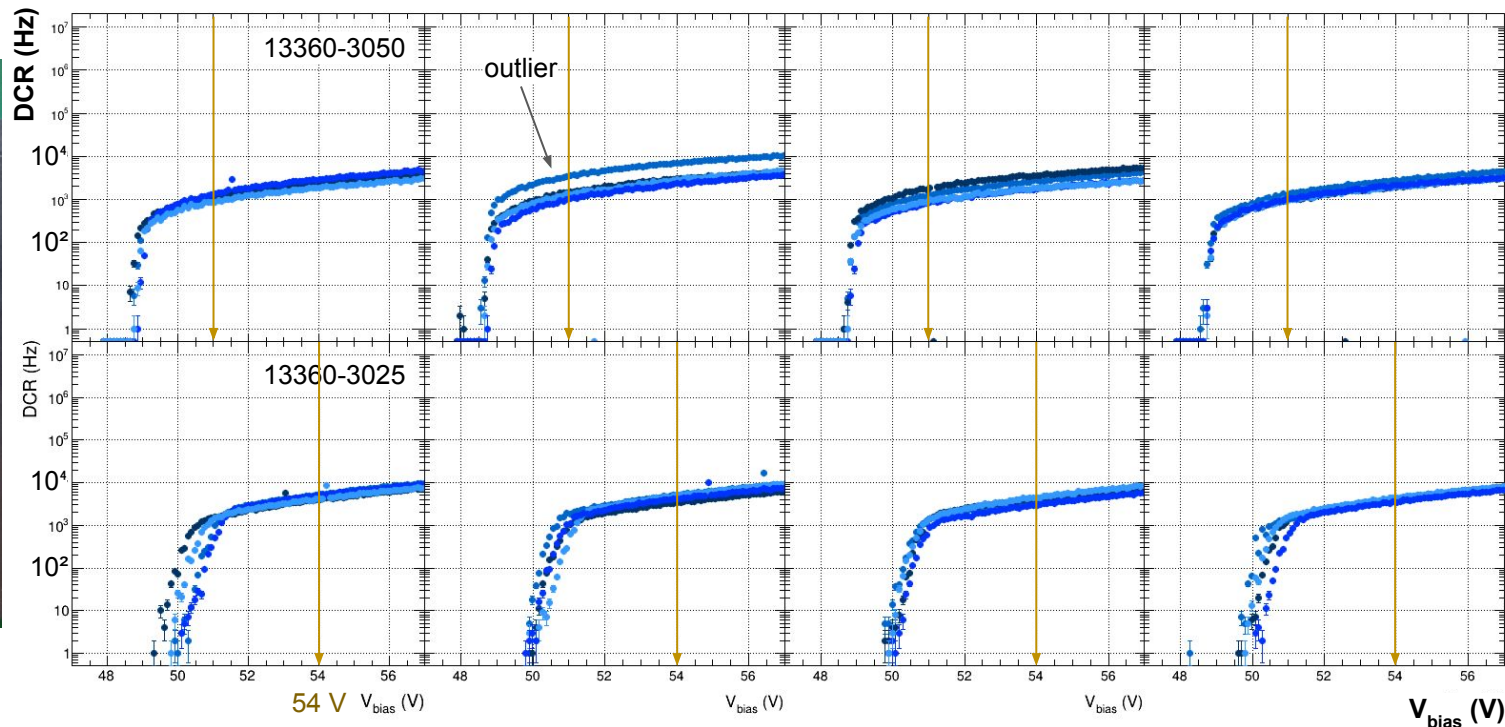
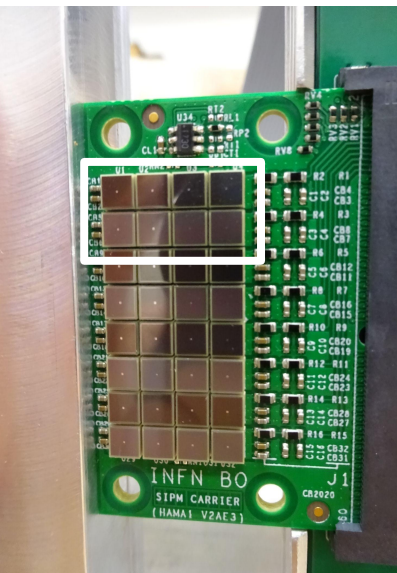
# Hamamatsu (HAMA1 #1) Vbias scans

PRELIMINARY

T = -30 C

51 V

not irradiated board



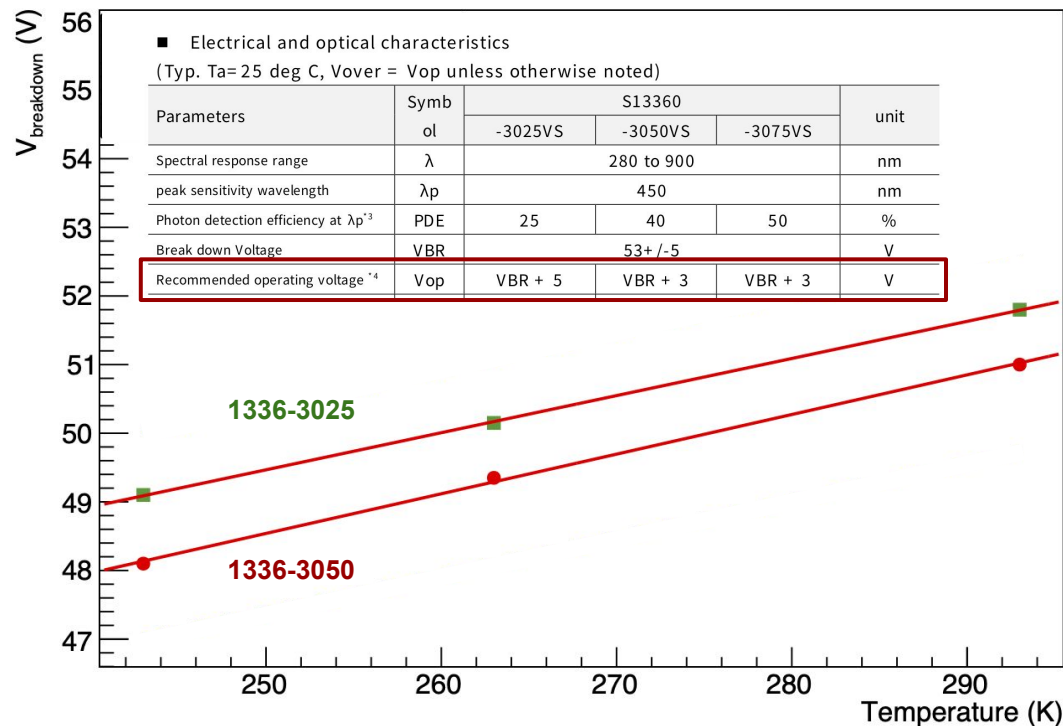
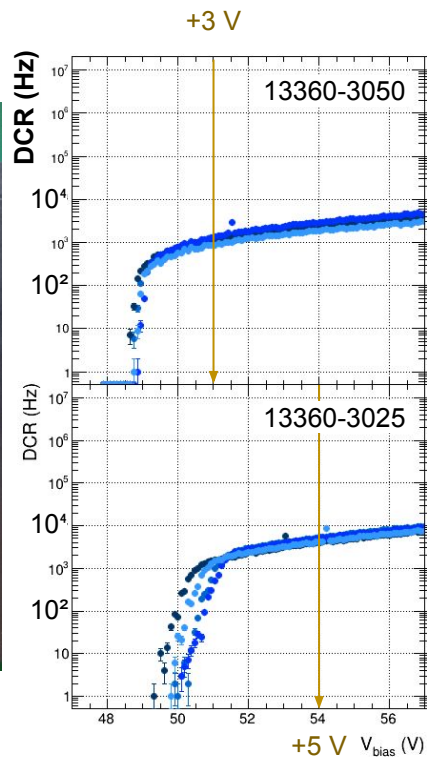
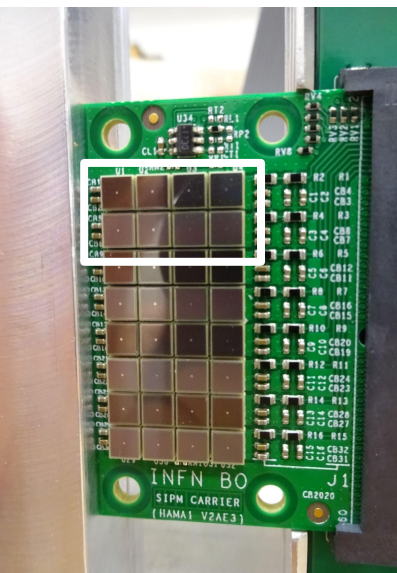
very uniform performance (besides one outlier) when signal above threshold

# Hamamatsu (HAMA1 #1) Vbias scans

**PRELIMINARY**

$T = -30\text{ C}$

not irradiated board



very uniform performance (besides one outlier) when signal above threshold

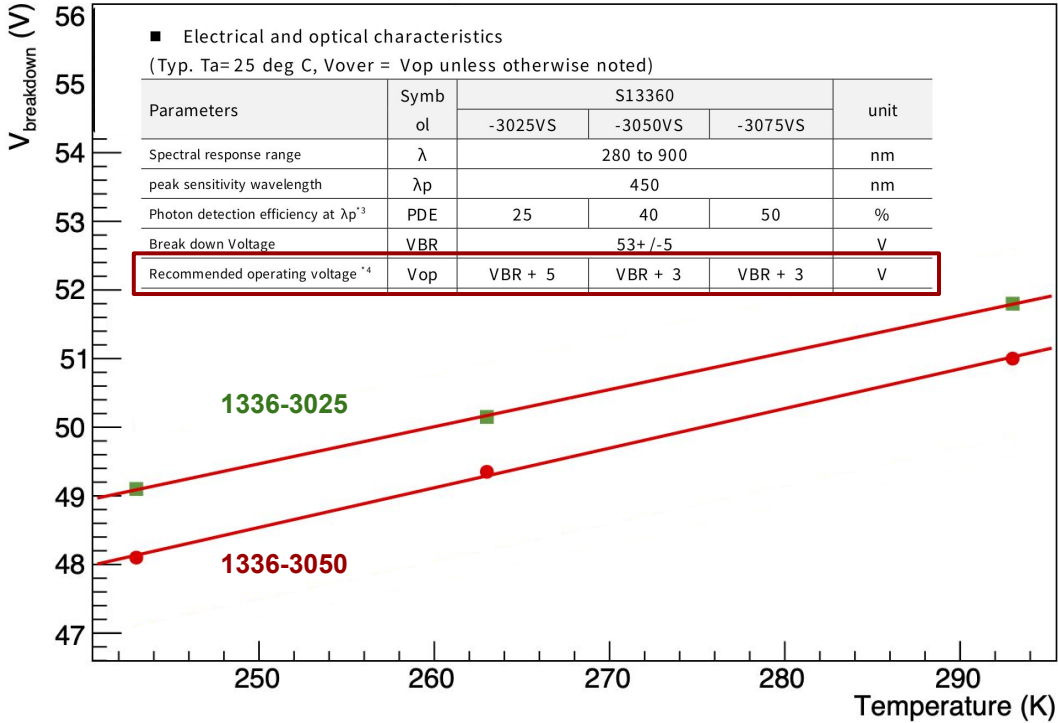
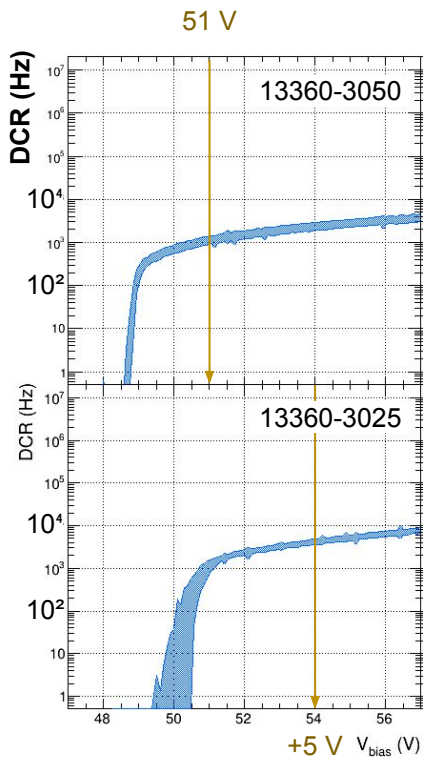
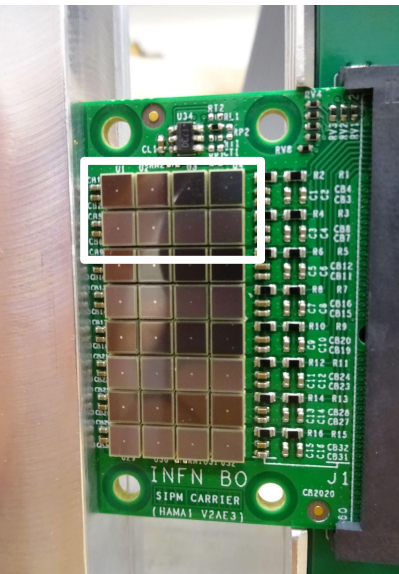


# Hamamatsu (HAMA1 #1) Vbias scans

PRELIMINARY

T = -30 C

not irradiated board

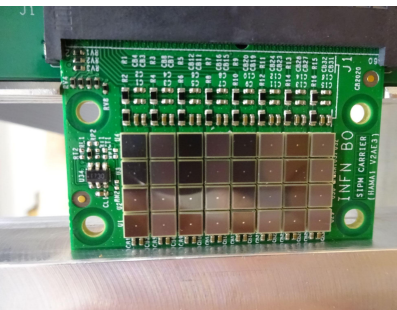


average of the various SiPM sensors, band indicates  $\pm$  RMS

# Hamamatsu (HAMA1 #2) threshold scans

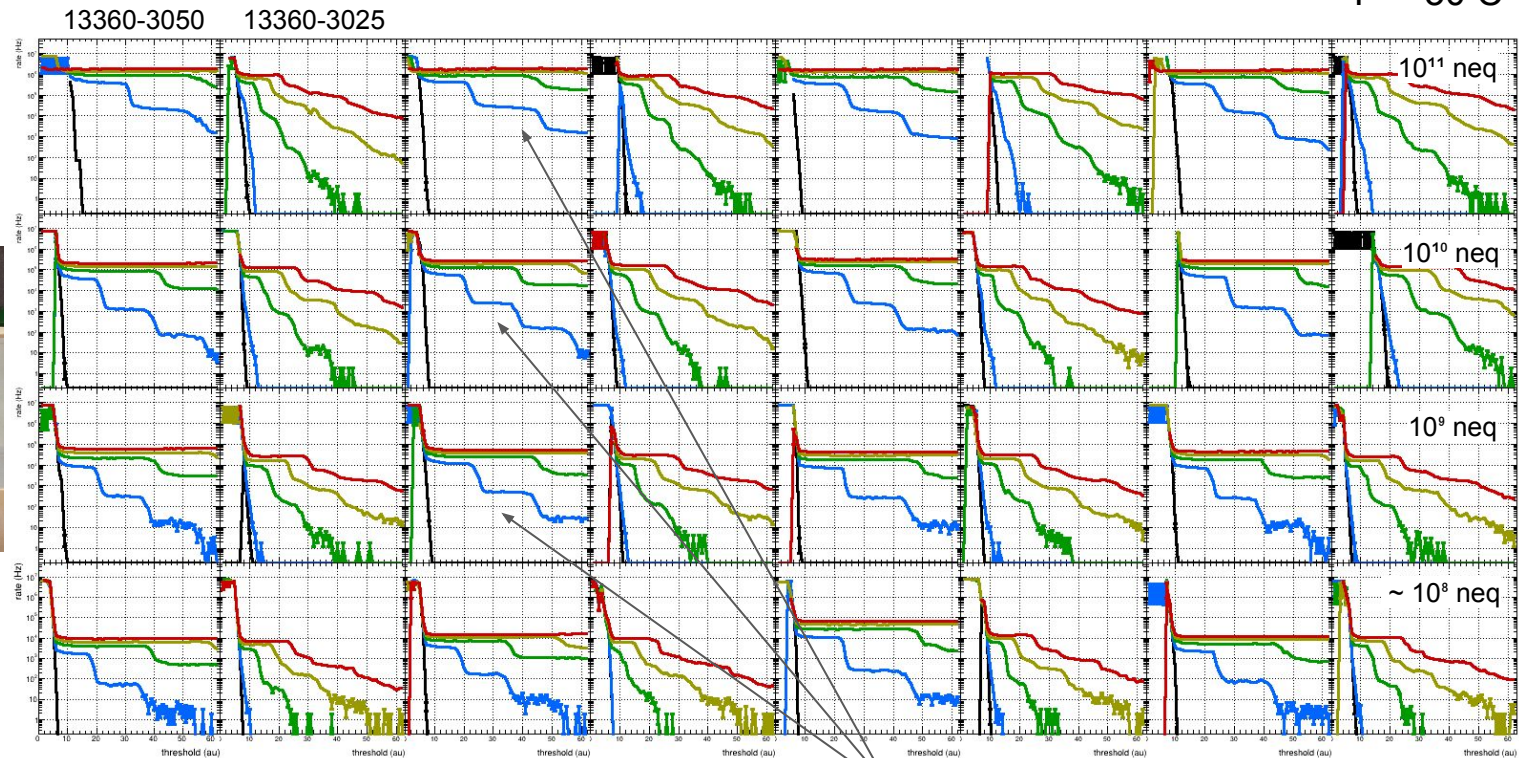
PRELIMINARY

T = -30 C



irradiated board  
after annealing

still working!



clear single-photon  
separation up to 10<sup>11</sup>

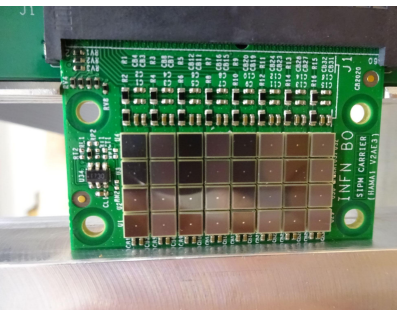
Vbias (V)  
48 50 52 54 56  
84



# Hamamatsu (HAMA1 #2) threshold scans

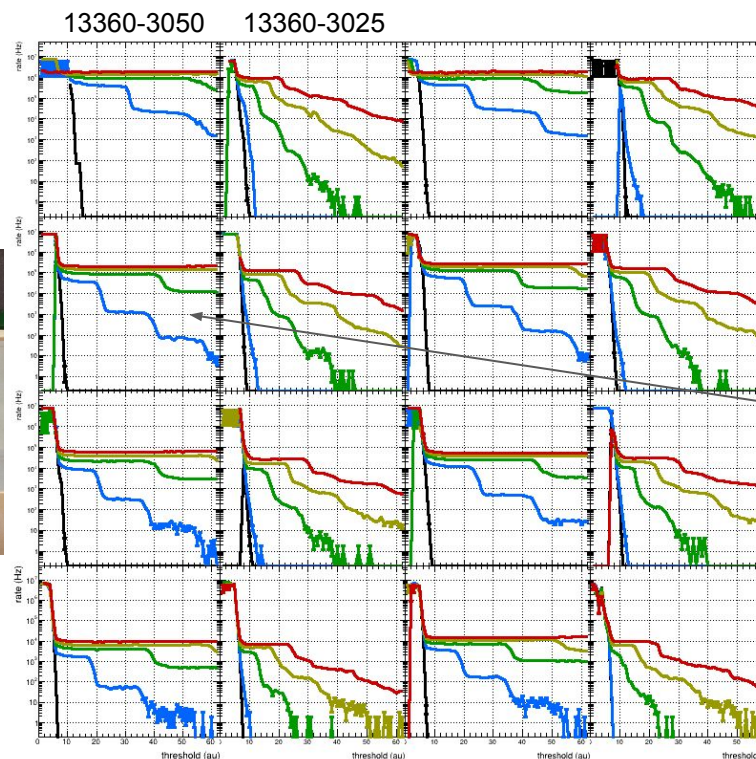
PRELIMINARY

T = -30 C

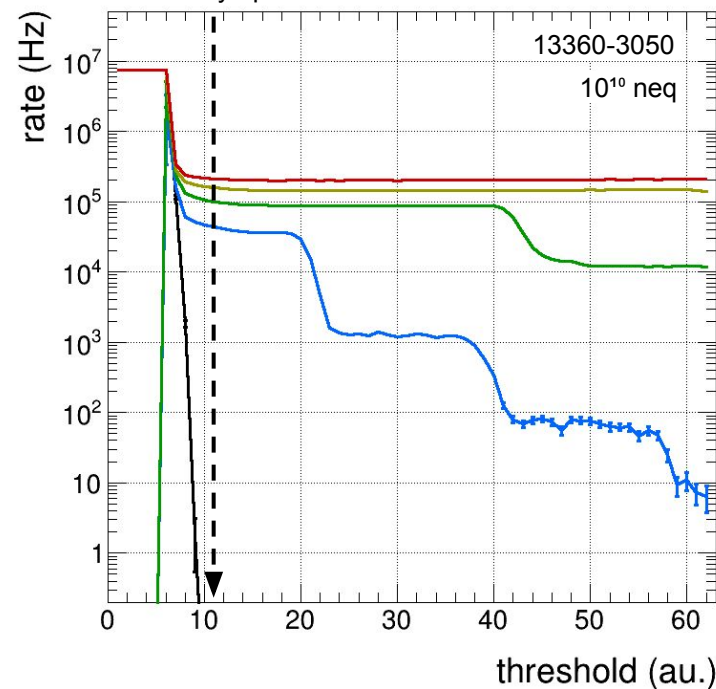


irradiated board  
after annealing

still working!



selected threshold value  
automatically optimised

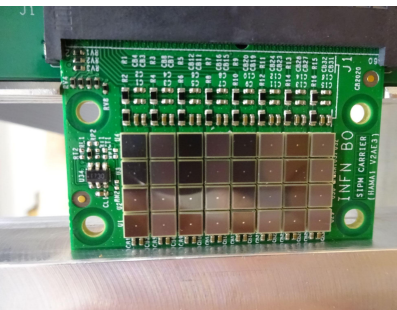


Vbias (V)  
48 50 52 54 56

# Hamamatsu (HAMA1 #2) threshold scans

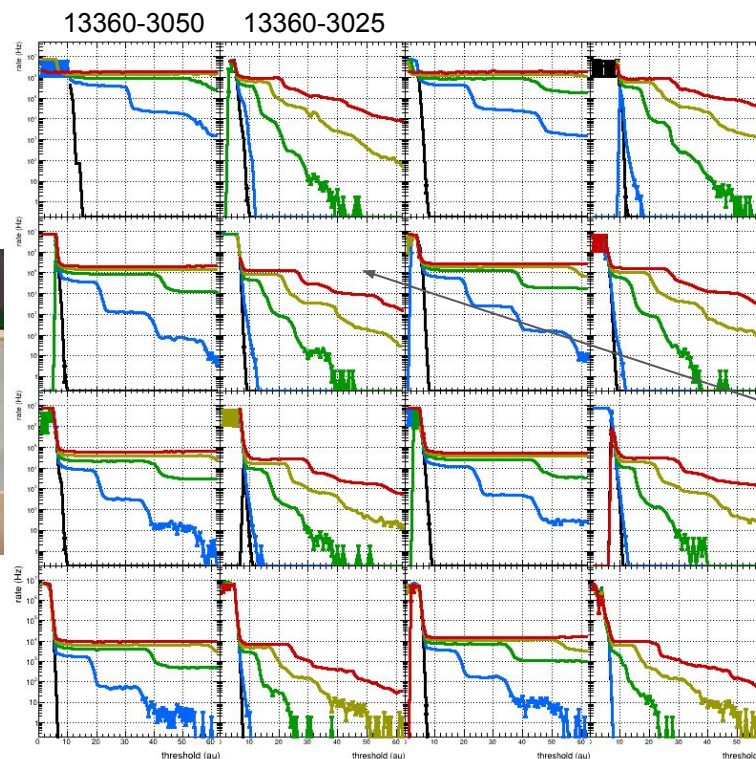
PRELIMINARY

T = -30 C

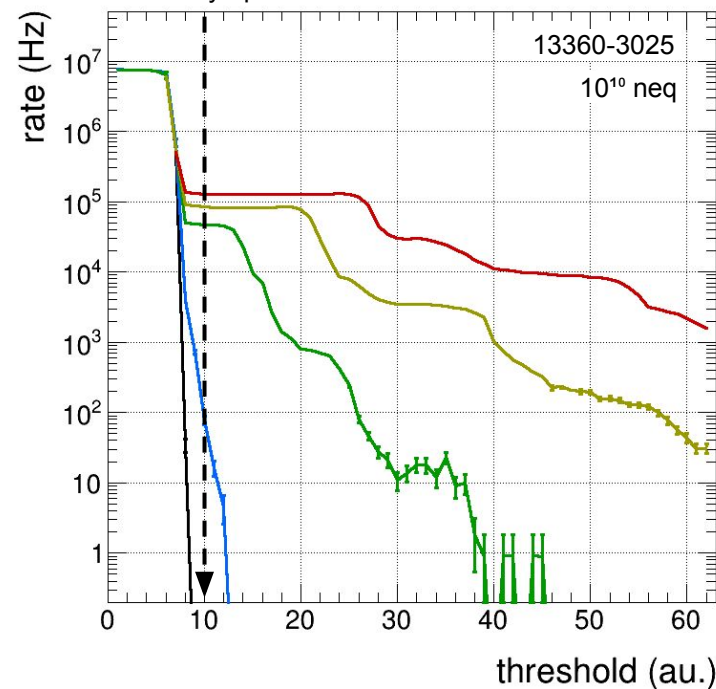


irradiated board  
after annealing

still working!



selected threshold value  
automatically optimised



Vbias (V)  
48 50 52 54 56

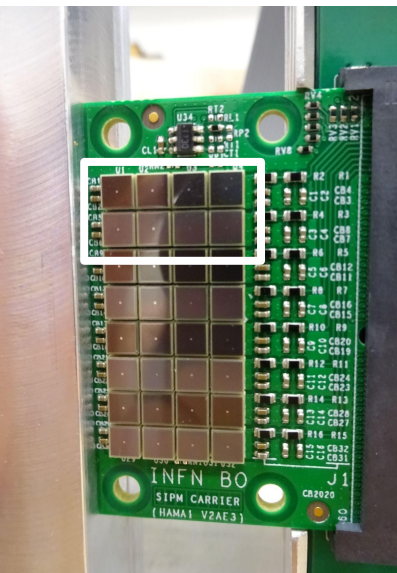
let's look into the irradiated board



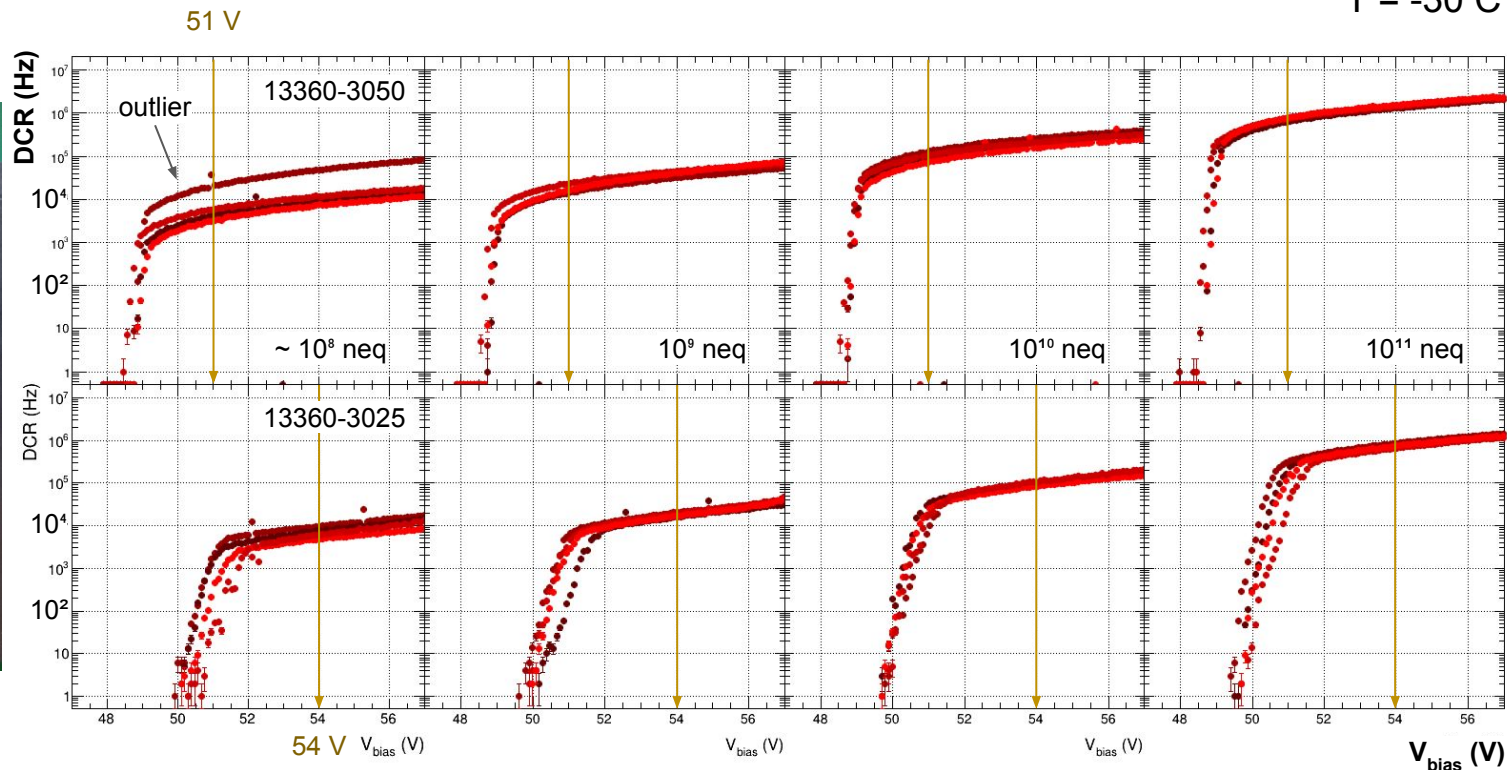
# Hamamatsu (HAMA1 #2) Vbias scans

PRELIMINARY

$T = -30\text{ C}$



irradiated board  
after annealing

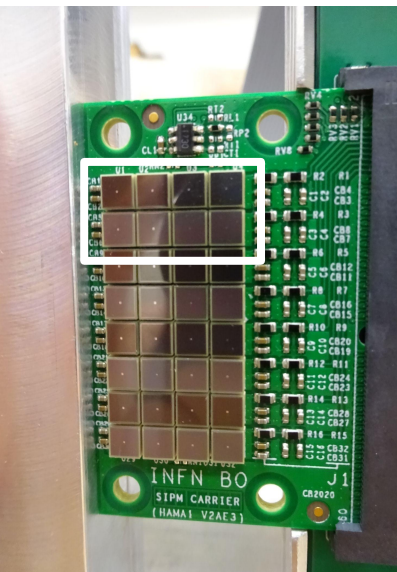


very uniform performance (besides one outlier) when signal above threshold

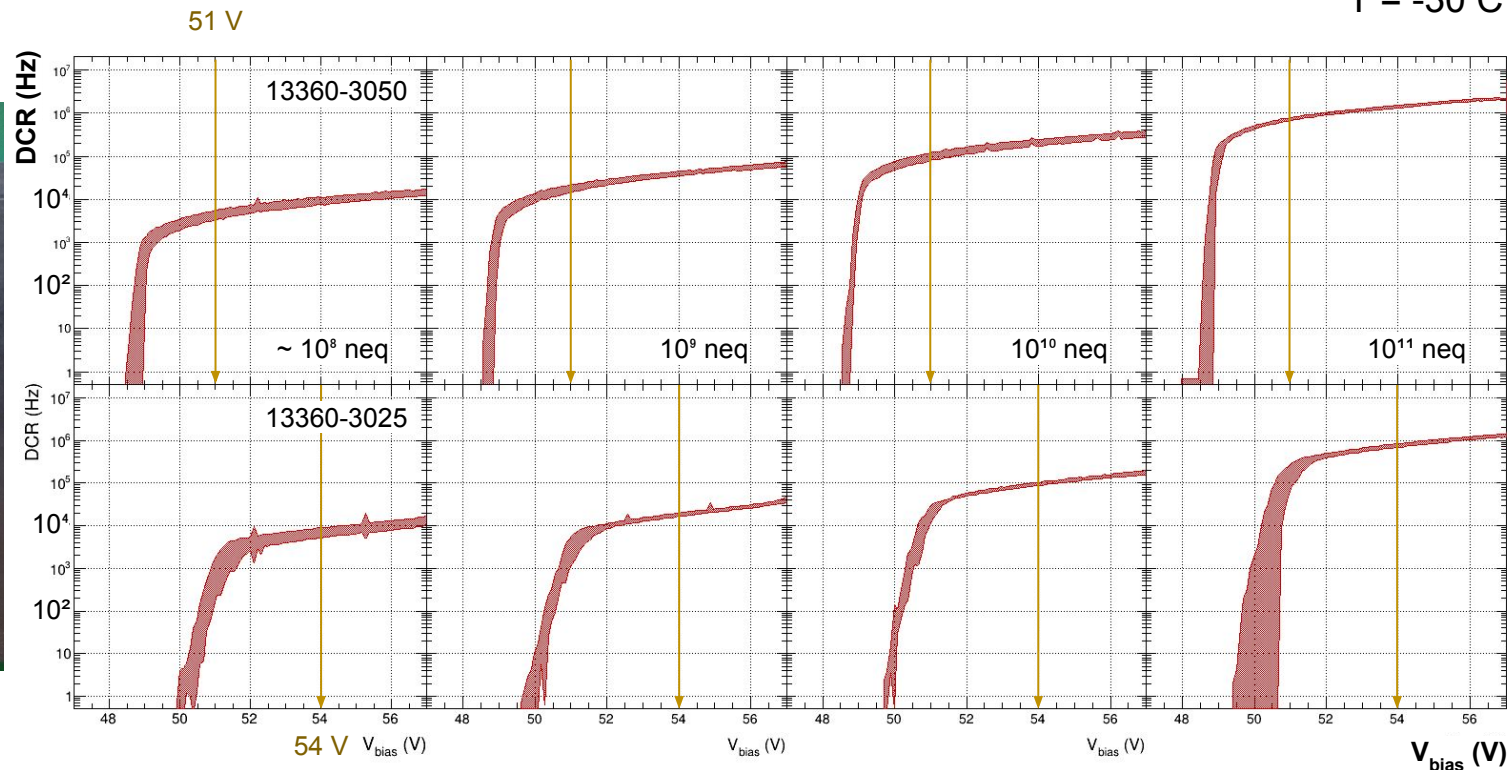
# Hamamatsu (HAMA1 #2) Vbias scans

PRELIMINARY

T = -30 C



irradiated board  
after annealing



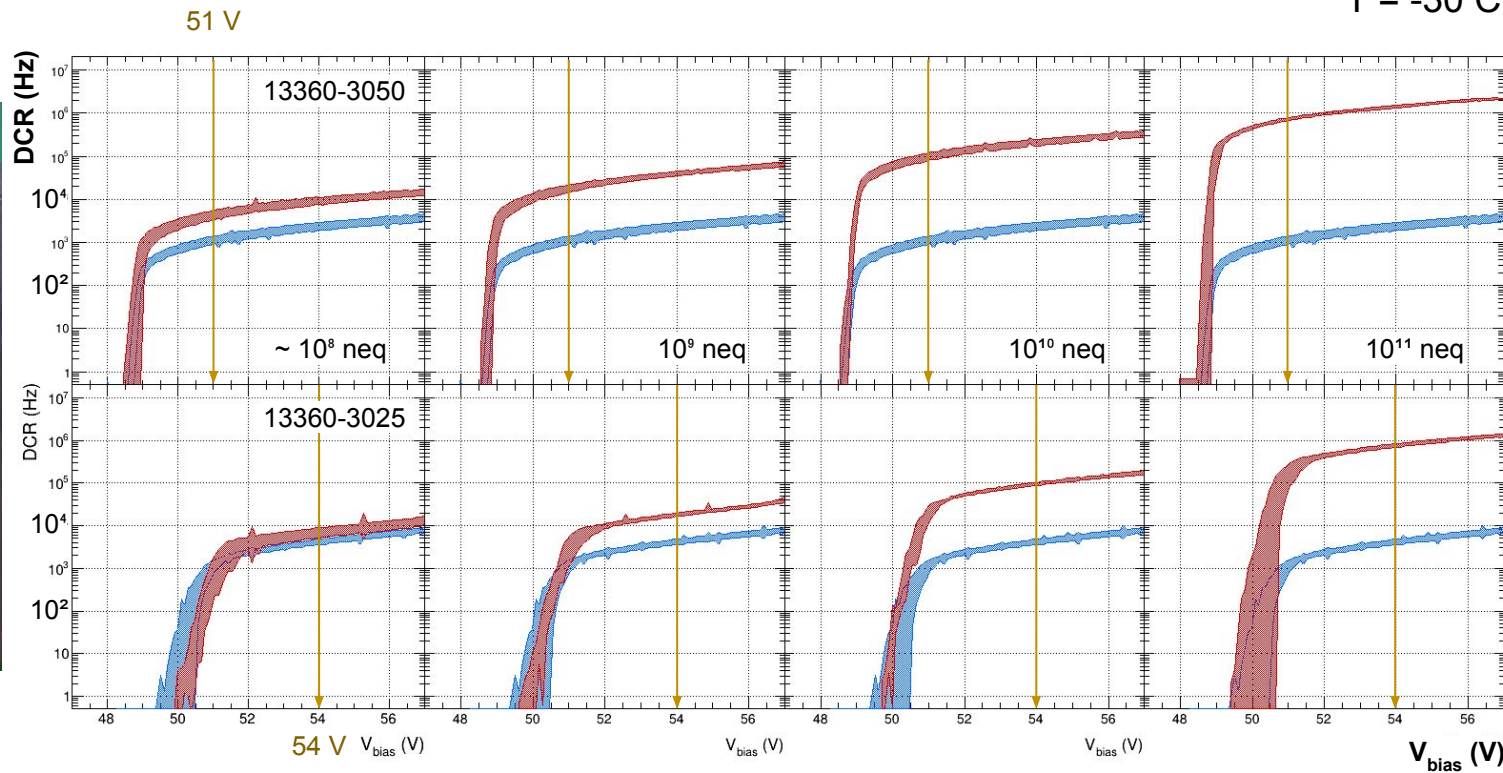
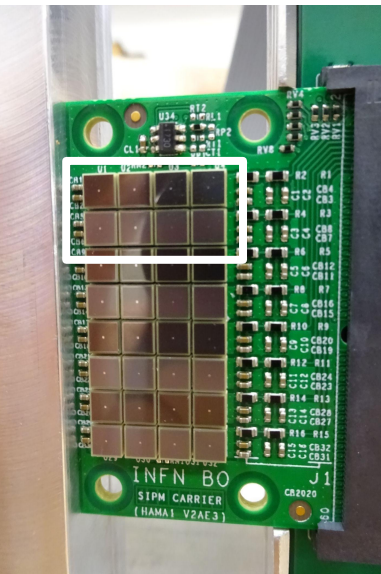
average of the various SiPM sensors, band indicates  $\pm$  RMS

# Hamamatsu (HAMA1) grand comparison

PRELIMINARY

T = -30 C

not irradiated board



irradiated board  
after annealing

values at the indicated V <sub>bias</sub>	new	~ 10 <sup>8</sup> neq	10 <sup>9</sup> neq	10 <sup>10</sup> neq	10 <sup>11</sup> neq
13360-3050	1.1 kHz	4.4 kHz	18 kHz	100 kHz	730 kHz
13360-3025	2.4 kHz	7.0 kHz	18 kHz	95 kHz	770 kHz

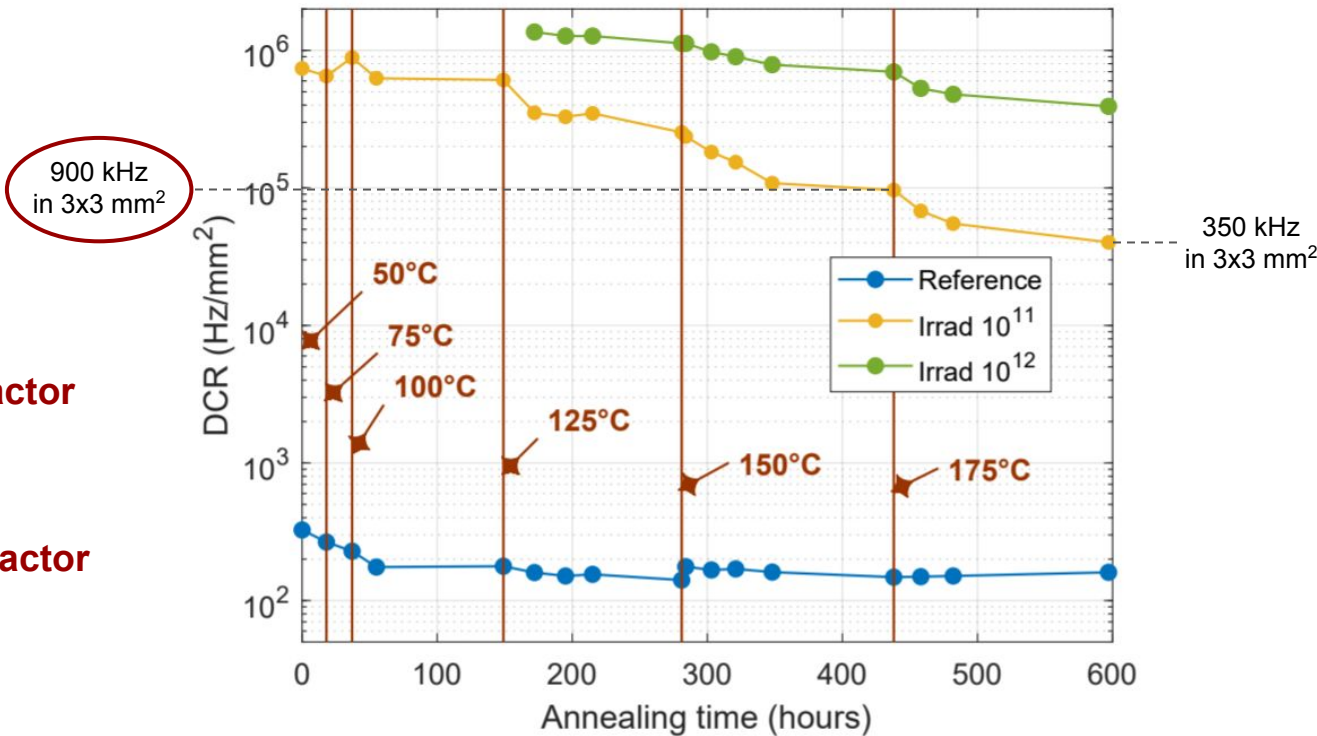


# Hamamatsu (HAMA1) grand comparison

**measured ~ 750 kHz DCR**  
after  $10^{11}$  neq dose  
and  $T = 150$  C annealing  
in line with Calvi

**could reduce by another 3x factor**  
with  $T = 175$  C annealing  
if we believe in Calvi (we do)

**could reduce by a further 2x factor**  
operating at  $T = -40$  C  
we know DCR decreases by 2x every 10 C



values at the indicated Vbias	new	~ 10 <sup>8</sup> neq	10 <sup>9</sup> neq	10 <sup>10</sup> neq	10 <sup>11</sup> neq
13360-3050	1.1 kHz	4.4 kHz	18 kHz	100 kHz	730 kHz
13360-3025	2.4 kHz	7.0 kHz	18 kHz	95 kHz	770 kHz