

# Towards an All-Orders Flavor Formalism in the (geo)SM(EFT) & Beyond

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[2107.03951]  
JHEP w/ M. Trott  
+ future work!



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# Flavor in the SM

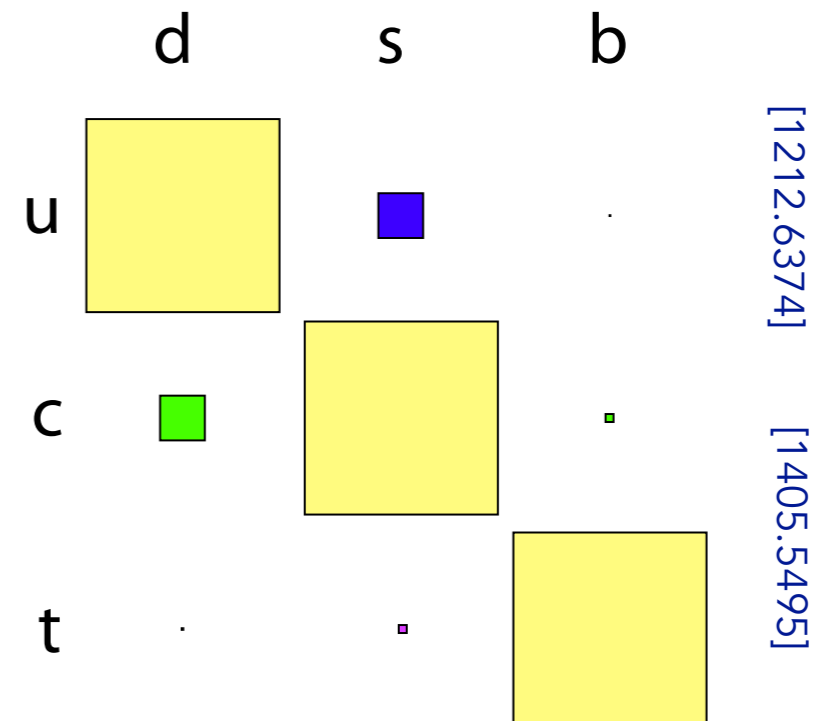
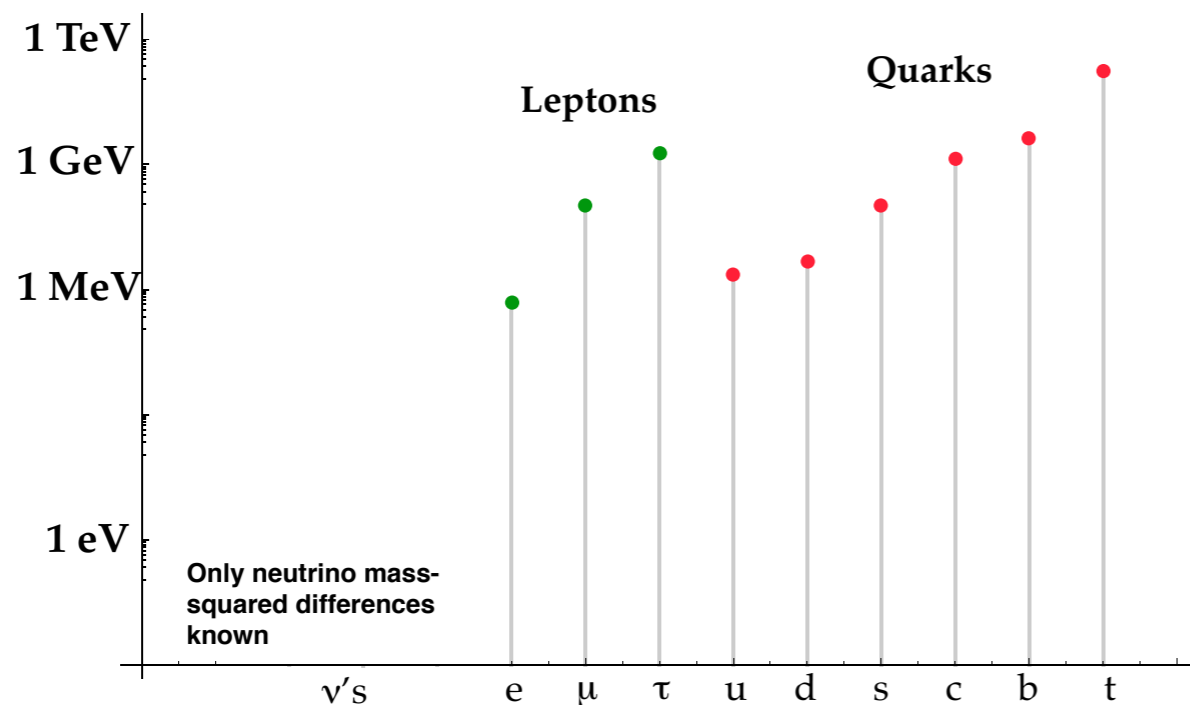
$$\mathcal{L}_{SM}^Y \supset Y_{pr}^u \bar{Q}_{L,p} \tilde{H} u_{R,r} + Y_{pr}^d \bar{Q}_{L,p} H d_{R,r} + Y_{pr}^e \bar{L}_{L,p} H e_{R,r} + \text{h.c.}$$

 **U(3)<sup>5</sup>**

- From these (fundamental) Lagrangian terms one can use field redefinitions to show that only 9 masses, 3 mixing angles, and one CP-violating phase are needed for physical description.

$$[U_{\psi L}^\dagger]_{ir} [\mathcal{Y}^\psi]_{rp} [U_{\psi R}]_{pj} \equiv [D_\psi]_{ij} = \text{diag}(y_{\psi 1}, y_{\psi 2}, y_{\psi 3})$$

$$V_{CKM} \equiv U_u^\dagger U_d \equiv \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



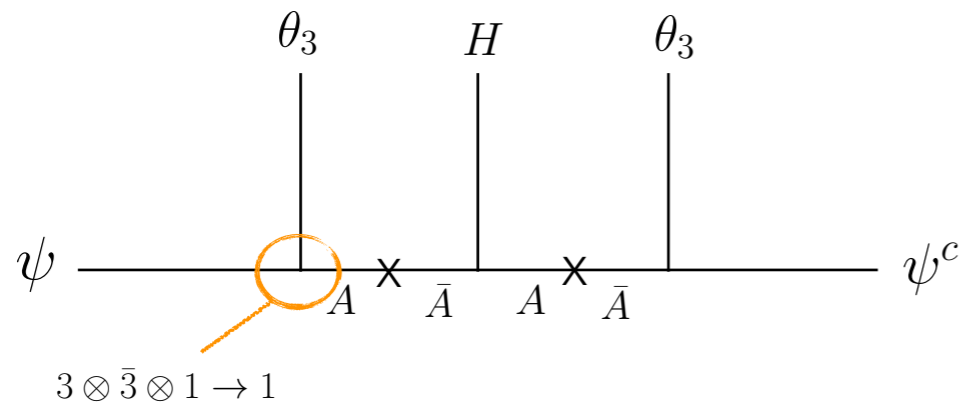
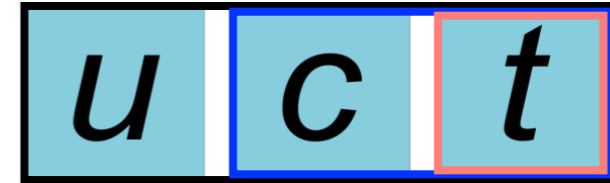
**13 free and unexplained parameters exist in SM Yukawa sector**

# Flavor Beyond the SM

- BSM flavor physics tends to come in two forms. On the one hand, one might want to **explain** the patterns of mass and mixing in the SM...

$$\mathcal{G}_{BSM} \times SM$$

$$\mathcal{R}(\mathcal{G}_{BSM}) \sim 3, \bar{3}, 2, 1, \dots$$



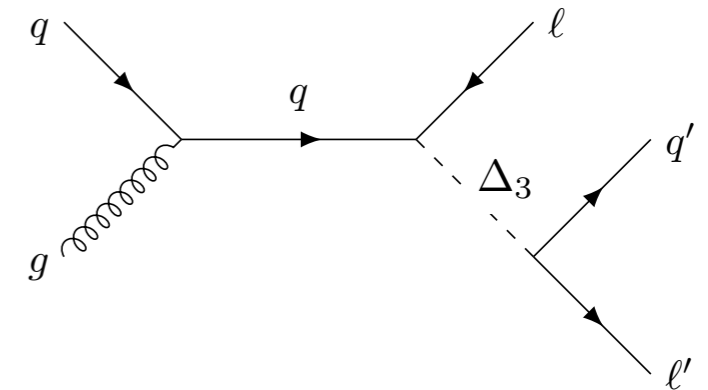
$$\mathcal{L}_{UV} \sim \psi \theta_3 A + \bar{A} H A + \dots \quad \mathcal{L}_{IR} \sim \psi \theta_3 H \theta_3 \psi^c$$

$$\langle \theta_3 \rangle = v_3 \cdot (0, 0, 1) \quad \Rightarrow \quad \mathcal{M} \propto v_3^2 \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- On the other hand, one might want to introduce a new flavored state in the IR spectrum, to account for new physics that can be tested experimentally. **Leptoquarks** (e.g.) are popular these days...

$$\Delta_3 \sim (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$$

$$\mathcal{G}_{SM} \equiv SU(3)_C \times SU(2)_L \times U(1)_Y$$



- In either instance, one can parameterize the effects of said new physics into an OPE composed of SM fields and gauge symmetries, the so-called **SMEFT**:

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{C_i^{(d)}}{\Lambda^{d-4}} Q_i^{(d)}$$

# Describing Flavor in the SM(EFT) & Beyond

Standard Model

EFTs of Flavor

SMEFT

$$Y^{ij} \sim \begin{pmatrix} Y_{11} & Y_{12} & Y_{13} \\ Y_{21} & Y_{22} & Y_{23} \\ Y_{31} & Y_{32} & Y_{33} \end{pmatrix}$$

$$Y^{ij} \sim \sum_k [f_k(\langle\theta\rangle)]_1^{ij}$$

$$Y^{ij} \sim Y_{SM}^{ij} + [C_{\psi H}^{(6)}]^{ij} \cdot \Lambda^{-2}$$

- Regardless of the formalism, physical predictions for flavored processes depend on the 9 parameters associated to mass eigenstates and their quantum mixings:

$$[U_{\psi L}^\dagger]_{ir} [\mathcal{Y}^\psi \mathcal{Y}^{\psi,\dagger}]_{rp} [U_{\psi L}]_{pj} = \text{diag}(y_{\psi 1}^2, y_{\psi 2}^2, y_{\psi 3}^2) \quad V_{CKM} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

**Do you know how to write  $y^2(Y)$ ,  $\theta(Y)$ ,  $\delta(Y)$ ?**

*It's not as easy as one might think (for three flavors)!*

# Outline

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**All-Orders Flavor Formalisms\***

*\*all-order prelude*



**Applicability**

**Towards Neutrinos**



# The geoSMEFT, Intuited

[1605.03602]  
 [1803.08001] + ...  
 [1909.08470]  
 [geoSMEFT,2001.01453]

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{C_i^{(d)}}{\Lambda^{d-4}} \mathcal{Q}_i^{(d)} \quad \Rightarrow$$

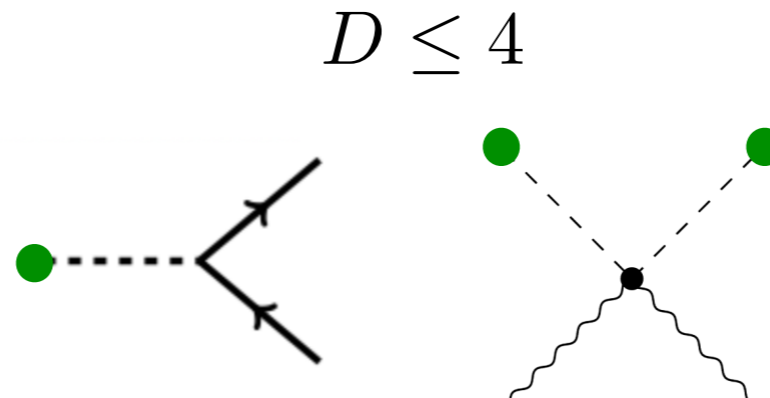
$$\mathcal{L}_{SMEFT} = \sum_i G_i(I, A, \phi, \dots) f_i$$

**G:** 'field space connections' built from successive insertions of Higgs fields

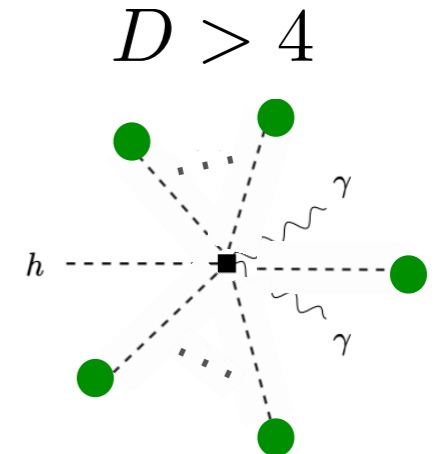
**f:** operator forms composed of Lorentz-index-carrying building blocks of the Lagrangian

$$H(\phi_I) = \frac{1}{\sqrt{2}} \begin{bmatrix} \phi_2 + i\phi_1 \\ \phi_4 - i\phi_3 \end{bmatrix}$$

$$\bar{v}_T \equiv \sqrt{2\langle H^\dagger H \rangle}$$



vev -> fermion masses    -> boson masses



-> geometries

[M. Trott KITP Talk]

## Gauge Field-Strength Terms at D=6 (e.g.)

$$\mathcal{L}_{WB} = -\frac{1}{4} W_{\mu\nu}^a W^{a,\mu\nu} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} + \frac{C_{HB}}{\Lambda^2} H^\dagger H B_{\mu\nu} B^{\mu\nu} \\ + \frac{C_{HW}}{\Lambda^2} H^\dagger H W_{\mu\nu}^a W^{a,\mu\nu} + \frac{C_{HWB}}{\Lambda^2} H^\dagger \sigma^a H W_{\mu\nu}^a B^{\mu\nu};$$

$$\mathcal{W}^A = \{W_1, W_2, W_3, B\}$$

$$\equiv -\frac{1}{4} g_{AB}(H) \mathcal{W}_{\mu\nu}^A \mathcal{W}^{B,\mu\nu}$$

$$g_{ab} = \left( 1 - 4 \frac{C_{HW}}{\Lambda^2} H^\dagger H \right) \delta_{ab}$$

$$g_{a4} = g_{4a} = -2 \frac{C_{HWB}}{\Lambda^2} H^\dagger \sigma_a H.$$

$$g_{44} = 1 - 4 \frac{C_{HB}}{\Lambda^2} H^\dagger H$$

Connection amounts to **metric in field space**, whose degree of curvature depends on size of  $v/\Lambda$ . The **SM** is therefore a **FLAT** direction!

# Building Up the $g_{AB}(\phi)$ Metric

[2001.01453]  
[2203.06771]

- Consider the higher-order operators that can connect two gauge field strengths:

$$\begin{array}{l} \text{Dim 6+} \\ \text{Dim 8+} \end{array} \left\{ \begin{array}{l} Q_{HB}^{(6+2n)} = (H^\dagger H)^{n+1} B^{\mu\nu} B_{\mu\nu}, \\ Q_{HW}^{(6+2n)} = (H^\dagger H)^{n+1} W_a^{\mu\nu} W_{\mu\nu}^a, \\ Q_{HWB}^{(6+2n)} = (H^\dagger H)^n (H^\dagger \sigma^a H) W_a^{\mu\nu} B_{\mu\nu} \\ \\ Q_{HW,2}^{(8+2n)} = (H^\dagger H)^n (H^\dagger \sigma^a H) (H^\dagger \sigma^b H) W_a^{\mu\nu} W_{b,\mu\nu} \end{array} \right.$$

That the operator forms saturate at all orders can be seen with **Hilbert Series** techniques:

| Field space connection                                       | Mass Dimension |   |    |    |    |
|--|----------------|---|----|----|----|
|  | 6              | 8 | 10 | 12 | 14 |
| $g_{AB}(\phi) \mathcal{W}_{\mu\nu}^A \mathcal{W}^{B,\mu\nu}$ | 3              | 4 | 4  | 4  | 4  |

- Expanding in terms of real scalar fields, and combining into a single gauge field ( $A, B = 1, 2, 3, 4$ ), one can write

$$H(\phi_I) = \frac{1}{\sqrt{2}} \begin{bmatrix} \phi_2 + i\phi_1 \\ \phi_4 - i\phi_3 \end{bmatrix}$$

$$\mathcal{W}^A = \{W_1, W_2, W_3, B\}$$

$$g_{AB}(\phi) \mathcal{W}_{\mu\nu}^A \mathcal{W}^{B,\mu\nu}$$

$$\begin{aligned} g_{AB}(\phi_I) = & \left[ 1 - 4 \sum_{n=0}^{\infty} \left( C_{HW}^{(6+2n)} (1 - \delta_{A4}) + C_{HB}^{(6+2n)} \delta_{A4} \right) \left( \frac{\phi^2}{2} \right)^{n+1} \right] \delta_{AB} \\ & + \sum_{n=0}^{\infty} C_{HW,2}^{(8+2n)} \left( \frac{\phi^2}{2} \right)^n (\phi_I \Gamma_{A,J}^I \phi^J) (\phi_L \Gamma_{B,K}^L \phi^K) (1 - \delta_{A4})(1 - \delta_{B4}) \\ & + \left[ \sum_{n=0}^{\infty} C_{HWB}^{(6+2n)} \left( \frac{\phi^2}{2} \right)^n \right] (\phi_I \Gamma_{A,J}^I \phi^J) (1 - \delta_{A4}) \delta_{B4}, \end{aligned}$$

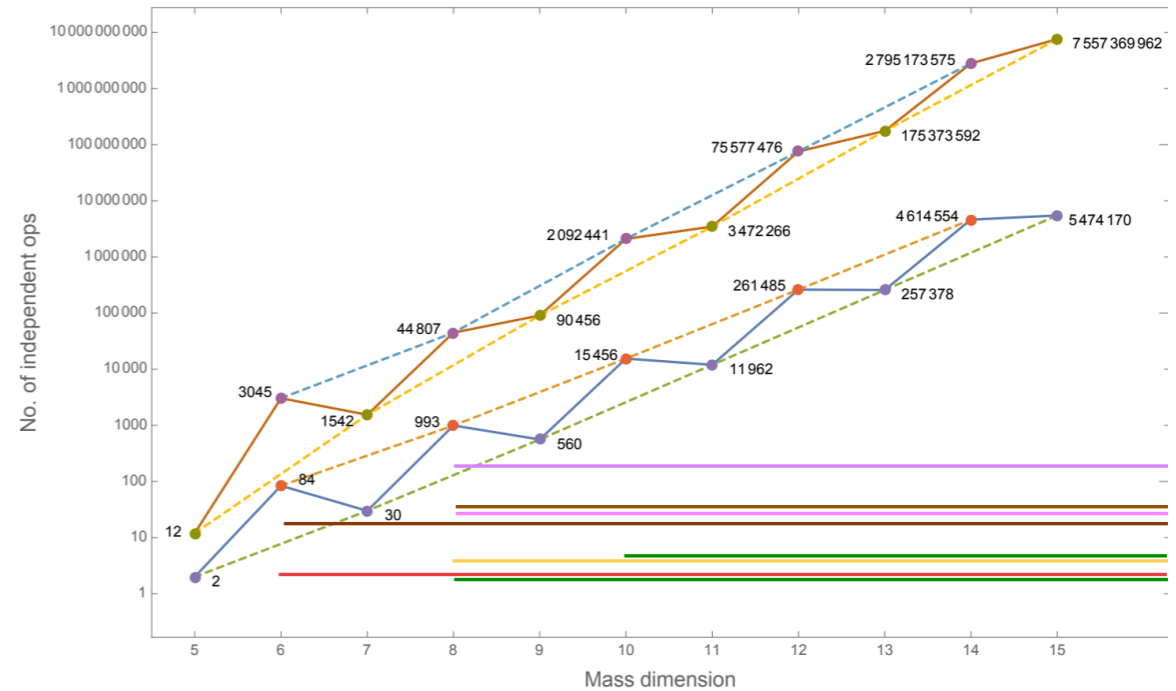
- This *field-space connection* is therefore valid at **all-orders in  $v/\Lambda$** ! In the Higgsed phase the connection reduces to a number + emissions of  $h$ .

# The geoSMEFT at 2 & 3 pts

[2001.01453]

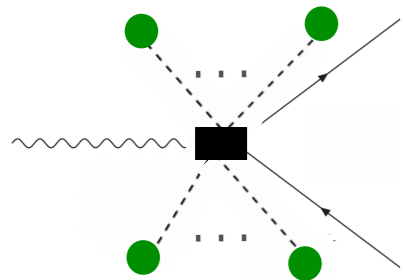
- EOM / Hilbert Series techniques allows for proof of **all** 2- and 3-pt field space connections!

| Field space connection   | Mass Dimension |          |          |          |          |
|--|----------------|----------|----------|----------|----------|
|  | 6              | 8        | 10       | 12       | 14       |
| $h_{IJ}(\phi)(D_\mu\phi)^I(D^\mu\phi)^J$   | 2              | 2        | 2        | 2        | 2        |
| $g_{AB}(\phi)\mathcal{W}_{\mu\nu}^A\mathcal{W}^{B,\mu\nu}$                             | 3              | 4        | 4        | 4        | 4        |
| $k_{IJA}(\phi)(D^\mu\phi)^I(D^\nu\phi)^J\mathcal{W}_{\mu\nu}^A$                        | 0              | 3        | 4        | 4        | 4        |
| $f_{ABC}(\phi)\mathcal{W}_{\mu\nu}^A\mathcal{W}^{B,\nu\rho}\mathcal{W}_{\rho}^{C,\mu}$ | 1              | 2        | 2        | 2        | 2        |
| $Y_{pr}^u(\phi)\bar{Q}u + \text{h.c.}$   | $2N_f^2$       | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ |
| $Y_{pr}^d(\phi)\bar{Q}d + \text{h.c.}$   | $2N_f^2$       | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ |
| $Y_{pr}^e(\phi)\bar{L}e + \text{h.c.}$   | $2N_f^2$       | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ | $2N_f^2$ |
| $d_A^{e,pr}(\phi)\bar{L}\sigma_{\mu\nu}e\mathcal{W}_A^{\mu\nu} + \text{h.c.}$          | $4N_f^2$       | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ |
| $d_A^{u,pr}(\phi)\bar{Q}\sigma_{\mu\nu}u\mathcal{W}_A^{\mu\nu} + \text{h.c.}$          | $4N_f^2$       | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ |
| $d_A^{d,pr}(\phi)\bar{Q}\sigma_{\mu\nu}d\mathcal{W}_A^{\mu\nu} + \text{h.c.}$          | $4N_f^2$       | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ | $6N_f^2$ |
| $L_{pr,A}^{\psi_R}(\phi)(D^\mu\phi)^J(\bar{\psi}_{p,R}\gamma_\mu\sigma_A\psi_{r,R})$   | $N_f^2$        | $N_f^2$  | $N_f^2$  | $N_f^2$  | $N_f^2$  |
| $L_{pr,A}^{\psi_L}(\phi)(D^\mu\phi)^J(\bar{\psi}_{p,L}\gamma_\mu\sigma_A\psi_{r,L})$   | $2N_f^2$       | $4N_f^2$ | $4N_f^2$ | $4N_f^2$ | $4N_f^2$ |



[M. Trott KITP Talk]  
[1512.03433]

- All-orders connections *field-redefinition invariant* & yield large reduction in operators (EFT parameters)!
- Lagrangian parameters & Feynman rules obtained at all  $v/\Lambda$  orders **before** physical amplitude calculated!
- This is more than reorganization. It allows for all-orders amplitudes of fundamental processes:



$$\bar{\Gamma}_{Z \rightarrow \bar{\psi}\psi} = \sum_{\psi} \frac{N_c^{\psi}}{24\pi} \sqrt{\bar{m}_Z^2} |g_{\text{eff}}^{Z,\psi}|^2 \left(1 - \frac{4\bar{M}_{\psi}^2}{\bar{m}_Z^2}\right)^{3/2}$$

$$g_{\text{eff}}^{Z,\psi} = \frac{\bar{g}_Z}{2} \left[ (2s_{\theta_Z}^2 Q_{\psi} - \sigma_3) \delta_{pr} + \bar{v}_T \langle L_{3,4}^{\psi,pr} \rangle + \sigma_3 \bar{v}_T \langle L_{3,3}^{\psi,pr} \rangle \right]$$

$\uparrow$                        $\uparrow$                        $\uparrow$                        $\uparrow$   
*defined at all orders in  $v/\Lambda$  !!*

geoSMEFT Pheno @ dim-8: [2007.00565][2107.07470][2102.02819][2203.11976]; (**tadpole**)[2106.10284]

Compliments work from Dawson et al.: [2110.06929] [2201.09887] [2205.01561] + ...



# Flavoring the geoSMEFT

[2107.03951]

[2001.01453]

- Yukawa-like operators of the SMEFT are given by

$$Q_{\psi H}^{6+2n} = (H^\dagger H)^{n+1} (\bar{\psi}_{L,p} \psi_{R,r} H) \quad \text{with } n \geq 0$$

- In the geoSMEFT formalism this all-order tower in  $v/\Lambda$  is captured by Yukawa field space connections:

$$Y_{pr}^{\psi_1}(\phi_I) = \frac{\delta \mathcal{L}_{\text{SMEFT}}}{\delta (\bar{\psi}_{2,p}^I \psi_{1,r})} \Big|_{\mathcal{L}(\alpha, \beta, \dots) \rightarrow 0} Y(\phi) \bar{\psi}_1 \psi_2$$

| Field space connection                  | Mass Dimension |           |           |           |           |
|---|----------------|-----------|-----------|-----------|-----------|
|   | 6              | 8         | 10        | 12        | 14        |
| $Y_{pr}^u(\phi) \bar{Q}u + \text{h.c.}$ | $2 N_f^2$      | $2 N_f^2$ | $2 N_f^2$ | $2 N_f^2$ | $2 N_f^2$ |
| $Y_{pr}^d(\phi) \bar{Q}d + \text{h.c.}$ | $2 N_f^2$      | $2 N_f^2$ | $2 N_f^2$ | $2 N_f^2$ | $2 N_f^2$ |
| $Y_{pr}^e(\phi) \bar{L}e + \text{h.c.}$ | $2 N_f^2$      | $2 N_f^2$ | $2 N_f^2$ | $2 N_f^2$ | $2 N_f^2$ |

$$Y_{pr}^{\psi}(\phi_I) = -H(\phi_I) [Y_{\psi}]_{pr}^{\dagger} + H(\phi_I) \sum_{n=0}^{\infty} C_{\psi H}^{(6+2n)} \left( \frac{\phi^2}{2} \right)^n$$

- From this one can immediately derive the all-orders effective Yukawa interactions, in terms of SM and SMEFT contributions:

$$[\mathcal{Y}^{\psi}]_{rp} = \frac{\delta (Y_{pr}^{\psi})^{\dagger}}{\delta h} \Big|_{\phi_i \rightarrow 0} = \frac{\sqrt{h}^{44}}{\sqrt{2}} \left( [Y_{\psi}]_{rp} - \sum_{n=3}^{\infty} \frac{2n-3}{2^{n-2}} \tilde{C}_{\psi H}^{(2n),*} \right)$$

$$[M_{\psi}]_{rp} = \langle (Y_{pr}^{\psi})^{\dagger} \rangle$$

**What about actual mass eigenstates and mixing parameters?**

*flavor not taken any further in 2001.01453!*

# All-Orders Flavor Formalisms

# Back to Basics: Two-Flavor Approximations

Do you know how to write  $y^2(Y)$ ,  $\theta(Y)$ ,  $\delta(Y)$ ?

- In 2D, one can straightforwardly diagonalize the associated Yukawa couplings:

$$\mathcal{Y} = \frac{\sqrt{h}^{44}}{\sqrt{2}} \left[ \begin{pmatrix} Y_{11} & Y_{22} \\ Y_{21} & Y_{22} \end{pmatrix} - \sum_{n=3}^{\infty} \frac{2n-3}{2^{n-2}} \begin{pmatrix} \tilde{C}_{11}^{(2n),*} & \tilde{C}_{21}^{(2n),*} \\ \tilde{C}_{12}^{(2n),*} & \tilde{C}_{22}^{(2n),*} \end{pmatrix} \right] \quad |\mathcal{Y}\mathcal{Y}^\dagger| \equiv \begin{pmatrix} |y_{11}| & |y_{12}| \\ |y_{12}| & |y_{22}| \end{pmatrix} \implies U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$y_{i,j}^2 = \frac{1}{2} \left( y_{11} + y_{22} \mp \sqrt{y_{11}^2 + 4y_{12}^2 - 2y_{11}y_{22} + y_{22}^2} \right), \quad t_{2\theta} = \frac{2|y_{12}|}{(|y_{22}| - |y_{11}|)}$$

- However, results are **basis-dependent**, and at  $N_f = 3$  one finds that standard techniques are ...

Results
 Step-by-step solution

$$\lambda_1 = \frac{1}{3} (a a^* + b b^* + c c^* + d d^* + e e^* + 2 f f^* + g g^* + h h^*) +$$

$$\frac{1}{3 \sqrt[3]{2}} \left( (2 a^3 (a^*)^3 + 6 a^2 b b^* (a^*)^2 + 6 a^2 c c^* (a^*)^2 + 6 a^2 d d^* (a^*)^2 + \right.$$

$$9 a b d e^* (a^*)^2 - 3 a^2 e e^* (a^*)^2 + 9 a c d f^* (a^*)^2 - 6 a^2 f f^* (a^*)^2 +$$

$$9 a c g f^* (a^*)^2 + 6 a^2 g g^* (a^*)^2 + 9 a b g h^* (a^*)^2 - 3 a^2 h h^* (a^*)^2 +$$


$$6 a b^2 (b^*)^2 a^* + 6 a c^2 (c^*)^2 a^* + 6 a d^2 (d^*)^2 a^* - 3 a e^2 (e^*)^2 a^* +$$

$$9 b d e (e^*)^2 a^* - 12 a f^2 (f^*)^2 a^* + 18 c d f (f^*)^2 a^* + 18 c f g (f^*)^2 a^* +$$

$$6 a g^2 (g^*)^2 a^* - 3 a h^2 (h^*)^2 a^* + 9 b g h (h^*)^2 a^* + 12 a b c b^* c^* a^* +$$

$$3 a b d b^* d^* a^* + 9 a^2 e b^* d^* a^* + 3 a c d c^* d^* a^* + 9 a^2 f c^* d^* a^* +$$

$$9 b^2 d b^* e^* a^* + 3 a b e b^* e^* a^* + 9 b c d c^* e^* a^* - 6 a c e c^* e^* a^* +$$



pages....

- A different method needs to be found. Answer: use **flavor invariants!**

# Approach with Invariants

- A group ring  $\mathbb{C}[\mathbf{x}]^G$  of polynomials  $\mathbf{x}$  invariant under symmetry  $G$  is contained in the free ring  $\mathbb{C}[\mathbf{x}]$ :

$$\mathbb{C}[x_1, \dots, x_n]^G \subseteq \mathbb{C}[x_1, \dots, x_n]$$

- For certain  $G$ ,  $\mathbb{C}[\mathbf{x}]^G$  is *finitely generated* by polynomial invariants  $I(\mathbf{x})$ , such that any  $G$ -invariant polynomial  $f(\mathbf{x})$  can be written as a polynomial  $g(I)$ , a member  $P$  of the (not necessarily free) ring  $\mathbb{C}[I]$ :

$$f(x_1, \dots, x_n) = g(I_1, \dots, I_n) \quad P \in \mathbb{C}[I_1, \dots, I_r]$$

- Minimal basis of invariants can be enumerated with **Hilbert series** (well-known in SMEFT!):

$$H(q) = \sum_{r=0}^{\infty} c_r q^r$$

$q$  = invariant 'spurion'

$r$  = polynomial degree of invariant

$c_r$  = # of invariants of degree  $r$

- For semi-simple Lie groups further results can be derived:

$$H(q) = \frac{N(q)}{D(q)}$$

$$N(q) = 1 + c_1 q + \dots + c_{d_N-1} q^{d_N-1} + q^{d_N}$$

$$D(q) = \prod_{r=1}^p (1 - q^{d_r})$$

$N$  &  $Q$  = polynomials

$d_N$  = polynomial degree of  $N$

$d_D$  = polynomial degree of  $D$  = sum of  $d_r$

$p$  = # free parameters

# Toy Model with Invariants

- Consider a **toy model** with two mass parameters and an Abelian flavor symmetry:

$$G = U(1) \times U(1) \quad \rightarrow \quad m_1 \rightarrow e^{i\phi_1} m_1, \quad m_2 \rightarrow e^{i\phi_2} m_2$$

- Polynomials invariant under  $G$  are clearly linear combinations of monomials  $\sim mm^*$  :

$$\mathbb{C}[m_1, m_1^*, m_2, m_2^*]^{U(1) \times U(1)} \quad \leftrightarrow \quad \begin{matrix} (m_1 m_1^*)^{r_1} & (m_2 m_2^*)^{r_2} \\ I_1 & I_2 \end{matrix}$$

- Furthermore there are no relations amongst  $I_1$  and  $I_2$  (freely generated ring).
- The Hilbert series is also easily constructed:

$$H(q) = 1 + 2q^2 + 3q^4 + 4q^6 + 5q^8 + \dots = \sum_{n=0}^{\infty} (n+1)q^{2n} = \frac{1}{(1-q^2)^2}$$

$$N(q) = 1, \quad d_1 = d_2 = 2$$

$$c_1 = 0$$

$$c_2 = 2 \text{ (two invariants of degree 2), } m_1 m_1^* \text{ \& } m_2 m_2^*$$

$$c_3 = 0$$

...

$$p = 2 = 4 \text{ objects} - 2 \text{ phase redefinitions}$$

# A Complete Basis for Quarks

[0907.4763]  
[1507.00328]

For us, the relevant  
polynomials are  
Yukawa couplings!

$$Y^\psi Y^{\psi\dagger} \rightarrow U^\dagger Y^\psi Y^{\psi\dagger} U \quad H(q) = h(q, q) = \frac{1 + q^{12}}{(1 - q^2)^2 (1 - q^4)^3 (1 - q^6)^4 (1 - q^8)}$$

$U(3)_{QL}$

- A set of 11 invariants can be found to fully parameterize the theory, including six 'unmixed'  $I$

$$YY^\dagger \equiv Y, \quad \begin{aligned} I_1 &\equiv \text{tr}(Y_u), & \hat{I}_3 &\equiv \text{tr}(\text{adj } Y_u), & \hat{I}_6 &\equiv \text{tr}(Y_u \text{adj } Y_u) = 3 \det Y_u \\ I_2 &\equiv \text{tr}(Y_d), & \hat{I}_4 &\equiv \text{tr}(\text{adj } Y_d), & \hat{I}_8 &\equiv \text{tr}(Y_d \text{adj } Y_d) = 3 \det Y_d \end{aligned}$$

- as well as four 'mixed'  $I$ , relevant for extracting information about the CKM (overlap) matrix

$$\hat{I}_5 \equiv \text{tr}(Y_u Y_d), \quad \hat{I}_7 \equiv \text{tr}(\text{adj } Y_u Y_d), \quad \hat{I}_9 \equiv \text{tr}(Y_u \text{adj } Y_d), \quad \hat{I}_{10} \equiv \text{tr}(\text{adj } Y_u \text{adj } Y_d)$$

- and finally one mixed, CP-odd invariant relevant to pinning down the overall sign of CP violation:

$$I_{11}^- = -\frac{3i}{8} \det [Y_u, Y_d] \quad \text{proportional to the Jarlskog Invariant } J!$$

- The fundamental geoSMEFT object we can construct at all-orders is then given by

$$Y_{rp} = \frac{\hbar}{2} \left( Y_{ri} Y_{pi}^* - \sum_{n'} f(n') Y_{ri} \tilde{C}_{ip}^{(2n')} - \sum_n f(n) \tilde{C}_{ir}^{(2n),*} Y_{pi}^* + \sum_{n,n'} f(n) f(n') \tilde{C}_{ir}^{(2n),*} \tilde{C}_{ip}^{(2n')} \right)$$

# All-Orders Formulae: Masses

[2107.03951]

- Unmixed invariants can be solved to obtain exact formulae for Yukawa couplings / masses:

$$y_i^2 = \frac{(-2)^{1/3}}{3\psi_u} \left( I_1^2 - 3\hat{I}_3 + (-2)^{-1/3} I_1 \psi_u + (-2)^{-2/3} \psi_u^2 \right),$$

Valid for up-quark masses.  
Send  $I_{1,3,6}$  to  $I_{2,4,8}$  for down quark masses.

$$y_{j,k}^2 = \frac{1}{12\psi_u} \left( (-2)^{4/3} I_1^2 - 3 \cdot (-2)^{4/3} \hat{I}_3 + 4 I_1 \psi_u \right)$$

$$\mp \psi_u \sqrt{24 \left( I_1^2 - 3\hat{I}_3 \right) + \frac{6 \cdot (-2)^{5/3} \left( I_1^2 - 3\hat{I}_3 \right)^2}{\psi_u^2} - 3 \cdot (-2)^{4/3} \psi_u^2 + (-2)^{2/3} \psi_u^2}$$

$$\psi_u = \left( -2 I_1^3 + 9 I_1 \hat{I}_3 - 9 \hat{I}_6 + 3 \sqrt{-3 I_1^2 \hat{I}_3^2 + 12 \hat{I}_3^3 + 4 I_1^3 \hat{I}_6 - 18 I_1 \hat{I}_3 \hat{I}_6 + 9 \hat{I}_6^2} \right)^{1/3}$$

- Of course, the only distinction between fermions of the same family are their (measured) mass eigenvalues....

$$y_u^2 \equiv \min\{y_i^2, y_j^2, y_k^2\}, \quad y_c^2 \equiv \text{mid}\{y_i^2, y_j^2, y_k^2\} \quad y_t^2 \equiv \max\{y_i^2, y_j^2, y_k^2\}$$

# All-Orders Formulae: Mixings & CP

[2107.03951]

- Similarly, the mixed invariants give predictions for (CKM) mixing angles:

$$s_{13} = \left[ \frac{-\hat{I}_{10} - y_b^2 \left( \hat{I}_7 - \Delta_{ds}^+ \Delta_{uc}^+ \Delta_{ut}^+ \right) - y_u^2 \left( \hat{I}_9 + y_b^2 \left( \hat{I}_5 - y_b^2 \Delta_{ct}^+ \right) - y_d^2 y_s^2 \Delta_{ct}^+ \right)}{\Delta_{bd}^- \Delta_{bs}^- \Delta_{cu}^- \Delta_{ut}^-} \right]^{1/2} \quad \Delta_{ij}^\pm \equiv y_i^2 \pm y_j^2$$

$$s_{23} = \left[ \frac{\Delta_{tu}^- \left( -\hat{I}_{10} + y_c^2 \left( -\hat{I}_9 + (y_b^4 + y_d^2 y_s^2) \Delta_{ut}^+ \right) + y_b^2 \left( -\hat{I}_7 + y_c^2 \left( -\hat{I}_5 + \Delta_{ct}^+ \Delta_{ds}^+ \right) + y_u^2 \Delta_{ct}^+ \Delta_{ds}^+ \right) \right)}{\Delta_{ct}^- \left( \hat{I}_{10} + y_u^2 \hat{I}_9 + y_b^2 \left( \hat{I}_7 + y_u^2 \left( \hat{I}_5 - 2\Delta_{ct}^+ \Delta_{ds}^+ \right) \right) - (y_u^4 + y_c^2 y_t^2) (y_b^4 + y_d^2 y_s^2) \right)} \right]^{1/2}$$

$$s_{12} = \left[ \frac{\Delta_{db}^- \left( \hat{I}_{10} + y_s^2 \left( \hat{I}_7 - y_c^2 y_t^2 \Delta_{db}^+ \right) \right) + y_u^2 \Delta_{bd}^- \left( -\hat{I}_9 - y_s^2 \hat{I}_5 + \Delta_{sb}^+ \Delta_{ct}^+ \Delta_{ds}^+ \right) + y_u^4 y_s^2 (y_b^4 - y_d^4)}{\Delta_{ds}^- \left( \hat{I}_{10} + y_u^2 \hat{I}_9 + y_b^2 \left( \hat{I}_7 + y_u^2 \left( \hat{I}_5 - 2\Delta_{ct}^+ \Delta_{ds}^+ \right) \right) - (y_b^4 + y_d^2 y_s^2) (y_u^4 + y_c^2 y_t^2) \right)} \right]^{1/2}$$

- When combined with the CP-odd 11th invariant, one also can derive the Dirac CP-violating phase (and its sign!)

$$s_\delta = \frac{4}{3} I_{11}^- \left[ \Delta_{tc}^- \Delta_{tu}^- \Delta_{cu}^- \Delta_{bs}^- \Delta_{bd}^- \Delta_{sd}^- s_{12} s_{13} s_{23} (1 - s_{23}^2)^{1/2} (1 - s_{12}^2)^{1/2} (1 - s_{13}^2) \right]^{-1}$$

Here one notices the proportionality to the Jarlskog as well!



# Numerical Checks

[2107.03951]

- To test the validity of our formulae, we wrote a script to compare values of Dirac parameters predicted from our formulae vs. those extracted with numerical techniques. It did so by...
  - (a) computing the eigenvectors of  $[\mathcal{Y}^{(u,d)}\mathcal{Y}^{(u,d)\dagger}]$ . These are normalized to unit vectors  $\mathbf{v}_i$  and then the numerical matrices are defined by  $U_{(u,d)} \equiv \left( \mathbf{v}_1^{(u,d),T}, \mathbf{v}_2^{(u,d),T}, \mathbf{v}_3^{(u,d),T} \right)$ .
  - (b) computing the CKM matrix as  $V_{CKM} = U_u^\dagger \cdot U_d$ .
  - (c) uniquely extracting the  $s_{13}$  mixing angle from  $V_{13}$ ,  $s_{13} = |V_{13}|$ .
  - (d) uniquely extracting the  $s_{23}$  mixing angle from  $V_{23}$ ,  $s_{23} = |V_{23}| / \sqrt{1 - s_{13}^2}$ .
  - (e) uniquely extracting the  $s_{12}$  mixing angle from  $V_{12}$ ,  $s_{12} = |V_{12}| / \sqrt{1 - s_{13}^2}$ .
  - (f) uniquely extracting the  $s_\delta$  phase in a phase-convention-independent manner from the Jarlskog invariant  $J$ .
- Computations done in arbitrary flavor/weak bases (i.e. with full but arbitrary 3D structure in matrices)

mass dimension up to  $n = 10$

new physics between 2-10 TeV

- **Conclusion:** complete agreement up to numerical tolerance of  $10^{10}$  !!



- Note that numerical checks in environments with  $\{\mathbb{Y}'_u, \mathbb{Y}'_d\} = \{U_\chi^{u\dagger} \mathbb{Y}_u U_\chi^u, U_\chi^{d\dagger} \mathbb{Y}_d U_\chi^d\}$  also confirm LACK of ability to predict CKM angles with our formulae in this instance, as expected!

# These formulae...

---

- are **exact**, and **analytically** relate the fundamental Lagrangian parameters to the `physical' masses, mixings, and phase (*for the first time, to my knowledge*).
- **complete** the list of all-orders Lagrangian parameters in the Dirac flavor sector of the geoSMEFT
- are **basis independent** (as long as the information required is present in the basis in question)
- are applicable to explicit **(B)SM models and EFTs**, when global  $U(3)_Q$  flavor rotations control flavor parameters.

**Powerful tools in the description of (B)SM flavor physics!**

# Applicability

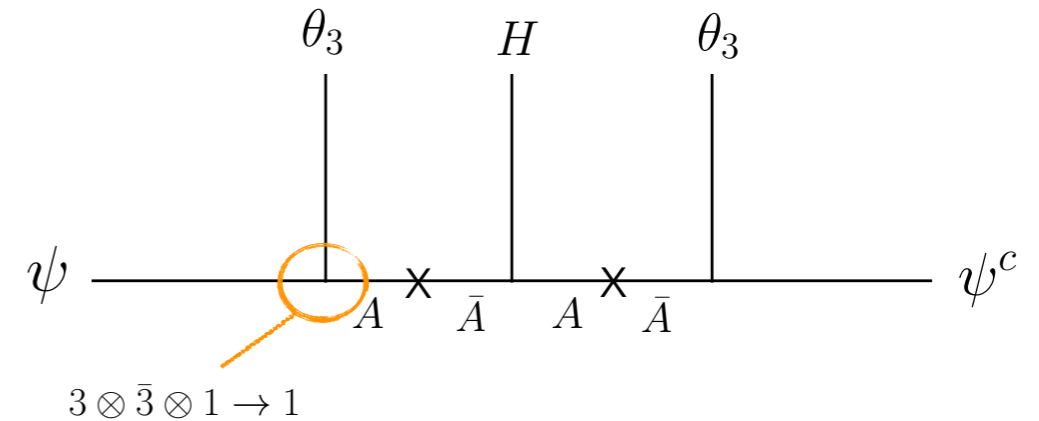
# Applications: UV-completing flavor

[2107.03951]

## The Universal Texture Zero Model

| Fields       | $\psi_{q,e,\nu}$ | $\psi_{q,e,\nu}^c$ | $H_5$           | $\Sigma$        | $S$             | $\theta_3$ | $\theta_{23}$ | $\theta_{123}$ | $\theta$  | $\theta_X$ |
|--------------|------------------|--------------------|-----------------|-----------------|-----------------|------------|---------------|----------------|-----------|------------|
| $\Delta(27)$ | 3                | 3                  | 1 <sub>00</sub> | 1 <sub>00</sub> | 1 <sub>00</sub> | $\bar{3}$  | $\bar{3}$     | $\bar{3}$      | $\bar{3}$ | 3          |
| $Z_N$        | 0                | 0                  | 0               | 2               | -1              | 0          | -1            | 2              | 0         | $x$        |

[de Medeiros Varzielas, Ross, Talbert: 1710.01741]



$$\mathcal{L}_{\text{UTZ}} \supset \psi_p \left( \frac{1}{M_{3,f}^2} \theta_3^p \theta_3^r + \frac{1}{M_{23,f}^3} \theta_{23}^p \theta_{23}^r \Sigma + \frac{1}{M_{123,f}^3} (\theta_{123}^p \theta_{23}^r + \theta_{23}^p \theta_{123}^r) S \right) \psi_r^c H + \mathcal{O}(1/M^4) + \dots$$

- After flavor- and EW-symmetry breaking, the EFT/model shapes Yukawa/mass matrices of the form

$$\mathcal{M}_f^D = \begin{pmatrix} 0 & a e^{i\gamma} & a e^{i\gamma} \\ a e^{i\gamma} (b e^{-i\gamma} + 2a e^{-i\delta}) e^{i(\gamma+\delta)} & b e^{i\delta} & b e^{i\delta} \\ a e^{i\gamma} & b e^{i\delta} & 1 - 2a e^{i\gamma} + b e^{i\delta} \end{pmatrix}_f$$

- Proof-in-principle fits to global flavor data yield post-dictions for mass (ratios) and CKM mixing angles:

$$\frac{m_u}{m_t} = 7.16 \cdot 10^{-6}, \quad \frac{m_c}{m_t} = 0.0027, \quad \frac{m_d}{m_b} = 0.00090, \quad \frac{m_s}{m_b} = 0.020$$

$$s_{12} = 0.226, \quad s_{23} = 0.0191, \quad s_{13} = 0.0042, \quad s_\delta = 0.5609$$

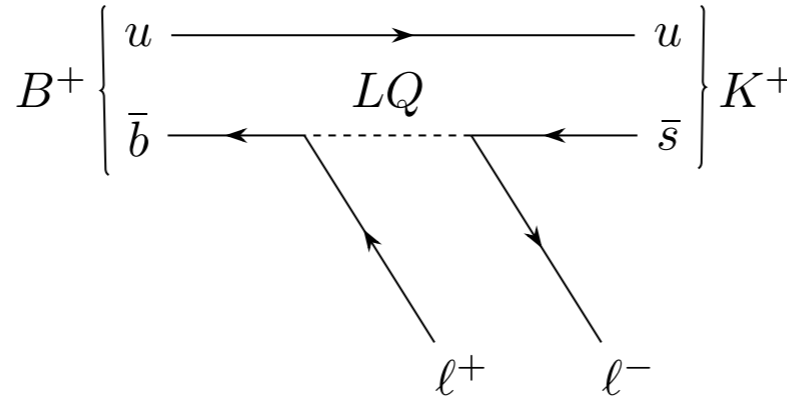


currently  
working on an  
MCMC fit to  
the UTZ!

# Applications: BSM States in the IR

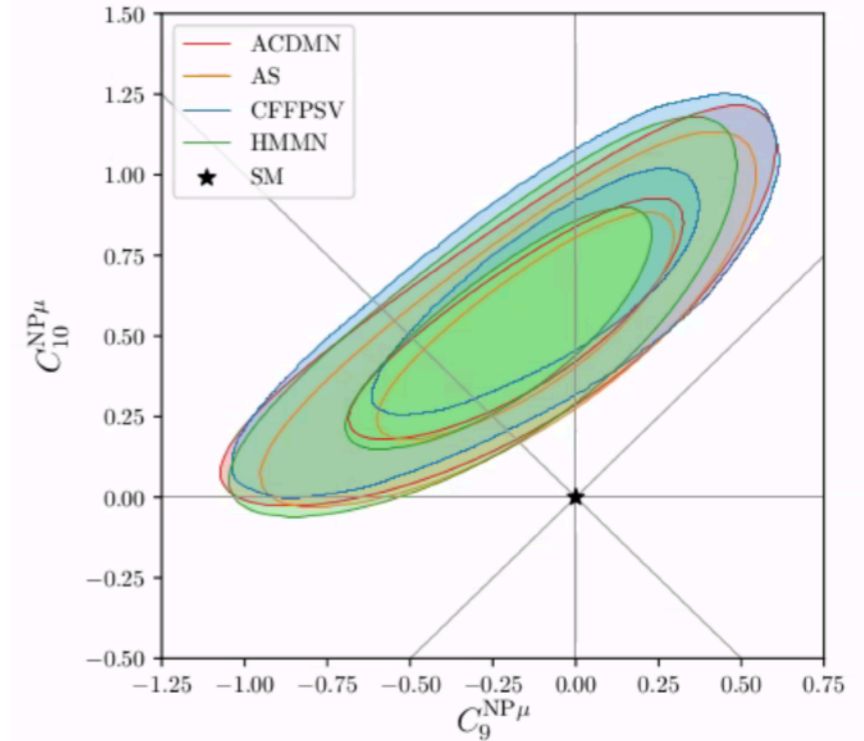
[2107.03951]

$$R_H \equiv \frac{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(B \rightarrow H\mu^+\mu^-)}{dq^2} dq^2}{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\mathcal{B}(B \rightarrow He^+e^-)}{dq^2} dq^2}$$



$$\Delta_3 \sim (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$$

$$\mathcal{G}_{SM} \equiv SU(3)_C \times SU(2)_L \times U(1)_Y$$



fit to LFU observables +  $B_s \rightarrow \mu\mu$

[Capdevila et al, 2021 Flavor Anomaly Workshop]

$$\mathcal{L} \supset y_{3,ij}^{LL} \bar{Q}_L^{C i,a} \epsilon^{ab} (\tau^k \Delta_3^k)^{bc} L_L^{j,c} + z_{3,ij}^{LL} \bar{Q}_L^{C i,a} \epsilon^{ab} ((\tau^k \Delta_3^k)^\dagger)^{bc} Q_L^{j,c} + \text{h.c.}$$

- Regardless of the introduction of new IR flavor violation, Dirac mass and mixing still predictable!

## EFT for CKM + PMNS + Leptoquarks

|          |                |                |                |              |                |                |              |                |                |                |
|----------|----------------|----------------|----------------|--------------|----------------|----------------|--------------|----------------|----------------|----------------|
|          | $Q''^1$        | $Q''^{23}$     | $u_R''^1$      | $u_R''^2$    | $u_R''^3$      | $d_R''^1$      | $d_R''^2$    | $d_R''^3$      | $\phi_u$       | $\phi_d$       |
| $D_{15}$ | $\mathbf{1}_-$ | $\mathbf{2}_1$ | $\mathbf{1}_-$ | $\mathbf{1}$ | $\mathbf{1}_-$ | $\mathbf{1}_-$ | $\mathbf{1}$ | $\mathbf{1}_-$ | $\mathbf{2}_1$ | $\mathbf{2}_1$ |

[Bernigaud, de Medeiros Varzielas, **Talbert**: 2005.12293]

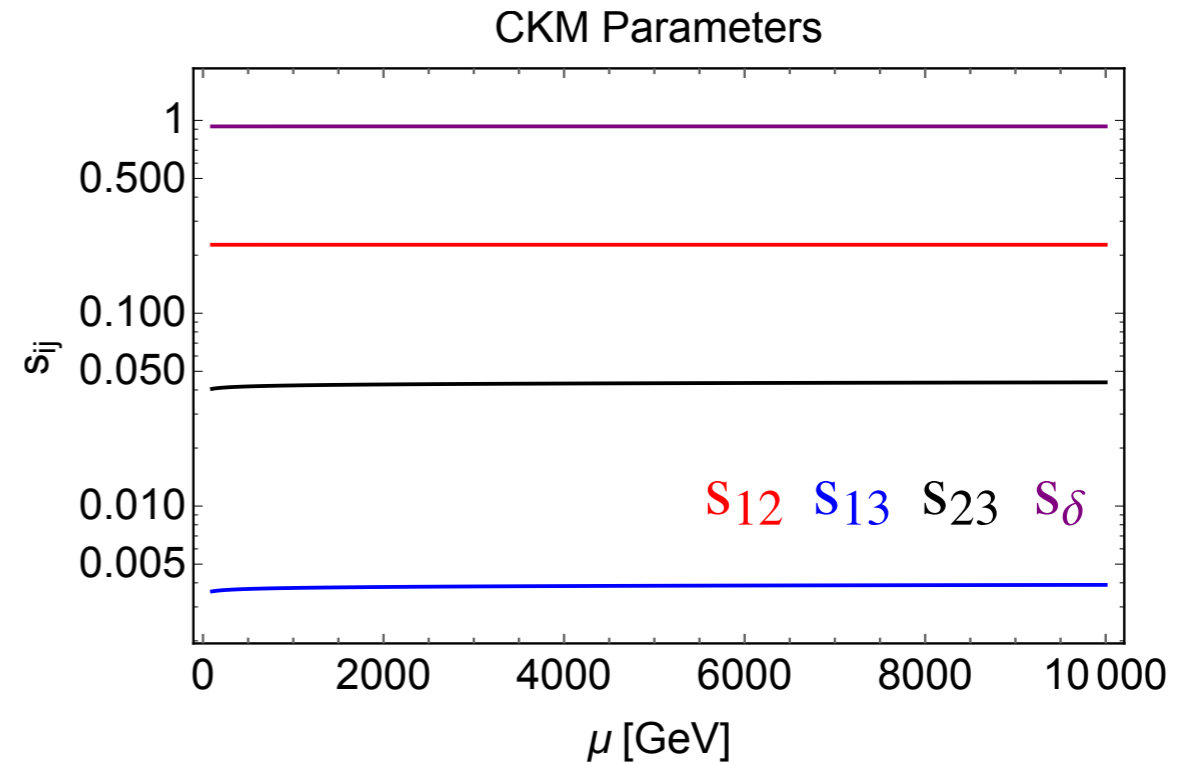
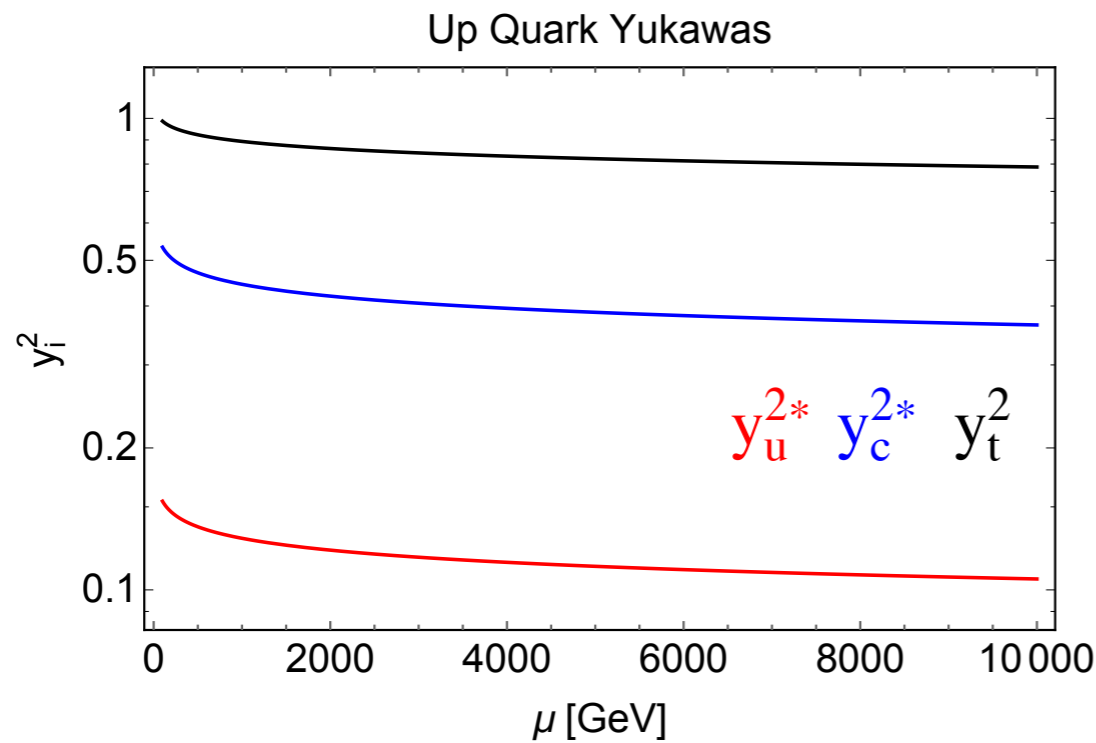
$$\mathcal{L}_Y \supset a_u \bar{Q}_L''^1 u_R''^1 + b_u \left[ \bar{Q}_L''^{23} \phi_u \right]_1 u_R''^2 + c_u \left[ \bar{Q}_L''^{23} \phi_u \right]_{1-} u_R''^3 + a_d \bar{Q}_L''^1 d_R''^1 + b_d \left[ \bar{Q}_L''^{23} \phi_d \right]_1 d_R''^2 + c_d \left[ \bar{Q}_L''^{23} \phi_d \right]_{1-} d_R''^3,$$

$$Y_u'' = P^\dagger \Lambda_d V_{CKM}^\dagger \cdot \begin{pmatrix} y_u & 0 & 0 \\ 0 & y_c & 0 \\ 0 & 0 & y_t \end{pmatrix} \cdot \Lambda_U^\dagger P, \quad Y_d'' = P^\dagger \Lambda_d \cdot \begin{pmatrix} y_d & 0 & 0 \\ 0 & y_s & 0 \\ 0 & 0 & y_b \end{pmatrix} \cdot \Lambda_D^\dagger P$$



(even in an absurd model basis!)

# Applications: Renormalization Group Flow



[2107.03951]

$$\dot{s}_\delta = s_\delta \left[ \frac{\dot{I}_{11}^-}{I_{11}^-} - \sum_{(ij) \in \mathfrak{s}_2} \frac{\dot{\Delta}_{ij}^-}{\Delta_{ij}^-} - \dot{s}_{12} \frac{(1 - 2s_{12}^2)}{s_{12}c_{12}^2} - \dot{s}_{23} \frac{(1 - 2s_{23}^2)}{s_{23}c_{23}^2} - \dot{s}_{13} \frac{(1 - 3s_{13}^2)}{s_{13}c_{13}^2} \right] \quad (\text{e.g.})$$

$$\mu \frac{dI_{11}^-}{d\mu} \simeq (6a_0 + 6b_0 + 2a_1 I_1 + 2b_1 I_2) I_{11}^-$$

$$a_0 = \frac{3}{8\pi^2} \left( I_1 + I_2 + \frac{I_1 - I_2}{2n_g} \right) - 2\frac{\alpha_s}{\pi}, \quad a_1 = \frac{3}{16\pi^2}$$

$$b_0 = \frac{3}{8\pi^2} \left( I_1 + I_2 + \frac{I_2 - I_1}{2n_g} \right) - 2\frac{\alpha_s}{\pi}, \quad b_1 = \frac{3}{16\pi^2}$$

[1507.00328]

- Note however that latter formulae only hold for MFV theories (numerics done for SM limit)!
- Would be interesting to pursue more generic RGE studies in SMEFT (e.g. 2005.12283).

# Applications: CKM Fits?

## Wolfenstein Parameterization

$$W_j \equiv \{\lambda, A, \bar{\rho}, \bar{\eta}\}$$

$$\begin{pmatrix} 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4 & \lambda & A\lambda^3(1 + \frac{1}{2}\lambda^2)(\bar{\rho} - i\bar{\eta}) \\ -\lambda + A^2\lambda^5(\frac{1}{2} - \bar{\rho} - i\bar{\eta}) & 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4(1 + 4A^2) & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 + A\lambda^4(\frac{1}{2} - \bar{\rho} - i\bar{\eta}) & 1 - \frac{1}{2}A^2\lambda^4 \end{pmatrix} \begin{pmatrix} 0.97401 \pm 0.00011 & 0.22650 \pm 0.00048 & 0.00361^{+0.00011}_{-0.00009} \\ 0.22636 \pm 0.00048 & 0.97320 \pm 0.00011 & 0.04053^{+0.00083}_{-0.00061} \\ 0.00854^{+0.00023}_{-0.00016} & 0.03978^{+0.00082}_{-0.00060} & 0.999172^{+0.000024}_{-0.000035} \end{pmatrix}$$

## 2020 PDG Global Fit

### 12. CKM Quark-Mixing Matrix

Revised March 2020 by A. Ceccucci (CERN), Z. Ligeti (LBNL) and Y. Sakai (KEK).

- CKM parameter fits big business in flavor physics — critical tests of the SM.
- However, as we have seen, BSM physics encoded in Wilson coefficients impacts the definition of the CKM matrix. A consistent treatment of such effects critical for interpretation of NP bounds.

$$O_i^{\text{input}} = O_{i,\text{SM}}^{\text{input}}(W_j) [(1 + f(L_k))] = O_{i,\text{SM}}^{\text{input}}(W_j) [1 + g(C_k)] \quad \Rightarrow \quad O_i^{\text{input}} = O_{i,\text{SM}}^{\text{input}}(\widetilde{W}_j)$$

**LEFT** **SMEFT**

$$\widetilde{W}_j = W_j \left( 1 + \frac{\delta W_j}{W_j} \right)$$

[1812.08163]


$$O_\alpha = O_{\alpha,\text{SM}}(W_j) + \delta O_{\alpha,\text{NP}}^{\text{direct}} = O_{\alpha,\text{SM}}(\widetilde{W}_j) + \delta O_{\alpha,\text{NP}}^{\text{indirect}} + \delta O_{\alpha,\text{NP}}^{\text{direct}}$$

$$\delta O_{\alpha,\text{NP}}^{\text{indirect}} = -\frac{\partial O_{\alpha,\text{SM}}}{\partial W_i} \delta W_i + \mathcal{O}(\Lambda^{-4})$$

'indirect' and 'direct' NP effects contribute at the same order in  $v/\Lambda$ !

# Applications: CKM Fits?

[1812.08163]



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## The CKM parameters in the SMEFT

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Sébastien Descotes-Genon,<sup>a</sup> Adam Falkowski,<sup>a</sup> Marco Fedele,<sup>a,b</sup>  
Martín González-Alonso<sup>c</sup> and Javier Virto<sup>d,e</sup>

$$\Gamma(K \rightarrow \mu\nu_\mu)/\Gamma(\pi \rightarrow \mu\nu_\mu), \quad \Gamma(B \rightarrow \tau\nu_\tau), \quad \Delta M_d, \quad \Delta M_s.$$

| CKMfitter (SM) [14]                          | UTfit (SM) [15]                | This work (SMEFT)                       |
|--|--------------------------------|---|
| $\lambda = 0.224747^{+0.000254}_{-0.000059}$ | $\lambda = 0.2250 \pm 0.0005$  | $\tilde{\lambda} = 0.22537 \pm 0.00046$ |
| $A = 0.8403^{+0.0056}_{-0.0201}$             | $A = 0.826 \pm 0.012$          | $\tilde{A} = 0.828 \pm 0.021$           |
| $\bar{\rho} = 0.1577^{+0.0096}_{-0.0074}$    | $\bar{\rho} = 0.148 \pm 0.013$ | $\tilde{\rho} = 0.194 \pm 0.024$        |
| $\bar{\eta} = 0.3493^{+0.0095}_{-0.0071}$    | $\bar{\eta} = 0.348 \pm 0.010$ | $\tilde{\eta} = 0.391 \pm 0.048$        |

- As expected, reabsorption of BSM effects into 'SM' parameters leads to non-trivial bounds on NP when calculating other flavored processes:

$$\Gamma(\pi \rightarrow \mu\nu) = \left| 1 - \frac{\tilde{\lambda}^2}{2} - \frac{\tilde{\lambda}^4}{8} \right|^2 \frac{f_{\pi^\pm}^2 m_{\pi^\pm} m_\mu^2}{16\pi\tilde{v}^4} \left( 1 - \frac{m_\mu^2}{m_{\pi^\pm}^2} \right)^2 (1 + \delta_{\pi\mu}) \left[ 1 + \tilde{\Delta}_{\pi\mu 2} \right] \quad (\text{e.g.})$$

$$\mathcal{B}(\pi \rightarrow \mu\nu) = 0.9998770(4) \quad + \quad \tau_\pi = 2.6033(5) \cdot 10^{-8} \text{ s.} \quad \Rightarrow \quad \tilde{\Delta}_{\pi\mu 2} = 0.004 \pm 0.013$$

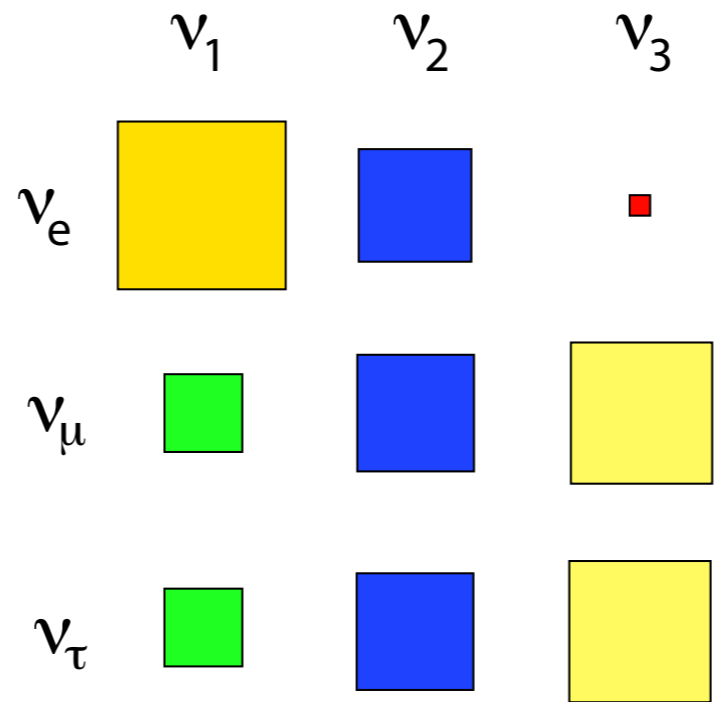
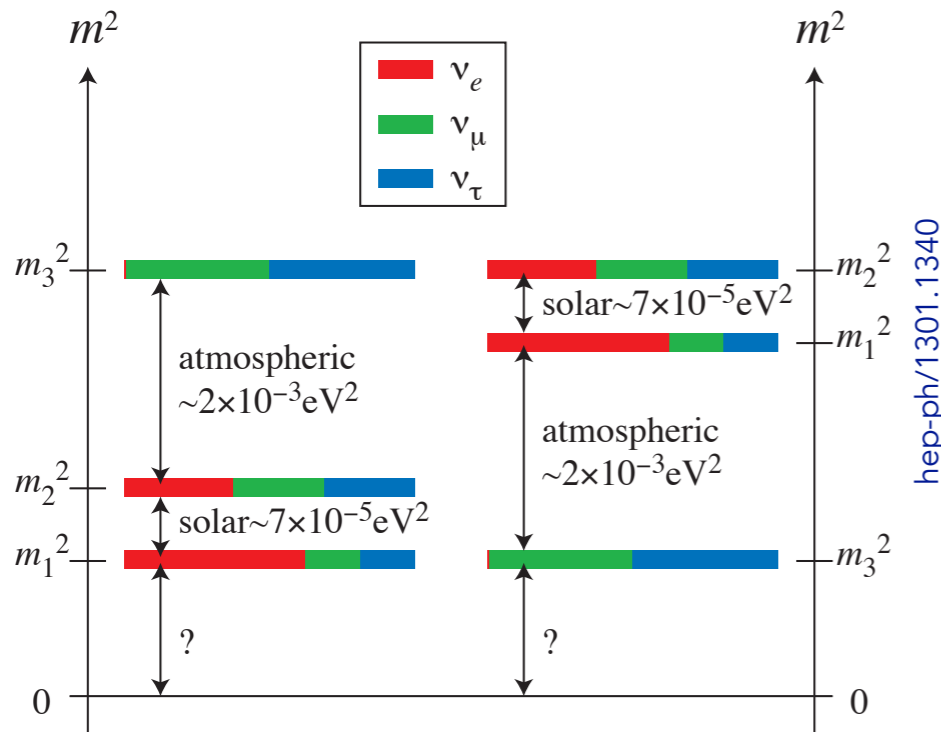
$$\tilde{\Delta}_{\pi\mu 2} = 2 \text{Re}(\epsilon_A^{\mu ud}) - \frac{2m_{\pi^\pm}^2}{(m_u + m_d)m_\mu} \text{Re}(\epsilon_P^{\mu ud}) + 4 \frac{\delta v}{v} + 2\tilde{\lambda}(1 + \tilde{\lambda}^2)\delta\lambda + \mathcal{O}(\Lambda^{-4}, \tilde{\lambda}^6)$$

- Formalism with flavored geoSMEFT can potentially push fits to higher order in  $v/\Lambda$ .
- Of relevance to potential *Cabibbo Angle Anomaly* — see e.g. 2109.06065.



# Towards Neutrinos

# Neutrino Masses and Mixings



**Hierarchy Problem**  
**Neutrino Masses**  
**CP Violation**  
**Dark Matter**  
**Flavor Problem**  
 ...

$$V_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1} & 0 \\ 0 & 0 & e^{i\alpha_2} \end{pmatrix}$$

- Neutrino mass and mixing is an **experimental fact**, and represents a clear departure from the naive SM. Massive experimental effort underway to pin down neutrino properties...
- Known: there is a gigantic hierarchy between neutrino mass scales and (e.g.) the top mass, and the mixing in the neutrino sector is large and non-hierarchical.



**neutrinos key to understanding critical BSM physics**

# Neutrinos in the (geo)SMEFT

- Neutrino masses described by dim-5 Weinberg operator at leading-order in SMEFT:

$$\mathcal{L}^{(5)} = \frac{c_{ij}^{(5)}}{2} \left( \ell_i^T \tilde{H}^* \right) C \left( \tilde{H}^\dagger \ell_j \right) + \text{h.c.}, \quad \begin{array}{c} \boxed{\rightarrow} \\ \text{EWSB} \end{array} \quad \begin{array}{l} \mathcal{L} \supset -\frac{m_{\nu,k}}{2} \overline{\nu_L^{c,k}} \nu_L^k + \text{h.c.} \\ m_{\nu,k} = -\frac{v^2}{2} (U_\nu^T)_{ki} c_{ij}^{(5)} U_{\nu,jk} \end{array}$$

- A field-space connection that describes this mass generation at all-orders should be found...

$$\mathcal{L} \supset \eta(\phi)_{\alpha\beta} \ell^\alpha \ell^\beta$$

| Field space connection  | Mass Dimension |   |   |    |    |
|---|----------------|---|---|----|----|
|   | 5              | 7 | 9 | 11 | 13 |
| $\eta(\phi)_{\alpha\beta} \ell^\alpha \ell^\beta + \text{h.c.}$ | $2 \cdot 2N_f$ | ? | ? | ?  | ?  |

for  $N_f = 3...$



- Furthermore, Hilbert series and associated basis of invariants known for  $N_f = 3!$

$$H(q) = \frac{1 + q^6 + 2q^8 + 4q^{10} + 8q^{12} + 7q^{14} + 9q^{16} + 10q^{18} + 9q^{20} + 7q^{22} + 8q^{24} + 4q^{26} + 2q^{28} + q^{30} + q^{36}}{(1 - q^2)^2 (1 - q^4)^3 (1 - q^6)^4 (1 - q^8)^2 (1 - q^{10})}$$

All-Orders flavor formalism analogous to quark sector within (quick) reach!



[0907.4763]  
[2107.06274]

# Neutrinos in the (geo) $\nu$ SMEFT

- Introducing a light sterile neutrino  $N$  changes the EFT under consideration!

$$\mathcal{L}_N = \frac{1}{2} (\bar{N}_p i \not{\partial} N_p - \bar{N}_p M_{pr} N_r) - [\bar{N}_p \omega_{p\beta} \tilde{H}^\dagger l_\beta + \text{H.c.}]$$



*It's all about scales!*

- A geometric  $\nu$ SMEFT can (and will) be developed. Obvious field-space connections are:

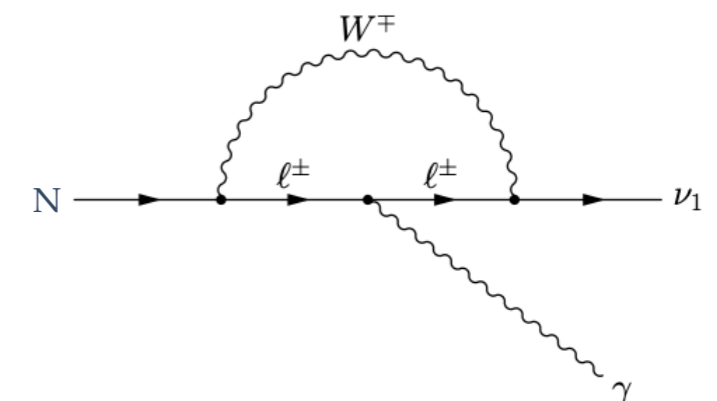
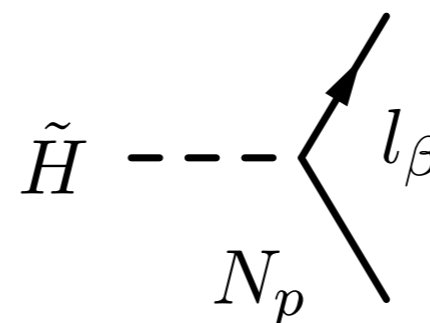
$$\mathcal{L} \supset Y_{pr}^\nu(\phi) \bar{L} N + M_{pr}(\phi) \bar{N} N$$

| Field space connection                     | Mass Dimension  |   |    |    |    |
|--|-----------------|---|----|----|----|
|  | 6               | 8 | 10 | 12 | 14 |
| $Y_{pr}^\nu(\phi) \bar{L} N + \text{h.c.}$ | $2 N_f^2$       | ? | ?  | ?  | ?  |
| $M_{pr}(\phi) \bar{N} N + \text{h.c.}$     | $2 \cdot 2 N_f$ | ? | ?  | ?  | ?  |



for  $N_f = 3 \dots$

All-orders amplitudes in such a theory could be a big boon to precision neutrino phenomenology!!



- The Hilbert Series for the complete three-generation Lagrangian was found in **1010.3161**.

# Summary and outlook

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- One can construct basis-independent flavor formalisms using invariant theory.
- These formalisms depend exclusively on flavor symmetry and free parameters.
- As a result, they hold at all-orders in effective field theories, e.g. the (geo)SMEFT.
- We have presented analytic formulae for the Dirac masses and mixings present in the (geo)SM(EFT). They are useful in any number of (B)SM contexts.
- Phenomenological applications are obvious, including fits to mass and mixing.
- The extension of the formalism to neutrino physics is ongoing, and rich in application.
- Flavor & neutrino physics offer prime opportunities for low- and high-energy complementarity!

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**THANK YOU!**

# Backup Slides

# Applications: Flavor Violation Pheno

[2005.12283]

LL RGE evolution for Yukawa and Wilson Coefficients known:

$$Y_d(\mu_{EW}) = Y_d(\Lambda) - \delta Y_d \frac{3y_t^2}{32\pi^2} \ln\left(\frac{\mu_{EW}}{\Lambda}\right) + \dots$$

$$[\tilde{\mathcal{C}}_a(\mu_{EW})]_{ij} = [\mathcal{C}_a(\Lambda)]_{ij} + \frac{(\beta_{ab})^{ijkl}}{16\pi^2} \ln\left(\frac{\mu_{EW}}{\Lambda}\right) [\mathcal{C}_b(\Lambda)]_{kl}$$

At EW scale, Yukawa (and Wilson Coefficients) must be re-rotated to (physical) fermion mass-eigenstates!

$$[\mathcal{C}_a(\mu_{EW})]_{ij} = U_{ik}^\dagger [\tilde{\mathcal{C}}_a(\mu_{EW})]_{kl} U_{lj}$$

$$U_{dL} = \begin{pmatrix} -0.93 + 0.37i & 1.6 \cdot 10^{-5} + 2.5 \cdot 10^{-7}i & -3.8 \cdot 10^{-4} \\ -1.2 \cdot 10^{-5} + 1.1 \cdot 10^{-5}i & -0.93 + 0.37i & 1.6 \cdot 10^{-3} - 6.7 \cdot 10^{-4}i \\ 2.7 \cdot 10^{-4} - 2.6 \cdot 10^{-4}i & -1.6 \cdot 10^{-3} + 6.1 \cdot 10^{-4}i & -0.93 + 0.37i \end{pmatrix}$$

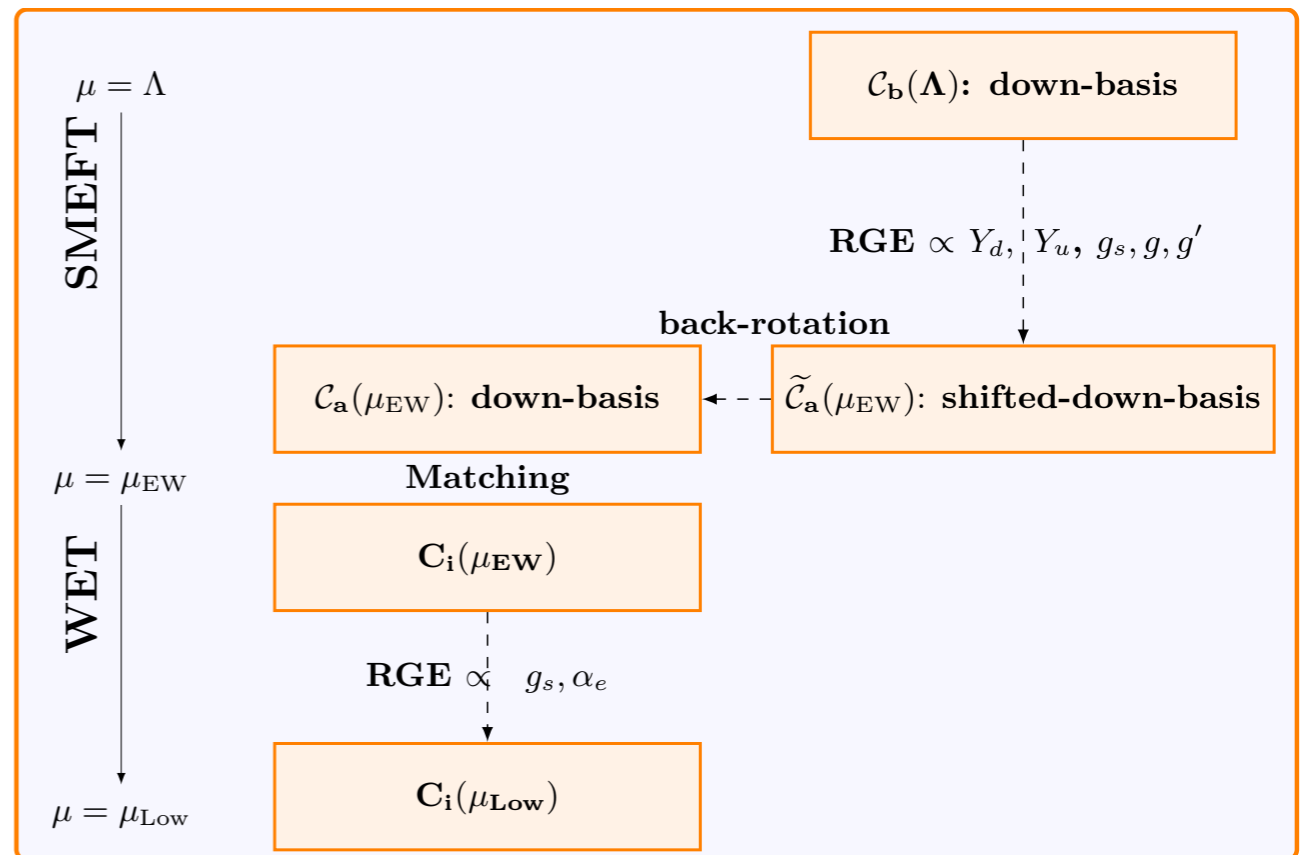
compare to  $\kappa_{RGE}^{ij} = \frac{\lambda_t^{ij}}{16\pi^2} \ln\left(\frac{\mu_{EW}}{\Lambda}\right) \approx 9 \cdot 10^{-4} - 2 \cdot 10^{-5}i$

## Flavour Violating Effects of Yukawa Running in SMEFT

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- The resulting NP bounds derived from (e.g.)  $\Delta F=2$  or  $b \rightarrow sll$  processes are very important!
- Q1: what is the correspondence between RGE of flavor invariants and (known) non-MFV relations?
- Q2: what is the phenomenological impact of higher-order RGE of physical parameters?

# Partial Sq. vs. Full Dim-8: Fermionic Z Decay

- Consider all-order geoSMEFT width for Z-boson decay to fermions:

$$\bar{\Gamma}_{Z \rightarrow \bar{\psi}\psi} = \sum_{\psi} \frac{N_c^{\psi}}{24\pi} \sqrt{\bar{m}_Z^2} |g_{\text{eff}}^{Z,\psi}|^2 \left(1 - \frac{4\bar{M}_{\psi}^2}{\bar{m}_Z^2}\right)^{3/2} \quad g_{\text{eff}}^{Z,\psi} = \frac{\bar{g}_Z}{2} \left[ (2s_{\theta_Z}^2 Q_{\psi} - \sigma_3) \delta_{pr} + \bar{v}_T \langle L_{3,4}^{\psi,pr} \rangle + \sigma_3 \bar{v}_T \langle L_{3,3}^{\psi,pr} \rangle \right]$$

$\uparrow \quad \uparrow \quad \uparrow \quad \uparrow$   
*defined at all orders in  $v/\Lambda$  !!*

- Expand complete dependence at dim-6, dim-8:

$$\langle g_{\text{eff},pr}^{Z,\psi} \rangle_{\text{SM}} = \bar{g}_Z^{\text{SM}} \left[ (s_{\theta}^{\text{SM}})^2 Q_{\psi} - \frac{\sigma_3}{2} \right] \delta_{pr} \quad \text{SM}$$

$$\langle g_{\text{eff},pr}^{Z,\psi} \rangle_{\mathcal{O}(v^2/\Lambda^2)} = \frac{\langle \bar{g}_Z \rangle_{\mathcal{O}(v^2/\Lambda^2)}}{\bar{g}_Z^{\text{SM}}} \langle g_{\text{eff},pr}^{Z,\psi} \rangle_{\text{SM}} \delta_{pr} + \bar{g}_Z^{\text{SM}} Q_{\psi} \langle s_{\theta_Z}^2 \rangle_{\mathcal{O}(v^2/\Lambda^2)} \delta_{pr} + \frac{\bar{g}_Z^{\text{SM}}}{2} \left[ \tilde{C}_{H\psi,pr}^{1,(6)} - \sigma_3 \tilde{C}_{H\psi,pr}^{3,(6)} \right] \quad \text{dim-6}$$

$$\begin{aligned} \langle g_{\text{eff},pr}^{Z,\psi} \rangle_{\mathcal{O}(v^4/\Lambda^4)} &= \frac{\langle \bar{g}_Z \rangle_{\mathcal{O}(v^4/\Lambda^4)}}{\bar{g}_Z^{\text{SM}}} \langle g_{\text{eff},pr}^{Z,\psi} \rangle_{\text{SM}} \delta_{pr} + \bar{g}_Z^{\text{SM}} Q_{\psi} \langle s_{\theta_Z}^2 \rangle_{\mathcal{O}(v^4/\Lambda^4)} \delta_{pr} + \langle \bar{g}_Z \rangle_{\mathcal{O}(v^2/\Lambda^2)} \langle s_{\theta_Z}^2 \rangle_{\mathcal{O}(v^2/\Lambda^2)} Q_{\psi} \delta_{pr} \\ &+ \frac{\langle \bar{g}_Z \rangle_{\mathcal{O}(v^2/\Lambda^2)}}{2} \left[ \tilde{C}_{H\psi,pr}^{1,(6)} - \sigma_3 \tilde{C}_{H\psi,pr}^{3,(6)} \right] + \frac{g_Z^{\text{SM}}}{4} \left[ \tilde{C}_{H\psi,pr}^{1,(8)} - \sigma_3 \tilde{C}_{H\psi,pr}^{2,(8)} - \sigma_3 \tilde{C}_{H\psi,pr}^{3,(8)} \right] \quad \text{dim-8} \end{aligned}$$

- Compare (e.g.) dependence on  $(\tilde{C}_{HWB}^{(6)})^2$  using partial square vs. full dim-8 analysis:

**Partial Square**

**Complete Analysis**

$$|g_{\text{eff},pr}^{Z,\psi}|_{\text{partial square}}^2 \supset \frac{g_1^2 g_2^2 (\tilde{C}_{HWB}^{(6)})^2}{(g_Z^{\text{SM}})^6} \delta_{pr} \left[ g_Z^{\text{SM}} \langle g_{\text{eff},pr}^{Z,\psi} \rangle_{\text{SM}} + (g_2^2 - g_1^2) Q_{\psi} \right]^2$$

$$|g_{\text{eff},pr}^{Z,\psi}|_{\mathcal{O}(v^4/\Lambda^4)}^2 \supset \frac{g_1^2 g_2^2 (\tilde{C}_{HWB}^{(6)})^2 (g_2^2 - g_1^2)^2 Q_{\psi}^2}{(g_Z^{\text{SM}})^6} \delta_{pr} + (\tilde{C}_{HWB}^{(6)})^2 \langle g_{\text{eff},pr}^{Z,\psi} \rangle_{\text{SM}}^2 \delta_{pr}$$

*There are even \*cancellations\* such that term  $\sim Q$  doesn't exist in full expansion...*



# Towards PMNS Fits in the (geo)( $\nu$ )SMEFT

NuFIT 5.1 (2021)



|                      | Normal Ordering (best fit)      |                               | Inverted Ordering ( $\Delta\chi^2 = 2.6$ ) |                               |
|----------------------|---------------------------------|-------------------------------|--|-------------------------------|
|                      | bf $\pm 1\sigma$                | $3\sigma$ range               | bf $\pm 1\sigma$                           | $3\sigma$ range               |
| $\sin^2 \theta_{12}$ | $0.304^{+0.013}_{-0.012}$       | 0.269 $\rightarrow$ 0.343     | $0.304^{+0.012}_{-0.012}$                  | 0.269 $\rightarrow$ 0.343     |
| $\theta_{12}/^\circ$ | $33.44^{+0.77}_{-0.74}$         | 31.27 $\rightarrow$ 35.86     | $33.45^{+0.77}_{-0.74}$                    | 31.27 $\rightarrow$ 35.87     |
| $\sin^2 \theta_{23}$ | $0.573^{+0.018}_{-0.023}$       | 0.405 $\rightarrow$ 0.620     | $0.578^{+0.017}_{-0.021}$                  | 0.410 $\rightarrow$ 0.623     |
| $\theta_{23}/^\circ$ | $49.2^{+1.0}_{-1.3}$            | 39.5 $\rightarrow$ 52.0       | $49.5^{+1.0}_{-1.2}$                       | 39.8 $\rightarrow$ 52.1       |
| $\sin^2 \theta_{13}$ | $0.02220^{+0.00068}_{-0.00062}$ | 0.02034 $\rightarrow$ 0.02430 | $0.02238^{+0.00064}_{-0.00062}$            | 0.02053 $\rightarrow$ 0.02434 |
| $\theta_{13}/^\circ$ | $8.57^{+0.13}_{-0.12}$          | 8.20 $\rightarrow$ 8.97       | $8.60^{+0.12}_{-0.12}$                     | 8.24 $\rightarrow$ 8.98       |
| $\delta_{CP}/^\circ$ | $194^{+52}_{-25}$               | 105 $\rightarrow$ 405         | $287^{+27}_{-32}$                          | 192 $\rightarrow$ 361         |

- Given complete flavor formalism(s) in the (geo)( $\nu$ )SMEFT, the natural project would be to do a precision fit to mass and mixing, as in CKM case.
- Re-absorption of BSM effects likely important in interpretation of neutrino NSI and associated bounds on new physics...
- Knowledge of matching and RGE to relevant neutrino processes required!

(geo)( $\nu$ )SMEFT



( $\nu$ )LEFT