

# Effective Field Theories of QCD

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Quantum Chromodynamics

Effective theory of Weak Force

HQET, Non-Relativistic QCD

Soft-Collinear Effective Theory

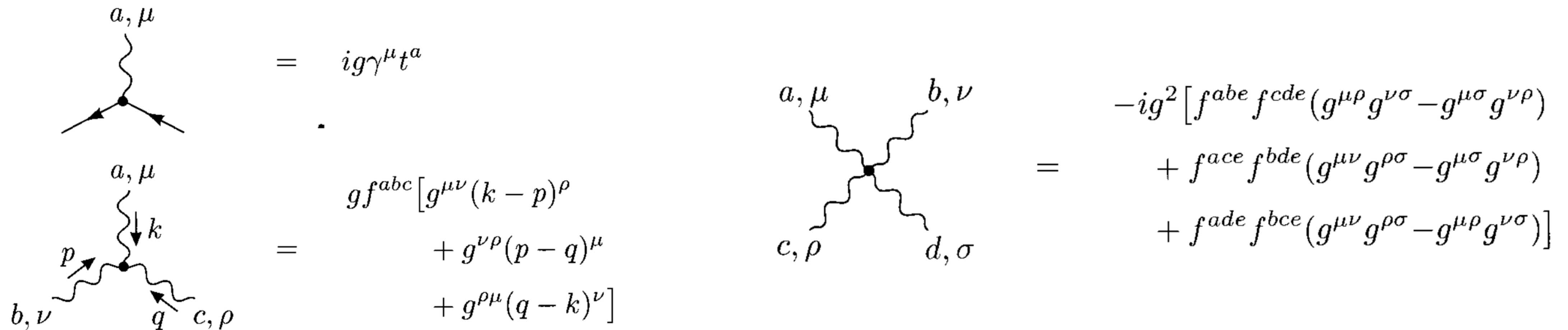
Applications:  $T_{cc}^+$ ,  $X(3872)$  as hadronic molecules

Applications: Quarkonia Production in Jets

# Quantum Chromodynamics

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} + \sum_i \bar{\psi}_i (i\not{D} - m_i) \psi_i$$

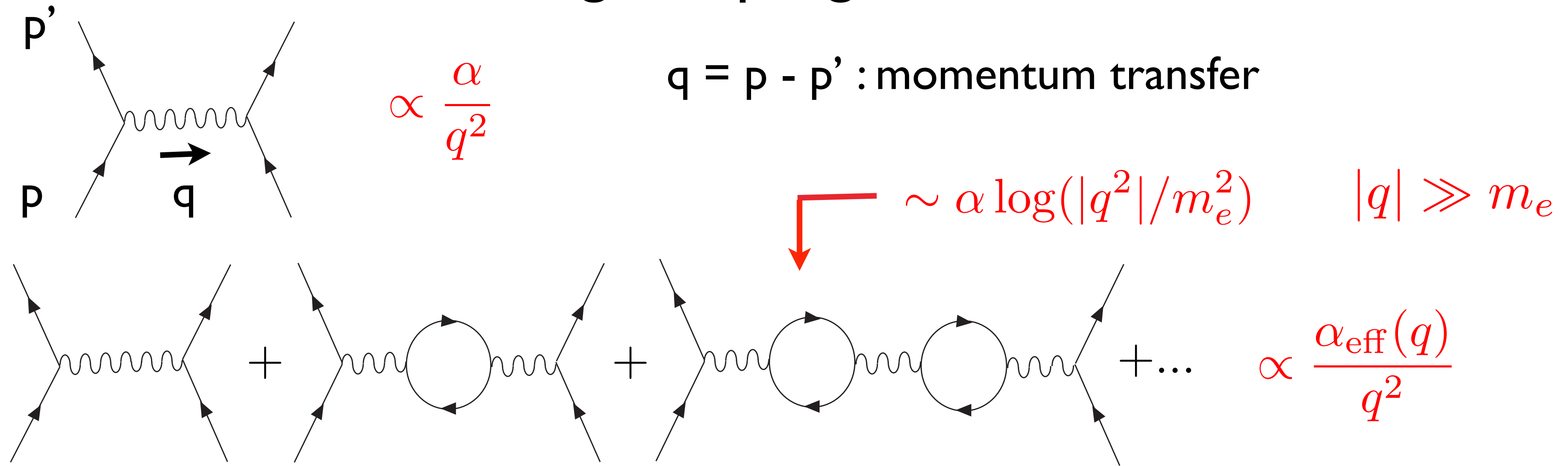
$$G_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + ig f^{abc} A_\mu^b A_\nu^c$$



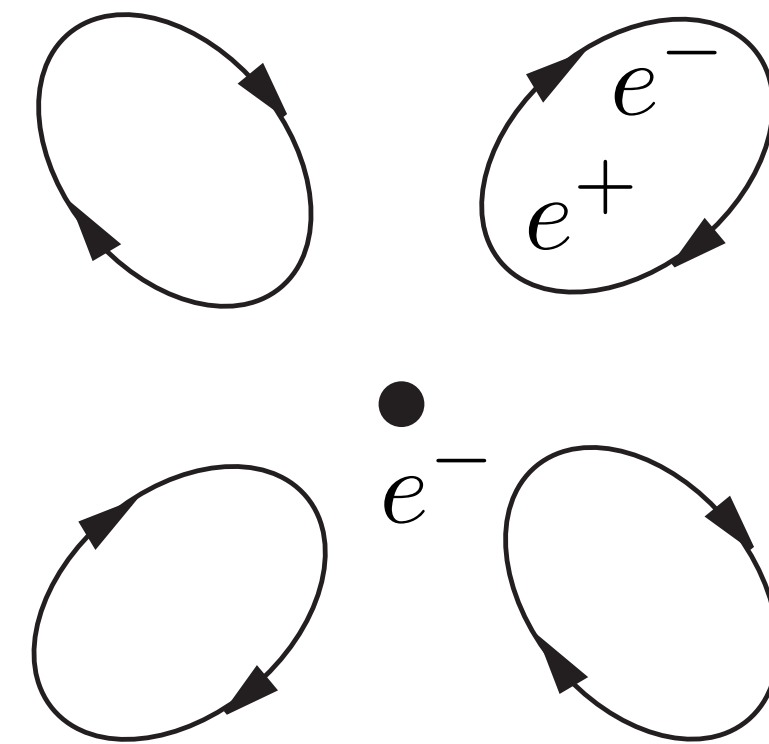
The image shows two Feynman diagrams and their corresponding mathematical expressions. The first diagram is a three-gluon vertex with an incoming gluon labeled  $a, \mu$  and two outgoing gluons labeled  $b, \nu$  and  $c, \rho$ . The second diagram is a four-gluon vertex with four incoming gluons labeled  $a, \mu$ ,  $b, \nu$ ,  $c, \rho$ , and  $d, \sigma$ .

$$\begin{aligned}
 & \text{Three-gluon vertex} = ig\gamma^\mu t^a \\
 & \text{Four-gluon vertex} = g f^{abc} [g^{\mu\nu} (k-p)^\rho + g^{\nu\rho} (p-q)^\mu + g^{\rho\mu} (q-k)^\nu] \\
 & \text{Four-gluon vertex} = -ig^2 [f^{abe} f^{cde} (g^{\mu\rho} g^{\nu\sigma} - g^{\mu\sigma} g^{\nu\rho}) + f^{ace} f^{bde} (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\sigma} g^{\nu\rho}) + f^{ade} f^{bce} (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\rho} g^{\nu\sigma})]
 \end{aligned}$$

# Running Coupling Constants



## Vacuum Polarization screens bare charge



$$\alpha(m_e) = 1/137$$

atomic physics

$$\alpha(90 \text{ GeV}) = 1/128$$

LEP :  $e^+e^- \rightarrow Z_0 \rightarrow X$

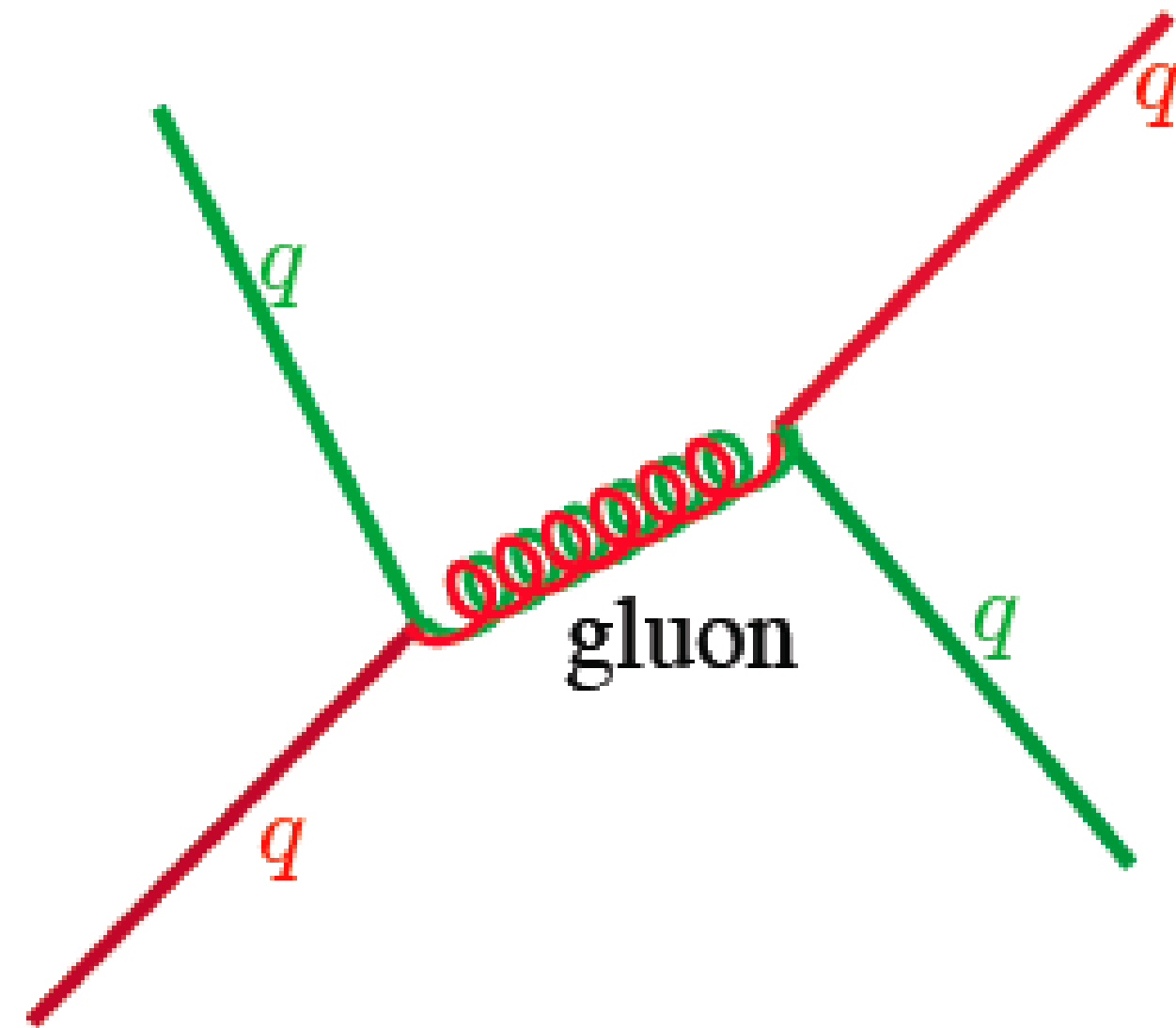
Heisenberg:  $q \leftrightarrow \hbar/r$



## QED

electrons/positrons - (+,-) charges

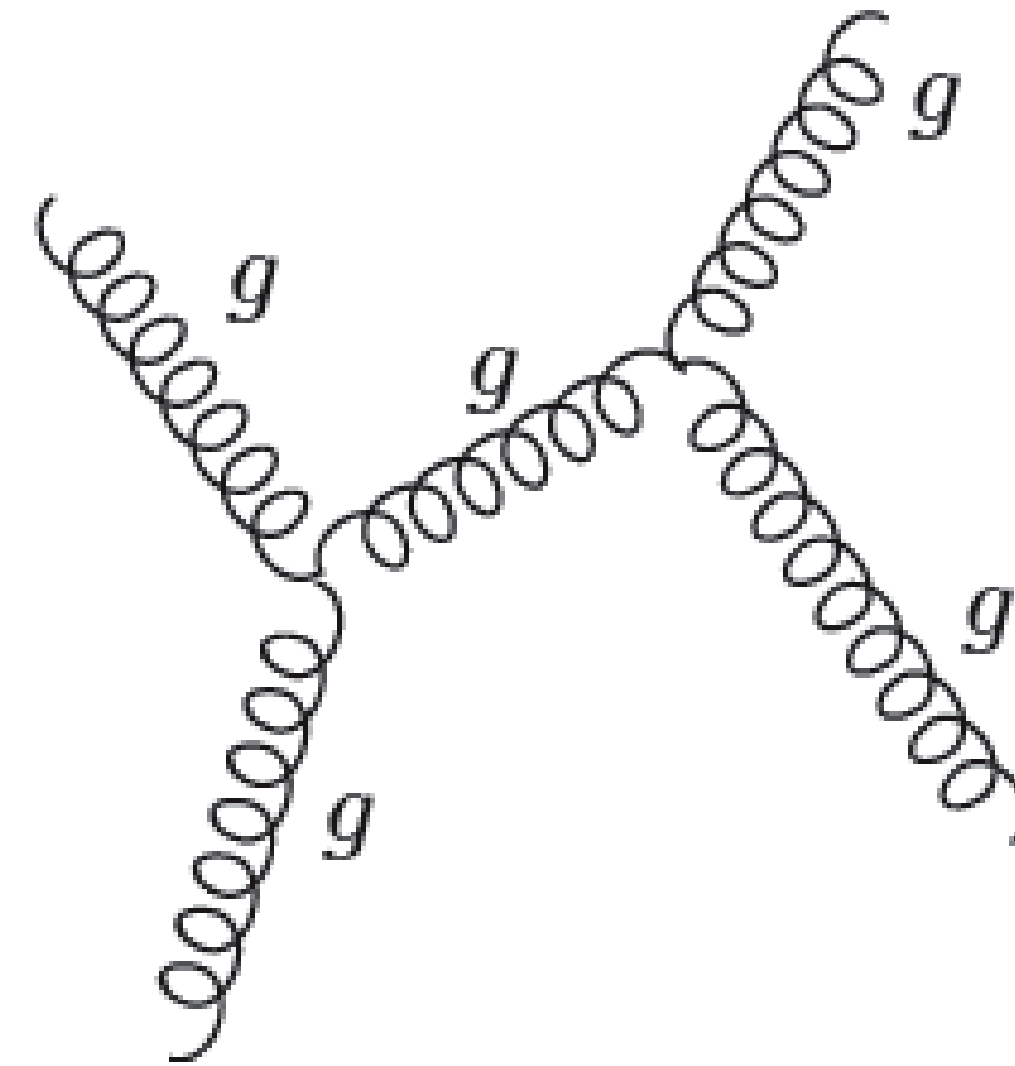
photon - neutral



## QCD

quarks - three colors (r,g,b)

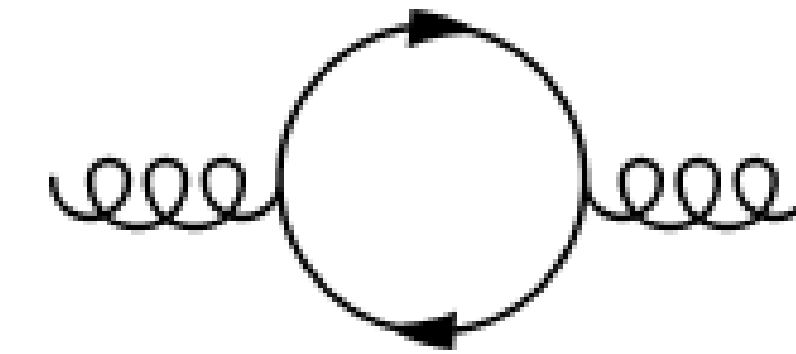
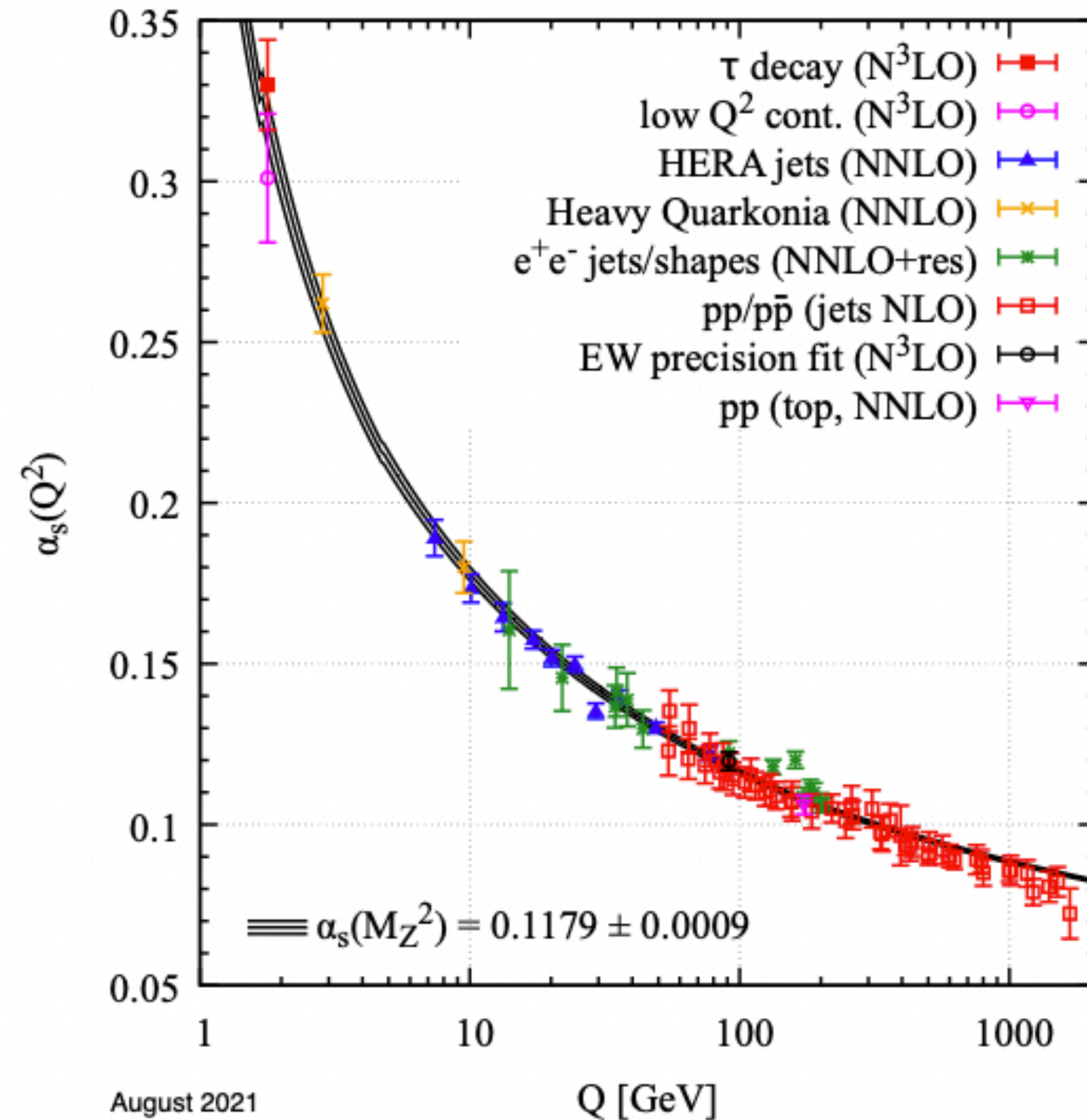
gluons - 8 colors (e.g.,  $r\bar{g}$ )



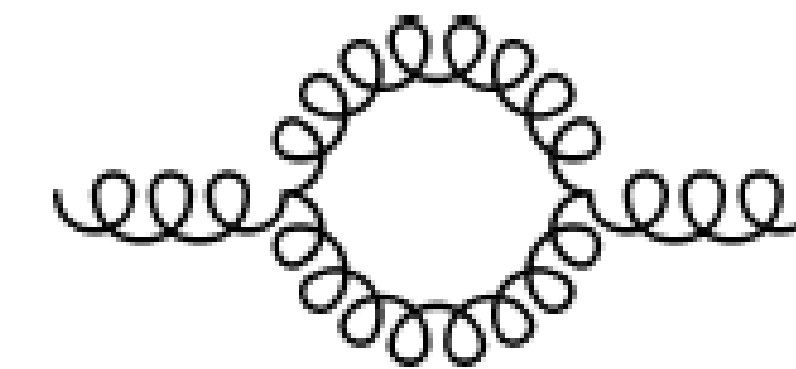
Key Difference: gluons colored and self-interact

# Asymptotic Freedom

(Gross, Politzer, Wilczek; Nobel 2004)



Screening



Anti-Screening

UV perturbation theory valid

IR - Strong Coupling

{

- Confinement – Colorless Hadrons
- Chiral Symmetry Breaking
- $\Lambda_{QCD} \sim 500 \text{ MeV}$

# Confinement

Physical Strongly Interacting Particles (hadrons) are Colorless

Mesons -  $q \bar{q}$

Baryons -  $q q q$

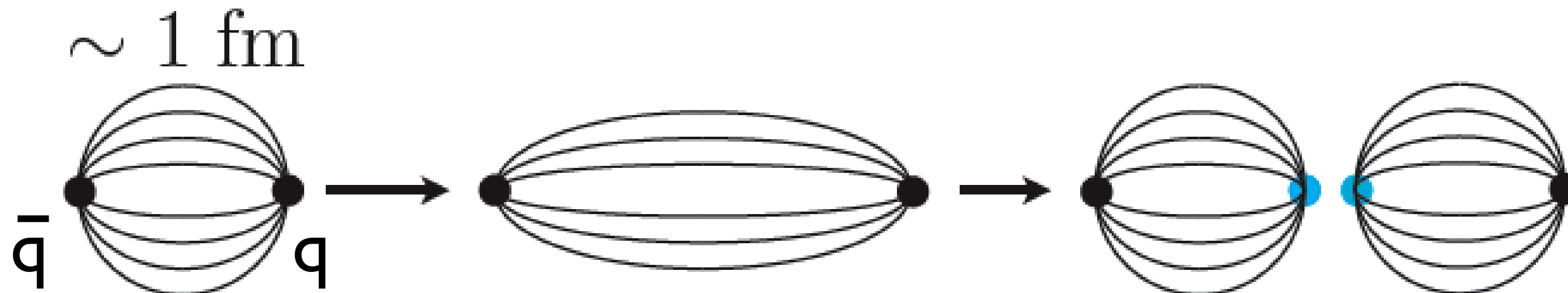
Potential Energy between quark and antiquark

$$V_{q\bar{q}}(r) \approx -\frac{4}{3} \frac{\alpha_s(1/r)}{r} + \sigma r$$

lattice QCD simulations

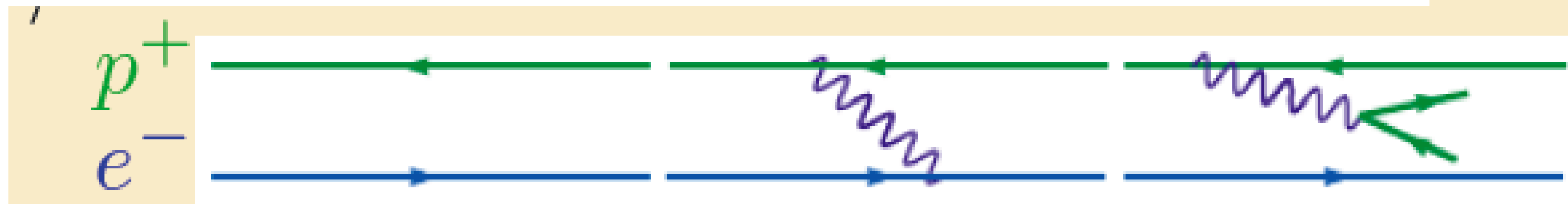
force between quark and antiquark separated by  $\sim 1$  fm equivalent to 14 tons!

try to pull a quark-antiquark, create more mesons



# Hydrogen atom

$$\alpha \sim 1/137$$

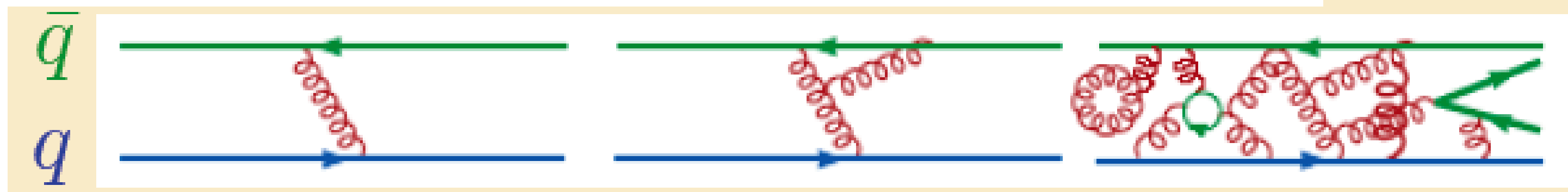


$$|H\rangle \sim |p^+ e^-\rangle + \alpha |p^+ e^- \gamma\rangle + \alpha^2 |p^+ e^- e^+ e^-\rangle$$

Hydrogen a two-body system up to small corrections

## Meson ( $\bar{q}q + \dots$ )

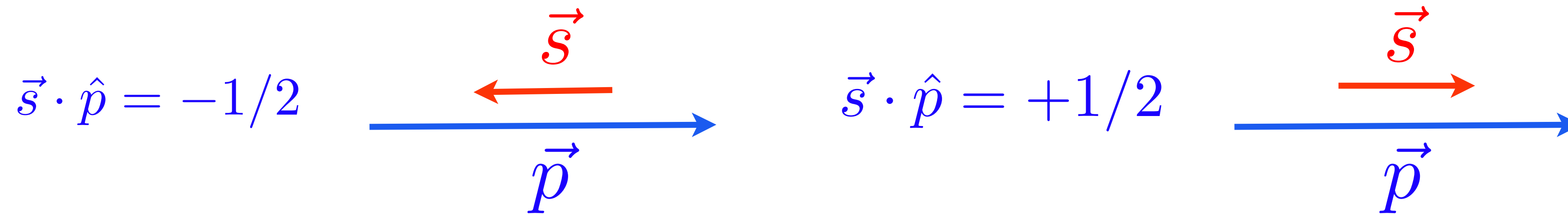
$$\alpha_s \sim 1$$



many-body system: many Fock states contribute

# Chiral Symmetry

chirality = helicity (for a massless particle)

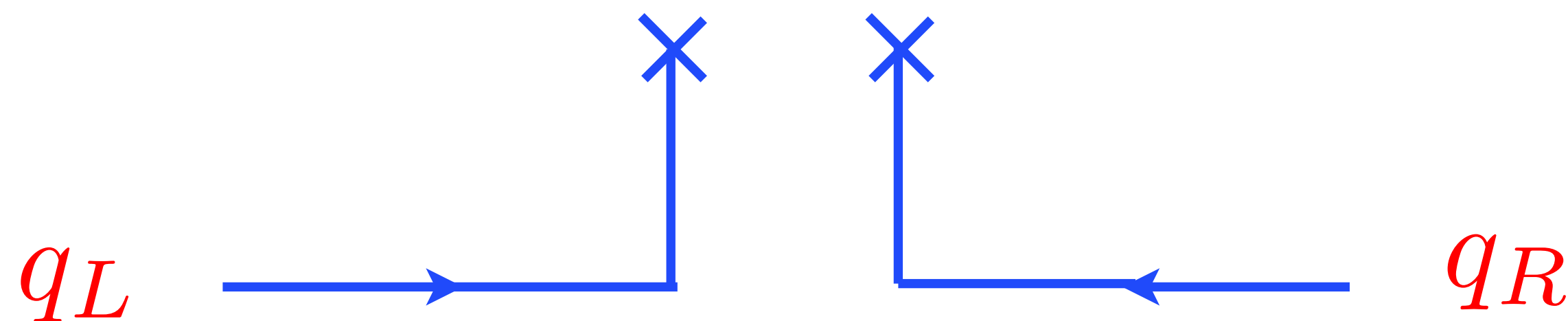


$$q = q_L + q_R \quad SU_L(3) \times SU_R(3)$$

$$q_L \rightarrow U_L q_L \quad q_R \rightarrow U_R q_R$$

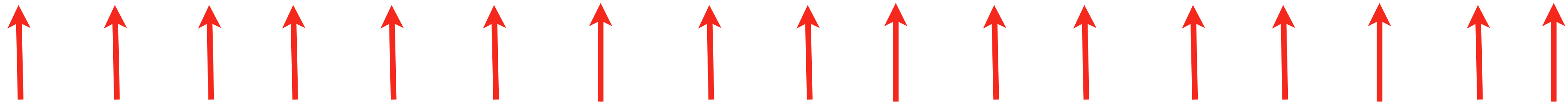
Symmetry of  $\mathcal{L}_{\text{QCD}}$ , but not the vacuum

$$\langle 0 | \bar{q}_L q_R + \bar{q}_R q_L | 0 \rangle \neq 0$$

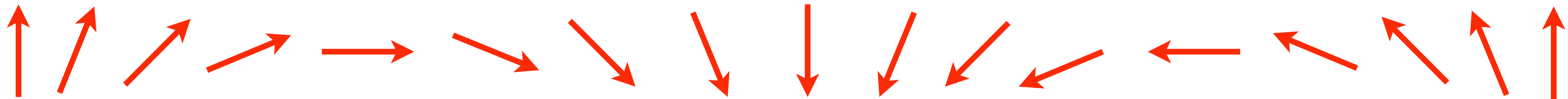


Spontaneous Chiral Symmetry Breaking

- Vacuum:  $\langle \bar{q}_L q_R \rangle \leftrightarrow \uparrow$



- Excited State:  $\langle \bar{q}_L U_L^\dagger(x) U_R(x) q_R \rangle \leftrightarrow \nearrow$



$$\lambda = 2\pi/k$$



- recover vacuum as  $\lambda \rightarrow \infty$  ( $k \rightarrow 0$ )  $E(k) \propto k$

relativity:  $E(k) = \sqrt{k^2 + m^2}$

- Spontaneous Symmetry Breaking  $\rightarrow$  Massless Particle

# Goldstone Boson



- real world  $m_u, m_d, m_s \neq 0$  pseudo-Goldstone Bosons

$$m_{PGB}^2 \propto m_q \langle 0 | \bar{q}q | 0 \rangle$$

- Light hadrons of QCD: pions, kaons, and eta's

$$m_\pi \sim 140 \text{ MeV} \quad m_K \sim 496 \text{ MeV} \quad m_\eta = 547 \text{ MeV}$$

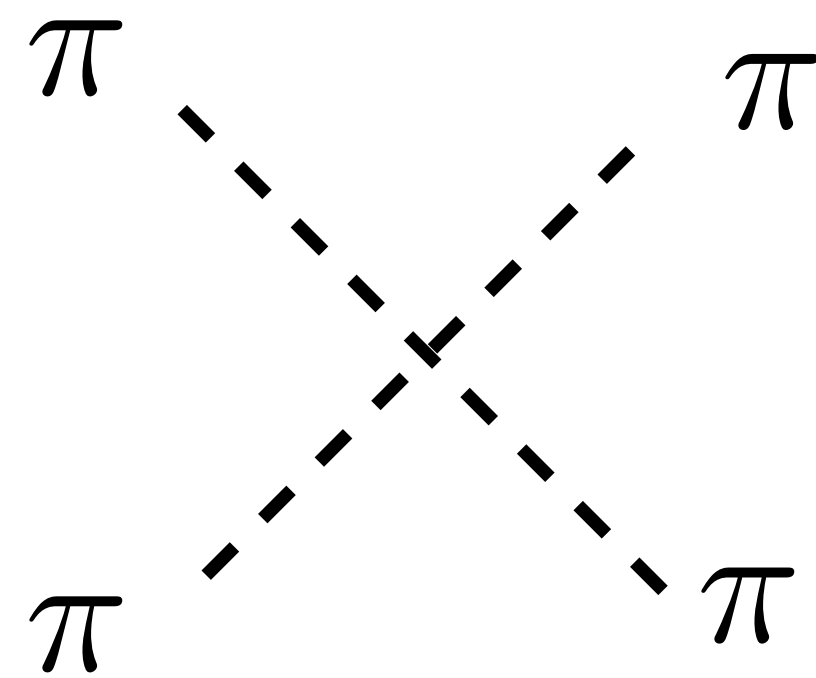
Chiral Symmetry Breaking determines the low lying degrees of freedom of QCD, constrains their self-interactions and interactions with other hadrons

Interactions vanish as  $k \rightarrow 0$  derivatively coupled

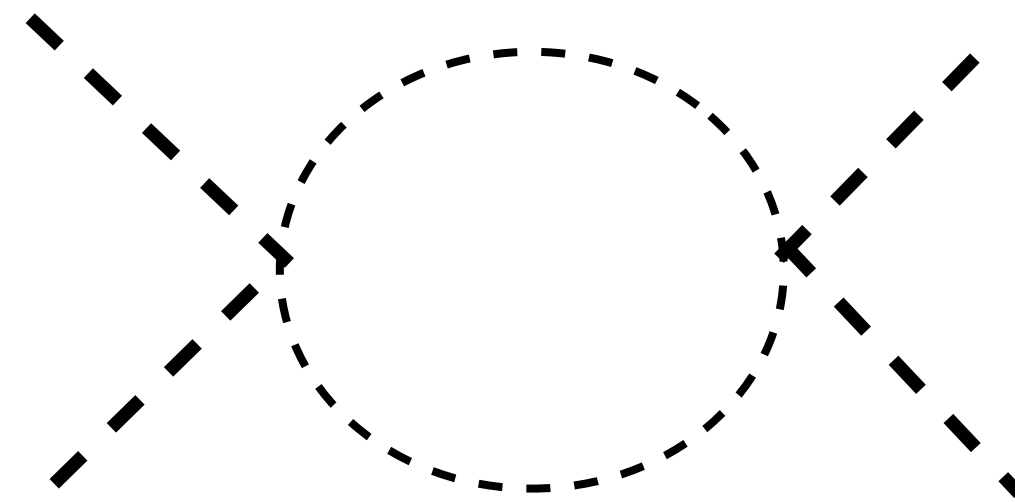
weakly interacting at low energies:

## Chiral Perturbation Theory (ChiPT)

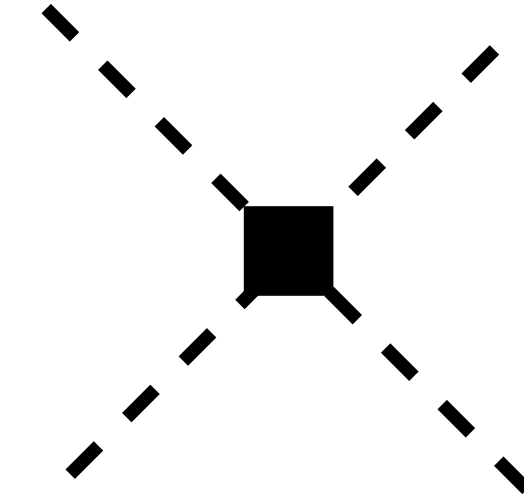
$$\mathcal{L} = \frac{f_\pi^2}{8} \text{Tr} \partial_\mu \Sigma \partial^\mu \Sigma^\dagger + \frac{f_\pi^2 B_0}{4} \text{Tr}(m_q \Sigma + m_q \Sigma^\dagger) + \dots \quad \Sigma = e^{2i\pi/f_\pi}$$



$$\frac{Q^2}{\Lambda_\chi^2}$$



$$\frac{Q^4}{\Lambda_\chi^4}$$

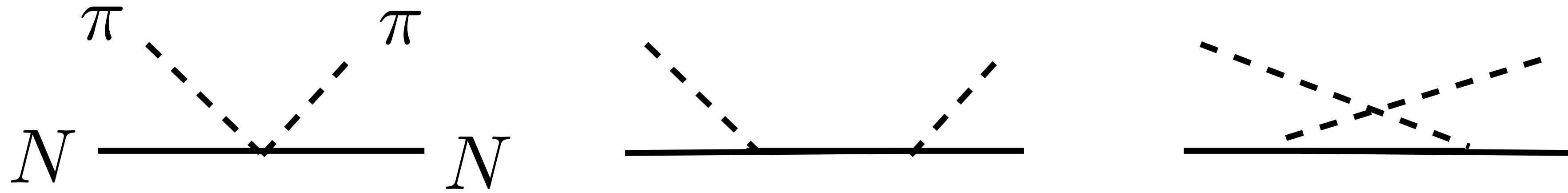


$$Q \sim (p, m_\pi)$$

perturbative expansion in  $\frac{Q^2}{\Lambda_\chi^2}$       $\Lambda_\chi = 4\pi f_\pi \sim 1 \text{ GeV}$



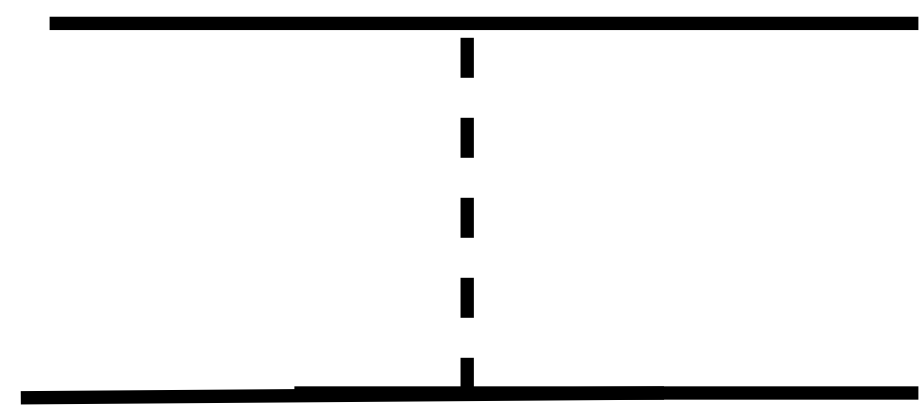
# Coupling to nucleons



S-wave scattering  
length

$$a_{\pi N}^I = C_I \frac{m_\pi}{f_\pi^2} \quad (\text{within } 15\%-25\%)$$

Long range nuclear spin-tensor force



$$\frac{g_A^2}{2f_\pi^2} \frac{\vec{q} \cdot \vec{\sigma} \vec{q} \cdot \vec{\sigma}}{q^2 + m_\pi^2}$$

# Bottom-Up EFTs

isolate relevant degrees of freedom

small expansion parameter (ratio of scales):

Lagrangian is most general consistent w/  
symmetries of underlying theory, i.e. QCD

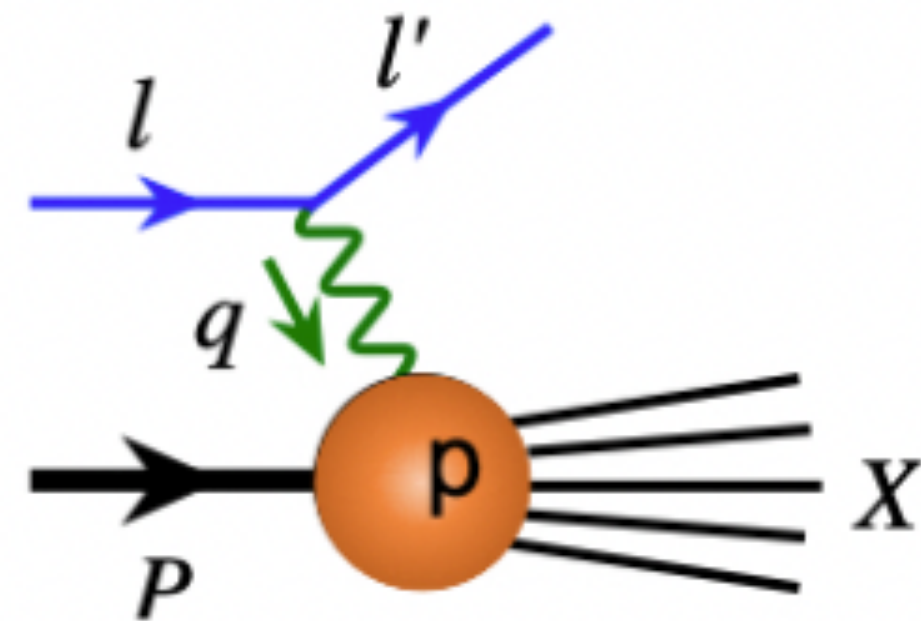
Power Counting - count powers of expansion  
parameter in Lagrangian and in Feynman diagrams

coefficients in Lagrangian determined by experimental or lattice simulations

Examples: ChPT, effective theory of NN interactions,  $\pi N$  interactions,  
Hadron molecules, e.g.,  $X(3872)$ ,  $T_{cc}^+$ , ...

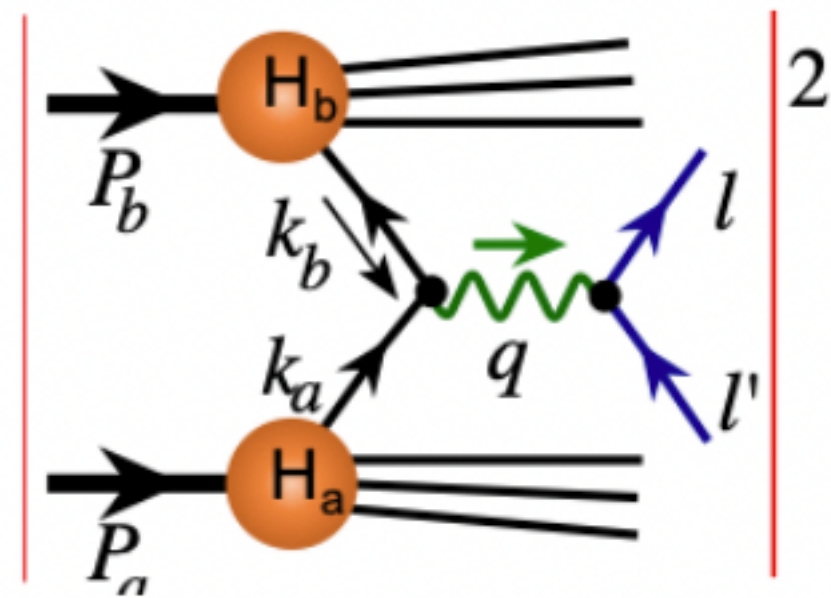
# QCD Factorization

Deep  
Inelastic  
Scattering



$$d\sigma_{ep \rightarrow e' X} \sim \sum_i f_{i/p} \otimes d\hat{\sigma}(\gamma^* i \rightarrow X)$$

Drell-Yan



$$d\sigma_{pp \rightarrow \ell^+ \ell^- X} \sim \sum_{ij} f_{i/p} \otimes f_{j/p} \otimes d\hat{\sigma}(ij \rightarrow \gamma^* X)$$

$$Q^2 \gg \Lambda_{\text{QCD}}^2$$

$d\hat{\sigma}$  calculable in pQCD -  $\alpha_s(Q)$

$f_{i/p}$  universal parton distribution functions

# Deriving Factorization

DIS

$$d\sigma \propto \int d^4x e^{iq \cdot x} \langle p | T J^\mu(x) J^\nu(0) | p \rangle$$

apply Operator Product  
Expansion (OPE)

DY

All-orders diagrammatic proofs in pQCD

these can be challenging!

G. T. Bodwin, Phys. Rev. D 31, 2616 (1985) [Erratum-ibid. D 34, 3932 (1985)]

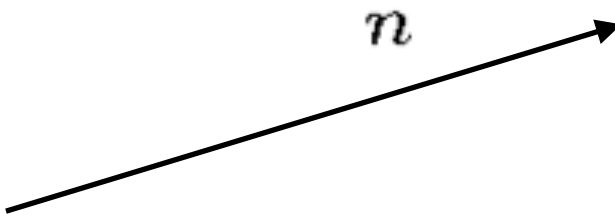
J. C. Collins, D. E. Soper and G. Sterman, Phys. Lett. B 134, 263 (1984)

G. T. Bodwin, Phys. Rev. D 31, 2616 (1985) [Erratum-ibid. D 34, 3932 (1985)]

J. C. Collins, D. E. Soper and G. Sterman, Nucl. Phys. B 308, 833 (1988)

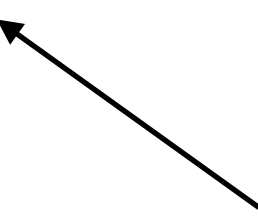
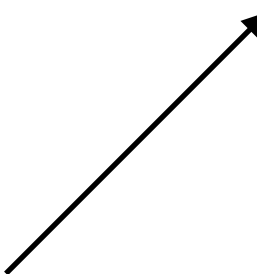
# Operator Product Expansion

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$$\mathcal{O}_1(x)\mathcal{O}_2(0) \rightarrow \sum_n C_{12}^n(x)\mathcal{O}_n(0), \quad \text{as } x \rightarrow 0$$


Wilson coefficients obey RGE

$$\left[ M \frac{\partial}{\partial M} + \beta \frac{\partial}{\partial g} + \gamma_1 + \gamma_2 - \gamma_n \right] C_{12}^n(x; M) = 0.$$

$$C_{12}^n(x) = \left( \frac{1}{|x|} \right)^{d_1+d_2-d_n} c(\bar{g}(1/x)) \exp \left[ \int_{1/x}^M d \log M' (\gamma_n - \gamma_1 - \gamma_2) \right],$$


Naive dimensional analysis

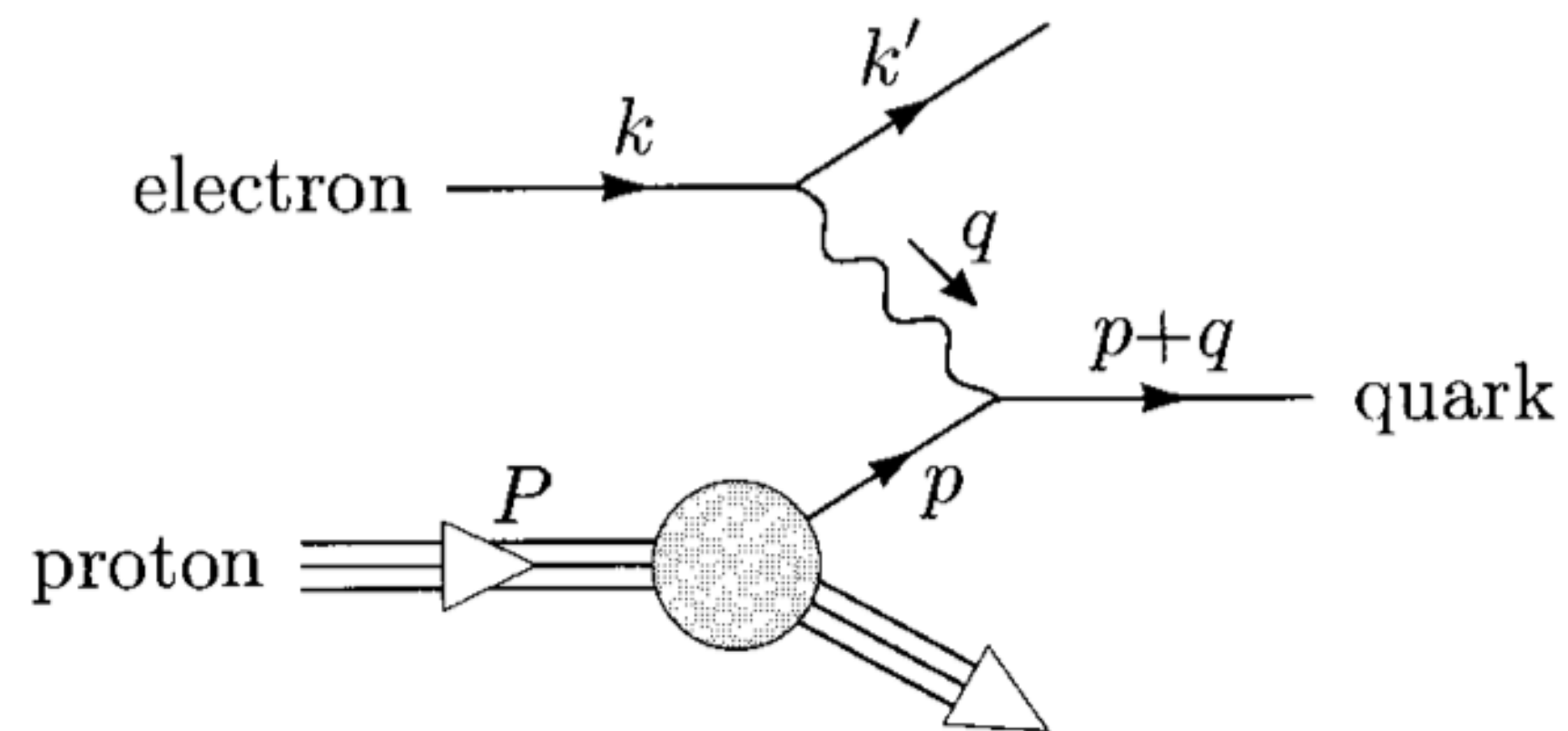
Anomalous dimension

## General form of DIS cross section

$$\frac{d^2\sigma}{dx dy} = \frac{4\pi\alpha^2}{xyQ^2} [(1-y)F_2(x, Q^2) + xy^2F_1(x, Q^2)]$$

$$x = \frac{Q^2}{2P \cdot q} \quad Q^2 = -q^2 = xys$$

## Parton Model



$$\frac{d^2\sigma}{dx dy} (e^- p \rightarrow e^- X) = \left( \sum_f x f_f(x) Q_f^2 \right) \frac{2\pi\alpha^2 s}{Q^4} [1 + (1-y)^2].$$

Bjorken scaling:  $F_{1,2}(x, Q^2)$  independent of  $Q^2$

Callan-Gross relation:  $F_2(x) = 2xF_1(x)$  spin-1/2 quarks

## OPE Analysis of DIS

Reproduce parton model results

Moments of pdf's are related to local twist-2 operators

Anomalous dimensions twist-2 operators imply scaling violation

DGLAP evolution equations for structure functions

# QCD Evolution - DGLAP Equations

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$$\frac{d}{d \ln Q^2} \begin{pmatrix} q \\ g \end{pmatrix} = \begin{pmatrix} P_{q \leftarrow q} & P_{q \leftarrow g} \\ P_{g \leftarrow q} & P_{g \leftarrow g} \end{pmatrix} \otimes \begin{pmatrix} q \\ g \end{pmatrix}$$

$$q \equiv f_{q/p} \quad g \equiv f_{g/p}$$

$$P_{qq} = C_F \left( \frac{1+z^2}{1-z} \right)_+$$

$$P_{qg}(z) = T_R [z^2 + (1-z)^2], \quad P_{gq}(z) = C_F \left[ \frac{1+(1-z)^2}{z} \right],$$

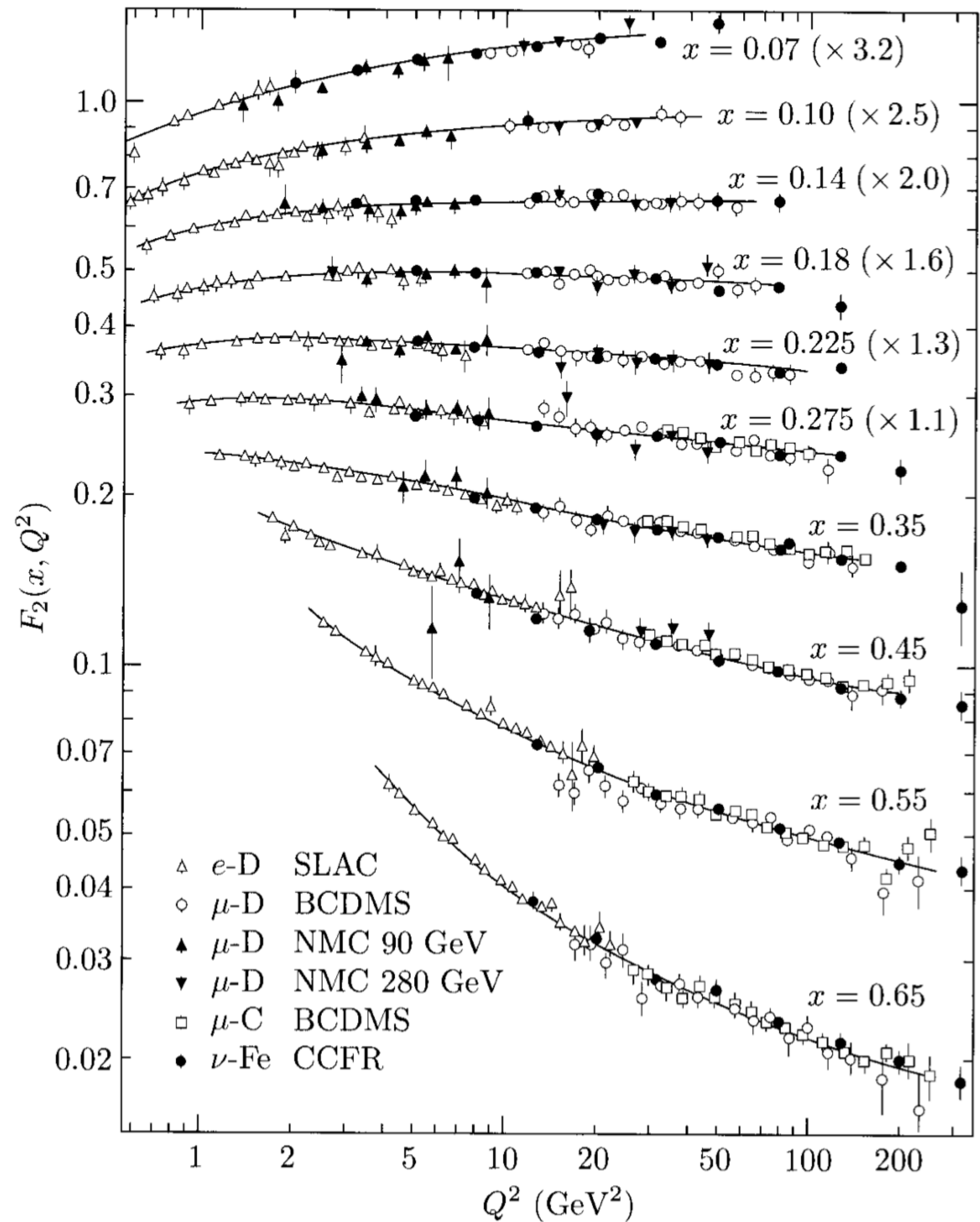
$$P_{gg}(z) = 2C_A \left[ \frac{z}{(1-z)_+} + \frac{1-z}{z} + z(1-z) \right] + \delta(1-z) \frac{(11C_A - 4n_f T_R)}{6}$$



Cross section is independent of  $\mu$

$$\begin{aligned} d\sigma(ep \rightarrow X) &= \sum_i f_{i/p}(\mu) \otimes d\hat{\sigma}(i\gamma^* \rightarrow X)(\mu, \alpha_s(\mu)) \\ &= \sum_i f_{i/p}(Q) \otimes d\hat{\sigma}(i\gamma^* \rightarrow X)(Q, \alpha_s(Q)) \end{aligned}$$

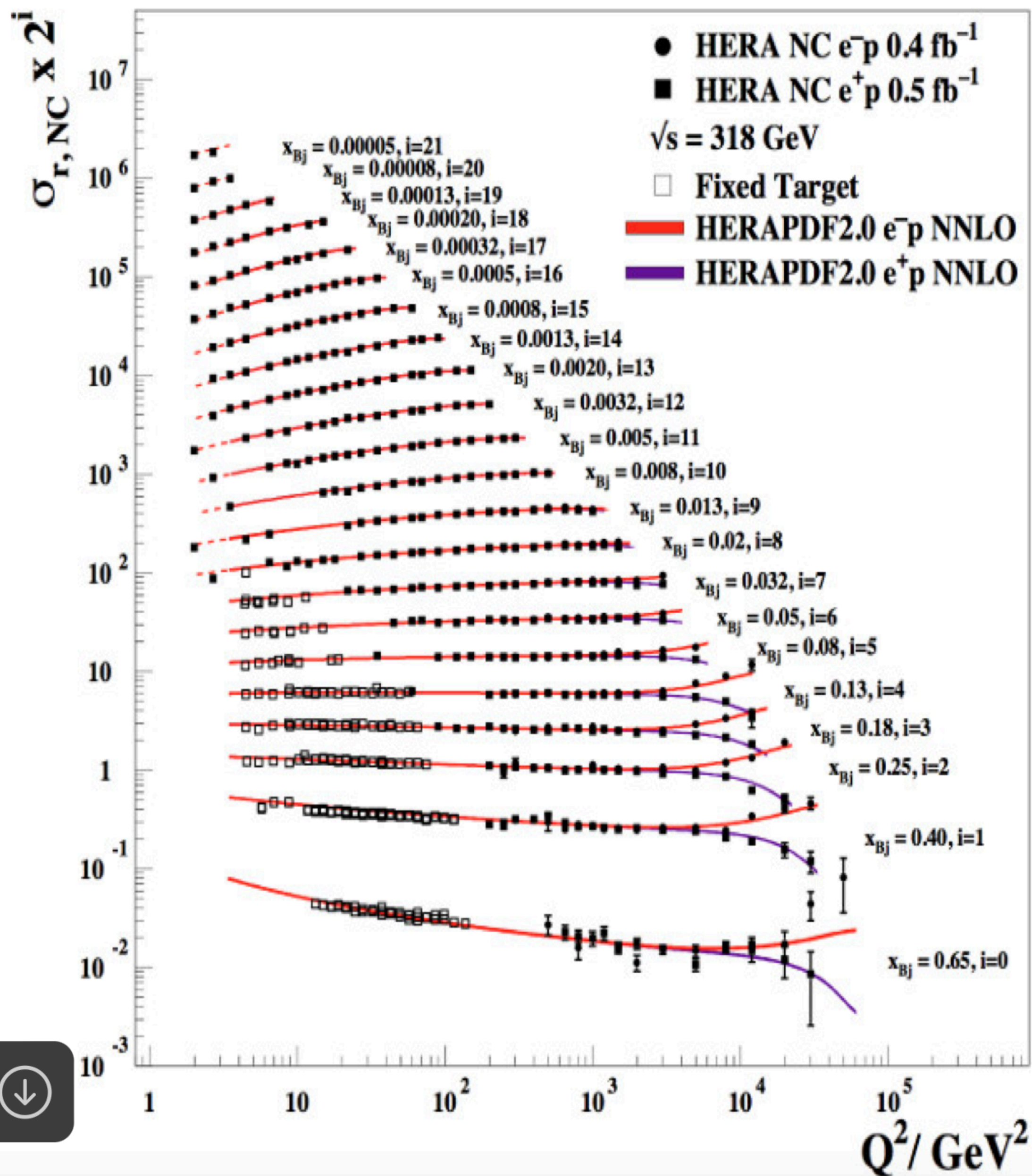
Minimize logs in short-distance cross section  $\ln\left(\frac{Q^2}{\mu^2}\right)$



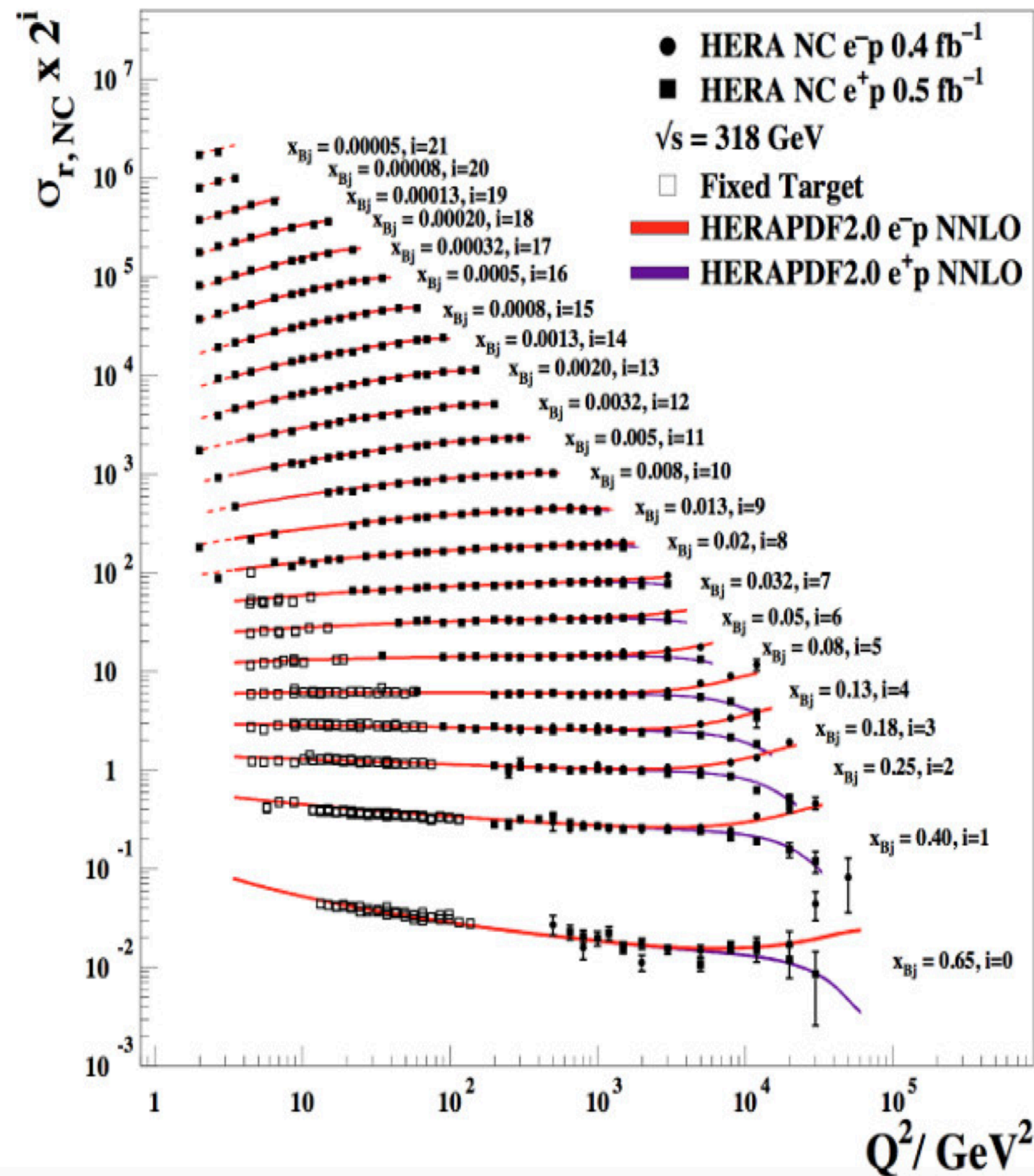
DGLAP tested over a large range of  $Q^2$



# H1 and ZEUS



# H1 and ZEUS





# Summary of Important QCD Concepts

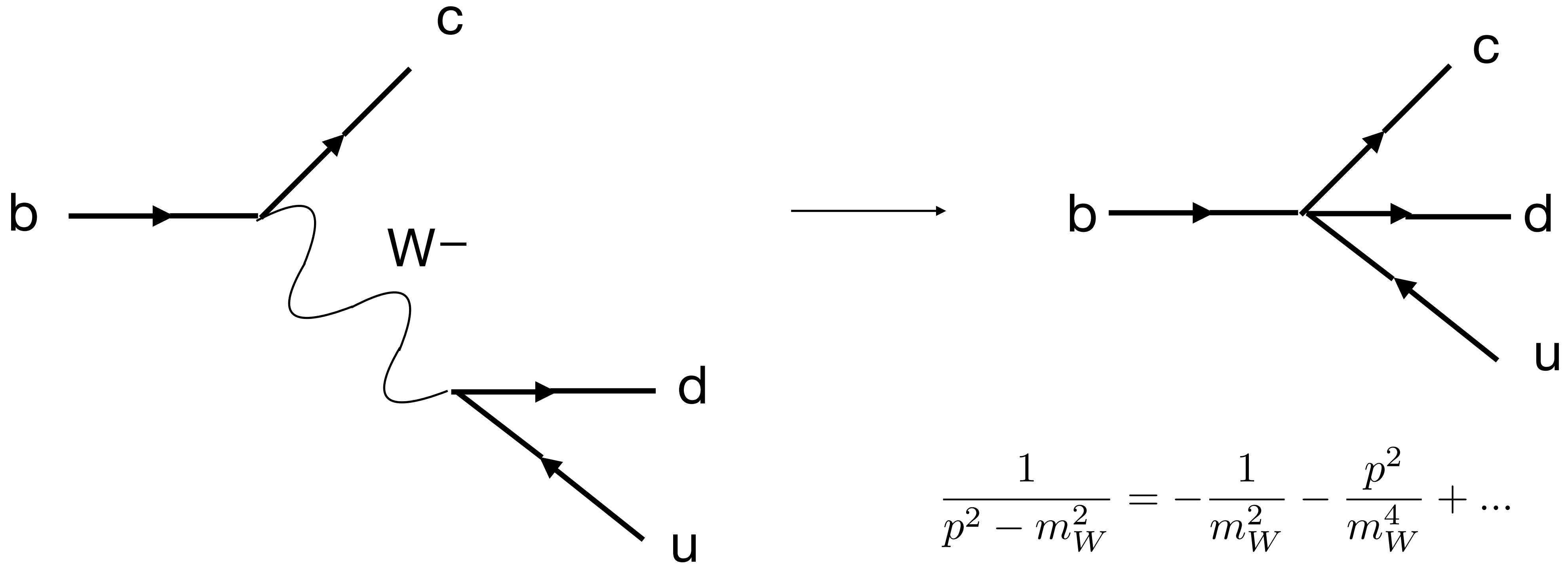
Asymptotic Freedom

Confinement, Chiral Symmetry Breaking

High Energy QCD: Factorization, Evolution

Low Energy: Lattice QCD, Effective Theories

# Effective Theory of Weak Interactions - b decay



$$\frac{1}{p^2 - m_W^2} = -\frac{1}{m_W^2} - \frac{p^2}{m_W^4} + \dots$$

$$H_W = \frac{4G_F}{\sqrt{2}} V_{cb} V_{ud}^* \left\{ C_1 \left[ \frac{M_W}{\mu}, \alpha_s(\mu) \right] O_1(\mu) + C_2 \left[ \frac{M_W}{\mu}, \alpha_s(\mu) \right] O_2(\mu) \right\},$$

$$O_1(\mu) = [\bar{c}^\alpha \gamma_\mu P_L b_\alpha][\bar{d}^\beta \gamma^\mu P_L u_\beta],$$

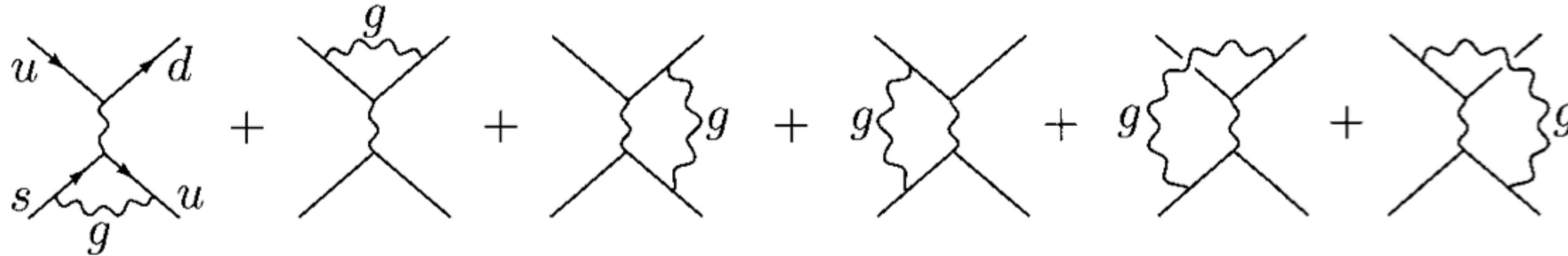
$$O_2(\mu) = [\bar{c}^\beta \gamma_\mu P_L b_\alpha][\bar{d}^\alpha \gamma^\mu P_L u_\beta].$$

$$C_1[1, \alpha_s(M_W)] = 1 + \mathcal{O}[\alpha_s(M_W)],$$

$$C_2[1, \alpha_s(M_W)] = 0 + \mathcal{O}[\alpha_s(M_W)].$$

Tree level - reproduces tree level Standard Model

One loop QCD corrections to effective operators



Evolution equations for Wilson Coefficients

$$\mu \frac{d}{d\mu} C_i = \gamma_{ji} C_j. \quad \gamma(g) = \frac{g^2}{8\pi^2} \begin{pmatrix} -1 & 3 \\ 3 & -1 \end{pmatrix}.$$

$$C_i \left[ \frac{M_W}{\mu}, \alpha_s(\mu) \right] = P \exp \left[ \int_{g(M_W)}^{g(\mu)} \frac{\gamma^T(g)}{\beta(g)} dg \right]_{ij} C_j [1, \alpha_s(M_W)].$$

## Renormalization group improved Hamiltonian

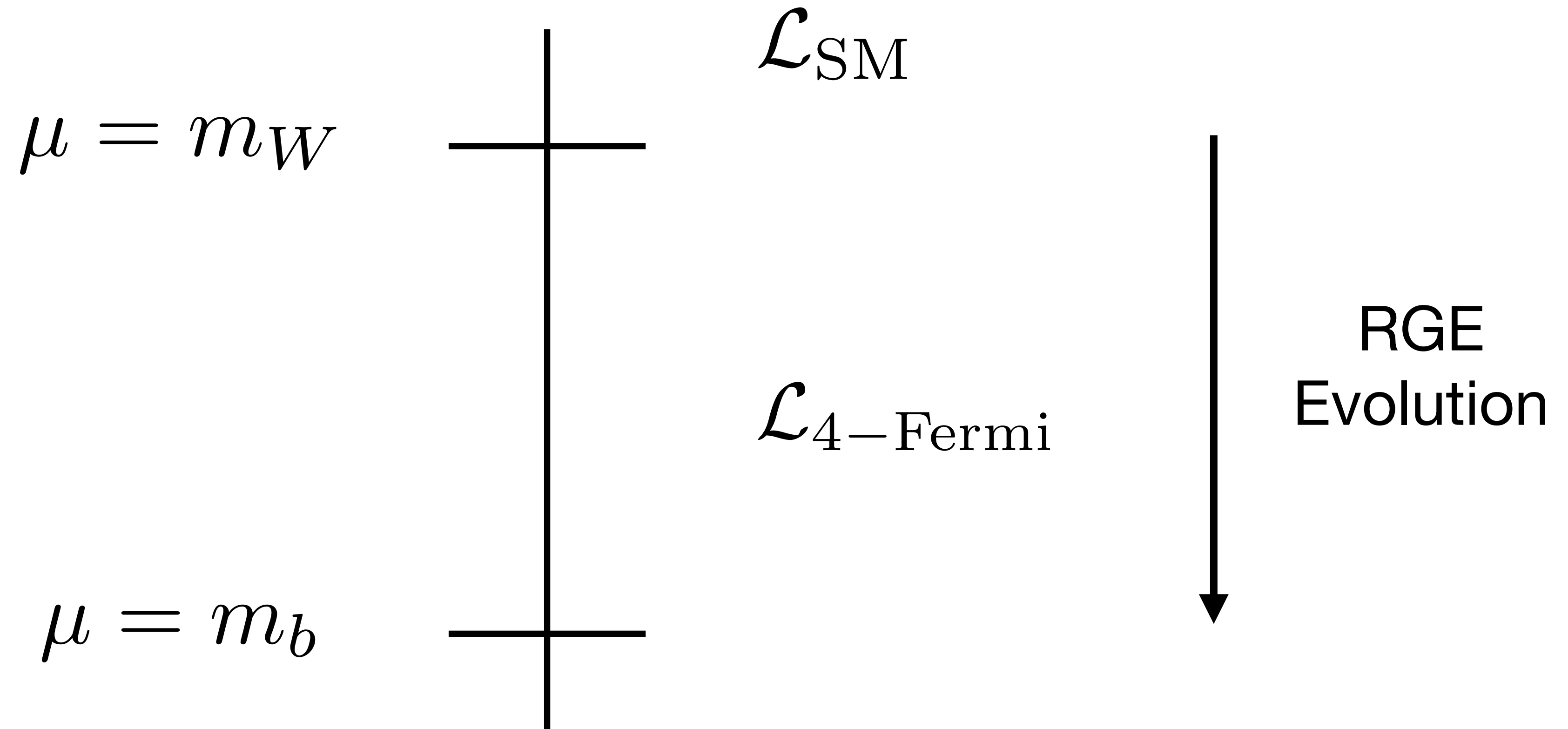
$$H_W = \frac{4G_F}{\sqrt{2}} V_{cb} V_{ud}^* \left\{ C_+ \left[ \frac{M_W}{\mu}, \alpha_s(\mu) \right] O_+(\mu) + C_- \left[ \frac{M_W}{\mu}, \alpha_s(\mu) \right] O_-(\mu) \right\}, \quad O_{\pm} = O_1 \pm O_2.$$

$$C_{\pm} \left[ \frac{M_W}{\mu}, \alpha_s(\mu) \right] = \frac{1}{2} \left[ \frac{\alpha_s(M_W)}{\alpha_s(\mu)} \right]^{a_{\pm}} \quad a_+ = \frac{6}{33 - 2N_q}, \quad a_- = -\frac{12}{33 - 2N_q}.$$

Resum  $\alpha_s^n(m_b) \ln^n \left( \frac{m_W^2}{m_b^2} \right)$  to all orders

$$\alpha_s(m_b) \sim 0.2 \quad \log \left( \frac{m_W^2}{m_b^2} \right) \sim 6$$

# Matching and Running





# Effective Theory Principles for Top-Down construction of EFTs

Degrees of freedom - SM fermions

Power Counting - Dimensional analysis

Symmetries constrain Lagrangian - Lorentz Inv., color

Match effective Lagrangian at the high scale

RGE evolution to low scales

Effective Theories of this type for QCD:

Heavy Quark Effective Theory (HQET)

Non-Relativistic QCD (NRQCD)

Soft-Collinear Effective Theory (SCET)

Useful for: - realizing emergent symmetries of QCD

e.g. heavy quark spin-flavor symmetry  $m_Q \rightarrow \infty$

- deriving new factorization theorems

- resummation of large logarithms

# HQET

$$p^\mu = m_Q v^\mu + k^\mu$$

$$v^\mu \sim (1, \vec{0}) \quad \text{in heavy quark rest frame} \quad k^\mu \sim \Lambda_{\text{QCD}}$$

$$\frac{i}{\not{p} - m_Q} \approx \frac{1 + \not{v}}{2} \frac{i}{v \cdot k}$$

$$\bar{u}(p) i g T^a A_\mu^a \gamma^\mu u(p+k) \approx h_v^\dagger i g T^a A_\mu^a v^\mu h_v \quad h_v = \frac{1 + \not{v}}{2} h_v$$

HQET Lagrangian

$$\mathcal{L}_{\text{eff}} = \bar{h}_v i v \cdot D h_v + \frac{1}{2m_Q} \bar{h}_v (iD_\perp)^2 h_v + \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v + \mathcal{O}(1/m_Q^2).$$

$$i \begin{array}{c} \xrightarrow{v, k} \\ \bullet \text{---} \bullet \end{array} j = \frac{i}{v \cdot k} \frac{1 + \not{v}}{2} \delta_{ji}$$

$$i \begin{array}{c} \xrightarrow{v} \\ \bullet \text{---} \bullet \\ \downarrow \text{wavy} \\ \alpha, a \end{array} j = i g (T_a)_{ji} v^\alpha$$

## Integrating out antiparticles

$$\psi(x) = e^{-im_Q v \cdot x} [h_v(x) + H_v(x)] \quad \frac{1+\psi}{2} h_v = h_v \quad \frac{1-\psi}{2} H_v = H_v$$

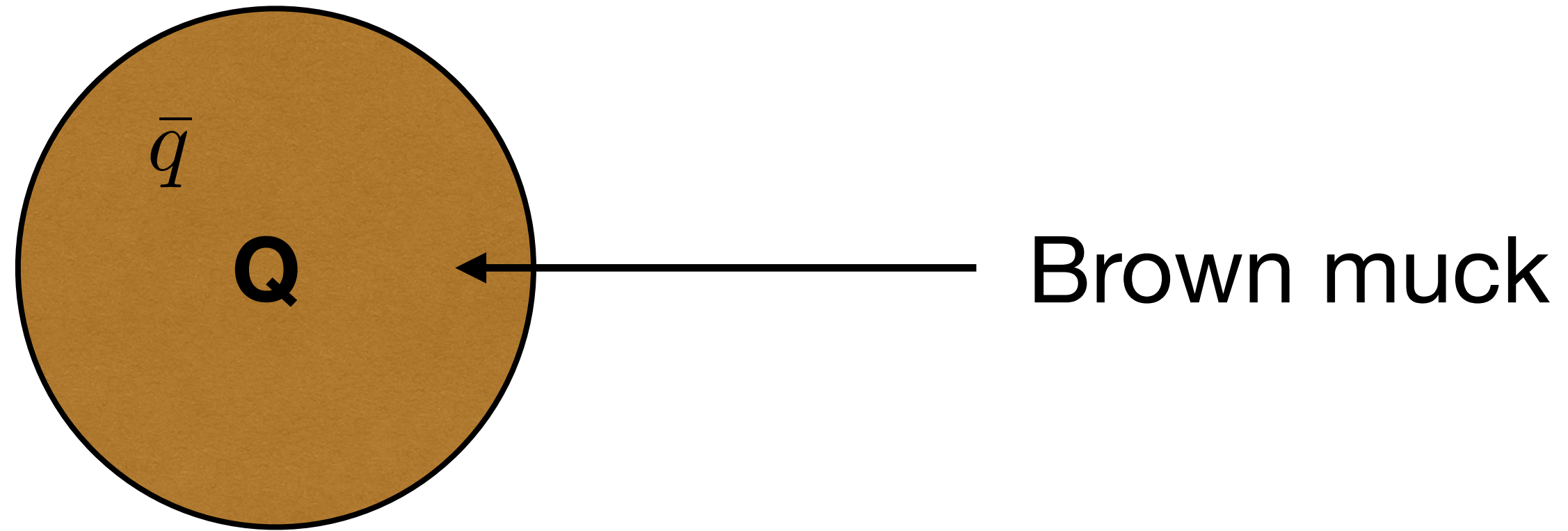
$$\mathcal{L} = \bar{h}_v i v \cdot D h_v + \bar{h}_v i \not{D}_\perp H_v + \bar{H}_v i \not{D}_\perp h_v - \bar{H}_v (i v \cdot D + 2m_Q) H_v$$

$$D_\perp^\mu = D^\mu - v^\mu v \cdot D$$

Integrating out  $H_v$ , eliminate using equation of motion

$$\begin{aligned} \mathcal{L}_{\text{eff}} &= \bar{h}_v i v \cdot D h_v + \bar{h}_v i \not{D}_\perp \frac{1}{2m_Q + 2i v \cdot D} i \not{D}_\perp h_v \\ &= \bar{h}_v i v \cdot D h_v + \frac{1}{2m_Q} \bar{h}_v (i D_\perp)^2 h_v + \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v + \dots \end{aligned}$$

Heavy quark is static source of color l.d.o.f. in  $m_Q \rightarrow \infty$



$$m_M = m_Q + \bar{\Lambda} + \frac{\lambda}{2m_Q} + O\left(\frac{\Lambda_{\text{QCD}}^3}{m_Q^2}\right)$$

$$m_{D^*}^2 - m_D^2 = 0.55 \text{ GeV}^2 \quad m_{B^*}^2 - m_B^2 = 0.48 \text{ GeV}^2$$

## Heavy meson decay form factors

$$\langle D(v') | V^\mu | B(v) \rangle = h_+(w) (v + v')^\mu + h_-(w) (v - v')^\mu,$$

$$\langle D^*(v', \epsilon) | V^\mu | B(v) \rangle = i h_V(w) \epsilon^{\mu\nu\alpha\beta} \epsilon_\nu^* v'_\alpha v_\beta,$$

$$\langle D^*(v', \epsilon) | A^\mu | B(v) \rangle = h_{A_1}(w) (w + 1) \epsilon^{*\mu} - [h_{A_2}(w) v^\mu + h_{A_3}(w) v'^\mu] \epsilon^* \cdot v.$$

Isgur-Wise function  $\xi(w) = \xi(v' \cdot v)$ ,  $\xi(1) = 1$

$$h_+(w) = h_V(w) = h_{A_1}(w) = h_{A_3}(w) = \xi(w),$$

$$h_-(w) = h_{A_2}(w) = 0.$$

$D^*$  and  $D$  are degenerate in heavy quark limit

Heavy hadron chiral perturbation theory (HHChiPt)

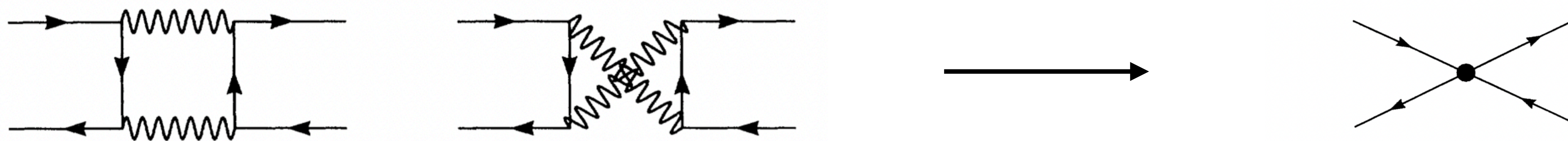
$$H_{v,a} = \frac{1 + \not{v}}{2} (\not{P}_a^* - \gamma_5 P_a) \quad v^\mu \sim (1, \vec{0}) \quad \longrightarrow \quad H_a = \vec{P}_a^* \cdot \vec{\sigma} + P_a$$

$$\mathcal{L} = \text{Tr}[H_a^\dagger (iD_0)_{ba} H_b] - g \text{Tr}[H_a^\dagger H_b \vec{\sigma} \cdot \vec{A}_{ba}] + \frac{\Delta_H}{4} \text{Tr}[H_a^\dagger \sigma^i H_a \sigma^i]$$



## NRQCD

$$\mathcal{L}_{\text{heavy}} = \psi^\dagger \left( iD_t + \frac{\mathbf{D}^2}{2M} \right) \psi + \chi^\dagger \left( iD_t - \frac{\mathbf{D}^2}{2M} \right) \chi.$$



$$(\delta\mathcal{L}_{4\text{-fermion}})_{d=6} = \frac{f_1(^1S_0)}{M^2} \mathcal{O}_1(^1S_0) + \frac{f_1(^3S_1)}{M^2} \mathcal{O}_1(^3S_1) + \frac{f_8(^1S_0)}{M^2} \mathcal{O}_8(^1S_0) + \frac{f_8(^3S_1)}{M^2} \mathcal{O}_8(^3S_1)$$

$$\mathcal{O}_1(^1S_0) = \psi^\dagger \chi \chi^\dagger \psi,$$

$$\mathcal{O}_1(^3S_1) = \psi^\dagger \boldsymbol{\sigma} \chi \cdot \chi^\dagger \boldsymbol{\sigma} \psi,$$

$$\mathcal{O}_8(^1S_0) = \psi^\dagger T^a \chi \chi^\dagger T^a \psi,$$

$$\mathcal{O}_8(^3S_1) = \psi^\dagger \boldsymbol{\sigma} T^a \chi \cdot \chi^\dagger \boldsymbol{\sigma} T^a \psi.$$

Power counting:  $E \sim m_Q v^2$     $p \sim m_Q v$



# Non-Relativistic QCD (NRQCD) Factorization Formalism

---

(Bodwin, Braaten, Lepage)

$$\sigma(gg \rightarrow J/\psi + X) = \sum_n \sigma(gg \rightarrow c\bar{c}(n) + X) \langle \mathcal{O}^{J/\psi}(n) \rangle$$

$n = {}^{2S+1}L_J^{(1,8)}$

double expansion in  $\alpha_s, v$

## NRQCD long-distance matrix element (LDME)

---

$$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[1]}) \rangle \sim v^3$$

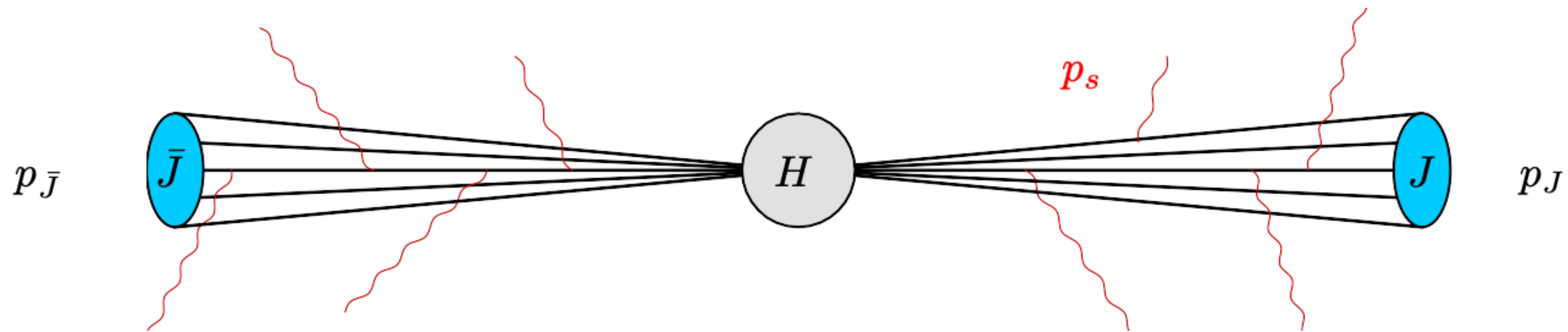
CSM - lowest order in  $v$

$$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \rangle, \langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]}) \rangle, \langle \mathcal{O}^{J/\psi}({}^3P_J^{[8]}) \rangle \sim v^7$$

color-octet mechanisms

# Soft-Collinear Effective Theory

Two jet events in  $e^+ e^-$  collisions



Final state particles: energetic partons collinear to jets, soft radiation

Many scales:  $Q, E_J, m_J$ , jet substructure

# Soft Collinear Effective Theory (SCET)

Multipole expand QCD fields onto fields w/ definite momentum scaling

$$\phi^{\text{QCD}} = \phi_n + \phi_{\bar{n}} + \phi_{us} + \dots$$

Match QCD currents onto operators composed of these field

Decouple fields at level of SCET Lagrangian using BPS field redefinition

**Factorization:** cross section written in terms of matrix elements of different fields

**Resummation:** SCET RGE for each term in factorization theorem,  
logs minimized at one scale

**Glauber Modes:** forward scattering of opposite collinear modes,  
**factorization violation**, often neglected in SCET analyses

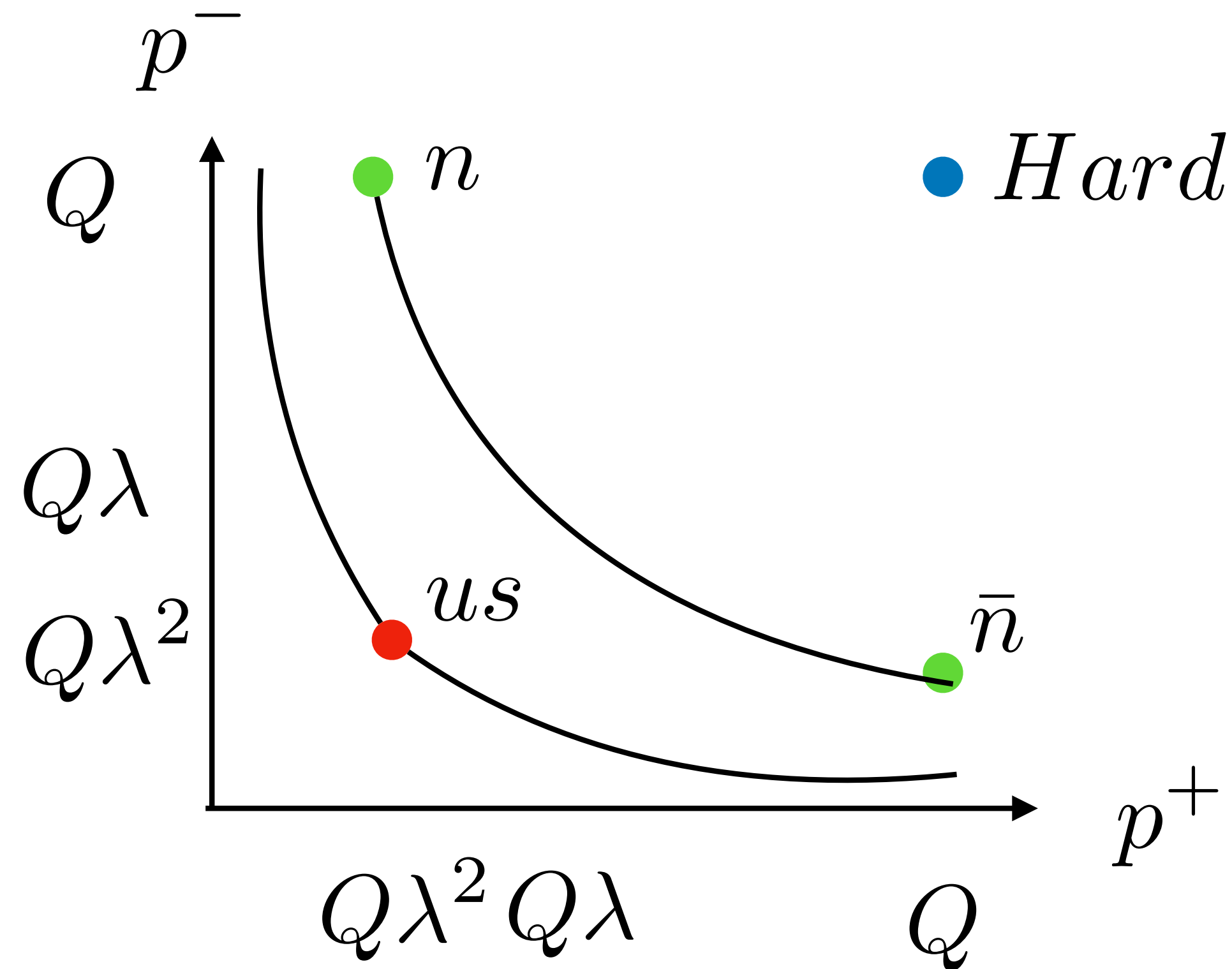
# Soft Collinear Effective Theory (SCET<sub>I</sub>)

$$(p^+, p^-, p_\perp)$$

$$n \sim Q(1, \lambda^2, \lambda)$$

$$\bar{n} \sim Q(\lambda^2, 1, \lambda)$$

$$us \sim Q(\lambda^2, \lambda^2, \lambda^2)$$



$$d\sigma[e^+e^- \rightarrow 2 \text{ jets}] = H \times J_n \otimes J_{\bar{n}} \otimes S$$

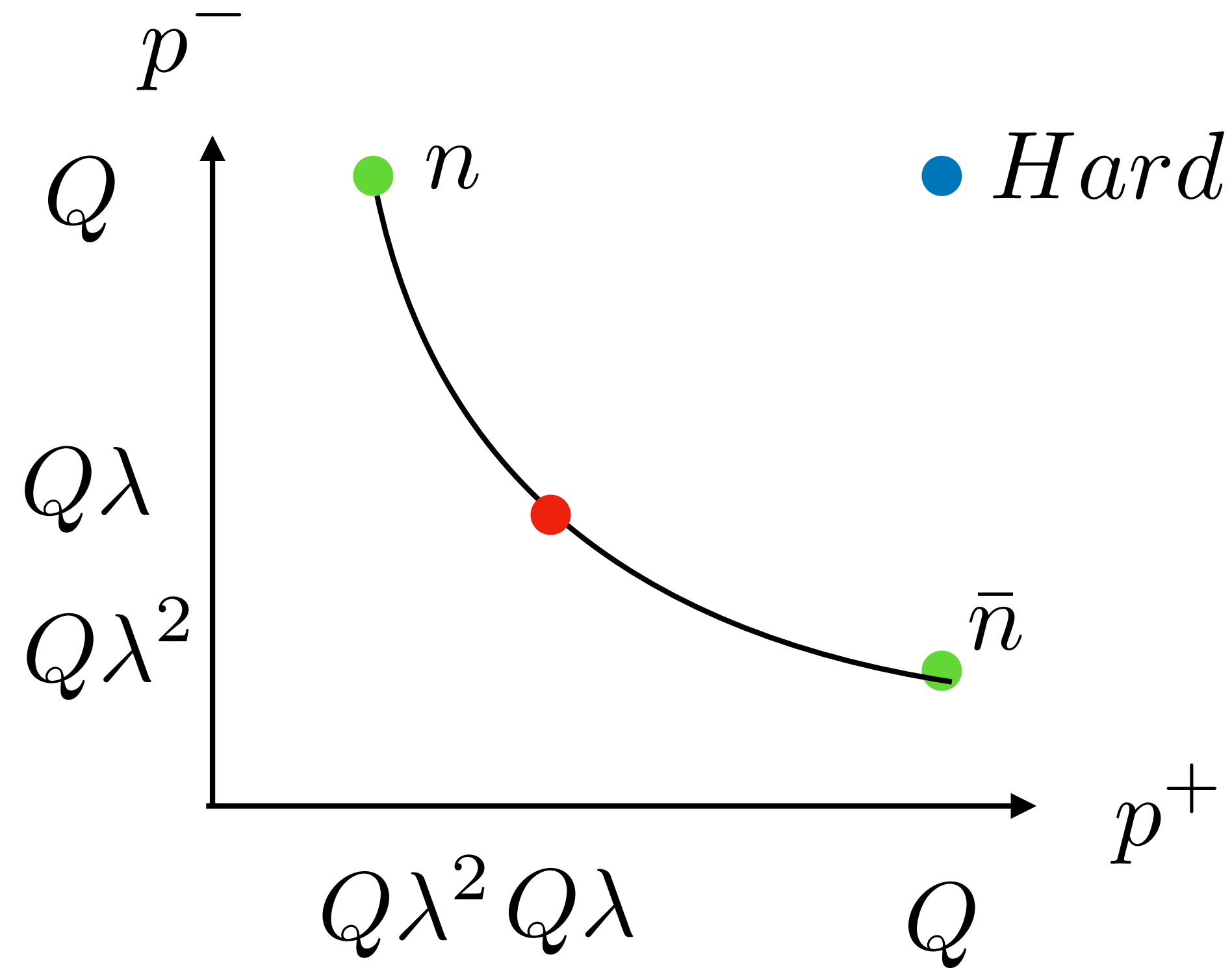
# Soft Collinear Effective Theory (SCET<sub>II</sub>)

$$(p^+, p^-, p_\perp)$$

$$n \sim Q(1, \lambda^2, \lambda)$$

$$\bar{n} \sim Q(\lambda^2, 1, \lambda)$$

$$s \sim Q(\lambda, \lambda, \lambda)$$



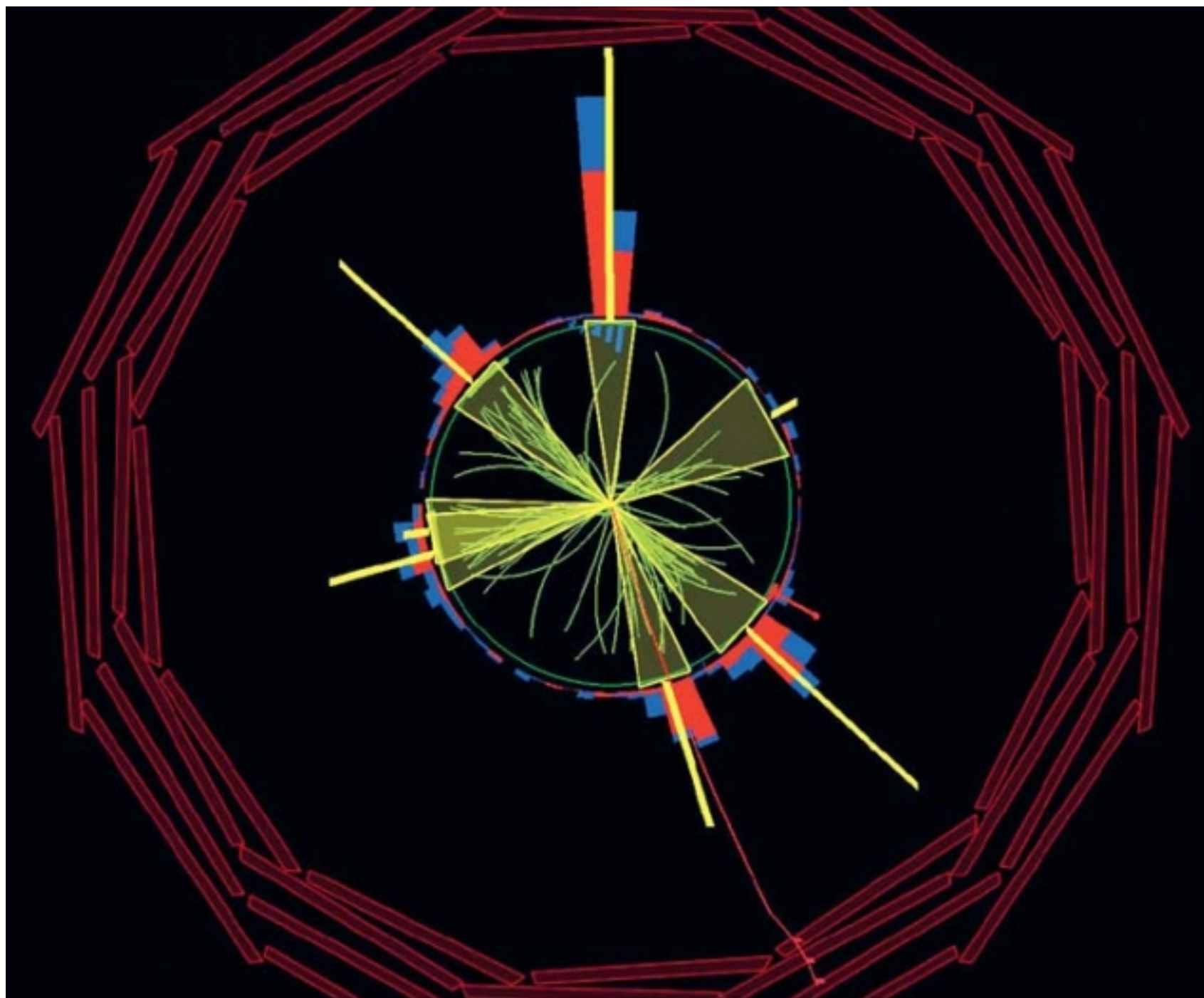
$$\frac{d\sigma[pp \rightarrow H + X]}{dp_T^2} = H \times \mathcal{B}_{g/p}^n(p_T) \otimes_T \mathcal{B}_{g/p}^{\bar{n}}(p_T) \otimes_T S(p_T)$$

$$\mathcal{B}_{g/p}^n = \sum_i \mathcal{J}_{gi}(z, p_T) \otimes f_{i/p}(z)$$

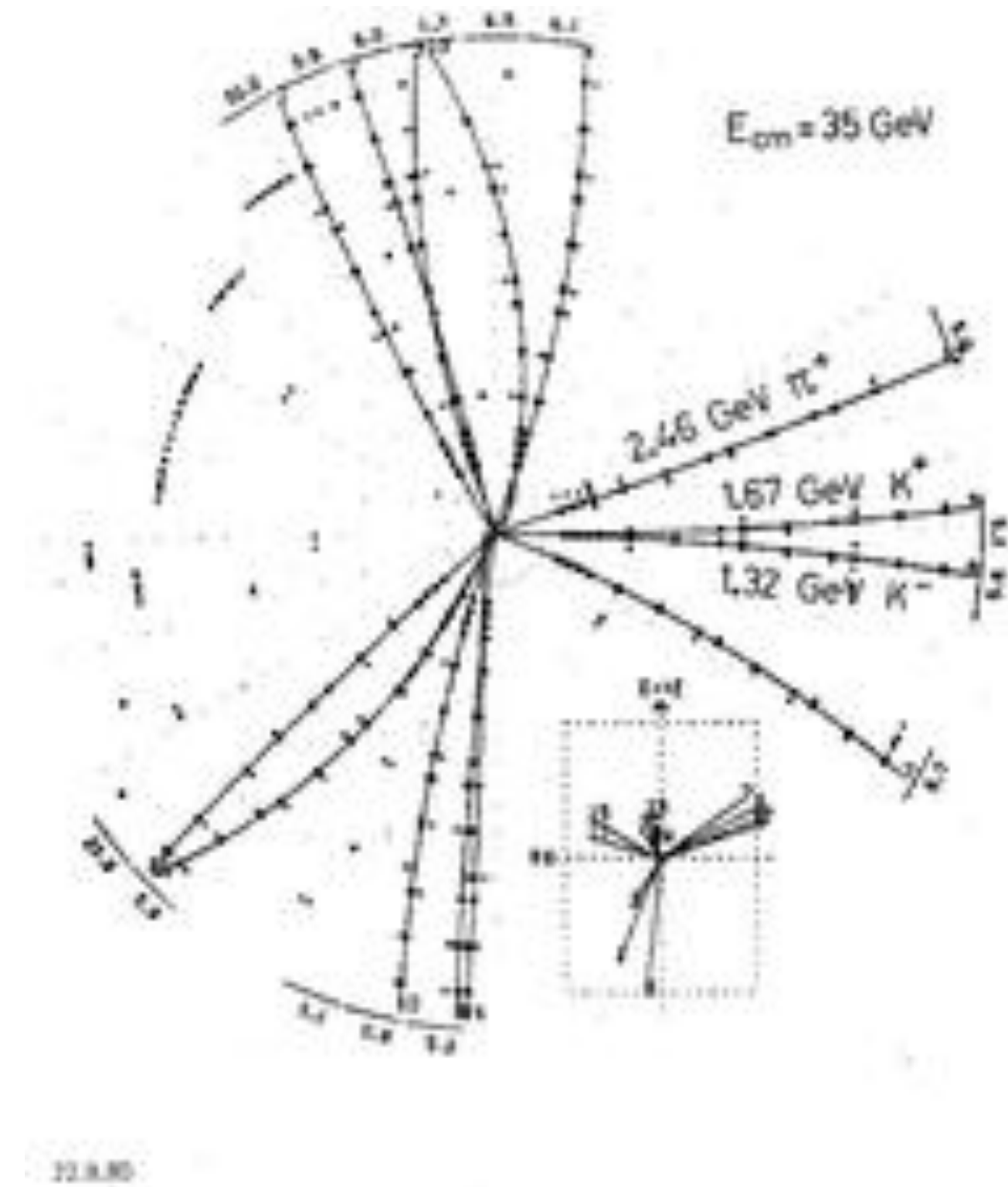


# What is a Jet?

Collimated shower of energetic final state particles



Jets at CMS



3 jet event at DESY  
evidence for gluon

# Jet Algorithms

---

## sequential clustering algorithms

boost invariant distance measures (hadron collider)

$$d_{ij} = \min(p_{Ti}^{2p}, p_{Tj}^{2p}) \frac{\Delta R_{ij}^2}{R^2}, \quad \Delta R_{ij}^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

$d_{iB} = p_{Ti}^{2p}$

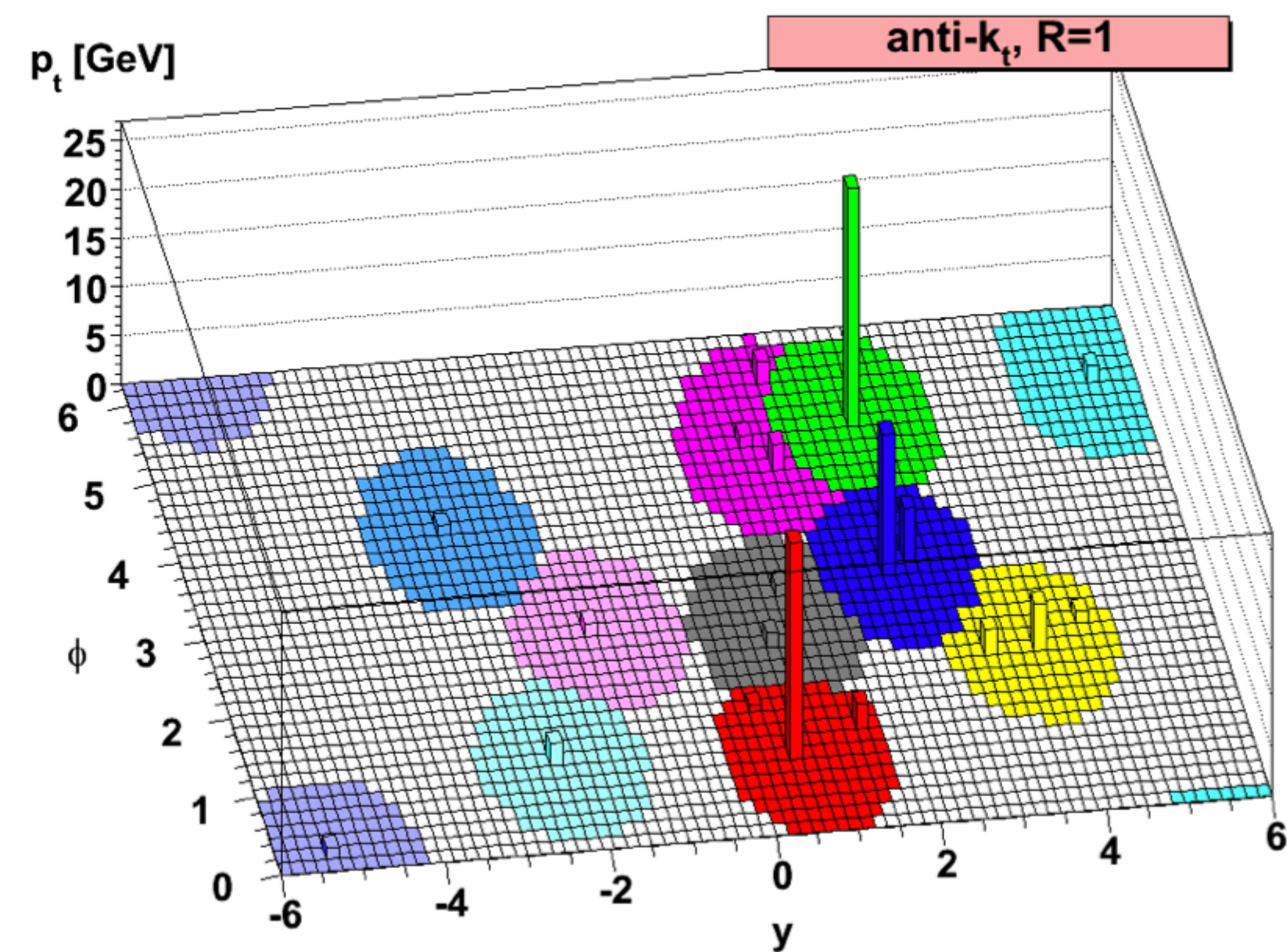
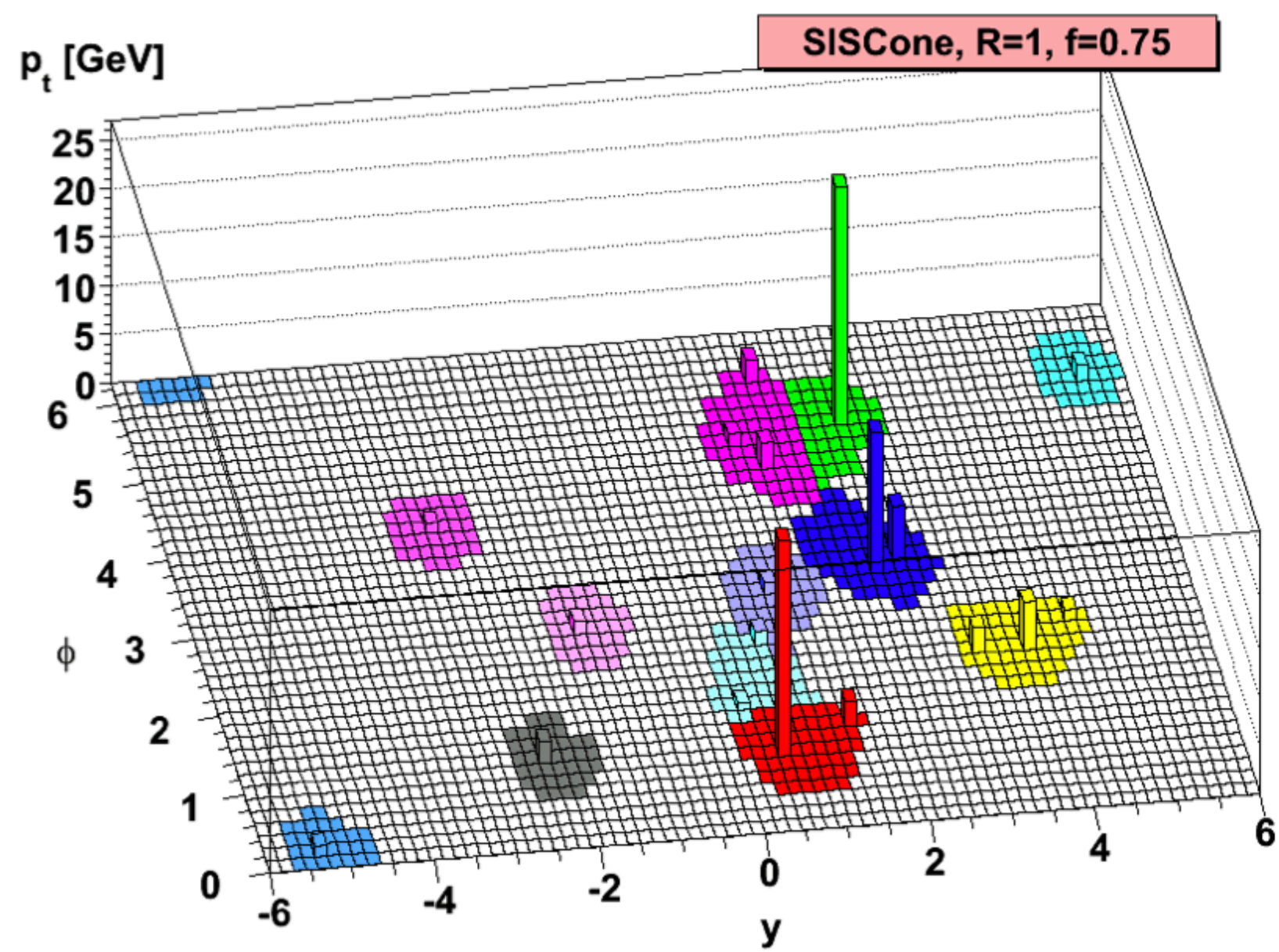
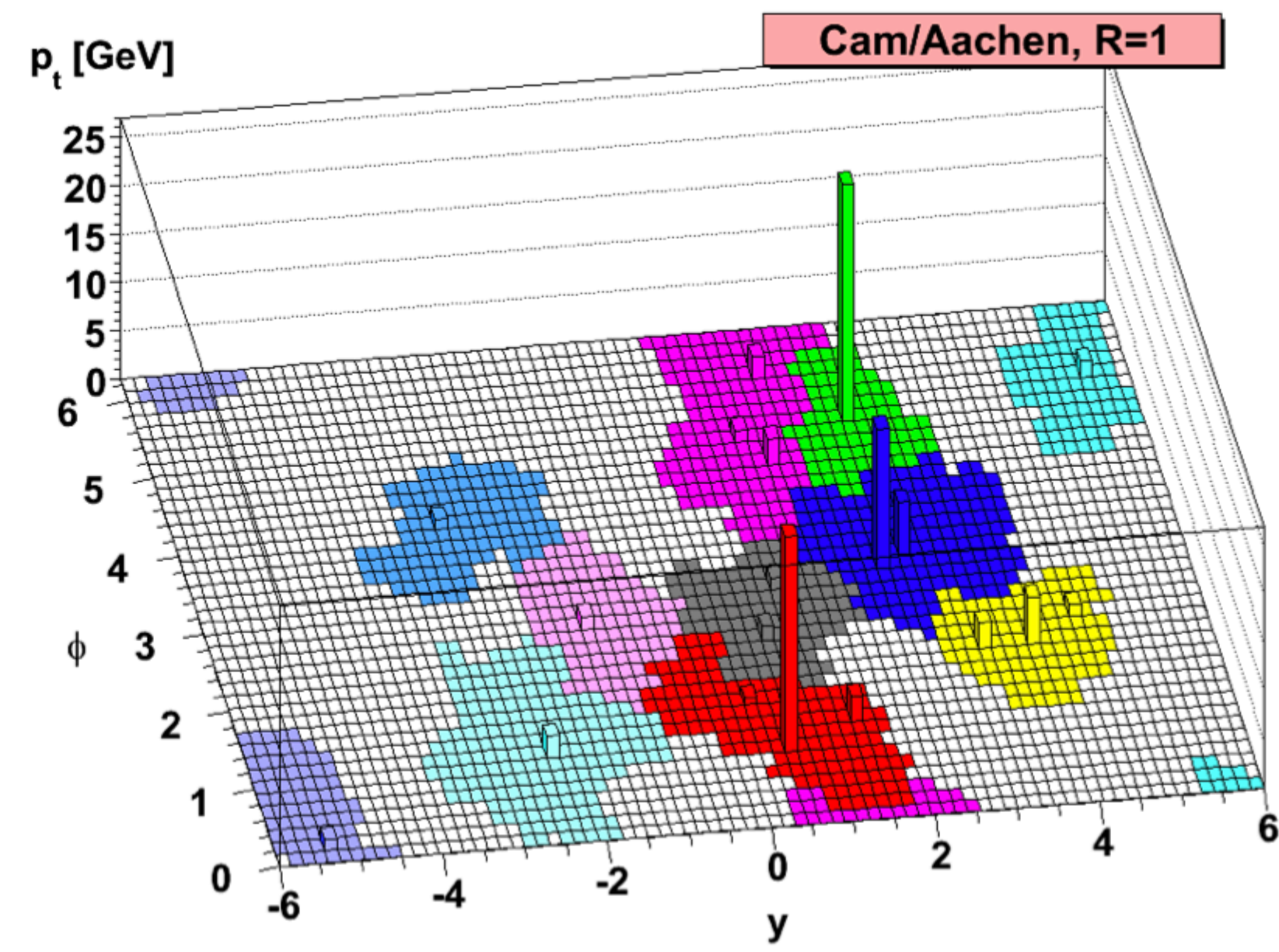
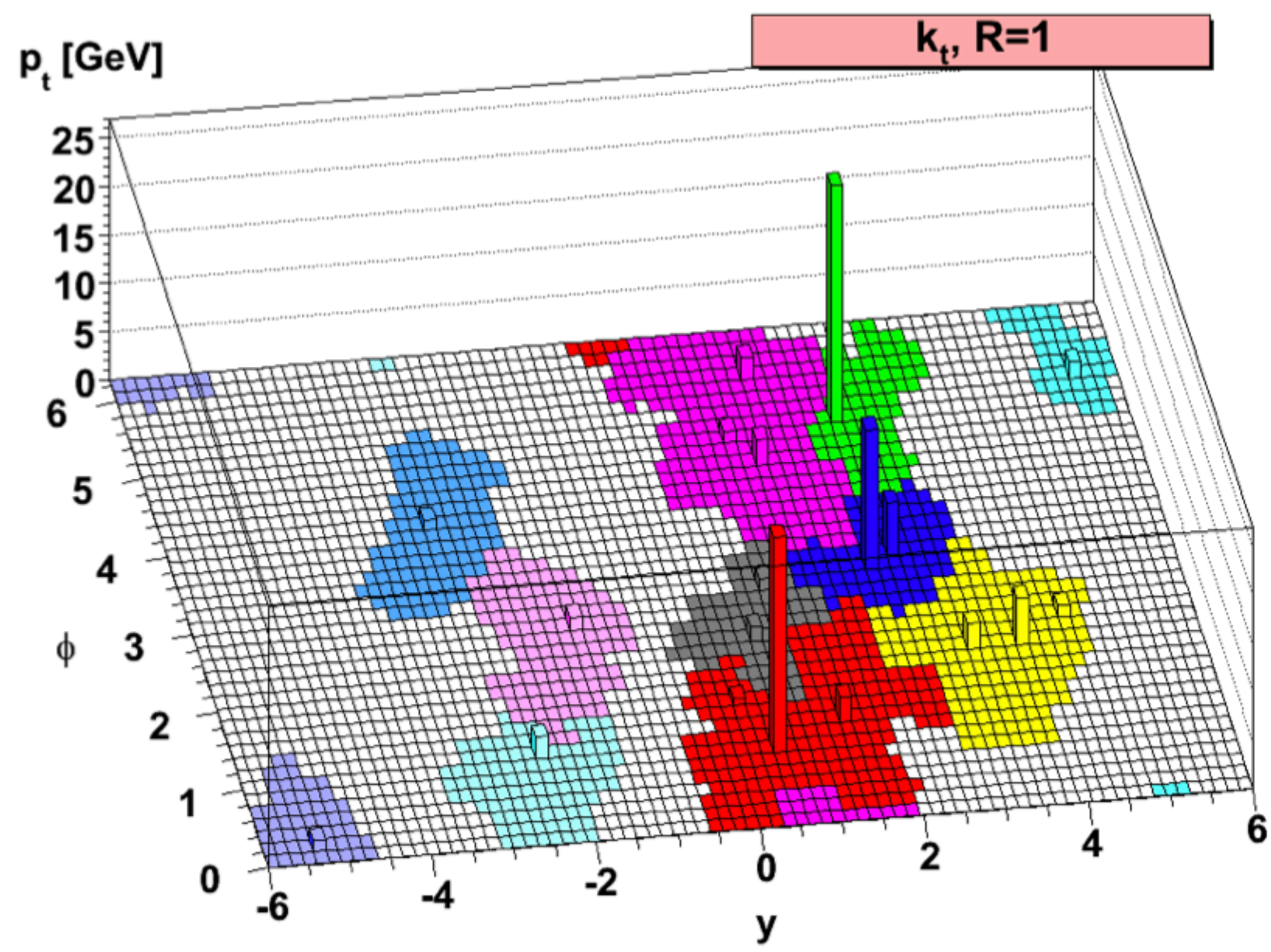
$p = 1$ :  $k_T$  algorithm  
 $p = 0$ : Cambridge-Aachen  
 $p = -1$ : anti- $k_T$

- 1) calculate  $d_{ij}$ ,  $d_{iB}$  for all  $i, j$
- 2) if  $d_{ij}$  smallest combine  $i$  and  $j$ , if  $d_{iB}$  smallest object is a jet and removed
- 3) repeat until every particle has been assigned to a jet

## cone algorithms

more commonly used at  $e^+ e^-$  colliders







All jets characterized by:  $\omega_J$  - light cone momentum       $R$  - jet radius

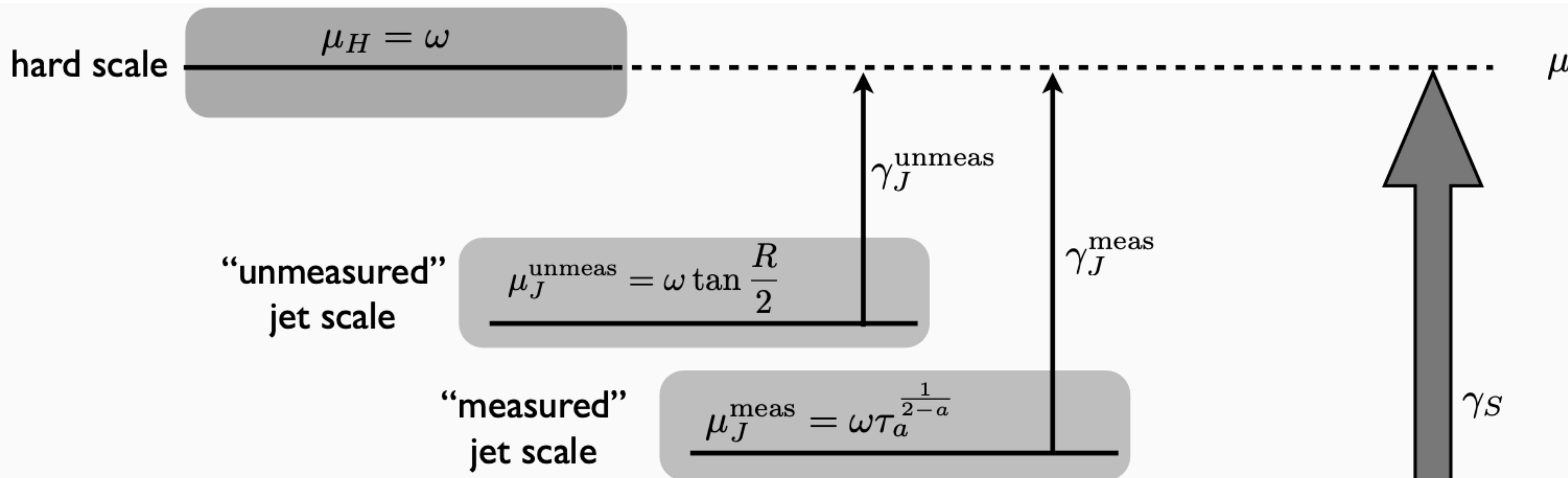
or  $P_T$  - transverse momentum

can also study jet substructure - functions of jet constituents

angularities

$$\tau_a = \frac{1}{\omega} \sum_i (p_i^+)^{1-a/2} (p_i^-)^{a/2} \qquad \omega = \sum_i p_i^-$$

identified hadrons within jet

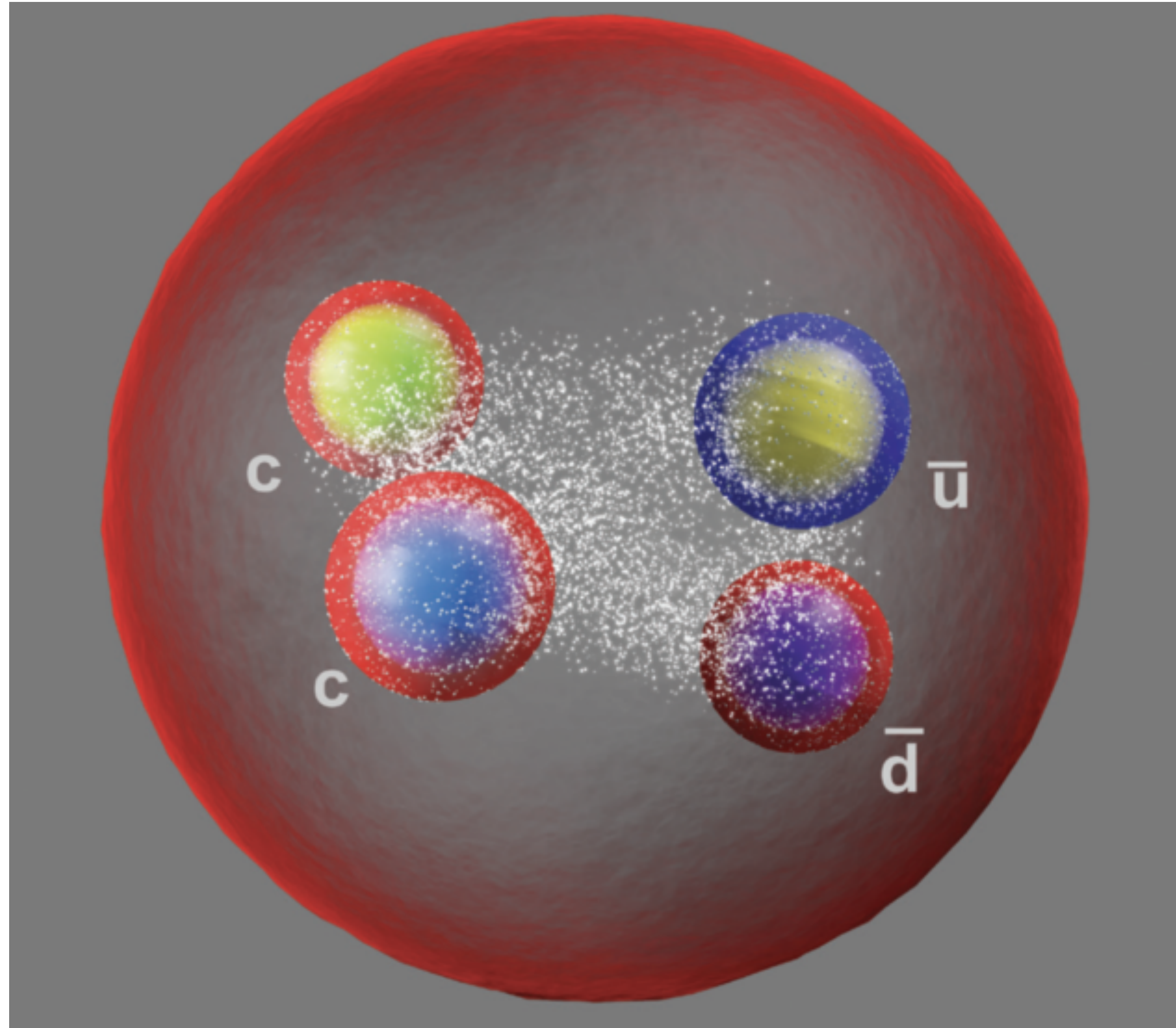


EFT counting	matching/ matrix element	$\Gamma_{\text{cusp}}$	$\gamma_{H,J,S}$	$\beta[\alpha_s]$
LL	tree	1-loop	tree	1-loop
NLL	tree	2-loop	1-loop	2-loop
NNLL	1-loop	3-loop	2-loop	3-loop

Applications:  $T_{cc}^+$ ,  $X(3872)$  as hadronic molecules

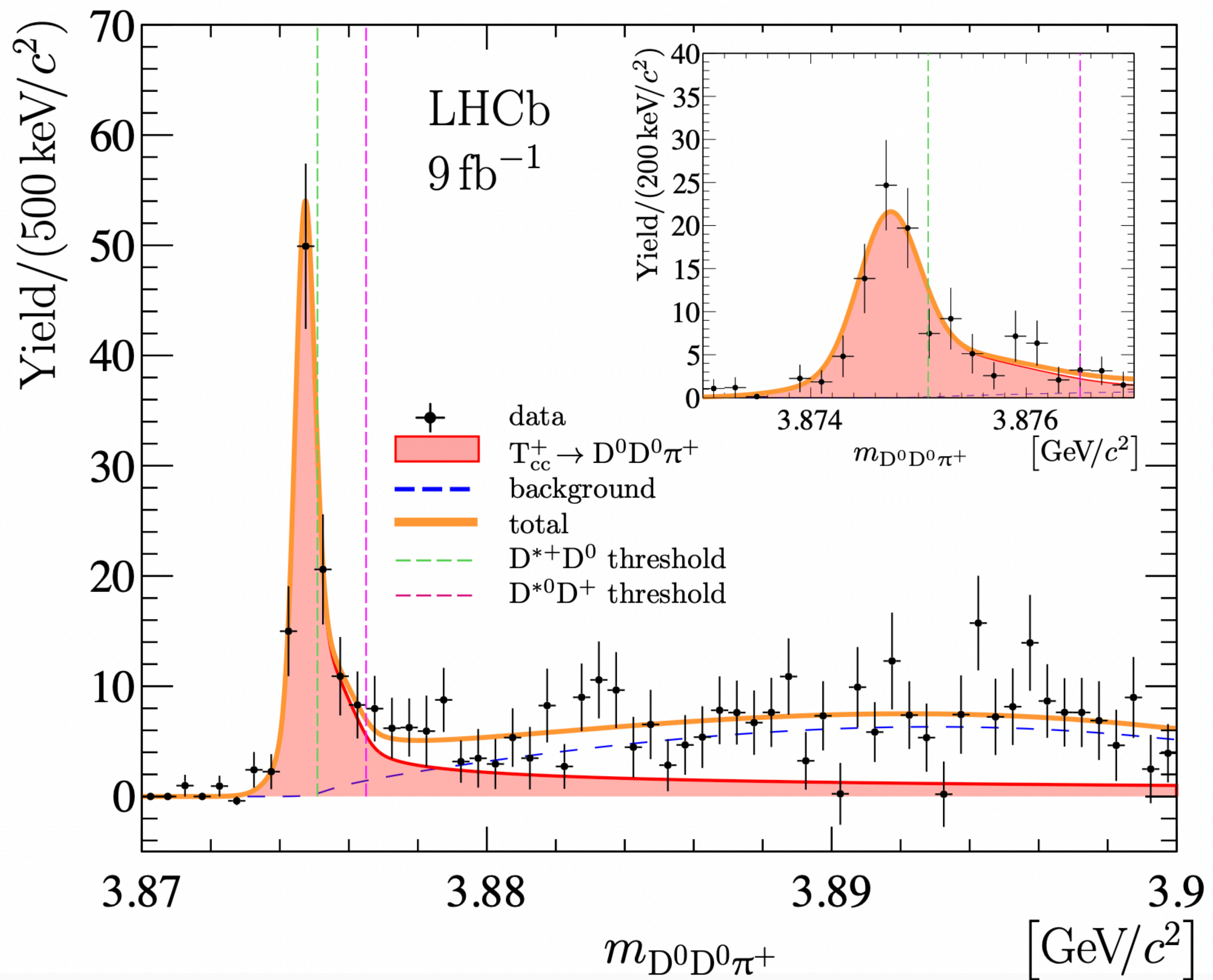


# Discovered 7/2021: $T_{cc}^+$ doubly charm tetraquark



R. Aaij *et al.* (LHCb), (2021), [arXiv:2109.01038 \[hep-ex\]](https://arxiv.org/abs/2109.01038)  
R. Aaij *et al.* (LHCb), (2021), [arXiv:2109.01056 \[hep-ex\]](https://arxiv.org/abs/2109.01056)

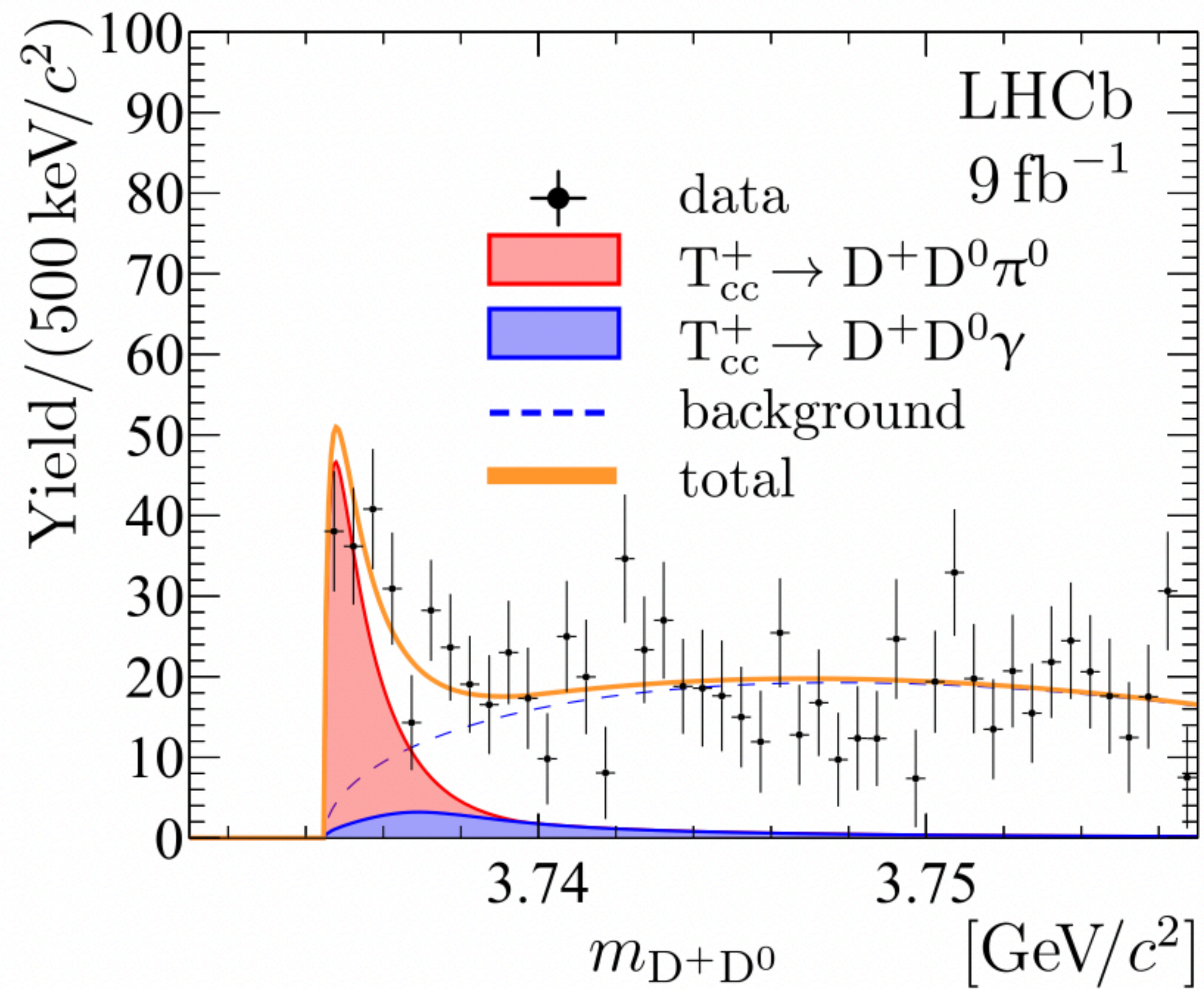
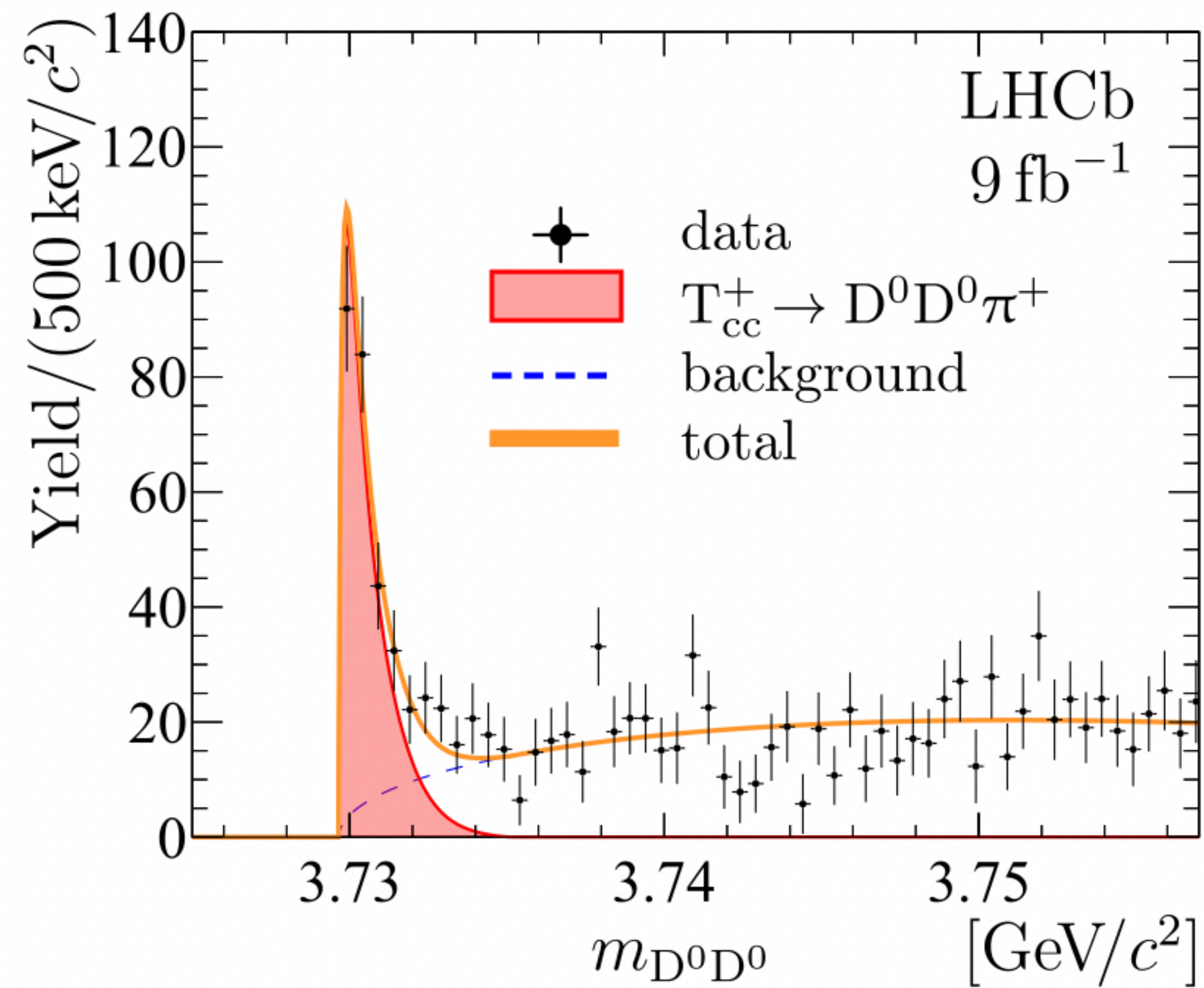




$$\delta m_{\text{pole}} = -360 \pm 40_{-0}^{+4} \text{ keV}/c^2,$$

$$\Gamma_{\text{pole}} = 48 \pm 2_{-14}^{+0} \text{ keV},$$







HHChiPt plus contact terms for S-wave  $D^*D$  scattering

$$\begin{aligned}
 \mathcal{L} = & H^{*i\dagger} \left( i\partial^0 + \frac{\nabla^2}{2m_{H^*}} - \delta^* \right) H^{*i} + H^\dagger \left( i\partial^0 + \frac{\nabla^2}{2m_H} - \delta \right) H \\
 & + \frac{g}{f_\pi} H^\dagger \partial^i \pi H^{*i} + \text{h.c.} + \frac{1}{2} H^\dagger \mu_D \vec{B}^i H^{*i} + \text{h.c.} \\
 & - C_0 (H^{*T} \tau_2 H)^\dagger (H^{*T} \tau_2 H) - C_1 (H^{*T} \tau_2 \tau_a H)^\dagger (H^{*T} \tau_2 \tau_a H).
 \end{aligned}$$

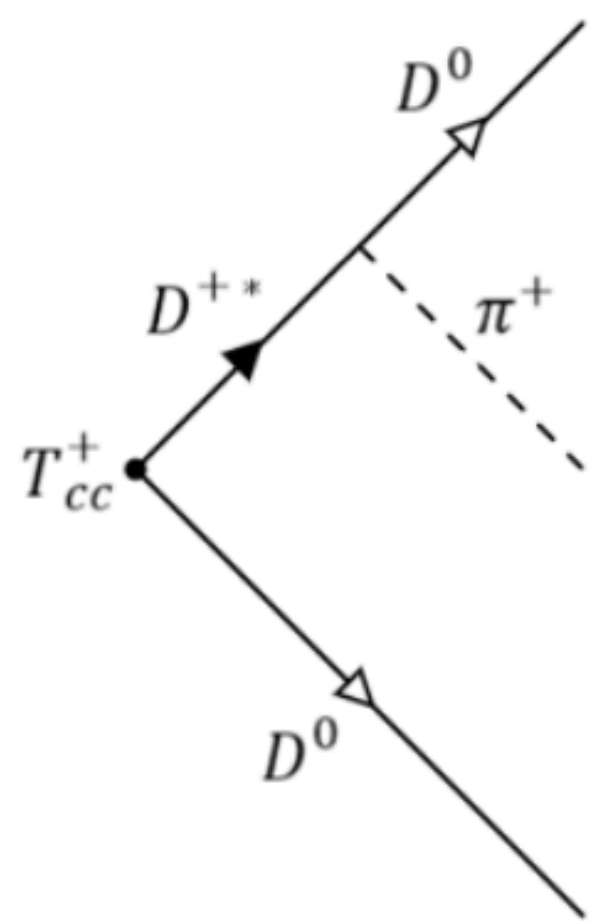
$$H = \begin{pmatrix} D^0 \\ D^+ \end{pmatrix}, \quad H^{*i} = \begin{pmatrix} D^{*0i} \\ D^{*+i} \end{pmatrix}$$

## T-Matrix for D\*D scattering

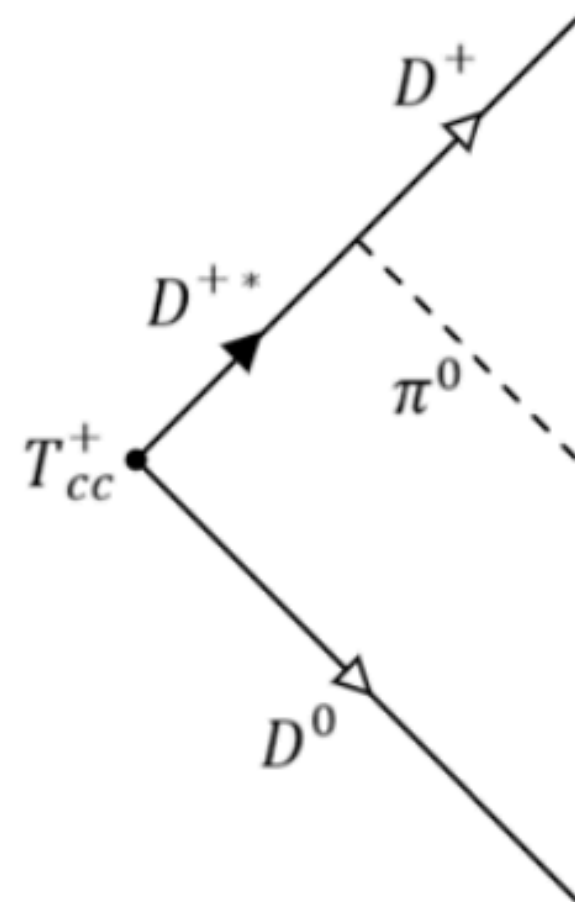
$$T = \frac{1}{E + E_T} \begin{pmatrix} g_0^2 & g_0 g_1 \\ g_0 g_1 & g_1^2 \end{pmatrix} \quad g_0^2 \Sigma'_0(-E_T) + g_+^2 \Sigma'_+(-E_T) = 1;$$

Tune interactions to produce pole at  $T_{cc}^+$

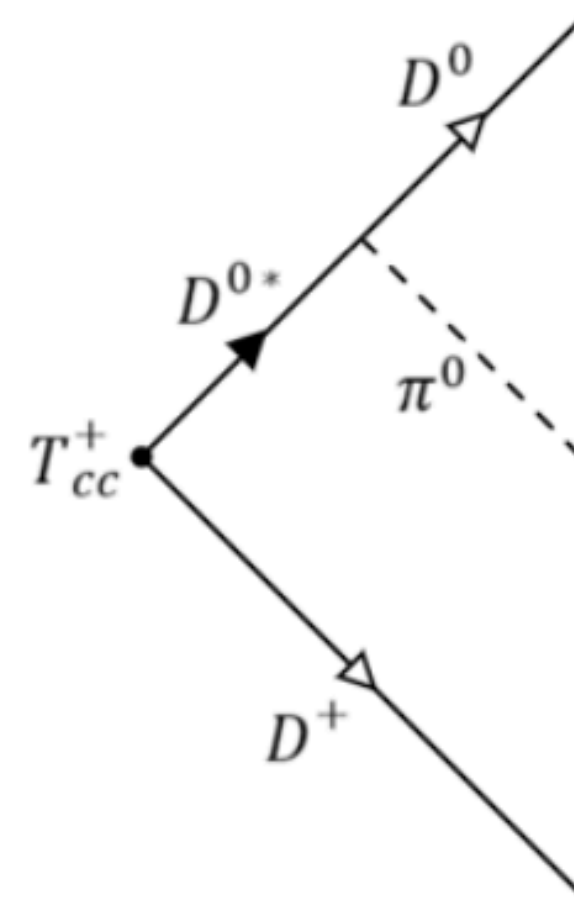
# Decay Diagrams



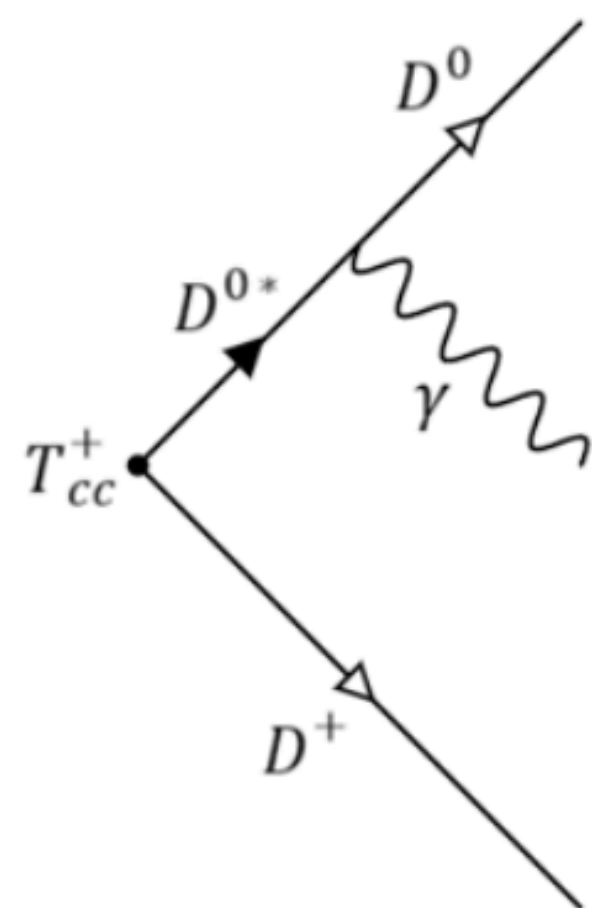
(a)



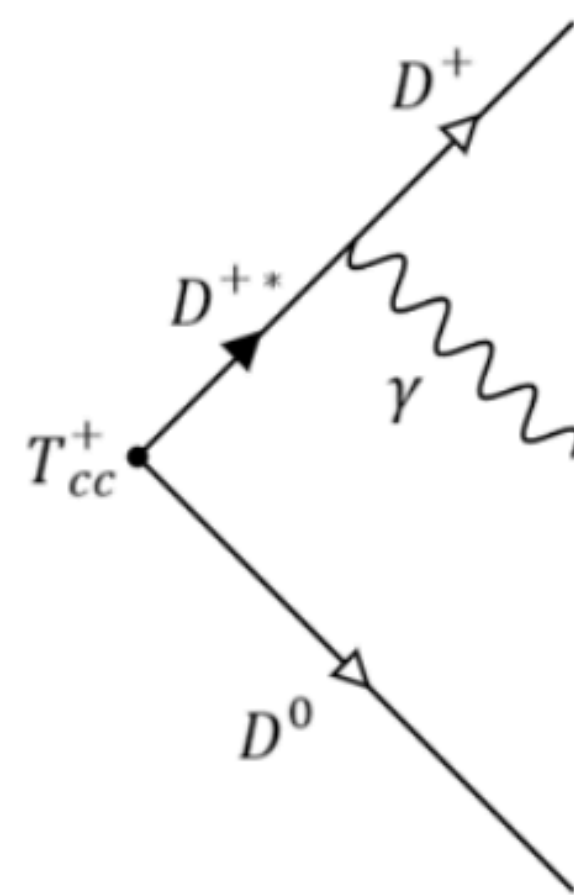
(b)



(c)



(d)



(e)



## Decay rate formulae

$$\frac{d\Gamma[T_{cc}^+ \rightarrow D^0 D^0 \pi^+]}{dp_{D_1^0}^2 dp_{D_2^0}^2} = c_\theta^2 \frac{g^2}{(4\pi f_\pi)^2} \frac{2\gamma_0 p_\pi^2}{3} \left[ \frac{1}{p_{D_1^0}^2 + \gamma_0^2} + \frac{1}{p_{D_2^0}^2 + \gamma_0^2} \right]^2 ,$$

$$\frac{d\Gamma[T_{cc}^+ \rightarrow D^+ D^0 \pi^0]}{dp_{D^+}^2 dp_{D^0}^2} = \frac{g^2}{(4\pi f_\pi)^2} \frac{2p_\pi^2}{3} \left[ \frac{\sqrt{\gamma_0} c_\theta}{p_{D^+}^2 + \gamma_0^2} - \frac{\sqrt{\gamma_+} s_\theta}{p_{D^0}^2 + \gamma_+^2} \right]^2 ,$$

$$\frac{d\Gamma[T_{cc}^+ \rightarrow D^+ D^0 \gamma]}{dp_{D^+}^2 dp_{D^0}^2} = \frac{E_\gamma^2}{6\pi^2} \left[ \frac{\sqrt{\gamma_0} c_\theta \mu_{D^0}}{p_{D^+}^2 + \gamma_0^2} - \frac{\sqrt{\gamma_+} s_\theta \mu_{D^+}}{p_{D^0}^2 + \gamma_+^2} \right]^2 .$$

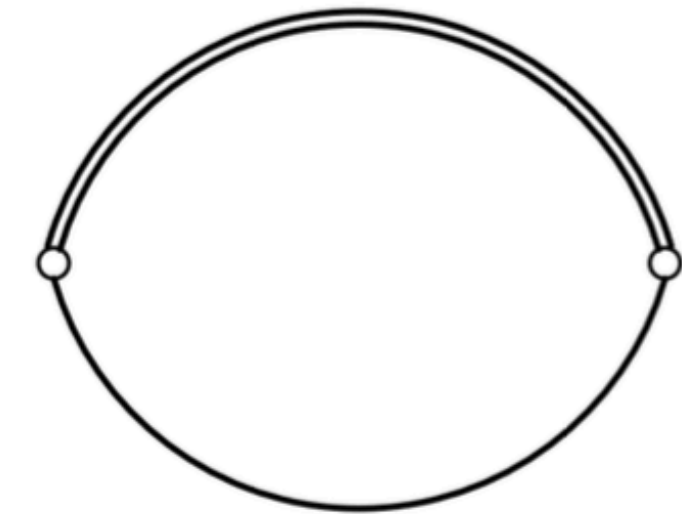
$$\gamma_0^2 = 2\mu_0(m_{D^0} + m_{D^{*+}} - m_T)$$

$$\gamma_+^2 = 2\mu_0(m_{D^+} + m_{D^{*0}} - m_T) .$$

$$g_0^2 = \frac{\cos^2 \theta}{\Sigma'_0(-E_T)}$$

$$g_+^2 = \frac{\sin^2 \theta}{\Sigma'_+(-E_T)} ;$$

$$i\Sigma_i(E) =$$



## Predictions for Decay Rate

	I=0	I=1	$\Gamma_{\max}$
$\theta$	$-32.4^\circ$	$32.4^\circ$	$-8.34^\circ$
$\Gamma[T_{cc}^+ \rightarrow D^0 D^0 \pi^+]$	32	32	44
$\Gamma[T_{cc}^+ \rightarrow D^+ D^0 \pi^0]$	15	3.8	13
$\Gamma[T_{cc}^+ \rightarrow D^+ D^0 \gamma]$	6.1	2.8	1.9
$\Gamma[T_{cc}^+]$	52	38	58

$$I = 0 : g_0 = -g_1$$

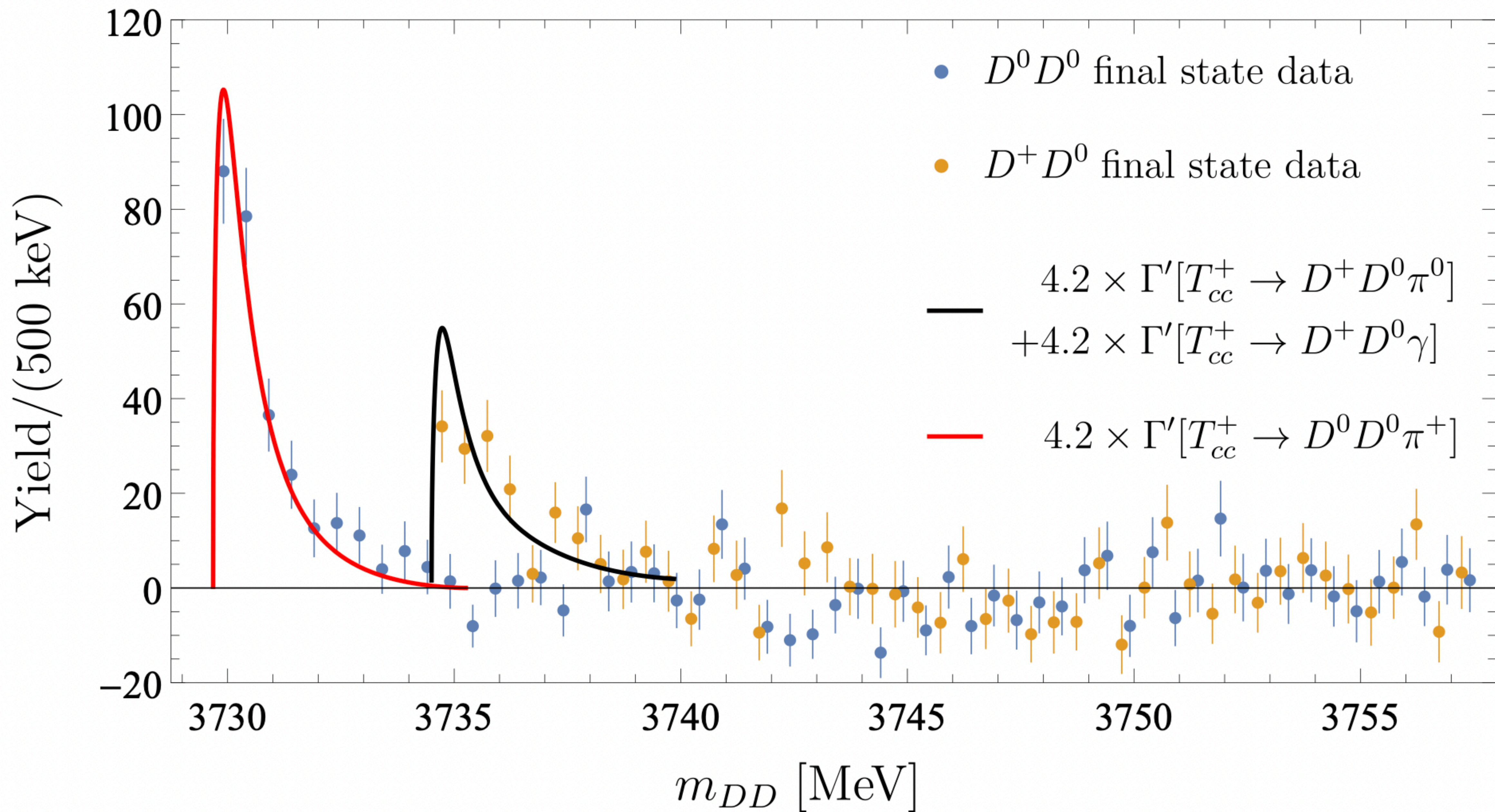
$$I = 1 : g_0 = g_1$$

## Other Predictions

	$\Gamma(T_{cc}^+) \text{ (keV)}$
<b>Fleming et al.</b>	<b>52</b>
Meng et al.	$46.7^{+2.7}_{-2.9}$
Ling et al.	53
Feijoo et al.	43
Yan & Valderrama	$49 \pm 16$
Albaladejo	77



# $d\Gamma/dm_{DD}$ vs. data





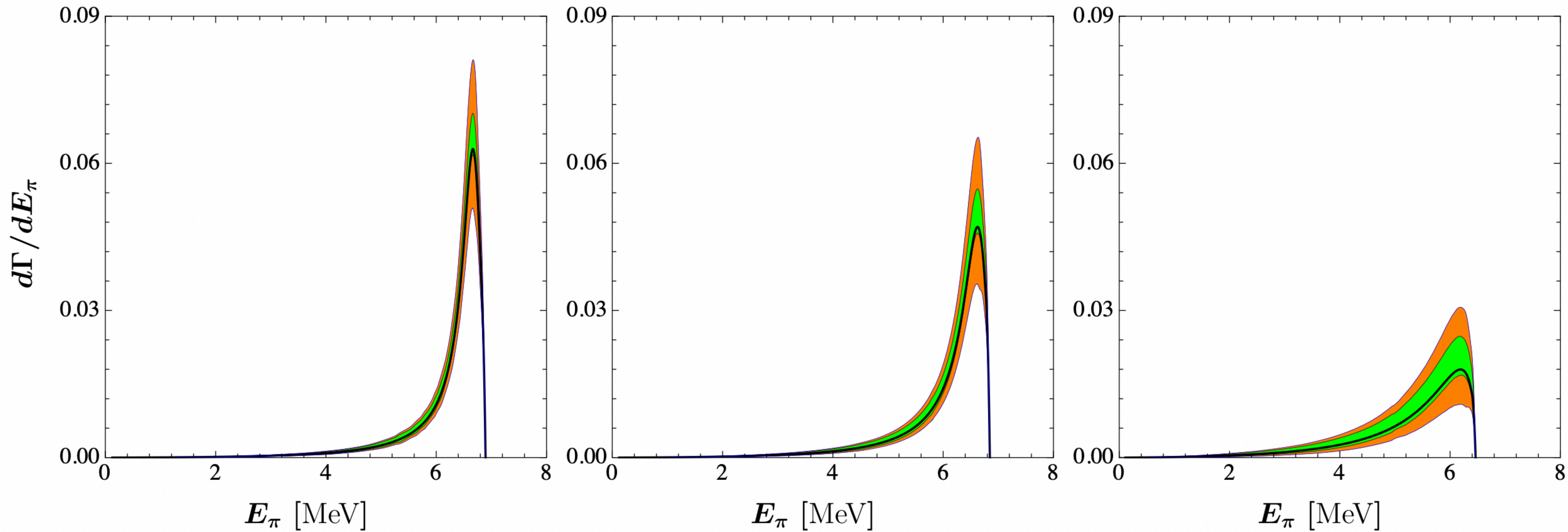




$B_X = 0.05$  [MeV]

$B_X = 0.1$  [MeV]

$B_X = 0.5$  [MeV]



## Applications: Quarkonia Production in Jets



## Color-Singlet Model (pre-1995)

$$\sigma(pp \rightarrow J/\psi + X) = f_{g/p} \otimes f_{g/p} \otimes \sigma[gg \rightarrow c\bar{c}(^3S_1^{(1)}) + X] |\psi_{c\bar{c}}(0)|^2$$

$c\bar{c}$  pair produced with same quantum numbers as  $J/\psi$

## Predictive Formalism

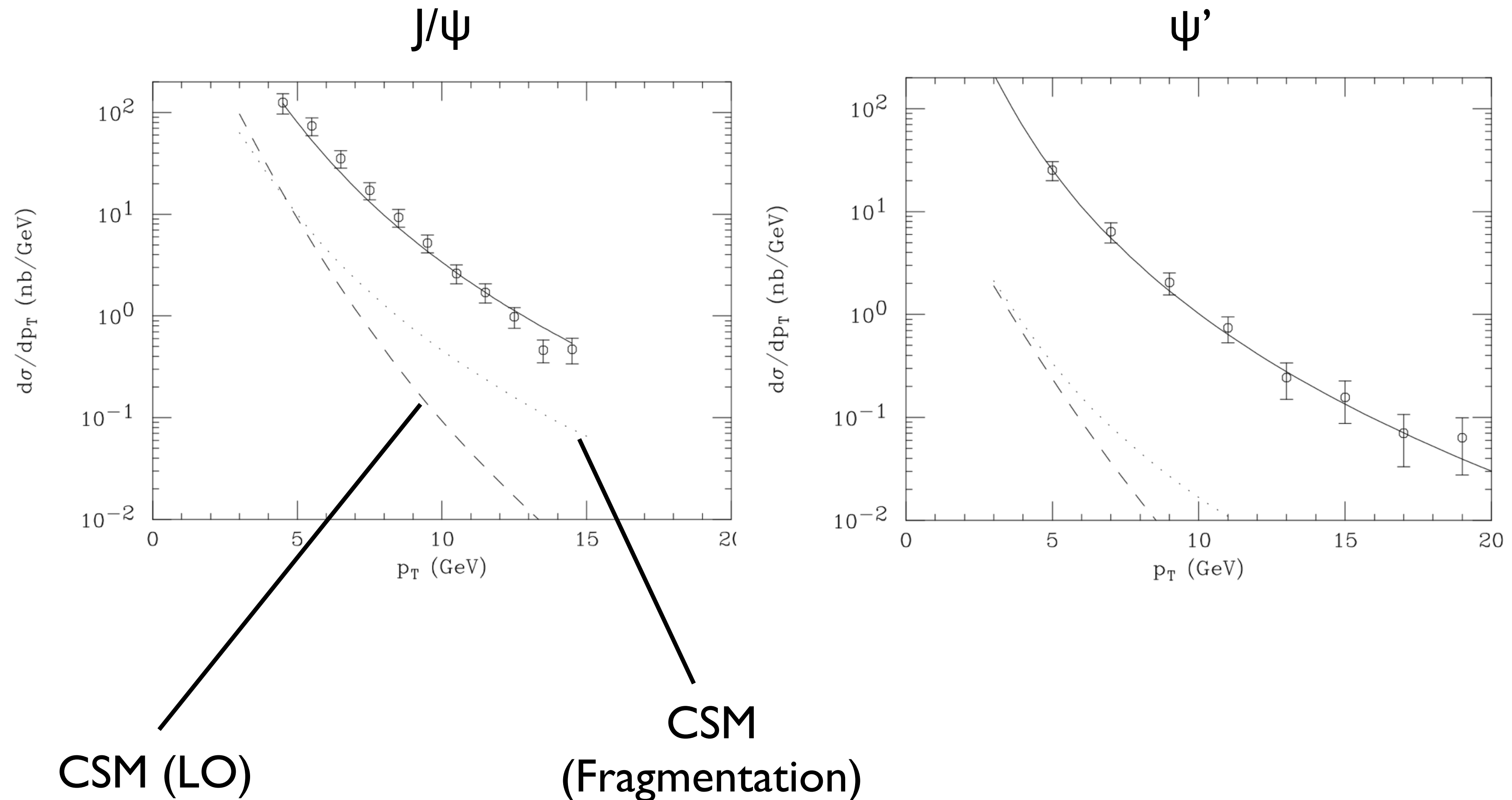
$$\sigma[gg \rightarrow c\bar{c}(^3S_1^{(1)}) + X] \text{ calculable in QCD perturbation theory}$$
$$|\psi_{c\bar{c}}(0)|^2 \text{ fixed by } \Gamma[J/\psi \rightarrow \ell^+ \ell^-]$$

**Suffers from theoretical inconsistencies when applied to  $\chi_{cJ}$**

$$\Gamma[\chi_{cJ} \rightarrow \text{hadrons}] = |\psi'_{c\bar{c}}(0)|^2 \left( \sigma(c\bar{c}(^3P_J^{(1)}) \rightarrow gg) \right) \longleftarrow \text{Not IR Safe}$$

# J/ψ production at Tevatron (1996)

CSM badly underpredicts J/ψ and ψ' production at large p<sub>T</sub>



# Non-Relativistic QCD (NRQCD) Factorization Formalism

---

Bodwin, Braaten, Lepage, PRD 51 (1995) 1125

$$\sigma(gg \rightarrow J/\psi + X) = \sum_n \sigma(gg \rightarrow c\bar{c}(n) + X) \langle \mathcal{O}^{J/\psi}(n) \rangle$$

$n = {}^{2S+1}L_J^{(1,8)}$

double expansion in  $\alpha_s, v$

## NRQCD long-distance matrix element (LDME)

---

$$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[1]}) \rangle \sim v^3$$

CSM - lowest order in  $v$

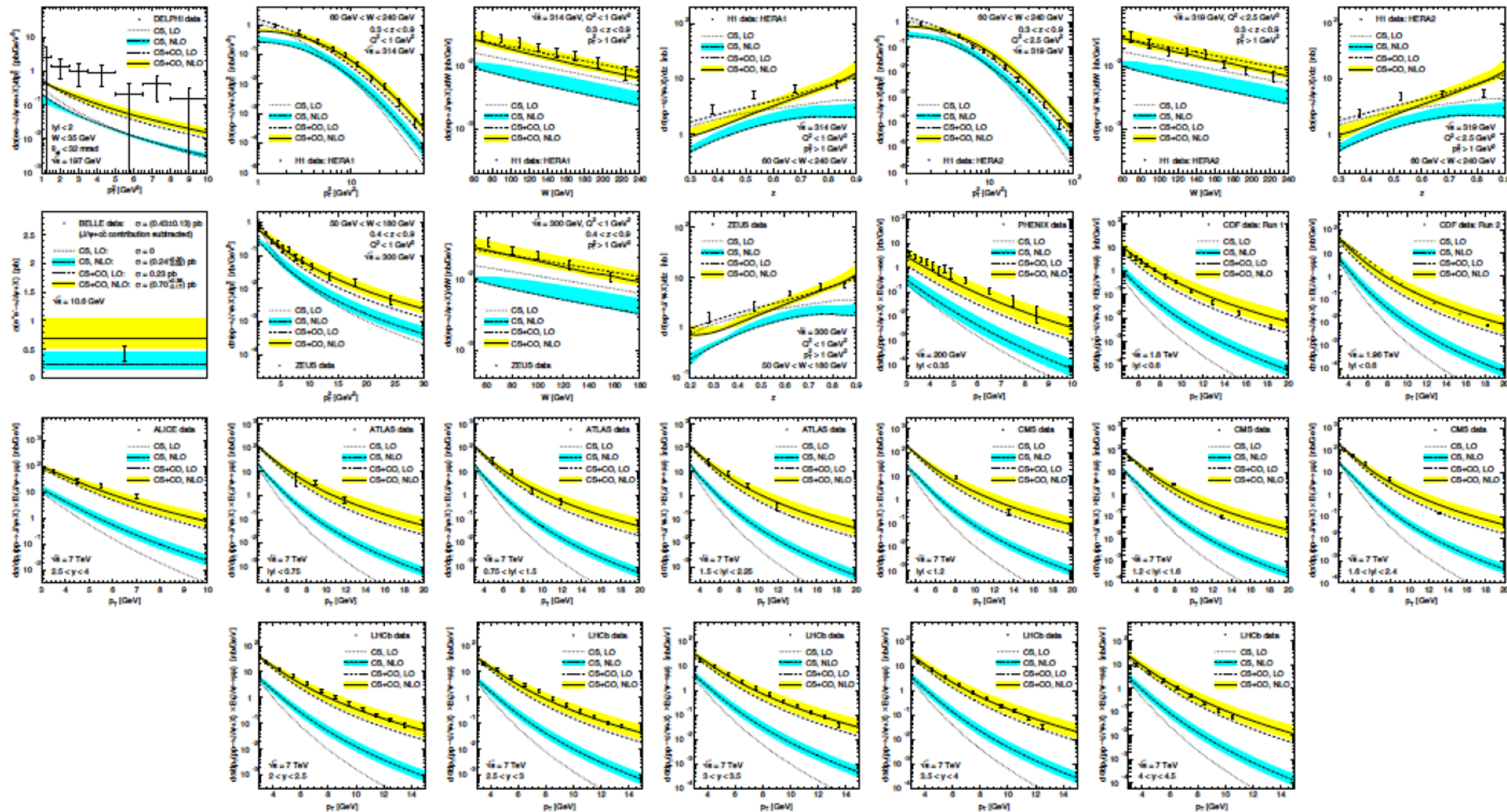
$$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \rangle, \langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]}) \rangle, \langle \mathcal{O}^{J/\psi}({}^3P_J^{[8]}) \rangle \sim v^7$$

color-octet mechanisms



# Global Fits with NLO CSM + COM

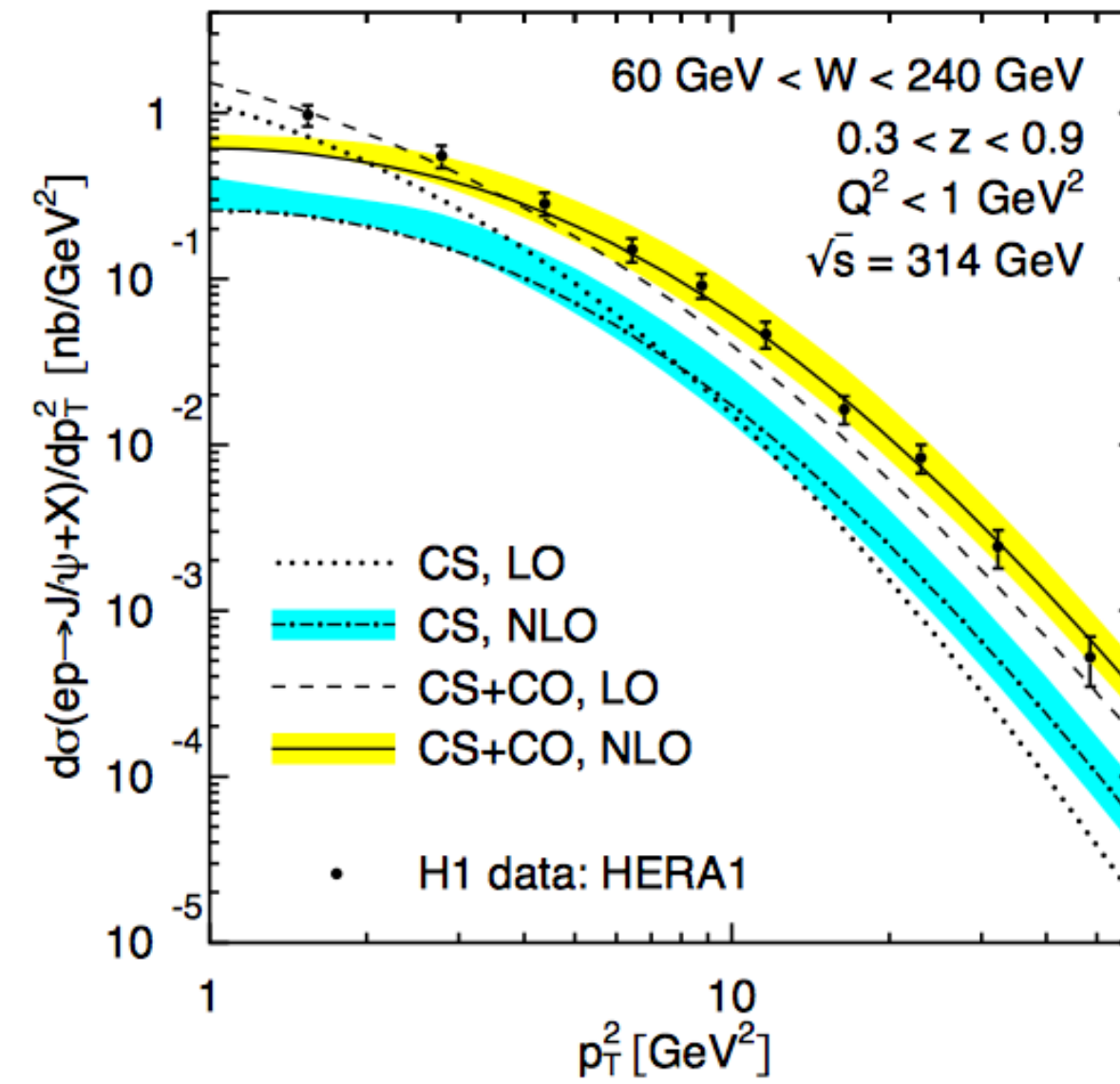
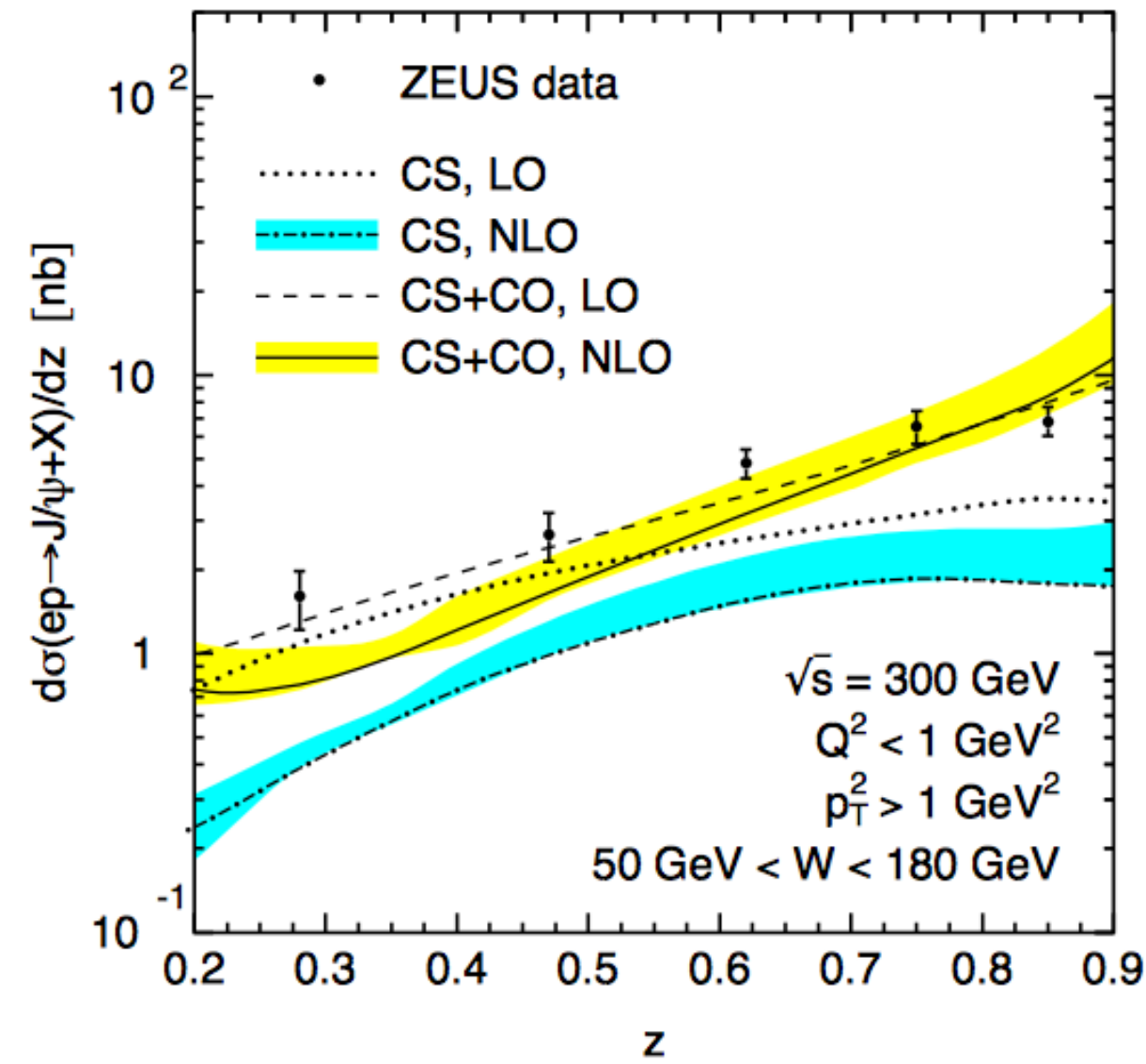
Butenschoen and Kniehl, PRD 84 (2011) 051501



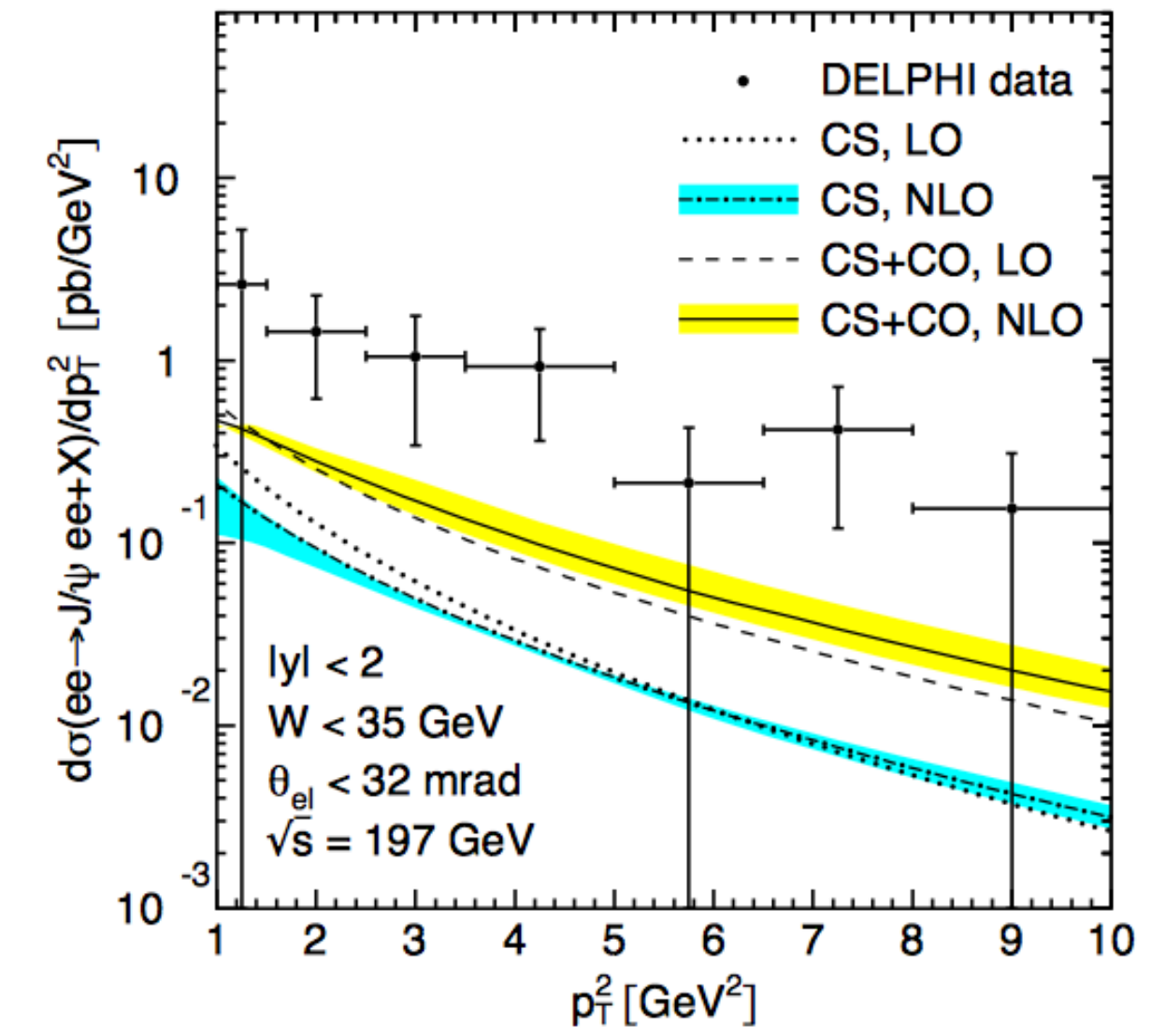
$e^+e^-, \gamma\gamma, \gamma p, p\bar{p}, pp \rightarrow J/\psi + X$  fit to 194 data points, 26 data sets



# NLO: CSM + COM Required to Fit Data



$$ep \rightarrow J/\psi + X$$



$$\gamma^* \gamma^* \rightarrow J/\psi + X$$

# Status of NRQCD approach to $J/\psi$ Production

---

NLO: COM + CSM required for most processes

**extracted LDME satisfy NRQCD v-scaling**

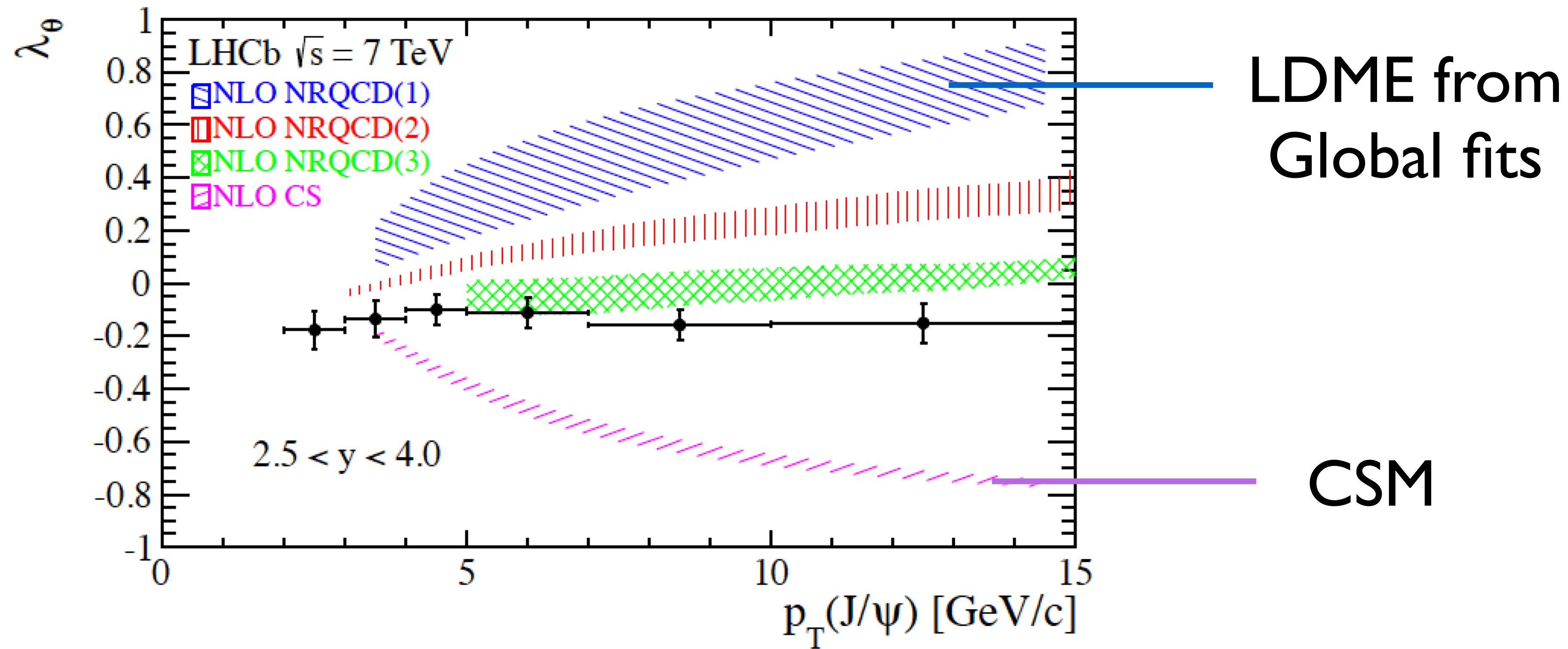
$$\langle \mathcal{O}^{J/\psi}(^3S_1^{[1]}) \rangle = 1.32 \text{ GeV}^3$$

$\langle \mathcal{O}^{J/\psi}(^1S_0^{[8]}) \rangle$	$(4.97 \pm 0.44) \times 10^{-2} \text{ GeV}^3$
$\langle \mathcal{O}^{J/\psi}(^3S_1^{[8]}) \rangle$	$(2.24 \pm 0.59) \times 10^{-3} \text{ GeV}^3$
$\langle \mathcal{O}^{J/\psi}(^3P_0^{[8]}) \rangle$	$(-1.61 \pm 0.20) \times 10^{-2} \text{ GeV}^5$

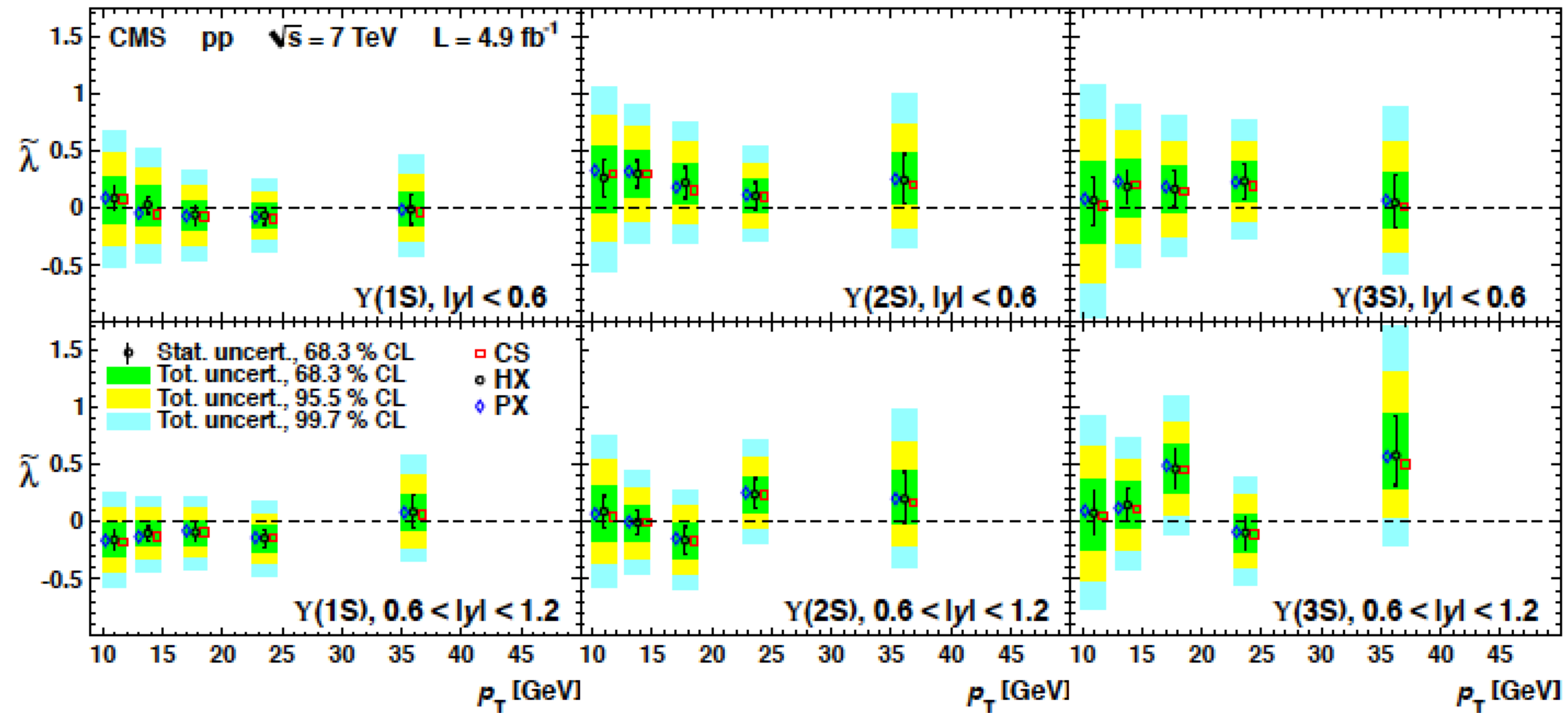
$$\chi_{\text{d.o.f.}}^2 = 857/194 = 4.42$$



# Polarization of $J/\psi$ at LHCb



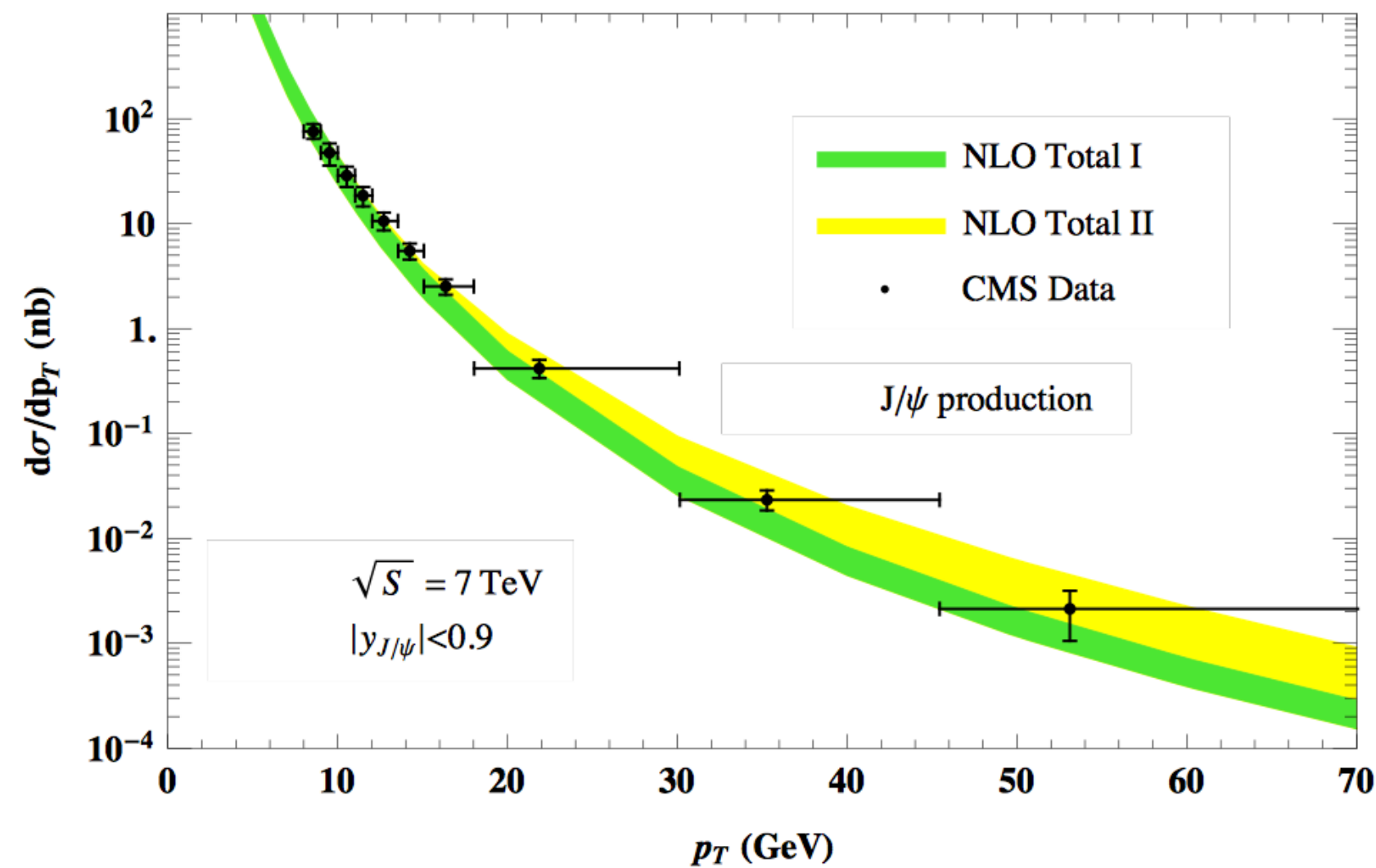
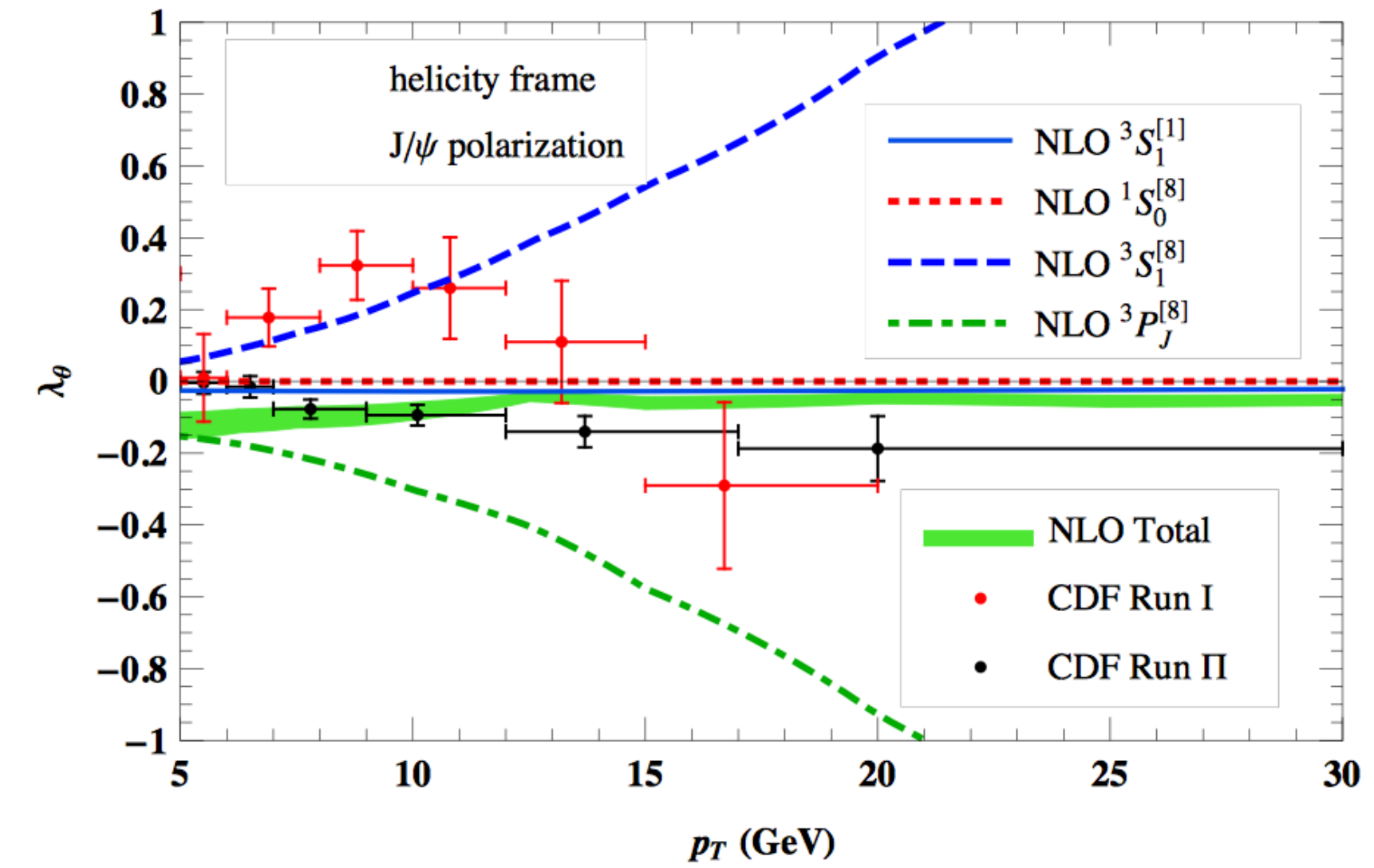
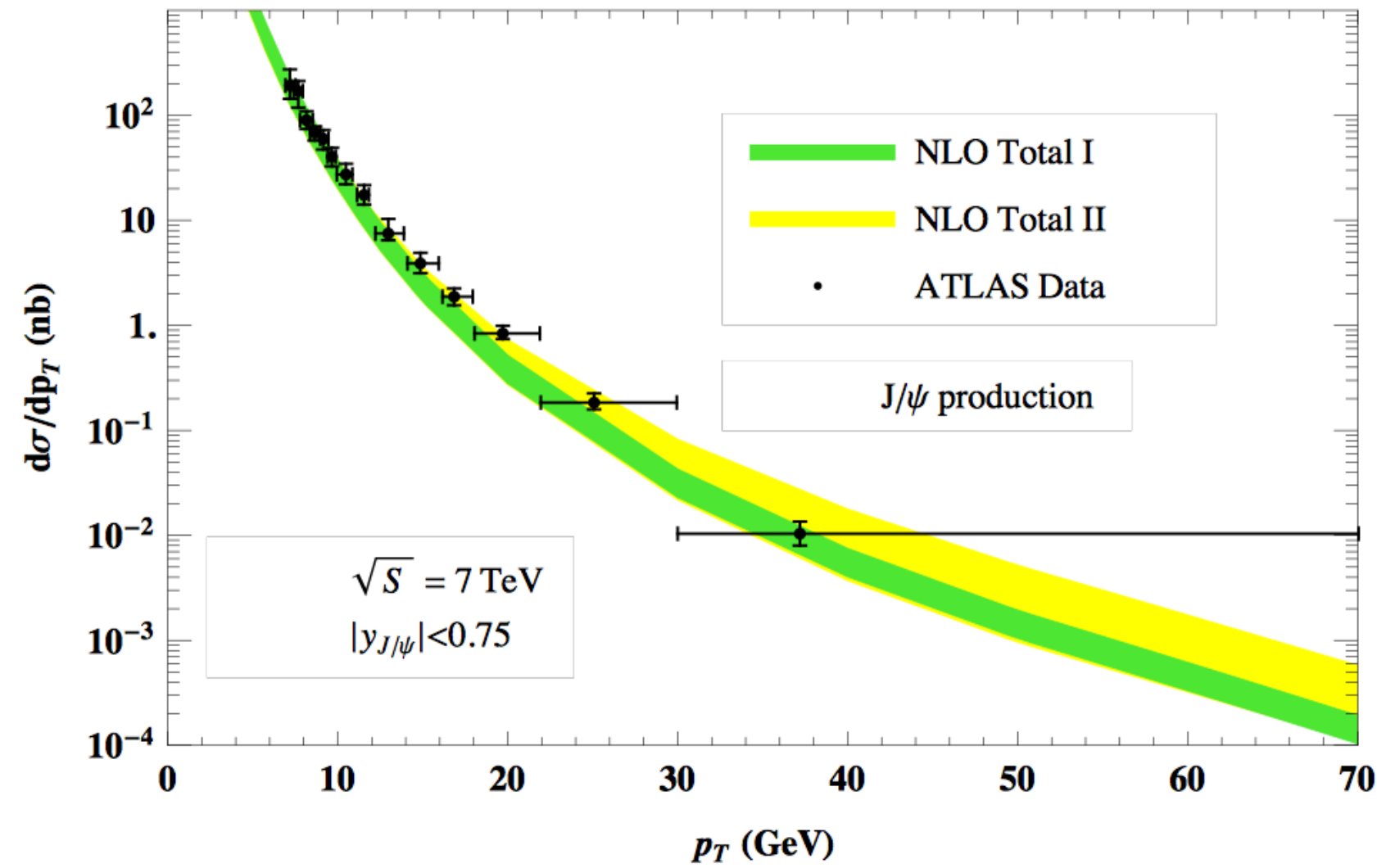
# Polarization of $\Upsilon(nS)$ at CMS



# Recent Attempts to Resolve J/ψ Polarization Puzzle

simultaneous NLO fit to CMS, ATLAS high  $p_T$  production, polarization

Chao, et. al. PRL 108, 242004 (2012)



$\langle \mathcal{O}(^3S_1^{[1]}) \rangle$ GeV <sup>3</sup>	$\langle \mathcal{O}(^1S_0^{[8]}) \rangle$ 10 <sup>-2</sup> GeV <sup>3</sup>	$\langle \mathcal{O}(^3S_1^{[8]}) \rangle$ 10 <sup>-2</sup> GeV <sup>3</sup>	$\langle \mathcal{O}(^3P_0^{[8]}) \rangle / m_c^2$ 10 <sup>-2</sup> GeV <sup>3</sup>
1.16	8.9 ± 0.98	0.30 ± 0.12	0.56 ± 0.21
1.16	0	1.4	2.4
1.16	11	0	0

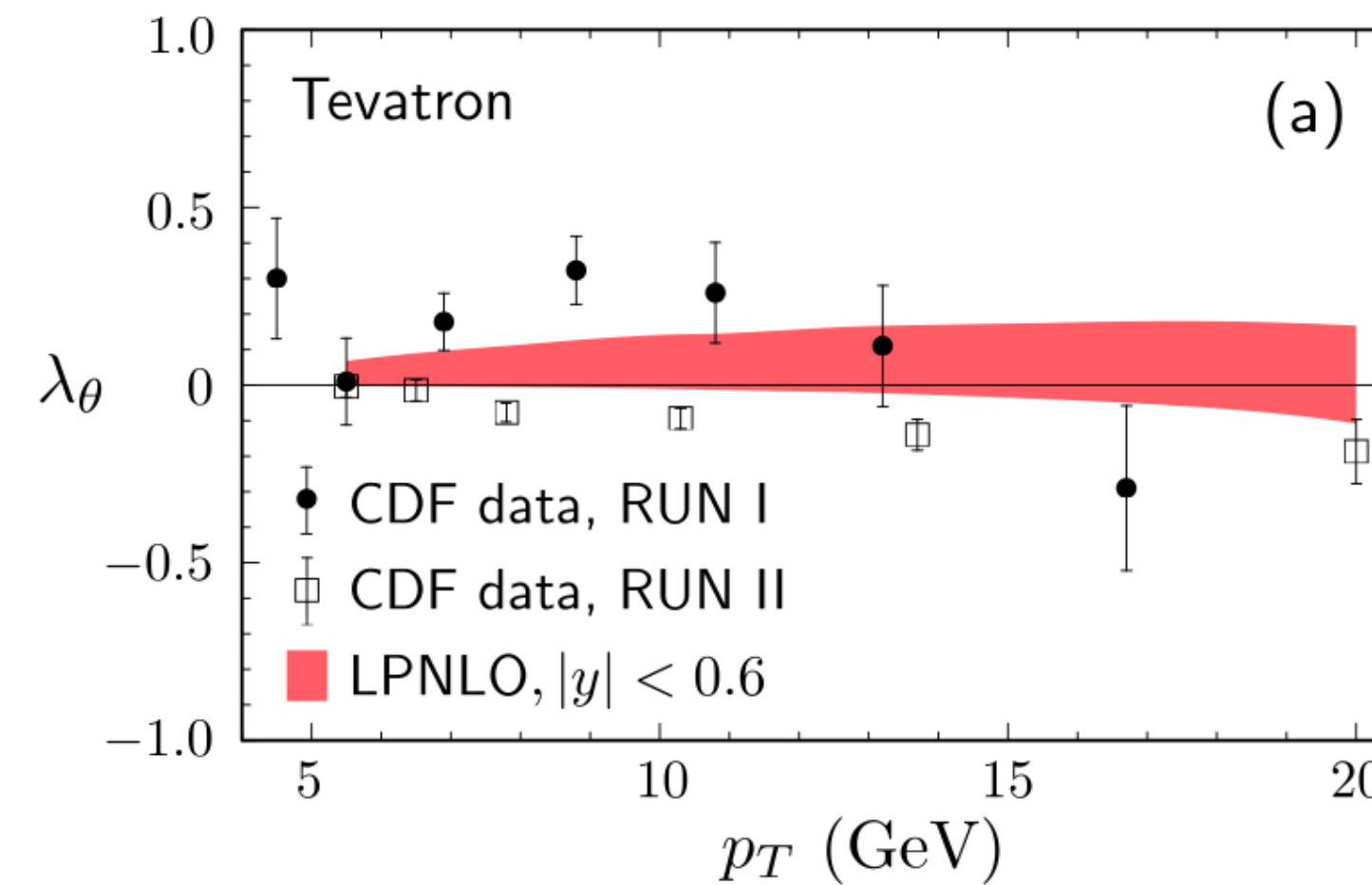
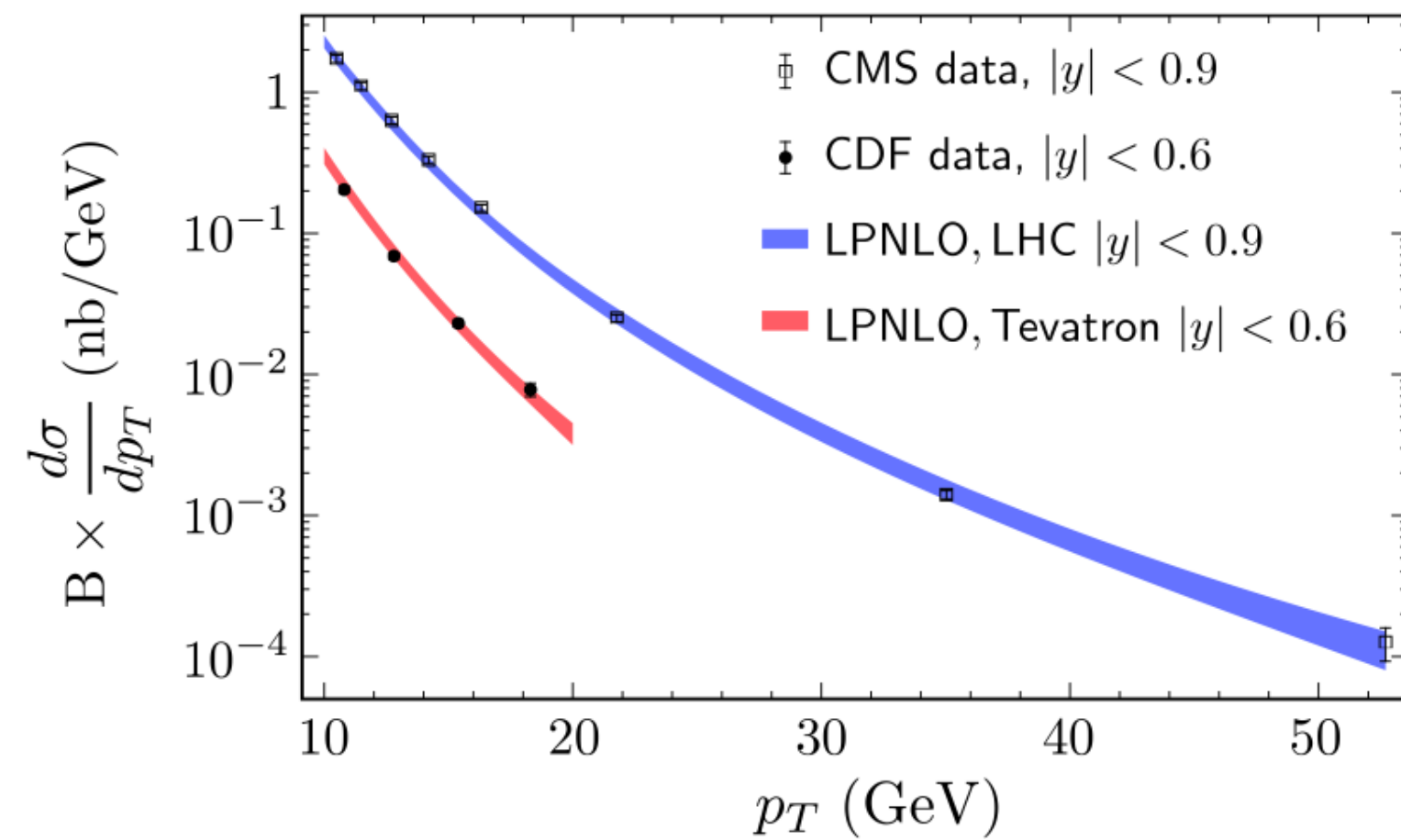
# Recent Attempts to Resolve J/ψ Polarization Puzzle

i) large  $p_T$  production at CDF

Bodwin, et. al., PRL 113, 022001 (2014)

ii) resum logs of  $p_T/m_c$  using DGLAP evolution

iii) fit COME to  $p_T$  spectrum, predict basically no polarization



Extracted COME **inconsistent** with global fits

$$\langle \mathcal{O}^{J/\psi} (^1S_0^{(8)}) \rangle = 0.099 \pm 0.022 \text{ GeV}^3$$

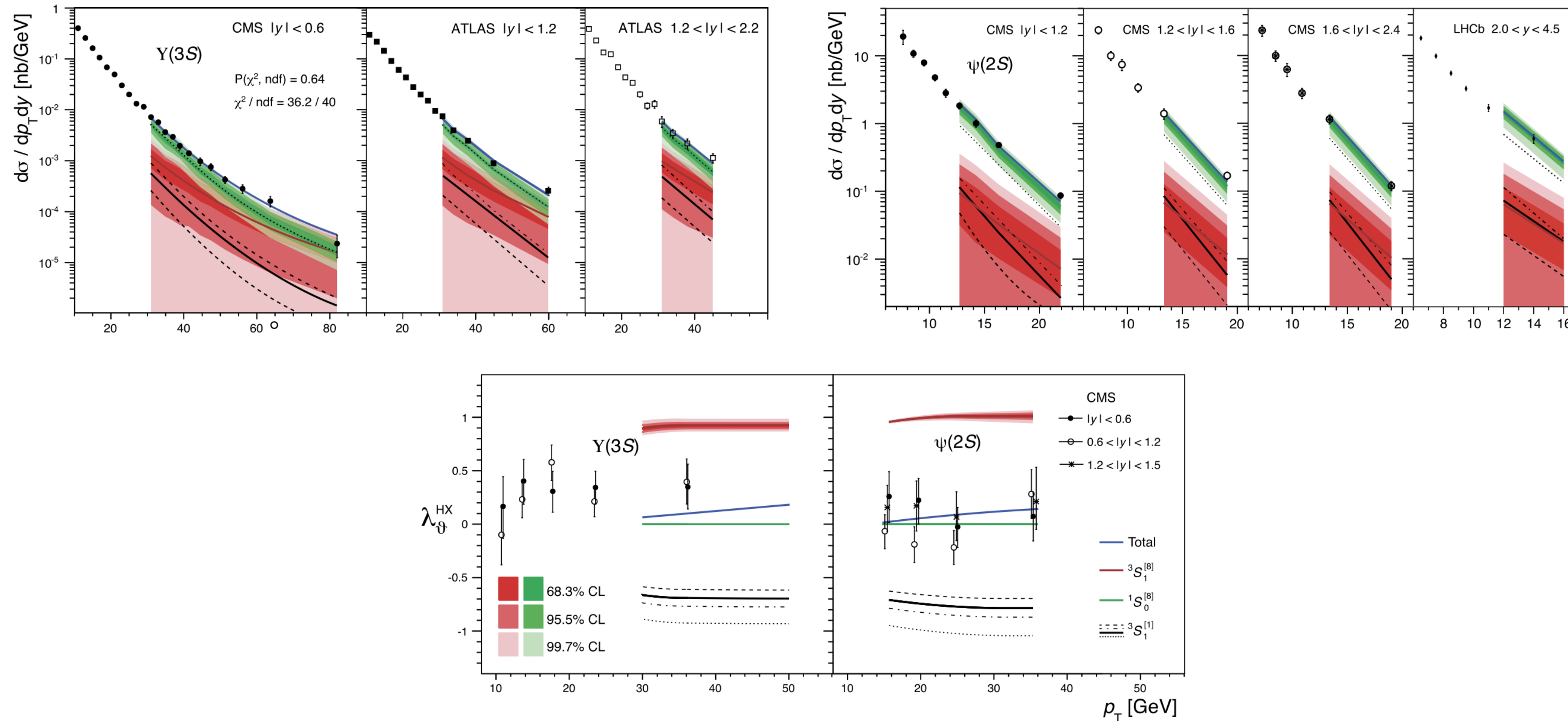
$$\langle \mathcal{O}^{J/\psi} (^3S_1^{(8)}) \rangle = 0.011 \pm 0.010 \text{ GeV}^3$$

$$\langle \mathcal{O}^{J/\psi} (^3P_0^{(8)}) \rangle = 0.011 \pm 0.010 \text{ GeV}^5$$

# Recent Attempts to Resolve J/ψ Polarization Puzzle

Faccioli, et. al. PLB736 (2014) 98

Lourenco, et. al., NPA, in press



argue for  $^1S_0^{(8)}$  dominance in both  $\psi(2S)$  &  $Y(3S)$  production



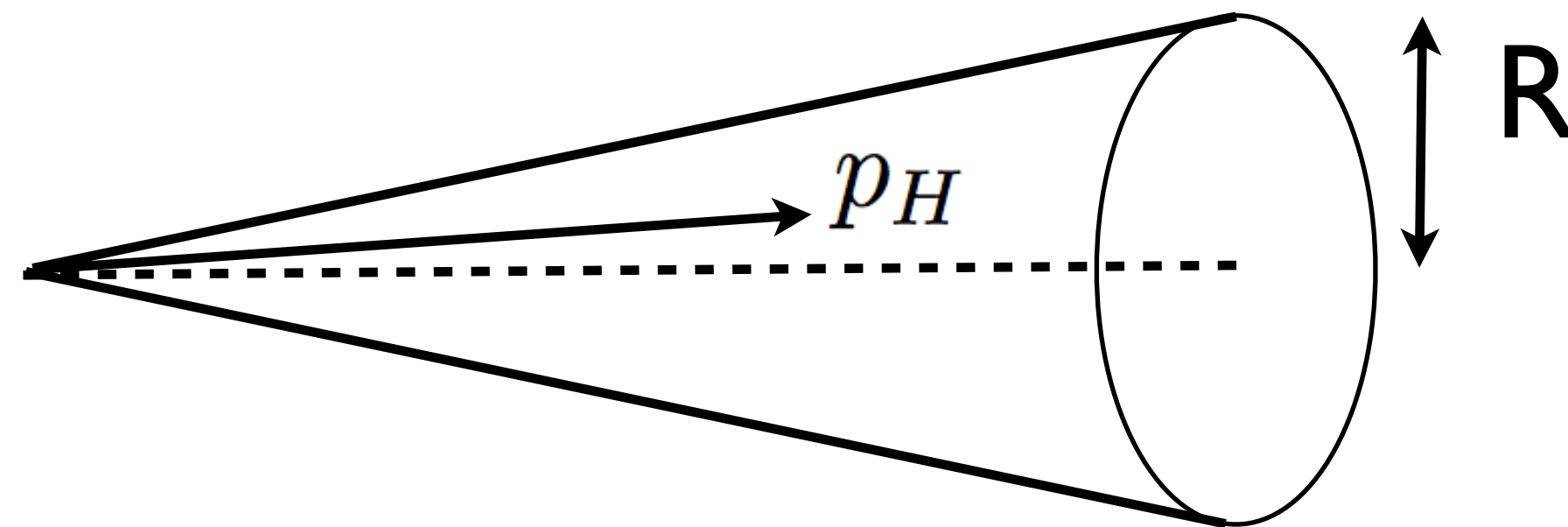
# Fragmenting Jet Functions

M. Procura, I. Stewart, PRD 81 (2010) 074009

A. Jain, M. Procura, W. Waalewijn, JHEP 1105 (2011) 035

A. Procura, W. Waalewijn, PRD 85 (2012) 114041

jets with identified hadrons



Jet Energy:  $E$

$$p_H^+ = z p_{\text{jet}}^+$$

cross sections determined by **fragmenting jet function (FJF)**:

$$\mathcal{G}_g^h(E, R, \mu, z)$$

inclusive hadron production: fragmentation functions

$$\frac{1}{\sigma_0} \frac{d\sigma^h}{dz}(e^+e^- \rightarrow h X) = \sum_i \int_z^1 \frac{dx}{x} C_i(E_{\text{cm}}, x, \mu) D_i^h(z/x, \mu)$$

jet cross sections: jet functions

$$d\sigma(E, R) = \int d\Phi_N \text{tr}[H_N S_N] \prod_{\ell} J_{\ell}$$

$$\mathcal{G}_g^h(E, R, \mu, z) \longrightarrow D_i^h(z/x, \mu), J_{\ell}$$

relationship to jet function:

$$\sum_h \int_0^1 dz z D_j^h(z, \mu) = 1$$

$$\longleftarrow J_i(E, R, z, \mu) = \frac{1}{2} \sum_h \int \frac{dz}{(2\pi)^3} z \mathcal{G}_i^h(E, R, z, \mu)$$

cross section for jet w/ identified hadron from jet cross section

$$\frac{d\sigma}{dE} = \int d\Phi_N \text{tr}[H_N S_N] \prod_{\ell} J_{\ell} J_i(E, R, \mu)$$

$$\longleftarrow \frac{d\sigma}{dE dz} = \int d\Phi_N \text{tr}[H_N S_N] \prod_{\ell} J_{\ell} \mathcal{G}_i^h(E, R, z, \mu)$$

relationship to fragmentation functions

$$\mathcal{G}_i^h(E, R, z, \mu) = \sum_i \int_z^1 \frac{dz'}{z'} \mathcal{J}_{ij}(E, R, z', \mu) D_j^h\left(\frac{z}{z'}, \mu\right) \left[1 + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{4E^2 \tan^2(R/2)}\right)\right]$$

**matching coefficients calculable in perturbation theory**

$$\frac{\mathcal{J}_{gg}(E, R, z, \mu)}{2(2\pi)^3} = \delta(1-z) + \frac{\alpha_s(\mu)C_A}{\pi} \left[ \left(L^2 - \frac{\pi^2}{24}\right) \delta(1-z) + \hat{P}_{gg}(z)L + \hat{\mathcal{J}}_{gg}(z) \right]$$

$$\hat{\mathcal{J}}_{gg}(z) = \begin{cases} \hat{P}_{gg}(z) \ln z & z \leq 1/2 \\ \frac{2(1-z+z^2)^2}{z} \left(\frac{\ln(1-z)}{1-z}\right)_+ & z \geq 1/2. \end{cases} \quad L = \ln[2E \tan(R/2)/\mu].$$

scale for  $\mathcal{J}_{ij}(E, R, z, \mu)$

sum rule for matching coefficients

$$\sum_j \int_0^1 dz z \mathcal{J}_{ij}(R, z, \mu) = 2(2\pi)^3 J_i(R, \mu)$$



# NRQCD fragmentation functions

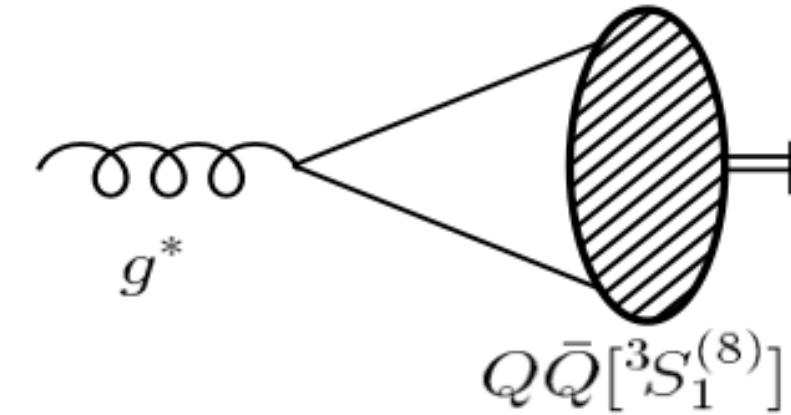
Braaten, Yuan, PRD 48 (1993) 4230

Braaten, Chen, PRD 54 (1996) 3216

Braaten, Fleming, PRL 74 (1995) 3327

Perturbatively calculable **at the scale  $2m_c$**

$$D_g^{\psi^{(8)}}(z, 2m_c) = \frac{\pi\alpha_s(2m_c)}{3M_\psi^3} \langle O^\psi(^3S_1^{(8)}) \rangle \delta(1-z).$$



$$D_g^{\psi^{(1)}}(z, 2m_c) = \frac{5\alpha_s^3(2m_c)}{648\pi^2} \frac{\langle O^\psi(^3S_1^{(1)}) \rangle}{M_\psi^3} \int_0^z dr \int_{(r+z^2)/2z}^{(1+r)/2} dy \frac{1}{(1-y)^2(y-r)^2(y^2-r)^2} \sum_{i=0}^2 z^i \left( f_i(r, y) + g_i(r, y) \frac{1+r-2y}{2(y-r)\sqrt{y^2-r}} \ln \frac{y-r+\sqrt{y^2-r}}{y-r-\sqrt{y^2-r}} \right),$$

**DGLAP evolution:  $2m_c$  to  $2E \tan(R/2)$**

## FJF in terms of fragmentation function

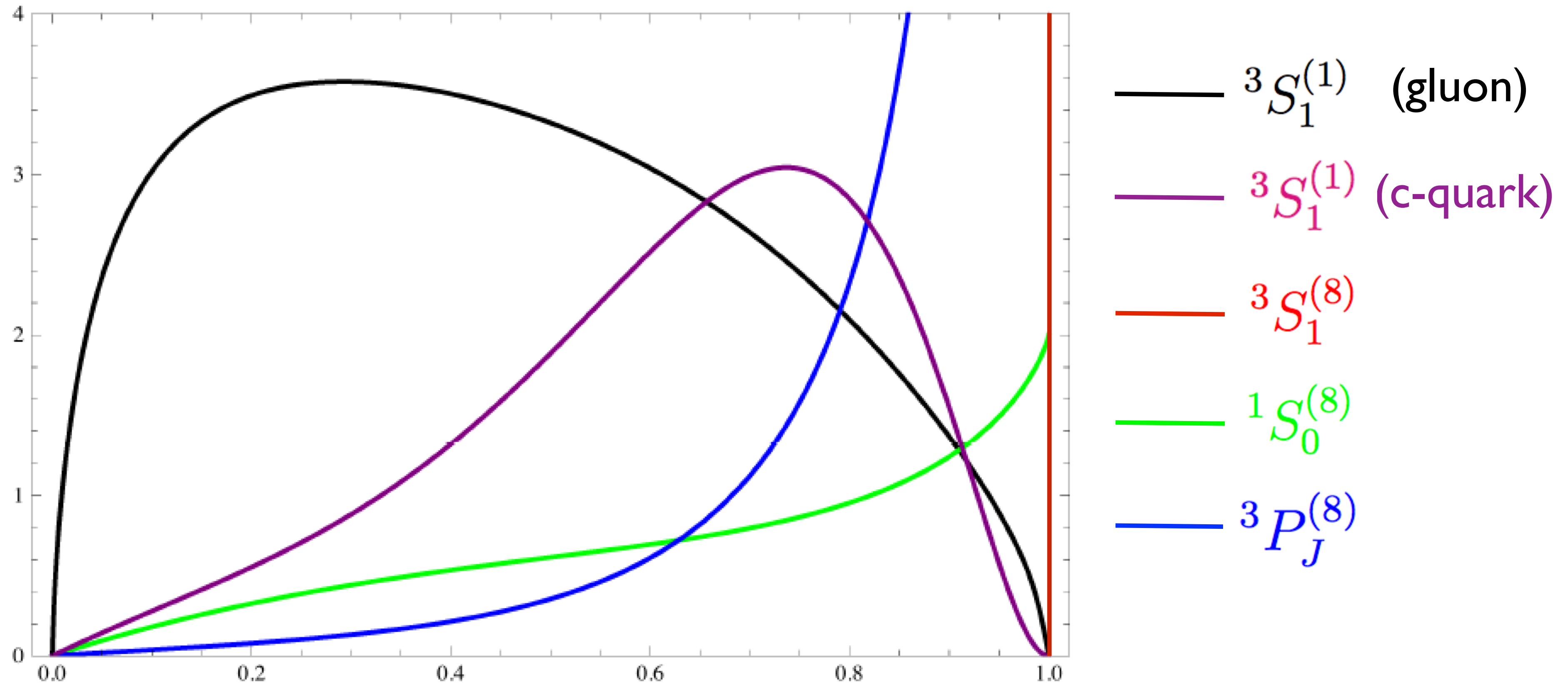
$$\begin{aligned}
 \mathcal{G}_g^\psi(E, R, z, \mu) = & D_{g \rightarrow \psi}(z, \mu) \left( 1 + \frac{C_A \alpha_s}{\pi} \left( L_{1-z}^2 - \frac{\pi^2}{24} \right) \right) \\
 & + \frac{C_A \alpha_s}{\pi} \left[ \int_z^1 \frac{dy}{y} \tilde{P}_{gg}(y) L_{1-y} D_{g \rightarrow \psi} \left( \frac{z}{y}, \mu \right) \right. \\
 & + 2 \int_z^1 dy \frac{D_{g \rightarrow \psi}(z/y, \mu) - D_{g \rightarrow \psi}(z, \mu)}{1-y} L_{1-y} \\
 & \left. + \theta \left( \frac{1}{2} - z \right) \int_z^{1/2} \frac{dy}{y} \hat{P}_{gg}(y) \ln \left( \frac{y}{1-y} \right) D_{g \rightarrow \psi} \left( \frac{z}{y}, \mu \right) \right]
 \end{aligned}$$

$$L_{1-z} = \ln \left( \frac{2E \tan(R/2)(1-z)}{\mu} \right)$$

**For large E, FJF ~ NRQCD frag. function (at scale  $2E \tan(R/2)$ )**

$$\mathcal{G}_g^h(E, R, \mu = 2E \tan(R/2), z) \rightarrow D_g^\psi(z, 2E \tan(R/2)) + O(\alpha_s)$$

# NRQCD FF's (at scale $2m_c$ )

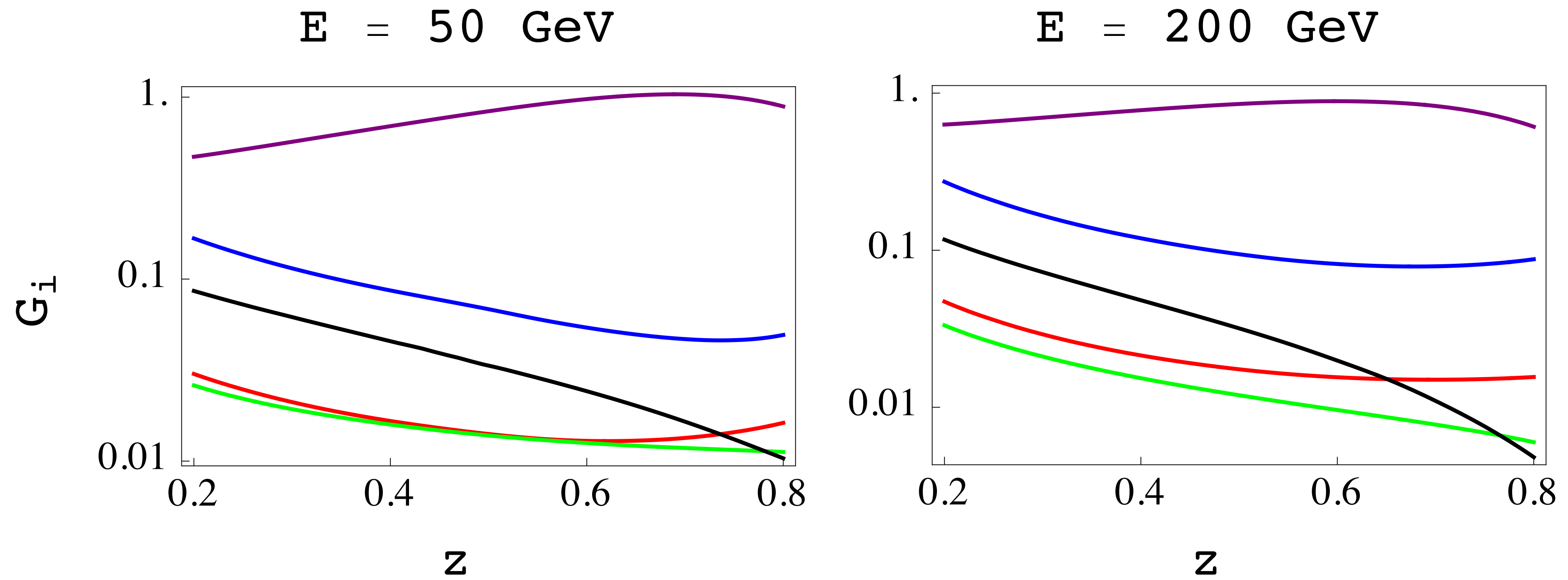


(normalization arbitrary)

Evolution to  $2E \tan(R/2)$  will soften discrepancies

# FJF's at Fixed Energy vs. $z$

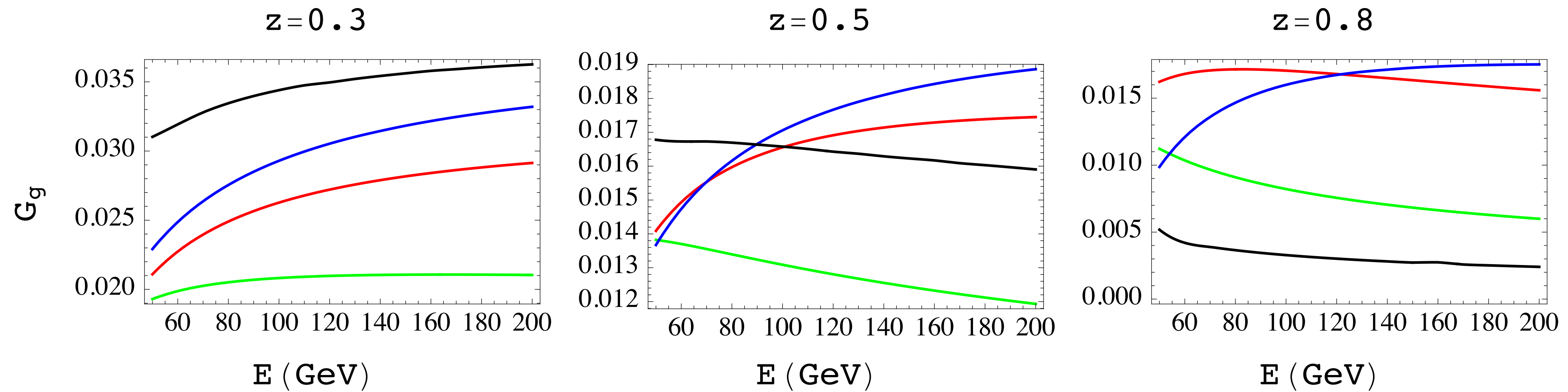
M. Baumgart, A. Leibovich, T.M., I. Z. Rothstein, JHEP 1411 (2014) 003





# FJF's at Fixed z vs. Energy

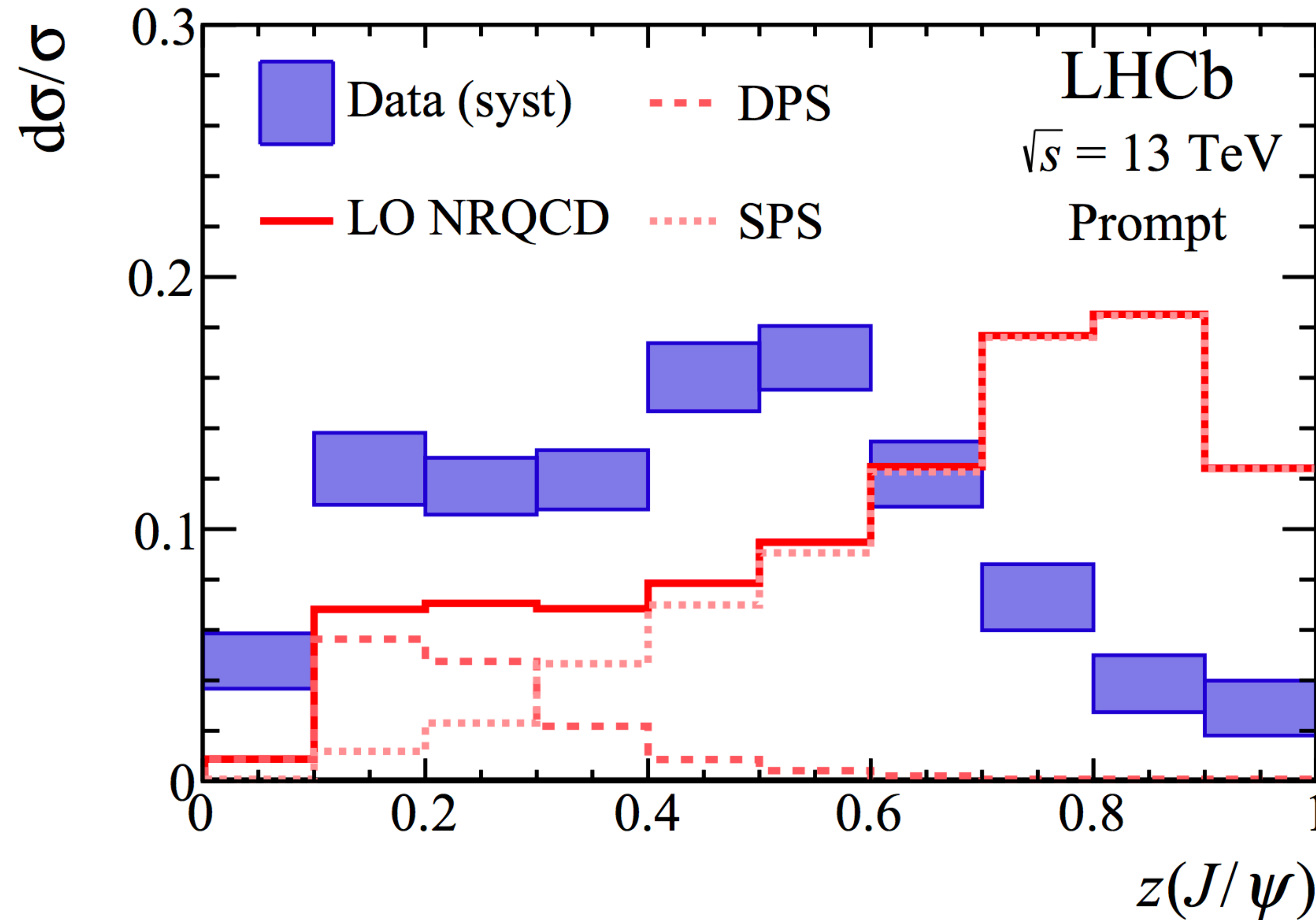
M. Baumgart, A. Leibovich, T.M., I. Z. Rothstein, JHEP 1411 (2014) 003



$^1S_0^{(8)}$  dominance predicts negative slope for z vs. E if  $z > 0.5$

# Recent Observations of Quarkonia within Jets

LHCb collaboration, Phys. Rev. Lett. 118 (2017) no.19, 192001



**cuts:**  $2.5 < \eta_{\text{jet}} < 4.0$   $p_{T,\text{jet}} > 20$  GeV  $p(\mu) > 5$  GeV

This result was anticipated in:

## Jets w/ Heavy Mesons: NLL' vs. Monte Carlo

(w/ R. Bain, L. Dai, A. Hornig, A. Leibovich, Y. Makris)

JHEP 1606 (2016) 121 (arXiv:1601.05815)

$$e^+e^- \rightarrow b\bar{b}$$

$\hookrightarrow$  B jet

$$e^+e^- \rightarrow q\bar{q}g$$

$\hookrightarrow$   $J/\psi$  jet

# $e^+e^- \rightarrow$ Jets in SCET

S.D. Ellis, et.al., JHEP1011(2010) 101

$$d\sigma = H \times J_q \otimes J_{\bar{q}} \otimes J_g \otimes S$$

$$\longrightarrow d\sigma = H \times J_q \otimes J_{\bar{q}} \otimes \mathcal{G}_g^{J/\psi} \otimes S$$

unmeasured jets:

**E, R**

measured jets:

angularity:  $\tau_a = \frac{1}{\omega} \sum_i (p_i^+)^{1-a/2} (p_i^-)^{a/2}$

$$\omega = \sum_i p_i^- \quad s = \omega^2 \tau_0$$



$e^+e^- \rightarrow$  Jets Formula (NLL')

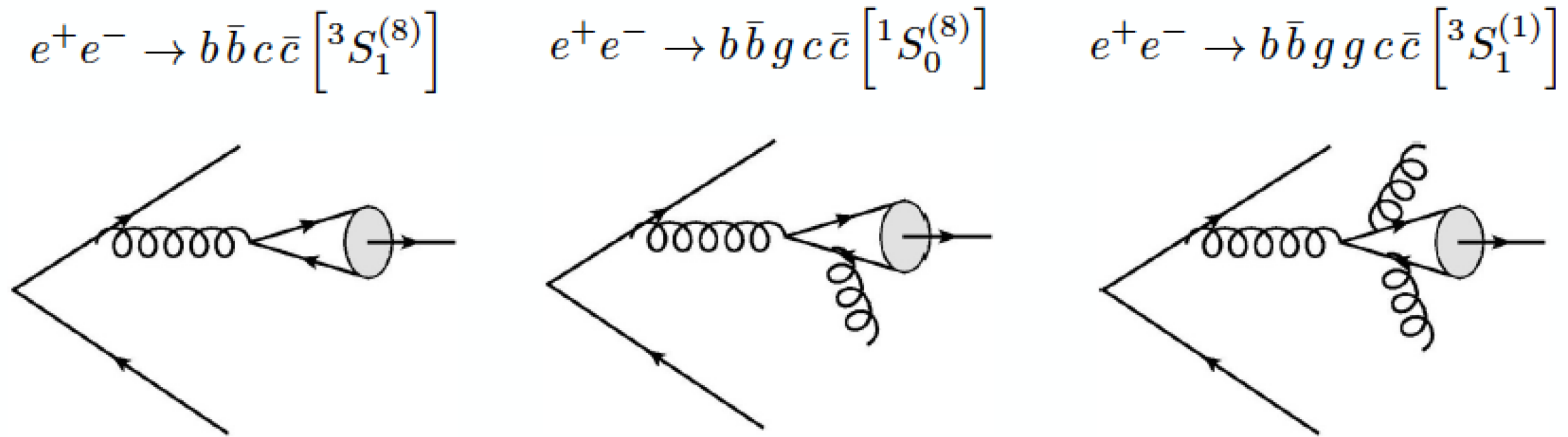
$$\begin{aligned}
 \frac{1}{\sigma^{(0)}} \frac{d\sigma^{(i)}}{dzd\tau_a} &= \sum_j \int_z^1 \frac{dx}{x} D_j(x; \mu_J) H_2(\mu_H) \left(\frac{\mu_H}{\omega}\right)^{\omega_H(\mu, \mu_H)} S^{\text{unmeas}}(\mu_\Lambda) J_\omega(\mu_R) \left(\frac{\mu_R}{\omega \tan \frac{R}{2}}\right)^{\omega_R(\mu, \mu_R)} \\
 &\times \left\{ \left[ \delta_{ij} \delta(1 - z/x) (1 + f_S(\tau_a, \mu_S)) + f_J^{ij}(\tau_z, z/x; \mu_J) \right] \left(\frac{\mu_S \tan^{1-a} \frac{R}{2}}{\omega_1}\right)^{\omega_S(\mu, \mu_S)} \right. \\
 &\times \left. \left(\frac{\mu_J}{\omega}\right)^{(2-a)\omega_J(\mu, \mu_J)} \frac{1}{\Gamma[-\omega_J(\mu, \mu_J) - \omega_S(\mu, \mu_S)]} \frac{1}{\tau_a^{1+\omega_J(\mu, \mu_J)+\omega_S(\mu, \mu_S)}} \right\}_+ \\
 &\times \exp [\mathcal{K}(\mu; \mu_H, \mu_R, \mu_J, \mu_S, \mu_\Lambda) + \gamma_E \Omega(\mu; \mu_J, \mu_S)].
 \end{aligned}$$

# $e^+e^- \rightarrow$ Jets Formula (NLL')

$$\begin{aligned}
 \frac{1}{\sigma^{(0)}} \frac{d\sigma^{(i)}}{dzd\tau_a} &= \sum_j \int_z^1 \frac{dx}{x} D_j(x; \mu_J) H_2(\mu_H) \left(\frac{\mu_H}{\omega}\right)^{\omega_H(\mu, \mu_H)} S^{\text{unmeas}}(\mu_\Lambda) J_\omega(\mu_R) \left(\frac{\mu_R}{\omega \tan \frac{R}{2}}\right)^{\omega_R(\mu, \mu_R)} \\
 &\times \left\{ \left[ \delta_{ij} \delta(1 - z/x) (1 + f_S(\tau_a, \mu_S)) + f_J^{ij}(\tau_z, z/x; \mu_J) \right] \left(\frac{\mu_S \tan^{1-a} \frac{R}{2}}{\omega_1}\right)^{\omega_S(\mu, \mu_S)} \right. \\
 &\times \left. \left(\frac{\mu_J}{\omega}\right)^{(2-a)\omega_J(\mu, \mu_J)} \frac{1}{\Gamma[-\omega_J(\mu, \mu_J) - \omega_S(\mu, \mu_S)]} \frac{1}{\tau_a^{1+\omega_J(\mu, \mu_J)+\omega_S(\mu, \mu_S)}} \right\}_+ \\
 &\times \exp[\mathcal{K}(\mu; \mu_H, \mu_R, \mu_J, \mu_S, \mu_\Lambda) + \gamma_E \Omega(\mu; \mu_J, \mu_S)].
 \end{aligned}$$

RGE evolution

# Madgraph + PYTHIA

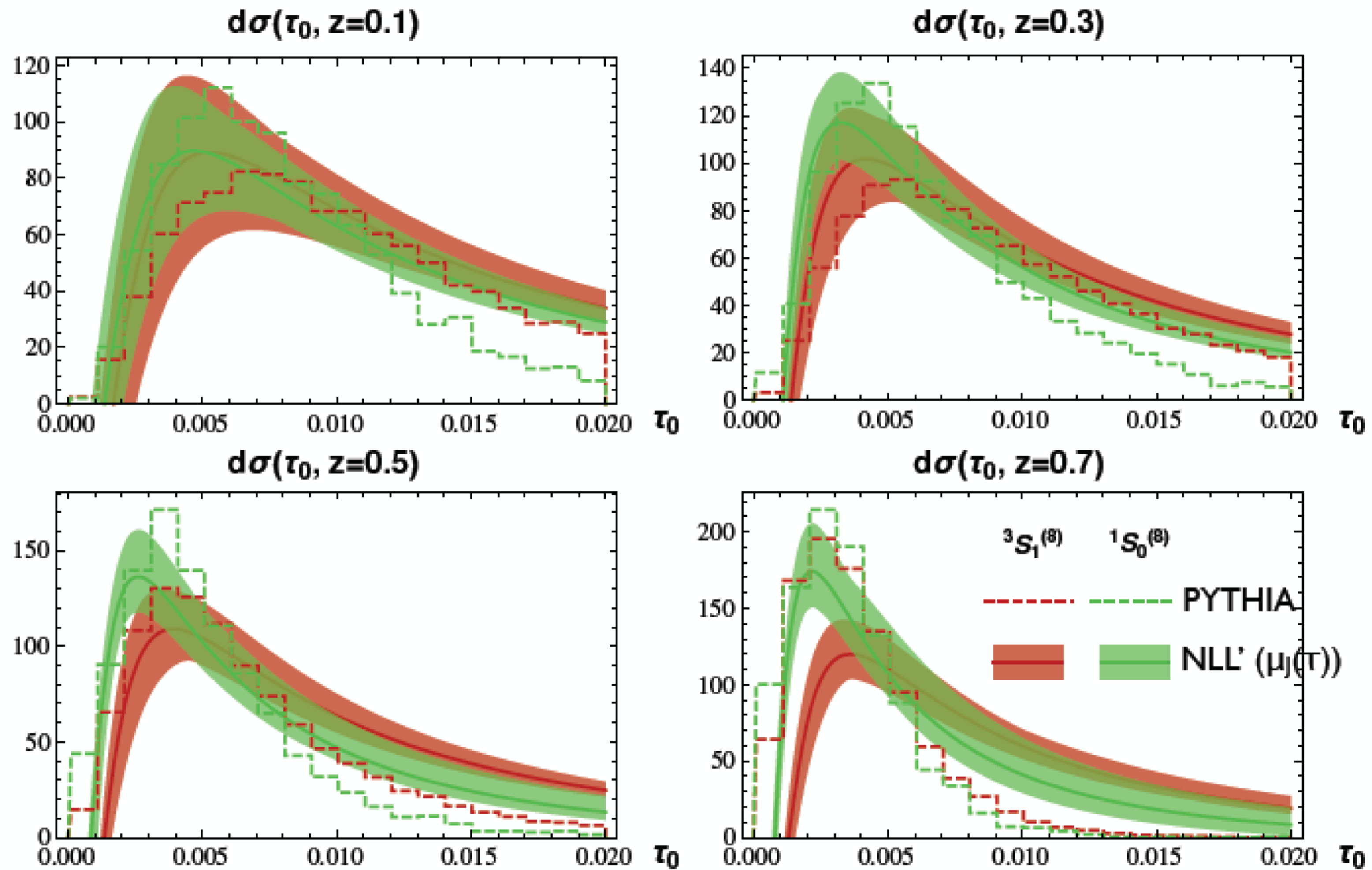


Force Madgraph to create  $J/\psi$  from gluon initiated jet

PYTHIA: parton shower, hadronization

# NLL' vs. Monte Carlo

fixed  $z$ , variable  $\tau_0$

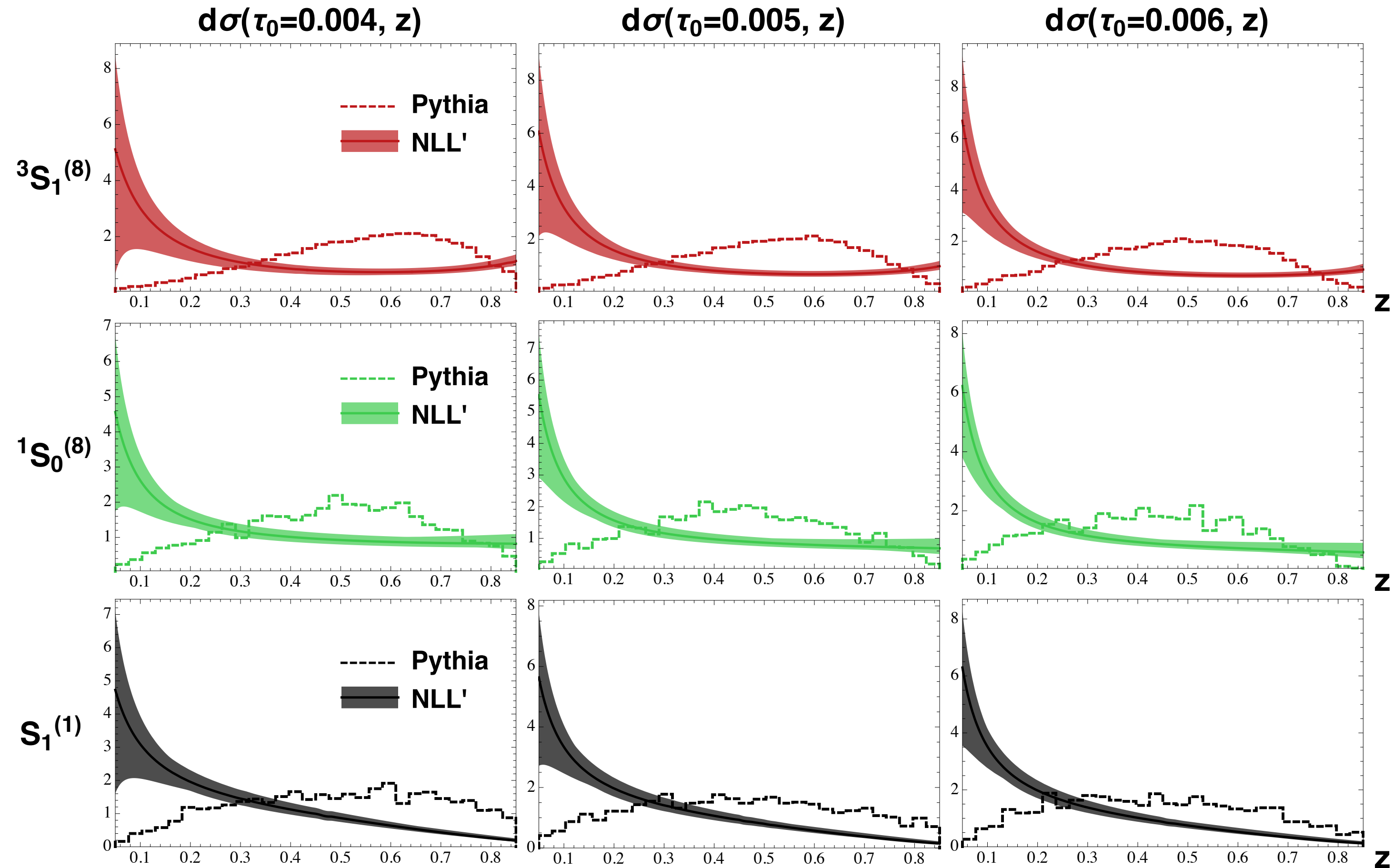


good agreement, some discrimination for large  $z$



# NLL' FJF vs. Pythia

R. Bain, L. Dai, A. Hornig, A. K. Leibovich, Y. Makris, T. Mehen JHEP 1606 (2016) 121

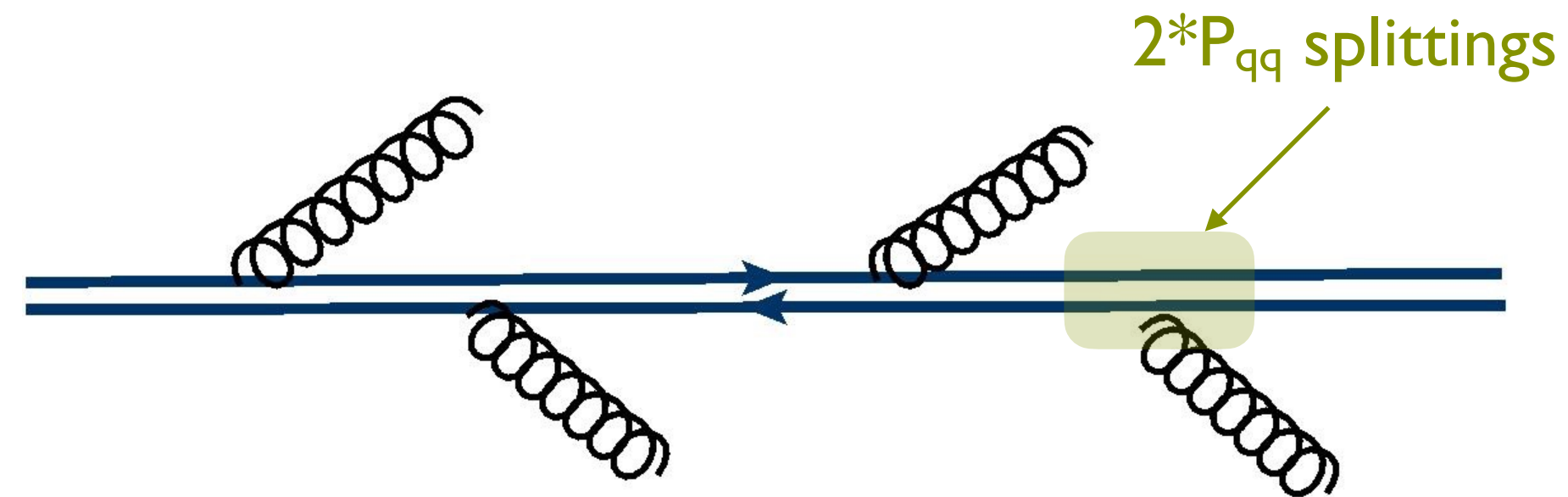


$$e^+e^- \rightarrow \bar{q}qqg \quad E_{CM} = 250 \text{ GeV} \quad \tau_0 = s/\omega^2$$

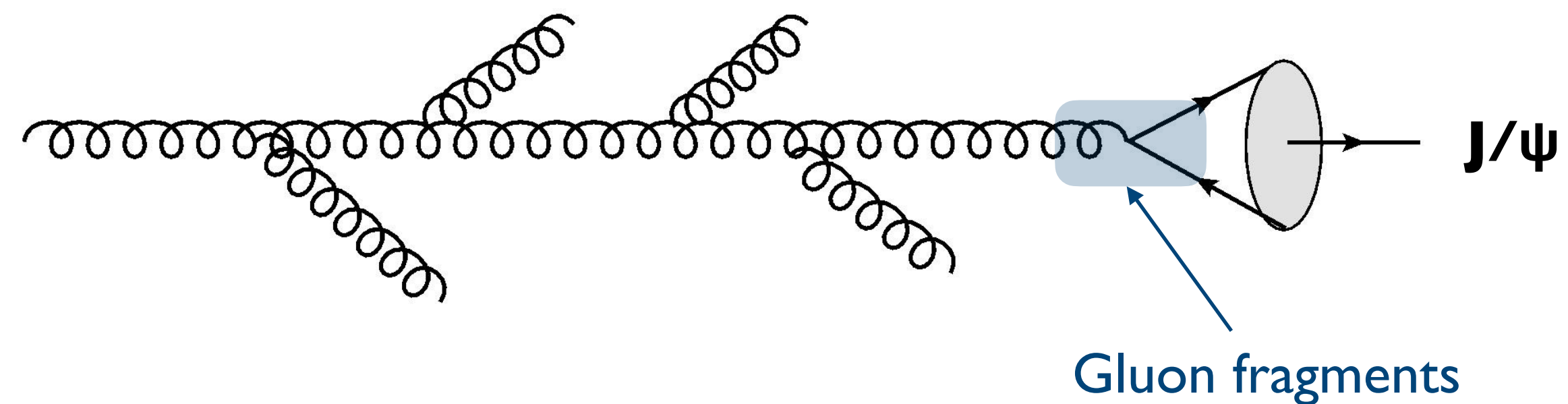
$\hookrightarrow$  jet w/  $J/\psi$

# Explaining difference between NLL' vs Pythia

PYTHIA's model for showering color-octet  $c\bar{c}$  pairs:



Physical picture of analytical calculation

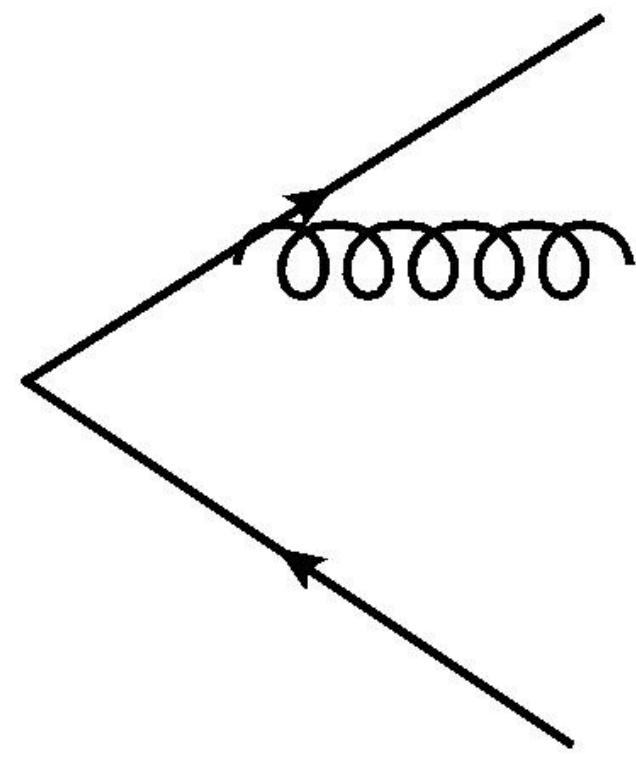


Pythia z distributions much harder than NLL' calculations

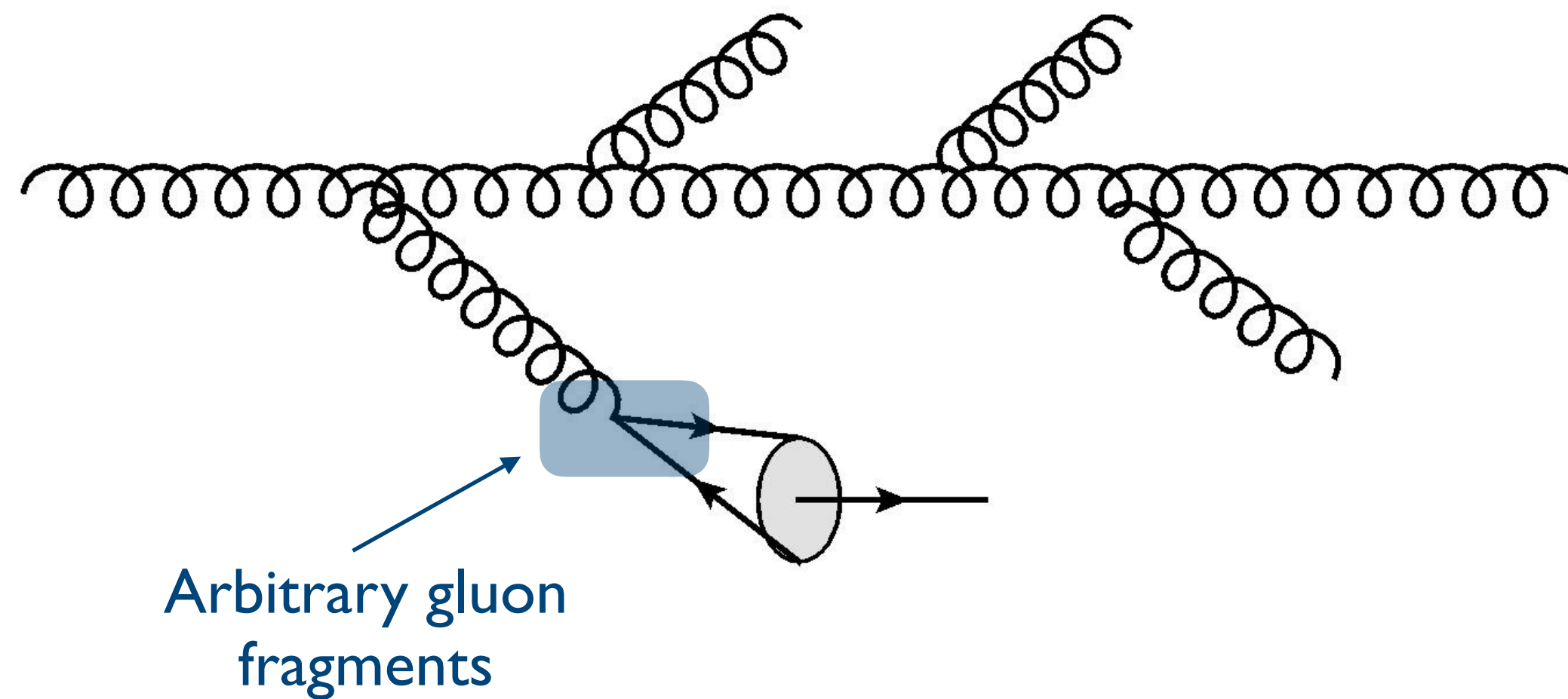
# Gluon Fragmentation Improved PYTHIA (GFIP)

## Madgraph 5

$$e^+e^- \rightarrow b\bar{b}g$$



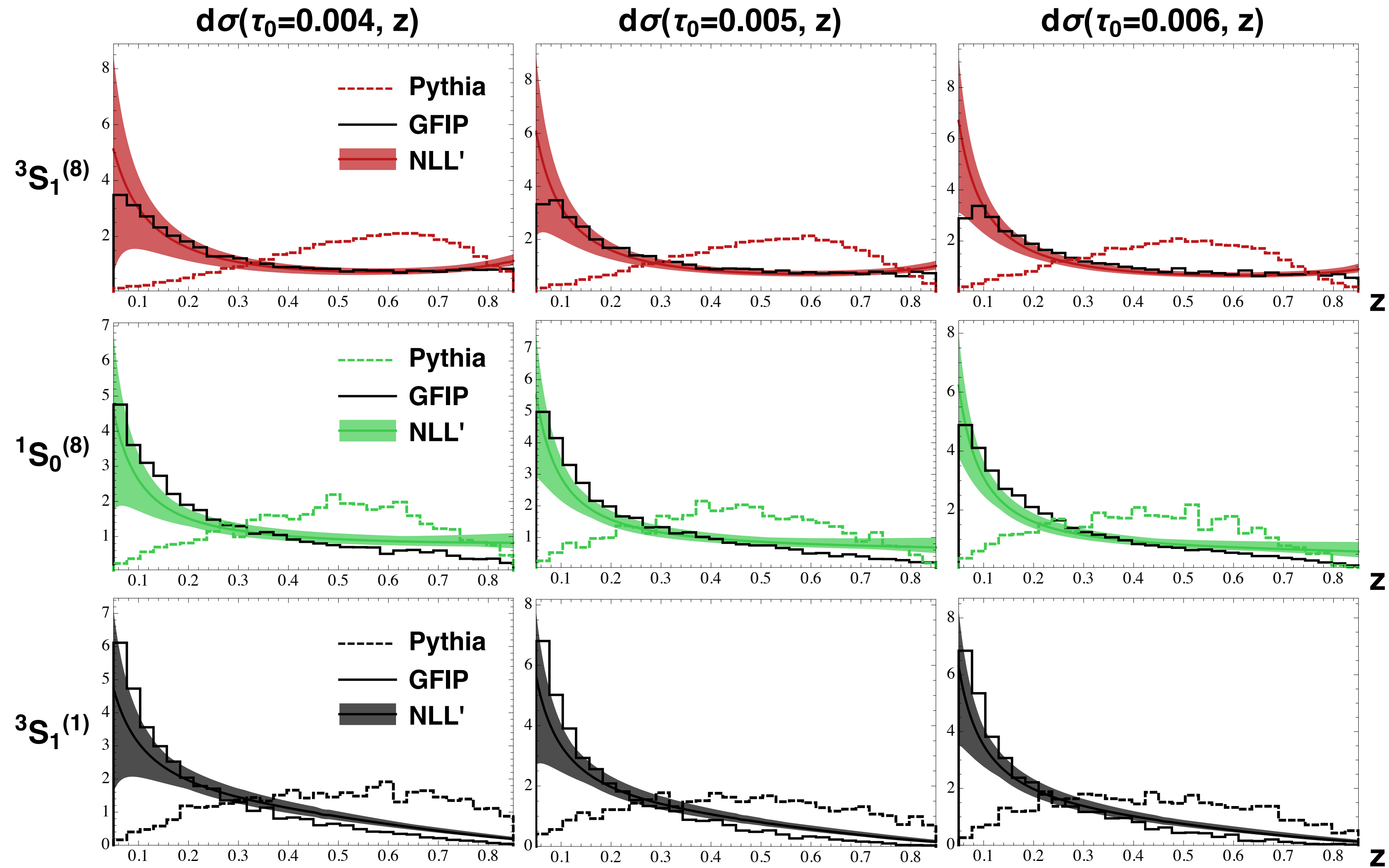
## PYTHIA + Convolution



shower gluon with PYTHIA down to scale  $\sim 2m_c$ , no hadronization

convolve final state gluon distribution w/ NRQCD FFs

# NLL', PYTHIA, and GFIP





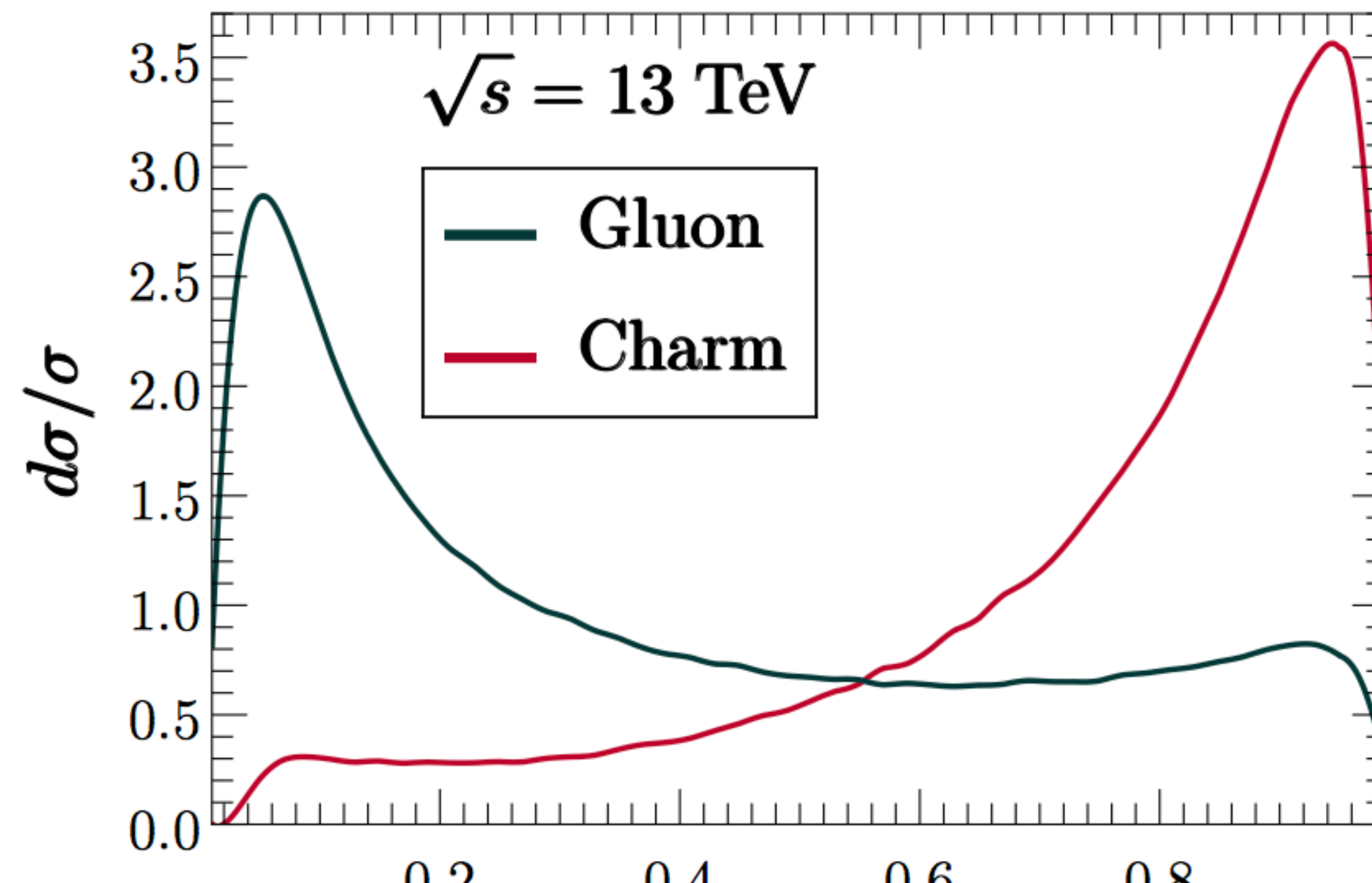
# GFIP and Recent LHCb Observations

R. Bain, L. Dai, A. K. Leibovich, Y. Makris, T. Mehen, PRL 119 (2017) 3, 032002, arXiv:1702.5525

generate events with hard c-quark, gluons

LHCb: pp collisions  $\sqrt{s} = 13$  TeV cuts:  $2 < \eta < 4.5$   
 $R = 0.5$   
 $p_{T, \text{JET}} < 20$  GeV  
 $p_{\mu} < 5$  GeV

evolve shower to scale  $\sim 2m_c$



convolve w/ NRQCD FF for c quarks, gluons  $\sim 2m_c$

LHCb data is normalized so  $\sum_i \Delta z \left( \frac{d\sigma}{\sigma} \right)_i = \Delta z$

compare  $0.1 < z < 0.9$

Use following three sets of LDMEs

	$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[1]}) \rangle$ $\times \text{GeV}^3$	$\langle \mathcal{O}^{J/\psi}({}^3S_1^{[8]}) \rangle$ $\times 10^{-2} \text{GeV}^3$	$\langle \mathcal{O}^{J/\psi}({}^1S_0^{[8]}) \rangle$ $\times 10^{-2} \text{GeV}^3$	$\langle \mathcal{O}^{J/\psi}({}^3P_0^{[8]}) \rangle / m_c^2$ $\times 10^{-2} \text{GeV}^3$
B & K [5, 6]	$1.32 \pm 0.20$	$0.224 \pm 0.59$	$4.97 \pm 0.44$	$-0.72 \pm 0.88$
Chao, et al. [12]	$1.16 \pm 0.20$	$0.30 \pm 0.12$	$8.9 \pm 0.98$	$0.56 \pm 0.21$
Bodwin et al. [13]	$1.32 \pm 0.20$	$1.1 \pm 1.0$	$9.9 \pm 2.2$	$0.49 \pm 0.44$

Butenschoen and Kniehl, PRD 84 (2011) 051501

**global fits to world's data**

Chao, et. al. PRL 108, 242004 (2012)

**fits to high  $p_T$  hadron collider data**

Bodwin, et. al., PRL 113, 022001 (2014)

# FJF and Recent LHCb Observations

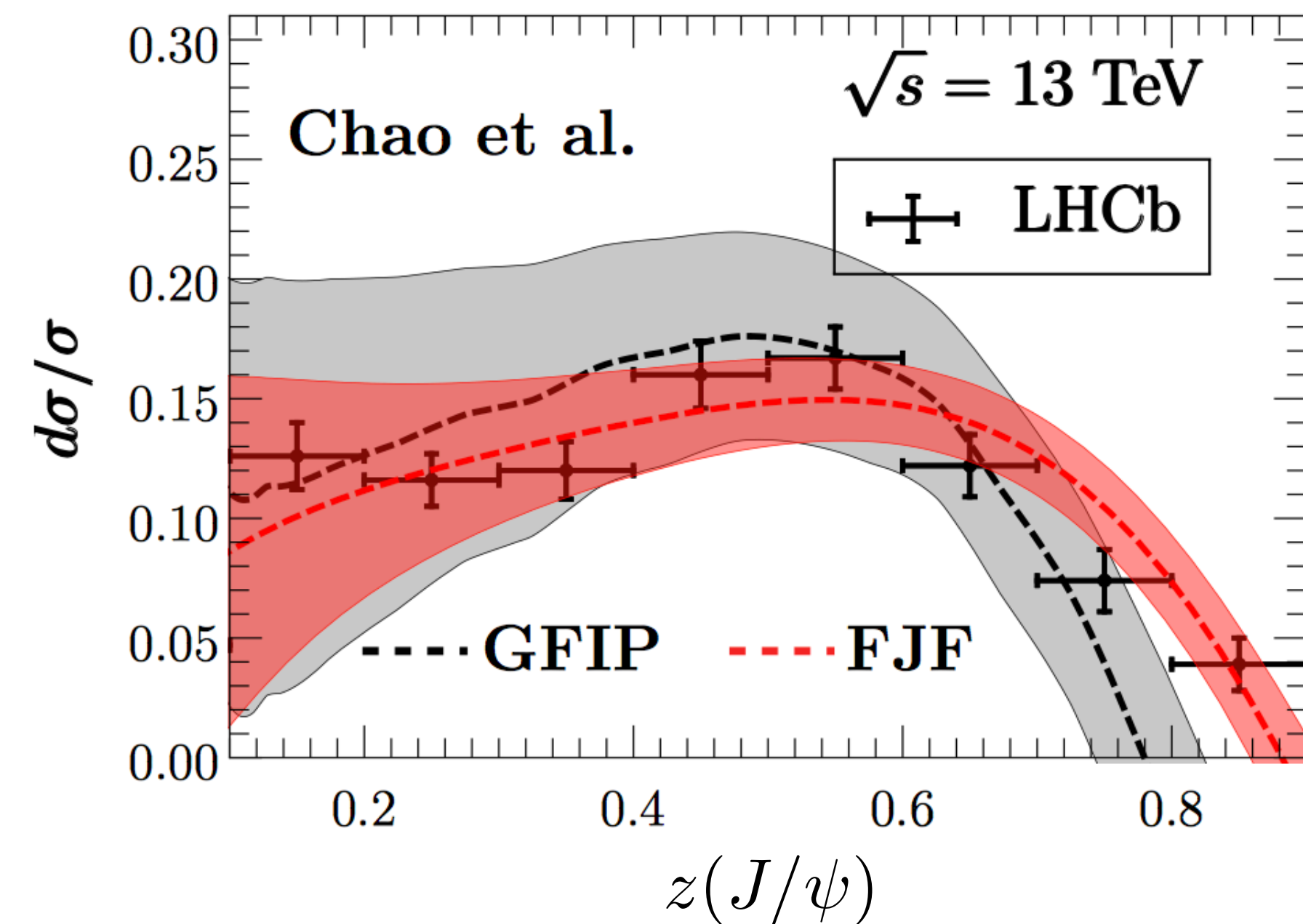
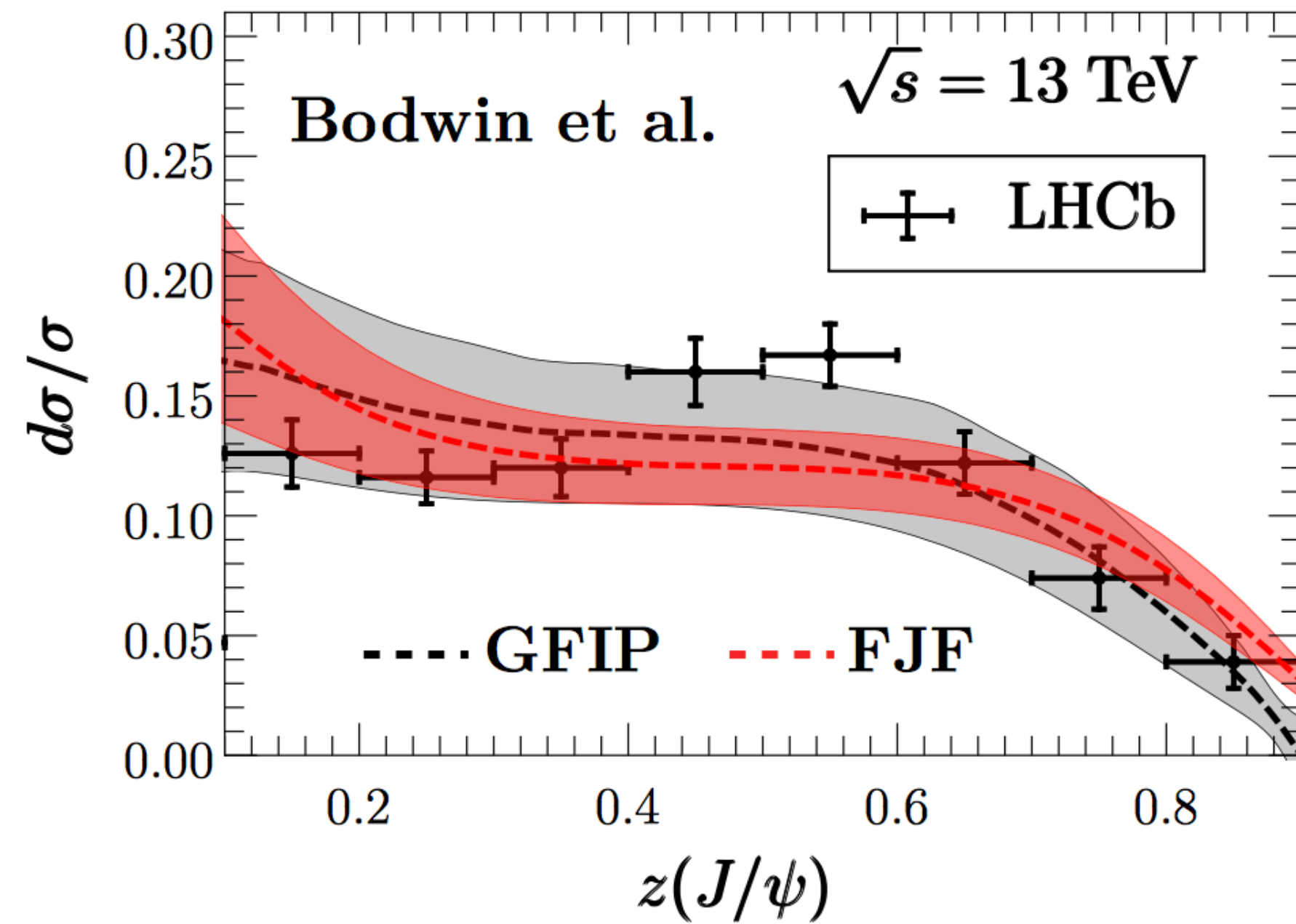
combine FJFs with hard events generated by Madgraph

NRQCD FFs evolved from  $2m_c$  to jet energy scale using DGLAP

factorization theorem with tree level hard function,  
trivial soft function, no NLL' resummation

FJF is only term in factorization dependent on  $z(J/\psi)$

# Results

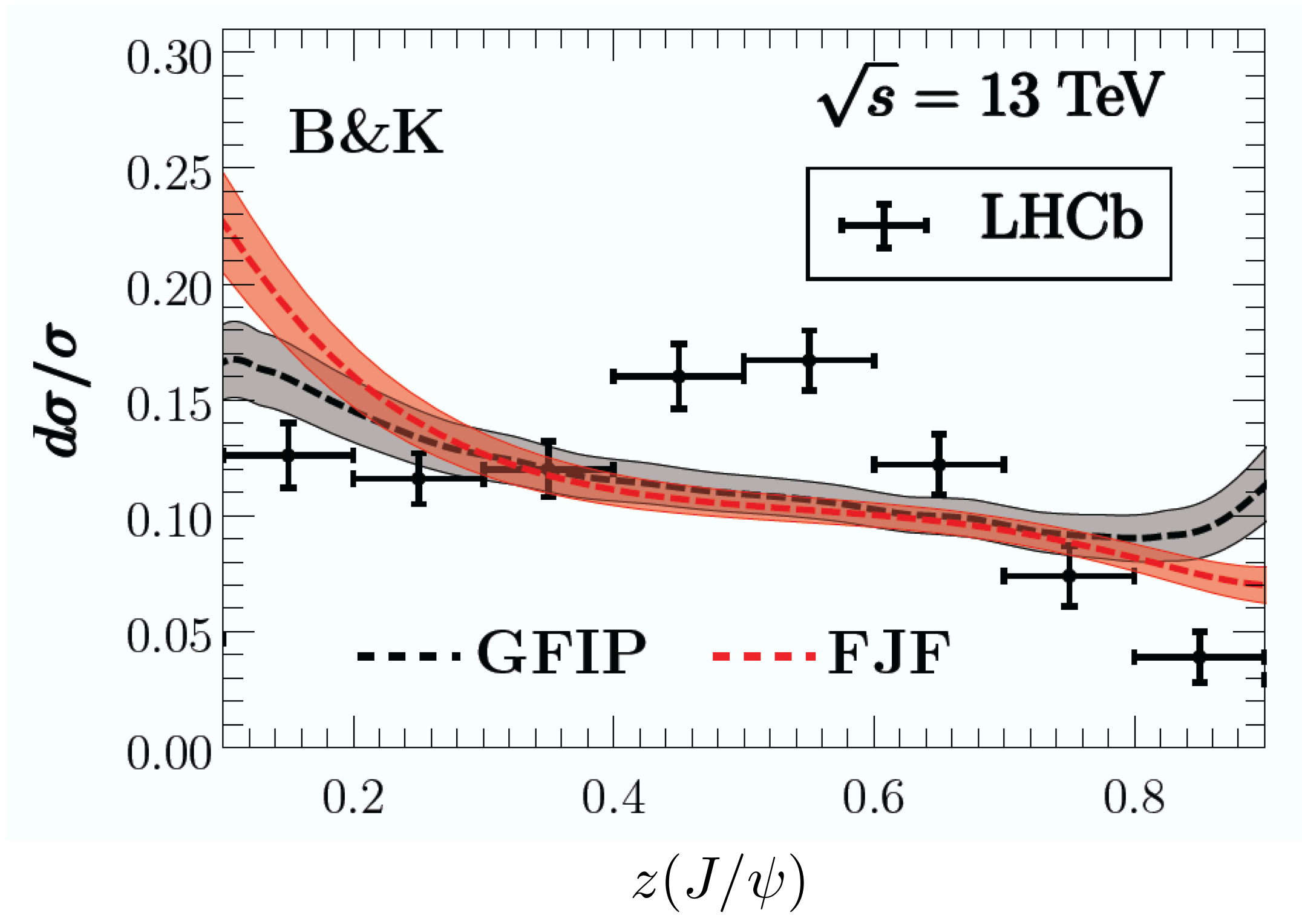


FJFs, GFIP consistent

LDME from fits high  $p_T$  agree with LHCb



# Results



LDME from global fits:  
poorer agreement with LHCb, better than PYTHIA

# Future Measurements

polarization of  $J/\psi$  in jets

Z.-B. Kang, J.-W. Qiu, F. Ringer, H. Xing, H. Zhang, PRL 119 (2017) 032001

absolute cross sections

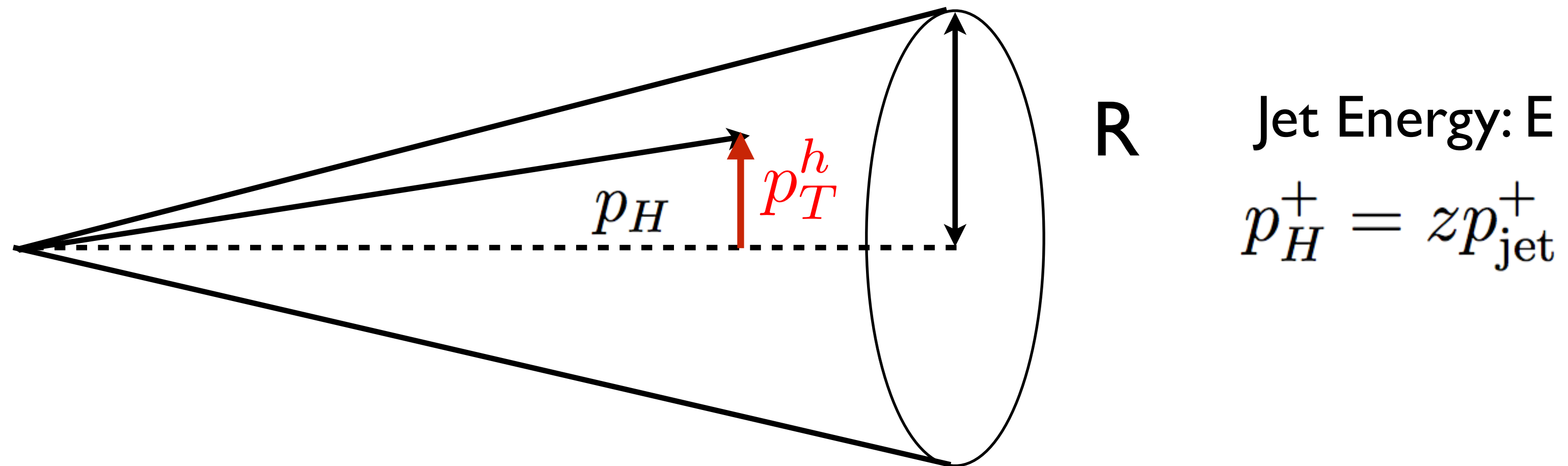
alternative jet definitions, e.g., soft drop

$p_T$  dependent FJFs

# Transverse Momentum Dependent FJFs

R. Bain, Y. Makris, TM, JHEP 1611 (2016) 144

jets with identified hadron: hadron  $z$ ,  $p_T$  are both measured

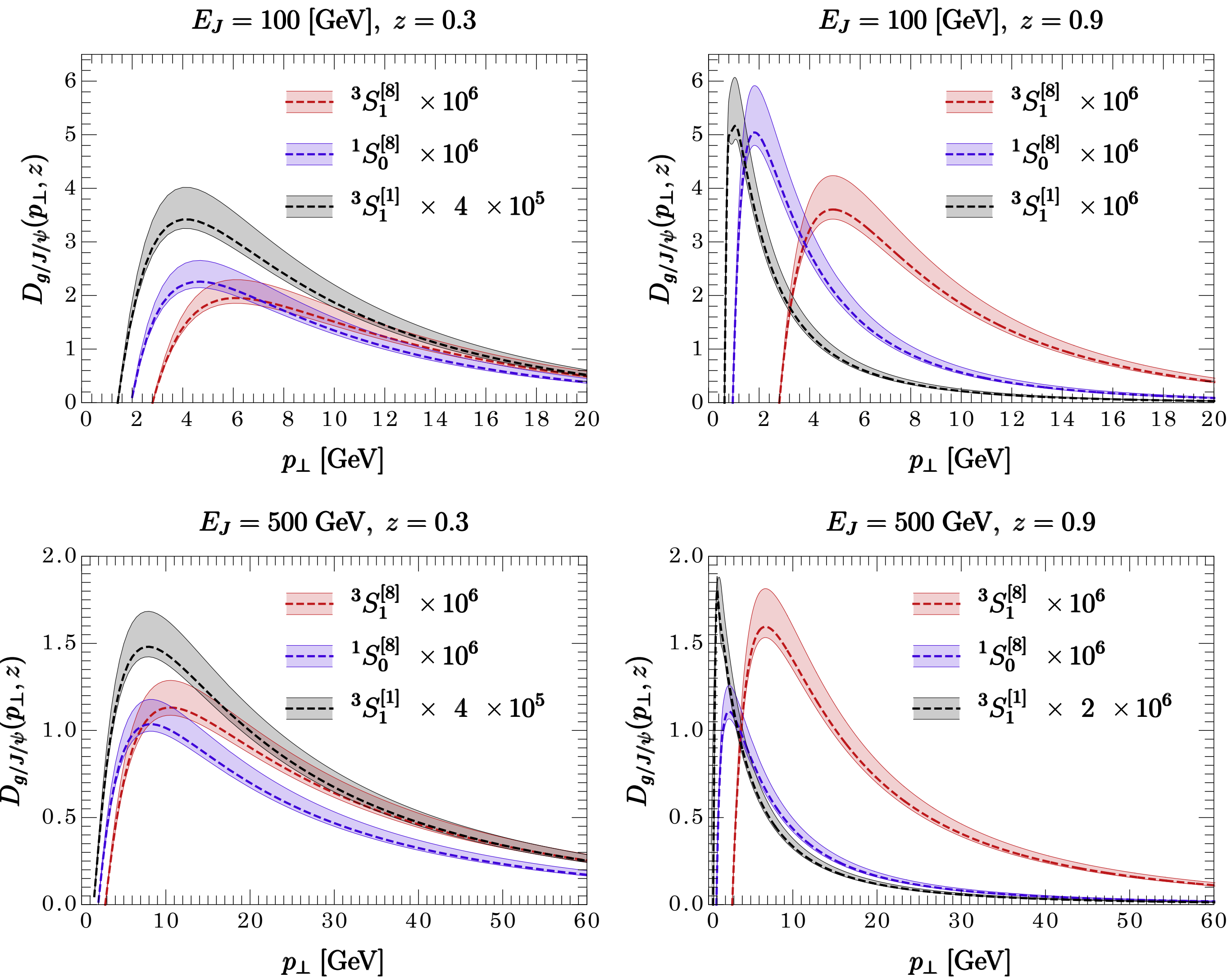


transverse momentum measured w/ rspt. to jet axis

jet axis  $\sim$  parton initiating jet if out of jet radiation is ultrasoft

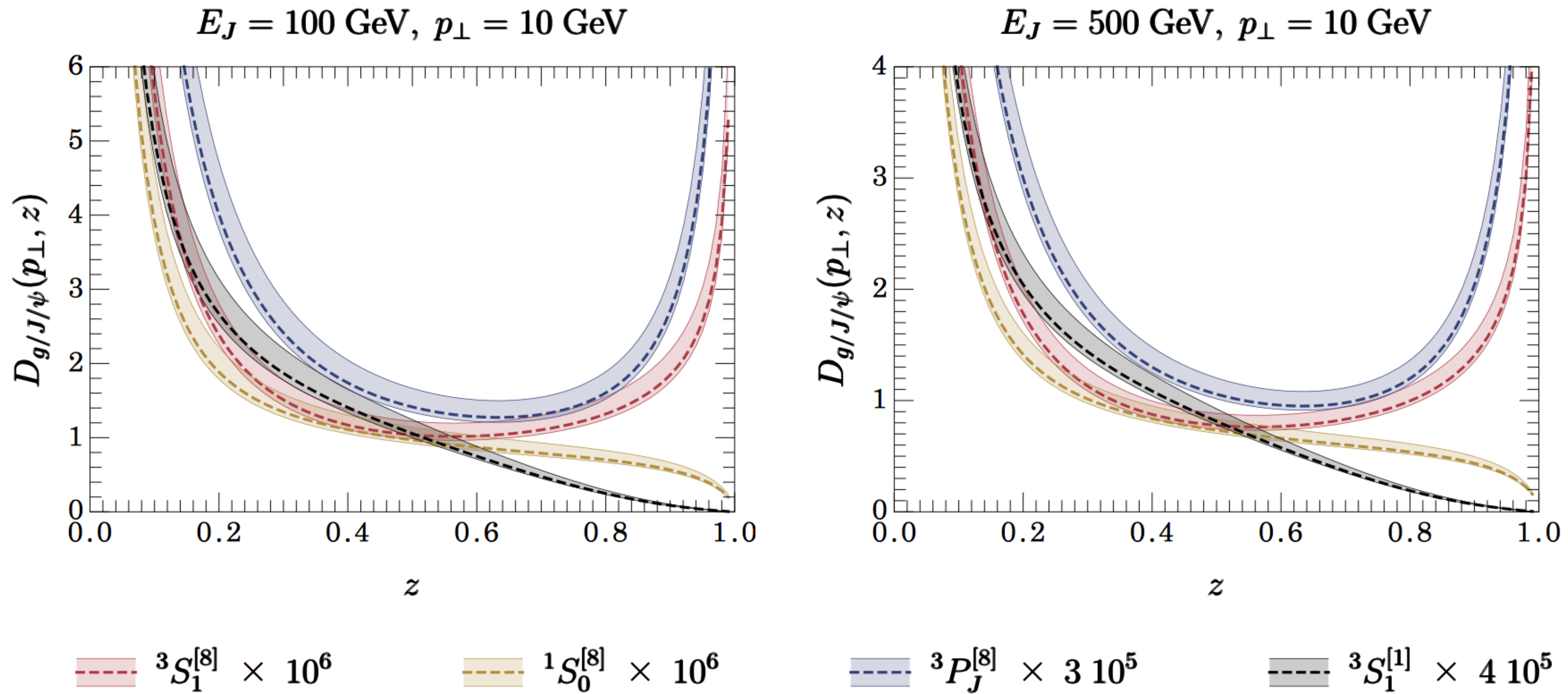
$$\omega \gg p_T^h \gg \Lambda \gg \Lambda_{\text{QCD}}$$

# Application to Quarkonium Production



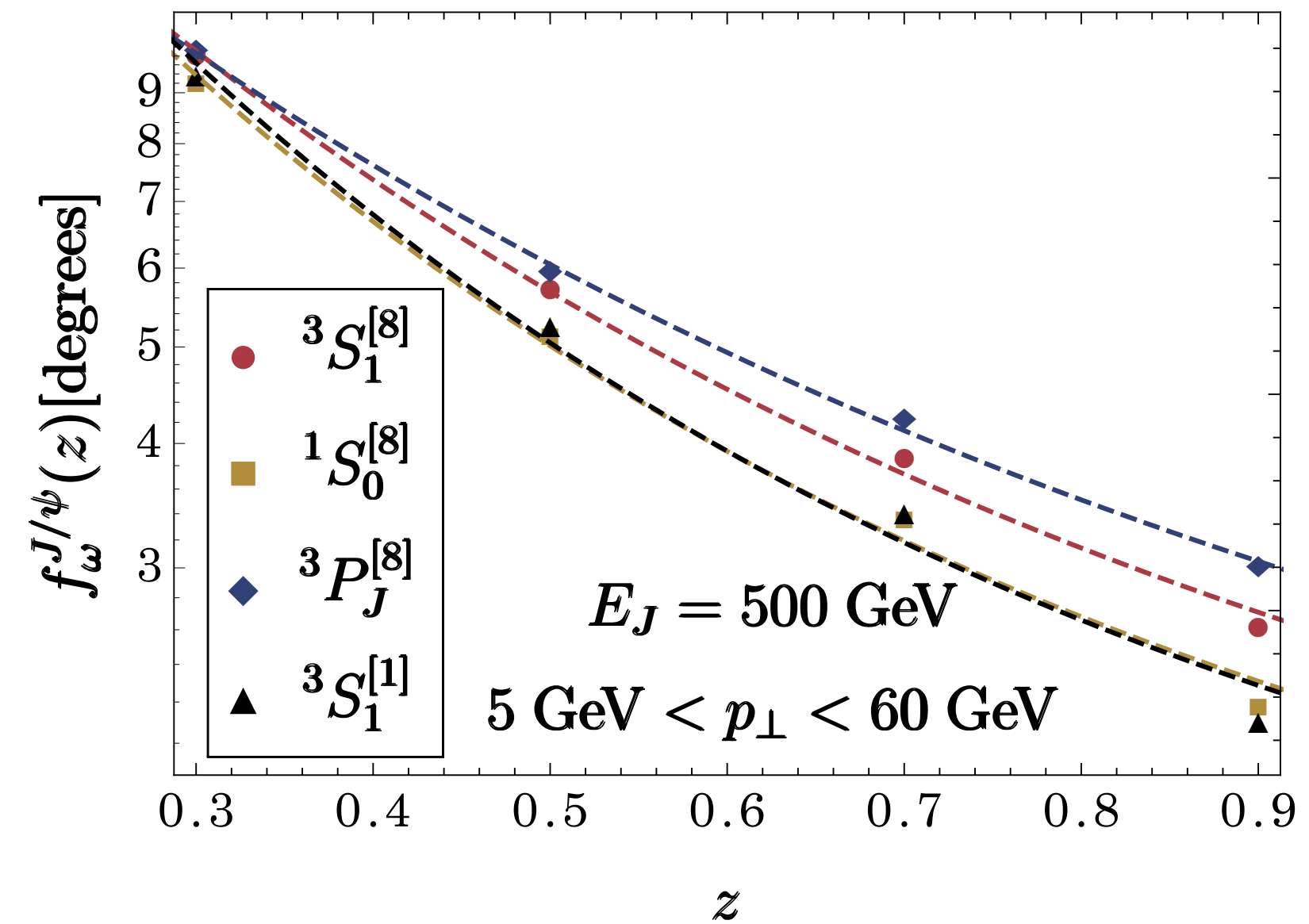
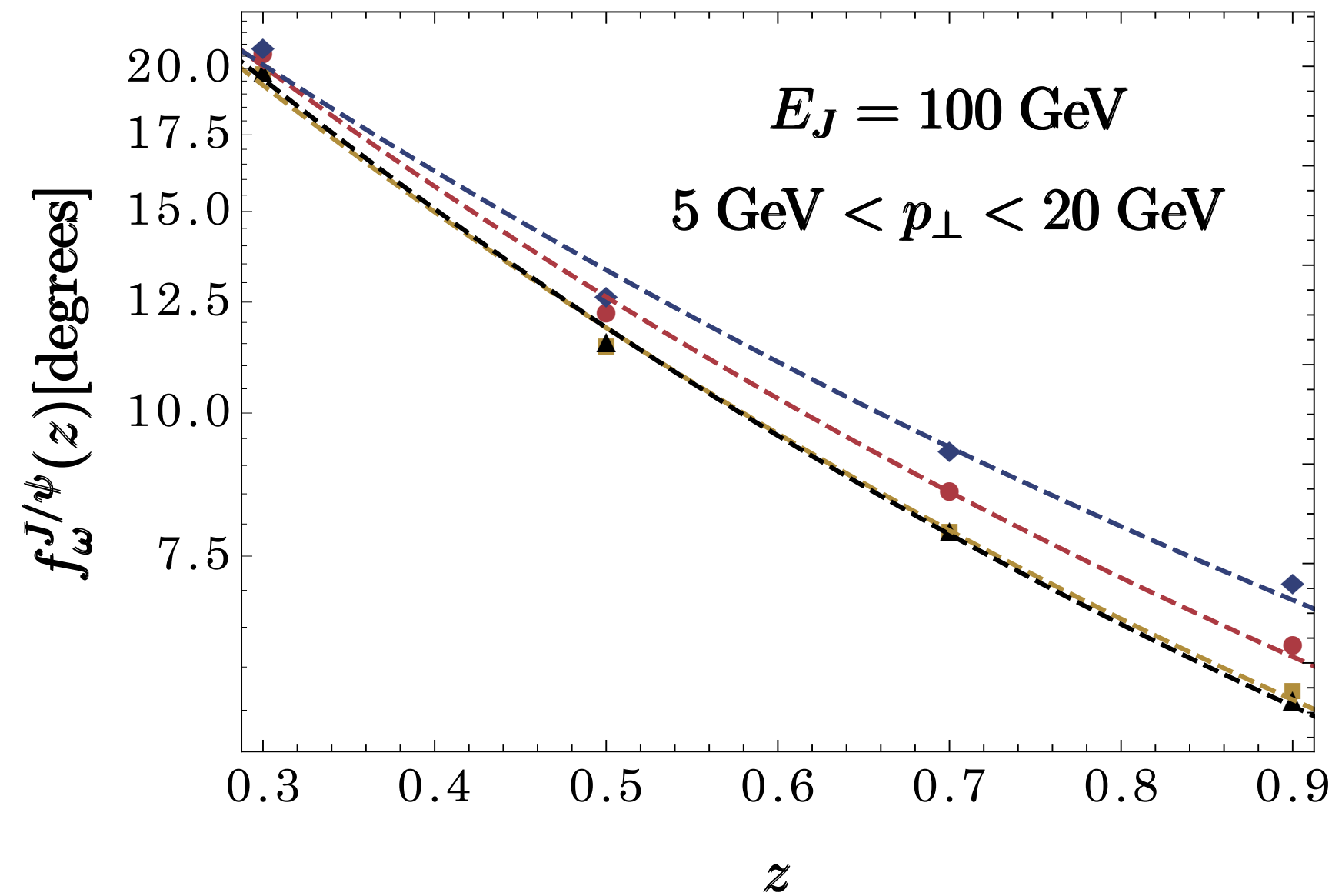


# Application to Quarkonium Production



# Application to Quarkonium Production

$$\langle \theta \rangle(z) \sim \frac{2 \int dp_{\perp} p_{\perp} D_{g/h}(p_{\perp}, z, \mu)}{z\omega \int dp_{\perp} D_{g/h}(p_{\perp}, z, \mu)} \equiv f_{\omega}^h(z)$$



$E_J = 100 \text{ GeV}$		
$2S+1 L_J^{[1,8]}$	$C_0$	$C_1$
$3 S_1^{[1]}$	3.92	0.92
$3 S_1^{[8]}$	3.86	0.84
$1 S_0^{[8]}$	3.88	0.90
$3 P_J^{[8]}$	3.75	0.74

$E_J = 500 \text{ GeV}$		
$2S+1 L_J^{[1,8]}$	$C_0$	$C_1$
$3 S_1^{[1]}$	3.75	1.68
$3 S_1^{[8]}$	3.48	1.39
$1 S_0^{[8]}$	3.66	1.64
$3 P_J^{[8]}$	3.28	1.20

$$\ln(f(x)) = g(x; C_0, C_1) \text{ s.t. } g(x=0) = C_0$$

$$g_2(x) = C_0 \exp(-C_1 x)$$

## Conclusions

measuring quarkonia within jets and using jet observables  
should provide insights into quarkonia production

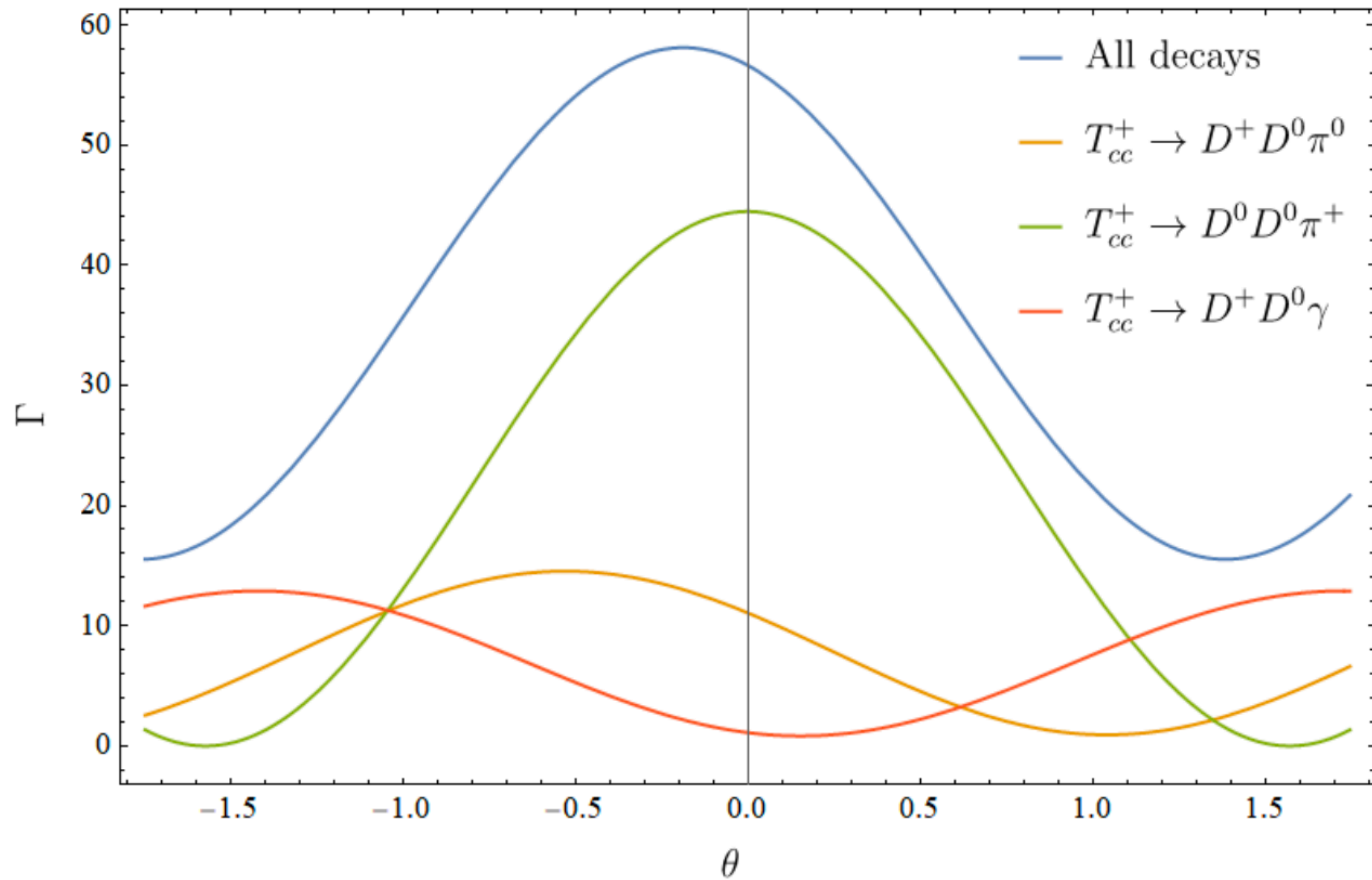
If  $^1S_0^{(8)}$  mechanism dominates high  $p_T$  production  
FJF should have negative slope for  $z(E)$ , for  $z > 0.5$

LHCb data on  $z(J/\psi)$  well-described by FJF, GFIP  
improvement over default PYTHIA, consistent w/ NLL' calculations  
LDME extracted from high  $p_T$  slightly preferred

TMD FJFs:  $p_T^h, \theta$  discriminate between NRQCD mechanisms

Back Up Slides





this agrees well with the same plot by Meng et al. (2107.14784)