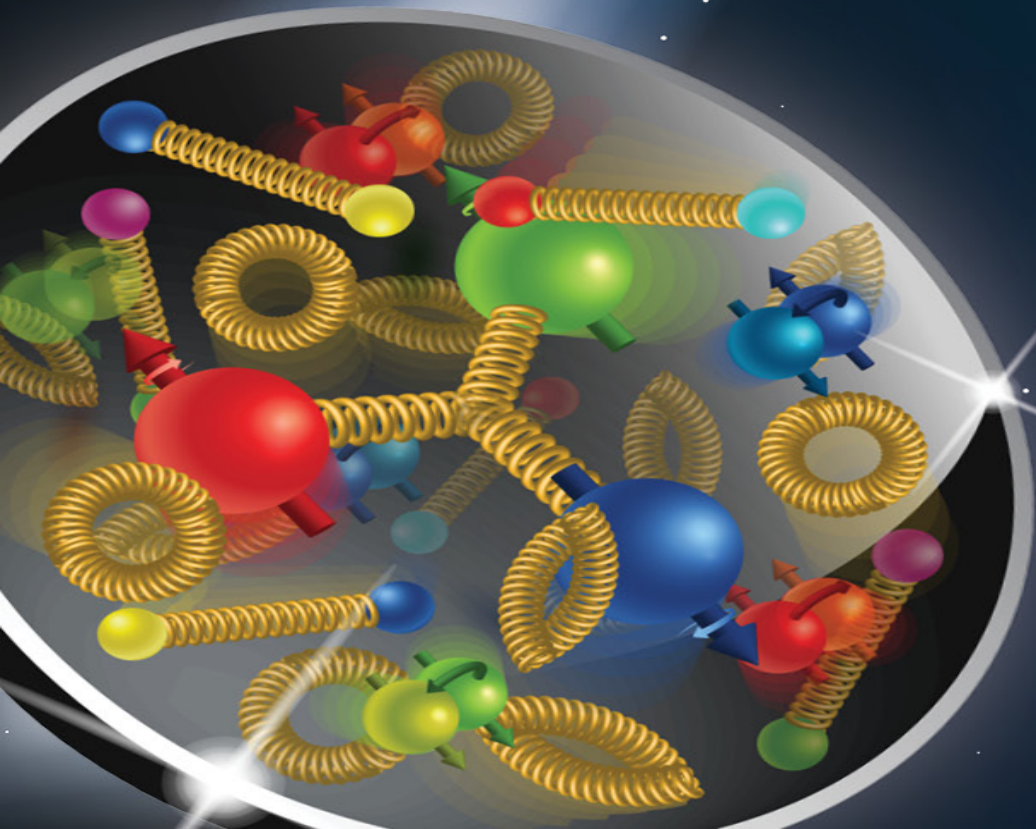


Far-Forward Physics @ the EIC: Lecture 1

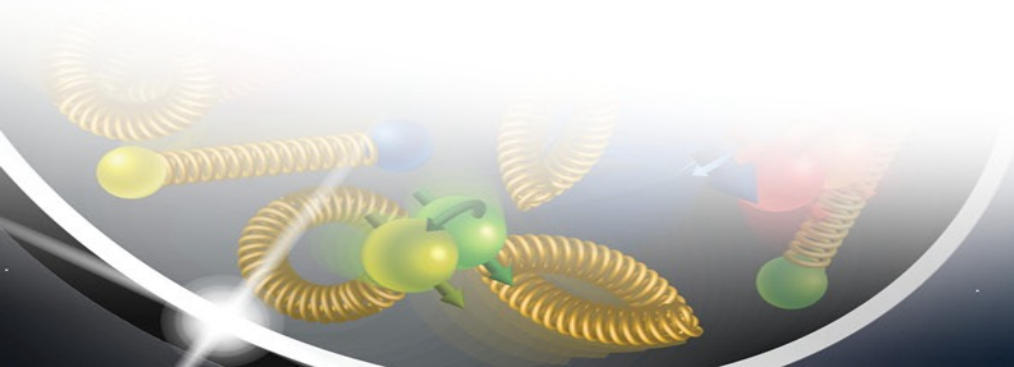
Alex Jentsch, *Brookhaven National Lab*
ajentsch@bnl.gov

EIC Summer School
July 11th - 22nd, 2022
Stony Brook University



About me

- PhD from UT Austin in 2019 – studying heavy-flavor in heavy-ion collisions @ STAR.
 - Found heavy-ion a bit difficult w.r.t. disentangling fundamental physics from phenomenology.
- Moved to BNL 3 days after graduation with my spouse and animals to work on the EIC.
 - Became heavily involved in the development of the FF physics program and associated detectors.
 - Also work on p+p spin analysis in STAR.
- Served as a convener for the FF detector development during the Yellow Report, the ATHENA detector proposal, and now for the EIC detector 1 collaboration.



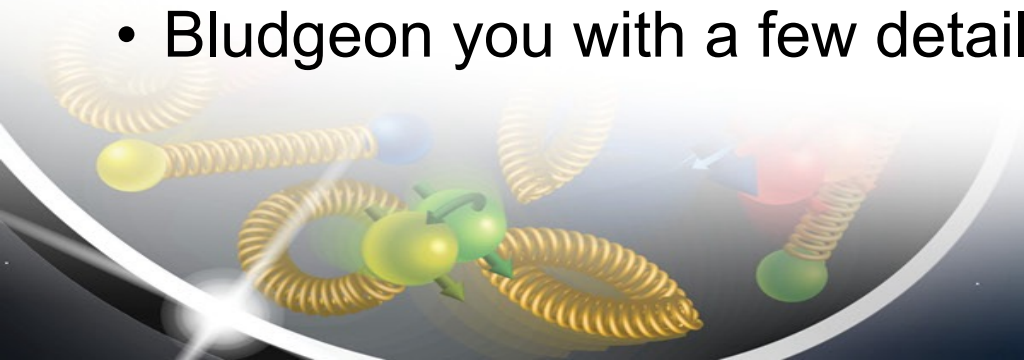
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- Trivia: I play in an 80s cover band on Long Island (VHS).

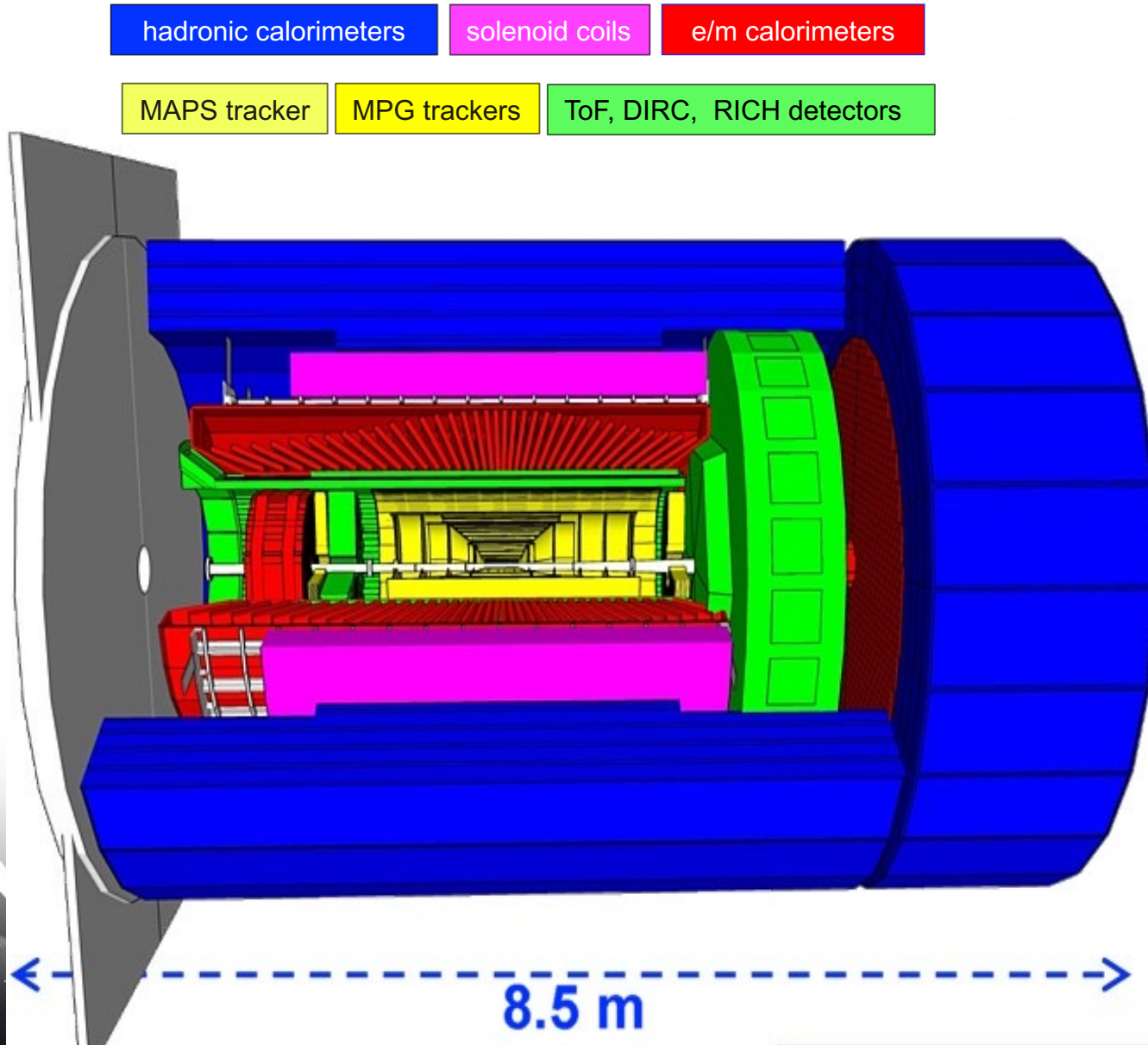


I hate outlines

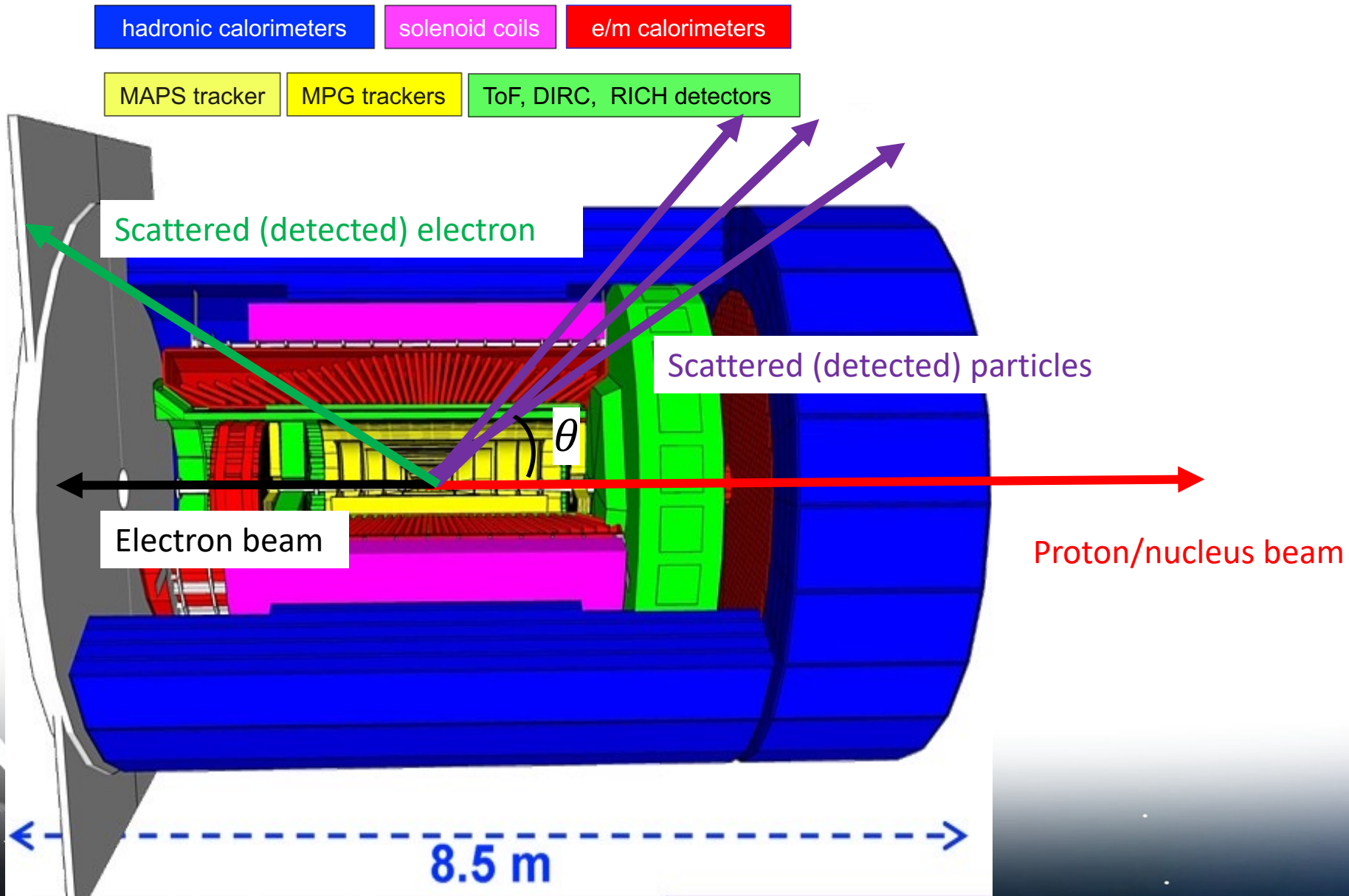
- Lecture 1 (today)
 - Introduce basic idea of “far-forward” physics, with great damage done to proper terminology.
 - Pardon me, I am an experimentalist ☺
 - Discuss some examples which I find interesting, and for which a direct observable can be described.
 - These are *biased* discussions – I cannot cover everything, so the things I leave out should reflect on the general physics program.
- Lecture 2 (tomorrow)
 - Discuss the “how” for measuring these final-states.
 - Bludgeon you with a few details to provide more than just an overview.



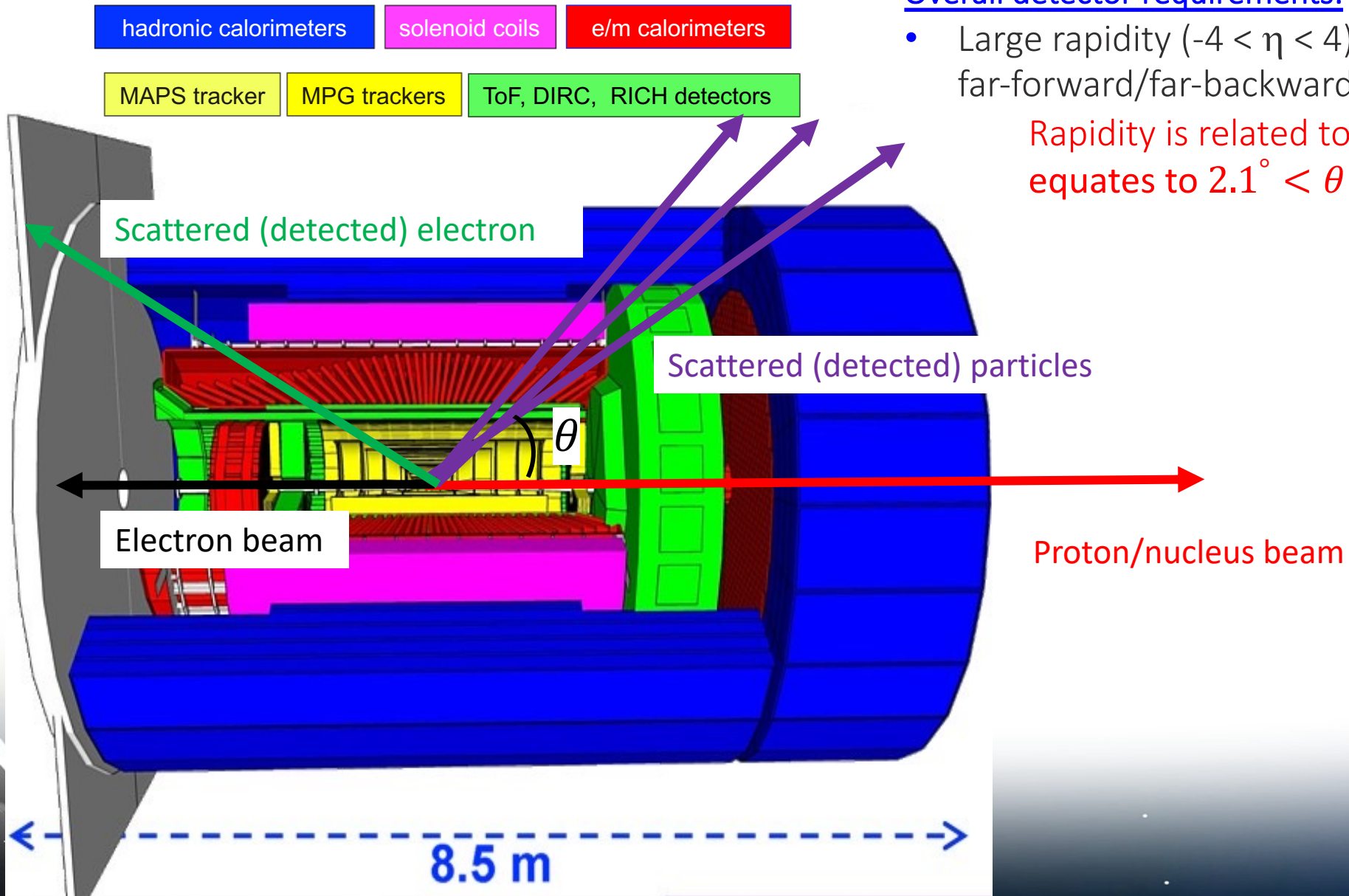
What is meant by Far-Forward?



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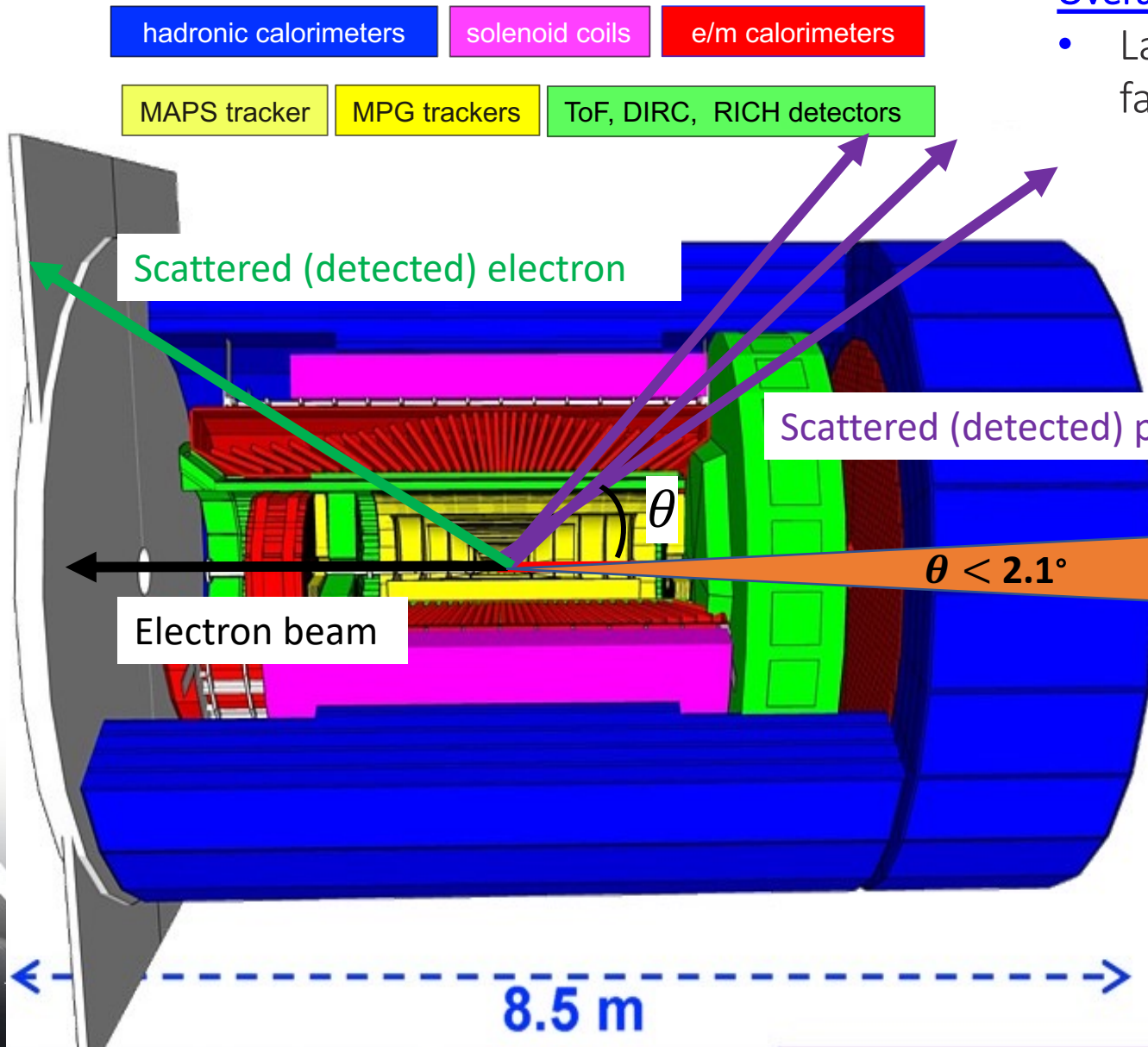


Overall detector requirements:

- Large rapidity ($-4 < \eta < 4$) coverage; and far beyond in far-forward/far-backward detector regions

Rapidity is related to the polar angle $\rightarrow 0 < \eta < 4$
equates to $2.1^\circ < \theta < 90^\circ$ $\eta = -\ln(\tan(\theta/2))$
pseudorapidity

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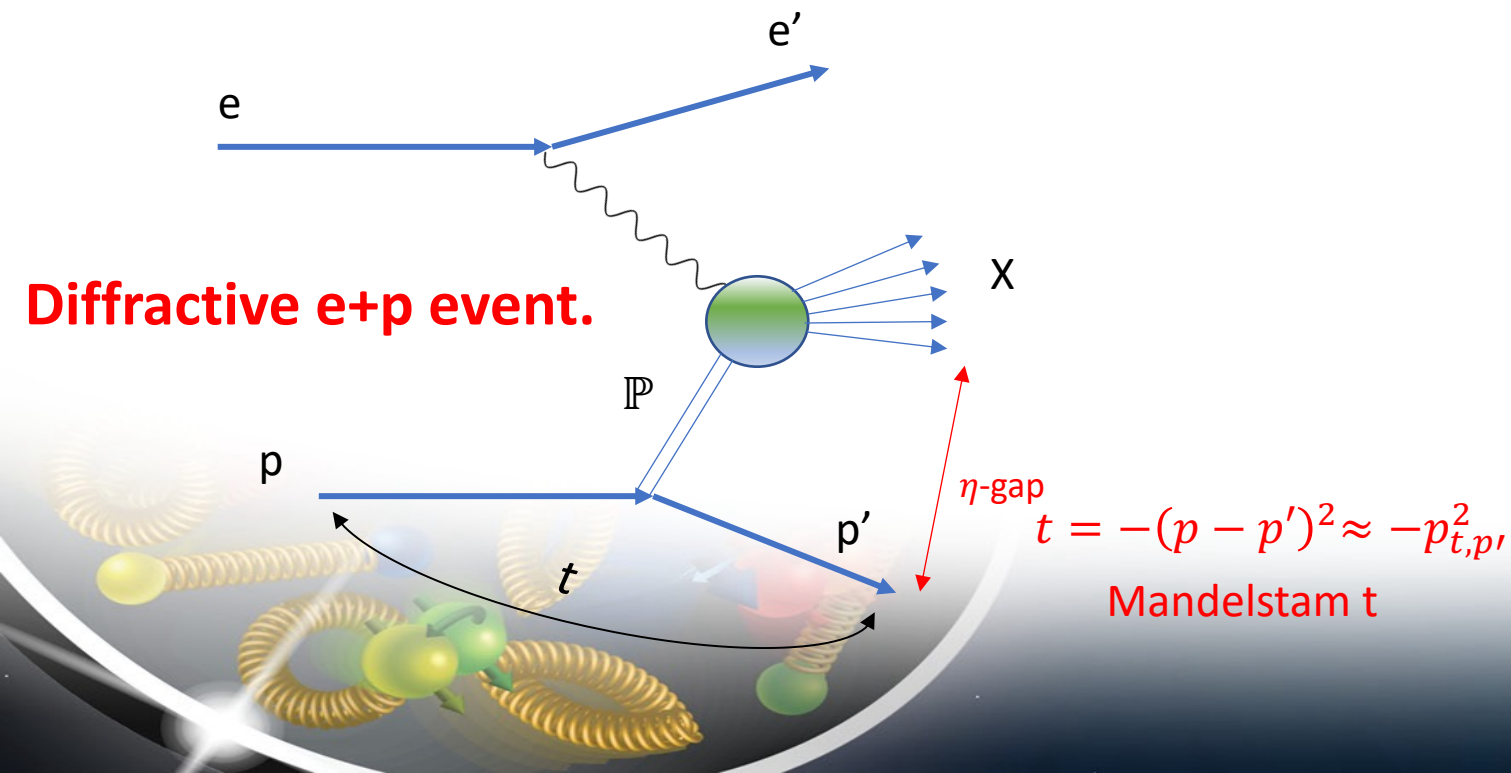
Rapidity is related to the polar angle $\rightarrow 0 < \eta < 4$
equates to $2.1^\circ < \theta < 90^\circ$ $\eta = -\ln(\tan(\theta/2))$
pseudorapidity

**Far-forward here means $\theta < 2.1^\circ$
(~37 mrad)**

When we say “far-forward” physics, we really just mean interactions with some final state particles at very high pseudorapidity (or small angle with respect to the beam).

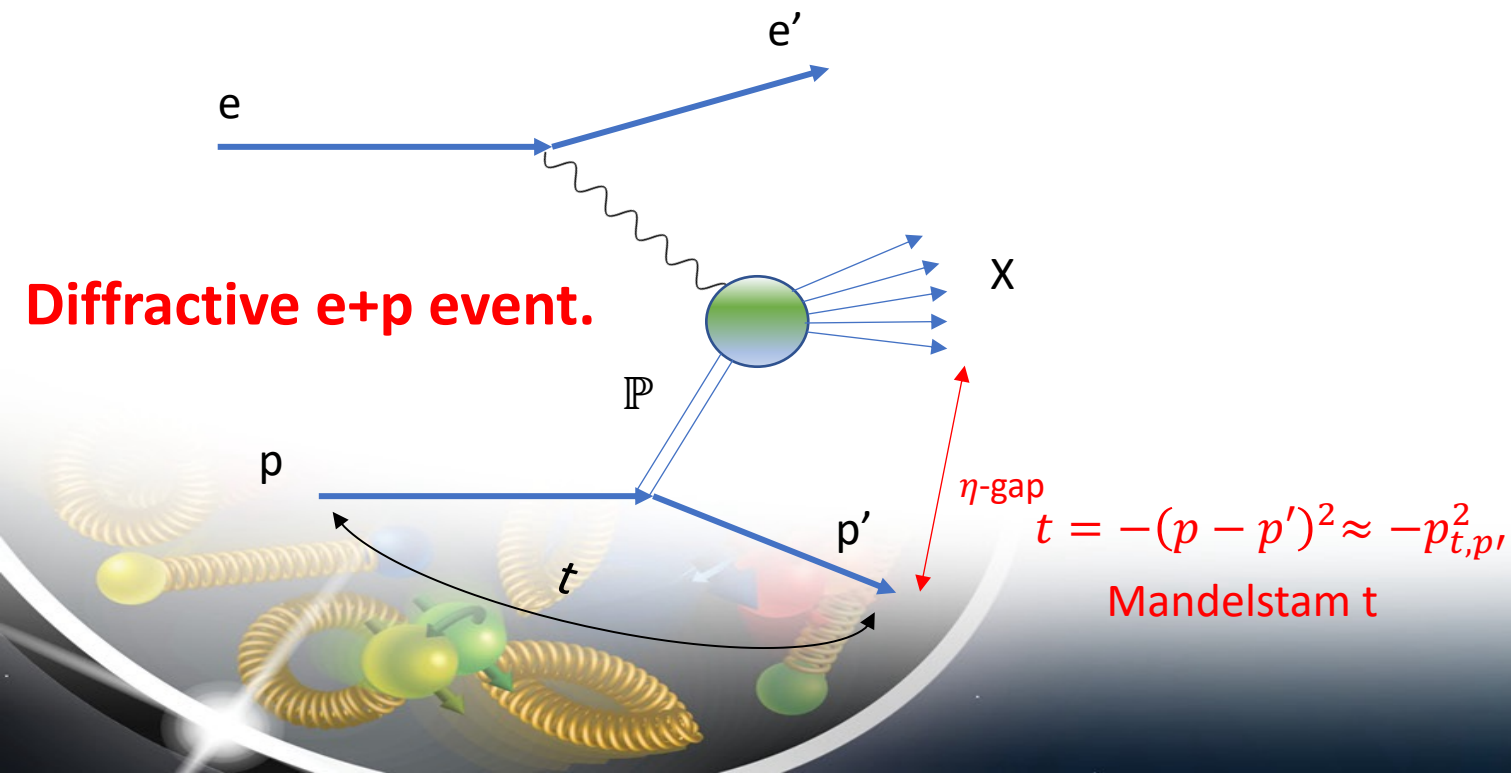
Diffractive + Exclusive Final States

- Diffractive events characterized by an “ η -gap” between jet and scattered proton \rightarrow proton scattered at high pseudorapidity!



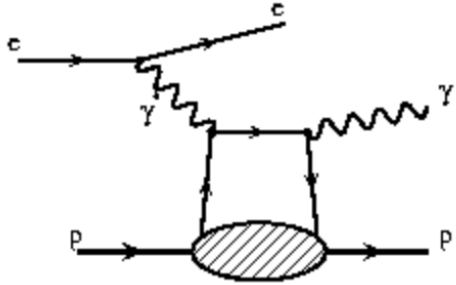
Diffractive + Exclusive Final States

- Diffractive events characterized by an “ η -gap” between jet and scattered proton \rightarrow proton scattered at high pseudorapidity!
- Can be described by color-singlet “pomeron” exchange in Regge theory.
 - Accounts for $\sim 15\%$ of the total $e + p$ cross section at HERA and non-perturbative!
 - HERA: the rest-frame proton was seeing a 50 TeV electron – and 15% of the time the proton didn’t break up!

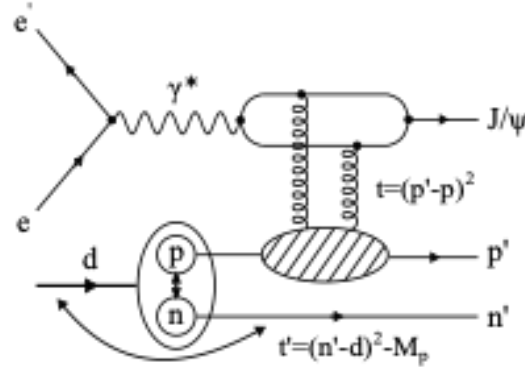


Far-Forward Processes at the EIC

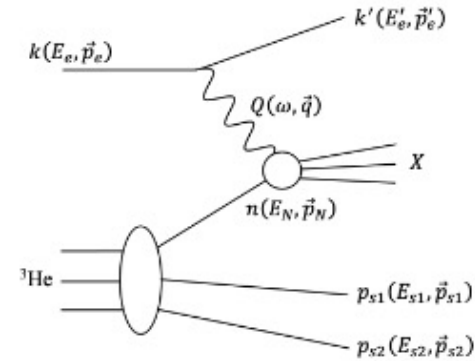
e+p DVCS



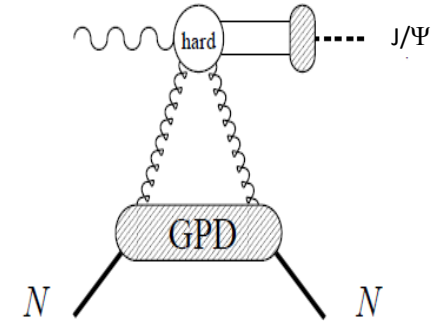
e+d exclusive J/Psi with p/n tagging



e+He3 spectator tagging

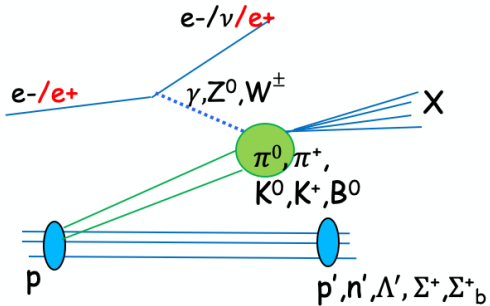


coherent/incoherent J/ψ production in e+A

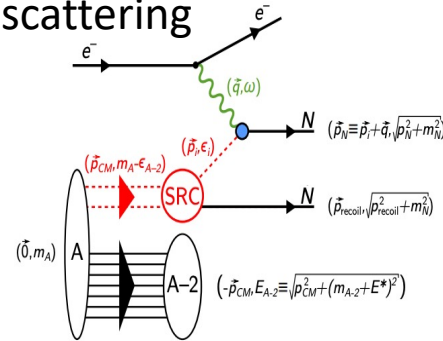


Meson structure:

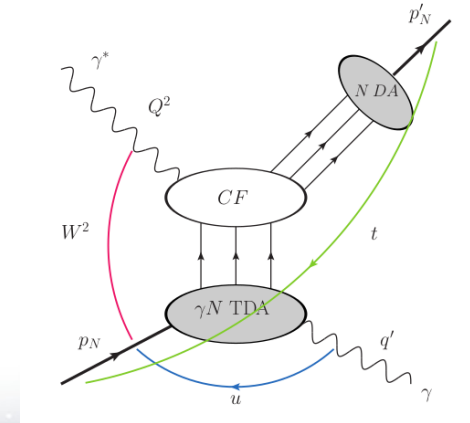
- $ep \rightarrow (\pi) \rightarrow e' n X$
- $\Lambda \rightarrow p \pi^-$ and $\Lambda \rightarrow n \pi^0$



Quasi-elastic electron scattering



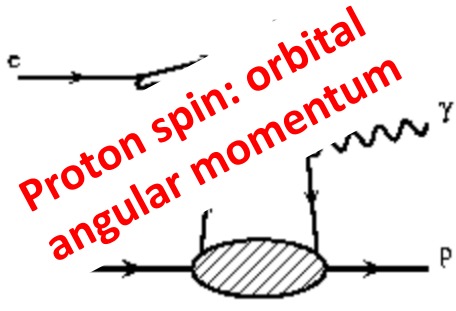
u-channel backward exclusive electroproduction



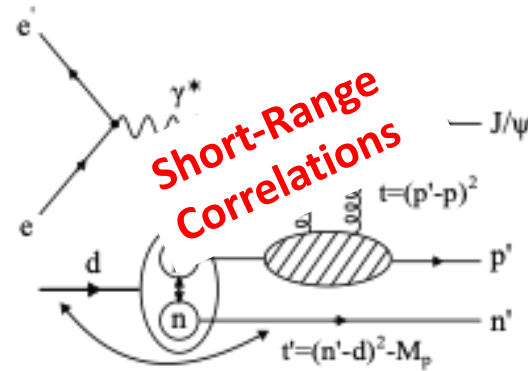
...and MANY more!

Far-Forward **Physics** at the EIC

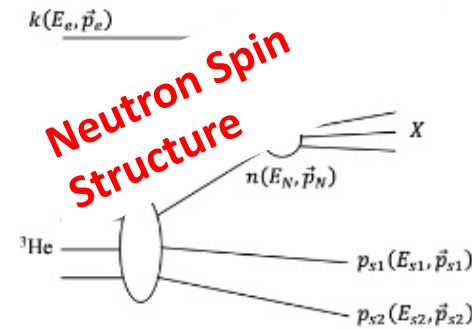
e+p DVCS



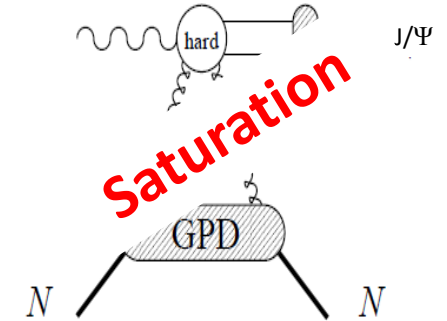
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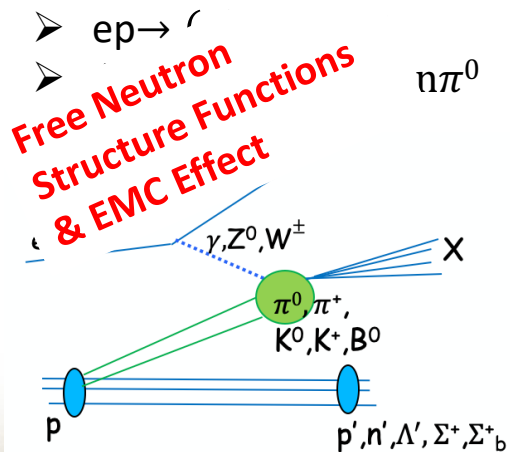
e+He3 spectator tagging



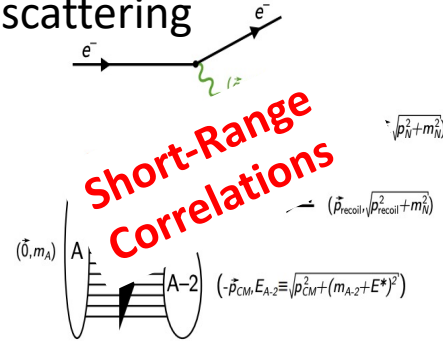
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Meson structure

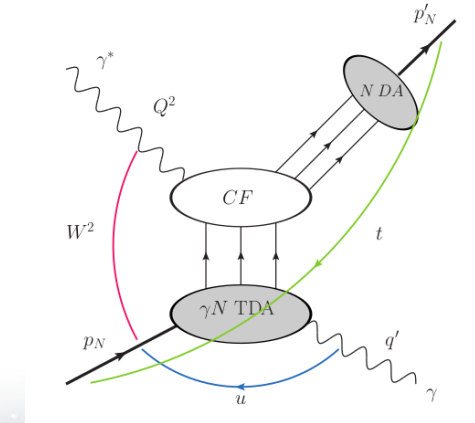


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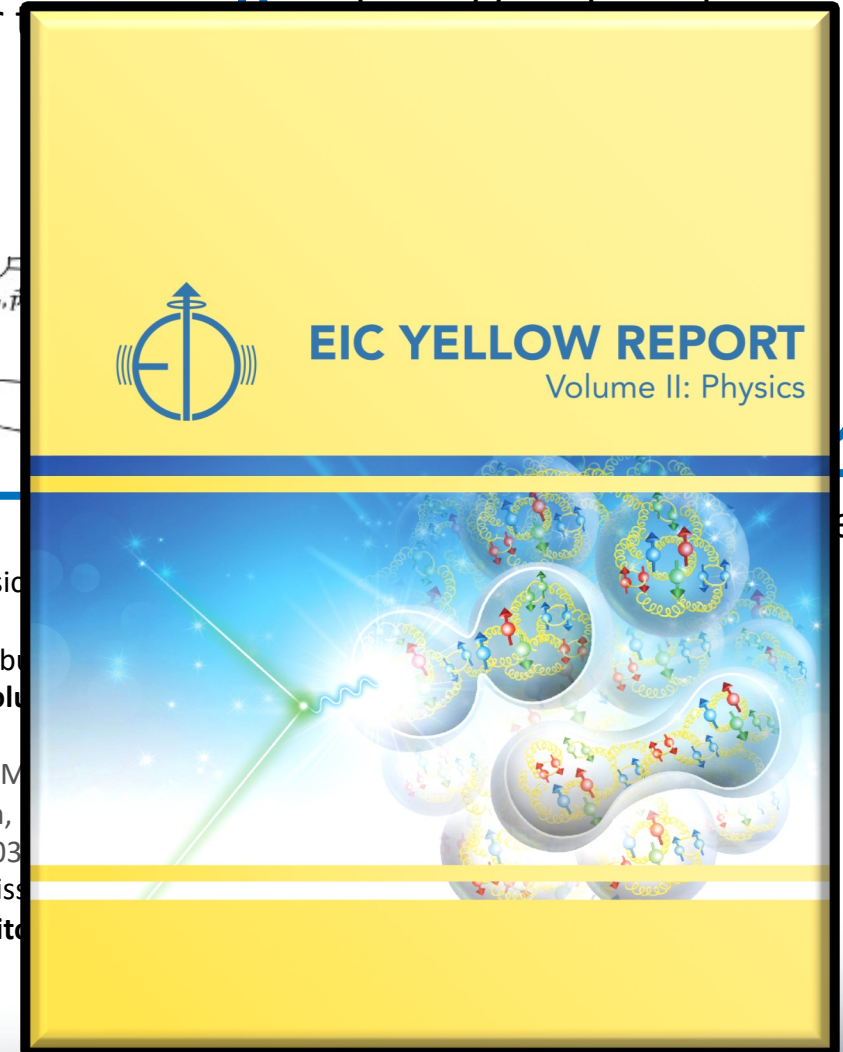
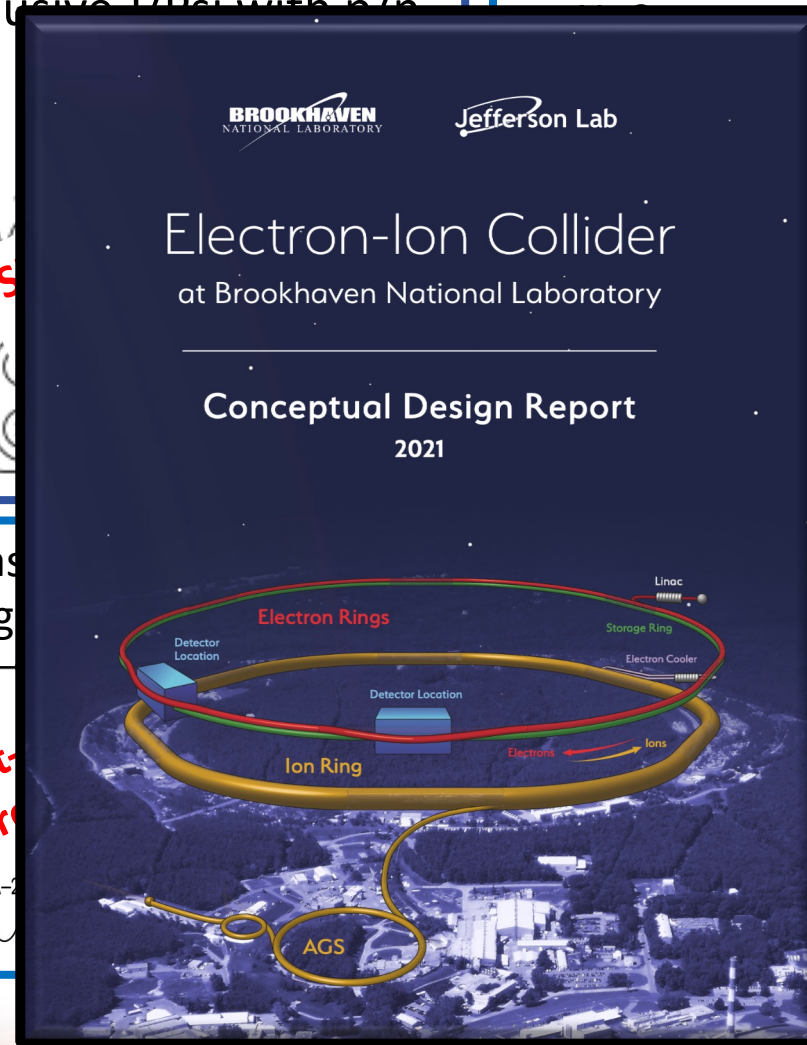
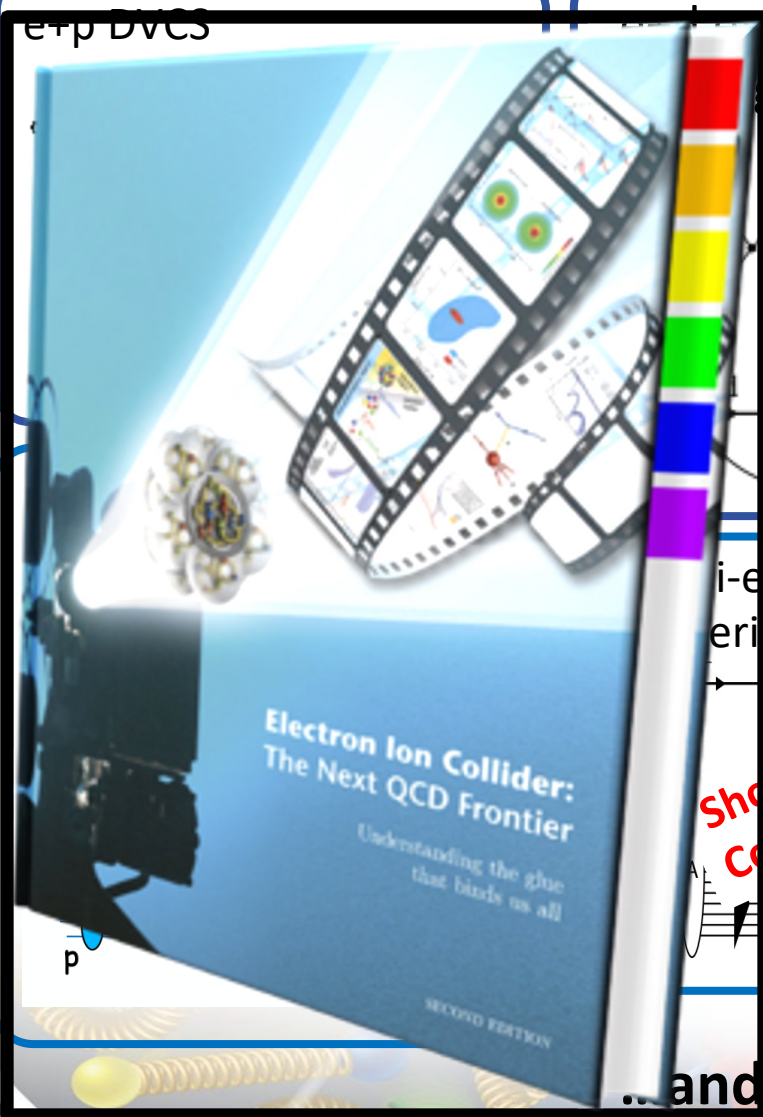
- [1] Z. Tu, A. Jentsch, et al., Physics Letters B, (2020)
- [2] I. Friscic, D. Nguyen, J. R. Pybus, A. Jentsch, et al., Phys. Lett. B, **Volume 823**, 136726 (2021)
- [3] W. Chang, E.C. Aschenauer, M. D. Baker, A. Jentsch, J.H. Lee, Z. Tu, Z. Yin, and L. Zheng, Phys. Rev. D **104**, 114030 (2021)
- [4] A. Jentsch, Z. Tu, and C. Weiss, Phys. Rev. C **104**, 065205, (2021) (Editor's Suggestion)

u-channel backward exclusive electroproduction



...and MANY more!

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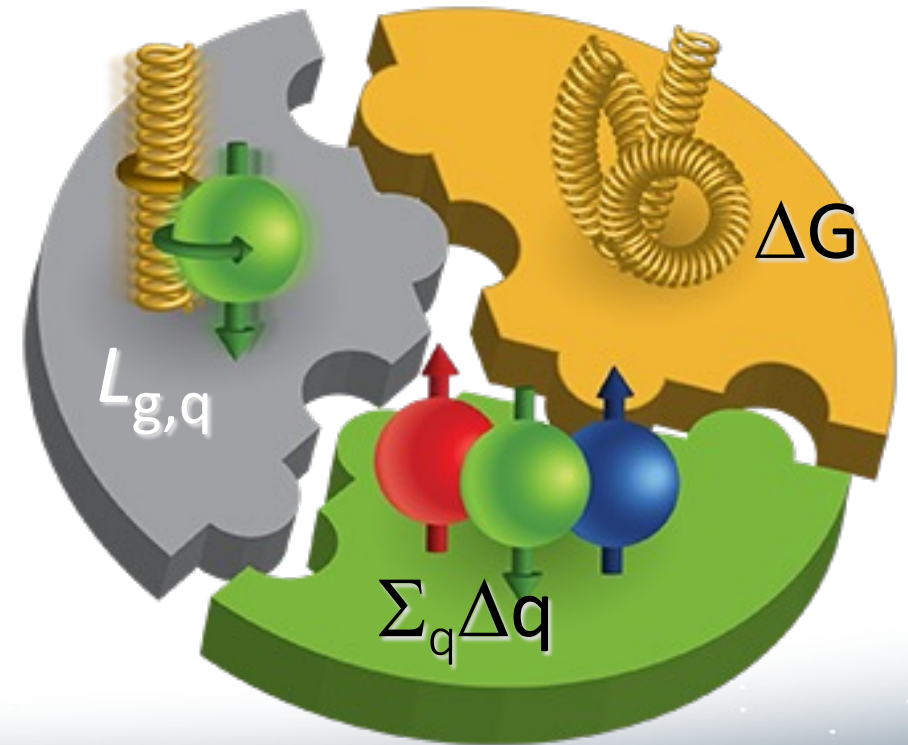


...and MANY more!

Overall EIC physics goals (non-exhaustive)

- The overall physics goals of the EIC are to study nuclear structure from **high to low- x** and **low to high- Q^2** .
 - Spin structure – **the three “valence” quarks do not account for the total spin of the proton!**

$$\text{Proton spin: } \frac{1}{2} = \frac{1}{2} \Sigma_q \Delta q + \Delta G + L_{g,q}$$



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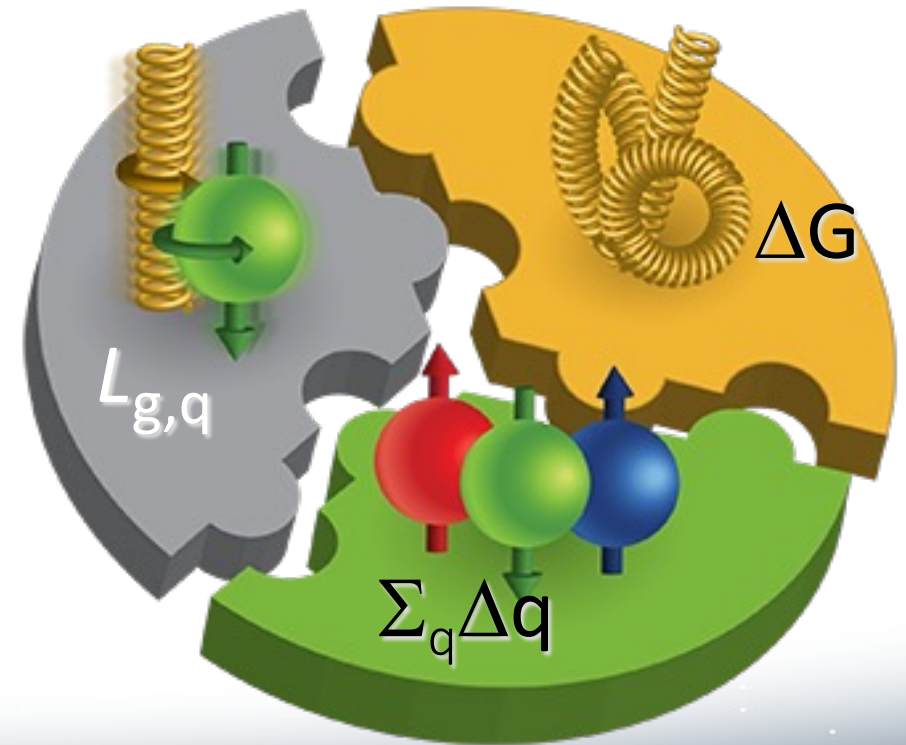
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~20-30% from
polarized DIS
experiments.

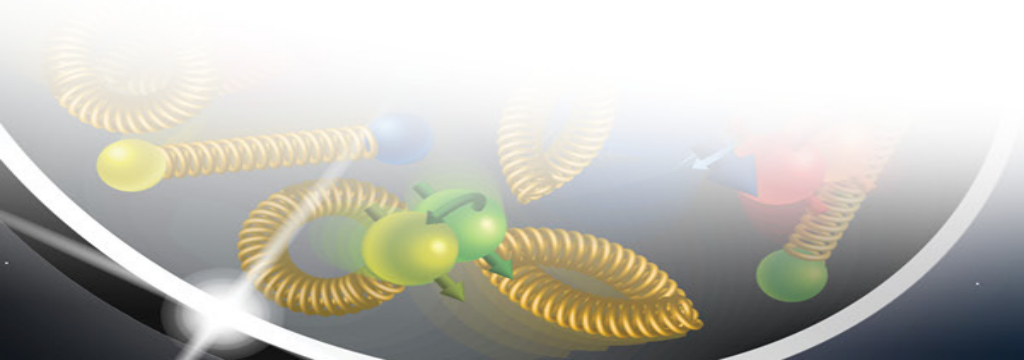
RHIC jet asymmetry
measurements
constrain ΔG down to
 $x \sim 0.015$.

Not at all constrained! – need an EIC!!



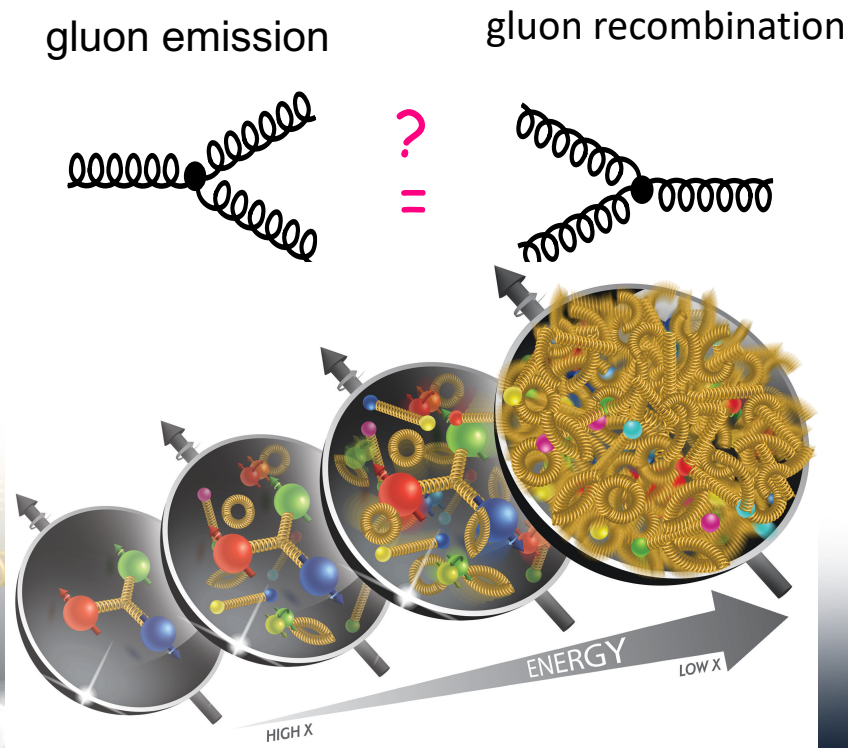
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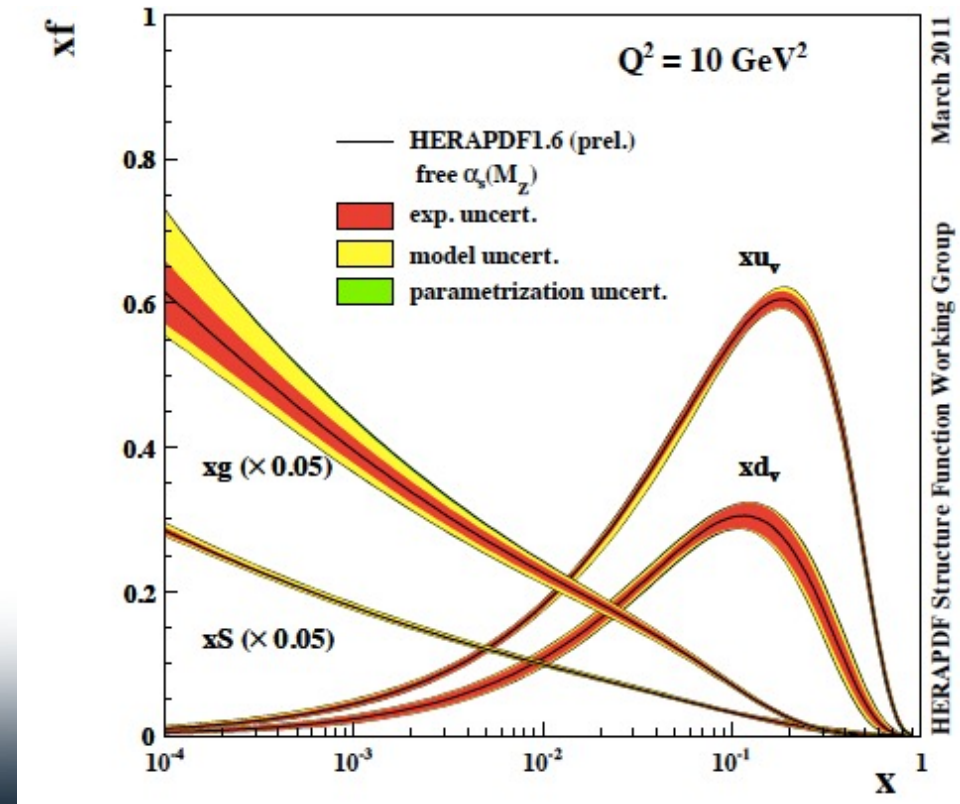
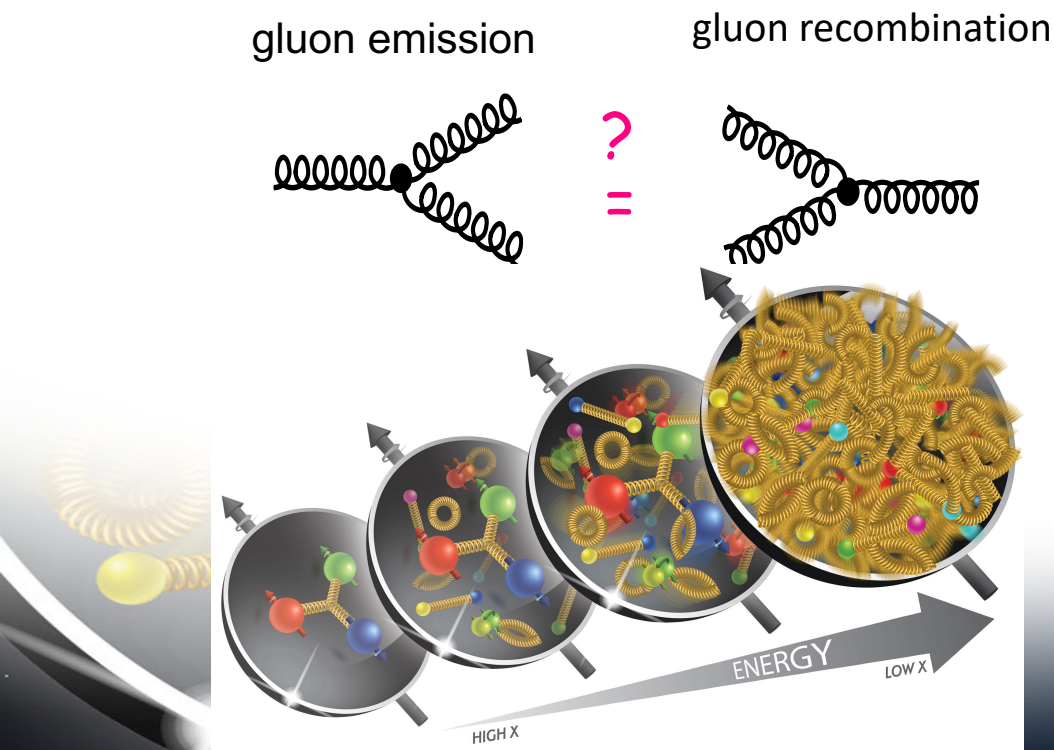
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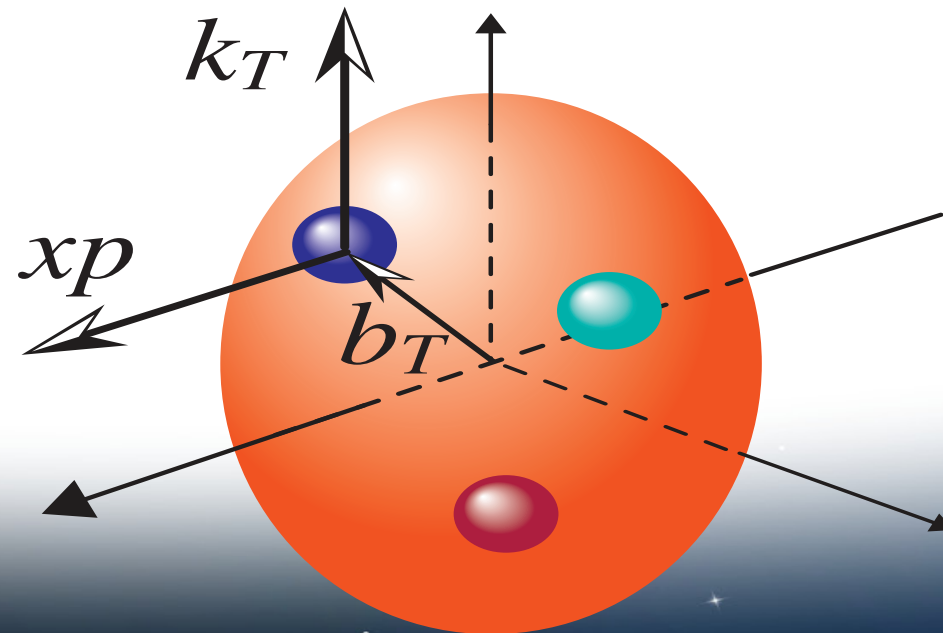
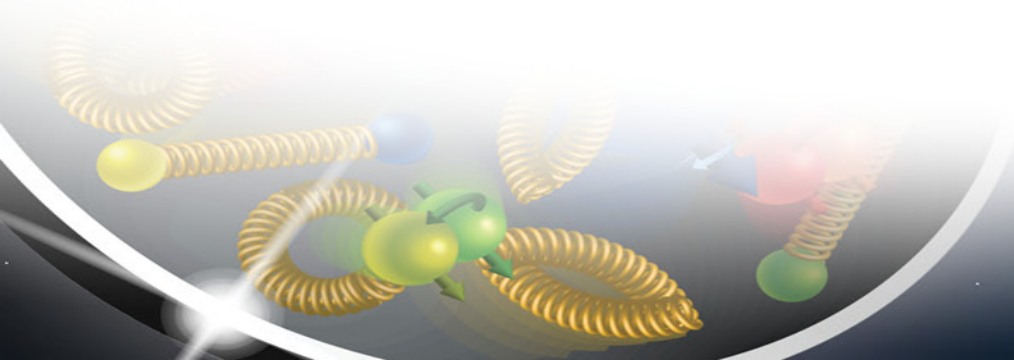
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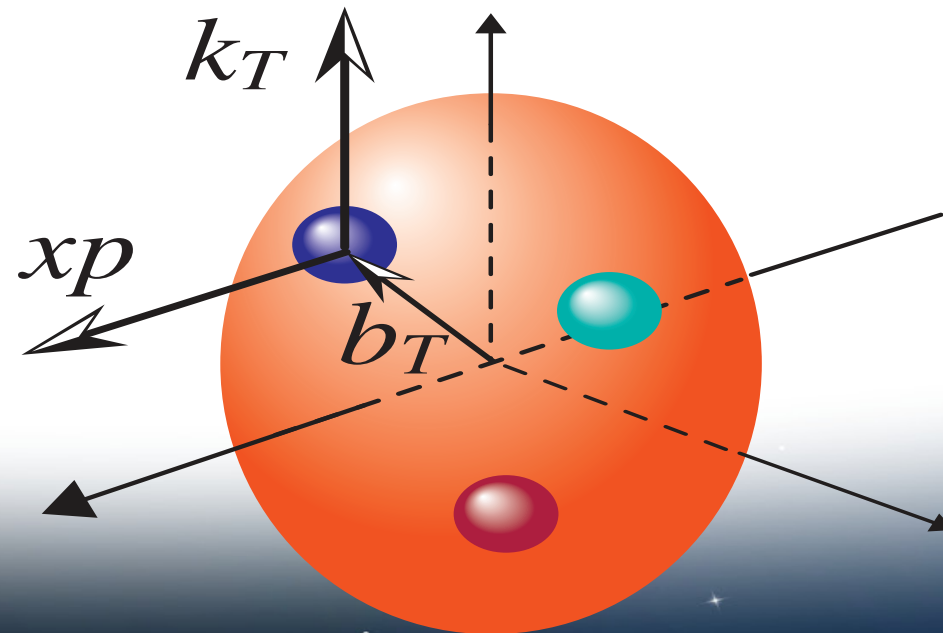
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 - Structure of partons in coordinate and momentum space – how are partons distributed inside nucleons?
 - ...and there is more!

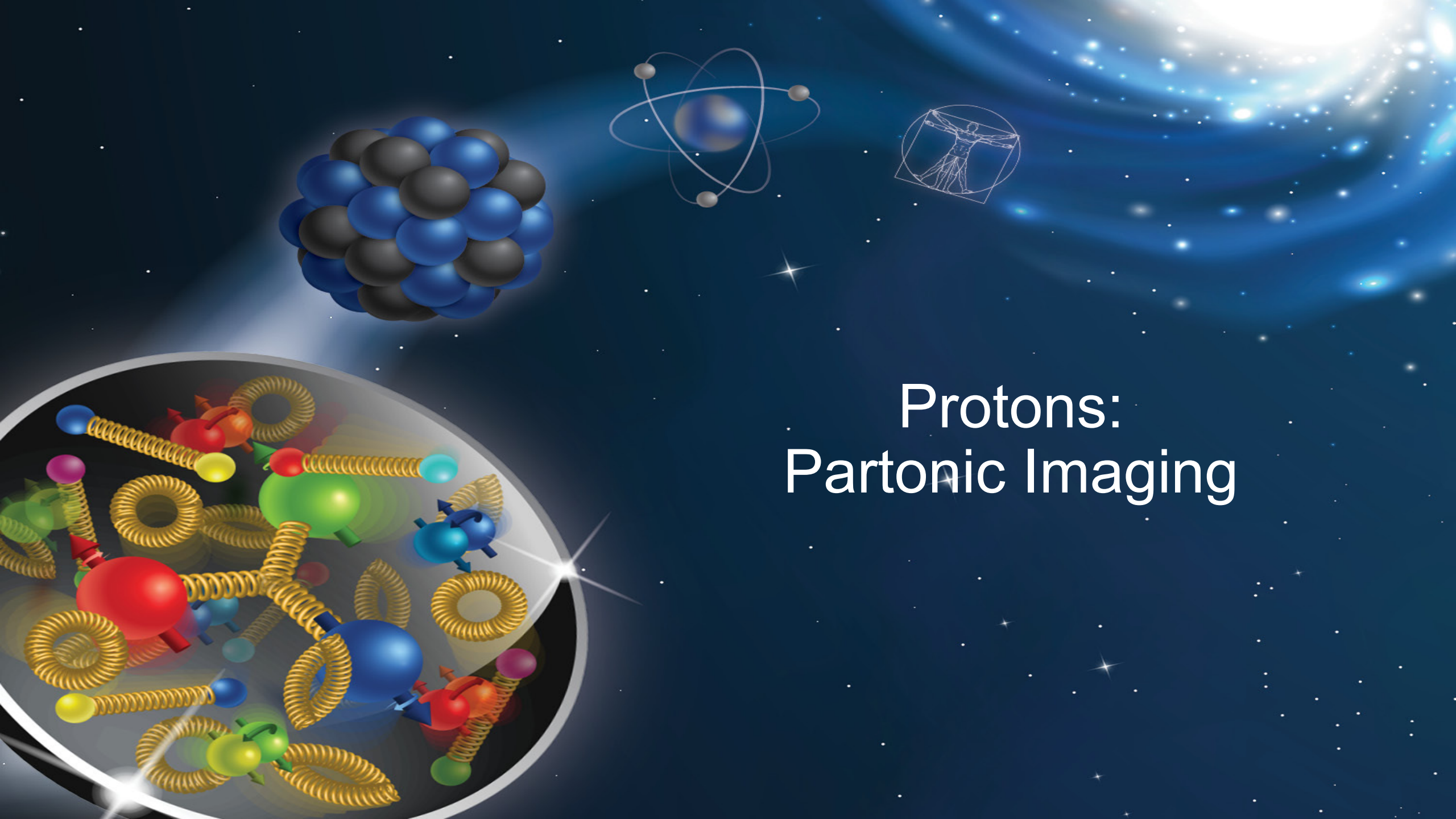


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 - ...and there is more!

Each of these topics can be studied, in part, using final-states which produce far-forward particles.





Protons: Partonic Imaging

Partonic Imaging of Nucleons

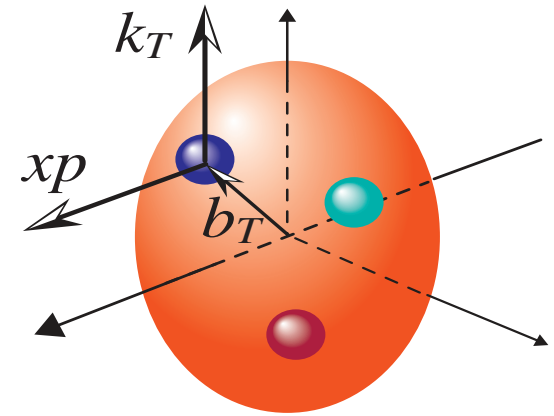
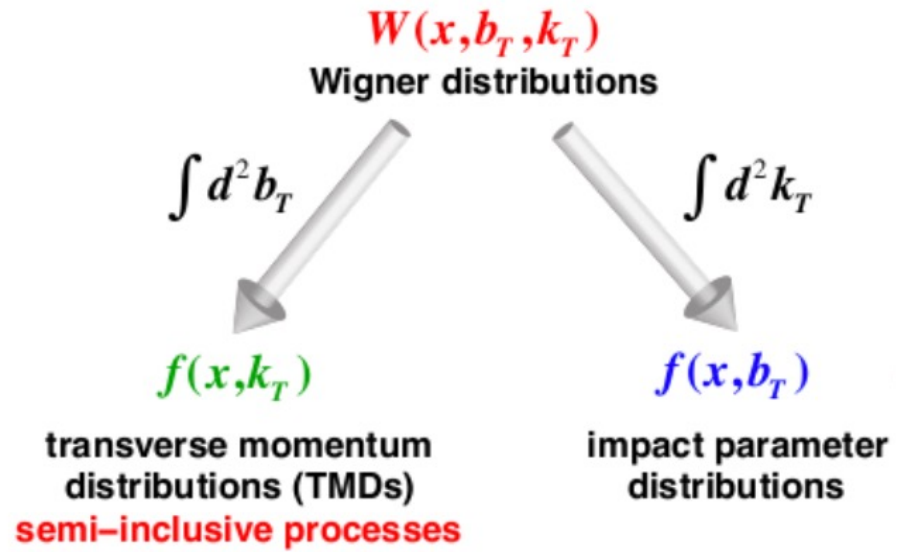


Fig. 2.2 from the EIC White Paper

Partonic Imaging of Nucleons

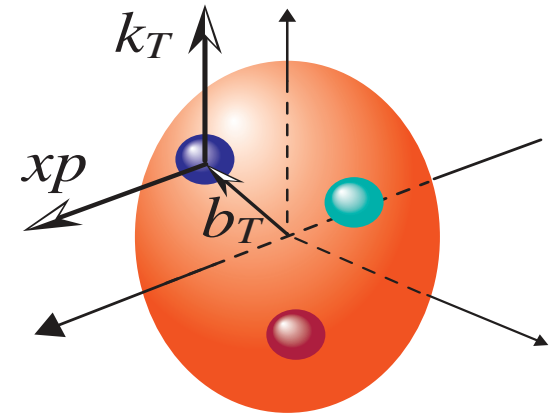
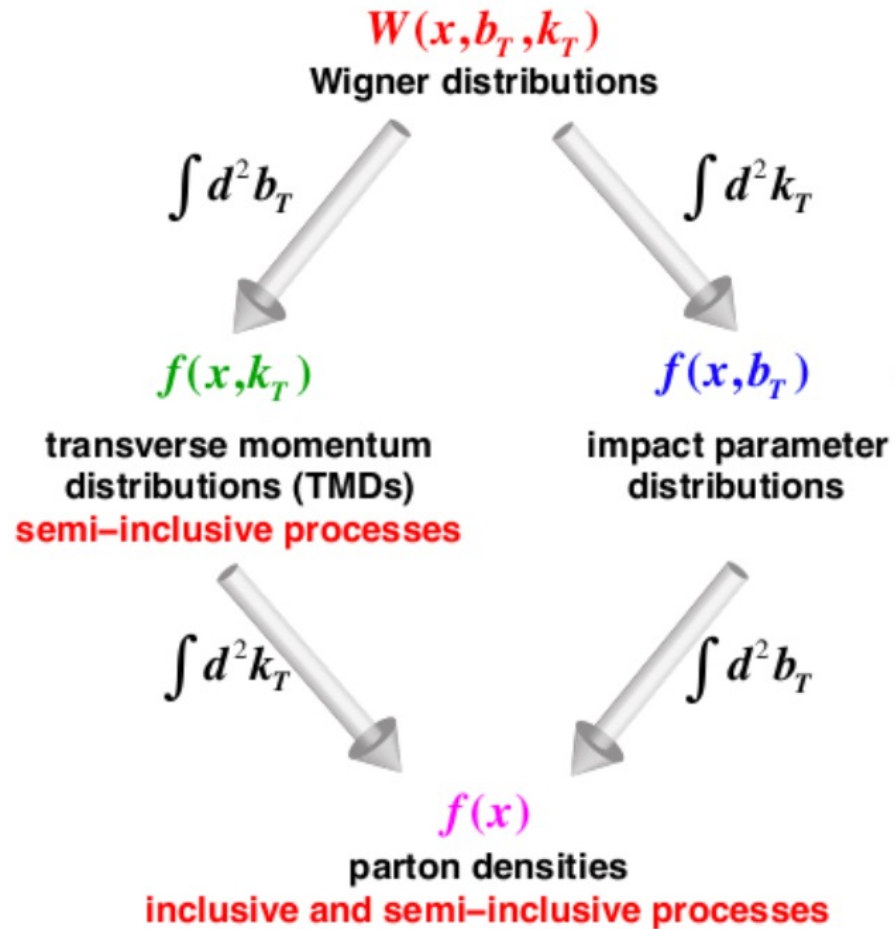


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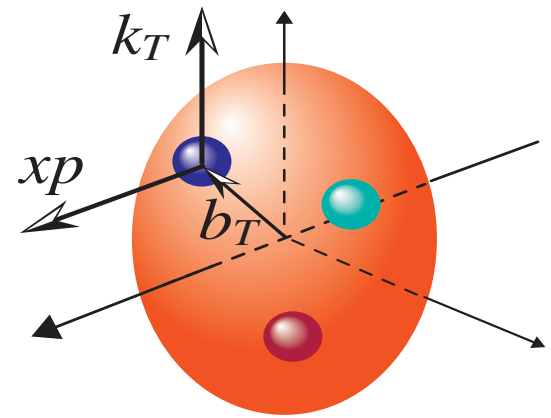
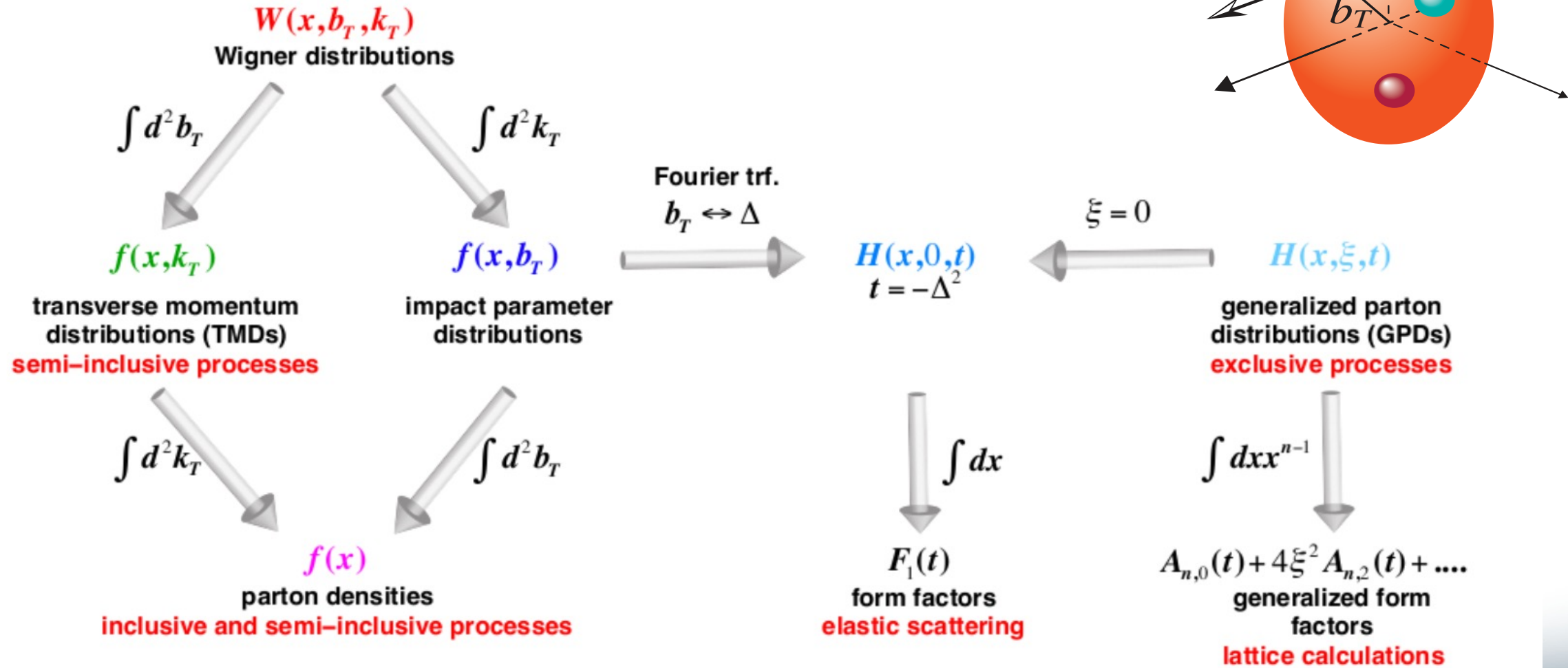
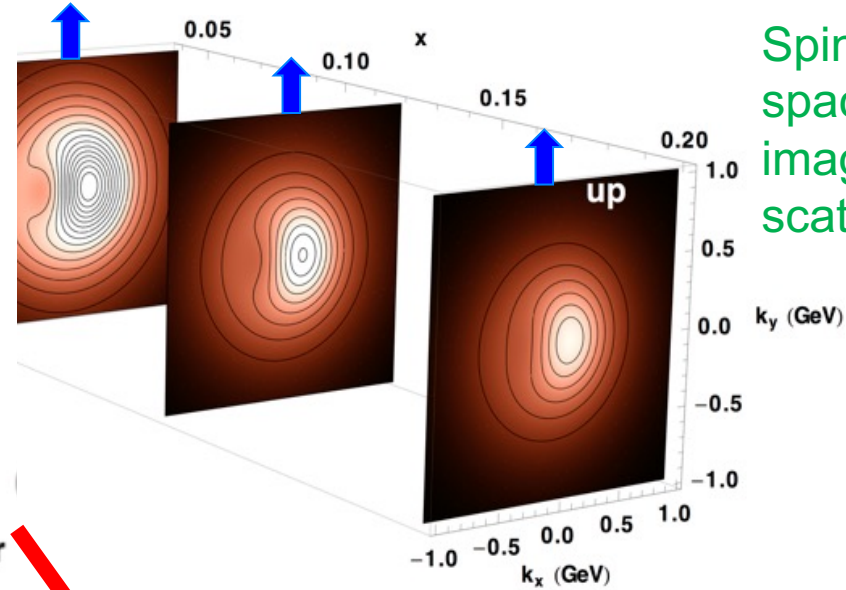
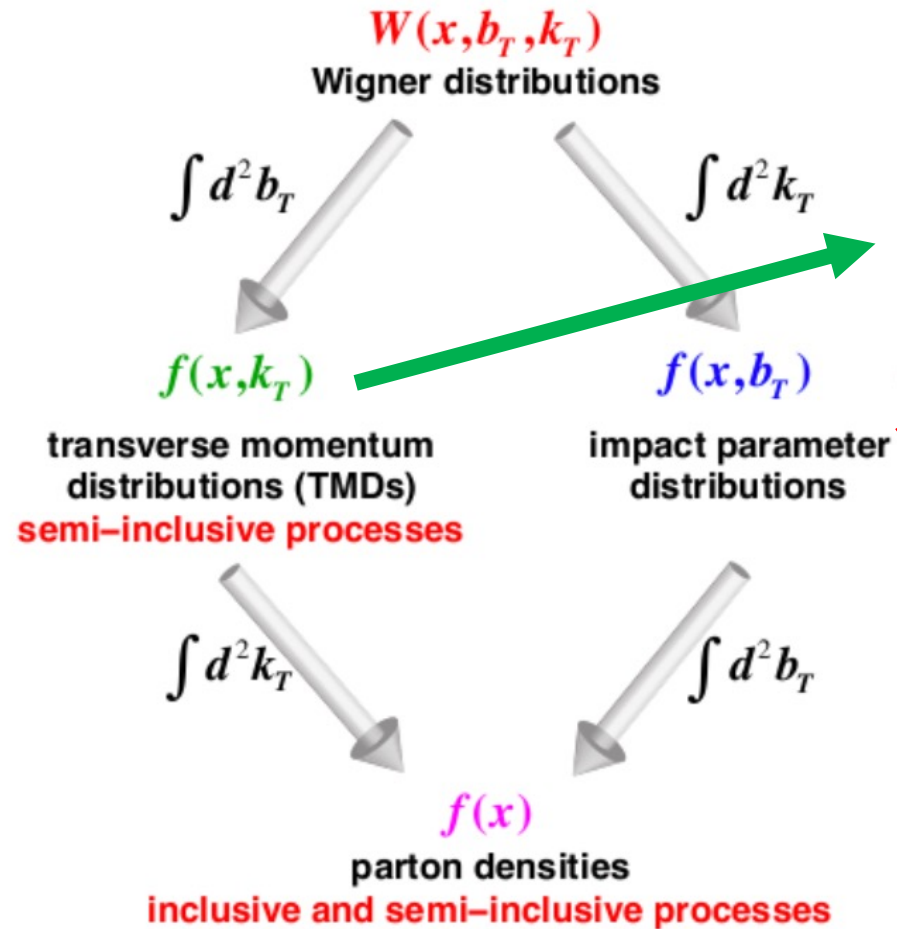
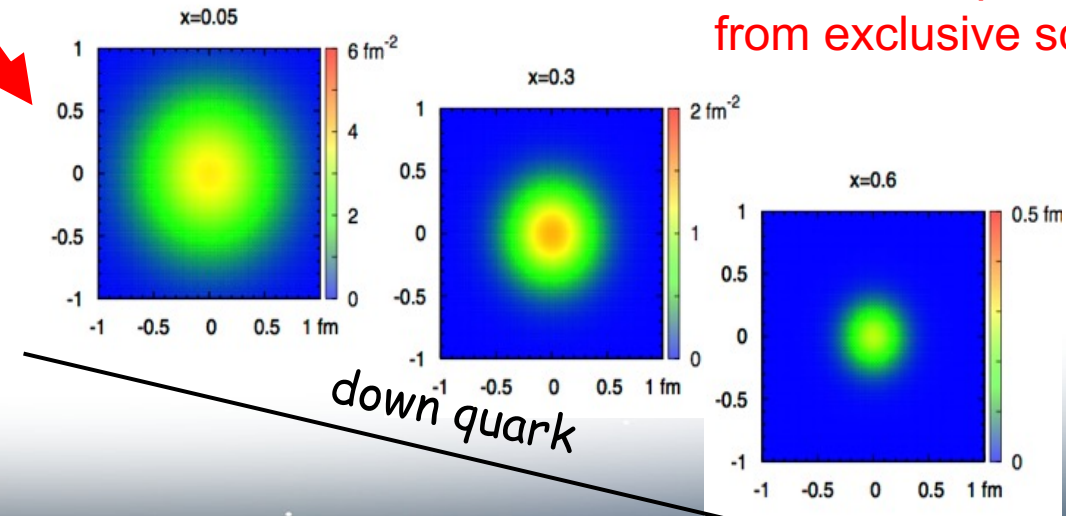


Fig. 2.2 from the EIC White Paper

Partonic Imaging of Nucleons



Spin-dependent 3D momentum space images from semi-inclusive scattering



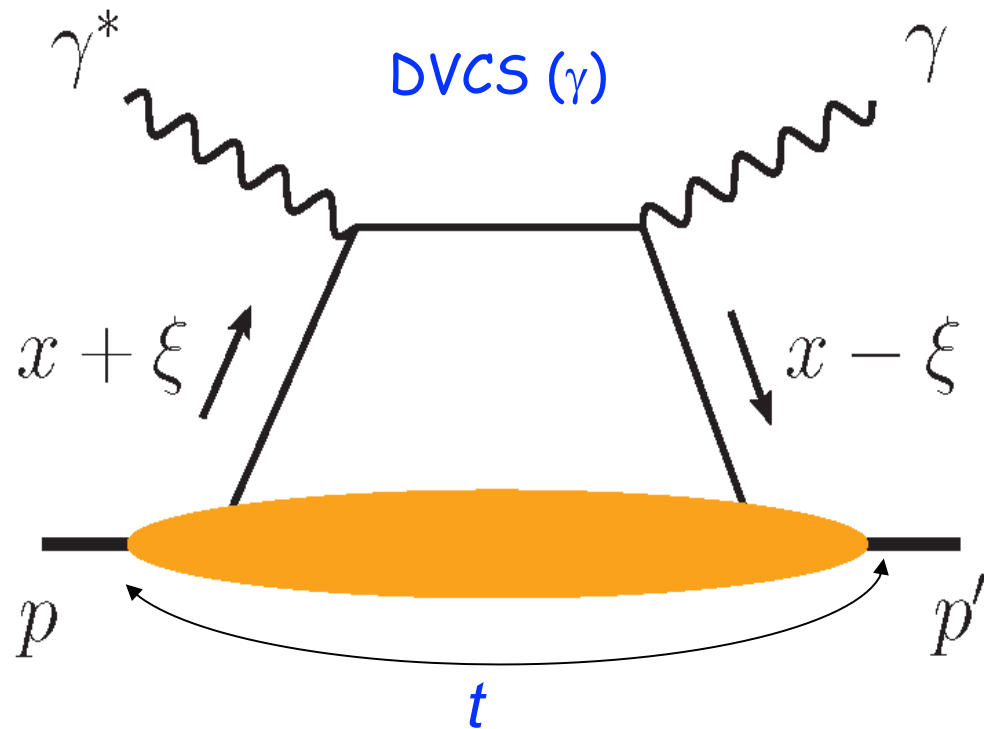
Spin-dependent 2+1D coordinate space images from exclusive scattering

Fig. 2.2 from the EIC White Paper

down quark

x

Deeply Virtual Compton Scattering (DVCS)

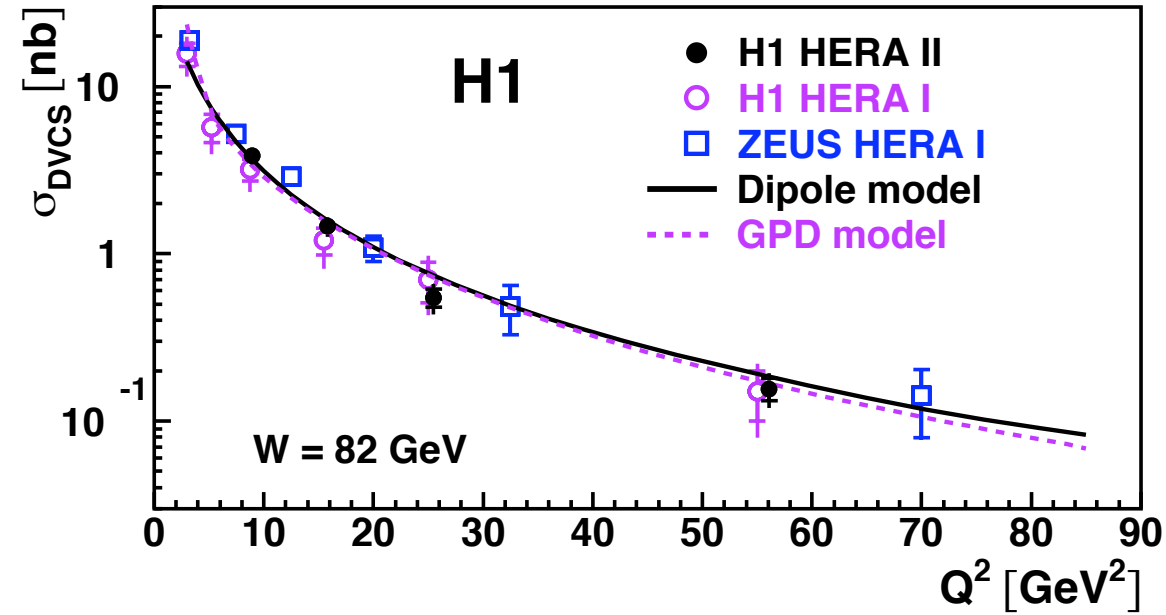
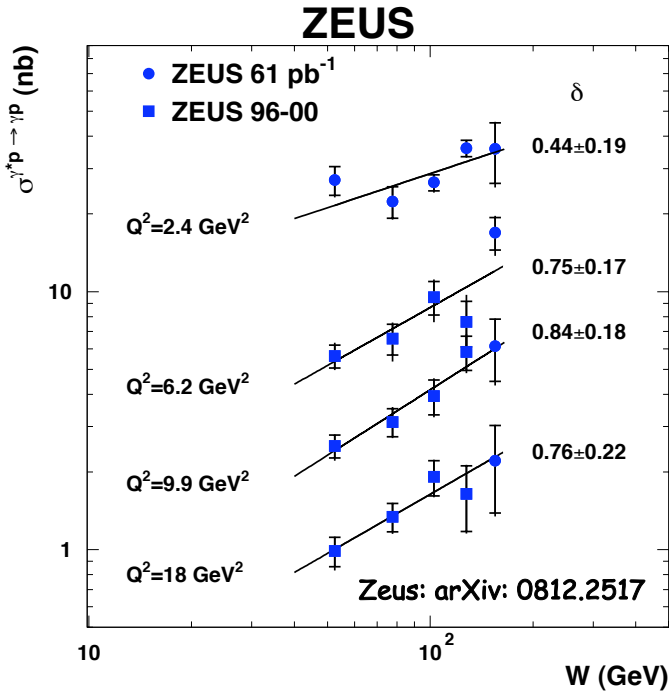


- Exclusive process with all final state particles detected in the event.
- Sensitive to the proton GPD.

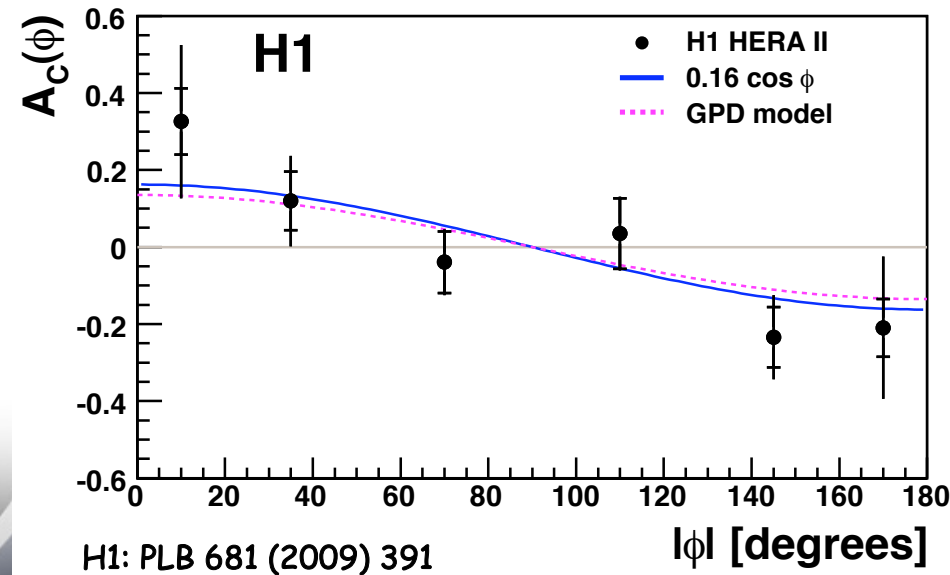
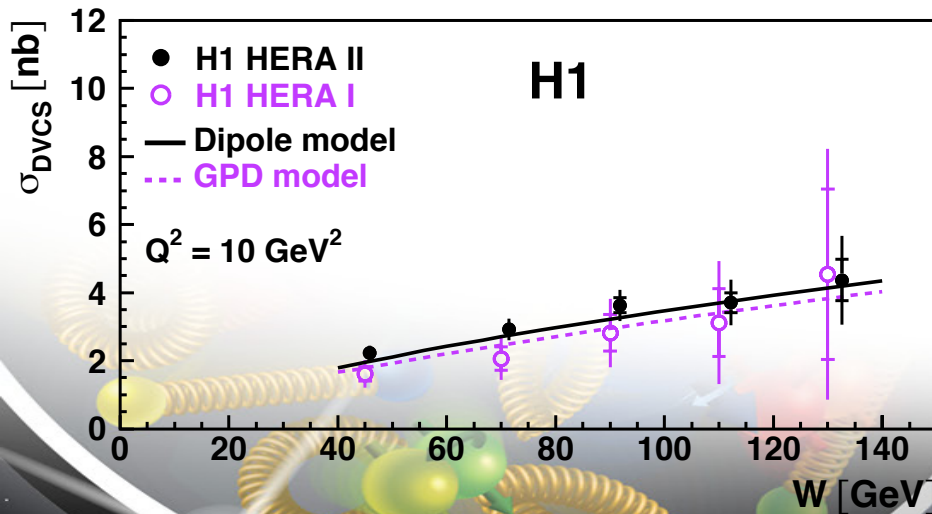
$$t = -(p - p')^2 \approx -p_t'^2$$

p_T and b_T are conjugate variables!
Measuring the cross-section differential on the momentum transfer yields access to spatial imaging!!

Results from HERA

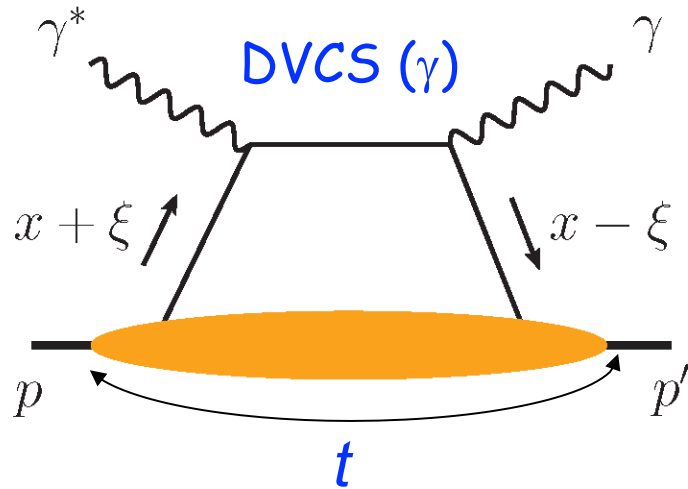


- Not enough data or acceptance to differentiate GPD models from others.
- No proton beam polarization to study spin-dependence!



EIC gives us the tools to solve both problems!!

Deeply Virtual Compton Scattering

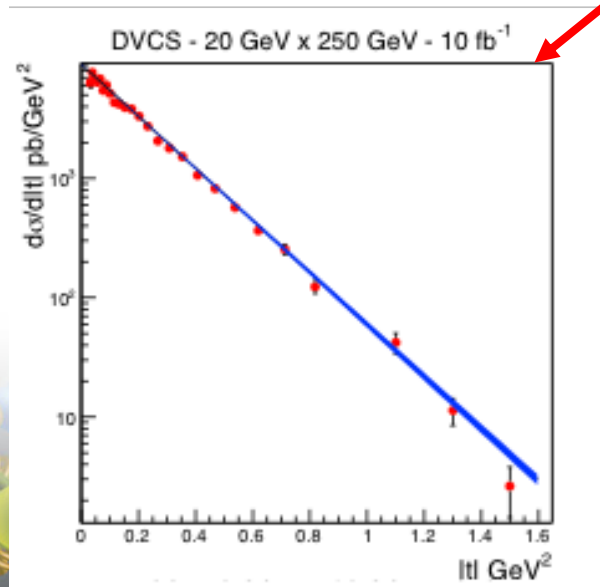


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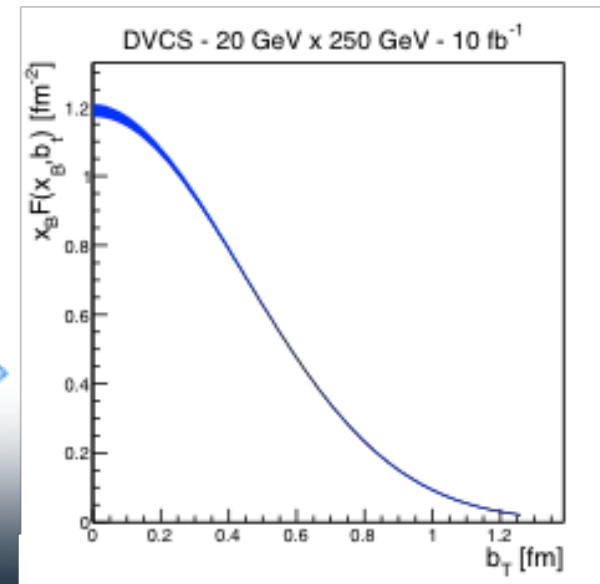
Measurement



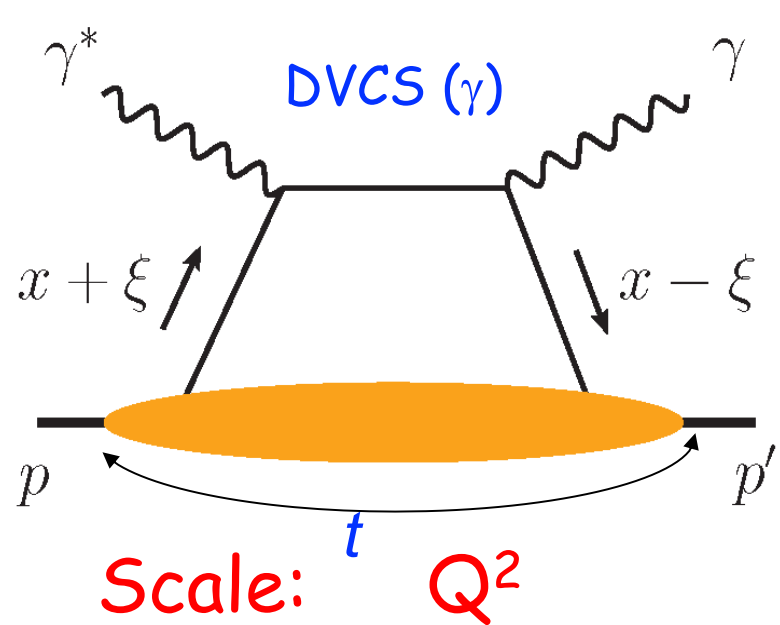
Plots from
EIC White Paper:

**Fourier
transform**

Physics observable (Impact parameter distribution)

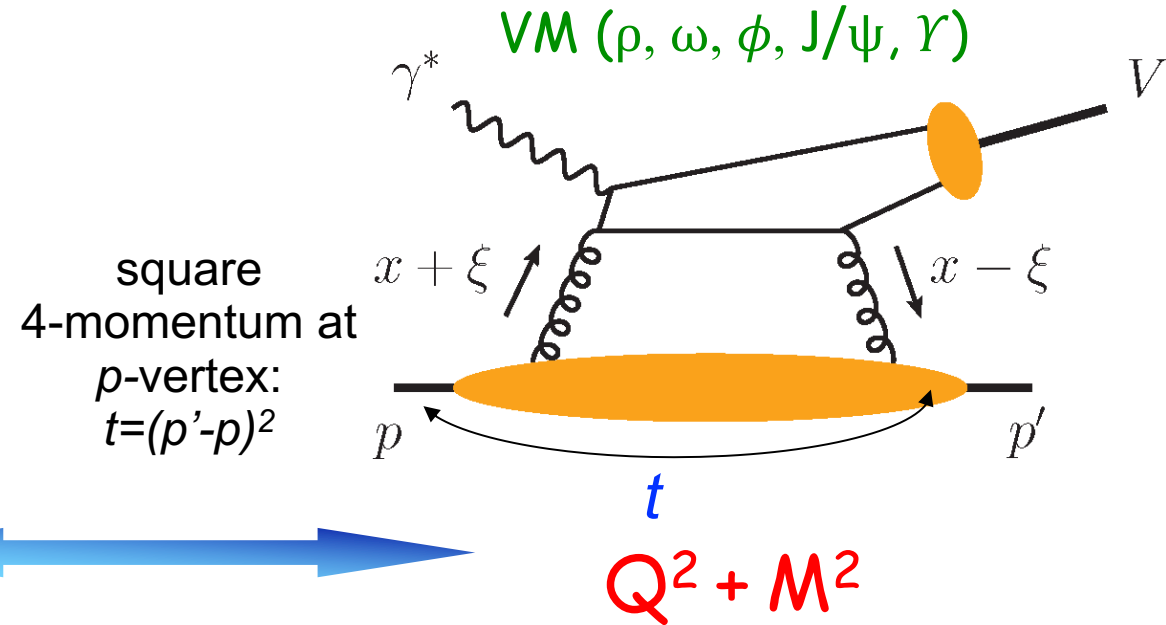


Exclusive Vector Meson and Real Photon Production



DVCS:

- Very clean experimental signature
- No VM wave-function uncertainty
- Hard scale provided by Q^2
- Sensitive to both quarks and gluons Q^2 dependence of cross section



VMP:

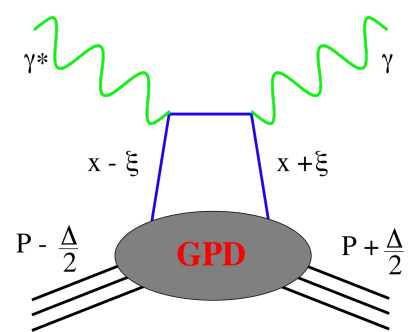
- Uncertainty of wave function
- J/ψ \rightarrow direct access to gluons, $c+cbar$ pair production
- Light VMs \rightarrow quark-flavor separation

Small GPD Primer

unpolarized
 $H^q(x, \xi, t)$
 $E^q(x, \xi, t)$

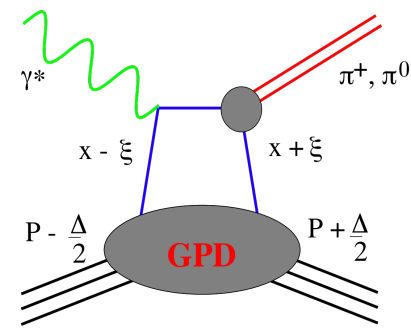
polarized
 $\tilde{H}^q(x, \xi, t)$
 $\tilde{E}^q(x, \xi, t)$

conserve nucleon helicity
 $H^q(x, 0, 0) = q, \tilde{H}^q(x, 0, 0) = \Delta q$
flip nucleon helicity
not accessible in DIS
quantum numbers of final state \implies select different GPD



DVCS
 $H^q \ E^q \ \tilde{H}^q \ \tilde{E}^q$

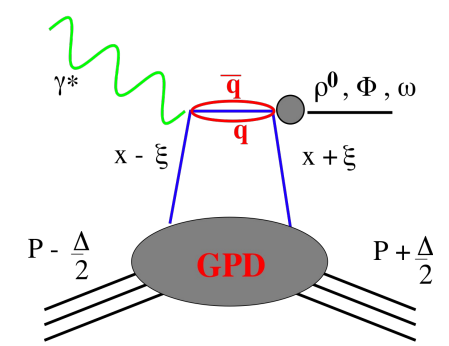
- $Q^2 = 2E_e E_{e'} (1 - \cos \theta_{e'})$
- $x_B = Q^2 / 2Mn \quad n = E_e - E_{e'}$
- $x + \xi, x - \xi$ long. mom. fract.
- $t = (p - p')^2$
- $x \cong x_B / (2 - x_B)$



pseudo-scalar mesons
 \tilde{H}^q, \tilde{E}^q

π^0	$2\Delta u + \Delta d$
η	$2\Delta u - \Delta d$

How are GPDs characterized?



vector mesons
 H^q, E^q

ρ^0	$2u + d, \ 9g/4$
ω	$2u - d, \ 3g/4$
ϕ	$s, \ g$
ρ^+	$u - d$
J/ψ	g

Small GPD Primer

$H^{q,g}(x, \xi, t)$	$E^{q,g}(x, \xi, t)$	for sum over parton helicities
$\tilde{H}^{q,g}(x, \xi, t)$	$\tilde{E}^{q,g}(x, \xi, t)$	for difference over parton helicities
nucleon helicity conserved	nucleon helicity changed	

$$\frac{d\sigma}{dt} \sim A_0 \left[|H|^2(x, t, Q^2) - \frac{t}{4M_p^2} |E|^2(x, t, Q^2) \right]$$

Dominated by H
slightly dependent on **E**

$$A_{UT} \propto \sqrt{\frac{-t}{4M^2}} \left[F_2(t) H(\xi, \xi, t, Q^2) - F_1(t) E(\xi, \xi, t, Q^2) + \dots \right]$$

$\sin(\Phi_T - \phi_N)$
governed by E and H
Requires a polarized proton-target

responsible for total orbital angular momentum through Ji sum rule
a window to the SPIN physics

Small GPD Primer

unpolarized polarized conserve nucleon helicity

$H^q(x, \xi, t)$ $\tilde{H}^q(x, \xi, t)$ $H^q(x, 0, 0) = q, \tilde{H}^q(x, 0, 0) = \Delta q$

flip nucleon helicity
not accessible in DIS

$E^q(x, \xi, t)$ $\tilde{E}^q(x, \xi, t)$

quantum numbers of final state \implies select different GPD

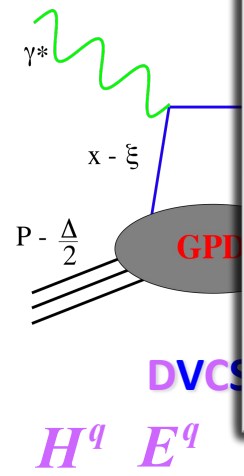
How are GPDs characterized?

the way to 3D imaging of the proton and access the orbital angular momentum

Spin-Sum-Rule in PRF:

$$\frac{1}{2} = J_q^z + J_g^z = \frac{1}{2} \Delta \Sigma + \sum_q \mathcal{L}_q^z + J_g^z \quad J_{q,g}^z = \frac{1}{2} \left(\int_{-1}^1 x dx \left(H^{q,g} - E^{q,g} \right) \right)_{t \rightarrow 0}$$

responsible for orbital angular momentum

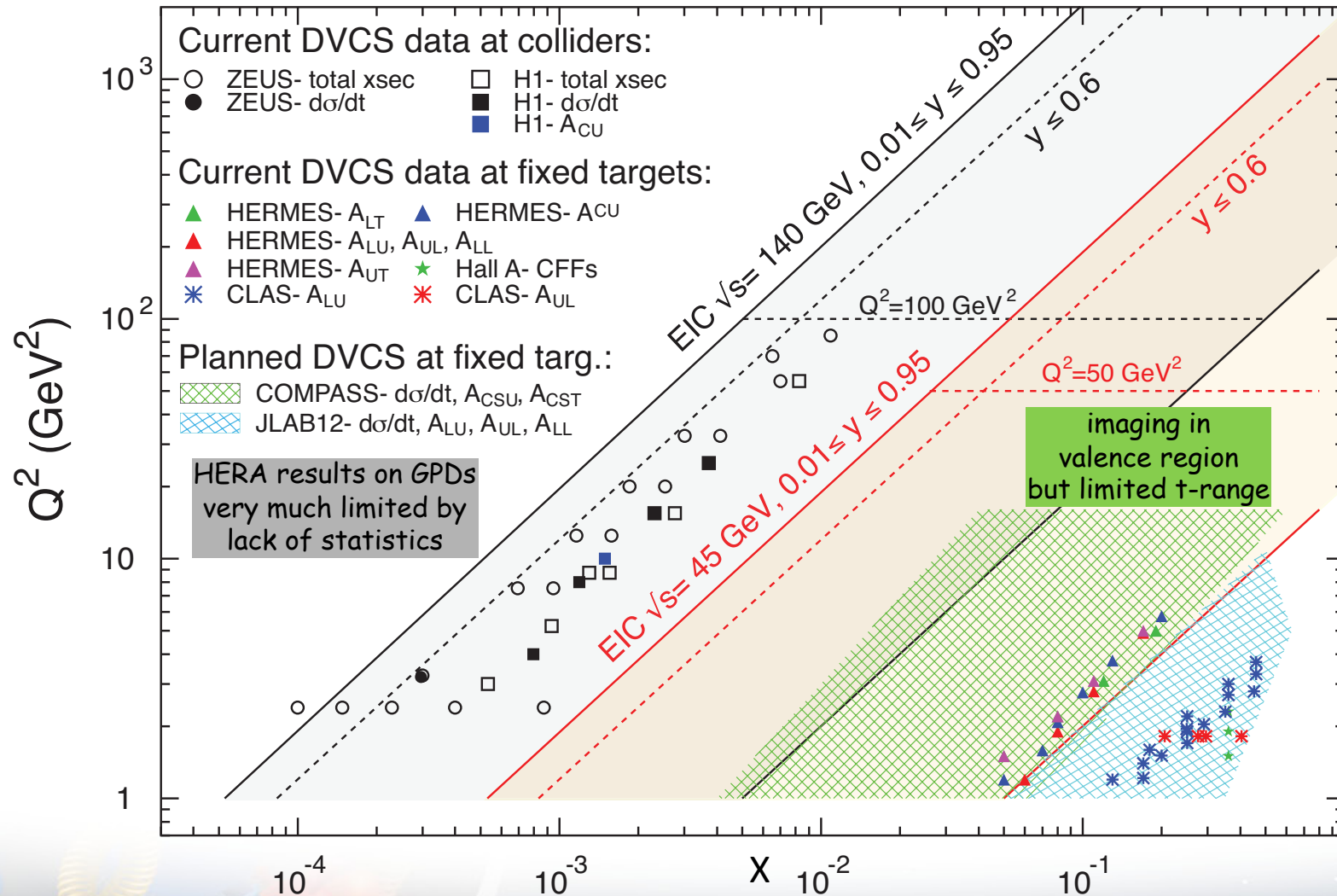


- Q²= 2E_eE_e'(1-cosq_e)
- x_B = Q²/2Mn n=E_e-E_e'
- x+ξ, x-ξ long. mom. fract.
- t = (p-p')²
- x ≅ x_B/(2-x_B)

π ⁰	2Δu+Δd
η	2Δu-Δd

ρ ⁰	2u+d, 9g/4
ω	2u-d, 3g/4
φ	s, g
ρ ⁺	u-d
J/ψ	g

The DVCS Phase Space



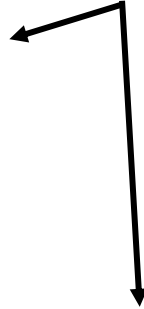
quantum numbers of final state → selects different GPD

DVCS: wide range of observables ($\sigma, A_{UT}, A_{LU}, A_{UL}, A_C$) to disentangle GPDs

Intermission: I have very cute animals

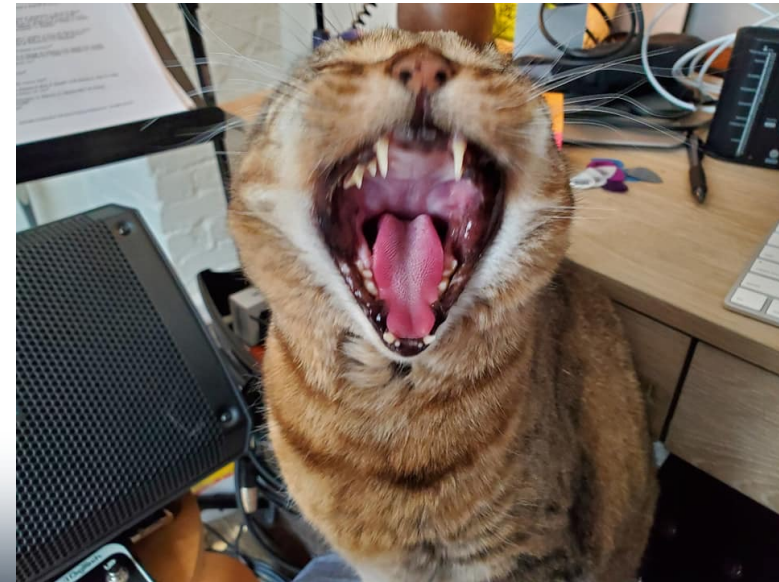
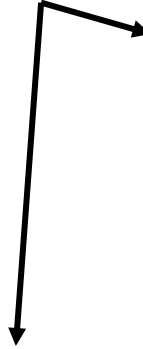


Julep

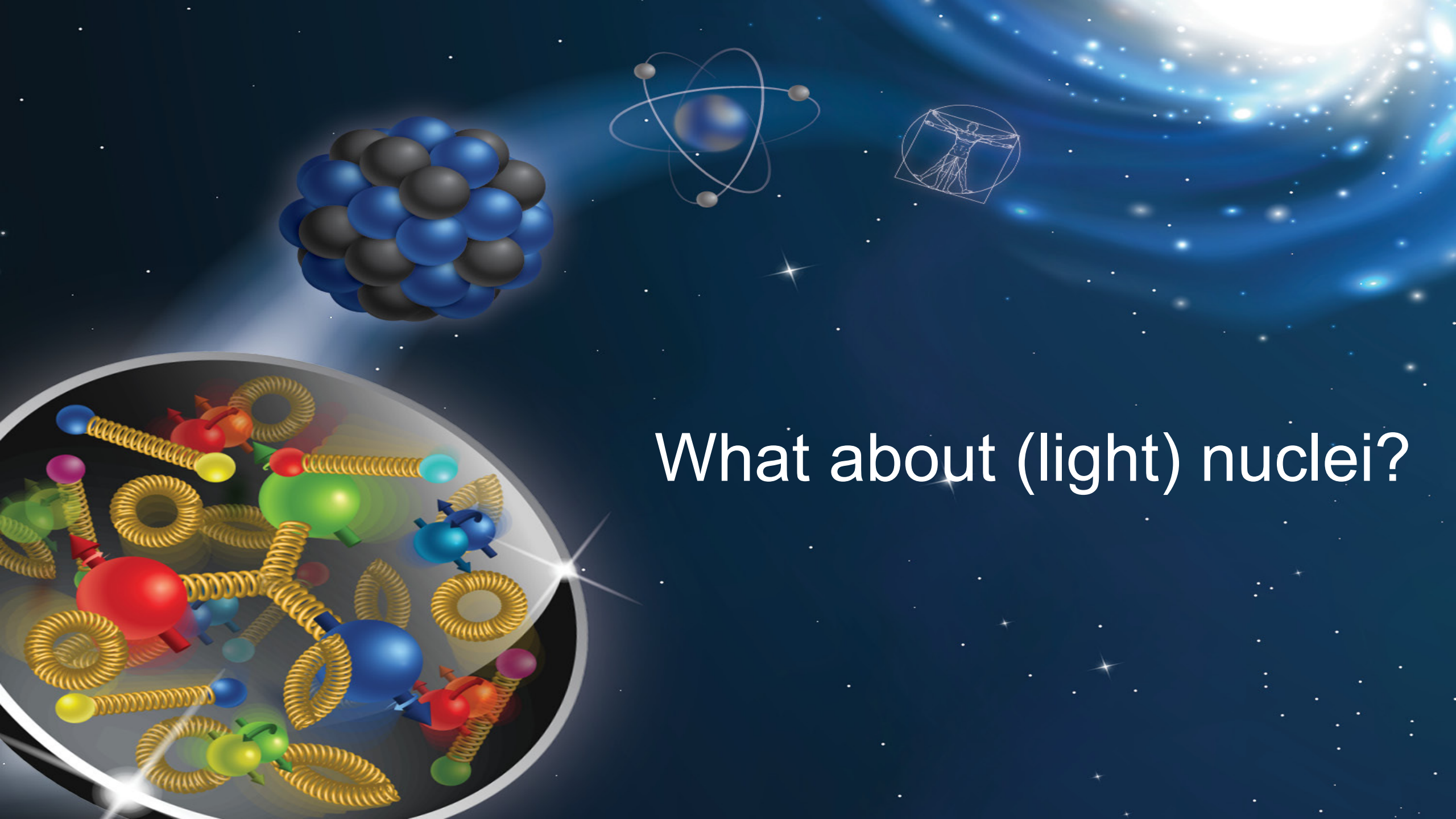


They (mostly) get
along.

Lilu



She's in a
death metal
band.

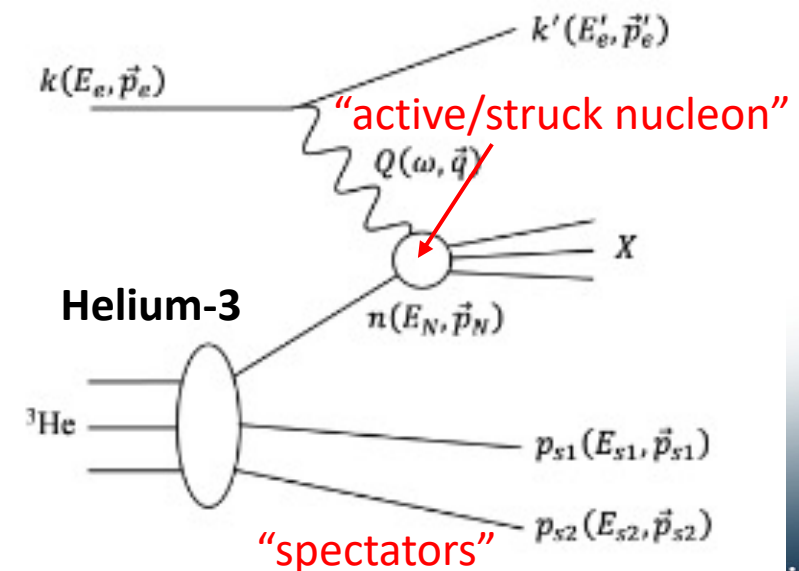
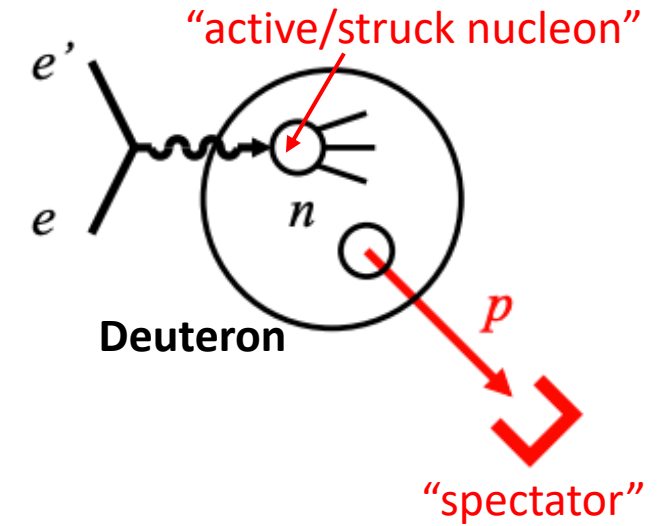


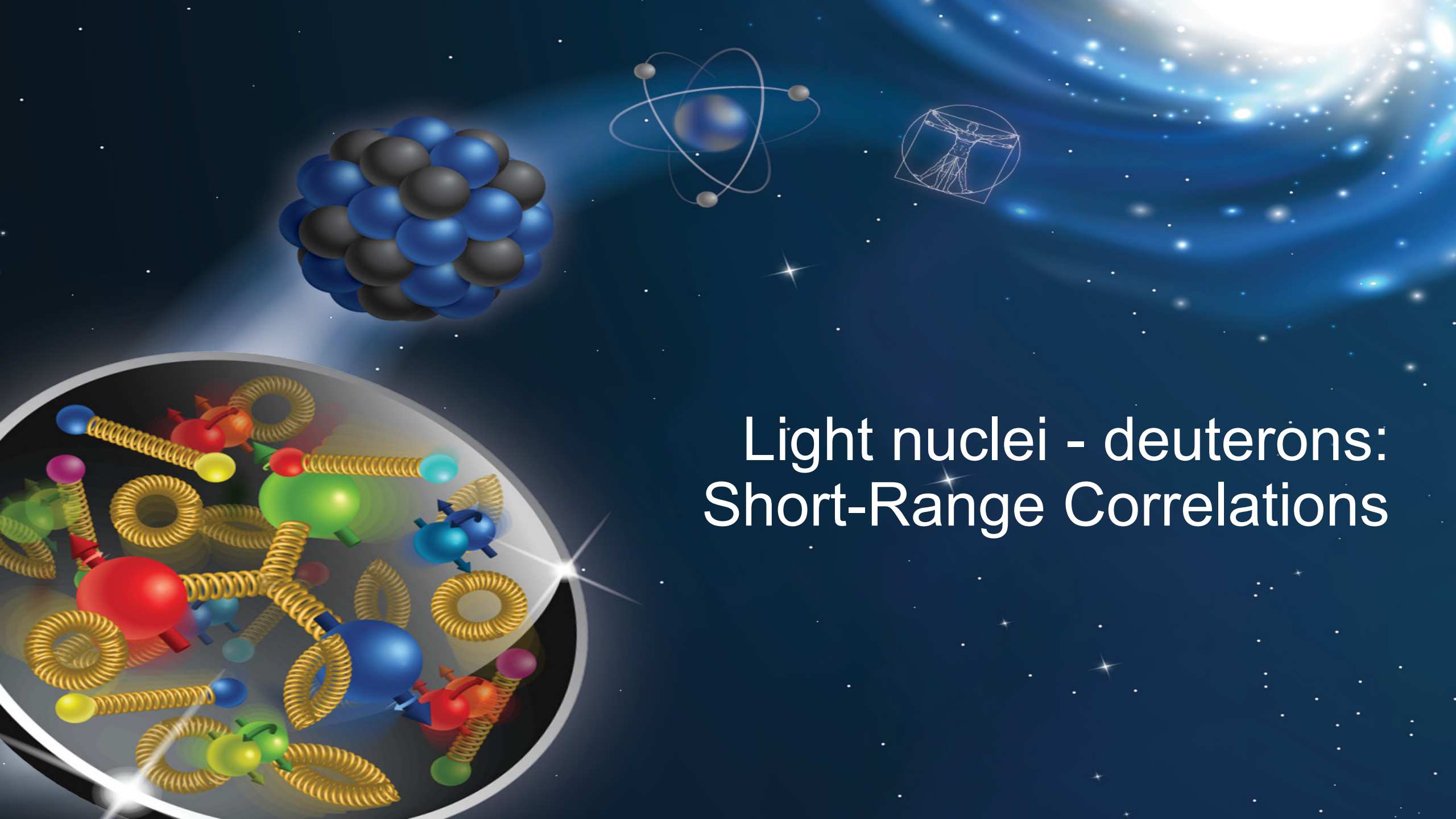
What about (light) nuclei?

Tagged DIS at the EIC

- **Tagged DIS** measurements on light nuclei → "tag" (generally) far-forward particles in final state for useful kinematic information!
 - Provides more information than inclusive cross sections!
- Lots of topics!
 - Short-range correlations.
 - Gluon distributions in nuclei.
 - Free neutron structure functions.
 - Nuclear modifications of nucleons in light nuclei.
 - EMC effect, anti-shadowing, etc.

Tagged spectator nucleon momentum → experimental variable for selecting nuclear configurations with free and modified nucleons.





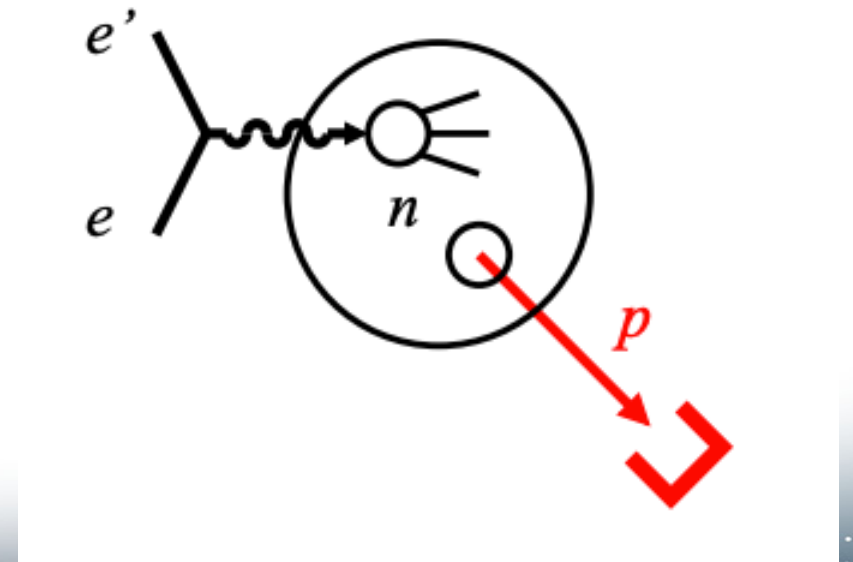
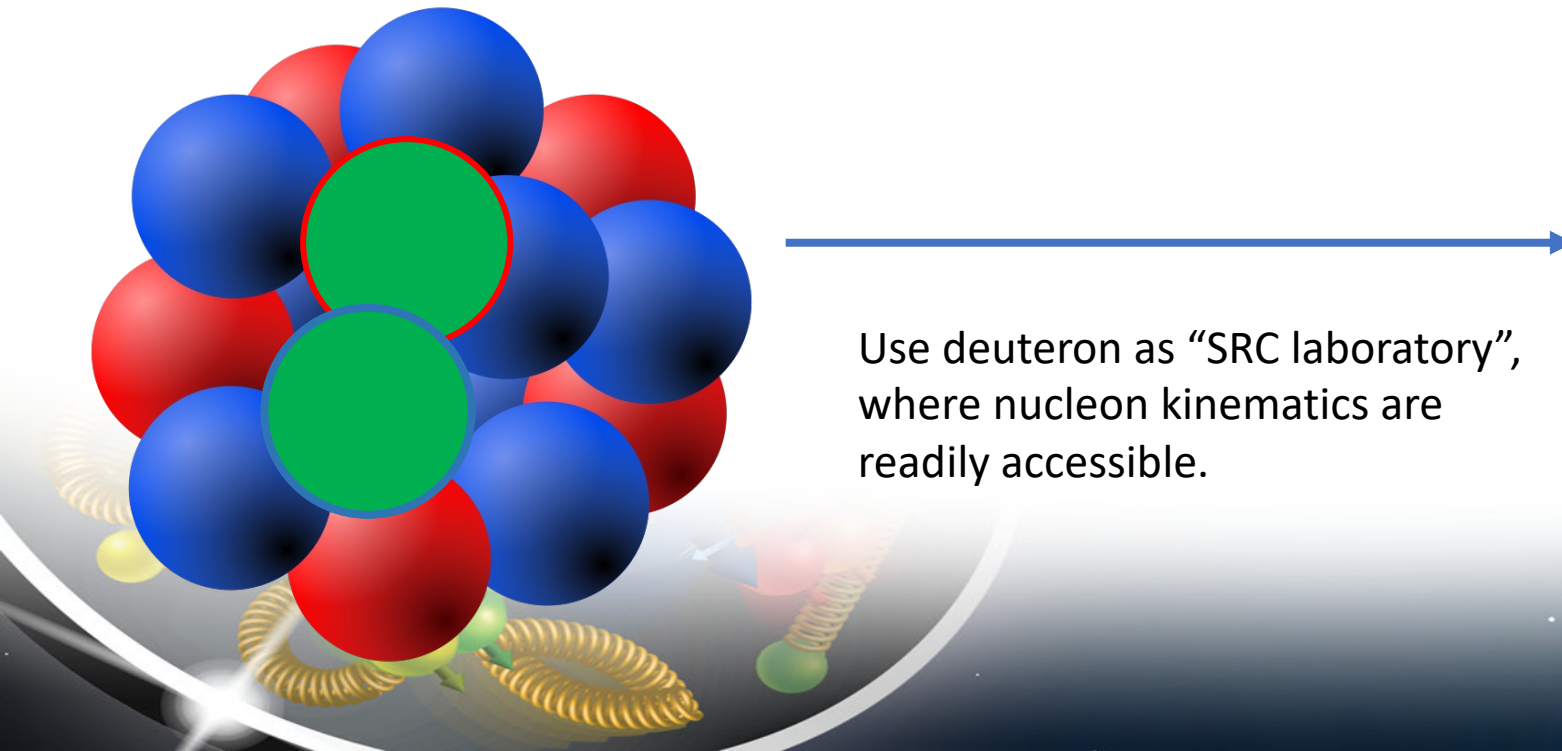
Light nuclei - deuterons: Short-Range Correlations

Short-Range Correlations

"The nucleus can often be approximated as an independent collection of protons and neutrons confined in a volume, but for short periods of time, the nucleons in the nucleus can strongly overlap. This quantum mechanical overlapping, known as a nucleon-nucleon short-range correlation, is a manifestation of the nuclear strong force, which produces not only the long-range attraction that holds matter together, but also the short-range repulsion that keeps it from collapsing."

Excerpt from: https://www.jlab.org/research/nucleon_nucleon

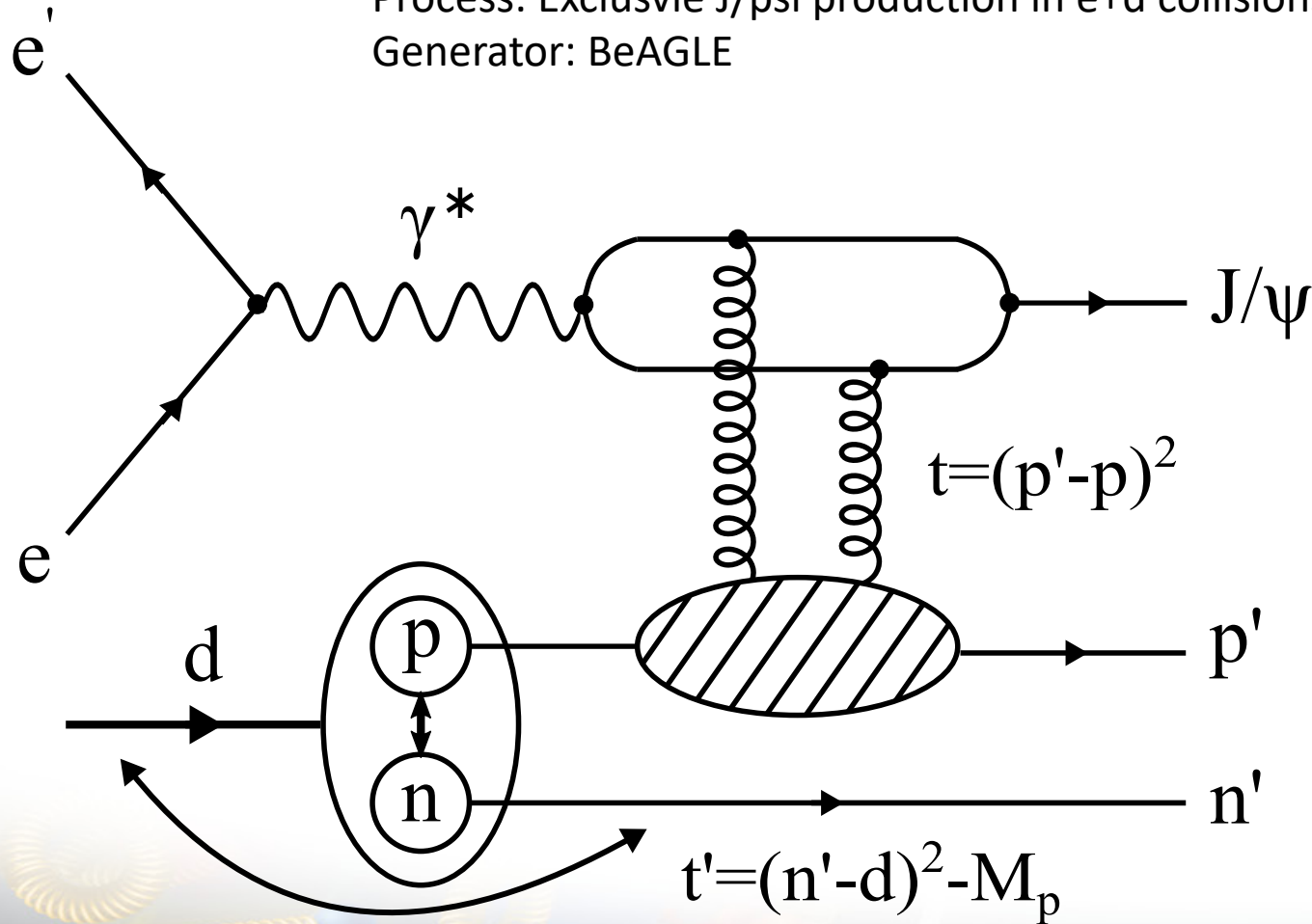
Lots of SRC pairs!!! -> Really tough!



Short-Range Correlations in Deuterons

Z. Tu, A. Jentsch *et al.*, Phys. Lett. B, **811** (2020)

Process: Exclusive J/psi production in e+d collisions.
Generator: BeAGLE

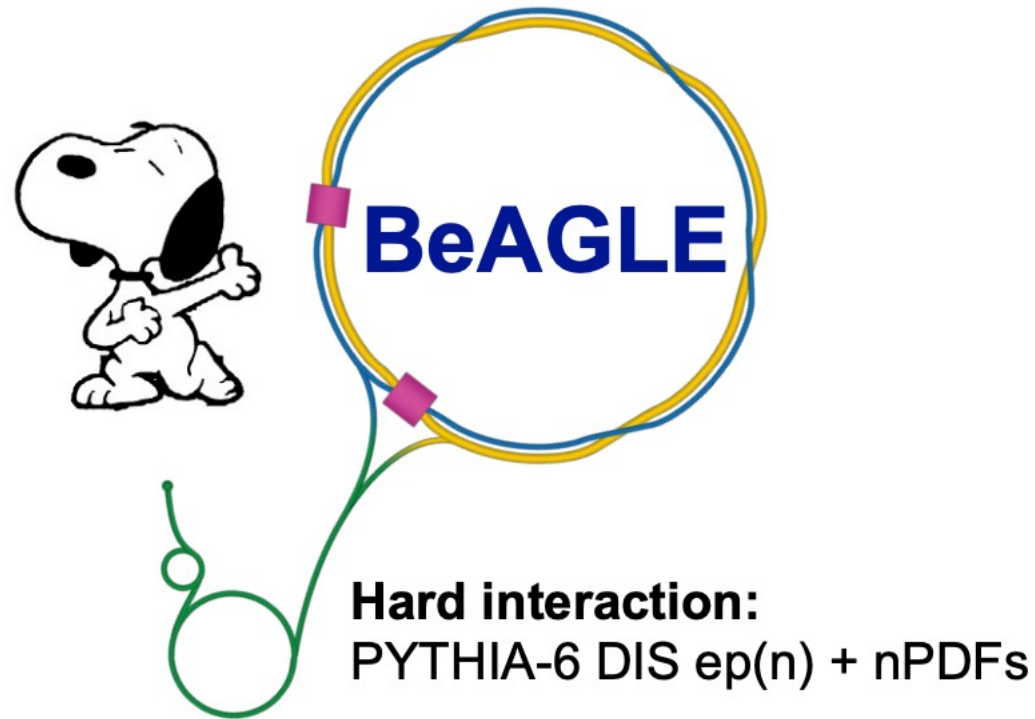


- J/psi produced at mid-rapidity.
 - Sensitive to gluon structure!
- Tagging active and spectator nucleons allow for experimental control of nuclear configuration -> study transition into SRC region (e.g. where nuclear effects become larger).

Monte-Carlo sample for all e+d studies presented here

General-purpose eA DIS MC generator

<https://eic.github.io/software/beagle.html>



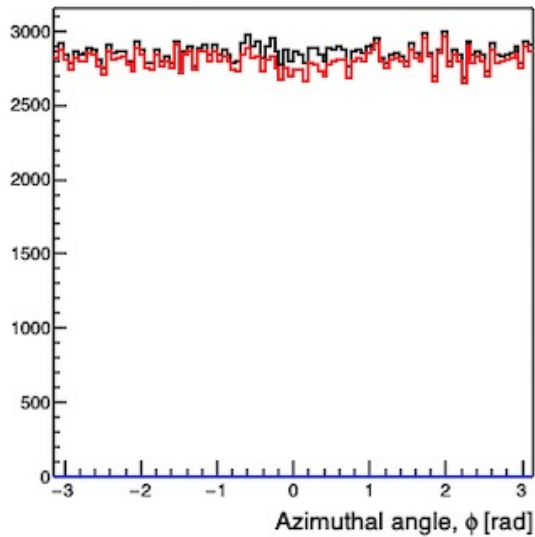
- Use BeAGLE to simulate the hard e + (active) nucleon scattering and primary process (e.g. J/psi production, DIS, etc.)
- Spectator momentum spectra calculated via deuteron spectral function, using parametrization of Ciofi and Simula.
 - C. Ciofi degli Atti and S. Simula, Phys. Rev. C 53, 1689 (1996)

Recent comprehensive overview of BeAGLE,
(arXiv:2204.11998)

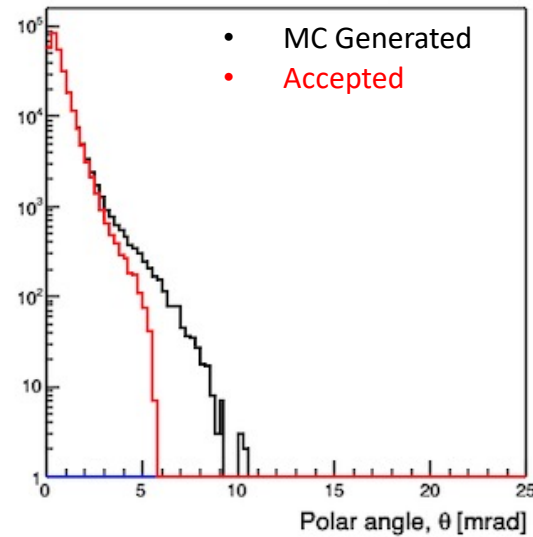
Short-Range Correlations in Deuterons

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Protons

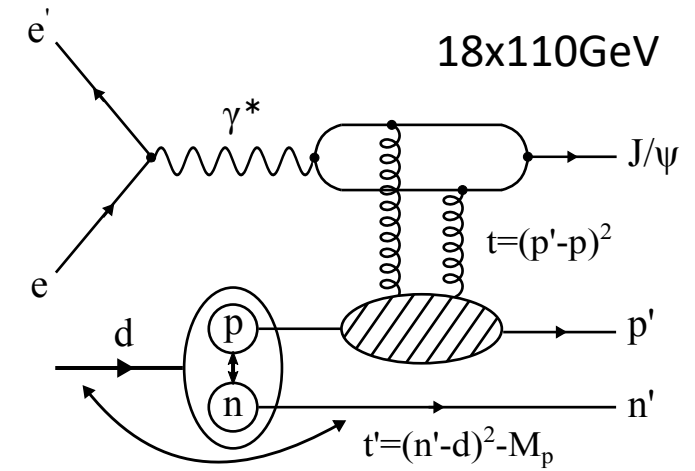


Protons

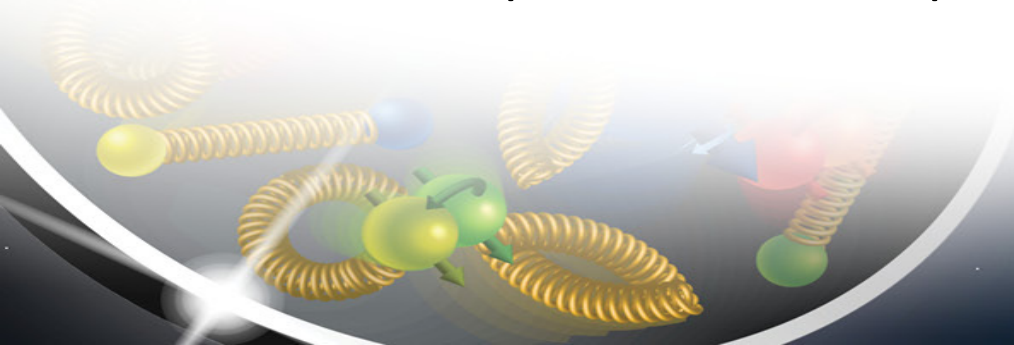


Proton “spectator” case.

Particular process in BeAGLE:
incoherent diffractive J/psi
production off bounded nucleons.



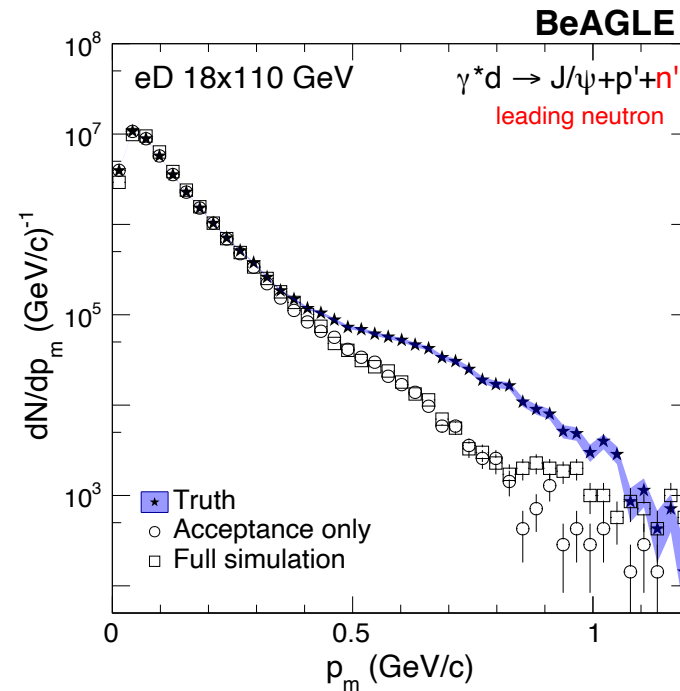
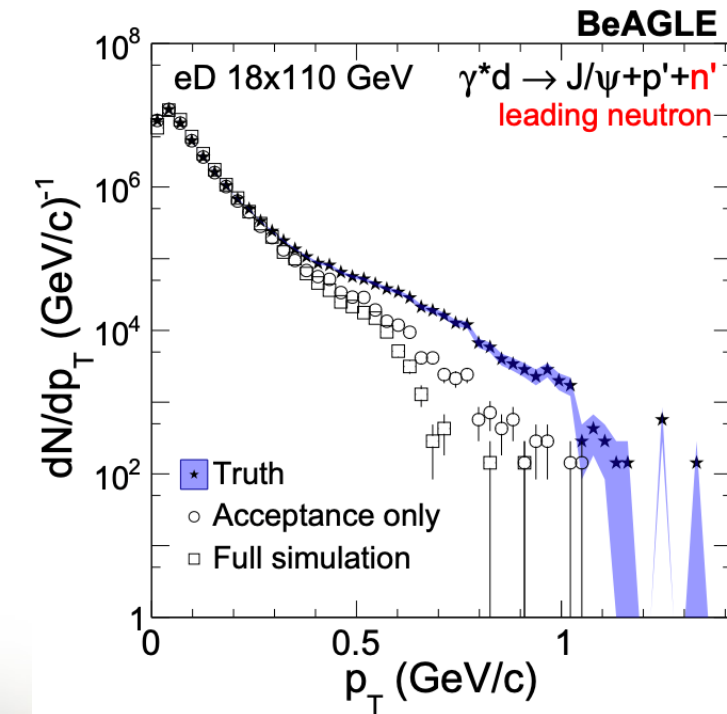
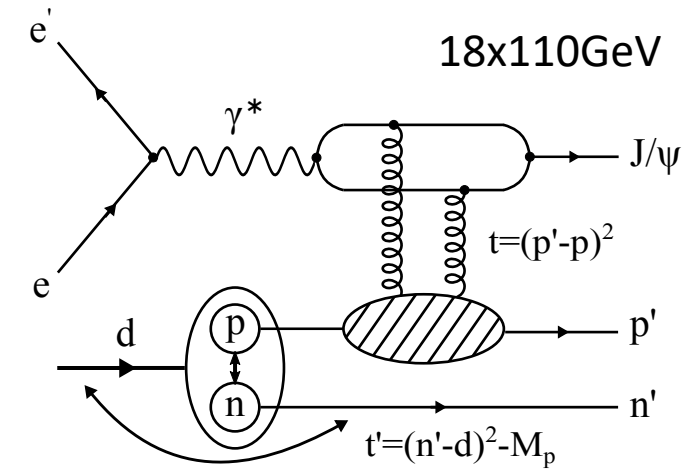
MC generated events shown in black – “accepted” protons in red.
Acceptance refers to particles which are actually captured by the detector.



Short-Range Correlations in Deuterons

Z. Tu, A. Jentsch *et al.*, Phys. Lett. B, **811** (2020)

Proton “spectator” case.

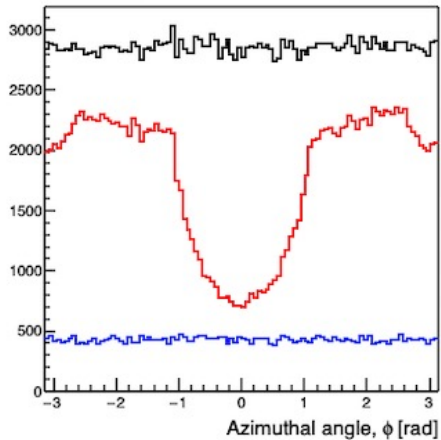


- Spectator kinematic variables reconstructed over a broad range.
- Bin migration is observed due to smearing in the reconstruction.
- Each plot shows the MC (closed circles), acceptance effects only (open circles), and full reconstruction (open squares).

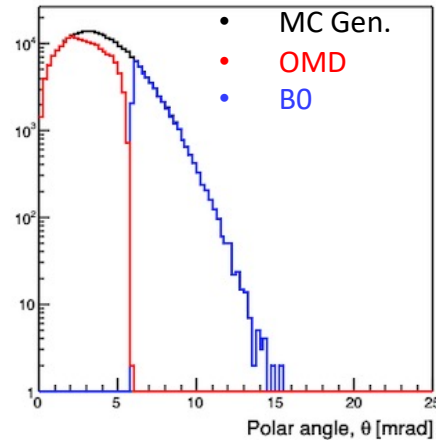
Short-Range Correlations in Deuterons

Z. Tu, A. Jentsch *et al.*, Phys. Lett. B, **811** (2020)

Protons



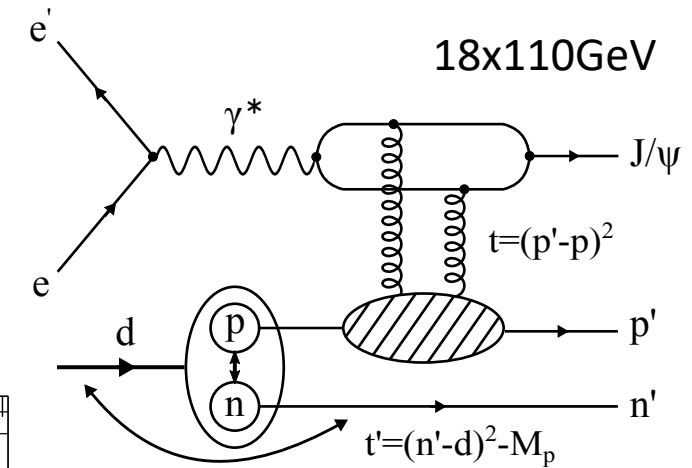
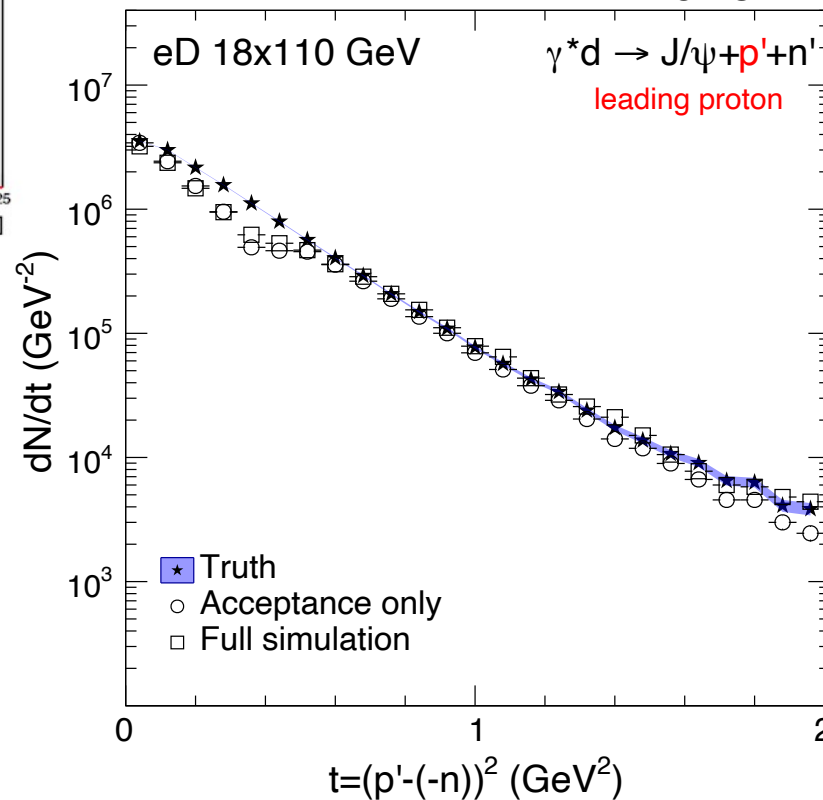
Protons



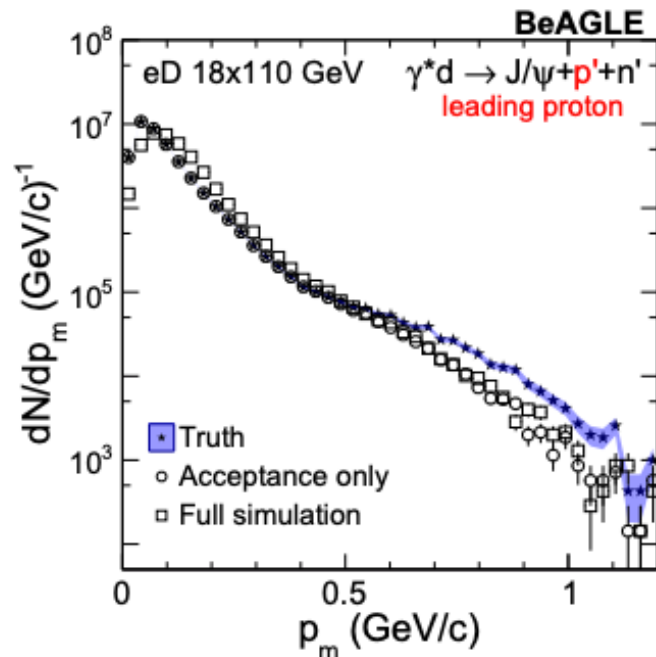
Neutron spectator case.

Particular process in BeAGLE:
incoherent diffractive J/psi
production off bounded nucleons.

BeAGLE



t-reconstruction using **double-tagging** (both proton and neutron).



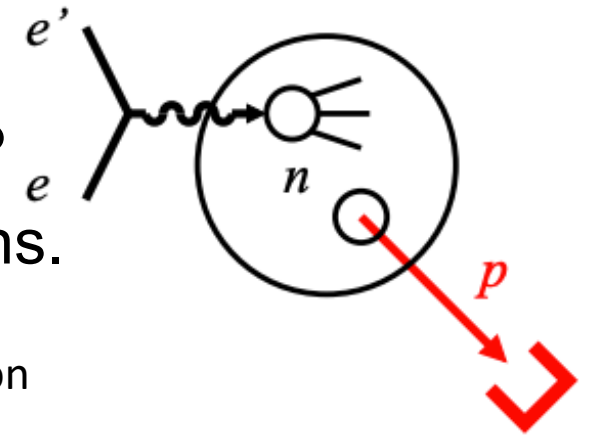
➤ Spectator information is the “dial” for the SRC region.



Light nuclei - deuterons:
Free Neutron Structure

Neutron Structure

- Protons well-studied at HERA -> So...why the neutron?
 - Flavor separation, baseline for studies of nuclear modifications.

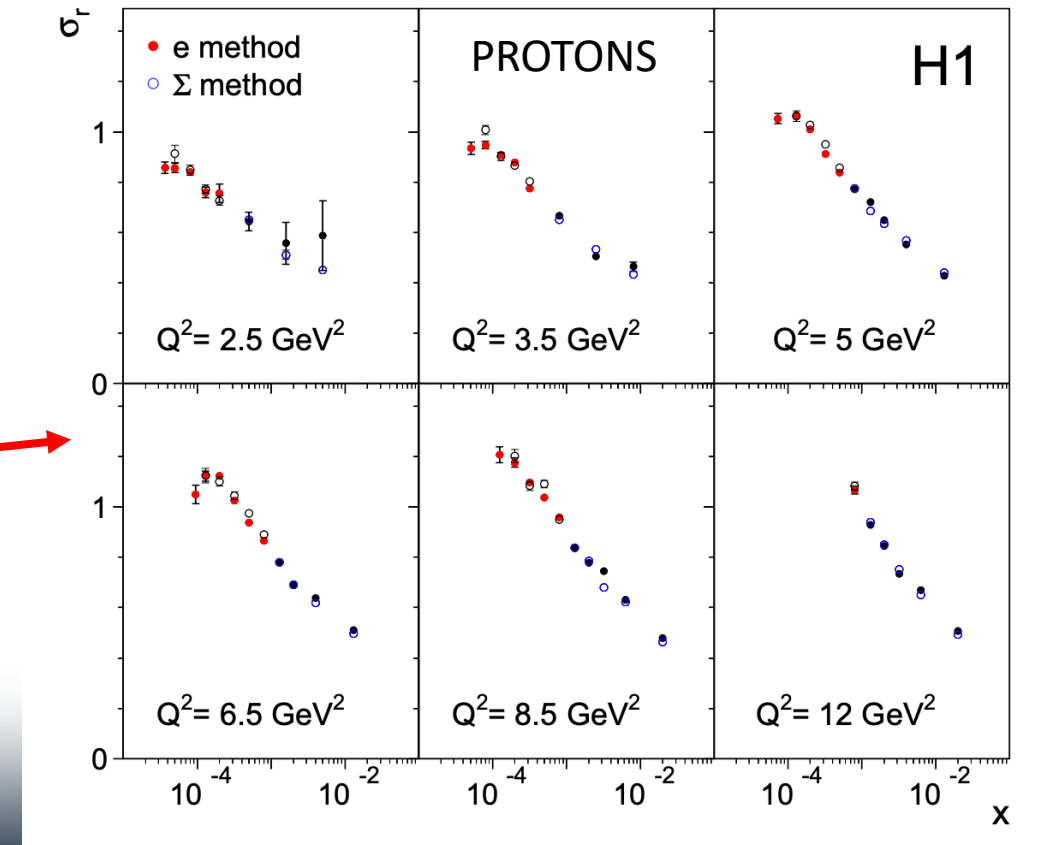


$$\sigma_r = \underbrace{\frac{Q^4 x}{2\pi\alpha^2[1 + (1 - y)^2]}}_{\text{"Flux factor"}} \cdot \underbrace{\frac{d^2\sigma}{dx dQ^2}}_{\text{Differential cross section}} = \underbrace{F_2(x, Q^2) - f(y) \cdot F_L(x, Q^2)}_{\text{Structure functions}}$$

Reduced cross section

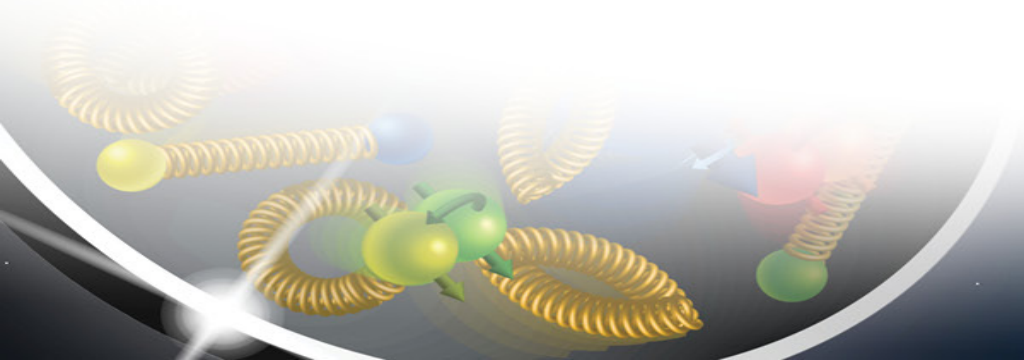
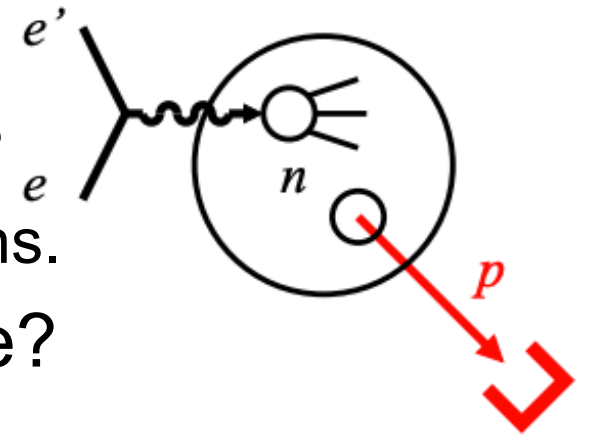
Some useful HERA references for measurements on proton

- F. Aaron *et al.* (H1 Collaboration), The European Physical Journal C volume 63, Article number: 625 (2009)
- V. Andreev *et al.* (H1 Collaboration), *Eur.Phys.J.C* 74 (2014) 4, 2814
- H. Abramowicz *et al.* (H1 and ZEUS Collaborations) *The European Physical Journal C* **volume 75**, Article number: 580 (2015)



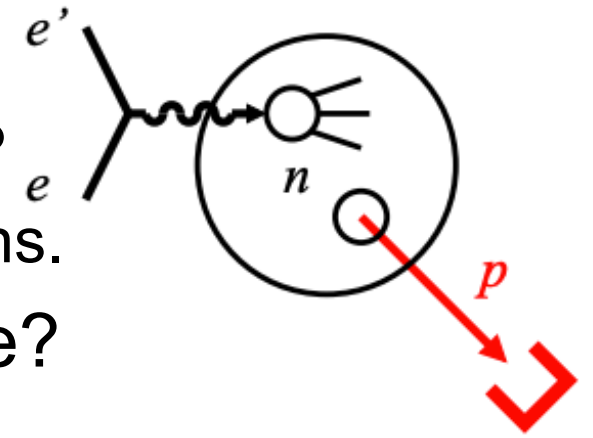
Neutron Structure

- Protons well-studied at HERA -> So...why the neutron?
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- What makes the free neutron structure hard to measure?
 - Can only access neutrons *in a nucleus*.
 - Includes nuclear binding effects, Fermi motion, etc.

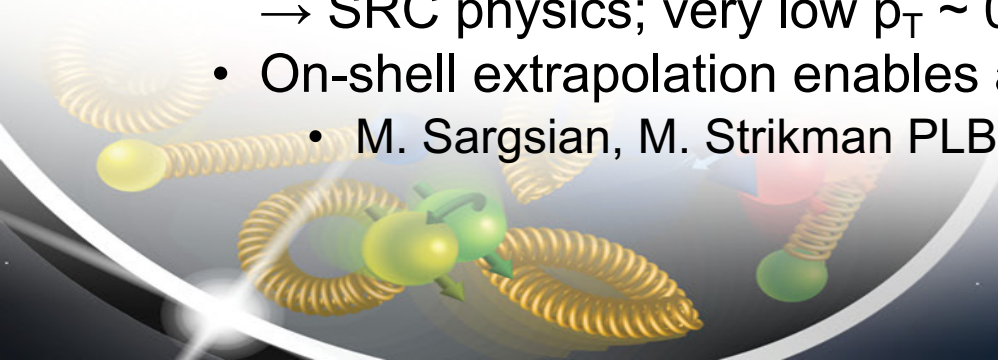


Neutron Structure

- Protons well-studied at HERA -> So...why the neutron?
 - Flavor separation, baseline for studies of nuclear modifications.
- What makes the free neutron structure hard to measure?
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 - Includes nuclear binding effects, Fermi motion, etc.



- Two options:
 1. Inclusive measurements → Average over all nuclear configurations, use theory input to correct for nuclear binding effects.
 2. Tagged measurements → Select nuclear configuration via spectator kinematics, allows for differential study.
 - Spectator kinematics provide a knob to dial in different regions of interest for study (i.e. high p_T → SRC physics; very low $p_T \sim 0$ GeV/c yields access to on-shell extrapolation).
 - On-shell extrapolation enables access to **free** nucleon structure.
 - M. Sargsian, M. Strikman PLB **639** (iss. 3-4) 223231 (2006)

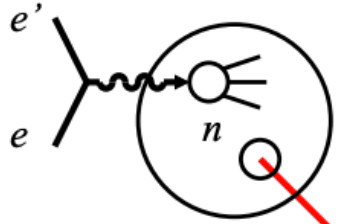


Neutron Structure

- Previous fixed target experiments with tagging have measured the neutron F_2 at high- x .
 - CLAS - Phys. Rev. Lett. **108**, 199902 (2012)
 - CLAS + BONUS - Phys. Rev. C 89, 045206 (2014)
 - measurement had a lower p_T cutoff ~ 70 MeV/c.
- Future JLAB 12 GeV studies planned.
 - ALERT - <https://arxiv.org/abs/1708.00891>
 - CLAS - https://www.jlab.org/exp_prog/proposals/10/PR12-06-113-pac36.pdf
- **Tagged DIS @ the EIC:**
 - In a collider, can tag spectators down to $p_T \sim 0$ MeV/c \rightarrow Enables extraction of free neutron structure function via pole extrapolation.
 - Can extend tagged DIS measurement to $x \lesssim 0.1$.



Basic Method - Tagging



spectator nucleon (p_{pT}, α_p)

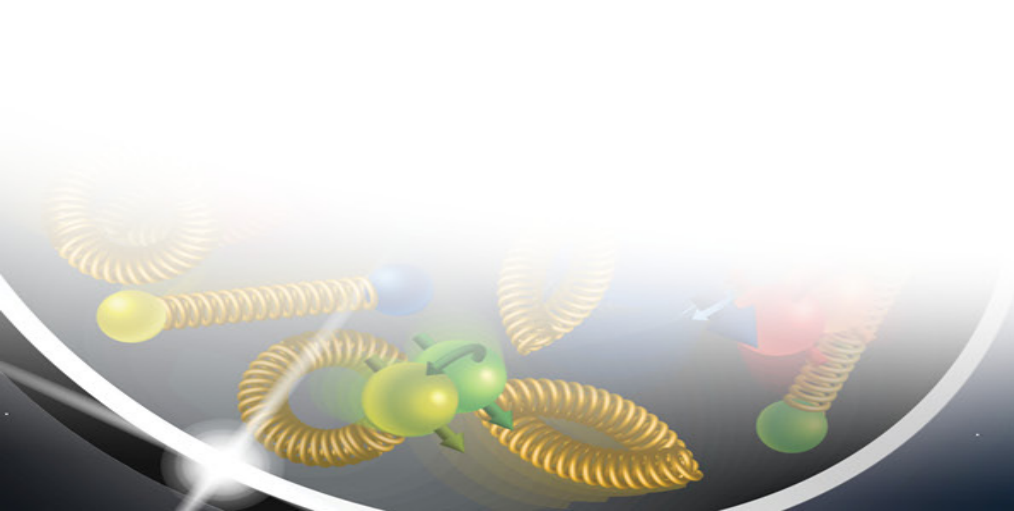
Total cross section

$$d\sigma = Flux(x, Q^2) \times \sigma_{red,d} \times \frac{dx}{2} dQ^2 \frac{d\phi_{e'}}{2\pi} [2(2\pi)^3]^{-1} \frac{d\alpha_p}{\alpha_p} \frac{dp_{pT}^2}{2} d\phi_p$$

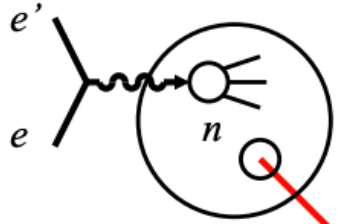
α_p : light-cone momentum fraction

$$\alpha_p \equiv \frac{2p_p^+}{p_d^+} = \frac{2(E_p + p_{z,p})}{M_d}$$

S_d : deuteron spectral function pole



Basic Method - Tagging



spectator nucleon (p_{pT}, α_p)

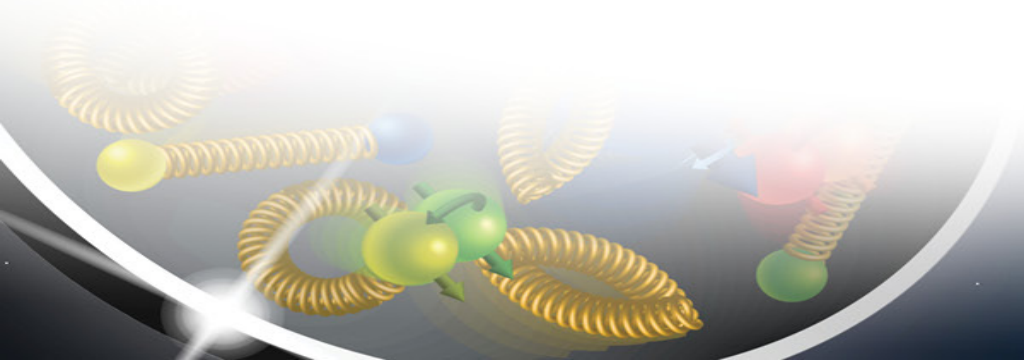
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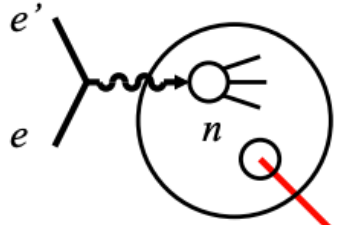
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S_d : deuteron spectral function pole

- Measure the cross-section differential on the spectator kinematics.
 - Spectator kinematics provide control knob on the nuclear configuration.
- Solve for the deuteron reduced cross section.



Basic Method - Tagging



spectator nucleon (p_{pT}, α_p)

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$$d\sigma = Flux(x, Q^2) \times \sigma_{red,d} \times \frac{dx}{2} dQ^2 \frac{d\phi_{e'}}{2\pi} [2(2\pi)^3]^{-1} \frac{d\alpha_p}{\alpha_p} \frac{dp_{pT}^2}{2} d\phi_p$$

- Measure the cross-section differential on the spectator kinematics.
 - Spectator kinematics provide control knob on the nuclear configuration.
- Solve for the deuteron reduced cross section.
- Deuteron reduced cross section related to the struck nucleon reduced cross section via the deuteron spectral function.

$$\sigma_{red,d}(x, Q^2; p_{pT}, \alpha_p) = [2(2\pi)^3] \times S_d(p_{pT}, \alpha_p) [pole] \times \sigma_{red,n}(x, Q^2)$$

Measurement of the deuteron reduced cross section yields access to the struck nucleon structure via the tagged spectator!

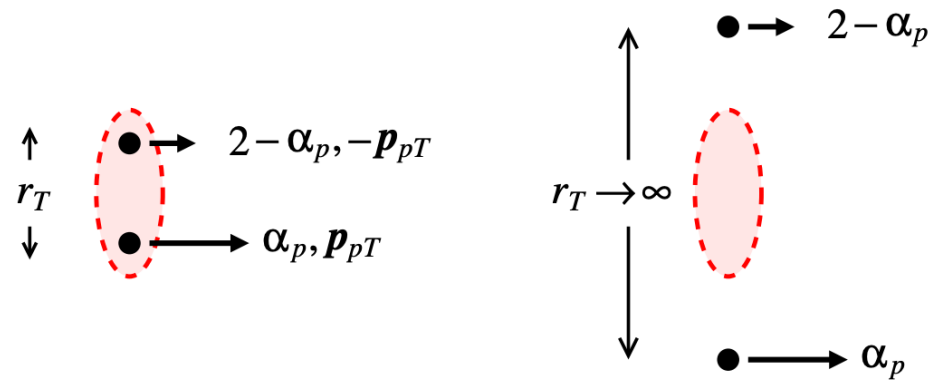
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S_d : deuteron spectral function pole

Basic Method - Pole Extrapolation

C. Weiss and W. Cosyn
Phys. Rev. C **102**, 065204 (2020)



$p_{pT}^2 > 0$
physical region

$p_{pT}^2 \rightarrow -a_T^2$
pole extrapolation

- Divide by deuteron spectral function (nucleon pole).
 - The resulting distribution is the active nucleon reduced cross section as a function of p_{pT}^2 .

$$\sigma_{red,n}(x, Q^2) = \frac{\sigma_{red,d}(x, Q^2; p_{pT}, \alpha_p)}{[2(2\pi)^3]S_d(p_{pT}, \alpha_p)[pole]}$$

$$S_d(p_{pT}, \alpha_p)[pole] = \frac{R}{(p_{pT}^2 + a_T^2)^2} \quad \text{Deuteron spectral function}$$

$$R = 2\alpha_p^2 m_N \Gamma^2 (2 - \alpha_p)$$

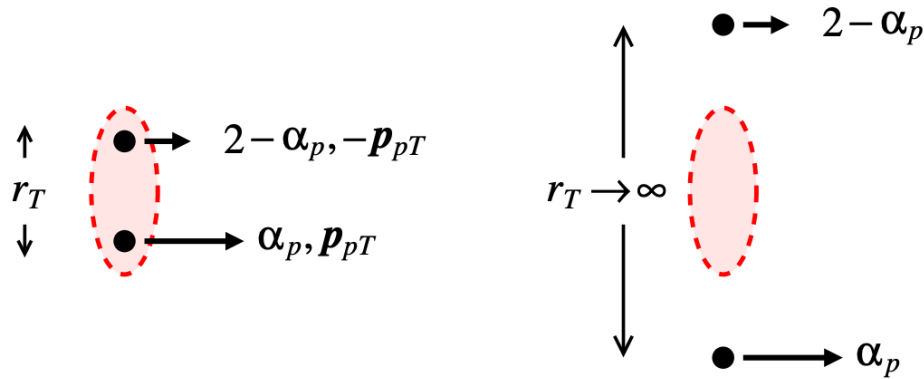
$$a_T^2 = m_N^2 - \alpha_p(2 - \alpha_p) \frac{M_d^2}{4}$$

$R = \text{residue of spectral function}$

$a_T^2 = \text{position of pole}$

Basic Method - Pole Extrapolation

C. Weiss and W. Cosyn
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$$S_d(p_{pT}, \alpha_p)[pole] = \frac{R}{(p_{pT}^2 + a_T^2)^2} \quad \text{Deuteron spectral function}$$

$$R = 2\alpha_p^2 m_N \Gamma^2 (2 - \alpha_p)$$

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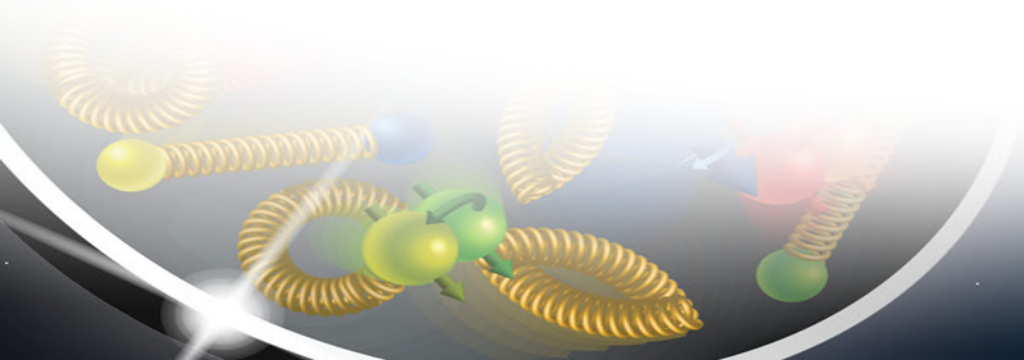
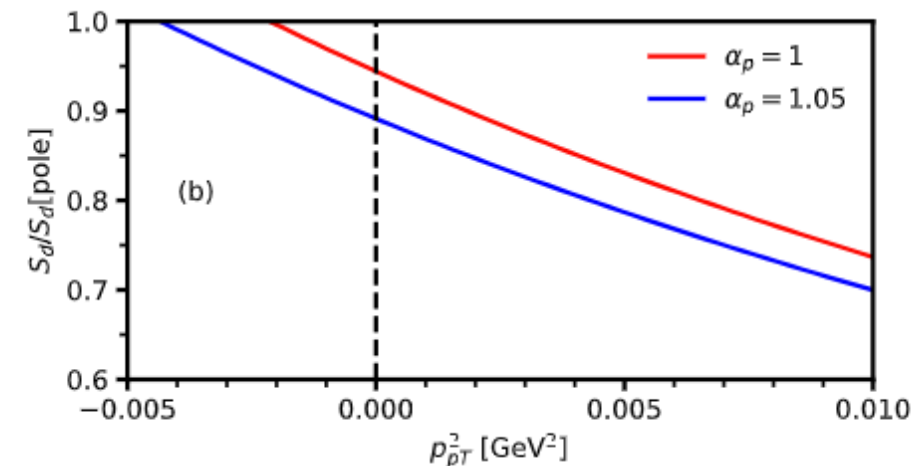
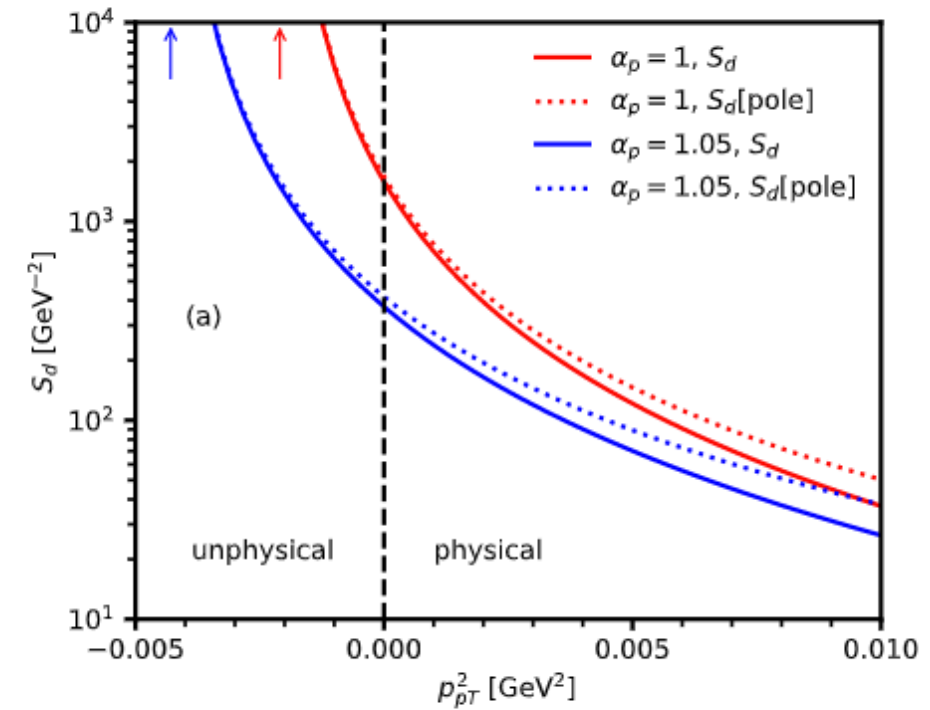
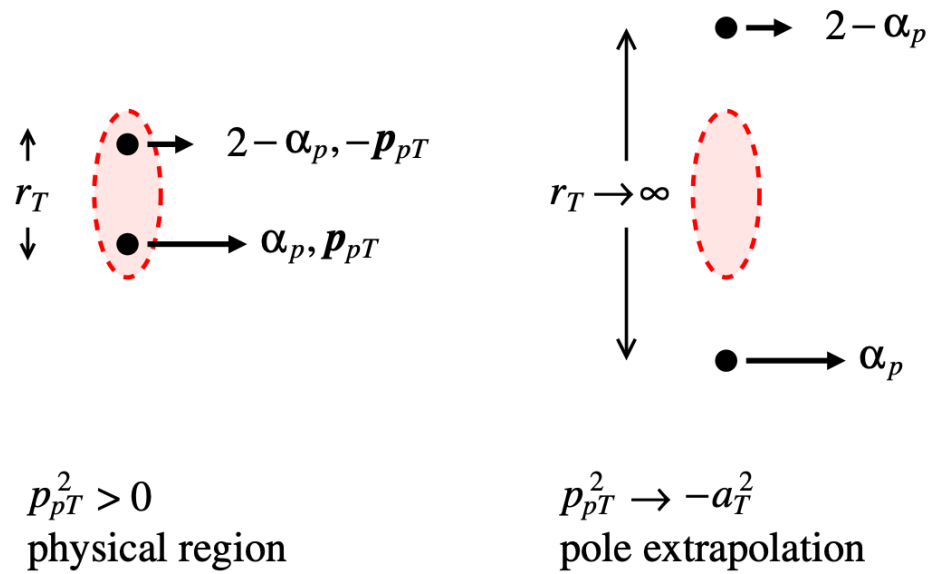
$R = \text{residue of spectral function}$

$a_T^2 = \text{position of pole}$

- Extrapolate to $p_{pT}^2 \rightarrow -a_T^2$ to extract F_2 to extract free nucleon F_2 .
 - Pole extrapolation selects large-size pn configurations where nuclear binding and FSI are absent.

Basic Method - Pole Extrapolation

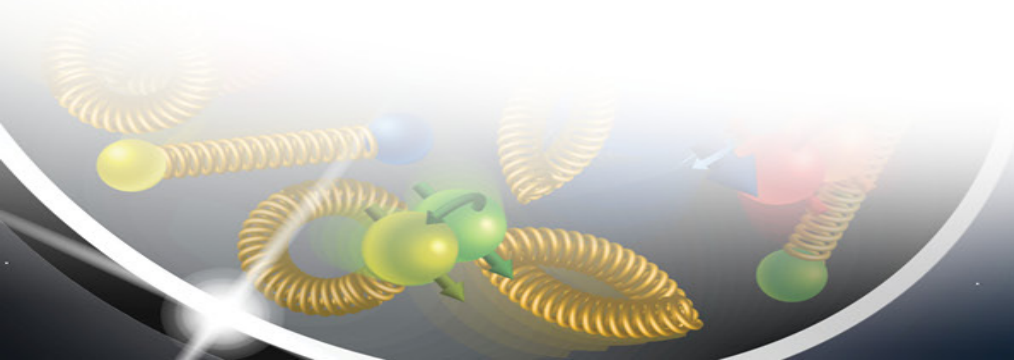
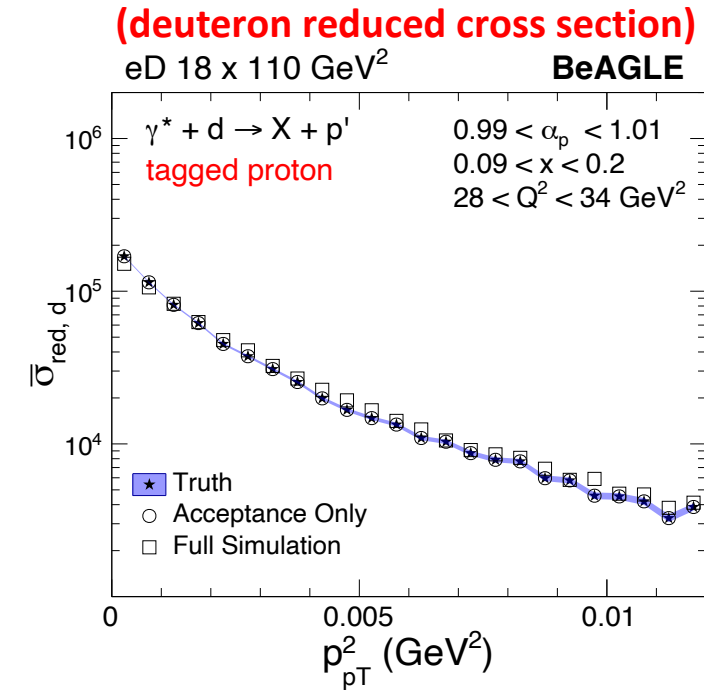
C. Weiss and W. Cosyn
Phys. Rev. C **102**, 065204 (2020)



Free Neutron F_2 Extraction

A. Jentsch, Z. Tu, and C. Weiss, Phys. Rev. C **104**, 065205, (2021) (Editor's Suggestion)

- Start with the deuteron reduced cross section \rightarrow direct measurement!



Free Neutron F_2 Extraction

A. Jentsch, Z. Tu, and C. Weiss, Phys. Rev. C **104**, 065205, (2021) (Editor's Suggestion)

- Start with the deuteron reduced cross section \rightarrow direct measurement!
- Multiply by the inverse of the deuteron spectral function pole.

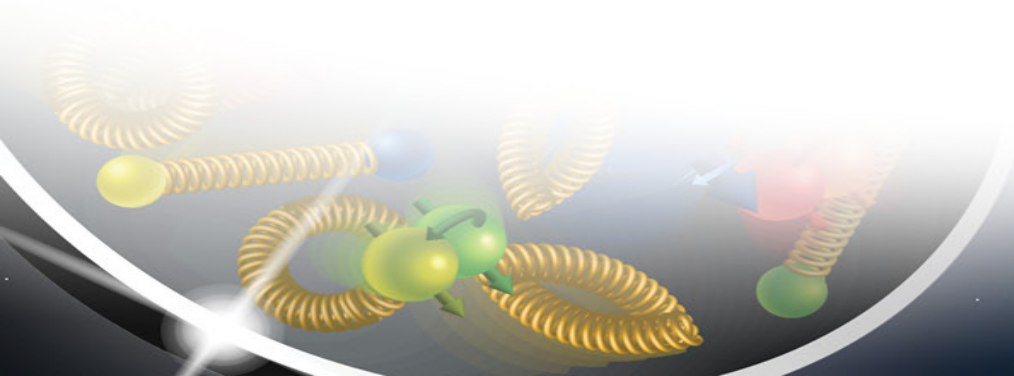
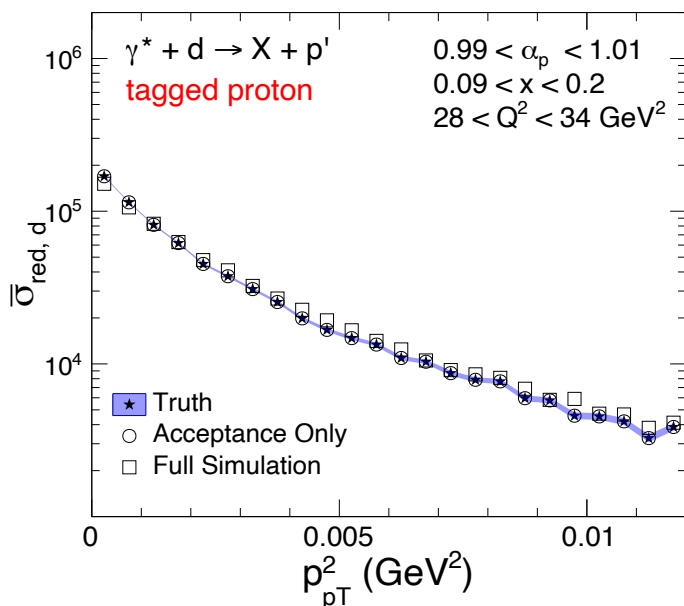
×
$$\frac{1}{S_d(p_{pT}, \alpha_p)[pole]}$$

(inverse pole of deuteron spectral function)

(deuteron reduced cross section)

eD 18 x 110 GeV²

BeAGLE



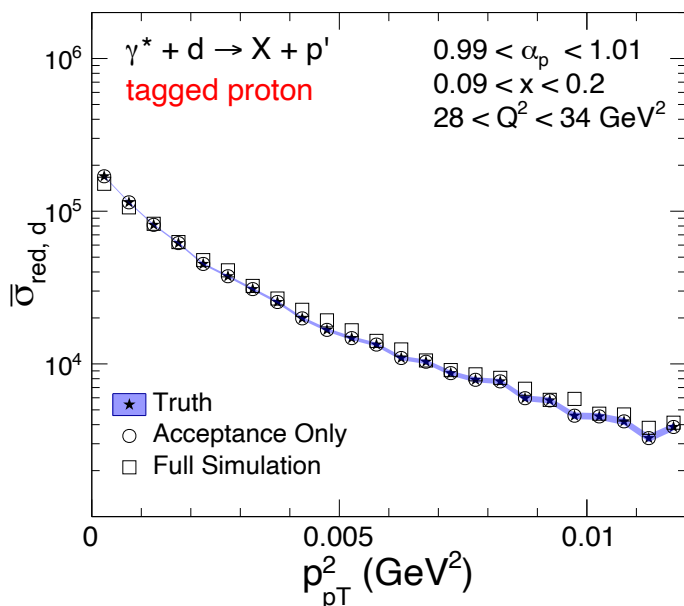
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A. Jentsch, Z. Tu, and C. Weiss, Phys. Rev. C **104**, 065205, (2021) (Editor's Suggestion)

(deuteron reduced cross section)

eD 18 x 110 GeV²

BeAGLE

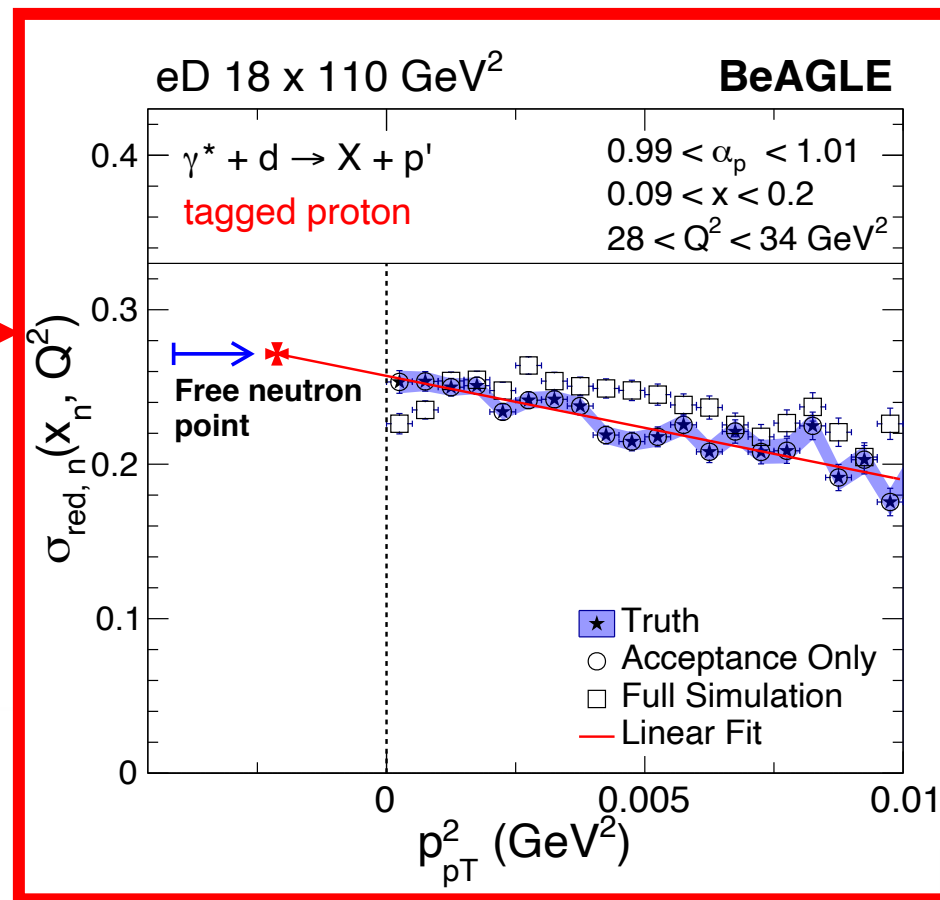


RESULT: Reduced cross section on the active nucleon.



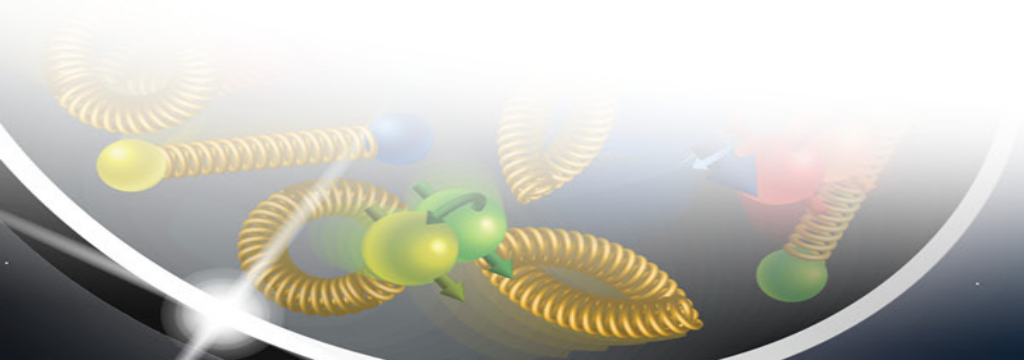
$$\frac{1}{S_d(p_{pT}, \alpha_p)[pole]}$$

(inverse pole of deuteron spectral function)



(Active nucleon reduced cross section)

$$\sigma_{red,n}(x, Q^2) = \frac{\sigma_{red,d}}{[2(2\pi)^3]S_d(p_{pT}, \alpha_p)}$$



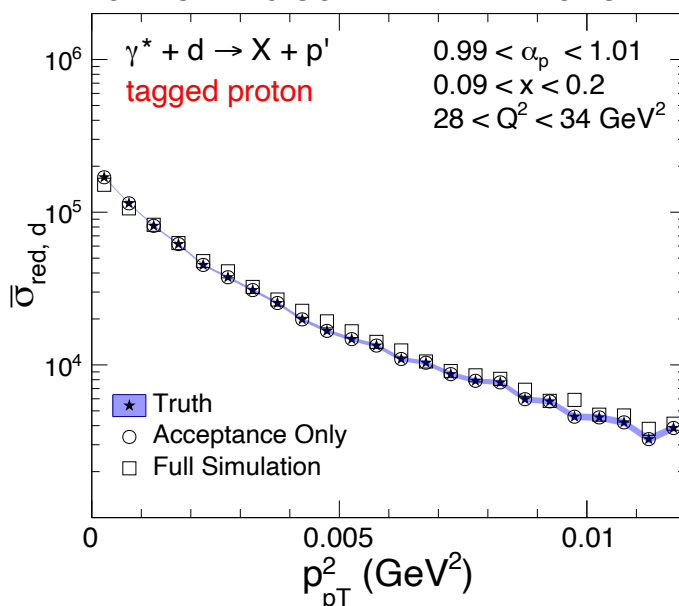
Free Neutron F_2 Extraction

A. Jentsch, Z. Tu, and C. Weiss, Phys. Rev. C **104**, 065205, (2021) (Editor's Suggestion)

(deuteron reduced cross section)

eD 18 x 110 GeV^2

BeAGLE

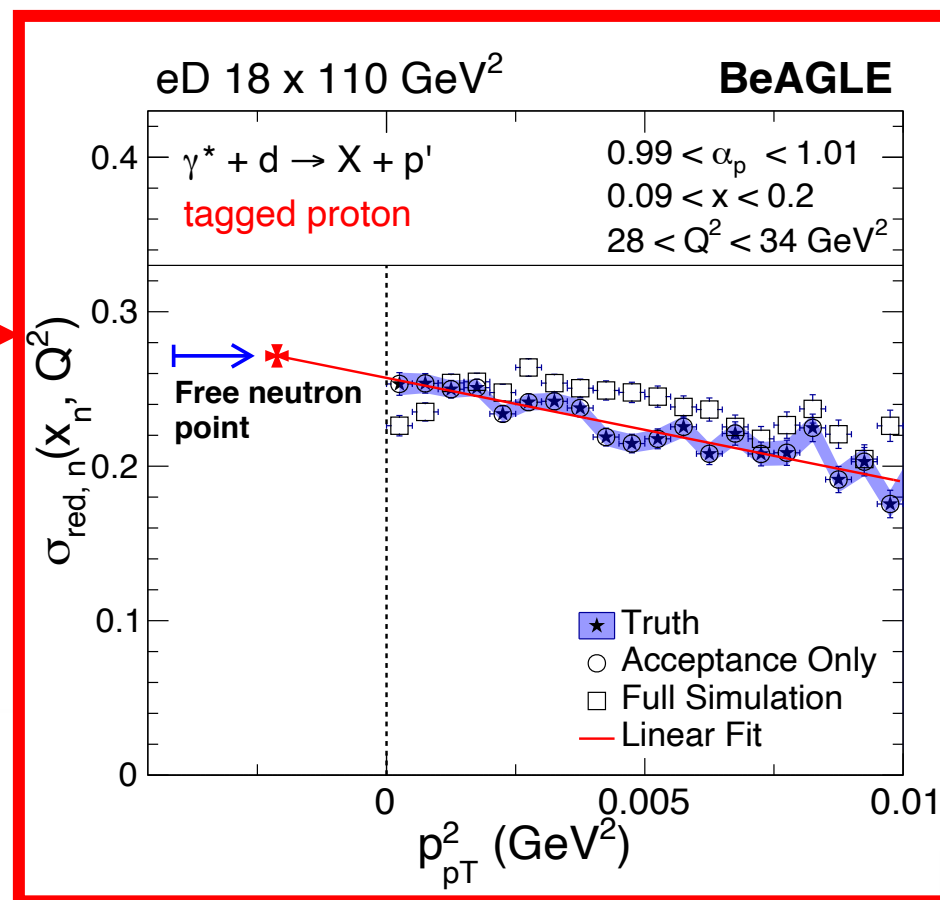


RESULT: Reduced cross section on the active nucleon.



$$\frac{1}{S_d(p_{pT}, \alpha_p)[pole]}$$

(inverse pole of deuteron spectral function)



(Active nucleon reduced cross section)

- Resulting dependence on p_{pT}^2 is very weak and the extrapolation can be performed with a 1st-degree polynomial fit.
- Extrapolation only performed for the generator-level distribution.

$$R = 2\alpha_n^2 m_N \Gamma^2 (2 - \alpha_n)$$

$$a_T^2 = m_N^2 - \alpha_p (2 - \alpha_p) \frac{M_d^2}{4}$$

R = residue of spectral function

a_T^2 = position of pole

$$S_d(p_{pT}, \alpha_p)[pole] = \frac{R}{(p_{pT}^2 + a_T^2)^2}$$

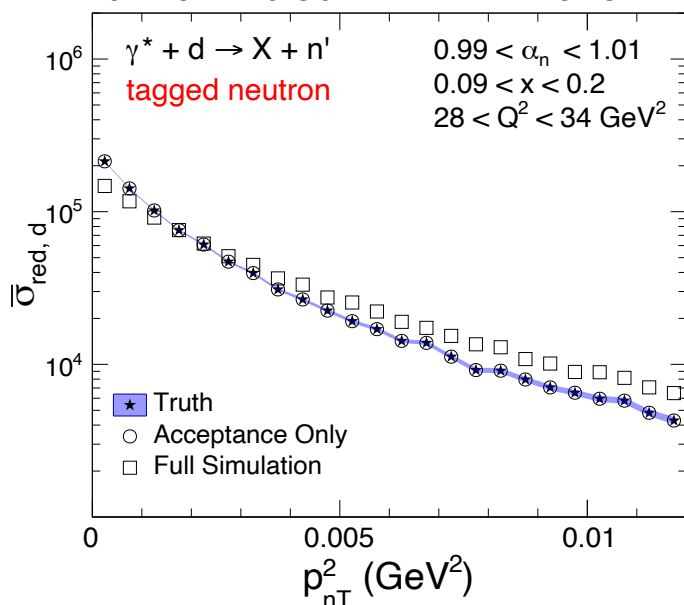
$$\sigma_{red,n}(x, Q^2) = \frac{\sigma_{red,d}}{[2(2\pi)^3] S_d(p_{pT}, \alpha_p)}$$

Free Proton F_2 Extraction

A. Jentsch, Z. Tu, and C. Weiss, Phys. Rev. C **104**, 065205, (2021) (Editor's Suggestion)

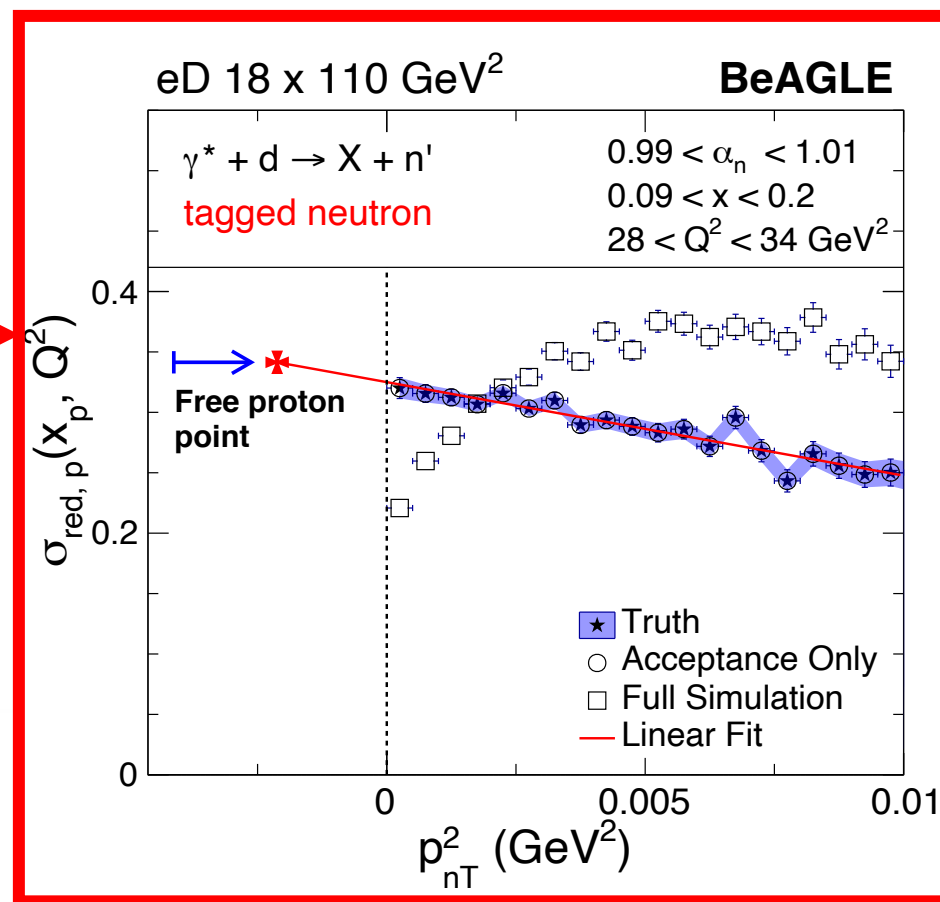
(deuteron reduced cross section)

eD 18 x 110 GeV² BeAGLE



$$\frac{1}{S_d(p_{pT}, \alpha_p)[pole]}$$

(inverse pole of deuteron spectral function)



(Active nucleon reduced cross section)

$$\sigma_{red,p}(x, Q^2) = \frac{\sigma_{red,d}}{[2(2\pi)^3]S_d(p_{nT}, \alpha_n)}$$

- Resulting dependence on p_{pT}^2 is very weak and the extrapolation can be performed with a 1st-degree polynomial fit.
- Extrapolation only performed for the generator-level distribution.

$$R = 2\alpha_n^2 m_N \Gamma^2 (2 - \alpha_n)$$

$$a_T^2 = m_N^2 - \alpha_p (2 - \alpha_p) \frac{M_d^2}{4}$$

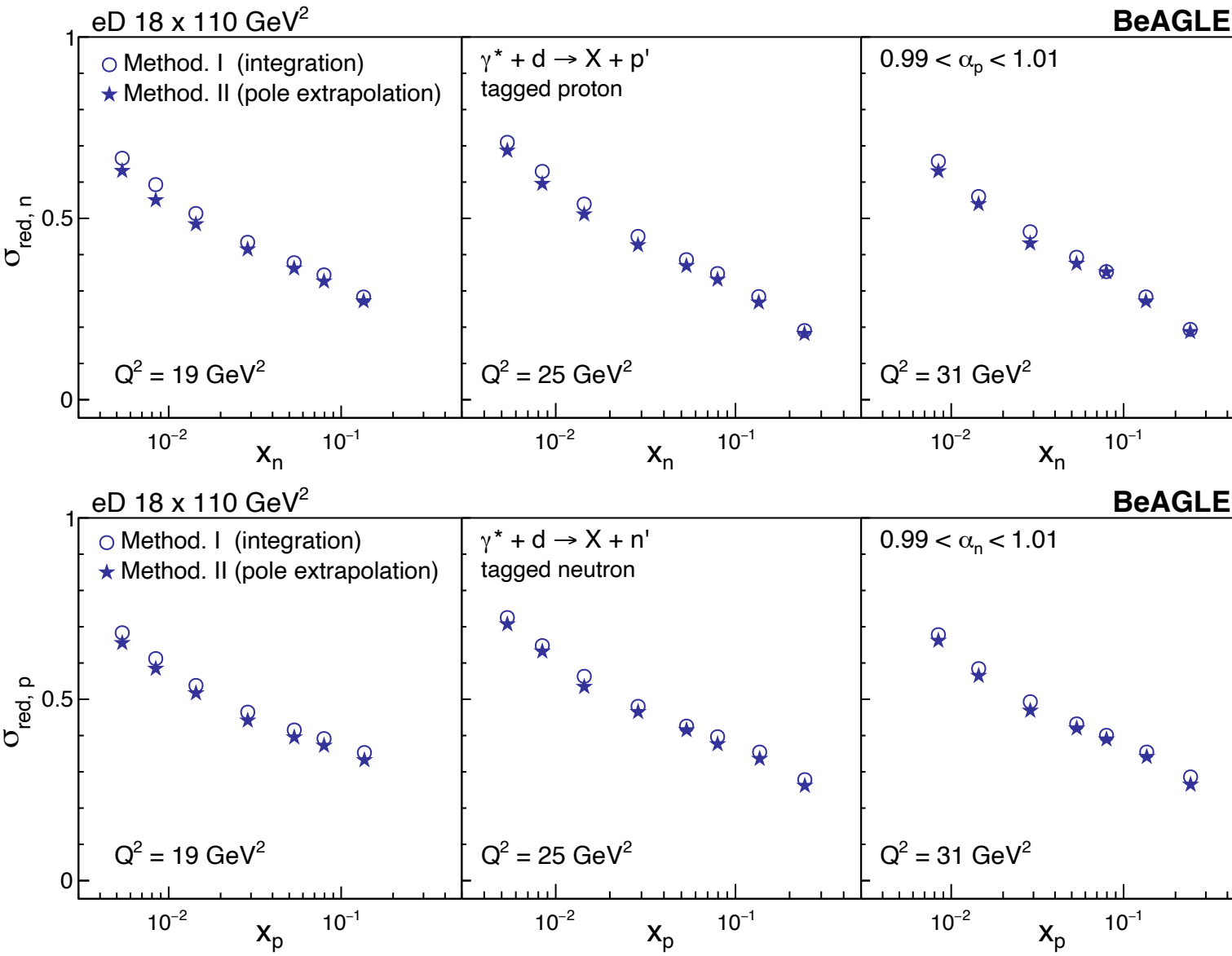
R = residue of spectral function

a_T^2 = position of pole

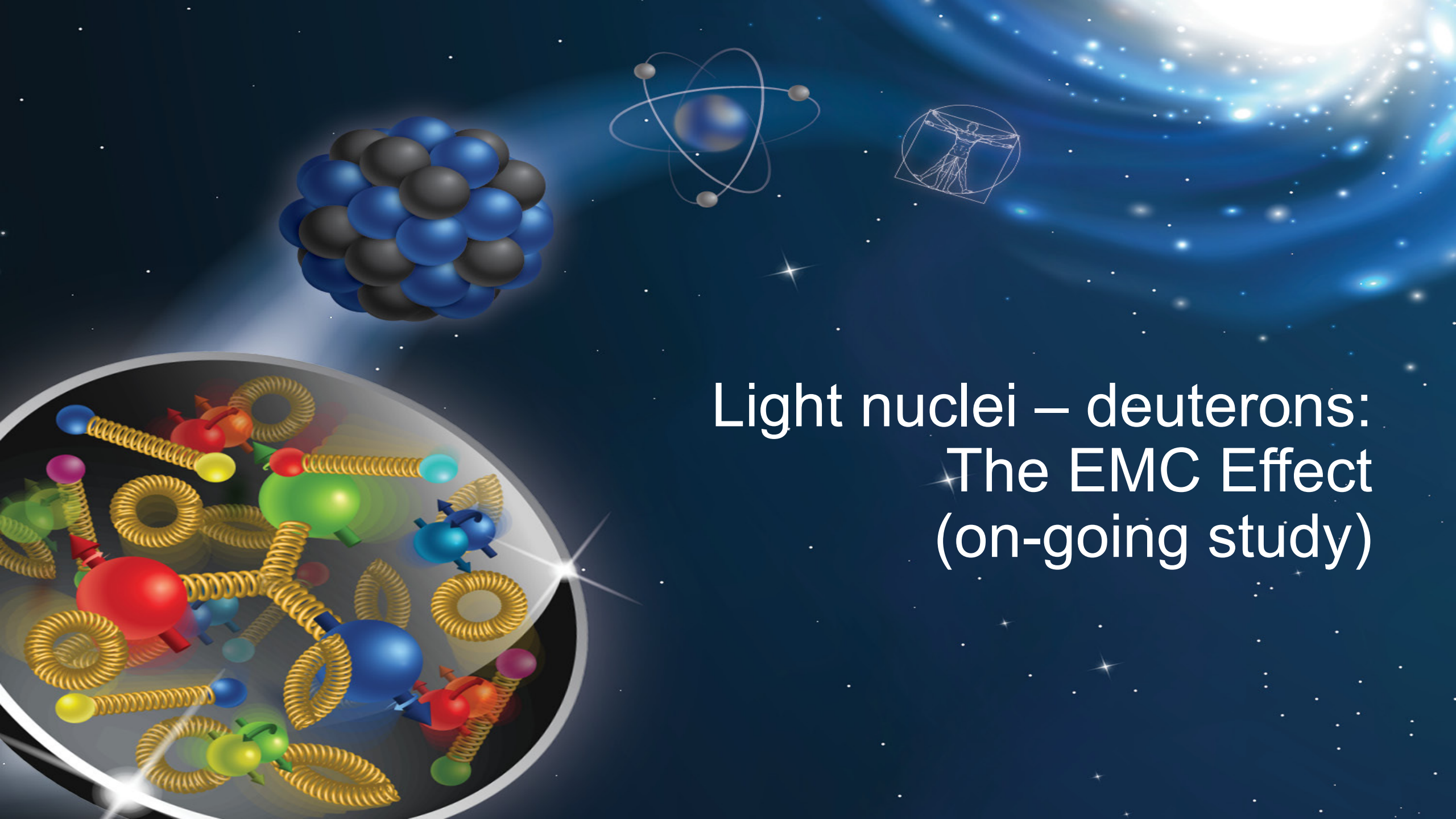
$$S_d(p_{pT}, \alpha_p)[pole] = \frac{R}{(p_{pT}^2 + a_T^2)^2}$$

Free Nucleon Structure

A. Jentsch, Z. Tu, and C. Weiss, Phys. Rev. C **104**, 065205, (2021) (Editor's Suggestion)



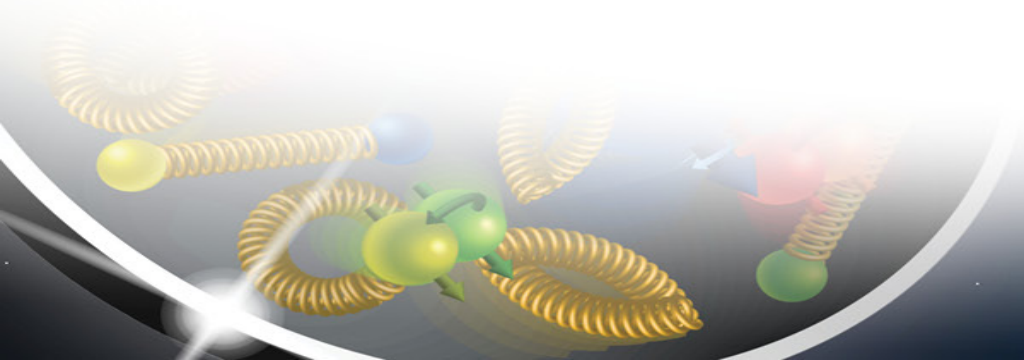
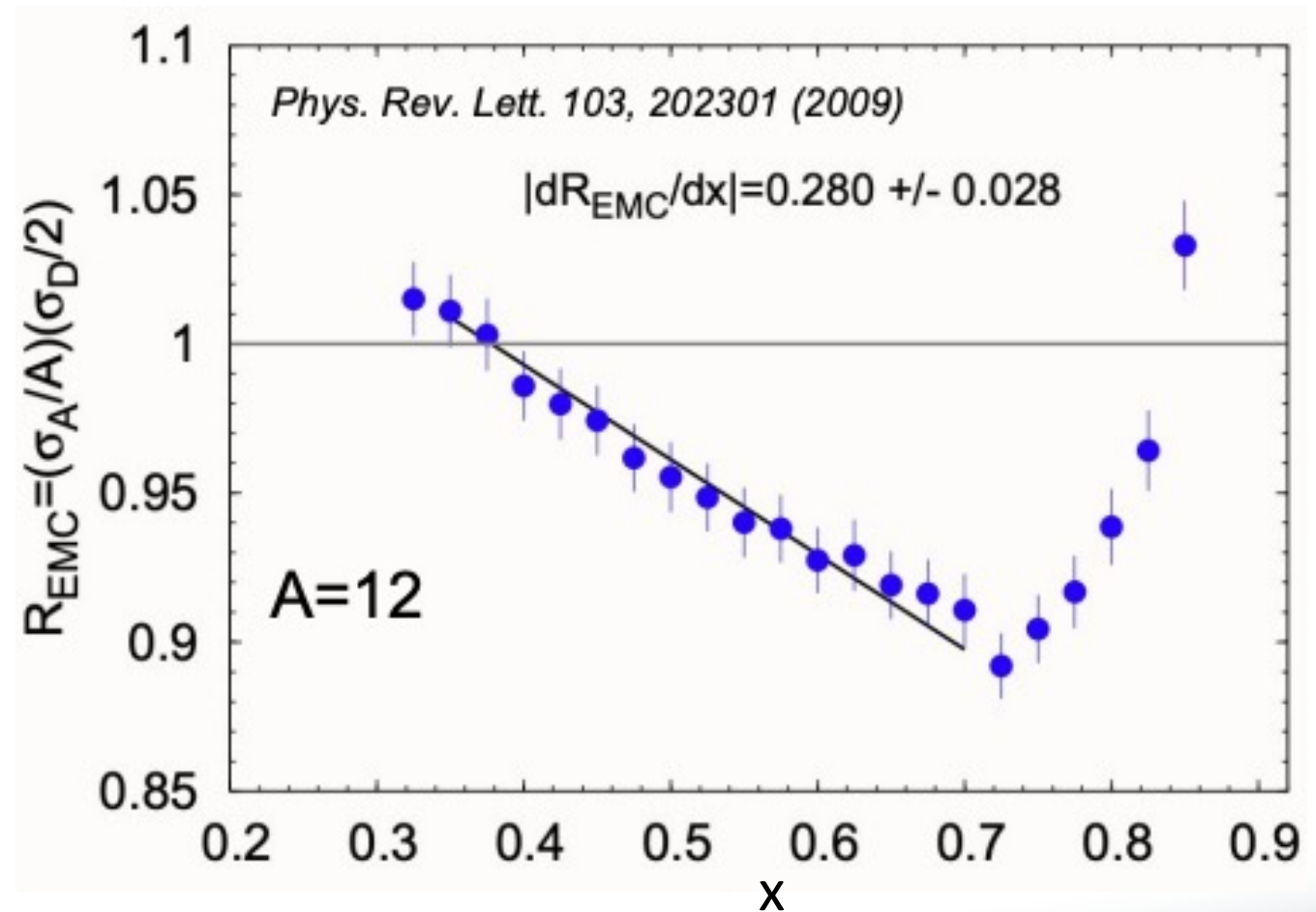
- Pole factor removed using “average” approach.
 - Pole factor calculated in each (α, Q^2, x) bin – shows sensitivity of pole factor to different nuclear configurations.
 - Difference between “stars” and open circles due to the approach in removing the pole factor.
 - This method leads to \sim few percent percent discrepancy between the inclusive and pole extrapolated results.



Light nuclei – deuterons:
The EMC Effect
(on-going study)

The EMC Effect

- Discovered by the European Muon Collaboration ~40 years ago.
 - Puzzle: why the dip?
- Still an unanswered question, and one we hope the EIC can aid in answering.

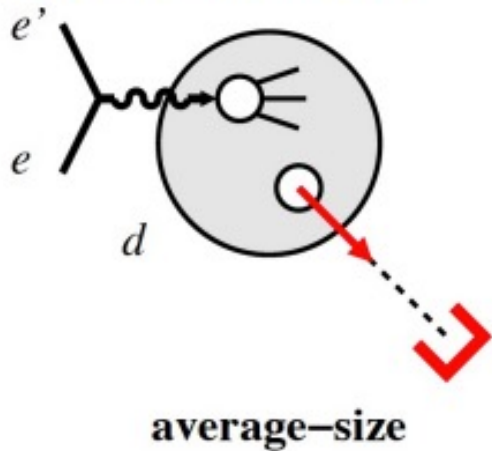


The EMC Effect

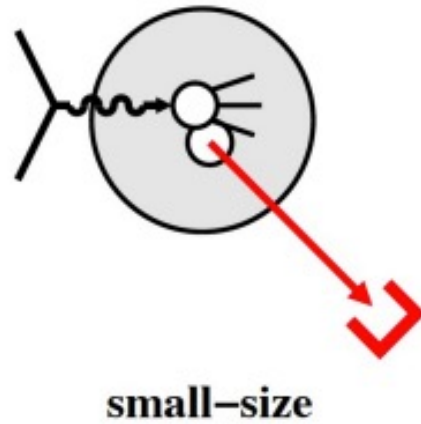
- Potential pathway forward – study off-shell effect in deuterons.

Tagged DIS Process: $e + d \rightarrow e' + X + p' \text{ or } n'$

Low off-shellness

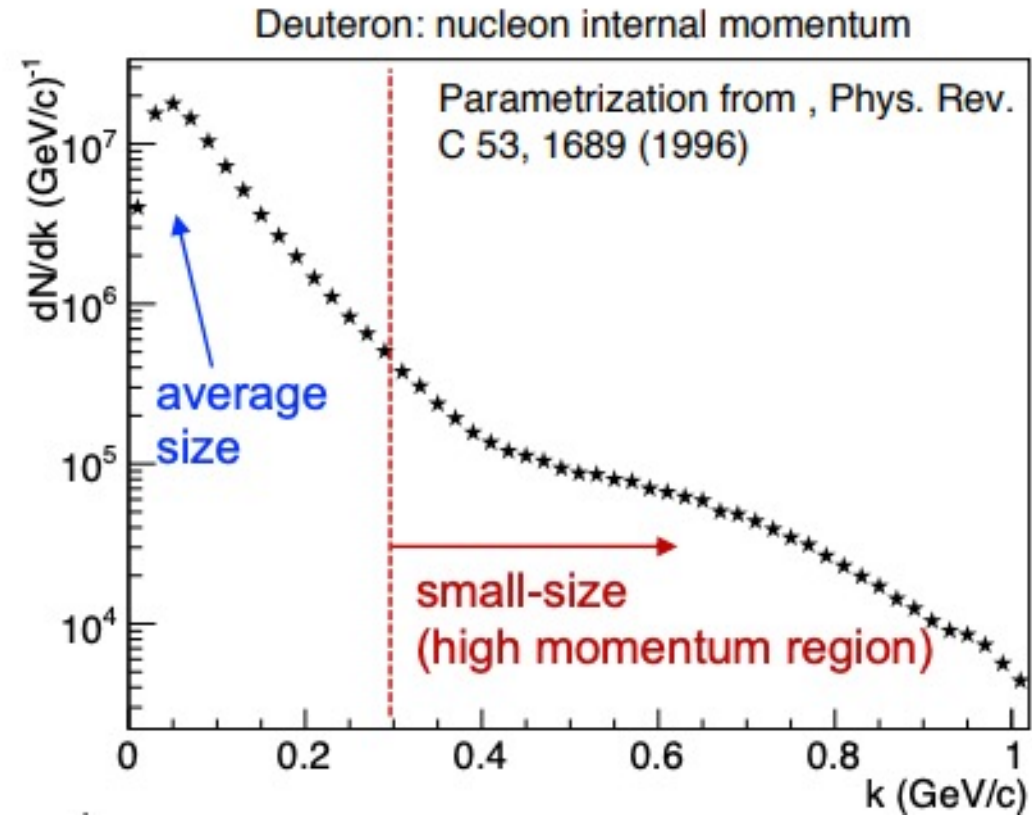


High off-shellness



$$-t'^2 = M_N^2 - (p_d - p_p)^2$$

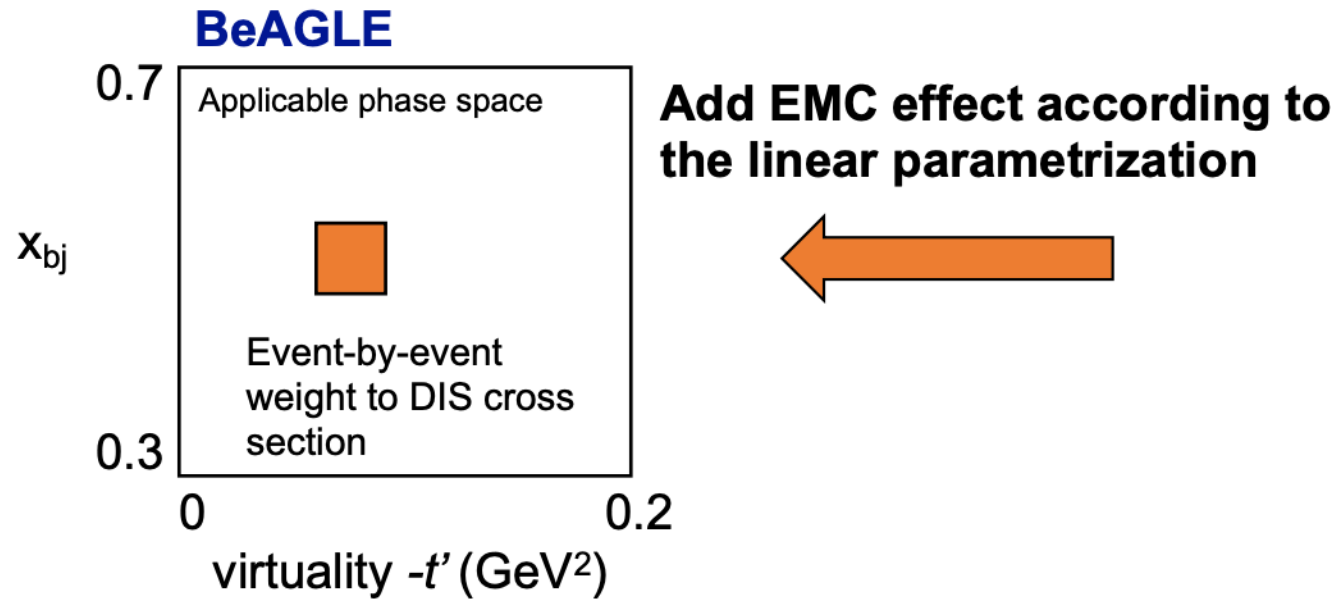
Virtuality/off-shellness in the deuteron



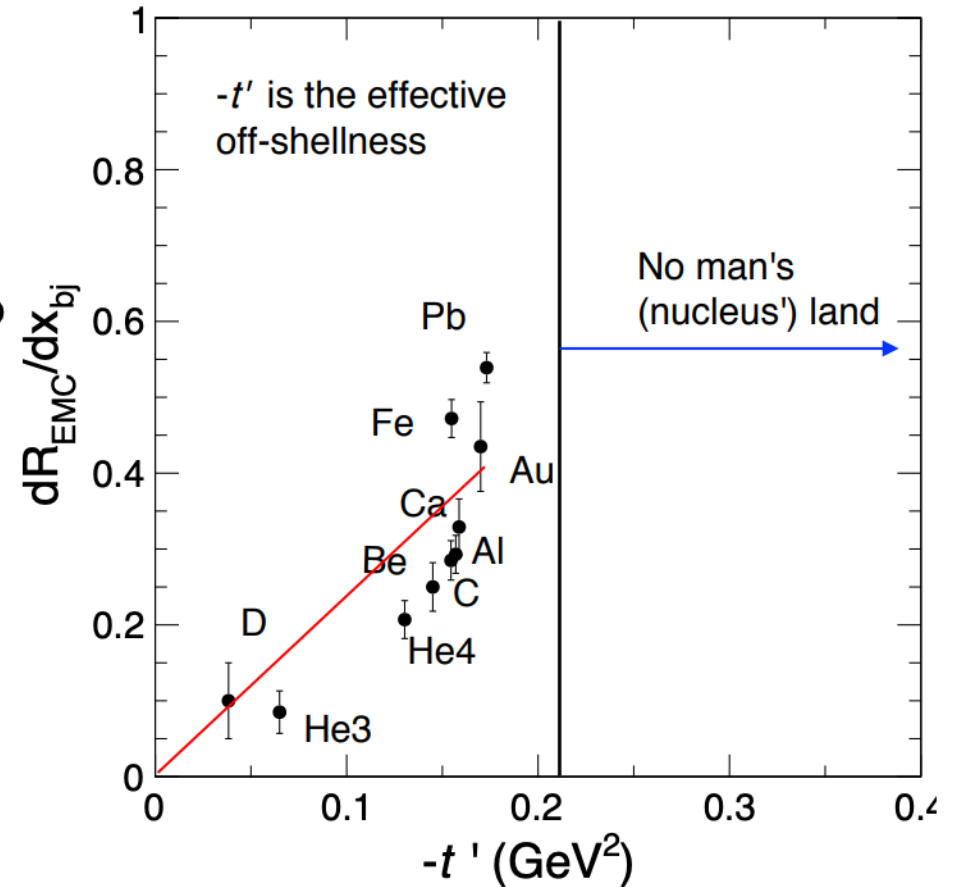
Question: can the EMC effect be controlled via the off-shellness without altering the colliding system?

Our goal: establish experimental prospects to see if we will be sensitive enough to study this!

The EMC Effect



- Only apply to $0.3 < x_{bj} < 0.7$
- Q^2 independent
- Weight = $F_2(\text{bound}) / F_2(\text{free})$



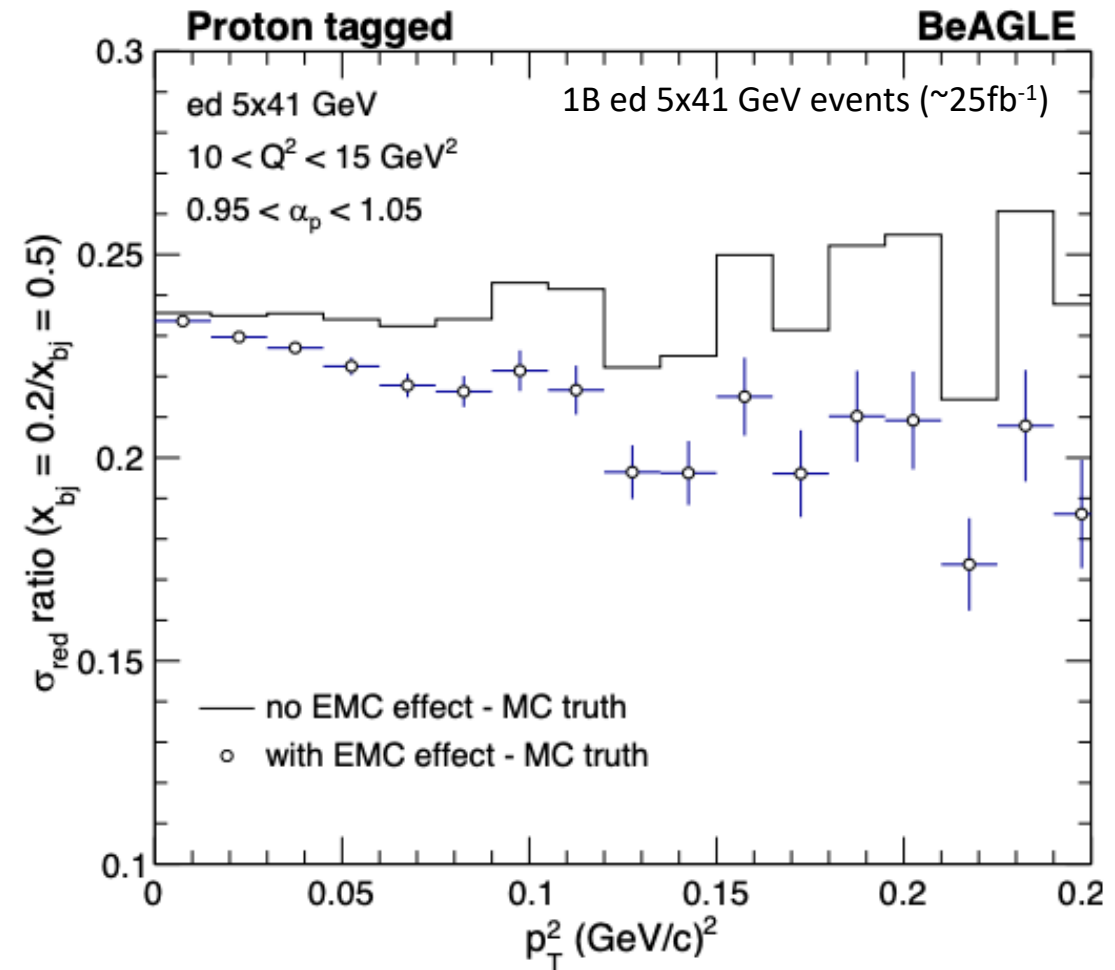
Minimal parametrization (linear)
 Linear offshell dependence on the EMC effect.
 (Frankfurt, Strikman 80', Weiss)

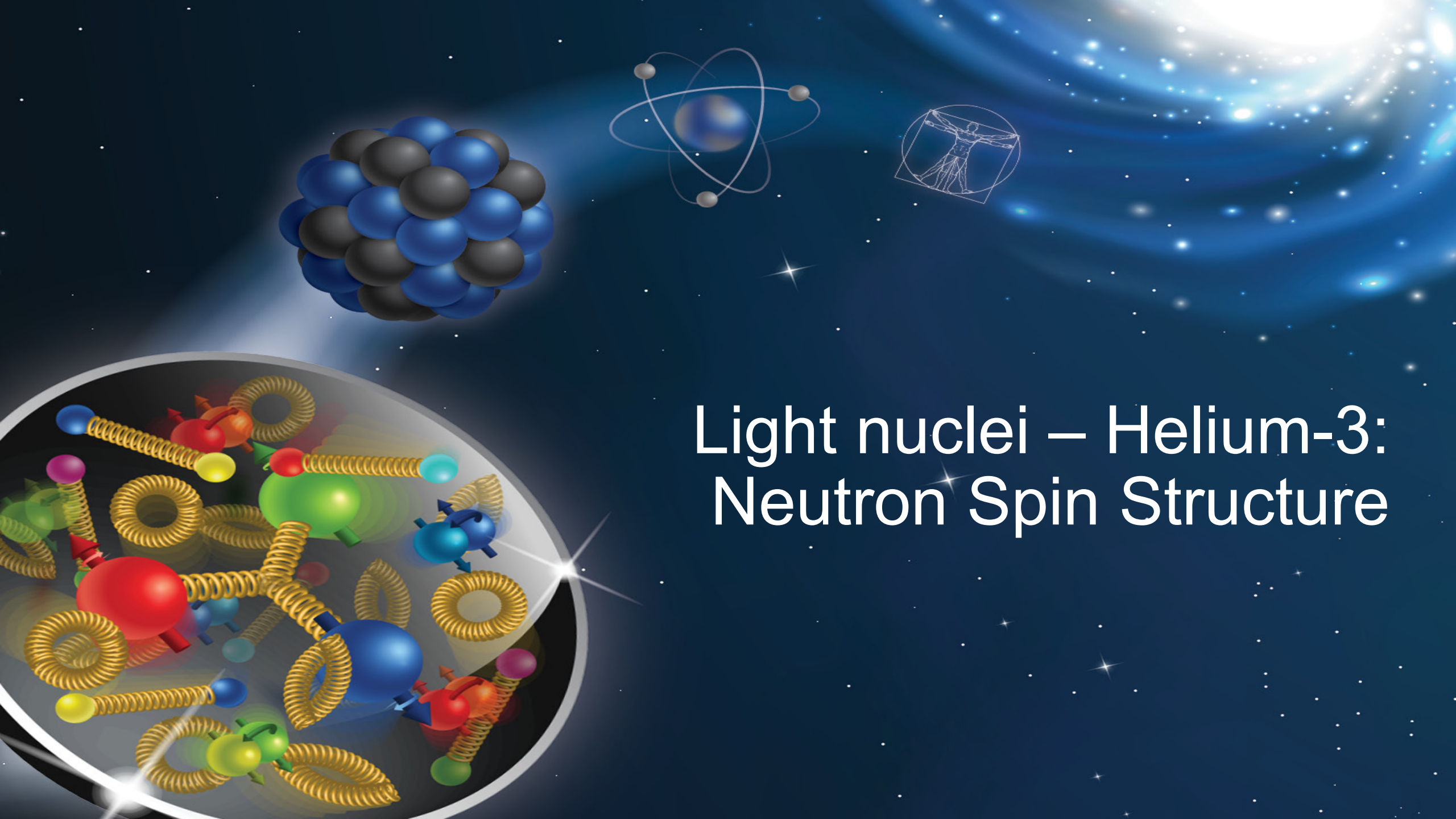
The EMC Effect

- Basic method:

- Introduce off-shell dependence using linear parametrization in BeAGLE.
- Measure reduced cross-section as in the free neutron study, with and without the off-shell effects included.
- Take ratio of reduced cross sections in both cases of x-bins inside and outside the EMC region (e.g. $x \sim 0.5$)
- See if integrated luminosity allows for separation of distributions with and without off-shell effects.

Reduced cross section ratio $\frac{\sigma_{red}^{x=0.2}}{\sigma_{red}^{x=0.5}}$

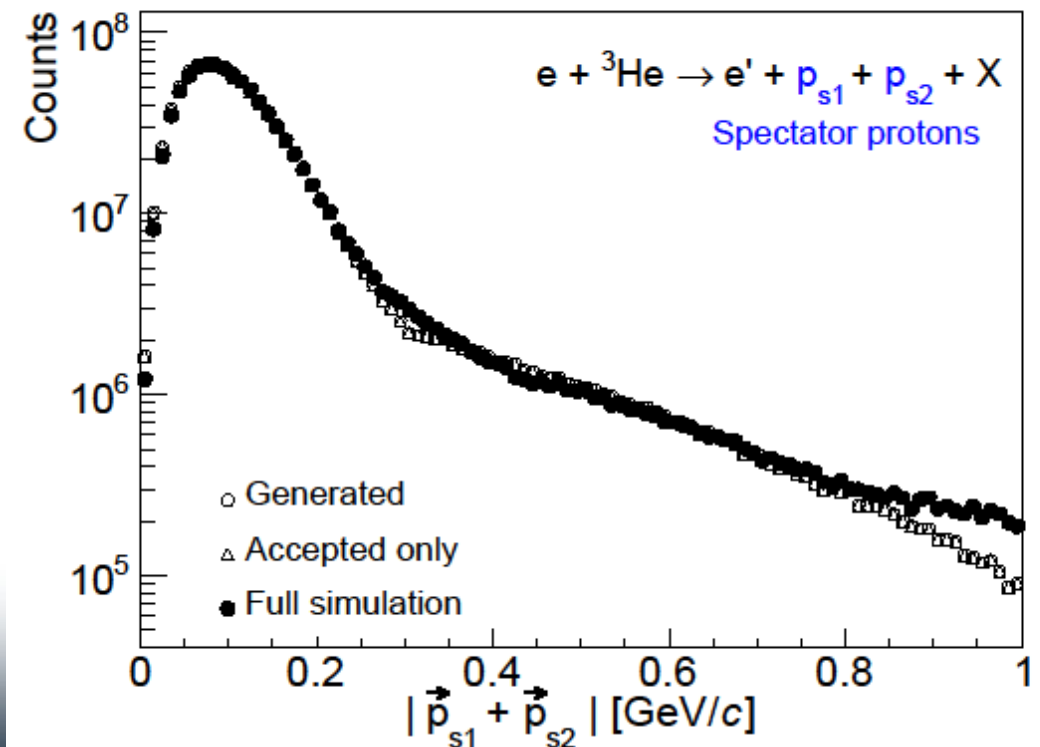
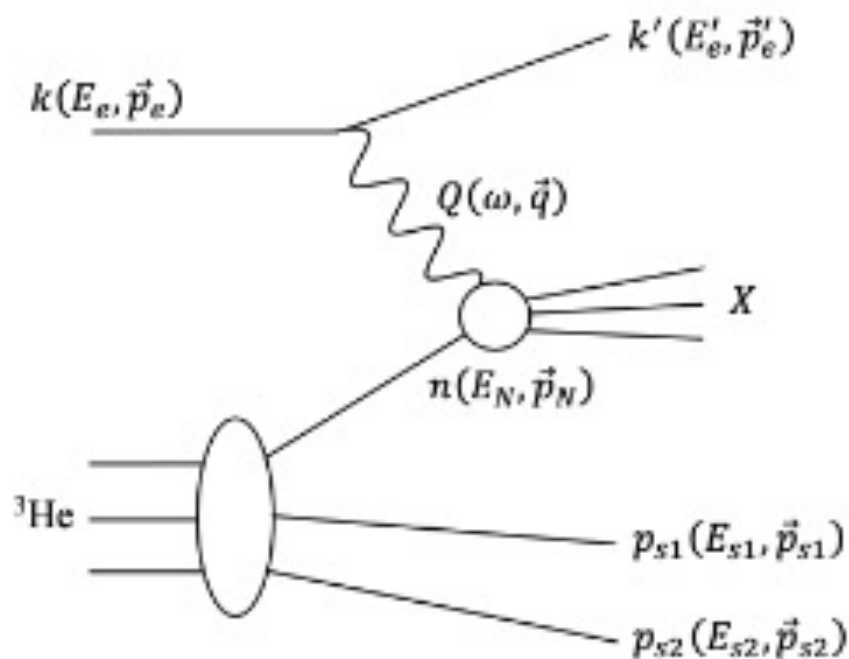




Light nuclei – Helium-3: Neutron Spin Structure

Neutron Spin Structure in He3

- Studies of neutron structure with a *polarized* neutron.
- More challenging final state tagging since *both* protons must be tagged.
- MC events generated with CLASDIS in fixed-target frame, and then boosted to collider frame.

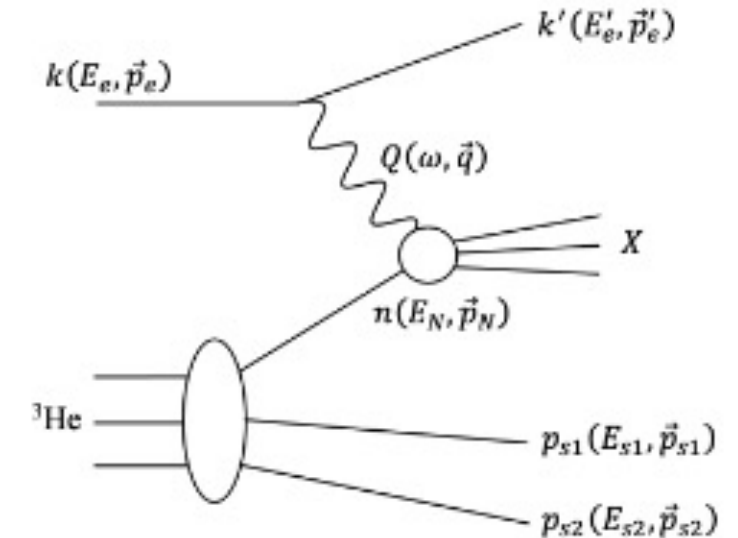


Neutron Spin Structure in He3

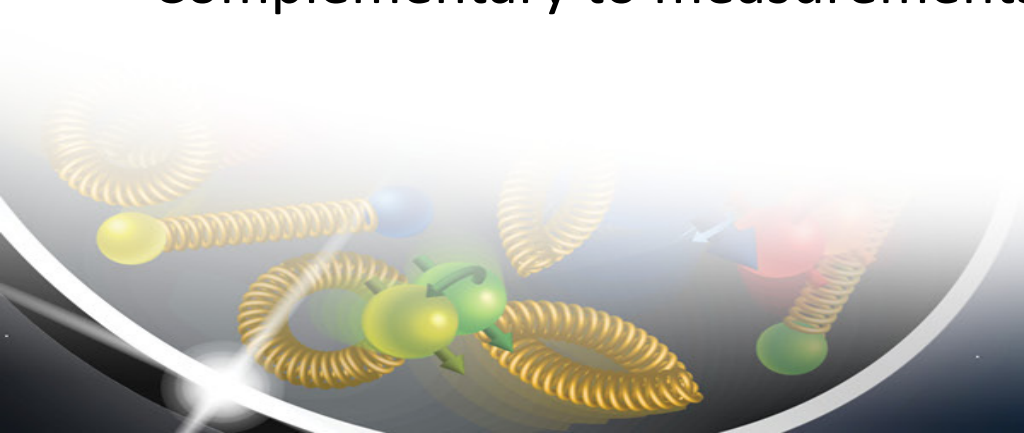
I. Friscic, D. Nguyen, J. R. Pybus, A. Jentsch, *et al.*,
Phys. Lett. B, **Volume 823**, 136726 (2021)

- Spin structure probed via spin asymmetries!

$$A_1^{^3\text{He}} = \underbrace{P_n \frac{F_2^n}{F_2^{^3\text{He}}} A_1^n}_{\text{Neutron}} + \underbrace{2P_p \frac{F_2^p}{F_2^{^3\text{He}}} A_1^p}_{\text{Protons}}$$

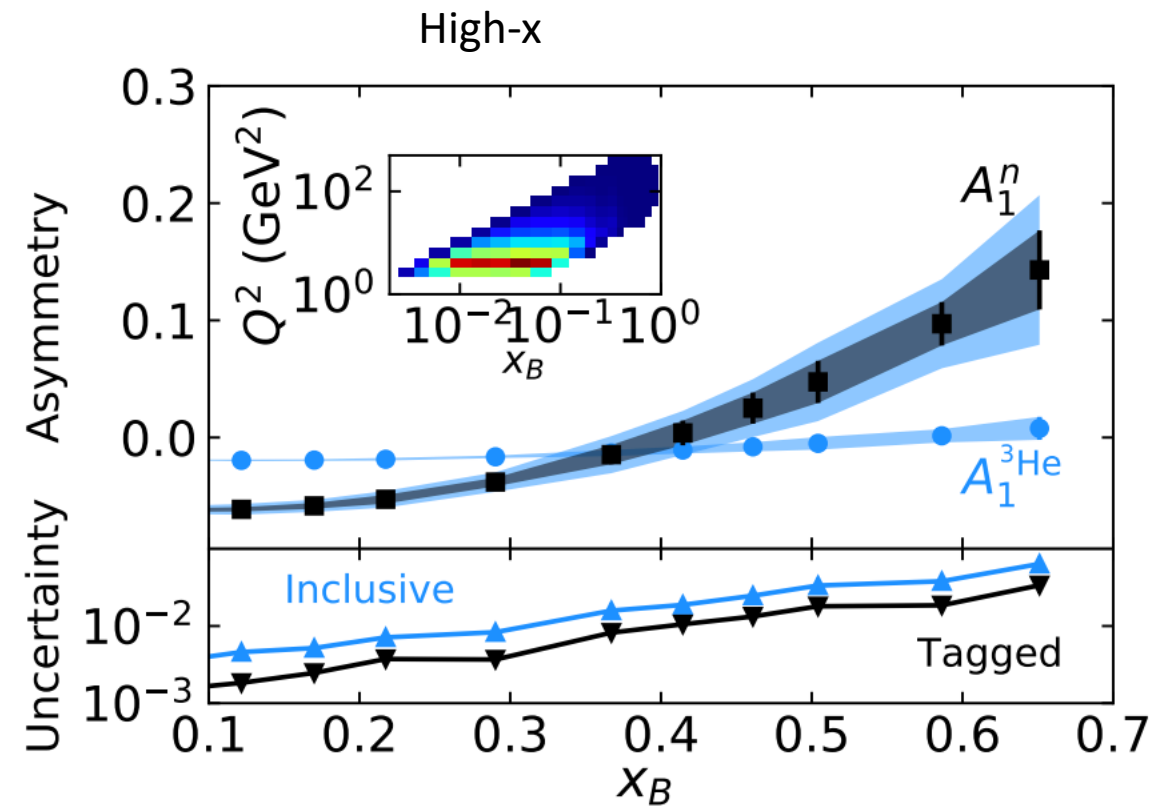
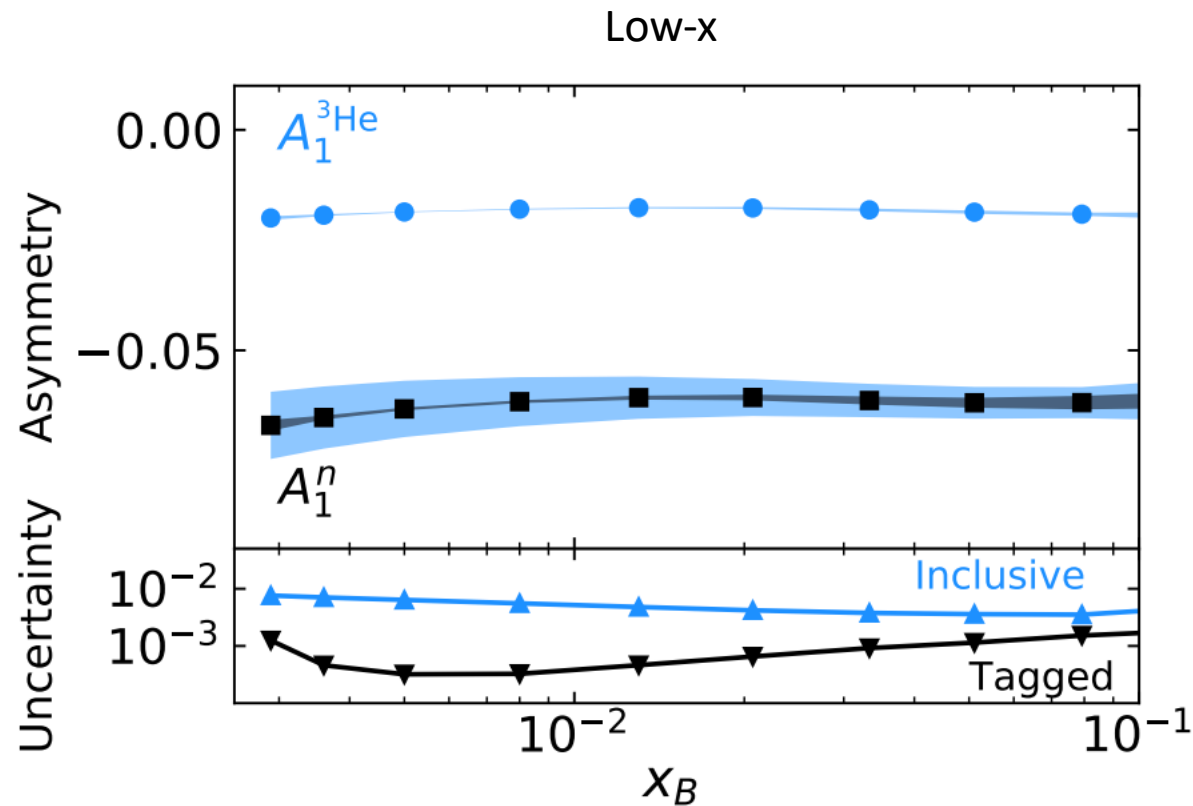


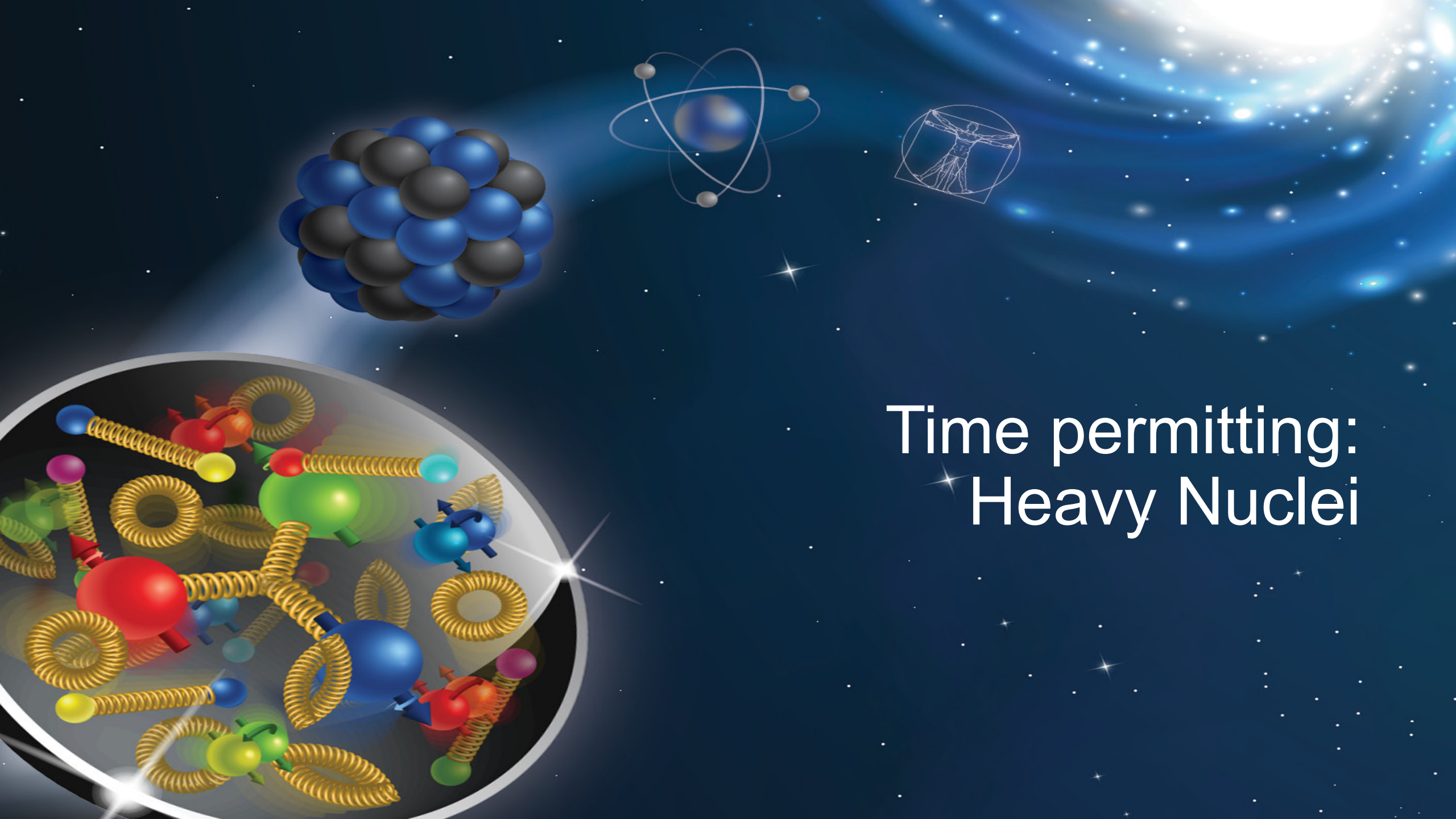
- (double) Tagged DIS measurement capable of measuring A_1^n directly!
- Complementary to measurements at JLAB.



Neutron Spin Structure in He3

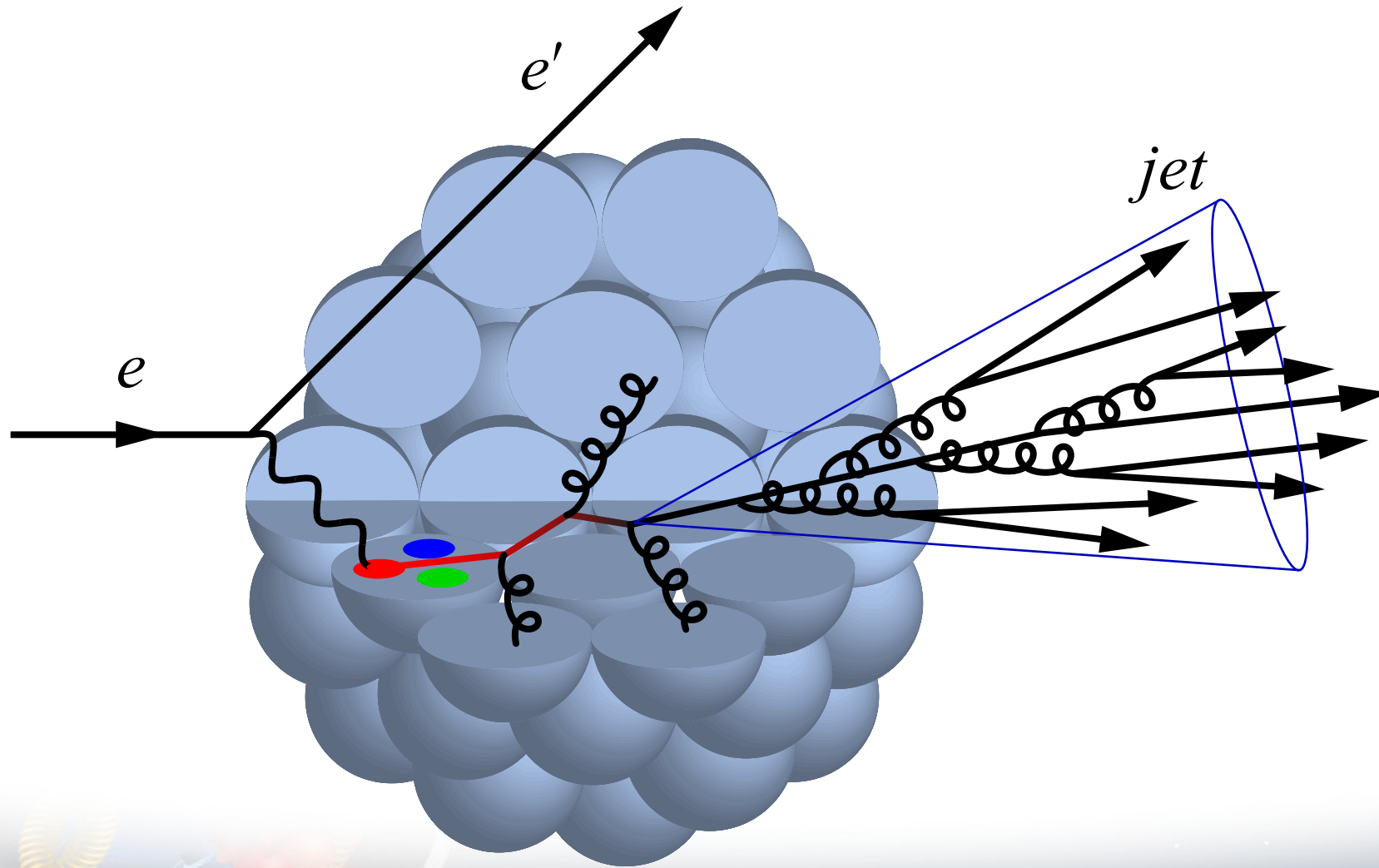
- Neutron spin asymmetries can be measured from kinematics of the tagged protons.
- EIC can build upon measurements at JLAB by reducing polarization uncertainties, and opening a broader Q^2 range for study.
- Can aid in our understanding of quark orbital angular momentum in nucleons.



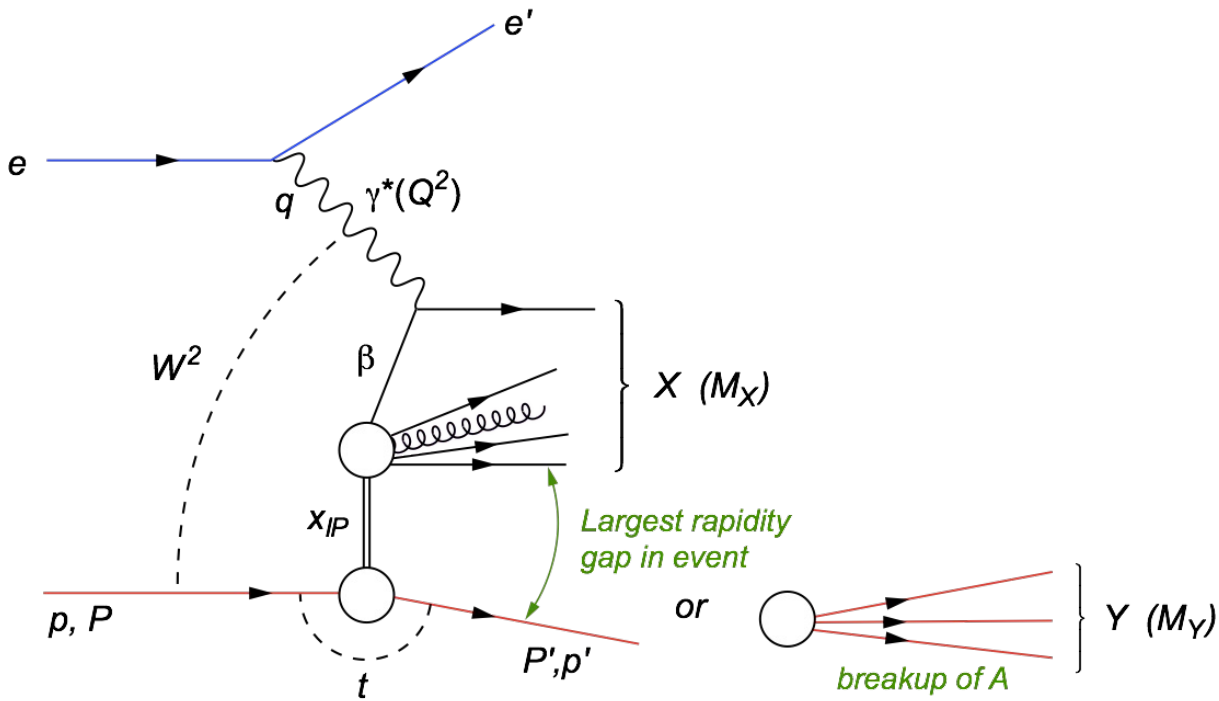


Time permitting:
Heavy Nuclei

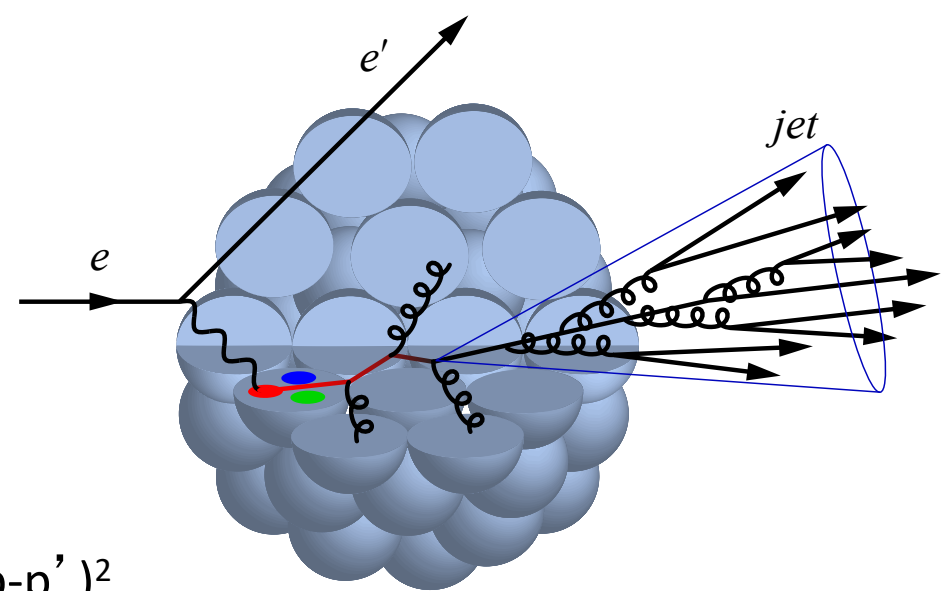
What about (light) Nuclei?



Diffraction in Nuclei



$$\frac{d^4\sigma^{eh \rightarrow eXh}}{dx dQ^2 d\beta dt} = \frac{4\pi\alpha_{em}^2}{\beta^2 Q^4} \left[\left(1 - y + \frac{y^2}{2}\right) F_2^{D,4}(x, Q^2, \beta, t) - \frac{y^2}{2} F_L^{D,4}(x, Q^2, \beta, t) \right]$$



- $t = (p - p')^2$
- β is the momentum fraction of the struck parton w.r.t. the Pomeron
- $x_{IP} = x/\beta$: momentum fraction of the exchanged object (Pomeron) w.r.t. the hadron

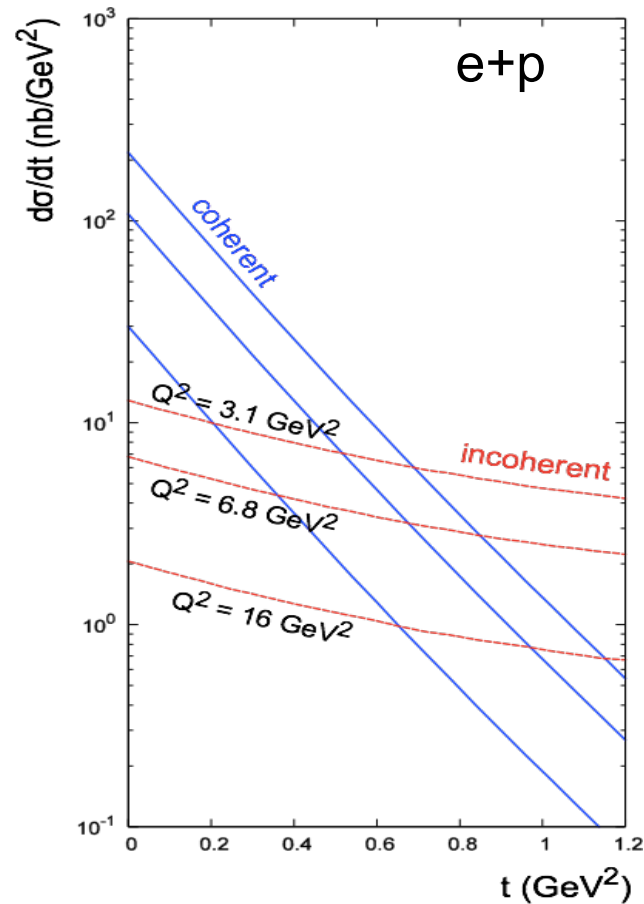
Diffraction in e+p:

- coherent \Leftrightarrow p intact
- incoherent \Leftrightarrow breakup of p
- HERA: 15% of all events are hard diffractive

Diffraction in e+A:

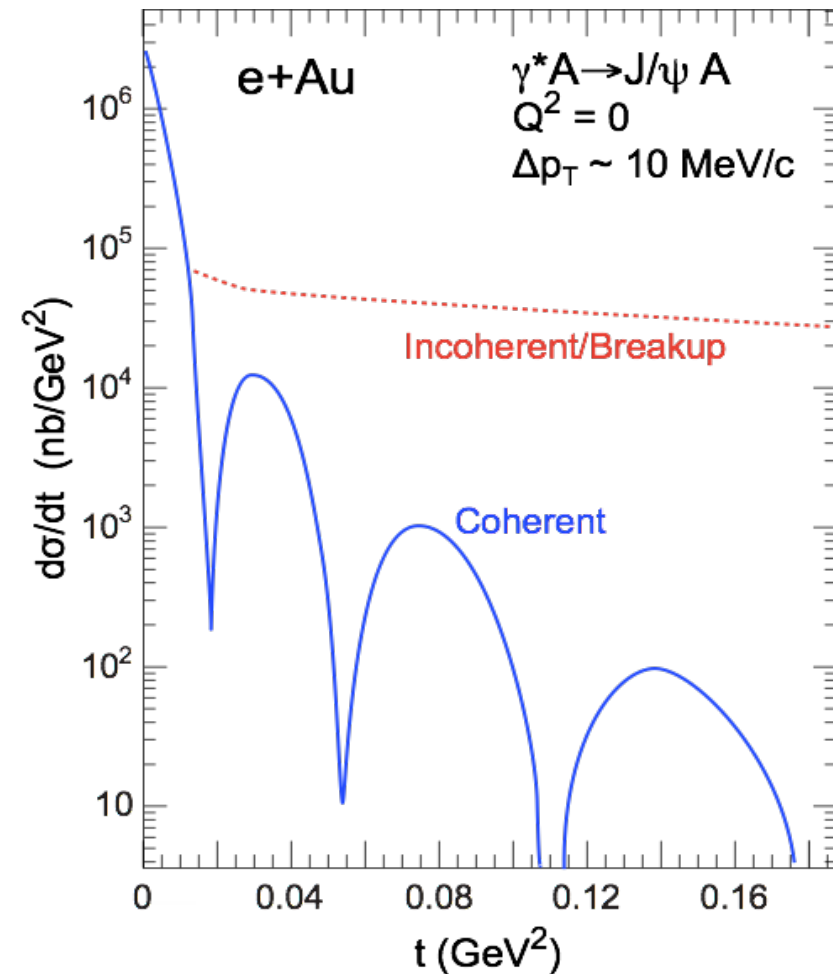
- coherent diffraction (nuclei intact)
- breakup into nucleons (nucleons intact)
- incoherent diffraction
- Predictions: $\sigma_{diff}/\sigma_{tot}$ in e+A \sim 25-40%

Diffraction in Nuclei



Diffraction in $e+p$:

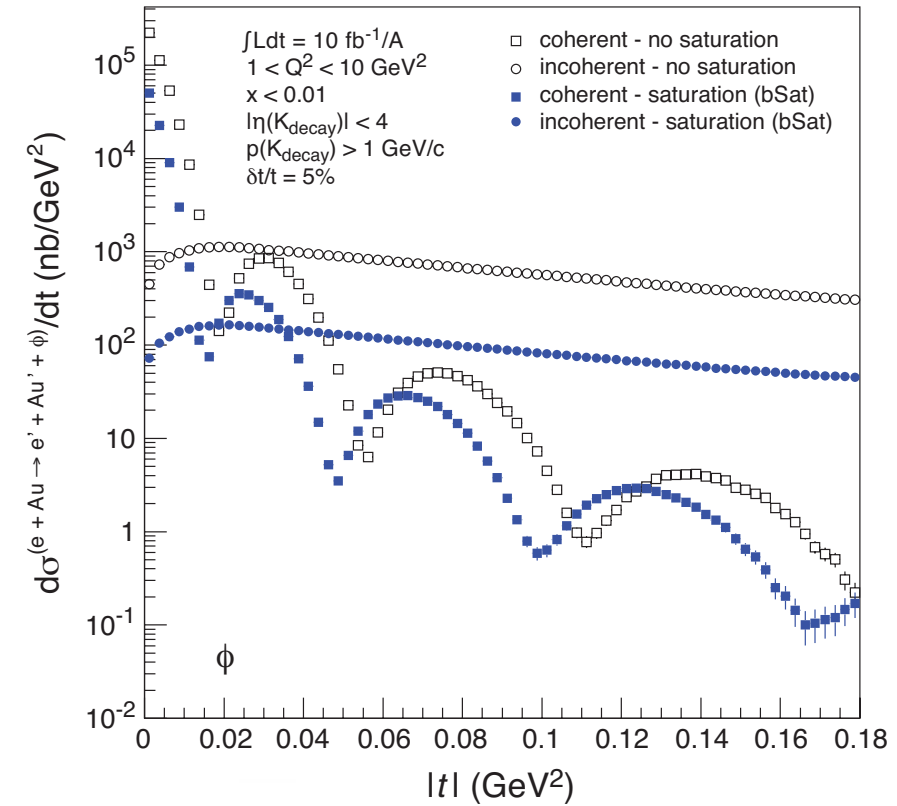
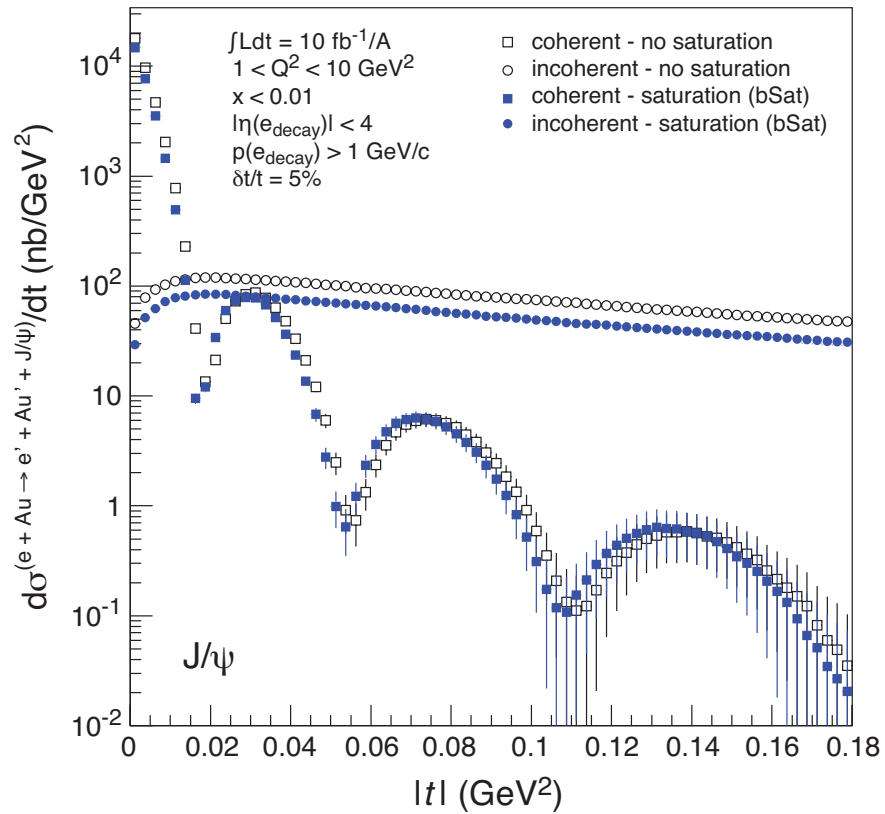
- coherent \Leftrightarrow p intact
- incoherent \Leftrightarrow breakup of p
- HERA: 15% of all events are hard diffractive



Diffraction in $e+A$:

- coherent diffraction (nuclei intact)
- breakup into nucleons (nucleons intact)
- incoherent diffraction
- Predictions: $\sigma_{\text{diff}}/\sigma_{\text{tot}}$ in $e+A \sim 25\text{-}40\%$

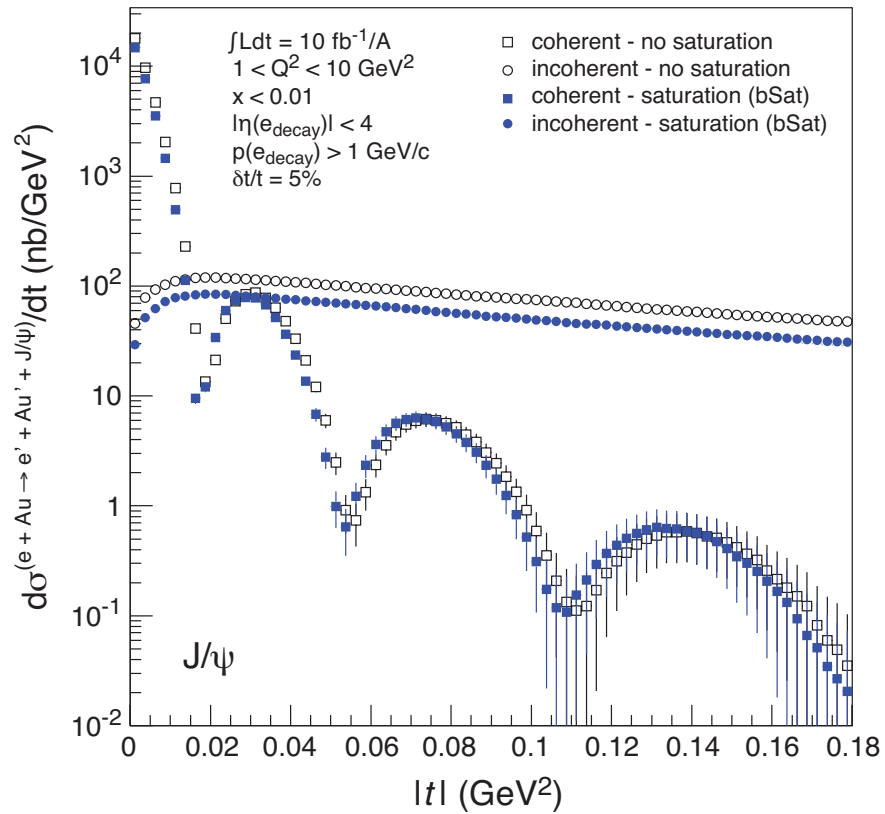
Spatial Gluon Distribution from $d\sigma/dt$



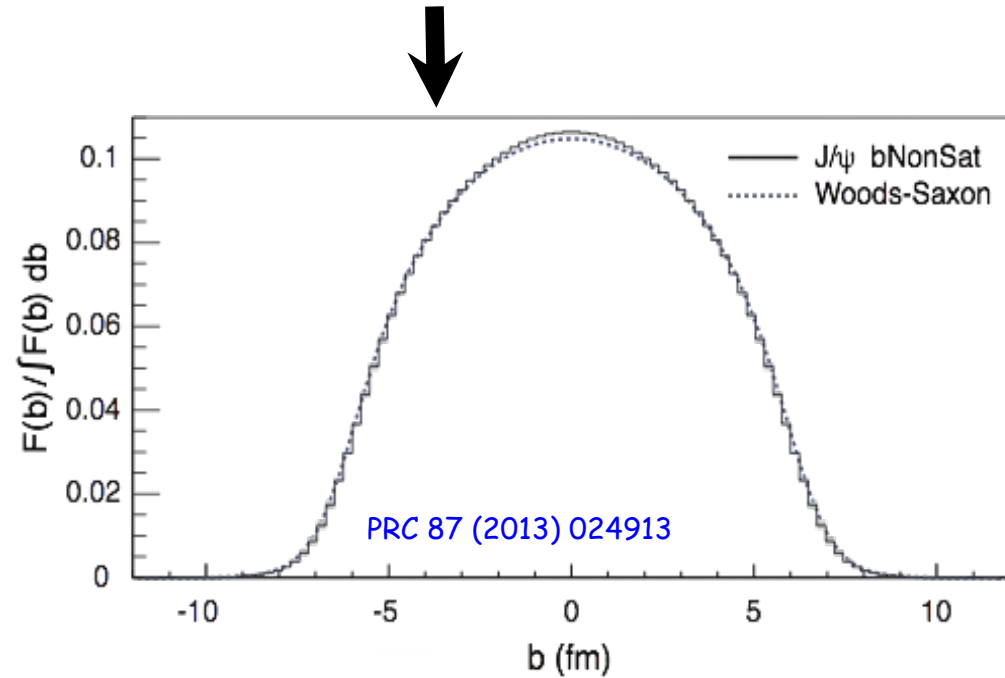
- Converges to input $F(b)$ rapidly: $|t| < 0.1$ almost enough
- Recover accurately any input distribution used in model used to generate pseudo-data (here Wood-Saxon)
- Systematic measurement requires $\int L dt \gg 1 \text{ fb}^{-1}/A$

- $d\sigma/dt$: diffractive pattern known from wave optics
- ϕ sensitive to saturation effects, smaller J/ψ shows no effect
- J/ψ perfectly suited to extract source distribution

Spatial Gluon Distribution from $d\sigma/dt$



Fourier Transform



- Converges to input $F(b)$ rapidly: $|t| < 0.1$ almost enough
- Recover accurately any input distribution used in model used to generate pseudo-data (here Wood-Saxon)
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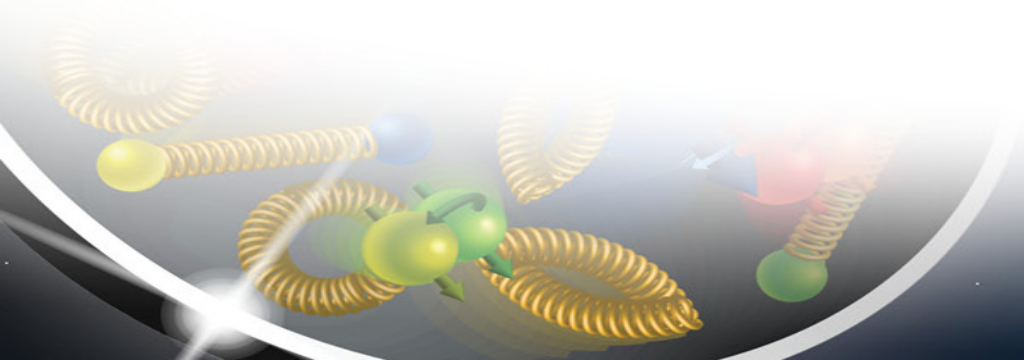
Lecture 1 summary

- Far-forward physics characterized by exclusive+diffractive final states.
 - Lots to unpack! – proton spin, neutron structure, saturation, partonic imaging, meson structure, etc.
- There is lots of interest in the EIC community in studying this physics via these final states!
 - Exciting time to get involved!!
- Special thanks to Elke Aschenauer, Salvatore Fazio, and Kong Tu for some slides!!

Email me if you have any questions: ajentsch@bnl.gov

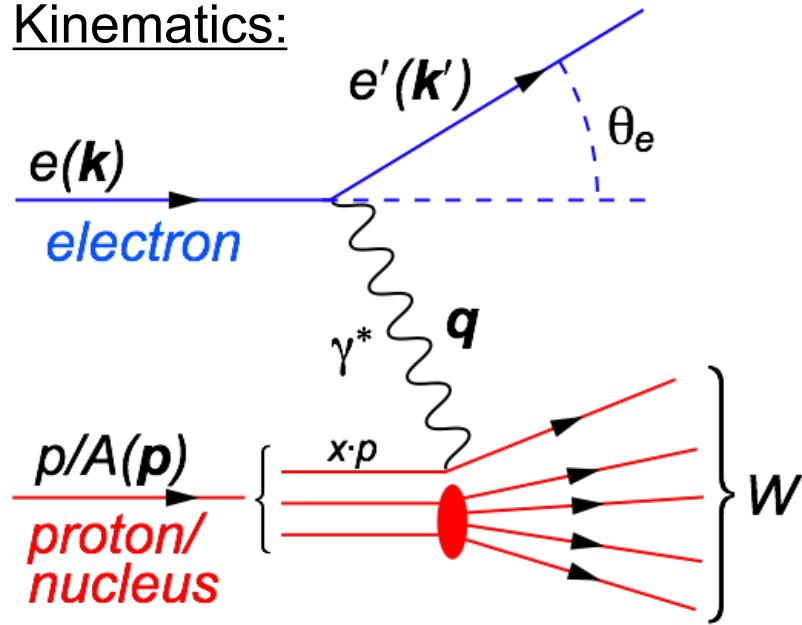
**Now...*how* do we do this physics program?
See tomorrow's lecture!**

Backup



Primer on Deep Inelastic Scattering (DIS)

Kinematics:



$$Q^2 = 2E_e E'_e (1 - \cos \theta'_e) = -q^2$$

Measure of
resolution
power

$$y = 1 - \frac{E'_e}{E_e} \cos^2 \left(\frac{\theta'_e}{2} \right)$$

Measure of
inelasticity

$$x = \frac{Q^2}{2pq}$$

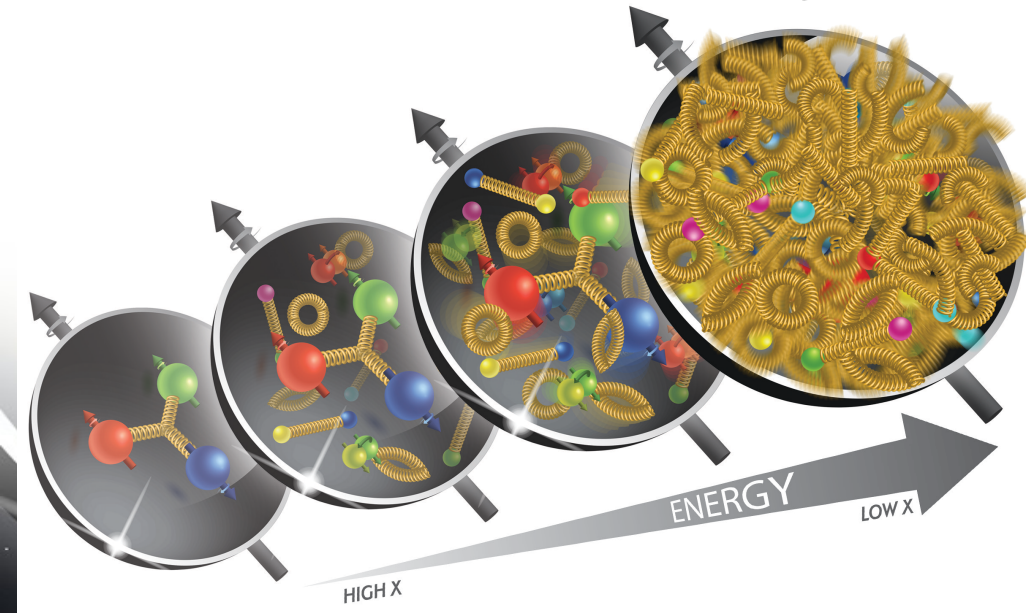
Measure of
momentum fraction
of struck quark

$$\sqrt{s} = 2 \sqrt{E_e E_p}$$

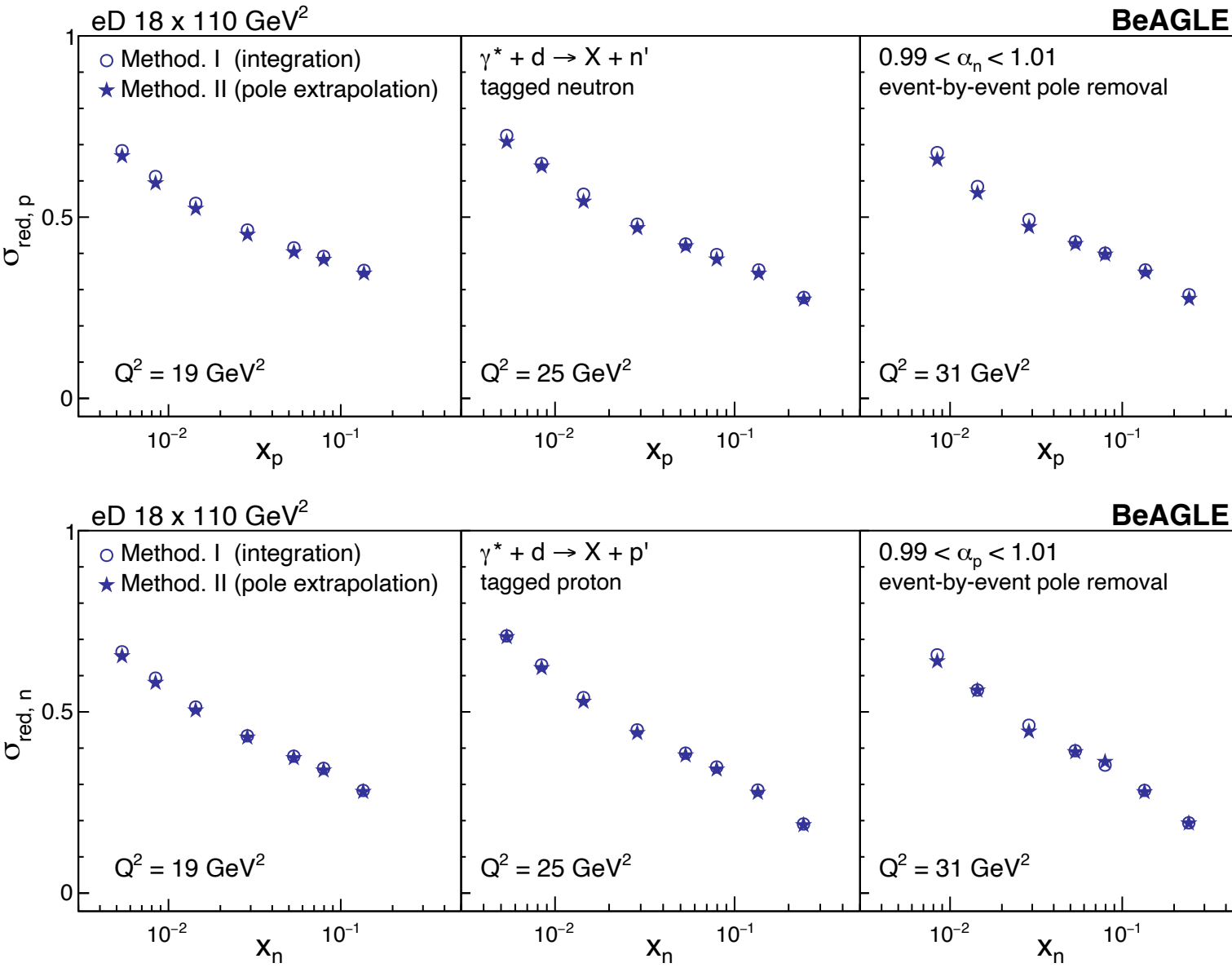
Center-of-mass
energy of electron-
hadron system



$$Q^2 = s \cdot x \cdot y$$

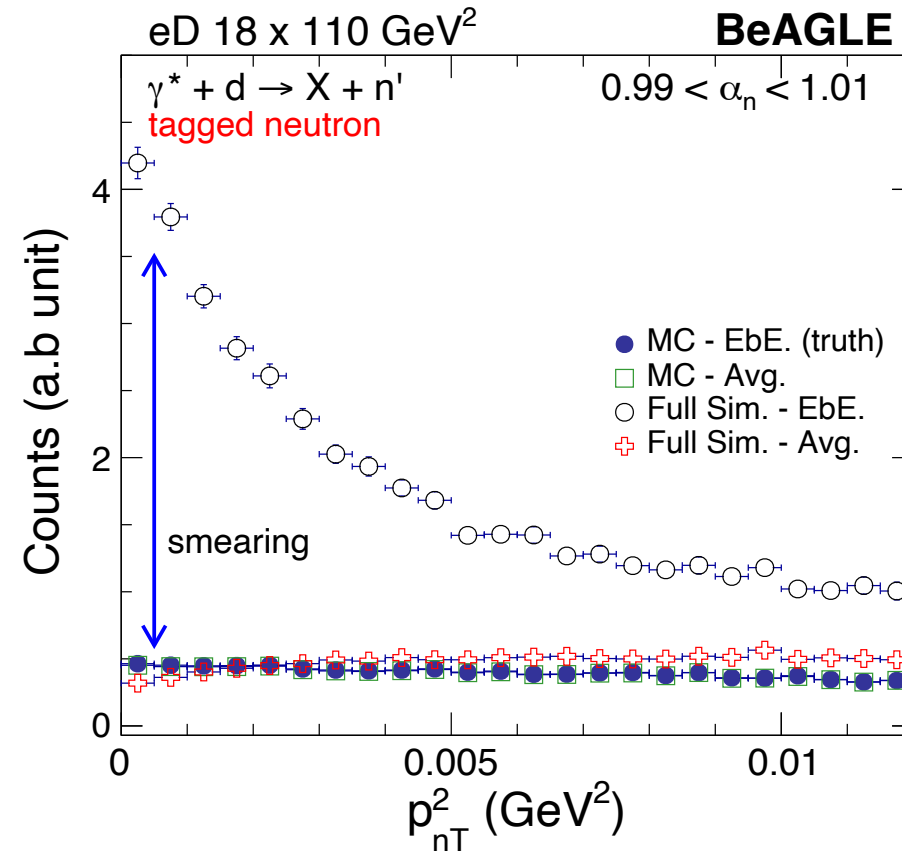
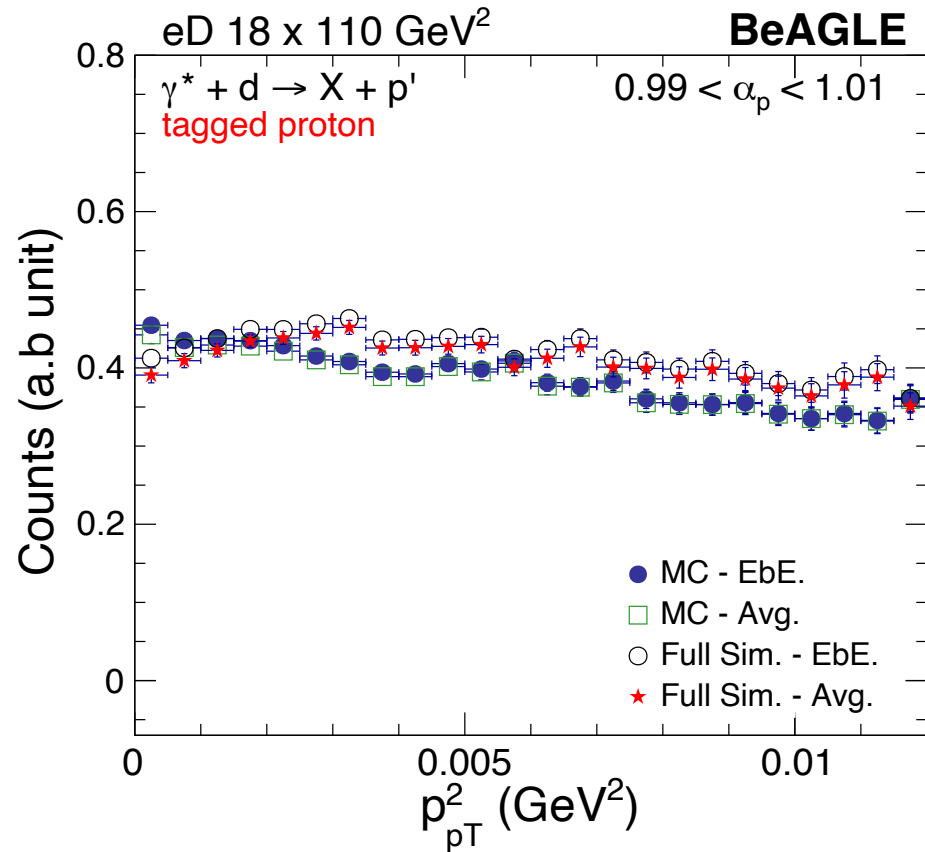


Closure Test – Event by Event Pole Removal



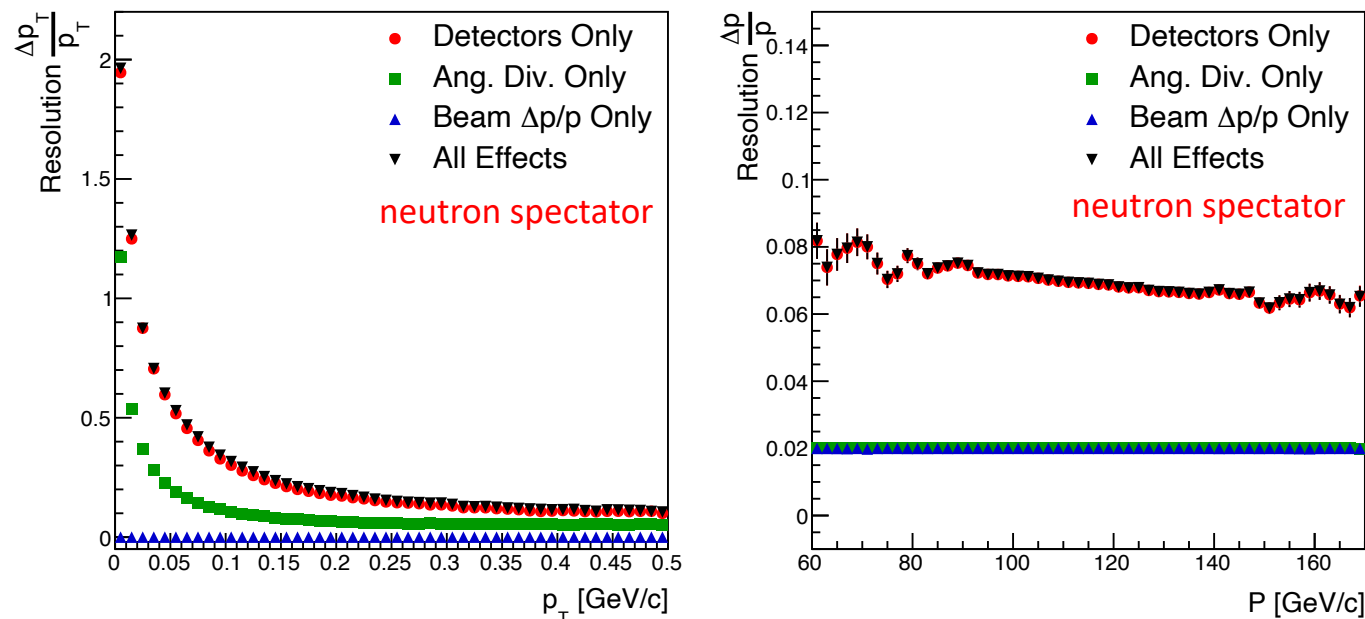
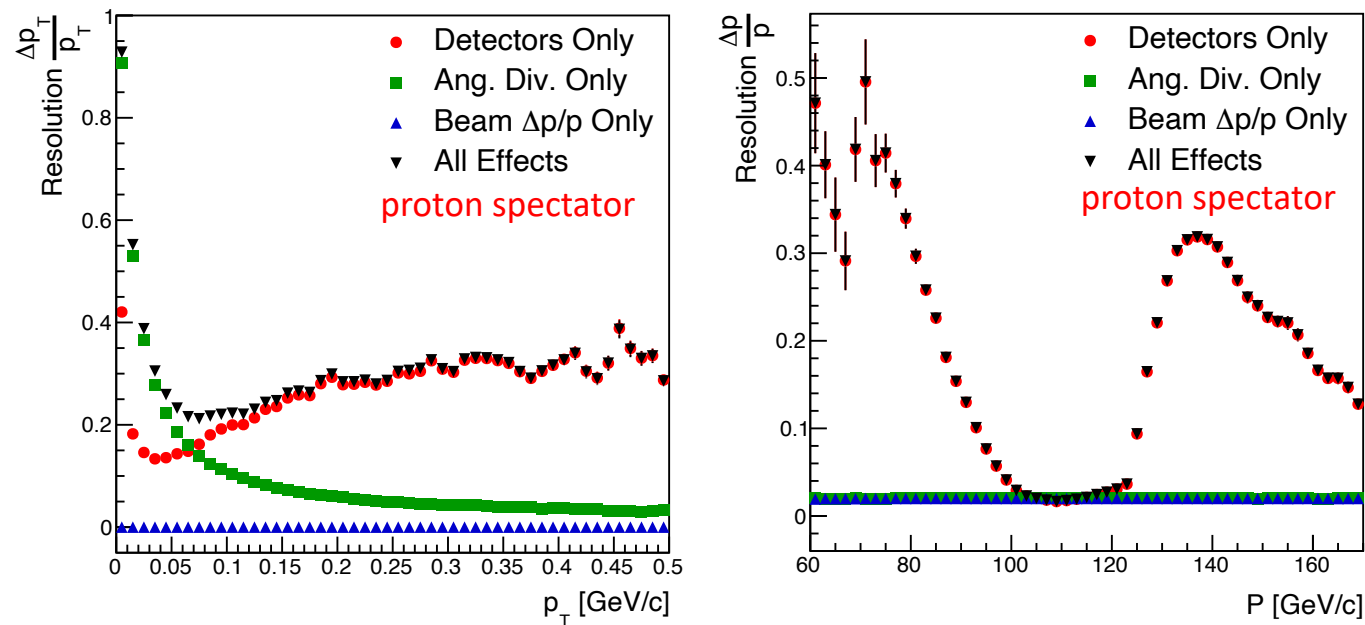
- Pole factor removed using “**event by event (EbE)**” approach.
 - Pole factor calculated and applied for each event (i.e. pole factor calculated for each exact nuclear configuration).
 - Solved discrepancy at generator level.
 - This was also checked using an independent toy MC to confirm there was nothing related to our analysis code causing an issue.
- Remaining differences due to fitting and statistics.

Effects of momentum smearing on pole factor



- Detector smearing has a drastic impact when the EbE method is used.
 - If you calculate the pole factor on an EbE basis with *smeared* spectator kinematic values, you now remove the pole factor for the wrong nuclear configuration!

Kinematic Distributions and Smearing



- Event sub-sample passed through full GEANT4 simulations.
 - Smearing parametrizations extracted for (p_x, p_y, p_z, E) .
- Larger overall smearing observed for neutrons, consistent with previous study.
- Anomalous proton smearing at high p_T and $p > 120$ GeV/c and $p < 100$ GeV/c due to linear transfer matrix assumption.
 - Will be fixed in the future for TDR studies.

3D-Imaging of Nuclei

1950-60: Measurement of charge (proton) distribution in nuclei

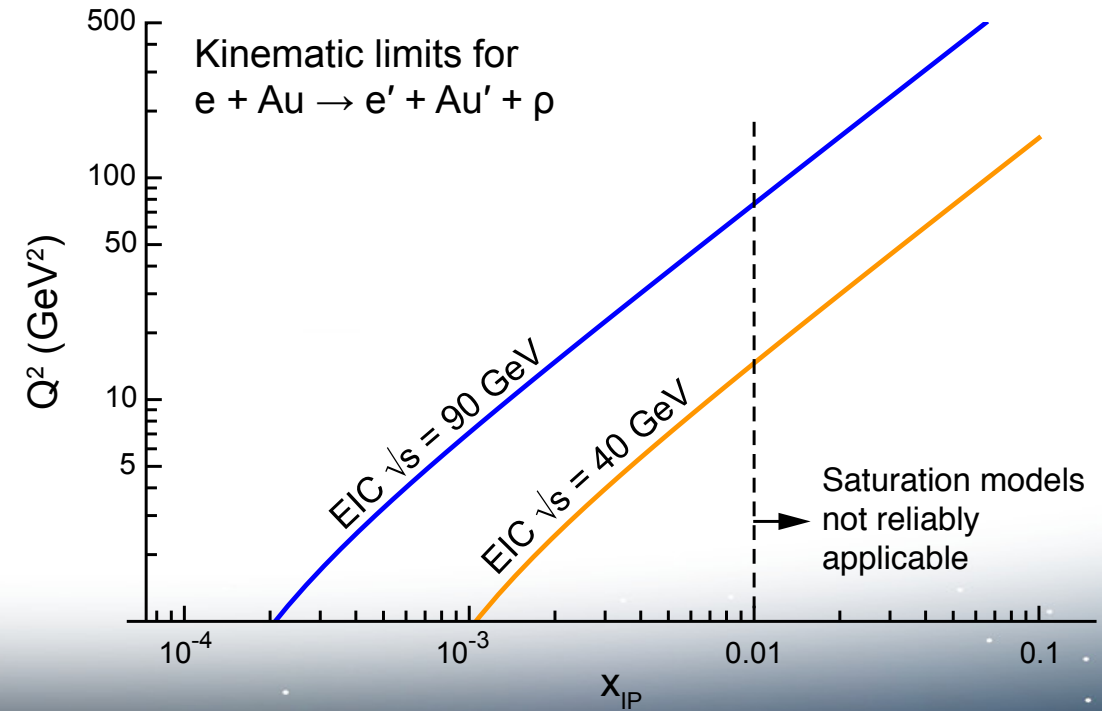
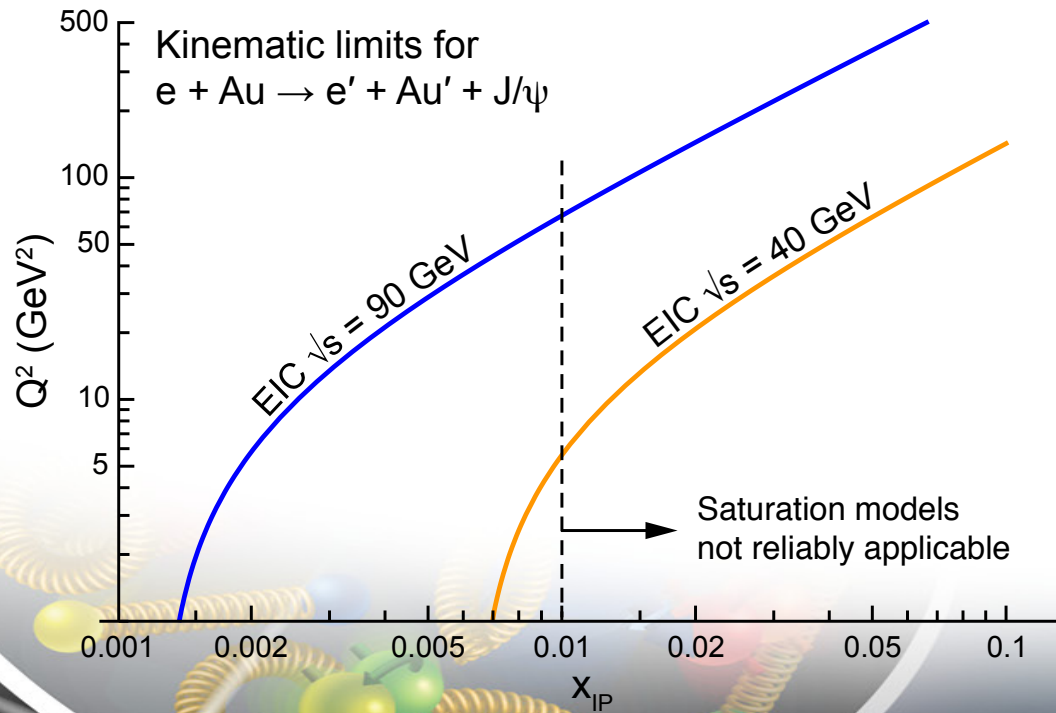
Ongoing: Measurement of neutron distribution in nuclei

EIC \Rightarrow spatial gluon distribution in nuclei \rightarrow Saturated or non-saturated ?

Method:

Diffractive vector meson production: $e + Au \rightarrow e' + Au' + J/\psi, \phi, \rho$

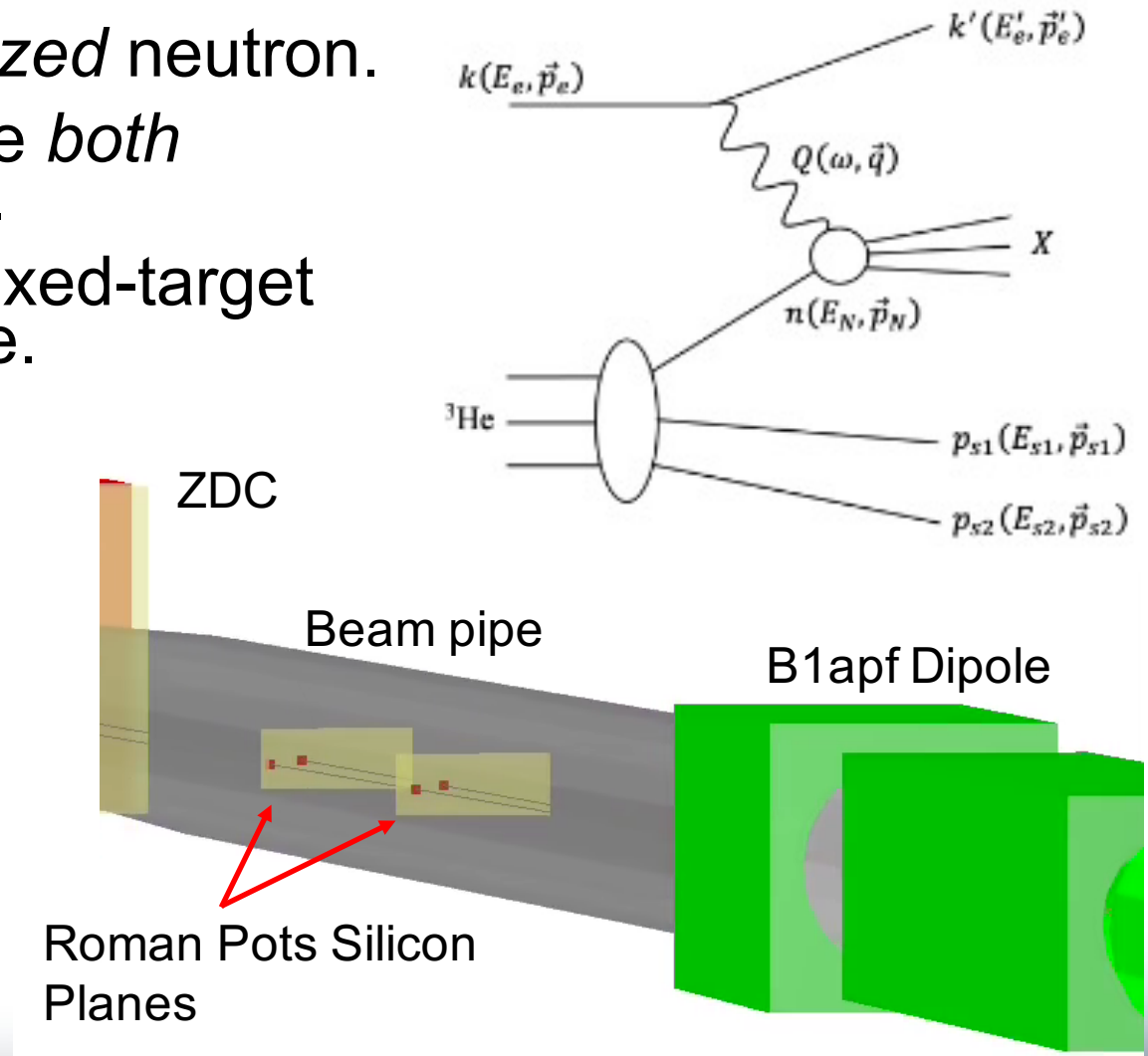
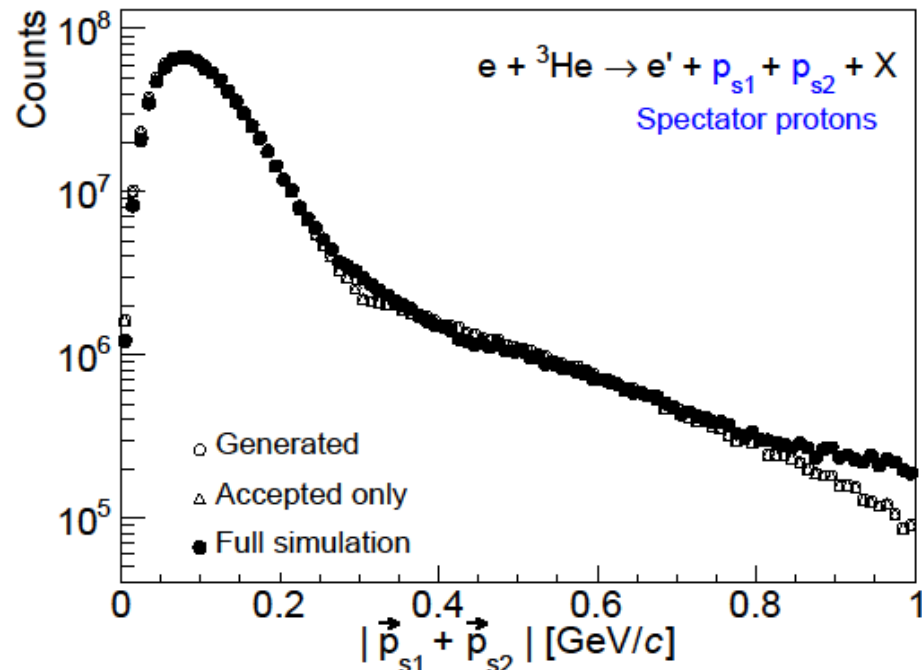
➤ Momentum transfer $t = |\mathbf{p}_{Au} - \mathbf{p}_{Au'}|^2$ conjugate to b_T



Neutron Spin Structure in He3

See Dien's talk later today!!!

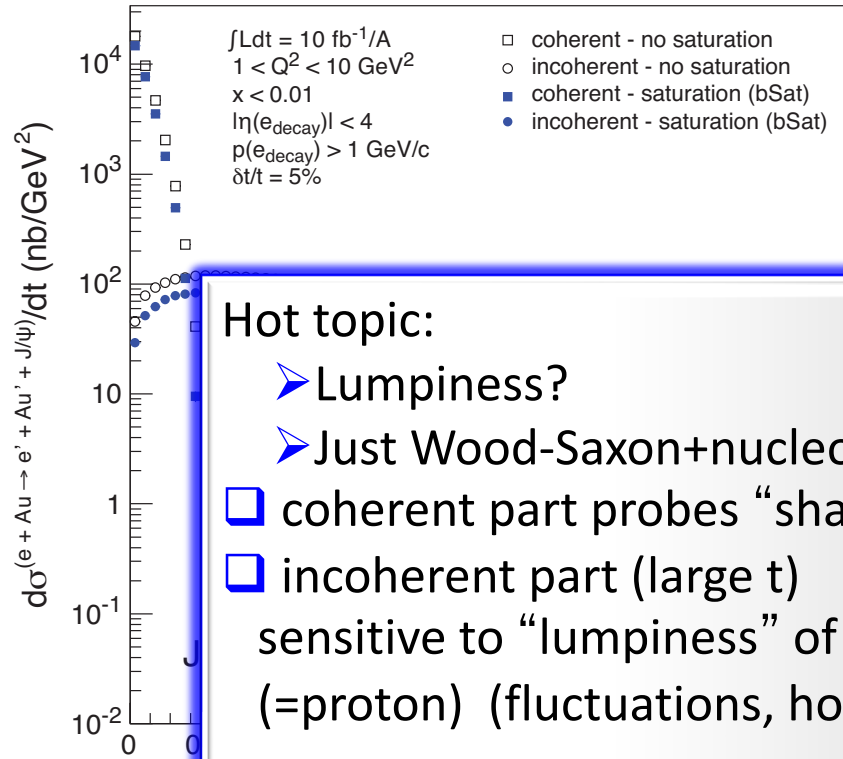
- Studies of neutron structure with a *polarized* neutron.
- More challenging final state tagging since *both* protons must be tagged in the FF region.
- MC events generated with CLASDIS in fixed-target frame, and then boosted to collider frame.



<https://arxiv.org/abs/2106.08805>

Accepted by Phys. Lett. B just last week!

Spatial Gluon Distribution from $d\sigma/dt$

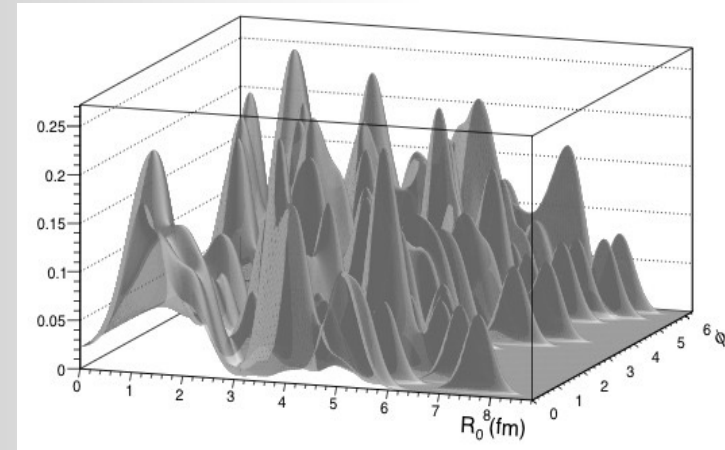


Fourier Transform

Hot topic:

- Lumpiness?
- Just Wood-Saxon+nucleon $g(b_T)$
- coherent part probes “shape of black disc”
- incoherent part (large t) sensitive to “lumpiness” of the source (=proton) (fluctuations, hot spots, ...)

possible Source distribution with $b_T^g = 2 \text{ GeV}^{-2}$

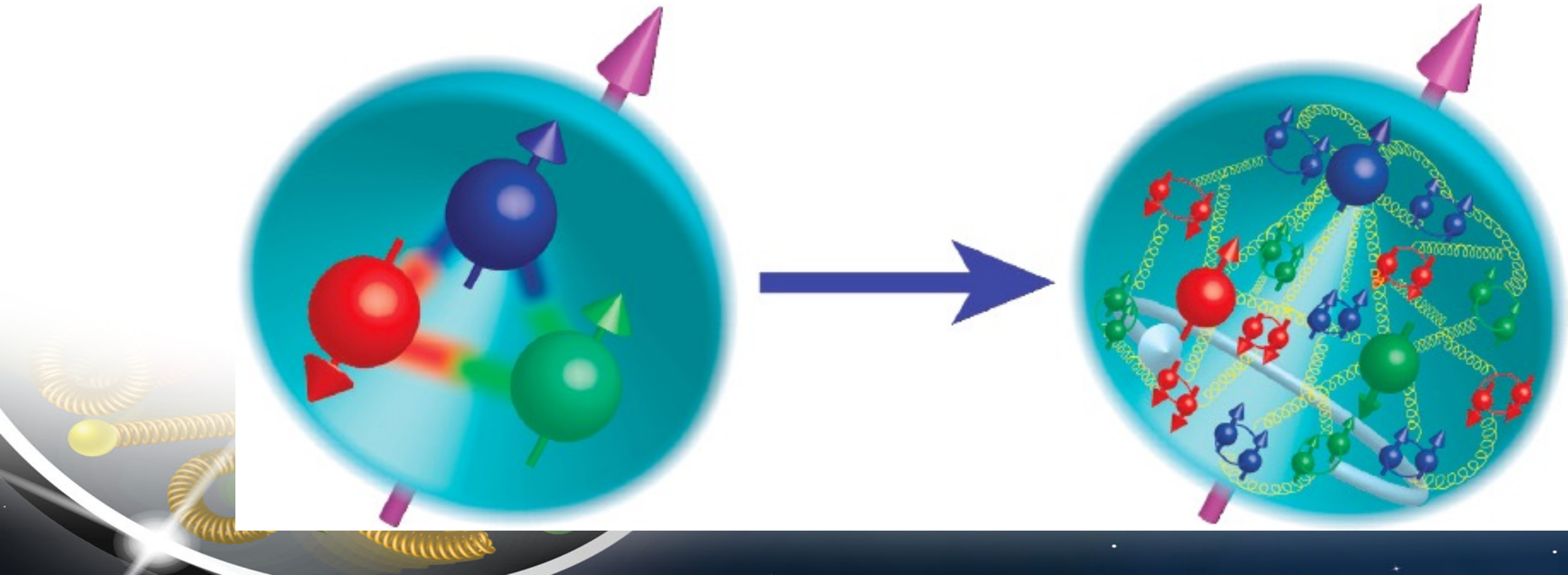


- Converges to input $F(b)$ rapidly: $|t| < 0.1$ almost enough
- Recover accurately any input distribution used in model used to generate pseudo-data (here Wood-Saxon)
- Systematic measurement requires $\int L dt \gg 1 \text{ fb}^{-1}/A$

- $d\sigma/dt$: diffractive pattern known from wave optics
- φ sensitive to saturation effects, smaller J/ψ shows no effect
- J/ψ perfectly suited to extract source distribution

Why an EIC? (non-exhaustive)

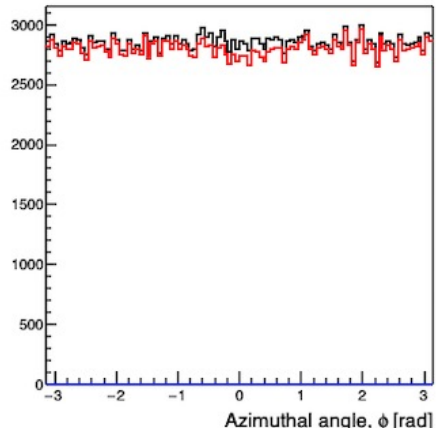
- Deep Inelastic Scattering (DIS) of electrons on protons at SLAC led to discovery of valence quarks inside protons. (1969)
 - QCD was formalized with the discovery of confinement a few years later.
 - Sea quarks (fluctuating pairs of quarks/antiquarks) and gluons also present in nucleons.



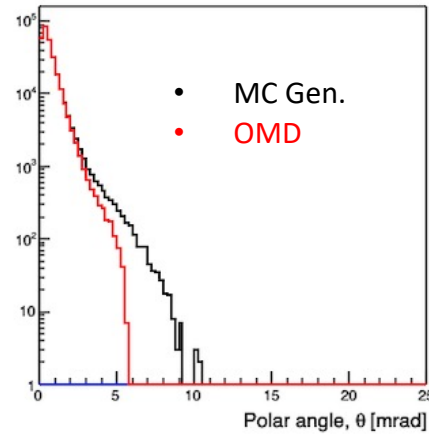
e+d Spectator Tagging

Z. Tu, A. Jentsch *et al.*, Phys. Lett. B, **811** (2020)

Protons

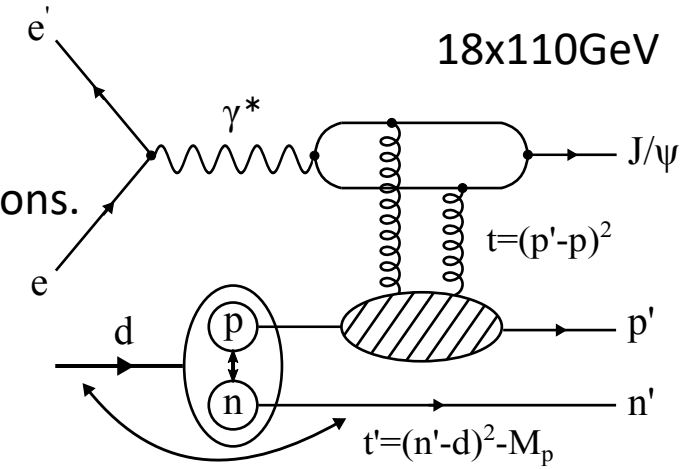


Protons

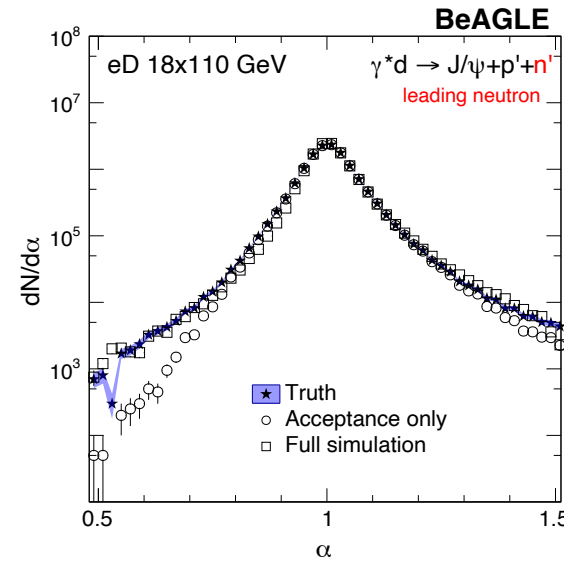
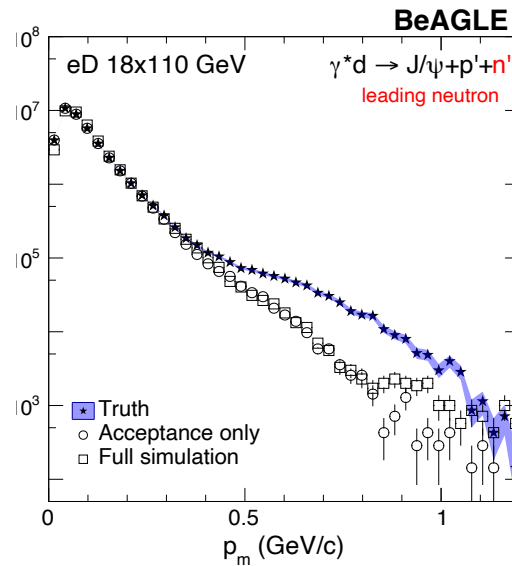
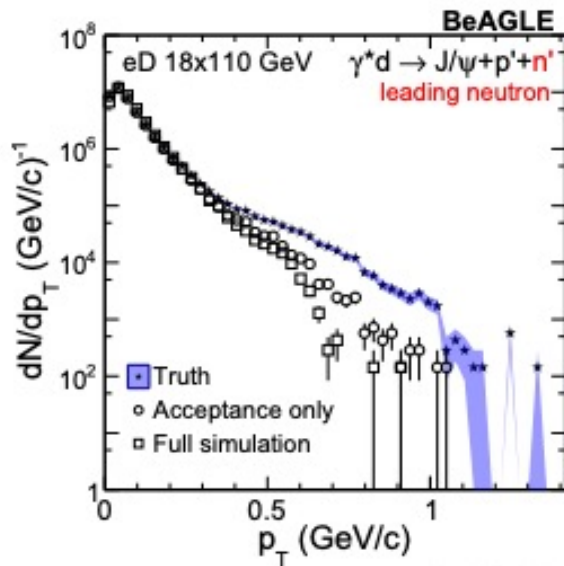


Proton spectator case.

Particular process in BeAGLE:
incoherent diffractive J/psi
production off bounded nucleons.



Spectator kinematic variables
reconstructed over a broad
range. Bin migration is observed
due to smearing in the
reconstruction. Each plot shows
the MC (closed circles),
acceptance effects only (open
circles), and full reconstruction
(open squares).



- In the proton spectator case, essentially all spectators tagged.
- Active neutrons only tagged up to 4.5 mrad.