

The 2022 CFNS Summer School on the Physics of Electron-Ion Collider



# Extraction fractions moments using Machine Learning techniques in $pp \rightarrow \pi + \gamma$ process

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#### Based on:

- 1011.0486
- 2104.14663
- 2112.05043

In colaboration with:

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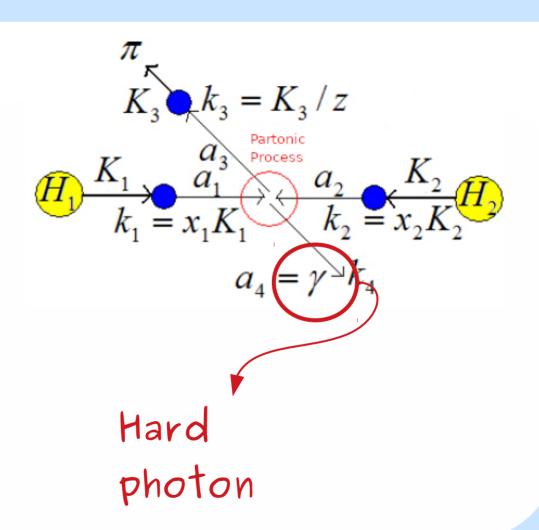
July 21<sup>th</sup>, 2022

## **Process**

In this work we are interested in studying the production of a direct photon plus a pion in protonproton collision:

$$pp \rightarrow \pi^+ + y$$

The aim is reconstruct the momentum fraction  $\mathbf{x_1}$ ,  $\mathbf{x_2}$  and  $\mathbf{z}$  of the originals partons in the interaction to NLO QCD + LO QED accuracy.



## **Motivation**

• Aim: reconstruct the momentum fractions  $x_1$ ,  $x_2$  and z.

Nowadays, Machine Learning is a tool that allows to make a predictive model to reconstruct  $\{x_1, x_2, z\}$ .

2104.14663

Analysis of the internal structure of hadrons using direct photon production

David F. Rentería-Estrada, a Roger J. Hernández-Pinto and German F. R. Sborlinib, c

1011.0486

PHYSICAL REVIEW D 83, 074022 (2011)

Hadron plus photon production in polarized hadronic collisions at next-to-leading order accuracy

Daniel de Florian and Germán F. R. Sborlini

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We compute the next-to-leading order QCD corrections to the polarized (and unpolarized) cross sections for the production of a hadron accompanied by an opposite-side prompt photon. This process, being studied at RHIC, permits us to reconstruct partonic kinematics using experimentally measurable variables. We study the correlation between the reconstructed momentum fractions and the true partonic ones, which in the polarized case might allow us to reveal the spin-dependent gluon distribution with a higher precision.

DOI: 10.1103/PhysRevD.83.074022 PACS numbers: 13.88.+e, 12.38.Bx, 13.87.Fh 2112.05043

Reconstructing partonic kinematics at colliders with Machine Learning

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is starting from first is necessary to use article, we describe . Using up-to-date

of hadrons is a hard

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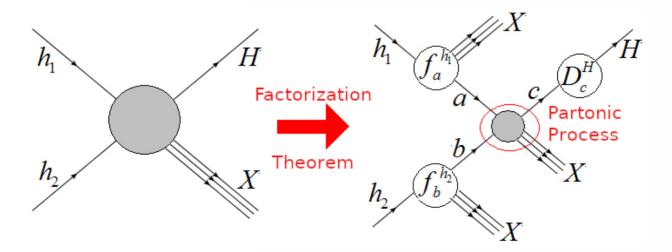
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2021

## **Hadronic Cross-Section**

In hadron-hadron collisions, the cross section is described by the convolution between PDFs, FFs, and the partonic cross section.

$$d\sigma^{h_1 h_2 \to HX} = \sum_{a,b,c} \int_0^1 dx \int_0^1 dy \int_0^1 dz \, f_a^{h_1}(x,\mu_I) f_b^{h_2}(y,\mu_I) d_c^H(z,\mu_F) d\hat{\sigma}_{ab \to cX}$$



## **Cross section calculation**

The Cross-Section at NLO QCD is implement in FKS (virtual + real + UV counter terms + ISR counter-terms)

#### **Hadronic cross-section**

$$d\sigma_{H_1H_2\to h\gamma} = \sum_{a_1,a_2,a_3} \int_0^1 dx_1 dx_2 dz f_{a_1}^{H_1}(x_1,\mu_I) f_{a_2}^{H_2}(x_2,\mu_I) d_{a_3}^h(z,\mu_F) d\hat{\sigma}_{a_1a_2\to a_3\gamma}^{ISO}.$$

#### **Partonic cross-section**

$$d\hat{\sigma}_{a_{1} a_{2} \to a_{3} \gamma}^{\mathrm{ISO}} = \frac{\alpha_{s}}{2\pi} \frac{\alpha}{2\pi} \int d\mathbf{P} \mathbf{S}^{2 \to 2} \frac{|\mathcal{M}^{(0)}|^{2} (x_{1} K_{1}, x_{2} K_{2}, K_{3} / z, K_{4})}{2\hat{s}} \, \mathcal{S}_{2}$$

$$+ \frac{\alpha_{s}^{2}}{4\pi^{2}} \frac{\alpha}{2\pi} \int d\mathbf{P} \mathbf{S}^{2 \to 2} \frac{|\mathcal{M}^{(1)}|^{2} (x_{1} K_{1}, x_{2} K_{2}, K_{3} / z, K_{4})}{2\hat{s}} \, \mathcal{S}_{2}$$

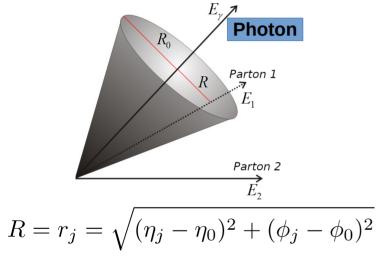
$$+ \frac{\alpha_{s}^{2}}{4\pi^{2}} \frac{\alpha}{2\pi} \sum_{a_{5}} \int d\mathbf{P} \mathbf{S}^{2 \to 3} \frac{|\mathcal{M}^{(0)}|^{2} (x_{1} K_{1}, x_{2} K_{2}, K_{3} / z, K_{4}, k_{5})}{2\hat{s}} \, \mathcal{S}_{3}$$

$$d\hat{\sigma}_{a_{1} a_{2} \to a_{3} \gamma}^{\mathrm{ISO,QED}} = \frac{\alpha^{2}}{4\pi^{2}} \int d\mathbf{P} \mathbf{S}^{2 \to 2} \frac{|\mathcal{M}_{QED}^{(0)}|^{2} (x_{1} K_{1}, x_{2} K_{2}, K_{3} / z, K_{4})}{2\hat{s}} \, \mathcal{S}_{2}.$$

LO QCD	LO QED	NLO QCD
$qar{q} o\gamma g$	$q\gamma  o \gamma q$	$qar{q}  o \gamma gg$
$qg o\gamma q$	$qar q o\gamma\gamma$ .	$qg  o \gamma g q$
		$gg  o \gamma qar q$
		$qar{q} o\gamma Qar{Q}$
		$qQ  o \gamma qQ$

# **Computational details**

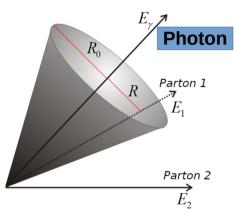
The selection procedure is given by the Smooth Cone Isolation algorithm



Smooth cone isolation

# **Computational details**

## The selection procedure is given by the Smooth Cone Isolation algorithm



$$R = r_j = \sqrt{(\eta_j - \eta_0)^2 + (\phi_j - \phi_0)^2}$$

Smooth cone isolation

Smooth function: 
$$\xi(r) = \epsilon_{\gamma} E_{T}^{\gamma} \left( \frac{1 - \cos(r)}{1 - \cos r_{0}} \right)^{4}$$

#### Selection criteria

Define: 
$$E_T(r) = \sum_j E_{T_j} \Theta(r - r_j)$$

If 
$$E_T(r) < \xi(r)$$
 Then:

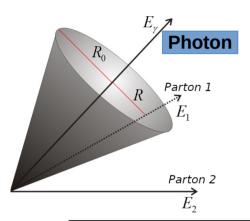
γ is Isolated

Else:

y is not Isolated

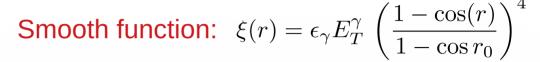
# **Computational details**

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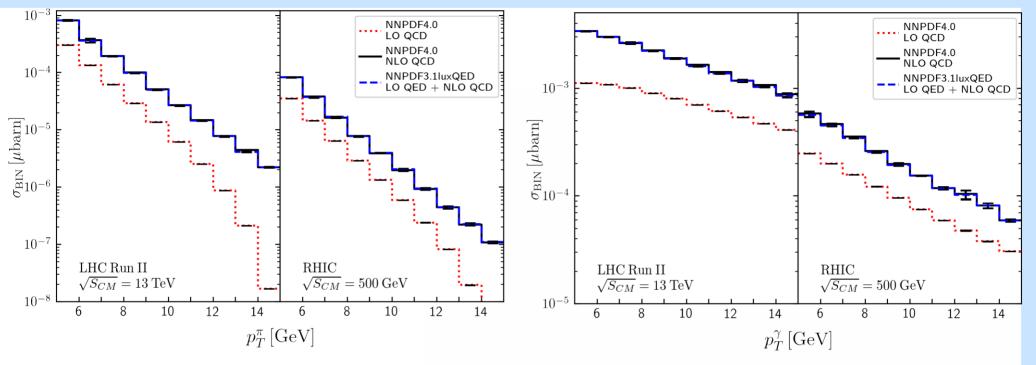
Else:

y is not Isolated

## The cuts are used by STAR/PHENIX @ RHIC

$$|\eta^h| \le 0.35$$
,  $|\eta^{\gamma}| \le 0.35$ ,  $p_T^h \ge 2 \,\text{GeV}$ ,  $5 \,\text{GeV} \le p_T^{\gamma} \le 15 \,\text{GeV}$ 

## **First: Photon + Hadron distributions**

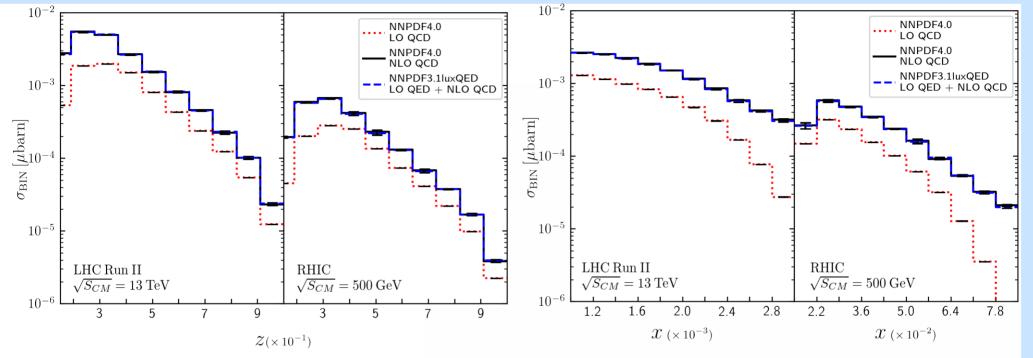


#### 2112.05043 [hep-ph]

#### Transverse momentum distribution.

- The cross-section increases for higher c.m. energies.
- The distribution in  $p_T^\pi$  falls faster than the  $p_T^\gamma$  -spectrum, mainly because of the convolution with the FFs.

## **First: Photon + Hadron distributions**



#### Fraction momentum distribution.

2112.05043 [hep-ph]

- Important NLO QCD corrections, but small percent-level LO QED ones.
- The experimental cut in  $p_T^\gamma$  induces a restriction on the maximum value of  ${\it x}$  involved in the collision.
- The distribution present a peak, located at  $\mathbf{z}_{\text{peak}} \approx 0.35$  for RHIC  $\mathbf{z}_{\text{peak}} \approx 0.25$  for LHC Run II.

#### Experimentally accessible quantities:

$$\bar{\mathcal{V}}_{\mathrm{Exp}} = \{\bar{p}_T^{\gamma}, \bar{p}_T^{\pi}, \bar{\eta}^{\gamma}, \bar{\eta}^{\pi}, \overline{\cos}(\phi^{\pi} - \phi^{\gamma})\}$$

**Detector measurements** 

#### **LO** kinematics

$$x_{1,2} = \frac{p_T^{\gamma}}{\sqrt{s}} \left( e^{\eta^{\pm \pi}} + e^{\eta^{\pm \gamma}} \right) \qquad z = \frac{p_T^{\pi}}{p_T^{\gamma}}$$

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#### **NLO QCD approximation (2011)**

$$X_{1,\text{REC}} = \frac{p_T^{\gamma} \exp(\eta^{\pi}) - \cos(\phi^{\pi} - \phi^{\gamma}) p_T^{\gamma} \exp(\eta^{\gamma})}{\sqrt{S_{CM}}}$$

$$X_{2,\text{REC}} = \frac{p_T^{\gamma} \exp(-\eta^{\pi}) - \cos(\phi^{\pi} - \phi^{\gamma}) p_T^{\gamma} \exp(-\eta^{\gamma})}{\sqrt{S_{CM}}}$$

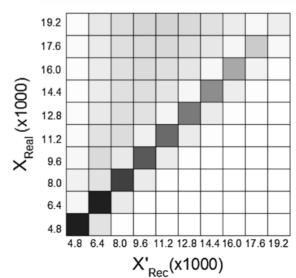
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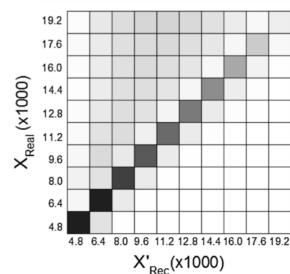
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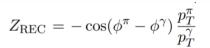
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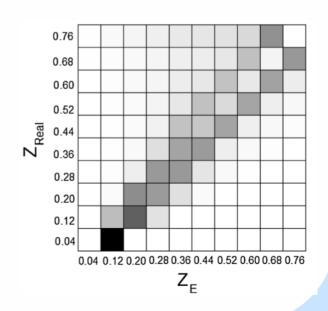
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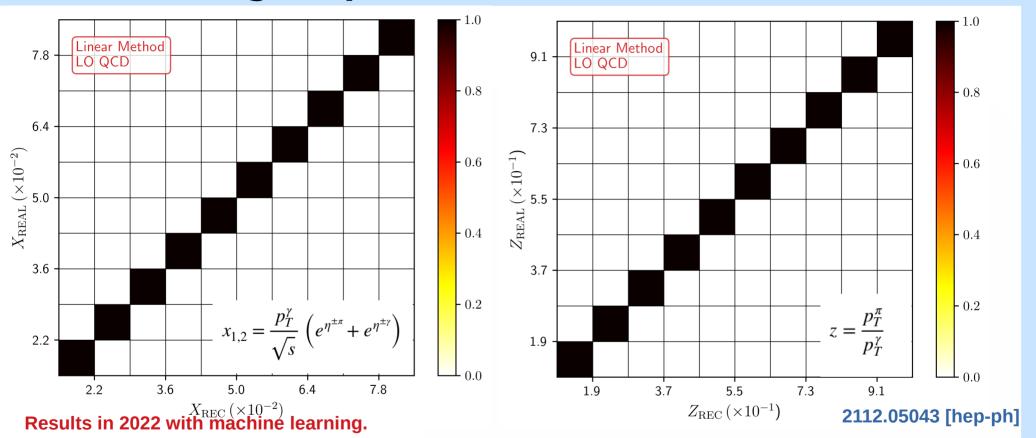
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We started with LO cross-sections, and applied linear regression.

• We used the basis: 
$$\mathcal{B}_{\mathrm{LO}} = \{ \frac{p_T^{\gamma}}{\sqrt{S_{CM}}} \exp(\eta^{\pi}), \ \frac{p_T^{\gamma}}{\sqrt{S_{CM}}} \exp(\eta^{\gamma}), \ \frac{p_T^{\gamma}}{\sqrt{S_{CM}}} \exp(-\eta^{\pi}), \ \frac{p_T^{\gamma}}{\sqrt{S_{CM}}} \exp(-\eta^{\gamma}), \ p_T^{\pi}/p_T^{\gamma} \}$$

## **Reconstructions Methods**

$$X = \{ X_{general}, X_{LO-ins}, X_{physically} \}$$

#### Linear Method

$$Y_{REC} = \sum_{i=k}^{i=0} \alpha_i x_i \text{ for } x_i \in X_j$$
 $j = \text{general, LO-ins, physically}$ 

## Gaussian Process Regression

$$Y_{REC} = \prod_{i} \exp(-||x - \mu_i||^2/2l^2)$$

## **Reconstructions Methods**

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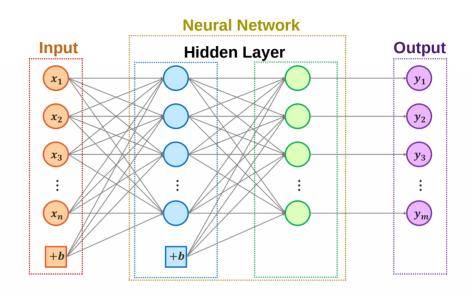
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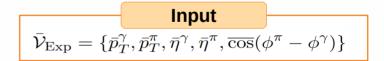
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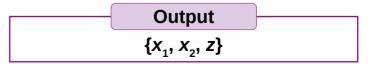
$$Y_{REC} = \prod_{i} \exp(-||x - \mu_i||^2 / 2l^2)$$

#### Neural Network

The NN implemented in this work is a **Multilayer Perceptron** with 5 hidden layers, 300 neurons per layer and a Relu (Unitary Linear Rectifier) activation function.







## **General basis:**

$$\mathcal{K} = \{\frac{p_T^{\gamma}}{\sqrt{S_{CM}}}, \frac{p_T^{\pi}}{\sqrt{S_{CM}}}, \exp(\eta^{\gamma}), \exp(\eta^{\pi}), \cos(\phi^{\pi} - \phi^{\gamma}), (\frac{p_T^{\gamma}}{\sqrt{S_{CM}}})^{-1}, (\frac{p_T^{\pi}}{\sqrt{S_{CM}}})^{-1}, (\exp(\eta^{\gamma}))^{-1}, (\exp(\eta^{\pi}))^{-1}\}$$

$$Y_{REC} = \sum_{i=1, i \neq 5} (a_i^Y + b_i^Y \mathcal{K}_5) \mathcal{K}_i + \sum_{i \leq j, \{i, j\} \neq 5, j-i \neq 5} (c_{ij}^Y + d_{ij}^Y \mathcal{K}_5) \mathcal{K}_i \mathcal{K}_j$$

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## LO-inspired basis:

$$\mathcal{B}_{\mathrm{NLO}}^{X_{1}} = \{ \frac{p_{T}^{\gamma}}{\sqrt{S_{CM}}} \exp(\eta^{\gamma}), \frac{p_{T}^{\gamma}}{\sqrt{S_{CM}}} \exp(\eta^{\pi}), \frac{p_{T}^{\pi}}{\sqrt{S_{CM}}} \exp(\eta^{\gamma}), \frac{p_{T}^{\pi}}{\sqrt{S_{CM}}} \exp(\eta^{\pi}),$$

$$\frac{p_{T}^{\gamma} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\gamma}), \frac{p_{T}^{\gamma} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\pi}), \frac{p_{T}^{\pi} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\gamma}), \frac{p_{T}^{\pi} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\pi}) \}$$

$$\mathcal{B}_{\mathrm{NLO}}^{Z} = \{ p_{T}^{\pi}/p_{T}^{\gamma}, \mathcal{K}_{5} p_{T}^{\pi}/p_{T}^{\gamma}, \mathcal{K}_{5} p_{T}^{\pi}/\sqrt{S_{CM}}, \mathcal{K}_{5} \sqrt{S_{CM}}/p_{T}^{\gamma} \}$$

$$x_{1,2} = \frac{p_T^{\gamma}}{\sqrt{s}} \left( e^{\eta^{\pm \pi}} + e^{\eta^{\pm \gamma}} \right) \qquad z = \frac{p_T^{\pi}}{p_T^{\gamma}}$$

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$$Y_{REC} = \sum_{i=1, i \neq 5} (a_i^Y + b_i^Y \mathcal{K}_5) \mathcal{K}_i + \sum_{i < j, \{i, j\} \neq 5, j - i \neq 5} (c_{ij}^Y + d_{ij}^Y \mathcal{K}_5) \mathcal{K}_i \mathcal{K}_j$$

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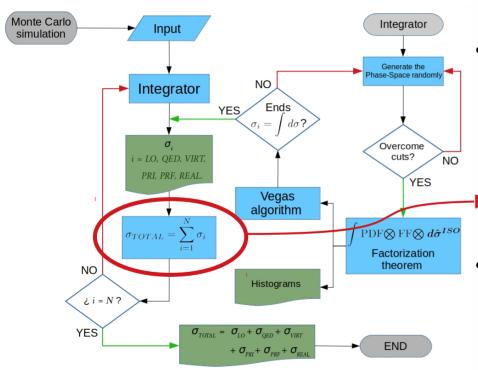
$$\frac{p_{T}^{\gamma} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\gamma}), \frac{p_{T}^{\gamma} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\pi}), \frac{p_{T}^{\pi} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\gamma}), \frac{p_{T}^{\pi} \mathcal{K}_{5}}{\sqrt{S_{CM}}} \exp(\eta^{\pi}) \}$$

$$\mathcal{B}_{\mathrm{NLO}}^{Z} = \{ p_{T}^{\pi} / p_{T}^{\gamma}, \mathcal{K}_{5} p_{T}^{\pi} / p_{T}^{\gamma}, \mathcal{K}_{5} p_{T}^{\pi} / \sqrt{S_{CM}}, \mathcal{K}_{5} \sqrt{S_{CM}} / p_{T}^{\gamma} \}$$

## Physically-motivated basis

$$x_{1,2} = \frac{p_T^{\gamma}}{\sqrt{s}} \left( e^{\eta^{\pm \pi}} + e^{\eta^{\pm \gamma}} \right) \qquad z = \frac{p_T^{\pi}}{p_T^{\gamma}} \qquad b_{6,j}^{X_1 = 0}, \\ c_{6,j}^{X_1} = d_{6,j}^{X_1} = c_{i,6}^{X_1} = d_{i,6}^{X_1} = 0 \quad \{i,j\} \in \{1,\dots,9\}, \\ c_{i,7}^{Z} = d_{i,7}^{Z} = 0 \quad i \in \{1,\dots,9\}, i \neq \{1,5\}, \end{cases}$$

Reconstructing  $\{x, z\}$  at higher-order



- NLO corrections involve: real (2-to-3), virtual (2-to-2), counterterms (2-to-2).
- Create "bins" in the external variables and compute the cross-section:

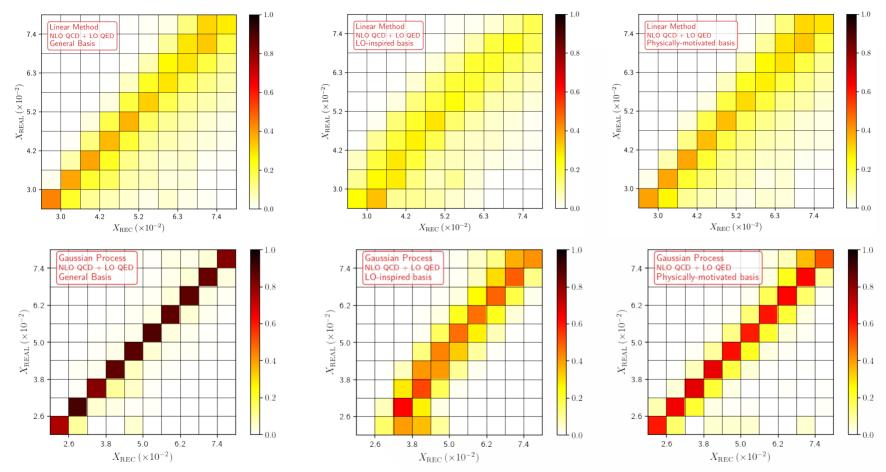
$$p_j = \{\bar{p}_T^{\gamma}, \bar{p}_T^{\pi}, \bar{\eta}^{\gamma}, \bar{\eta}^{\pi}, \overline{\cos}(\phi^{\pi} - \phi^{\gamma})\} \in \bar{\mathcal{V}}_{\mathrm{Exp}}$$

$$\sigma_j(\bar{p}_T^{\gamma}, \bar{p}_T^{\pi}, \bar{\eta}^{\gamma}, \bar{\eta}^{\pi}, \overline{\cos}(\phi^{\pi} - \phi^{\gamma})) = \int_{(p_T^{\gamma})_{j, \text{MAX}}}^{(p_T^{\gamma})_{j, \text{MAX}}} dp_T^{\gamma} \int_{(p_T^{\pi})_{j, \text{MIN}}}^{(p_T^{\pi})_{j, \text{MAX}}} dp_T^{\pi} \dots \times \int dx_1 dx_2 dz \, d\bar{\sigma}$$

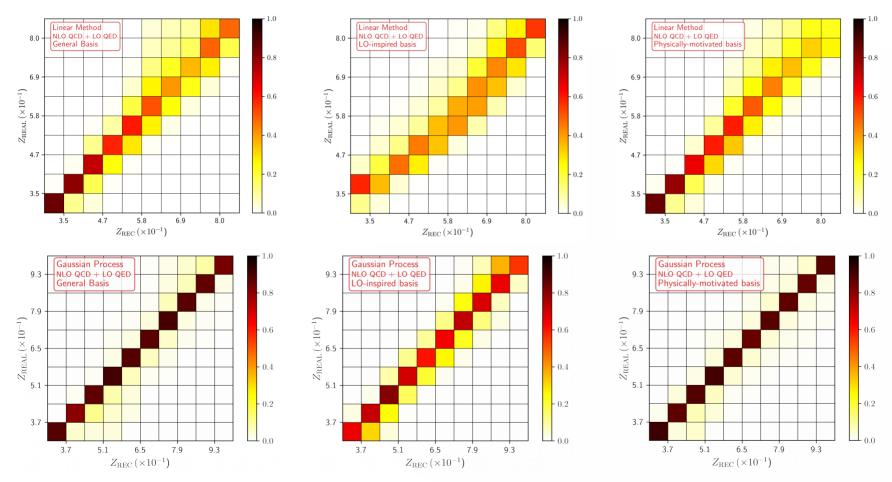
• Weight the MC momentum fractions with the cross-section per bin:

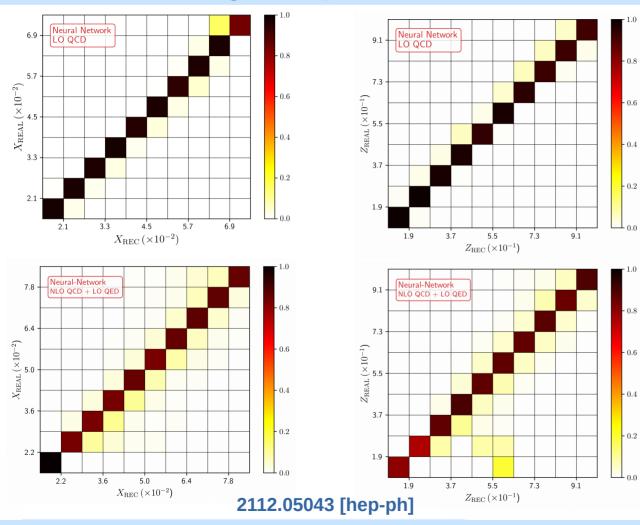
$$(x_1)_j = \sum_i (x_1)_i \frac{d\sigma_j}{dx_1} (p_j; (x_1)_i)$$
$$(z)_j = \sum_i z_i \frac{d\sigma_j}{dz} (p_j; z_i)$$

#### 2112.05043 [hep-ph]



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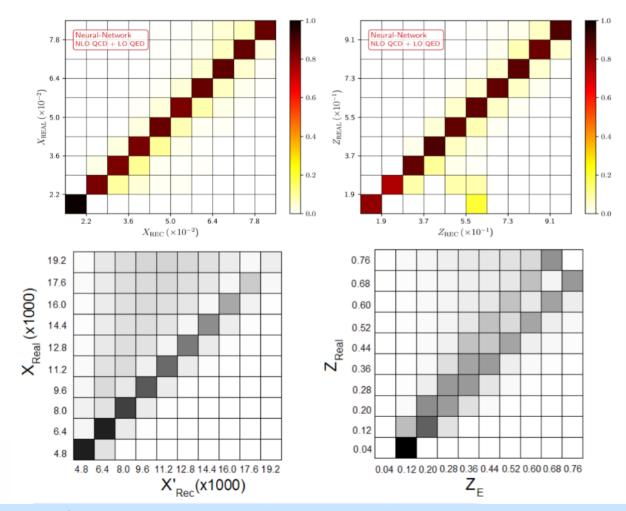




# **Neural Networks (NN)** reconstruction

**LO** prediction

NLO QCD + LO QED prediction



arXiv:2112.05043 [hep-ph]
Machine Learning
2022

arXiv:1011.0486 [hep-ph]
Analytical formula approx
2011

## **Conclusions**

- Updated results are still consistent with '11 analysis (modifications come from new PDFs and better FFs)
- LM: better for large-size basis
- GR: has more flexibility, and better agreement w.r.t. LM (improvement in X)
- NN: based on MLP, offers the best balance between assumptions and quality of the reconstruction

MLP techniques (specially NN) offers an outstanding framework to understand the partonic kinematics in an (almost) automatized and (almost) human-independent way

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## **Motivation**

• Aim: reconstruct the momentum fractions  $x_1$ ,  $x_2$  and z.

• Nowadays, Machine Learning is a tool that allows to make a predictive model to reconstruct  $\{X_1, X_2, Z\}$ .

Analysis of the internal structure.

2104.14663

Analysis of the internal structure of hadrons using direct photon production

David F. Rentería-Estrada, a Roger J. Hernández-Pinto and German F. R. Sborlinib, c

1011.0486

PHYSICAL REVIEW D 83, 074022 (2011)

Hadron plus photon production in polarized hadronic collisions at next-to-leading order accuracy

Daniel de Florian and Germán F. R. Sborlini

Departamento de Física Facultad de Ciencias Exactas y Naturales, Universidad de Buenos Aires Pabellón I, Ciudad Universitaria (1428) Capital Federal, Argentina (Received 3 November 2010; revised manuscript received 23 February 2011; published 27 April 2011)

We compute the next-to-leading order QCD corrections to the polarized (and unpolarized) cross sections for the production of a hadron accompanied by an opposite-side prompt photon. This process, being studied at RHIC, permits us to reconstruct partonic kinematics using experimentally measurable variables. We study the correlation between the reconstructed momentum fractions and the true partonic ones, which in the polarized case might allow us to reveal the spin-dependent gluon distribution with a higher precision.

DOI: 10.1103/PhysRevD.83.074022 PACS numbers: 13.88.+e, 12.38.Bx, 13.87.Fh

2112.05043

Reconstructing partonic kinematics at colliders with Machine Learning

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ien, Germany.

ior de Investigaciones

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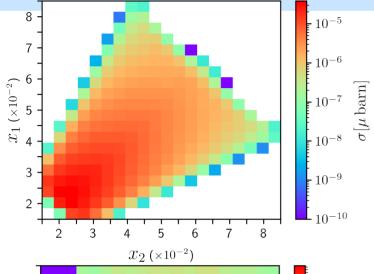
<sup>d</sup> Institut für Theoretische Physik, Universität Regensburg, 93040 Regensburg, Germany, Universität Regensburg, Germany

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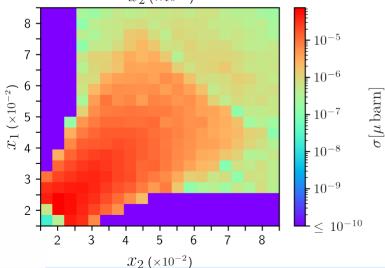
2021

# Photon + Hadron correlations



## **LO QCD**

- Positive correlation
- Consequence of the initial state symmetry (pp collision)
- It is a cross-check



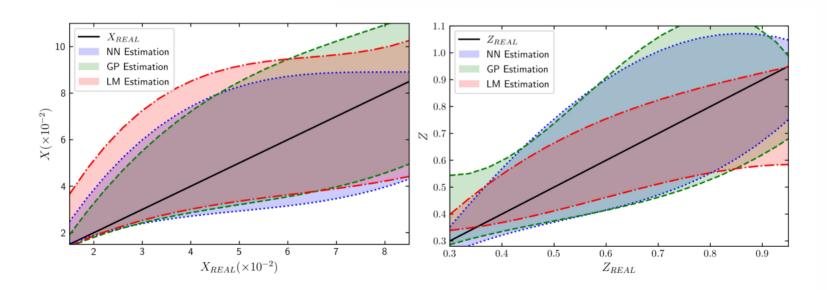
NLO QCD + LO QED

This procedure leads to three functions for reconstructing each momentum fraction: given a kinematic point in the grid,  $p_j \in \bar{V}_{Exp}$ , we have

$$X(p_j) \equiv \{X_{REC}^{(\xi=2)}(p_j), X_{REC}^{(\xi=1)}(p_j), X_{REC}^{(\xi=1/2)}(p_j)\},$$
 (50)

and define

$$X_{\text{REC}}(p_j) = \overline{X(p_j)} \pm \frac{\max(X(p_j)) - \min(X(p_j))}{2} \equiv \overline{X(p_j)} \pm \Delta X(p_j), \quad (51)$$



Parameters	TEST 1	TEST 2	TEST 3
# hidden layers	2	4	3
# neurons/layer	50	100	100
tolerance	$10^{-2}$	$10^{-2}$	$10^{-3}$
max. number of iterations	108	10 <sup>8</sup>	10 <sup>9</sup>
# iterations w/o change	14,000	21,000	100,000

Table 3: Architectures for the MLP of three different tests for the reconstruction of the momentum fractions at NLO in QCD. All parameters are taken to be the same for  $X_{\rm REC}$  and  $Z_{\rm REC}$ .

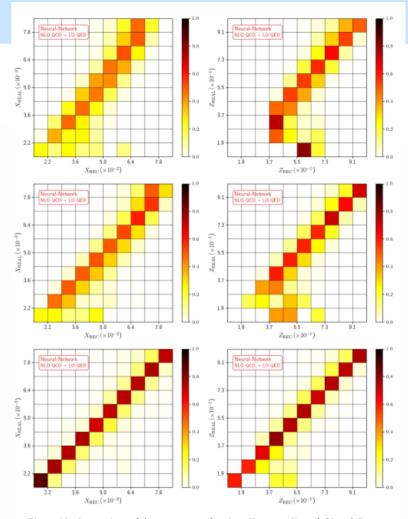


Figure 19: Comparison of the momentum fractions  $X_{\rm REAL}$  vs.  $X_{\rm REC}$  (left) and  $Z_{\rm REAL}$  vs.  $Z_{\rm REC}$  (right) obtained with MLP at NLO QCD + LO QED accuracy. The parameters for TEST1 (upper row), TEST2 (middle row) and TEST3 (lower row) are given in Table 3.

	$X_{REC}$ (LO)	$Z_{REC}$ (LO)	X <sub>REC</sub> (NLO)	Z <sub>REC</sub> (NLO)
# of hidden layers	2	1	5	5
# of neurons/layer	200	100	300	300
activation function	ReLU	ReLU	ReLU	ReLU
# iterations	$1 \times 10^{5}$	$1 \times 10^{5}$	$1 \times 10^{12}$	$1 \times 10^{12}$
learning rate	$1 \times 10^{-3}$	$1 \times 10^{-3}$	$1 \times 10^{-4}$	$1 \times 10^{-4}$

Table 2: Architecture for the MLP best fit parameters for the reconstruction of the momentum fractions at LO in QCD:  $X_{\rm REC}({\rm LO})$  and  $Z_{\rm REC}({\rm LO})$  (second and third columns), and for the momentum fractions at NLO QCD + LO QED:  $X_{\rm REC}({\rm NLO})$  and  $Z_{\rm REC}({\rm NLO})$  (fourth and fifth columns).

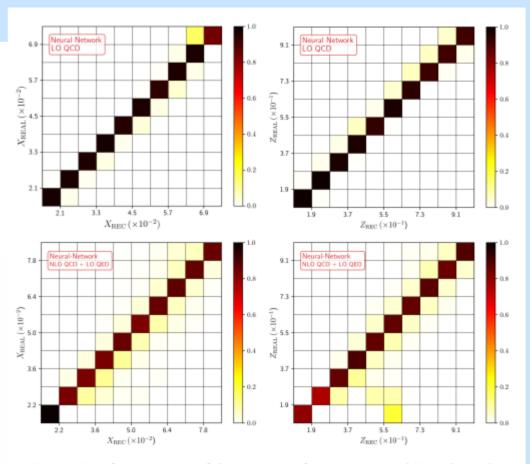
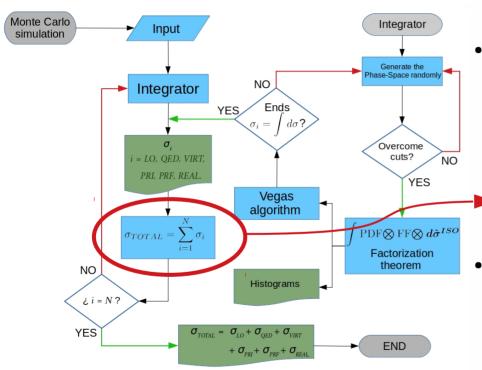


Figure 15: Left: Comparison of the momentum fractions  $X_{\rm REAL}$  and  $X_{\rm REC}$  obtained with MLP neural networks with the parameters given in Table 2. The upper (lower) row corresponds to the LO QCD (NLO QCD + LO QED) data set. Right: same as the l.h.s but for  $Z_{\rm REAL}$  and  $Z_{\rm REC}$ .

Reconstructing  $\{x, z\}$  at higher-order



- NLO corrections involve: real (2-to-3), virtual (2-to-2), counterterms (2-to-2).
- Create "bins" in the external variables and compute the cross-section:

$$p_j = \{\bar{p}_T^{\gamma}, \bar{p}_T^{\pi}, \bar{\eta}^{\gamma}, \bar{\eta}^{\pi}, \overline{\cos}(\phi^{\pi} - \phi^{\gamma})\} \in \bar{\mathcal{V}}_{\mathrm{Exp}}$$

$$\sigma_j(\bar{p}_T^{\gamma}, \bar{p}_T^{\pi}, \bar{\eta}^{\gamma}, \bar{\eta}^{\pi}, \overline{\cos}(\phi^{\pi} - \phi^{\gamma})) = \int_{(p_T^{\gamma})_{j, \text{MAX}}}^{(p_T^{\gamma})_{j, \text{MAX}}} dp_T^{\gamma} \int_{(p_T^{\pi})_{j, \text{MIN}}}^{(p_T^{\pi})_{j, \text{MAX}}} dp_T^{\pi} \dots \times \int dx_1 dx_2 dz \, d\bar{\sigma}$$

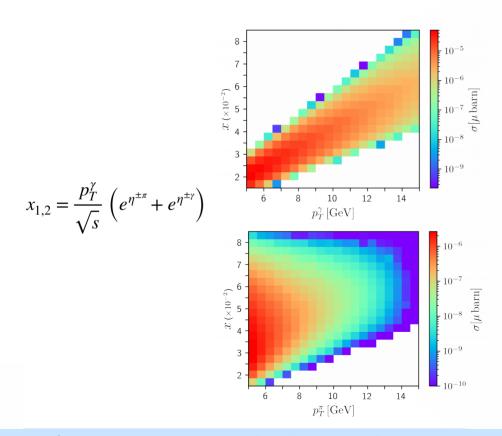
• Weight the MC momentum fractions with the cross-section per bin:

$$(x_1)_j = \sum_i (x_1)_i \frac{d\sigma_j}{dx_1} (p_j; (x_1)_i)$$
$$(z)_j = \sum_i z_i \frac{d\sigma_j}{dz} (p_j; z_i)$$

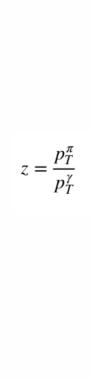
# **Second: Photon + Hadron correlations**

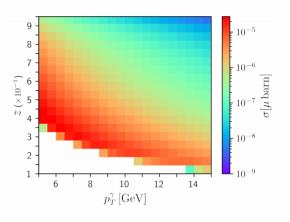
#### **LO** kinematics

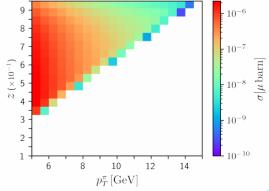
•  $x vs p_T$ 



 $\bullet$  z vs p<sub>T</sub>



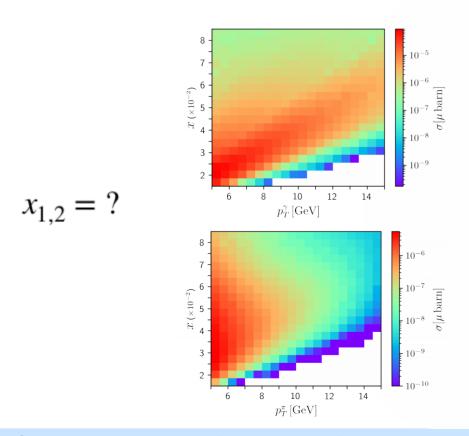




# **Second: Photon + Hadron correlations**

#### **NLO** kinematics

 $\bullet$  x vs p<sub>T</sub>



 $\bullet$  z vs p<sub>T</sub>

