

# Effective Field Theory and Leuscher Finite Volume Methods

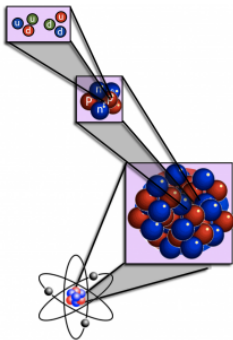
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LQCD Group



## LQCD Group

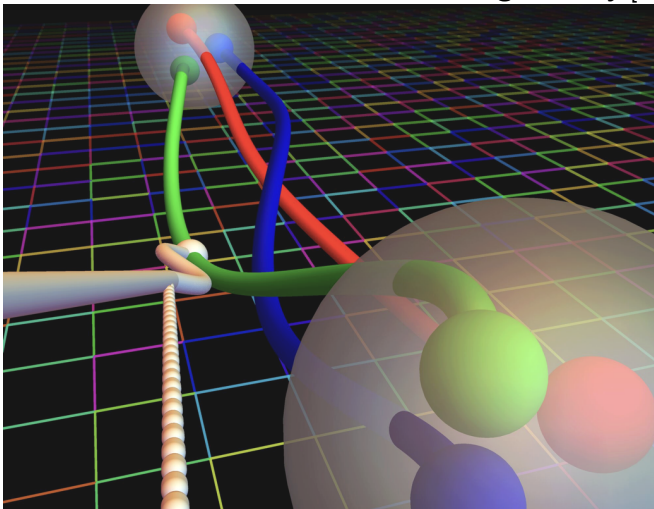


$$\mathcal{L}_{QCD} = \bar{\psi}_i (i\gamma^\mu (D_\mu)_{ij} - m\delta_{ij}) \psi_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu}$$

- hadrons
- nuclei
- nuclear matter

Compute observables from lattice simulations - a difficult task!

# Symmetries and Interactions from Lattice Gauge Theory [Mil+22]



[Nic+18]

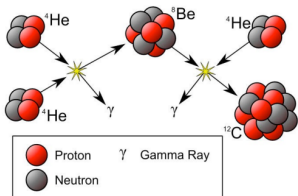
# NN interactions at low energies

THE DEUTERON: LONG STANDING PUZZLE!

- 1 understanding nuclear physics from first principles
- 2 benchmark for multi-nucleon systems
- 3 extraction of two-nucleon scattering phase shifts



# Why Deuterium



**Binding Energy:** 2.2 MeV, resulting in weakly bound deuteron. Requires fine tuning of pion-exchange and short-range interactions!

AGAINST expectation of binding energy from pion decay constant,  $f_\pi$

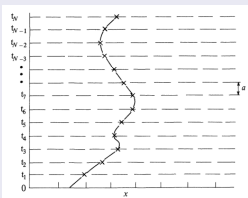
- 1 BBN
- 2 nucleosynthesis
- 3 many-body theory

# Our Methods

Tools that we use!

## Lattice:

Regularization by discretization!



$$N = \frac{\tau}{a}$$

$$Z = \int (\prod_i dx_i) e^{-S}$$

## Effective Field Theory:

- 1 Spontaneous symmetry breaking

$$m_u - m_d \approx 0$$

approximate symmetry under  
SU(2) isospin.

- 2 Global Chiral Symmetry

$$SU(N_f)_L \times SU(N_f)_R \times U(1)_V \times U(1)_A$$

- 3 Non-relativistic QM

$NN$  interactions

$\neq$  EFT

# Effective Field Theory

- 1 Establish a power counting scheme
- 2 Focus on relevant symmetries
- 3 Write a Lagrangian that encapsulates the important aspects

## Definition 1 (Goldstone Theorem)

### Goldstone's Theorem

When a continuous symmetry is spontaneously broken, meaning its currents are conserved but the ground state is not invariant under an action of the charges, then new **massless** (or light, if not exact symmetry) scalar particles appear in the spectrum.

# EFT for Meson Analysis

Global Flavor Symmetry **broken** by non-zero quark condensate  $\langle q \bar{q} \rangle$

## Chiral Perturbation Theory

$$N_f = 3: \quad \Theta(x) = \sum_{a=1}^8 \lambda_a \Theta_a(x) \equiv \begin{bmatrix} \pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}\pi^+ & \sqrt{2}K^+ \\ \sqrt{2}\pi^- & -\pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}K^0 \\ \sqrt{2}K^- & \sqrt{2}K^0 & -\frac{2}{\sqrt{3}}\eta \end{bmatrix}$$

$$N_f = 2: \quad \Theta(x) = \sum_{i=1}^3 \sigma_i \Theta_i(x) \equiv \begin{bmatrix} \pi^0 & \sqrt{2}\pi^+ \\ \sqrt{2}\pi^- & -\pi^0 \end{bmatrix}$$

Include  $(m_u - m_d)$  for explicit symmetry breaking in expansion parameter  $\epsilon$



# baryonic EFT

- ① expansion parameter  $\epsilon \sim \frac{m_\pi}{\Lambda}, \frac{p}{\Lambda}$

$$\mathcal{L}_{\text{eff}} = \psi^\dagger \left( i\partial_\tau + \frac{\nabla^2}{2M} \right) \psi + g_0 (\psi^\dagger \psi)^2 + \frac{g_2}{8} \left[ (\psi\psi)^\dagger \left( \psi \overleftrightarrow{\nabla}^2 \psi \right) + \text{h.c.} \right] + \dots,$$

- ② LECS scale  $\sim \Lambda^{-\dim(\mathcal{O})}$
- ③ relevant parameters: scattering length,  $a$

compare  $g_0$  to s-wave scattering

$$A = \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ g_0 \end{array} + \begin{array}{c} \diagup \quad \diagdown \\ \text{---} \text{---} \text{---} \text{---} \\ \diagdown \quad \diagup \end{array} + \begin{array}{c} \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ \text{---} \text{---} \text{---} \text{---} \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \end{array} + \dots$$

# scattering theory

S-matrix for nonrelativistic scattering

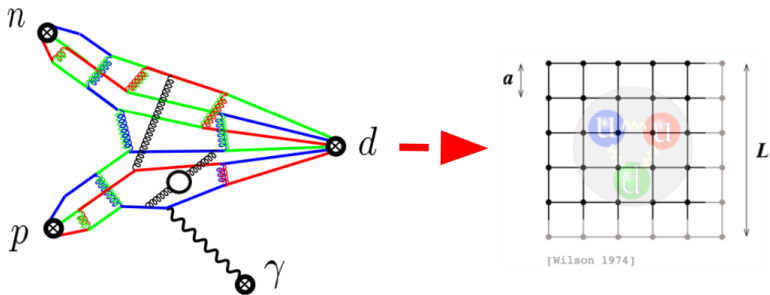
$$S = 1 + \frac{iMp}{2\pi} A \quad \leftarrow \text{Scattering Amplitude}$$

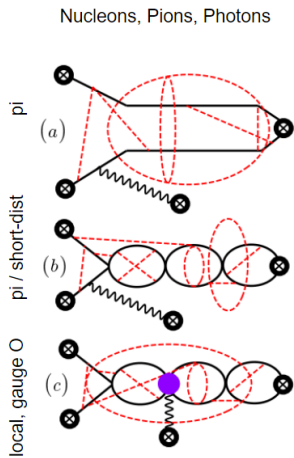
s-wave  $A = \frac{4\pi}{M} \frac{1}{p \cot \delta - ip}$  .  $\delta$  is the  $s$ -wave scattering phase shift

short-range two-body potential.

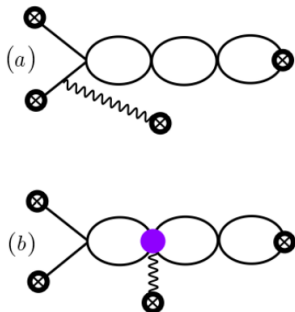
$$p \cot \delta = -\frac{1}{a} + \frac{1}{2}r_0 p^2 + r_1 p^4 + \dots$$

# Deuteron





Nucleons, Photons



# ⌘-EFT / EFT ⌘

- 1 path integral formulation of quantum field theory in Euclidean spacetime
- 2 discretized on a periodic lattice size  $L^3 \times N_\tau$ . Zero temp  $\rightarrow$  large  $N_\tau$ .
- 3 Lattice spacings defined by dimensional analysis  $b_s$  and  $b_\tau = \frac{b_s^2}{M}$

Free Theory  $\mathcal{L}(\psi^\dagger, \psi) = \psi^\dagger (\partial_\tau - \mu) \psi + \mathcal{H}[\psi^\dagger, \psi]$

$$Z = \int \mathcal{D}\psi^\dagger \mathcal{D}\psi e^{-\int d\tau d^3x [\mathcal{L}(\psi^\dagger, \psi)]}$$

$$S_{\text{free}} = \sum_{\tau, \tau'} \frac{1}{b_\tau} \psi_{\tau'}^\dagger [K_0]_{\tau, \tau'} \psi_\tau \quad K_0 \equiv \begin{pmatrix} D & -1 & 0 & 0 & \dots \\ 0 & D & -1 & 0 & \dots \\ 0 & 0 & D & -1 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \\ 1 & \dots & \dots & \dots & \dots \end{pmatrix}$$

$$\mathcal{L}_{\text{int}} = \sum_n g_0 \psi_{n, \uparrow} \psi_{n, \uparrow} \psi_{n, \downarrow} \psi_{n, \downarrow}$$

$$D \equiv 1 - \frac{\nabla^2}{2} \text{ contains the spatial Laplacian}$$

H-S transformation  $e^{b_\tau g_0 \psi_{\uparrow}^\dagger \psi_{\uparrow} \psi_{\downarrow}^\dagger \psi_{\downarrow}} = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} d\phi^{-\phi^2/2 - \phi \sqrt{b_\tau g_0} (\psi_{\uparrow}^\dagger \psi_{\uparrow} + \psi_{\downarrow}^\dagger \psi_{\downarrow})}$

# Computing two-particle spectrum

We utilize the transfer matrix method! (Analytic Computation)

Transfer Operator  $\hat{T} = e^{-ia\hat{H}}$ .

$$\langle pq | \mathcal{T} | p' q' \rangle = \frac{\delta_{pp'} \delta_{qq'} + \frac{g_0}{V} \delta_{p+q, p'+q'}}{\sqrt{\xi(p)\xi(q)\xi(q')\xi(p')}} , \quad \xi(p) \equiv \frac{\Delta(p)}{M}$$

$$\Rightarrow$$

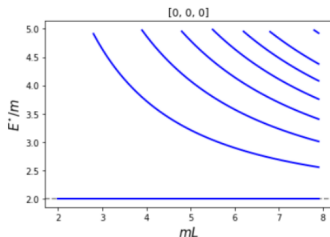
# Extracting the spectrum

## Leuscher Method for Scattering in Periodic volumes

- 1 Asymptotic scattering states
- 2 Finite volume effects on energies
- 3 spectrum in box is quantized

$$\langle 2|S - 1|2\rangle = (2\pi)^4 \delta(P' - P) i\mathcal{M}(E^*, \theta^*)$$

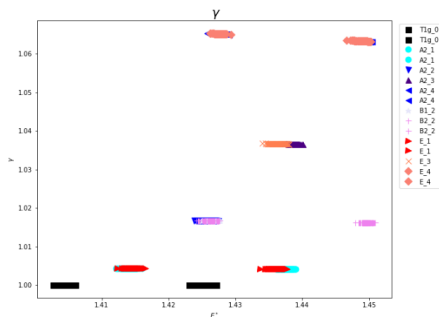
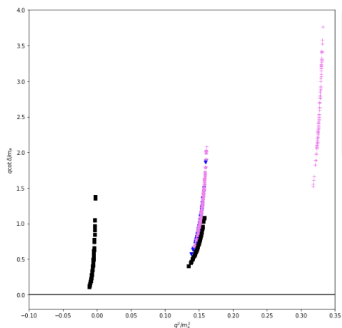
$$\mathcal{M}(s) = \frac{8\pi\sqrt{s}}{\xi} \frac{1}{q^* \cot \delta(s) - iq^*}$$



- 1 Leuscher's method extracts scattering phase shift
- 2 spectrum has cubic symmetry group, NOT spherical symmetry

$$q^* \cot \delta(q^*) = \frac{2}{\gamma L \sqrt{\pi}} Z_{00}^{\mathbf{d}} \left( 1, \frac{q^{*2} L^2}{4\pi^2} \right) \quad \mathbf{d} \equiv \frac{L}{2\pi} \mathbf{P}$$

$$Z_{00}^{\mathbf{d}}(s; q^2) = \frac{1}{\sqrt{4\pi}} \sum_{\mathbf{r} \in P_{\mathbf{d}}} (\mathbf{r}^2 - q^2)^{-s}$$





# Calculating Deuteron Form Factor

- 1 Calculate form factor using LEFC Transfer Matrix
- 2 Analyze the spectrum using Lellouch-Luscher
- 3 Use formalism for  $2 + J \rightarrow 2$  scattering

define matrix elements of a vector current,  $\mathcal{J}^\mu(x)$ , in terms of two Lorentz scalars

$$\infty \langle P_f | \mathcal{J}^\mu(x=0) | P_i \rangle_\infty = (P_f + P_i)^\mu F_1(Q^2) + (P_f - P_i)^\mu F_2(Q^2)$$

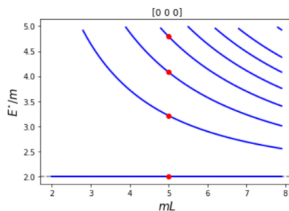
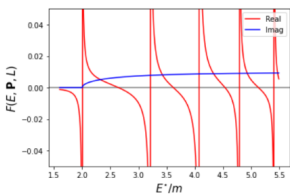
$$\infty \langle P_f | \mathcal{J}^\mu(0) | P_i \rangle_\infty = (P_f + P_i)^\mu F(Q^2)$$



- 1 Use Finite Volume Function that relates to spectrum
- 2 Luescher's quantization condition
- 3 Calculate spectrum with FVF

$$\mathcal{M}^{-1}(P_n^2) + F(P_n, L) = 0$$

$$F(P, L) = \left[ \frac{1}{L^3} \sum_{\mathbf{k}} - \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \right] \frac{D((P - \mathbf{k})^2)}{2\omega_{\mathbf{k}}}$$



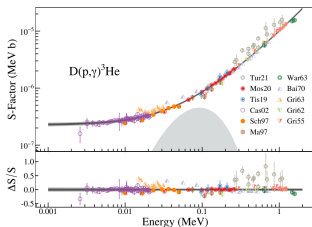
# To be done

- 1 Map the calculated spectrum to irreps of cubic group
- 2 Confirm spectrum of deuteron form factor

Spin $j$	Decomposition into Irreps of O
0	$A_1$
1	$T_1$
2	$E \oplus T_2$
3	$A_2 \oplus T_1 \oplus T_2$
4	$A_1 \oplus E \oplus T_1 \oplus T_2$
5	$E \oplus 2T_1 \oplus T_2$
6	$A_1 \oplus A_2 \oplus E \oplus T_1 \oplus 2T_2$
7	$A_2 \oplus E \oplus 2T_1 \oplus 2T_2$
8	$A_1 \oplus 2E \oplus 2T_1 \oplus 2T_2$
9	$A_1 \oplus A_2 \oplus E \oplus 3T_1 \oplus 2T_2$
10	$A_1 \oplus A_2 \oplus 2E \oplus 2T_1 \oplus 3T_2$
11	$A_2 \oplus 2E \oplus 3T_1 \oplus 3T_2$
12	$2A_1 \oplus A_2 \oplus 2E \oplus 3T_1 \oplus 3T_2$

# Conclusion

- 1 Use of LQCD allows investigation of low-energy properties of nucleons
  - 2  $\neq$  EFT used to investigate phenomena at energies below mass of pion
  - 3 calculation of deuteron form-factor from first principles will be benchmark for further computations
  - 4 Work done with HadSpec group <https://jeffersonlab.github.io/hadspec/>
- EXTRA:** Work on  $d p \rightarrow \gamma He$  thermonuclear rate for BBN [Mos+21]



# References I

- [CCN97] Alfonso Castro, Jorge Cossio, and John M Neuberger. “A Sign-Changing Solution for a Superlinear Dirichlet Problem”. In: *Rocky Mountain Journal of Mathematics* 27 (4 1997).
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- [Mos+21] Joseph Moscoso et al. “Bayesian Estimation of the  $D(p, \text{sup}3/\text{sup}He)$  Thermonuclear Reaction Rate”. In: *The Astrophysical Journal* 923.1 (Dec. 2021), p. 49. DOI: [10.3847/1538-4357/ac1db0](https://doi.org/10.3847/1538-4357/ac1db0). URL: <https://doi.org/10.3847%2F1538-4357%2Fac1db0>.
- [Nic+18] A. Nicholson et al. *Symmetries and Interactions from Lattice QCD*. 2018. DOI: [10.48550/ARXIV.1812.11127](https://doi.org/10.48550/ARXIV.1812.11127). URL: <https://arxiv.org/abs/1812.11127>.