



Introduction to Hybrid Quantum-Classical Machine Learning with Applications

Presenter: Samuel Yen-Chi Chen

Quantum Computing and Quantum Machine Learning Algorithms for High Energy Physics Workshop



Date 2022-06-01

- I. Introduction
- **II.** Quantum Computing (QC)
- **III.Quantum Machine Learning**
- **IV.Applications-Classification**
- V. Applications-Sequential Learning
- VI.Applications-Reinforcement Learning VII.Conclusion and Outlook



I. Introduction

II. Quantum Computing (QC) III.Quantum Machine Learning IV.Applications-Classification V. Applications-Sequential Learning **VI.Applications-Reinforcement Learning VII.Conclusion and Outlook**



2016 AlphaGo



ARTICLE

doi:10.1038/nature16961

Mastering the game of Go with deep neural networks and tree search

David Silver¹*, Aja Huang¹*, Chris J. Maddison¹, Arthur Guez¹, Laurent Sifre¹, George van den Driessche¹, Julian Schrittwieser¹, Ioannis Antonoglou¹, Veda Panneershelvam¹, Marc Lanctot¹, Sander Dieleman¹, Dominik Grewe¹, John Nham², Nal Kalchbrenner¹, Ilya Sutskever², Timothy Lillicrap¹, Madeleine Leach¹, Koray Kavukcuoglu¹, Thore Graepel¹ & Demis Hassabis¹

The game of Go has long been viewed as the most challenging of classic games for artificial intelligence owing to its enormous search space and the difficulty of evaluating board positions and moves. Here we introduce a new approach to computer Go that uses 'value networks' to evaluate board positions and 'policy networks' to select moves. These deep neural networks are trained by a novel combination of supervised learning from human expert games, and reinforcement learning from games of self-play. Without any lookahead search, the neural networks play Go at the level of state-of-the-art Monte Carlo tree search programs that simulate thousands of random games of self-play. We also introduce a new search algorithm that combines Monte Carlo simulation with value and policy networks. Using this search algorithm, our program AlphaGo achieved a 99.8% winning rate against other Go programs, and defeated the human European Go champion by 5 games to 0. This is the first time that a computer program has defeated a human professional player in the full-sized game of Go, a feat previously thought to be at least a decade away.



2017~ QC hardware



Google Al Quantum hardware roadmap





Brookhave

National Laborato





Algorithmic qubits defined as the effective number of qubits for typical algorithms, limited by the 2Q fidelity
Employs 10:1 error-correction encoding
Employs 20:1 error-correction encoding

I. Introduction **II.** Quantum Computing (QC) **III.Quantum Machine Learning IV.Applications-Classification** V. Applications-Sequential Learning **VI.Applications-Reinforcement Learning**

VII.Conclusion and Outlook



Quantum Computing

- Classical computers: Classical bits 0 vs 1
- Quantum computers: Quantum bits (qubit) $|\Psi\rangle = \alpha |0\rangle + \beta |1\rangle$ where α and β are complex numbers $\mathbb C$
- Quantum entanglements: A unique property of quantum physics —> No analog in the classical computer
- Famous algorithms:
 - Shor's algorithm: Can be used to break the state-of-the-art public key cryptography systems such as RSA
 - Grover's algorithm: Quadratic speedup in unstructured search



- Designing a quantum algorithm is non-trivial task
- Even harder in the noisy quantum machines



Quantum States

Single Qubit State

$$|0\rangle = \begin{bmatrix} 1\\0 \end{bmatrix}$$
$$|1\rangle = \begin{bmatrix} 0\\1 \end{bmatrix}$$

Two Qubit State

$$|0\rangle \otimes |0\rangle = \begin{bmatrix} 1\\0 \end{bmatrix} \otimes \begin{bmatrix} 1\\0 \end{bmatrix} = \begin{bmatrix} 1\\0\\0\\0 \end{bmatrix}$$

N

 $\underbrace{|0\rangle \otimes |0\rangle \otimes \cdots \otimes |0\rangle}_{N} = \underbrace{\begin{bmatrix}1\\0\end{bmatrix} \otimes \begin{bmatrix}1\\0\end{bmatrix} \otimes \cdots \otimes \begin{bmatrix}1\\0\end{bmatrix}}_{N}$



Quantum States

Density Operators

$$\rho = \sum_{j} p_{j} \left| \psi_{j} \right\rangle \left\langle \psi_{j} \right|$$

Examples:

$$|0\rangle = \begin{bmatrix} 1\\0 \end{bmatrix} \quad \langle 0| = \begin{bmatrix} 1 & 0 \end{bmatrix}$$
$$\downarrow$$
$$\downarrow$$
$$|0\rangle\langle 0| = \begin{bmatrix} 1\\0 \end{bmatrix} \begin{bmatrix} 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0\\0 & 0 \end{bmatrix}$$



 p_i

Basis state

Probability

Brookhaven National Laboratory

Quantum Operations



Example:



 $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} = |1\rangle$



Quantum Operations





Quantum Operations





I. Introduction **II. Quantum Computing (QC) III.**Quantum Machine Learning **IV.Applications-Classification** V. Applications-Sequential Learning **VI.Applications-Reinforcement Learning** VII.Conclusion and Outlook



Quantum Machine Learning



Hybrid Quantum-Classical Paradigm

Quantum Computer



Optimization



Variational Quantum Circuits (VQC)

- Quantum circuits with tunable parameters.
- Subject to iterative optimization procedures (on classical computers).
- $U(\mathbf{x})$: encoding circuit
- $V(\theta)$: variational circuit







Quantum Encoding and State Preparation

A general N qubit quantum state can be represented as:

$$\left|\psi\right\rangle = \sum_{\left(q_{1},q_{2},\cdots,q_{N}\right)\in\{0,1\}} c_{q_{1},q_{2},\cdots,q_{N}} \left|q_{1}\right\rangle \otimes \left|q_{2}\right\rangle \otimes \cdots \otimes \left|q_{N}\right\rangle$$

where $c_{q_1,\cdots,q_N} \in \mathbb{C}$ is the complex amplitude for each basis state and each $q_i \in \{0,1\}$

The total probability is equal to 1:
$$\sum_{(q_1,\dots,q_N)\in\{0,1\}} \|c_{q_1,\dots,q_N}\|^2 = 1$$

Quantum Encoding and State Preparation

Amplitude Encoding

Encode a vector $(\alpha_0, \dots, \alpha_{2^n-1})$ into a *n*-qubit quantum state:

$$|\Psi\rangle = \alpha_0 |00\cdots0\rangle + \cdots + \alpha_{2^n-1} |11\cdots1\rangle$$

where α_i are real numbers and $(\alpha_0, \cdots, \alpha_{2^n-1})$ is normalized

N-dimensional vector will require only $\log_2(N)$ qubits to encode

Variational Encoding

Input numbers $x_1 \cdots x_n$ are used as quantum rotation angles

$$|0\rangle - H - R_y(\arctan(x_1)) - R_z(\arctan(x_1^2)) - R_z(\arctan(x_1^2)) - R_y(\arctan(x_2)) - R_z(\arctan(x_2^2)) - R_z(\arctan(x_2^2)) - R_y(\arctan(x_3)) - R_z(\arctan(x_3^2)) - R_z(\arctan(x_3^2)) - R_z(\arctan(x_4^2)) - R_z(\operatorname{arctan}(x_4^2)) - R_z(\operatorname{ar$$

Simpler implementation than amplitude encoding

Interfacing with Classical ML





Interfacing with Classical ML

- Mixing *classical* and *quantum* computing components
- These classical and quantum nodes are arranged in a *directed acyclic graph* (DAG)
- The hybrid architecture is similar to the one in *deep learning* models
- The whole model can be trained with *backpropagation* method or other gradient-free methods such as evolutionary optimization
- The next question is "How to calculate the gradient of a quantum node?"









Brookhaven

National Laboratory



23

$$f(x;\theta_i) = \left\langle 0 \left| U_0^{\dagger}(x)U_i^{\dagger}(\theta_i) \hat{B}U_i(\theta_i) U_0(x) \right| 0 \right\rangle = \left\langle x \left| U_i^{\dagger}(\theta_i) \hat{B}U_i(\theta_i) \right| x \right\rangle$$

- *x*: input value
- $U_0(x)$: encoding circuit
- *i*: circuit parameter index
- $U_i(x_i)$: single-qubit rotation generated by the Pauli operators



PRA 98, 032309 (2018) PRA 99, 032331 (2019)

The gradient of *f* with respect to the parameter θ_i is:

$$\nabla_{\theta_i} f\left(x; \theta_i\right) = \frac{1}{2} \left[f\left(x; \theta_i + \frac{\pi}{2}\right) - f\left(x; \theta_i - \frac{\pi}{2}\right) \right]$$

This value can be calculated via running two quantum circuits with shifted parameters, the so-called *parameter-shift* rule.

PRA 98, 032309 (2018) PRA 99, 032331 (2019)



I. Introduction **II. Quantum Computing (QC) III.Quantum Machine Learning IV.Applications-Classification** V. Applications-Sequential Learning **VI.Applications-Reinforcement Learning VII.Conclusion and Outlook**



Quantum Convolutional Neural Network



Brookhaven⁻ National Laboratory

Chen, S. Y. C., Wei, T. C., Zhang, C., Yu, H., & Yoo, S. (2022). Quantum convolutional neural networks for high energy physics data analysis. *Physical Review Research*, *4*(1), 013231.

Quantum Convolutional Neural Network

Scan over the input image





mu+ vs p

Brookhaven⁻ National Laboratory

	First conv layer (# of channels) Filter siz		Second conv layer (# of channels)	Filter size	Classical part (# of params)	Total number of params		
QCNN	1	3 × 3	1	2 × 2	394	472		
CNN	4	5 × 5	2	5 × 5	198	498		

Federated Quantum Machine Learning



Brookhaven

National Laboratory

Chen, S. Y. C., & Yoo, S. (2021). Federated quantum machine learning. Entropy, 23(4), 460.

30

Federated Quantum Machine Learning













I. Introduction **II. Quantum Computing (QC) III.Quantum Machine Learning IV.Applications-Classification** V. Applications-Sequential Learning VI.Applications-Reinforcement Learning VII.Conclusion and Outlook



Sequential Learning: Motivation

- Natural language processing: question answering, machine translation,
- Physical dynamics: quantum dynamics, quantum optimal control, ...
- Reinforcement learning (RL) agents also need a **memory**
- Goal #1: to predict time series accurately
- Goal #2: to explore if quantum computers could deliver better outcome



Recurrent Neural Network (RNN) and LSTM



Cons of RNN:

- not suitable for long memory time
- 2. not easy to train (vanishing gradient)

(Classical) Long short-term memory (LSTM)



- c_t : Cell state
- h_t : Hidden state
- x_t : Input

$\{W\}$: Neural networks

Use nonlinear activation functions (σ & tanh) 36

Quantum LSTM (QLSTM)

- Replace the classical neural networks with variational quantum circuits (VQC)
- Other components (input, output, activation functions, internal states) are still kept "classical" to avoid no-clone



VQC in QLSTM

Measurements

Circuit component for QLSTM



Experiments

- Goal is to model/predict time series in a sliding window fashion
- Given 4 points from previous time steps $x_{t-4}, x_{t-3}, x_{t-2}, x_{t-1}$, predict x_t
- The time series data is loaded into the (Q)LSTM sequentially
- In each time step *t*, the concatenation of x_t and hidden state from previous time step h_{t-1} , $[x_t, h_{t-1}]$ is loaded into the quantum circuit



Test case: $\sin(t)$ ground truth training testing Epoch 15 Epoch 10 Epoch 1 Epoch 30 1.00 0.200 -— Training — Testing 0.75 0.175 0.50 0,150 0.25 0.125 QLSTM SO 0.100 0.00 -0.25 0.075 -0.50 0.050 -0.75 0.025 Ground Truth Prediction -1.001.00 0.6 0.75 0.5 0.50 0.4 0.25 LSTM Loss 0'3 0.00 -0.25 0.2 -0.50 0.1 -0.75 -1.000.0 50 100 150 200 250 20 40 60 80 Ó 50 100 150 200 250 Ó 50 100 150 200 250 Ó 50 100 150 200 250 Ó 100 0 Time Time Time Time Epochs Training Loss Testing Loss $|\text{QLSTM}| 1.89 \times 10^{-2}$ $1.69 imes 10^{-2}$ Brookhaven prediction National Laboratory 2.86×10^{-2} $|2.81 \times 10^{-2}|$ LSTM

40

Test case: damped oscillation





Test case: photon trapping



I. Introduction **II. Quantum Computing (QC) III.Quantum Machine Learning IV.Applications-Classification V. Applications-Sequential Learning VI.Applications-Reinforcement Learning** VII.Conclusion and Outlook



Reinforcement Learning (RL)

- RL: An agent interacts with an *environment* & over a number of discrete time steps.
- The agent receives *state* or *observation* s_t and then chooses an *action* a_t from a set of actions \mathscr{A} according to its *policy* π .
- Goal: Maximize the **total discounted**

return
$$R_t = \sum_{t'=t}^{I} \gamma^{t'-t} r_{t'}$$





Quantum Reinforcement Learning





Quantum Deep Q-Learning

Algorithm 1 Variational Quantum Deep Q Learning Initialize replay memory \mathcal{D} to capacity N Initialize action-value function quantum circuit Q with random parameters for episode = $1, 2, \ldots, M$ do Initialise state s_1 and encode into the quantum state for t = 1, 2, ..., T do With probability ϵ select a random action a_t otherwise select $a_t = \max_a Q^*(s_t, a; \theta)$ from the output of the quantum circuit Execute action a_t in emulator and observe reward r_t and next state s_{t+1} Store transition (s_t, a_t, r_t, s_{t+1}) in \mathcal{D} Sample random minibatch of transitions (s_j, a_j, r_j, s_{j+1}) from \mathcal{D} Set $y_j = \begin{cases} r_j & \text{for terminal } s_{j+1} \\ r_j + \gamma \max_{a'} Q(s_{j+1}, a'; \theta) & \text{for non-terminal } s_{j+1} \end{cases}$ Perform a gradient descent step on $(y_i - Q(s_i, a_i; \theta))^2$ end for end for

Quantum Deep Q-Learning

- Env: FrozenLake
- 16 discrete states
- 4 actions

S	F	F	F	S	F	Н	F	S	F	F	F
F	Н	F	н	F	F	F	н	F	F	F	Н
F	F	F	н	F	F	F	Н	F	н	F	Н
н	F	F	G	Н	F	F	G	н	F	F	G





Chen, S. Y. C., Yang, C. H. H., Qi, J., Chen, P. Y., Ma, X., & Goan, H. S. (2020). Variational quantum circuits for deep reinforcement learning. *IEEE Access*, *8*, 141007-141024.

 $R(lpha_1,eta_1,\gamma_1$ $R_z(\phi_1)$ $|0\rangle$ $R_x(\theta_1)$ **Environment with 16 states.** $R(lpha_2,eta_2,\gamma_2)$ $R_x(\theta_2)$ $R_z(\phi_2)$ $|0\rangle$ Æ States numbered as 0~15 $R_x(\theta_3)$ $R(\alpha_3, \beta_3, \gamma_3)$ $|0\rangle$ $R_z(\phi_3)$ Example: State 12 : 1100 ->1,1,0,0 $R_x(\theta_4)$ $R(\alpha_4, \beta_4, \gamma$ $|0\rangle$ $R_z(\phi_4)$ $\theta_i = \pi \times b_i$ S F **Rotation :** $\phi_i = \pi \times b_i$ $|1\rangle \otimes |1\rangle \otimes |0\rangle \otimes |0\rangle$ **Result:** F 49





Chen, S. Y. C., Yang, C. H. H., Qi, J., Chen, P. Y., Ma, X., & Goan, H. S. (2020). Variational quantum circuits for deep reinforcement learning. *IEEE Access*, *8*, 141007-141024.





Chen, S. Y. C., Yang, C. H. H., Qi, J., Chen, P. Y., Ma, X., & Goan, H. S. (2020). Variational quantum circuits for deep reinforcement learning. *IEEE Access*, *8*, 141007-141024.





Chen, S. Y. C., Yang, C. H. H., Qi, J., Chen, P. Y., Ma, X., & Goan, H. S. (2020). Variational quantum circuits for deep reinforcement learning. *IEEE Access*, *8*, 141007-141024.

Env: CognitiveRadio

.



Chen, S. Y. C., Yang, C. H. H., Qi, J., Chen, P. Y., Ma, X., & Goan, H. S. (2020). Variational quantum circuits for deep reinforcement learning. IEEE Access, 8, 141007-141024.







(b)







.

Evolutionary Quantum RL

- Why?
 - Gradient-based methods may suffer from local optima.
 - Certain QRL models are difficult to train via gradient-based methods.
 - In classical RL, evolutionary optimization can beat gradientbased methods in some hard tasks.



Chen, S. Y. C., Huang, C. M., Hsing, C. W., Goan, H. S., & Kao, Y. J. (2022). Variational quantum reinforcement learning via evolutionary optimization. *Machine Learning: Science and Technology*, *3*(1), 015025.

Evolutionary Optimization

• Initialization:

Initialize the population \mathscr{P} of N agents with each of them given randomly generated initial parameters θ , which are sampled from $\mathscr{N}(0,I)$

• Running and evaluating the agents:

Each agent plays the game R_1 times and get the average score $S_i^{avg} = \frac{1}{R_1} \sum_{r=1}^{R_1} S_{i,r}$

- Top *T* agents age selected to be the *parents* to generate the next generation
- Mutation and the next generation:
 - N-1 children: Each child is generated via a randomly selected agent from the parent group and slightly mutated according to $\theta \leftarrow \theta + \sigma \epsilon$ where σ is the mutation power and ϵ is the Gaussian noise
 - The *elite* or N^{th} child is the best performing from the parent group



Environments-CartPole

- Observation: A four dimensional vector s_t comprising values of the cart position, cart velocity, pole angle and pole velocity at the top.
- Action: There are two actions: pushing to the *right* or *left*.
- **Reward:** A reward +1 is given for every time step where the pole close to being upright.







Chen, S. Y. C., Huang, C. M., Hsing, C. W., Goan, H. S., & Kao, Y. J. (2022). Variational quantum reinforcement learning via evolutionary optimization. *Machine Learning: Science and Technology*, *3*(1), 015025.

Environments-MiniGrid

- Observation: A 147 dimensional vector s_t
- Action: There are 6 actions:
 - Turn left
 - Turn right
 - Move forward
 - Pick up an object
 - Drop the object
 - Toggle
- **Reward:** A reward of 1 is given when the agent reaches the goal. A penalty is subtracted from the reward according to:
 - 1 0.9 × (number of steps/max steps allowed)





Hybrid TN-VQC model





Chen, S. Y. C., Huang, C. M., Hsing, C. W., Goan, H. S., & Kao, Y. J. (2022). Variational quantum reinforcement learning via evolutionary optimization. *Machine Learning: Science and Technology*, *3*(1), 015025.









E





63

I. Introduction **II. Quantum Computing (QC) III.Quantum Machine Learning IV.Applications-Classification** V. Applications-Sequential Learning **VI.Applications-Reinforcement Learning VII.Conclusion and Outlook**



- Quantum encoding / embedding methods are critical.
- Using VQC to replace NN in CNN can improve the performance when the number of parameters is similar.
- With careful design, quantum reinforcement learning can learn a similar task with fewer model parameter.
- QML models can be trained in federation to preserve data privacy.



Acknowledgements

- Brookhaven National Laboratory LDRD #20-024
- U.S. Department of Energy, Office of Science, DE-SC-0012704
- U.S. Air Force Office of Scientific Research, FA2386-20-1-4033
- Ministry of Science and Technology (MOST) of Taiwan:
 - 107-2112-M-002-016-MY3
 - 108-2112-M-002-020-MY3
 - 109-2112-M-002-023-MY3
 - 109-2627-M-002-003
 - 107-2627-E-002-001-MY3
 - 109- 2622-8-002-003
- National Taiwan University grant No. NTUCC-110L890102

