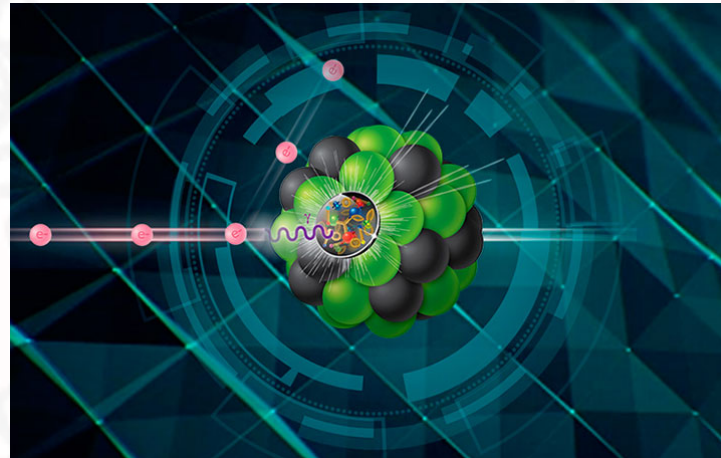


# Physics at the Electron-Ion Collider (EIC)

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U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science

DOE NP contract: DE-SC0013405

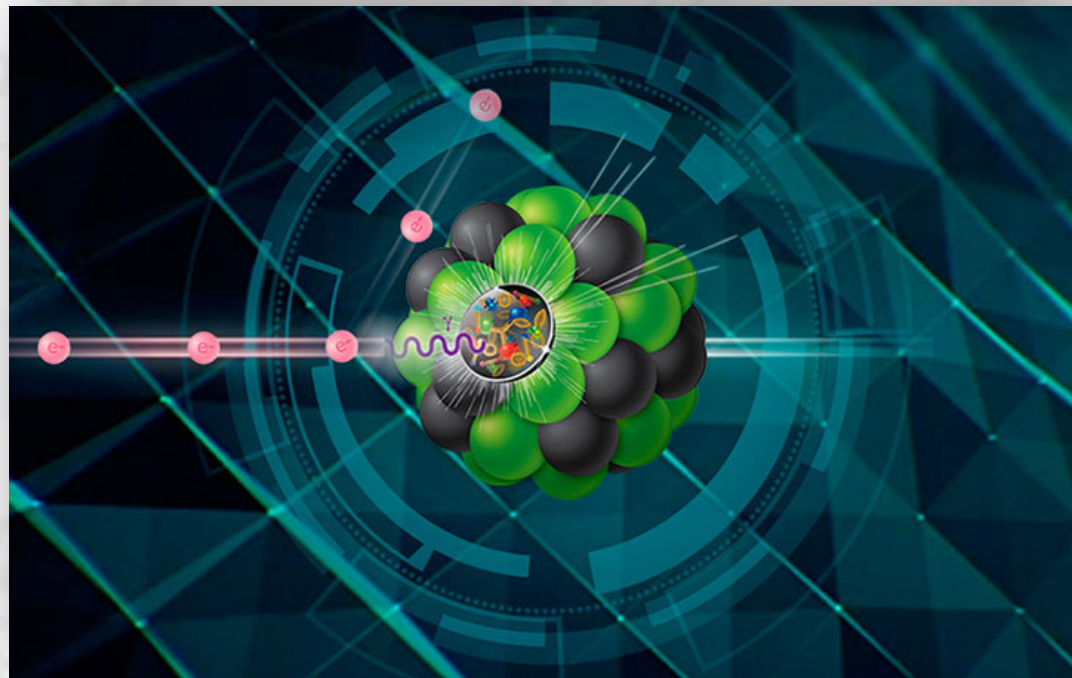
Bernd Surrow



Brookhaven National Laboratory  
<https://www.bnl.gov/rhic-agssum/>

# Outline

- Theoretical foundation
- EIC physics case development
- Selected EIC Physics Pillars
  - Global properties: Mass & Spin
  - Nucleon 3D structure
  - Low-x physics
- Summary

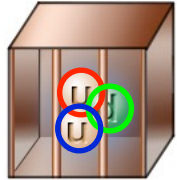




# Theoretical foundation

- EIC - A QCD lab to explore the structure and dynamics of the visible world

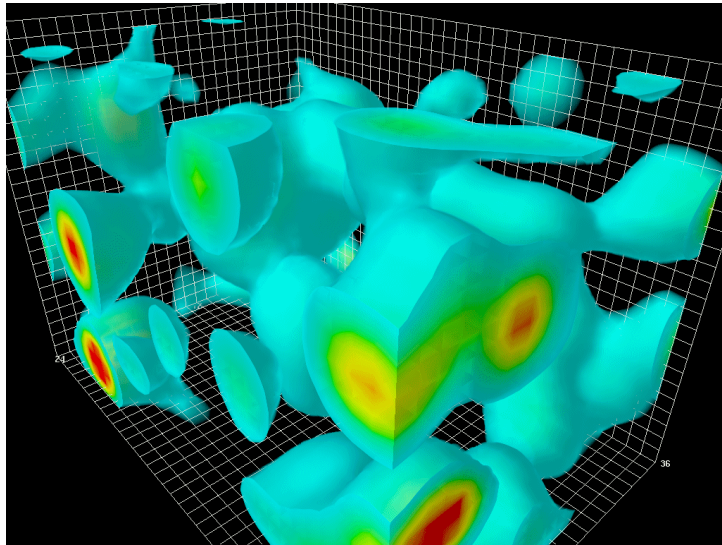
$$\mathcal{L}_{QCD} = \sum_{j=1}^{n_f} \bar{\psi}_j (iD_\mu \gamma^\mu - m_j) \psi_j - \frac{1}{4} \text{Tr} G^{\mu\nu} G_{\mu\nu}$$



- Interactions arise from fundamental symmetry principles:  $SU(3)_c$
- Properties of visible universe such as mass and spin (e.g. proton): Emergent through complex structure of the QCD vacuum

Major goal:

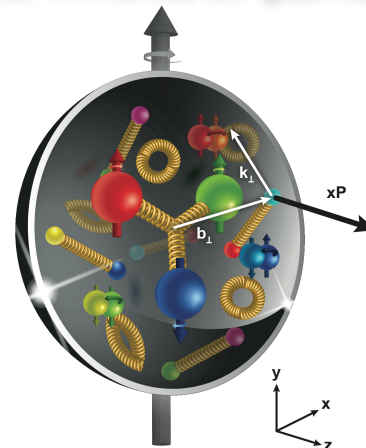
Essential elements looking forward:



D. Leinweber: Quantum fluctuations in gluon fields

Understanding QCD interactions and emergence of hadronic and nuclear matter in terms of quarks and gluons

- 1) Tomography of hadrons and nuclear matter in terms of quarks and gluons
- 2) Synergy of experimental progress and theory

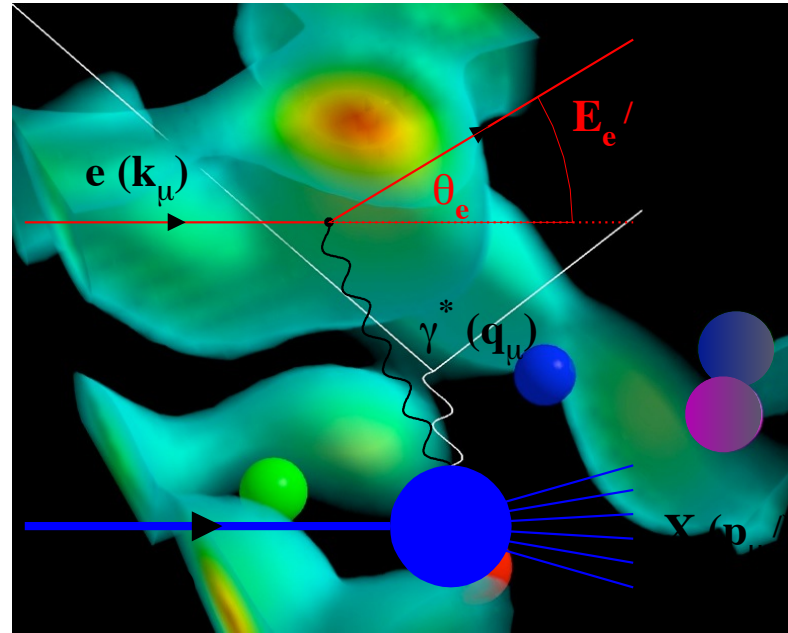


# Theoretical foundation

## DIS - Kinematics

$$k = \begin{pmatrix} E_e \\ 0 \\ 0 \\ -E_e \end{pmatrix}$$

$$p = \begin{pmatrix} E_P \\ 0 \\ 0 \\ E_P \end{pmatrix}$$



$$k' = \begin{pmatrix} E_e' \\ E_e' \sin \theta_e' \cos \phi_e' \\ E_e' \sin \theta_e' \sin \phi_e' \\ E_e' \cos \theta_e' \end{pmatrix}$$

$$p' = \begin{pmatrix} \sum_h E_h \\ \sum_h p_{X,h} \\ \sum_h p_{Y,h} \\ \sum_h p_{Z,h} \end{pmatrix}$$

$$Q^2 = -(k - k')^2 = -q^2$$

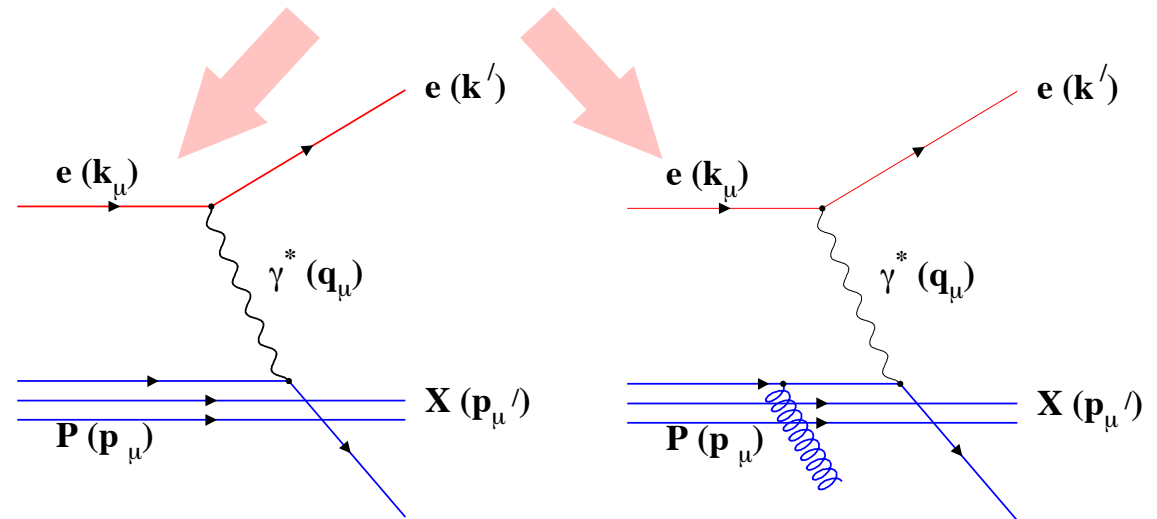
Measure of  
resolution  
power

$$x = \frac{Q^2}{2(p \cdot q)}$$

Measure of  
momentum  
fraction by  
struck quark

$$y = \frac{p \cdot q}{p \cdot k}$$

Measure of  
inelasticity

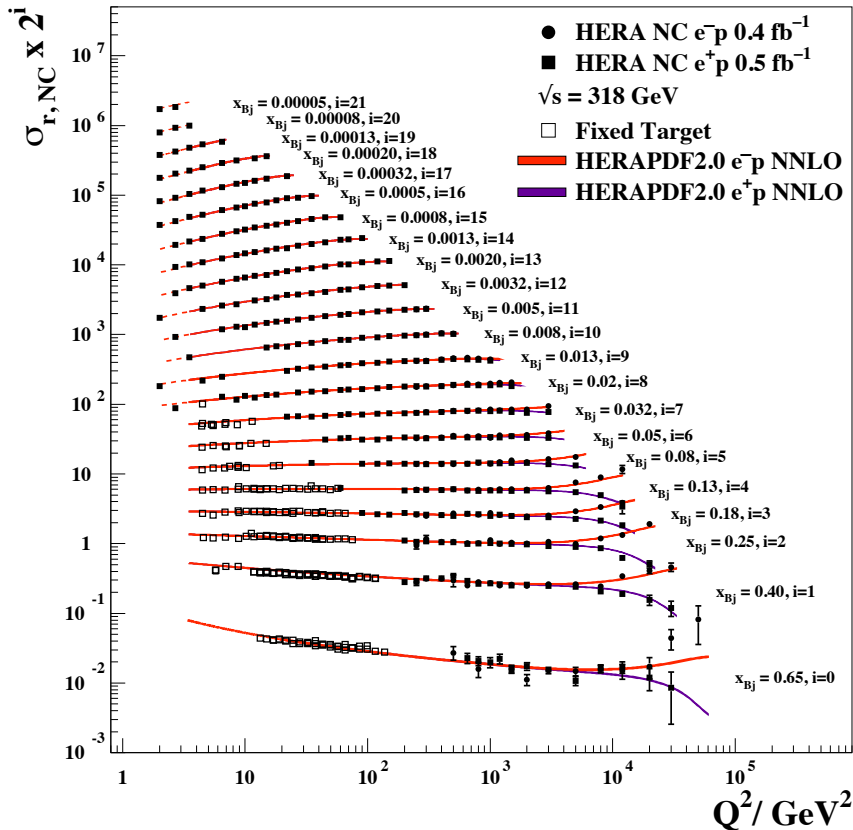


# Theoretical foundation

## DIS - Parton structure: Unpolarized

H1 and ZEUS Collaborations (H. Abramowicz et al.), Eur.Phys.J. C75 (2015) no.12, 580.

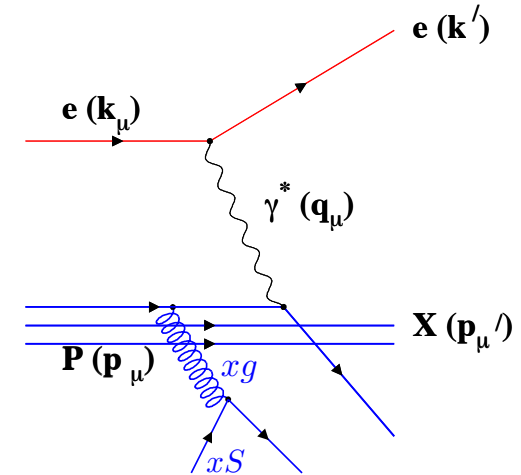
### H1 and ZEUS



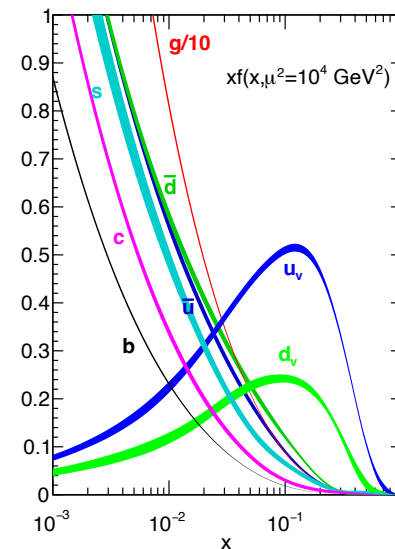
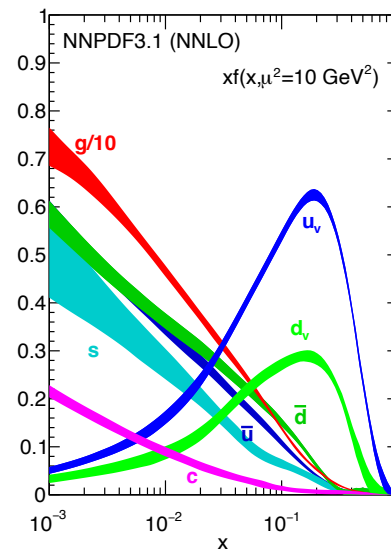
$$d\sigma_{eP} \propto F_2^P = \sum_i e_i^2 x (q_i + \bar{q}_i)$$



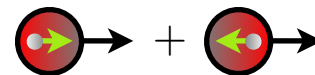
1990: J. I. Friedman, H. W. Kendall and R. E. Taylor: “for their pioneering investigations concerning deep inelastic scattering of electrons on protons and bound neutrons, which have been of essential importance for the development of the quark model in particle physics.”



R. D. Ball et al., EPJ C77 (2017) 663.



$$f(x) =$$



$$f^+(x) + f^-(x)$$

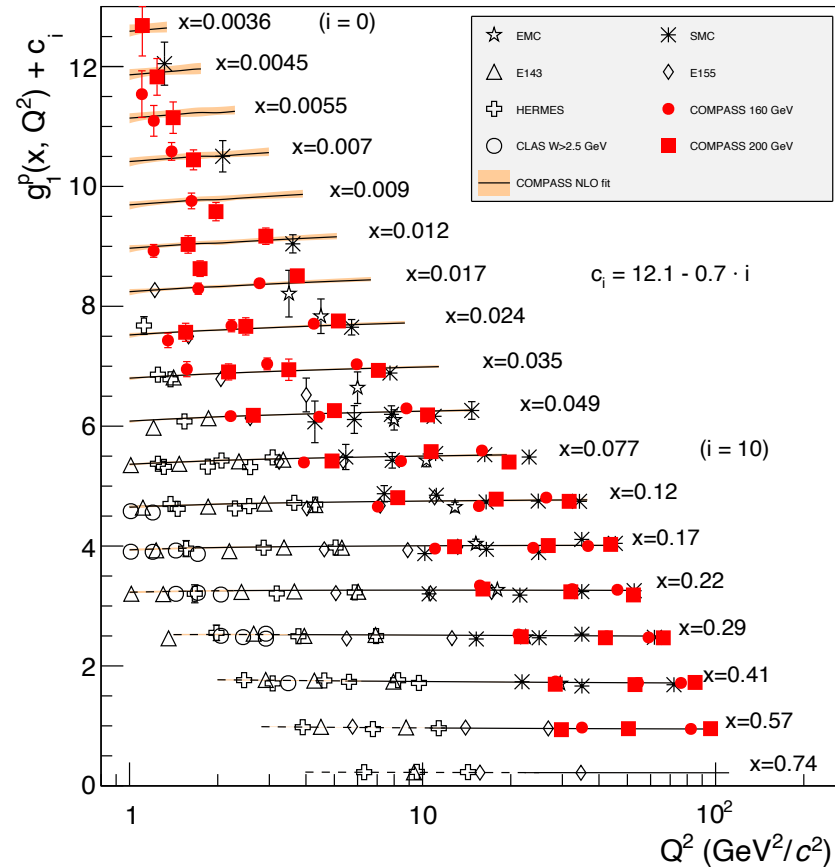
Measure of probability to find parton  $f$  with longitudinal momentum fraction  $x$



# Theoretical foundation

## DIS - Parton structure: Polarized

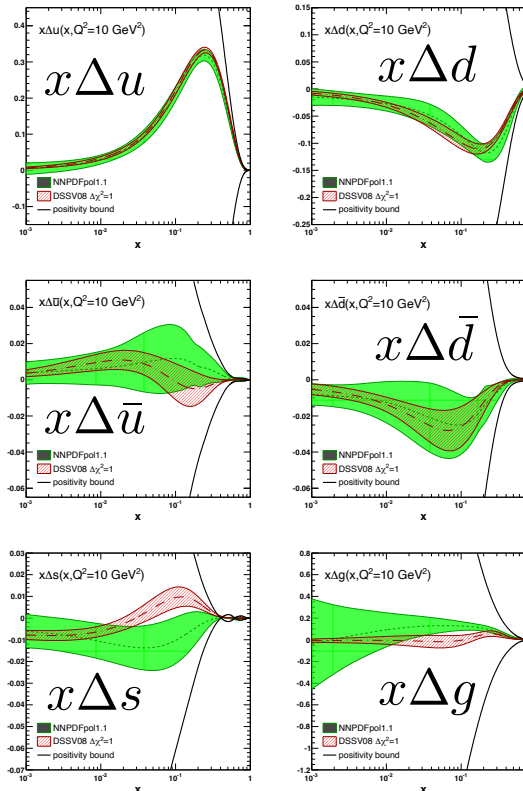
COMPASS Collaboration (C. Adolph et al.), Phys.Lett. B753 (2016) 18.



$$g_1^P = \frac{1}{2} \sum_i e_i^2 (\Delta q_i + \Delta \bar{q}_i)$$

$$\frac{1}{2} \Delta \Sigma = \underbrace{\langle S_q \rangle + \langle S_g \rangle}_{\Delta G} + \underbrace{\langle L_q \rangle + \langle L_g \rangle}_{\Delta G}$$

(R.L. Jaffe and A. Manohar, Nucl. Phys. B337, 509 (1990))



$$\Delta \Sigma = \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}$$

$$\Delta q_i(Q^2) = \int_0^1 \Delta q_i(x, Q^2) dx$$

$$\Delta G(Q^2) = \int_0^1 \Delta g(x, Q^2) dx$$

$$\Delta f(x) =$$

$$f^+(x) - f^-(x)$$

Measure of  
probability to find  
parton f with spin  
aligned to anti-anti-  
aligned to proton  
spin at momentum  
fraction x

NNPDF  
Collaboration  
(Emanuele R.  
Nocera et al.),  
Nucl.Phys. B887  
(2014) 276-308

Bernd Surrow

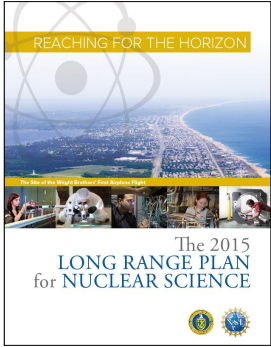
# EIC physics case development

- ❑ Critical steps over the last couple of years - 1
  - INT Workshop series / Documentation of Physics Case -  
**Whitepaper**: "Understanding the glue that binds us all!"
    - ❑ INT Workshop: 2010
    - ❑ WP: 2012, updated in 2014 for LRP
  - 2015 Long-range plan (LRP): T. Hallman

**The 2015 Long Range Plan for Nuclear Science**

Recommendations:

1. Capitalize on investments made to maintain U.S. leadership in nuclear science.
2. Develop and deploy a U.S.-led ton-scale neutrino-less double beta decay experiment.
3. Construct a high-energy high-luminosity polarized electron-ion collider (EIC) as the highest priority for new construction following the completion of FRIB.
4. Increase investment in small-scale and mid-scale projects and initiatives that enable forefront research at universities and laboratories.

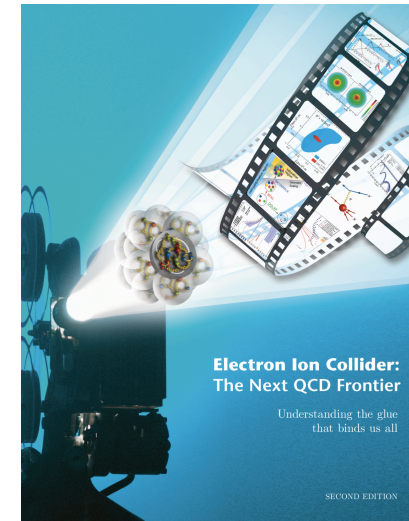


The FY 2018 Request supports progress in important aspects of the 2015 LRP Vision

U.S. DEPARTMENT OF ENERGY | Office of Science | NSAC Meeting | June 2, 2017 | 16

- Request to review EIC Science Case by National Academy of Sciences, Engineering, and Medicine (NAS)

arXiv:1212.1701



Understanding  
the glue that  
binds us all!

T. Hallman

**Next Formal Step on the EIC Science Case is Continuing**

**THE NATIONAL ACADEMIES OF SCIENCES, ENGINEERING, AND MEDICINE**  
 Division on Engineering and Physical Science  
 Board on Physics and Astronomy  
**U.S.-Based Electron Ion Collider Science Assessment**

**Summary**

The National Academies of Sciences, Engineering, and Medicine ("National Academies") will form a committee to carry out a thorough, independent assessment of the scientific justification for a U.S. domestic electron ion collider facility. In preparing its report, the committee will address the role that such a facility would play in the future of nuclear science, considering the field broadly, but placing emphasis on its potential scientific impact on quantum chromodynamics. The need for such an accelerator will be addressed in the context of international efforts in this area. Support for the 18-month project in the amount of \$540,000 is requested from the Department of Energy.

"U.S.-Based Electron Ion Collider Science Assessment" is now getting underway. The Chair will be Gordon Baym. The rest of the committee, including a co-chair, will be appointed in the next couple of weeks. The first meeting is being planned for January, 2017

U.S. DEPARTMENT OF ENERGY | Office of Science | NSAC Meeting | June 2, 2017 | 19

# EIC physics case development

## □ NAS Webinar and NAS report release: 07/24/2018

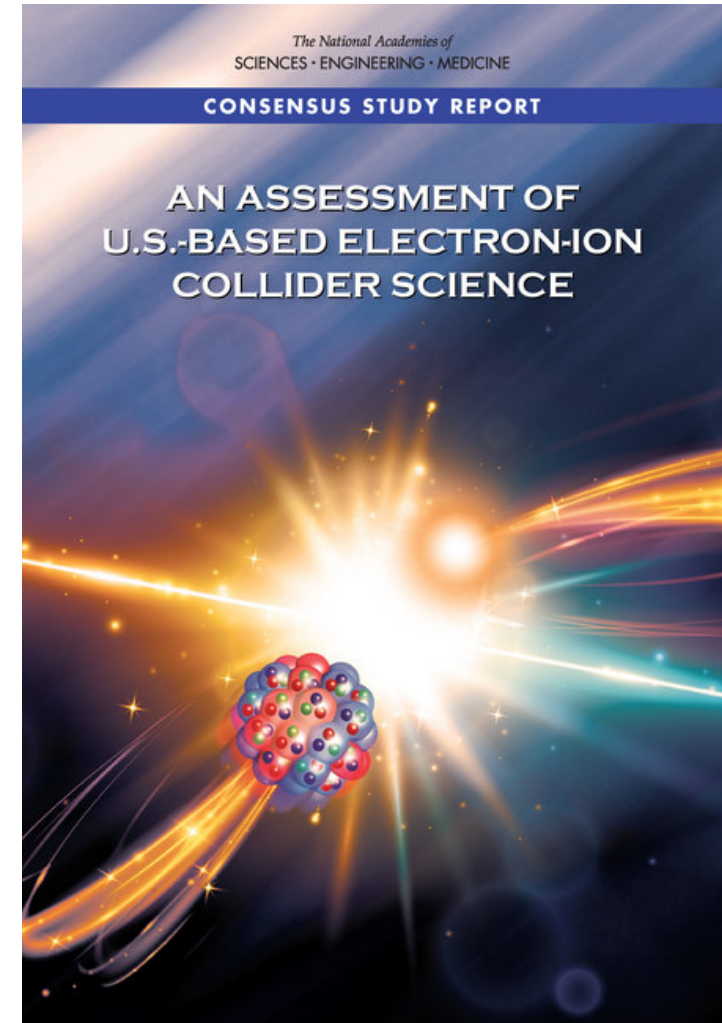
<https://www.nap.edu/catalog/25171/an-assessment-of-us-based-electron-ion-collider-science>

Download pdf-file of  
final report!

- Webinar on Tuesday, July 24, 2018 - Public presentation and report release
- Gordon Baym (Co-chair): Webinar presentation

“The committee finds that the science that can be addressed by an EIC is compelling, fundamental and timely.”

- Slides from Webinar: <https://www.nap.edu/resource/25171/eic-public-briefing-slides.pdf>
- Glowing" report on a US-based EIC facility!

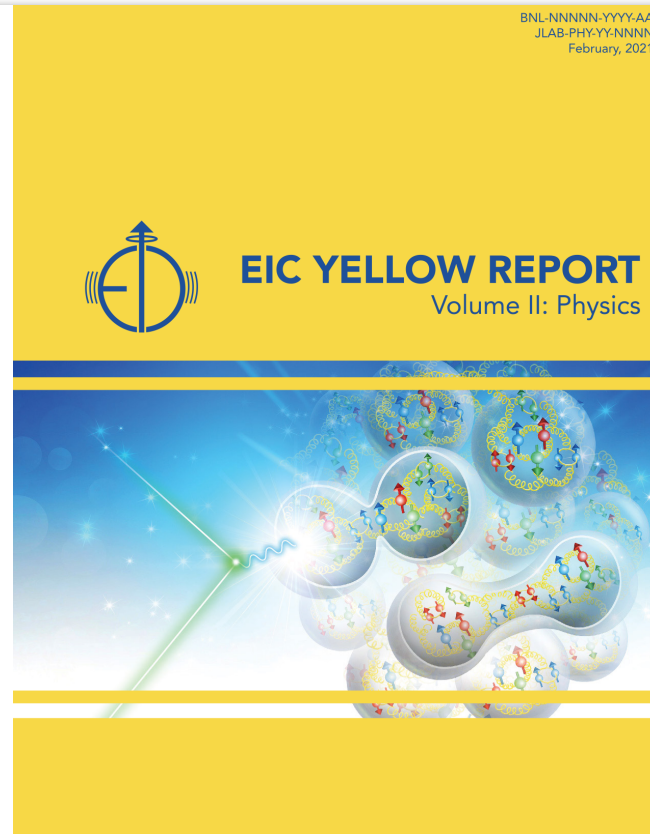




# EIC physics case development

## □ Volume 1-3: Executive Summary / Physics / Detector

arXiv:2103.05419



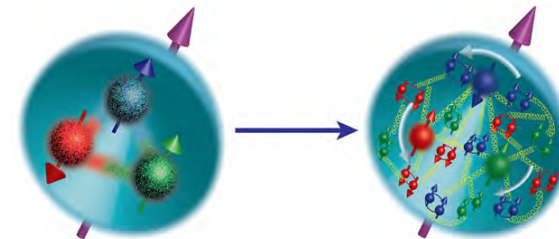
- ~400 authors / ~150 institutions / ~900 pages with strong international contributions!
- Review: **Community review** within EICUG and **external readers** (~30) worldwide covering physics and detector expert fields!
- Available on archive: <https://arxiv.org/abs/2103.05419> / Planned publication!

# EIC Physics Pillars

## □ Motivation - EIC program

How are the sea quarks and gluons, and their spins, **distributed in space and momentum** inside the nucleon?

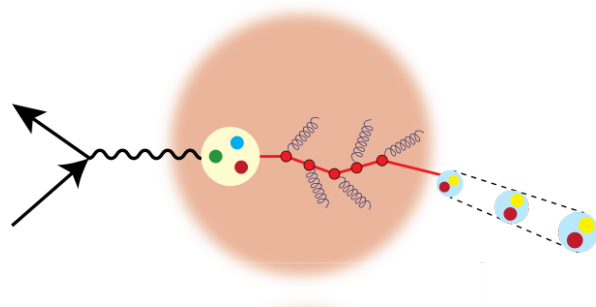
How do the **nucleon properties emerge** from them and their interactions?



How do color-charged quarks and gluons, and colorless jets, **interact with a nuclear medium**?

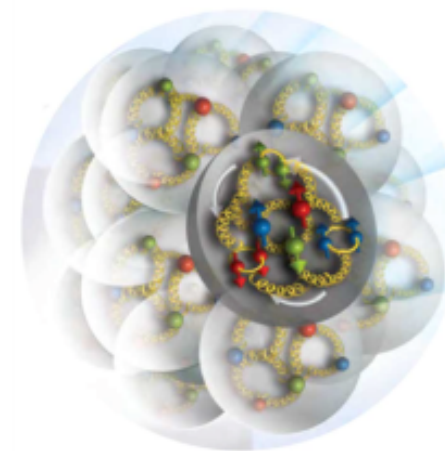
How do the **confined hadronic states emerge** from these quarks and gluons?

How do the quark-gluon **interactions create nuclear binding**?



How does a **dense nuclear environment affect** the quarks and gluons, their correlations, and their interactions?

What happens to the **gluon density in nuclei**? Does it **saturate at high energy**, giving rise to a **gluonic matter with universal properties** in all nuclei, even the proton?

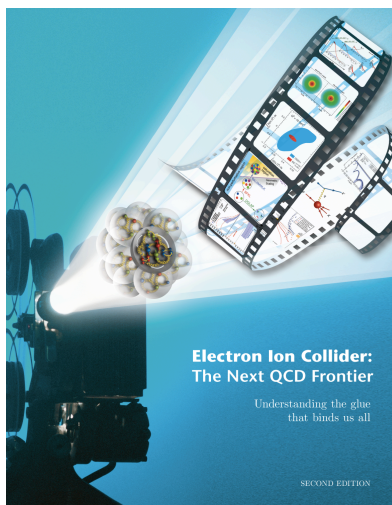


# EIC Physics Pillars

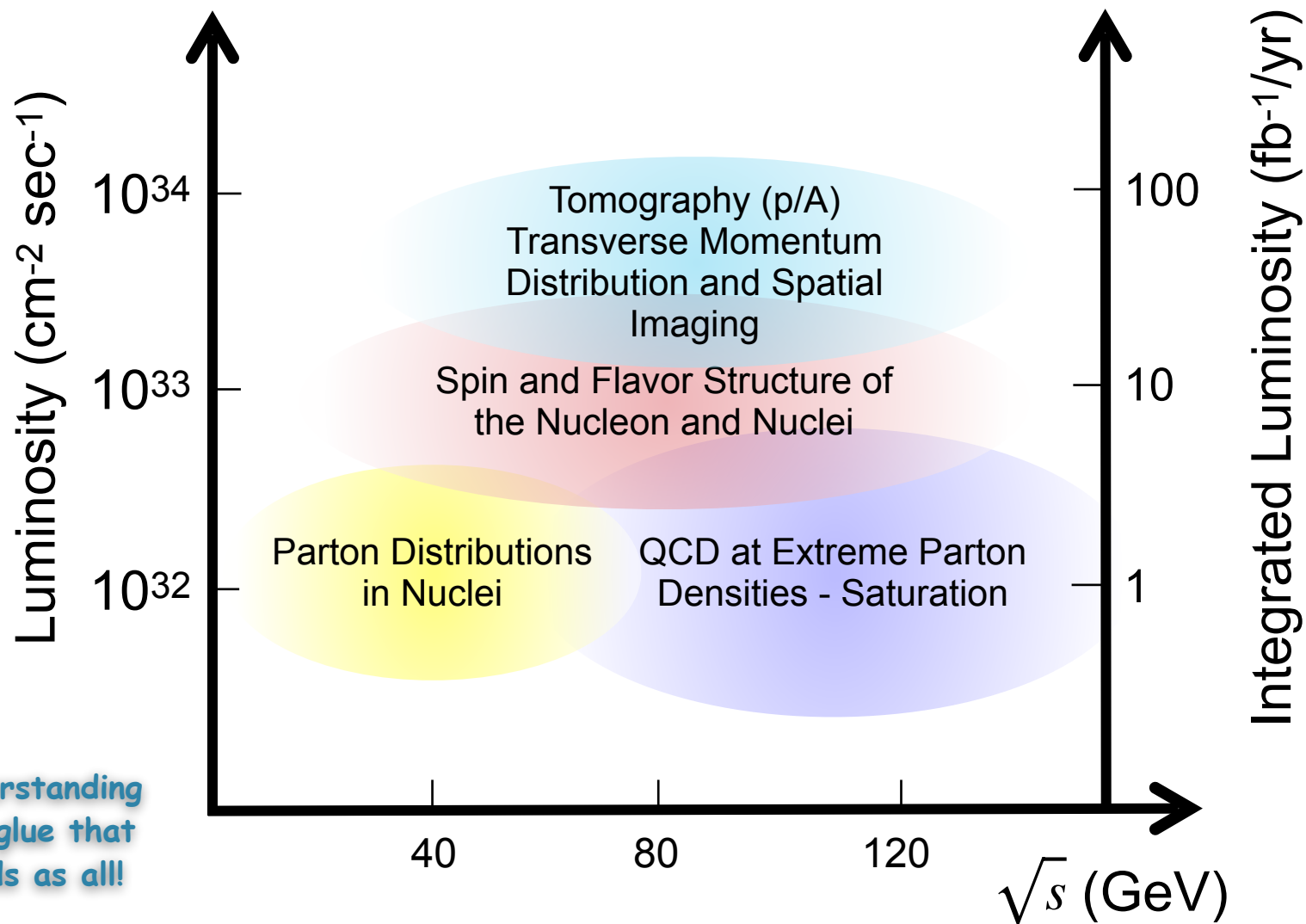
- EIC: Study structure and dynamics of matter at **high luminosity**, **high energy** with **polarized beams** and **wide range of nuclei**

- Whitepaper:

arXiv:1212.1701



Understanding  
the glue that  
binds as all!





# EIC Physics Pillars

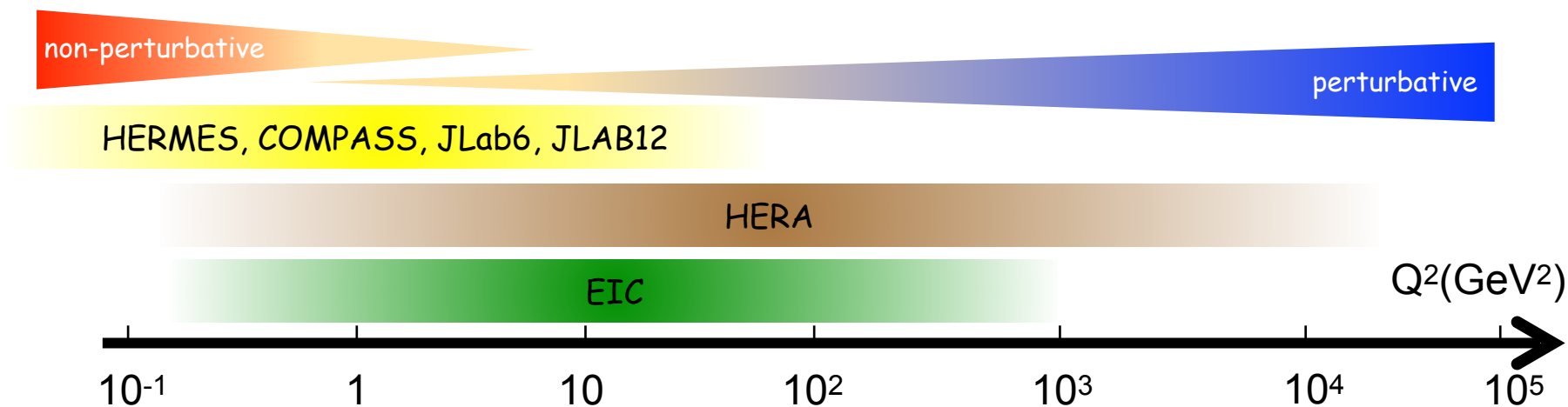
## □ Requirements

### ○ Machine:

- **High luminosity:**  $10^{33}\text{cm}^{-2}\text{s}^{-1}$  -  $10^{34}\text{cm}^{-2}\text{s}^{-1}$  /  $10\text{-}100\text{ fb}^{-1}/\text{year}$
- **Flexible center-of-mass energy**  $\sqrt{s} = \sqrt{4 E_e E_p}$ : **Wide kinematic range**  $Q^2 = s x y$
- **Highly polarized** electron (0.7) and proton / light ion (0.7) **beams:** **Spin structure studies**
- **Wide range of nuclear beams** (d to Pb/U): **High gluon density**

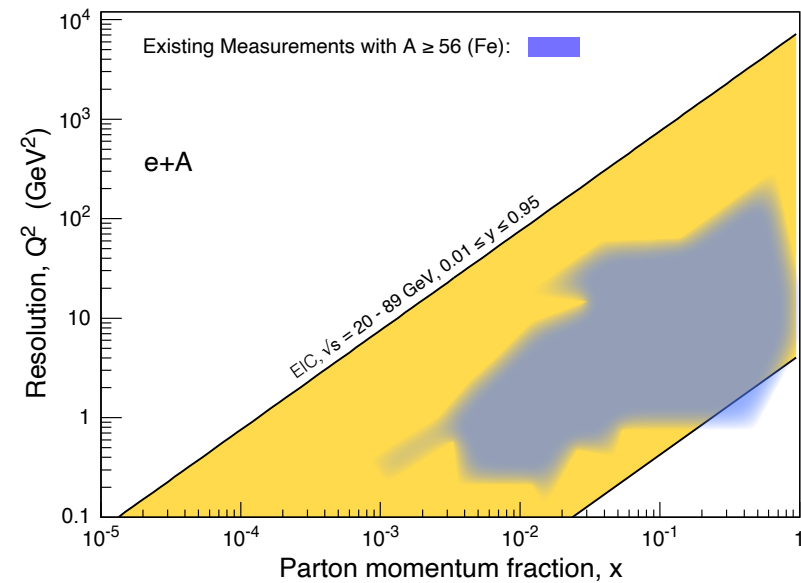
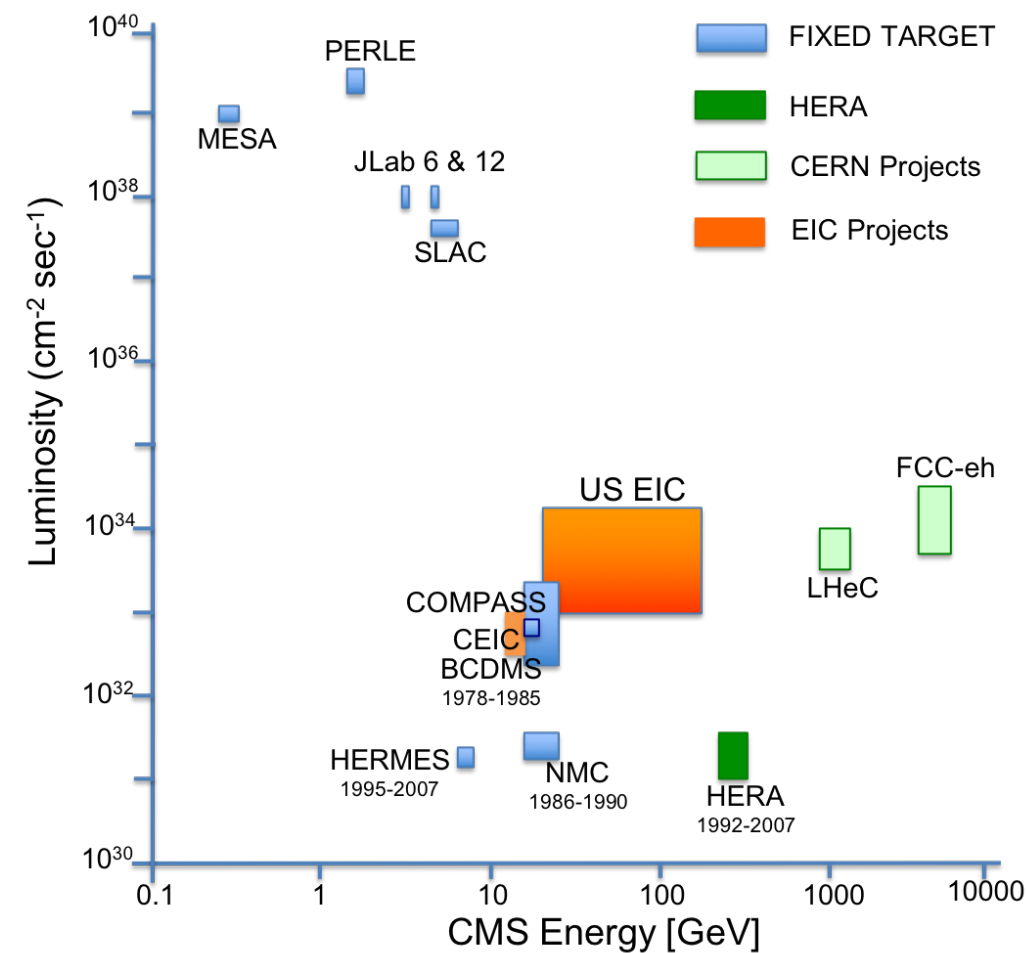
### ○ Detector:

- **Wide acceptance** detector system including **particle ID** (e/h separation &  $\pi$ , K, p ID - flavor tagging)
- **Instrumentation for tagging of protons** from elastic reactions and neutrons from nuclear breakup: **Target / nuclear fragments** in addition to **low  $Q^2$  tagger / polarimetry and luminosity (abs. and rel.) measurement**

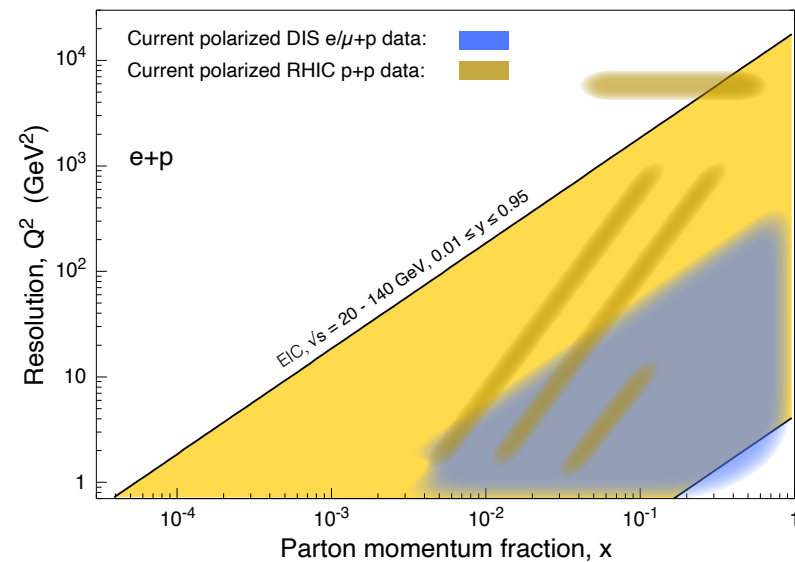


# EIC Physics Pillars

## □ Luminosity / $\sqrt{s}$ / Kinematic coverage



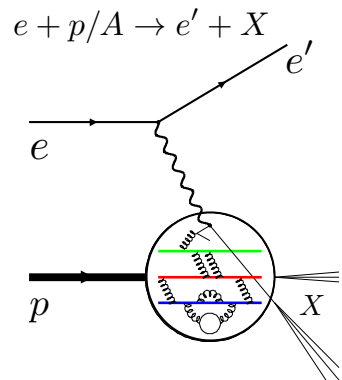
eA



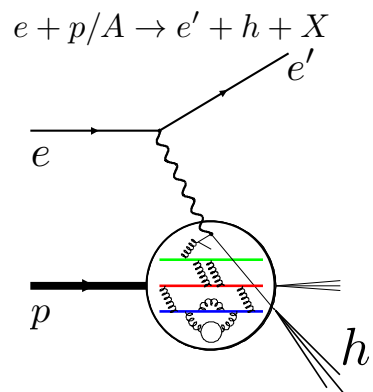
ep

# EIC Physics Pillars

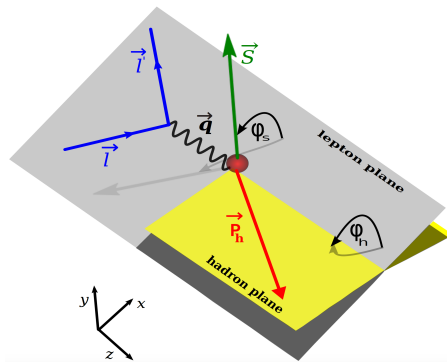
## □ Overview of processes and final states



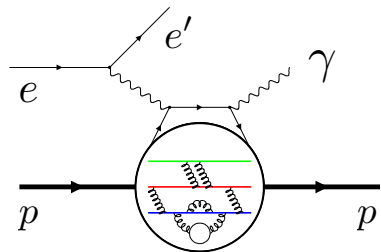
**Inclusive DIS**



**Semi-Inclusive DIS (SDIS)**



$e + p/A \rightarrow e' + N'/A' + \gamma/m$



**Deeply-Virtual Compton Scattering (DVCS)**

- Inclusive:** Unpolarized  $f_i(x, Q^2)$  and helicity distribution  $\Delta f_i(x, Q^2)$  functions through unpolarized and polarized structure function measurements ( $F_2$ ,  $F_L$ ,  $g_1$ )
- Define kinematics ( $x$ ,  $y$ ,  $Q^2$ ) through electron (e-ID and energy+angular measurement critical) / hadron final state or combination of both depending on kinematic  $x$ - $Q^2$  region
- SDIS:** Flavor tagging through hadron identification studying FF / TMD's (Transverse momentum,  $k_T$ , dependence) requiring azimuthal asymmetry measurement - Full azimuthal acceptance
- Heavy flavor** (charm / bottom): Excellent secondary vertex reconstruction
- Exclusive:** Tagging of final state proton using Roman pot system studying GPD's (Impact parameter,  $b_T$ , dependence) using DVCS and VM production
- eA:** Impact parameter determination / Neutron tagging using Zero-Degree Calorimeter (ZDC)

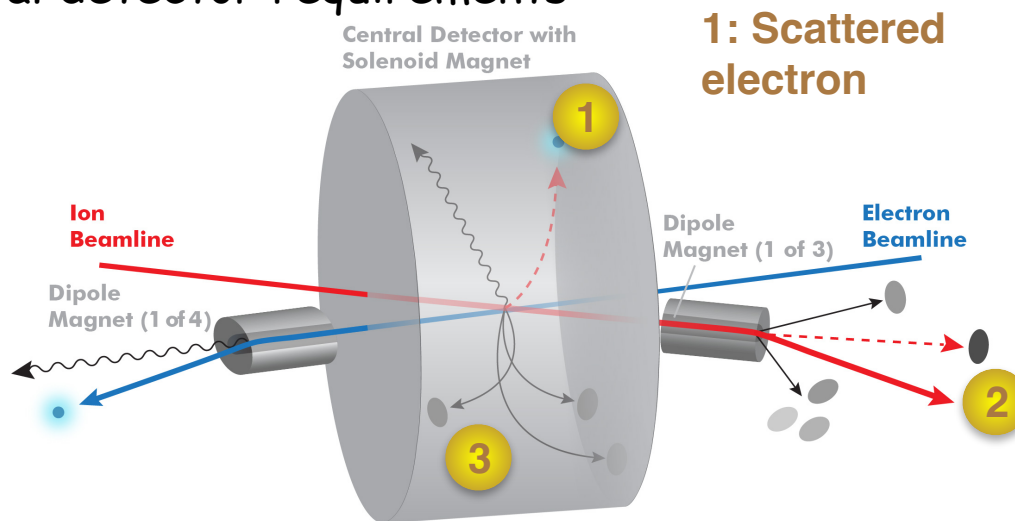


# EIC Physics Pillars

## □ Overview of general detector requirements

arXiv:2103.05419

### 3: Nuclear and nucleonic fragments / scattered proton



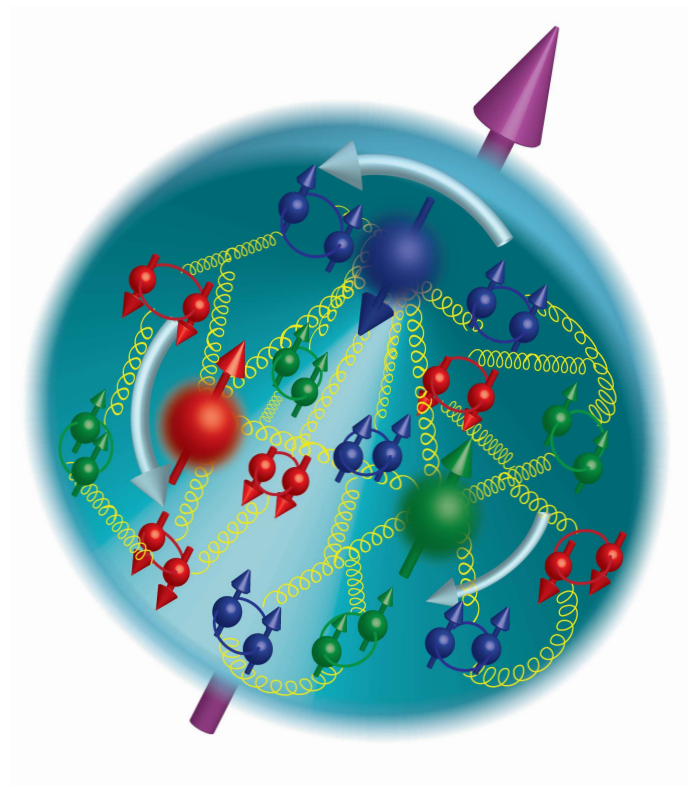
### 1: Scattered electron

### 2: Fragmented particles (e.g. $\pi$ , K, p) of struck quark

- **Acceptance:** Close to  $4\pi$  coverage with a  $\eta$ -coverage ( $\eta = -\ln(\tan(\theta/2))$ ) of approximately  $\eta < |4|$  combined calorimetry (EM CAL and hadron CAL at least in forward direction) and tracking coverage
- **Low dead material** budget in particular in rear direction ( $\sim 5\% X/X_0$ )
- **Good momentum resolution**  $\Delta p/p \sim \text{few } \%$
- **Electron ID** for  $e/h$  separation varies with  $\theta / \eta$  at the level of  $1:10^4 / \sim 2\%/\sqrt{E}$  for  $\eta < -2$  and  $\sim 10\%/\sqrt{E}$  for  $-2 < \eta < 1$
- **Particle ID** for  $\pi/K/p$  separation over a wide momentum range (Forward  $\eta$  up to  $\sim 50\text{GeV}/c$  / Barrel  $\eta$  up to  $\sim 10\text{GeV}/c$  / Rear  $\eta$  up to  $\sim 7\text{GeV}/c$ )
- **High spatial vertex resolution**  $\sim 10\text{-}20\mu\text{m}$  for vertex reconstruction
- **Low-angle taggers:**
  - Far Forward region: Proton (Roman pots) Neutron (Zero-Degree Calorimeter) detection
  - Far Backward region: Low  $Q^2$  tagger
- **Luminosity** (Absolute and relative) and **local polarization direction measurement**

# EIC Physics Pillars

## Global properties: Mass



# EIC Physics Pillars

## □ Mass

A. Metz, Priv. com.

- Proton mass  $M$  - Relation to Energy-Momentum Tensor (EMT)  $T^{\mu\nu}$

$$M = n \langle T^\mu_\mu \rangle = n \langle T^{00} \rangle \Big|_{P=0} \text{ with } n = \frac{1}{2M}$$

- Forward matrix element of  $T^{\mu\nu}_{iR}$  ( $i=q,g$ ):  $\langle T^{\mu\nu}_{iR} \rangle = 2P^\mu P^\nu A_i(0) + 2M^2 g^{\mu\nu} \bar{C}_i(0)$

with gravitational form factors  $A_i(0)$ ,  $\bar{C}_i(0)$  at  $t=0$ . Conservation of EMT implies:

$$A_q(0) + A_g(0) = 0 \quad \bar{C}_q(0) + \bar{C}_g(0) = 0$$

- In the forward limit,  $\langle T^{\mu\nu}_{iR} \rangle$  fully determined by two numbers!

# EIC Physics Pillars

## □ Nucleon mass

A. Metz, Priv. com.

- Different sum rules based on a decomposition of  $T_\mu^\mu$  or  $T^{00}$ :

- **2-term sum rule by Hatta, Rajan, and Tanaka**: Decomposition of  $T_\mu^\mu$

$$M = n \left( \langle (T_{q,R})_\mu^\mu \rangle + \langle (T_{g,R})_\mu^\mu \rangle \right)$$

Hatta, Rajan, Tanaka, JHEP 12 (2018) 008 /  
Tanaka, JHEP 01 (2019) 120

Formulation in terms of two independent parameters reflecting

a) **Parton momentum fraction** and

- **2-term sum rule by Lorcé**: Decomposition of  $T^{00}$

$$M = n \left( \langle (T_{q,R})^{00} \rangle + \langle (T_{g,R})^{00} \rangle \right)$$

Lorcé, EPJC 78, 120 (2018)

b) **Quark mass terms / relation to trace anomaly.**

- **3-term sum rule by Rodini, Metz, Pasquini**: Decomposition of  $T^{00}$

$$M = n \left( \langle (\mathcal{H}_q) \rangle + \langle (\mathcal{H}_m) \rangle + \langle (\mathcal{H}_g) \rangle \right)$$

Rodini, Metz, Pasquini, JHEP 09 (2020) 067 /  
Metz, Rodini, Pasquini, PRD 102 (2020) 114042

EIC: **Constrain anomaly contribution** (Gluon contr. to trace anomaly) through **heavy quarkonium production!**

- **4-term sum rule by Ji**: Decomposition of  $T^{00}$

$$M = n \left( \langle (\mathcal{H}_{q[Ji]}) \rangle + \langle (\mathcal{H}_m) \rangle + \langle (\mathcal{H}_{g[Ji]}) \rangle + \langle (\mathcal{H}_a) \rangle \right)$$

Ji, PRL 74, 1071 (1995) /  
PRD 52, 271 (1995)

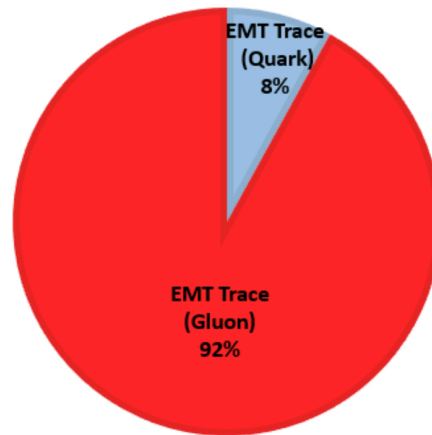


# EIC Physics Pillars

## Comparison of different mass sum rules: D2 renormalization scheme

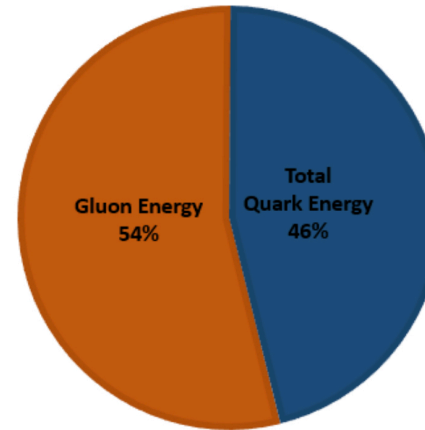
A. Metz, Priv. com.

2 terms  $T^\mu_\mu$



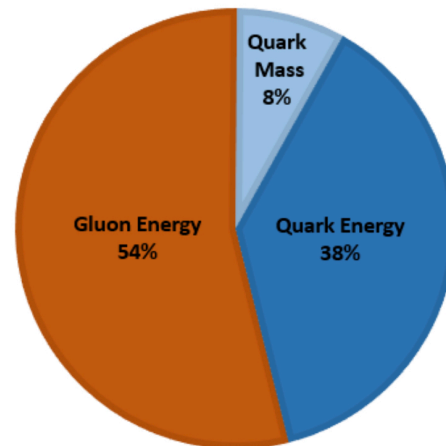
2-term sum rule by  
Hatta, Rajan, and  
Tanaka

2 terms  $T^{00}$



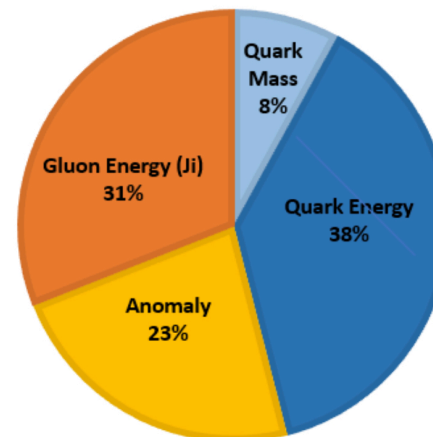
2-term sum rule  
by Lorcé

3 terms  $T^{00}$



3-term sum rule by  
Rodini, Metz, Pasquini

4 terms  $T^{00}$

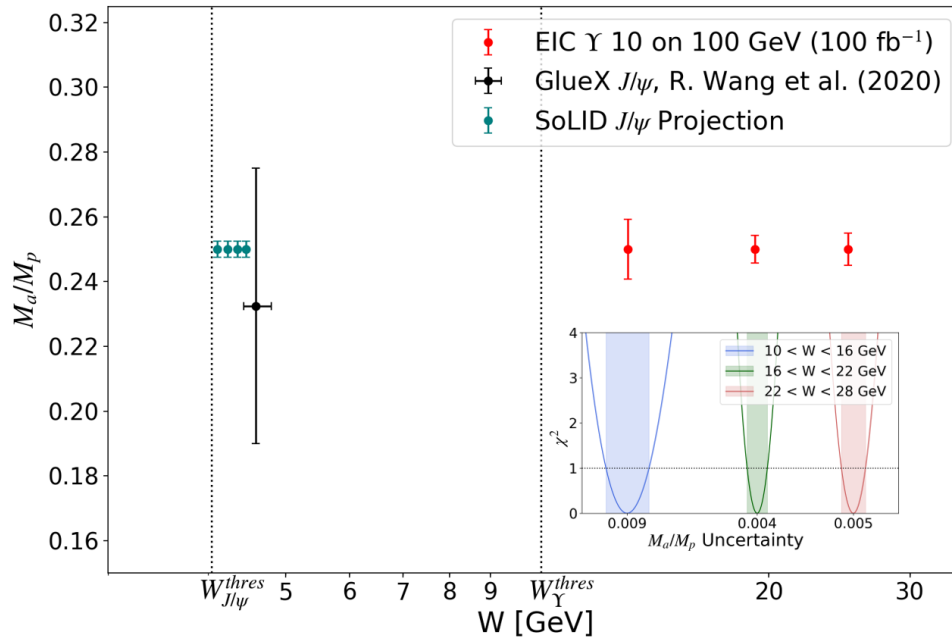


4-term sum rule  
by Ji

# EIC Physics Pillars

## EIC constraint of anomaly to nucleon mass

arXiv:2103.05419



## Workshop Overview

INT WORKSHOP INT-20R-77

### Origin of the Visible Universe: Unraveling the Proton Mass

June 13, 2022 - June 17, 2022

#### ORGANIZERS

**Ian Cloët**  
Argonne National Laboratory  
icloet@anl.gov

**Zein-Eddine Meziani**  
Argonne National Laboratory  
zmeziani@anl.gov

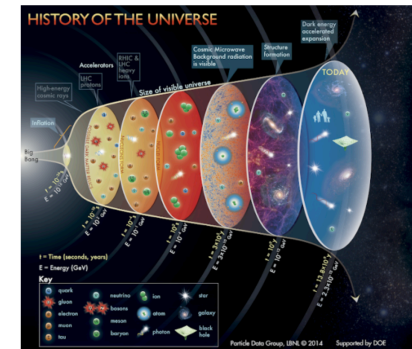
**Barbara Pasquini**  
University of Pavia & INFN  
barbara.pasquini@unipv.it

#### DIVERSITY COORDINATOR

**Zein-Eddine Meziani**  
Argonne National Laboratory  
zmeziani@anl.gov

#### PROGRAM COORDINATOR

**Megan Baunsgard**  
206-685-4286  
mb47@uw.edu



The application deadline for this event has passed.

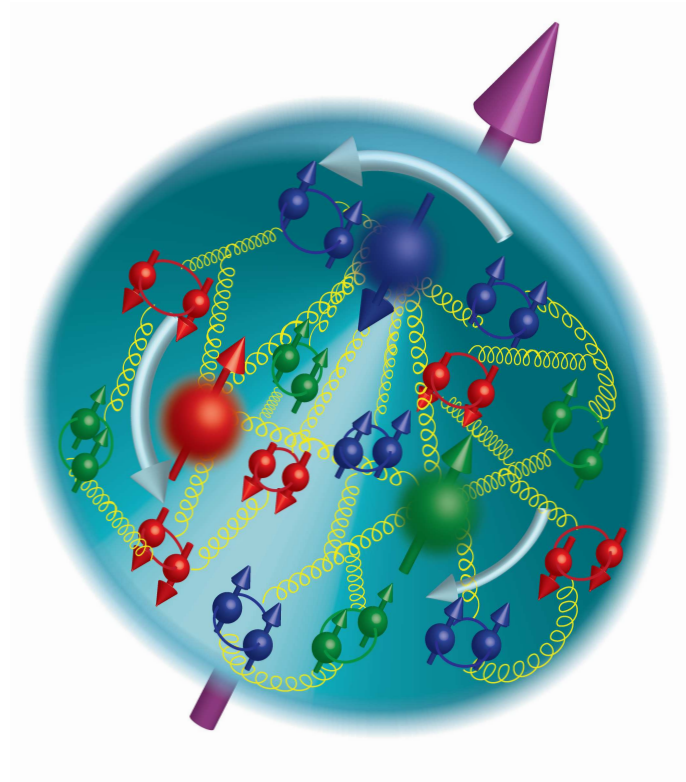
## First results from GlueX and anticipated SoLID experiment!

## INT workshop: "Origin of the Visible Universe: Unraveling the Proton Mass" June 13-17, 2022

<https://www.int.washington.edu/programs-and-workshops/20r-77>

# EIC Physics Pillars

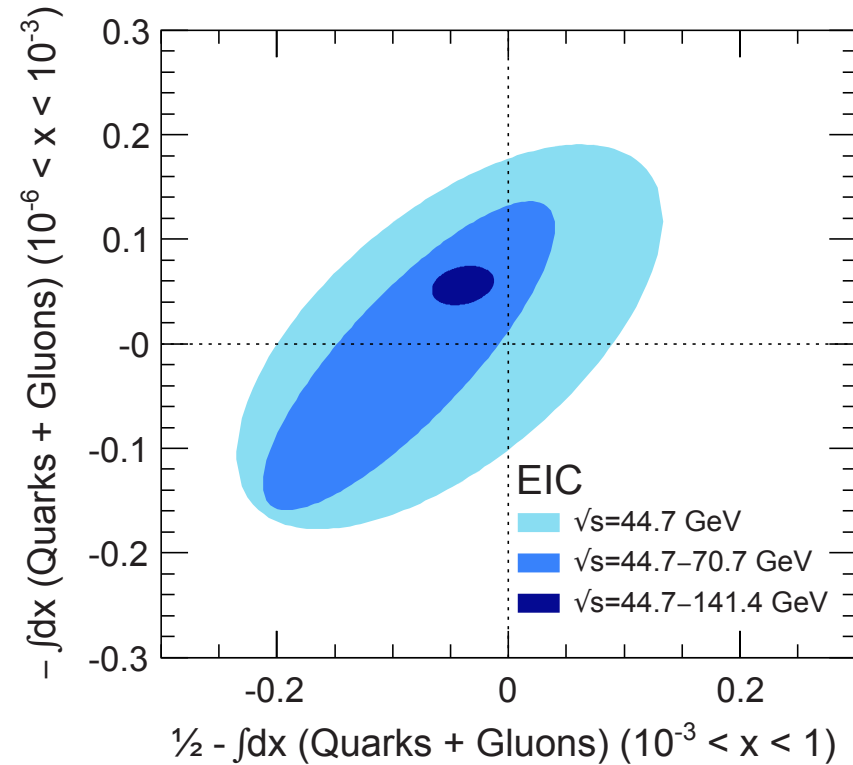
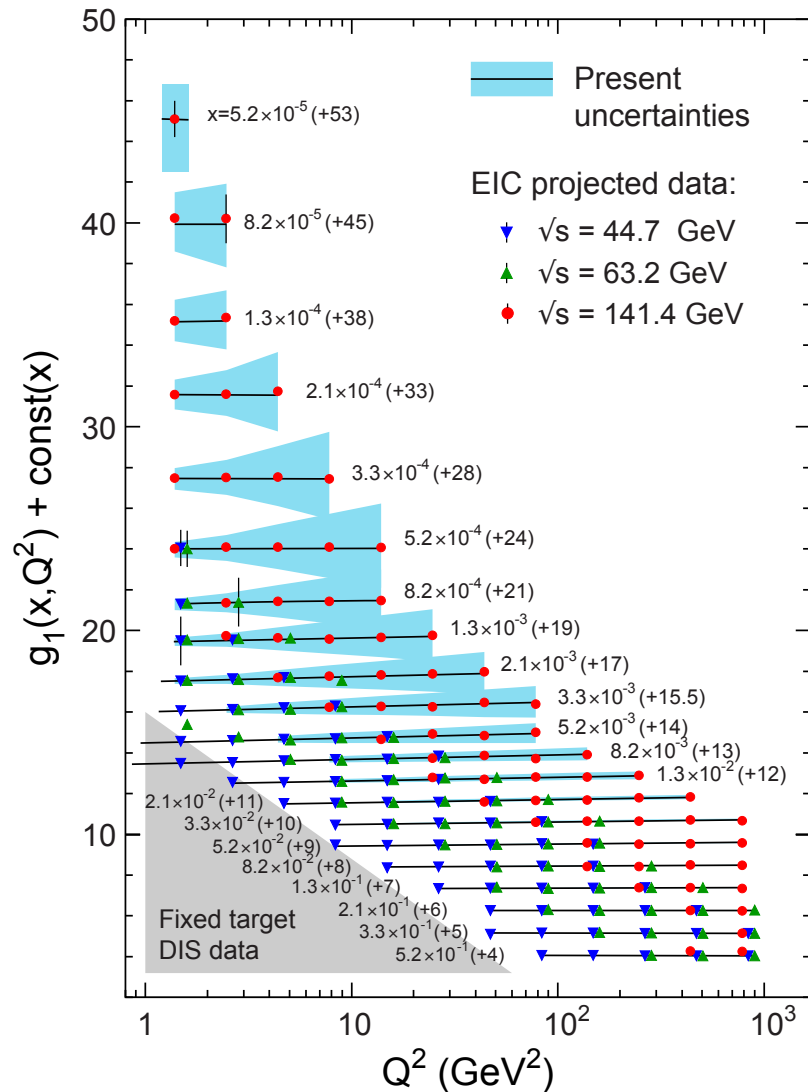
## Global properties: Spin



# EIC Physics Pillars

## Spin and Flavor Structure of the Nucleon

arXiv:1708.01527



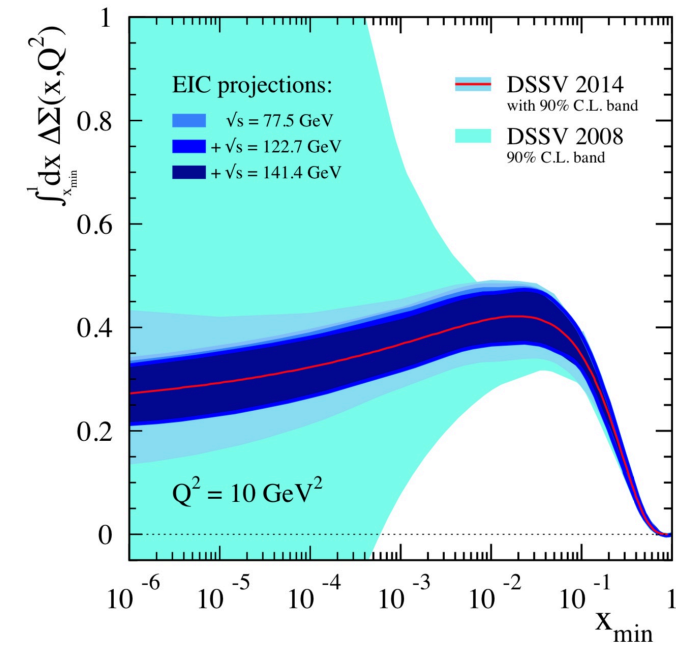
- $g_1$  stat. uncertainty projections for 10fb<sup>-1</sup> for range of CME in comparison to DSSV14 predictions incl. uncertainties
- EIC impact on the knowledge of the integral of the quark + gluon spin contribution vs. orbital angular momentum



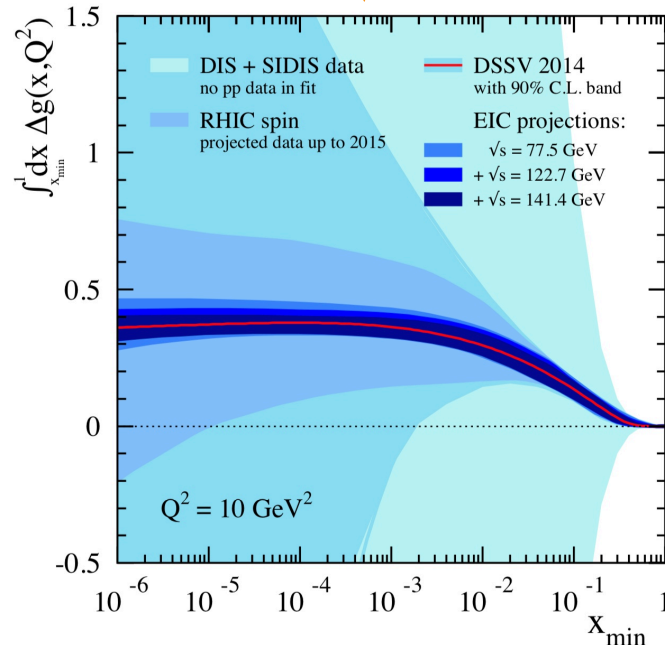
# EIC Physics Pillars

## Impact on proton spin

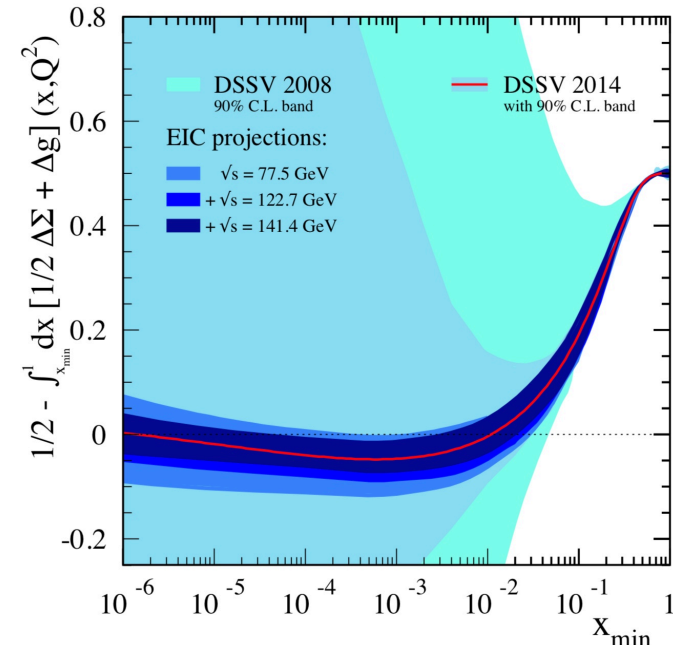
E. Aschenauer, R. Sassot and M. Stratmann, Phys. Rev. D92 (2015) 094030.



Quark Spin



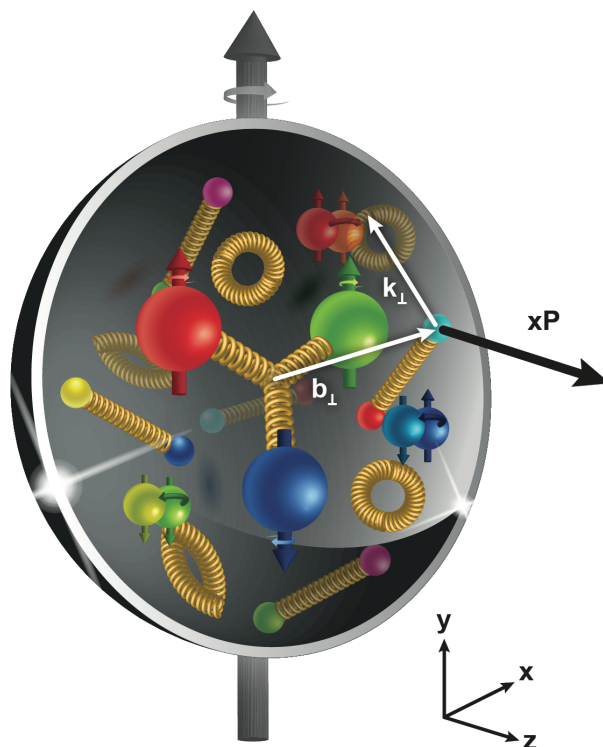
Gluon Spin



Orbital Angular Momentum

# EIC Physics Pillars

## Nucleon 3D structure



# EIC Physics Pillars

## □ Transverse Momentum Distribution and Spatial Imaging

arXiv:1212.1701

$$f(x, k_T) \quad 1+2D$$

Transverse Momentum Distribution (TMD)

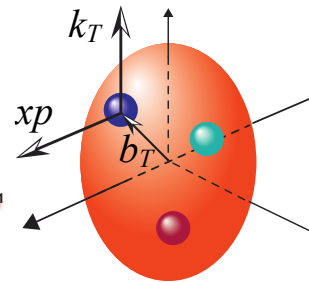
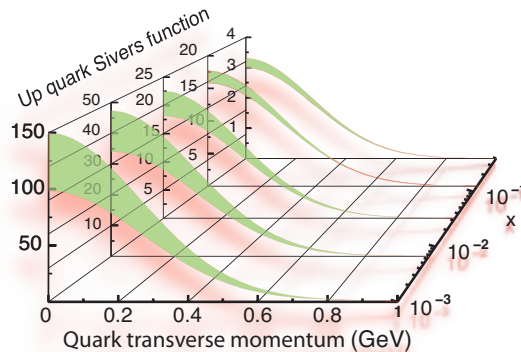
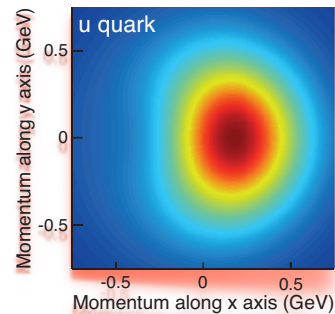
$$\int d^2 b_T \quad W(x, b_T, k_T) \quad \int d^2 k_T$$

Wigner  
Distribution

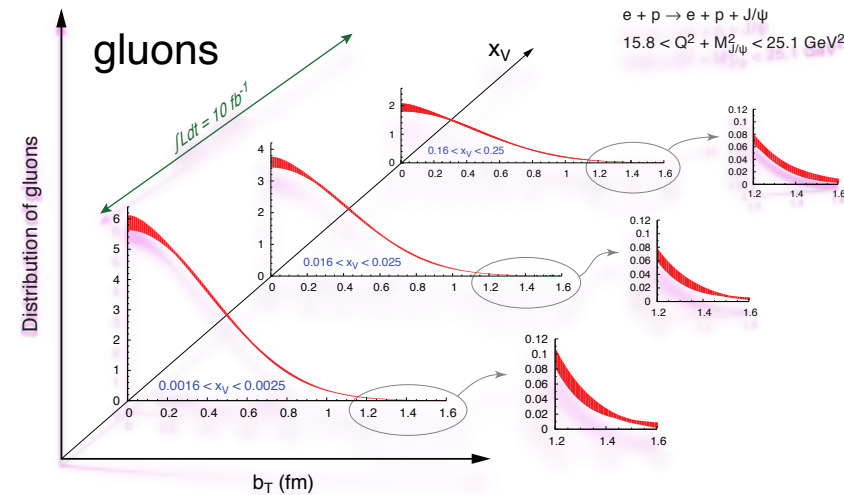
$$f(x, b_T) \quad 1+2D$$

Impact Parameter Distribution

quarks



gluons



- Spin-dependent 1+2D momentum space (transverse) images from semi-inclusive scattering

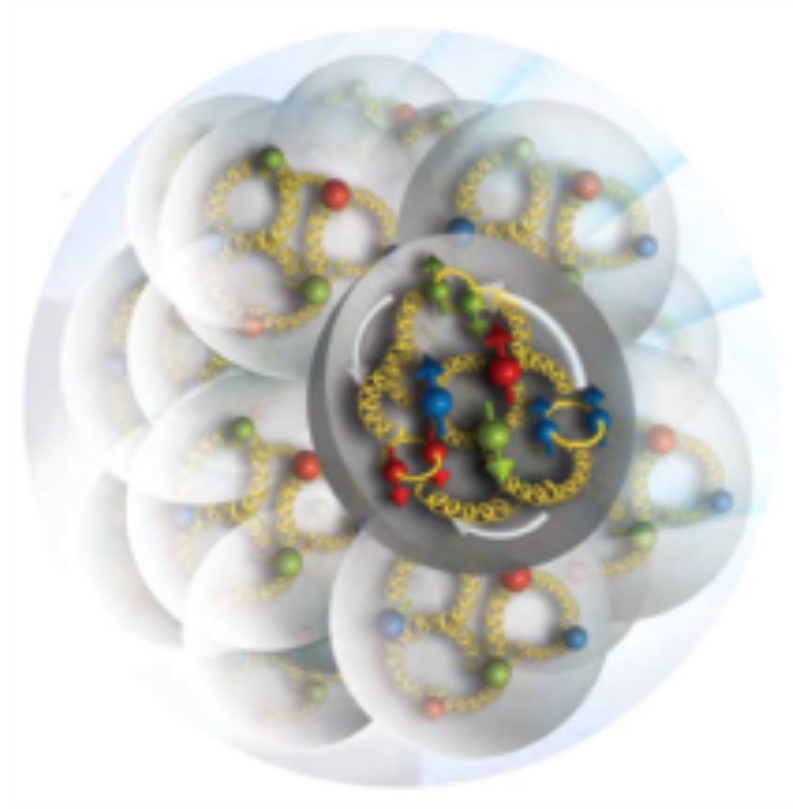
- Spin-dependent 1+2D impact parameter (transverse) images from exclusive scattering

$$\begin{array}{c} \text{Fourier transf.} \\ \downarrow \\ b_T \leftrightarrow \Delta: t = -\Delta^2 \\ H(x, 0, t) \\ \uparrow \\ \xi = 0 \\ H(x, \xi, t) \end{array}$$

Generalized Parton Distribution (GPD)

# EIC Physics Pillars

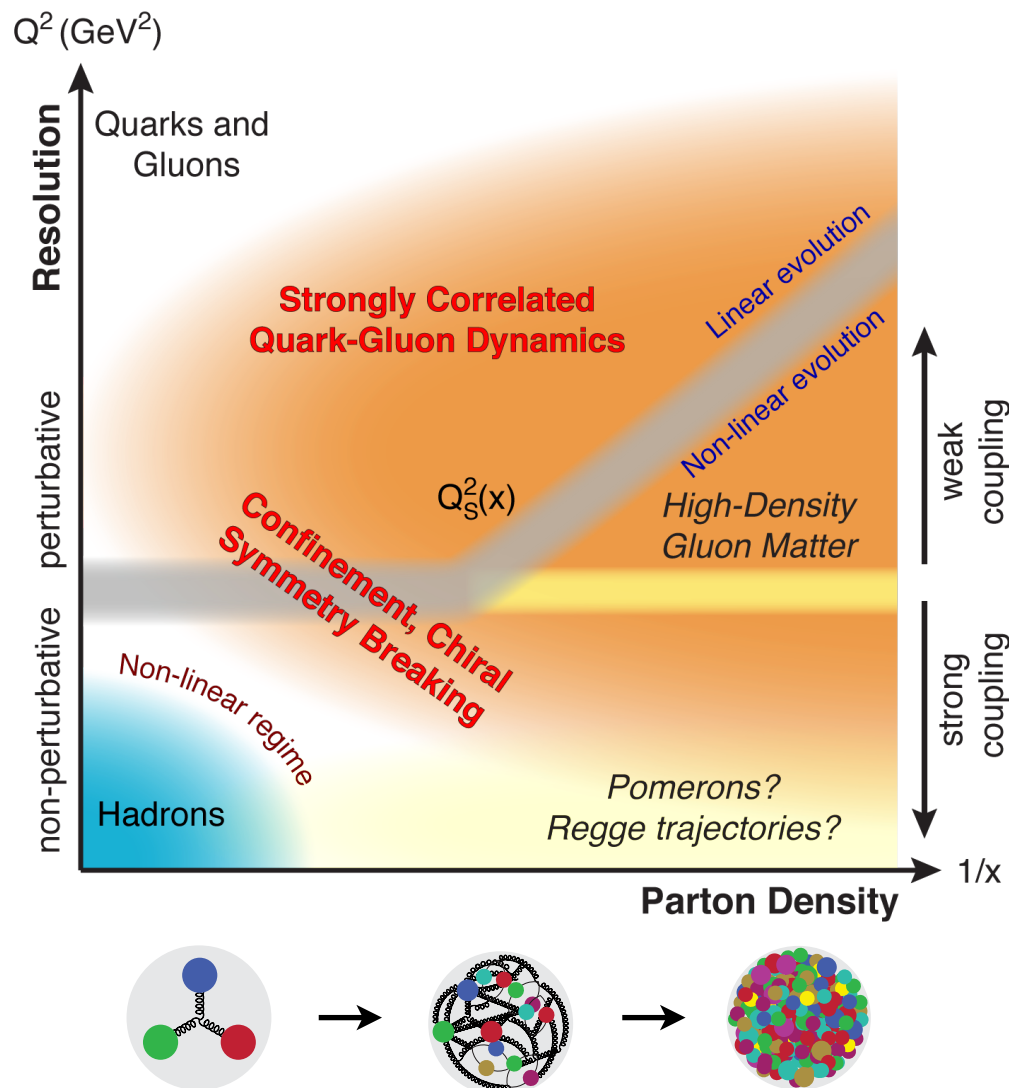
## Low-x physics



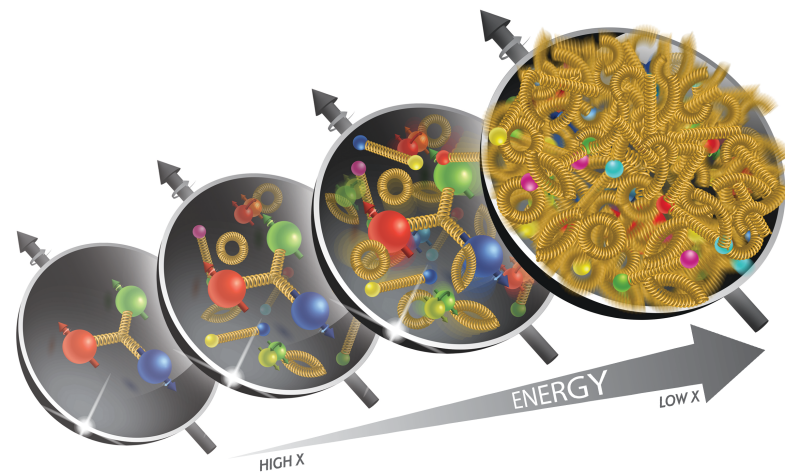
# EIC Physics Pillars

## QCD dynamics

arXiv:1708.01527



- Explore QCD landscape in various aspects over a wide range in  $x$  and  $Q^2$
- Heavy nuclei at high energy critical to explore high-density gluon matter!

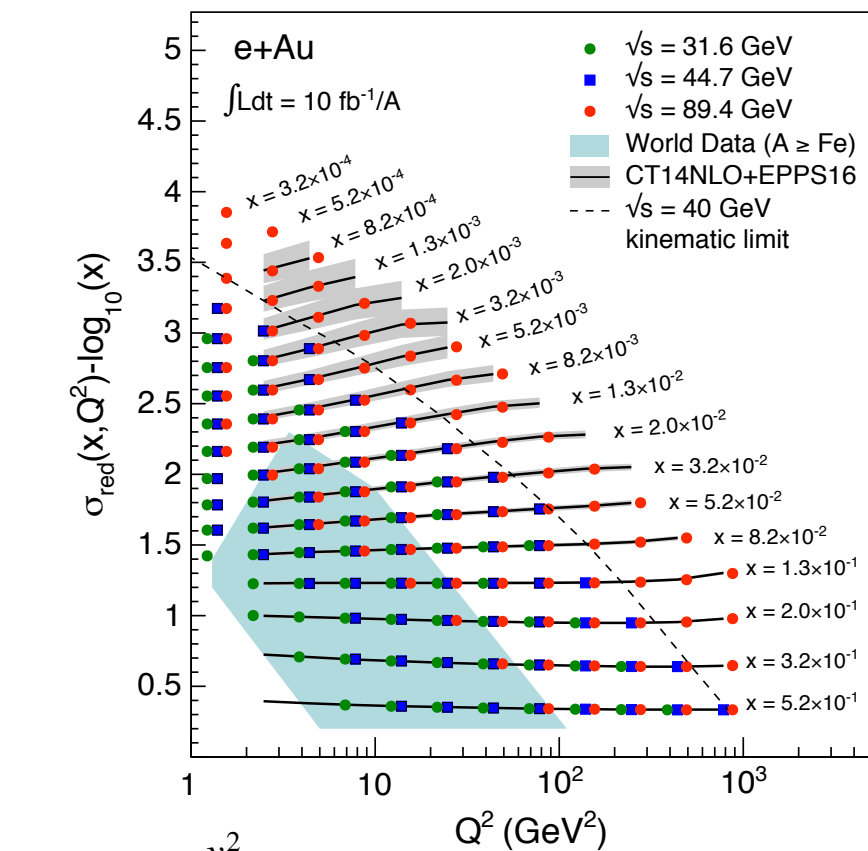




# EIC Physics Pillars

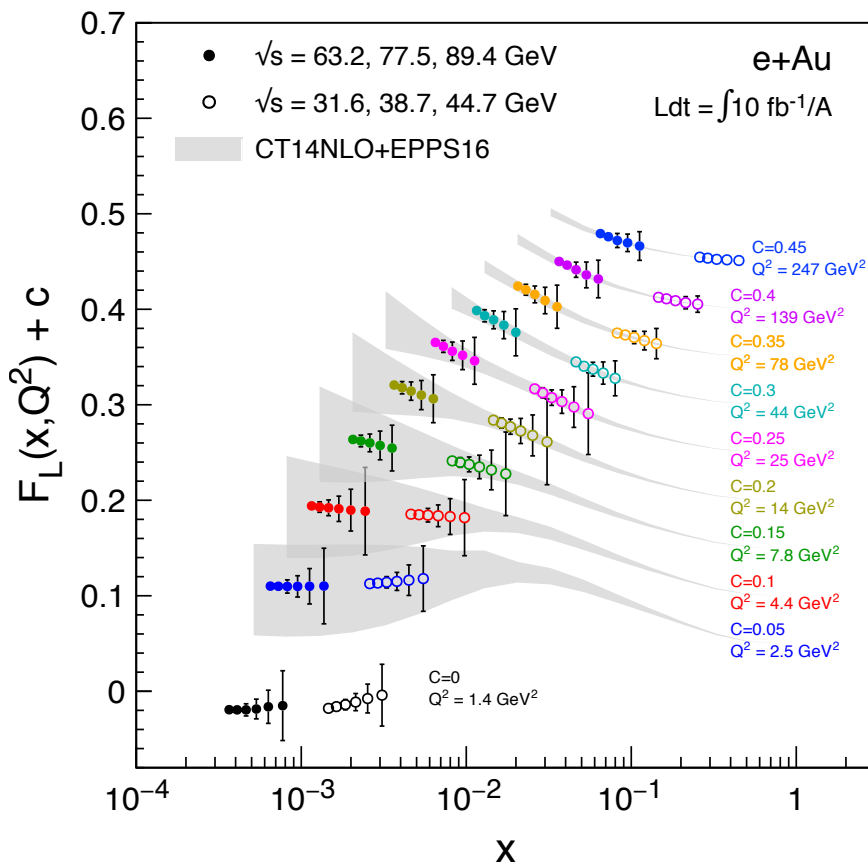
## Inclusive eA scattering measurements

arXiv:1708.01527



$$\sigma_{\text{red}} = F_2 - \frac{y^2}{Y_+} F_L$$

$$\left( \frac{d^2\sigma}{dx dQ^2} \right) = \frac{2\pi\alpha^2 Y_+}{x Q^4} \left( F_2 - \frac{y^2}{Y_+} F_L \right)$$

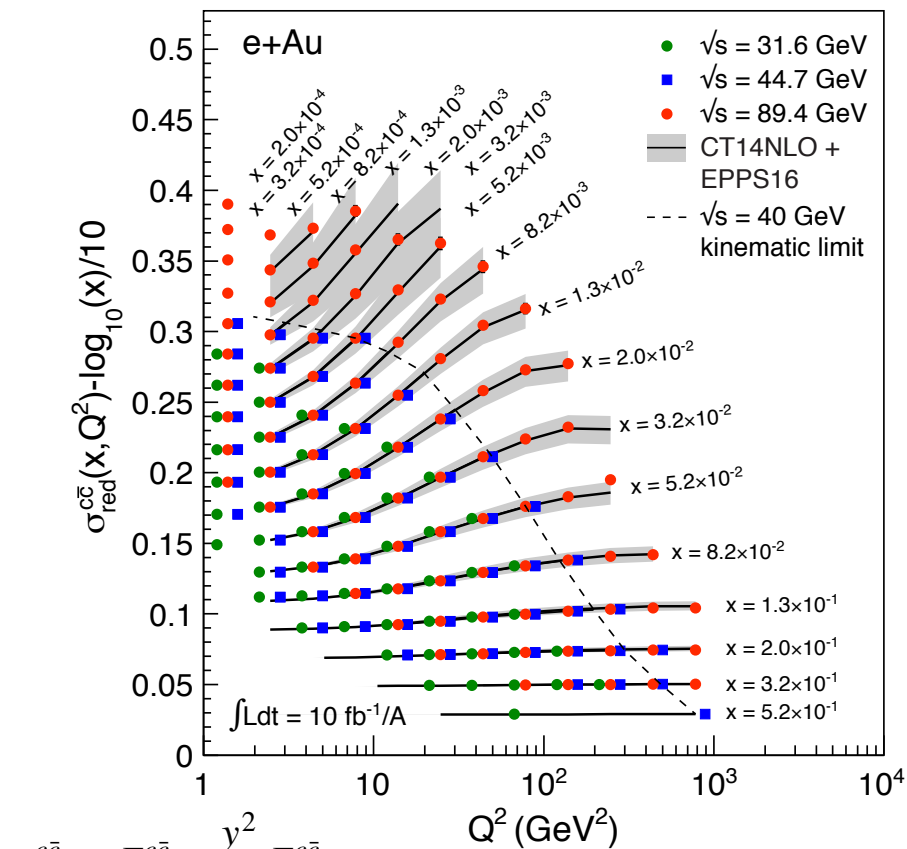


$$Y_+ = 1 + (1 - y)^2$$

# EIC Physics Pillars

## Charm-associated $eA$ scattering measurements

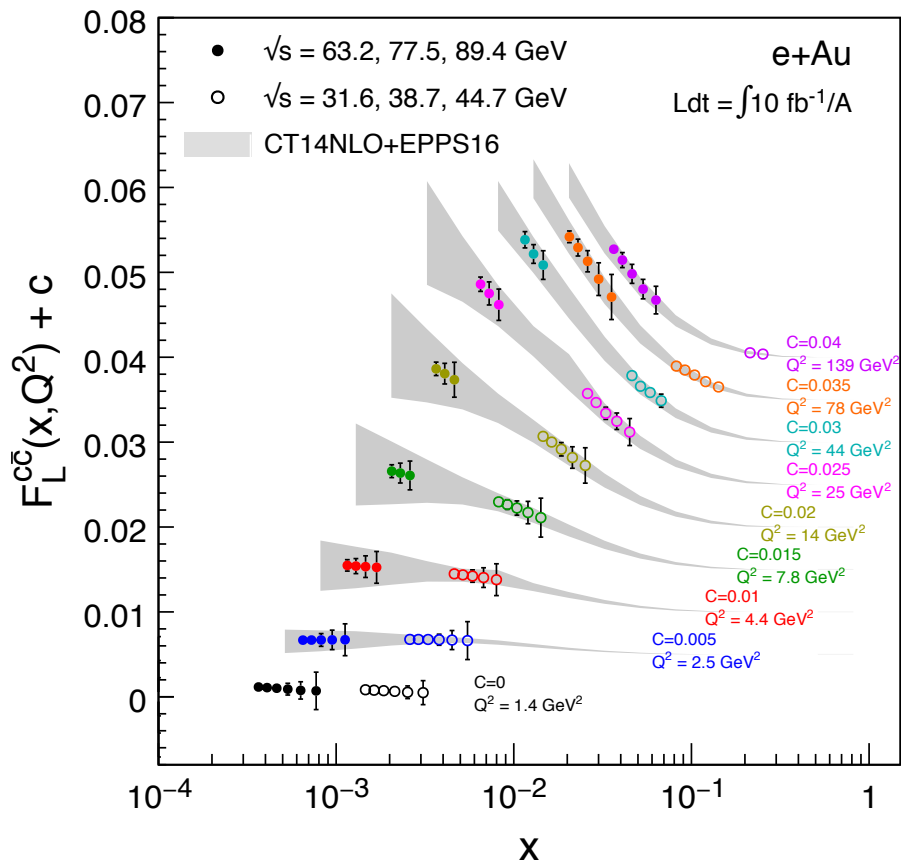
arXiv:1708.01527



$$\sigma_{\text{red}}^{c\bar{c}} = F_2^{c\bar{c}} - \frac{y^2}{Y_+} F_L^{c\bar{c}}$$

$$\left( \frac{d^2\sigma}{dx dQ^2} \right)^{c\bar{c}} = \frac{2\pi\alpha^2 Y_+}{x Q^4} \left( F_2^{c\bar{c}} - \frac{y^2}{Y_+} F_L^{c\bar{c}} \right)$$

$$Y_+ = 1 + (1 - y)^2$$



# EIC Physics Pillars

## Impact on nuclear gluon behavior in eA scattering

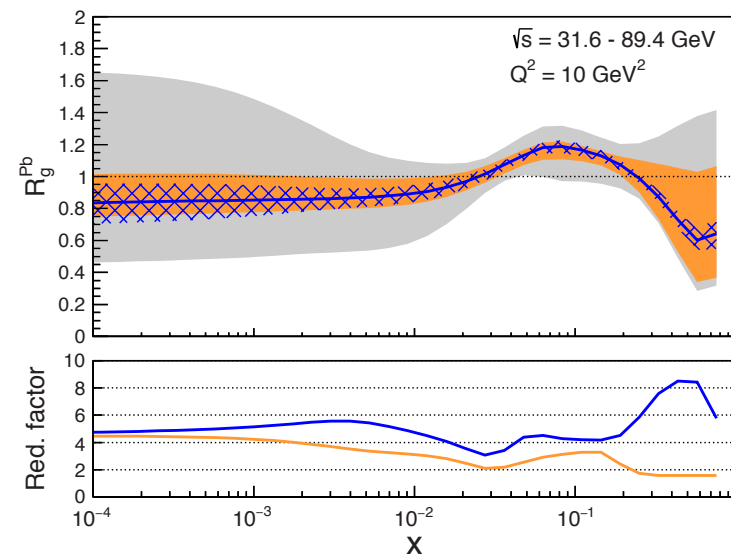
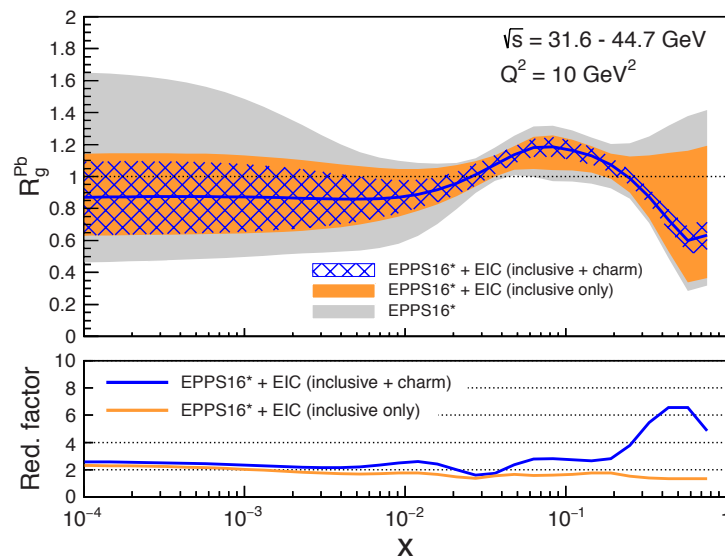
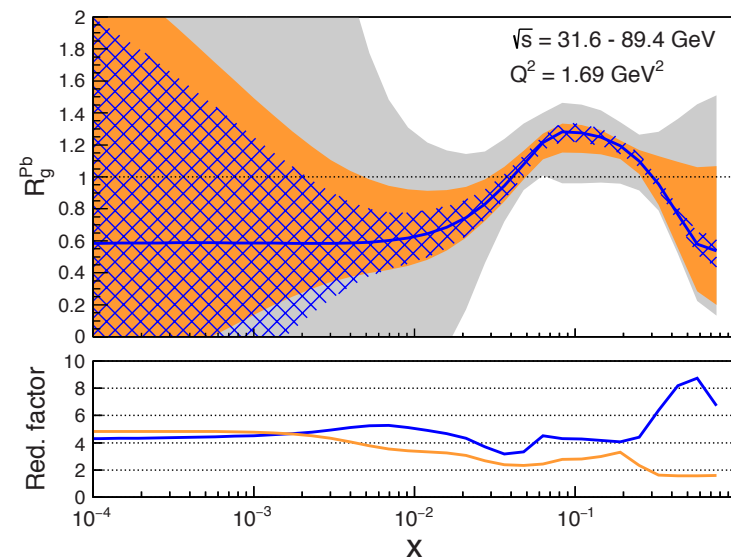
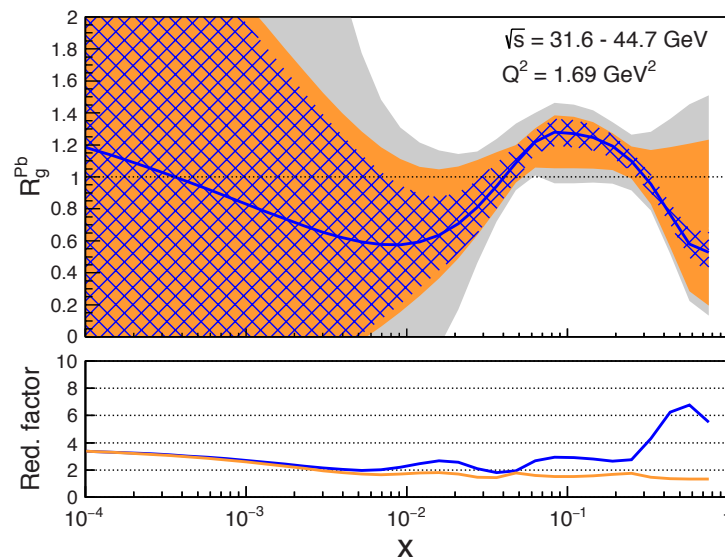
arXiv:1708.01527

Modifications of  
nuclear

environment:

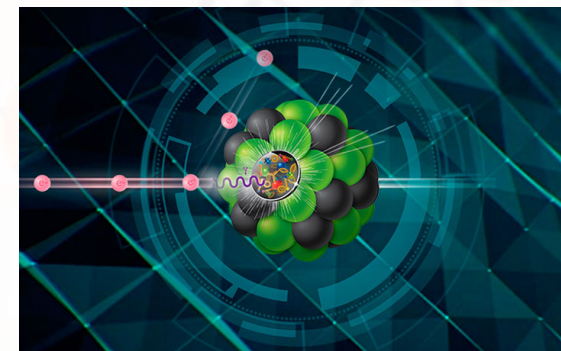
$R_g^{Pb}$

Ratio of gluon  
distribution in Pb  
compared to proton



# Summary

- Over two decades, the nuclear physics community has developed the scientific and technical case for the Electron-Ion Collider, to push the frontiers of human understanding of the fundamental structure and dynamics of matter → Emergent phenomena in QCD!
- Enormously profit from a diverse set of experiences among experimentalists and theorists at numerous institutions worldwide → Critical for a broad EIC scientific program.
- The Yellow Report activity brought together the EIC community even under restricted conditions and resulted in a 3 Volume Series: Executive Summary / Physics / Detector → Basis for Detector proposal efforts in 2021!
- Outstanding educational opportunities for multiple generations world-wide.





# Thank you!

Thank you to my friends and faculty colleagues Andreas  
Metz and Alexey Prokudin for numerous EIC physics  
discussions!