

Recent spin results from PHENIX

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for the PHENIX Collaboration

UCLA

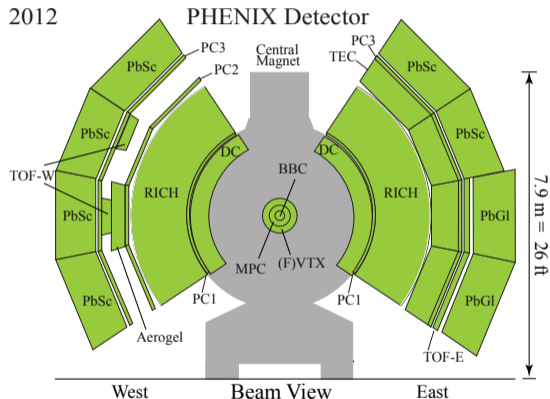
RHIC & AGS 2022

June 8, 2022

The UCLA logo consists of the letters "UCLA" in a bold, white, sans-serif font, centered within a solid blue rectangular background.The PHENIX logo features the word "PHENIX" in a bold, black, sans-serif font. The letter "H" is replaced by a stylized sunburst or starburst symbol. Above the "PHENIX" text is a red, curved line that resembles a checkmark or a stylized "V".

1. Experimental setup
2. Longitudinal double spin asymmetry A_{LL}
 - Direct photon
 - Jet
 - Charged pion
3. Transverse single spin asymmetry A_N
 - Direct photon
 - π^0 and η
 - Charged pion
 - Open heavy flavor
 - Forward neutron
4. Summary

- $|\eta| < 0.35$ and π coverage for ϕ .
- EMCal: primary detector for photons.
- EMCal trigger: select high energy particles.
- DC: measure charged particles.
- PC3: track matching.
- RICH: PID from Čerenkov light.

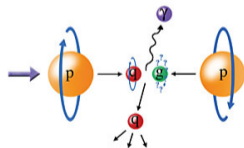


Probing the gluon spin inside the proton

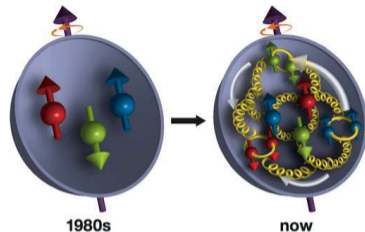
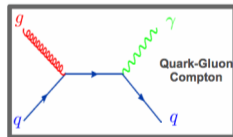
- The proton spin can be decomposed as

$$\frac{1}{2} = \frac{1}{2} \sum_q \Delta q + \Delta g + L_q + L_g$$

- Gluon spin Δg is important for the proton spin puzzle.

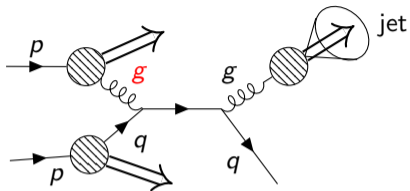


$$A_{LL}^{pp \rightarrow \gamma X} \sim \frac{\Delta q(x_q)}{q(x_q)} \cdot \frac{\Delta g(x_g)}{g(x_g)} \cdot a_{LL}^{qg \rightarrow \gamma q}$$

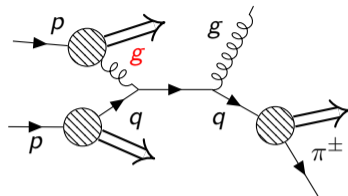


- $A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}}$
- Little fragmentation contributions to direct photon production.

Jet and charged pion production

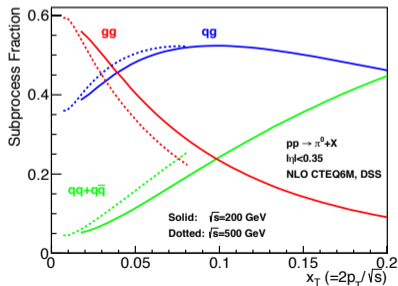


Jet production

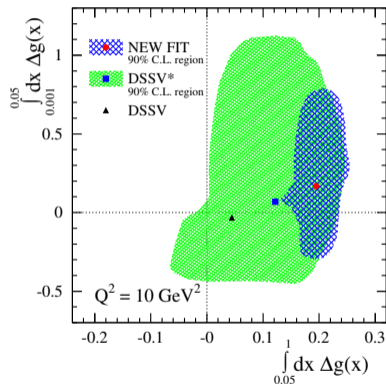


Charged pion production

- Larger statistics: not suppressed by small QED coupling.
- RHIC 200 GeV data probe $0.05 < x < 0.2$.
- RHIC 510 GeV data probe $0.02 < x < 0.08$.

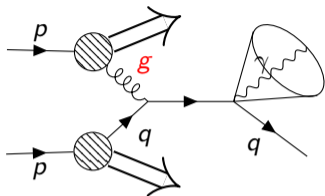


- Existing RHIC data mainly probe $0.05 < x_g < 0.2$.
- PHENIX $\pi^0 A_{LL}$ at 510 GeV confirms a nonzero Δg and extend x_g to 0.01.
- STAR jet data clearly imply a polarization of gluons in this range.
- Results from γ , jet and π^\pm will add additional independent constraints on the Δg .



PRL 113, 012001 (2014)

Identifying direct photon through isolation

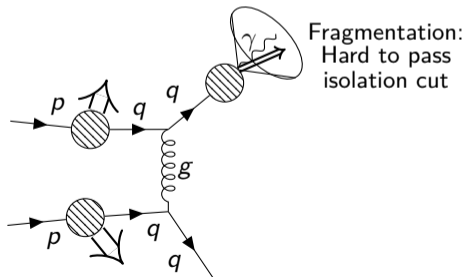


$$r_{cone} = \sqrt{(\delta\eta)^2 + (\delta\phi)^2} = 0.5$$

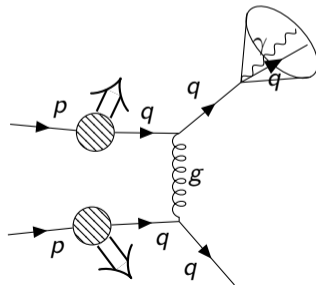
Isolation cut requirement:

$$\sum E_{in\ cone} < 0.1E_\gamma$$

Quark-gluon Compton scattering: Easy to pass isolation cut



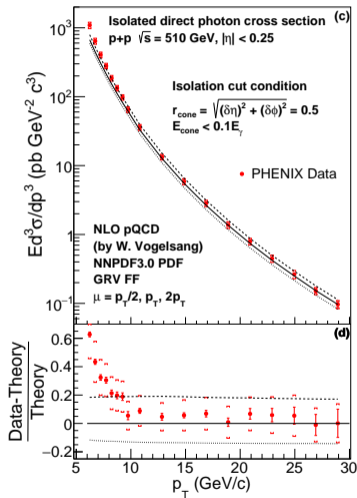
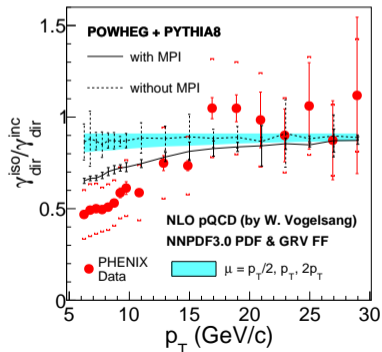
Fragmentation:
Hard to pass
isolation cut



Bremsstrahlung:
Hard to pass
isolation cut

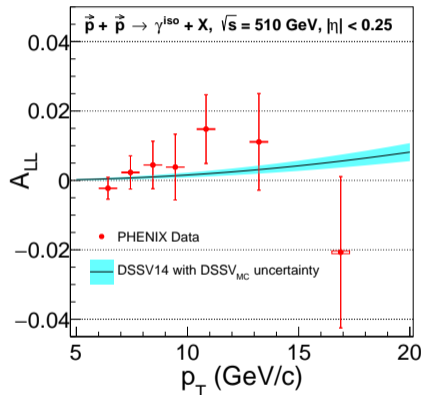
Direct photon cross section

- Consistent with NLO pQCD.
- MPI and parton shower are important for inclusive direct photon production.
- Constrain unpolarized gluon PDF.



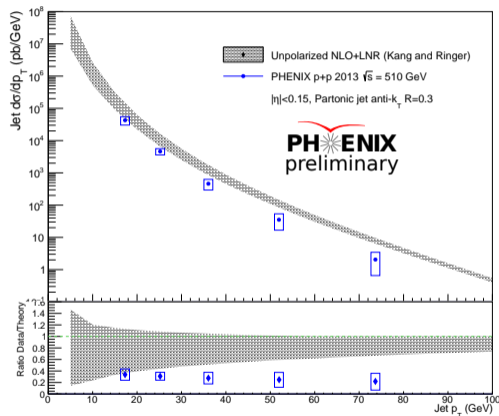
arXiv:2202.08158

- Consistent with NLO DSSV14.
- Will be the first published direct photon A_{LL} .
- Constrain polarized gluon PDF Δg .

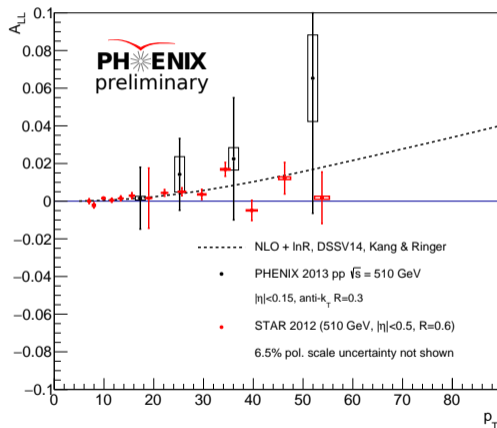


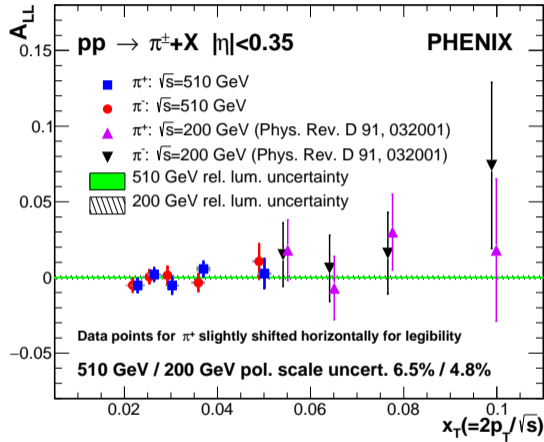
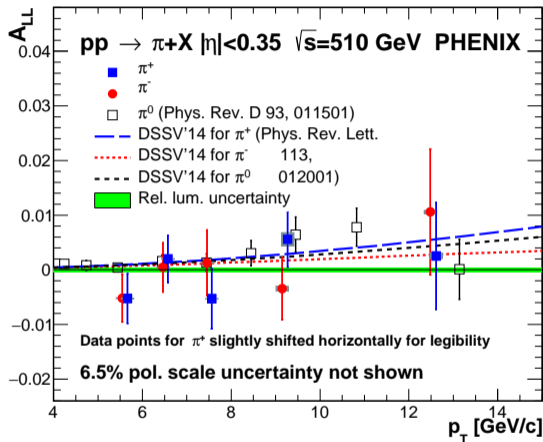
arXiv:2202.08158

- Calculation from NLO + $\ln(R)$ resummation overestimates data.
- The calculation is at partonic level: MPI and parton shower are important.
- Similar observation from CMS, for small R anti- k_T .



- Consistent with DSSV14 at NLO + $\ln(R)$ resummation.
- Independent constraint on polarized gluon PDF Δg .
- Uncertainty are correlated due to unfolding.

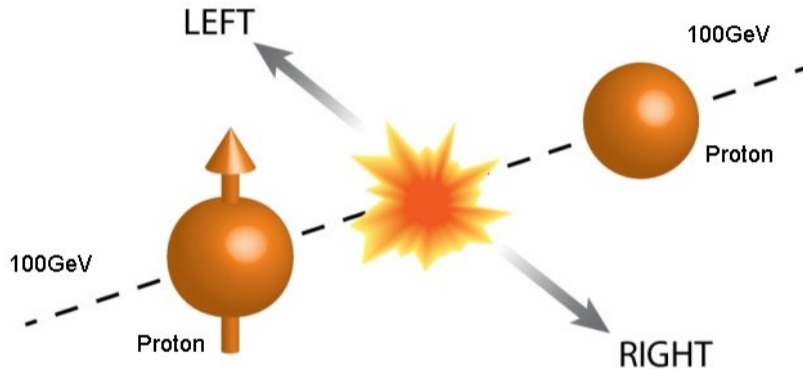




- PRD 102, 032001 (2020)
- Consistent with DSSV14.

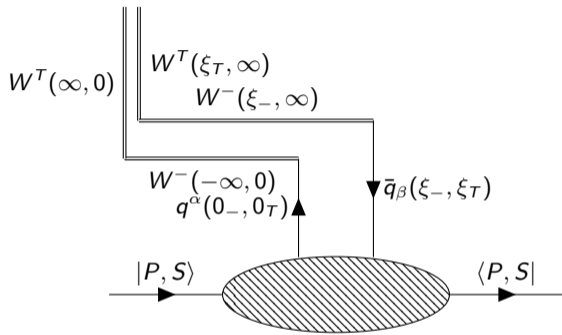
- 510 GeV data probe low x range.
- Not enough statistics to decide π^\pm order.

Transverse Single Spin Asymmetry (TSSA)



$$A_N = \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}}$$

Origin of TSSA: TMD



When $Q \gg k_T \gtrsim \Lambda_{QCD}$:

$$\Phi_\beta^\alpha(x, \mathbf{k}_T) \sim \langle P, S | \bar{q}_\beta(\xi_-, \xi_T) W^-(\xi_-, \infty) W^T(\xi_T, \infty) \times W^T(\infty, 0) W^-(-\infty, 0) q^\alpha(0_-, 0_T) | P, S \rangle$$

Leading Twist TMDs

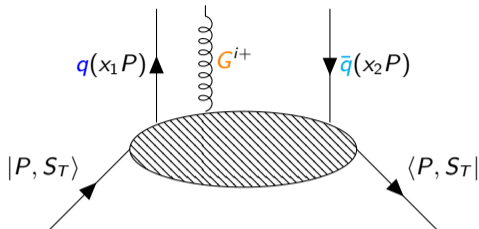


		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
1	U	$f_1 = \odot$		$h_1^\perp = \uparrow \ominus \downarrow$ Boer-Mulders
S_L	L		$g_{1L} = \rightarrow \ominus \leftarrow$ Helicity	$h_{1L}^\perp = \rightarrow \uparrow \ominus \rightarrow \downarrow$
S_T^i S_T^j	T	$f_{1T}^\perp = \odot \uparrow \ominus \odot \downarrow$ Sivers	$g_{1T}^\perp = \rightarrow \uparrow \ominus \rightarrow \downarrow$	$h_1 = \uparrow \ominus \downarrow$ Transversity $h_{1T}^\perp = \rightarrow \uparrow \ominus \rightarrow \downarrow$
$\Gamma :$		1	γ^5	$\gamma^i \gamma^5$

$$Tr[\Gamma \gamma^+ \Phi(x, \mathbf{k}_T)] \rightarrow \text{TMD functions}$$

[Progress in Particle and Nuclear Physics 65, 267]

Origin of TSSA: Collinear twist-3



[PRL 67, 2264] When $Q, k_T \gg \Lambda_{QCD}$:

Collinear twist-3 function $\Phi^{(3)}(x_1, x_2, S_T) \sim$

$$\begin{aligned}
 qgq &: \langle P, S_T | \bar{q}(x_2 P) \gamma^+ \epsilon_{ij} G^{i+} S_T^j q(x_1 P) | P, S_T \rangle \\
 ggg &: C^{abc} G_{a\rho}^+ \epsilon_{ij} G_b^{i+} S_T^j G_c^{\rho+}, C^{abc} = if^{abc} \text{ or } d^{abc}
 \end{aligned}$$

$$\Delta\sigma_{CO}(S_T) \approx \Phi^{(3)}(x_1, x_2, S_T) \otimes \Phi^{(2)}(x') \otimes \hat{\sigma} \otimes D^{(2)}(z) \rightarrow \text{Sivers type}$$

$$[\text{PRD 59, 014004}] + \delta q^{(2)}(x, S_T) \otimes \Phi^{(3)}(x'_1, x'_2) \otimes \hat{\sigma}' \otimes D^{(2)}(z) \rightarrow \text{Boer-Mulders type}$$

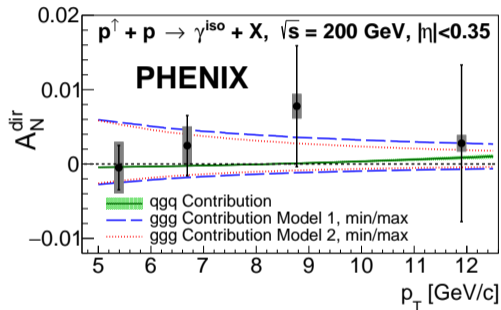
$$+ \delta q^{(2)}(x, S_T) \otimes \Phi^{(2)}(x') \otimes \hat{\sigma}'' \otimes D^{(3)}(z_1, z_2) \rightarrow \text{Collins type}$$

[PRL 97, 082002] When $Q \gg k_T \gg \Lambda_{QCD}$, relation between collinear twist-3 and TMD :

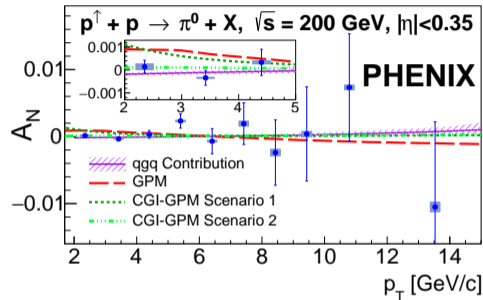
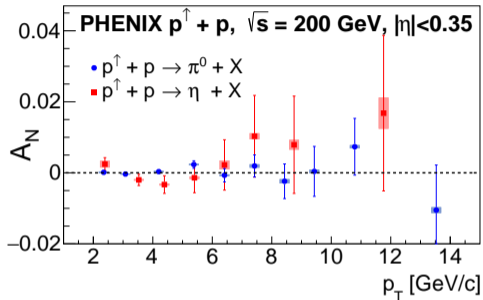
$$\Phi^{(3)}(x, x, S_T) \sim \int d^2 \mathbf{k}_T (k_T^2 / M_P) f_{1T}^\perp(x, \mathbf{k}_T),$$

$$\Delta\sigma_{CO}(S_T) = \Delta\sigma_{TMD}(S_T) \text{ at leading } k_T/Q.$$

- First direct photon A_N .
- Measured A_N consistent with zero.
- Small contribution from qgq correlation.
- Clean extraction of ggg correlation.
- ggg models have different gluon PDFs.
- Constrain gluon spin-momentum correlations.



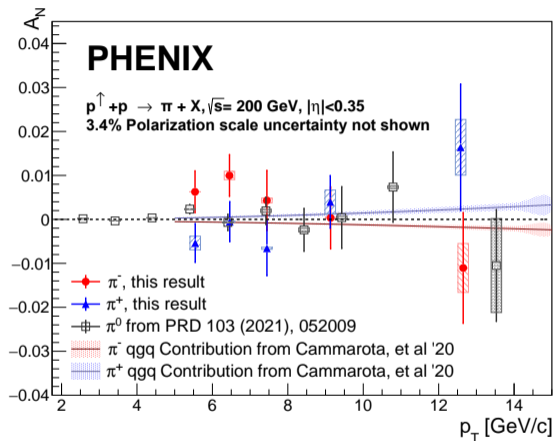
PRL 127, 162001 (2021)



- PRD 103, 052009 (2021)
- Improved stat. uncertainty.
- Consistent with previous measurement and with zero.
- $A_N^{\pi^0}$ vs A_N^η : strangeness, isospin and mass.

- Small qgq and constrain ggg.
- Siverts TMD PDF: GPM and CGI-GPM.
- CGI-GPM include initial- and final-interactions to reproduce Siverts sign change.
- Scenario 1 (2) maximize (minimize) open heavy flavor TSSA.

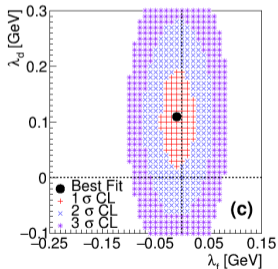
- Low statistics due to few π^\pm fire triggers.
- $\chi^2 \approx 9$ (ndf = 5) between π^\pm .
- Indicate differences between π^\pm .



PRD 105, 032003 (2022)

Open heavy flavor $e^\pm A_N$ in p+p

- Signal: OHF decay e^\pm .
- Backgrounds:
 - e^\pm from $\pi^0, \eta, \gamma^{dir}, J/\psi, K_S^0, K^\pm$.
 - Misidentified e^\pm (primary π^\pm).
- Mainly from gg hard interactions
 → Sensitive to gg correlators $T_G^{(f,d)}$.



$$A_N(p^\uparrow + p \rightarrow HF(e^{f,d}) + X)$$

$$\sqrt{s} = 200 \text{ GeV}$$

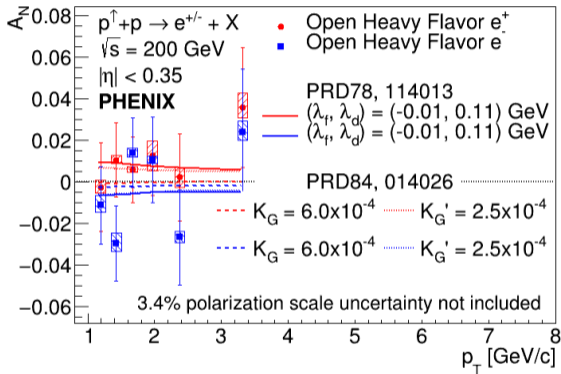
$$|\eta| < 0.35$$

PHENIX

Theory: PRD78, 114013

$$A_N^{D^0/\bar{D}^0 \rightarrow e^{f,d}}(\lambda_f, \lambda_d)$$

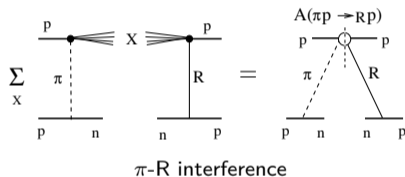
$$T_G^{(f,d)}(x, x) = \lambda_{f,d} G(x)$$



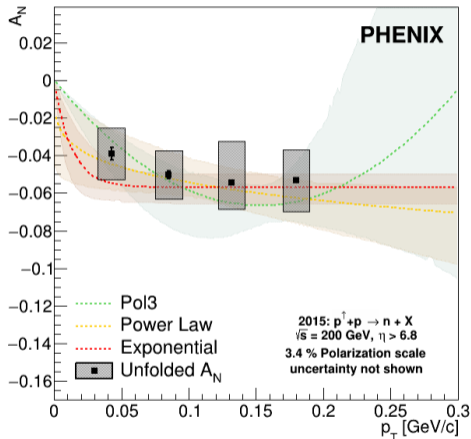
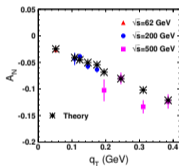
arXiv:2204.12899

Forward neutron A_N in $p+p$

- Mainly from π -R interference in hadronic interactions.
- Negative A_N with linear p_T dependence.



PRD 84, 114012 (2011)



PRD 103, 032007 (2021)

Forward neutron A_N in p+A

- UPC: Positive A_N with Z^2 dependence.

$$A_N^{\text{UPC+HAD}} = \frac{\sigma_{\text{UPC}} A_N^{\text{UPC}} + \sigma_{\text{HAD}} A_N^{\text{HAD}}}{\sigma_{\text{UPC}} + \sigma_{\text{HAD}}}$$

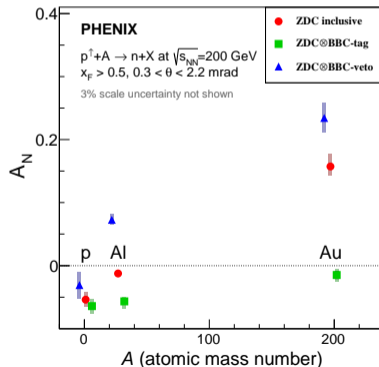
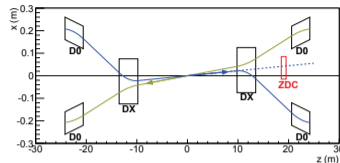
- PRC 95, 044908 (2017)

- $\text{ZDC} \otimes \text{BBC-tag}(N \cap S)$: Select hadronic interactions.

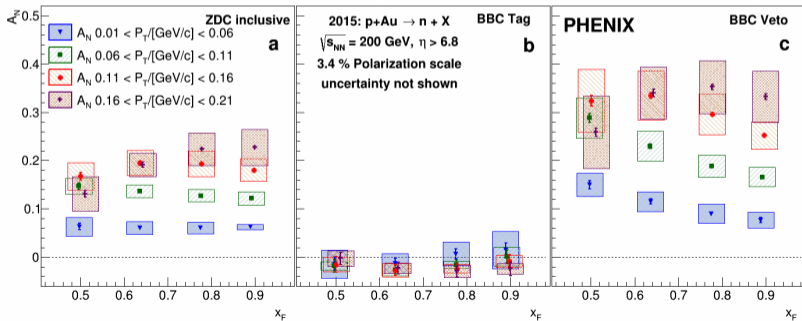
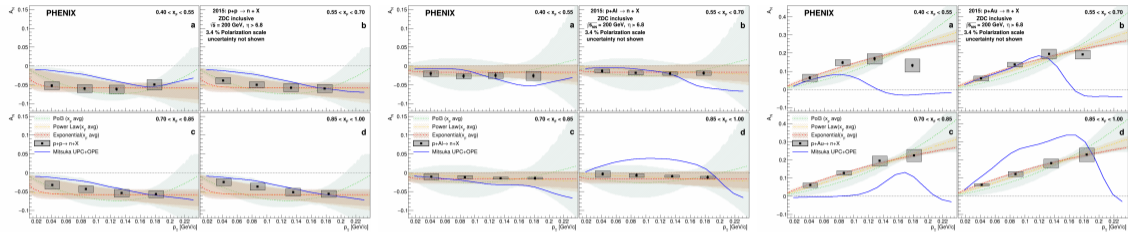
- $\text{ZDC} \otimes \text{BBC-veto}(\bar{N} \cap \bar{S})$: Select UPC interactions.

- Strong A dependence in inclusive and BBC-veto.

- PRL 120, 022001 (2018)



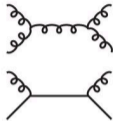
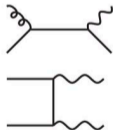
Forward neutron A_N vs p_T and x_F



PRD 105, 032004 (2022)

- Gluon spin is important for proton spin decomposition and the proton spin puzzle.
- Direct photon production have little fragmentation contributions.
- Jet and π^\pm production have larger statistics.
- Contribute to future global analyses together with forward cluster and forward/central η A_{LL} .
- TSSA measurements from γ , π^0 , η , π^\pm , OHF e^\pm are important to understand the qqg and ggq correlations in collinear twist-3 formalism as well as the TMD functions.
- Forward neutron A_N in p+A results from both hadronic and EM interactions.

Backup

Reaction	Dom. partonic process	probes	LO Feynman diagram
$\vec{p}\vec{p} \rightarrow \pi + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{q} \rightarrow qg$	Δg	
$\vec{p}\vec{p} \rightarrow \text{jet}(s) + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{q} \rightarrow qg$	Δg	(as above)
$\vec{p}\vec{p} \rightarrow \gamma + X$ $\vec{p}\vec{p} \rightarrow \gamma + \text{jet} + X$ $\vec{p}\vec{p} \rightarrow \gamma\gamma + X$	$\vec{q}\vec{q} \rightarrow \gamma q$ $\vec{q}\vec{q} \rightarrow \gamma q$ $\vec{q}\vec{q} \rightarrow \gamma\gamma$	Δg Δg $\Delta q, \Delta \bar{q}$	
$\vec{p}\vec{p} \rightarrow DX, BX$	$\vec{g}\vec{g} \rightarrow c\bar{c}, b\bar{b}$	Δg	