

# sPHENIX spin and cold QCD

**sPHENIX RBRC workshop**  
**July 22**

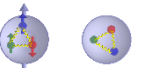
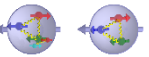
**Ralf Seidl (RIKEN)**

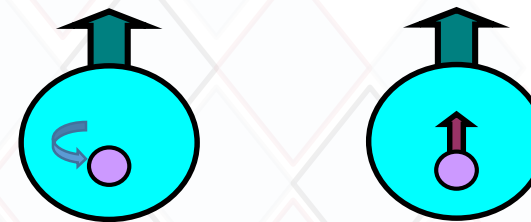
# Main QCD Spin Questions

- How is the spin of the proton distributed? What is the role of gluons and sea quarks?
- What is the origin of transverse spin effects and how does it relate to the 3D momentum and position structure of the Nucleon?
- Closely intertwined: How does QCD create 99% of the visible mass of the universe? How does confinement work?
- From nucleus to nuclei, high gluon densities

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + \mathcal{L}_G + \mathcal{L}_q$$

- Sivers, Collins effects, TMDs GPDs, orbital angular momentum, Tomography
- Fragmentation functions and their spin, flavor, type, long. and transverse momentum dependence
- nuclear modification of PDF/FFs, low-x behavior





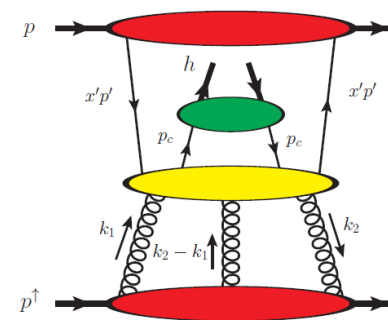
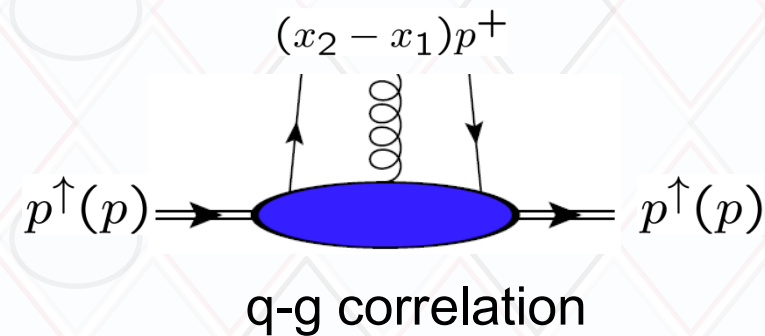
Sivers

Transversity

# Transverse spin

Main questions:

- Origin of large  $A_N$ s: initial state? Final state?
- Connections between higher twist and TMDs
- Nuclear/low- $x$  modification of  $A_N$ s?

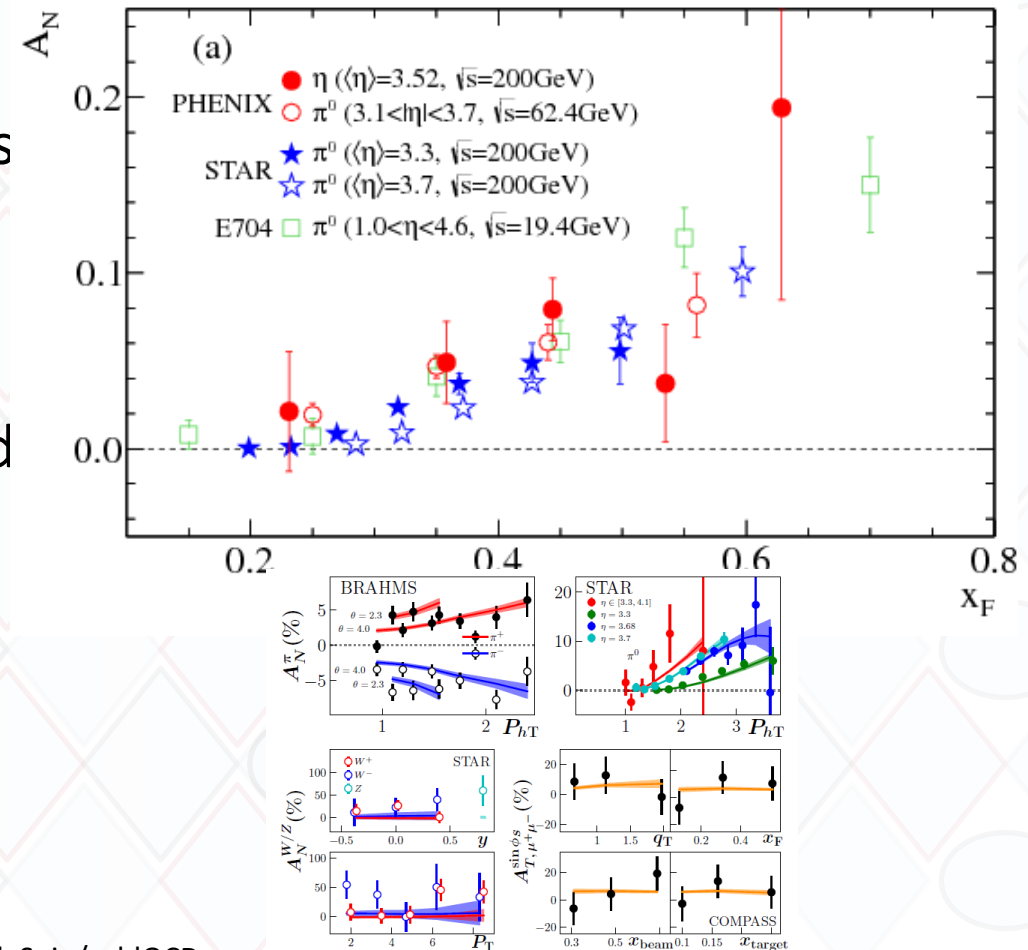


g-g correlation (trigluon)

# Transverse single spin asymmetries

- Large left-right asymmetries  $A_N$  seen in polarized pp collisions from low energies up to highest RHIC energies
- Both **initial state** and **final state** effects contribute in the same asymmetries
- TMD interpretation not directly applicable as only one scale process instead of 2 scales ( $P_T$  in p+p vs  $Q^2$  and  $P_{hT}$ )
- Higher twist interpretation is applicable; related to the TMD moments

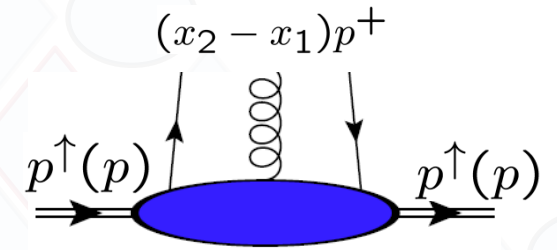
Phys.Rev. D90 (2014) 7, 072008  
 Phys.Rev. D90 (2014) 1, 012006



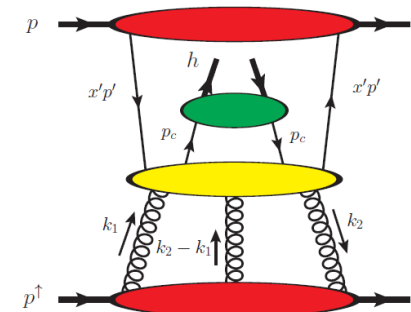


# TSSAs at RHIC → Quark-gluon dynamics!

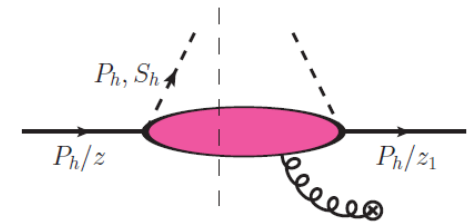
- Sivers and Collins effects rely on an explicitly **transverse momentum dependent** (TMD) framework where two scales are observed: high scale (typically  $Q^2$ ) and intermediate scale (transverse momentum  $P_T \ll Q^2$ )
- In inclusive pp measurements usually only one, hard scale accessible (transverse momentum  $P_T$ )  
 → requires **higher Twist**, collinear framework, contributions are multi-parton correlators (both in initial state and final state)
- Both frameworks found to be related via moments over intrinsic transverse momenta



q-g correlation (↔ quark Sivers)



g-g correlation (trigluon ↔ gluon Sivers)



q-g FF correlation (↔ Collins)

# Single spin asymmetry contributions in p+p

$$\begin{aligned}
 A_N &\approx \sum_{a,b,c} \overset{\text{pol proton PDF}^*}{\phi_{a/A}^{(3)}(x_1, x_2, s)} \otimes \overset{\text{unpol proton PDF}^*}{\phi_{b/B}(x')} \otimes \overset{\text{FS particle FF}^*}{D_{c \rightarrow C}(z)} \\
 &+ \sum_{a,b,c} \delta q_{a/A}(x, s) \otimes \phi_{b/B}^{(3)}(x'_1, x'_2) \otimes D_{c \rightarrow C}(z) \\
 &+ \sum_{a,b,c} \delta q_{a/A}(x, s) \otimes \phi_{b/B}(x') \otimes D_{c \rightarrow C}^{(3)}(z_1, z_2)
 \end{aligned}$$

a,b/c initial/final parton flavors  
 A,B/C initial/final hadron/particle types

*Efremov, Teryaev* *Phys.Lett.B* 348 (1995) 577

*Qiu, Sterman* *Phys.Rev.D* 59 (1999) 014004

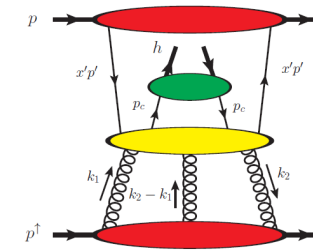
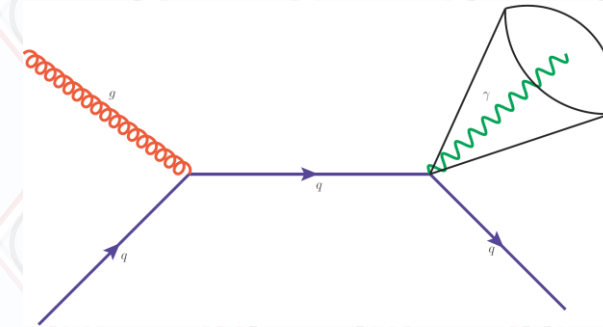
*Kanazawa, Koike* *Phys.Lett.B* 478 (2000) 121-126

*Metz, Pitonyak* *Phys.Lett.B* 723 (2013) 365-370

- Generally three pieces to p+p single transverse spin asymmetries:
  - Twist three correlation functions (quarks or gluons) in polarized proton  $\leftrightarrow$  Sivers function
  - Twist three correlation function in unpolarized proton (with transversity)  $\leftrightarrow$  Boer Mulders function
  - Twist three correlation in fragmentation  $\leftrightarrow$  Collins function

# Direct photon measurements: the golden channel

- As photon interacts only electromagnetically there are **no final state** effects → only **probe initial state effects**
- Hard process contributions strongly favor quark-gluon interaction (very little quark-quark contributions)
- **Excellent probe of the tri-gluon correlator**
- But EM interaction costs you  $\frac{1}{\sqrt{\alpha_{EM}}}$  → statistically difficult



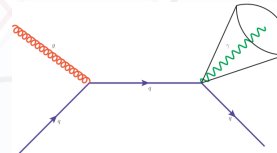
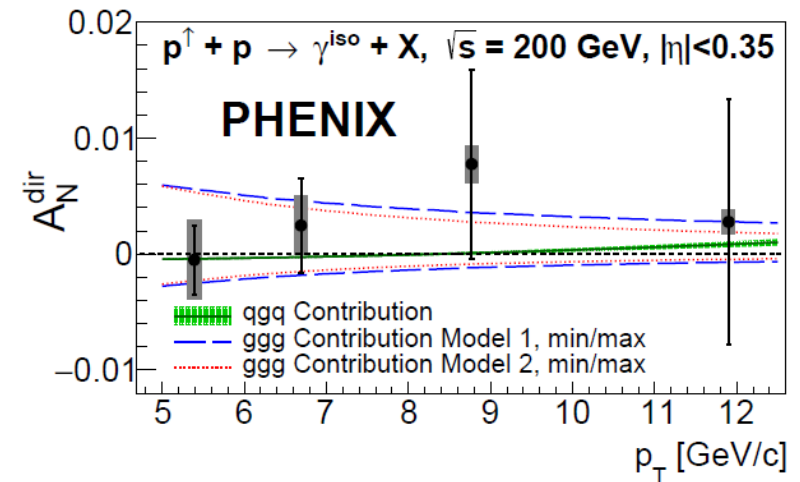
- Also not all photons produced directly → need to understand and measure Background and its asymmetry



# First direct photon ANs

- **First direct photon**  $A_N$  extracted at RHIC
- Mostly sensitive to initial state effects (no fragmentation)  $\rightarrow$  quark-gluon and gluon-gluon correlation functions
- Power to constrain gluon-gluon correlation function well, since quark impact expected to be small

[Phys.Rev.Lett. 127 \(2021\) 162001](#)

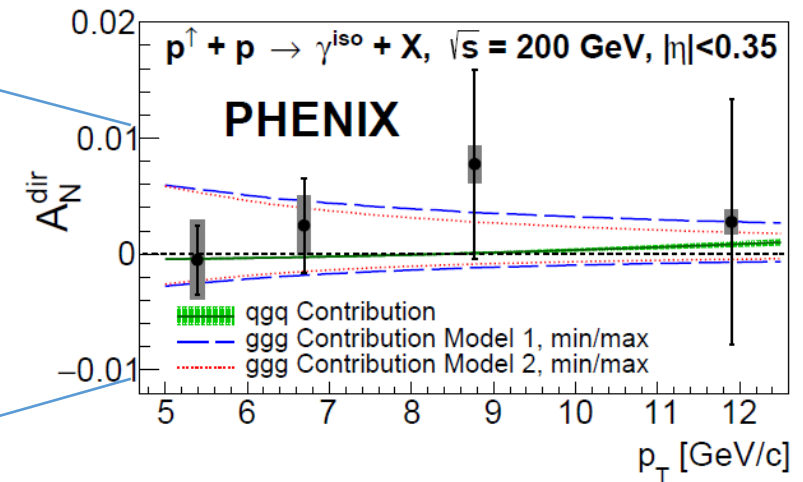
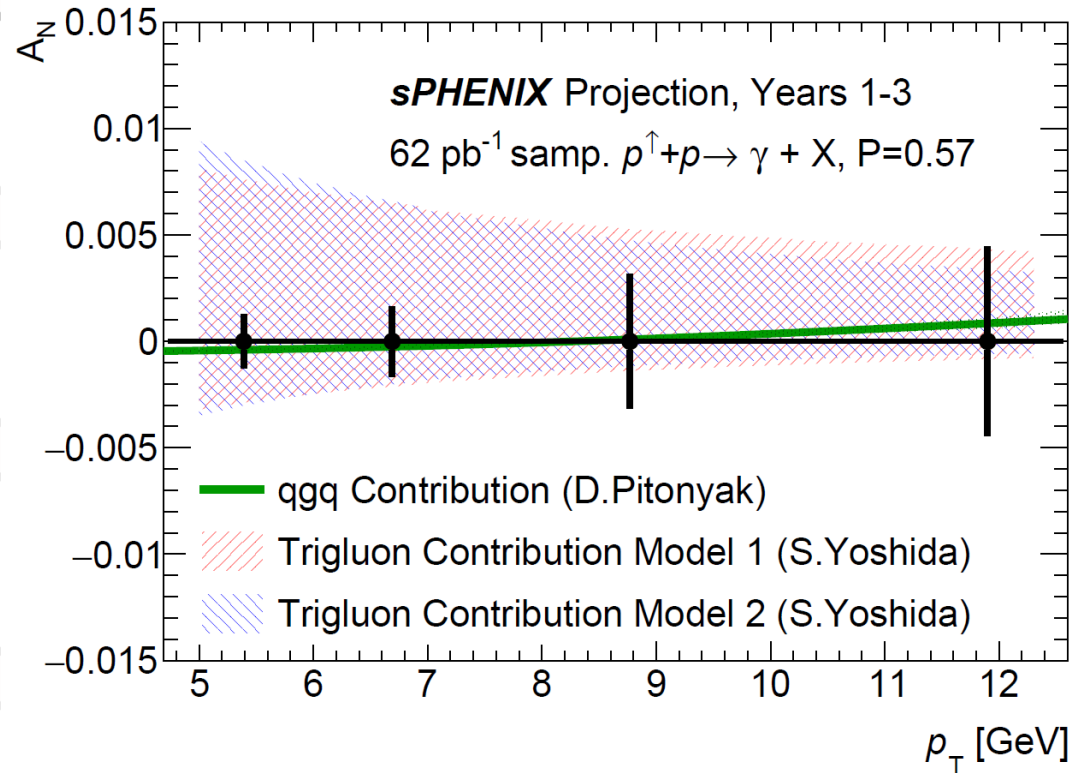




# Glueon dynamics via $\gamma$ , HF TSSA

- TSSA of prompt photon EMCAL-based trigger

- Substantial improvement possible with sPHENIX



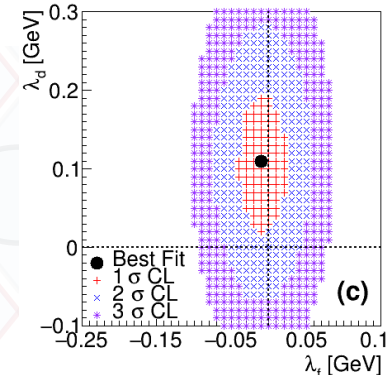
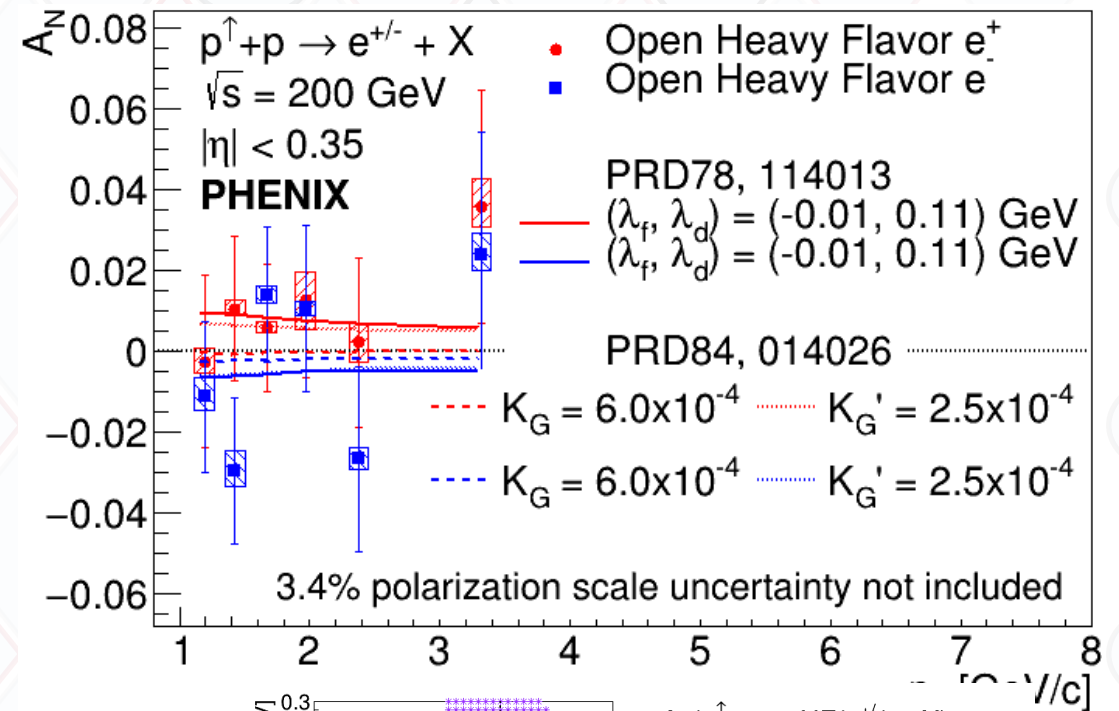
[sPHENIX BUP2021 \[sPH-TRG-2021-001\]](#)

# Heavy Flavor electron $A_N$ s

- Almost only gluon related, no final state effects  $\rightarrow$  tri-gluon correlation
- Potential to constrain parameter ranges in D meson  $A_N$  theory calculations: [PRD78, 114013](#) (Z.B. Kang, J.W. Qiu, W. Vogelsang, F. Yuan)
- Comparison of charges provides further sensitivity

PHENIX, submitted to PRL

<https://arxiv.org/abs/2204.12899>



$A_N(p^\uparrow + p \rightarrow \text{HF}(e^{+/-}) + X)$

$\sqrt{s} = 200 \text{ GeV}$

$|\eta| < 0.35$

**PHENIX**

Theory: PRD78, 114013

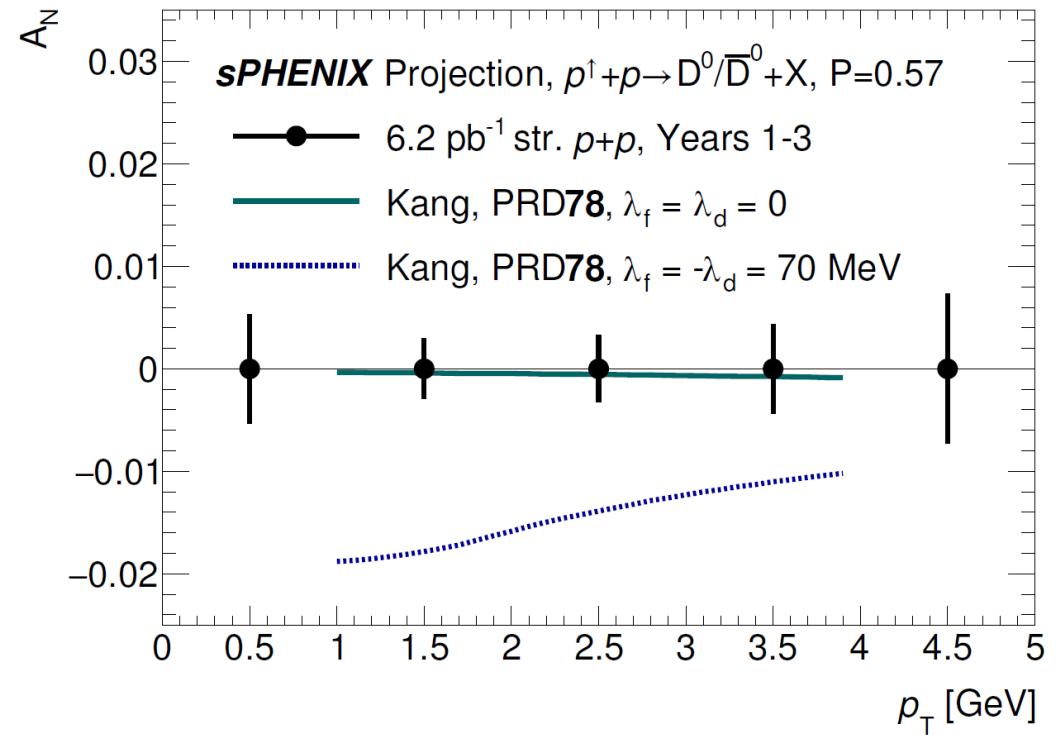
$A_N^{D^0/\bar{D}^0} \rightarrow e^{+/-}(\lambda_f, \lambda_d)$

# Gluon dynamics via HF TSSA

- In sPHENIX possibility to actually measure D meson asymmetries
- Ordering of asymmetries for D and Dbar will constrain tri-gluon correlations further

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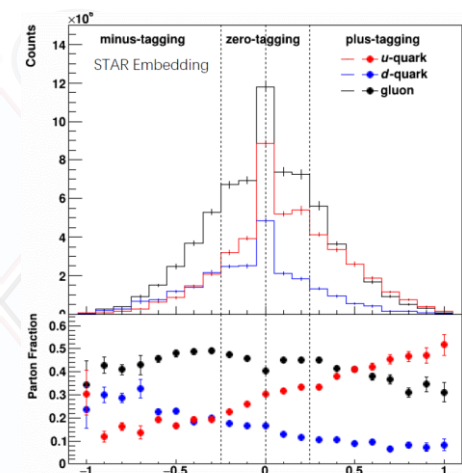
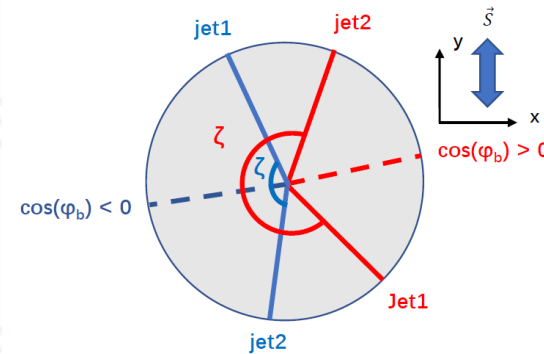
- TSSA of prompt  $D^0 \rightarrow \pi K$   
Enabled by streaming readout



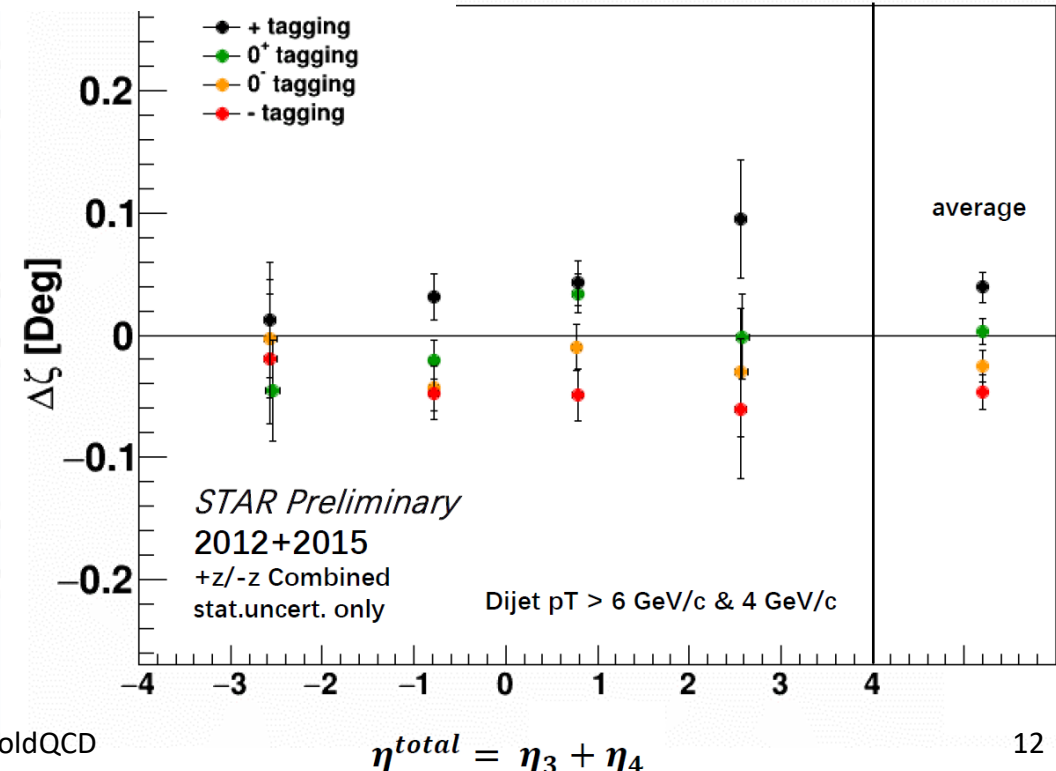


# Di-jet spin-dependent imbalance

- Use di-jet imbalance and calculate single spin asymmetry
- Sensitive to spin dependent intrinsic transverse momentum  $k_t$  kick (from Sivers effect)
- First indications seen by STAR after enhancing up or down flavors via jet charge selection
- Model-dependent extraction of up, down and  $g$ +sea contributions



$$Q = \sum_{\text{all the tracks with } p_T > 0.8 \text{ GeV}} \frac{\text{track } |p|}{\text{jet } |p|} \cdot \text{track charge}$$



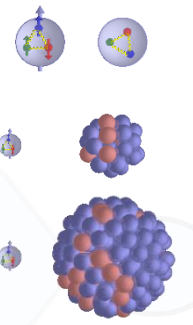
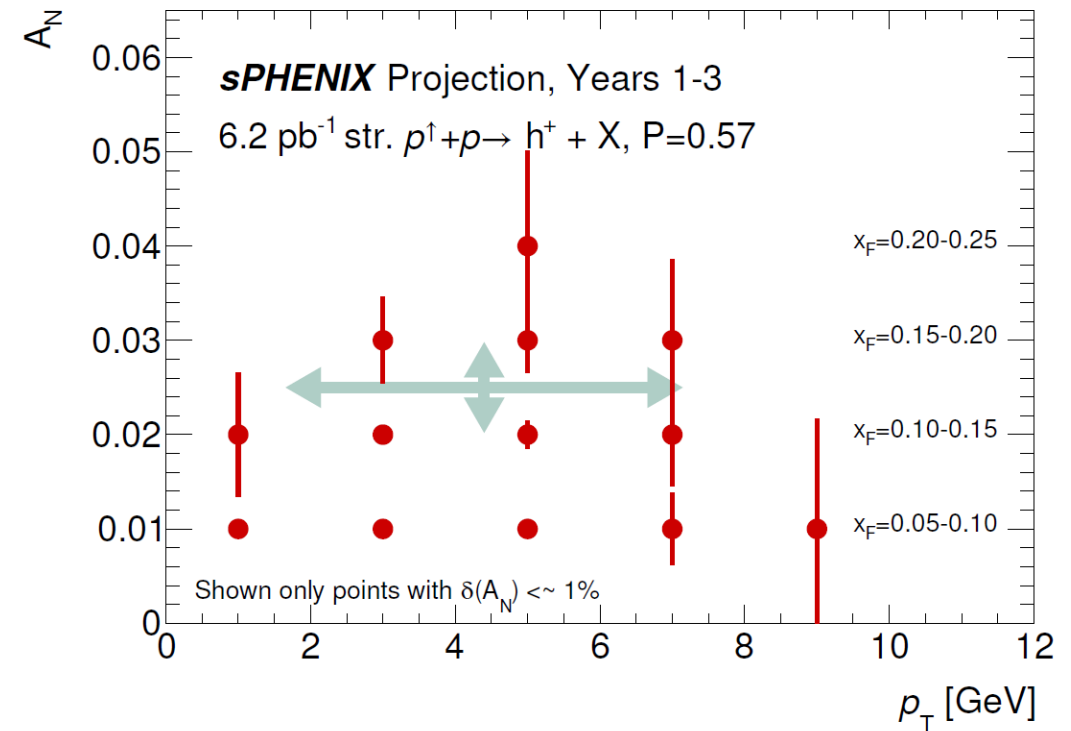
Figures taken from S. Wissink's Spin2021 presentation



# Nature of hadron $A_N$ in pp and its nuclear modification

- PHENIX and STAR show significant different suppression of hadron  $A_N$  from pp to pA in distinct kinematic regions
- sPHENIX hadron  $A_N$  will explore wider region to help disentangle initial/final state effects
- Enabled by streaming recorded  $p + p$  collision from far vertex collisions

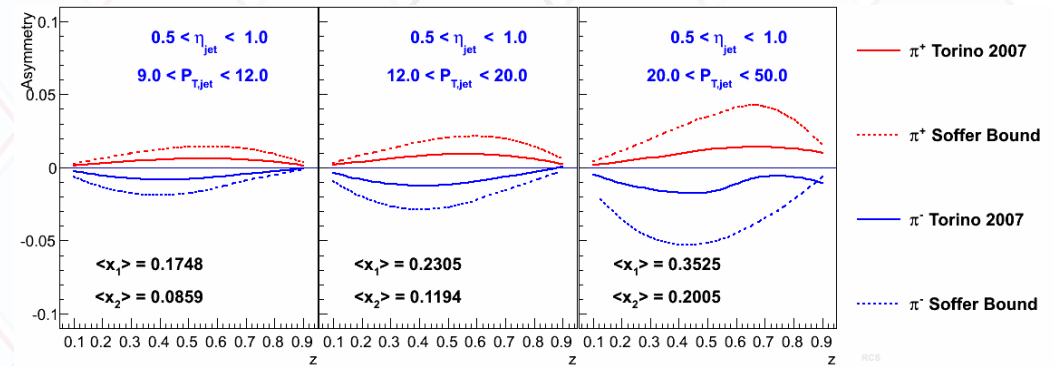
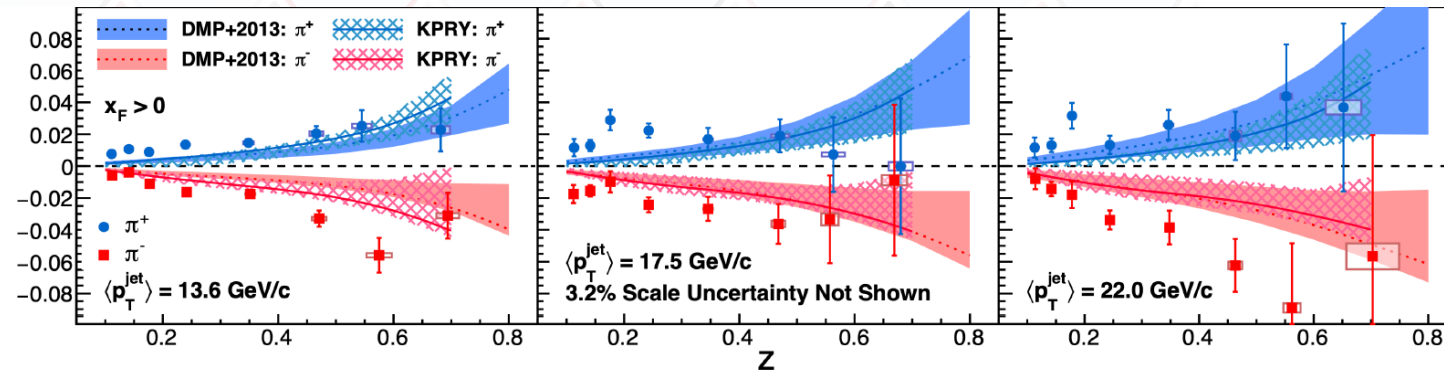
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# Transversity measurements $\rightarrow$ tensor charge

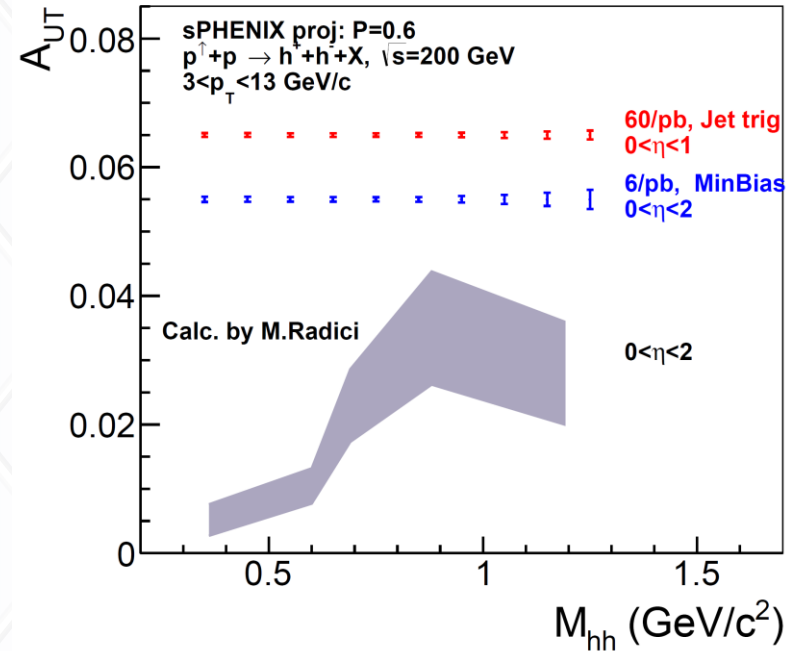
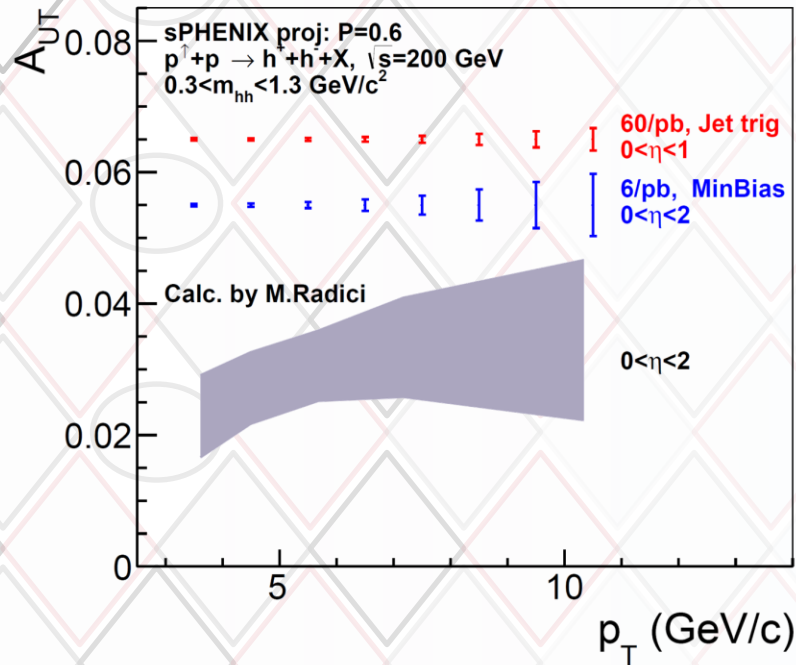
- Try to add to transversity/tensor charge measurements:
  - Most of the data from SIDIS fixed target measurements + e+e- Fragmentation data
  - Little sensitivity to d quarks so far (u quark dominance in DIS)
  - p+p has more sensitivity to d quark contributions

STAR: <https://arxiv.org/abs/2203.00180>



# Transversity via charged particle IFF

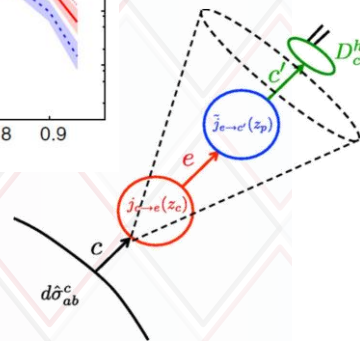
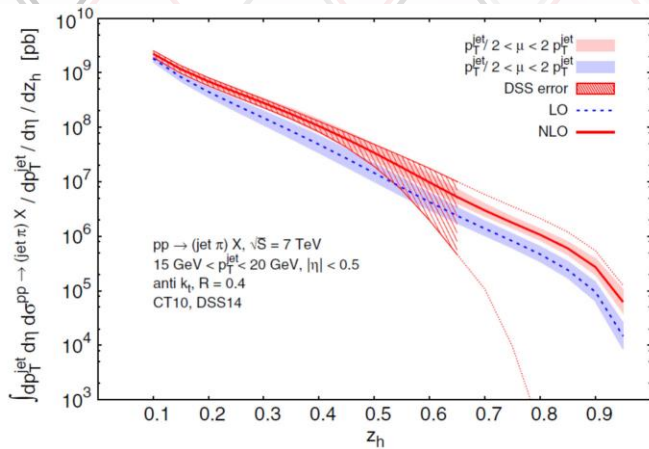
- Good statistics enabled by both calorimetric **jet trigger** and **streaming readout**
- Need theory collaboration in the treatment of no-PID charged tracks & multi-dim binning



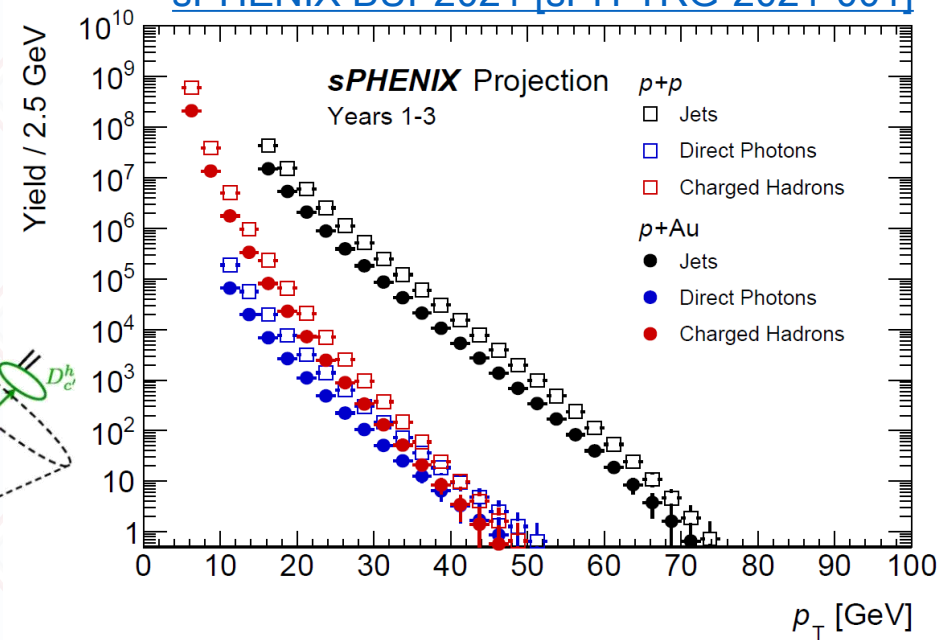
# Fragmentation in p+A

- Access gluon fragmentation function (FF) in  $p + p$ ,  $p + A$  via jet FF
- Calorimetric triggered jet + precision tracking

Kaufmann et al. PRD 92 5, 054015



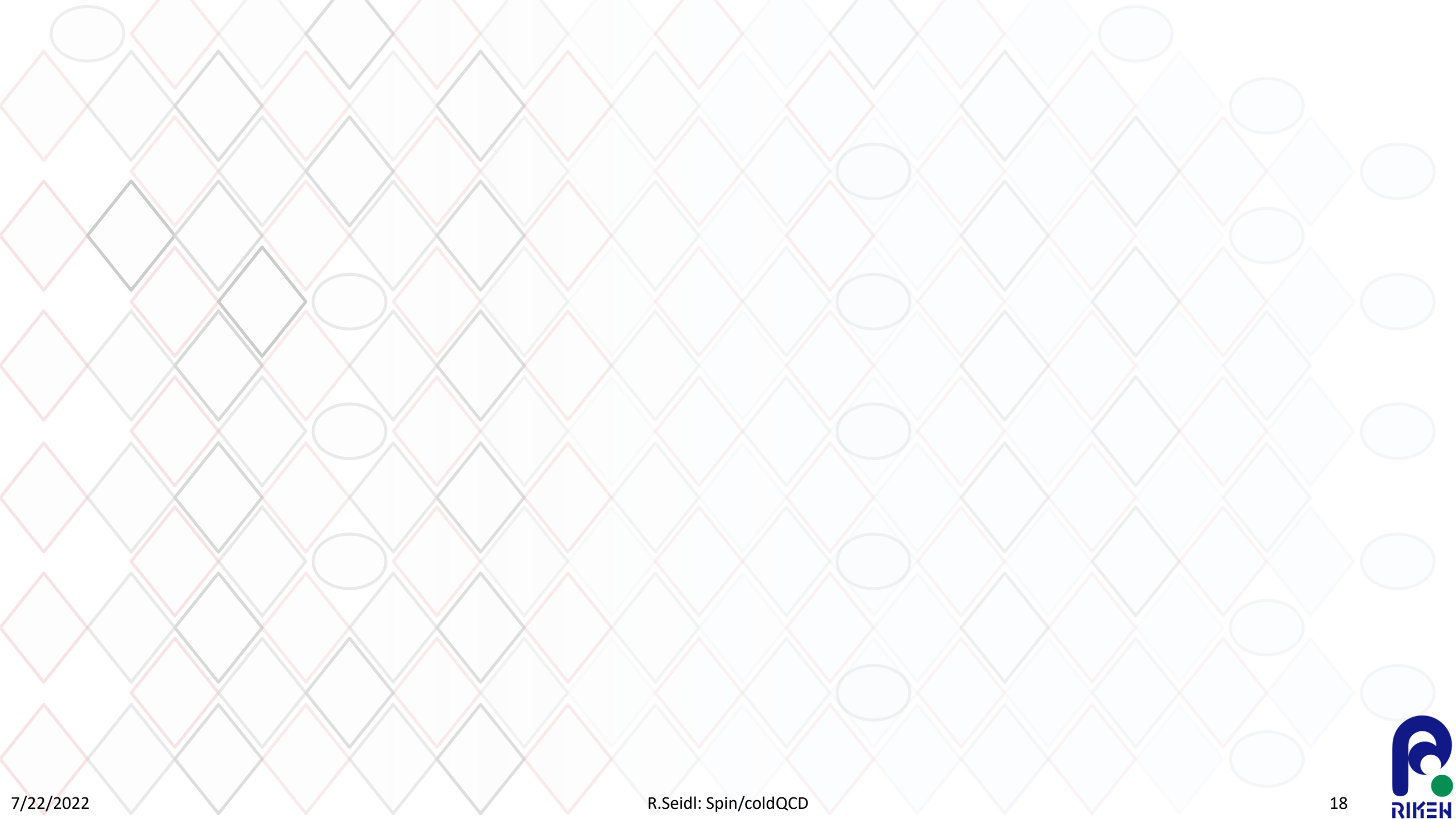
[sPHENIX BUP2021 \[sPH-TRG-2021-001\]](#)





# Summary

- Improved measurements for transverse spin asymmetries, nontrivial  $A$  dependence, new ideas coming out of existing RHIC measurements
- sPHENIX provides unique opportunities for spin/cQCD measurements using jet and rate capabilities to pin down
  - Transverse spin effects for direct photons, D meson asymmetries,
  - Collins and di-hadron Transversity access
  - Nuclear dependence of asymmetries and fragmentation functions
- Preparations for actual spin running QA ongoing



# Proposed run schedule, year 1-3

[sPHENIX BUP2021 \[sPH-TRG-2021-001\]](#), 24 (& 28) cryo-week scenarios

Year	Species	$\sqrt{s_{NN}}$ [GeV]	Cryo Weeks	Physics Weeks	Rec. Lum. $ z  < 10$ cm	Samp. Lum. $ z  < 10$ cm
2023	Au+Au	200	24 (28)	9 (13)	3.7 (5.7) nb <sup>-1</sup>	4.5 (6.9) nb <sup>-1</sup>
2024	$p^\uparrow p^\uparrow$	200	24 (28)	12 (16)	0.3 (0.4) pb <sup>-1</sup> [5 kHz] 4.5 (6.2) pb <sup>-1</sup> [10%-str]	45 (62) pb <sup>-1</sup>
2024	$p^\uparrow + \text{Au}$	200	–	5	0.003 pb <sup>-1</sup> [5 kHz] 0.01 pb <sup>-1</sup> [10%-str]	0.11 pb <sup>-1</sup>
2025	Au+Au	200	24 (28)	20.5 (24.5)	13 (15) nb <sup>-1</sup>	21 (25) nb <sup>-1</sup>

sPHENIX asked to consider 20-28 week runs in 2024

- (Trans-)polarized  $p + p$ ,  $p + A$  with streaming readout for 28 weeks in Run24
- But short Run24 would endanger the  $p + A$  data!