First principles' calculations of the gluon structure of hadrons

Chris Monahan (he/him/his), for the HadStruc Collaboration

William & Mary

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Gluon structure

Gluons are key to understanding the visible universe

- Dominant contribution to mass of the visible universe
- Significant contribution to the spin of hadrons
- Fundamental to understanding a new form of matter: color glass condensate

Complete tomography of hadrons needs detailed understanding of gluon structure!

EIC provides great opportunity for collaboration and interplay between theory and experiment

Outline

- 1. Gluon structure of hadrons what do we know?
- 2. Gluon structure from first principles
- 3. Unpolarised gluon PDF in the pseudo distribution framework
- 4. Polarised gluon PDF in the pseudo distribution framework

Gluon structure of hadrons - what do we know?

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Hadron structure at a glance



Hadron structure at a glance



Aidala et al., RMP 85 (2013) 655 Deur et al., Rep. Prog. Phys. 82 (2019) Ji et al., Nat. Rev. Phys. 3 (2021) 27

30 years after the EMC experiment precipitated the "proton spin crisis", experimental picture still unclear

We do know quarks carry approximately 30% of the proton's spin, gluon picture is much less clear





Zhou et al., PRD 105 (2022) 074022

Gluon PDFs

How is the momentum of a fast-moving hadron spread amongst its constituents?

LHC has considerably improved our knowledge of gluon PDFs

EIC and LHeC will expand this considerably!



Ethier and Nocera, Ann.Rev.Nucl.Part.Sci. 70 (2020) 43

Gluon PDFs: experimental status

LHC has considerably improved our knowledge of unpolarised gluon PDFs

Large uncertainties remain at large and small Bjorken-x





Ethier and Nocera, Ann.Rev.Nucl.Part.Sci. 70 (2020) 43

Zhou et al., PRD 105 (2022) 074022

Unpolarised gluon PDFs

Unpolarised gluon PDFs an important source of theoretical uncertainty at LHC

- Higgs couplings
- Certain search channels for BSM particles
- Mass of the W boson



Recent measurement from Tevatron $m_W^{(\text{Tevatron 2022})} = 80.4335(94) \text{ GeV}$ Significant (7 sigma) tension with standard model expectation $m_W^{(\text{SM})} = 80.357(6) \text{ GeV}$ And previous experimental results $m_W^{(\text{LEP+Tevatron})} = 80.385(15) \text{ GeV}$ $m_W^{(\text{ATLAS})} = 80.370(19) \text{ GeV}$ CDF, Science 376 (2022) 170

PDFs from QCD

First principles calculations complement, and inform, JLab 12 GeV, the LHC and the EIC



Gluon structure from first principles - spin

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Two decompositions of the spin of the proton

$$\frac{\Delta\Sigma}{2} + L_q + J_g = \frac{1}{2}$$

Frame-independent decomposition

"Ji sum rule"

Expressed in terms of the quark helicity and orbital angular momentum contributions, and gluon contribution

$$\frac{\Delta\Sigma}{2} + \Delta G + \ell_q + \ell_g = \frac{1}{2}$$

Infinite-momentum frame decomposition "Jaffe-Manohar sum rule"

Expressed in terms of the quark and gluon helicities, and the quark and gluon twist-3 orbital-angular-momentum

Partonic interpretation

Broadly speaking - two approaches to first principles calculations of these contributions



Defined through TMDs or through form factors of the energy-momentum tensor



Broadly speaking - two approaches to first principles calculations of these contributions

$$\frac{\Delta \Sigma}{2} + L_q + J_g = \frac{1}{2}$$
Defined through the local operator in the infinite-momentum frame
$$S_G = \int d^3 x \operatorname{Tr} \left[\mathbf{E} \times \mathbf{A}_{\text{phys}} \right]$$
Defined through the integral of the helicity PDF
$$\Delta G(Q^2) = \int_0^1 dx \, \Delta g(x, Q^2)$$

The challenge

Lattice QCD is formulated in a finite volume on a discretised Euclidean spacetime lattice

- Light cone not accessible





The challenge

Lattice QCD is formulated in a finite volume on a discretised Euclidean spacetime lattice

- Light cone not accessible

Path integral is sampled stochastically via Markov chain Monte Carlo

- Infinite momentum not accessible numerically!

Static quantities extracted in the long Euclidean-time limit

- Noise-to-signal ratio increases exponentially with Euclidean time
- Particularly challenging for gluons

Large-momentum effective theory (LaMET)

Framework to relate lattice-calculable to infinite-momentum quantities

- Ji et al., PRL 111 (2013) 112002 - originally introduced to enable the calculation of the gluon spin contribution
- now a general framework for first principles' calculations

Effective theory: infinite momentum limit does not commute with removing the regulator

Relies on perturbative matching

Ji et al., RMP 93 (2021) 35005

- Quantities required to have the same infrared behaviour
- For example, at one loop in the MS-bar scheme

$$\Delta G^{\overline{\text{MS}}}(\mu) = \frac{\alpha_s}{3\pi} \left[3\ln\frac{\mu^2}{m^2} + 7 \right] \qquad \Delta G^{\overline{\text{MS}}}(\mu, P_z) = \frac{\alpha_s}{3\pi} \left[\frac{5}{3}\ln\frac{\mu^2}{m^2} - \frac{1}{9} + \frac{4}{3}\ln\frac{P_z^2}{m^2} \right]$$

First calculations of the spin of the proton

Two state-of-the-art decompositions from lattice QCD



Gluon structure from first principles - PDFs

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Large-momentum effective theory (LaMET)

Intuitive picture for PDFs - defined through operators of light-like separated fields



Large-momentum effective theory (LaMET)

Intuitive picture for PDFs - defined through operators of light-like separated fields



Distributions galore

$$x f_{g/H}^{(0)}(x) = \int_{-1}^{1} \mathrm{d}\nu \, e^{ix\nu} \mathcal{M}_{g/H}^{(0)}(\nu, 0)$$
PDFs

$$n^2 = 0 \quad \xi^-$$

 $M^{(0)}_{\mu\nu\rho\sigma;H}(P,n) = \langle H(P) | G_{\mu,\nu}(n^{\alpha}) W^{(A)}(n^{\alpha},0) G_{\rho\sigma} | H(P) \rangle$

Distributions galore



Ji, PRL 110 (2013) 262002

Distributions in practice



Methods galore

Quasi and pseudo PDFs

Factorisable matrix elements

Fictitious heavy quarks

Compton amplitude

Euclidean hadronic tensor

Ji, PRL 110 (2013) 262002 Radyushkin, PRD 96 (2017) 034025

Braun & Müller, EPJC 55 (2008) 349 Ma & Qiu, 1404.6860

Detmold & Lin, PRD 73 (2006) 014501

Chambers et al., PRL 118 (2017) 242001

Liu & Dong, PRL 72 (1994) 1790

Many review articles!

Lin et al., PPNP 100 (2018) 107 CJM, POS(LATTICE2018) 018 Zhao, IMJPA 33 (2019) 1830033 Cichy & Constantinou, AHEP (2019) 3036904 Constantinou et al., PPNP 121 (2021) 130908 Ji et al., RMP 93 (2021) 035005 Constantinou et al., 2202.07193



Gluon PDFs: lattice calculations

Gluon observables provide significant challenges for lattice calculations

- significant signal-to-noise issues
- nonperturbative renormalisation challenging

First proof-of-principle calculation using LaMET illustrates the challenges



Fan et al., PRL 121 (2018) 242001

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Gluon PDFs: lattice calculations

Gluon observables provide significant challenges for lattice calculations



Unpolarised gluon PDF in the pseudo distribution framework

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Gluon PDFs: pseudo-distribution formalism

Starting point:

Balitsky, Morris and Radyushkin, PLB 808 (2020) 135621

$$M^{(0)}_{\mu\nu\rho\sigma;H}(P,n) = \langle H(P) | G_{\mu,\nu}(n^{\alpha}) W^{(A)}(n^{\alpha},0) G_{\rho\sigma} | H(P) \rangle$$
$$W^{(A)}(n^{\alpha},0) = \mathcal{P} \exp\left\{ ig \int_{0}^{n} \mathrm{d}y^{\mu} A^{(A)}_{\mu}(y) \right\}$$

Gluon PDFs: pseudo-distribution formalism

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Gluon PDFs: pseudo-distribution formalism

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Balitsky, Morris and Radyushkin, PLB 808 (2020) 135621

$$\begin{split} M^{(0)}_{\mu\nu\rho\sigma;H}(P,n) &= \langle H(P) | G_{\mu,\nu}(n^{\alpha}) W^{(A)}(n^{\alpha},0) G_{\rho\sigma} | H(P) \rangle \\ n^{2} &= -z^{2} \\ \mathcal{M}^{(0)}_{g/H}(\nu,z^{2}) &= \frac{1}{2E_{P}^{2}} \left[M^{(0)}_{0ii0;H}(P,z) - M^{(0)}_{jiij;H}(P,z) \right] \\ \mathcal{M}^{(\mathrm{red.})}_{g/H}(\nu,z^{2}) &= \left(\frac{\mathcal{M}^{(0)}_{g/H}(\nu,z^{2})}{\mathcal{M}^{(0)}_{g/H}(\nu,0)|_{z=0}} \right) / \left(\frac{\mathcal{M}^{(0)}_{g/H}(0,z^{2})|_{p=0}}{\mathcal{M}^{(0)}_{g/H}(0,0)|_{p=0,z=0}} \right) \\ \zeta & \downarrow \text{ pseudo PDFs} \\ \mathcal{M}^{(\mathrm{red.})}_{g/H}(\nu,z^{2}) &= \int_{0}^{1} \frac{\mathrm{d}\xi\xi}{\langle \xi \rangle^{2}(\mu)} \left[c_{gg}(\xi\nu,\mu^{2}z^{2}) f_{g/H}(\xi,\mu^{2}) + \frac{Pz}{E_{P}} c_{gg}(\xi\nu,\mu^{2}z^{2}) f_{S/H}(\xi,\mu^{2}) \right] \end{split}$$

HadStruc lattice implementation

Gluons provide significant signal-to-noise challenges for lattice calculations

Mitigated through three strategies

- 1. Gradient flow smearing reduces ultraviolet fluctuations
- 2. Distillation and summed GEVP method improves operator overlap and reduces excited state contamination
- 3. Reduced Ioffe-time distribution reduces correlated uncertainties through ratio

In the following, we neglect mixing with scalar quark distribution

- note this appears in factorisation to PDF

$$\frac{\mathrm{d}}{\mathrm{d}\ln\mu^2} \begin{pmatrix} f_q(x,\mu^2) \\ xf_g(x,\mu^2) \end{pmatrix} = \begin{pmatrix} P_{qq}(x,\mu^2) & P_{qg}(x,\mu^2) \\ P_{gq}(x,\mu^2) & P_{gg}(x,\mu^2) \end{pmatrix} \otimes \begin{pmatrix} f_q(\mu^2) \\ xf_g(\mu^2) \end{pmatrix}$$

Smearing

"Smearing" partially restores rotational symmetry: widely-used lattice technique

- construct operators with improved continuum limits, *i.e.* reduced systematic uncertainties +
- suppresses operator mixing
- precisely identify hadronic excited states
- reduce statistical noise



Gradient flow smearing

Gradient flow: deterministic evolution of fields in "flow time" 7 toward classical

$$\frac{\partial}{\partial \tau} B_{\mu}(\tau, x) = D_{\nu} \left(\partial_{\nu} B_{\mu} - \partial_{\mu} B_{\nu} + [B_{\nu}, B_{\mu}] \right) \qquad D_{\nu} = \partial_{\nu} + [B_{\nu}, \cdot]$$

$$\frac{\partial}{\partial \tau}\chi(\tau,x) = D_{\mu}D^{\mu}\chi(\tau,x) \qquad \qquad D_{\mu} = \partial_{\mu} + B_{\mu}$$

Dirichlet boundary conditions

 \cap

$$B_{\mu}(\tau = 0, x) = A_{\mu}(x)$$
 $\chi(\tau = 0, x) = \psi(x)$

Can be implemented on the lattice and solved nonperturbatively

$$\frac{\partial}{\partial \tau} V_{\mu}(\tau, x) = -g_0^2 \left\{ \partial_{x,\mu} S \left[V_{\mu}(\tau, x) \right] \right\} V_{\mu}(\tau, x)$$

Narayanan & Neuberger, JHEP 0603 064 Lüscher, JHEP 1008 071 Lüscher, JHEP 04 (2013) 123

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Gradient flow smearing

Gradient flow: deterministic evolution of fields in "flow time" *t* toward classical minimum

Evolution in flow time corresponds to exponential damping of UV modes





Key result: correlation functions remain finite at finite flow time

Lüscher & Weisz, JHEP O2 (2011) O51 Lüscher, JHEP O4 (2013) 123

Correlators

Signal-to-noise ratio improved and excited state effects reduced through sGEVP

Typical lattice calculation based on 3-point function (and ratio with 2-point function)

 $\langle C_{3pt}(t,t_g)\rangle = \langle 0|T\{O_N(t) O_g(t_g) \bar{O}_N(0)\}|0\rangle$

Summation method

$$C_{3pt}^{i,s}(t) = \sum_{t_g=1}^{t-1} C_{3pt}^i(t, t_g)$$
$$C_{3pt}^i(t, t_g) = \left(C_{2pt}^i(t) - \left\langle C_{2pt}(t) \right\rangle \right) \left(O_g^i(t_g) - \left\langle O_g(t_g) \right\rangle \right)$$
Leads to

$$\mathcal{M}^{\rm eff}(t) = A + B t \exp(-\Delta E t)$$





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Leads to
$$\mathcal{M}^{\text{eff}}(t) = A + B t \exp(-\Delta E t)$$
what we want





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Leads to

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Combined with distillation and GEVP method for operator construction Bouchard *et al.*, PRD 96 (2017) 014504⁴⁰

Reduced loffe-time distribution

Double ratio removes correlated uncertainties and need for renormalization

$$\mathfrak{M}(\nu, z^2) = \left(\frac{\mathcal{M}(\nu, z^2)}{\mathcal{M}(\nu, 0)|_{z=0}}\right) / \left(\frac{\mathcal{M}(0, z^2)|_{p=0}}{\mathcal{M}(0, 0)|_{p=0, z=0}}\right)$$

Connect this ratio to the loffe-time distribution through factorisation

$$\mathfrak{M}(\nu, z^{2}) = \frac{\mathcal{I}_{g}(\nu, \mu^{2})}{\mathcal{I}_{g}(0, \mu^{2})} - \frac{\alpha_{s} N_{c}}{2\pi} \int_{0}^{1} du \, \frac{\mathcal{I}_{g}(u\nu, \mu^{2})}{\mathcal{I}_{g}(0, \mu^{2})} \left\{ \ln\left(\frac{z^{2}\mu^{2}e^{2\gamma_{E}}}{4}\right) B_{gg}(u) + 4\left[\frac{u + \ln(\bar{u})}{\bar{u}}\right]_{+} \right. \\ \left. + \frac{2}{3}\left[1 - u^{3}\right]_{+} \right\} - \frac{\alpha_{s} C_{F}}{2\pi} \ln\left(\frac{z^{2}\mu^{2}e^{2\gamma_{E}}}{4}\right) \int_{0}^{1} dw \, \frac{\mathcal{I}_{S}(w\nu, \mu^{2})}{\mathcal{I}_{g}(0, \mu^{2})} \, \mathfrak{B}_{gq}(w) \, .$$

with

$$\mathcal{I}_{g}(\nu,\mu^{2}) = \frac{1}{2} \int_{-1}^{1} dx \, e^{ix\nu} \, x \, g(x,\mu^{2}) \qquad \qquad B_{gg}(u) = 2 \left[\frac{(1-u\bar{u})^{2}}{1-u} \right]_{+} \qquad \mathfrak{B}_{gq}(w) = \left[1 + (1-w)^{2} \right]_{+41} \, \mathcal{B}_{gg}(w) = \left[1 + (1-w)^{2}$$

Extracting the PDF

Determining the PDF from limited, discrete lattice data is an ill-posed inverse problem

Treat this inverse problem by parameterising the PDF

$$\mathfrak{M}(\nu, z^2) = \int_0^1 dx \, \mathcal{K}(x\nu, \mu^2 z^2) \, \frac{x^{\alpha} \, (1-x)^{\beta}}{B(\alpha+1, \beta+1)}$$

Test systematic effects by modifying parameterisation

$$\mathfrak{M}(\nu, z^2) = \int_0^1 dx \, \mathcal{K}(x\nu, \mu^2 z^2) \, x^\alpha \, (1-x)^\beta \left(\frac{1}{B(\alpha+1, \beta+1)} + d_1^{(\alpha, \beta)} \, J_1^{(\alpha, \beta)}(x)\right)$$
$$\mathfrak{M}(\nu, z^2) = \int_0^1 dx \, \mathcal{K}(x\nu, \mu^2 z^2) \, \frac{x^\alpha \, (1-x)^\beta}{B(\alpha+1, \beta+1)} + \left(\frac{a}{|z|}\right) P_1(\nu)$$

transformed Jacobi

polynomial

Results

Results calculated on a single lattice ensemble of 2+1 stout-smeared Wilson-improved clover fermions and tree-level tadpole-improved Symanzik gauge action

ID	$a \ (fm)$	M_{π} (MeV)	$L^3 \times N_t$	$N_{\rm cfg}$	N_{srcs}
a094m358	0.094(1)	358(3)	$32^3 \times 64$	349	64

- Implement gradient flow via the Wilson flow _
- Momentum smearing for interpolating operators at nonzero momentum _
- Unimproved field strength operator _



$$-\frac{i}{2} \left[P_{\mu\nu}^{(1+1)} - \left[P_{\mu\nu}^{(1+1)} \right]^{\dagger} - \frac{1}{3} \operatorname{Tr} \left\{ P_{\mu\nu}^{(1+1)} - \left[P_{\mu\nu}^{(1+1)} \right]^{\dagger} \right\} \right] = ag^{2} \left[G_{\mu\nu} + \mathcal{O}(a^{2}, a^{2}g^{2}) \right]$$
$$P_{\mu\nu}^{(1+1)} = U_{\mu}(x) U_{\nu}(x + a\widehat{\mu}) U_{\mu}^{\dagger}(x + a\widehat{\nu}) U_{\nu}^{\dagger}(x)$$
(43)

Results: unpolarised gluons





Unpolarised gluon PDFs: the take-home message

Challenging calculations, requiring a suite of sophisticated approaches, but controlled extractions with moderate precision at moderate Bjorken-x feasible in the near future

Polarised gluon PDF in the pseudo distribution framework

Brookhaven National Laboratory

Gluon PDFs: pseudo-distribution formalism for polarised gluons

Unpolarised case

Balitsky, Morris and Radyushkin, PLB 808 (2020) 135621

$$M^{(0)}_{\mu\nu\rho\sigma;H}(P,z) = \left\langle H(P) | G_{\mu\nu}(0,z,\mathbf{0}_{\rm T}) W^{(A)}(z,0) G_{\rho\sigma}(0) | H(P) \right\rangle$$

becomes

Balitsky, Morris and Radyushkin, JHEP 02 (2022) 193

$$M^{(0)}_{\mu\nu\rho\sigma;H}(P,z) = \langle H(P) | G_{\mu\nu}(0,z,\mathbf{0}_{\rm T}) W^{(A)}(z,0) \widetilde{G}_{\rho\sigma}(0) | H(P) \rangle$$

Decompose into invariant amplitudes, but a higher twist term cannot be removed

$$M_{0i;0i}^{(0)}(P,z) + M_{ij;ij}^{(0)}(P,z) = -2P^z E_H \mathcal{M}_{\Delta g/H}(\nu,z^2) + 2E_H^3 z \mathcal{M}_{pp}(\nu,z^2)$$

Gluon PDFs: pseudo-distribution formalism for polarised gluons

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Balitsky, Morris and Radyushkin, PLB 808 (2020) 135621

$$M^{(0)}_{\mu\nu\rho\sigma;H}(P,z) = \left\langle H(P) | G_{\mu\nu}(0,z,\mathbf{0}_{\rm T}) W^{(A)}(z,0) G_{\rho\sigma}(0) | H(P) \right\rangle$$

becomes

Balitsky, Morris and Radyushkin, JHEP O2 (2022) 193

$$M^{(0)}_{\mu\nu\rho\sigma;H}(P,z) = \left\langle H(P) | G_{\mu\nu}(0,z,\mathbf{0}_{\rm T}) W^{(A)}(z,0) \widetilde{G}_{\rho\sigma}(0) | H(P) \right\rangle$$

Decompose into invariant amplitudes, but a higher twist term cannot be removed

$$M_{0i;0i}^{(0)}(P,z) + M_{ij;ij}^{(0)}(P,z) = -2P^{z}E_{H}\mathcal{M}_{\Delta g/H}(\nu, z^{2}) + 2E_{H}^{3}z\mathcal{M}_{pp}(\nu, z^{2})$$

Complicates the analysis! what we want

Results: polarised gluons



Results: polarised gluons



Polarised gluon PDFs: the take-home message

Very challenging calculations, requiring high statistics, but clear opportunity for meaningful contributions from controlled calculations, even with large uncertainties

Summary

Precise extraction of the unpolarised gluon PDF from pseudo-distribution framework

First extraction of the polarised gluon PDF from lattice QCD

evidence for meaningful contributions to our picture, even with large uncertainties

Significant improvement in precision using:

gradient flow smearing; distillation and summed GEVP method; ratio method

Future improvements needed:

- 1. Increased statistics
- 2. Calculation of gluon momentum fraction
- 3. Long-term goal combine with isoscalar quark PDF

Thank you!

Chris Monahan

cjmonahan@wm.edu

Brookhaven National Laboratory

Results: unpolarised gluons



Results: unpolarised gluons



Results



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Results: unpolarised gluons





Gradient flow theory in D+1 dimensions

Gradient flows are, broadly speaking, any solution of steepest descent:

- energy landscape
- field configuration space
- probability measure space

Typically expressed through solutions to PDEs.

E.g. in Euclidean space, gradient flows along f:R \rightarrow R are solutions to

 $\frac{\mathrm{d}x_t}{\mathrm{d}t} = -\nabla f(t)$

Leads to the gradient descent algorithm for finding local minima

Lüscher & Weisz, JHEP 1102 (2011) 51 Lüscher, JHEP 1304 (2013) 123

Gradient flow theory in D+1 dimensions

Gradient flow for boundary theory can be implemented in a D+1 dimensional Lagrangian $S_{GF} = -2 \int_{0}^{\infty} d\tau \int d^{D}x \operatorname{Tr} \left\{ L_{\mu}(\tau, x) \left[\partial_{\tau} B_{\mu}(\tau, x) - D_{\nu} G_{\nu\mu}(\tau, x) \right] \right\}$

Lagrange multiplier field couples to the boundary gauge field only through the bulk field Variation of the action with respect to Lagrange multiplier field imposes gradient flow equation in the bulk

Formalism suited to studying formal properties of the flow; not typically practical

- Generalised BRST invariance constrains counterterms in the bulk
- Guarantees renormalised correlation functions remain finite, up to a fermionic wavefunction renormalisation

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Gradient flow: perturbation theory

Gradient flow in QCD

$$\frac{\partial}{\partial \tau} B_{\mu}(\tau, x) = D_{\nu} \Big(\partial_{\nu} B_{\mu} - \partial_{\mu} B_{\nu} + [B_{\nu}, B_{\mu}] \Big) \qquad D_{\nu} = \partial_{\nu} + [B_{\nu}, \cdot]$$

Dirichlet boundary conditions

 $B_{\mu}(\tau = 0, x) = A_{\mu}(x)$ $\chi(\tau = 0, x) = \psi(x)$

Tree-level expansion and "flow propagator"

$$B_{\mu}(\tau, x) = \int d^{4}y \Big\{ K_{\tau}(x-y)_{\mu\nu} A_{\nu}(y) + \int_{0}^{\tau} d\sigma K_{\tau-\sigma}(x-y)_{\mu\nu} R_{\nu}(\sigma, y) \Big\}$$

where

$$K_{\tau}(x)_{\mu\nu} = \int \frac{\mathrm{d}^4 p}{(2\pi)^4} \frac{e^{ipx}}{p^2} \Big\{ (\delta_{\mu\nu} p^2 - p_{\mu} p_{\nu}) e^{-\tau p^2} + p_{\mu} p_{\nu} \Big\}$$
$$R_{\mu}(\tau, x) = 2[B_{\nu}, \partial_{\nu} B_{\mu}] - [B_{\nu}, \partial_{\mu} B_{\nu}] - [B_{\mu}, \partial_{\nu} B_{\nu}] + [B_{\nu}, [B_{\nu}, B_{\mu}]]$$

Renormalised boundary theory requires no further renormalisation in the bulk

Lüscher & Weisz, JHEP 1102 (2011) 51 Makino & Suzuki, arXiv:1410.7538 61