

Neutrino Oscillations and Theory Biases

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BNL Summer Student Lecture

June 17, 2022



Brookhaven™
National Laboratory



Speaking from the [Setauket](#) territory

About Me

1. Grew up in Michigan
2. Bachelors in physics and math from Rice, '10
3. PhD from Vanderbilt, '16
4. Year at Fermilab working with Stephen Parke, '15-'16
5. Postdoc at the Niels Bohr International Academy, '16-'18
6. Faculty at Brookhaven, '18-present

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Research interests

- ▶ Neutrino oscillations
- ▶ New physics in neutrinos
- ▶ Astroparticle physics
- ▶ Black holes
- ▶ Dark matter

Other interests

- ▶ Ultimate frisbee
- ▶ Hiking
- ▶ Piano
- ▶ Photography

Stop by 2-16 anytime

Key points

- ▶ Measuring neutrinos requires the biggest detectors
- ▶ Quantum mechanical neutrino oscillations occur on human scales
- ▶ Neutrinos continue to **surprise**

Neutrino masses: only left handed neutrinos?

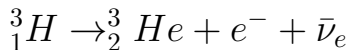
- ▶ Neutrinos: fermions only feel the weak (left) interaction
- ▶ Measure right handed fermions through electric charge
- ▶ Right handed neutrinos won't scatter off anything
- ▶ They don't exist?
- ▶ Neutrinos are massless?

This was the standard assumption until 1998!

Let's do a direct kinematic search

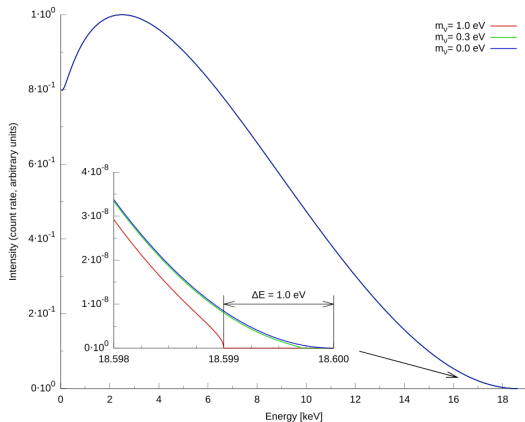


KATRIN 2006

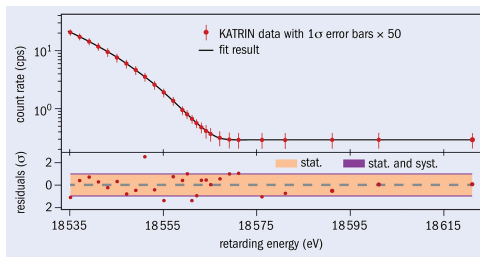


For massless neutrinos, what is the maximum electron energy?

Neutrino masses: kinematic end point is hard



Neutrino masses: kinematic end point is hard



KATRIN 2018

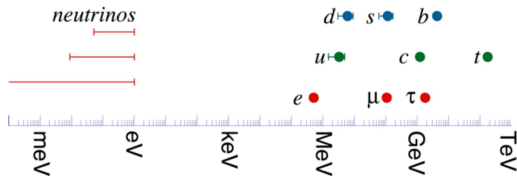
$$m_\nu \lesssim 1 \text{ eV}$$

Neutrino masses: small numbers?

- ▶ Other fermions get their mass from the Higgs field

See H. Davoudiasl's lecture on Tuesday, June 14

- ▶ “Expect” Yukawa couplings: $y \sim 1$
- ▶ Top quark: $y_t \sim 1$, but electron: $y_e \sim 10^{-6}$
- ▶ Neutrinos: $y_\nu < 10^{-12}$ or nothing if no right handed neutrinos
- ▶ Weird?



Big surprise of 1998

- ▶ Electroweak understood, mediators (γ, W, Z) found
- ▶ Strong understood, mediators (gluon) found
- ▶ All fermions detected except tau neutrino (2000), but no surprises expected
- ▶ Higgs boson still to be found
- ▶ Standard Model looks to be in great shape
- ▶ Top movie: Titanic



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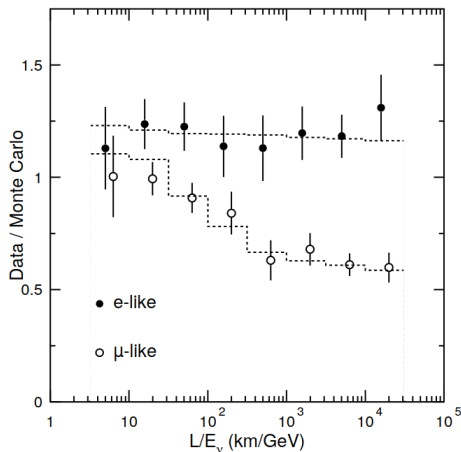
Standard Model



Neutrinos

Atmospheric neutrinos disappear

Cosmic rays hit the atmosphere, produce π^+ , μ , and ν_μ



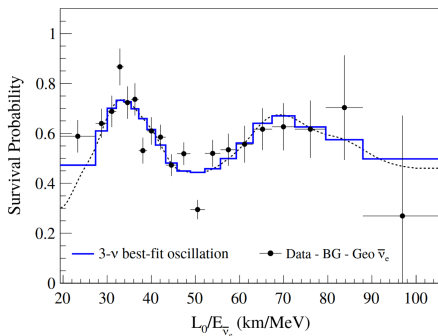
SuperKamiokande [hep-ex/9807003](https://arxiv.org/abs/hep-ex/9807003)

Neutrinos really oscillate

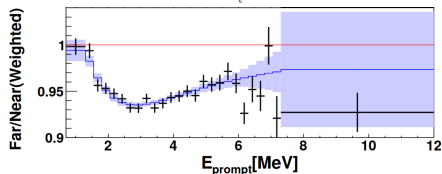
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2. Neutrino oscillate $\Rightarrow$ must mix & masses must be different

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KamLAND [1303.4667](#)



Daya Bay [1809.02261](#)

Two flavor oscillation probability

Only one mixing angle, one $\Delta m_{31}^2 \equiv m_3^2 - m_1^2$, no complex phase

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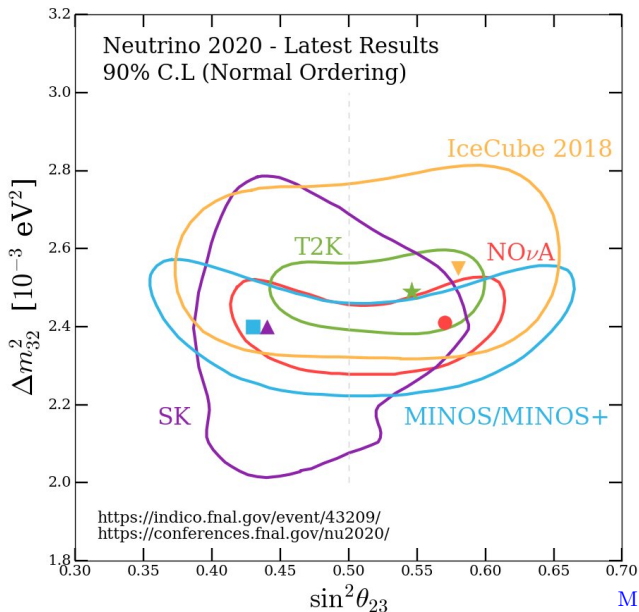
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What angle leads to maximal oscillations?

Atmospheric parameters



M. Ross-Lonergan

Maximal mixing: atmospheric neutrinos

Mixing for atmospheric angles seems to be maximal $\theta_{23} \sim 45^\circ$

	θ_{23}	θ_{13}	θ_{12}	δ
Quarks	2.4°	0.20°	13°	69°
Leptons	$\sim 45^\circ$	X	X	unknown

Was an expectation that mixing angles should be small

Other atmospheric experiments had hints for oscillations, didn't frame it since "mixing angles should be small"

Solar neutrinos

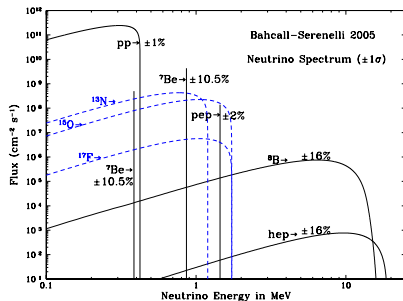
Solar neutrinos

Problem: Too few neutrinos from the sun

Solar neutrinos

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1. John Bahcall predicted the solar neutrino flux



$${}^8\text{B} \text{ flux} \propto T^{24}$$

J. Bahcall et al. [nucl-th/9601044](https://arxiv.org/abs/nuc1-th/9601044)

Solar neutrinos

2. 1960s: Ray Davis's Homestake experiment used chlorine

$$E_{\nu,\text{tr}} = 0.8 \text{ MeV}$$

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- ▶ Neutral current (NC) does not depend on the flavor

$$\nu + X \rightarrow \nu + X$$

Total neutrino flux

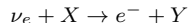
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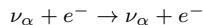
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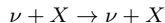


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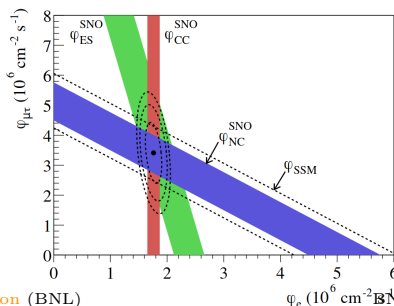
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Total neutrino flux



SNO [nucl-ex/0204008](#)

Solar neutrinos: matter effect

Presence of a dense electron field modifies oscillations

L. Wolfenstein [PRD 17 \(1978\)](#)

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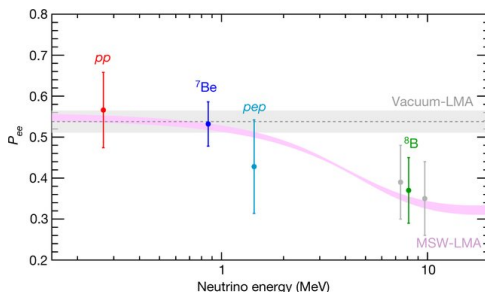
S. Mikheev, A. Smirnov [Nuovo Cim. C9 \(1986\) 17-26](#)

Low energy: no matter effect

High energy: large matter effect

$$P_{ee} \simeq 1 - \frac{1}{2} \sin^2 2\theta_{12}$$

$$P_{ee} \simeq \sin^2 \theta_{12}$$



Borexino

What mixing angle fits this data?

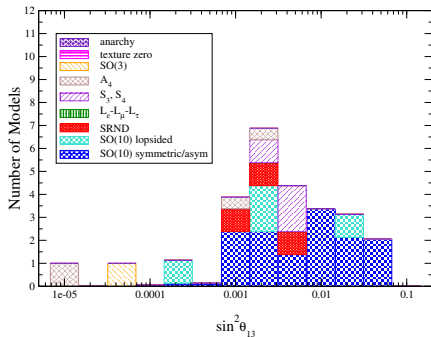
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Models that Predict All 3 Angles

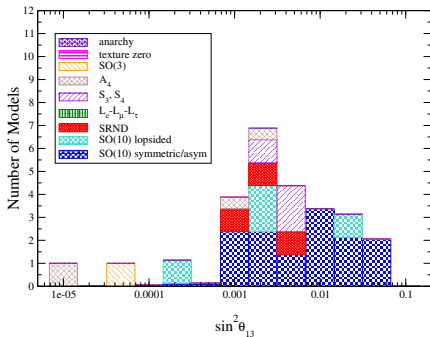


C. Albright, M-C. Chen [hep-ph/0608137](https://arxiv.org/abs/hep-ph/0608137)

	θ_{23}	θ_{13}	θ_{12}	δ
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Leptons	$\sim 45^\circ$	8.5°	33°	unknown

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Models that Predict All 3 Angles



True value:
 $\sin^2 \theta_{13} = 0.02, \theta_{13} = 8.5^\circ$
Quite large!

C. Albright, M-C. Chen [hep-ph/0608137](https://arxiv.org/abs/hep-ph/0608137)

Complex phase: δ

CP violation $\Rightarrow$ particles and antiparticles act differently

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To see CPV in oscillations need:

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In vacuum at first maximum:

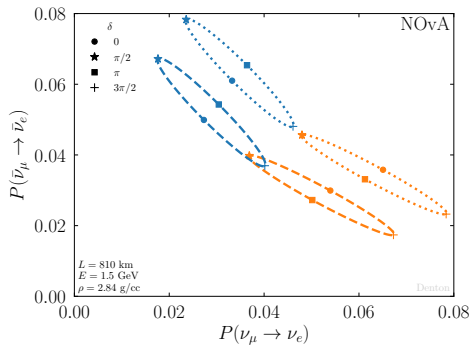
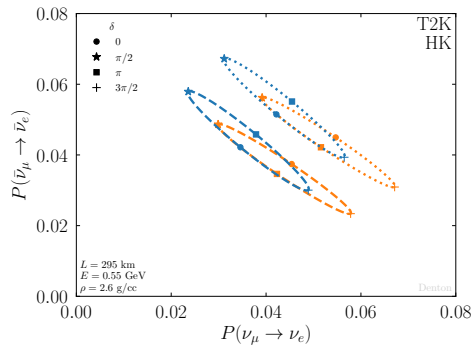
$$P_{\mu e} - \bar{P}_{\mu e} \approx 8\pi J \frac{\Delta m_{21}^2}{\Delta m_{32}^2}$$

$$J \equiv s_{12}c_{12}s_{13}c_{13}^2s_{23}c_{23}\sin\delta$$

C. Jarlskog [PRL 55, 1039 \(1985\)](#)

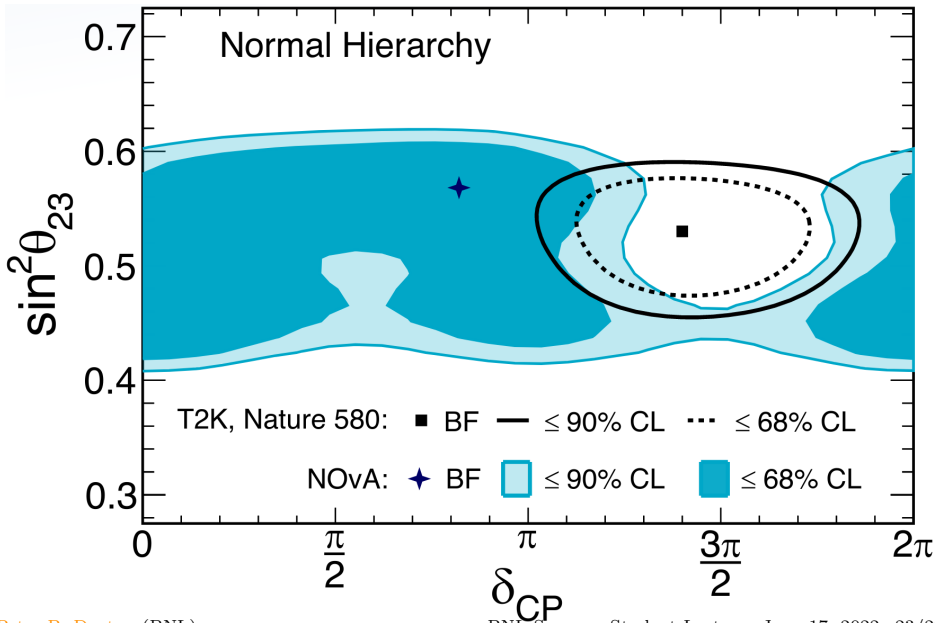
Matter effects are easily accounted for: [PBD](#), S. Parke [1902.07185](#)

Complex phase: δ : how is it measured?



Complex phase: δ : the data

A. Himmel [10.5281/zenodo.3959581](https://zenodo.org/record/3959581)



Neutrino mass generation

1. Neutrinos could well get a Dirac mass term from the Higgs like other fermions

With three new right handed neutrinos

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 $\Rightarrow m_M \sim 10^{15}$ GeV at the unification scale!

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We don't know if/how
this works though



Neutrino oscillation status: today

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- ▶ Oscillations can probe six of them:

1. Δm_{21}^2 : solar & reactor: good

Only have one good measurement of this

2. Δm_{31}^2 : atmospheric, accelerator, & reactor:
know the magnitude, not the sign

3. θ_{12} : solar & reactor: good

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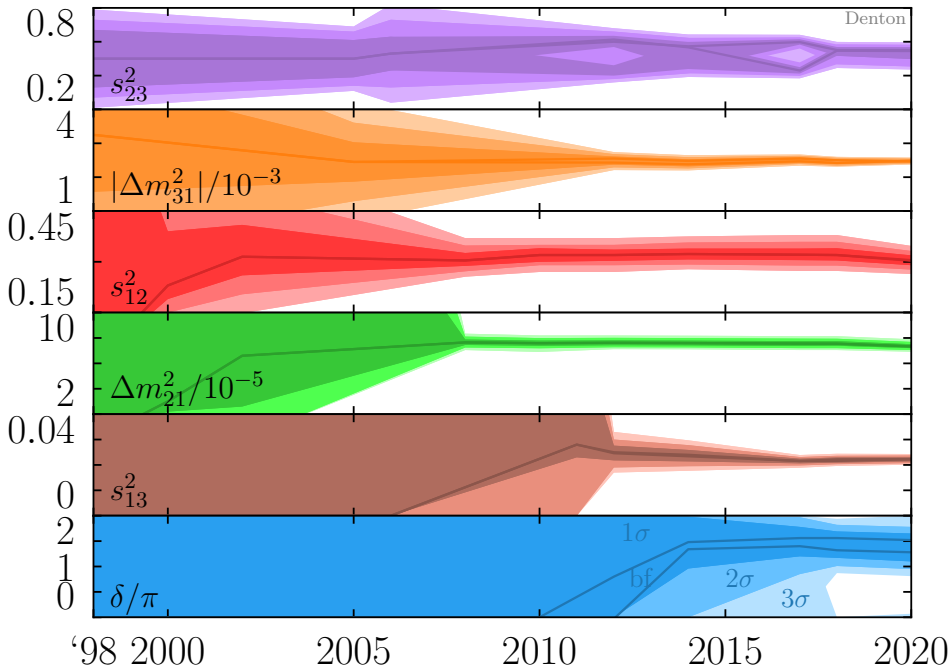
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Four remaining known unknowns in particle physics: all neutrinos!



Precision is coming to neutrino physics

Discussion time!

Backups

Schrödinger equation

Neutrinos propagate in eigenstates of the Hamiltonian

$$i\frac{d}{dt}|\nu\rangle = H|\nu\rangle$$

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$$|\nu_i(L)\rangle = e^{-iE_i L} |\nu_i(0)\rangle \rightarrow e^{-im_i^2 L/2E} |\nu_i(0)\rangle$$

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$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle \quad \alpha \in \{e, \mu, \tau\}$$

U is a unitary 3×3 matrix which has four degrees of freedom

Unitarity $\Rightarrow$ 9 dofs, rephasing $\Rightarrow 9 - 5 = 4$

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The physical observable is the probability: $P(\nu_\alpha \rightarrow \nu_\beta; L, E)$

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Discrete symmetries:

$$T : L \rightarrow -L, \quad CP : \nu \leftrightarrow \bar{\nu} \Leftrightarrow U_{\alpha i} \rightarrow U_{\alpha i}^* \Leftrightarrow E \rightarrow -E$$

Assume CPT is conserved: $P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$

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Discrete symmetries:

$$T : L \rightarrow -L, \quad CP : \nu \leftrightarrow \bar{\nu} \Leftrightarrow U_{\alpha i} \rightarrow U_{\alpha i}^* \Leftrightarrow E \rightarrow -E$$

Assume CPT is conserved: $P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$

Assume that E and direction don't change during propagation

Coherent propagation

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- ▶ Decohered probabilities are easy!

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_{i=1}^3 P_{\alpha i} P_{i i} P_{i \beta} = \sum_{i=1}^3 |U_{\alpha i}|^2 |U_{\beta i}|^2$$

Everything is at the probability level not the amplitude level
This is the same expression as oscillation averaged probabilities

Three flavor

Three angles, three Δm^2 (two are close), one complex phase

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It is less easy to show that:

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\alpha) = & 1 - 4|U_{\alpha 1}|^2|U_{\alpha 2}|^2 \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right) \\ & - 4|U_{\alpha 1}|^2|U_{\alpha 3}|^2 \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) \\ & - 4|U_{\alpha 2}|^2|U_{\alpha 3}|^2 \sin^2 \left(\frac{\Delta m_{32}^2 L}{4E} \right) \end{aligned}$$

Many different ways to write these probabilities

Three flavor: appearance

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) = & -4\Re[U_{\alpha 1}U_{\beta 1}^*U_{\alpha 2}^*U_{\beta 2}] \sin^2\left(\frac{\Delta m_{21}^2 L}{4E}\right) \\ & -4\Re[U_{\alpha 1}U_{\beta 1}^*U_{\alpha 3}^*U_{\beta 3}] \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right) \\ & -4\Re[U_{\alpha 2}U_{\beta 2}^*U_{\alpha 3}^*U_{\beta 3}] \sin^2\left(\frac{\Delta m_{32}^2 L}{4E}\right) \\ & +8\Im[U_{\alpha 1}U_{\beta 1}^*U_{\alpha 2}^*U_{\beta 2}] \sin\left(\frac{\Delta m_{21}^2 L}{4E}\right) \sin\left(\frac{\Delta m_{31}^2 L}{4E}\right) \sin\left(\frac{\Delta m_{32}^2 L}{4E}\right) \end{aligned}$$

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Final coefficient:

$$8\Im[U_{\alpha 1}U_{\beta 1}^*U_{\alpha 2}^*U_{\beta 2}] \equiv 8J = 8s_{12}c_{12}s_{13}c_{13}^2s_{23}c_{23} \sin \delta$$

This is the same for all appearance channels (up to sign)

C. Jarlskog [PRL 55 \(1985\)](#)

$$s_{ij} = \sin \theta_{ij}, \quad c_{ij} = \cos \theta_{ij}$$

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5. This follows from CPT. CP: $\delta \rightarrow -\delta$ and T is $L \rightarrow -L$

Matter effect causes apparent CPT violation

Matter effect: constant

Call Schrödinger equation's eigenvalues m_i^2 and eigenvectors U_i .

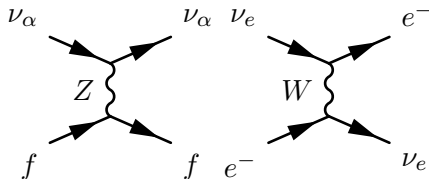
$$\mathcal{A}(\nu_\alpha \rightarrow \nu_\beta) = \sum_{i=1}^3 U_{\alpha i}^* e^{-im_i^2 L/2E} U_{\beta i} \quad P = |\mathcal{A}|^2$$

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In matter ν 's propagate in a new basis that depends on $a \propto N_e E_\nu$.



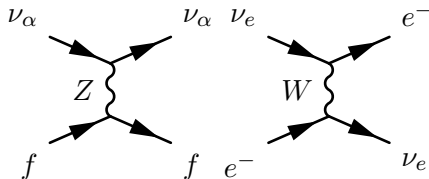
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Eigenvalues: $m_i^2 \rightarrow \widehat{m_i^2}(a)$

Eigenvectors are given by $\theta_{ij} \rightarrow \widehat{\theta}_{ij}(a) \quad \Leftarrow \quad \text{Unitarity}$

Hamiltonian dynamics

$$H_{\text{flav}} = \frac{1}{2E} \left[U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} a & & \\ & 0 & \\ & & 0 \end{pmatrix} \right]$$

$a = 2\sqrt{2}G_F N_e E$

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Find eigenvalues and eigenvectors:

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H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

K. Kimura, A. Takamura, H. Yokomakura [hep-ph/0205295](#)

[PBD](#), S. Parke, X. Zhang [1907.02534](#)

Matter effect: varying

Solar neutrinos in an adiabatically changing matter potential

Solution = MSW effect

S. Mikheev, A. Smirnov [Nuovo Cim. C9 \(1986\) 17-26](#)

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Bonus question: do we see more solar neutrinos at day or night?

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Neutrinos in SNe experience MSW effect too,
but they also experience neutrino-neutrino interactions

Propagation in SNe is much more involved

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If ν_R (or $\bar{\nu}_L$) existed it would be a gauge singlet

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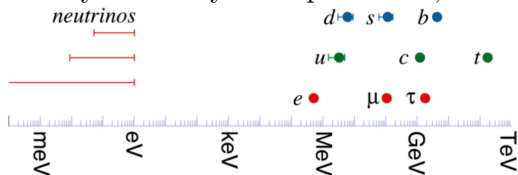
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Perfectly valid way to acquire mass, but ...



Neutrino Yukawa couplings $\lesssim 10^{-12}$

But electron Yukawa coupling $\sim 10^{-6}$

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5. Difference is only relevant phenomenologically for $p_\nu \sim m_\nu$

Cosmic neutrino background

Internal leg in neutrinoless double beta decay diagram

Seesaw

Majorana mass term does not forbid Dirac mass term
Many different seesaw realizations

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$$\mathcal{L} \supset -m_D \bar{\nu}_L N_R - \frac{1}{2} M_R (\overline{N^c})_L N_R$$



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5. Diagonalize the mass matrix between bare and mass bases

$$\mathbb{N}^\dagger \begin{pmatrix} 0 & m_D \\ m_D & M_R \end{pmatrix} \mathbb{N}^* = \begin{pmatrix} m_\nu & 0 \\ 0 & M_N \end{pmatrix}, \quad \begin{pmatrix} \nu \\ N^c \end{pmatrix}_L = \mathbb{N} \begin{pmatrix} \nu_m \\ N_m^c \end{pmatrix}$$

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6. Physical mass terms for $M_R \gg m_D$:

$$m_\nu \approx -\frac{m_D^2}{M_R}, \quad M_N \approx M_R$$

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KamLAND

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- ▶ Accelerator LBL ν_e appearance: $\pm \Delta m_{31}^2$, $\pm \cos 2\theta_{23}$, θ_{13} , δ
T2K, NOvA, T2HK, DUNE

Experiment to Oscillation Parameters

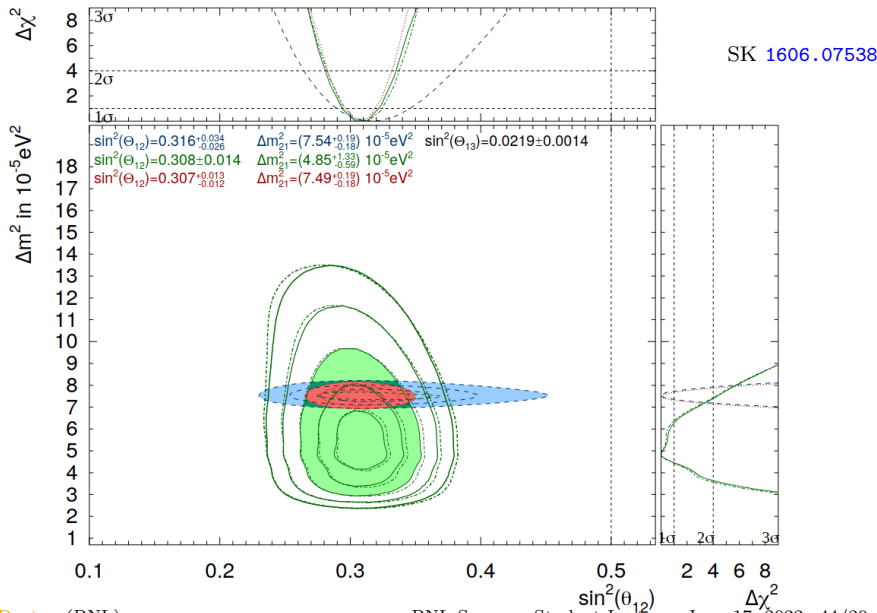
Six oscillation parameters: $\theta_{12}, \theta_{13}, \theta_{23}, \delta, \Delta m_{21}^2, \Delta m_{31}^2$

- ▶ Atmospheric ν_μ disappearance $\rightarrow \sin 2\theta_{23}, |\Delta m_{31}^2|$
SuperK, IMB, IceCube
- ▶ Solar ν_e disappearance $\rightarrow \pm \cos 2\theta_{12}, \pm \Delta m_{21}^2$
SNO, Borexino, SuperK
- ▶ Reactor ν_e disappearance:
 - ▶ LBL $\rightarrow \sin 2\theta_{12}$ and $|\Delta m_{21}^2|$
KamLAND
 - ▶ Future LBL $\rightarrow \pm \Delta m_{31}^2$
JUNO
 - ▶ MBL $\rightarrow \theta_{13}, |\Delta m_{31}^2|$
Daya Bay, RENO, Double Chooz
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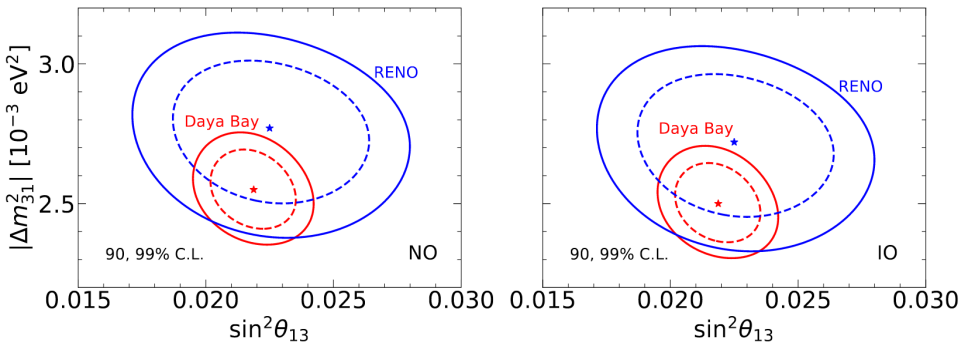
7th parameter: absolute mass scale

Cosmology, KATRIN, $0\nu\beta\beta$

Solar parameters: SK, SNO, KamLAND



Reactor parameters



P. F. de Salas, et al. [2006.11237](#)

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Relationship between δ and CP violation: [PBD](#), R. Pestes [2006.09384](#)

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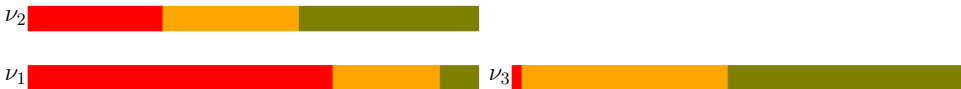
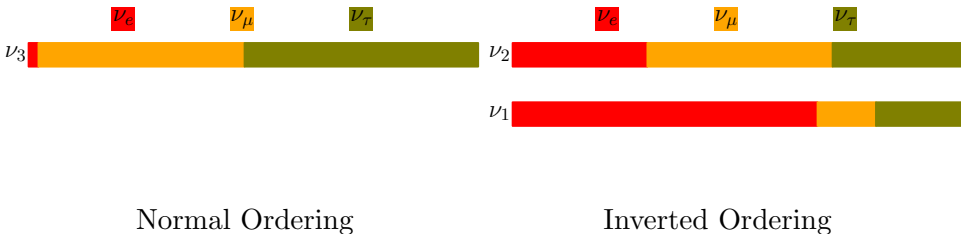
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Normal Ordering



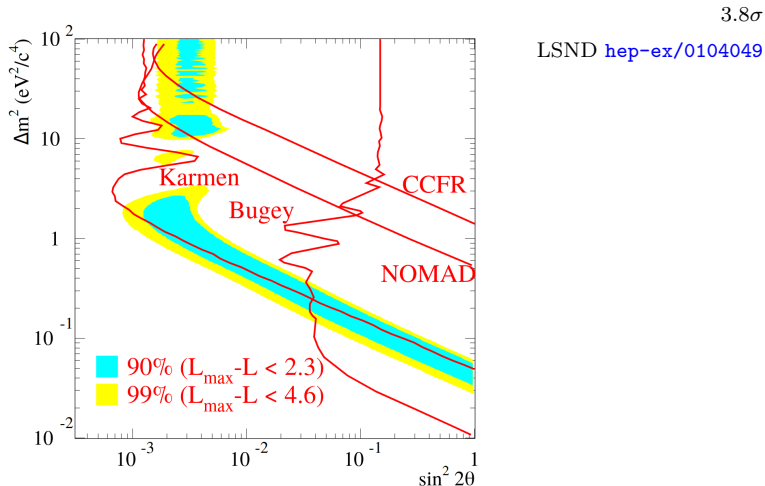
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LSND sees a ~ 1 eV sterile?

LSND at Los Alamos:

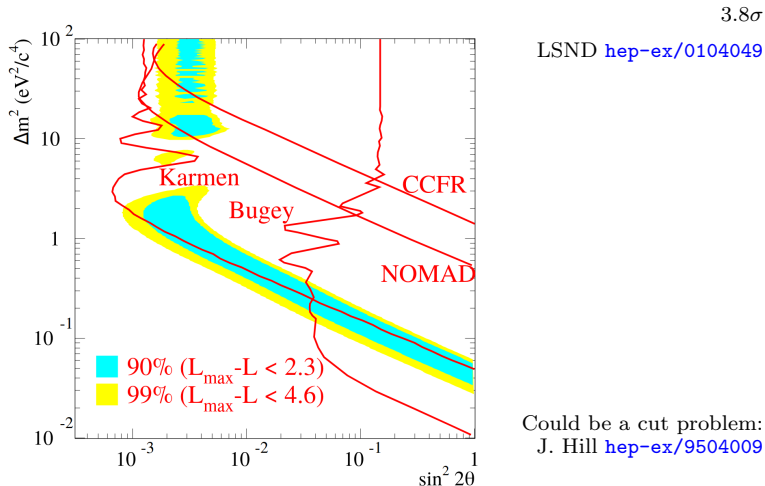
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Latest MiniBooNE results

MiniBooNE 1805.12028

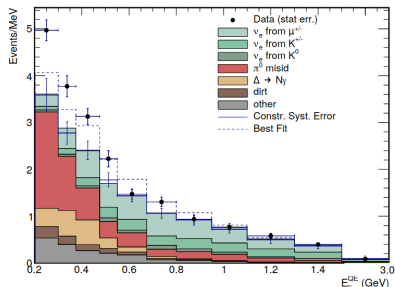


FIG. 1: The MiniBooNE neutrino mode E_{ν}^{QE} distributions, corresponding to the total 12.84×10^{20} POT data, for ν_e CCQE data (points with statistical errors) and background (histogram with systematic errors). The dashed curve shows the best fit to the neutrino-mode data assuming two-neutrino oscillations. The last bin is for the energy interval from 1500-3000 MeV.

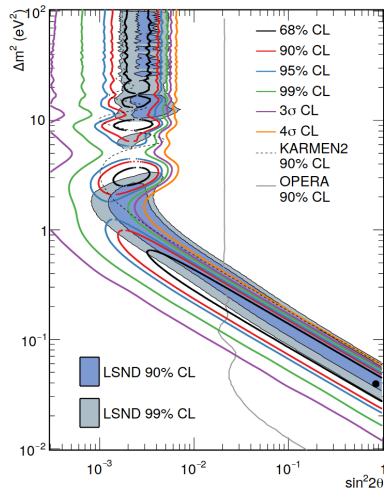


FIG. 3: MiniBooNE allowed regions in neutrino mode (12.84×10^{20} POT) for events with $200 < E_{\nu}^{QE} < 3000$ MeV within a two-neutrino oscillation model. The shaded areas show the 90% and 99% C.L. LSND $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$ allowed regions. The black point shows the MiniBooNE best fit point. Also shown are 90% C.L. limits from the KARMEN [37] and OPERA [38] experiments.

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GALLEX [PLB 342 \(1995\) 440](#)

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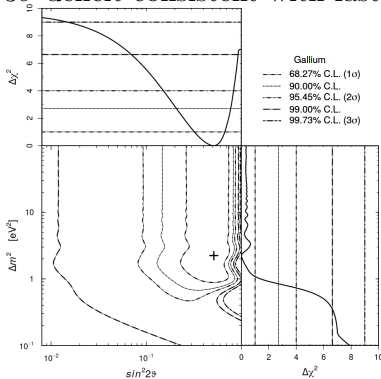
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C. Giunti, M. Laveder [1006.3244](#)

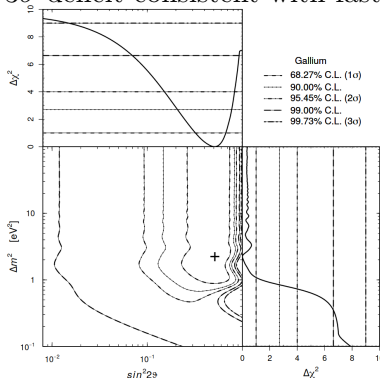
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C. Giunti, M. Laveder [1006.3244](#)

4. Using improved nuclear shell models: $3.0\sigma \rightarrow 2.3\sigma$

J. Kostensalo, et al. [1906.10980](#)

Reactor anti-neutrino anomaly

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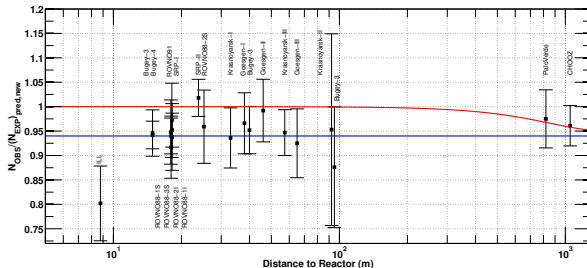
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Large frequency $\Rightarrow$ large Δm_{41}^2

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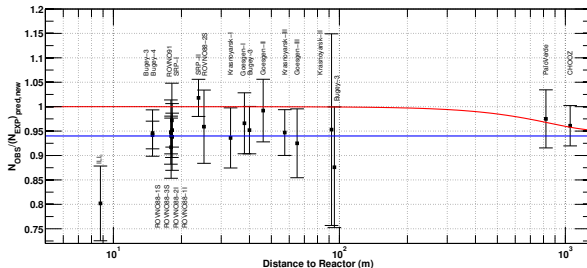
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- ▶ Deficit compared to theory
 $\Rightarrow \Delta m_{41}^2 \gtrsim 1.5 \text{ eV}^2 \sin^2 2\theta_{14} \sim 0.14$

G. Mention, et al. [1101.2755](#)

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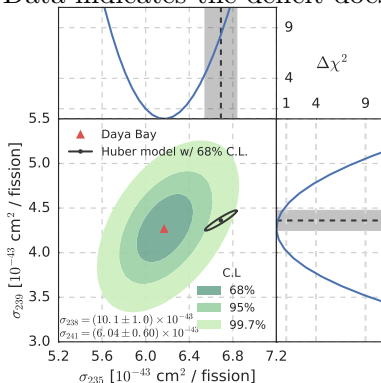
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Daya Bay [1704.01082](#)

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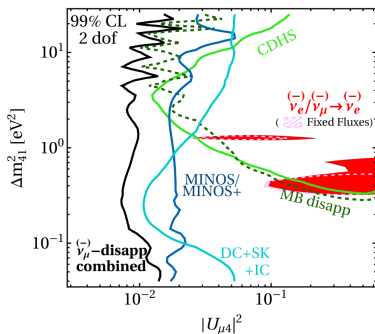
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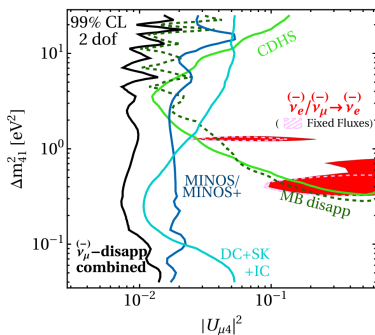
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M. Dentler, et al. [1803.10661](#)

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M. Dentler, et al. [1803.10661](#)

- Are also cosmological bounds

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ANITA [1803.05088](#)

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 - ▶ Flavor changing CP violating non-standard interactions
 - ▶ Model preference is slight $\sim 2\sigma$
 - ▶ Testable at IceCube and COHERENT
PBD, J. Gehrlein, R. Pestes [2008.01110](#)

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6. Note that the NC term in the matter effect matters now

Steriles: 1 eV

For:

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2. MiniBooNE
3. Gallium
4. Reactor anti-neutrino

Against:

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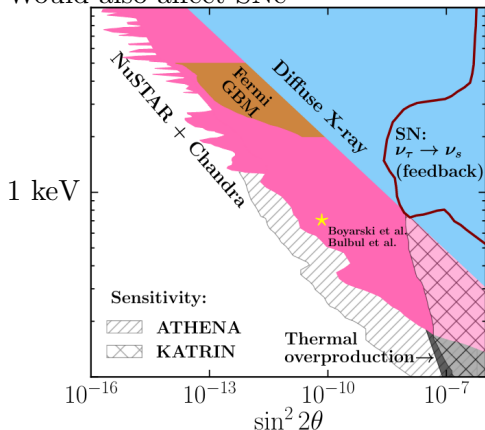
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Ongoing/upcoming probes:

1. MicroBooNE $\rightarrow$ Short baseline neutrino program (three detectors)
2. Short baseline reactor experiments: see wiggles directly!
NEOS, DANSS, PROSPECT

Steriles: keV

- ▶ keV sterile neutrinos can be DM
- ▶ Would be a bit high in temperature
- ▶ A possible hint of their existence at 7 keV
- ▶ Would also affect SNe



A. Suliga, I. Tamborra, M. Wu [2004.11389](#)

Steriles: GeV+

If they are heavy they won't affect oscillations, just kinematics

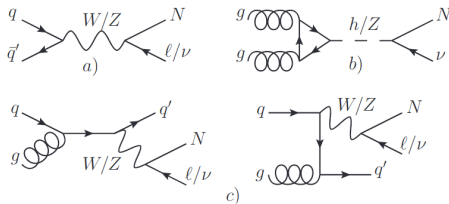
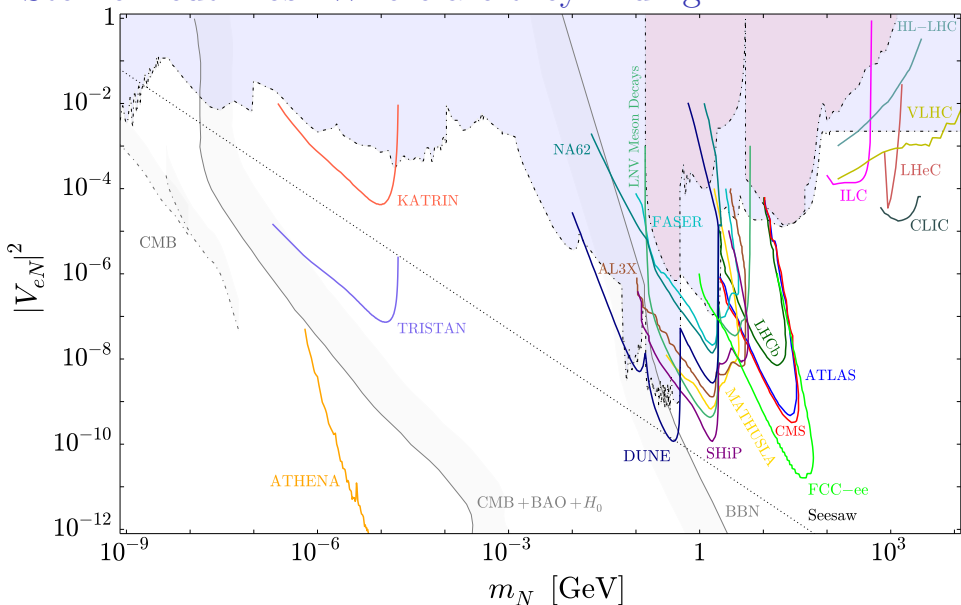


Figure 7. HNL production channels: a) Drell-Yan-type process; b) gluon fusion; c) quark-gluon fusion.

K. Bondarenko, et al. [1805.08567](#)

- ▶ Look in colliders, beam dumps
- ▶ Battle between energy and intensity

Sterile Neutrinos: Where are they Hiding?



F. Deppisch [CERN Neutrino Platform '19](#)

BNL Summer Student Lecture: June 17, 2022 60/29

Non-standard neutrino interactions

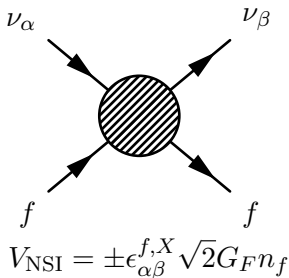
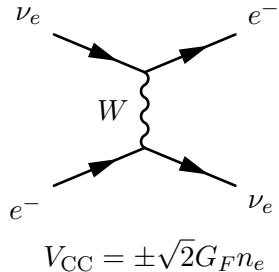
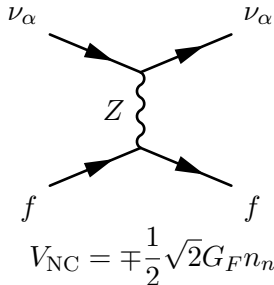
What if there was a new matter-effect like interaction?

L. Wolfenstein [PRD 17 \(1978\)](#)

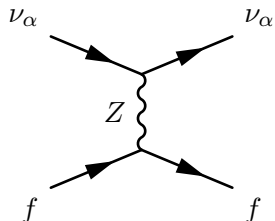
Recent overview: [PBD](#), et al. [1907.00991](#)

- ▶ Can affect propagation, production, detection
- ▶ Scales like the matter potential
- ▶ Can have own non-trivial flavor & CP violating structure
- ▶ Testable in scattering experiments, early universe, and SNe
- ▶ Leads to a degeneracy: mass ordering can't be determined

Matter Effects in Feynman Diagrams

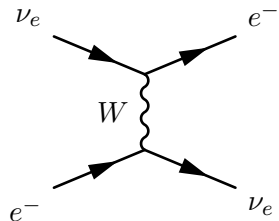


Matter Effects in Feynman Diagrams



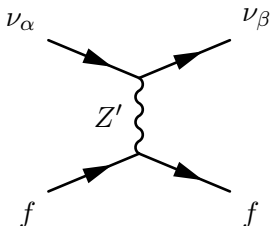
A Feynman diagram representing a neutral current interaction. It consists of a central vertical wavy line labeled Z . On the left side, an incoming line labeled ν_α and an outgoing line labeled ν_α meet at a vertex. On the right side, an incoming line labeled f and an outgoing line labeled f meet at another vertex. Arrows on all lines indicate the direction of particle flow.

$$V_{\text{NC}} = \mp \frac{1}{2} \sqrt{2} G_F n_n$$



A Feynman diagram representing a charged current interaction. It consists of a central vertical wavy line labeled W . On the left side, an incoming line labeled ν_e and an outgoing line labeled e^- meet at a vertex. On the right side, an incoming line labeled e^- and an outgoing line labeled ν_e meet at another vertex. Arrows on all lines indicate the direction of particle flow.

$$V_{\text{CC}} = \pm \sqrt{2} G_F n_e$$



A Feynman diagram representing a non-standard interaction. It consists of a central vertical wavy line labeled Z' . On the left side, an incoming line labeled ν_α and an outgoing line labeled ν_β meet at a vertex. On the right side, an incoming line labeled f and an outgoing line labeled f meet at another vertex. Arrows on all lines indicate the direction of particle flow.

$$V_{\text{NSI}} = \frac{n_f}{2} \frac{g_\nu g_f}{q^2 + m_{Z'}^2}$$

NSI at the Hamiltonian Level

$$H^{\text{vac}} = \frac{1}{2E} U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger$$

$$H^{\text{mat,SM}} = \frac{a}{2E} \begin{pmatrix} 1 & & \\ & 0 & \\ & & 0 \end{pmatrix}$$

NSI at the Hamiltonian Level

$$H^{\text{vac}} = \frac{1}{2E} U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger$$

$$H^{\text{mat,SM}} = \frac{a}{2E} \begin{pmatrix} 1 & & \\ & 0 & \\ & & 0 \end{pmatrix}$$

$$H^{\text{mat,NSI}} = \frac{a}{2E} \begin{pmatrix} \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix}$$

$$H = H^{\text{vac}} + H^{\text{mat,SM}} + H^{\text{mat,NSI}}$$

NSI at the Lagrangian Level

EFT Lagrangian:

$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \sum_{f,P,\alpha,\beta} \epsilon_{\alpha,\beta}^{f,P} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P f)$$

$$\text{with } \Lambda = \frac{1}{\sqrt{2}\sqrt{2}\epsilon G_F}.$$

NSI at the Lagrangian Level

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Simplified model Lagrangian:

$$\mathcal{L}_{\text{NSI}} = g_\nu Z'_\mu \bar{\nu} \gamma^\mu \nu + g_f Z'_\mu \bar{f} \gamma^\mu f$$

which gives a potential

$$V_{\text{NSI}} \propto \frac{g_\nu g_f}{q^2 + m_{Z'}^2}$$

NSI at the Lagrangian Level

EFT Lagrangian:

$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \sum_{f,P,\alpha,\beta} \epsilon_{\alpha,\beta}^{f,P} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P f)$$

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which gives a potential

$$V_{\text{NSI}} \propto \frac{g_\nu g_f}{q^2 + m_{Z'}^2}$$

Models with large NSIs consistent with CLFV:

Y. Farzan, I. Shoemaker [1512.09147](#) Y. Farzan, J. Heeck [1607.07616](#)

D. Forero and W. Huang [1608.04719](#) U. Dey, N. Nath, S. Sadhukhan [1804.05808](#)

K. Babu, A. Friedland, P. Machado, I. Mocioiu [1705.01822](#) Y. Farzan [1912.09408](#)

PBD, Y. Farzan, I. Shoemaker [1804.03660](#)

Neutrino Decay

Since neutrinos have different masses, they decay

- ▶ Loop suppressed
- ▶ Long lifetime: $\tau \gtrsim 10^{35}$ years

Test this!

Typical Lagrangian for $\nu_i \rightarrow \nu_j + \phi$ with $m_i > m_j$

$$\mathcal{L} \supset \frac{g_{ij}}{2} \bar{\nu}_j \nu_i \phi + \frac{g'_{ij}}{2} \bar{\nu}_j i \gamma_5 \nu_i \phi$$

Neutrino Decay Phenomenology

Neutrino decay is phenomenologically classified into:

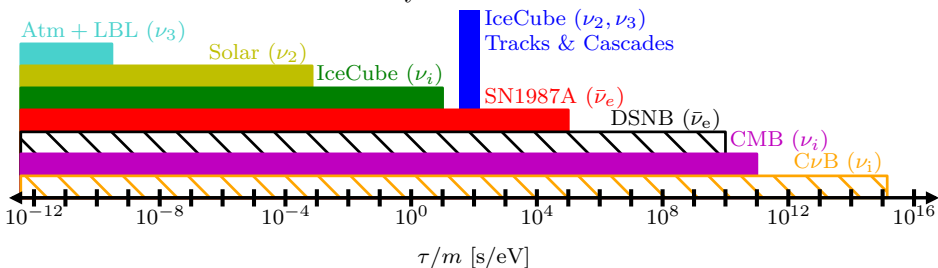
- ▶ **Invisible decay:**

- ▶ The decay products are sterile or too low energy to be detected
- ▶ Results in a *depletion* of the flux below the relevant energy

- ▶ **Visible decay:**

- ▶ Decay products are detected
- ▶ In addition to depletion, there is *regeneration*
- ▶ Regeneration happens at a lower energy than depletion

Invisible ν Decay Constraints and Evidence



τ/m [s/eV]

M. Gonzalez-Garcia and M. Maltoni 0802.3699

J. Berryman, A. de Gouvea, D. Hernandez 1411.0308

G. Pagliaroli, et al. 1506.02624

PBD, I. Tamborra 1805.05950

Kamiokande-II, PRL 58 1490 (1987)

S. Ando hep-ph/0307169

S. Hannestad, G. Raffelt hep-ph/0509278

A. Long, C. Lunardini, E. Sabancila 1405.7654

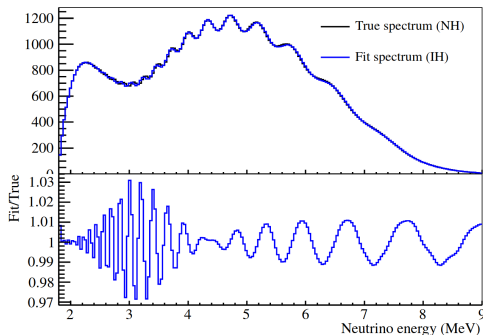
Other new physics searches

1. Unitary violation
2. Decoherence
3. Lorentz invariance violation and CPT violation
4. Dark matter interactions
5. Neutrino magnetic moment
6. Combination of new physics scenarios
7. $\vdots$

Next generation oscillation experiments

JUNO: KamLAND 2.0, coming online in ~ 1 year

1. Improved measurement of solar parameters θ_{12} , Δm_{21}^2
2. Measurements of MBL reactor parameters θ_{13} , Δm_{31}^2
3. Mass ordering measurement by Δm_{31}^2 vs. Δm_{32}^2 discrimination



JUNO 1508.07166

Next generation oscillation experiments

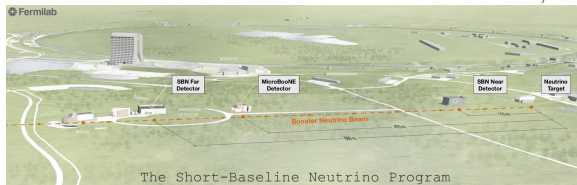
► Accelerator

► Short baseline neutrino program at Fermilab

MicroBooNE: taking data since 2015

Short baseline neutrino detector (SBND): near detector, coming online nowish

ICARUS: far detector, coming online nowish



P. Machado, O. Palamara, D. Schmitz [1903.04608](#)

Next generation oscillation experiments

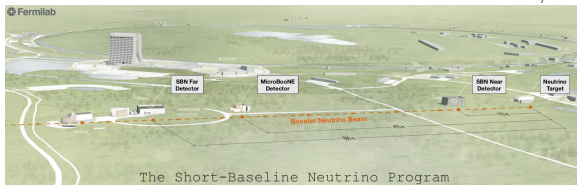
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P. Machado, O. Palamara, D. Schmitz [1903.04608](#)

- ▶ DUNE from Fermilab to SURF in South Dakota: 6+ years out

1300 km: longest long-baseline accelerator experiment

Broadband beam peaked at ~ 2.5 GeV: highest energy accelerator experiment

Next generation oscillation experiments

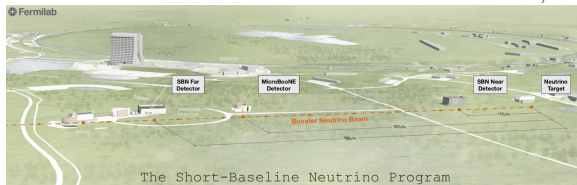
- ▶ Accelerator

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P. Machado, O. Palamara, D. Schmitz [1903.04608](#)

- ▶ DUNE from Fermilab to SURF in South Dakota: 6+ years out

1300 km: longest long-baseline accelerator experiment

Broadband beam peaked at ~ 2.5 GeV: highest energy accelerator experiment

- ▶ T2HK in Japan: Similar to T2K: 5+ years out

Increasing protons on target (POT)

New far detector, HyperK

Next generation oscillation experiments

Hyper-KamiokaNDE: A new much larger SuperK-like detector under a different mountain

- ▶ Long-baseline program is called T2HK
- ▶ Will have additional solar neutrino physics
 - Less sensitive than SK due to less overburden and more backgrounds
- ▶ Atmospheric neutrinos
- ▶ Galactic supernova neutrinos
- ▶ Diffuse supernova neutrino background (DSNB)

Super-K was loaded with Gadolinium last year to reduce backgrounds to detect the DSNB

Next generation oscillation experiments

Possible future oscillation experiments

- ▶ T2HKK: Put one of the HK detectors in Korea
- ▶ ESSnuSB: Long baseline accelerator experiment in Sweden

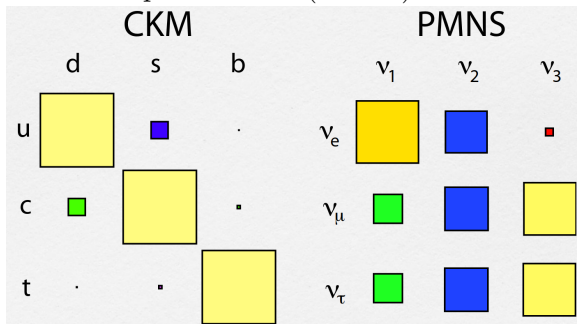
The above two are targeting the second oscillation appearance maximum

- ▶ INO: Magnetized atmospheric experiment in India
- ▶ Neutrino factory: muon storage ring
- ▶ ⋮

Flavor models

Quark matrix (CKM) is perturbative

Lepton matrix (PMNS) isn't

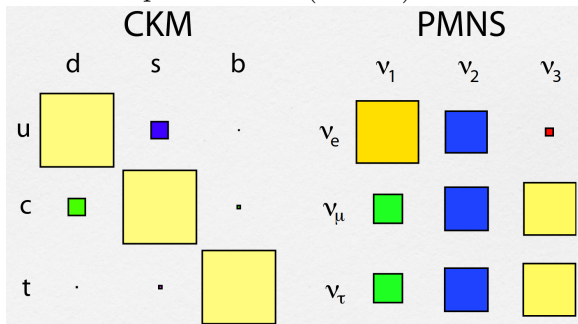


Review: S. King [1510.02091](#)

Flavor models

Quark matrix (CKM) is perturbative

Lepton matrix (PMNS) isn't



Review: S. King [1510.02091](#)

Is there any structure?

Flavor models

Popular early models: Bimaximal, tri-bimaximal, & golden ratio

All predicted $U_{e3} = 0 \Rightarrow \theta_{13} = 0$

Now know $\theta_{13} = 8.5^\circ$

$$U_{TBM} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Flavor models

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Need more degrees of freedom: sum rules

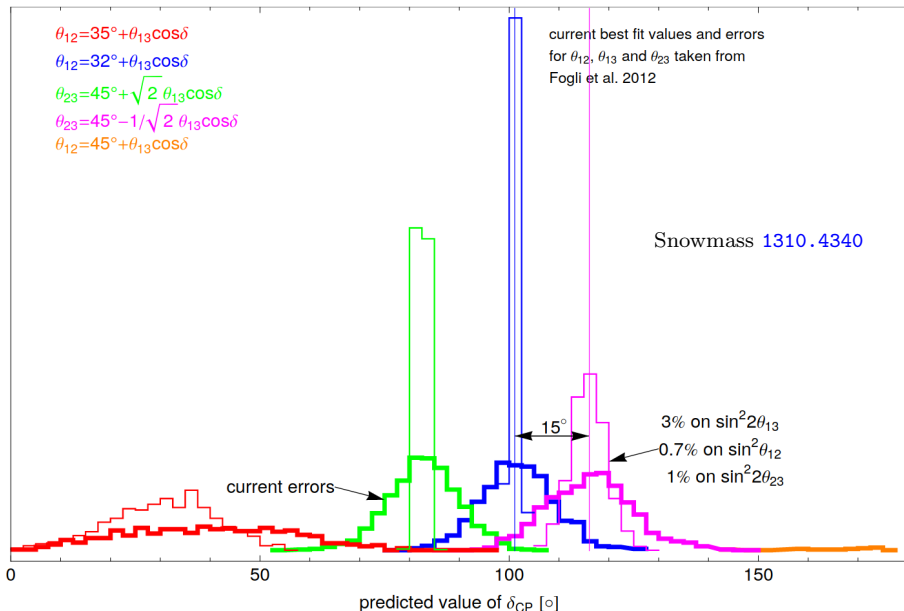
Perhaps:

$$U = \begin{pmatrix} c_\phi & s_\phi e^{-i\psi} & 0 \\ -s_\phi e^{i\psi} & c_\phi & 0 \\ 0 & 0 & 1 \end{pmatrix} U_{TBM}$$

which predicts:

$$\cos \delta \approx \frac{\theta_{12} - \sin^{-1} \frac{1}{\sqrt{3}}}{\theta_{13}}$$

Flavor models



Related topics that were skipped

- ▶ Absolute mass scale measurements
 - ▶ Cosmological/astrophysical measurements
 - ▶ Neutrino-less double beta decay
 - ▶ Tritium end point
- ▶ Supernova neutrinos
 - ▶ Galactic and diffuse background
 - ▶ Physics during propagation and inside SNe
- ▶ High energy astrophysical flux
 - ▶ IceCube (10 years ago) and its upgrade (soon)
 - ▶ KM3NeT/ARCA/ANTARES (construction ongoing)
 - ▶ Baikal GVD (construction ongoing)
 - ▶ ANITA (has performed several balloon flights)
 - ▶ GRAND, POEMMA, P-ONE, ARA, ARIANNA, RNO, PUEO, BEACON, TAROGE (none are funded ... yet!)
- ▶ Many other oscillation BSM scenarios
 - ▶ Decoherence
 - ▶ Lorentz invariance or CPT violation
 - ▶ Dark matter interactions
 - ▶ Unitarity violation
- ▶ Leptogenesis
- ▶ Early universe measurements of neutrino properties
- ▶ Neutrino cross sections
 - ▶ Coherent elastic ν nucleus scattering (CEvNS) at COHERENT, ...
- ▶ Geoneutrinos

Hamiltonian Dynamics

$$H_{\text{flav}} = \frac{1}{2E} \left[U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} a & & \\ & 0 & \\ & & 0 \end{pmatrix} \right]$$

$$a = 2\sqrt{2}G_F N_e E$$

Hamiltonian Dynamics

$$H_{\text{flav}} = \frac{1}{2E} \left[U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} a & & \\ & 0 & \\ & & 0 \end{pmatrix} \right]$$

$$a = 2\sqrt{2}G_F N_e E$$

$$U = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}, c_{ij} = \cos \theta_{ij}$$

PBD, R. Pestes [2006.09384](#)

Hamiltonian Dynamics

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$$s_{ij} = \sin \theta_{ij}, c_{ij} = \cos \theta_{ij}$$

Find eigenvalues and eigenvectors:

PBD, R. Pestes [2006.09384](#)

$$H_{\text{flav}} = \frac{1}{2E} \hat{U} \begin{pmatrix} 0 & & \\ & \widehat{\Delta m}_{21}^2 & \\ & & \widehat{\Delta m}_{31}^2 \end{pmatrix} \hat{U}^\dagger$$

J. Kopp [physics/0610206](#)

Computationally works, but we can do better than a **black box**...

Analytic expression?

Analytic Oscillation Probabilities in Matter

☑ Solar: $P_{ee} \simeq \sin^2 \theta_\odot$

Approx: S. Mikheev, A. Smirnov [Nuovo Cim. C9 \(1986\) 17-26](#)

Exact: S. Parke [PRL 57 \(1986\) 2322](#)

☑ Long-baseline: All three flavors

Exact: H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

Approx: [PBD](#), H. Minakata, S. Parke, [1604.08167](#)

Review: G. Barenboim, [PBD](#), S. Parke, C. Ternes [1902.00517](#)

☑ ν_e disappearance (neutrino factory):

$$\Delta \widehat{m}_{ee}^2 = \widehat{m}_3^2 - (\widehat{m}_1^2 + \widehat{m}_2^2 - \Delta m_{21}^2 c_{12}^2)$$

[PBD](#), S. Parke, [1808.09453](#)

☐ Atmospheric

Get the eigen**values**

Eigenvalues Analytically: The Exact Solution

Solve the cubic characteristic equation: eigen**values**

$$(\widehat{m^2_i})^3 - A(\widehat{m^2_i})^2 + B\widehat{m^2_i} - C = 0$$

$$A \equiv \sum_i \widehat{m^2_i} = \Delta m_{31}^2 + \Delta m_{21}^2 + a$$

$$B \equiv \sum_{i>j} \widehat{m^2_i} \widehat{m^2_j} = \Delta m_{31}^2 \Delta m_{21}^2 + a(\Delta m_{ee}^2 c_{13}^2 + \Delta m_{21}^2)$$

$$C \equiv \prod_i \widehat{m^2_i} = a \Delta m_{31}^2 \Delta m_{21}^2 c_{13}^2 c_{12}^2$$

G. Cardano *Ars Magna* 1545

V. Barger, et al. [PRD 22 \(1980\) 2718](#)

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

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G. Cardano *Ars Magna* 1545

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H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

Then write down eigen**vectors** (mixing angles)

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

K. Kimura, A. Takamura, H. Yokomakura [hep-ph/0205295](#)

[PBD](#), S. Parke, X. Zhang [1907.02534](#)

Eigenvalues Analytically: The Exact Solution

Solve the cubic characteristic equation: eigen**values**

$$(\widehat{m^2_i})^3 - A(\widehat{m^2_i})^2 + B\widehat{m^2_i} - C = 0$$

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G. Cardano *Ars Magna* 1545

V. Barger, et al. [PRD 22 \(1980\) 2718](#)

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

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[PBD](#), S. Parke, X. Zhang [1907.02534](#)

“Unfortunately, the algebra is rather impenetrable.”

V. Barger, et al.

Eigenvalues Analytically: The Exact Solution

The cubic solution (in neutrino terms)

$$\widehat{m^2_1} = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3BS} - \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widehat{m^2_2} = \frac{A}{3} - \frac{1}{3}\sqrt{A^2 - 3BS} + \frac{\sqrt{3}}{3}\sqrt{A^2 - 3B}\sqrt{1 - S^2}$$

$$\widehat{m^2_3} = \frac{A}{3} + \frac{2}{3}\sqrt{A^2 - 3BS}$$

$$A = \Delta m_{21}^2 + \Delta m_{31}^2 + a$$

$$B = \Delta m_{21}^2 \Delta m_{31}^2 + a \left[c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2 \right]$$

$$C = a \Delta m_{21}^2 \Delta m_{31}^2 c_{12}^2 c_{13}^2$$

$$S = \cos \left\{ \frac{1}{3} \cos^{-1} \left[\frac{2A^3 - 9AB + 27C}{2(A^2 - 3B)^{3/2}} \right] \right\}$$

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

Get the eigenvectors

Values and Vectors

Probability amplitude:

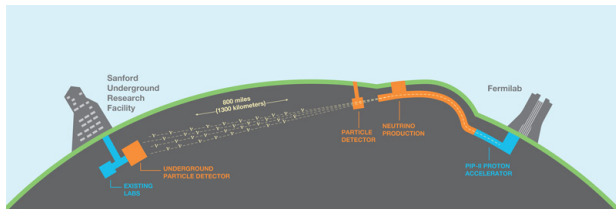
$$\mathcal{A}_{\alpha\beta} = \sum_i \hat{U}_{\alpha i}^* e^{-i\hat{m}_i^2 L/2E} \hat{U}_{\beta i}$$

- **Eigenvalues** give the frequencies of the oscillations

Where should DUNE be?

- **Eigenvectors** give the amplitudes of the oscillations

How many events will DUNE see?



Exact Neutrino Oscillations in Matter: Mixing Angles

$$\begin{aligned}
 s_{12}^2 &= \frac{-\left[(\widehat{m}_2^2)^2 - \alpha \widehat{m}_2^2 + \beta\right] \Delta \widehat{m}_{31}^2}{\left[(\widehat{m}_1^2)^2 - \alpha \widehat{m}_1^2 + \beta\right] \Delta \widehat{m}_{32}^2 - \left[(\widehat{m}_2^2)^2 - \alpha \widehat{m}_2^2 + \beta\right] \Delta \widehat{m}_{31}^2} \\
 s_{13}^2 &= \frac{(\widehat{m}_3^2)^2 - \alpha \widehat{m}_3^2 + \beta}{\Delta \widehat{m}_{31}^2 \Delta \widehat{m}_{32}^2} \\
 s_{23}^2 &= \frac{s_{23}^2 E^2 + c_{23}^2 F^2 + 2c_{23}s_{23}c_\delta EF}{E^2 + F^2} \\
 e^{-i\delta} &= \frac{c_{23}s_{23} (e^{-i\delta} E^2 - e^{i\delta} F^2) + (c_{23}^2 - s_{23}^2) EF}{\sqrt{(s_{23}^2 E^2 + c_{23}^2 F^2 + 2EFc_{23}s_{23}c_\delta) (c_{23}^2 E^2 + s_{23}^2 F^2 - 2EFc_{23}s_{23}c_\delta)}} \\
 \alpha &= c_{13}^2 \Delta m_{31}^2 + (c_{12}^2 c_{13}^2 + s_{13}^2) \Delta m_{21}^2, \quad \beta = c_{12}^2 c_{13}^2 \Delta m_{21}^2 \Delta m_{31}^2 \\
 E &= c_{13}s_{13} \left[\left(\widehat{m}_3^2 - \Delta m_{21}^2 \right) \Delta m_{31}^2 - s_{12}^2 \left(\widehat{m}_3^2 - \Delta m_{31}^2 \right) \Delta m_{21}^2 \right] \\
 F &= c_{12}s_{12}c_{13} \left(\widehat{m}_3^2 - \Delta m_{31}^2 \right) \Delta m_{21}^2
 \end{aligned}$$

H. Zaglauer, K. Schwarzer [Z.Phys. C40 \(1988\) 273](#)

New Physics

DUNE and T2HK will unprecedented capabilities to test the three-neutrino oscillation picture

Extend DMP to new physics progress report:

☒ Sterile

S. Parke, X. Zhang [1905.01356](#)

☒ NSI

S. Agarwalla, et al. [2103.13431](#)

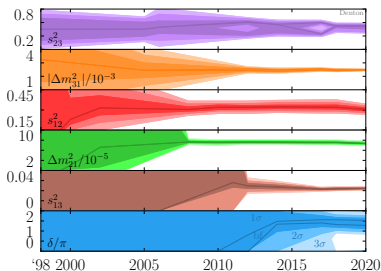
☐ Neutrino decay

☐ Decoherence

☐ ...

Given Rosetta, extensions should be considerably simpler

References



SK [hep-ex/9807003](#)

M. Gonzalez-Garcia, et al. [hep-ph/0009350](#)

M. Maltoni, et al. [hep-ph/0207227](#)

SK [hep-ex/0501064](#)

SK [hep-ex/0604011](#)

T. Schwetz, M. Tortola, J. Valle [0808.2016](#)

M. Gonzalez-Garcia, M. Maltoni, J. Salvado [1001.4524](#)

T2K [1106.2822](#)

D. Forero, M. Tortola, J. Valle [1205.4018](#)

D. Forero, M. Tortola, J. Valle [1405.7540](#)

P. de Salas, et al. [1708.01186](#)

CP violation in matter

The CPV Term in Matter

The amount of CPV is

$$P_{\alpha\beta} - \bar{P}_{\alpha\beta} = \pm 16J \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32} \quad \alpha \neq \beta$$

where the Jarlskog is

$$J \equiv \Im[U_{\alpha i} U_{\beta j} U_{\alpha j}^* U_{\beta i}^*] \quad \alpha \neq \beta, i \neq j$$

$$J = c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23} \sin \delta$$



C. Jarlskog [PRL 55 \(1985\)](#)

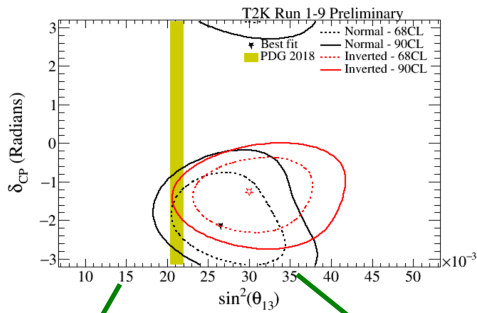
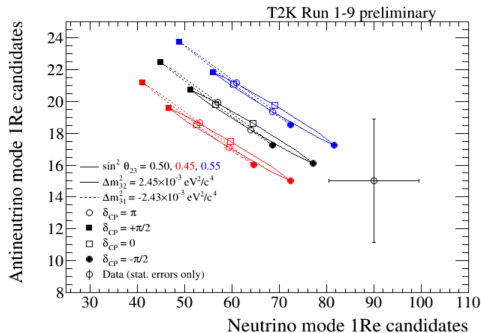
The exact term in matter is known to be

$$\frac{\hat{J}}{J} = \frac{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2}{\widehat{\Delta m}_{21}^2 \widehat{\Delta m}_{31}^2 \widehat{\Delta m}_{32}^2}$$

V. Naumov [IJMP 1992](#)

P. Harrison, W. Scott [hep-ph/9912435](#)

CPV Tension at T2K



$$J = c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23}\sin\delta$$

CPV in Matter

CPV in matter can be written sans $\cos(\frac{1}{3}\cos^{-1}(\dots))$ term.

$$\frac{\widehat{J}}{J} = \frac{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2}{\widehat{\Delta m}_{21}^2 \widehat{\Delta m}_{31}^2 \widehat{\Delta m}_{32}^2}$$

$$\left(\widehat{\Delta m}_{21}^2 \widehat{\Delta m}_{31}^2 \widehat{\Delta m}_{32}^2\right)^2 = (A^2 - 4B)(B^2 - 4AC) + (2AB - 27C)C$$

$$A \equiv \sum_j \widehat{m}_{2j}^2 = \Delta m_{31}^2 + \Delta m_{21}^2 + a$$

$$B \equiv \sum_{j>k} \widehat{m}_{2j}^2 \widehat{m}_{2k}^2 = \Delta m_{31}^2 \Delta m_{21}^2 + a(\Delta m_{ee}^2 c_{13}^2 + \Delta m_{21}^2)$$

$$C \equiv \prod_j \widehat{m}_{2j}^2 = a \Delta m_{31}^2 \Delta m_{21}^2 c_{13}^2 c_{12}^2$$

This is the only oscillation quantity in matter that can be written exactly without $\cos(\frac{1}{3}\cos^{-1}(\dots))$!

H. Yokomakura, K. Kimura, A. Takamura [hep-ph/0009141](#)

[1902.07185](#)

BNL Summer Student Lecture: June 17, 2022 90/29

CPV Factorizes

Thus $\hat{J}^{-2}$ is fourth order in matter potential:
only two matter corrections are needed.

$$\frac{\hat{J}}{J} = \frac{1}{|1 - (a/\alpha_1)e^{i2\theta_1}| |1 - (a/\alpha_2)e^{i2\theta_2}|}$$

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CPV in matter can be well approximated:

$$\frac{\hat{J}}{J} \approx \frac{1}{|1 - (a/\Delta m_{ee}^2)e^{i2\theta_{13}}| |1 - (c_{13}^2 a/\Delta m_{21}^2)e^{i2\theta_{12}}|}$$

PBD, Parke [1902.07185](#)

See also X. Wang, S. Zhou [1901.10882](#)

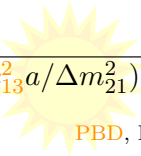
Precise at the $< 0.04\%$ level!

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PBD, Parke [1902.07185](#)

See also X. Wang, S. Zhou [1901.10882](#)

Precise at the $< 0.04\%$ level!

One caveat in support of δ

If the goal is **CP violation** the Jarlskog should be used

however

If the goal is **measuring the parameters** one must use δ

Given θ_{12} , θ_{13} , θ_{23} , and J , I can't determine the sign of $\cos \delta$
which is physical

e.g. $P(\nu_\mu \rightarrow \nu_\mu)$ depends on $\cos \delta$ a tiny bit

- ▶ As T2(H)K has almost no $\cos \delta$ sensitivity, they should focus on J
- ▶ NOvA/DUNE has some $\cos \delta$ sensitivity, so both J and δ should be reported