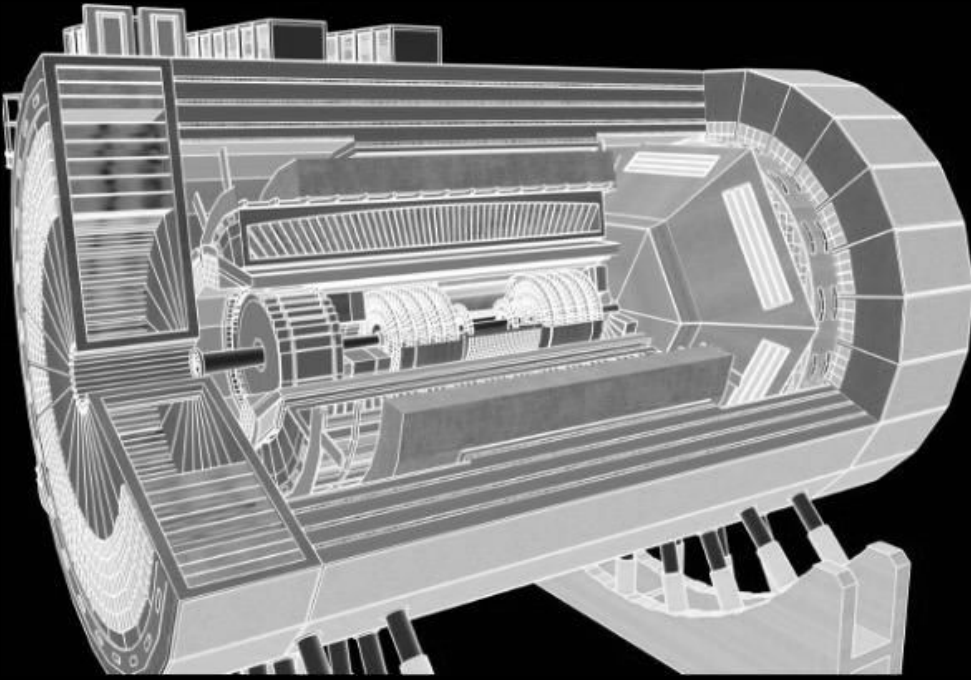


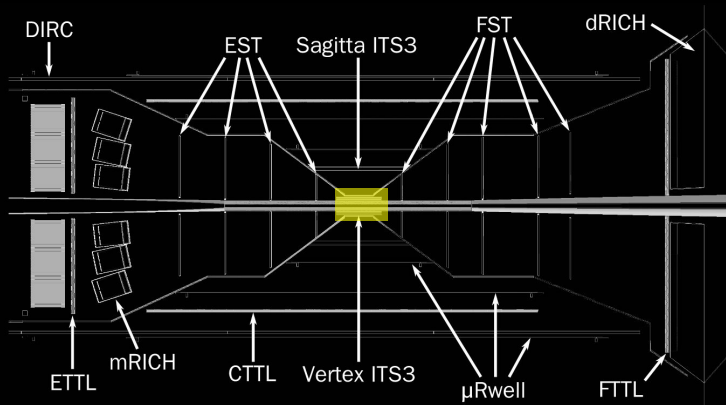
# AI Updates on Tracking



K. Suresh, C. Fanelli, Z. Papandreou

# Outline

- First part (1):
  - Studies of the current [reference design](#) (non-projective)
  - Compare it to the projective design (ongoing R&D)
  - Introduce parametrization (which includes support structure) from which we get both non-projective and projective
    - Looking for feedback on parameters to implement: see (2)
- Second part (2) — only few slides (N.b.: there will be an AI talk on that [[link1](#),[link2](#)]!):
  - AI framework/pipeline is already developed and ready [see [2205.09185](#)]
    - We can integrate / accommodate any new updates and always more realistic details in the simulation; include new parameters, constraints etc
  - AI is one of the best ways to steer a multi-dimensional compute intensive complex design (made by several sub-detectors) by optimizing simultaneously competing objectives
    - Resolutions, efficiencies, other FoMs based on physics results over the entire detector phase-space

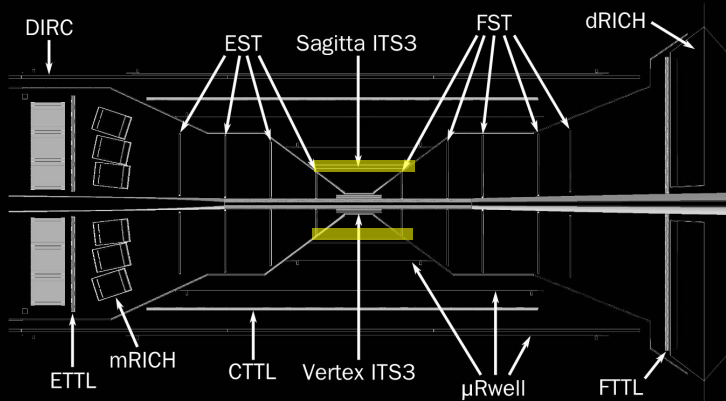


Click on hyperlinks

**Vertex Si Barrel**

			Reference		Ongoing R&D	
Barrel	X/X0 [%]	Pitch [um]	Radii [cm]	Length [cm]	Radii [cm]	Length [cm]
Layer 1	0.05	10	3.3	27	3.3	27
Layer 2	0.05	10	4.35	27	4.35	27
Layer 3	0.05	10	5.4	27	5.4	27

Values being used in these slides

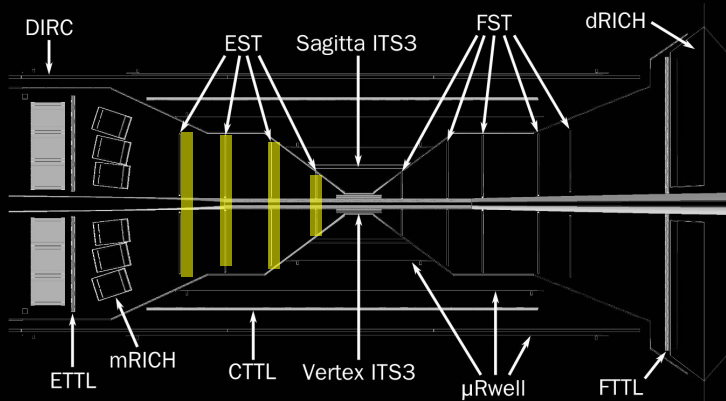


## Sagitta Si Barrel

			Reference		Ongoing R&D	
Barrel	X/X0 [%]	Pitch [um]	Radii [cm]	Length [cm]	Radii [cm]	Length [cm]
Layer 1	0.05 (0.2, 0.55)	10	21	54	14.0	54
Layer 2	0.05 (0.2, 0.55)	10	22.68	54	15.5	54

\*Also studied these XX0 values for this update

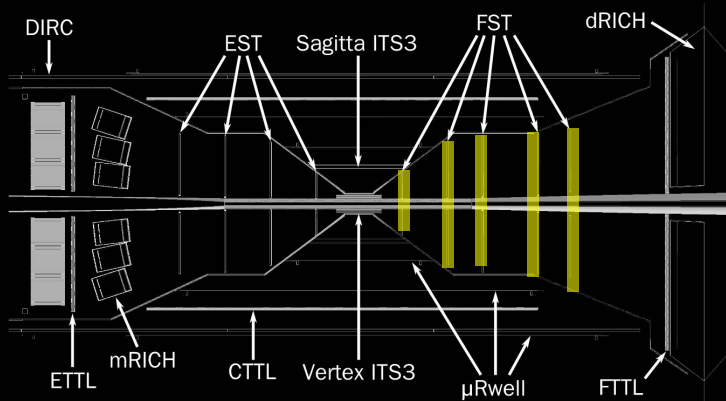
another potential parameter to optimize?



Additional thickness for services, cooling is given [here](#)

## EST Disks

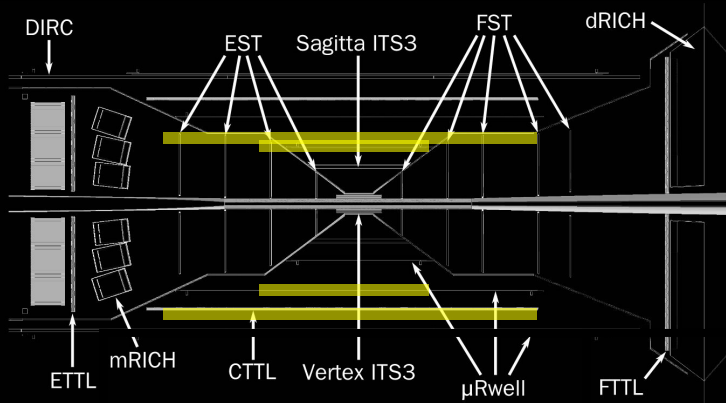
Disk	Si Thickness[um]	Pitch[um]	Reference			Ongoing R&D		
			RMin [cm]	RMax[cm]	ZPos[cm]	RMin [cm]	RMax [cm]	ZPos[cm]
EST 4	35	10	5.5	41.5	-106	6.0	48.0	-107.4
EST 3	35	10	4.5	40.5	-79	4.8	35.25	-80.05
EST 2	35	10	3.5	36.5	-52	3.3	27.3	-58.29
EST 1	35	10	3.5	18.5	-25	3.3	15.3	-33.2



Additional thickness for services, cooling is given [here](#)

## FST Disks

			Reference			Ongoing R&D		
Disk	Si Thickness [um]	Pitch [um]	RMin [cm]	RMax [cm]	ZPos [cm]	RMin [cm]	RMax [cm]	ZPos [cm]
FST 5	35	10	7.5	43.5	125	8.2	62.2	144
FST 4	35	10	5.5	41.5	106	5.8	49.8	115
FST 3	35	10	4.5	40.5	73	4.8	34.8	79.85
FST 2	35	10	3.5	36.5	49	3.5	27.5	58.29
FST 1	35	10	3.5	18.5	25	3.5	15.5	33.2



## μRwell Cylinder

Additional thickness for services, cooling is given [here](#)

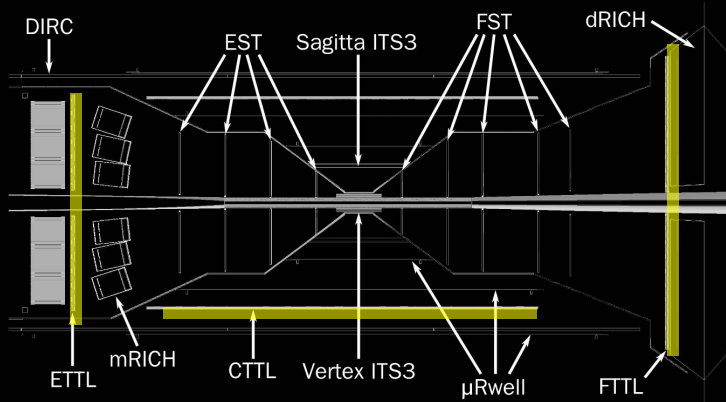
Kapton 0.0175 cm (0.06125% XX0);  
 Cu 0.002 cm (0.13928% XX0);  
 pcb 0.01 cm (0.06XX0% ???);  
 Prepreg 0.005 cm (0.031% XX0???)

Barrel	Res [um]	Thickness [cm]	Reference		Ongoing R&D	
			Radii [cm]	Length [cm]	Radii [cm]	Length [cm]
Layer 1	55(85, 100)	0.03	33.14	80	33.14	140
Layer 2	55(85, 100)	0.03	51.00	212	51.00	230
Layer 3	55(85, 100)	0.03	77.02	342	77.02	342

\*Also studied these resolutions values for this update studies

another potential parameter to optimize?

## TOF Detectors



			Reference			Ongoing R&D		
TOF TTL	Si Thickness [um]	Pitch [um]	RMin [cm]	RMax [cm]	ZPos/Length [cm]	RMin [cm]	RMax [cm]	ZPos [cm]
CTTL	85	30	64	-	140	64	-	140
ETTL	85	30	8	64	-155.5	8	64	-169
FTTL	85	30	7	87	182	7	87	182

Additional thickness for services, cooling is given [here](#)



# Reference Design

$\mu$ Rwell resolution = 55 $\mu$ m

1.5M Events with 5  $\pi^-$  tracks /event

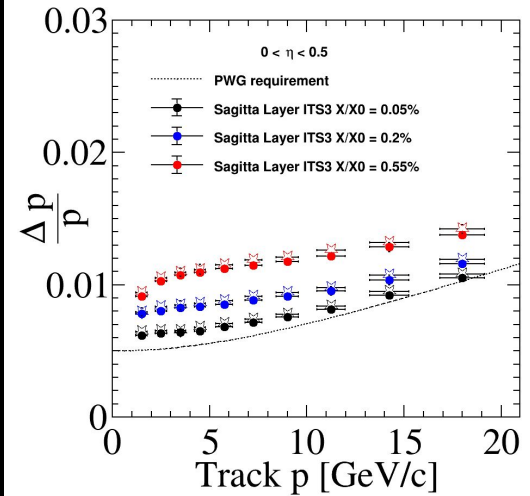
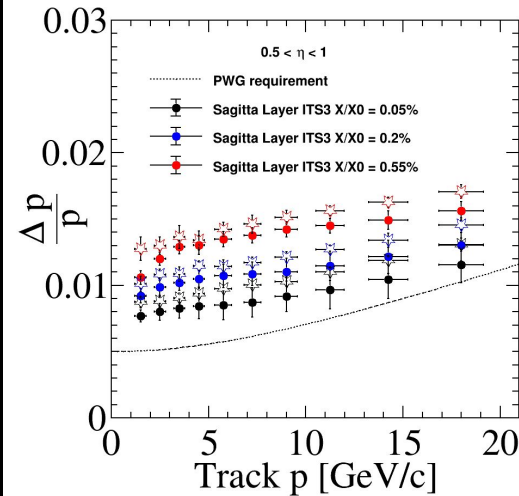
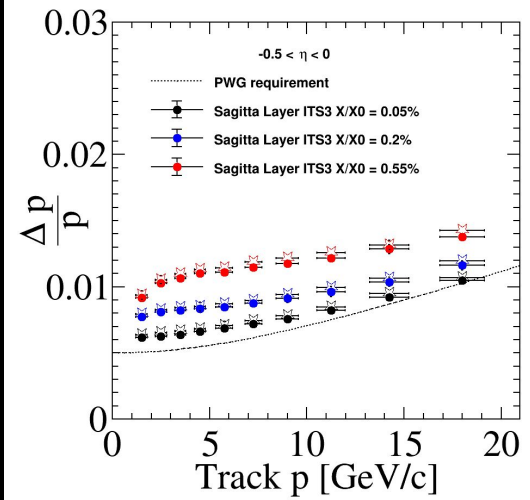
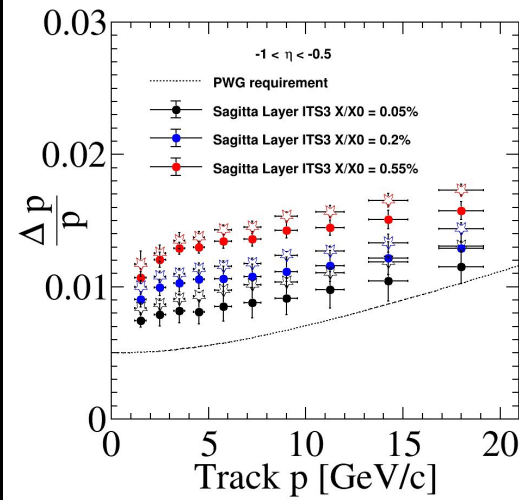
Fun4All Framework

Both Sagitta Layer X/X0 are changed in the same way

Single Gaussians fits (solid colors) have large uncertainty

Double Gaussians fits (hollow)

Distribution near support structure is not gaussian.



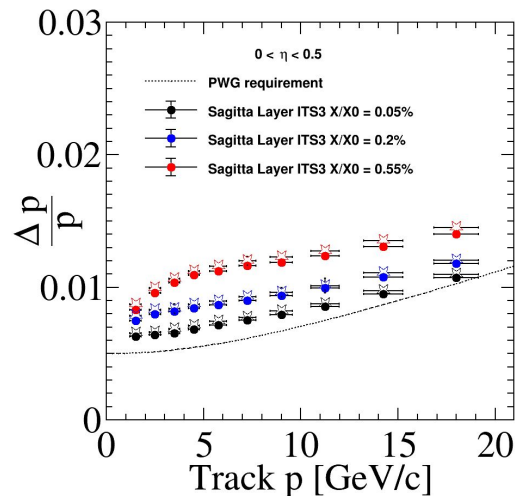
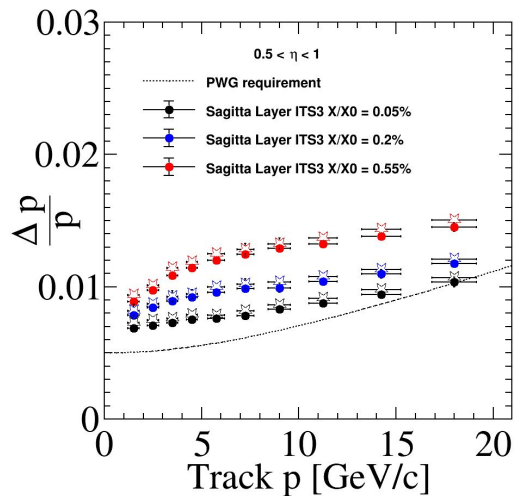
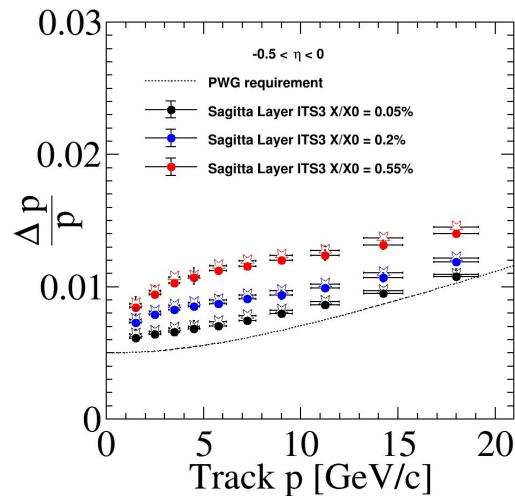
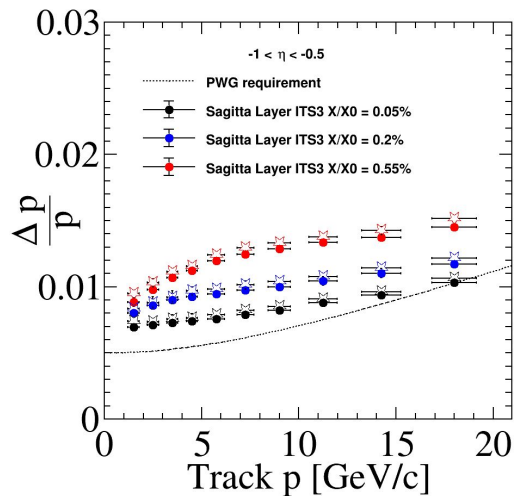
# Projective Design

$\mu$ Rwell resolution = 55 $\mu$ m

1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are changed in the same way



# Reference Design

$\mu$ Rwell resolution = 85 $\mu$ m

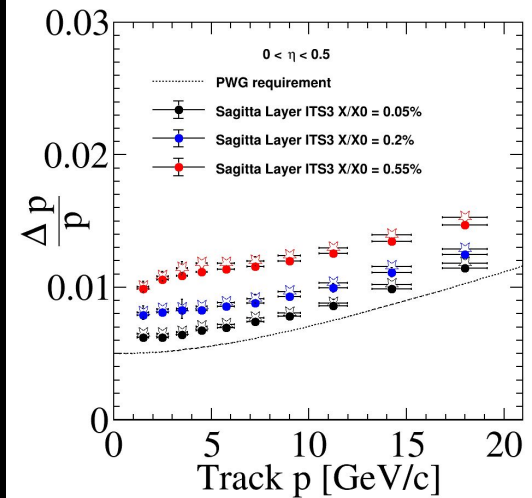
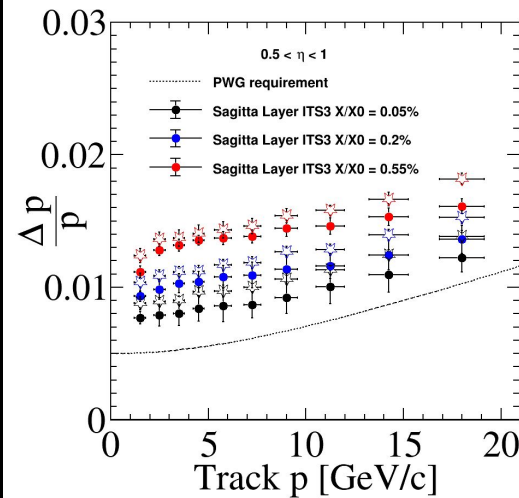
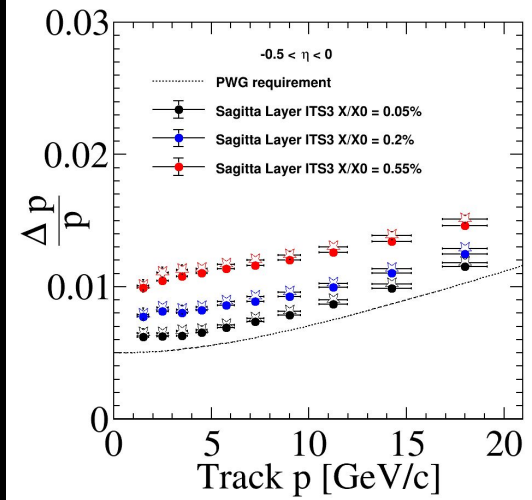
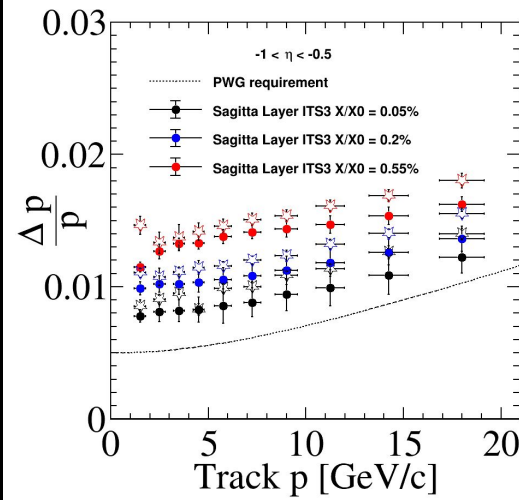
1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are changed in the same way

Solid Colors have large uncertainty

Distribution near support structure is not gaussian.



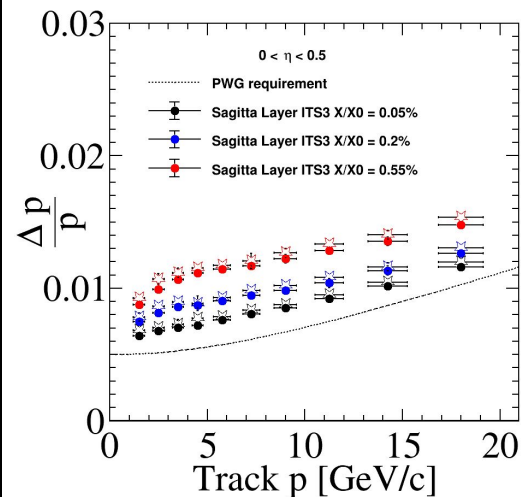
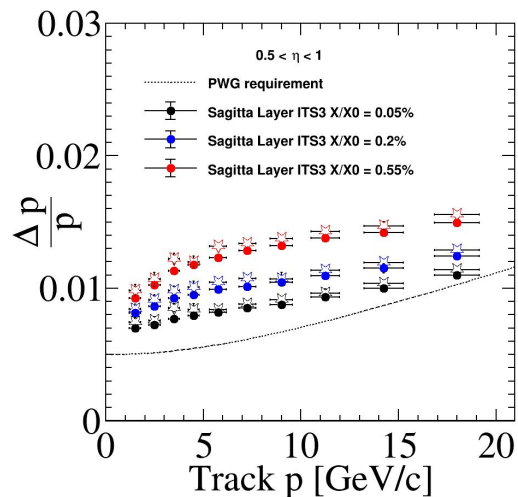
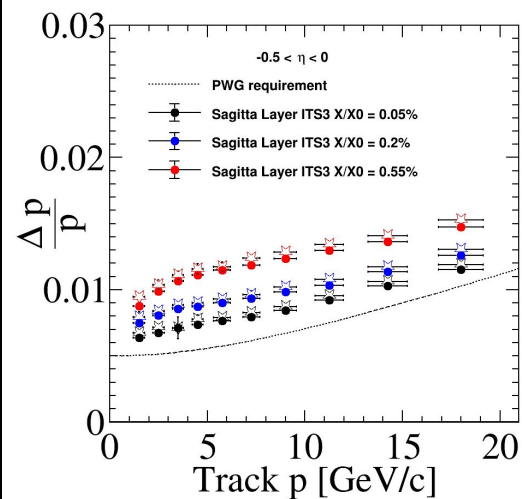
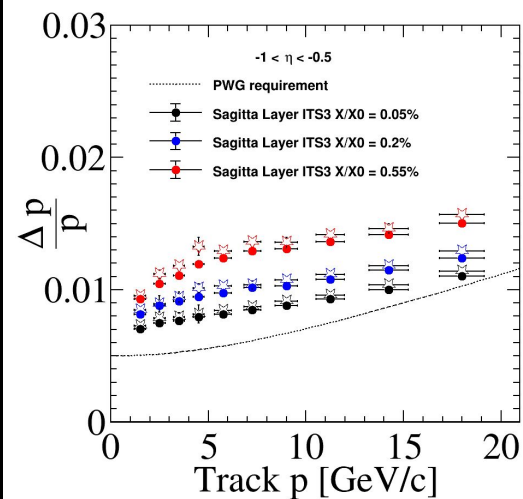
# Projective Design

$\mu$ Rwell resolution = 85 $\mu$ m

1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are changed in the same way



# Reference Design

$\mu$ Rwell resolution = 100 $\mu$ m

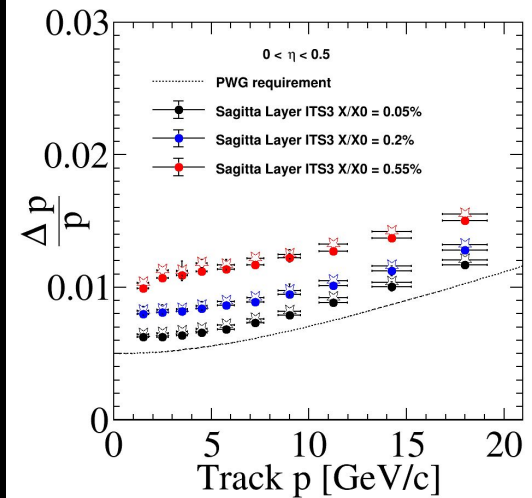
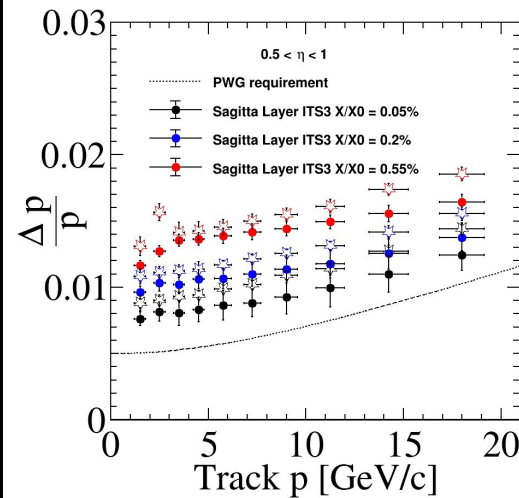
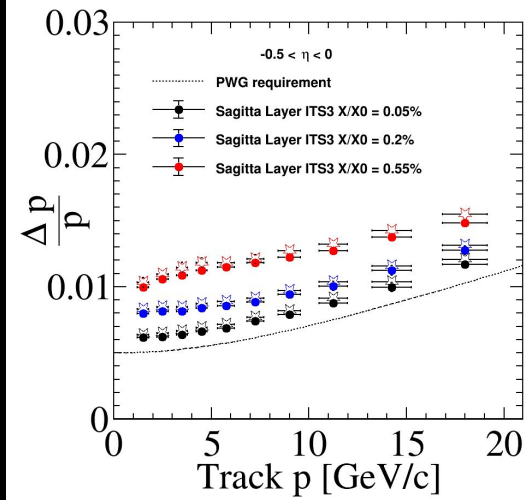
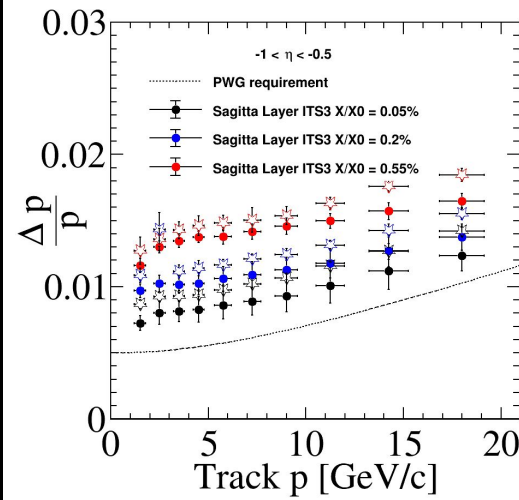
1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are changed in the same way

Solid Colors have large uncertainty

Distribution near support structure is not gaussian.



# Projective Design

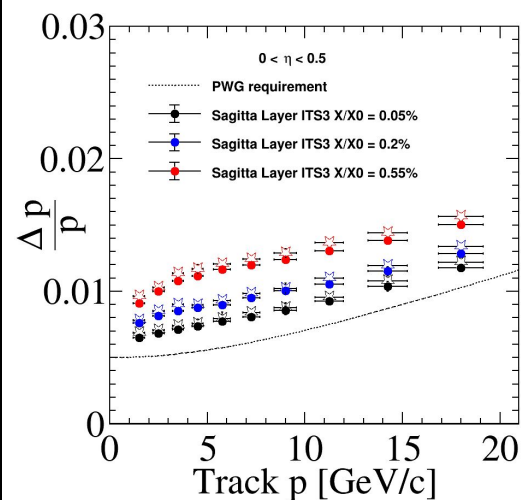
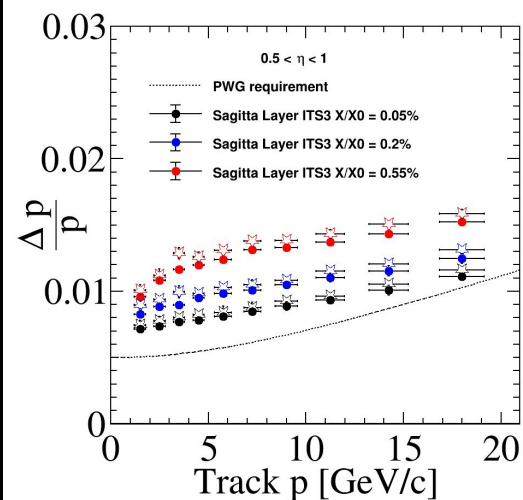
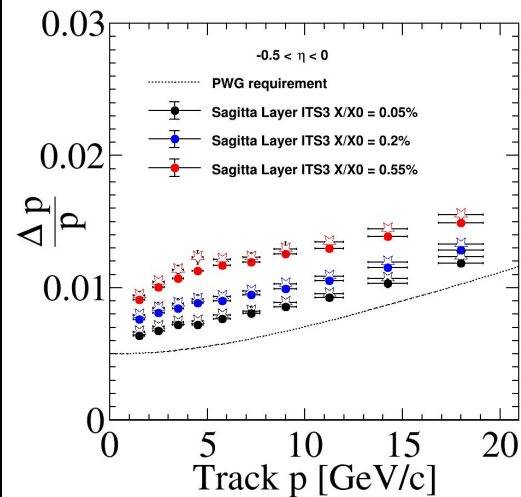
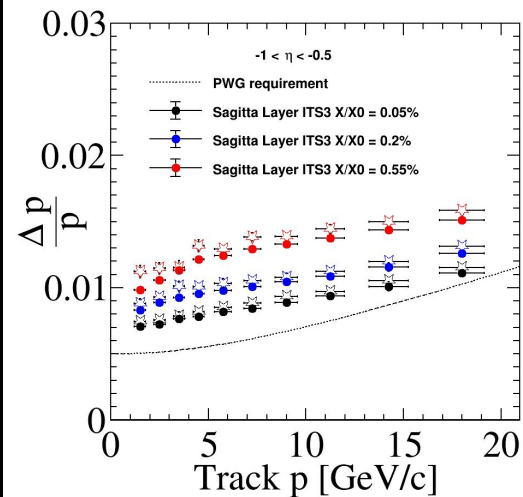
$\mu$ Rwell resolution = 100 $\mu$ m

1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are changed in the same way

Impact of XX0 sagitta is more significant compared to the spatial resolution of the  $\mu$ Rwell barrels.



# Reference Design Hit Eff Studies

$\mu$ Rwell resolution = 55 $\mu$ m  
Sagitta Layer ITS X/X0 = 0.05%

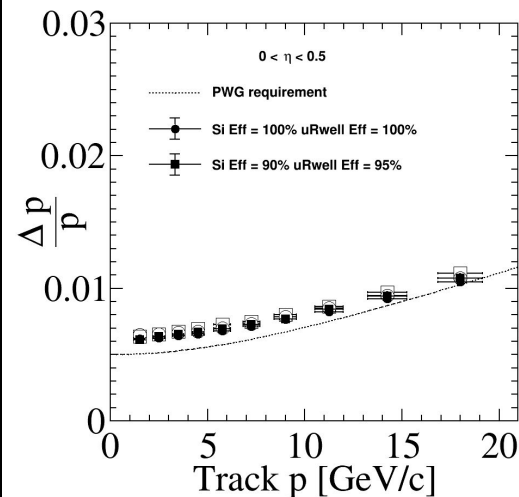
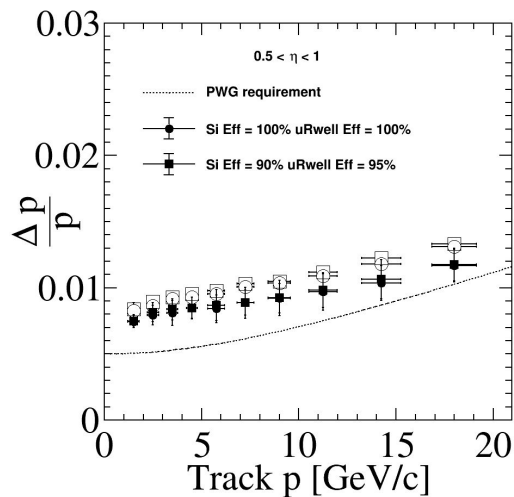
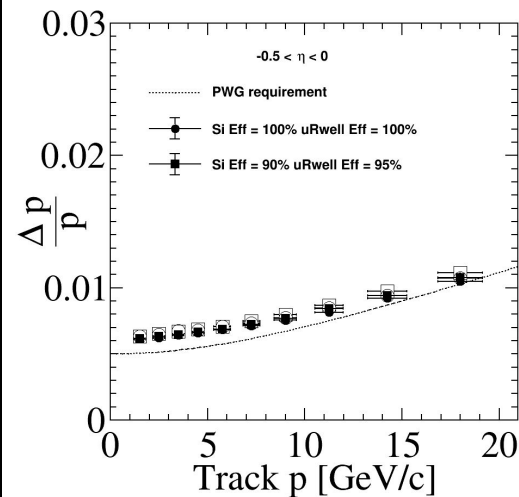
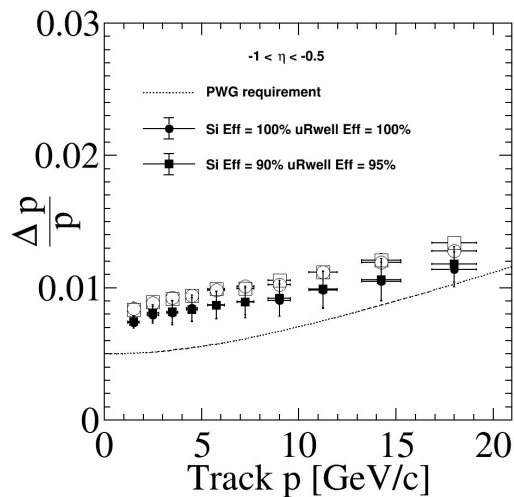
1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are  
changed in the same way

Hit Efficiencies include all Si  
Detectors (TTL included)

For each layer, [Hit Efficiency](#) is  
modelled during the Track Fitting  
procedure.



# Reference Design Hit Eff Studies

$\mu$ Rwell resolution = 55 $\mu$ m  
Sagitta Layer ITS X/X0 = 0.2%

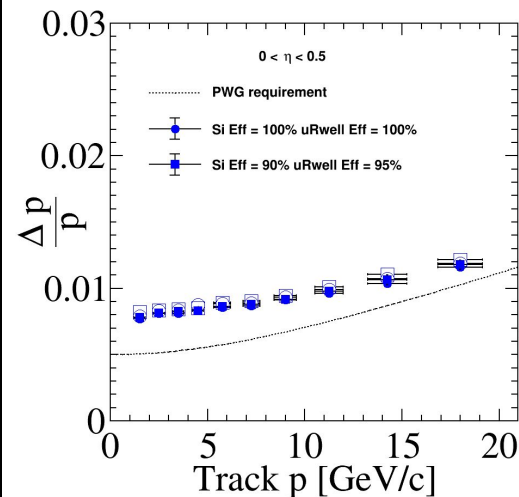
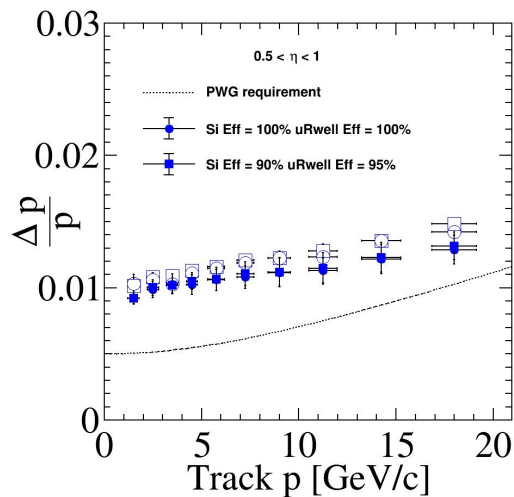
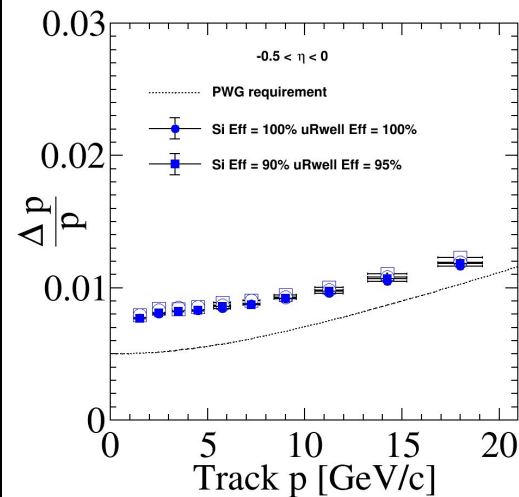
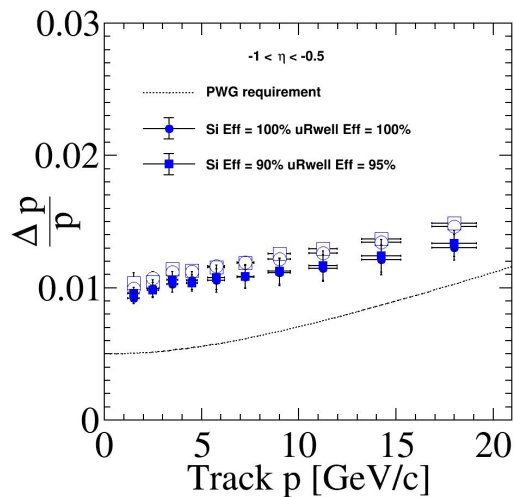
1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are  
changed in the same way

Hit Efficiencies include all Si  
Detectors (TTL included)

For each layer, [Hit Efficiency](#) is  
modelled during the Track Fitting  
procedure.





# Reference Design Hit Eff Studies

$\mu$ Rwell resolution = 55 $\mu$ m  
Sagitta Layer ITS X/X0 = 0.55%

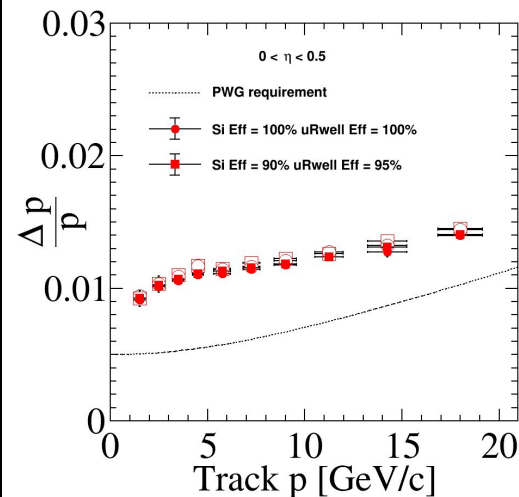
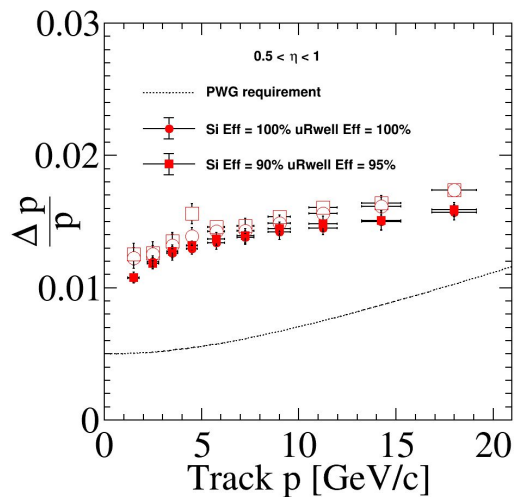
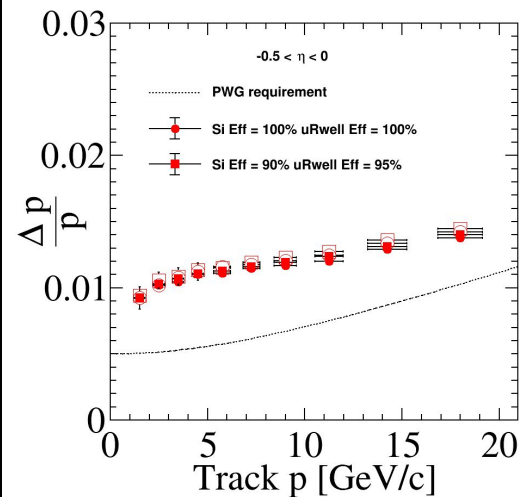
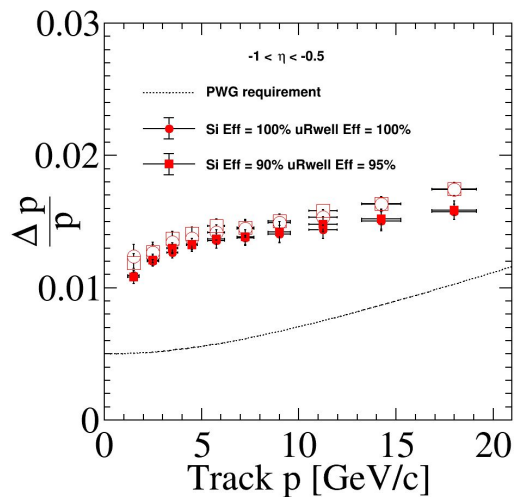
1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

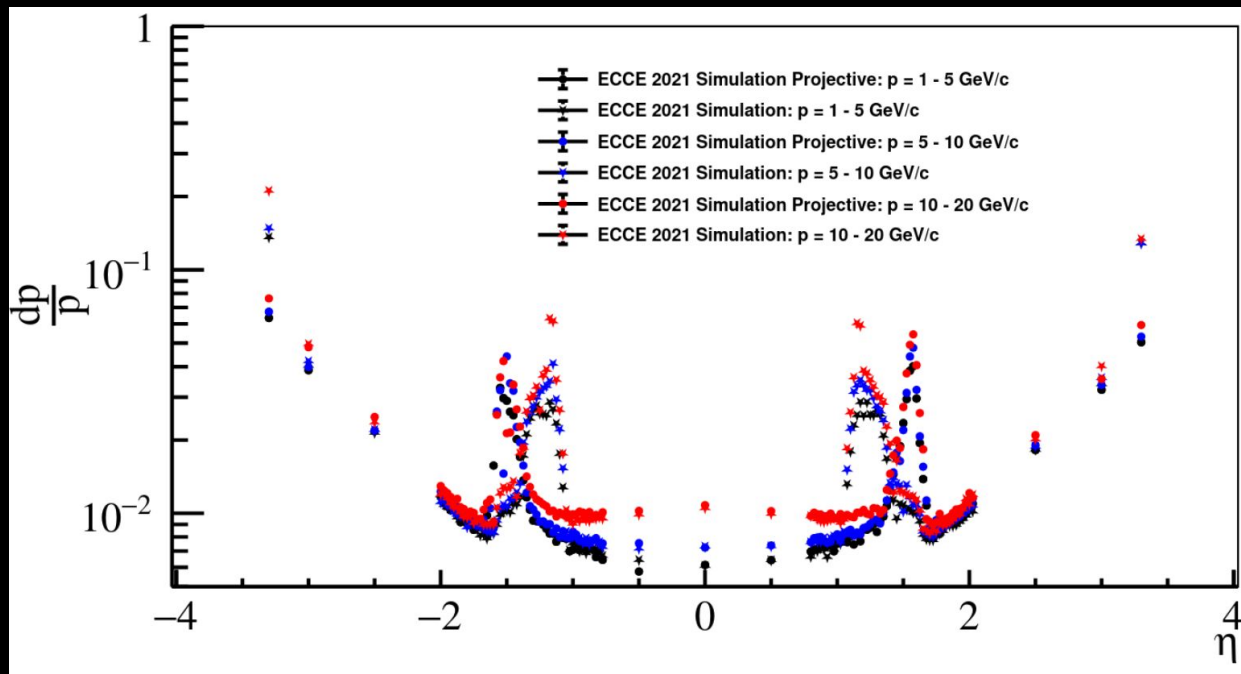
Both Sagitta Layer (X/X0) are  
changed in the same way

Hit Efficiencies include all Si  
Detectors (TTL included)

For each layer, [Hit Efficiency](#) is  
modelled during the Track Fitting  
procedure.



# Projective Vs Non-projective design



Projective design concentrate the material budget in a smaller region of the phase-space, resulting in better resolution in the transition region.

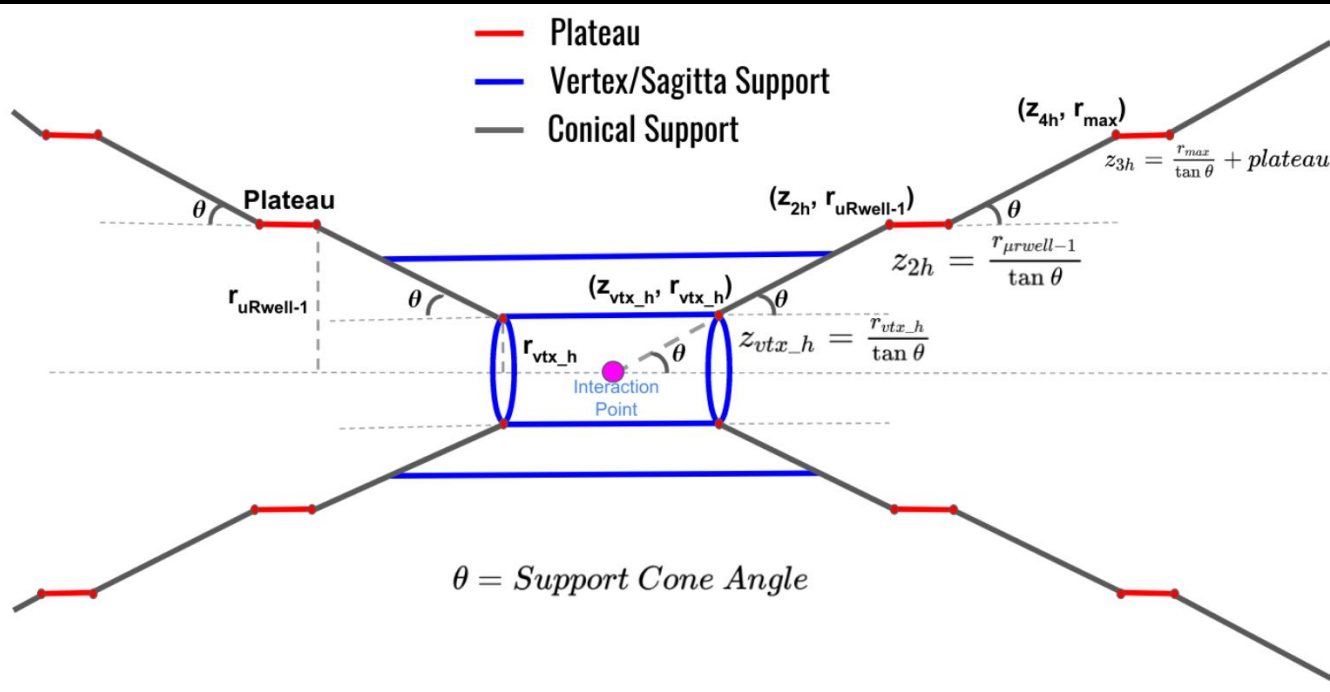
## From these studies

- Sagitta thickness has the major impact on the momentum resolution in the barrel
- uRWELL resolution has less significant impact on the momentum resolution in the barrel
- Hit Efficiency no significant impact within the uncertainties
- Projective concentrates material of support structure in smaller region of  $\eta$  and provides better performance in transition region
- Optimization studies cannot be limited to barrel, important to look at endcaps too simultaneously and through different objectives (resolutions, efficiencies, etc.)...

# Parametrization

arXiv:2205.09185

## Parametrization of the support structure



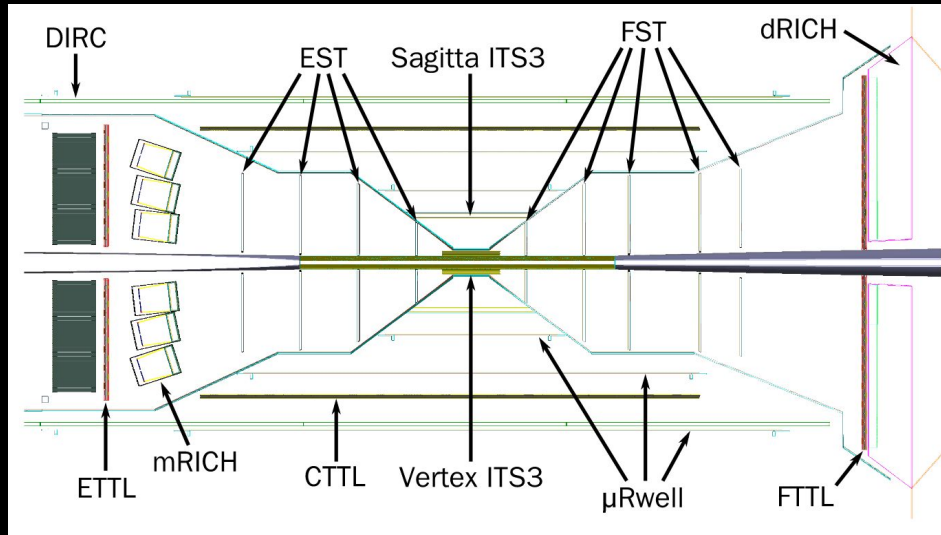
## Parametrization of disks radii and TTL

Implementation of Geometric Constraints

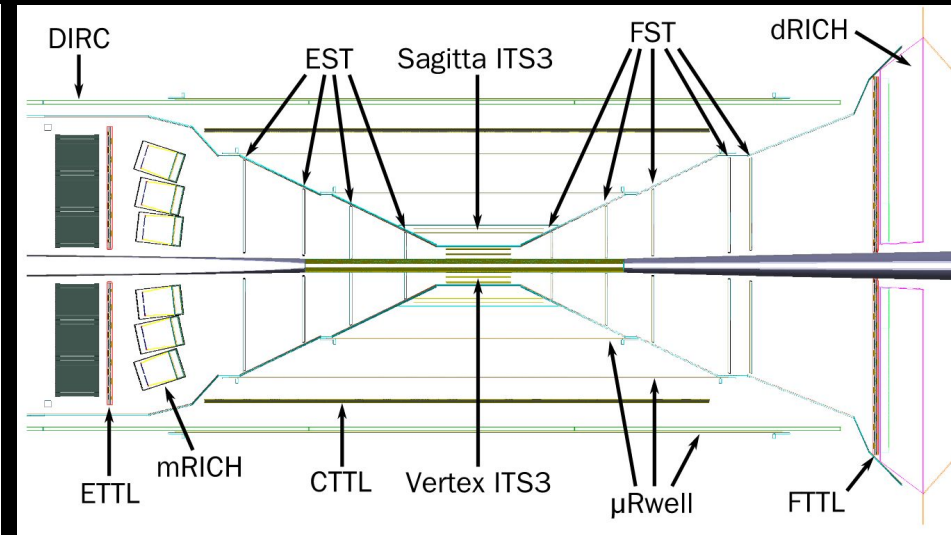
RMax and RMin of the disks are then calculated based on the support structure.

Sagitta Length fixed and Radius changed based on the support cone angle.

## Reference Design

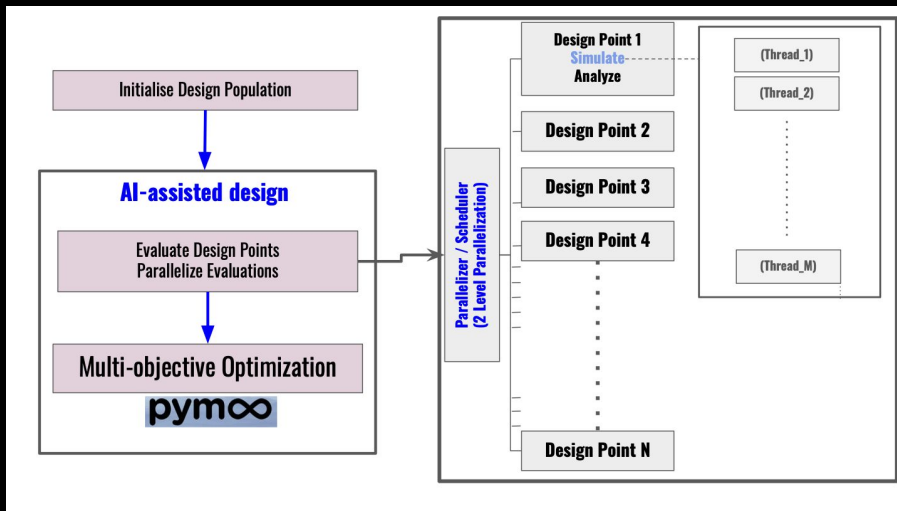
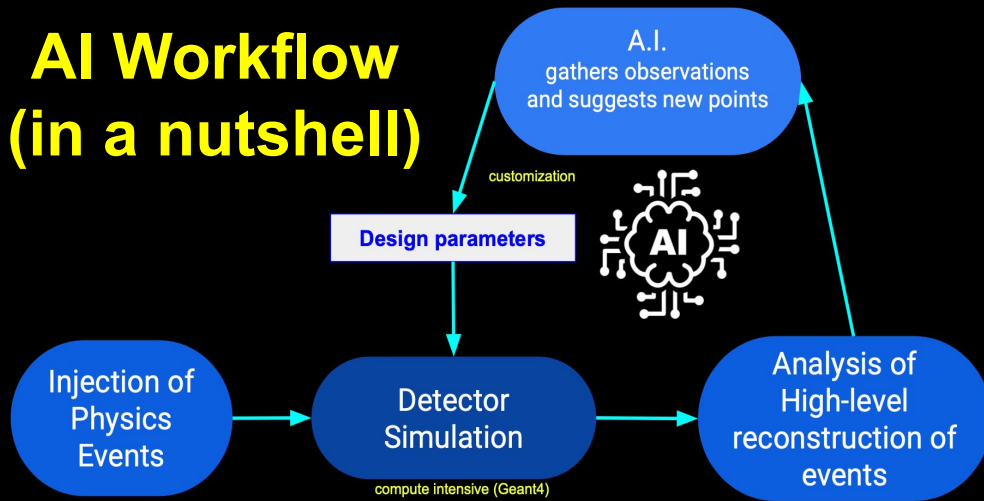


## Projective Design

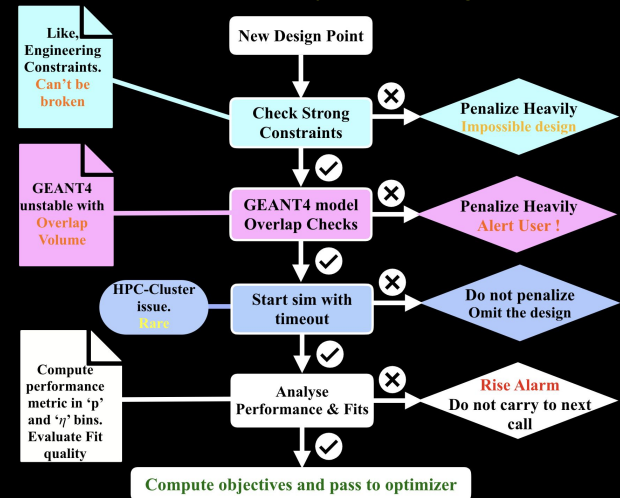


Parametrization underlies the AI-assisted design and can explore non-projective as well as projective

# AI Workflow (in a nutshell)

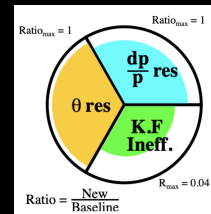


## Feasibility of Design



## Multi-objective Optimization with constraints

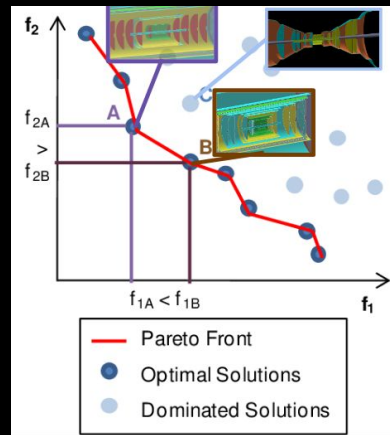
$$\begin{aligned}
 \min \quad & \mathbf{f}_m(\mathbf{x}) \quad m = 1, \dots, M \\
 \text{s.t.} \quad & \mathbf{g}_j(\mathbf{x}) \leq 0, \quad j = 1, \dots, J \\
 & \mathbf{h}_k(\mathbf{x}) = 0, \quad k = 1, \dots, K \\
 & x_i^L \leq x_i \leq x_i^U, \quad i = 1, \dots, N
 \end{aligned}$$



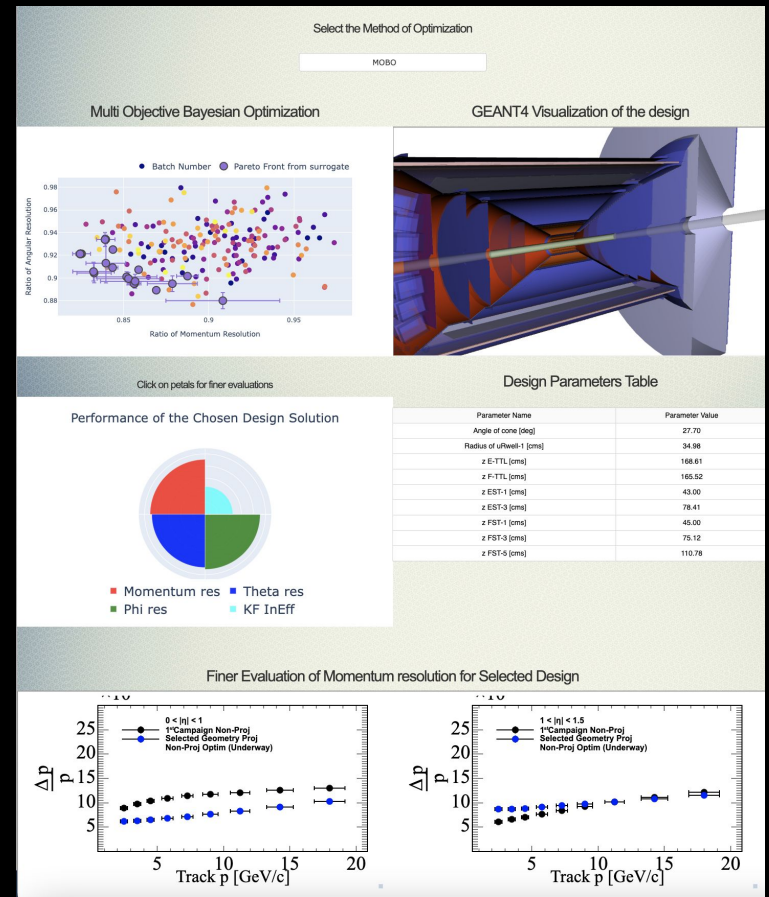
This framework has been used to design the entire tracker (arXiv:2205.09185) and can accommodate any new updated and more realistic requirement

# Pareto Front: multiple tradeoff design solutions!

- When working with multiple competing objectives looking at the global design over the entire phase-space
- Visualization of results from approximated Pareto front
- Facilitate study/comparison of trade-off solutions
- Provide insights on hidden correlations



The AI-driven approach is more than just fine-tuning! That will happen only when we converge on the final design

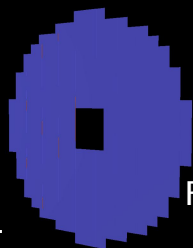


BACKUP

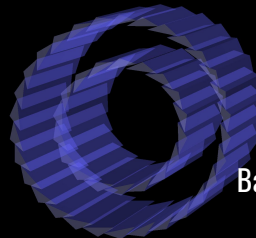


# Constraints

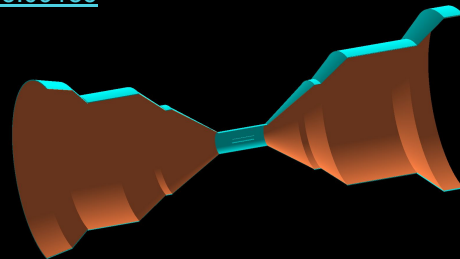
- Design Parameters** ( $n_{\text{pars}} \geq 9$ )
  - Based on an extensive parameterization.
- Constraints** being used ( $n_{\text{const}} \geq 3$ )
  - STRONG** The minimum distance between any 2 disks should be  $\geq 10$  cm (giving room for services)
  - SOFT** The Rmax-Rmin for the disks have to be multiple of 3.00 cms and 1.8 cms (Tiling of pixels)
- Overlaps checked**
  - GEANT4 unstable when overlaps are detected in volumes.
  - Overlaps are checked for every design explored and penalized.



FST/EST  
Disks

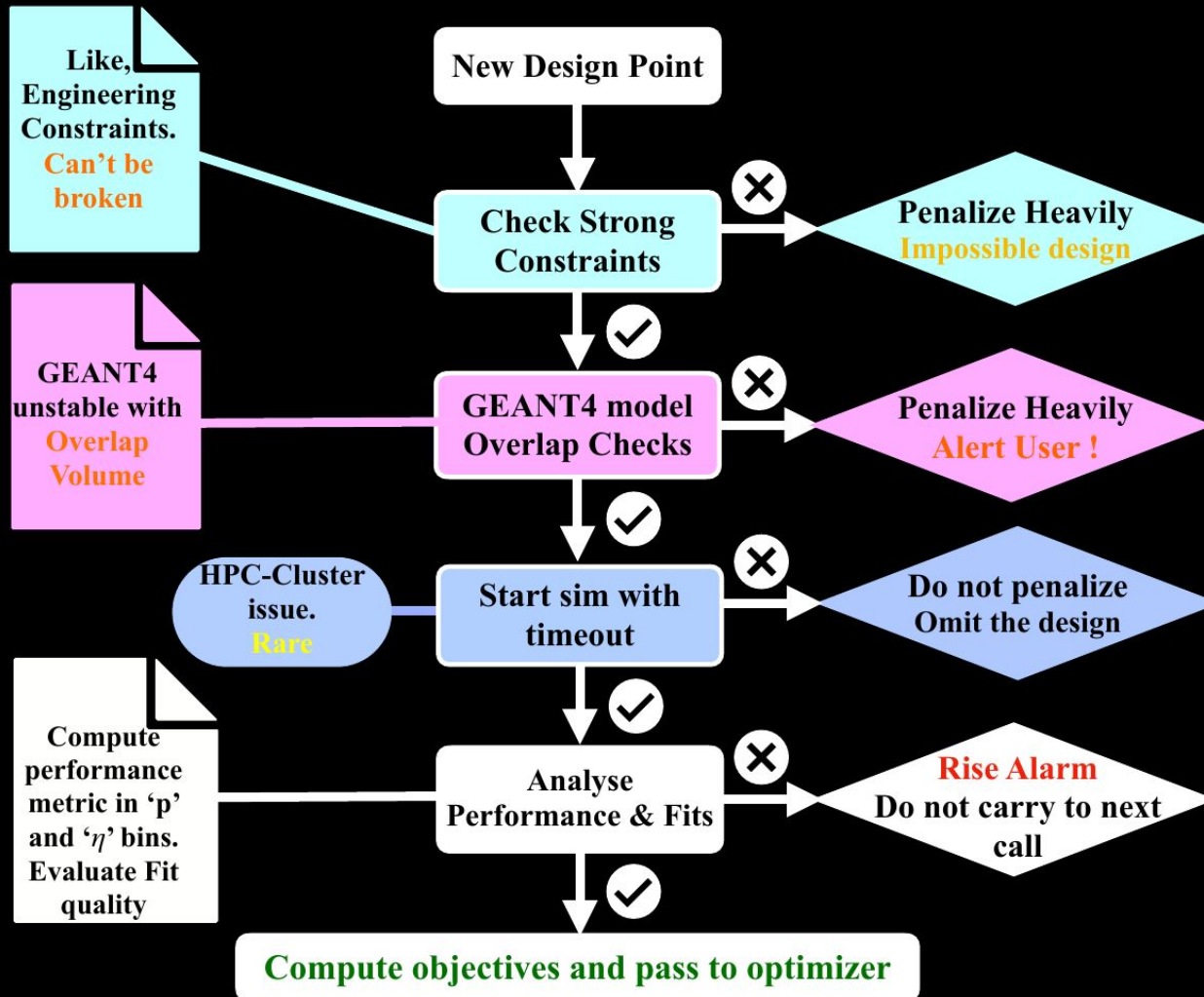


Barrel Si  
Layer



sub-detector	constraint	description
EST/FST disks	$\min \left\{ \sum_i^{\text{disks}} \left  \frac{R_{out}^i - R_{in}^i}{d} - \left[ \frac{R_{out}^i - R_{in}^i}{d} \right] \right  \right\}$	<b>soft constraint:</b> sum of residuals in sensor coverage for disks; sensor dimensions: $d = 17.8$ (30.0) mm
EST/FST disks	$z_{n+1} - z_n \geq 10.0$ cm	<b>strong constraint:</b> minimum distance between 2 consecutive disks
sagitta layers	$\min \left\{ \left  \frac{2\pi r_{sagitta}}{w} - \left[ \frac{2\pi r_{sagitta}}{w} \right] \right  \right\}$	<b>soft constraint:</b> residual in sensor coverage for every layer; sensor strip width: $w = 17.8$ mm
$\mu$ RWELL	$r_{n+1} - r_n \geq 5.0$ cm	<b>strong constraint:</b> minimum distance between $\mu$ Rwell barrel layers

ECCE design (non-projective)	
Design Parameter	Range
$\mu$ RWELL 1 (Inner) ( $r$ ) Radius	[17.0, 51.0 cm]
$\mu$ RWELL 2 (Inner) ( $r$ ) Radius	[18.0, 51.0 cm]
EST 4 $z$ position	[-110.0, -50.0 cm]
EST 3 $z$ position	[-110.0, -40.0 cm]
EST 2 $z$ position	[-80.0, -30.0 cm]
EST 1 $z$ position	[-50.0, -20.0 cm]
FST 1 $z$ position	[20.0, 50.0 cm]
FST 2 $z$ position	[30.0, 80.0 cm]
FST 3 $z$ position	[40.0, 110.0 cm]
FST 4 $z$ position	[50.0, 125.0 cm]
FST 5 $z$ position	[60.0, 125.0 cm]
ECCE ongoing R&D (projective)	
Design Parameter	Range
Angle (Support Cone)	[25.0°, 30.0°]
$\mu$ RWELL 1 (Inner) Radius	[25.0, 45.0 cm]
ETTL $z$ position	[-171.0, -161.0 cm]
EST 100 $z$ position	[45, 100 cm]
EST 1 $z$ position	[35, 50 cm]
FST 1 $z$ position	[35, 50 cm]
FST 2 $z$ position	[45, 100 cm]
FST 5 $z$ position	[100, 150 cm]
FTTL $z$ position	[156, 183 cm]

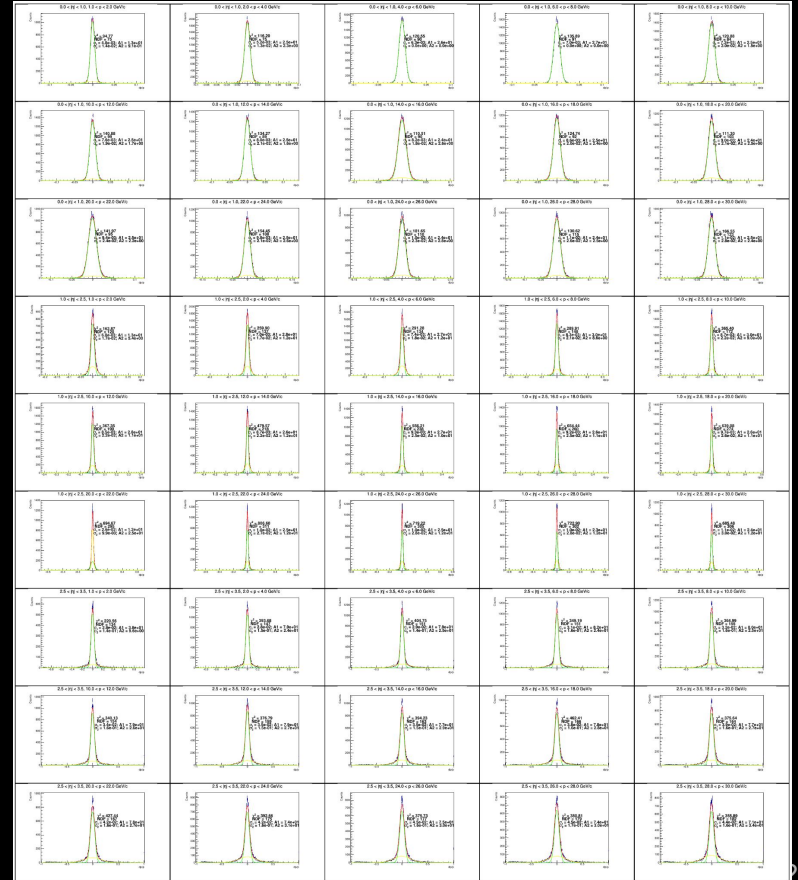


# Implementation

Weighted sum with errors

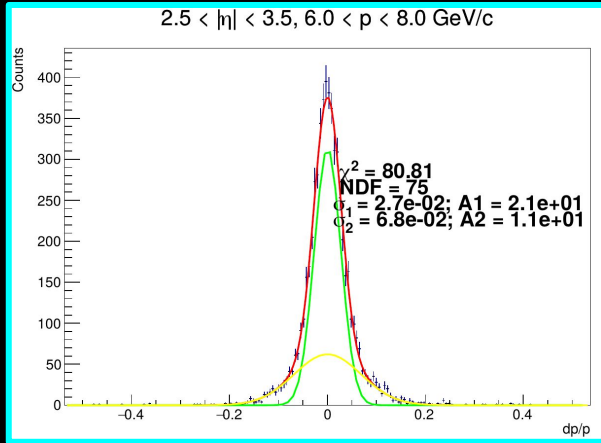
- **Objective functions** Average of Weighted Averages ( $n_{obj} \geq 3$ )
  - **Momentum resolution  $dp/p$**
  - **Theta resolution  $d\theta/\theta$**
  - **Projected  $d\theta/\theta$  at PID location.**
  - **Kalman Filtering inefficiency**  
(improving the tracking reconstruction ability of the algorithm)
- **Validation** of the solutions
  - Validate by comparing optimal vs baseline  $d\varphi$  resolution, vertex resolution and reconstruction efficiency

Weighted sum with errors



# Implementation

Weighted sum with errors



Propagate uncertainties  
from fits

$$\bar{x}_\eta = \frac{\sum_p x_p w_p}{\sum_p w_p}$$

Average objective in a  $\eta$  bin

Sum in bins of P 14 bins

$$\bar{x} = \frac{\sum_\eta N_\eta \bar{x}_\eta}{N_\eta}$$

6  $\eta$  bins

$$R(f) = \frac{1}{N_\eta} \sum_\eta \left( \frac{\sum_p w_{p,\eta} \cdot R(f)_{p,\eta}}{\sum_p w_{p,\eta}} \right)$$

Weighted sum with errors



# Single Vs Double Gaussian

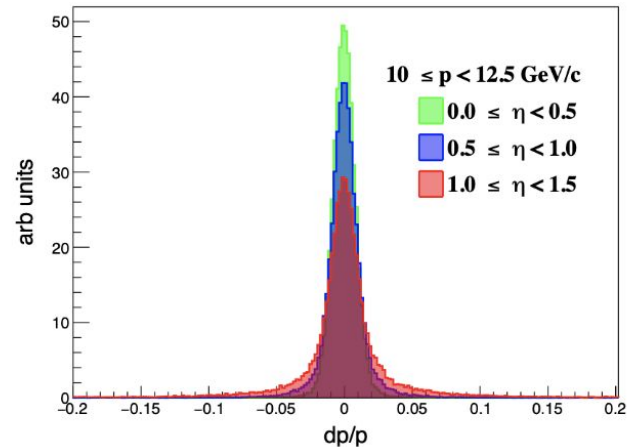
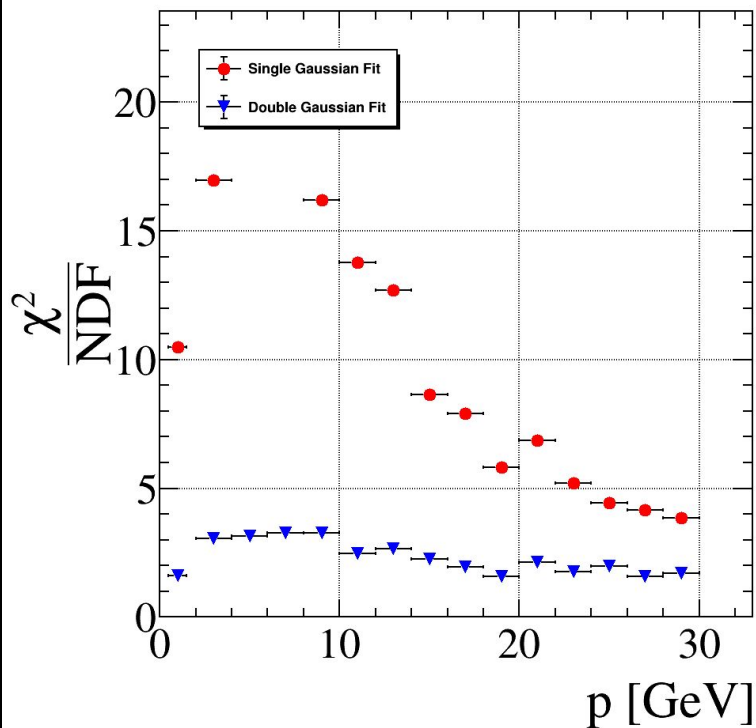


Figure 6: **Fit strategy:** a double-Gaussian fit function is utilized to extract the resolutions. Such a fit function provided good reduced  $\chi^2$  and more stable extractions compared to single-Gaussian fits. The resolution is obtained as an average of the two  $\sigma$ 's weighted by the relative areas of the two Gaussians according to Eq. (3). The figure represents the results corresponding to a particular bin in  $\eta$  and  $p$ .

# Non-Projective VS Projective, actually...

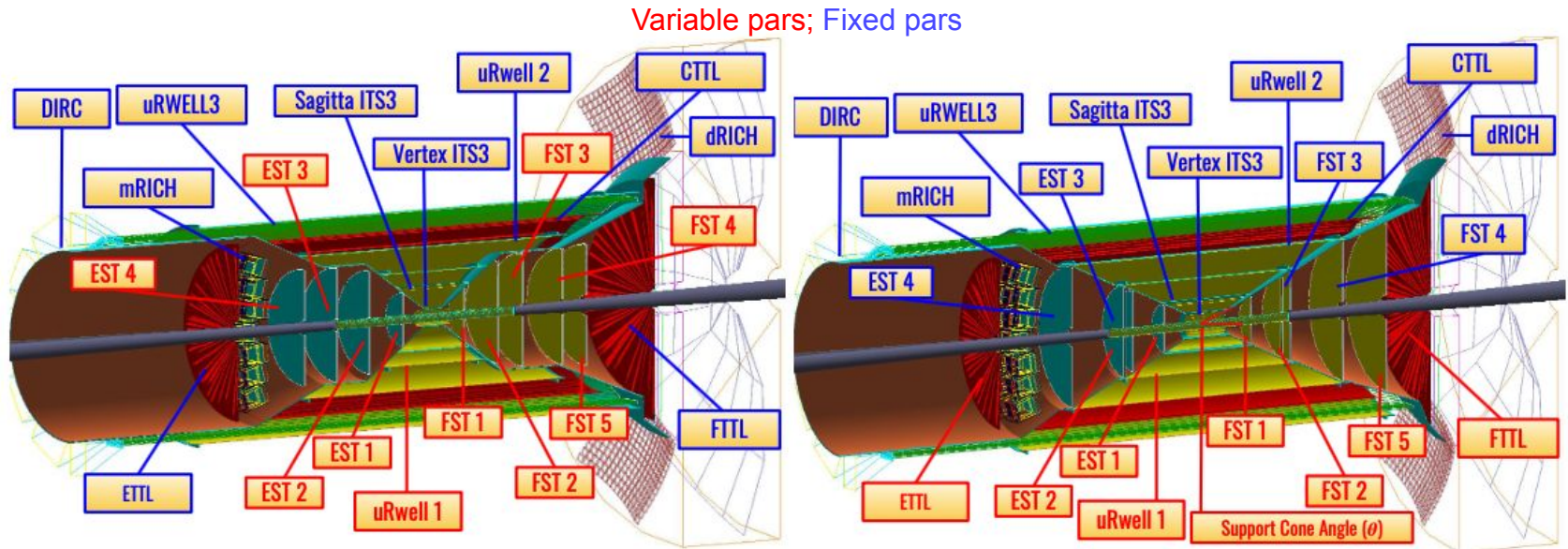
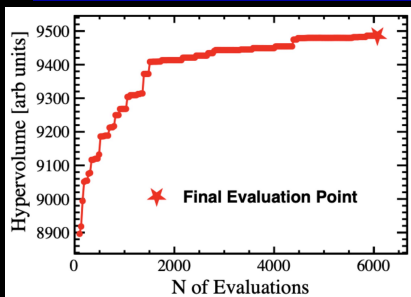


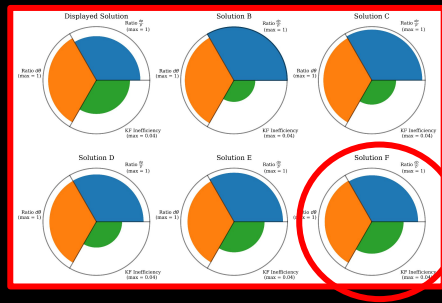
Figure 5: **Tracking and PID system in the non-projective (left) and the ongoing R&D projective (right) designs:** the two figures show the different geometry and parametrization of the ECCE non-projective design (left) and of the ongoing R&D projective design to optimize the support structure (right). Labels in red indicate the sub-detector systems that were optimized, while the labels in blue are the sub-detector systems that were kept fixed due to geometrical constraint. The non-projective geometry (left) is a result of an optimization on the inner tracker layers (labeled in red) while keeping the support structure fixed, The angle made by the support structure to the IP is fixed at about  $36.5^\circ$ . The projective geometry (right) is the result of an ongoing project R&D to reduce the impact of readout and services on tracking resolution.

# “Navigate” Pareto Front

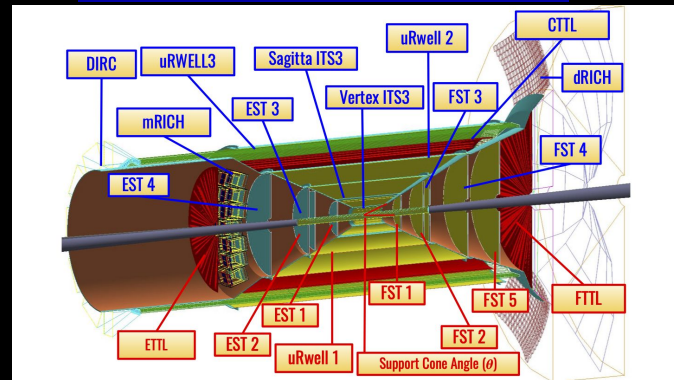
1 Can take a snapshot any time during evaluation



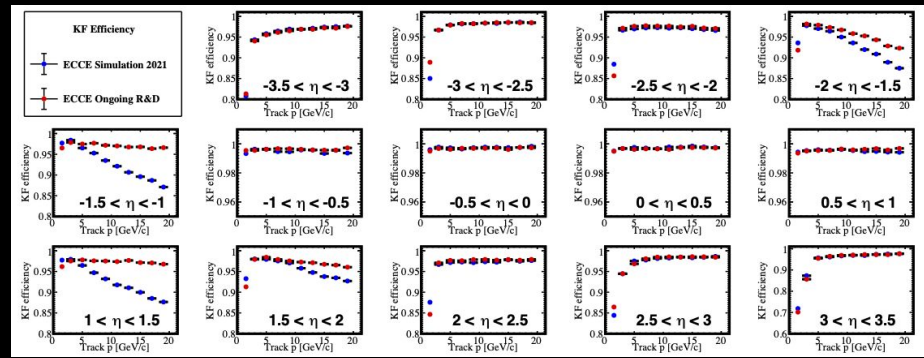
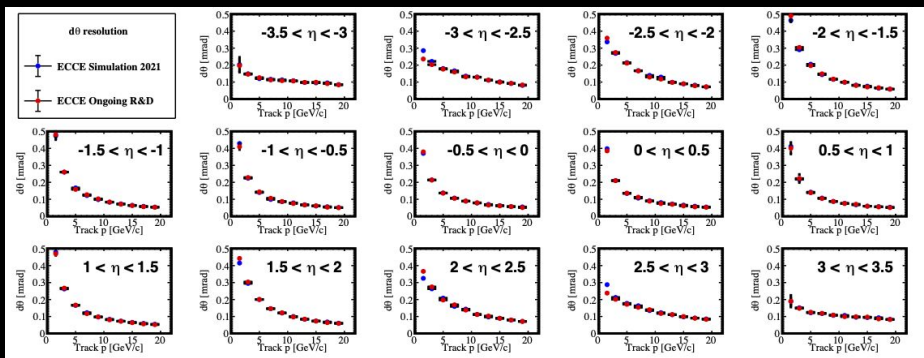
2 Updated Pareto Front at time t

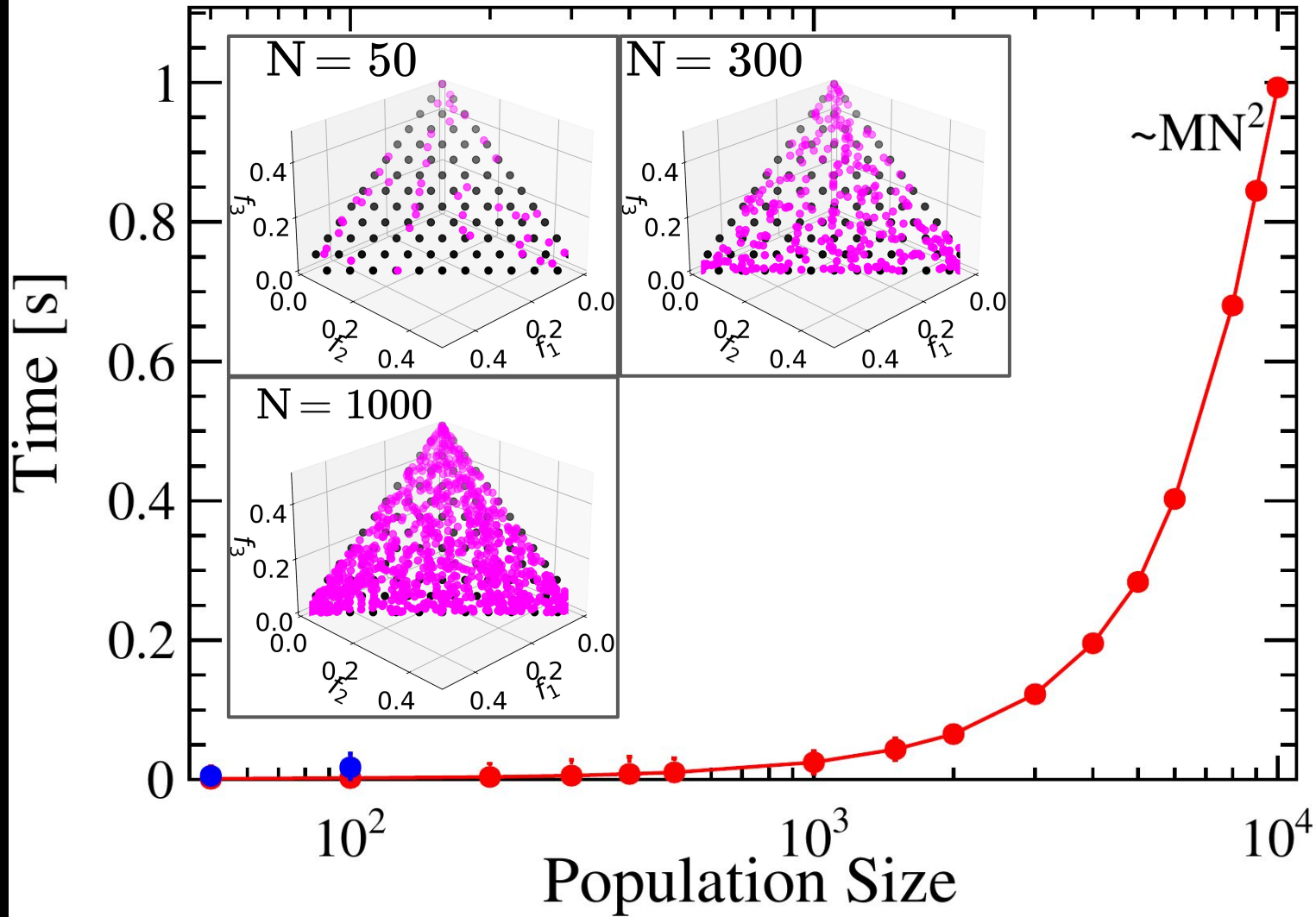


3 At each point in the Pareto front corresponds a design



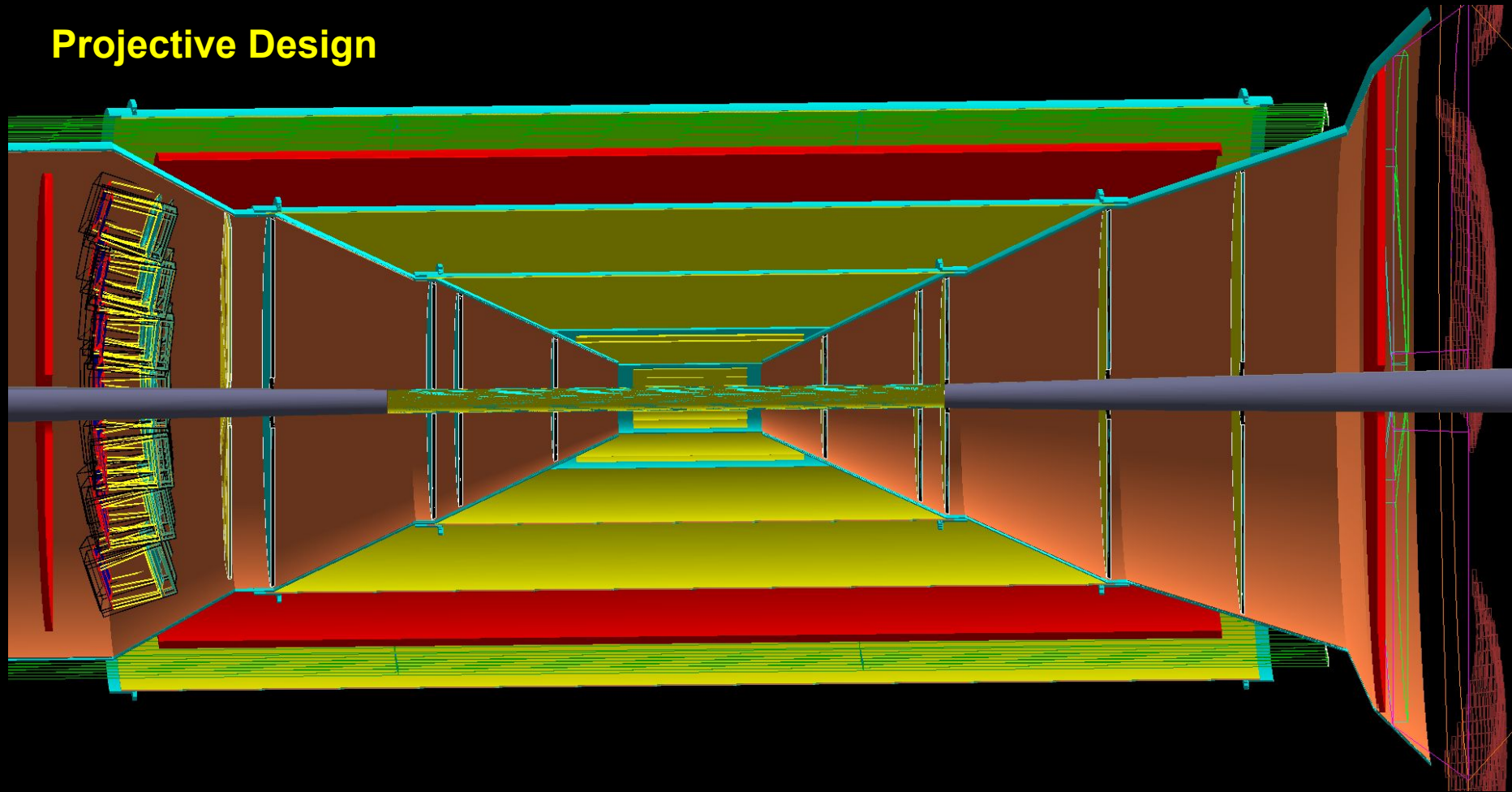
4 Analysis of Objectives (momentum resolution, angular resolution, KF efficiency)







# Projective Design



# Reference Design

Sagitta ITS3 X/X0 = 0.05

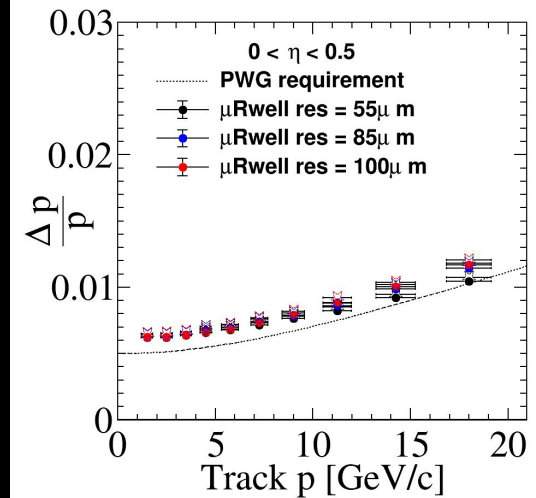
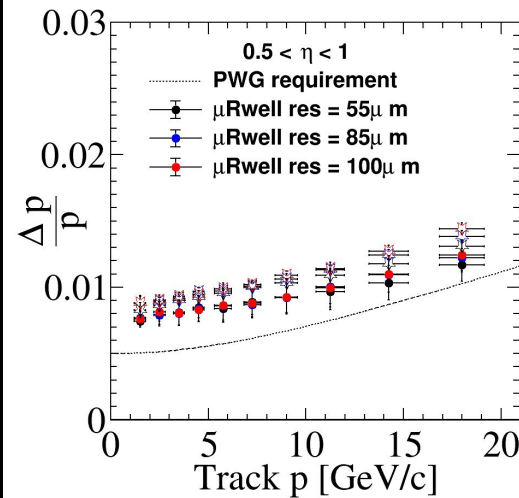
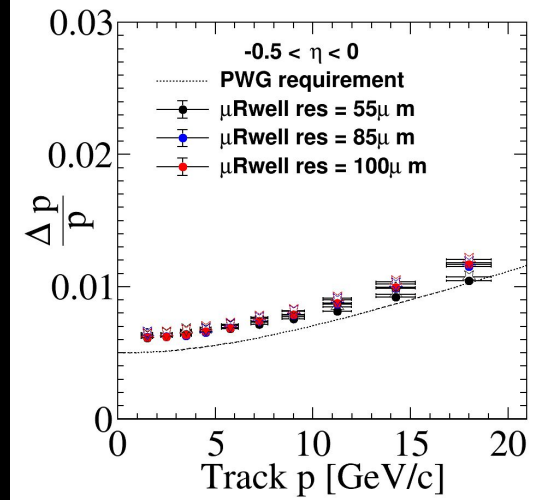
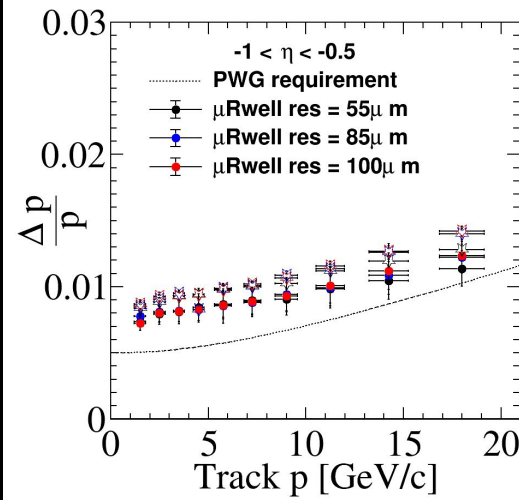
1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are changed in the same way

Solid Colors have large uncertainty

Distribution near support structure is not gaussian.



# Reference Design

Sagitta ITS3  $X/X_0 = 0.2$

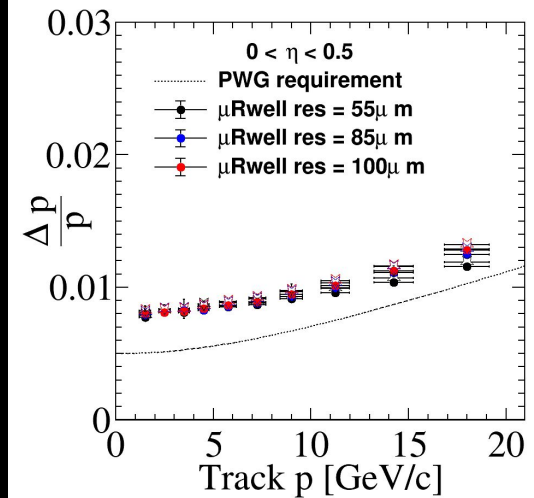
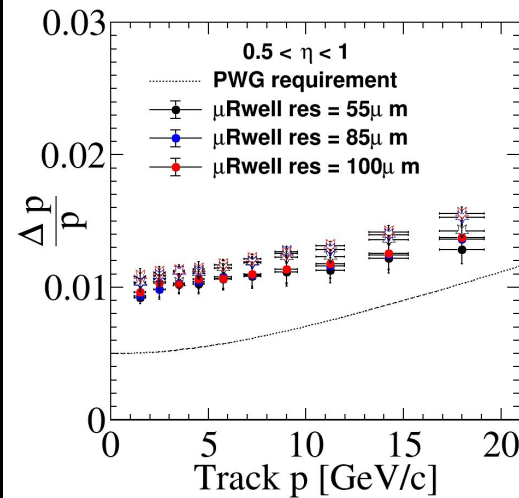
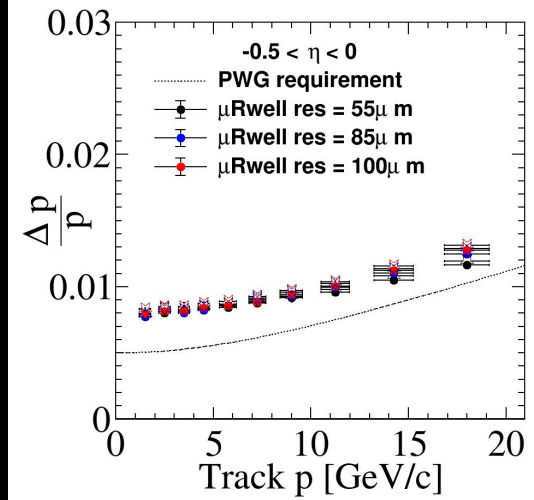
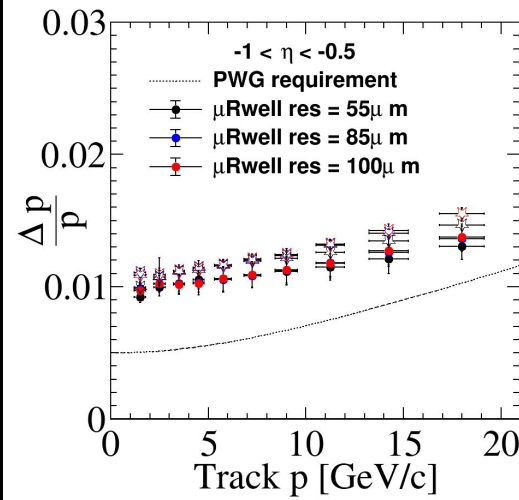
1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer ( $X/X_0$ ) are changed in the same way

Solid Colors have large uncertainty

Distribution near support structure is not gaussian.



# Reference Design

**Sagitta ITS3 X/X0 = 0.55**

1.5M Events with 5  $\pi^-$  tracks /event

Fun4All Framework

Both Sagitta Layer (X/X0) are changed in the same way

Solid Colors have large uncertainty

Distribution near support structure is not gaussian.

