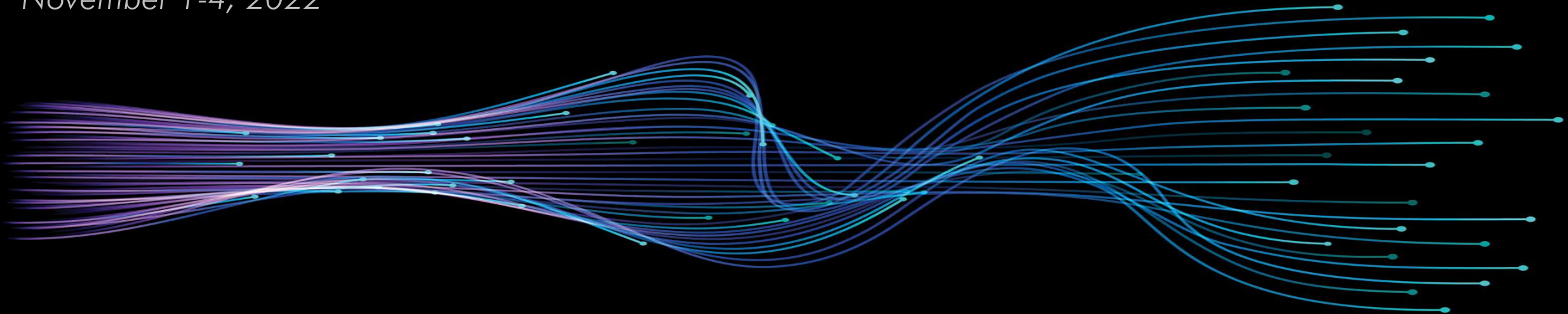


# ***Machine Learning for Improved SRF Operation at CEBAF***

**Chris Tennant | Jefferson Lab**

*3<sup>rd</sup> ICFA Beam Dynamics Mini-Workshop on Machine Learning Applications for Particle Accelerators  
Chicago, IL  
November 1-4, 2022*



# Outline

- ***Introduction and Motivation***
- ***C100 Cavity Fault Classification***
- ***C100 Cavity Fault Prediction***
- ***Other***
  - ✓ **Field Emission Management**
  - ✓ **Legacy Cavity Instability Detection**
- ***Data Sources***
- ***Summary***



# Acknowledgements

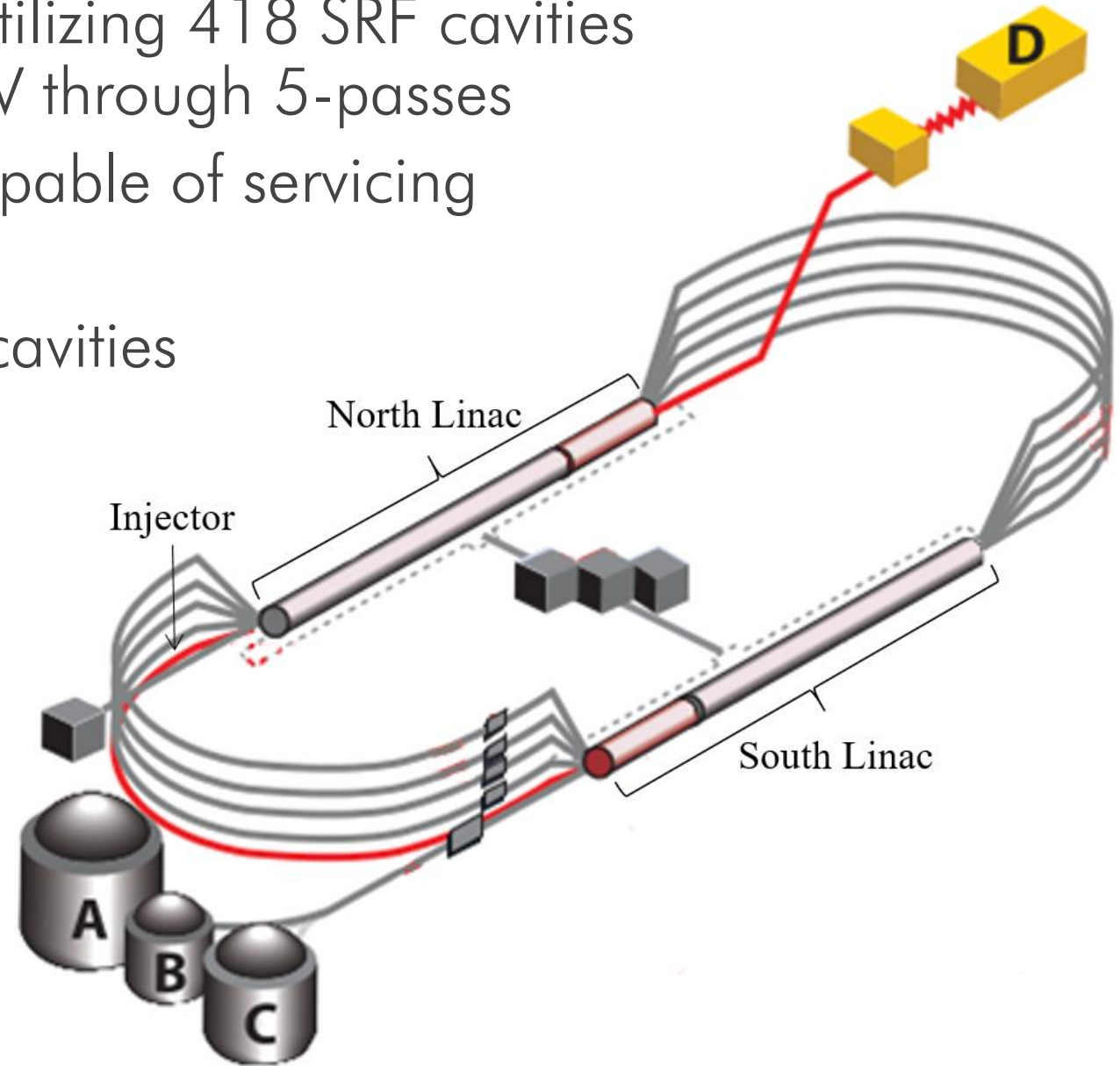
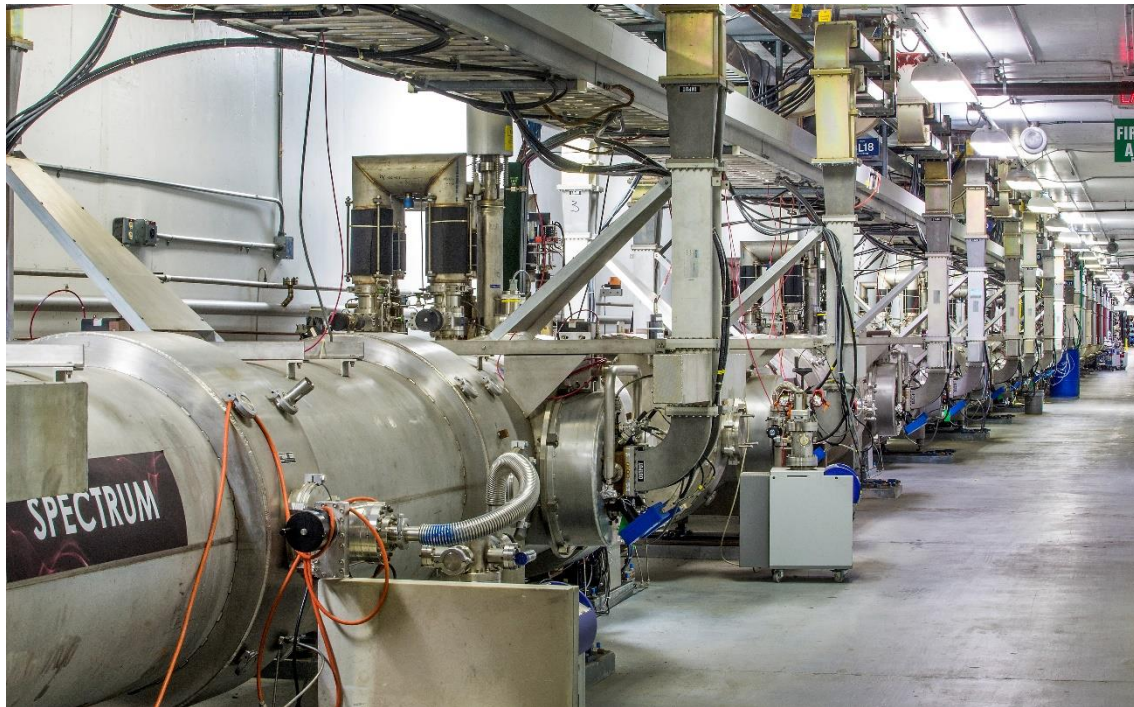
A. Carpenter, R. Suleiman, D. Turner, L. Vidyaratne\*  
*Jefferson Laboratory*

K. Ahammed, H. Ferguson, Md. M. Rahman, K. Iftekharuddin, J. Li  
*Old Dominion University*

\*now at Hitachi USA

# Continuous Electron Beam Accelerator Facility

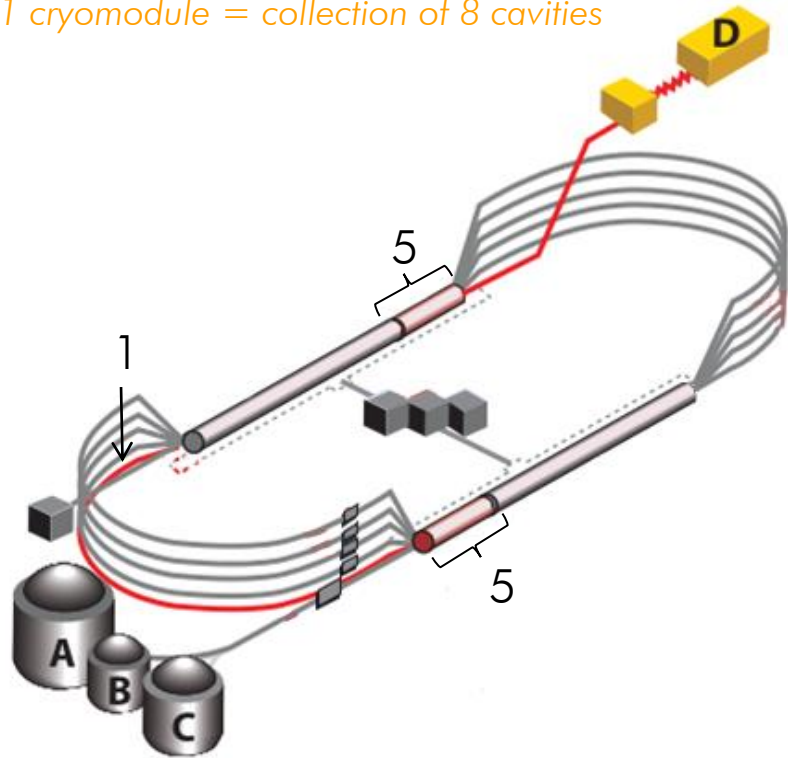
- CEBAF is a CW recirculating linac utilizing 418 SRF cavities to accelerate electrons up to 12 GeV through 5-passes
- it is a nuclear physics user-facility capable of servicing 4 experimental halls simultaneously
- the heart of the machine is the SRF cavities



# Fault Classification: Defining the Problem

we have the ability to record high-fidelity data from 12 cryomodules

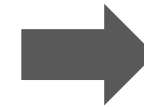
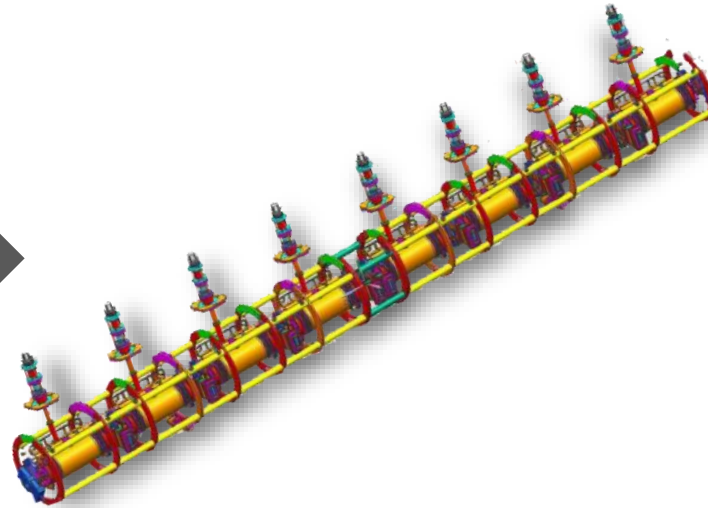
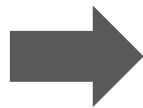
*1 cryomodule = collection of 8 cavities*



Question #1

Which of the 8 cavities faulted first?

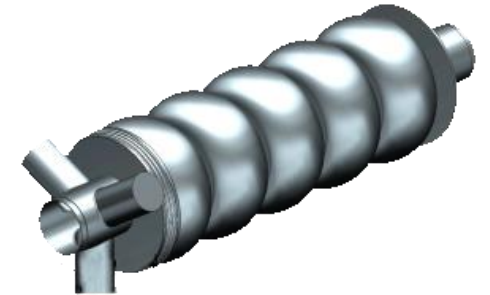
*17 signals/cavity × 8 cavities = 136 signals*



Question #2

What kind of trip was it?

*17 signals*



train a model to correctly classify the cavity and type of RF fault given waveform data

# Motivation for Machine Learning

- laborious for subject matter expert to hand label thousands of events

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## ***Post-Run Analysis***

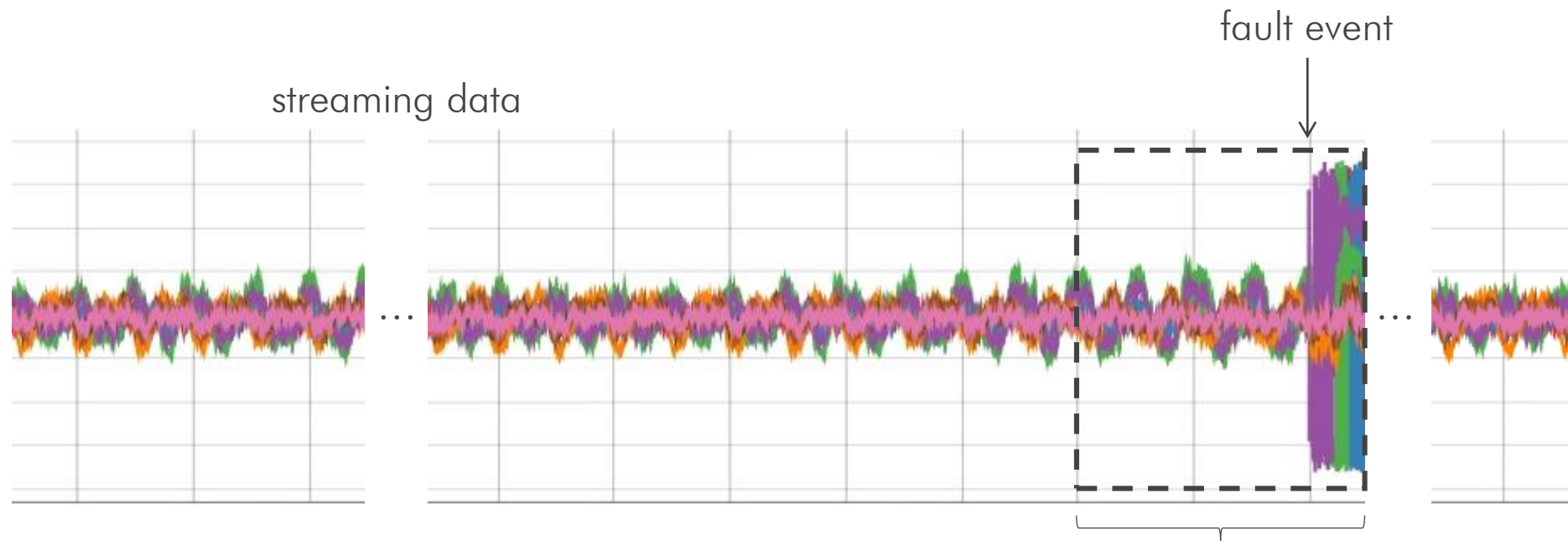
- use aggregate statistics for data-driven guidance for maintenance and/or upgrade activities
  - ✓ analysis of fall 2018 data indicated three cryomodules in the South Linac were prone to microphonic-based faults → provided justification to perform microphonics hardening (installing tuner dampers) → reduced microphonics-based trip rates → gradients could be increased in those cryomodules

## ***Post-Fault Analysis***

- provides critical feedback to control room operators
- fault types get mapped to actions for the operators
  - ✓ “if Trip A happens X times within Y minutes, drop gradient in the cavity by Z MV/m”

# Data: Waveform Harvester

- waveform harvester was developed to capture RF time-series signals after a fault and write them to file for later analysis
  - ✓ each of the 17 harvested waveform signals is 8,192 points long
  - ✓ trigger set such that 94% of the recorded data precedes the fault and 6% after
  - ✓ pre-fault data provides valuable information about the root cause of the trip



$8,192 \text{ samples} \times 0.2 \text{ ms/sample} = 1.64 \text{ seconds}$



# ML Model Performance

- models were applied to data collected from March 10-24, 2020
  - ✓ physics run was prematurely ended due to COVID-19
- 312 fault events were analyzed by the models
- summary of model performances compared to labeled data

	Agree	Disagree	Total
<b>Cavity Model</b>	265	47	312
<b>Fault Model</b>	244	68	312

- cavity model accuracy: 84.9%
  - ✓ testing accuracy: 87.9%
- fault model accuracy: 78.2%
  - ✓ testing accuracy: 87.7%

PHYSICAL REVIEW ACCELERATORS AND BEAMS **23**, 114601 (2020)

Editors' Suggestion

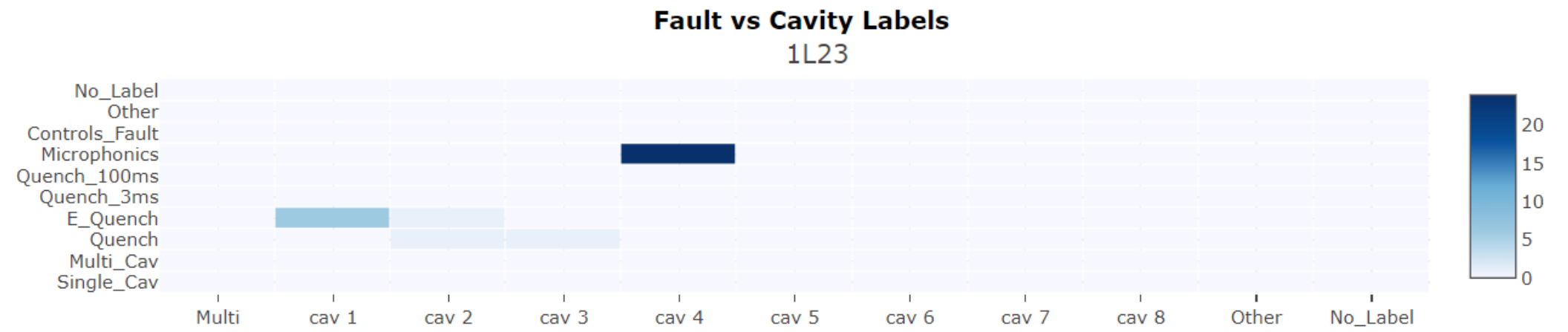
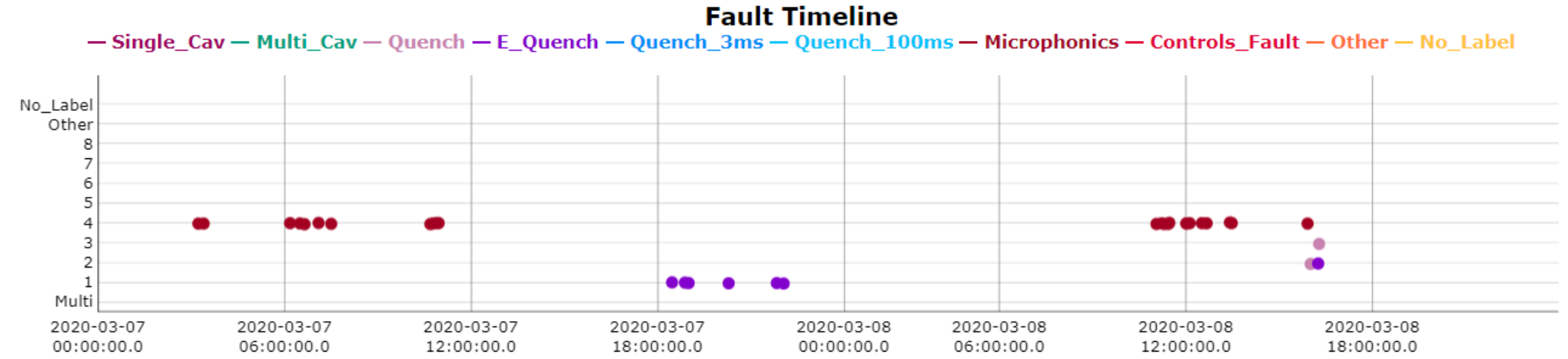
## Superconducting radio-frequency cavity fault classification using machine learning at Jefferson Laboratory

Chris Tennant<sup>1</sup>, Adam Carpenter, Tom Powers,  
Anna Shabalina Solopova<sup>2</sup>, and Lasitha Vidyaratne  
*Jefferson Laboratory, Newport News, Virginia 23606, USA*

Khan Iftekharuddin  
*Old Dominion University, Norfolk, Virginia 23529, USA*

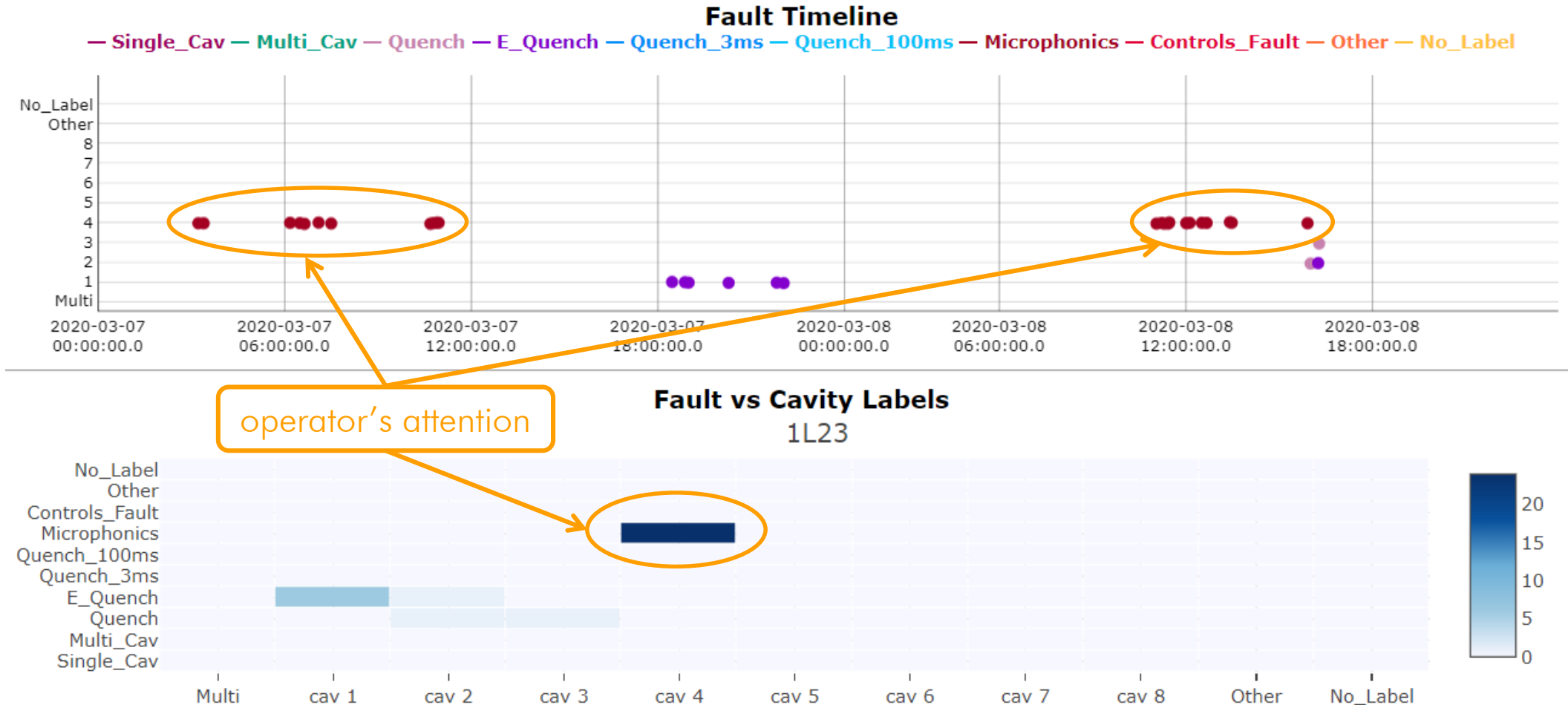
# Visualization and Communication

- for ML models to be effective, information must be communicated clearly and concisely
- visualize spatial and temporal nature of model predictions



# Visualization and Communication

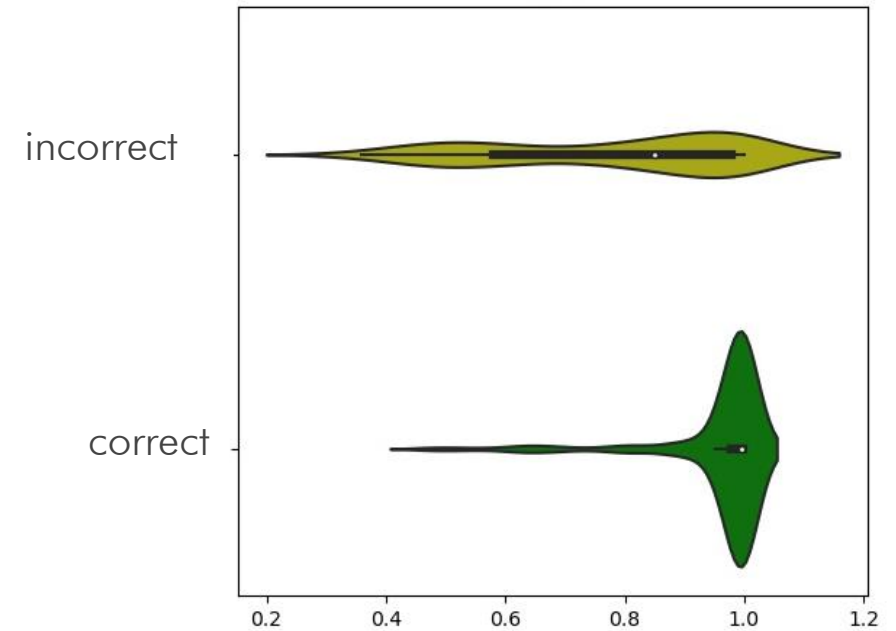
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- visualize spatial and temporal nature of model predictions



# Machine Learning → Deep Learning

- benefits

- ✓ avoid feature extraction
- ✓ computationally faster (needful for fault prediction)
- ✓ allow for uncertainty quantification



## Deep Learning Based Superconducting Radio-Frequency Cavity Fault Classification at Jefferson Laboratory

Lasitha Vidyaratne<sup>1\*</sup>, Adam Carpenter<sup>1</sup>, Tom Powers<sup>1</sup>, Chris Tennant<sup>1</sup>,  
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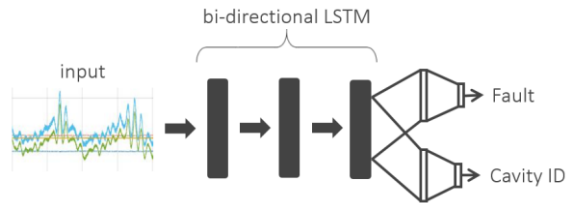
# Machine Learning → Deep Learning

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- architectures explored

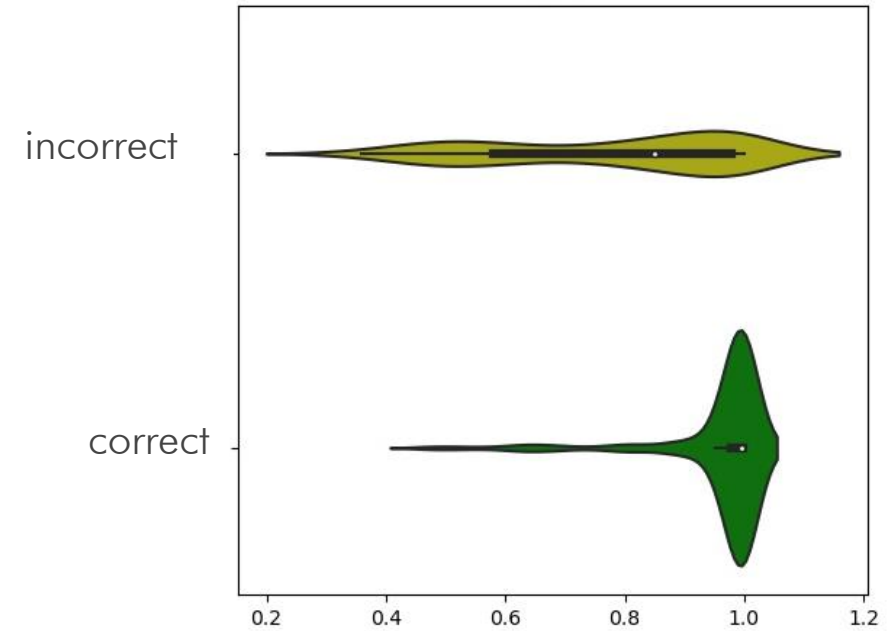
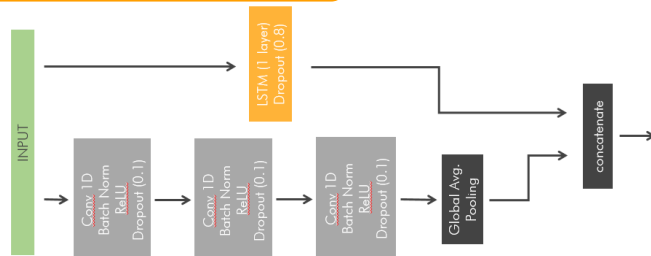
- ✓ recurrent NN



- ✓ CNN



- ✓ LSTM + CNN



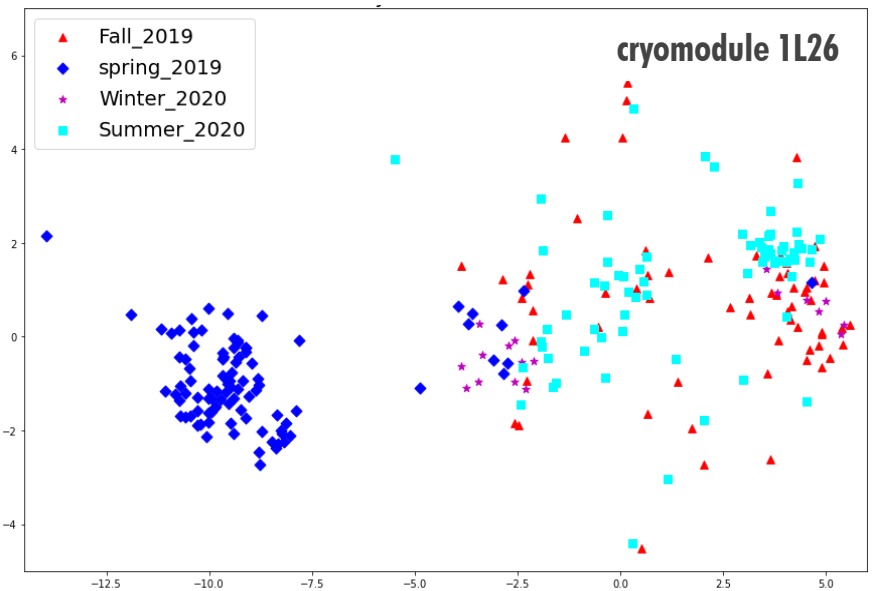
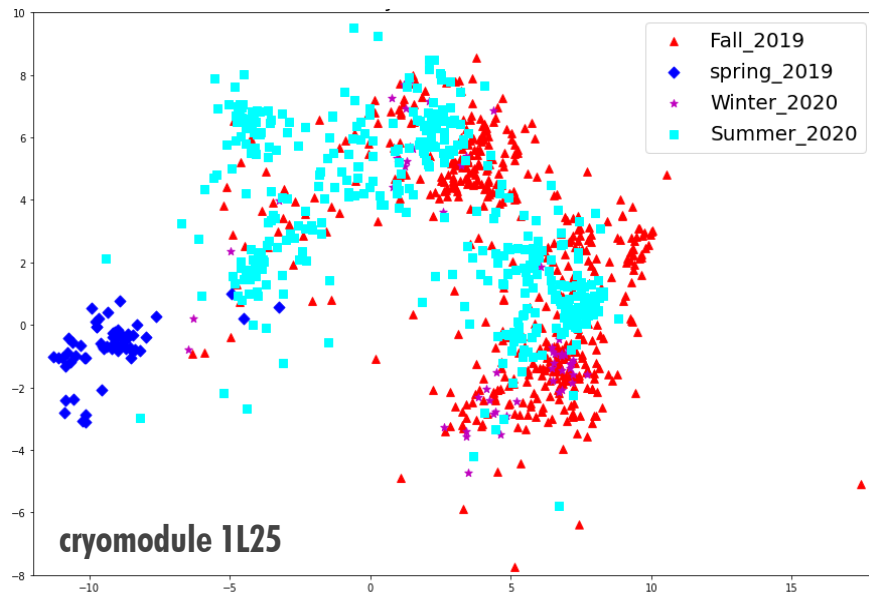
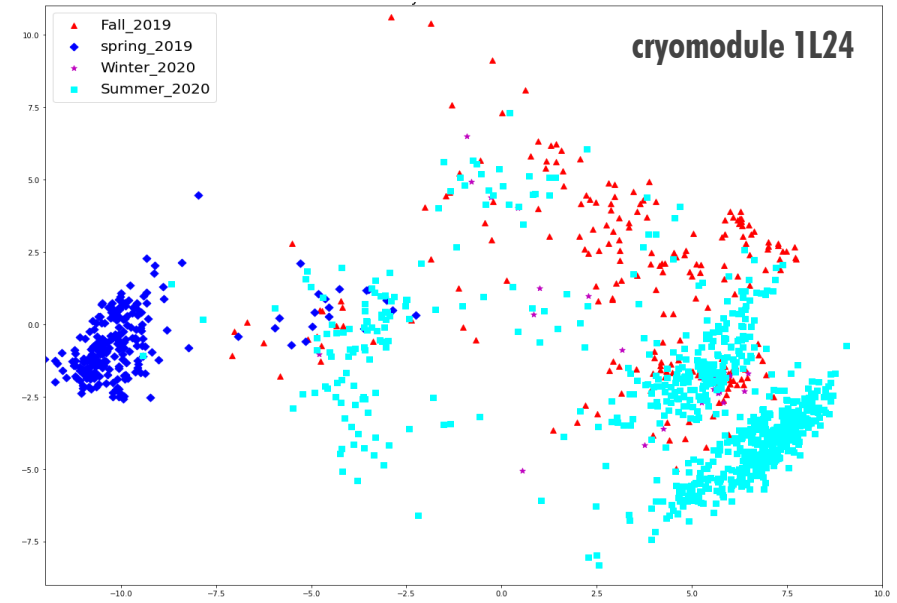
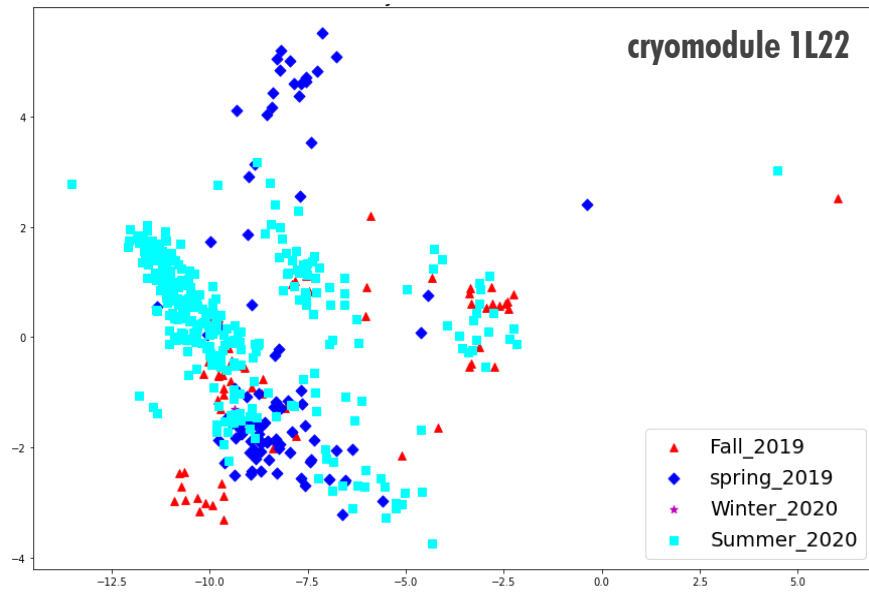
frontiers  
in Artificial Intelligence

ORIGINAL RESEARCH  
published: 03 January 2022  
doi: 10.3389/frai.2021.718950

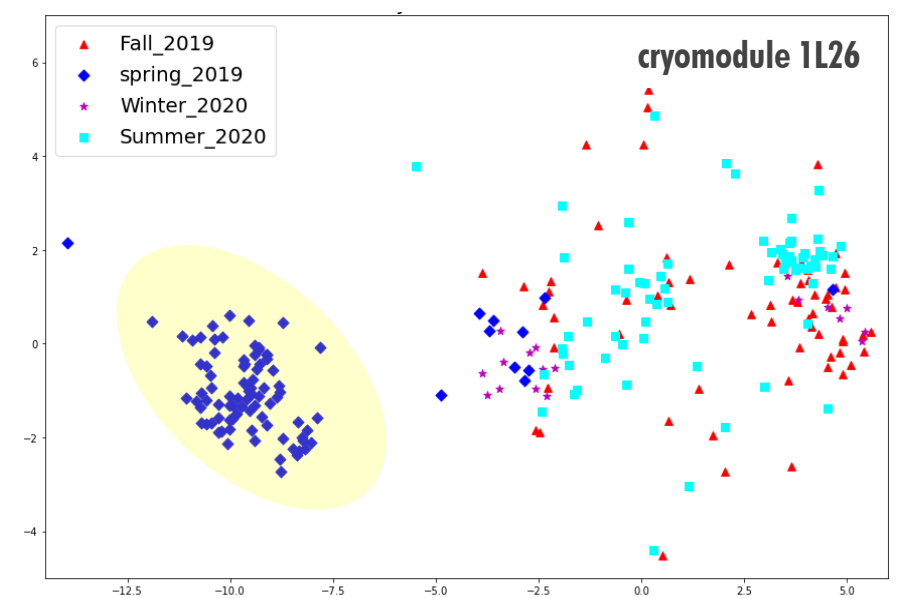
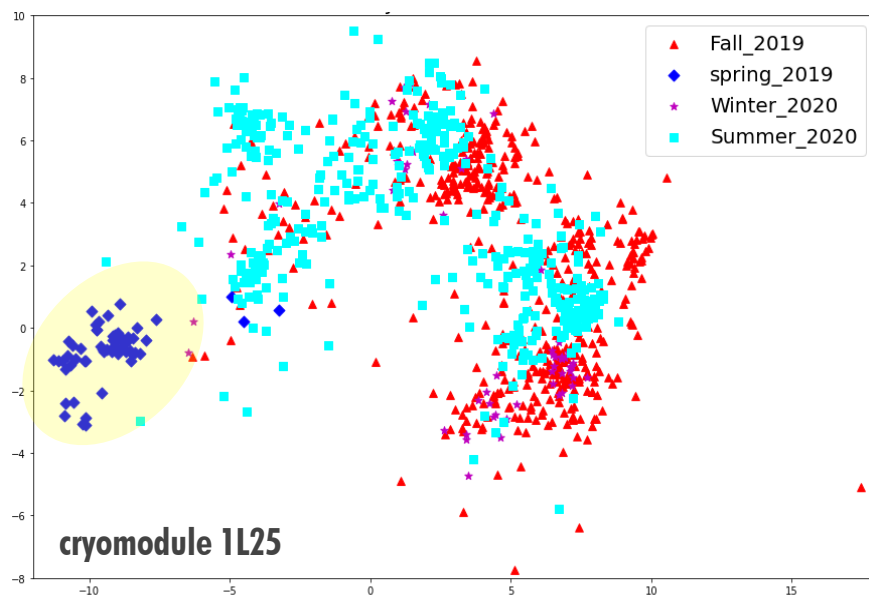
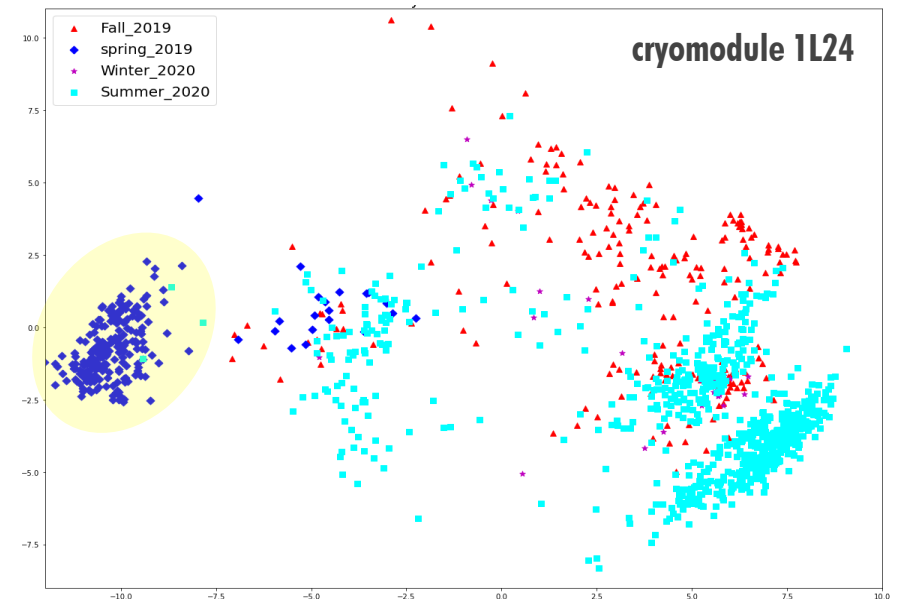
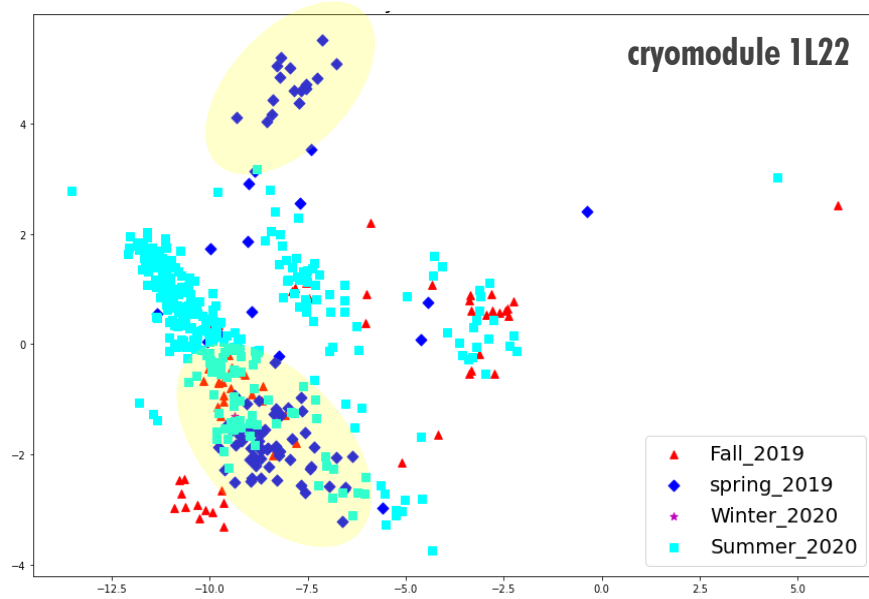
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# Dimensionality Reduction: Visualize Runs



# Dimensionality Reduction: Visualize Runs



# Maintaining Model Performance

- most critical challenge is to maintain model performance from one operational run to the next (*work in progress*)



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- most critical challenge is to maintain model performance from one operational run to the next (*work in progress*)

## 1. Feature Extraction

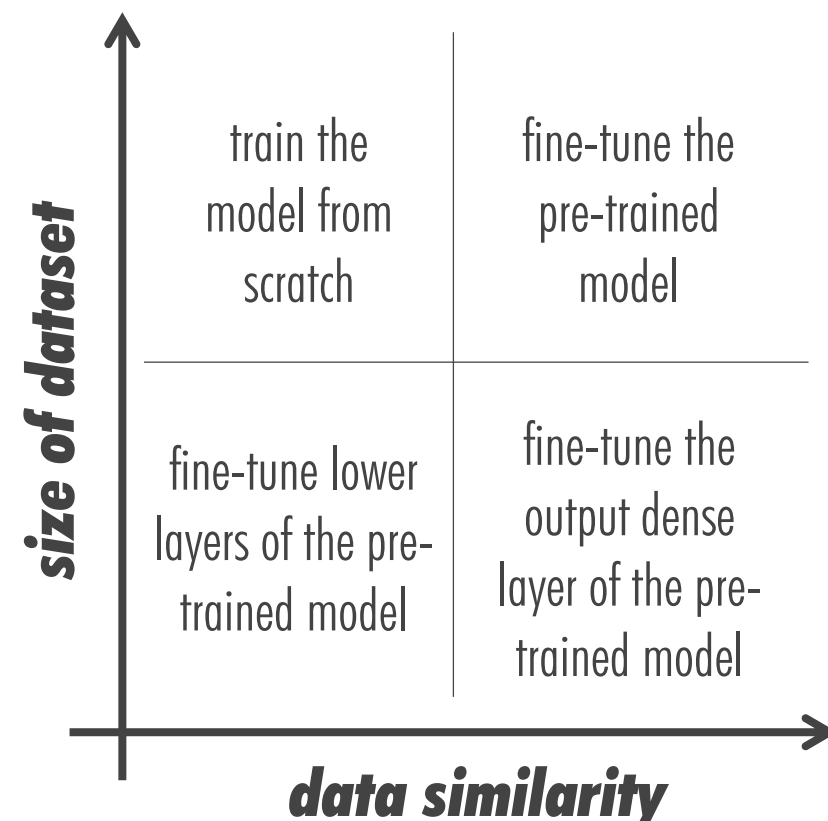
*Remove the output layer and use the entire network as a fixed feature extractor for the new dataset*

## 2. Fine-Tune a Pre-Trained Model

*Fine-tune the weights of the pre-trained network (all layers)*

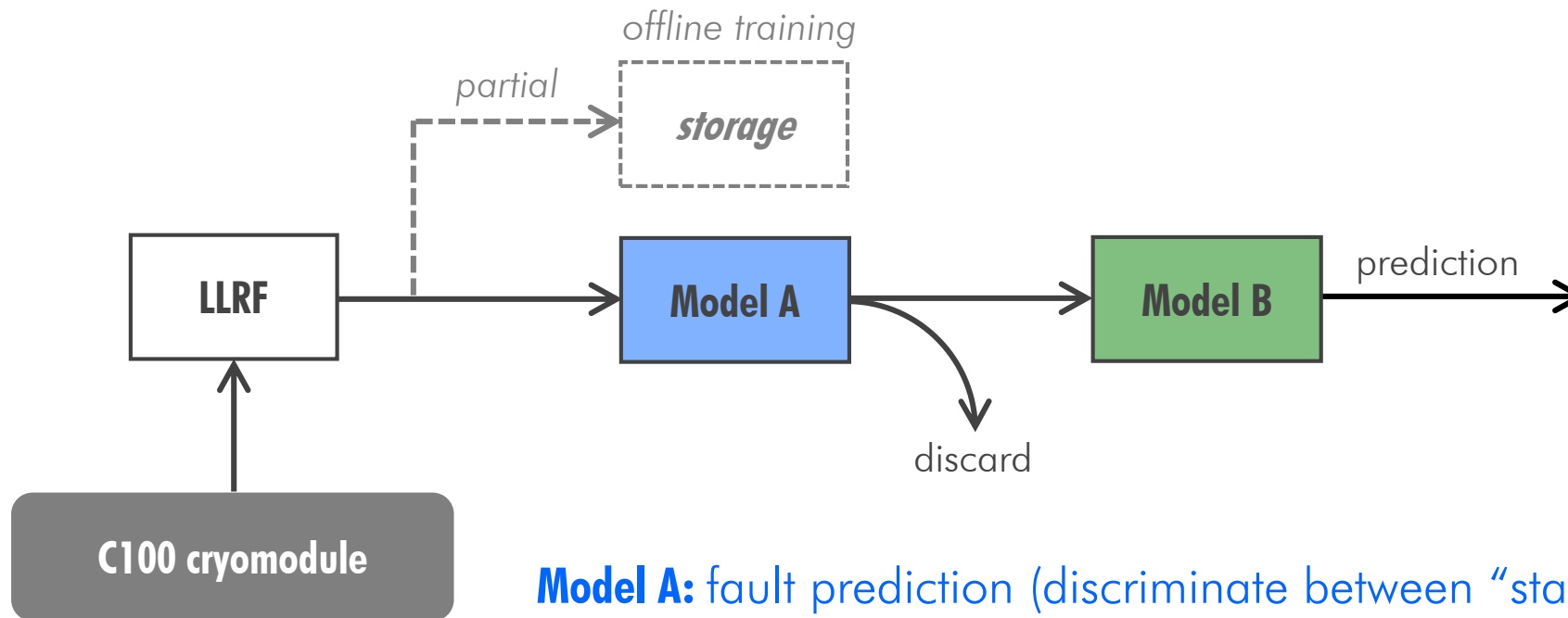
## 3. Train Some Layers While Freezing Others

*Freeze weights of earlier layers and fine-tune the weights of later layers*



# Fault Classification → Fault Prediction

- small portion of waveforms around fault event are used for training classifiers
  - ✓ uses *static datasets*
- modifications to LLRF system will allow us to continuously stream data
- investigate if data prior to fault contains enough information to predict event



**Model A:** fault prediction (discriminate between “stable” and “impending”)

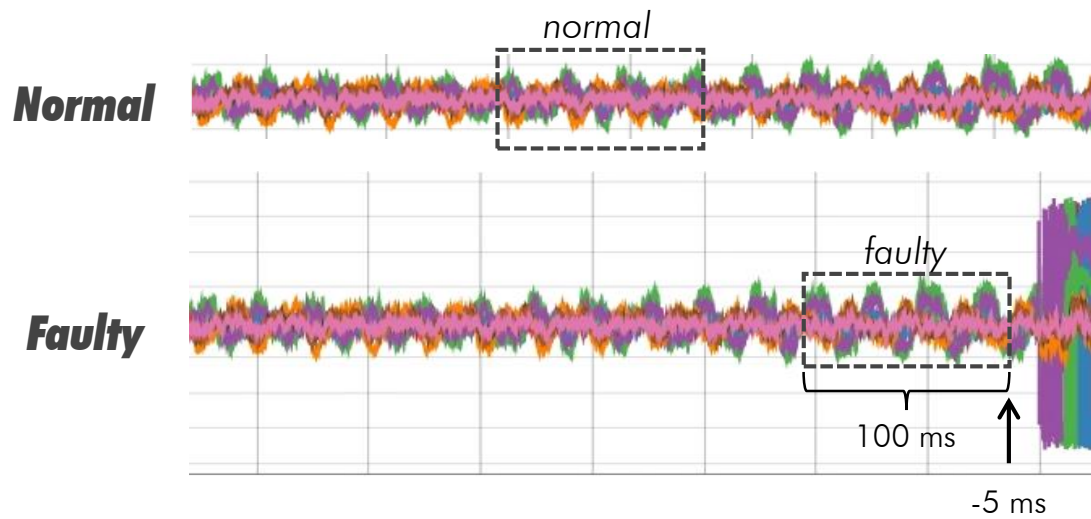
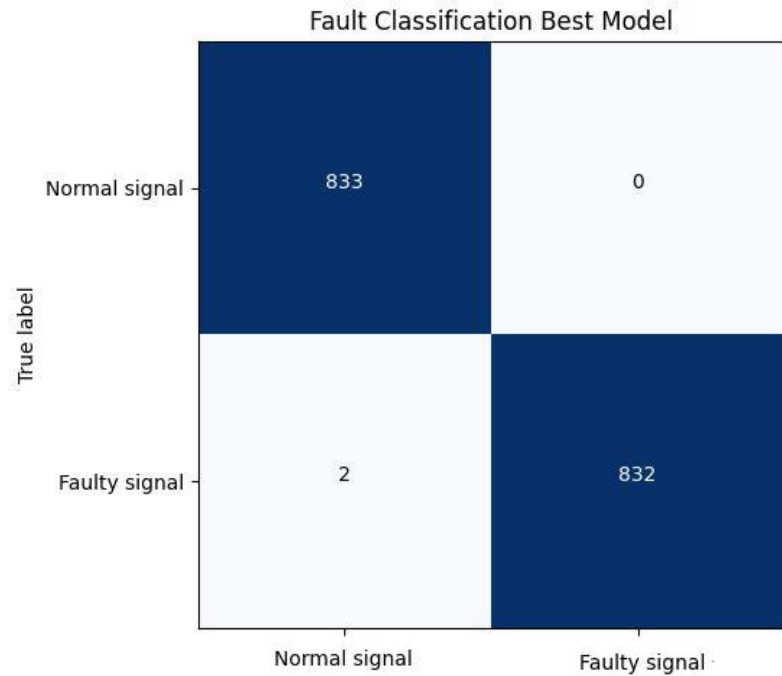
**Model B:** fault-type prediction (classify fault)

# Model A: Binary Classifier

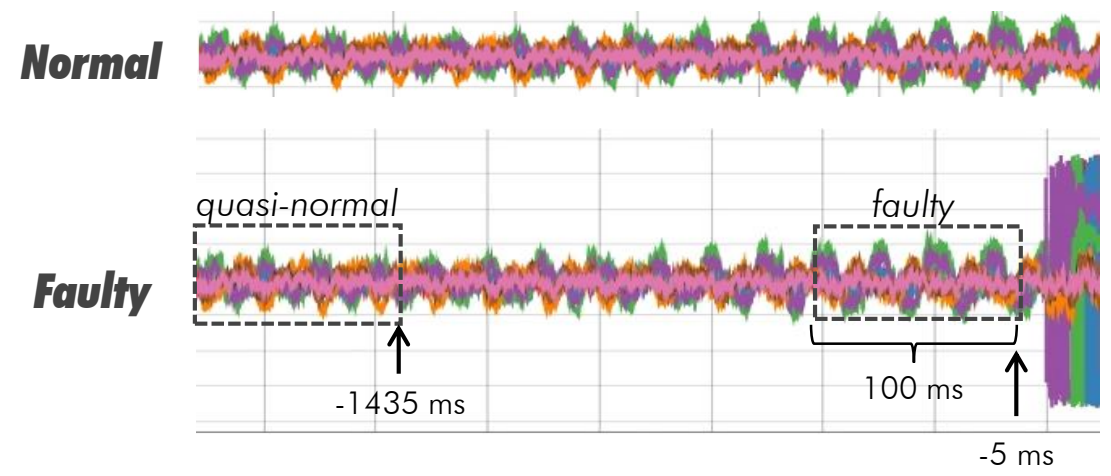
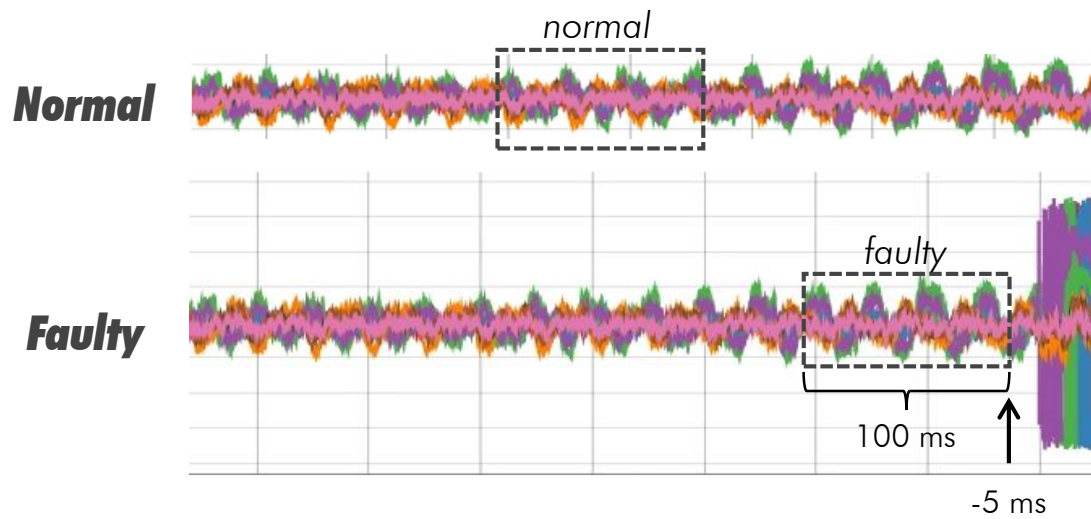
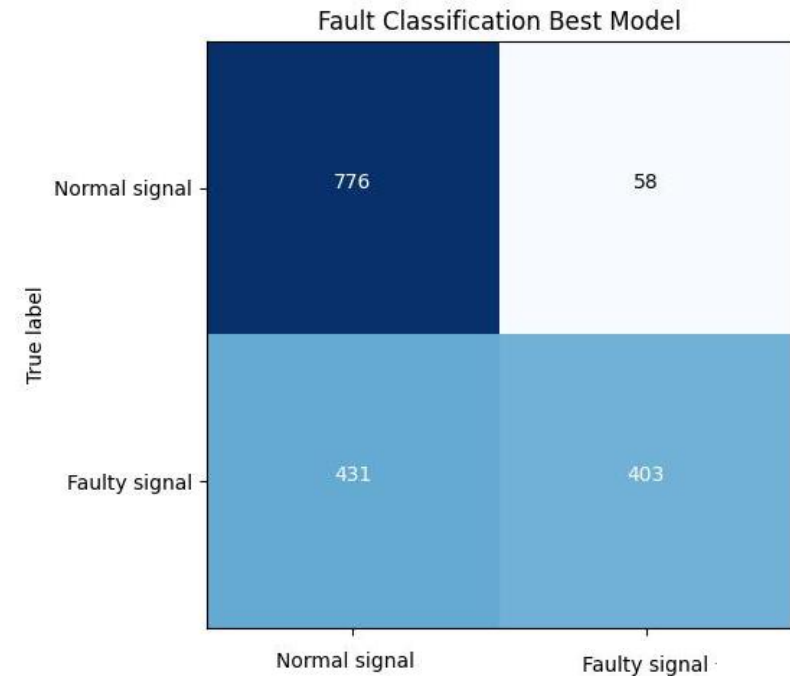
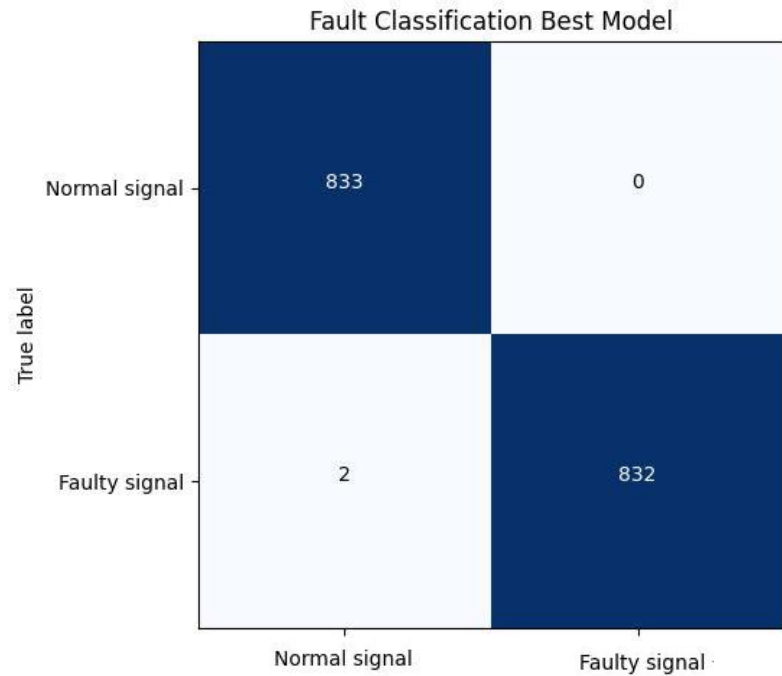
Model	Fault Types	Normal Signals	Fault Signals	Dataset	Experiment
CNN+LSTM	slow faults	"real" normal	t = -1435 ms	Datasets A,B,C	T.01
				Dataset D	T.02
			t = -5 ms	Datasets A,B,C	T.03
				Dataset D	T.04
		"quasi" normal	t = -1435 ms	Datasets A,B,C	T.05
				Dataset D	T.06
			t = -5 ms	Datasets A,B,C	T.07
				Dataset D	T.08
	fast faults	"real" normal	t = -1435 ms	Datasets A,B,C	T.09
				Dataset D	T.10
			t = -5 ms	Datasets A,B,C	T.11
				Dataset D	T.12
		"quasi" normal	t = -1435 ms	Datasets A,B,C	T.13
				Dataset D	T.14
			t = -5 ms	Datasets A,B,C	T.15
				Dataset D	T.16

- slow vs fast fault types
- "real" vs "quasi"-normal
- fault signal window
- dataset date

# Model A: Binary Classifier



# Model A: Binary Classifier



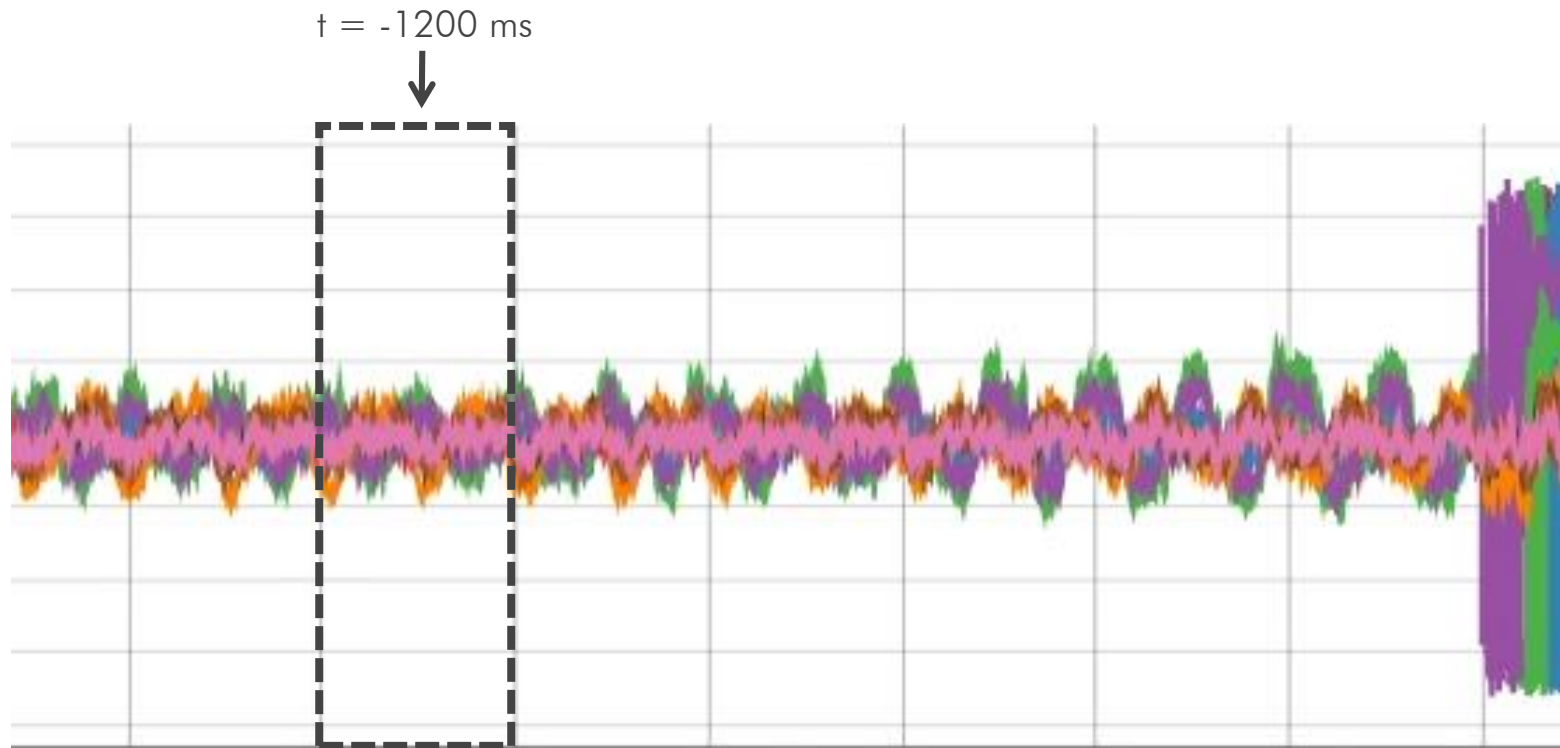
# Model B: Fault Classifier

- can data prior to event accurately predict the fault type?
  - ✓ use saved waveforms



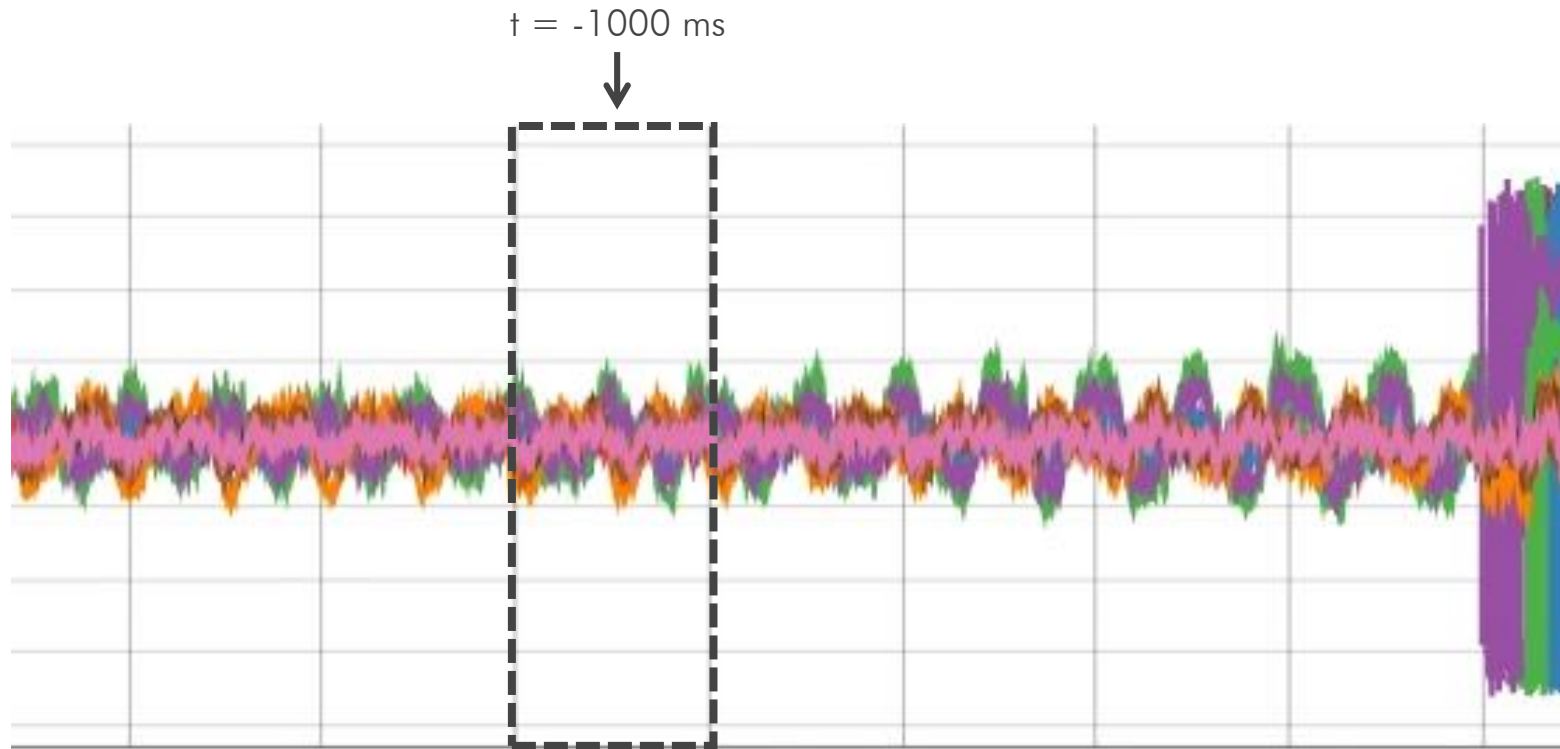
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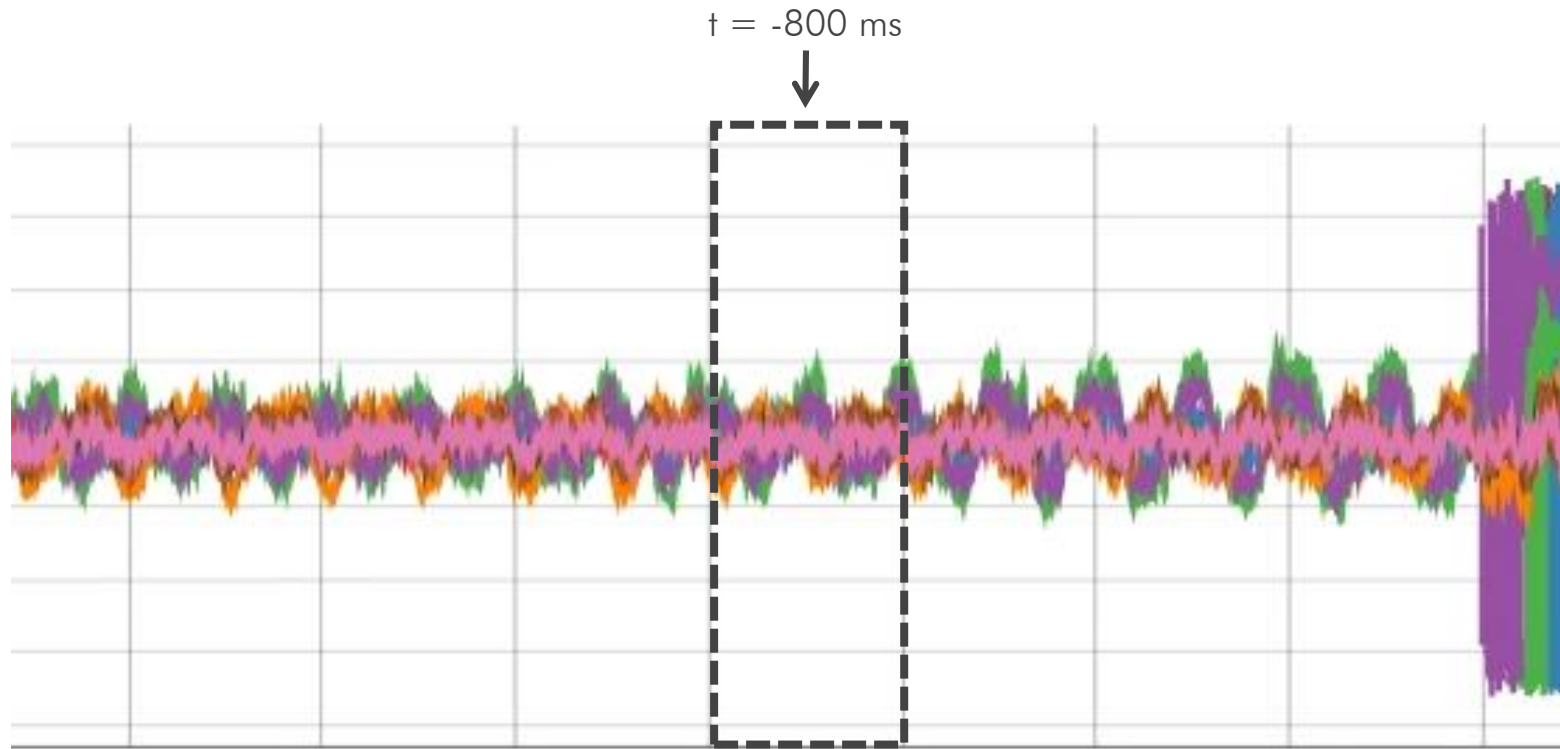
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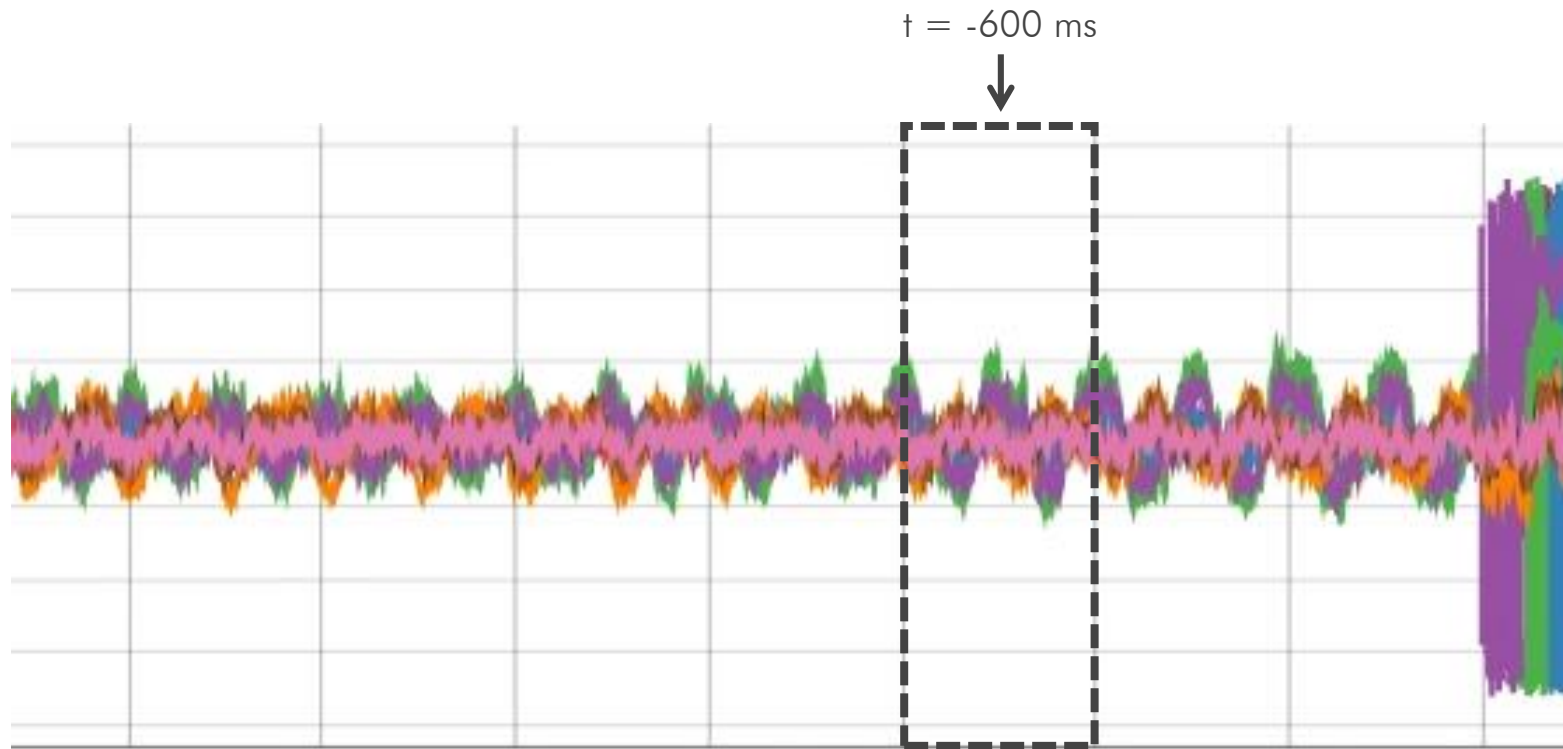
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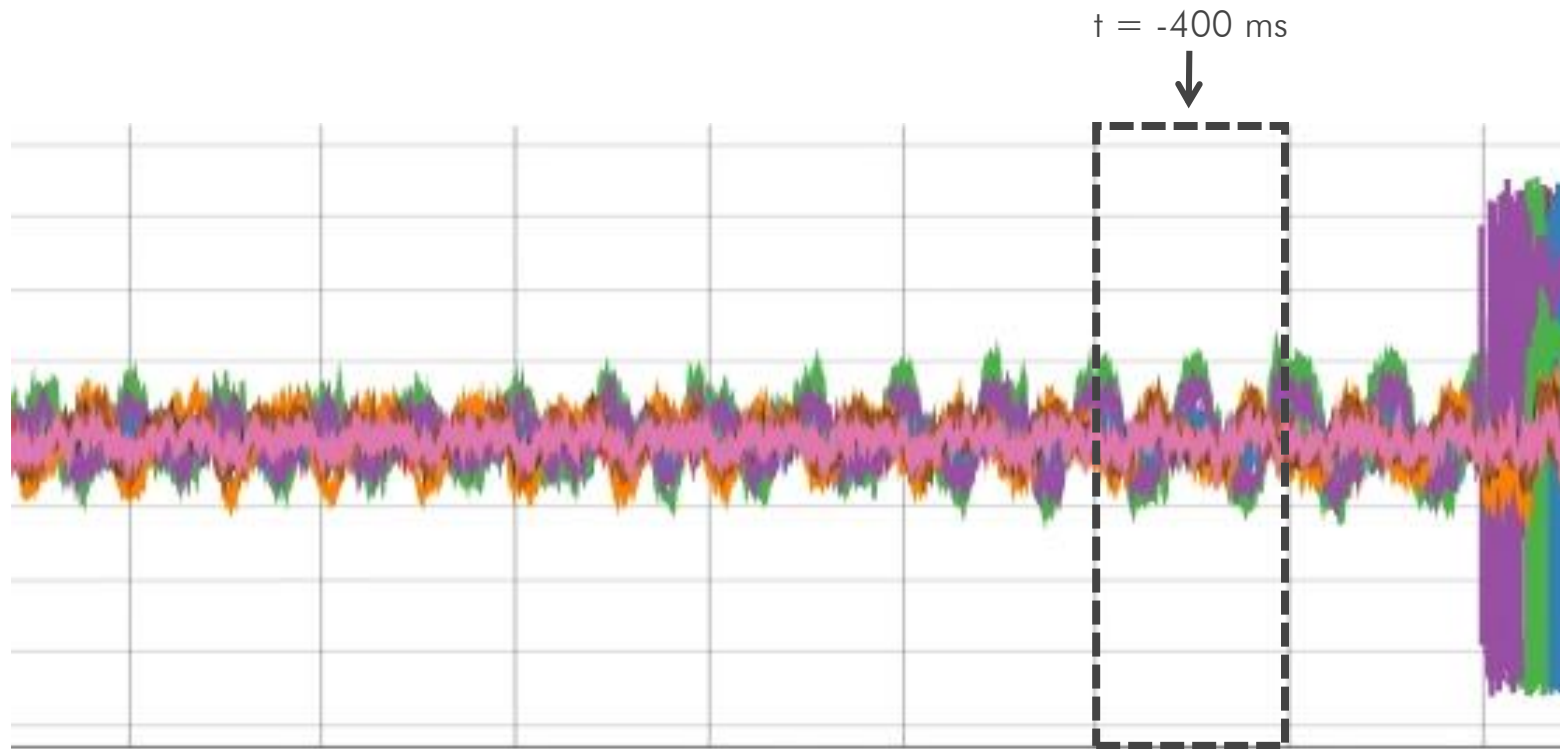
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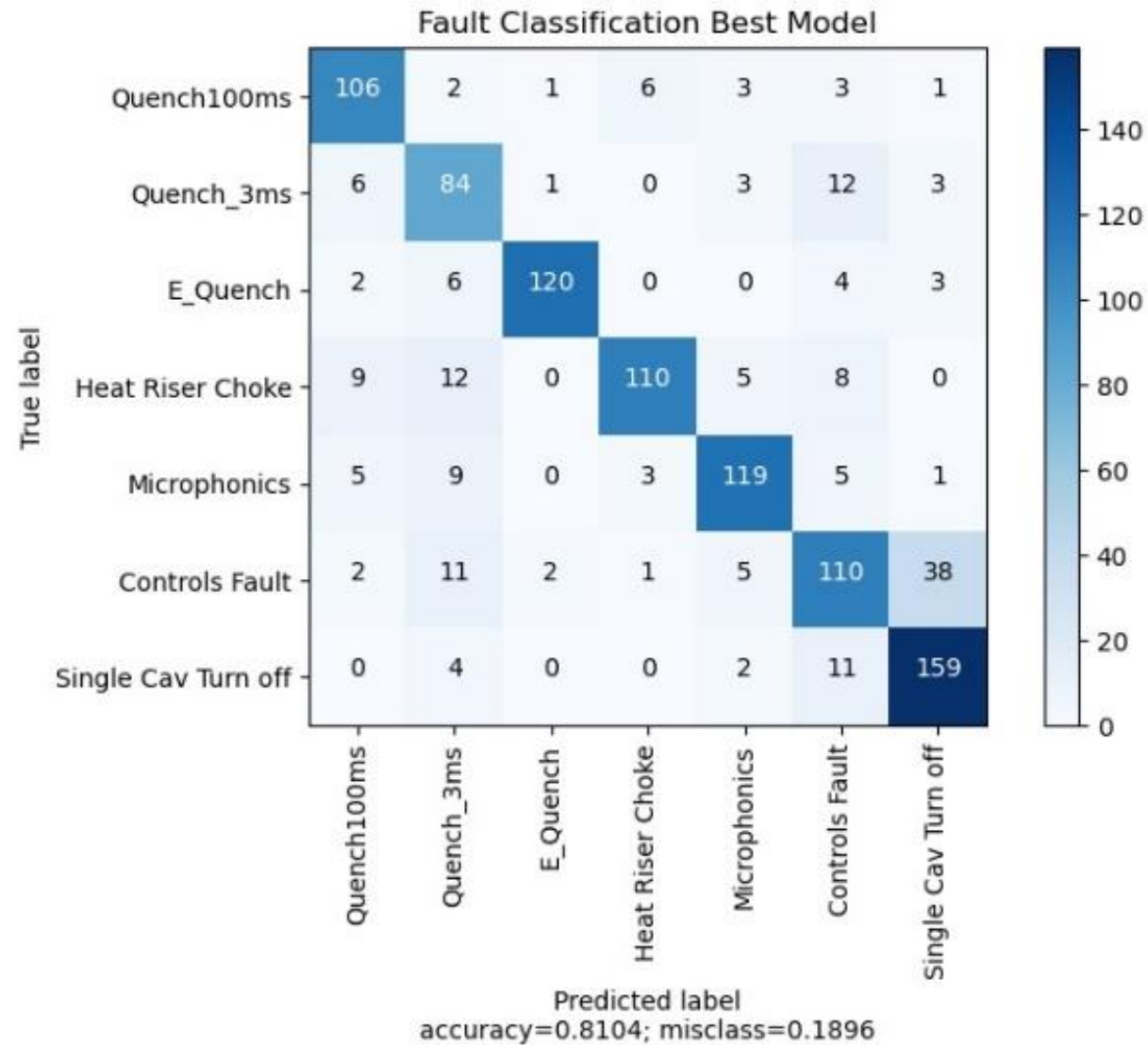
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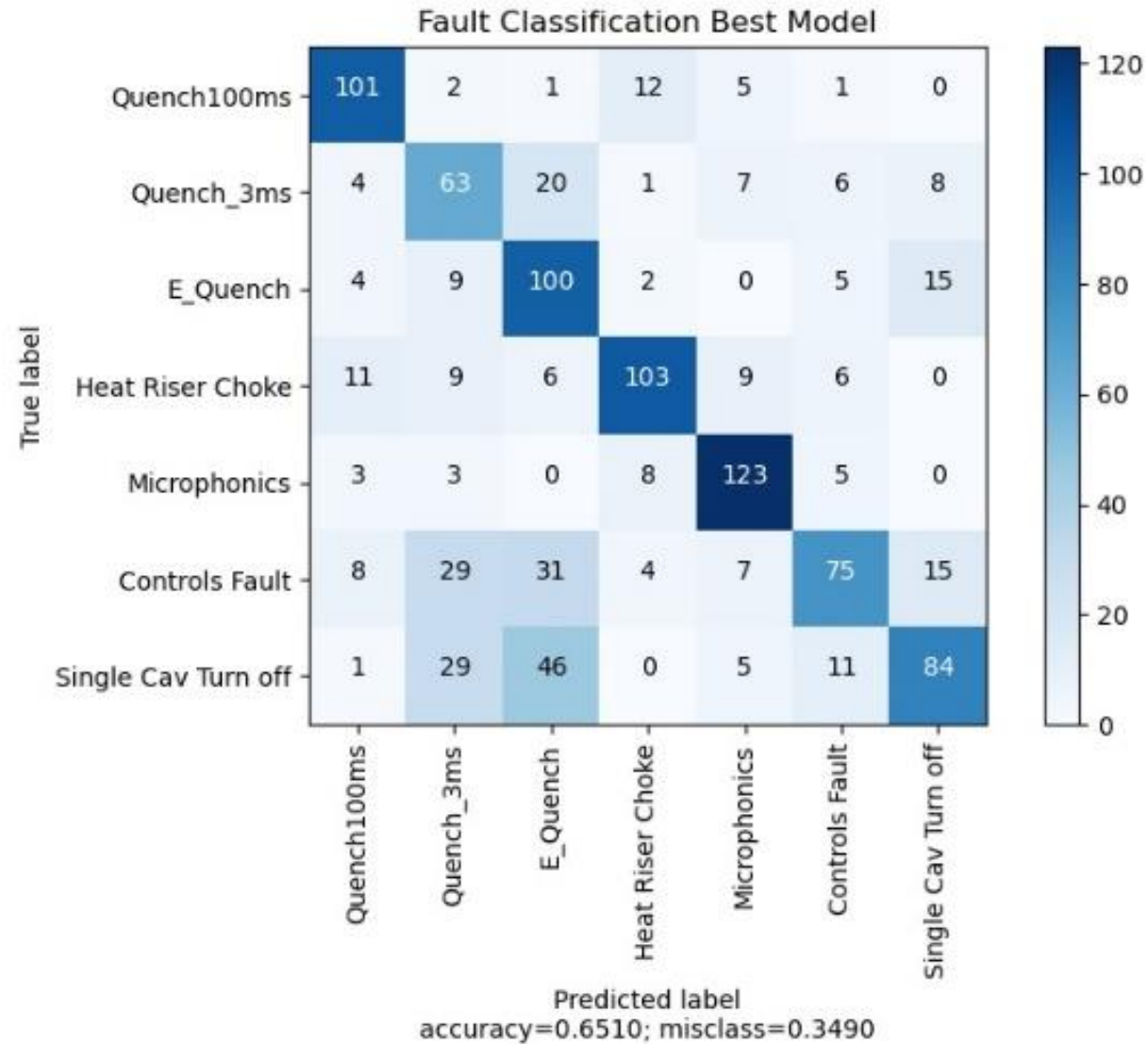
# Model B: Fault Classifier

0 ms prior to fault



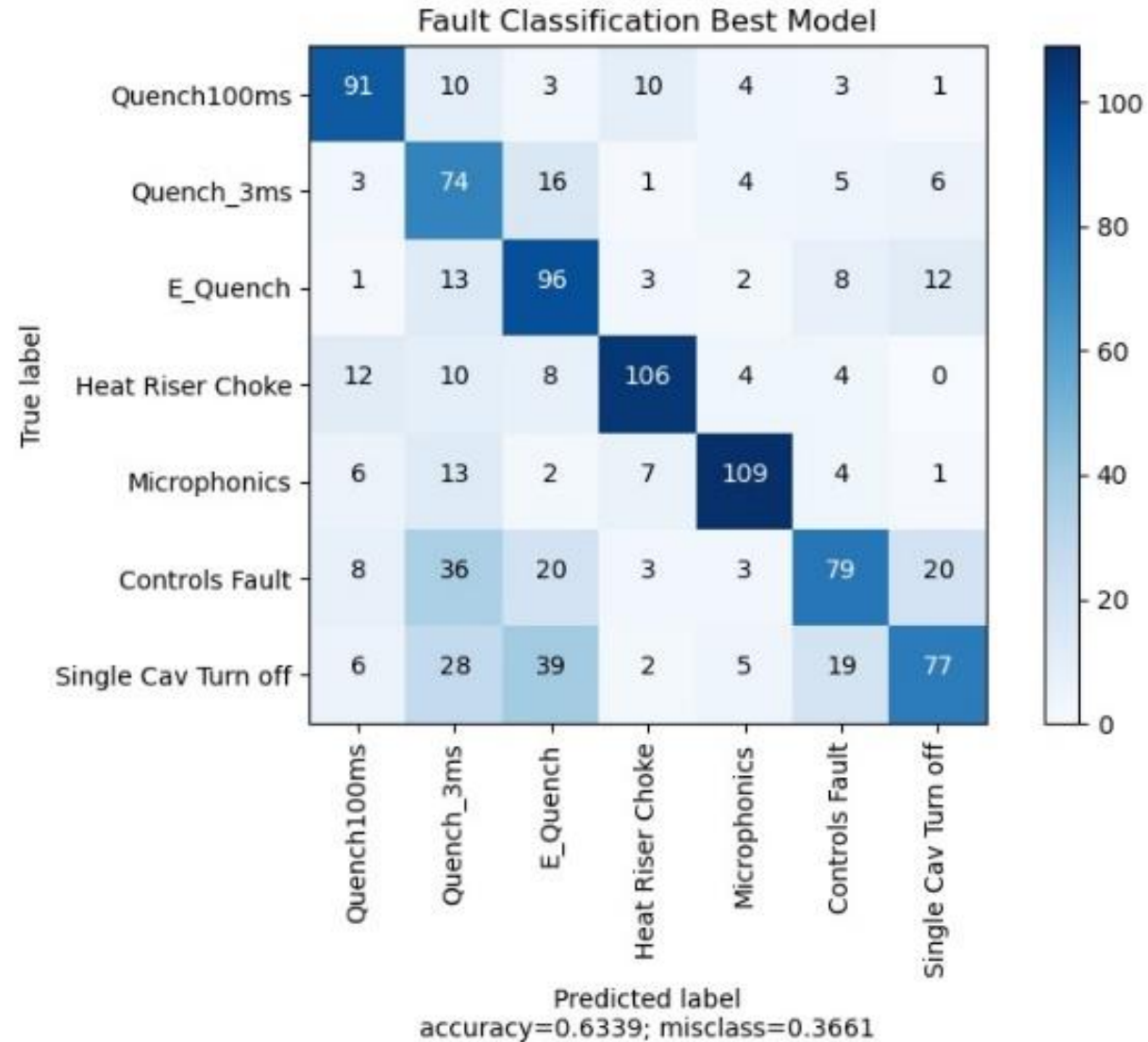
# Model B: Fault Classifier

20 ms prior to fault



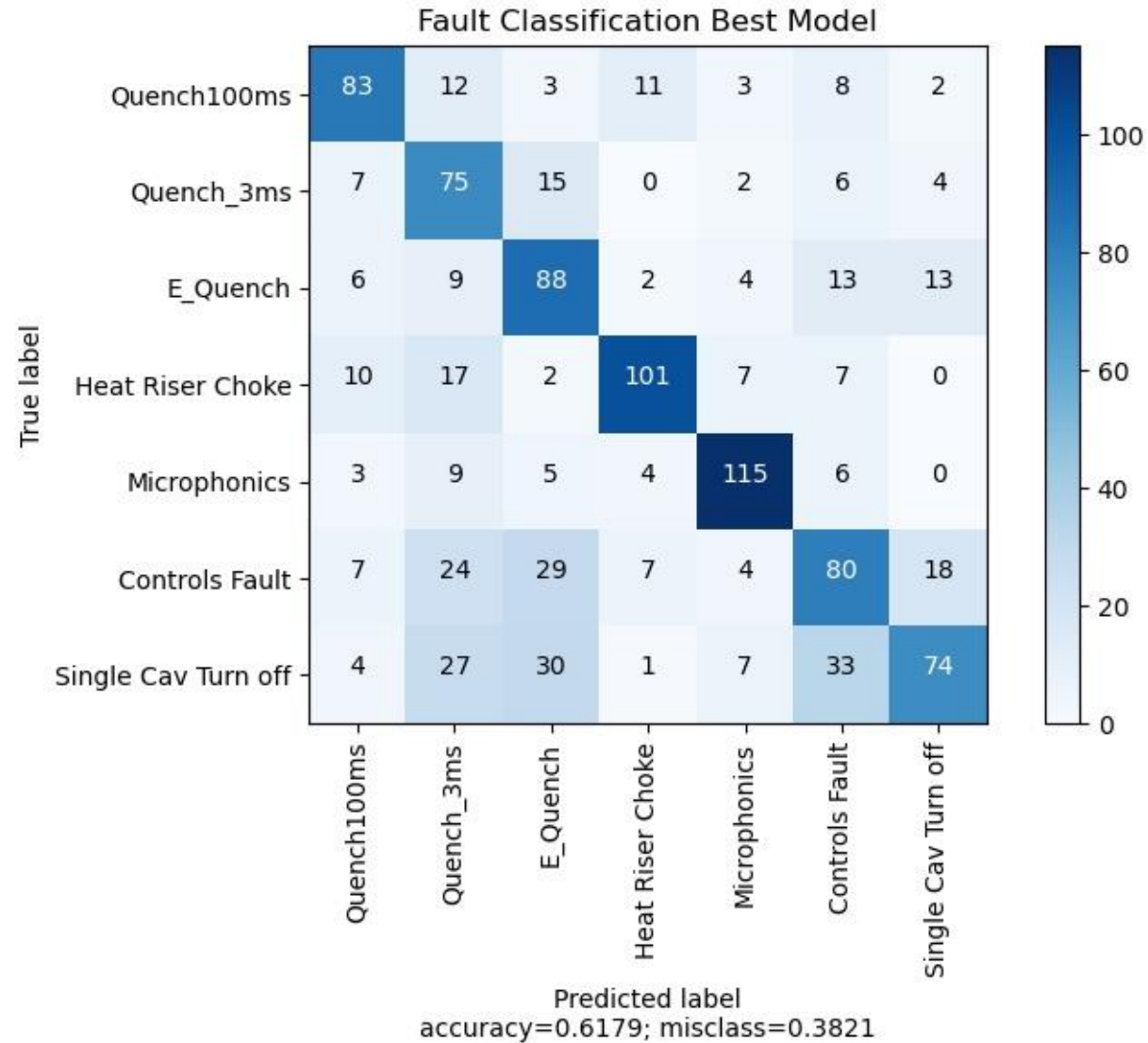
# Model B: Fault Classifier

50 ms prior to fault



# Model B: Fault Classifier

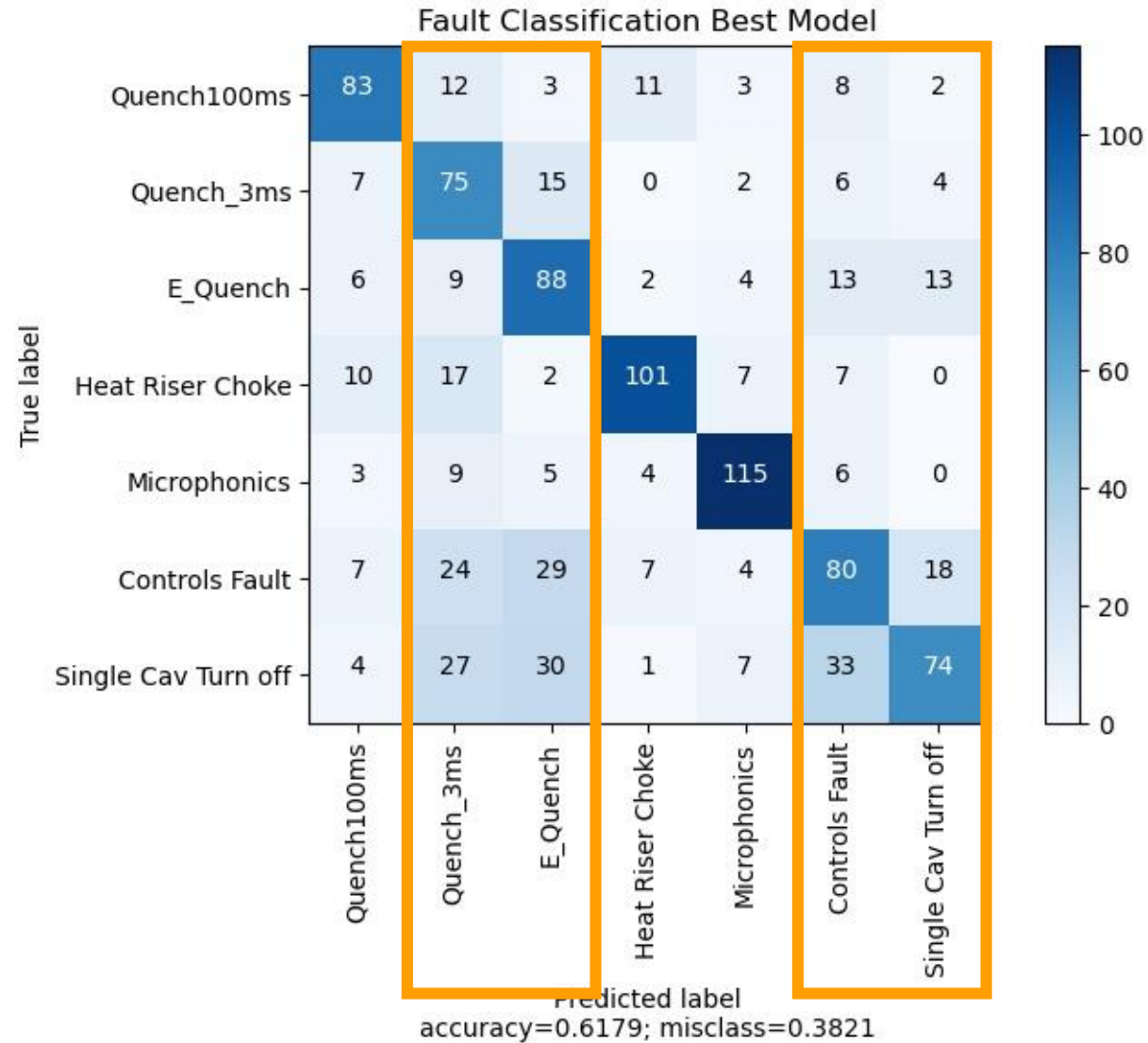
100 ms prior to fault





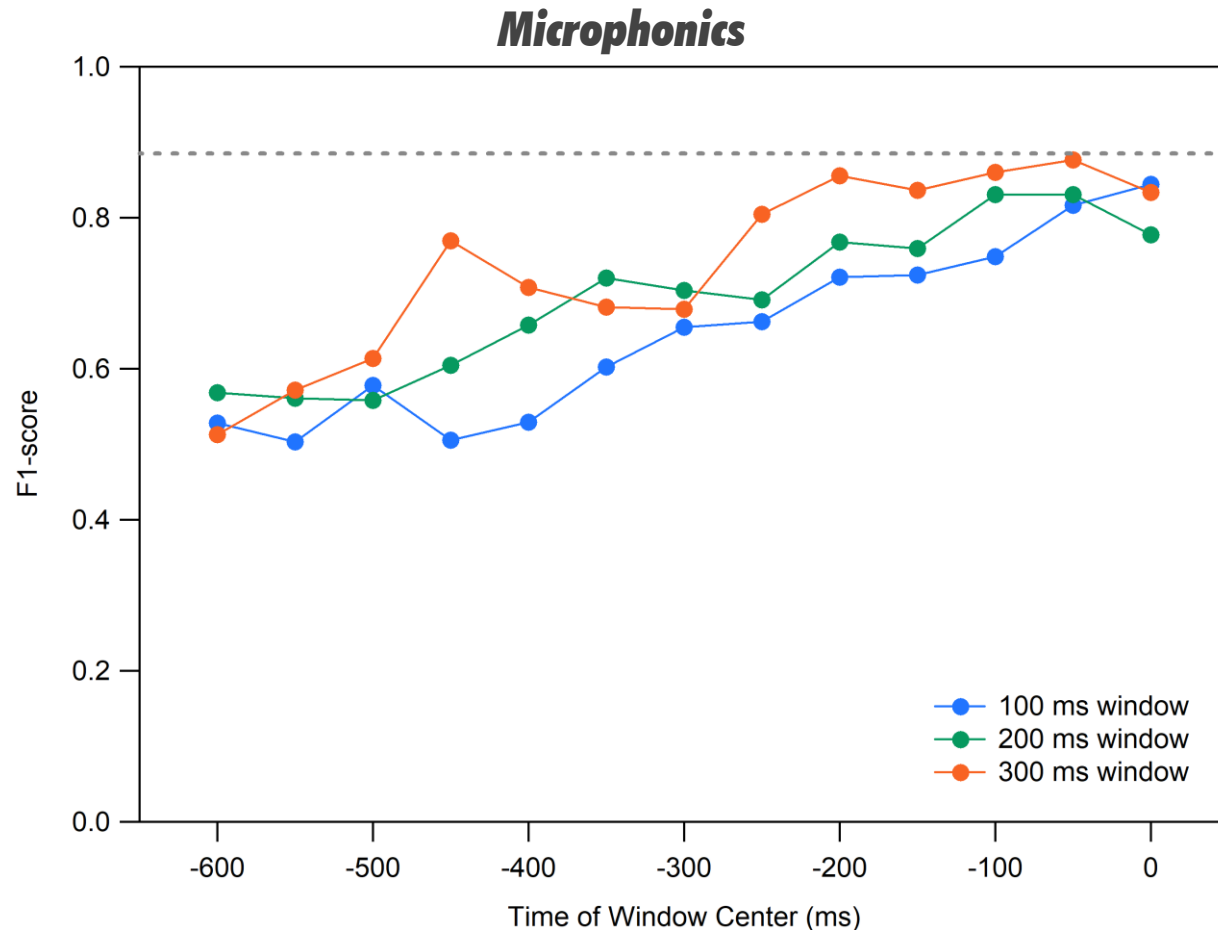
# Model B: Fault Classifier

100 ms prior to fault



# Model B: Fault Classifier

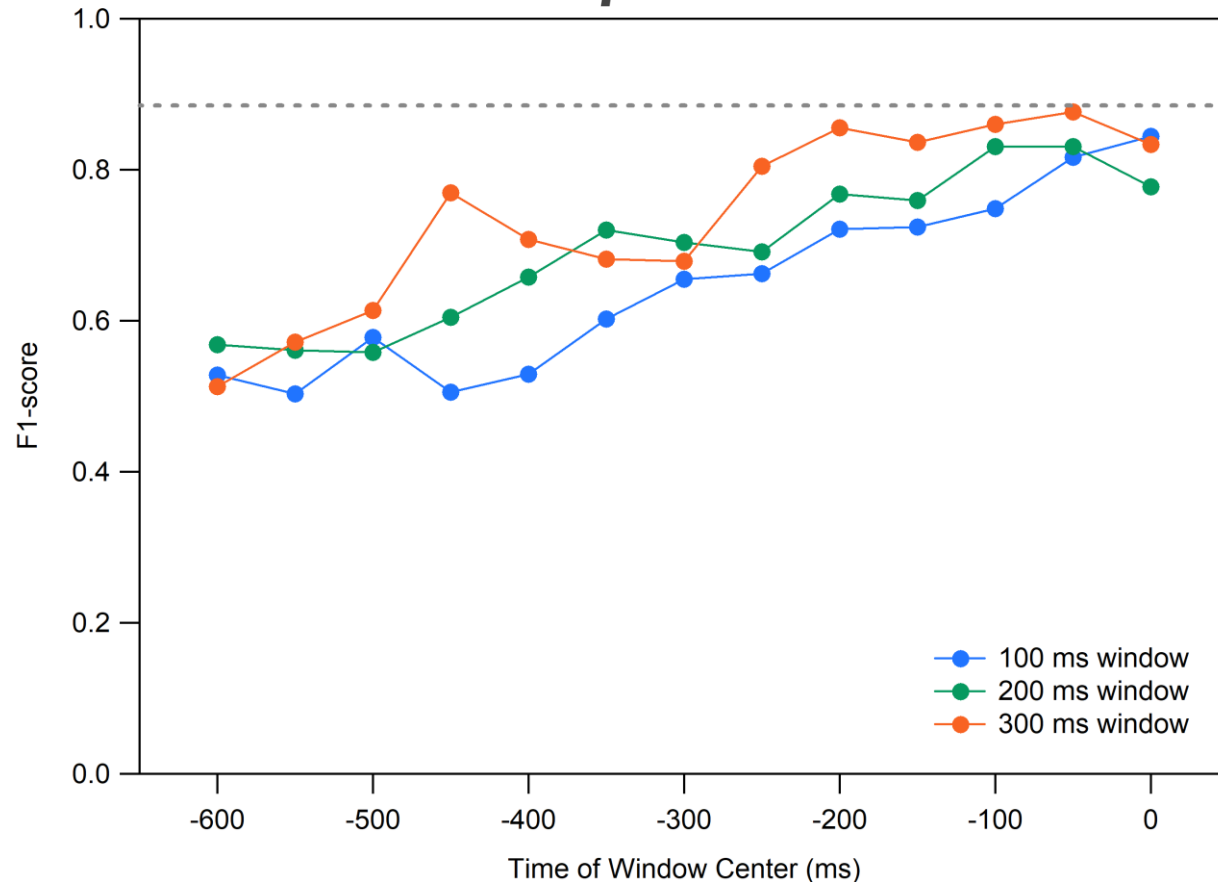
- initial results suggests that for some fault types, prediction is possible



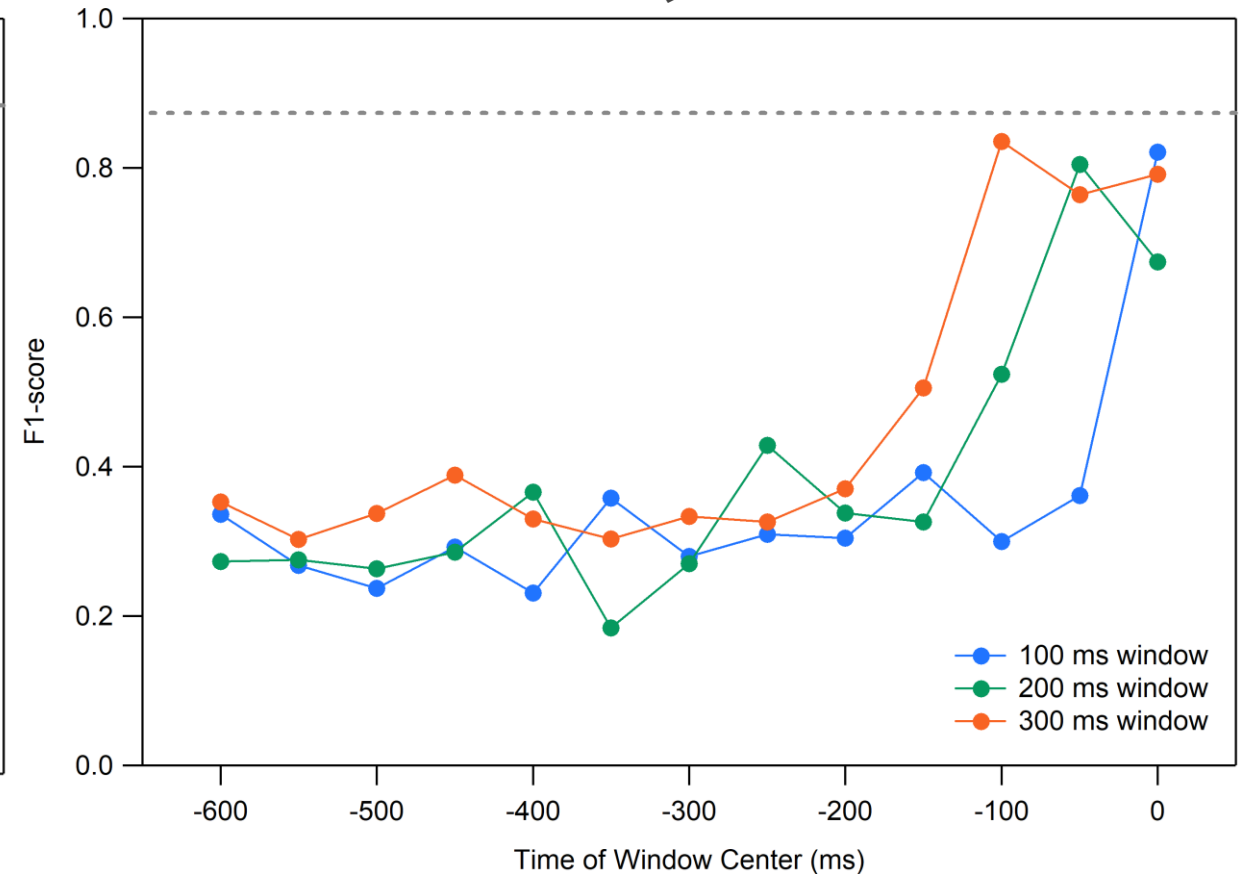
# Model B: Fault Classifier

- initial results suggests that for some fault types, prediction is possible

## Microphonics



## Electronic Quench



# Field Emission Management

**Goal:** maintain low levels of FE radiation without invasive interruptions to physics

**Description:** use ML to model radiation levels and allow for off-line optimization of gradient distribution, identify cavities where FE onsets have changed

**Solution:** optimize surrogate model to minimize radiation via gradient reduction

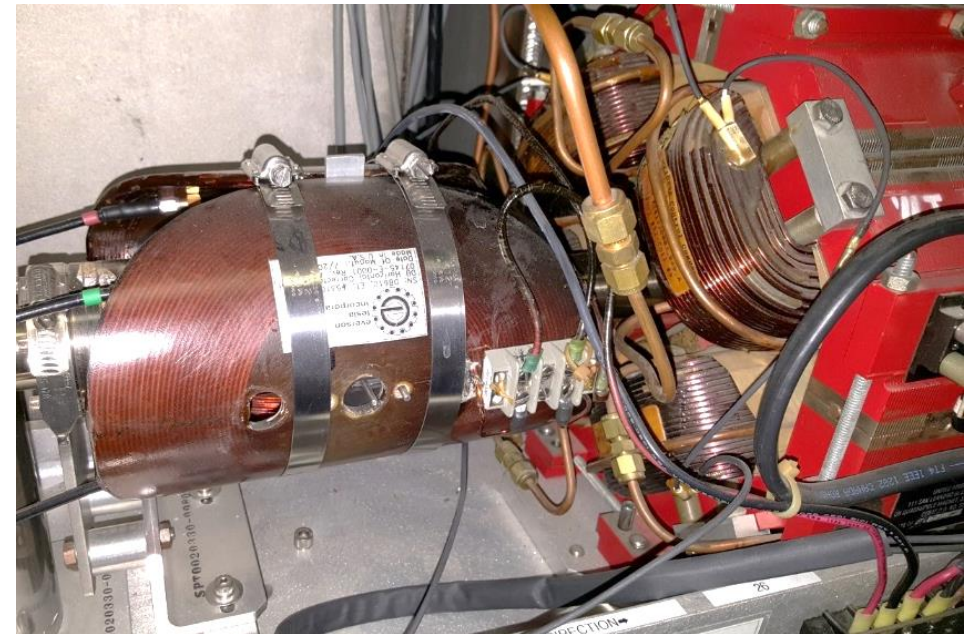
*radiation area*



*damaged beamline valve*

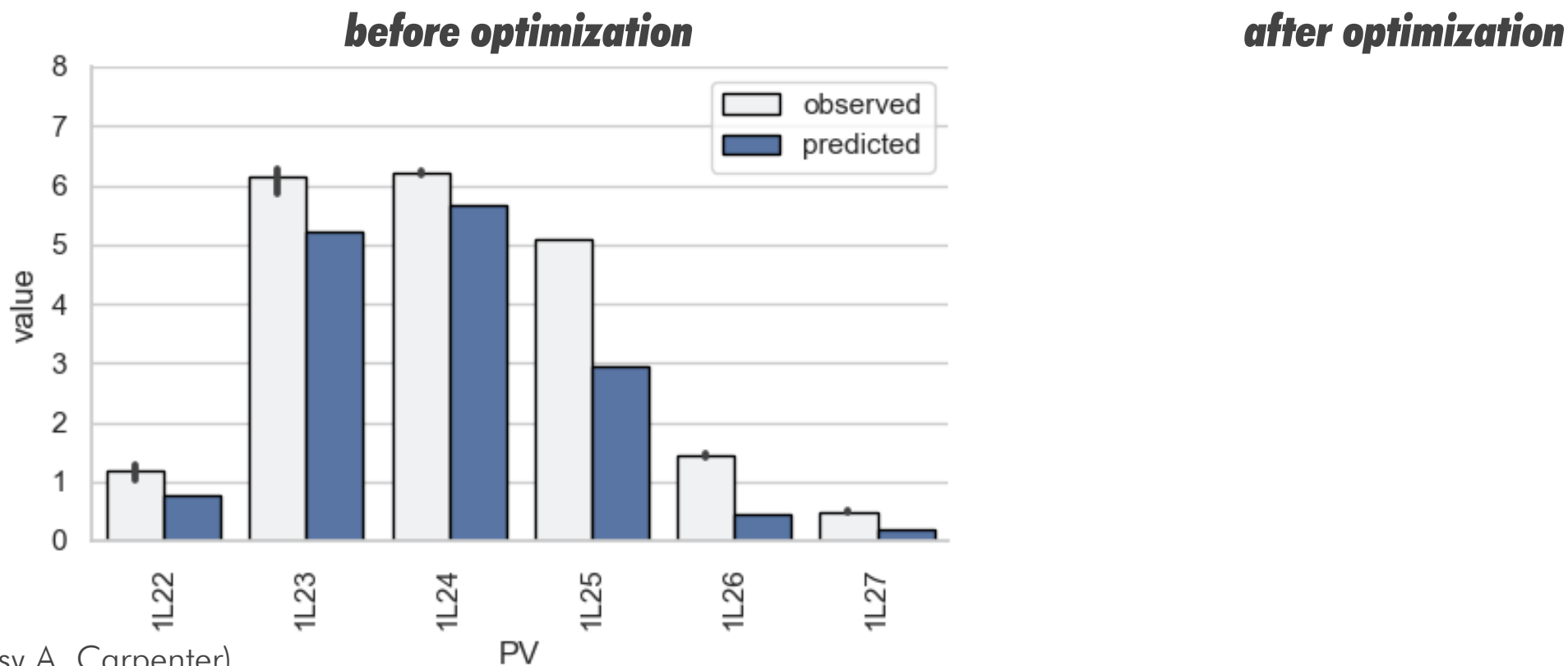


*damaged magnet and cables*



# Field Emission Management: Proof-of-Concept Demonstration

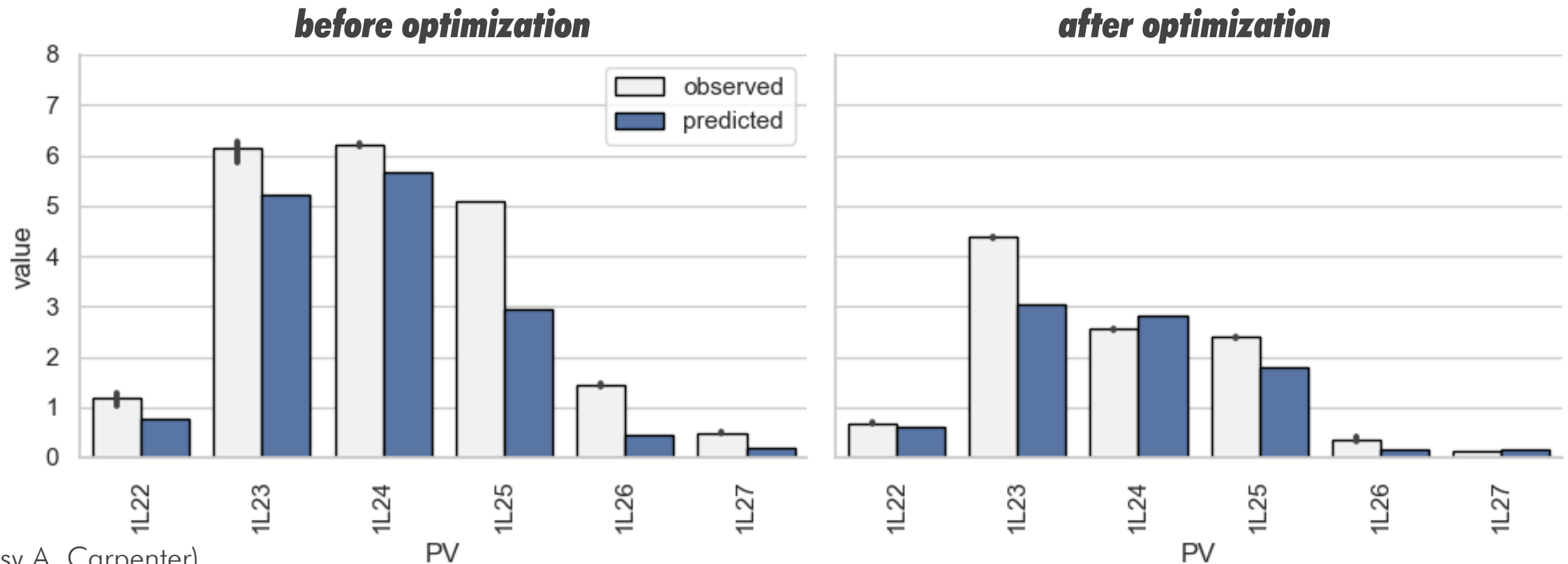
1. set CEBAF to same gradient distribution as September 7 baseline
2. apply model-based optimized gradients to CEBAF



# Field Emission Management: Proof-of-Concept Demonstration

1. set CEBAF to same gradient distribution as September 7 baseline
2. apply model-based optimized gradients to CEBAF

*12 rem/hour decrease for 5 MV/m reduction in gradient*

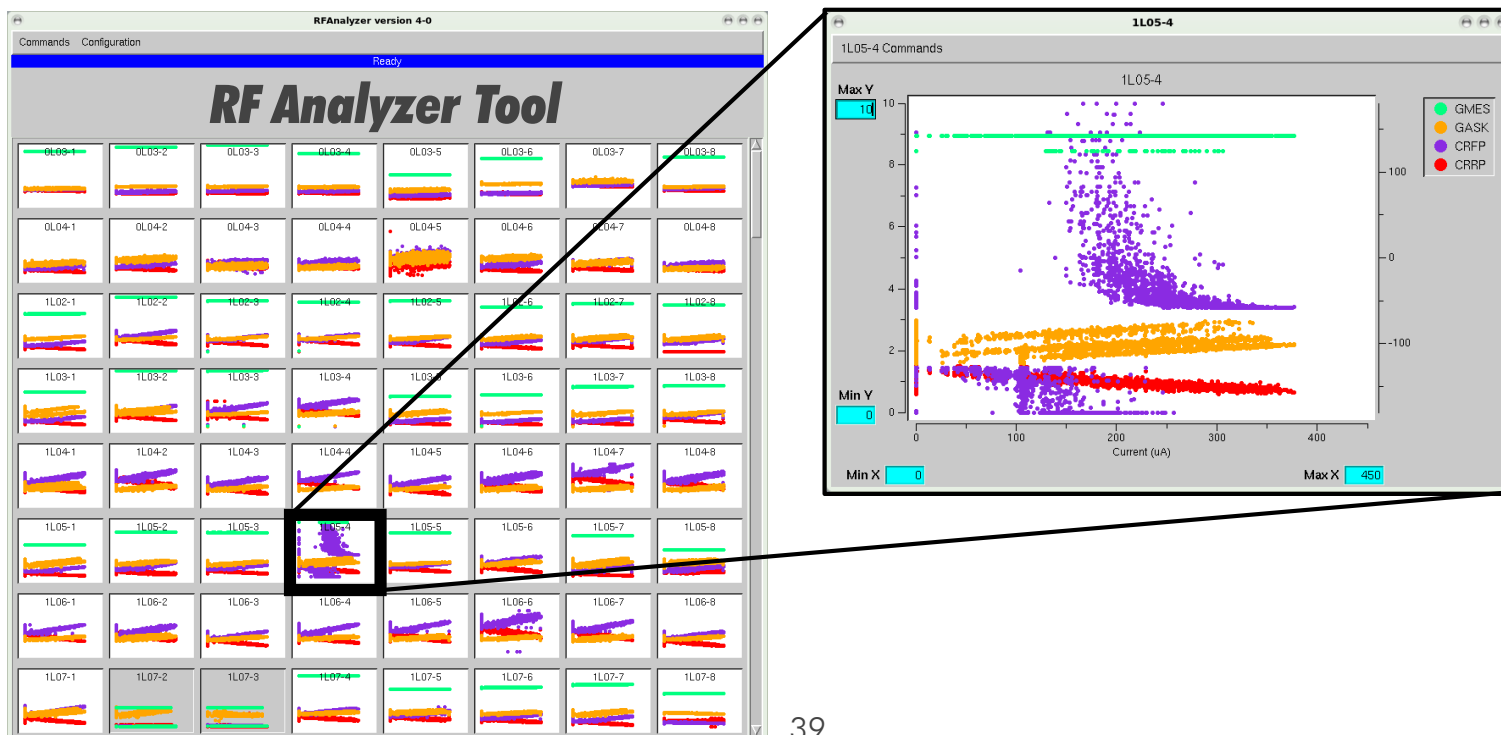


# Cavity Instability Detection

**Goal:** improve beam availability by automating process of identifying unstable RF cavities

**Description:** SRF cavities can become unstable without presenting faults, identifying these unstable cavities with present diagnostics is difficult and time-consuming

**Solution:** (1) develop and install a new fast DAQ system for the legacy SRF cavities, and (2) apply ML to identify unstable cavities



# Importance of Having the Right Data

- accelerators produce a lot of data
  - ✓ CEBAF continuously archives 300,000+ signals
- however, it's not all useful for ML applications
- ML projects at JLab only possible because of newly available data



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- however, it's not all useful for ML applications
- ML projects at JLab only possible because of newly available data

***C100 cavity fault classification → digital LLRF + waveform harvester***

***C100 cavity fault prediction → digital LLRF + streaming data***

***field emission management → NDX detectors\****

***cavity instability detection → fast DAQ***

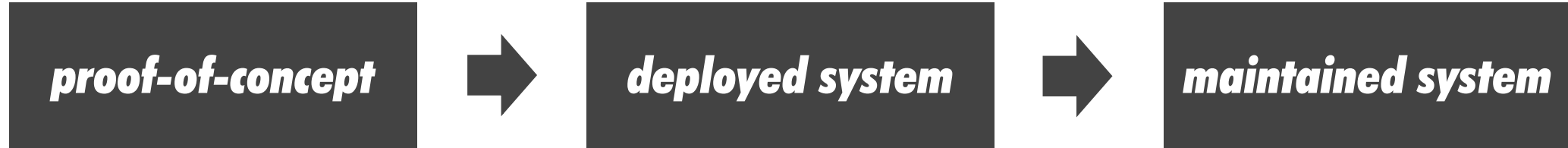
- data reliability is critical!

# Summary

- JLab has several active ML projects addressing SRF operation at CEBAF, which are at various stages of maturity

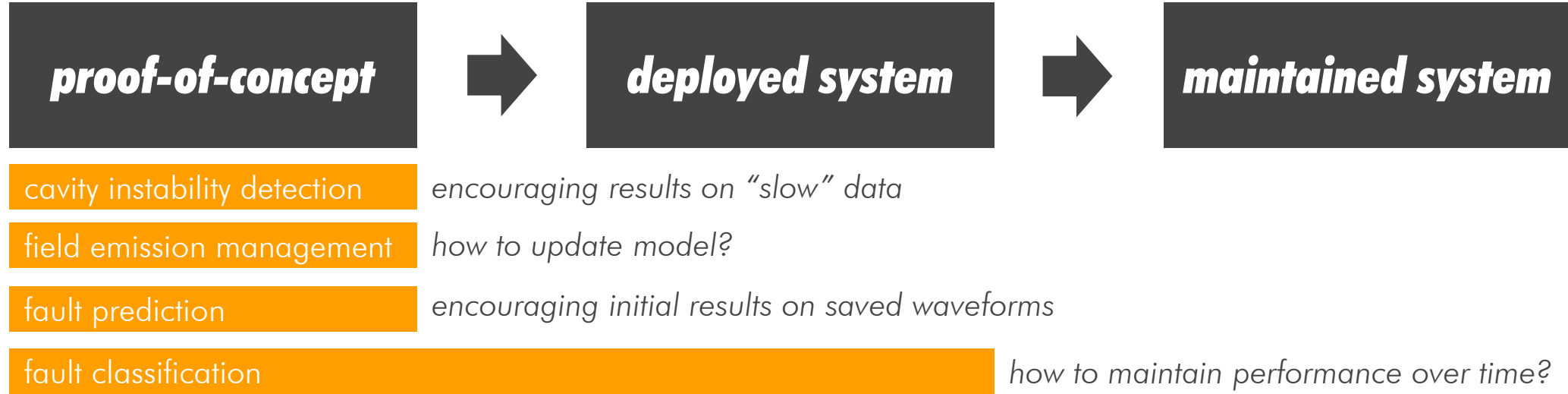
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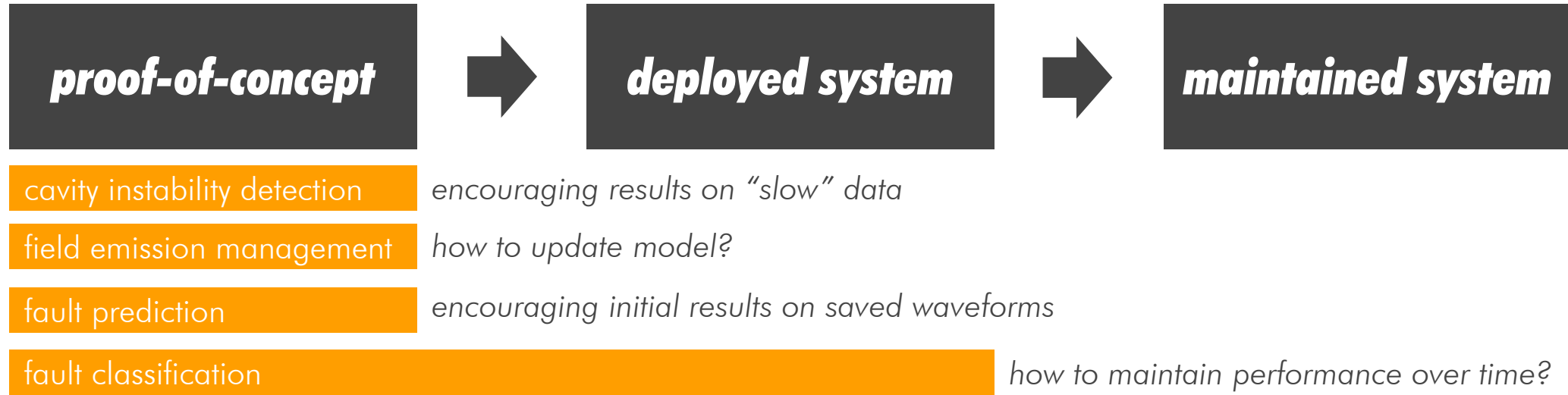
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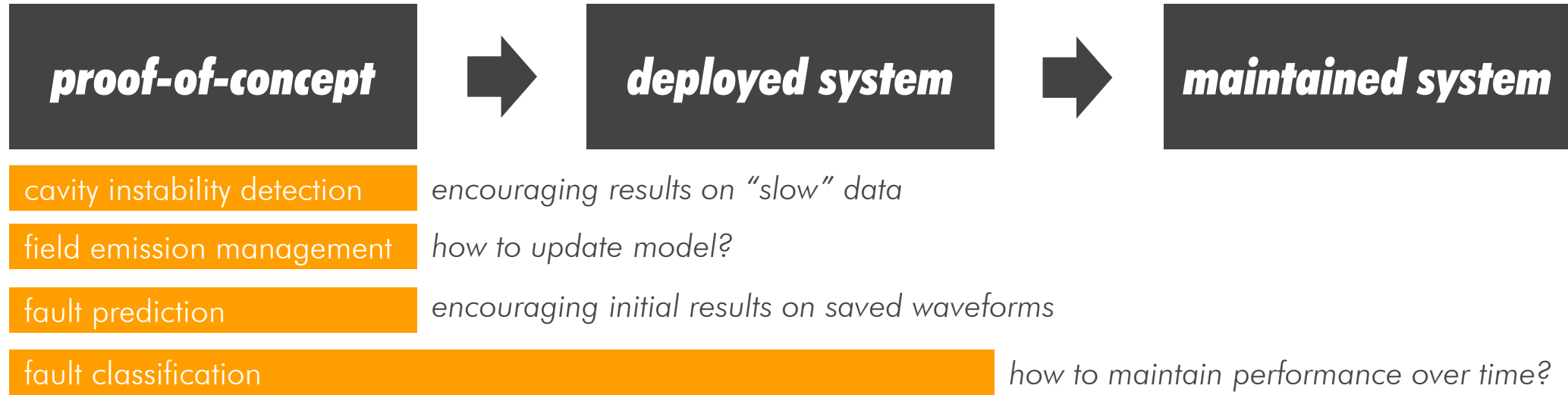
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- have – or will have – sources of high quality data to enable continued work in this area for the foreseeable future
  - ✓ for systems in place: maintaining reliability of data is a challenge
  - ✓ for systems in production: challenges with supply chain issues

# Summary

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- have – or will have – sources of high quality data to enable continued work in this area for the foreseeable future
  - ✓ for systems in place: maintaining reliability of data is a challenge
  - ✓ for systems in production: challenges with supply chain issues
- getting buy-in from all groups involved is still a challenge

***Thank You.***

[tennant@jlab.org](mailto:tennant@jlab.org)

# Mobile Diagnostic for Accelerator Operations

- **Goal:** develop a remotely controlled, semi-autonomous, mobile diagnostic that can be integrated into accelerator operations
- the mobile diagnostic system would provide potential benefit by:
  - ✓ **reducing accelerator downtime** by enabling remote inspection of beamline components and reducing the need for short, controlled accesses to the accelerator tunnel, and reducing the time required to perform standard radiation surveys
  - ✓ **reducing machine tuning-time** by acquiring dynamic measurements, e.g. beam loss and radiation measurements, under operational conditions at arbitrary locations along the beamline

