

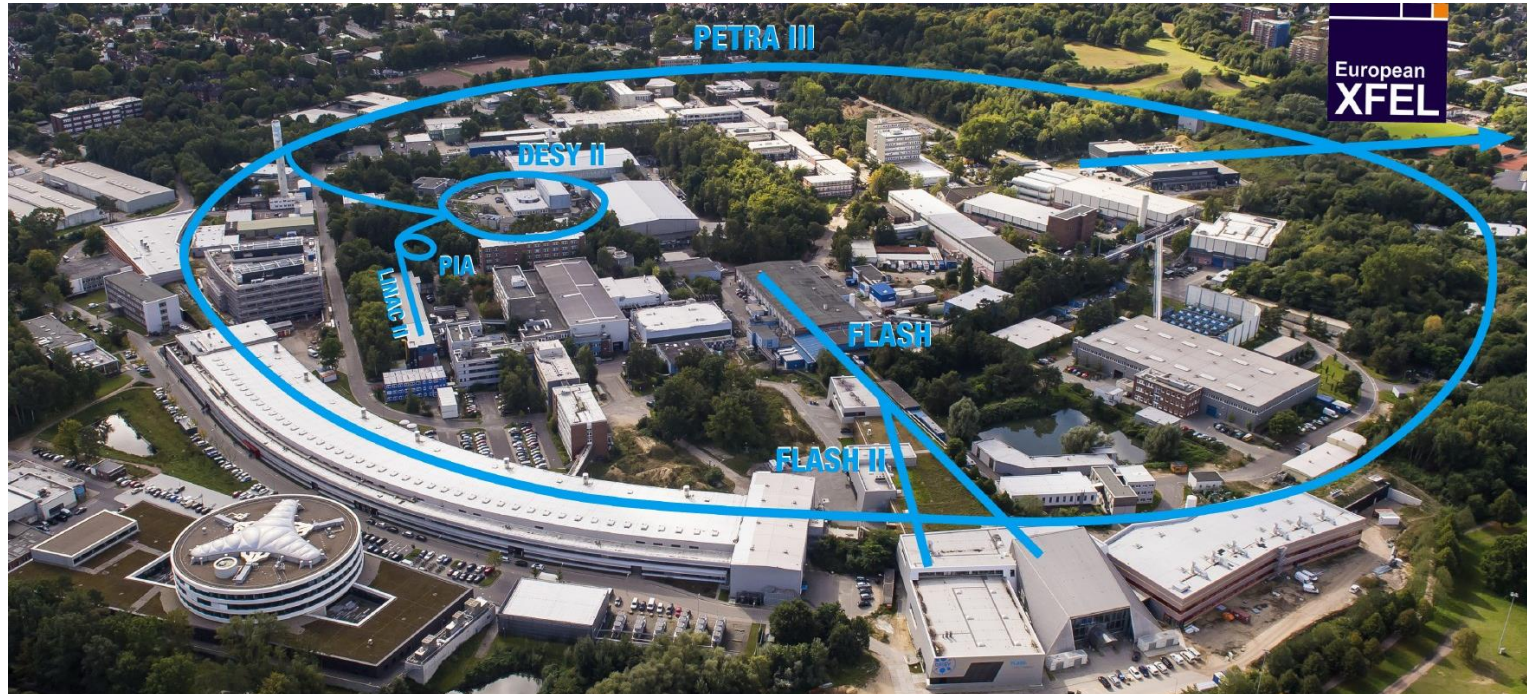
Machine Learning-based ID gap compensation scheme for PETRA III



3rd ICFA Beam Dynamics Mini-Workshop on
Machine Learning Applications for Particle Accelerators



PETRA III.



Each year, more than 2000 users are performing measurements at the PETRA III beamlines.

Parameter	PETRA III
Energy /GeV	6
Circumference /m	2304
Emittance (hor. / vert.) /nm	1.3 / 0.012
Total current / mA	100

- High brilliance 3rd Generation Synchrotron Radiation Source.
- Extremely low emittances.
- 25 beamlines.
- Hybrid lattice with FODO and DBA (Double Bend Achromat) cells.

PETRA IV.

NEW DIMENSIONS



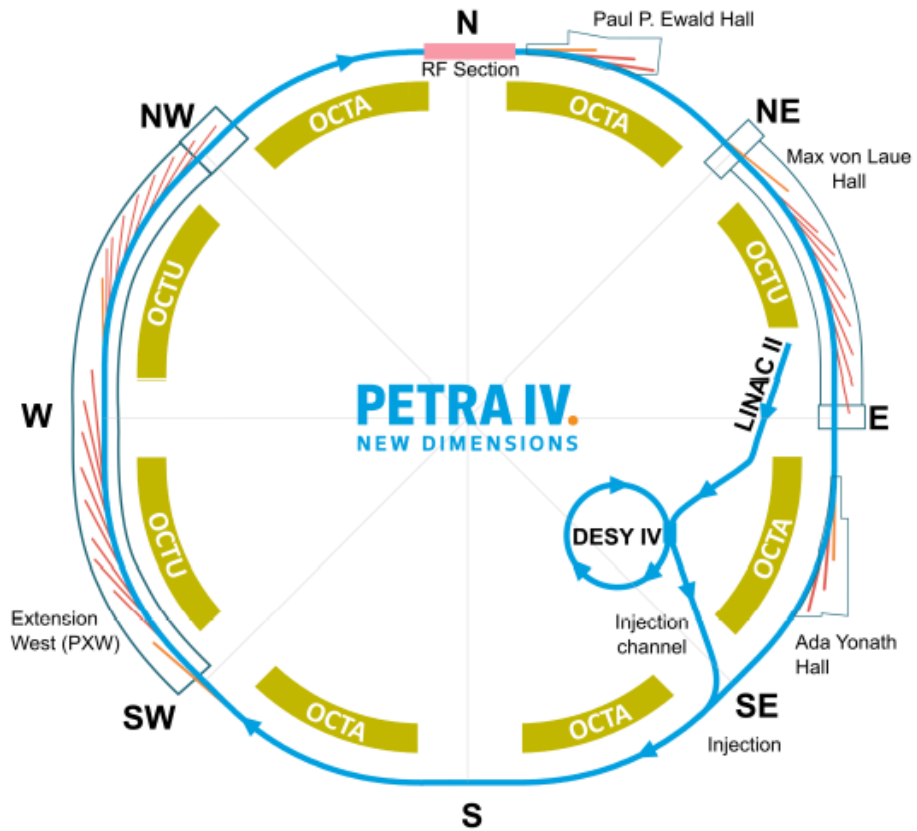
PETRA IV.



- New high-resolution 3D X-ray microscope for chemical and physical processes.
- Construction within the existing PETRA ring tunnel.
- Nanometre scale for the first time.
- Ultra low emittances in the region of 10 pm.
- Each of the eight arcs is composed of nine hybrid six-bend achromat (H6BA) cells.

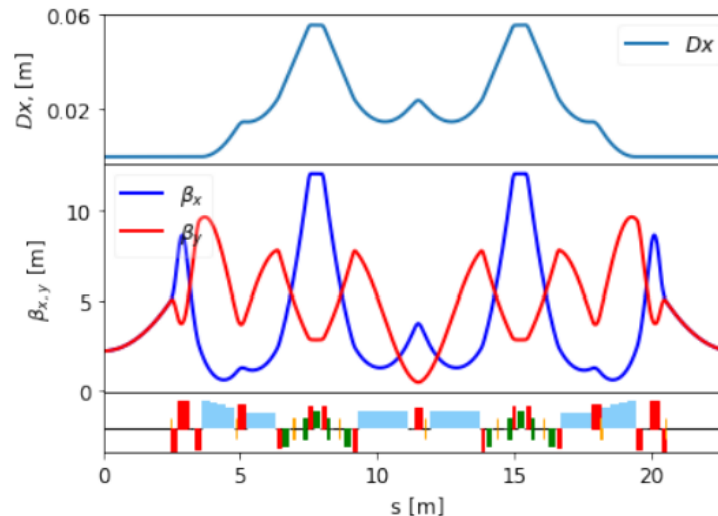
PETRA IV.

NEW DIMENSIONS



Layout of the PETRA IV facility and the H6BA cell.
 Courtesy of I. Agapov

Parameter	H6BA
Tunes ν_x, ν_y	135.8, 86.27
Natural chromaticity ξ_x, ξ_y	-233, -156
Momentum compaction α_c	$3.3 \cdot 10^{-5}$
U_0 /MeV	100
Standard ID section /m	4.7 -4.9
Hor. Emittance w/o IDs (zero current) /pm	20
Hor. Emittance with IDs (zero current) /pm	20
Rel. energy spread with IDs (zero current)	$0.9 \cdot 10^{-3}$
Beta at ID /m	$\beta_x = 2.2$ $\beta_y = 2.2$
RF Voltage 1 st , 3 rd /MV	8, 2.4

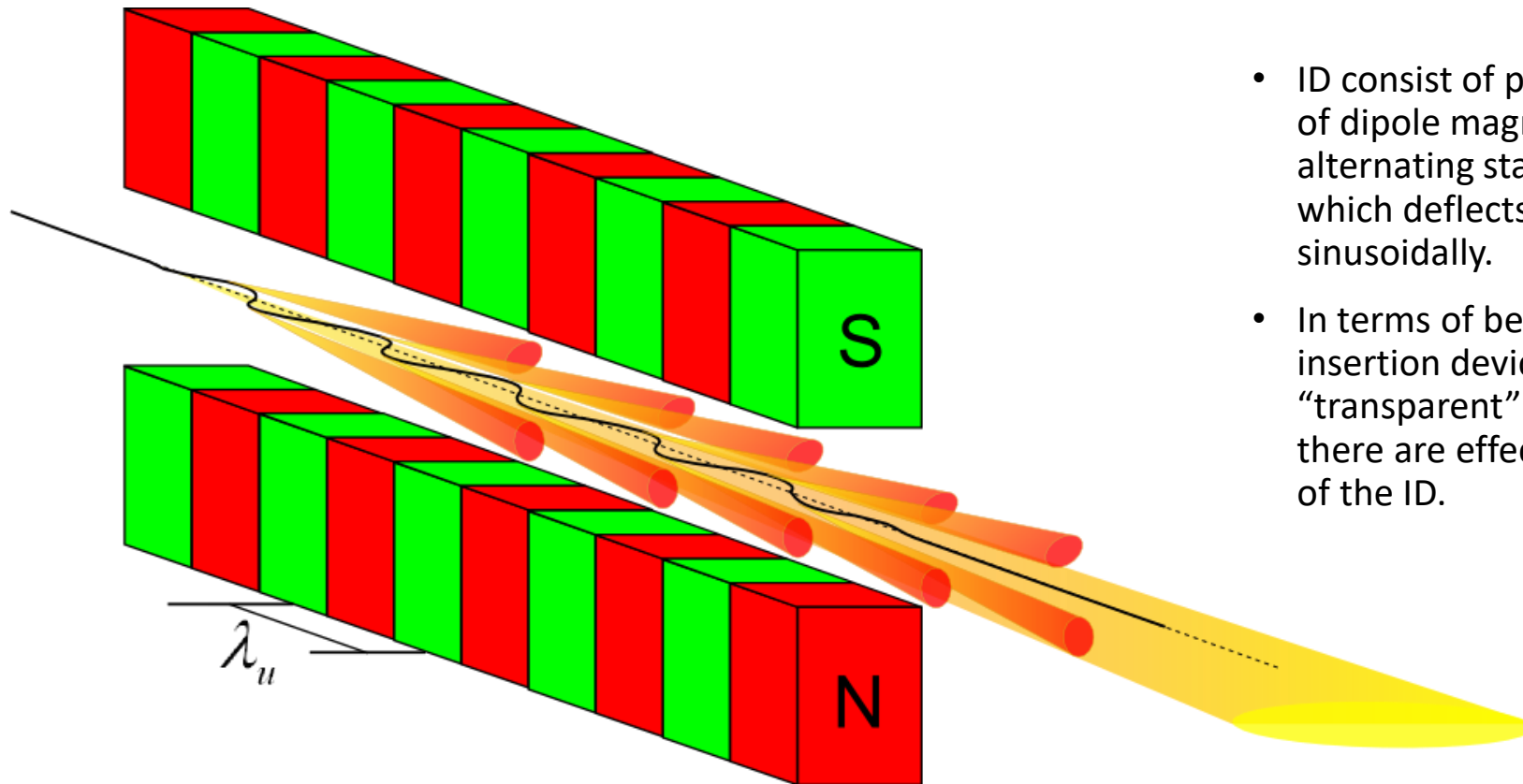


The storage ring feeds up to 30 undulator insertions (photon beam can be further split to allow more experimental stations). The storage ring will operate in two modes: brightness mode with 1920 stored bunches with the total current of 200 mA and the timing mode with 80 bunches and total current of 80 mA.

Insertion Devices

Synchrotron sources provide especially brilliant light that can be used to examine a vast variety of probes and samples. To produce this radiation, insertion devices are deployed.

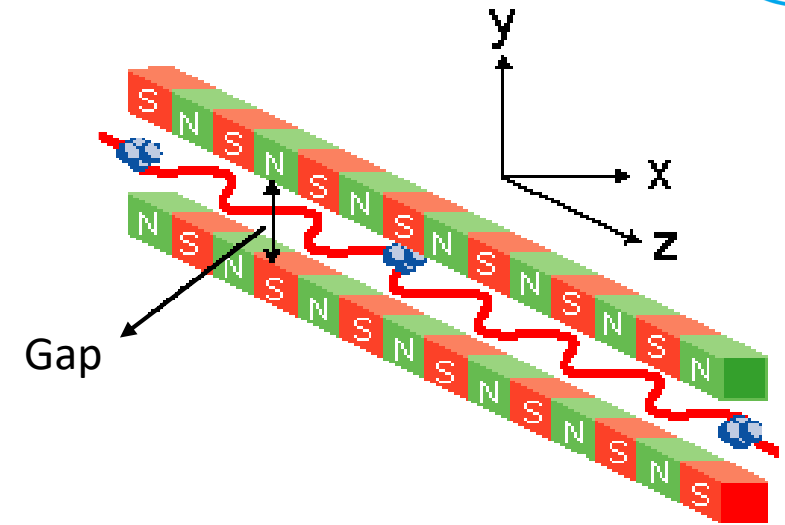
An insertion device is a special magnetic apparatus with periodic magnetic field designed to make the electron trajectory wiggle and generate intense synchrotron radiation. So-called „Undulators“ or „Wigglers“ are often „inserted“ in straight sections of storage rings → ID.



- ID consist of periodic arrangements of dipole magnets generating an alternating static magnetic field which deflects the electron beam sinusoidally.
- In terms of beam dynamics, an insertion device should be “transparent” to the machine. But there are effects due to field errors of the ID.

IDs induce an orbit distortion which varies with the gap size

- The magnetic fields of IDs introduce perturbations to the circulating electron beam and hence affect the linear and nonlinear beam dynamics of the electron beam in the storage ring.
- Often users adjust the spectrum from undulators by changing undulator gap size. It's important to keep the orbit constant during these field changes to not disrupt other users.



The field integrals determine the overall effect of the undulator on the electron beam orbit.

$$\begin{array}{ll}
 I_{1x} \equiv \int_{z_0}^{z_0+L} B_x(z_1) dz_1 & x'_{exit} = -\frac{q}{\gamma m v_z} I_{1y} \\
 I_{1y} \equiv \int_{z_0}^{z_0+L} B_y(z_1) dz_1 & y'_{exit} = \frac{q}{\gamma m v_z} I_{1x} \\
 I_{2x} \equiv \int_{z_0}^{z_0+L} \int_{z_0}^{z_2} B_x(z_1) dz_1 dz_2 & x_{exit} = -\frac{q}{\gamma m v_z} I_{2y} \\
 I_{2y} \equiv \int_{z_0}^{z_0+L} \int_{z_0}^{z_2} B_y(z_1) dz_1 dz_2 & y_{exit} = \frac{q}{\gamma m v_z} I_{2x}
 \end{array}$$

Small closed orbit distortions but very sensitive experiments required sub- μ rad corrections

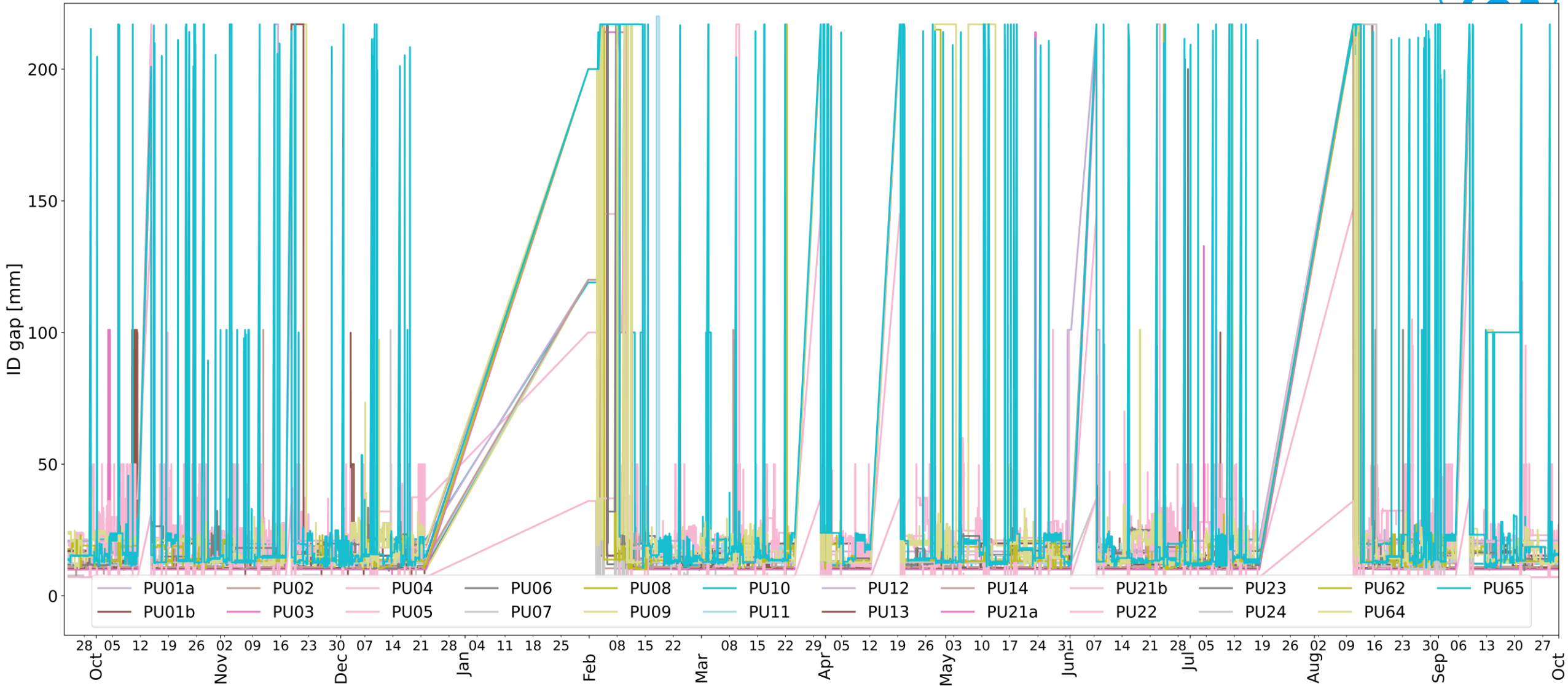
first integral



2nd integral



IDs affect the beam dynamics of the stored electron beam



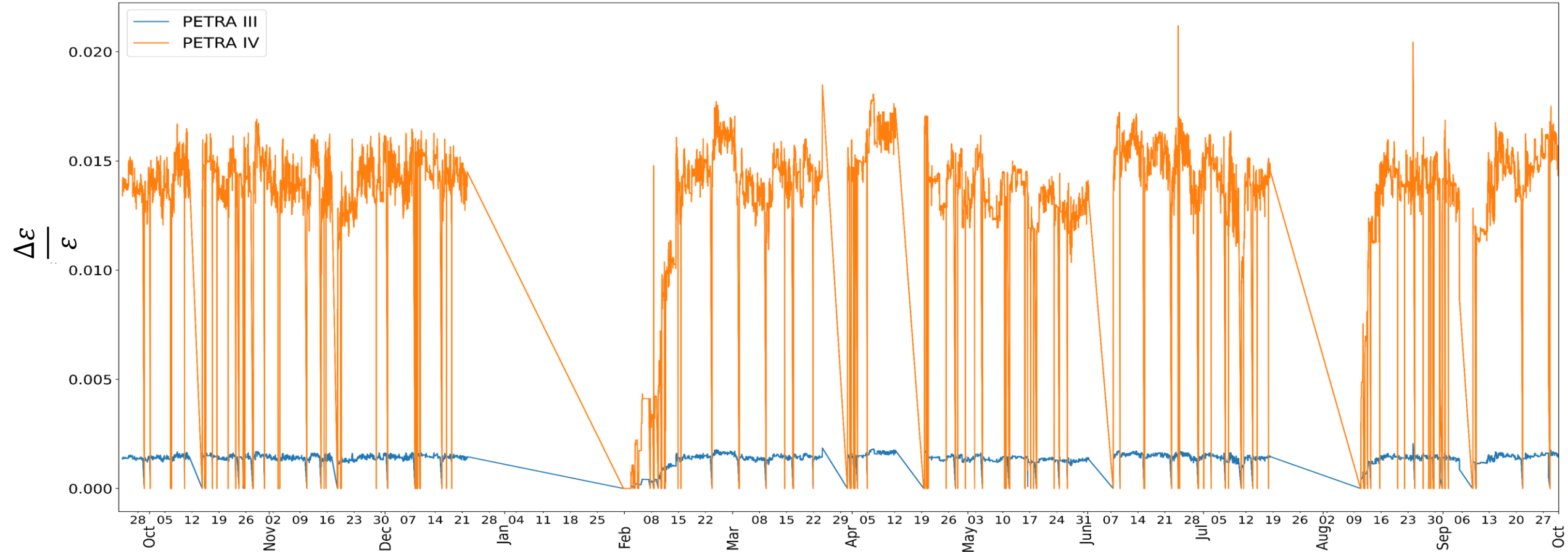
The data was filtered to represent only user operations (while the Fast Orbit Feedback system is on).

The IDs have different maximum and minimum gap sizes.



IDs affect the beam dynamics of the stored electron beam. The intensity of the effect depend on the ID gap.

Impact on emittance, projections for PETRA IV.



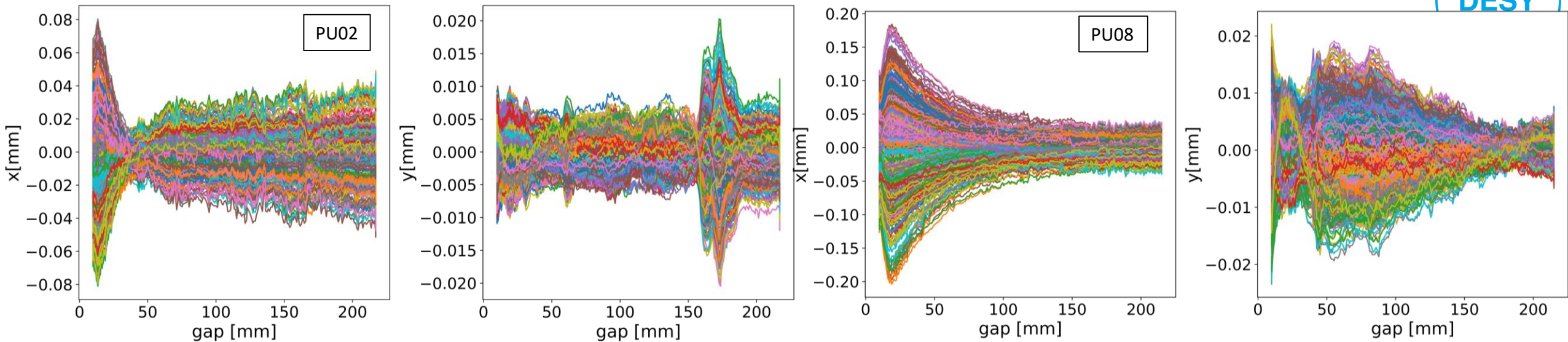
$$\varepsilon = C_q \gamma^2 \frac{I_5}{I_2 - I_4}$$

$$\frac{\Delta\varepsilon}{\varepsilon} \approx \frac{1}{1 + \frac{I_2^{ID}}{I_2}}$$

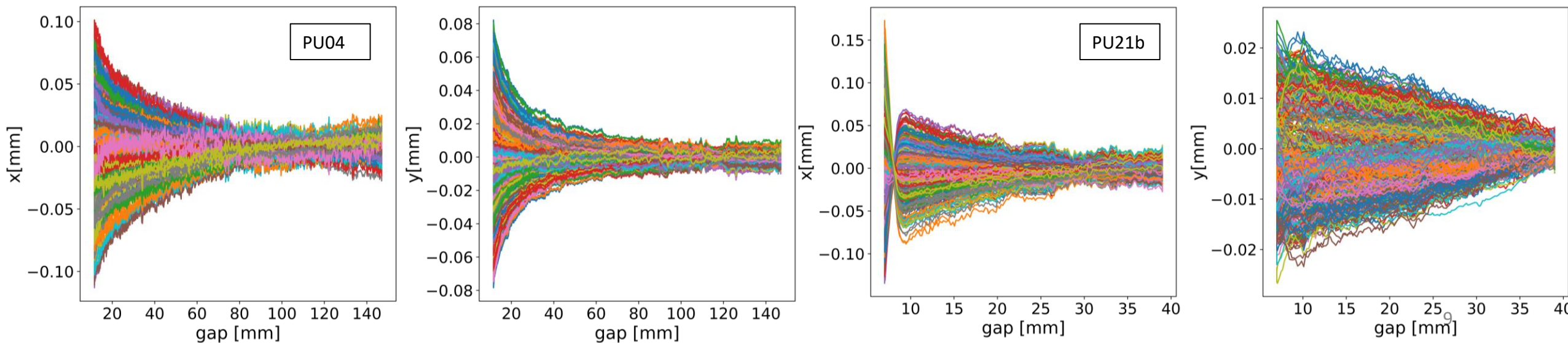
$$I_2^{ID} = \frac{L_{ID}}{2\rho_{ID}^2}$$

$$\rho_{ID} \propto \exp\left(\frac{3 * gap}{\lambda_p}\right)$$

Closed orbit distortion measurements

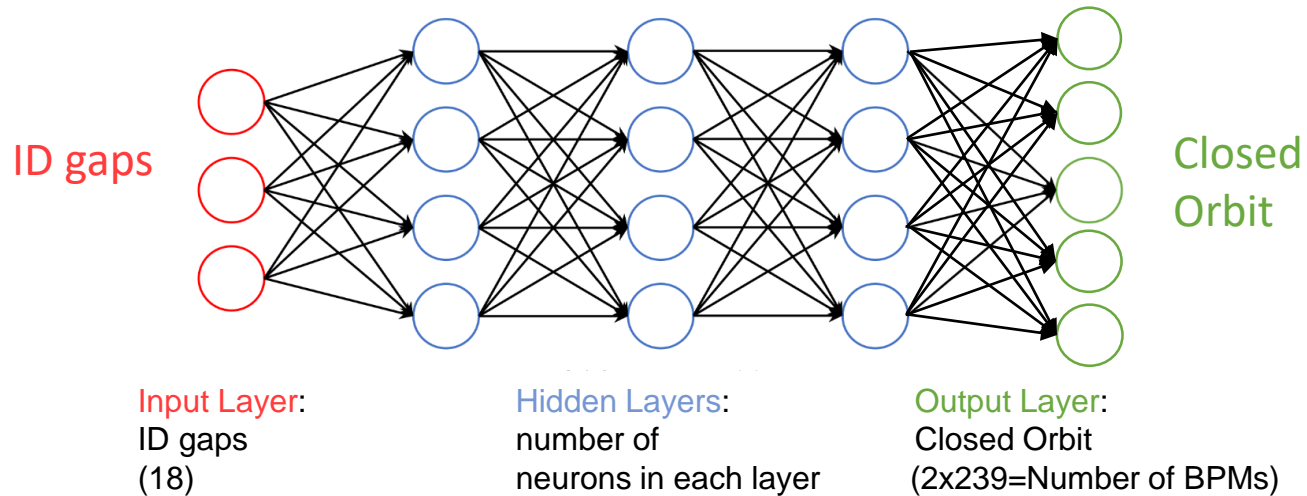


Measurements of the horizontal and vertical orbit were taken varying the gap size in their operation range for 18 IDs in PETRA III. Each colour represents a BPM along the ring.



Building the NN

- The NN takes as input a vector containing the ID gap sizes and gives as output the predicted orbit at the location of each BPM.
- The different model are trained on 80% of the measurements took in July and validated vs the remaining 20%



```
model = keras.Sequential()  
...  
model.compile(loss="mse",  
optimizer="adam", metrics=["mae"])
```

Hyperparameter sweeps performed with



Why using machine learning on this specific problem?

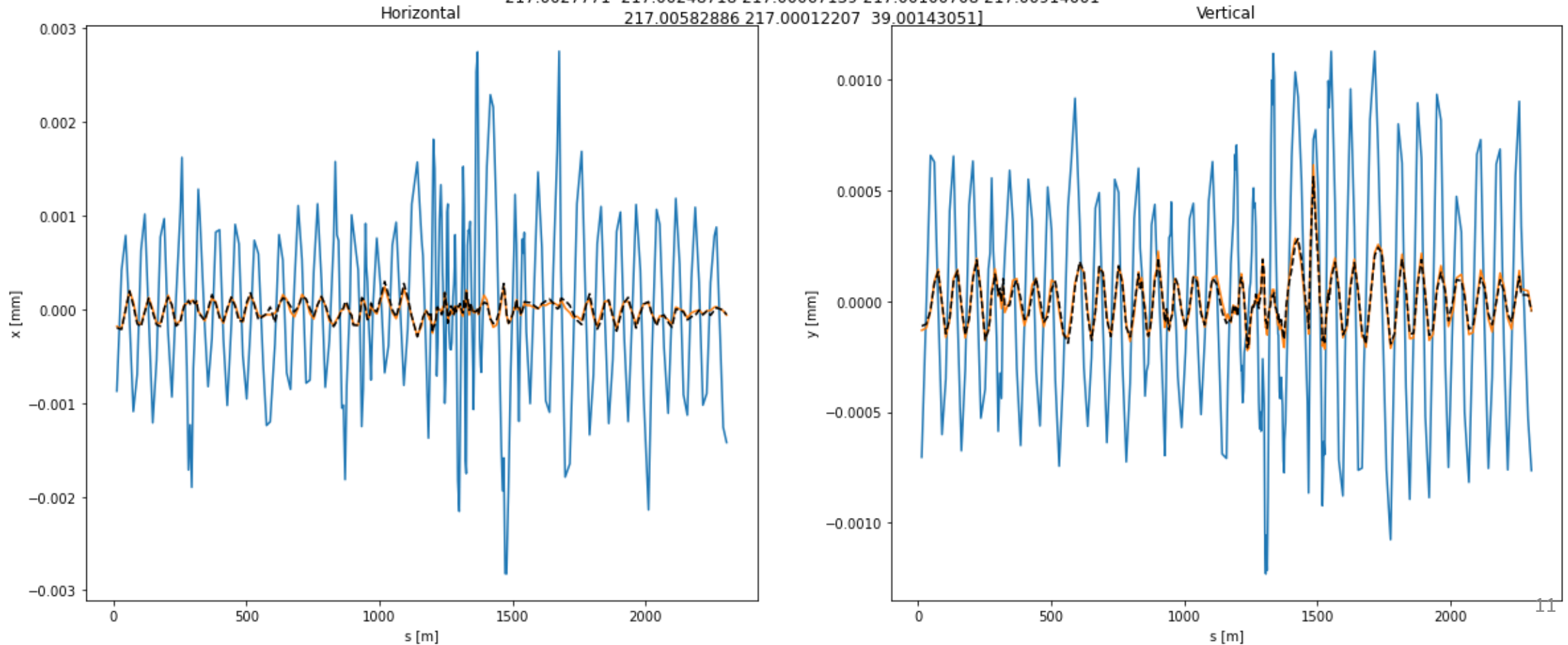
Because of its flexibility and ability to model also highly nonlinear processes. Measurements for feed forward systems are time consuming and need to be updated regularly.



From prediction to correction:

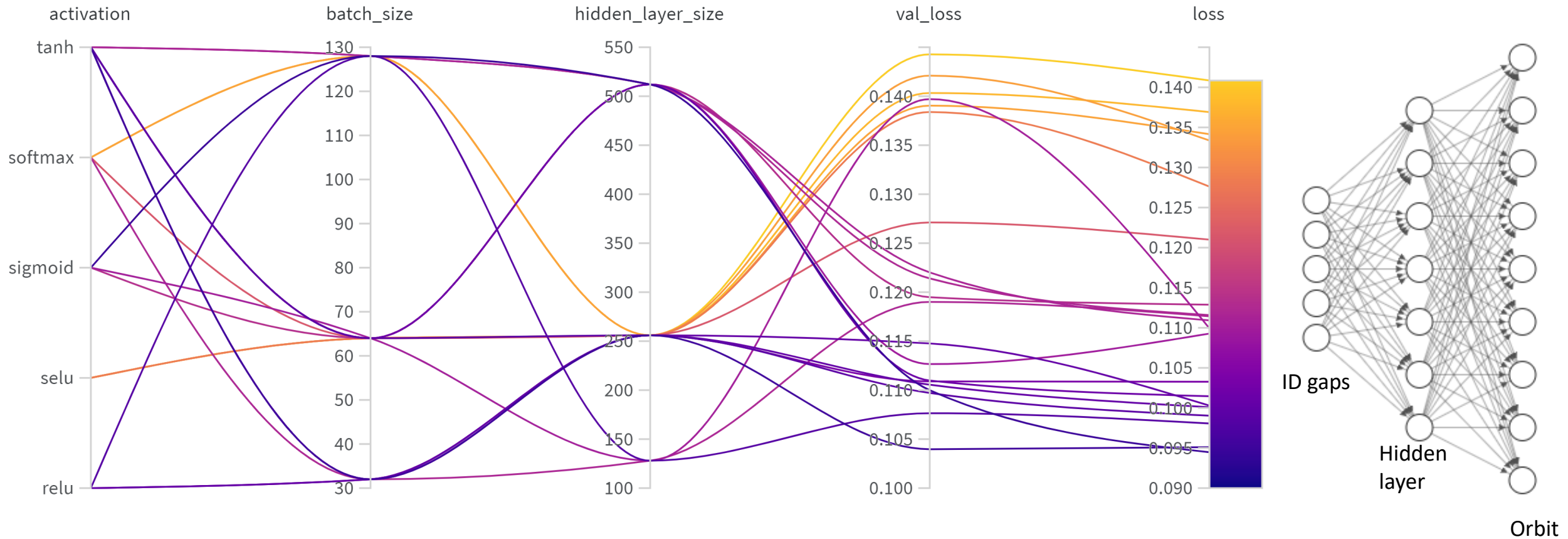
- Random configuration of ID gaps.
- Once the orbit is predicted, the strengths of the correctors are computed through SVD.

Before and after correction at gapsizes [217.01116943 217.01011658 217.00135803 217.00357056 147.00045776
147.00045776 217.00073242 217.00267029 39.0015564 99.71317824
217.0027771 217.00248718 217.00067139 217.00100708 217.00914001
217.00582886 217.00012207 39.00143051]



Shallow feed-forward fully connected NN

One hidden layer: exploring the impact of different activation functions and batch size.

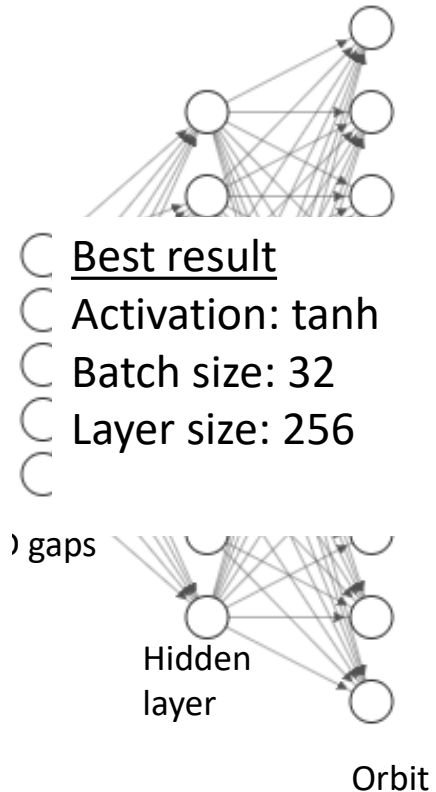
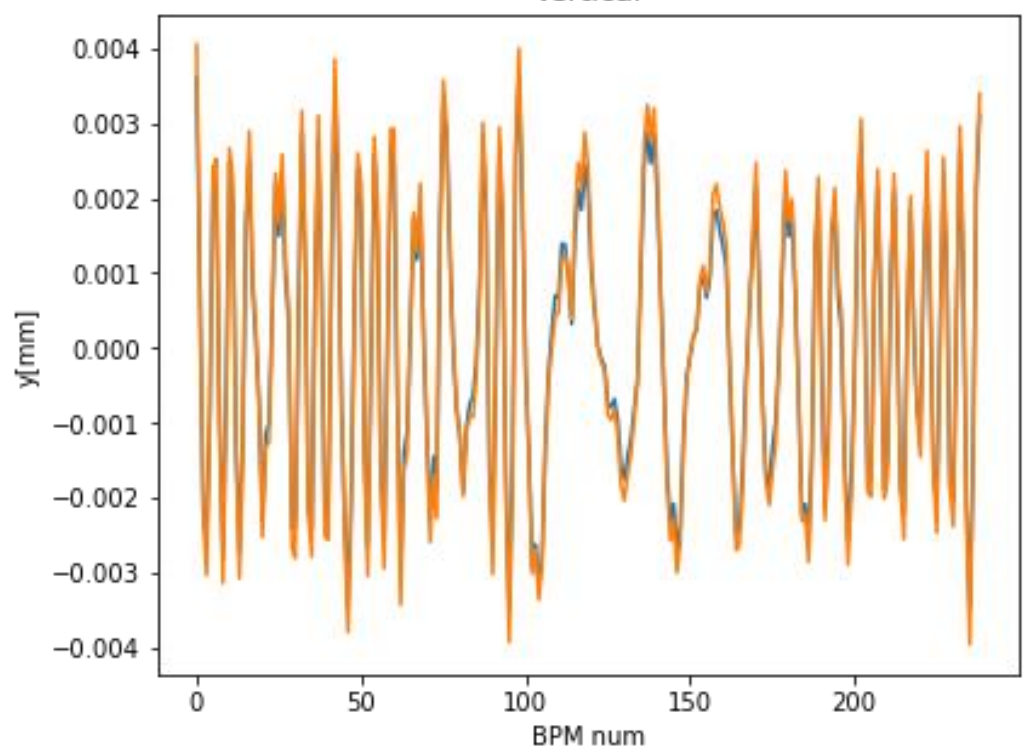
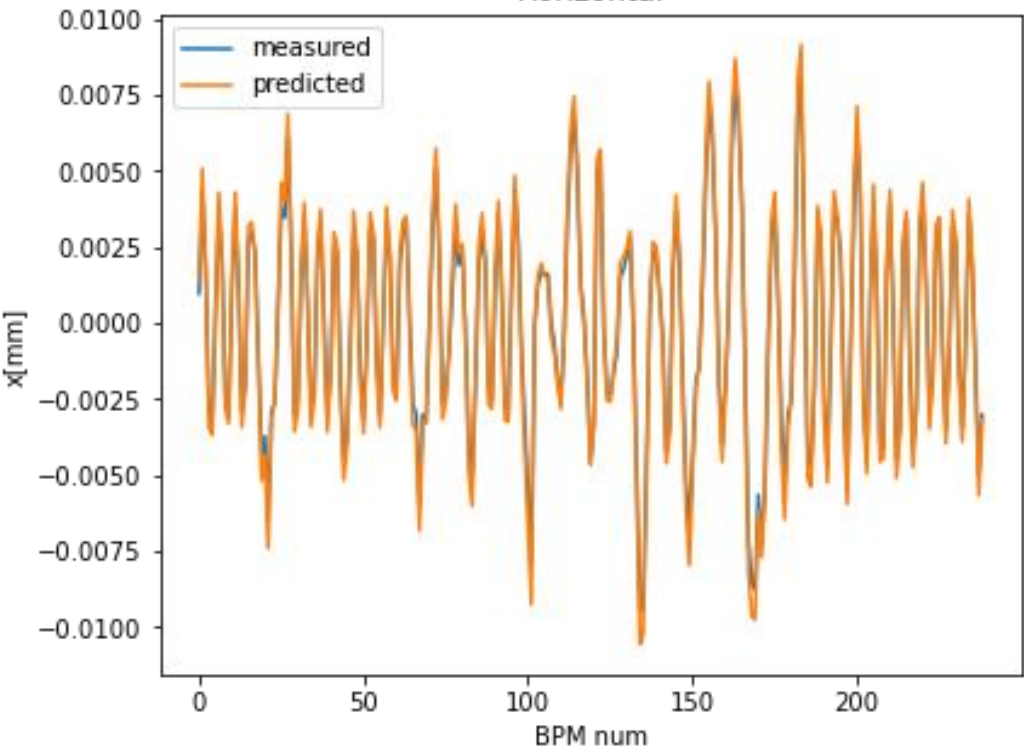


Shallow feed-forward fully connected NN

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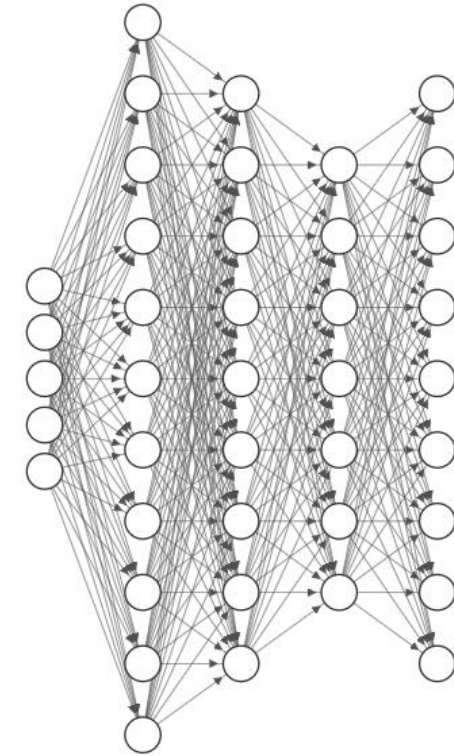
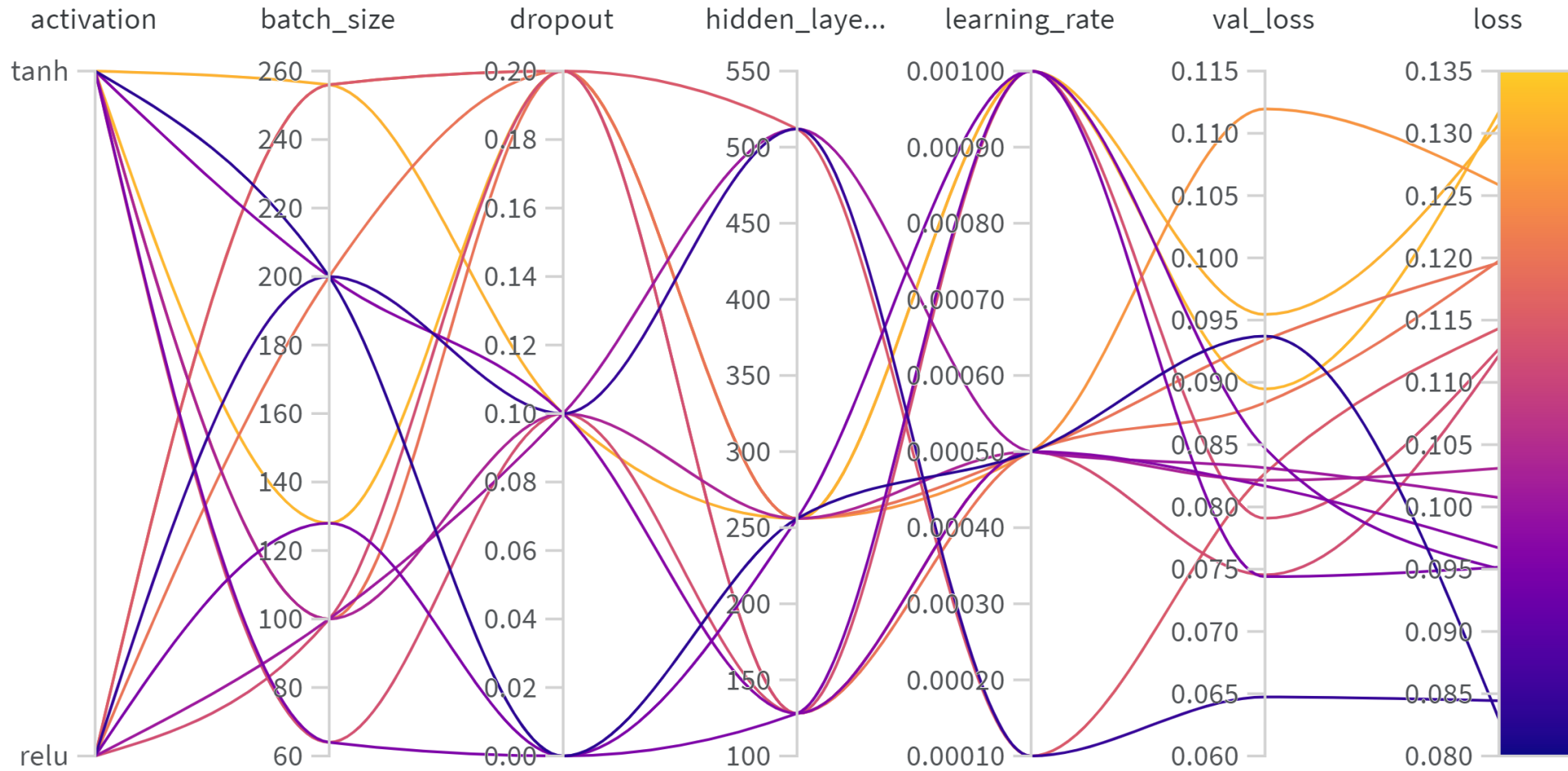
Horizontal

Vertical



Deep feed-forward fully connected NN

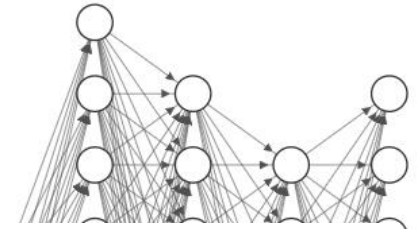
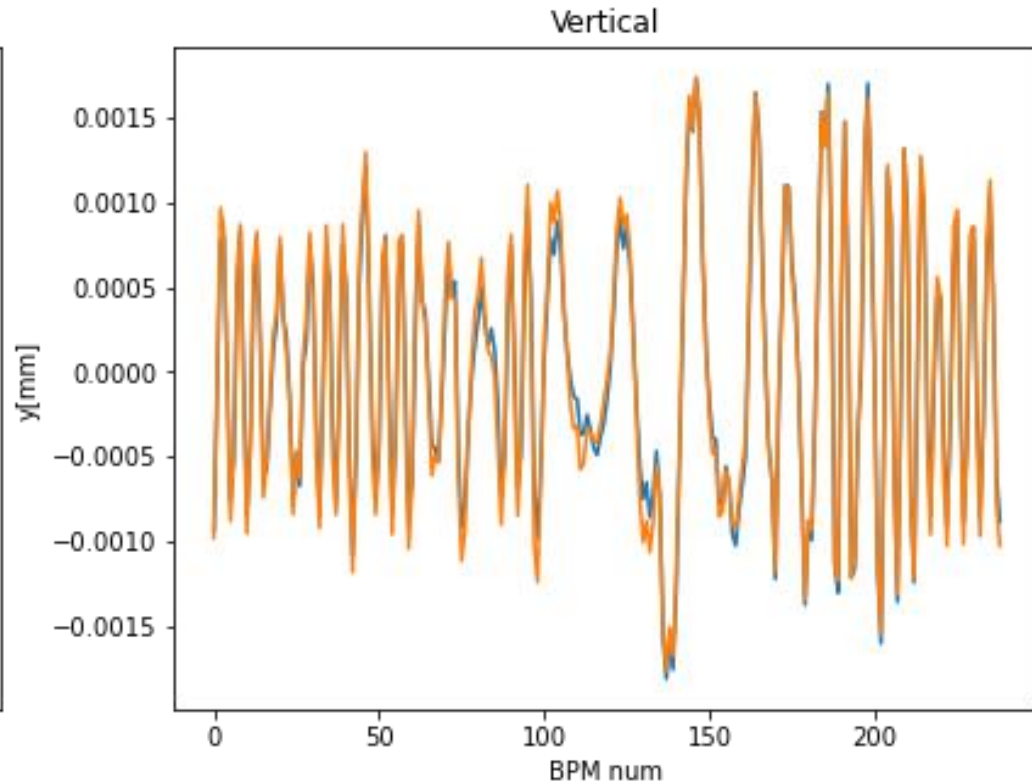
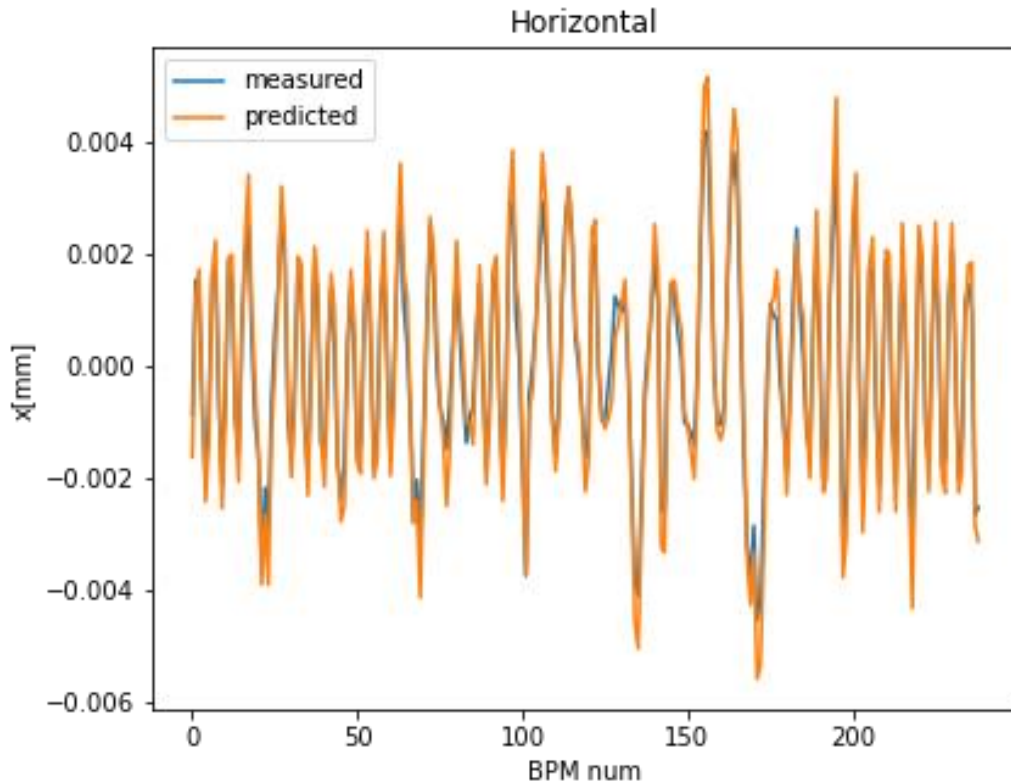
Multiple hidden layers: exploring the impact of dropout, batch and layer size and learning rate.



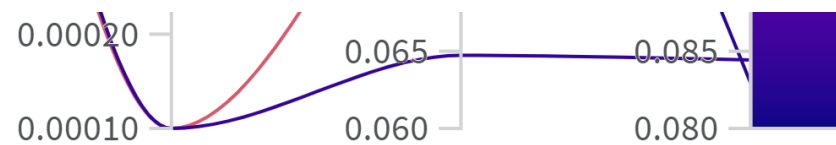
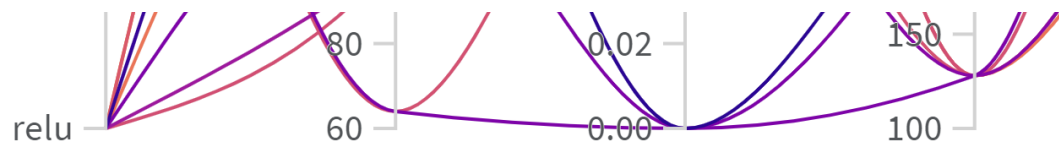


Deep feed-forward fully connected NN

Multiple hidden layers: exploring the impact of dropout, batch and layer size and learning rate.

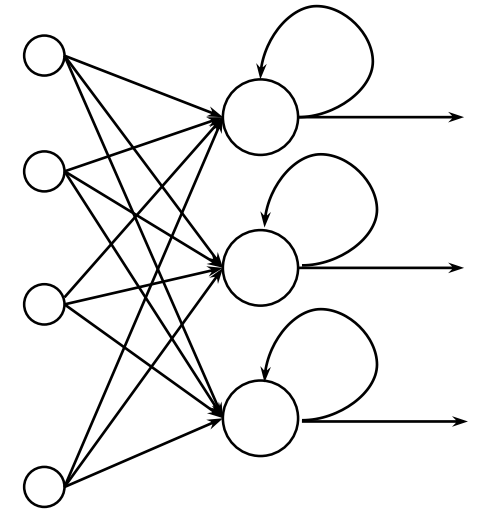
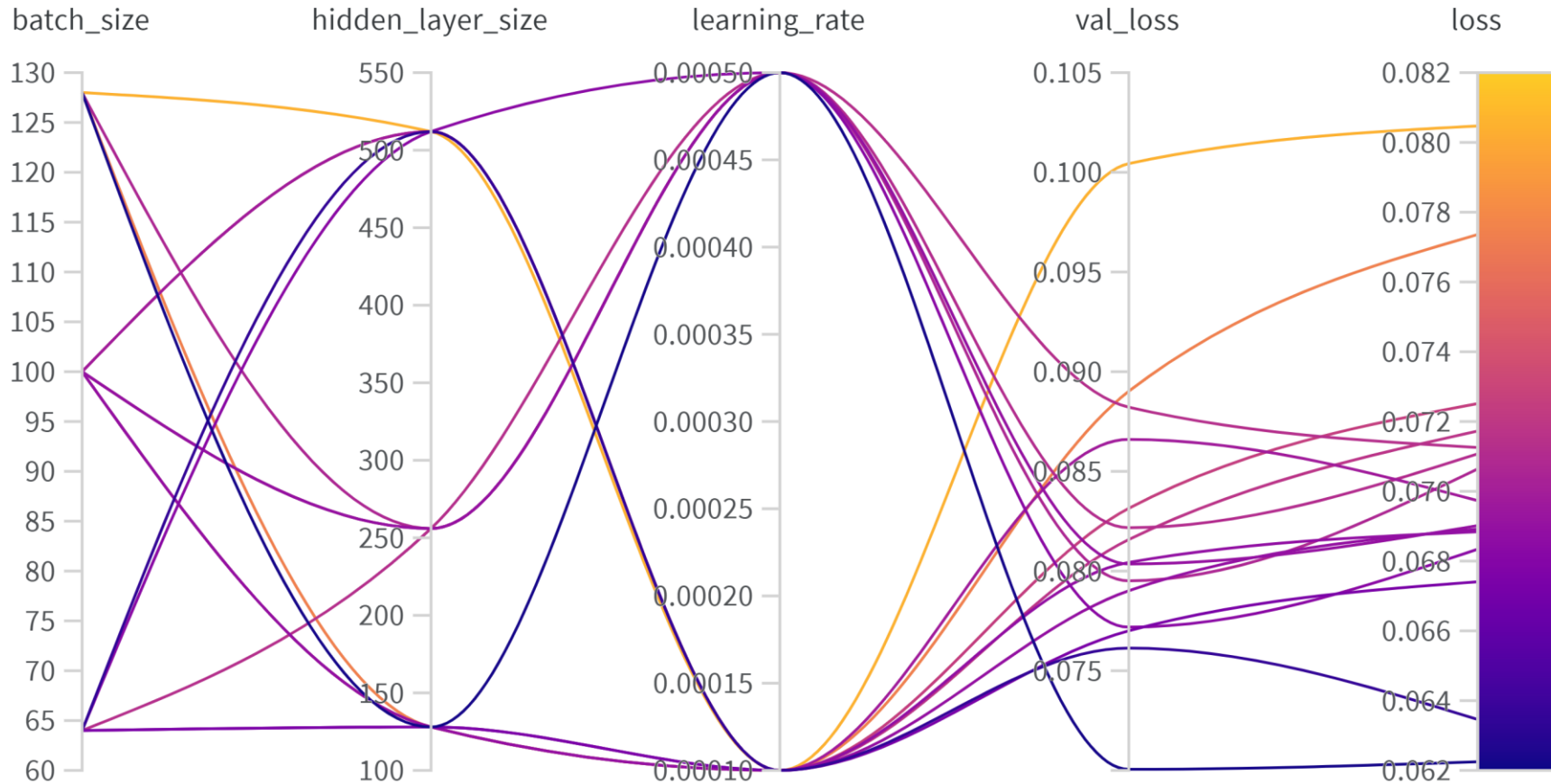


Best result
(Activation: relu)
(Batch size: 200)
(Dropout: 0.1)
(Layer size: 256)
(Learning rate: 10^{-4})



Recurrent NN

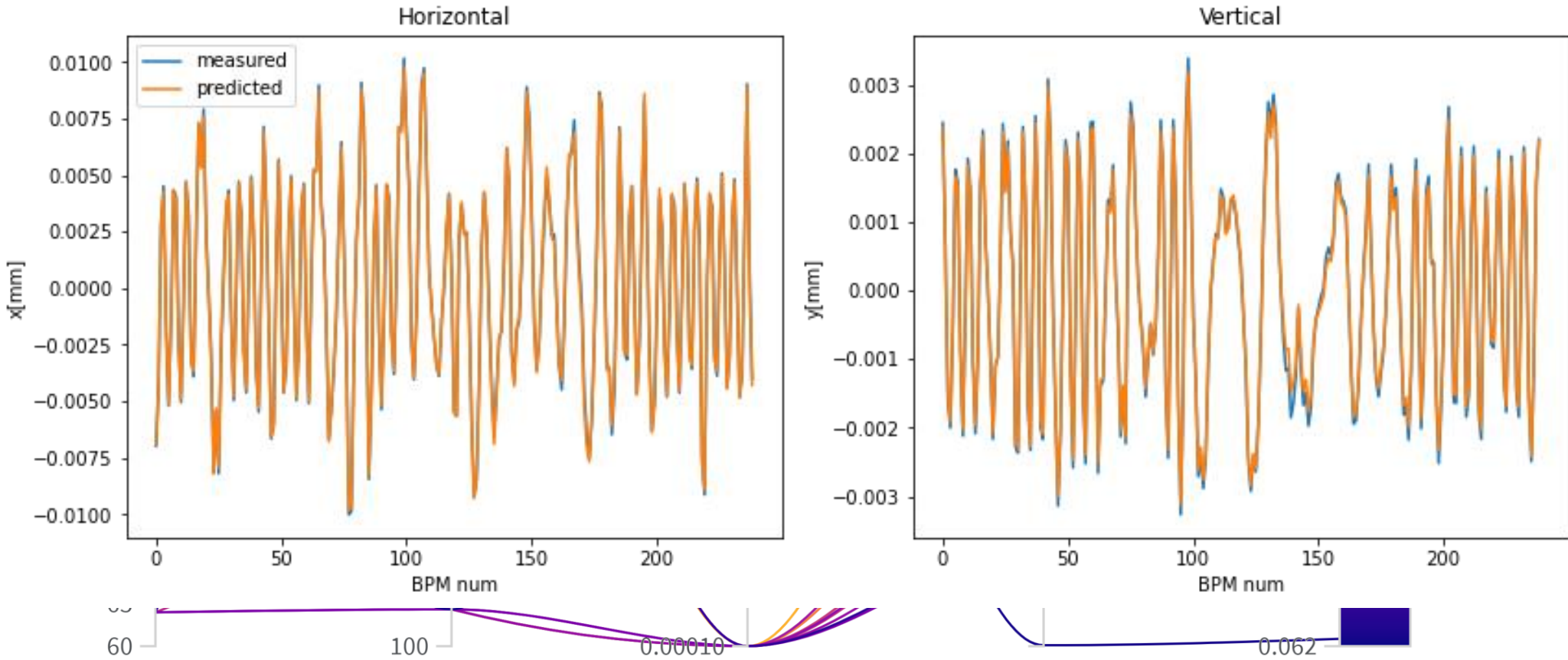
In a RNN the information cycles through a loop. When it makes a decision, it considers the current input and also what it has learned from the inputs it received previously.





Recurrent NN

In a RNN the information cycles through a loop. When it makes a decision, it considers the current input and also what it has learned from the inputs it received previously.

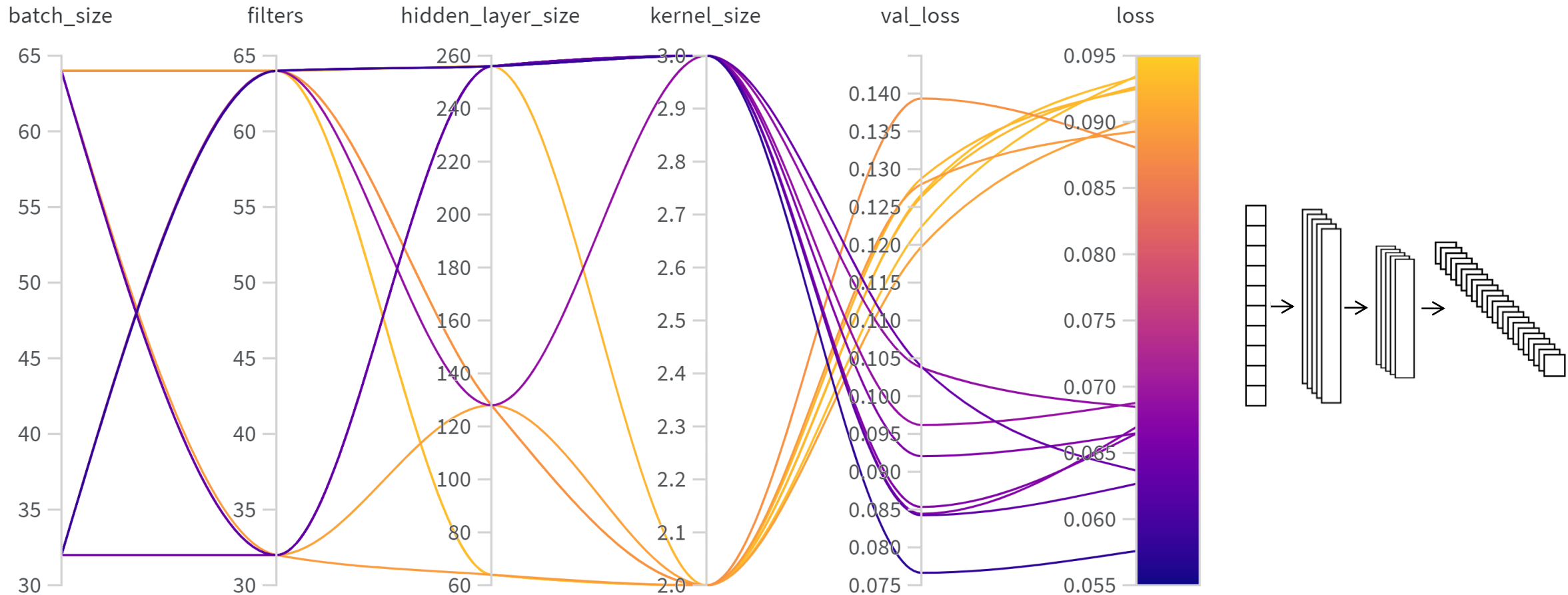


Best result
Batch size: 128
Layer size: 128
Learning rate: 5×10^{-4}

1D Convolutional NN

A convolution layer systematically apply learned filters to input in order to extract features.

The kernel is a matrix (in this case 1D) of weights which are multiplied with the input to extract relevant features.

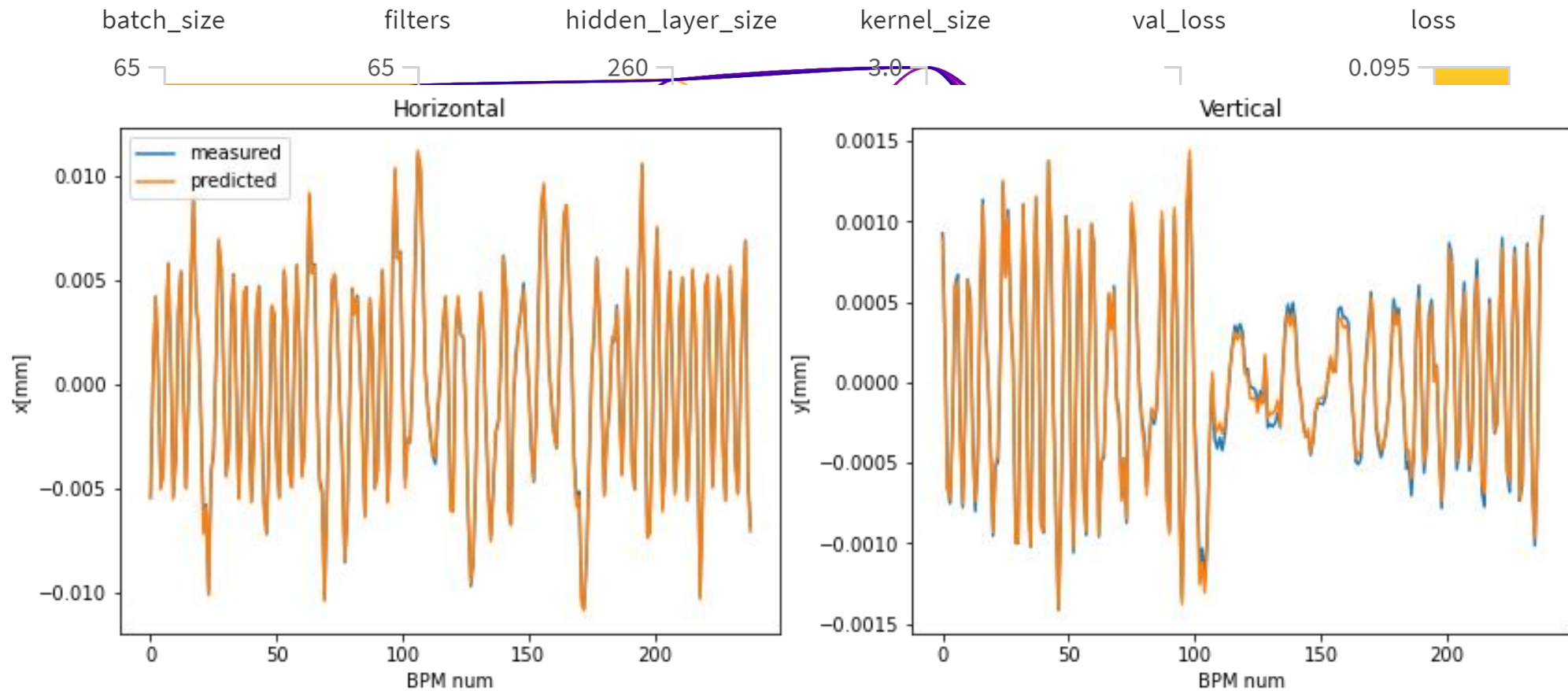




1D Convolutional NN

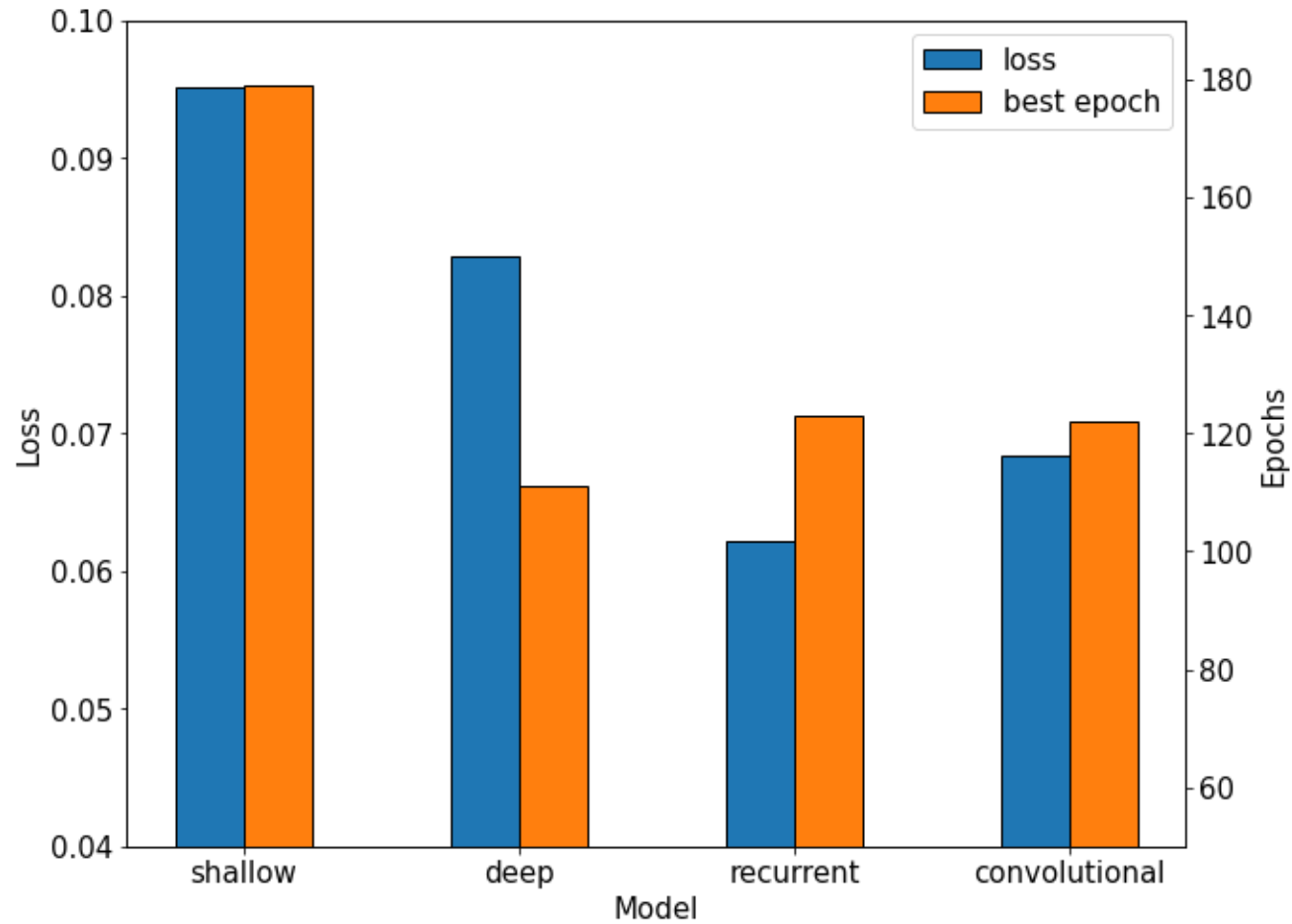
A convolution layer systematically apply learned filters to input in order to extract features.

The kernel is a matrix (in this case 1D) of weights which are multiplied with the input to extract relevant features.



Best result
Batch size: 32
Filters: 32
Layer size: 256
Kernel size: 3

Comparing the architectures



The convolutional and recurrent structure outperform the fully connected NN in a reasonable amount of epochs.



Summary and conclusions

- The varying gap size of the IDs impact the circulating beam dynamics. One major effect is orbit distortions that need to be compensated.
- Neural networks were trained on PETRA III measurements to learn the correlation between arbitrary ID configurations and the orbit.
- Different NN architecture models were tested and compared. The Recurrent and Convolutional NN structure showed better predictivity.
- The prediction can be used to calculate the corrector magnet strength.
- The same scheme could be applied to PETRA IV considering as well the expected significant impact on the emittance.

Thank you

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