



**UCLA** Mani L. Bhaumik Institute  
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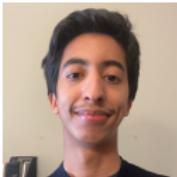
# The Gluon Sivers Asymmetry in Dijet Production at the EIC

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# Overview (How can we use heavy flavor jets to probe the proton spin structure?)

## The Sivers asymmetry before the EIC

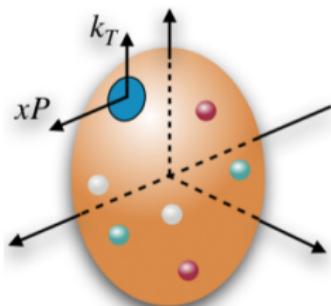
- What is the Sivers function and why is it important?
- What can current data tell us about this Sivers function?

## The gluon Sivers asymmetry at the EIC

- What unique perspective can the EIC offer us in terms of understanding the gluon Sivers function?
- Which processes are optimal for measuring the gluon Sivers function at the EIC?
- How can we generate a factorization and resummation formalism for generating predictions at the EIC.

## The Sivers asymmetry before the EIC

# The Sivers function

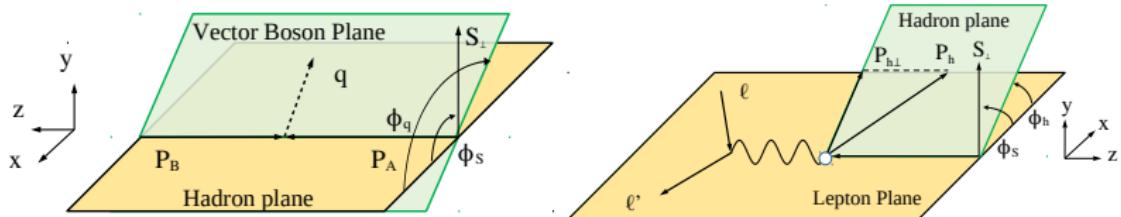


TMD PDFs  
Quark Pol

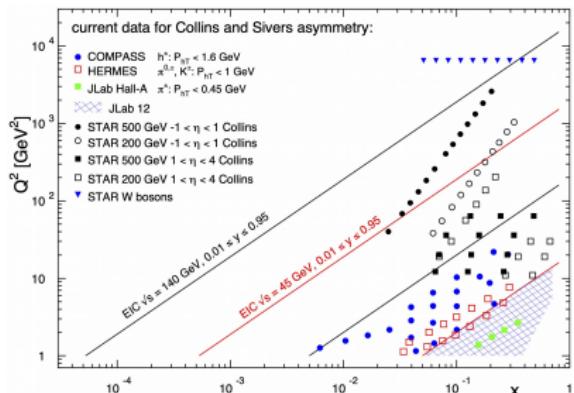
Proton Pol	$\Phi$	U	L	T
U	$f$			$h_1^\perp$
L			$g_1$	$h_{1L}^\perp$
T	$f_{1T}^\perp$	$g_{1T}$	$h_1$	$h_{1T}^\perp$

Decomposition of the quark correlation function

$$\begin{aligned} \Phi_{jj'}(x, \mathbf{k}_T, \mathbf{S}; \mu, \nu) &= \int \frac{d\xi^- d^2 \xi_T}{(2\pi)^3} e^{ik \cdot \xi} \left\langle P, \mathbf{S} \left| \bar{\psi}_{j'}(\xi) \mathcal{U}_{(\xi^-, -\infty; \xi_T)}^{\bar{n}} \mathcal{U}_{(\xi_T, 0; -\infty)}^T \mathcal{U}_{(-\infty, 0, \mathbf{0}_T)}^{\bar{n}} \psi_j(0) \right| P, \mathbf{S} \right\rangle \Big|_{\xi^+=0} \\ &= \left[ f(x, \mathbf{k}_T, \mathbf{S}; \mu, \nu) - \frac{\epsilon_{\mu\nu}^T k_T^\mu S_T^\nu}{M} f_{1T}^\perp(x, \mathbf{k}_T, \mathbf{S}; \mu, \nu) \right] \frac{\not{q}_{j'j}}{2} + \dots \end{aligned}$$

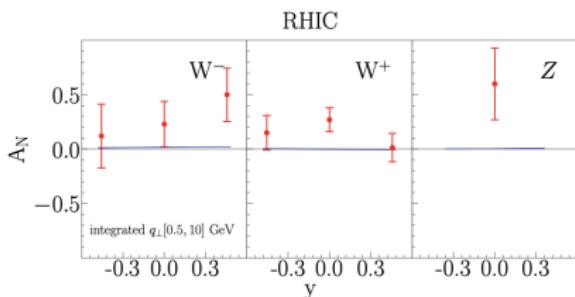


# Our recent global extraction of the Sivers function



Collab	Ref	Process	$Q_{\text{avg}}$	$N_{\text{data}}$	$\chi^2/N_{\text{data}}$
COMPASS		$l d \rightarrow l K^0 X$	2.52	7	0.770
		$l d \rightarrow l K^- X$	2.80	11	1.325
	[44]	$l d \rightarrow l K^+ X$	1.73	13	0.749
		$l d \rightarrow l \pi^- X$	2.50	11	0.719
		$l d \rightarrow l \pi^+ X$	1.69	12	0.578
HERMES	[43]	$l p \rightarrow l h^- X$	4.02	31	1.055
		$l p \rightarrow l h^+ X$	3.93	34	0.898
JLAB	[46]	$\pi^- p \rightarrow \gamma^* X$	5.34	15	0.658
		$l p \rightarrow l K^- X$	1.70	14	0.376
		$l p \rightarrow l K^+ X$	1.73	14	1.339
		$l p \rightarrow l \pi^0 X$	1.76	13	0.997
	[41]	$l p \rightarrow l(\pi^+ - \pi^-) X$	1.73	15	1.252
		$l p \rightarrow l \pi^- X$	1.67	14	1.498
		$l p \rightarrow l \pi^+ X$	1.69	14	1.697
RHIC	[45]	$l N \rightarrow l \pi^+ X$	1.41	4	0.508
		$l N \rightarrow l \pi^- X$	1.69	4	1.048
		$p p \rightarrow W^+ X$	$M_W$	8	2.189
	[47]	$p p \rightarrow W^- X$	$M_W$	8	1.684
		$p p \rightarrow Z^0 X$	$M_Z$	1	3.270
Total				226	0.989

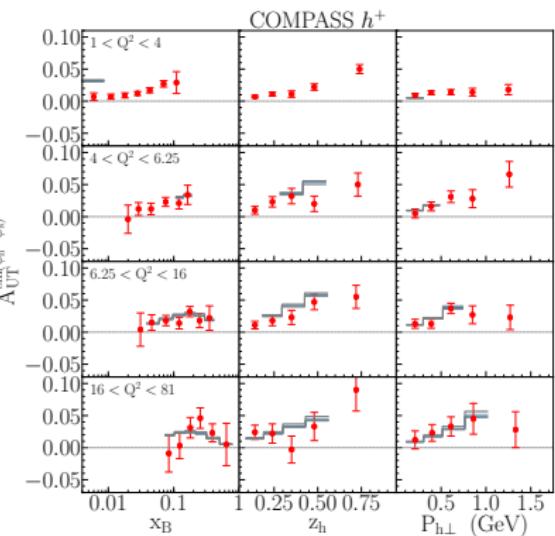
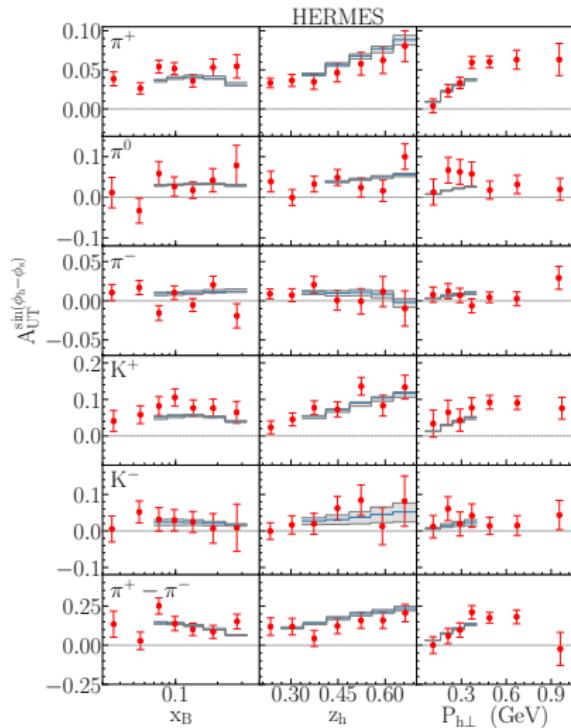
Old RHIC analysis is inconsistent with our result



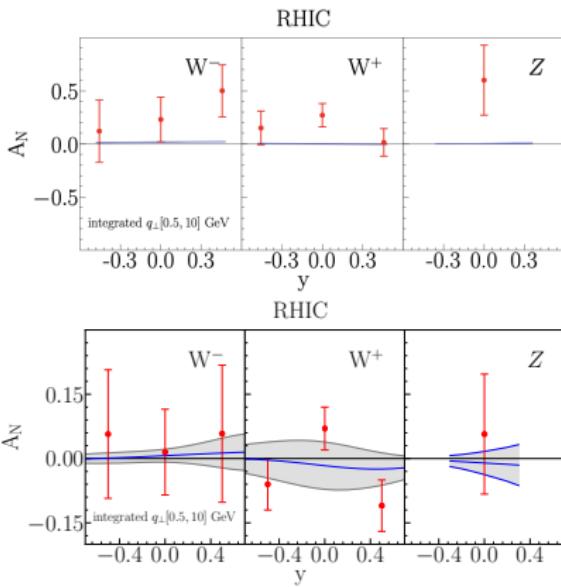
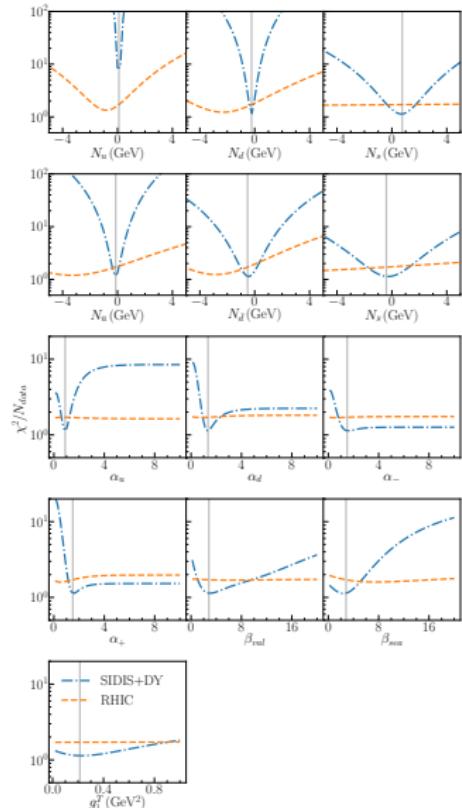
$\chi^2/d.o.f. \sim 1.0$ , 12 parameters and 226 data points [2]. Issues with the RHIC data.

[2] Echevarria, Kang, JT 19

## Our recent global extraction of the Sivers function continued

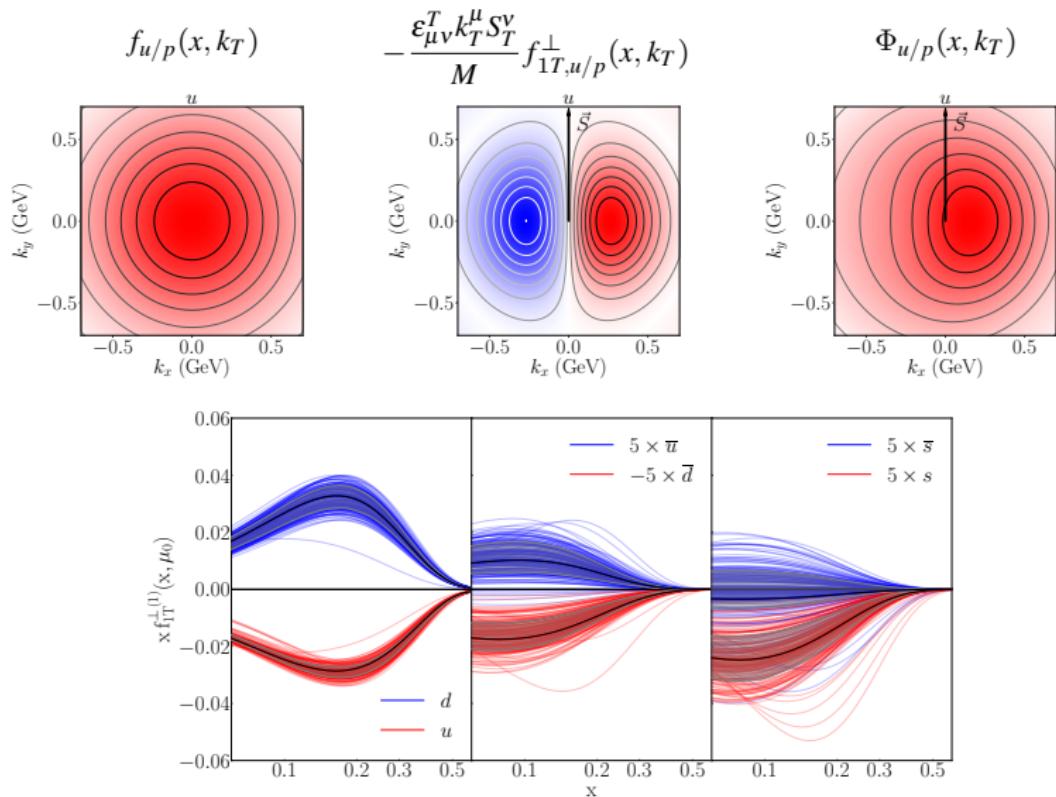


Re-analysis of the RHIC data is completely consistent with our result



Re-analysis of the RHIC data is completely consistent with our result.

# Results for the extraction of the Sivers function $x = 0.2, Q = 2 \text{ GeV}$



# The gluon Sivers asymmetry at the EIC

# Process dependence for the gluon Sivers function

Two types of gluon Sivers functions. See [3] for instance.

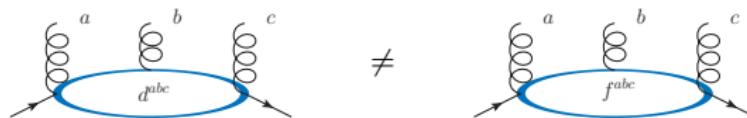
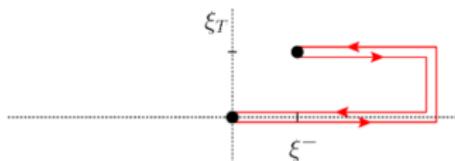
Dipole (d-type)

Measurable in  $pp^\uparrow \rightarrow \gamma$  Jet ( $qg \rightarrow \gamma q$ )



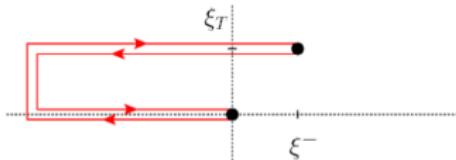
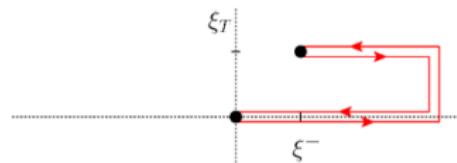
Weizsäcker-Williams (f-type)

Measurable in  $ep^\uparrow \rightarrow Q\bar{Q}$  ( $\gamma g \rightarrow Q\bar{Q}$ )



Asymptotically different as small  $x$  [4].

Modified universality for the gluon Sivers [5]



$$f_{1T}^{\perp [ep^\uparrow \rightarrow e' Q\bar{Q}X]}(x, p_\perp) = -f_{1T}^{\perp [pp^\uparrow \rightarrow \gamma\gamma X]}(x, p_\perp)$$

[3] Dominguez, Xiao, Yuan 11; Buffing, Mukherjee, Mulders 13

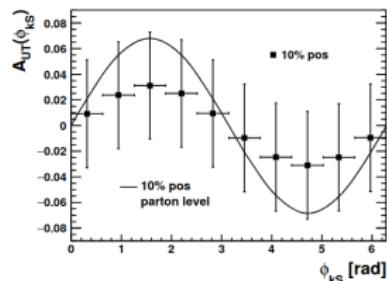
[4] Boer, Echevarria, Mulders, Zhou 15

[5] Boer, Mulders, Pisano, Zhou 16

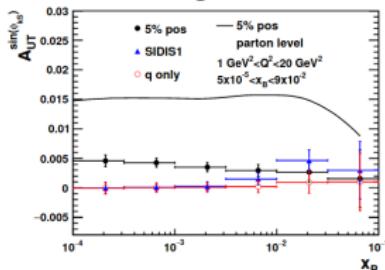
# Gluon Sivers Function at the EIC

Feasibility of measuring the gluon Sivers asymmetry was examined in [6] using a Pythia reweighting analysis  $\mathcal{L}_{int} = 10 \text{ fb}^{-1}$ .

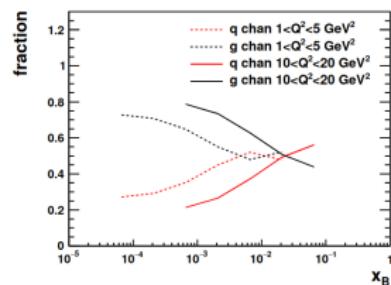
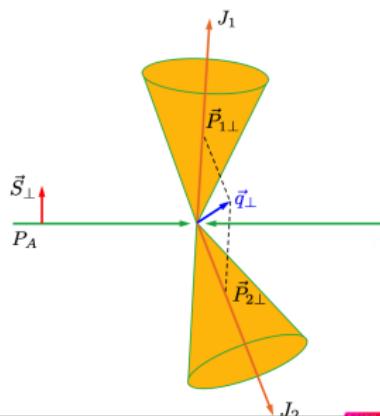
**Open charm ( $D \rightarrow K\pi$  pair)**



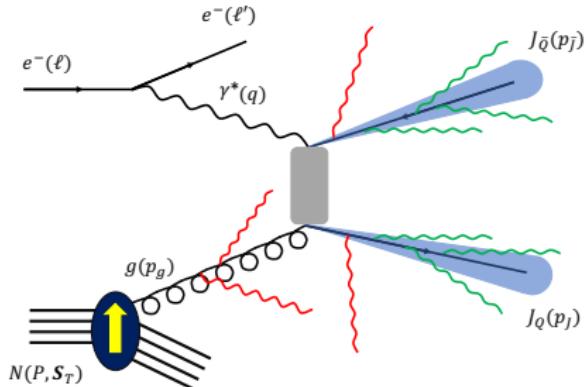
**Di-hadron production**



**Dijet ( $\gamma q \rightarrow qg, \gamma q \rightarrow qg$ )**



# Imbalance for heavy flavor dijet production [7]



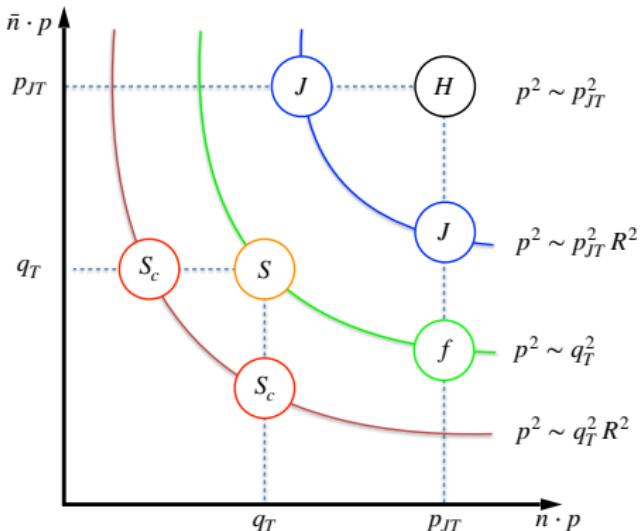
## Mode Analysis

$(-, +, \perp)$

- Hard:  $p_h \sim p_{jT}(1, 1, 1),$
- Jet $Q$ :  $p_{JQ} \sim p_{jT}(R^2, 1, R),$
- Collinear:  $p_c \sim p_{jT}(\lambda^2, 1, \lambda),$
- Soft:  $p_s \sim p_{jT}(\lambda, \lambda, \lambda),$
- C-Soft $Q$ :  $p_{csQ} \sim q_\perp(R^2, 1, R),$

Also need to consider the heavy-flavor mass of the quarks  
We assume the scale hierarchy  $q_{TR} \ll q_T \lesssim m_Q \lesssim p_{TR} \ll p_T$

# Factorization for HF Dijet Production



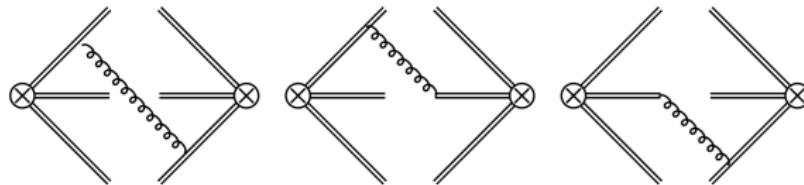
$$\frac{d\sigma^{UU}}{dQ^2 dy d^2 \mathbf{p}_T dy_J d^2 \mathbf{q}_T} = H(Q, y, p_T, y_J, \mu) \int \frac{d^2 b}{(2\pi)^2} e^{i\mathbf{b} \cdot \mathbf{q}_T} S(\mathbf{b}, \mu, \nu) f_{g/N}(x, b, \mu, \zeta/\nu^2) \\ \times J_Q(p_T R, m_Q, \mu) S_Q^c(\mathbf{b}, R, m_Q, \mu) J_{\bar{Q}}(p_T R, m_Q, \mu) S_{\bar{Q}}^c(\mathbf{b}, R, m_Q, \mu),$$

$H$  and  $S$  are the same as light flavor dijet production since  $m_Q \ll p_T$ .

The functions  $S_Q^c$  and  $J_Q$  are sensitive to the mass.

# Global soft function

NLO expression can be obtained by explicitly calculating the graphs [8]



$$S^{\text{NLO}}(\mathbf{b}, \mu, \nu) = -\frac{C_A}{2} \mathcal{I}_{BJ} - \frac{C_A}{2} \mathcal{I}_{B\bar{J}} + \left( \frac{C_A}{2} - C_F \right) \mathcal{I}_{J\bar{J}}$$

$$\mathcal{I}_{ij} = \frac{\alpha_s \mu^{2\epsilon} \pi^\epsilon e^{\epsilon \gamma_E}}{\pi^2} \int d^d k \delta^+(k^2) e^{-i k \cdot b} \frac{n_i \cdot n_j}{(n_i \cdot k)(n_j \cdot k)} \frac{\nu^\eta}{|k^+ - k^-|^\eta}$$

Can obtain the soft anomalous dimensions

$$\Gamma^s(\alpha_s) = 2C_F \gamma^{\text{cusp}}(\alpha_s) \ln \frac{\mu^2}{\mu_b^2} - C_A \gamma^{\text{cusp}}(\alpha_s) \ln \frac{\nu^2}{\mu^2} + \gamma^s(\alpha_s)$$

$$\gamma_\nu^s(\alpha_s) = -\frac{\alpha_s}{\pi} C_A \ln \frac{\mu^2}{\mu_b^2} + \mathcal{O}(\alpha_s^2),$$

$$\gamma_0^s = 8C_F \ln(4c_{BJ}^2) + 4(C_A - 2C_F) \ln \frac{\hat{s}}{p_T^2} + 4C_A \ln \frac{\hat{s}}{Q^2}$$

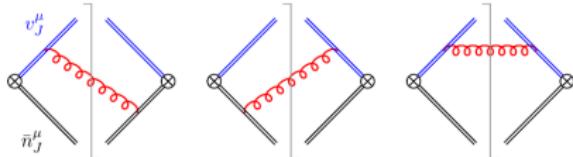
$$\frac{\partial}{\partial \ln \mu} S(\mathbf{b}, \mu, \nu) = \Gamma_S^\mu(\mu) S(\mathbf{b}, \mu, \nu)$$

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[8] Hornig, Kang, Makris, Mehen 17; del Castillo, Echevarria, Makris, Scimemi 20

# Collinear-soft function

Blue lines represent heavy Wilson lines



$$v_J^\mu = \frac{\omega_J}{m_Q} \frac{n_J^\mu}{2} + \frac{m_Q}{\omega_J} \frac{\bar{n}_J^\mu}{2}, \quad \text{with } v_J^2 = 1$$

Recall the power counting of the collinear-soft modes  $p_{\text{cs}} \sim q_\perp(1, R^2, R)$ .

$$w_{\alpha\beta} = \frac{\alpha_s \mu^{2\epsilon} \pi^\epsilon e^{\epsilon\gamma_E}}{2\pi^2} \int d^d k \delta^+(k^2) e^{-i \bar{n}_J \cdot k n_J \cdot b/2} \frac{\alpha \cdot \beta}{(\alpha \cdot k)(\beta \cdot k)} \theta \left[ \frac{n_J \cdot k}{\bar{n}_J \cdot k} - \left( \frac{R}{2 \cosh y_J} \right)^2 \right]$$

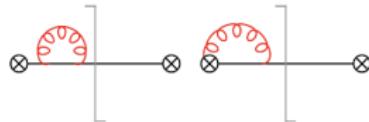
We derive the collinear soft anomalous dimensions

$$\Gamma^{cs_Q}(\alpha_s) = C_F \gamma^{\text{cusp}}(\alpha_s) \ln \frac{R^2 \mu_b^2}{\mu^2} + \gamma^{cs_Q}(\alpha_s)$$
$$\gamma_0^{cs_Q} = -4C_F \left[ 2 \ln(-2ic_{bJ}) - \frac{m_Q^2}{m_Q^2 + p_T^2 R^2} - \ln \frac{m_Q^2 + p_T^2 R^2}{p_T^2 R^2} \right]$$

$c_{bJ} = \cos(\phi_b - \phi_J)$  gives rise to azimuthal asymmetries [9]. We average over the  $\phi_b$  angle to generate the numerics.

# Mass dependent jet function

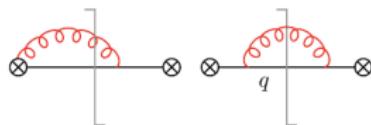
## Virtual Graphs



Does not depend on the jet radius

$$J_Q^{\text{NLO,V}} = \frac{\alpha_s}{4\pi} C_F \left[ \frac{2}{\epsilon^2} + \frac{1}{\epsilon} \left( 1 + 2 \ln \frac{\mu^2}{m_Q^2} \right) + \left( 1 + \ln \frac{\mu^2}{m_Q^2} \right) \ln \frac{\mu^2}{m_Q^2} + 4 + \frac{\pi^2}{6} \right]$$

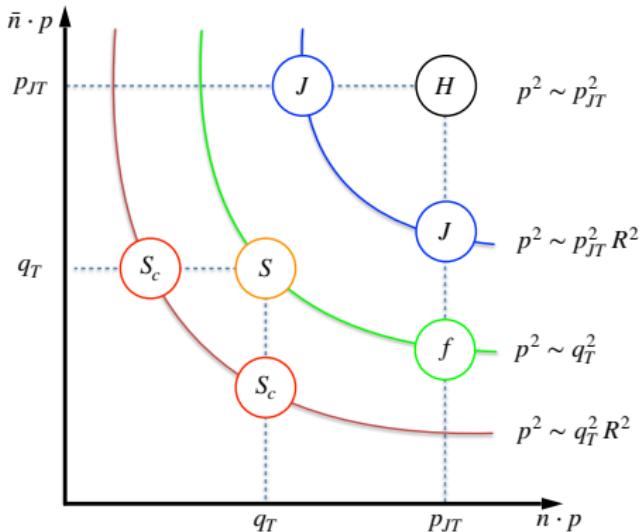
## Real Graphs



$$\Theta_{\text{anti-}k_T} = \theta \left[ \left( \frac{q^- (\omega_J - q^-)}{\omega_J} \right)^2 \left( \frac{R}{2 \cosh y_J} \right)^2 - \mathbf{q}_\perp^2 \right]$$

$$\begin{aligned} J_Q^{\text{NLO,R}}(p_T R, m_Q, \epsilon) &= \frac{\alpha_s C_F e^{\epsilon \gamma_E} \mu^{2\epsilon}}{2\pi \Gamma(1-\epsilon)} \int \frac{dq^-}{\omega_J} \frac{d\mathbf{q}_\perp^2}{\mathbf{q}_\perp^{2\epsilon}} \left[ \frac{q^-}{\omega_J - q^-} \frac{2\mathbf{q}_\perp^2 \omega_J^4}{[\mathbf{q}_\perp^2 \omega_J^2 + m_Q^2 (\omega_J - q^-)^2]^2} \right. \\ &\quad \left. + (1-\epsilon) \frac{\omega_J (\omega_J - q^-)}{\mathbf{q}_\perp^2 \omega_J^2 + m_Q^2 (\omega_J - q^-)^2} \right] \theta(\omega_J - q^-) \Theta_{\text{anti-}k_T} \\ &= \frac{\alpha_s}{4\pi} C_F \left[ -2 \ln \left( \frac{m_Q^2 + p_T^2 R^2}{m_Q^2} \right) + 2 - \frac{2m_Q^2}{m_Q^2 + p_T^2 R^2} \right] \frac{1}{\epsilon} + J_Q^{\text{R,fin}}, \end{aligned}$$

# Resummation of large logarithms



Perturbative evolution of the cross section: account for broadening of distributions from perturbative radiation

$$S_{\text{pert}} = \exp \left[ \int_{\mu_{\text{TMD}}}^{\mu_h} \frac{d\mu}{\mu} \left( \Gamma^s + \Gamma^{fg} \right) + 2 \int_{\mu_j}^{\mu_h} \frac{d\mu}{\mu} \Gamma^{j\mathcal{Q}} + \int_{\mu_{cs}}^{\mu_h} \frac{d\mu}{\mu} (\bar{\Gamma}^{cs}\mathcal{Q} + \bar{\Gamma}^{cs}\bar{\mathcal{Q}}) \right]$$

# Unpolarized Phenomenology at NLL

Mass dependence enters in the anomalous dimensions

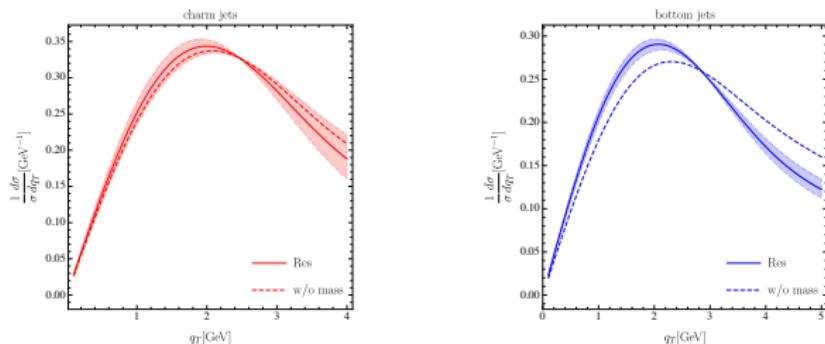
$$\mu_j = p_T R, \quad \mu_{cs} = \mu_{b_*} R.$$

Non-perturbative parameterization [10]

$$S_{\text{NP}}(b, Q_0, n \cdot p_g) = g_1 b^2 + \frac{g_2}{2} \frac{C_A}{C_F} \ln \frac{n \cdot p_g}{Q_0} \ln \frac{b}{b_*}.$$

charm :  $5 \text{ GeV} < p_T < 10 \text{ GeV}$ , bottom :  $10 \text{ GeV} < p_T < 15 \text{ GeV}$ ,

$|y_J| < 4.5$ , see [11]

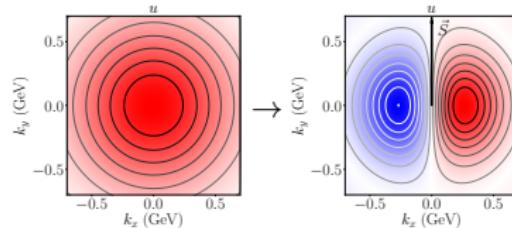


[10] Sun, Isaacson, Yuan, Yuan 14

[11] Aschenauer, Baker et al 14

# Spin Dependent Resummation

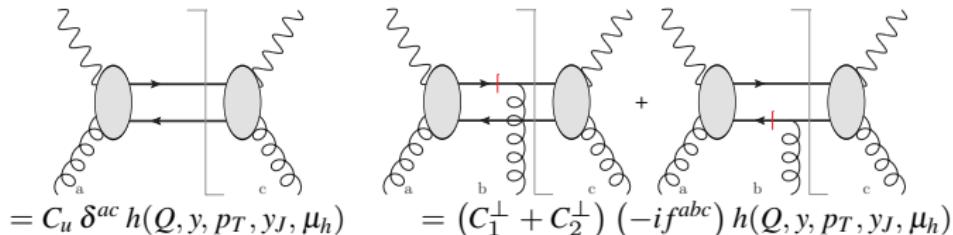
Replace TMDPDF with the gluon Sivers function



$$f(x, k_T, \mu, \zeta) \rightarrow \frac{1}{M} \epsilon_{\alpha\beta} S_T^\alpha k_T^\beta f_{1T}^{\perp,f}(x, k_T, \mu, \zeta).$$

$$\Gamma^{f\perp} = \Gamma^f \quad \gamma_v^{f\perp} = \gamma_v^f$$

Need to consider additional gauge link attachment for the spin dependent cross section



$$C_u = C_1^\perp + C_2^\perp \rightarrow \Gamma^{h\perp} = \Gamma^h$$

# Phenomenology

Non-perturbative collinear parameterization

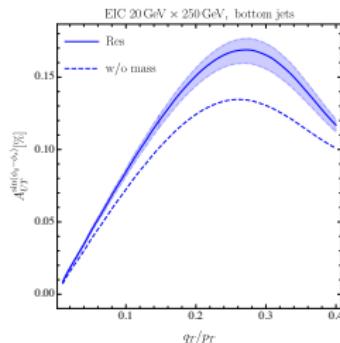
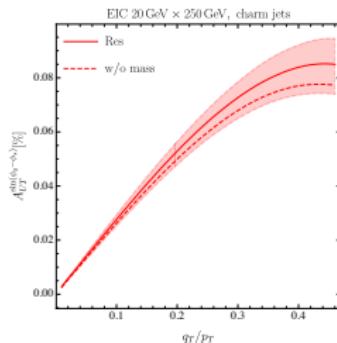
$$f_{1T,g/N}^{\perp f}(x, \mu) = N_g \frac{4\rho\sqrt{2e\rho(1-\rho)g_1}}{M_{\text{proton}}} x^{\alpha_g} (1-x)^{\beta_g} \frac{(\alpha_g + \beta_g)^{\alpha_g + \beta_g}}{\alpha_g^{\alpha_g} \beta_g^{\beta_g}} f_{g/N}(x, \mu),$$

Parameters from [12]

$$N_g = 0.65, \quad \alpha_g = 2.8, \quad \beta_g = 2.8, \quad \rho = 0.5, \quad M_{\text{proton}} = 1 \text{ GeV},$$

For the TMD

$$S_{\text{NP}}^{\perp}(b, Q_0, n \cdot p_g) = g_1 \rho b^2 + \frac{g_2}{2} \frac{C_A}{C_F} \ln \frac{n \cdot p_g}{Q_0} \ln \frac{b}{b_*},$$



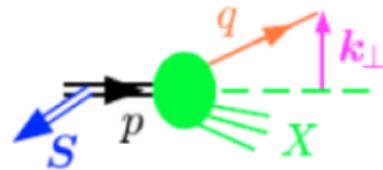
## Summary and Outlook

- The non-perturbative structure of the quark Sivers function has been largely explored by experimental data in standard processes.
- Using SCET, we have generated the factorization and resummation formalism for heavy-flavor dijet production at the EIC to probe the gluon Sivers function.
- Once data is available, we can perform extractions of the non-perturbative physics for the gluon-Sivers function from heavy-flavor dijet data.

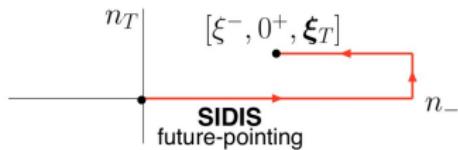
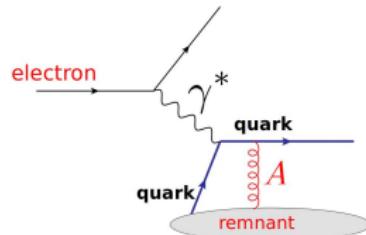
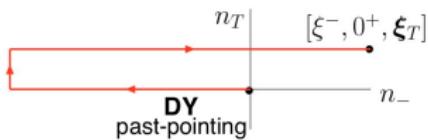
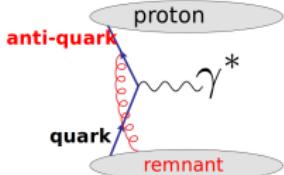
Thank you to the audience and the organizers!

*TMD PDFs*  
Quark Pol

Proton Pol	$\Phi$	U	L	T
U	$f$			$h_1^\perp$
L			$g_1$	$h_{1L}^\perp$
T	$f_{1T}^\perp$	$g_{1T}$	$h_1$	$h_{1T}^\perp$

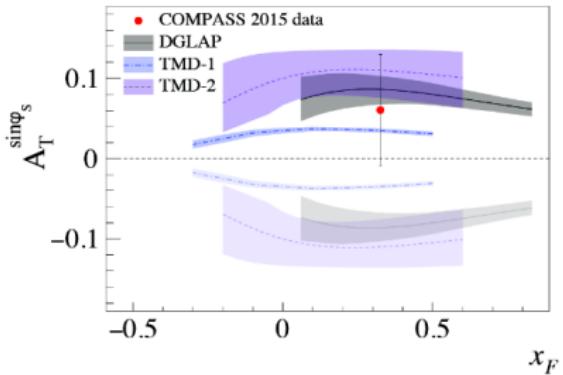


# Signs change of the Sivers function



Repulsion between anti-quark and remnant in di-hadron collisions.  
Attraction between quark and remnant in SIDIS. [1]

$$f_{1T,q/p}^{\perp, \text{DY}} = -f_{1T,q/p}^{\perp, \text{SIDIS}}$$



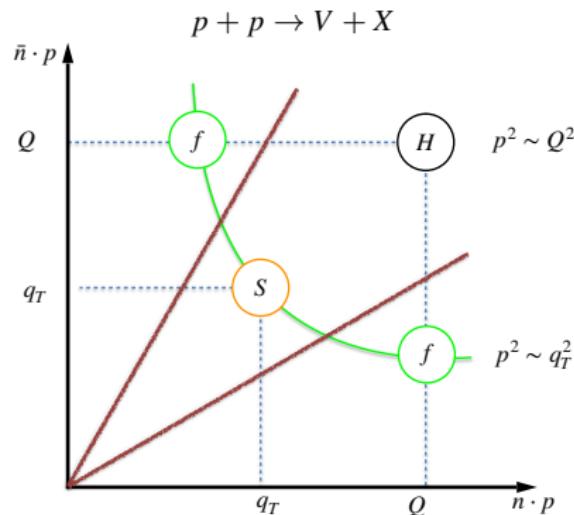
[1] Collins 02; Boer-Mulders-Pijlman 03; Collins-Metz 04; Kang-Qiu 09

# Factorization Theorems for standard processes

Visual representation of the factorization

Mode Analysis

$(-, +, \perp)$



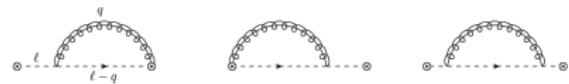
- Hard:  $p_h \sim Q(1, 1, 1)$ ,
- Collinear:  $p_c \sim Q(\lambda^2, 1, \lambda)$ ,
- Anti-Collinear:  $p_{\bar{c}} \sim Q(1, \lambda^2, \lambda)$ ,
- Soft:  $p_s \sim Q(\lambda, \lambda, \lambda)$ .

$$\lambda = qT/Q$$

Soft



Collinear



Factorization Theorem

$$\frac{d\sigma}{d\mathcal{PS} d^2 q_\perp} = \sigma_0 H(Q, \mu) \int \prod_{i=1,3} dk_i f_1(x_1, k_{1\perp}, \mu, v) f_2(x_2, k_{2\perp}, \mu, v) S(k_{3\perp}, \mu, v)$$

# Renormalization Group Consistency

*Hard anomalous dimension: Can obtain from general structure*

$$\Gamma^h(\alpha_s) = C_A \gamma^{\text{cusp}}(\alpha_s) \ln \left( \frac{\hat{u} \hat{t}}{\hat{s} \mu^2} \right) - 2C_F \gamma^{\text{cusp}}(\alpha_s) \ln \left( \frac{\mu^2}{\hat{s}} \right) + 4\gamma^q(\alpha_s) + 2\gamma^g(\alpha_s)$$

*TMD anomalous dimension: Obtainable through explicit one loop calculation*

$$\Gamma^{f_g}(\alpha_s) = C_A \gamma^{\text{cusp}}(\alpha_s) \ln \frac{\nu^2}{(n \cdot p_g)^2} - 2\gamma^{f_g}(\alpha_s), \quad \gamma_\nu^{f_g}(\alpha_s) = \frac{\alpha_s}{\pi} C_A \ln \frac{\mu^2}{\mu_b^2} + \mathcal{O}(\alpha_s^2)$$

Cross section should not depend on choice of  $\mu, \nu$ .

$$\begin{aligned} \frac{d\sigma^{UU}}{dQ^2 dy d^2 \mathbf{p}_T dy_J d^2 \mathbf{q}_T} &= H(Q, y, p_T, y_J, \mu) \int \frac{d^2 b}{(2\pi)^2} e^{i\mathbf{b} \cdot \mathbf{q}_T} S(\mathbf{b}, \mu, \nu) f_{g/N}(x, b, \mu, \zeta/\nu^2) \\ &\times J_{\mathcal{Q}}(p_T R, m_{\mathcal{Q}}, \mu) S_{\mathcal{Q}}^c(\mathbf{b}, R, m_{\mathcal{Q}}, \mu) J_{\bar{\mathcal{Q}}}(p_T R, m_{\bar{\mathcal{Q}}}, \mu) S_{\bar{\mathcal{Q}}}^c(\mathbf{b}, R, m_{\bar{\mathcal{Q}}}, \mu), \end{aligned}$$

Definition of anomalous dimensions

$$\frac{\partial}{\partial \ln \mu} F = \Gamma^\mu F \quad \frac{\partial}{\partial \ln \nu} F = \gamma^\nu F$$

RG consistency requires

$$\Gamma^h + \Gamma^s + \Gamma^{f_g} + 2\Gamma^{j_{\mathcal{Q}}} + \Gamma^{cs_{\mathcal{Q}}} + \Gamma^{cs_{\bar{\mathcal{Q}}}} = 0 \quad \gamma_{\nu,0}^{f_g} + \gamma_{\nu,0}^s = 0$$