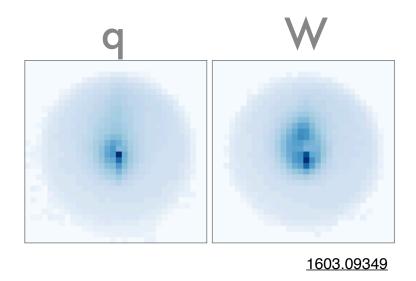
# Interpretable Networks for Identifying Leptons



Daniel Whiteson, UC Irvine
Oct 2022

### Motivation

Deep networks find new power for jet substructure



Leptons are simpler objects...
...but their backgrounds are often jets!

### Questions

Can deep networks
 outperform existing physics
 vars for electrons and
 muons?

2. Can we interpret what the network has learned?

### Electrons

https://arxiv.org/abs/2011.01984

#### Learning to Identify Electrons

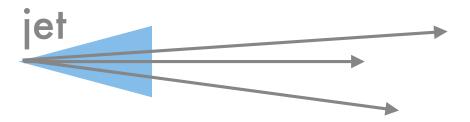
Julian Collado, <sup>1</sup> Jessica N. Howard, <sup>2</sup> Taylor Faucett, <sup>2</sup> Tony Tong, <sup>2,1</sup> Pierre Baldi, <sup>1</sup> and Daniel Whiteson <sup>2</sup>

<sup>1</sup> Department of Computer Science, University of California, Irvine, CA, 92697

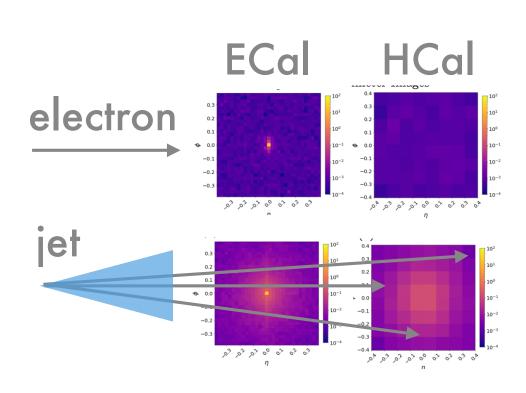
<sup>2</sup> Department of Physics and Astronomy, University of California, Irvine, CA 92697

(Dated: November 5, 2020)

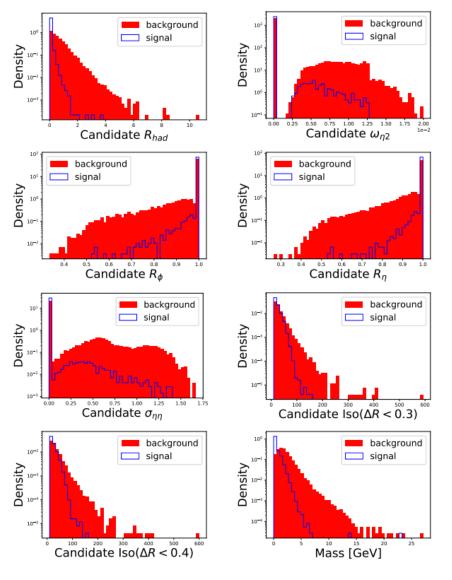




# Electrons

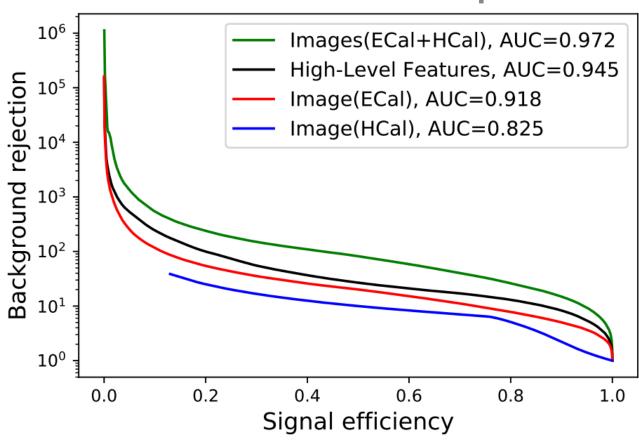


#### Physics features



### Electrons

#### Performance Gap



Images outperform physicists!

### Questions

1. Can deep networks
outperform existing physics
vars for electrons and Electrons
muons?

2. Can we interpret what the network has learned?

# How to interpret?

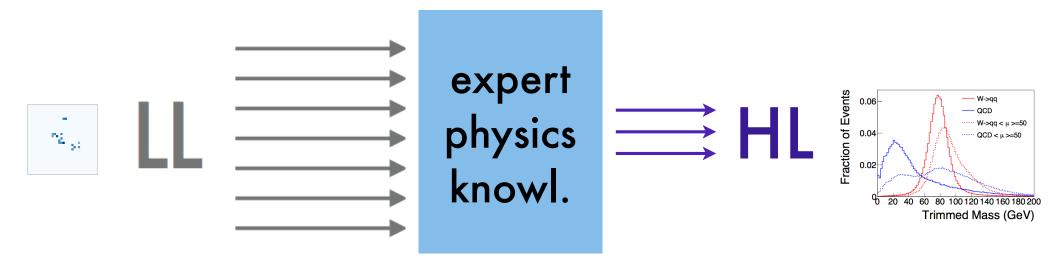
Mapping Machine-Learned Physics into a Human-Readable Space

Taylor Faucett, 1 Jesse Thaler, 2, 3 and Daniel Whiteson 1

https://arxiv.org/abs/2010.11998

# What is it doing?

Our low-level (LL) data are often high-dim



Can't interpret LL data But HL doesn't always capture the information

# Yet we prefer HL

#### If HL data includes all necessary information...

- It is easier to understand
- Its modeling can be verified
- Uncertainties can be sensibly defined
- It is more compact and efficient
- LL -> HL is physics, so we like it.

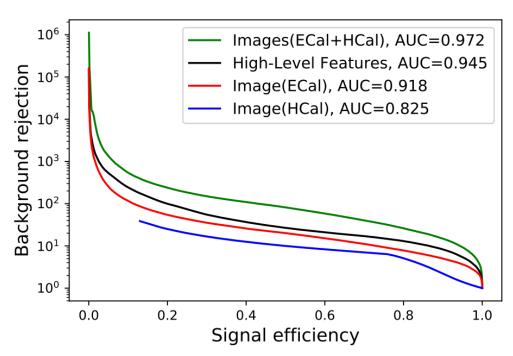
# Our question

How has the DNN found its solution? What can we learn from it?

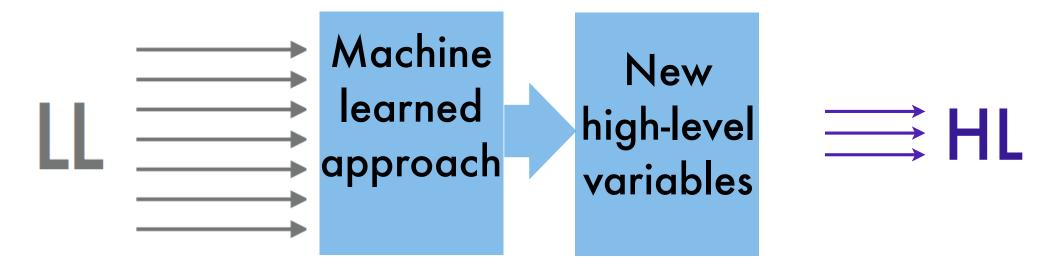
#### Residual knowledge:

Is there a new HL variable?

Can it reveal physics?



# Learning from ML

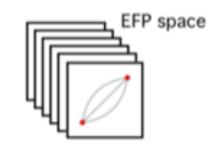


Use LL analysis as a probe, not a final product.

### Hows

#### I. Define space of interpretable observables

- provides context
- defines problem
- does NN live in this space?
- Can it be compactly represented?
- Yes or No are both interesting!



Graph components  $=\sum_{a}^{N}z_{a}$  Node/Vertex:  $=\sum_{a}^{N}z_{a}$  Edges:  $=\theta_{ab}$  Multiple Edges  $=(\theta_{ab})^{2}$   $z_{a}=p_{T,a}^{\kappa}$   $\theta_{ab}=(\Delta\eta_{ab}^{2}+\Delta\varphi_{ab}^{2})^{\beta/2}$ 

Examples
$$= \sum_{a}^{N} \sum_{b}^{N} z_{a} z_{b} \theta_{ab}$$

$$= \sum_{a}^{N} \sum_{b}^{N} \sum_{c}^{N} \sum_{d}^{N} \sum_{e}^{N} z_{a} z_{b} z_{c} z_{d} z_{e} \theta_{ac}^{2} \theta_{bd} \theta_{be} \theta_{cd}$$

### Hows

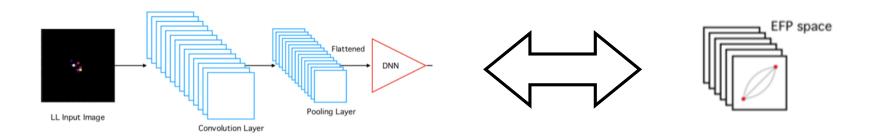
#### I. Define space of interpretable observables

- provides context
- defines problem
- does NN live in this space?
- Can it be compactly represented?
- Yes or No are both interesting!

#### II. Define mapping metric

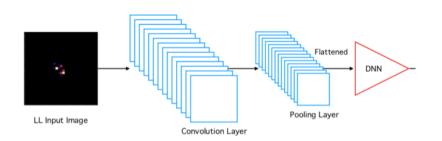
- how do you compare two solutions?
- can't use functional identity or linear correlation

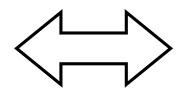
# Mapping

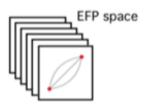


How to map from deep network into our space of interpretable observables?

# Mapping







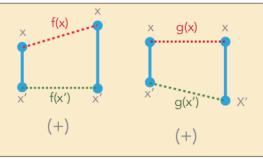
#### Similar Orderings

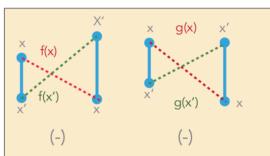
#### **Function sameness**

Complete equivalence not the idea

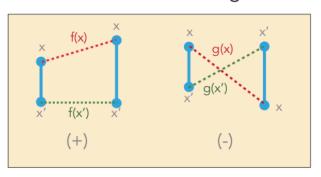
Any 1:1 transformation of function has no impact in our context

Only care about the ordering of points not the actual function values

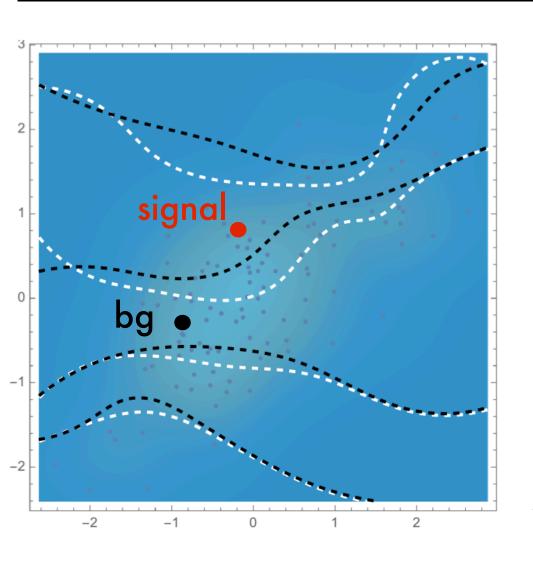




#### **Dissimilar Orderings**



# Discriminant ordering



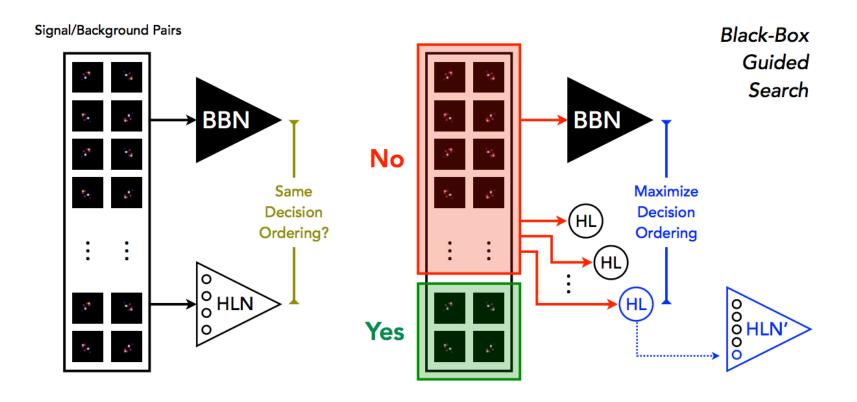
Evaluate how often they give a bg-sig pair the same ordering.

$$DO(x, x') = \Theta\Big(\big(f(x) - f(x')\big)\big(g(x) - g(x')\big)\Big)$$

Sample the space.

$$ADO = \int dx dx' p_{sig}(x) p_{bkg}(x') DO(x, x').$$

# Finding the HL



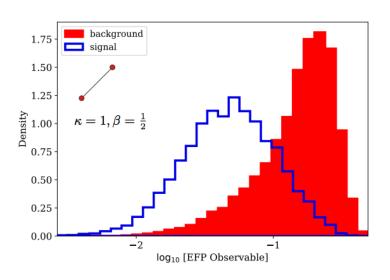
Use decision ordering to isolate disagreement and select new HL feature

# Closing the gap

Scan for electron NN finds a new feature

Created to study quarks and gluons.

Helps separate electrons and jets!



$$=\sum_{a,b=1}^N z_a z_b heta_{ab}^{rac{1}{2}}$$

# Closing the gap

Base		Additions $(\kappa, \beta)$		(AUC)
7HL				0.945
$7 \mathrm{HL}$	$+M_{ m jet}$			0.956
$7 \mathrm{HL}$		$/(1,\frac{1}{2})$		0.970
7HL	$+M_{ m jet}$	(1,1)	$\triangleright (1, \frac{1}{2})$	0.971
$7 \mathrm{HL}$		• (2,-)		0.970
$7 \mathrm{HL}$	$+M_{ m jet}$	$ \Rightarrow (2,1) $	• (2,-)	0.971
CNN				0.972

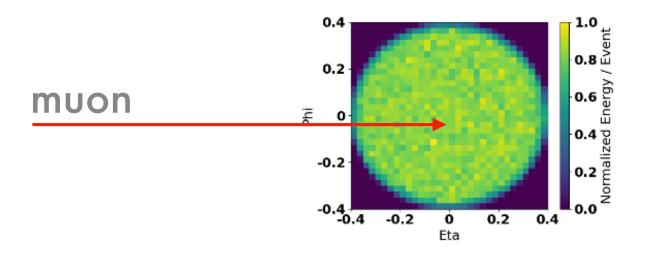
### Muons

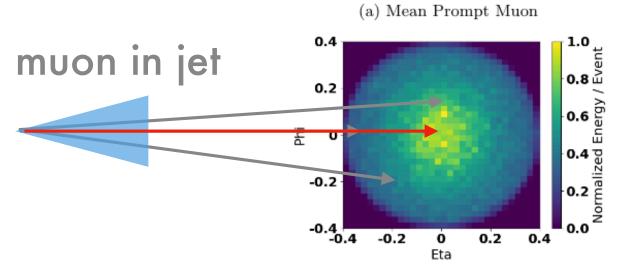
#### Learning to Isolate Muons

Julian Collado, <sup>1</sup> Kevin Bauer, <sup>2</sup> Edmund Witkowski, <sup>2</sup> Taylor Faucett, <sup>2</sup> Daniel Whiteson, <sup>2</sup> and Pierre Baldi <sup>1</sup> Department of Computer Science, University of California, Irvine, CA, 92697 <sup>2</sup> Department of Physics and Astronomy, University of California, Irvine, CA 92697 (Dated: February 2, 2021)

2102.02278

### Muons



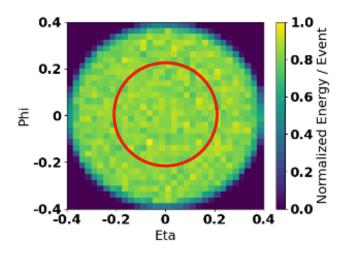


(b) Mean Non-prompt Muon

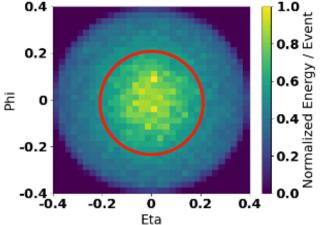
### Isolation cones

# Standard approach: isolation cone

$$I_{\mu}(R_0) = \sum_{i,R < R_0} \frac{p_{\mathrm{T}}^{\mathrm{cell}\ i}}{p_{\mathrm{T}}^{\mathrm{muon}}}$$

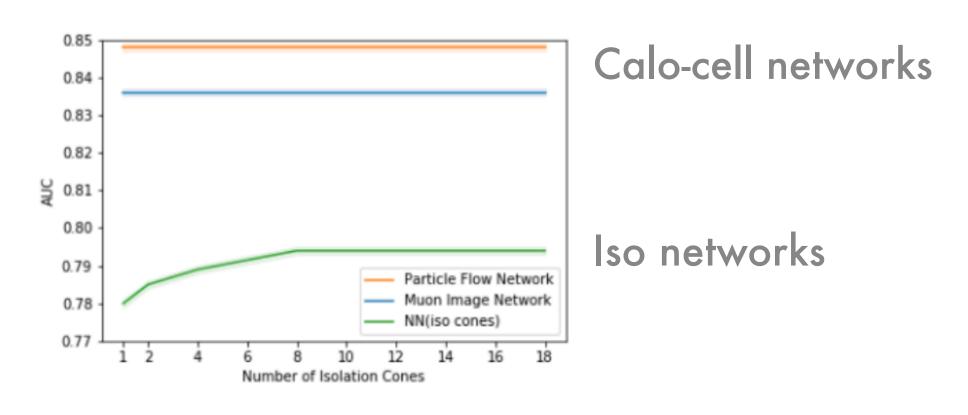






(b) Mean Non-prompt Muon

### Results

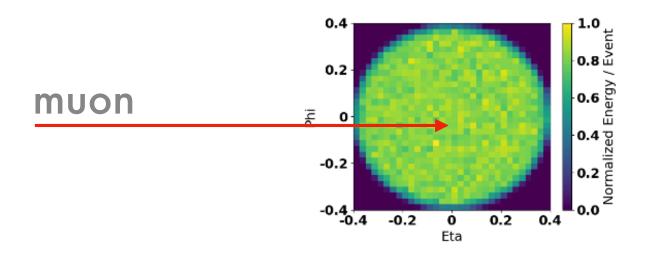


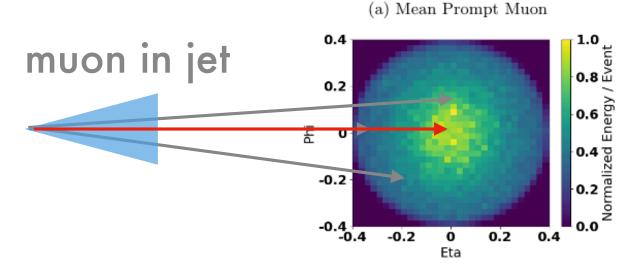
More iso cones improves performance Isolation cannot match calo-cell networks

### Muons

Could there be non-radial information relevant?

Jets have complex structures!



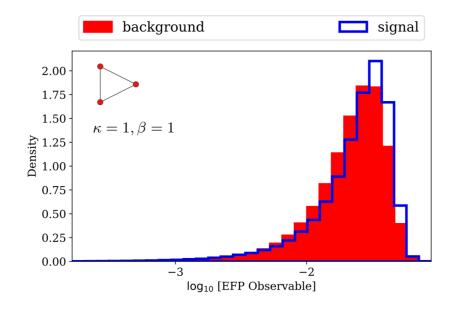


(b) Mean Non-prompt Muon

### Useful observable

#### This observable helps!

$$= \sum_{a,b,c=1}^{N} z_a z_b z_c \theta_{ab} \theta_{bc} \theta_{ca}$$



# An open gap

Adding one EFP helps

Many
EFPs don't
close the gap!

Method	AUC	ADO[CNN]
Single Iso Cone	0.780	0.865
8 Iso	0.794	0.878
8 Iso + $\sum p_{\rm T}$ + 1 IRC-safe EFPs	0.813	0.897
8 Iso + $\sum p_T$ + 4 IRC-safe EFPs	0.821	0.908
8 Iso + $\sum p_{\rm T}$ + 10 IRC-unsafe EFPs	0.827	0.923
Calo image CNN	0.836	1
Calo cell Energy-Flow Net	0.843	0.946
Calo cell Particle-Flow Net	0.848	0.948

They don't know where the muon is can't calculate angle relative to muon.

Needs a new class of EFP.

### Conclusions

Deep networks can identify gaps where low-level data contains unused info

Mapping strategies can interpret capture performance in interpretable obs.

### Collaborators

#### UCI Department of Physics & Astronomy



**Taylor Faucett** 



**Daniel Whiteson** 





The Machines





Jesse Thaler

MIT **DEPARTMENT OF PHYSICS**