

Large- N $SU(N)$ pure-gauge theories with milder topological freezing via parallel tempering on boundary conditions



Istituto Nazionale di Fisica Nucleare

Speaker:

C. BONANNO

INFN FIRENZE

✉ claudio.bonanno@fi.infn.it

Hybrid Riken-BNL Research Center Seminars – Sept. 29th 2022

TALK BASED ON:

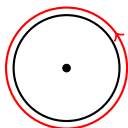
- **CB**, M. D'Elia, B. Lucini, D. Vadicchino, “*Towards glueball masses of large- N $SU(N)$ pure-gauge theories without topological freezing*”, Phys. Lett. B **833** (2022) 137281, [arXiv:2205.06190](https://arxiv.org/abs/2205.06190) [[hep-lat](#)].
- **CB**, C. Bonati, M. D'Elia, “*Large- N $SU(N)$ Yang–Mills theories with milder topological freezing*”, JHEP **03** (2021) 111, [arXiv:2012.14000](https://arxiv.org/abs/2012.14000) [[hep-lat](#)].
- M. Berni, **CB**, M. D'Elia, “*Large- N expansion and θ -dependence of 2d CP^{N-1} models beyond the leading order*”, Phys. Rev. D **100** (2019) 11, 114509, [arXiv:1911.03384](https://arxiv.org/abs/1911.03384) [[hep-lat](#)].

The topological charge in $4d$ non-abelian gauge theories

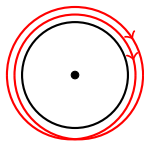
The topological charge of the finite-action gauge field $A_\mu(x)$

$$Q = \frac{1}{64\pi^2} \int d^4x \varepsilon^{\mu\nu\rho\sigma} G_{\mu\nu}^a(x) G_{\rho\sigma}^a(x) \in \mathbb{Z}$$

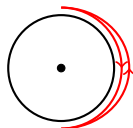
is a gauge-invariant **integer** quantity corresponding to the number of windings of $A_\mu(x)$ around the group manifold at $x \rightarrow \infty$.



$$Q = 1$$



$$Q = -2$$



$$Q = 0$$

The topological charge can be coupled to the ordinary action of the model S_0 via the dimensionless parameter $\theta \in [0, 2\pi)$:

$$S_0 \rightarrow S(\theta) = S_0 + \theta Q.$$

Such coupling introduces a **non-trivial dependence on θ** .

Physical relevance of θ -dep. in QCD and related theories

The study of θ -dependence of QCD and QCD-like gauge theories is prominent both for theoretical and phenomenological reasons.

- **Beyond Standard Model:** non-zero $\theta \rightarrow$ **breaking of CP symmetry**, e.g., non-zero neutron Electric Dipole Moment (nEDM). Experiments: nEDM is well compatible with zero $\implies \theta \sim 0$ **within 10^{-10}** . No strong-CP violation \implies fine-tuning problem on θ : **strong-CP problem**.
- **Hadron physics:** Q **breaks** the $U(1)_A$ flavor symmetry through **anomaly** \implies **large mass of η' meson**. Physical parameters of the η' related to θ -dependence of large- N $SU(N)$ gauge theories.
- **θ -dep. of lower dim. theories:** **$2d$ CP^{N-1} models**. Extensively studied both **analytically** (large- N limit) and on the **lattice** (cheaper to simulate) as test-beds for validation of numerical methods.

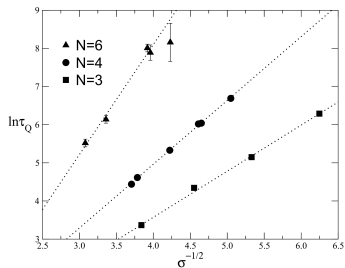
Topological properties and θ -dependence are intrinsically non-perturbative \implies the lattice is a natural tool to explore these topics.

Critical Slowing Down and topological freezing

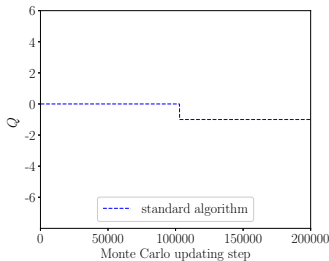
Approaching the continuum limit $a \rightarrow 0$, Monte Carlo Markov Chains experience a **Critical Slowing Down** (CSD) when local updating algorithms (e.g., heat-bath) are employed.

CSD = autocorrelation time $\tau(\mathcal{O})$, i.e., number of updating steps to generate two gauge configurations with uncorrelated values of \mathcal{O} , grows with $1/a$.

While for most observables $\tau(\mathcal{O}) \sim (1/a)^\alpha$ with α small, for topological observable it is observed to be much more severe.



(Fig. from Del Debbio et al., 2002)



Example for $N = 6$, $a \simeq 0.0842$ fm

Plenty of numerical evidence that $\tau(Q)$ diverges as $\sim \exp N$ at fixed a and vice versa

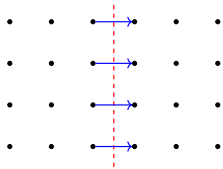
Parallel tempering on boundary conditions

Proposed for $2d$ CP^{N-1} models (Hasenbusch, 2017; Berni, CB et al., 2019), recently implemented for $4d$ $SU(N)$ pure-gauge theories (CB et al., 2021, 2022)

- consider a collection of N_r lattice replicas
- replicas differ for boundary conditions on **small** sub-region: *the defect*
- each replica is updated with standard methods
- after updates, propose swaps among configurations via Metropolis test
- other ingredients: hierarchic updates + translation of periodic replica

• • • • • • Links crossing the defect: $\beta \rightarrow \beta \cdot c(r)$.

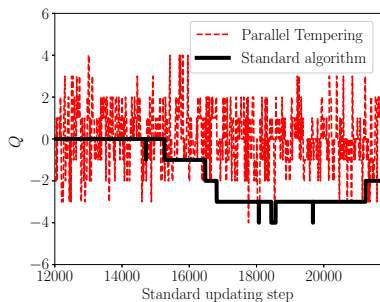
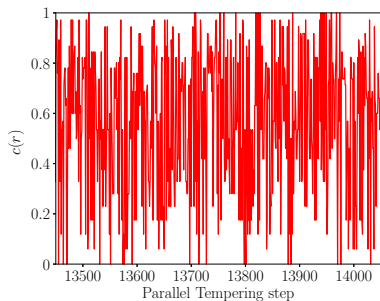
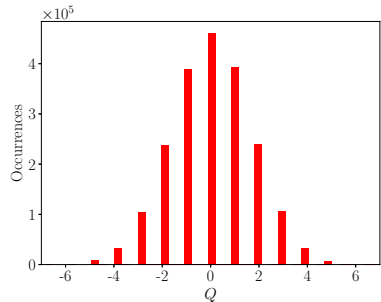
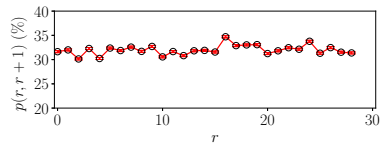
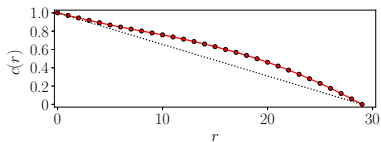
• • • • • •
• • • • • • **Periodic:** $c(0) = 1$. **Open:** $c(N_r - 1) = 0$. **Interpolating**
replicas: $0 < c(r) < 1$.



Decorrelation of Q improved thanks to open boundaries copy, where Q is decorrelated **faster**.

Observables computed on periodic replica \rightarrow easier to have finite-size effects under control.

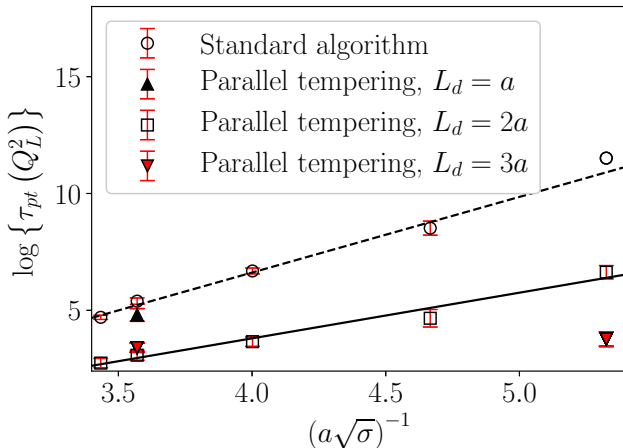
Setup and improvements - SU(6), $a \simeq 0.0938$ fm



Scaling towards the continuum

$\tau_{\text{pt}}(Q^2) \equiv N_r \tau(Q^2) \sim \exp(1/a)$ if defect size L_d/a is fixed as $a \rightarrow 0$, however with a **much smaller** slope compared to the standard algorithm.

If instead L_d is kept fixed in **physical units**, scaling is **tremendously improved**: τ_{pt} obtained for $L_d = 2a$ and $L_d = 3a$ for smaller lattice spacing are **compatible** within the errors.



THE θ -DEPENDENCE OF LARGE- N $SU(N)$
YANG-MILLS THEORIES

The θ -dependence of the Yang–Mills vacuum energy

The θ -dependence of the vacuum energy is defined in Euclidean time as

$$E_{\text{YM}}(\theta) = -\frac{1}{V} \log \int [d\bar{\psi}d\psi dA] e^{-S_{\text{YM}} + i\theta Q}, \quad E_{\text{YM}}(\theta) = \frac{1}{2} \chi \theta^2 \left(1 + \sum_{n=1}^{\infty} b_{2n} \theta^{2n} \right).$$

Taylor coefficients are related to **cumulants** of the $\theta = 0$ charge distribution:

$$\chi = \left. \frac{\langle Q^2 \rangle}{V} \right|_{\theta=0}, \quad b_2 = -\frac{1}{12} \left. \frac{\langle Q^4 \rangle - 3 \langle Q^2 \rangle^2}{\langle Q^2 \rangle} \right|_{\theta=0}, \quad b_{2n} \propto \left. \frac{\langle Q^{2n+2} \rangle_c}{\langle Q^2 \rangle} \right|_{\theta=0}.$$

At large N : **actual expansion parameter** θ/N and $E_{\text{YM}} \sim O(N^2)$:

$$\implies E_{\text{YM}}(\theta, N) \underset{N \rightarrow \infty}{\sim} N^2 f \left(\frac{\theta}{N} \right) + O \left(\frac{1}{N^2} \right).$$

$$\chi = \bar{\chi} + O(1/N^2)$$

Witten–Veneziano argument: $m_{\eta'}^2 = (4N_f/f_\pi^2) \bar{\chi} \implies \bar{\chi} \simeq (180 \text{ MeV})^4$

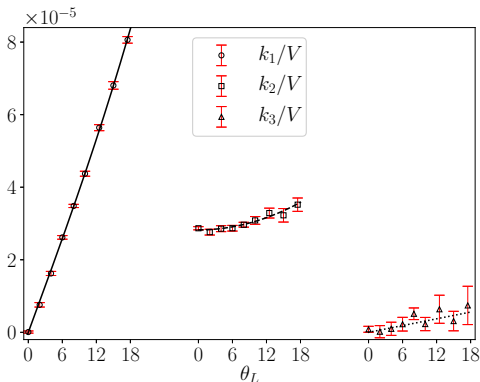
$$b_{2n} = \frac{\bar{b}_{2n}}{N^{2n}} \left\{ 1 + O \left(\frac{1}{N^2} \right) \right\}$$

Higher-order cumulants and imaginary- θ simulations

Signal-to-Noise Ratio (SNR) of b_{2n} (higher-order cumulants) decays with the volume
 \implies large statistics required to keep finite-size effects under control

Imaginary- θ simulations: θ -term acts as a source term for Q , enhancing SNR of higher-order cumulants $k_n \equiv \langle Q^n \rangle_c$

$$S \rightarrow S + \theta_I Q, \quad \theta_I \equiv i\theta \quad \implies \quad k_n \rightarrow k_n(\theta_I) = \langle Q^n \rangle_c(\theta_I) \propto \frac{d^n E_{\text{YM}}(\theta_I)}{d\theta_I^n}$$



Imaginary- θ fit: information on χ and b_{2n} encoded in θ_I -dependence of lower-order cumulants

\implies extract χ and b_{2n} from combined fit of θ_I -dependence of cumulants k_n :

$$\frac{k_1}{V}(\theta_I) = \frac{\langle Q \rangle}{V}(\theta_I) = \chi(\theta_I - 2b_2\theta_I^3 + \dots)$$

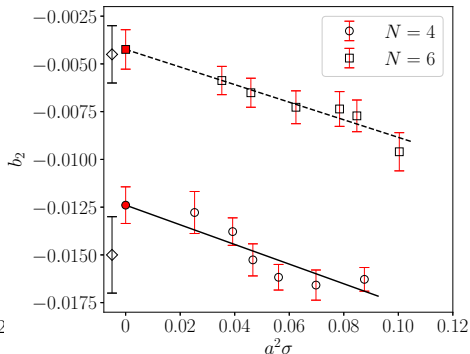
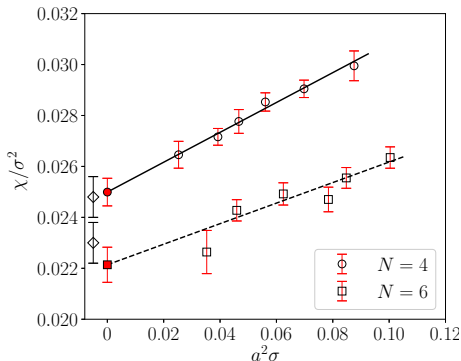
$$\frac{k_2}{V}(\theta_I) = \frac{\langle Q^2 \rangle_c}{V}(\theta_I) = \chi(1 - 6b_2\theta_I^2 + \dots)$$

$$\frac{k_3}{V}(\theta_I) = \frac{\langle Q^3 \rangle_c}{V}(\theta_I) = \chi(-12b_2\theta_I + \dots)$$

Continuum limit of χ and b_2 for $N = 4, 6$

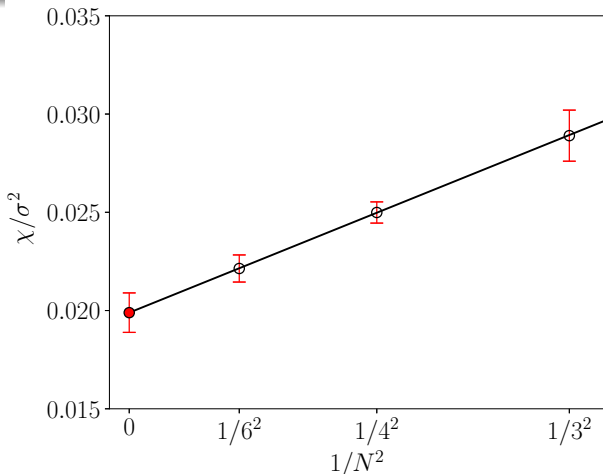
Parallel tempering + imaginary- θ method dramatically **improve** continuum limit of topological quantities at large N compared to standard methods.

Note that earlier result for $b_2(N = 6)$ was not a continuum extrapolation but just a confidence interval: first continuum extrapolation performed in **CB, Bonati, D'Elia, 2021 (arXiv:2012.14000)**.



Continuum limits: diamond points (Bonati et al., 2016), full points (CB, Bonati, D'Elia, 2021).

Large- N limit of χ in $SU(N)$ pure-gauge theories

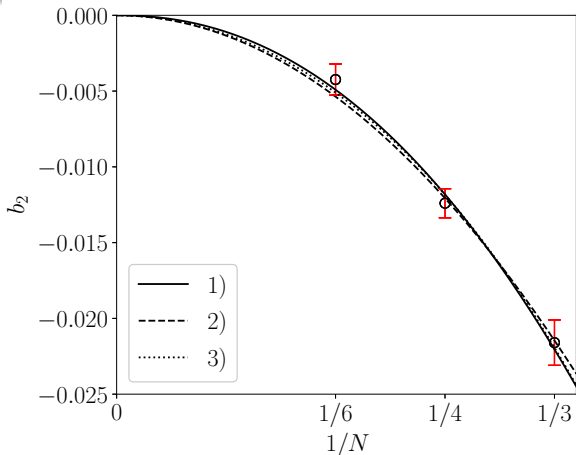


Witten–Veneziano: $\bar{\chi}^{1/4} \simeq 180 \text{ MeV} + O(1/N^2)$. Fit results:

$$\chi/\sigma^2 = 0.0199(10) + 0.08(2)(1/N^2)$$

$$\bar{\chi}/\sigma^2 = 0.0199(10) \implies \bar{\chi}^{1/4} = 173(8) \text{ MeV}$$

Large- N limit of b_2 in $SU(N)$ pure-gauge theories



Dilute Instanton Gas
Approximation (**DIGA**):

$$b_2 = -1/12 \simeq -0.0833$$

Dilute Gas of Fractional
 $1/N$ Instantons:

$$b_2 = (-1/12)/N^2$$

$$\implies \bar{b}_2 \simeq -0.0833$$

Large- N prediction: $b_2 = \bar{b}_2/N^2 + O(1/N^4)$. Fit results:

- | | | |
|--|---------------|-------------------------------|
| 1) $b_2 = \bar{b}_2/N^\gamma$ | \rightarrow | $\gamma = 2.17(26)$ |
| 2) $b_2 = \bar{b}_2/N^2$ | \rightarrow | $\bar{b}_2 = -0.19(1)$ |
| 3) $b_2 = \bar{b}_2/N^2 + \bar{b}_2^{(1)}/N^4$ | \rightarrow | $\bar{b}_2^{(1)} = -0.17(35)$ |

**GLUEBALL MASSES AND TOPOLOGY OF LARGE- N
 $SU(N)$ YANG–MILLS THEORIES**

Physical motivations

Glueball states are predicted on the basis of **QCD confinement** and are currently searched in collider experiments. Refinement of QCD theoretical predictions about **glueball masses** is thus of utmost importance in this respect.

Determining glueball masses from numerical lattice QCD simulations is a long-standing problem that has been widely investigated.

Main computational framework: **large- N $SU(N)$ pure-gauge theories**:

- large- N is “close” to $N = 3$, as corrections are suppressed as powers of $1/N$
- **no quarks** + $N = \infty \implies$ all glueballs are exactly **non-interacting** and with ∞ **lifetime**

Overall, this framework provides acceptable approximation of real-world QCD, and an interesting theoretical ground to provide useful predictions.

Although glueball masses are not topological quantities, **topological freezing** may have a non-negligible impact in their lattice determination.

Glueball masses and topology

Computing a glueball mass M on a finite volume and in a **fixed topological sector**, i.e., in the presence of **topological freezing**, leads to a bias (Brower et al., 2002; Aoki et al., 2007)

$$M_Q = M + \frac{1}{2} \frac{d^2 M}{d\theta^2} \Big|_{\theta=0} \frac{1}{V\chi} = M + O\left(\frac{1}{N^2 V}\right)$$

- $S_{\text{YM}}(\theta) = S_{\text{YM}}^{(\theta=0)} + i\theta Q$
- $E_{\text{YM}}(\theta, N) \underset{N \rightarrow \infty}{\sim} N^2 f\left(\frac{\theta}{N}\right) + O\left(\frac{1}{N^2}\right)$
- M_Q = Glueball mass in fixed topological sector Q
- M = Glueball mass averaged over all topological sectors
- $\chi \equiv \frac{\langle Q^2 \rangle}{V} \longrightarrow$ **Topological Susceptibility**

No satisfactory check of possible systematics related to fixed topology due to **topological freezing at large N** so far.

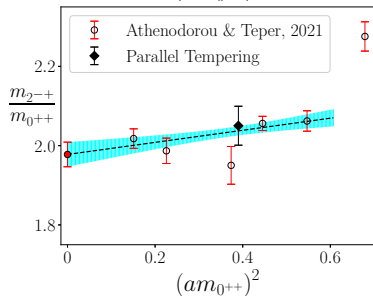
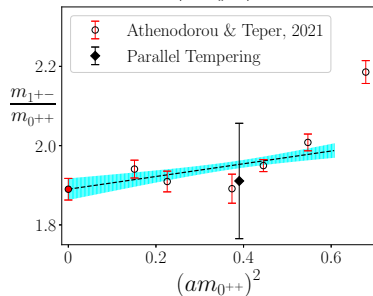
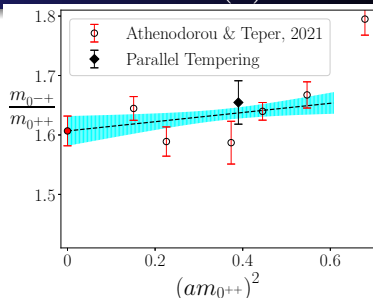
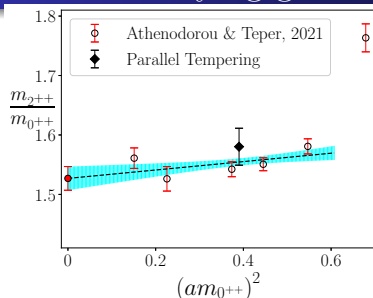
Recap of state-of-the-art methods for glueball masses

(Berg et al., 1983; Teper et al., 1987; Morningstar et al., 1999; Lucini et al., 2001, 2004, 2010; Hong et al., 2017, Bennett et al., 2020; Athenodorou et al., 2020, 2021; and many more...)

- Choose a proper variational basis $\mathcal{B} = \{\mathcal{O}_i(t)\}$ of operators with **compatible quantum numbers** with respect to the desired channel
- Operators $\mathcal{O}(t) = \sum_{\vec{x}} \mathcal{O}(\vec{x}, t)$: zero-momentum gauge-invariant operators built in terms of **traces of product of links along closed spatial paths**
- Compute the correlation matrix $C_{ij}(t) = \langle \mathcal{O}_i(t) \mathcal{O}_j(0) \rangle$ and solve the **Generalized Eigenvalue Problem** $C_{ij}(t)v_j = \lambda(t, t')C_{ij}(t')v_j$
- For the **ground state** in the selected channel, it is sufficient to consider eigenvector \bar{v}_i related to the **largest eigenvalue** $\bar{\lambda}(t, t')$
- The **best overlapping correlator** between the vacuum and the desired glueball state is $C_{\text{best}}(t) \equiv C_{ij}(t)\bar{v}_i\bar{v}_j \underset{t \rightarrow \infty}{\sim} \exp\{-amt\}$
- Extract the glueball state mass looking for a **plateau** in

$$am_{\text{eff}}(t) \equiv -\log\left(\frac{C_{\text{best}}(t+1)}{C_{\text{best}}(t)}\right)$$

Results for low-lying glueball masses - SU(6)



Perfect agreement within precision with results of (Athenodorou & Teper, 2021) obtained with standard algorithms, **also in channels with same quantum numbers of Q**

Conclusions

Relevant take-home messages

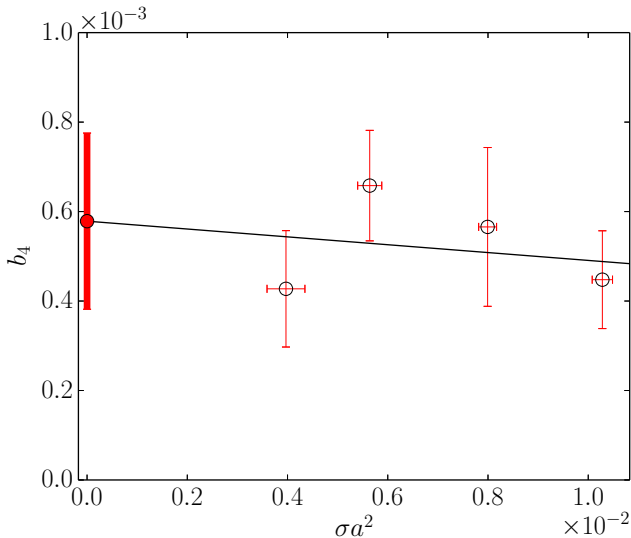
- **Parallel tempering on boundary conditions** is an affordable and viable solution to fight topological freezing, especially at large N
- Combining **Parallel tempering** and **imaginary- θ** it is possible to accurately study θ -dependence at large- N beyond the leading order
- Our **percent results** for $b_2(N)$ perfectly **fit leading-order large- N predictions**; no evidence for dilute instanton gas (nor integer or fractional)
- First computation of **glueball masses at large N without topological freezing** does not detect any effect from fixed topology at our percent level

Some future outlooks

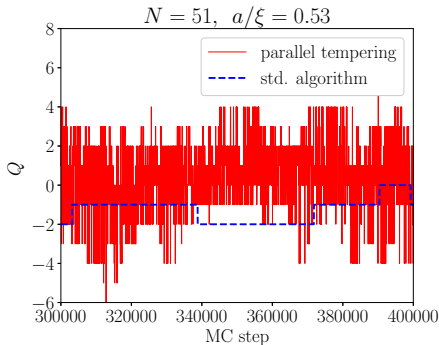
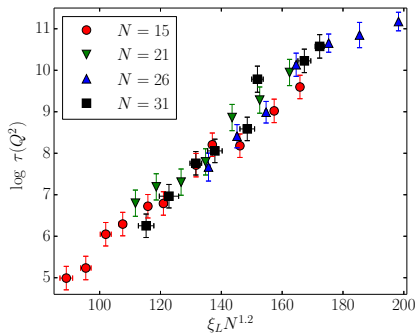
- Bias on computation of M_{glueball} due to fixed topology related to $\left. \frac{d^2 M_{\text{glueball}}}{d\theta^2} \right|_{\theta=0}$. Direct computation of this quantity? Only reported result in the literature: $N = 3$ for 0^{++} state. Possible improvements from imaginary- θ method + parallel tempering.
- Recently, tensions on lattice determinations of Λ_{QCD} in SU(3) pure-gauge theory have been pointed out: what's the impact of fixed topology?

BACK-UP SLIDES

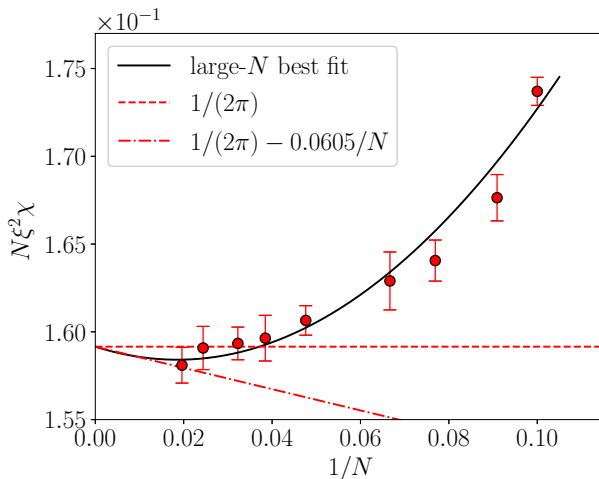
Continuum-extrapolated results for b_4 for SU(2) Yang–Mills theory



Topological freezing at large N - $2d$ CP^{N-1} models



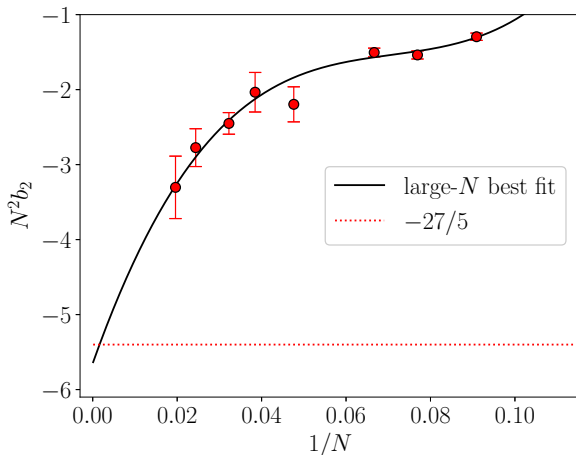
Large- N behavior of $N\xi^2\chi$ in $2d$ CP^{N-1} models



Fit results up to $O(1/N^2)$ terms, $N \in [11, 51]$

$$N\xi^2\chi = 1/(2\pi) - 0.08(2)(1/N) + 2.2(3)(1/N^2)$$

Large- N behavior of $N^2 b_2$ in $2d$ CP^{N-1} models

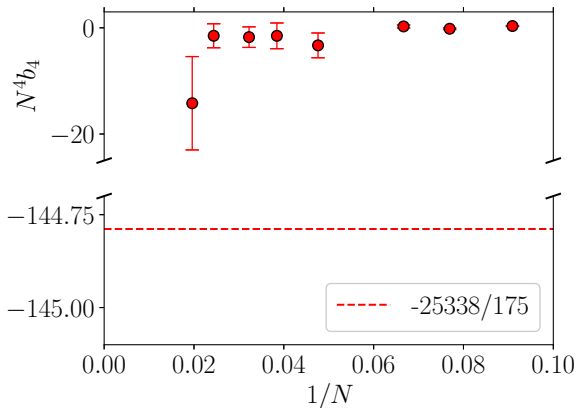


Fit results up to $O(1/N^3)$ terms, $N \in [11, 51]$

$$\bar{b}_2^{(\text{theo})} \equiv \lim_{N \rightarrow \infty} N^2 b_2 = -27/5 = -5.4,$$

$$(N^2 b_2)_{\text{fit}} = -5.7(1.1) + 160(60)(1/N) + \dots$$

Large- N behavior of $N^4 b_4$ in $2d$ CP^{N-1} models



In our large- N simulations b_4 was always compatible with zero, except for $N = 9$ and 11.

We find $|\bar{b}_4| \sim |N^4 b_4| \lesssim 20$, but large- N analytic computation yields $\bar{b}_4 = -25338/175 \simeq -144.788571 \dots$