

# Spin Polarization from Quantum Kinetic Theory

David Wagner  
in collaboration with  
Nora Weickgenannt, Enrico Speranza, and Dirk Rischke  
based mainly on

NW, ES, X.-L. Sheng, Q. Wang, DHR, Phys. Rev. D 104 1, 016022 (2021)

NW, DW, ES, DHR, 2203.04766 (2022)

NW, DW, ES, Phys. Rev. D 105 11, 116026 (2022)

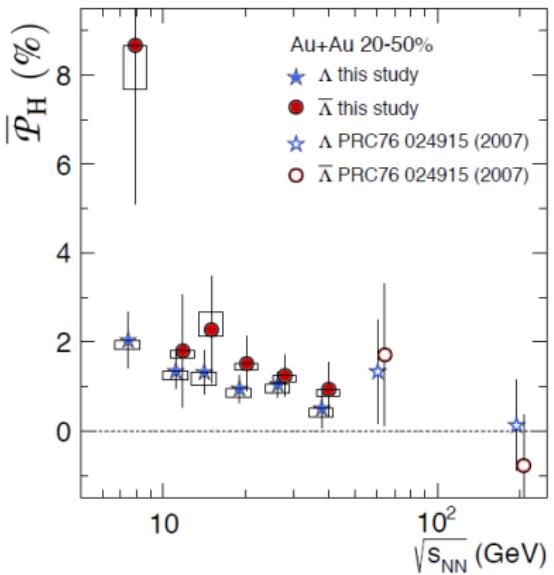
DW, NW, ES, 2207.01111 (2022)

DW, NW, DHR, 2210.06187 (2022)

Chirality, Vorticity & Magnetic Field in HIC | 02.12.2022



- ▶ Global polarization: polarization of  $\Lambda$ -hyperons along angular-momentum direction

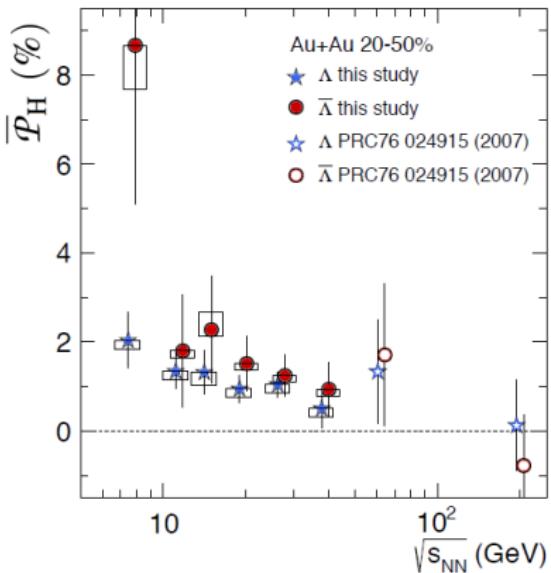


L. Adamczyk et al. (STAR), Nature 548 (2017) 62

- ▶ Global polarization: polarization of  $\Lambda$ -hyperons along angular-momentum direction

- Can be well explained by considering **local equilibrium** on freeze-out hypersurface

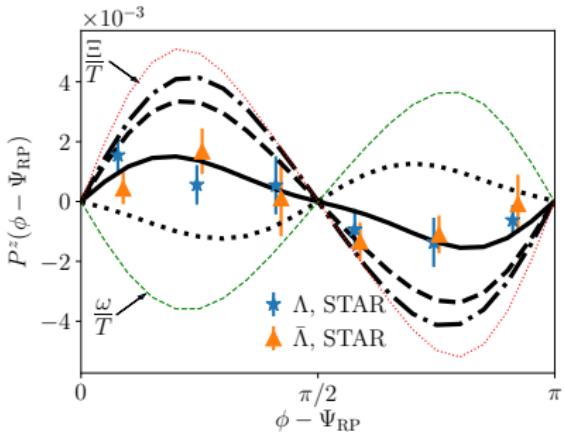
$$S_{\varpi}^{\mu} = -\epsilon^{\mu\nu\alpha\beta} k_{\nu} \frac{\int d\Sigma_{\lambda} k^{\lambda} f_0 (1-f_0) \varpi_{\alpha\beta}}{8m \int d\Sigma_{\lambda} k^{\lambda} f_0}$$



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$$\varpi_{\mu\nu} := -\frac{1}{2}(\partial_{\mu}\beta_{\nu} - \partial_{\nu}\beta_{\mu}), \quad \beta^{\mu} := u^{\mu}/T, \quad f_0 = [\exp(u^{\mu}k_{\mu}/T) + 1]^{-1}$$

- ▶ Local polarization:  
Angle-dependent polarization of  
 $\Lambda$ -hyperons along  
beam-direction

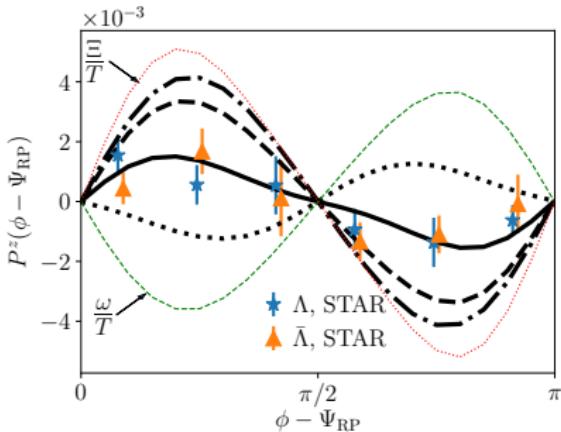


F. Becattini, M. Buzzegoli, G. Inghirami, I. Karpenko, A. Palermo, PRL 127 (2021) 272302

- ▶ Local polarization:  
Angle-dependent polarization of  
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- Could only be explained recently by incorporating shear effects (neglecting temperature gradients)

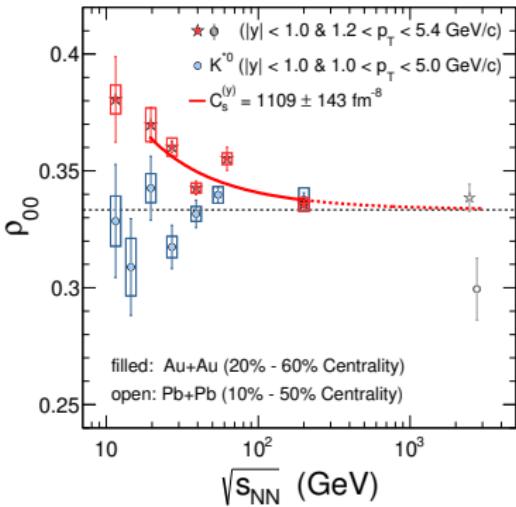
$$S_{\xi}^{\mu} = -\epsilon^{\mu\nu\alpha\beta} k_{\nu} \frac{\int d\Sigma_{\lambda} k^{\lambda} f_0 (1-f_0) \hat{t}_{\alpha} \frac{k^{\gamma}}{k^0} \Xi_{\gamma\beta}}{4mT \int d\Sigma_{\lambda} k^{\lambda} f_0}$$



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$$\omega_{\mu\nu} := \frac{1}{2}(\partial_{\mu} u_{\nu} - \partial_{\nu} u_{\mu}), \Xi_{\mu\nu} := \frac{1}{2}(\partial_{\mu} u_{\nu} + \partial_{\nu} u_{\mu}), \Delta^{\mu\nu} := g^{\mu\nu} - u^{\mu} u^{\nu}$$

- ▶ Spin-1 particles feature tensor polarization ( $\hat{=}$  alignment)



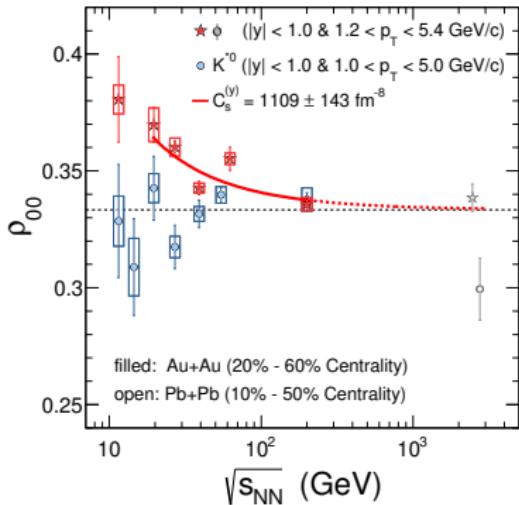
STAR collaboration, arXiv:2204.02302 (2022)

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- Larger than expected
- Some theoretical developments, but no definitive answer yet

X.-L. Xia, H. Li, X.-G. Huang, H.-Z. Huang,  
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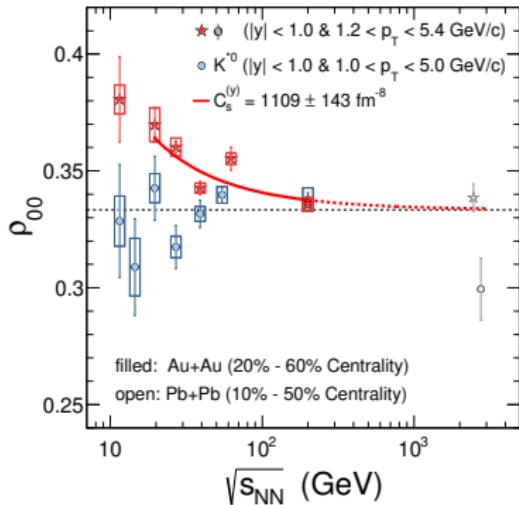
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- Can spin-1 hydrodynamics help explain this?



STAR collaboration, arXiv:2204.02302 (2022)

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  - Consider a system of uncharged fields
    - Should conserve **energy-momentum** and **total angular momentum**

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## Conservation laws

$$\partial_\mu \textcolor{teal}{T}^{\mu\nu} = 0 \quad (1a)$$

$$\partial_\lambda \textcolor{red}{J}^{\lambda\mu\nu} =: \partial_\lambda \textcolor{brown}{S}^{\lambda\mu\nu} + \textcolor{teal}{T}^{[\mu\nu]} = 0 \quad (1b)$$

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  - Use **kinetic theory** as effective microscopic model

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- ▶ 10 equations for 16+24 quantities
- ▶ Additional information about dissipative quantities has to be provided
  - Use **kinetic theory with spin** as effective microscopic model
- ▶ Rest of the presentation:
  - Construct such a kinetic theory
  - Perform hydrodynamic limit
  - Obtain expressions for observables

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## Wigner function (Spin 1)

$$W^{\mu\nu}(x, k) := -\frac{2}{(2\pi\hbar)^4\hbar} \int d^4v e^{-ik\cdot y/\hbar} \left\langle :V^{\dagger\mu}(x + y/2)V^\nu(x - y/2):\right\rangle$$

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- ▶ Equations of motion follow from **field** equations
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- ▶ Independent components: scalar  $f_K$ , axial vector  $G^\mu$  and traceless symmetric tensor  $F_K^{\mu\nu}$

---

$$f_K := (1/3)K_{\mu\nu}W^{\mu\nu}, G^\mu := -(i/2m)\epsilon^{\mu\nu\alpha\beta}k_\nu W_{\alpha\beta}, F_K^{\mu\nu} := K_{\alpha\beta}^{\mu\nu}W^{\alpha\beta}$$
$$K^{\mu\nu} := g^{\mu\nu} - k^\mu k^\nu/m^2, K_{\alpha\beta}^{\mu\nu} := (K_\alpha^\mu K_\beta^\nu + K_\beta^\mu K_\alpha^\nu)/2 - 1/3K^{\mu\nu}K_{\alpha\beta}$$

## Boltzmann equations

- ▶ Not one, but nine equations in  $(\mathbf{x}, \mathbf{k})$ -phase space

$$\mathbf{k} \cdot \partial f_K(\mathbf{x}, \mathbf{k}) = \mathcal{C}_K , \quad \mathbf{k} \cdot \partial G^\mu(\mathbf{x}, \mathbf{k}) = \mathcal{C}_G^\mu , \quad \mathbf{k} \cdot \partial F_K^{\mu\nu}(\mathbf{x}, \mathbf{k}) = \mathcal{C}_K^{\mu\nu}$$

## Boltzmann equations

- ▶ Not one, but nine equations in  $(\textcolor{red}{x}, \textcolor{blue}{k})$ -phase space

$$\textcolor{blue}{k} \cdot \partial f_K(\textcolor{red}{x}, \textcolor{blue}{k}) = \mathcal{C}_K , \quad \textcolor{blue}{k} \cdot \partial G^\mu(\textcolor{red}{x}, \textcolor{blue}{k}) = \mathcal{C}_G^\mu , \quad \textcolor{blue}{k} \cdot \partial F_K^{\mu\nu}(\textcolor{red}{x}, \textcolor{blue}{k}) = \mathcal{C}_K^{\mu\nu}$$

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- ▶ Measure  $dS := \frac{3m}{2\sigma\pi} d^4\textcolor{green}{s} \delta[\textcolor{green}{s}^2 + \sigma^2] \delta(\textcolor{blue}{k} \cdot \textcolor{green}{s})$

## Boltzmann equation in extended phase space

$$\mathfrak{f}(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) := f_K - \textcolor{green}{s}_\mu G^\mu + \frac{5}{4} \textcolor{green}{s}_\mu \textcolor{green}{s}_\nu F_K^{\mu\nu} \quad (2)$$

- ▶ Only on-shell parts  $\mathfrak{f}(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) = \delta(\textcolor{blue}{k}^2 - m^2) f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s})$  contribute

$$\textcolor{blue}{k} \cdot \partial f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) = \mathfrak{C}[f] \quad (3)$$

---


$$\mathfrak{C} := \mathcal{C}_F - \textcolor{green}{s}_\mu \mathcal{C}_G^\mu + \frac{5}{4} \textcolor{green}{s}_\mu \textcolor{green}{s}_\nu \mathcal{C}_K^{\mu\nu}$$

# Collisions and equilibrium

## Collision kernel

$$\begin{aligned} \mathfrak{C}[f] = & \frac{1}{2} \int d\Gamma_1 d\Gamma_2 d\Gamma' d\bar{S}(k) \mathcal{W} [f(\textcolor{red}{x} + \Delta_1, \textcolor{teal}{k}_1, \textcolor{violet}{s}_1) f(\textcolor{red}{x} + \Delta_2, k_2, \textcolor{violet}{s}_2) \\ & - f(\textcolor{red}{x} + \Delta, \textcolor{teal}{k}, \textcolor{violet}{s}) f(\textcolor{red}{x} + \Delta', \textcolor{teal}{k}', \textcolor{violet}{s}')] \end{aligned} \quad (4)$$

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## Local-equilibrium distribution function

$$f_{\text{eq}}(x, k, s) = \exp \left( -\beta_0 E_{\mathbf{k}} + \frac{\hbar}{2} \Omega_{\mu\nu} \Sigma_{\mathfrak{s}}^{\mu\nu} \right) \quad (5)$$

$$d\Gamma := 2d^4k \delta(k^2 - m^2) dS(k), \quad \Sigma_{\mathfrak{s}}^{\mu\nu} := -\frac{1}{m} \epsilon^{\mu\nu\alpha\beta} k_\alpha \mathfrak{s}_\beta, \quad E_{\mathbf{k}} := u^\mu k_\mu$$

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- ▶ Lagrange multipliers  $\beta_0$ ,  $u^\mu$  and  $\Omega_{\mu\nu}$  determine ideal spin hydrodynamics

---

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## Irreducible moments

$$\rho_r^{\mu_1 \dots \mu_\ell}(x) := \int d\Gamma E_{\mathbf{k}}^r k^{\langle \mu_1} \dots k^{\mu_\ell \rangle} \delta f(x, k, \mathfrak{s}) \quad (6a)$$

$$k^{\langle \mu_1 \dots k^{\mu_\ell \rangle}} := \Delta_{\nu_1 \dots \nu_\ell}^{\mu_1 \dots \mu_\ell} k^{\nu_1} \dots k^{\nu_\ell}$$

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$$\psi_r^{\mu\nu, \mu_1 \dots \mu_\ell}(x) := \int d\Gamma K_{\alpha\beta}^{\mu\nu} \mathfrak{s}^\alpha \mathfrak{s}^\beta E_{\mathbf{k}}^r k^{\langle \mu_1} \dots k^{\mu_\ell \rangle} \delta f(x, k, \mathfrak{s}) \quad (6c)$$

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- ▶ Equations of motion can be derived from Boltzmann equation
- ▶ Knowing the evolution of all moments is equivalent to solving the Boltzmann equation

---


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## Vector Polarization (Pauli-Lubanski Pseudovector)

$$S^\mu(\mathbf{k}) := \text{Tr} \left[ \hat{S}^\mu \hat{\rho}(\mathbf{k}) \right] = \frac{1}{N(\mathbf{k})} \int d\Sigma_\lambda k^\lambda \int dS(\mathbf{k}) \mathfrak{s}^\mu f(\mathbf{x}, \mathbf{k}, \mathfrak{s}) \quad (7)$$

$$N(\mathbf{k}) := \int d\Sigma_\gamma k^\gamma \int dS(\mathbf{k}) f(\mathbf{x}, \mathbf{k}, \mathfrak{s}), \quad \hat{S}^\mu := -(1/2m) \epsilon^{\mu\nu\alpha\beta} \hat{J}_{\nu\alpha} \hat{P}_\beta$$

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$$\rho_{00}(\mathbf{k}) = \frac{1}{3} - \sqrt{\frac{2}{3}} \epsilon_\mu^{(0)}(\mathbf{k}) \epsilon_\nu^{(0)}(\mathbf{k}) \Theta^{\mu\nu}(\mathbf{k}) \quad (8a)$$

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$$\begin{aligned} \Theta^{\mu\nu}(\mathbf{k}) &:= \frac{1}{2} \sqrt{\frac{3}{2}} \text{Tr} \left[ \left( \hat{S}^{(\mu} \hat{S}^{\nu)} + \frac{4}{3} K^{\mu\nu} \right) \hat{\rho}(\mathbf{k}) \right] \\ &= \frac{1}{2} \sqrt{\frac{3}{2}} \frac{1}{N(\mathbf{k})} \int d\Sigma_\lambda k^\lambda \int dS(\mathbf{k}) K_{\alpha\beta}^{\mu\nu} \mathfrak{s}^\alpha \mathfrak{s}^\beta f(\mathbf{x}, \mathbf{k}, \mathfrak{s}) \end{aligned} \quad (8b)$$

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- ▶ Which moments are contained in **conserved quantities** and **polarization observables**?

### Needed moments

$$\Pi := -\frac{m^2}{3}\rho_0 , \quad \pi^{\mu\nu} := \rho_0^{\mu\nu} \quad (T^{\mu\nu}) \quad (9a)$$

$$p^\mu := \tau_0^{\langle\mu\rangle} , \quad z^{\mu\nu} := \tau_1^{(\langle\mu\rangle,\langle\nu\rangle)} , \quad q^{\lambda\mu\nu} := \tau_0^{\langle\lambda\rangle,\mu\nu} \quad (J^{\lambda\mu\nu}) \quad (9b)$$

$$\psi_1^{\mu\nu} , \quad \psi_0^{\mu\nu,\lambda} \quad (\Theta^{\mu\nu}) \quad (9c)$$

## Dissipative Hydro: Evolution equations

$$\tau_{\Pi} \dot{\Pi} + \Pi = -\zeta \theta + \text{h.o.t.} \quad (10a)$$

$$\tau_n \dot{n}^{\langle\mu\rangle} + n^\mu = \kappa \nabla^\mu \alpha_0 + \text{h.o.t.} \quad (10b)$$

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$$\tau_p \dot{p}^{\langle\mu\rangle} + p^{\langle\mu\rangle} = e^{(0)} (\tilde{\Omega}^{\mu\nu} - \tilde{\omega}^{\mu\nu}) u_\nu + \text{h.o.t.} \quad (10d)$$

$$\tau_z \dot{z}^{\langle\mu\rangle\langle\nu\rangle} + z^{\langle\mu\rangle\langle\nu\rangle} = \text{h.o.t.} \quad (10e)$$

$$\tau_q \dot{q}^{\langle\lambda\rangle\langle\mu\nu\rangle} + q^{\langle\lambda\rangle\langle\mu\nu\rangle} = \mathfrak{d}^{(2)} \beta_0 \sigma_\alpha^{\langle\mu} \epsilon^{\nu\rangle\lambda\alpha\beta} u_\beta + \text{h.o.t.} \quad (10f)$$

$$\tau_{\psi_1} \dot{\psi}_1^{\langle\mu\nu\rangle} + \psi_1^{\langle\mu\nu\rangle} = \xi \beta_0 \pi^{\mu\nu} + \text{h.o.t.} \quad (10g)$$

$$\tau_{\psi_0} \dot{\psi}_0^{\langle\mu\nu\rangle,\lambda} + \psi_0^{\langle\mu\nu\rangle,\lambda} = \text{h.o.t.} \quad (10h)$$

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► Evaluate polarization and alignment in the **Navier-Stokes limit**

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## Results II: Alignment

- Moments of spin-rank 2:

$$\psi_1^{\langle\mu\nu\rangle} \simeq \xi \beta_0 \pi^{\mu\nu}, \quad \psi_0^{\langle\mu\nu\rangle,\lambda} \simeq 0 \quad (11)$$

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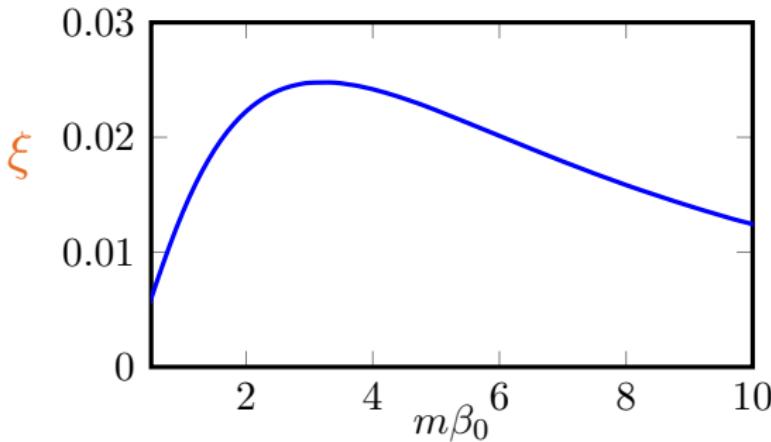
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# Results II: Alignment

## Alignment: Explicit expression

$$\begin{aligned}
 \rho_{00}(k) &= \frac{1}{3} \\
 &- \frac{4}{15} \left[ \int d\Sigma_\lambda k^\lambda f_{0\mathbf{k}} \left( 1 - 3\mathcal{H}_{\mathbf{k}0}^{(0,0)} \Pi/m^2 + \mathcal{H}_{\mathbf{k}0}^{(0,2)} \pi^{\mu\nu} k_\mu k_\nu \right) \right]^{-1} \\
 &\quad \times \int d\Sigma_\lambda \left\{ k^\lambda \xi \beta_0 f_{0\mathbf{k}} \epsilon_\mu^{(0)} \epsilon_\nu^{(0)} \right. \\
 &\quad \left. \times \left[ \mathcal{H}_{\mathbf{k}1}^{(2,0)} \pi^{\mu\nu} - \mathcal{H}_{\mathbf{k}0}^{(2,1)} \frac{1}{3} \left( m^2 \mathcal{F}_{11}^{(2,0)} - 1 \right) u^{(\mu} \pi^{\nu)\alpha} k_\alpha \right] \right\} (12)
 \end{aligned}$$

---


$$f_{0\mathbf{k}} := \exp(-\beta_0 E_{\mathbf{k}})$$

- ▶ Polarization is determined by the Pauli-Lubanski (pseudo)vector

# Results III: Polarization

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## Pauli-Lubanski pseudovector (spin 1/2)

$$S^\mu(k) = \frac{1}{2\mathcal{N}} \int d\Sigma_\lambda k^\lambda dS(k) \mathfrak{s}^\mu f(x, k, \mathfrak{s}) \quad (13a)$$

$$\begin{aligned} \simeq & \int d\Sigma_\lambda k^\lambda \frac{f_0}{2\mathcal{N}} \left\{ -\frac{\hbar}{2m} \tilde{\Omega}^{\mu\nu} k_\nu + \left( \delta_\nu^\mu - \frac{u^\mu k_{\langle\nu\rangle}}{E_k} \right) \right. \\ & \times \left[ \mathfrak{e} \chi_{\mathfrak{p}} \left( \tilde{\Omega}^{\nu\rho} - \tilde{\omega}^{\nu\rho} \right) u_\rho - \chi_{\mathfrak{q}} \mathfrak{d} \beta_0 \sigma_\rho^{\langle\alpha} \epsilon^{\beta\rangle\nu\sigma\rho} u_\sigma k_{\langle\alpha} k_{\beta\rangle} \right] \end{aligned} \quad (13b)$$

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$$\mathcal{N} := \int d\Sigma_\lambda k^\lambda dS(k) f(x, k, \mathfrak{s})$$

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- Contains **novel contributions** from fluid shear

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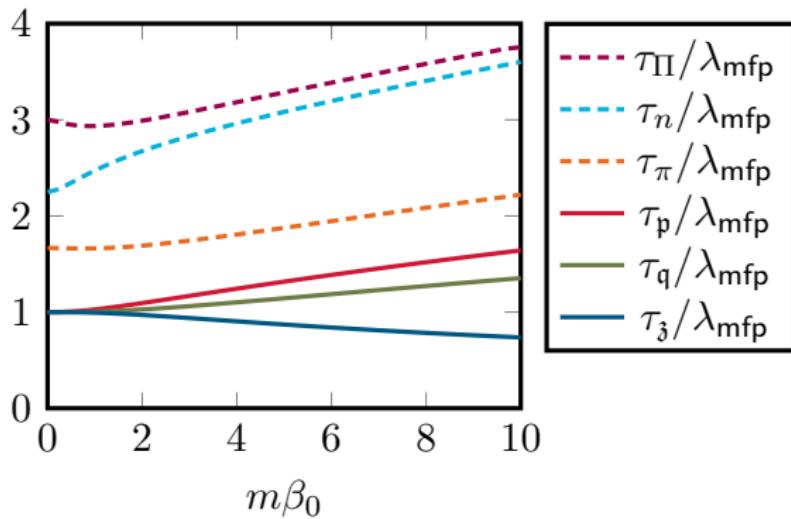
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    - Truncate such that the evolution of  $S^{\lambda\mu\nu}$  can be described
- ▶ Connected polarization and alignment to fluid quantities in the Navier-Stokes limit

- ▶ Evaluate expressions for polarization and alignment with hydrodynamic simulations
- ▶ Implement full spin hydrodynamics



Team Polarization at the Florence Marathon (27.11.2022)

## Appendix



- ▶ Simplest interaction: constant cross section
- ▶ Spin-related relaxation times shorter than standard dissipative time scales, but not much