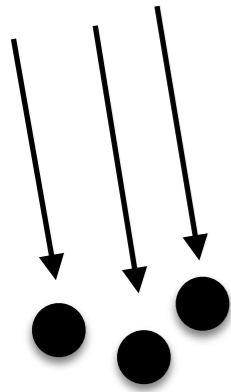


Dark matter direct detection from single phonons to nuclear recoils

Tongyan Lin
UCSD

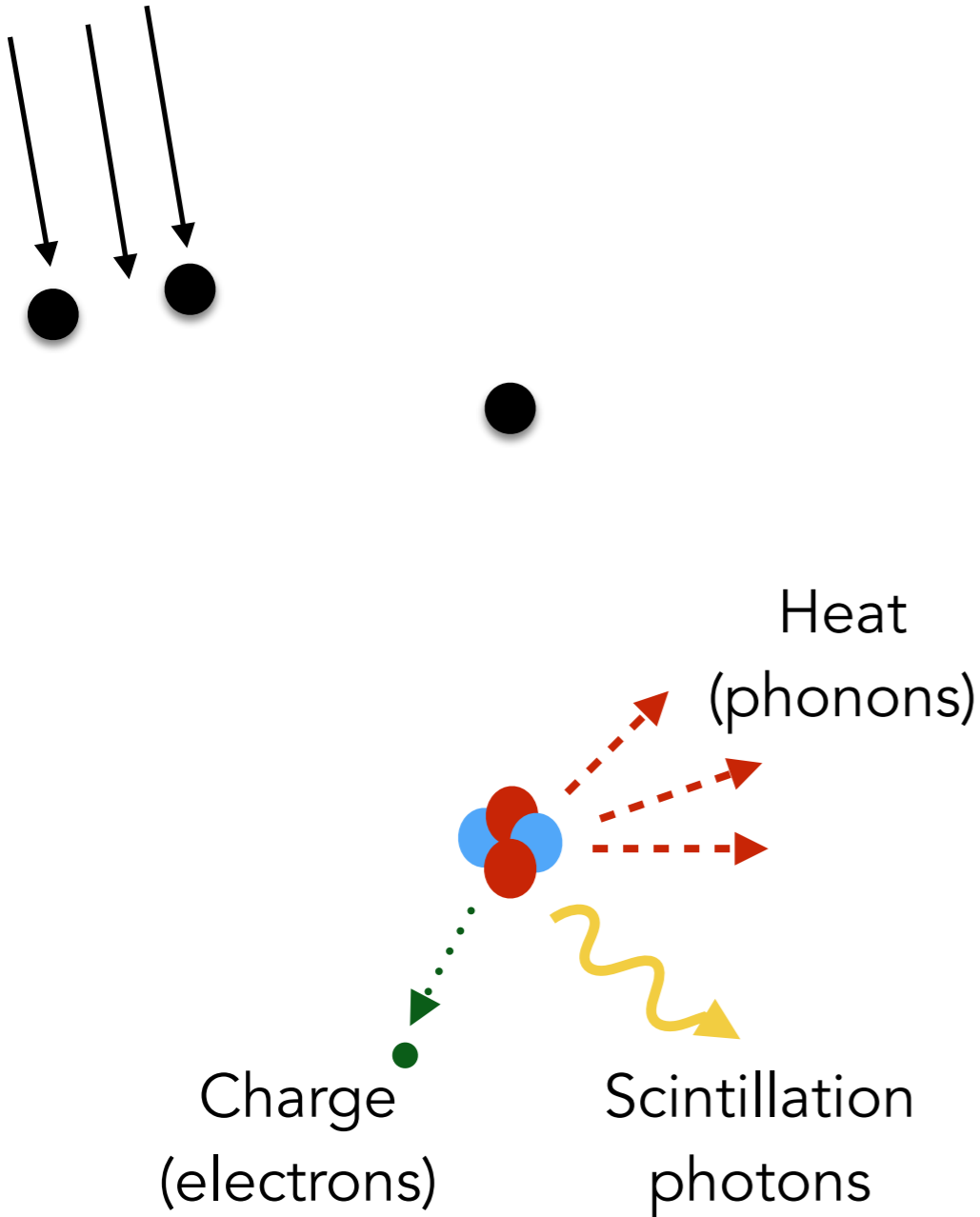
February 9, 2023
Brookhaven Seminar

DM "wind"

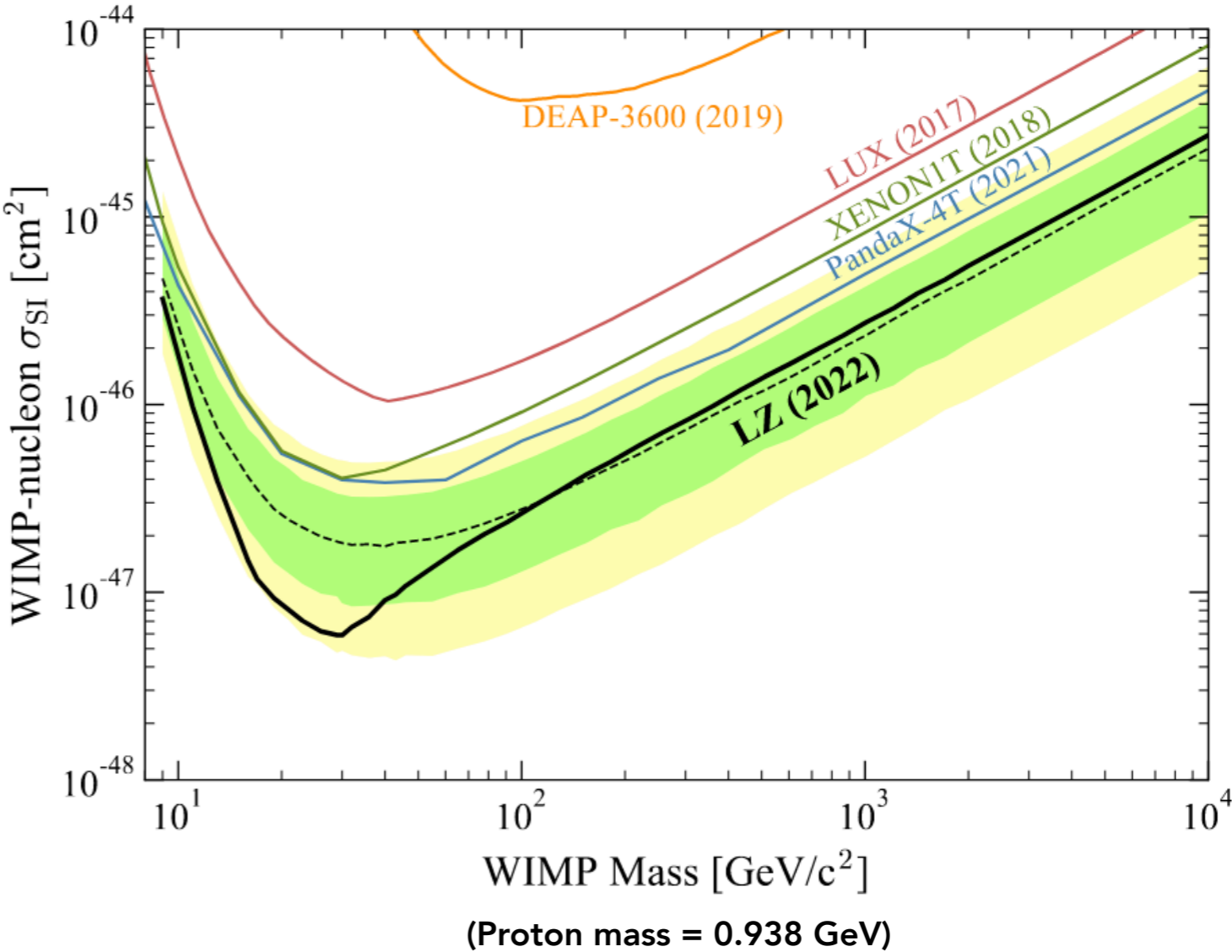


Direct detection of nuclear recoils

DM "wind"



Strong constraints on interaction cross section when DM mass comparable to nucleus mass



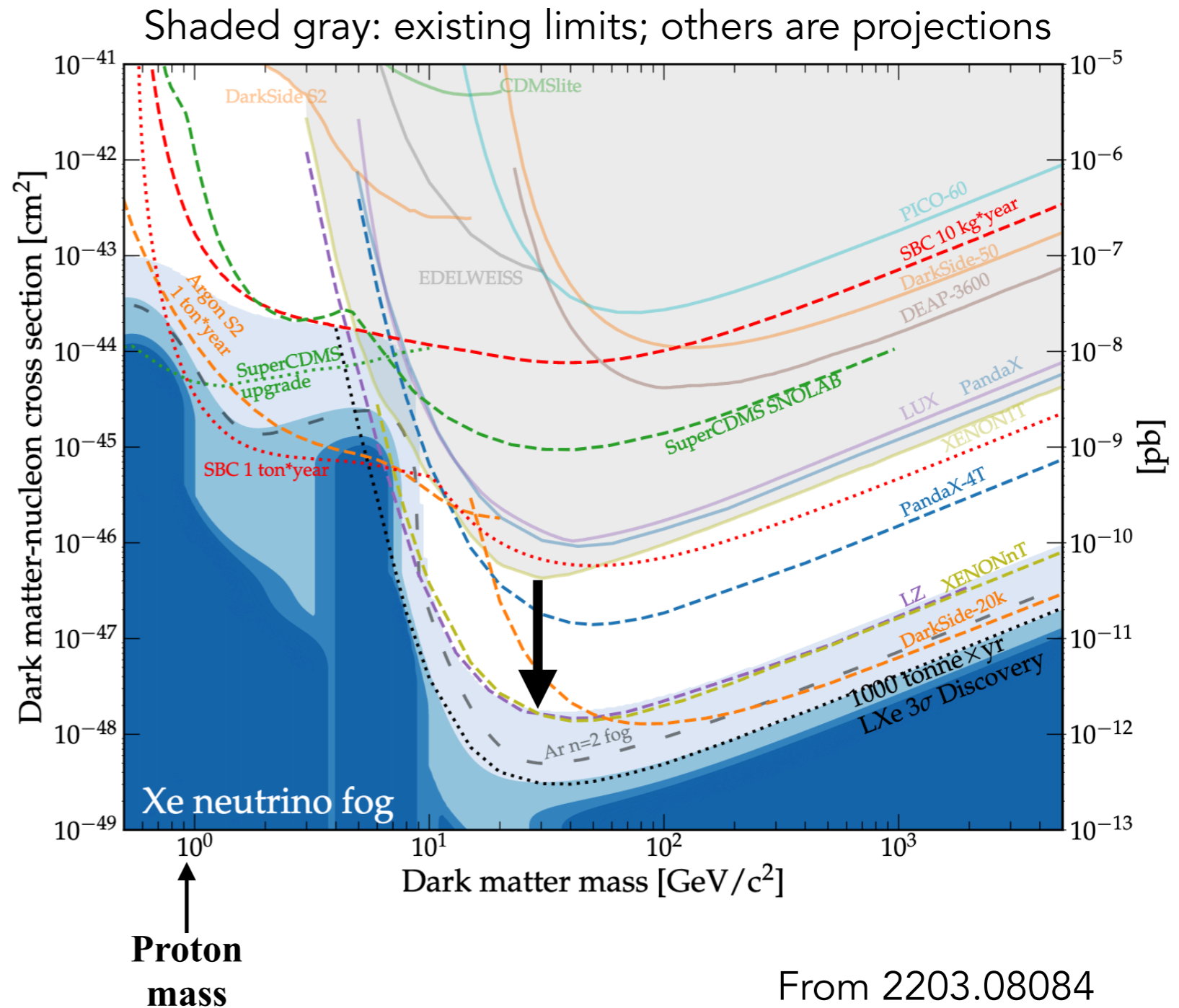
Direct detection of nuclear recoils

Landscape of direct detection above 1 GeV

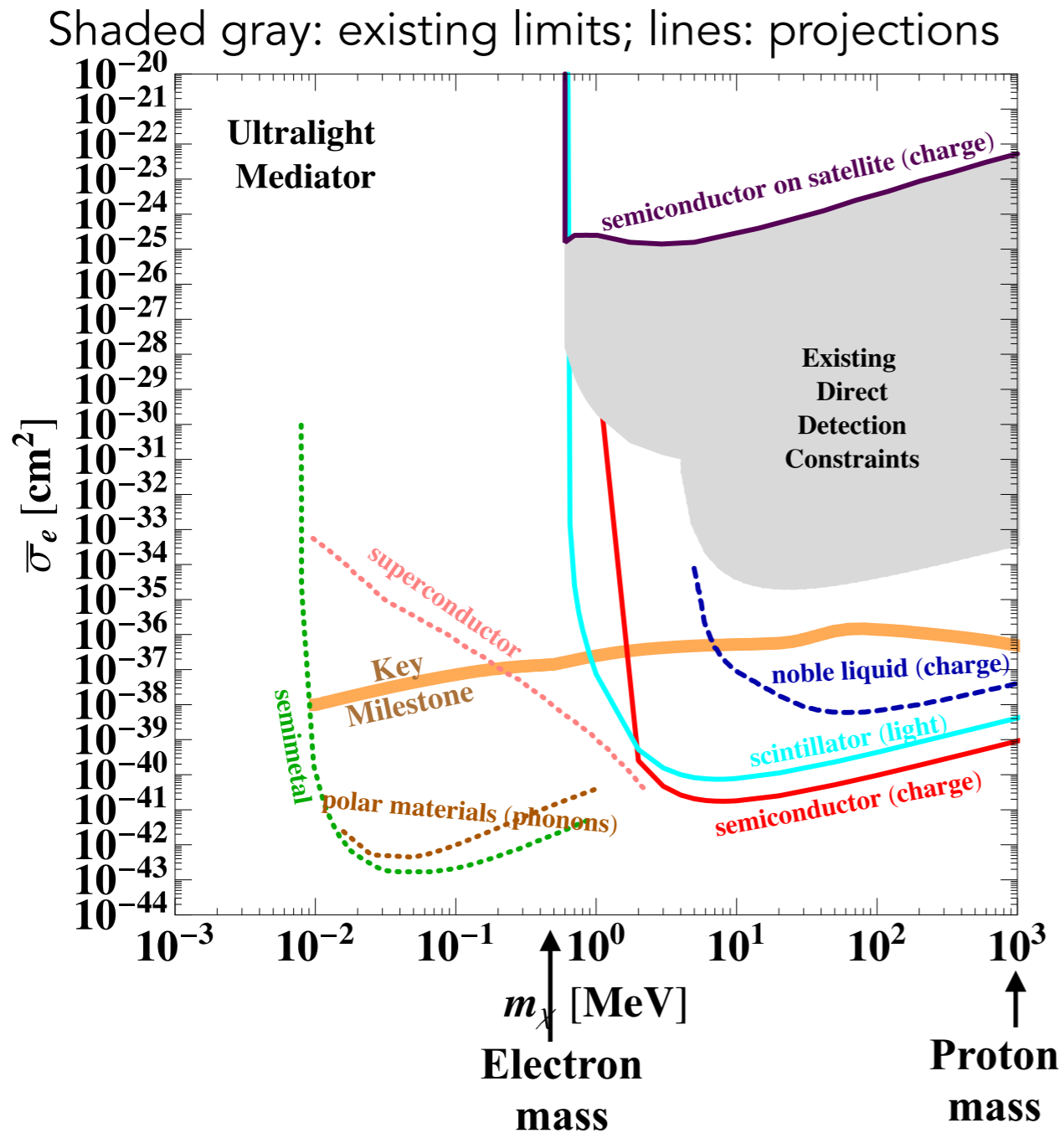
XENON-nT



Many decades of progress searching for nuclear recoils



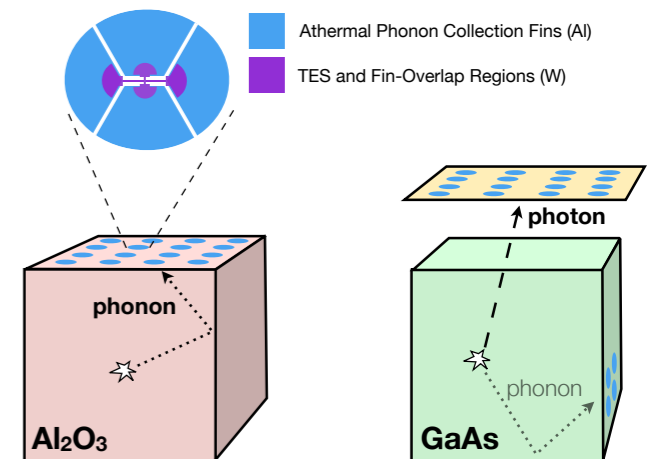
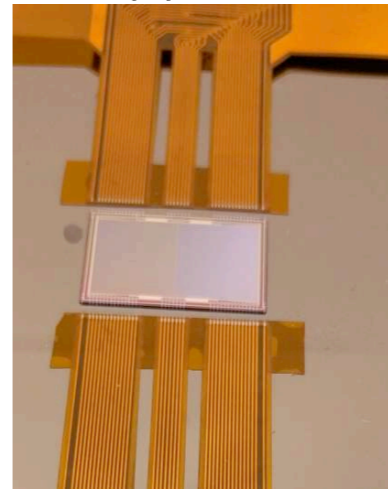
Landscape of direct detection below 1 GeV



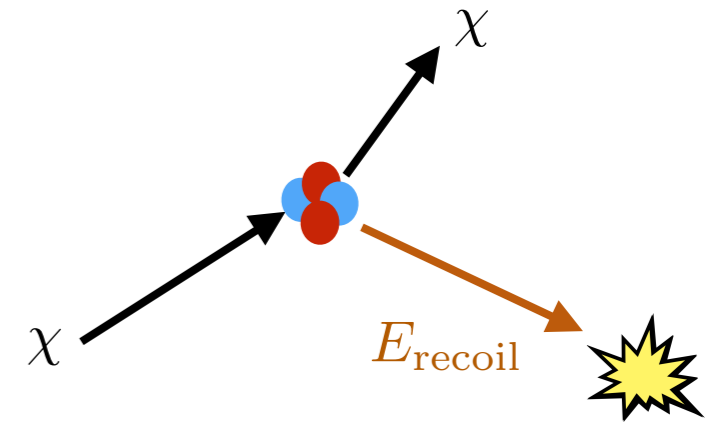
Rapid development in recent decade

- New particle candidates
- New experiments
- **New theory for direct detection**

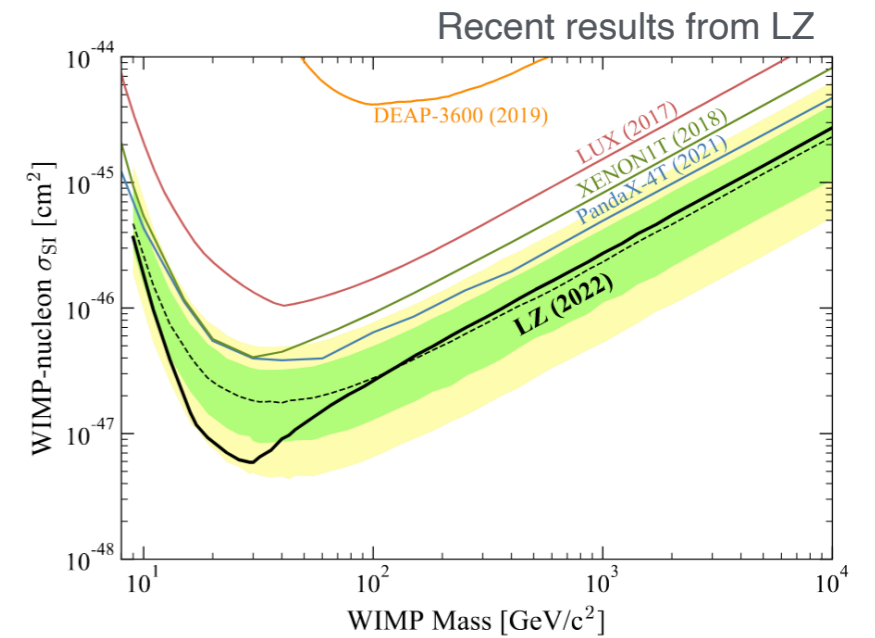
Skipper CCD



Dark matter mass

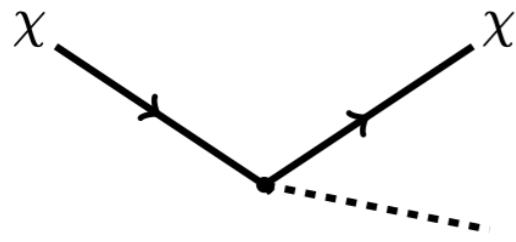


Nuclear recoils



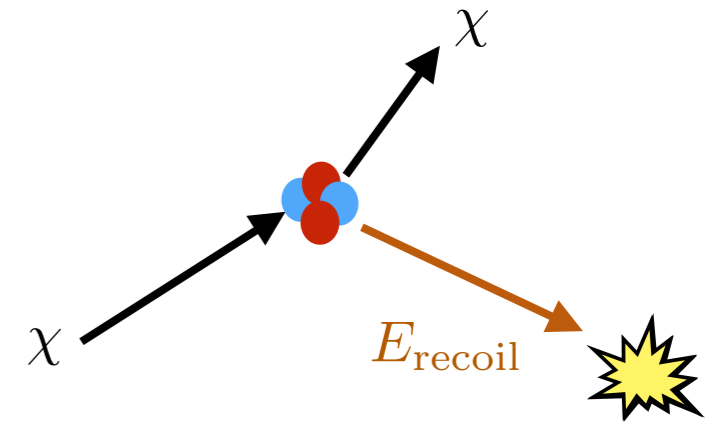
O(keV) thresholds

Dark matter mass

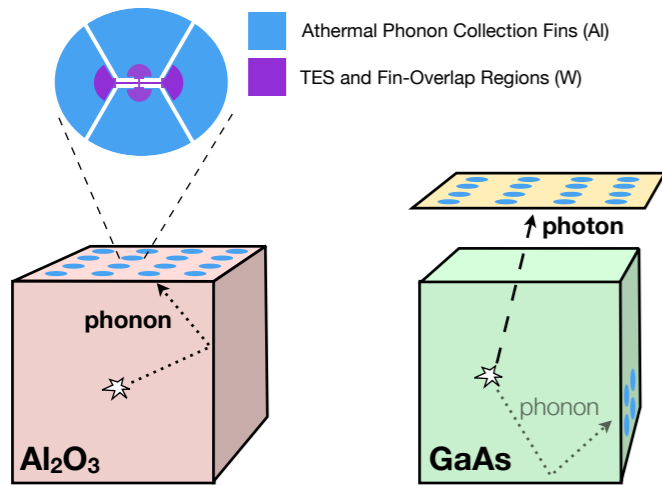


Single phonon excitation

?

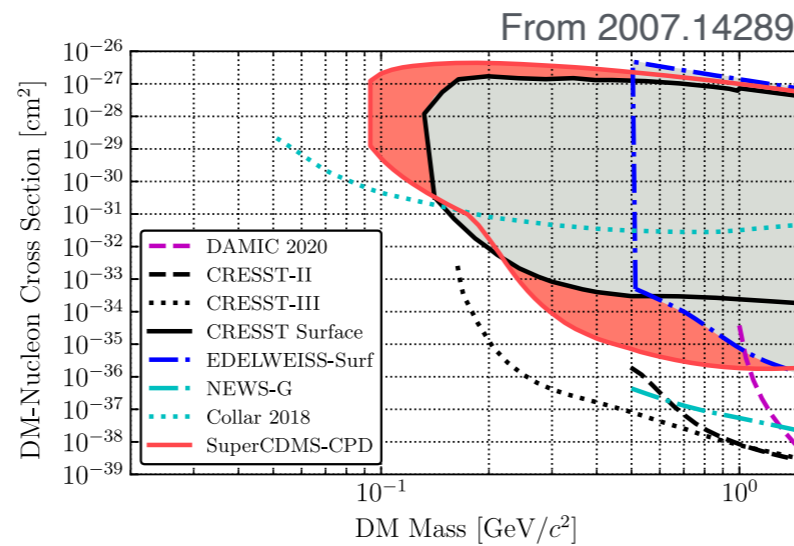


Nuclear recoils

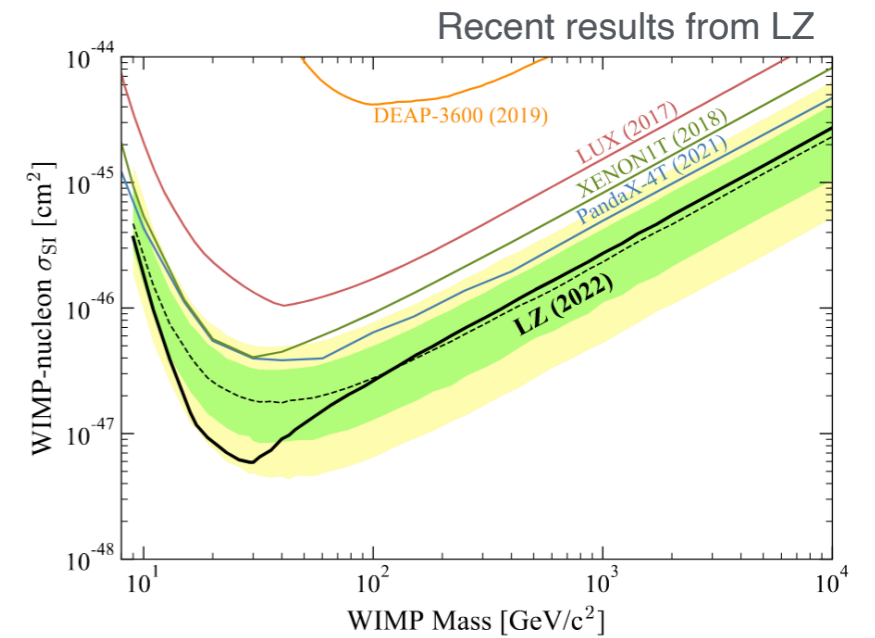


From TESSERACT white paper

Single phonons excitations with energy 1-100 meV (SPICE)



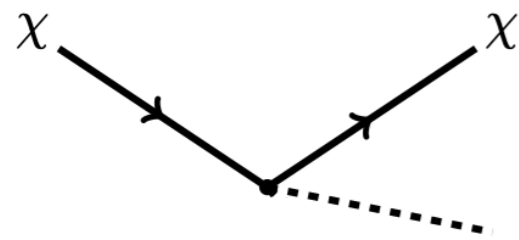
O(10) eV thresholds



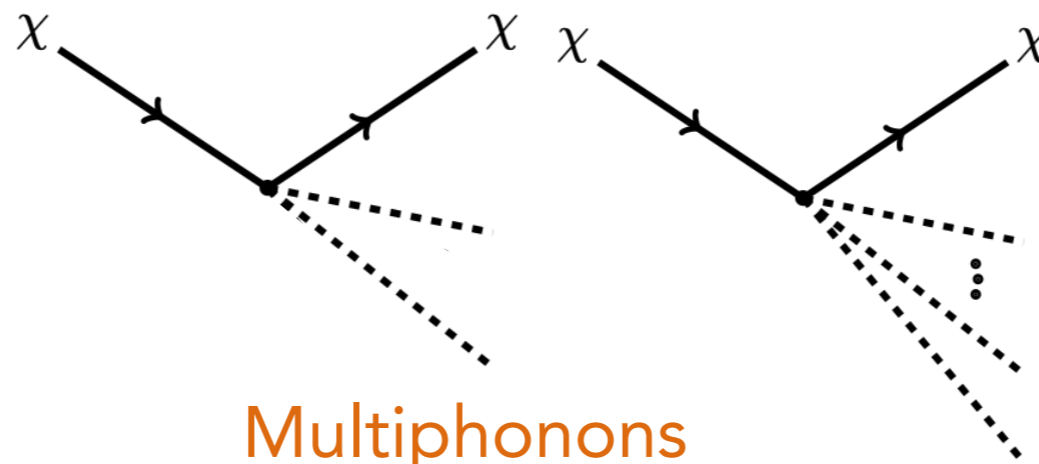
O(keV) thresholds

DM-nucleus scattering in crystals

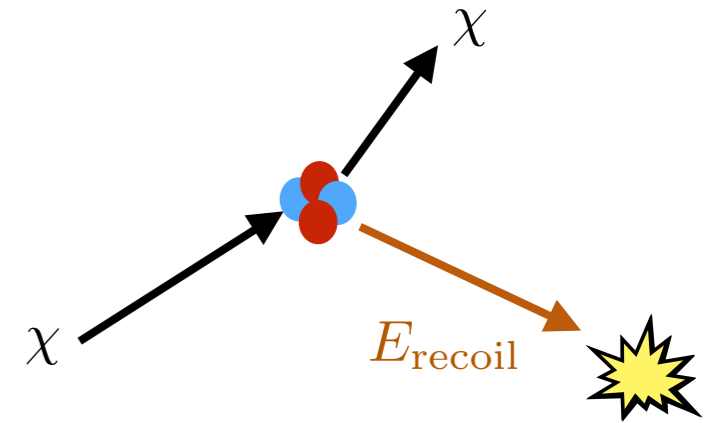
Applications also for the Migdal effect
and calculating backgrounds



Single phonon
excitation



Multiphonons



Nuclear recoils

keV

MeV

GeV

TeV

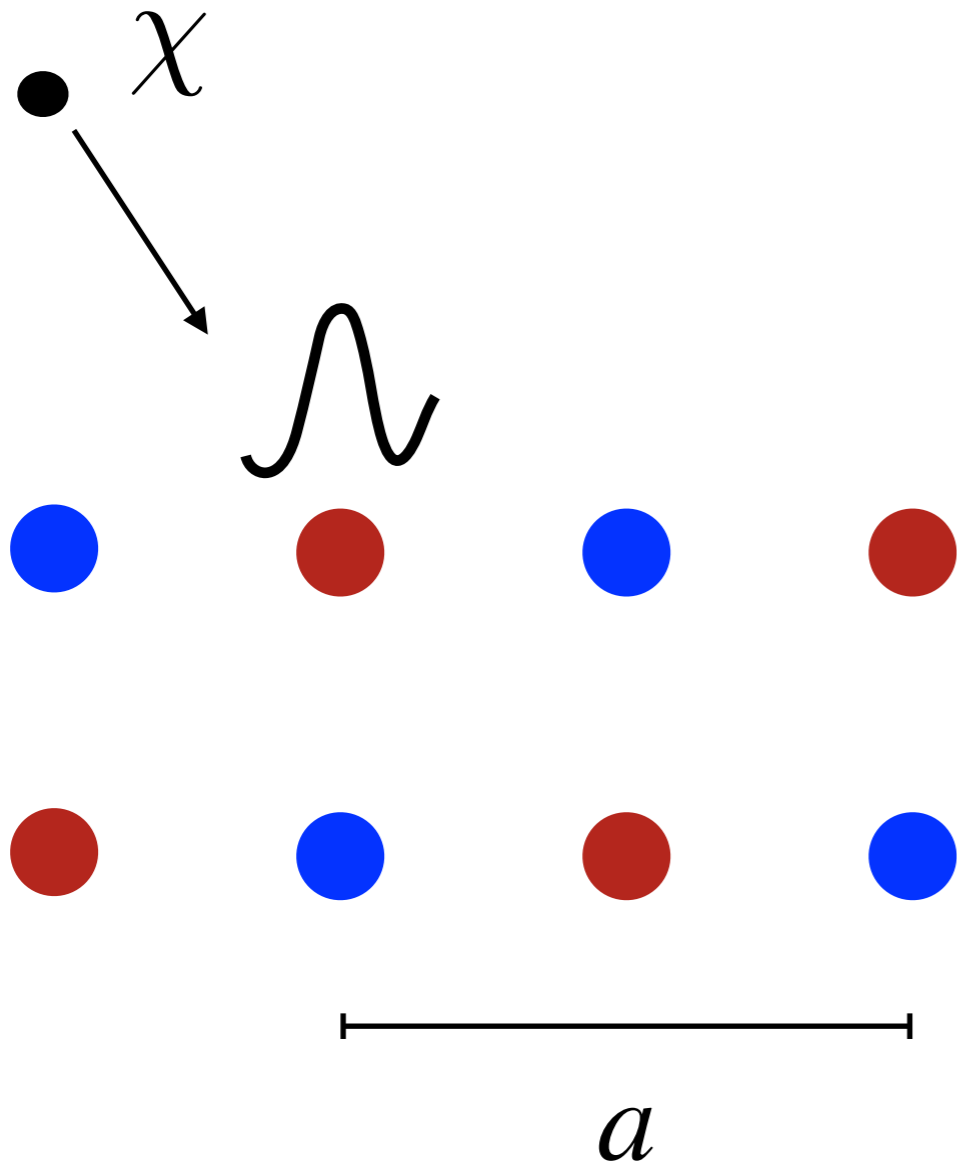
Dark matter mass

Brian Campbell-Deem, Knapen, TL, Ethan Villarama 2205.02250

Campbell-Deem, Cox, Knapen, TL, Melia 1911.03482

Knapen, Kozaczuk, TL 2011.09496

What does DM-nucleus scattering look like in a crystal?



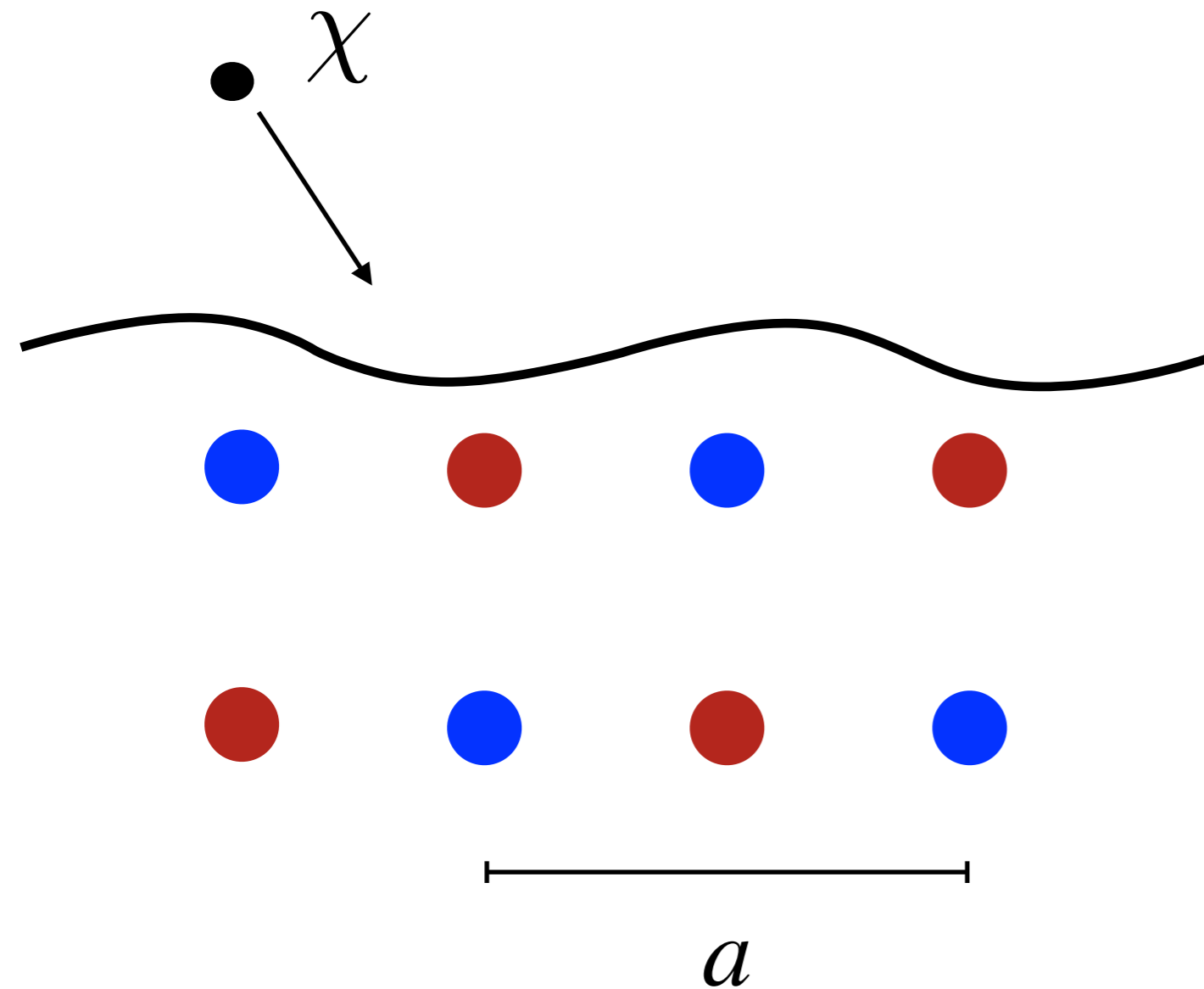
When momentum transfer

$$q \gg q_{\text{BZ}} = \frac{2\pi}{a} \sim \text{few keV}$$

and $\omega \gg \bar{\omega}_{\text{phonon}} \sim 10\text{-}100 \text{ meV}$

DM scatters off an individual nucleus

What does DM-nucleus scattering look like in a crystal?



When momentum transfer

$$q \ll q_{\text{BZ}} = \frac{2\pi}{a}$$

and $\omega \sim \bar{\omega}_{\text{phonon}}$

DM excites collective
excitations = phonons

DM scattering rate

$$\frac{d\sigma}{d^3\mathbf{q} d\omega} \propto \sigma_{\chi p} \overbrace{|\tilde{F}_{\text{med}}(q)|^2}^{\text{DM-mediator form factor}} \underbrace{S(\mathbf{q}, \omega)}_{\text{Dynamic structure factor}} \delta\left(\omega - \mathbf{q} \cdot \mathbf{v} + \frac{q^2}{2m_\chi}\right)$$

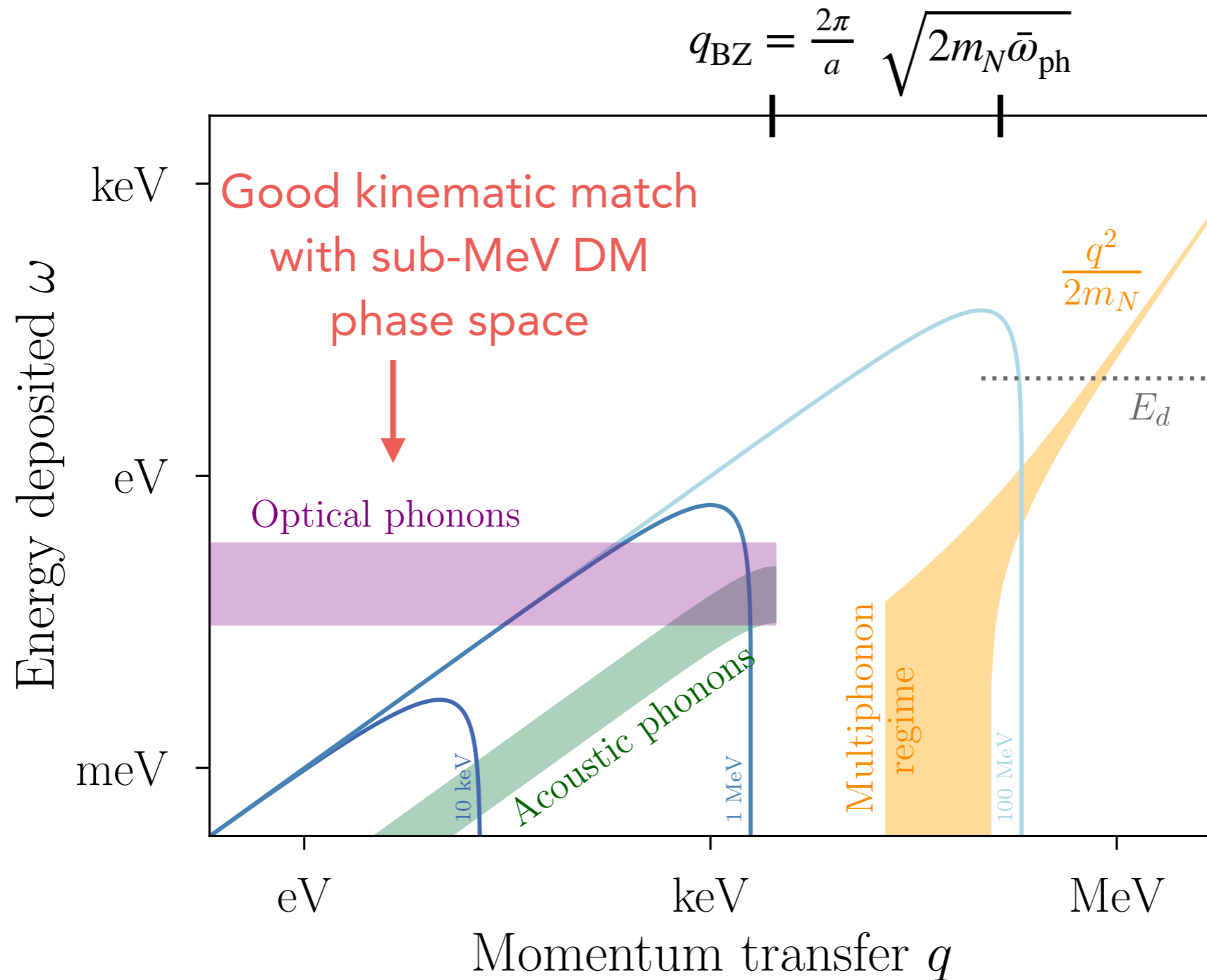
Dynamic structure factor
captures response of target

For free nuclei and spin-independent interactions:

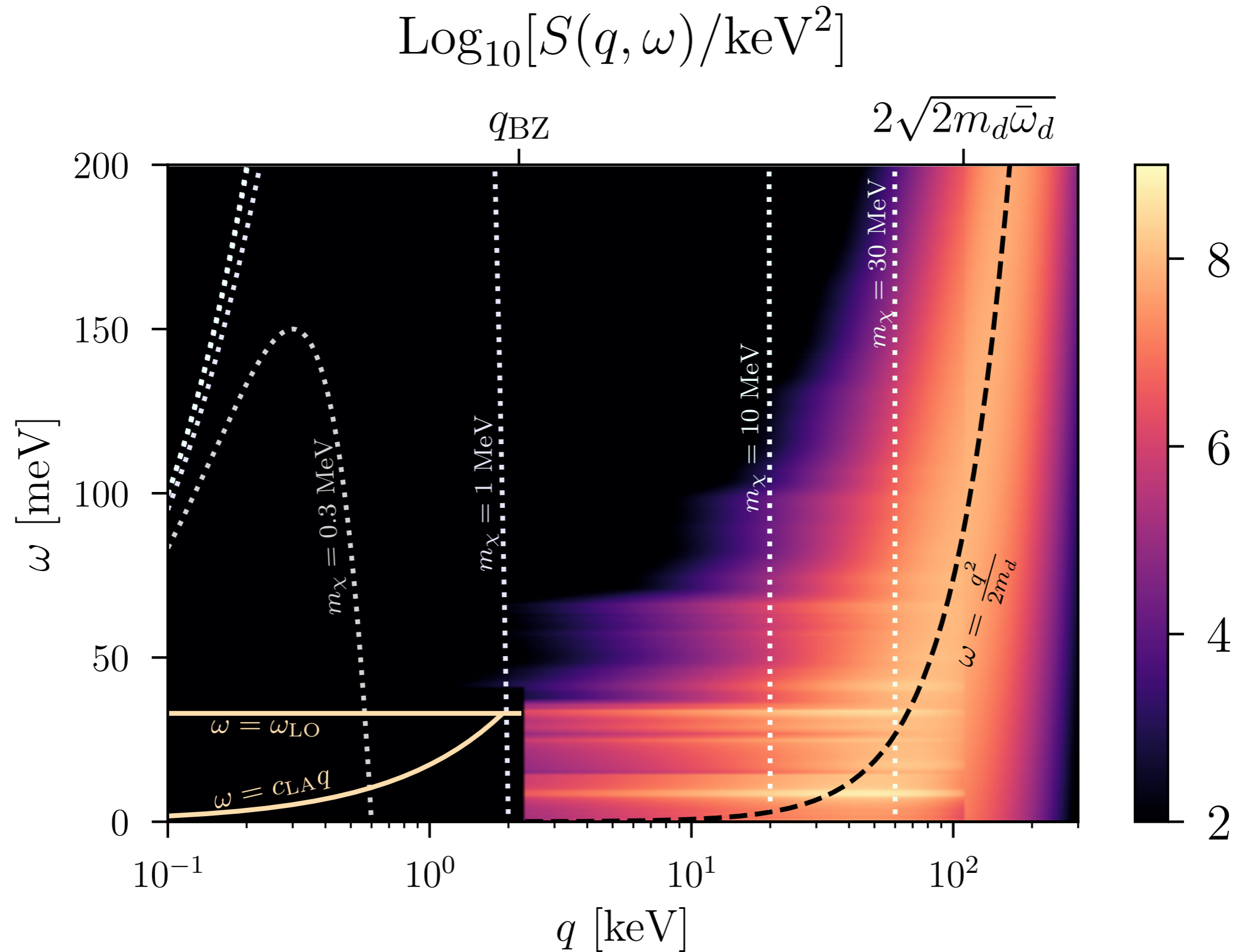
$$S(\mathbf{q}, \omega) \propto A_N^2 \delta\left(\omega - \frac{q^2}{2m_N}\right)$$

Goal: understand $S(\mathbf{q}, \omega)$ from the single phonon to the nuclear recoil regime

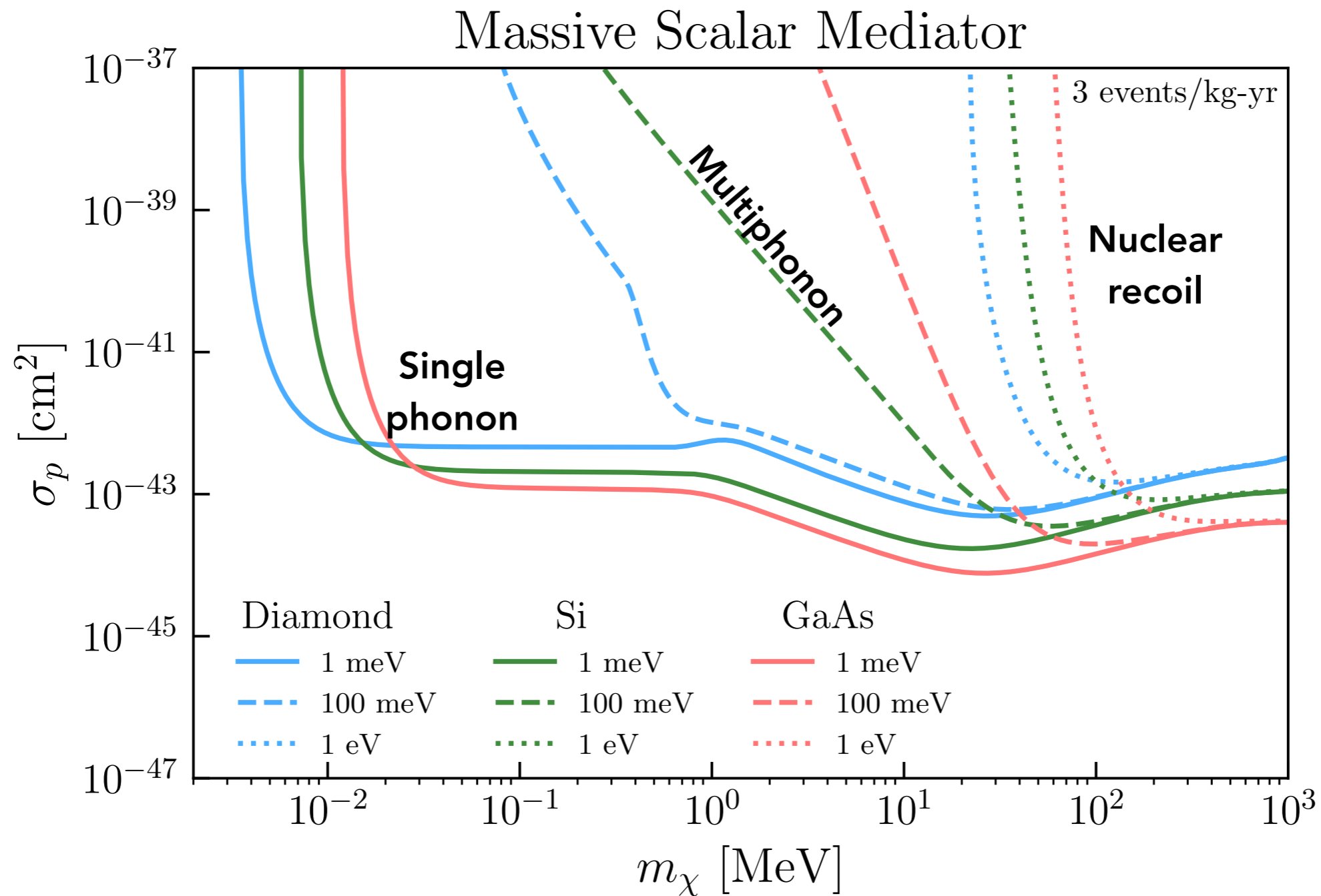
DM-nucleus scattering in a crystal



Structure factor for GaAs



Reach for sub-GeV dark matter

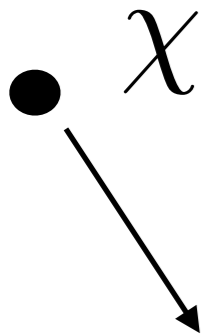


Now for the details

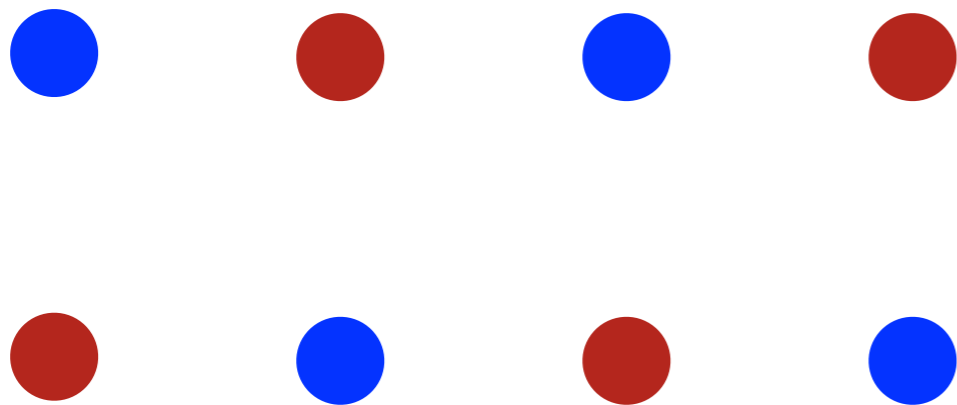


DM-nucleus interaction

Assuming spin-independent interactions



f_J - effective coupling strength between DM and ion J



For spin-independent interactions: $f_J = A_J$

Short range potential

$$\sigma_{\chi p} = 4\pi b_p^2$$

$$V(\mathbf{r}) \propto b_p \sum_J f_J \delta(\mathbf{r} - \mathbf{r}_J)$$

In Fourier space

$$V(\mathbf{q}) \propto b_p \sum_J f_J e^{i\mathbf{q}\cdot\mathbf{r}_J}$$

Dynamic structure factor

$$\begin{aligned}
 S(\mathbf{q}, \omega) &\equiv \frac{2\pi}{V} \sum_f \left| \sum_J \langle \Phi_f | f_J e^{i\mathbf{q}\cdot\mathbf{r}_J} | 0 \rangle \right|^2 \delta(E_f - \omega) \\
 &= \frac{1}{V} \sum_{J, J'} f_J f_{J'}^* \int_{-\infty}^{\infty} dt \langle e^{-i\mathbf{q}\cdot\mathbf{r}_{J'}(0)} e^{i\mathbf{q}\cdot\mathbf{r}_J(t)} \rangle e^{-i\omega t}
 \end{aligned}$$

Contains interference terms between different atoms in lattice
 — allows for collective excitations

Phonons appear through positions of ions:

$$\mathbf{r}_J(t) = \mathbf{r}_J^0 + \mathbf{u}_J(t)$$

↑

Quantized phonon field given in terms of phonon dispersions $\omega_{\nu\mathbf{k}}$ and eigenvectors $\mathbf{e}_{\nu\mathbf{k}}$

$$\mathbf{u}_J(t) \sim \sum_{\nu} \sum_{\mathbf{k}} \frac{1}{\sqrt{2Nm_N\omega_{\nu,\mathbf{k}}}} \left(\mathbf{e}_{\nu\mathbf{k}} \hat{a}_{\nu,\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}_J^0 - i\omega_{\nu,\mathbf{k}}t} + \text{c.c.} \right)$$

Single phonon excitation

Studied extensively in literature:

$$S^{n=1}(\mathbf{q}, \omega) \sim \sum_{J, J'} f_J f_{J'} \int dt \langle \mathbf{q} \cdot \mathbf{u}_J(0) \mathbf{q} \cdot \mathbf{u}_{J'}(t) \rangle e^{-i\omega t}$$

Griffin, Knapen, TL, Zurek 1807.10291; Griffin, Inzani, Trickle, Zhang, Zurek 1910.10716
Griffin, Hochberg, Inzani, Kurinsky, TL, Yu 2020; Coskuner, Tickle, Zhang, Zurek 2102.09567

Momentum conservation up to crystal momentum \mathbf{G}

$\mathbf{G} = 0$ for $q < \text{keV}$ (first Brillouin Zone)

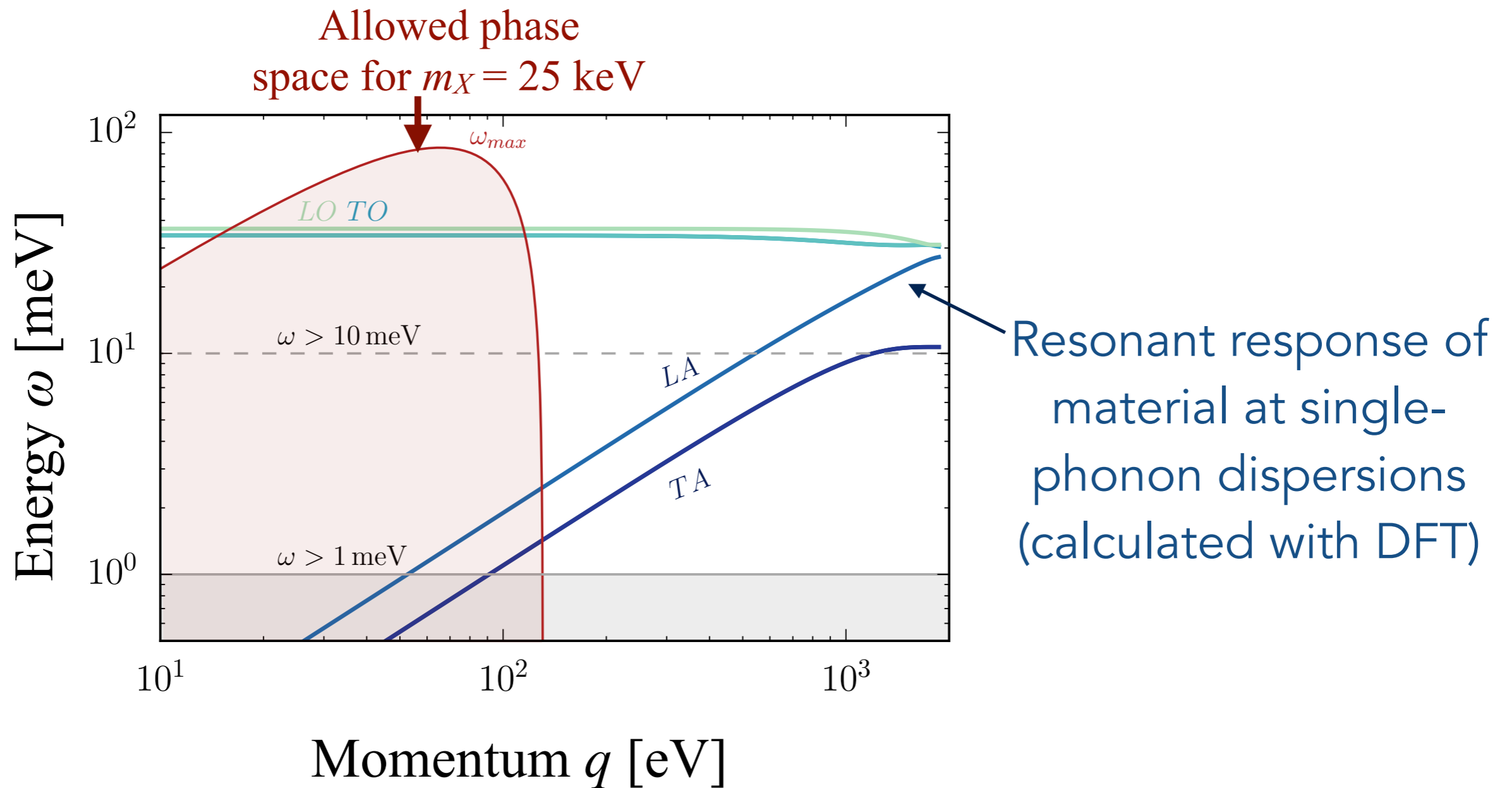


$$S(\mathbf{q}, \omega) = \frac{2\pi}{\Omega} \sum_{\nu \mathbf{k}} \delta_{\mathbf{k}, \mathbf{q} + \mathbf{G}} \delta(\omega - \omega_{\nu \mathbf{k}}) \underbrace{\left| \sum_d f_d \frac{\mathbf{q} \cdot \mathbf{e}_{\nu, d, \mathbf{k}}}{\sqrt{2m_d \omega_{\nu \mathbf{k}}}} e^{-W_d(q)} \right|^2}_{\text{Phonon form factor}}$$

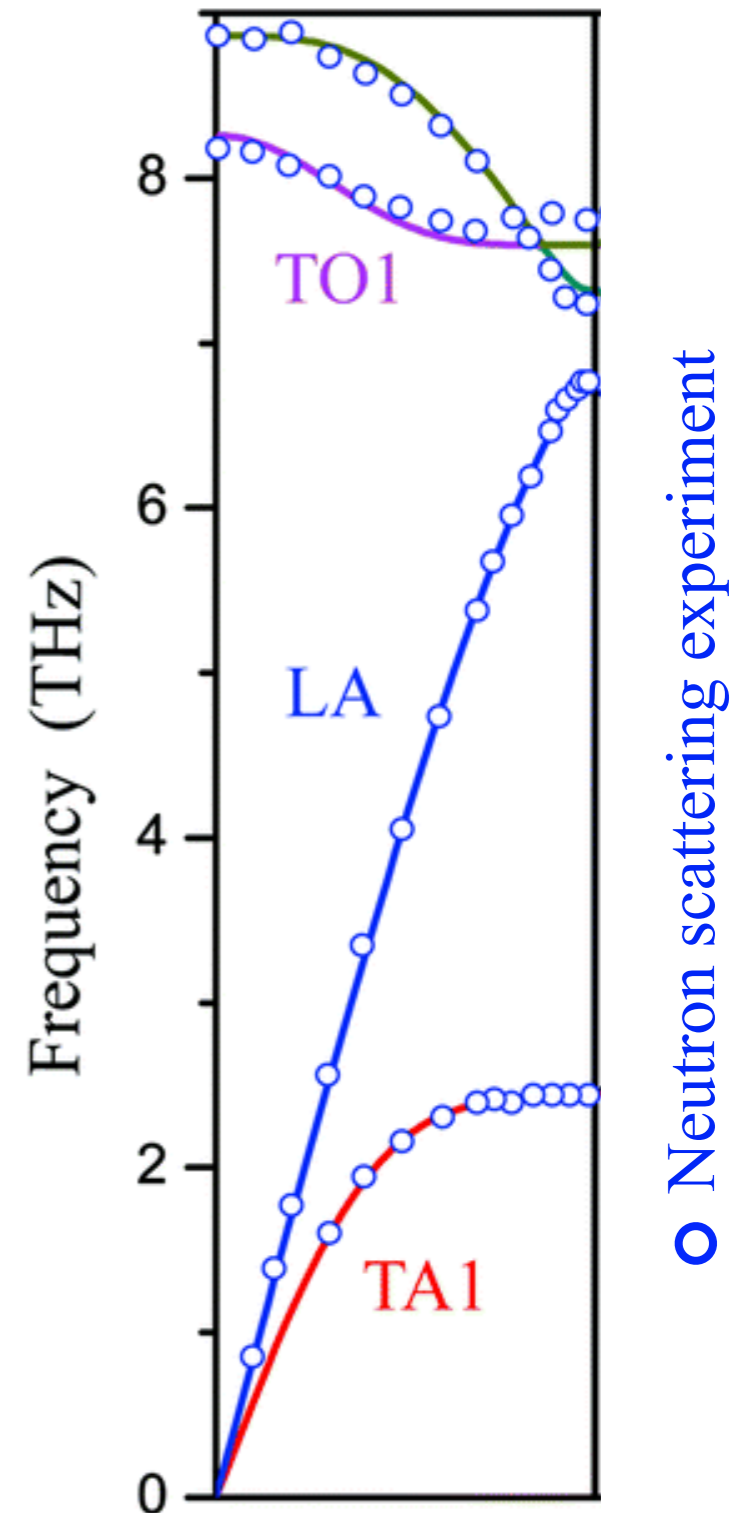
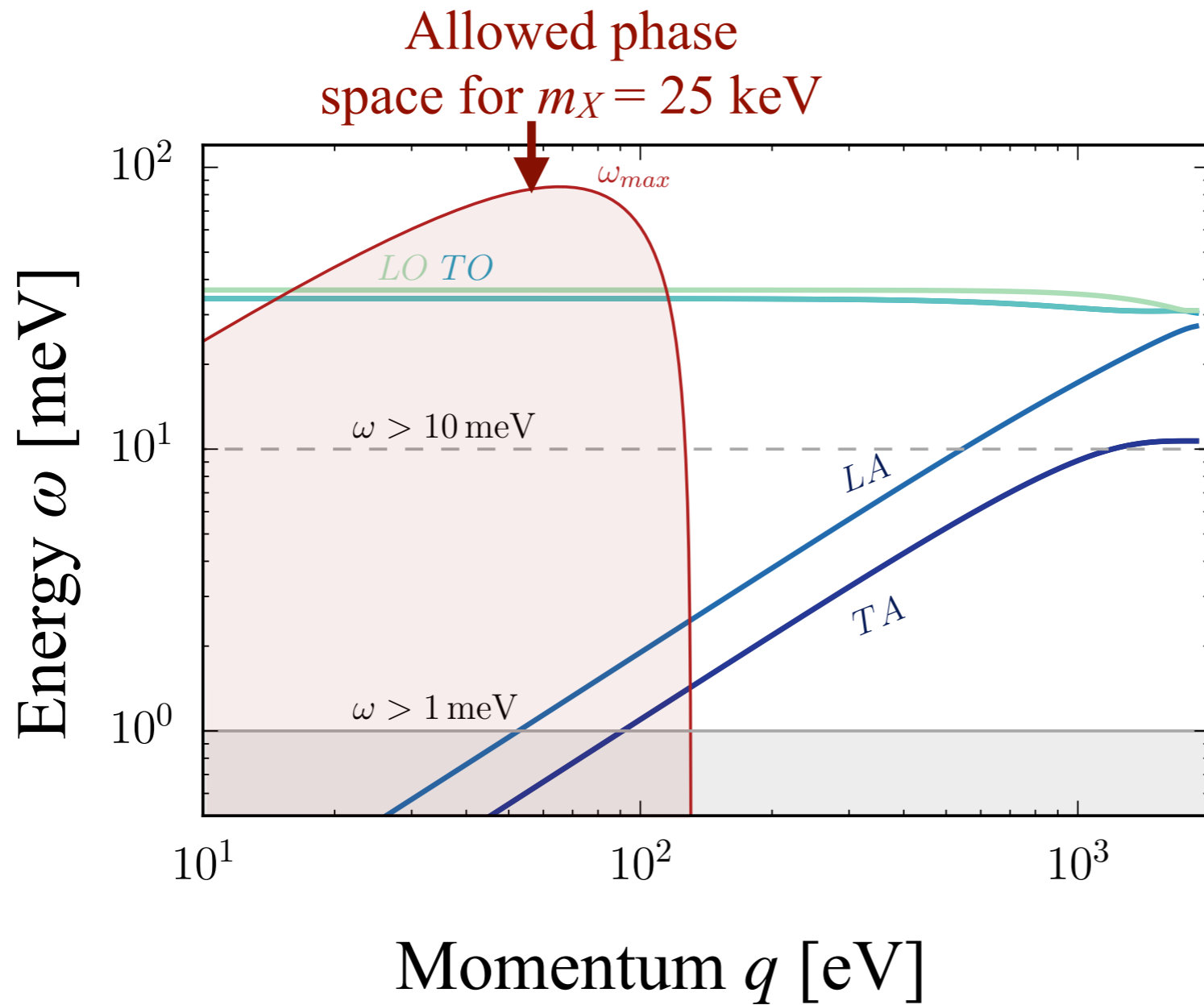
[1-phonon]

Phonon form factor for spin-independent interaction to excite a phonon in branch ν with momentum \mathbf{k}

Single phonon excitations



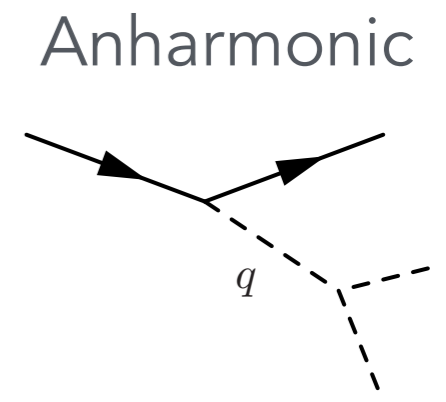
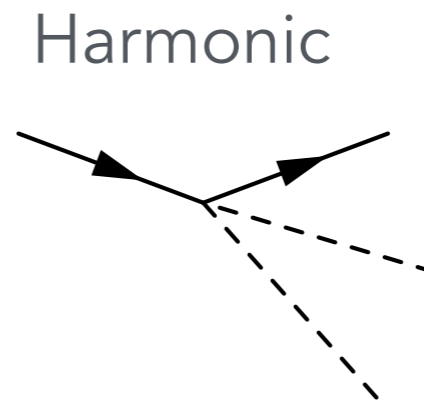
Single phonon excitations



Going to larger momentum

Expansion in $q^2/(M_N\omega)$ (and anharmonic interactions):

$$S(\mathbf{q}, \omega) = \begin{aligned} & \text{(0-phonon)} \\ & + \text{(1-phonon)} \\ & + \text{(2-phonon)} + \dots \end{aligned}$$



Quickly becomes more complicated to evaluate for more than 1 phonon

Our approach: use harmonic & incoherent approximations

Incoherent approximation for

$q > q_{\text{BZ}}$ or $n > 1$ phonons

Neglect interference terms entirely:

$$S(\mathbf{q}, \omega) = \frac{1}{V} \sum_{J, J'}^N f_J f_{J'}^* \int_{-\infty}^{\infty} dt \langle e^{-i\mathbf{q} \cdot \mathbf{r}_{J'}(0)} e^{i\mathbf{q} \cdot \mathbf{r}_J(t)} \rangle e^{-i\omega t}$$
$$\approx \frac{1}{V} \sum_J^N (f_J)^2 \int_{-\infty}^{\infty} dt \langle e^{-i\mathbf{q} \cdot \mathbf{u}_J(0)} e^{i\mathbf{q} \cdot \mathbf{u}_J(t)} \rangle e^{-i\omega t}$$

Given in terms of auto-correlation function

Motivation for $q > q_{\text{BZ}}$: scatter off individual nuclei at large q

Motivation for $n > 1$: momentum gets distributed over multiple phonons, and the motions of individual atoms will be less correlated.

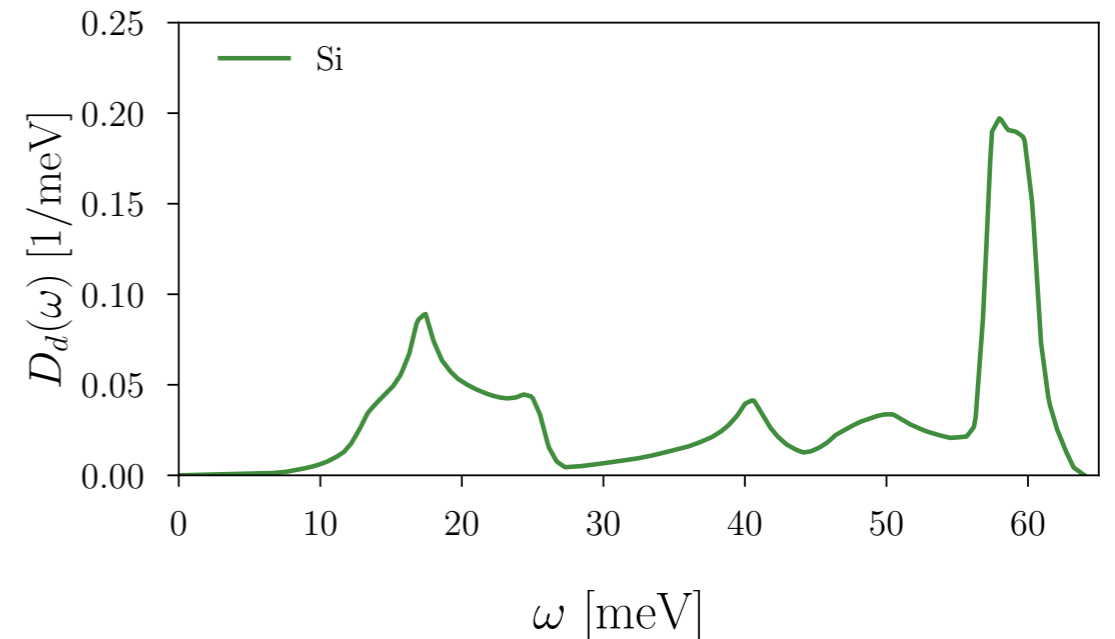
Harmonic approximation

Auto-correlation can be approximated using the **phonon density of states**:

$$\mathbf{u}_J(t) \sim \sum_{\mathbf{q}} \frac{1}{\sqrt{2m_N\omega_{\mathbf{q}}}} (\hat{a}_{\mathbf{q}}^\dagger \mathbf{e}_{\mathbf{q}} e^{-i\mathbf{q}\cdot\mathbf{r}_J + i\omega_{\mathbf{q}}t} + \text{h.c.})$$

$$\langle \mathbf{q} \cdot \mathbf{u}_J(0) \mathbf{q} \cdot \mathbf{u}_J(t) \rangle \approx \frac{q^2}{2m_N} \int d\omega' \frac{D(\omega')}{\omega'} e^{i\omega't}$$

In the harmonic, isotropic limit



$$S(\mathbf{q}, \omega) = \sum_d \frac{f_d^2}{\Omega} e^{-2W_d(\mathbf{q})} \int_{-\infty}^{\infty} dt e^{\frac{q^2}{2m_d} \int d\omega' \frac{D_d(\omega')}{\omega'} e^{i\omega't}} e^{-i\omega t}$$

Debye-Waller factor $W_d(\mathbf{q}) = \frac{q^2}{4m_d} \int d\omega' \frac{D_d(\omega')}{\omega'}$

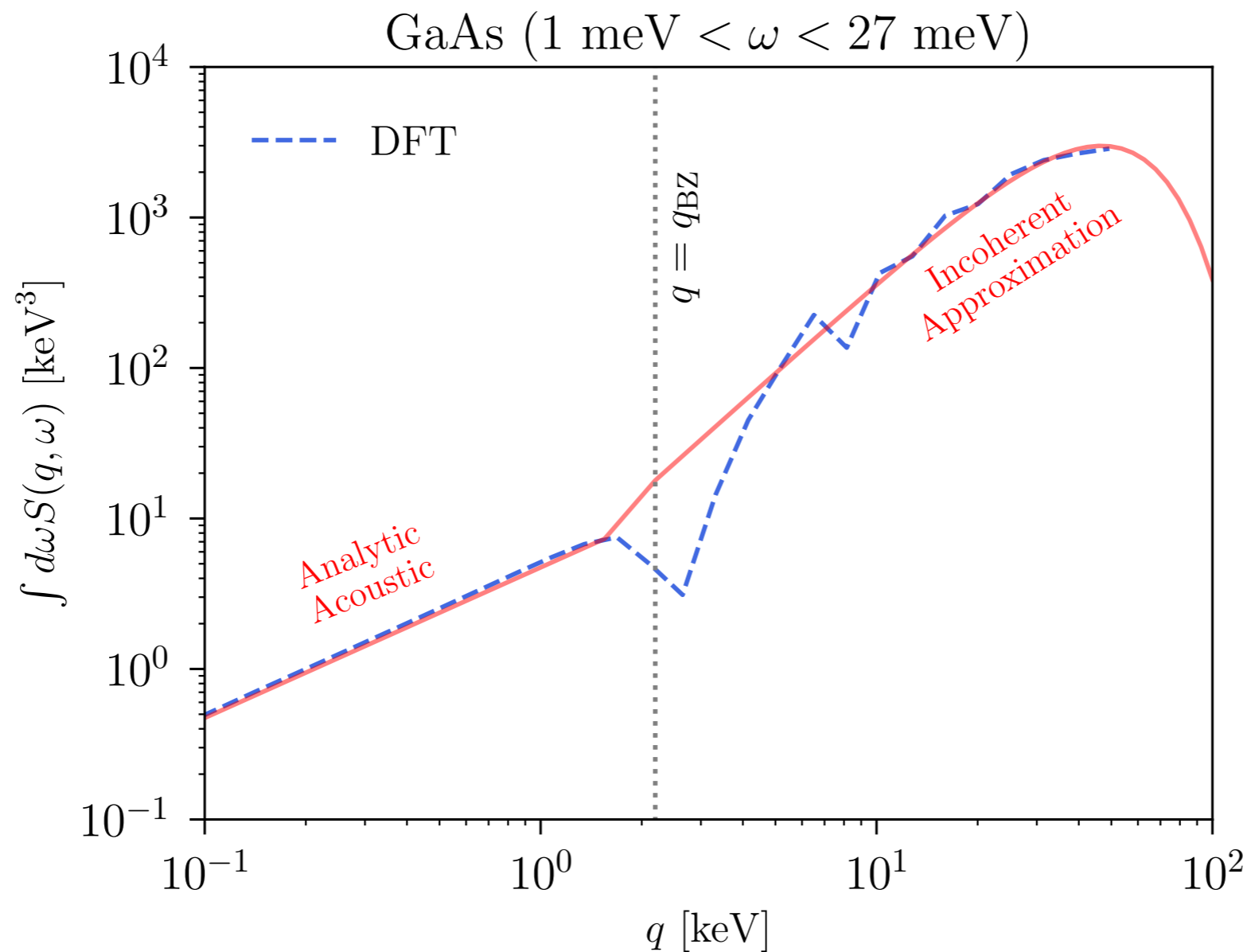
Phonon expansion

Expansion of structure factor in powers of q :

$$S(q, \omega) \propto \sum_J e^{-2W_J(q)} (f_J)^2 \sum_n \frac{1}{n!} \underbrace{\left(\frac{q^2}{2m_N} \right)^n \left(\prod_{i=1}^n \int d\omega_i \frac{D(\omega_i)}{\omega_i} \right)}_{\sim \left(\frac{q^2}{2m_N \bar{\omega}_{\text{ph}}} \right)^n} \delta \left(\sum_j \omega_j - \omega \right)$$

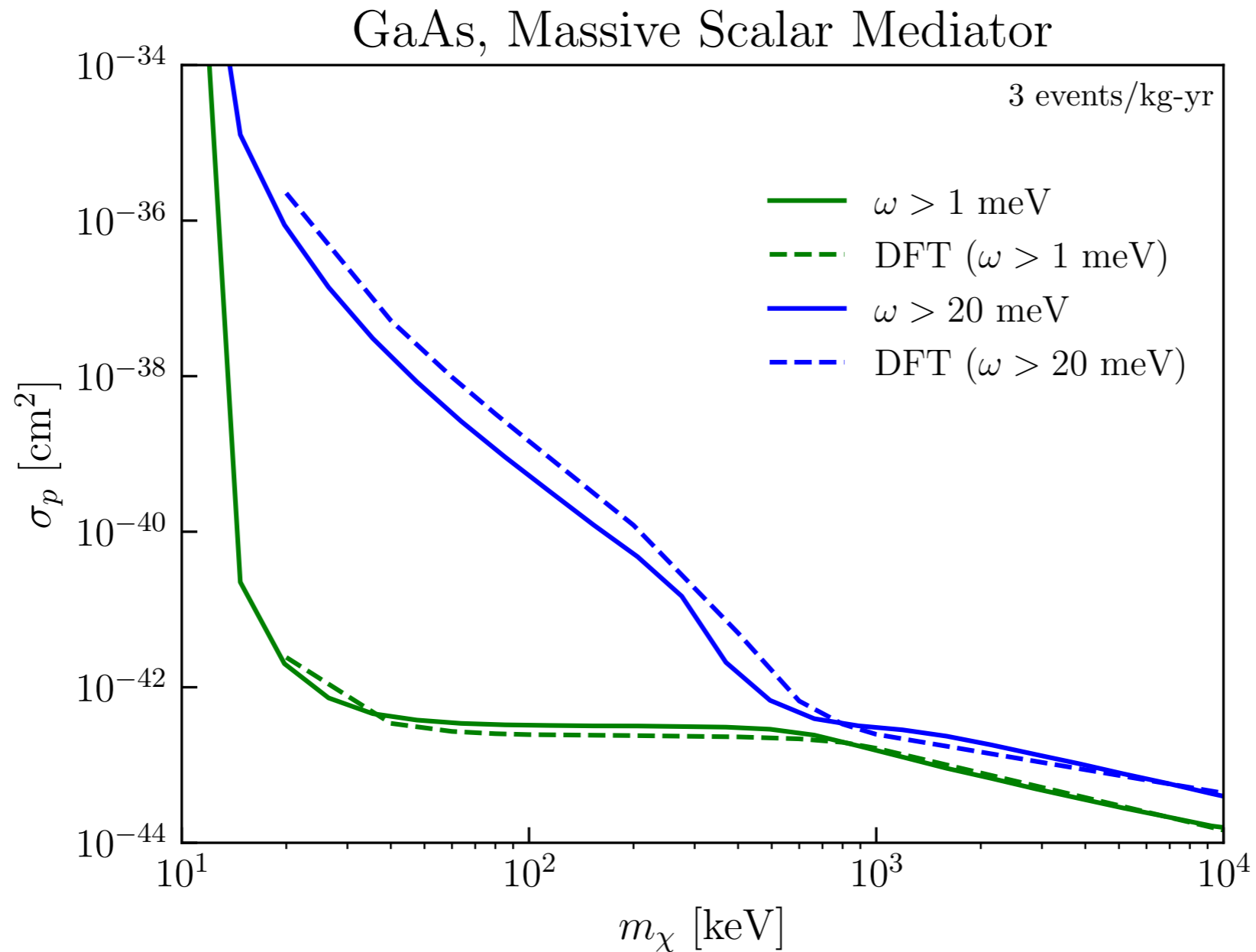
$q \approx \sqrt{2m_N \bar{\omega}_{\text{ph}}}$ for many phonons to contribute

Comparison with full (DFT) calculation for $n=1$ phonon

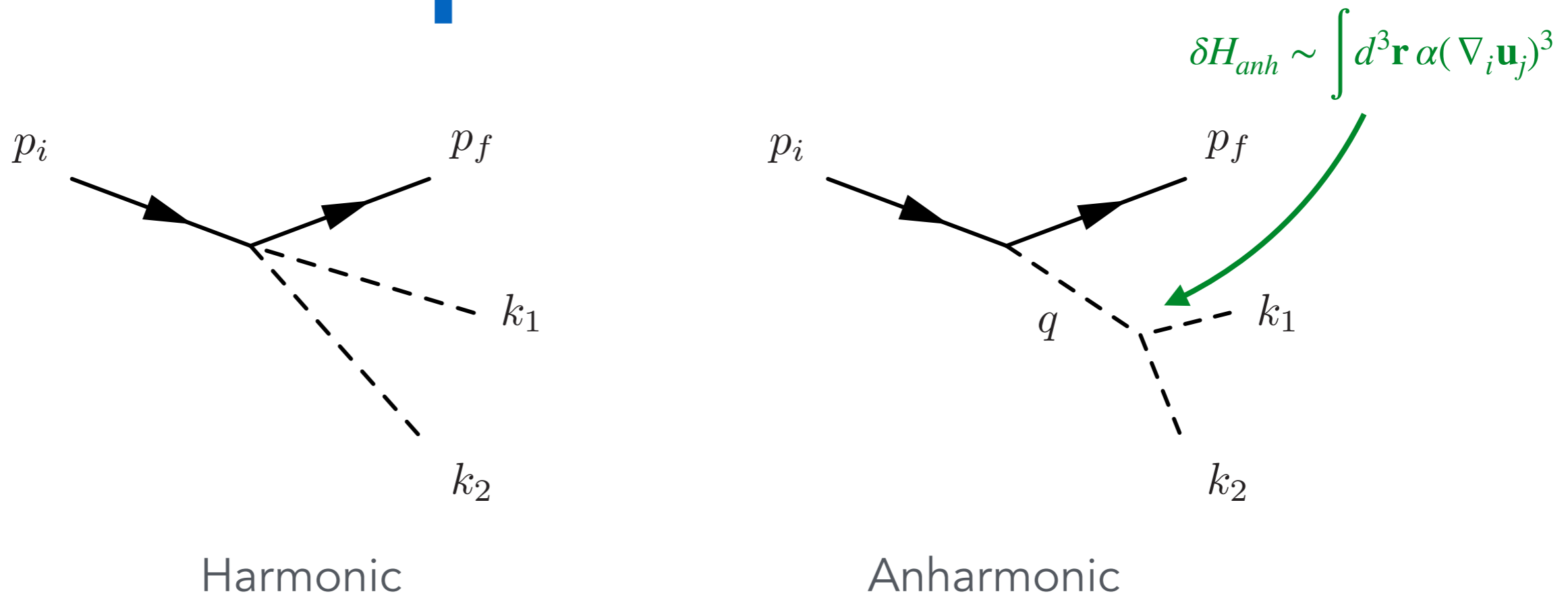


Incoherent approximation captures integrated structure factor

Comparison with full (DFT) calculation for n=1 phonon



2 phonons

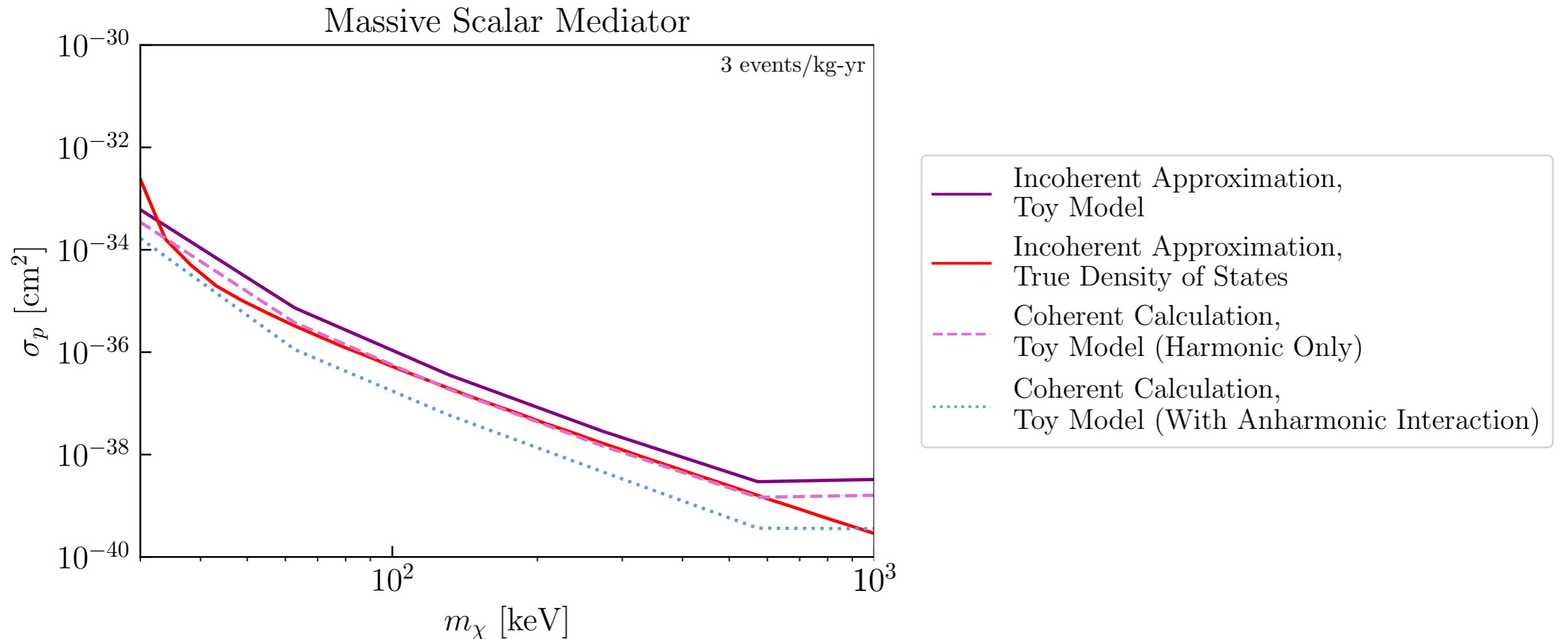


Calculated with long-wavelength ($q \ll q_{BZ}$) approximation in crystals

Campbell-Deem, Cox, Knapen, TL, Melia 1911.03482

How does this EFT approach compare with the incoherent + harmonic approximation?

GaAs 2-phonon, ($\omega > 40$ meV)

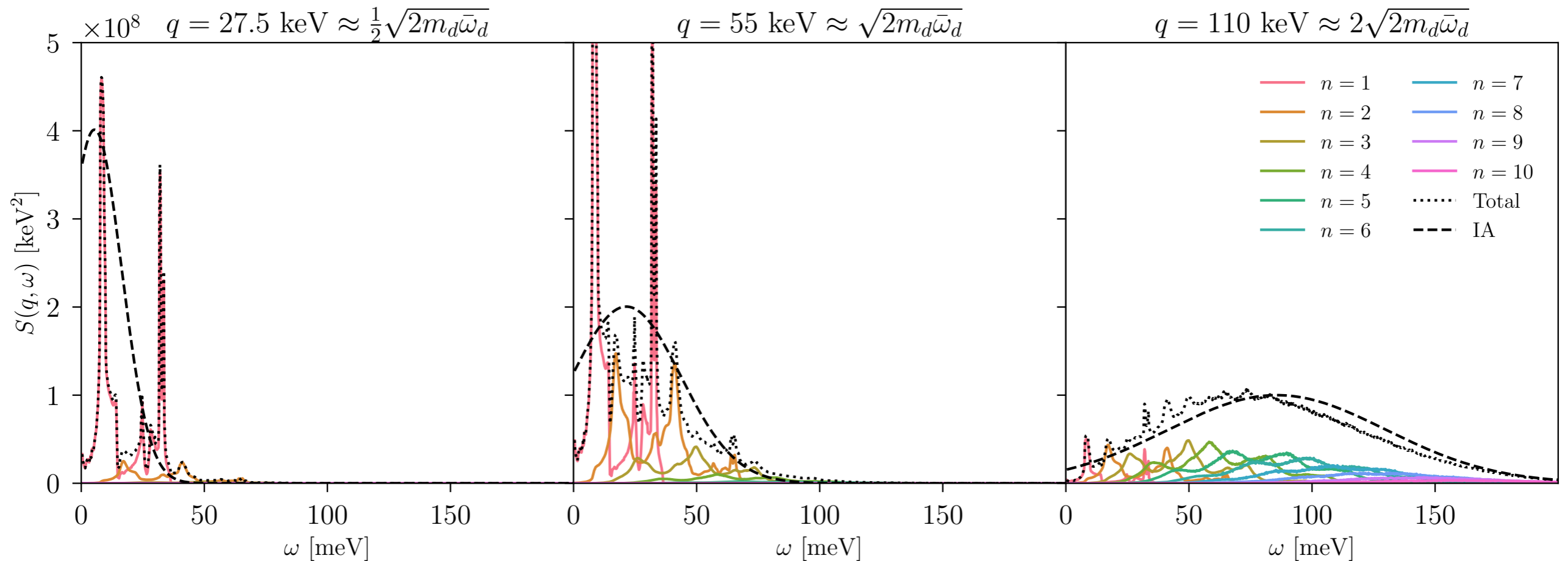


Incoherent + harmonic approximation works to within a factor of few for $q < q_{\text{BZ}}$, comparing to harmonic crystal result. The difference is larger if anharmonic interactions are included.

This should work better with higher q and n .

Multiphonons become important around $q = \sqrt{2m_N\bar{\omega}_{\text{ph}}}$

GaAs, Multiphonon Response



$q = \frac{1}{2}\sqrt{2m_N\bar{\omega}_{\text{ph}}}$:
dominated by
 $n=1$ phonon

$q = \sqrt{2m_N\bar{\omega}_{\text{ph}}}$:
contributions from
 $n=1, 2, 3, 4, \dots$

$q = 2\sqrt{2m_N\bar{\omega}_{\text{ph}}}$:
can be approximated by
Gaussian envelope
(Impulse Approximation)

Impulse approximation

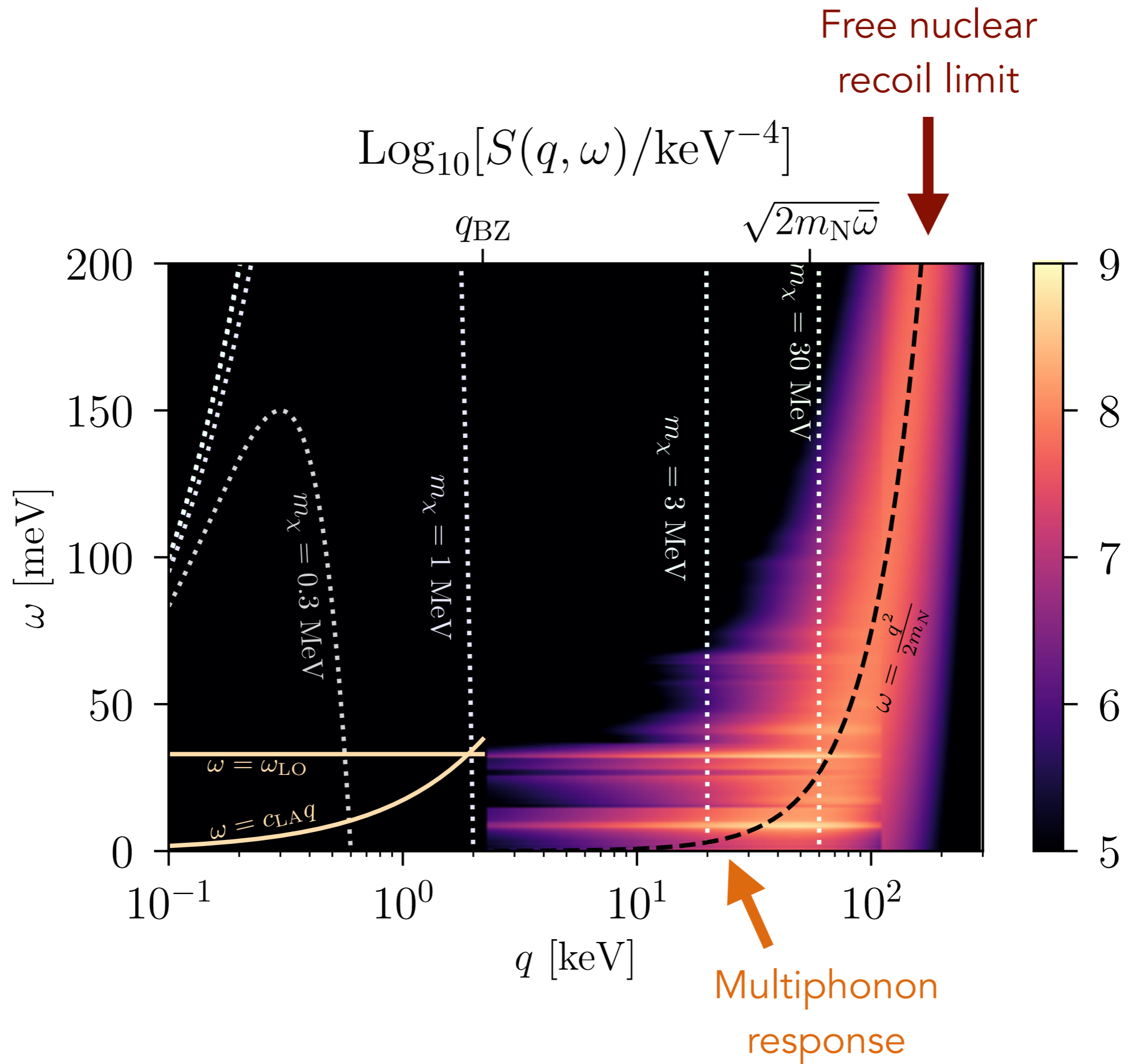
When $q \gg \sqrt{2m_N \bar{\omega}_{\text{ph}}}$, "re-sum" the n-phonon contributions and directly evaluate by stationary phase approximation:

$$S(\mathbf{q}, \omega) = \sum_d \frac{f_d^2}{\Omega} e^{-2W_d(\mathbf{q})} \int_{-\infty}^{\infty} dt e^{\frac{q^2}{2m_d} \int d\omega' \frac{D_d(\omega')}{\omega'} e^{i\omega' t}} e^{-i\omega t}$$

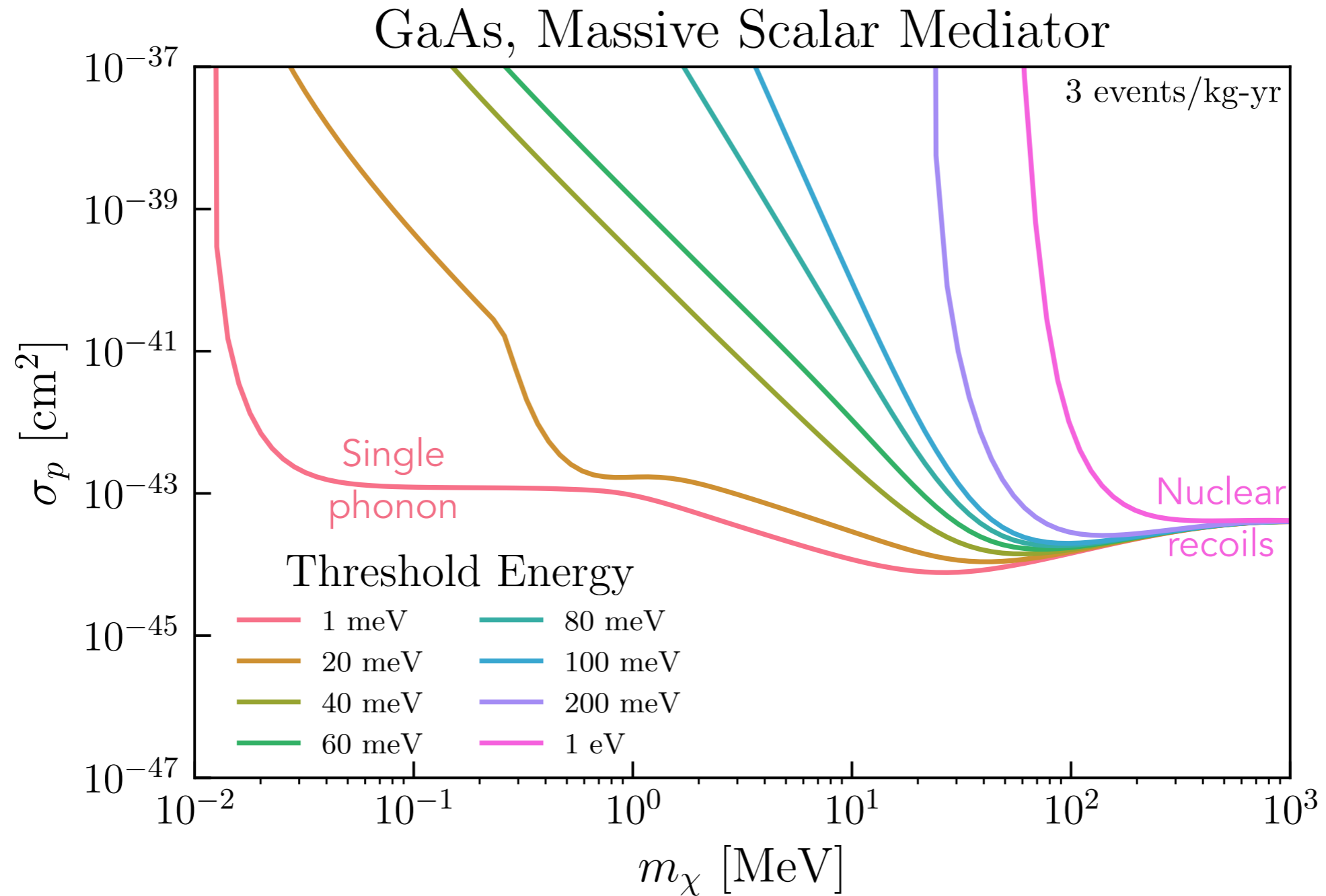
Peaked about free nuclear recoil dispersion as $\omega \gg \bar{\omega}_{\text{ph}}$:

$$S^{\text{IA}}(q, \omega) \propto \sum_J f_J^2 \sqrt{\frac{2\pi}{\Delta^2}} \exp\left(-\frac{(\omega - \frac{q^2}{2m_N})^2}{2\Delta^2}\right), \quad \Delta^2 = \frac{q^2 \bar{\omega}_{\text{ph}}}{2m_N}$$

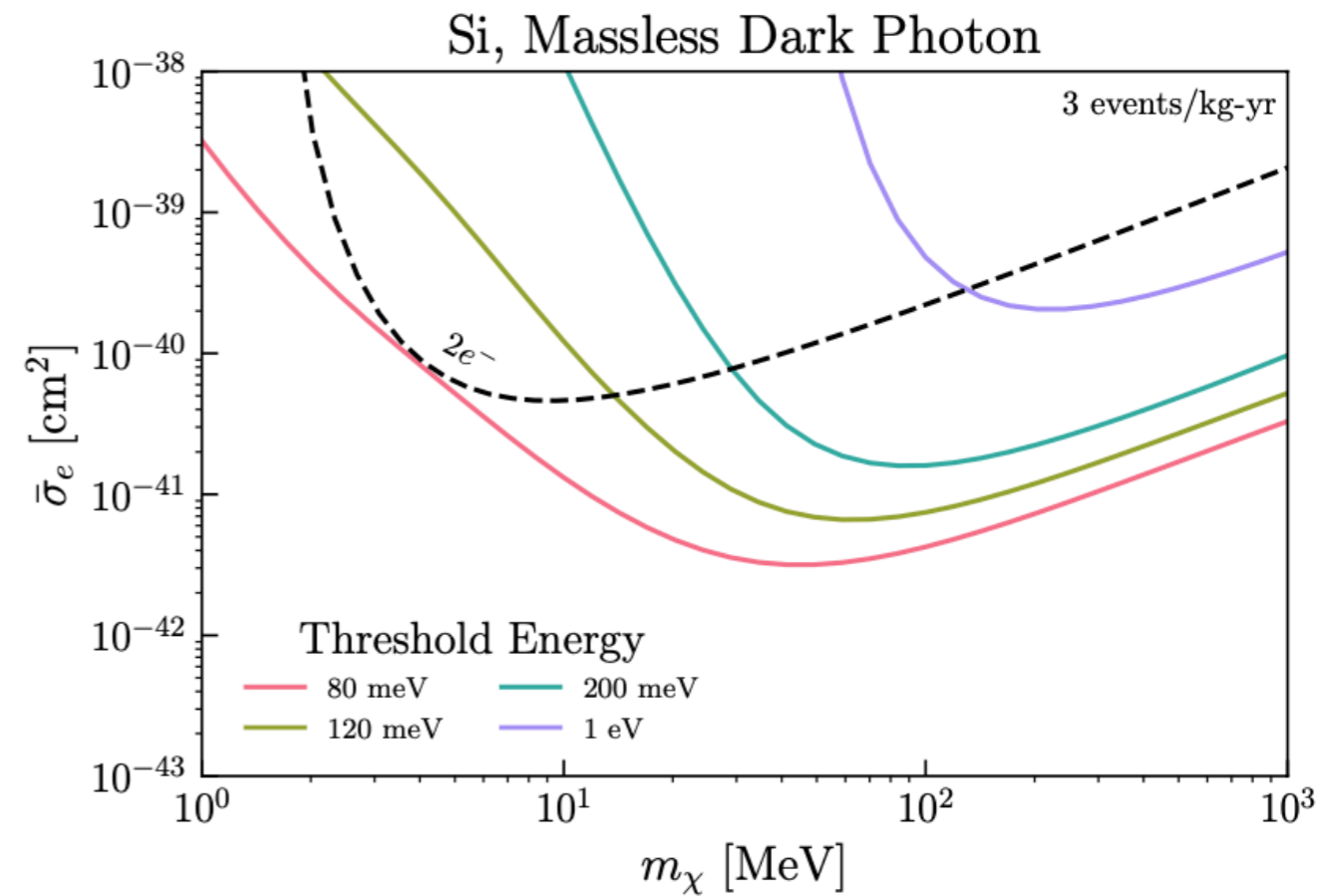
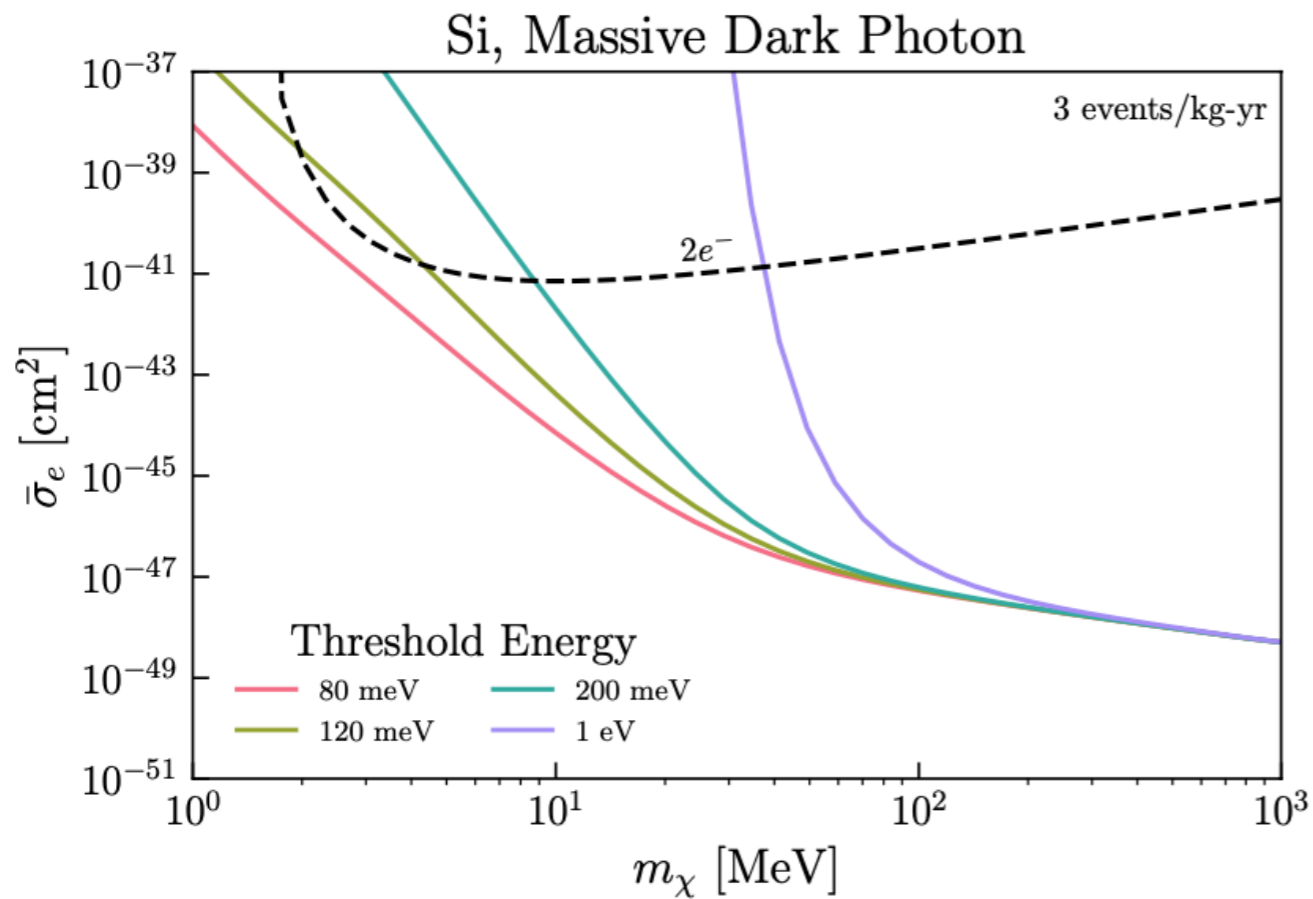
With $\Delta/\omega \rightarrow 0$ in the high energy limit



DM scattering rate



Dark photon mediator



Coupling given by q -dependent effective charge $Z(q)$

Single phonon reach estimated by dielectric response or directly computed in DFT

Future steps

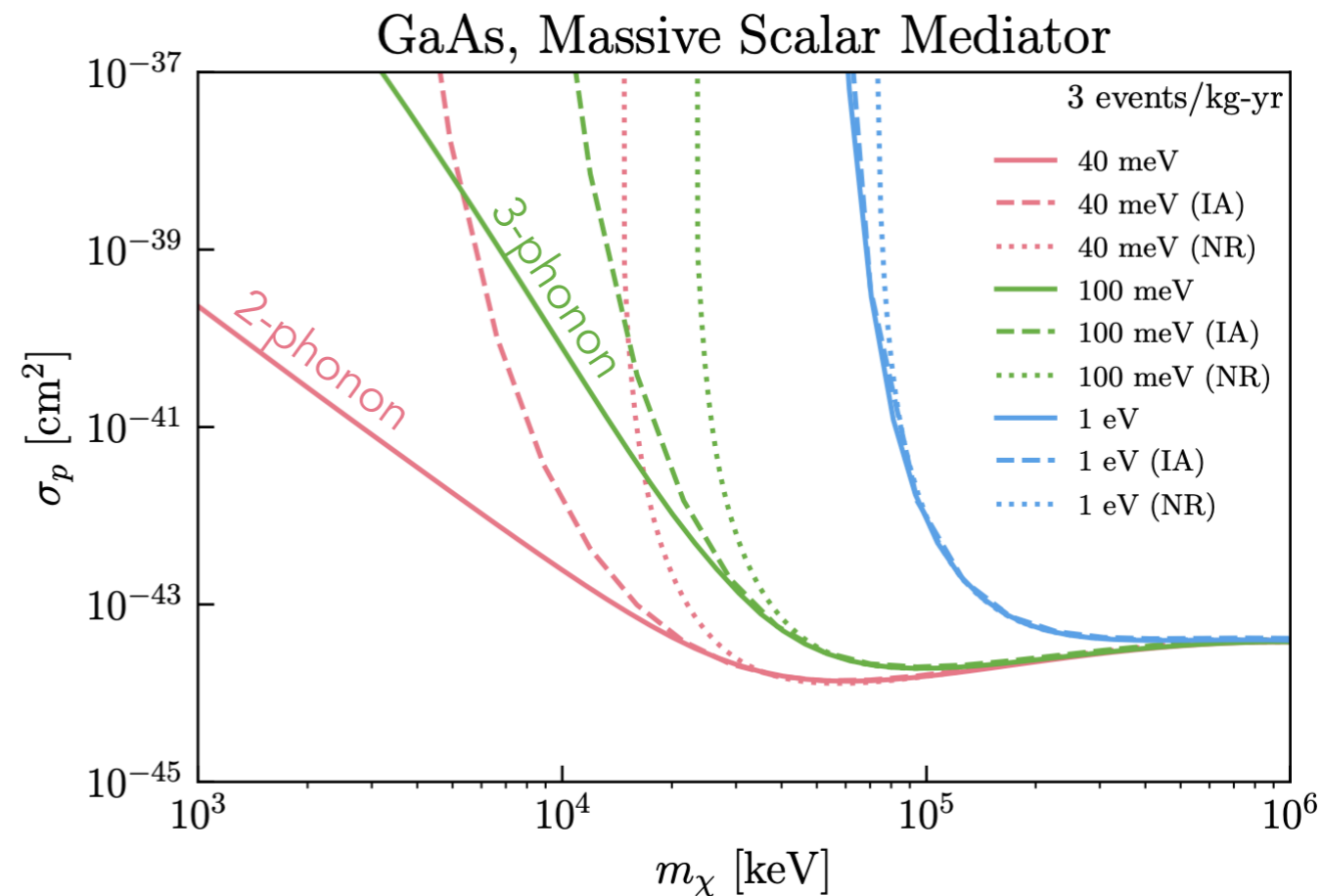
Pinning down $S(q, \omega)$:

Quantify theoretical uncertainties and validity of approximations (harmonic approximation)

Detailed look at two (or three) phonon rates

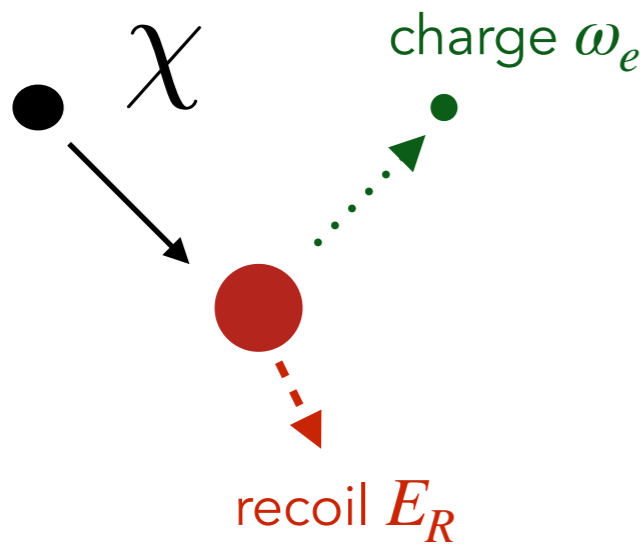
Experimental calibration?

Above eV scale, rates pretty quickly converge to the impulse approximation, nuclear recoils



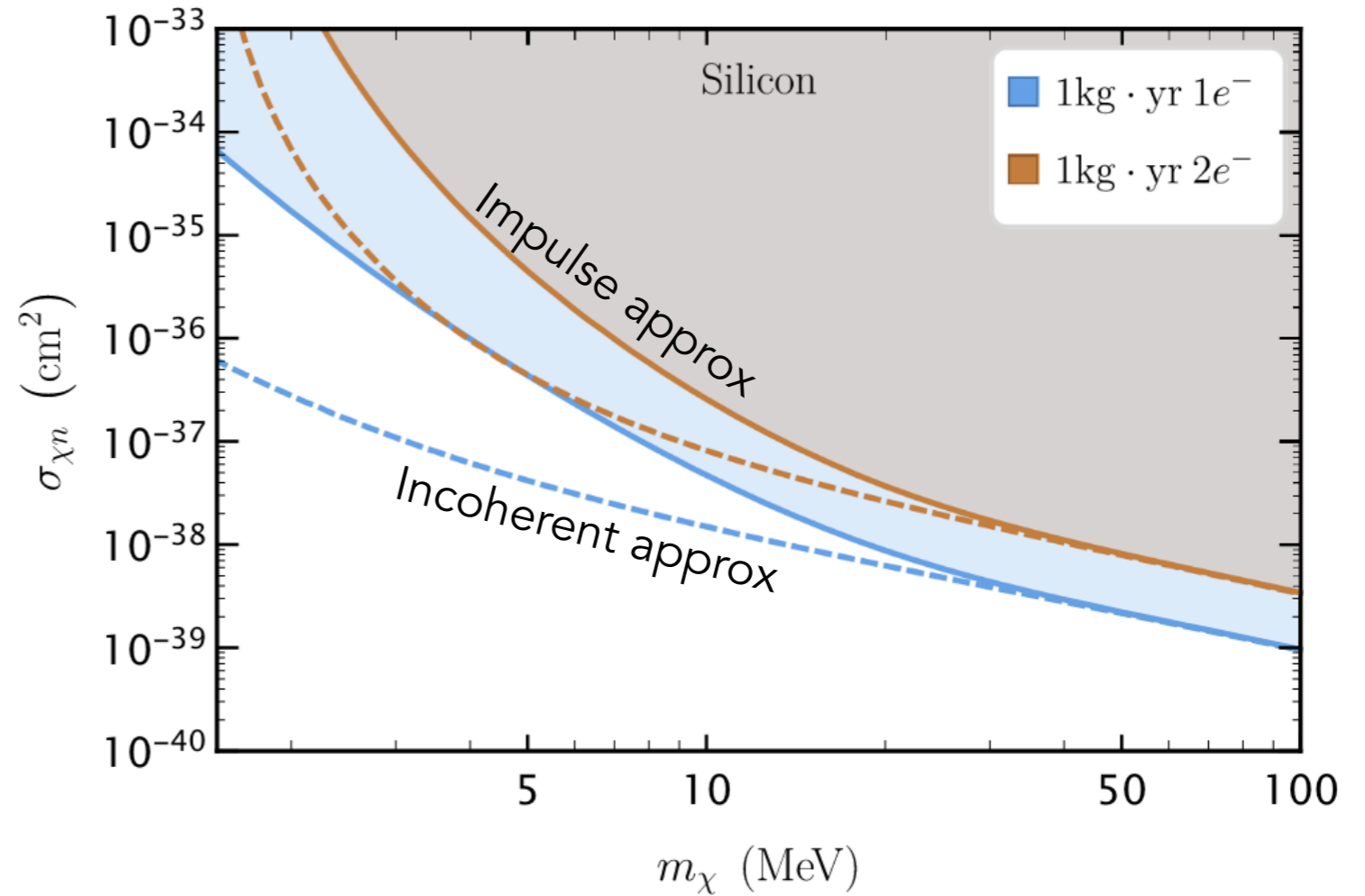
Migdal effect

DM-nucleus scattering with charge emission



$$\frac{d\sigma}{dE_R d\omega_e} \approx \frac{d\sigma_N}{dE_R} \frac{dP}{d\omega_e}$$

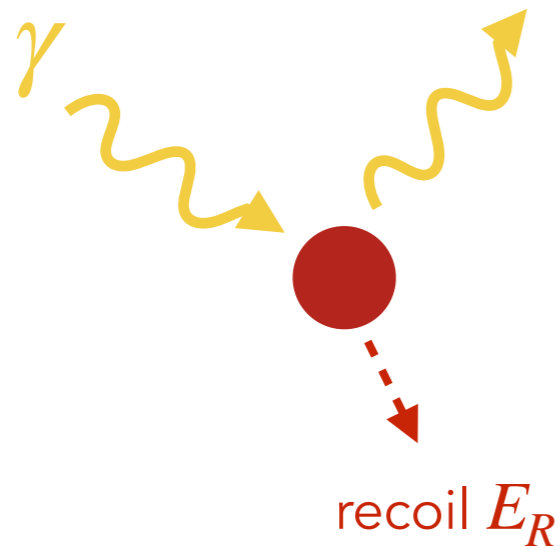
\uparrow DM-nucleus scattering
 \uparrow Probability for charge excitation



From Liang, Mo, Zheng, Zhang 2205.03395
Knapen, Kozaczuk, Lin 2011.09496

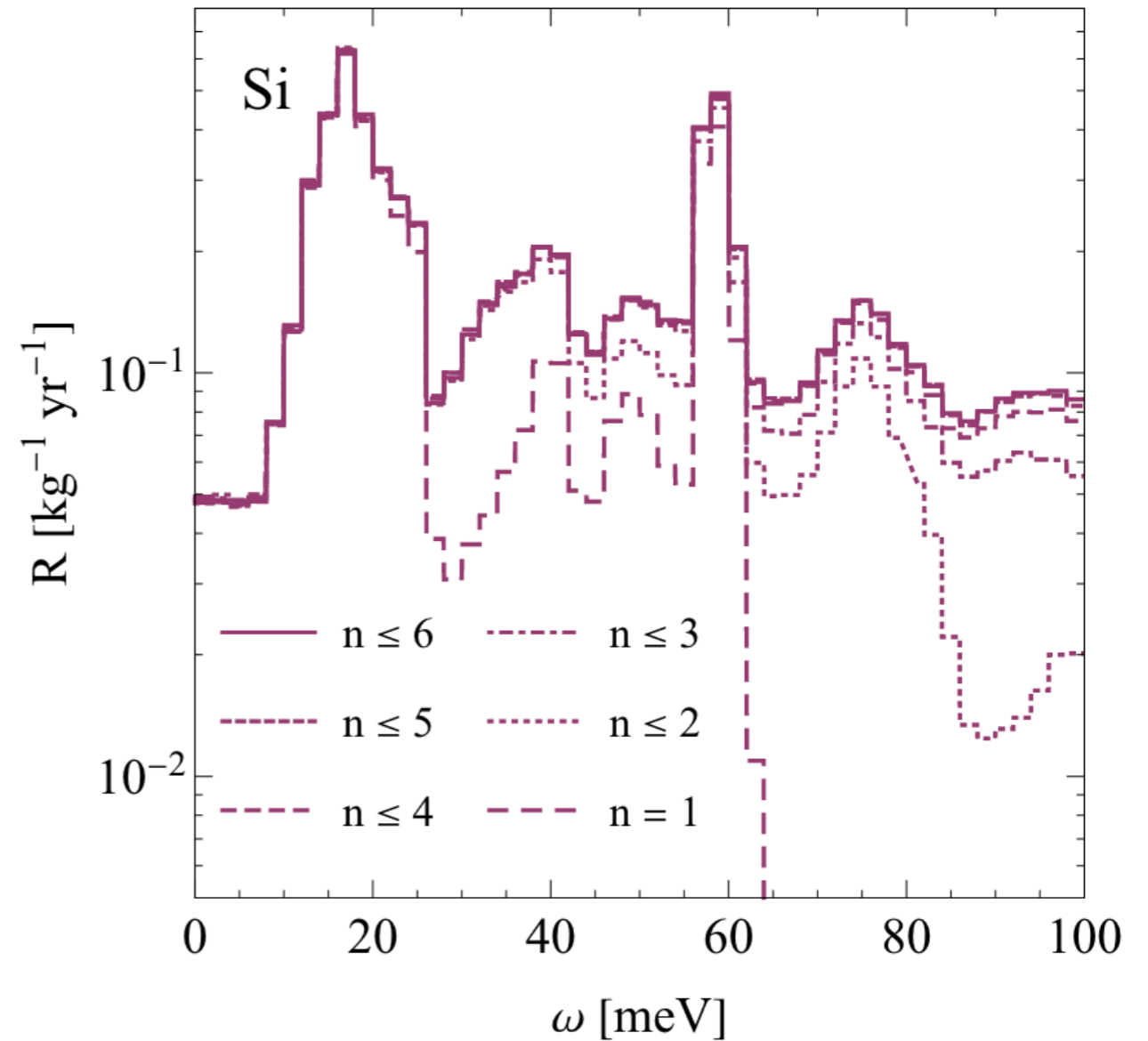
Backgrounds

Coherent scattering of high energy (\sim MeV) photons off ions



$$\frac{d\sigma}{d\Omega d\omega} = \frac{d\sigma}{d\Omega}(\mathbf{q}, E_\gamma) S(\mathbf{q}, \omega)$$

↑ Coherent ion scattering
 ↑ Structure factor for nuclear recoils



A. Robinson 1610.07656

Figure from Berghaus, Essig, Hochberg, Shoji, Sholapurkar 2112.09702

DM scattering in crystals

