

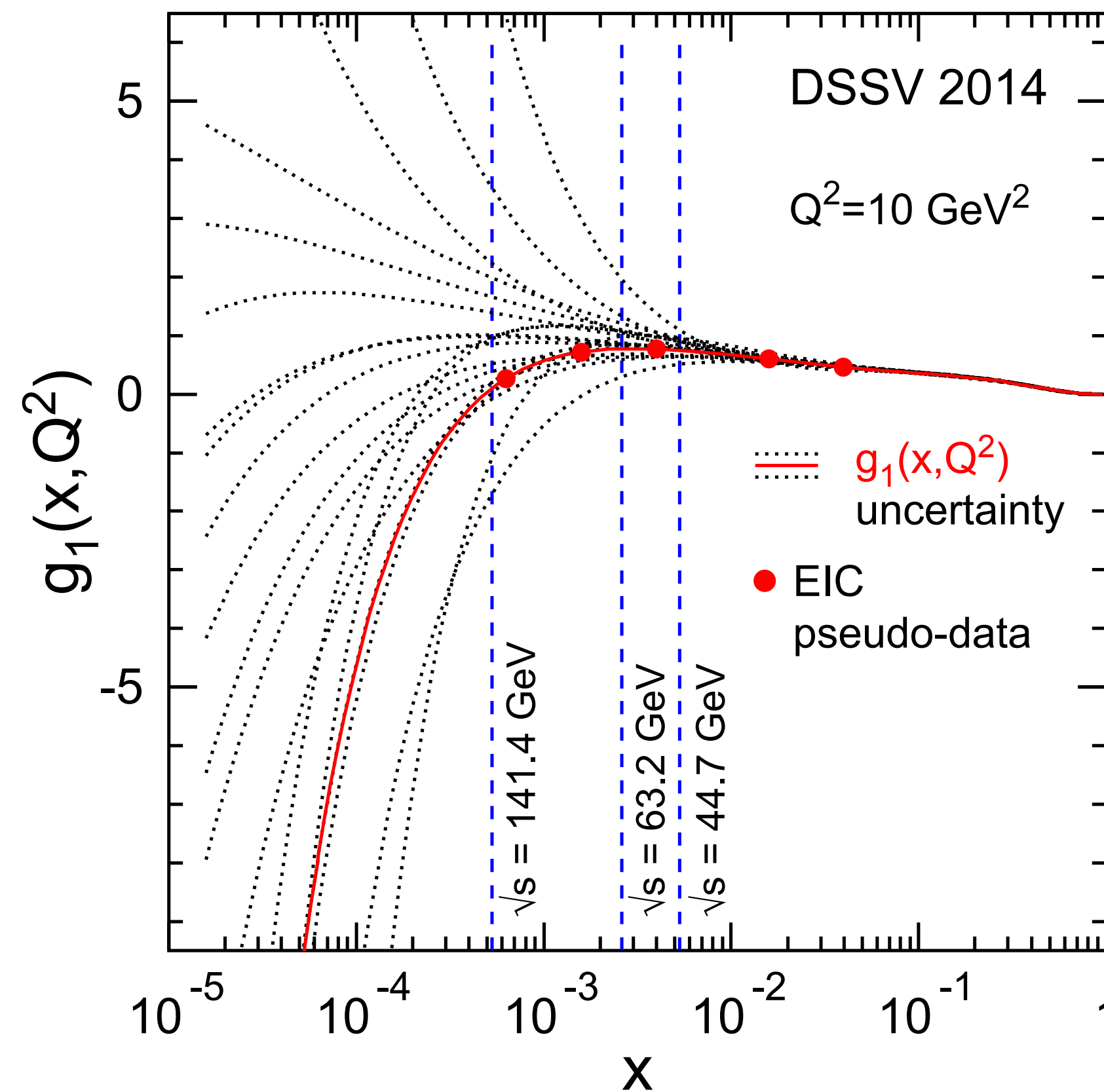
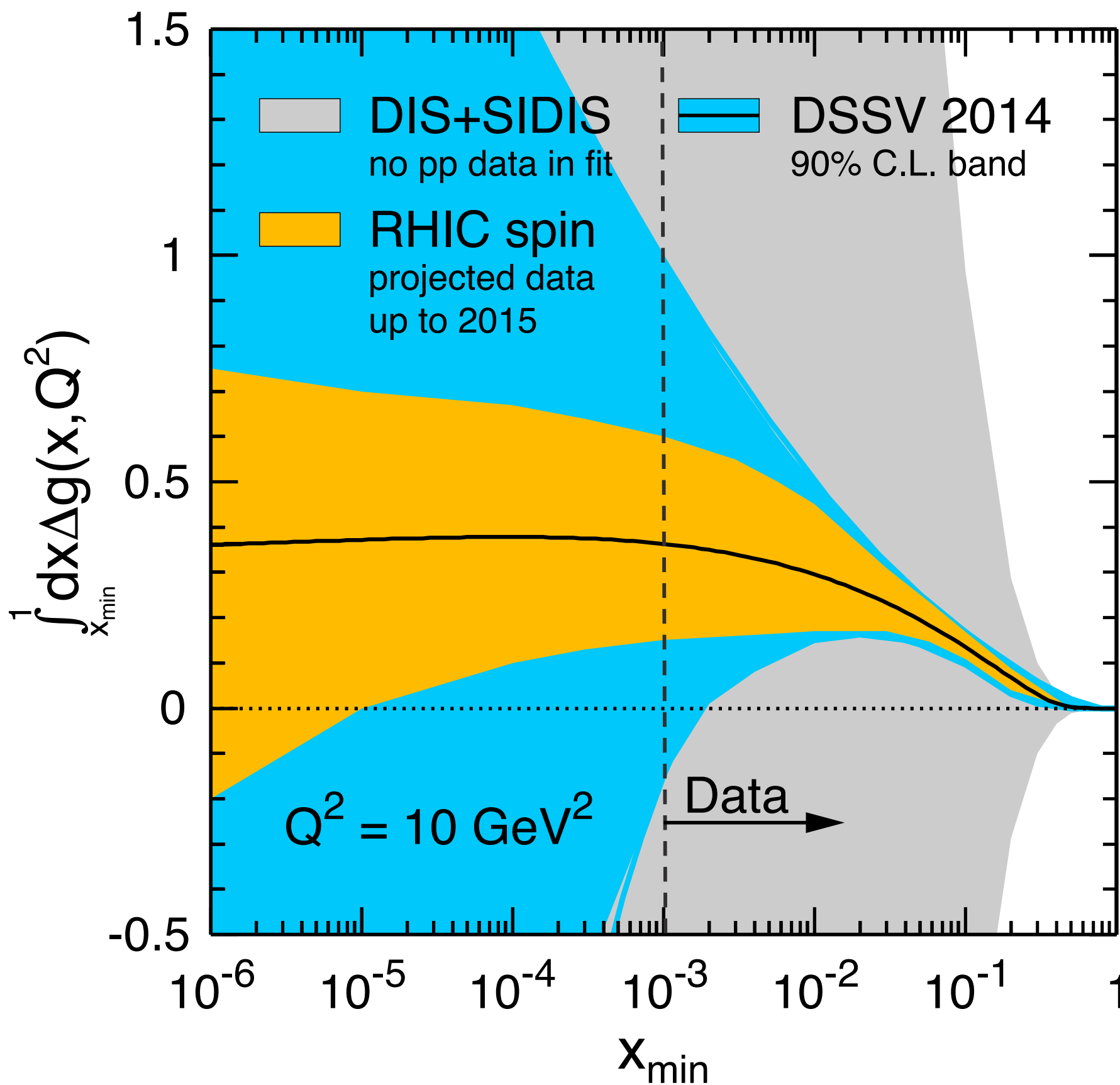
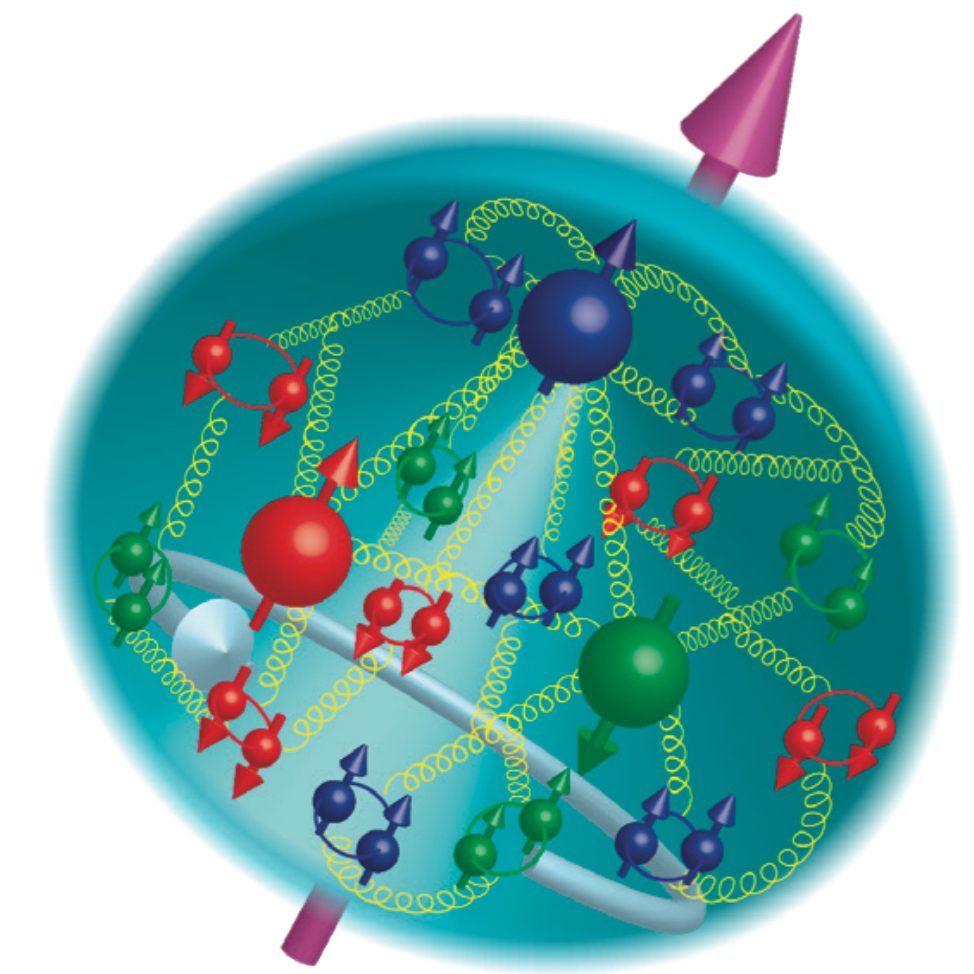
# Quark and Gluon Helicity Evolution at Small $x$

**Andrey Tarasov**

Based on  
Florian Cougoulic, Yuri Kovchegov, Andrey Tarasov, Yossathorn Tawabutr, JHEP 07 (2022) 095

# Proton helicity structure

The fundamental properties of hadrons, and in particular its spin, are defined by the complex dynamics of quarks and gluons which form a strongly bonded **many-body parton system**. This dynamics in the context of spin dependent observables is not well understood (spin puzzle, large uncertainties at small-x etc.)



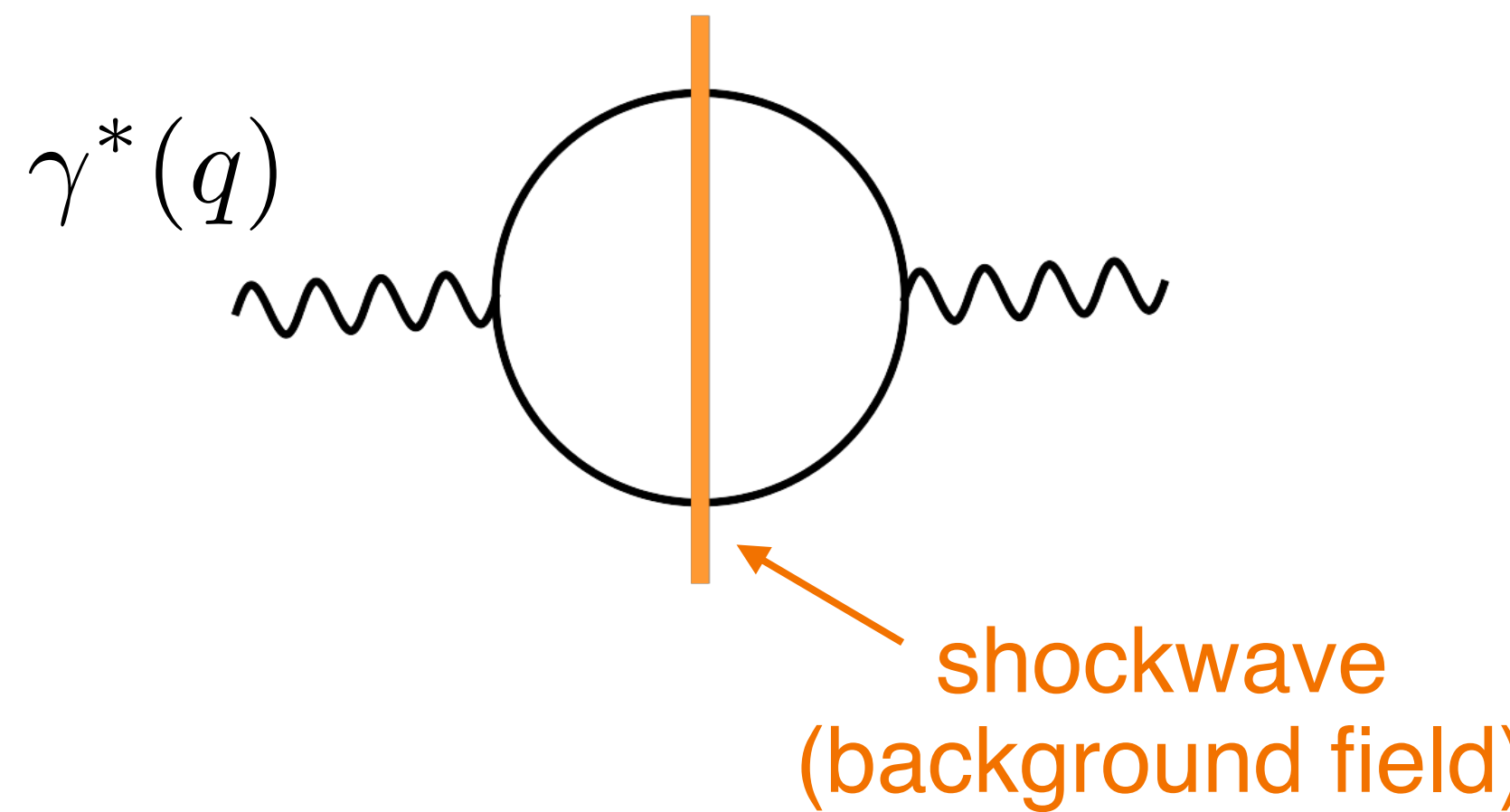
$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L_q + L_g$$

There is a lot of interest to study quark and gluon helicity evolution at small x

D. De Florian, R. Sassot, M. Stratmann,  
W. Vogelsang, PRL 113 (2014)

# Helicity and sub-eikonal corrections at small-x

The analysis is non-trivial since it requires calculation of the sub-eikonal corrections at small-x. Indeed, in the leading (eikonal) approximation:



The diagram shows a circular scattering region with a vertical orange line through its center, labeled "shockwave (background field)". Two wavy lines representing incoming particles enter from the left, with the leftmost one labeled  $\gamma^*(q)$ .

$$\sigma \propto \int \frac{d^2 p_{\perp}}{4\pi^2} I(p_{\perp}, q_{\perp}) \text{Tr}\{U(p_{\perp})U^{\dagger}(q_{\perp} - p_{\perp})\}$$

Balitsky (1996)

Light-cone Wilson lines contain no information on the proton helicity

In the CGC EFT the shockwave background field has an infinitesimally small support and doesn't have the transverse component:

$$A_{\text{cl}}^+(x) = -\frac{1}{\partial_{\perp}^2} \rho(x_{\perp}) \delta(x^{-}); \quad A_{\text{cl}}^-(x) = A_{\text{cl}}^i(x) = 0$$

McLerran, Venugopalan (1994)

To include spin effects one has to take into account **sub-eikonal corrections**, related both to the  $A_i$  component and non-zero width of the shock-wave

# Sub-eikonal corrections and the role of the anomaly in DIS

Understanding of the **sub-eikonal corrections** is essential for revealing of the role of the anomaly high-energy scattering

$$S^\mu \Delta\Sigma(Q^2) = \frac{1}{M_N} \langle P, S | J_5^\mu(0) | P, S \rangle$$

Fundamental property of the current. The anomaly equation

$$\partial^\mu J_\mu^5(x) = \frac{n_f \alpha_s}{2\pi} \text{Tr} \left( F_{\mu\nu}(x) \tilde{F}^{\mu\nu}(x) \right)$$

The isosinglet current couples to the **topological charge density** in the polarized proton!

Hard to see in the standard pQCD calculations!



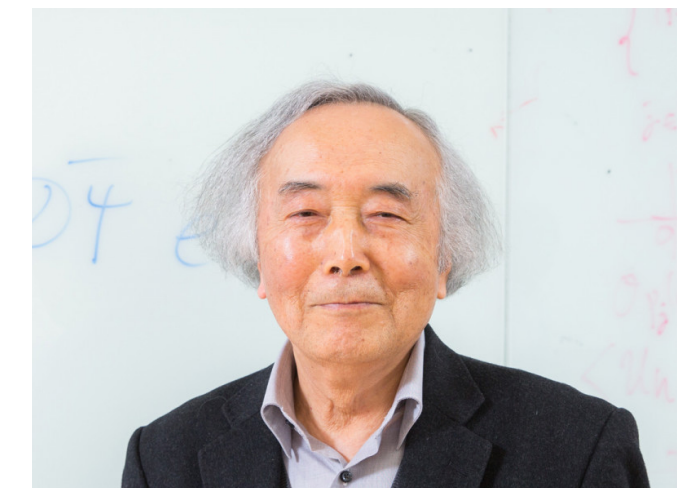
Steven Adler



John S. Bell



Roman Jackiw



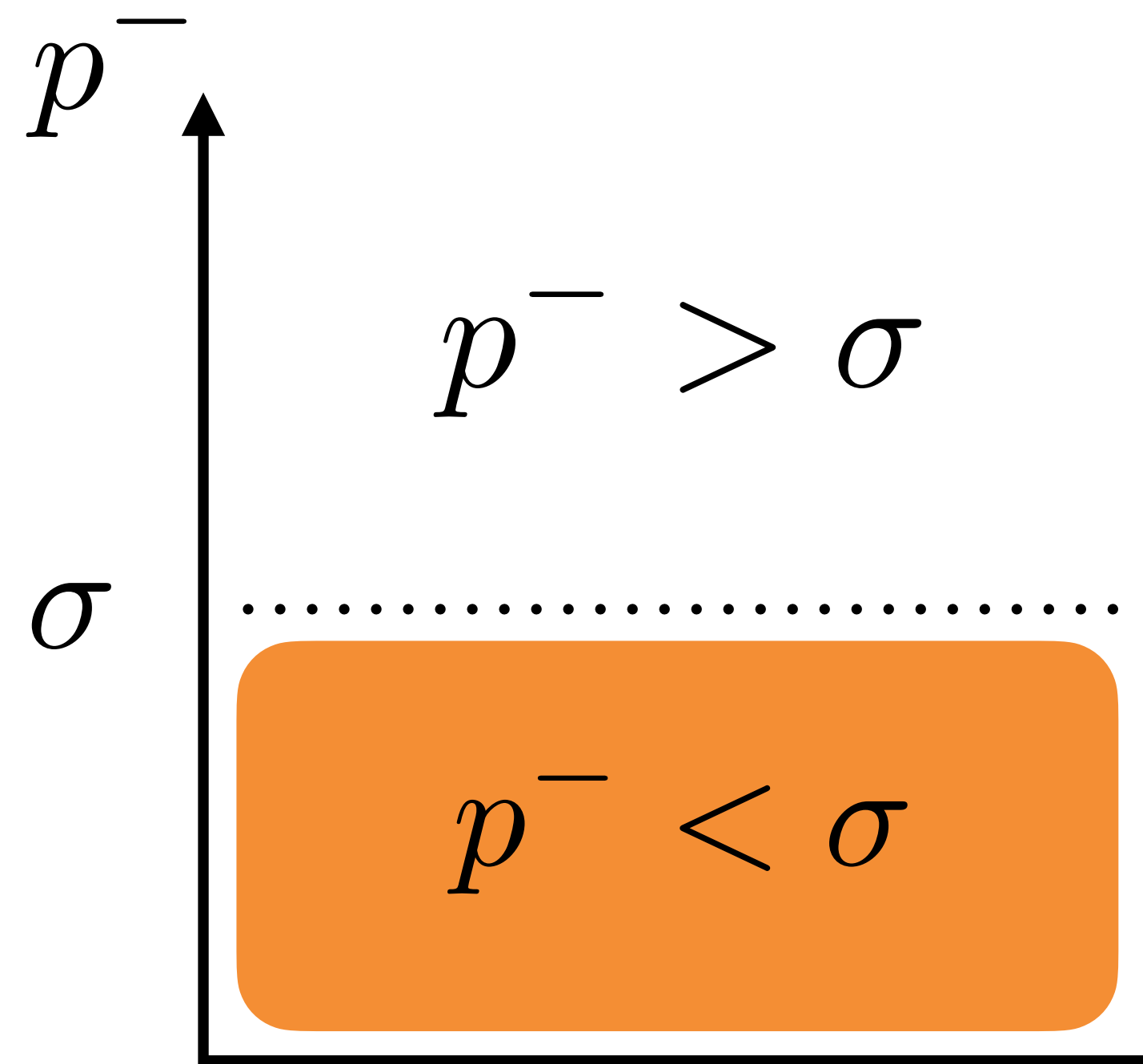
$$S^\mu g_1(x_B, Q^2) \Big|_{Q^2 \rightarrow \infty} = \sum_f e_f^2 \frac{\alpha_s}{i\pi M_N} \int_{x_B}^1 \frac{dx}{x} \left(1 - \frac{x_B}{x}\right) \int \frac{d\xi}{2\pi} e^{-i\xi x} \lim_{l_\mu \rightarrow 0} \boxed{\frac{l^\mu}{l^2}} \langle P', S | \boxed{\text{Tr}_c F_{\alpha\beta}(\xi n) \tilde{F}^{\alpha\beta}(0)} | P, S \rangle + \mathcal{O}\left(\frac{\Lambda_{QCD}^2}{Q^2}\right)$$

infrared pole

Shore, Veneziano (1992)  
 Jaffe, Manohar (1990)  
 Tarasov, Venugopalan (2020)  
 Bhattacharya, Hatta, Vogelsang (2022)

# Factorization scheme

To define the structure of the sub-eikonal correction one first needs to define the factorization scheme. Since we work in the small- $x$  limit we use the **rapidity factorization**, where all fields are divided based on the value of the  $p^-$  component



A diagram illustrating the factorization scheme. A circle represents a loop of fast fields. A vertical orange line represents a fast field. Wavy lines represent slow fields. Arrows point from the labels "fast fields" and "slow fields" to the respective parts of the diagram.

$$\sigma \propto \int \frac{d^2 p_{\perp}}{4\pi^2} I(p_{\perp}, q_{\perp}) \text{Tr}\{U(p_{\perp})U^{\dagger}(q_{\perp} - p_{\perp})\}$$

Impact factor can be calculated by explicit integration over fast fields ( $p^- > \sigma$ ), while slow fields ( $p^- < \sigma$ ) are fixed and give rise to the operator

# Background field method

The separation of fields into “slow” and “fast” can be formally done in the background field method. We start with a matrix element of an arbitrary operator:

$$\langle P_1 | \mathcal{O} | P_2 \rangle = \int \mathcal{D}A \int \mathcal{D}\psi \Psi_{P_1}^* (\vec{A}(t_f), \psi(t_f)) \mathcal{O}(A, \psi) \Psi_{P_2} (\vec{A}(t_i), \psi(t_i)) e^{iS_{QCD}(A, \psi)}$$

Abbott (1981)

and separate fields into fast (“quantum”) and slow (“background”):

$$A_\mu \rightarrow A_\mu^q + A_\mu^{\text{bg}}, \quad \psi \rightarrow \psi^q + \psi^{\text{bg}}$$

as a result the matrix element can be rewritten as

$$\langle P_1 | \mathcal{O} | P_2 \rangle = \int \mathcal{D}A^{\text{bg}} \int \mathcal{D}\psi^{\text{bg}} \Psi_{P_1}^* (\vec{A}^{\text{bg}}(t_f), \psi^{\text{bg}}(t_f)) \tilde{\mathcal{O}}(A^{\text{bg}}, \psi^{\text{bg}}, \sigma) \Psi_{P_2} (\vec{A}^{\text{bg}}(t_i), \psi^{\text{bg}}(t_i)) e^{iS_{QCD}(A^{\text{bg}}, \psi^{\text{bg}})}$$

where

we fix background fields

integrate over quantum fields

$$\tilde{\mathcal{O}}(A^{\text{bg}}, \psi^{\text{bg}}, \sigma) = \int \mathcal{D}A^q \int \mathcal{D}\psi^q \mathcal{O}(A^q + A^{\text{bg}}, \psi^q + \psi^{\text{bg}}) e^{iS_{bQCD}(A^q, \psi^q; A^{\text{bg}}, \psi^{\text{bg}})}$$

and the QCD action in the background fields is

$$S_{bQCD}(A^q, \psi^q; A^{\text{bg}}, \psi^{\text{bg}}) = S_{QCD}(A^q + A^{\text{bg}}, \psi^q + \psi^{\text{bg}}) - S_{QCD}(A^{\text{bg}}, \psi^{\text{bg}})$$

# Propagators in the background field

Our goal is to perform integration over quantum fields which in general gives the following result

$$\tilde{\mathcal{O}}(A^{\text{bg}}, \psi^{\text{bg}}, \sigma) = \sum_i C_i(\sigma) \otimes \mathcal{V}_i(A^{\text{bg}}, \psi^{\text{bg}}, \sigma)$$

Integration over quantum fields generates propagators in the background field.

scalar propagator:

$$(x | \frac{1}{P^2 + i\epsilon} | y) = (x | \frac{1}{p^2 + g\{p^\mu, A_\mu(x)\} + g^2 A^\mu(x)A_\mu(x) + i\epsilon} | y)$$

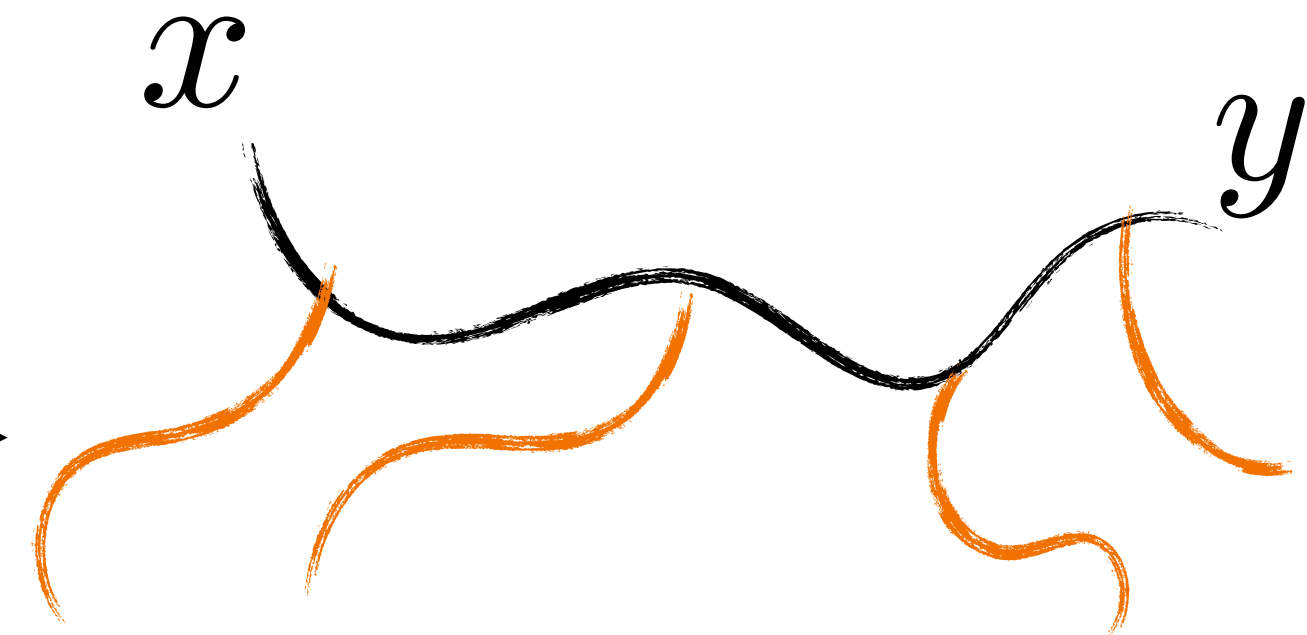
quark propagator:

$$\Gamma [\psi(x)\bar{\psi}(y)]_A = (x | \frac{i}{\not{P} + i\epsilon} | y) = (x | \not{P} \frac{i}{P^2 + \frac{g}{2}\sigma^{\mu\nu}F_{\mu\nu} + i\epsilon} | y)$$

genuine helicity dependent contribution, leads to  $F_{12}$

Worldline representation of the propagator

$$(x | \frac{1}{\not{P} + i\epsilon} | y) = -i\mathcal{N}^{-1} \int_0^\infty dT \int_{x(0)=y}^{x(T)=x} \mathcal{D}x(\tau) \left( \frac{1}{2}\dot{x} + A \right) e^{-i \int_0^T d\tau \frac{1}{4}\dot{x}^2} P \exp \left( ig \int_0^T d\tau (\dot{x}^\mu A_\mu + \frac{1}{2}\sigma^{\mu\nu}F_{\mu\nu}) \right)$$



background fields

scalar phase, leads to DGLAP

# Eikonal expansion of the gluon propagator (axial gauge)

The general form of the propagators has to be simplified. We construct an eikonal expansion in the shock-wave approximation of the propagators which is suited to the rapidity factorization

$$T [C_\mu^a(x) C_\nu^b(y)] = -\frac{1}{2\pi} \int_0^\infty \frac{dp^-}{2p^-} e^{-ip^-(x-y)^+}$$

describes interaction with the background field

$$\times (x_\perp | (g_{\mu i} - \frac{n_\mu}{p^-} p_i)^{ac} e^{-i \frac{p_\perp^2}{2p^-} x^-} \mathcal{G}^{ij}(\infty, -\infty) e^{i \frac{p_\perp^2}{2p^-} y^-} (g_{j\nu} - p_j \frac{n_\nu}{p^-})^{db} | y_\perp) + \dots$$

eikonal contribution

$$\mathcal{G}^{ij}(\infty, -\infty) = g^{ij} U + \frac{g^{ij} s}{2P^+ p^-} U^{\text{q}[2]} + \frac{i\epsilon^{ij} s}{2P^+ p^-} U^{\text{pol}[1]}$$

$$- \frac{igg^{ij}}{2p^-} p^k \int_{-\infty}^\infty dz^- z^- U[\infty, z^-] \mathcal{F}_{-k} U[z^-, -\infty] - \frac{igg^{ij}}{2p^-} \int_{-\infty}^\infty dz^- z^- U[\infty, z^-] \mathcal{F}_{-k} U[z^-, -\infty] p^k$$

$$+ \frac{ig^2 g^{ij}}{2p^-} \int_{-\infty}^\infty dz_1^- \int_{-\infty}^{z_1^-} dz_2^- (z_1^- - z_2^-) U[\infty, z_1^-] \mathcal{F}_{-k} U[z_1^-, z_2^-] \mathcal{F}_{-k} U[z_2^-, -\infty] + O\left(\frac{1}{(p^-)^2}\right).$$

There are different operators at the sub-eikonal level

Sub-eikonal corrections are suppressed by  $1/p^-$

see also Kovchegov, Pitonyak, Sievert (2017)  
 Altinoluk, Armesto, Beuf, Martínez, Salgado (2014)  
 Chirilli (2019)  
 Balitsky, Tarasov (2015)



# Helicity evolution and sub-eikonal operators

We find that only two operators contribute to the helicity evolution. There is a genuine helicity dependent operator (e.g. see term in the quark propagator) which gives an amplitude

$$Q_{10}(\sigma) \equiv \frac{1}{2N_c} \left\langle\left\langle \text{T tr} \left[ V_0 V_1^{\text{pol}[1] \dagger} \right] + \text{T tr} \left[ V_1^{\text{pol}[1]} V_0^\dagger \right] \right\rangle\right\rangle(\sigma) \quad V_x^{\text{pol}[1]} = V_x^{\text{G}[1]} + V_x^{\text{q}[1]}$$

$$V_x^{\text{G}[1]} = \frac{i g P^+}{s} \int_{-\infty}^{\infty} dx^- V_x[\infty, x^-] F^{12}(x^-, x_\perp) V_x[x^-, -\infty],$$

$$V_x^{\text{q}[1]} = \frac{g^2 P^+}{2s} \int_{-\infty}^{\infty} dx_1^- \int_{x_1^-}^{\infty} dx_2^- V_x[\infty, x_2^-] t^b \psi_\beta(x_2^-, x_\perp) U_x^{ba}[x_2^-, x_1^-] [\gamma^+ \gamma^5]_{\alpha\beta} \bar{\psi}_\alpha(x_1^-, x_\perp) t^a V_x[x_1^-, -\infty]$$

Note that this operator doesn't contribute in the collinear limit

Helicity evolution which includes this operator has been studied before ([Kovchegov, Pitonyak, Sievert 2016-2019](#)), however the result didn't match the DGLAP evolution ([Bartels, Ermolaev and Ryskin 1996](#)).

# Helicity evolution and sub-eikonal operators

We find another operator at the sub-eikonal level which generates the DGLAP evolution. This operator comes from the **scalar phase** in the propagator when we expand it onto the light-cone direction. In particular at small- $x$  this operator describes corrections due to non-zero width of the shock-wave.

$$G_{10}^i(\sigma) \equiv \frac{igP^+}{2sN_c} \left\langle\left\langle \text{T tr} \left[ V_0^\dagger \int_{-\infty}^{\infty} dz^- z^- V_1[\infty, z^-] F^{+i} V_1[z^-, -\infty] \right] + \text{c.c.} \right\rangle\right\rangle(\sigma)$$

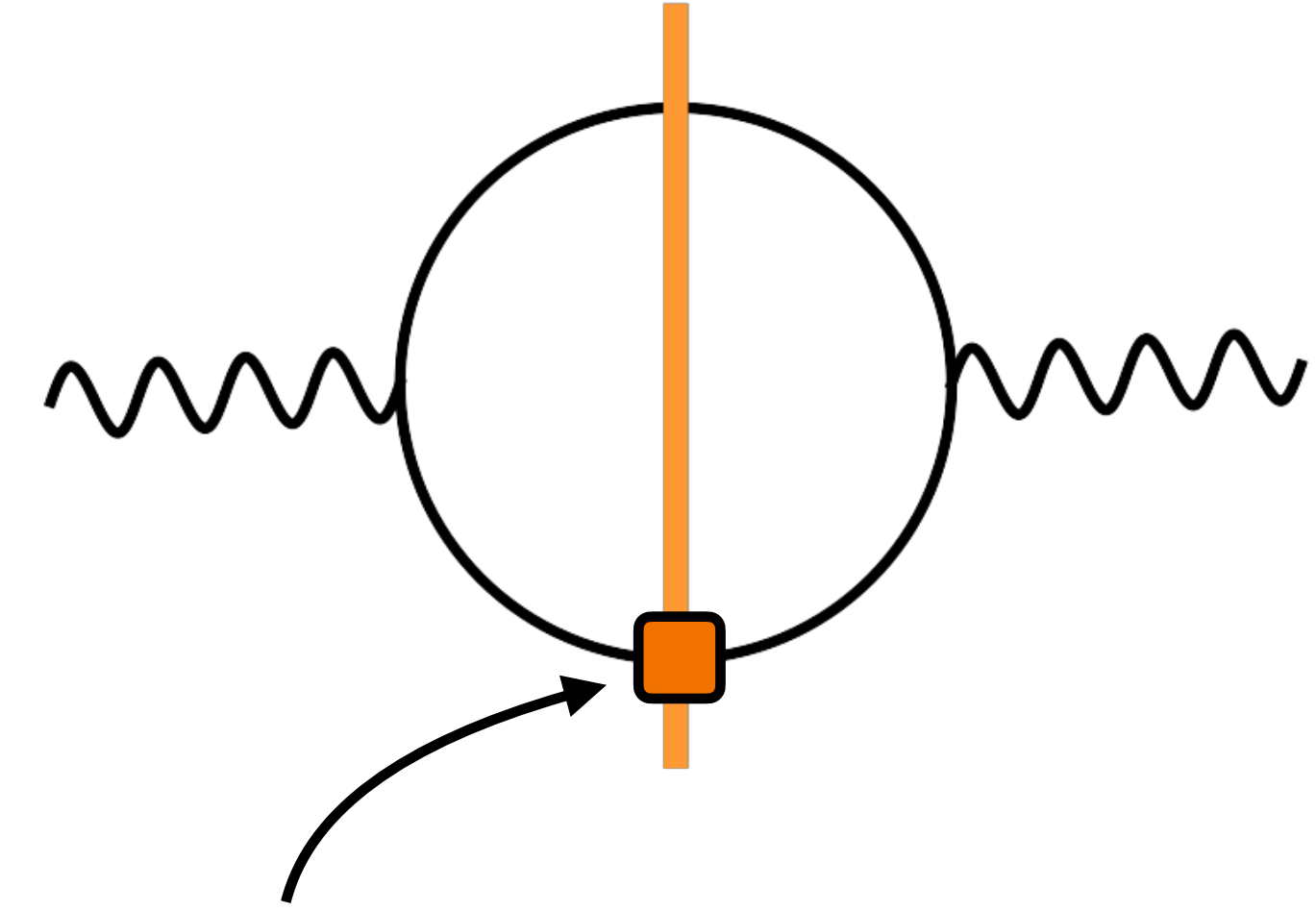
This operator is related to the Jaffe-Manohar polarized gluon distribution. Can be obtained by expanding the exponential factor in the latter:

$$\begin{aligned} & \int_{-\infty}^{\infty} dz^- e^{ixP^+ z^-} V_1[\infty, z^-] F^{+i}(z^-, x_1) V_1[z^-, -\infty] \\ &= - \int_{-\infty}^{\infty} dz^- V_1[\infty, z^-] \partial^i A^+ V_1[z^-, -\infty] + ixP^+ \int_{-\infty}^{\infty} dz^- z^- V_1[\infty, z^-] F^{+i} V_1[z^-, -\infty] + \dots \end{aligned}$$

The operator can be also rewritten as  $ig \int_{-\infty}^{\infty} dz^- z^- V_x[\infty, z^-] F_{-k} V_x[z^-, -\infty] = \frac{1}{2} \int_{-\infty}^{\infty} dz^- V_x[\infty, z^-] \left[ D_k - \overleftarrow{D}_k \right] V_x[z^-, -\infty]$

# Sub-eikonal corrections and helicity dependent observables

$$\sigma^{\gamma^* p} \propto - \sum_f \frac{N_c Z_f^2}{4\pi^4} \int d^2 x_{10} \int_{\Lambda^2/s}^1 \frac{dz}{z} \left\{ 2 [z^2 + (1-z)^2] a_f^2 [K_1(x_{10} a_f)]^2 G_2(x_{10}^2, z s) \right. \\ \left. + \left[ (1-2z) a_f^2 [K_1(x_{10} a_f)]^2 - m_f^2 [K_0(x_{10} a_f)]^2 \right] Q(x_{10}^2, z s) \right\}$$



where dipole amplitudes are integrated over impact parameter:

$$\int d^2 \left( \frac{x_1 + x_0}{2} \right) G_{10}^i(zs) = (x_{10})_{\perp}^i G_1(x_{10}^2, zs) + \epsilon^{ij} (x_{10})_{\perp}^j G_2(x_{10}^2, zs)$$

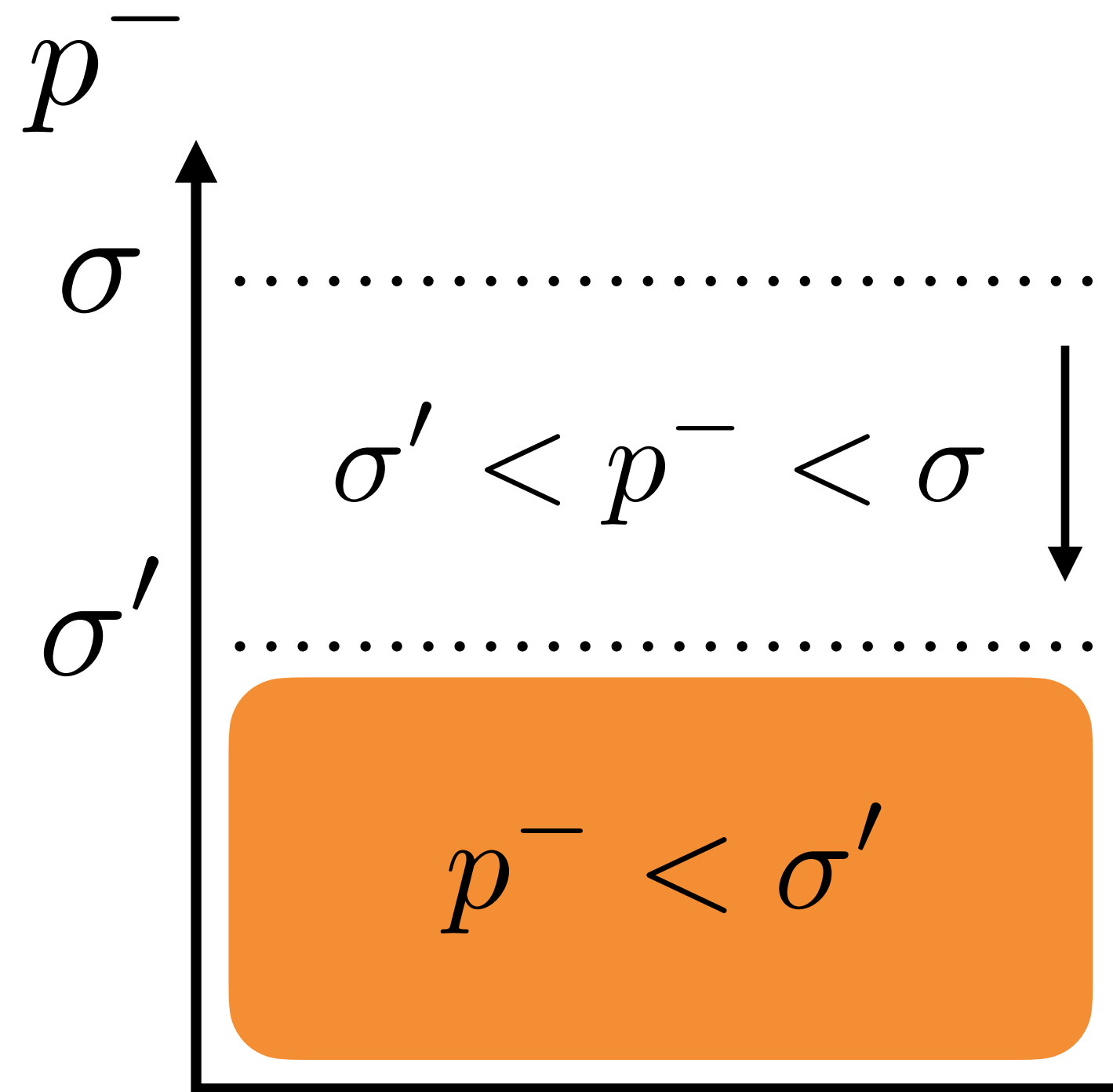
$$\int d^2 \left( \frac{x_0 + x_1}{2} \right) Q_{10}(zs) = Q(x_{10}^2, zs)$$

Helicity dependent interaction via sub-eikonal operators

# Evolution in the rapidity factorization approach

Introduce a new scale  $\sigma'$  and redefine the background fields as

$$A_{\mu}^{\text{bg}} \rightarrow \hat{A}_{\mu}^{\text{q}} + \hat{A}_{\mu}^{\text{bg}}, \quad \psi^{\text{bg}} \rightarrow \hat{\psi}^{\text{q}} + \hat{\psi}^{\text{bg}}$$



Perform integration over new quantum fields in

$$\text{T} [\mathcal{V}_i(A^{\text{bg}}, \psi^{\text{bg}}, \sigma)]$$

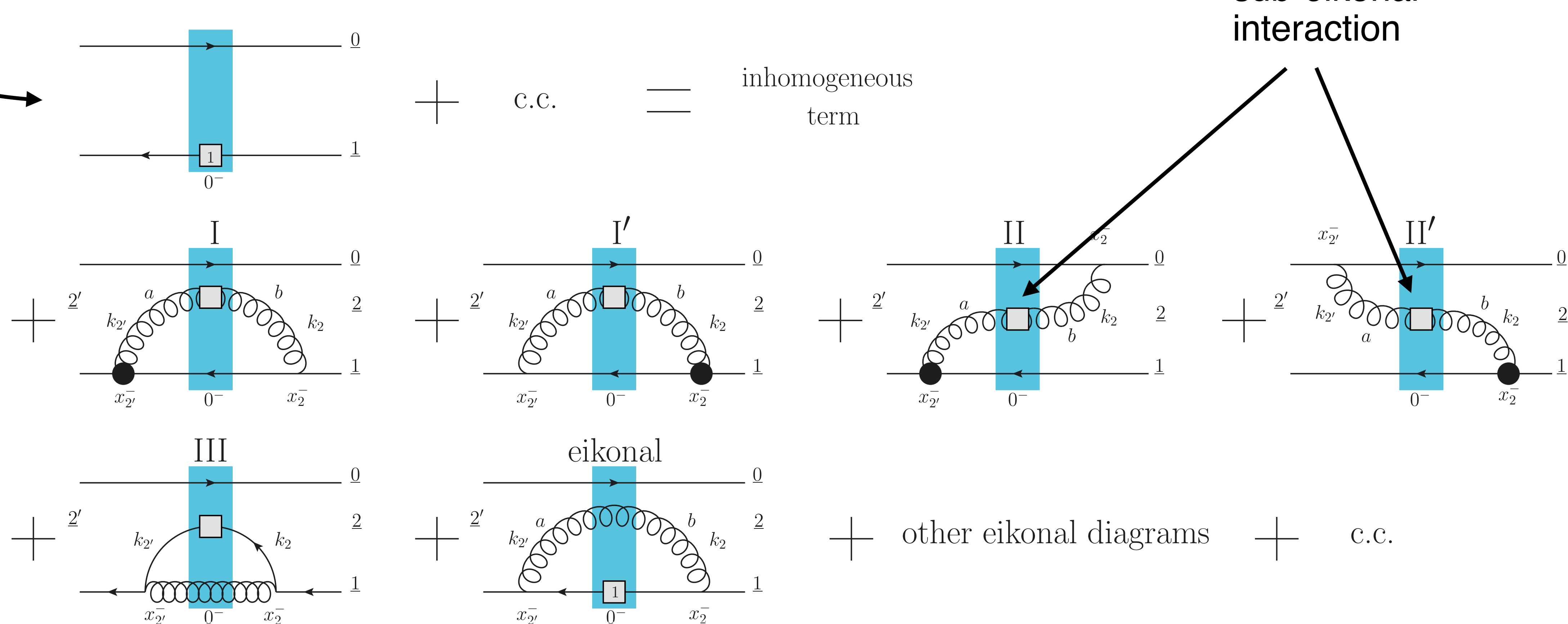
$$\equiv \int \mathcal{D}\hat{A}^{\text{q}} \int \mathcal{D}\hat{\psi}^{\text{q}} \mathcal{V}_i(\hat{A}^{\text{q}} + \hat{A}^{\text{bg}}, \hat{\psi}^{\text{q}} + \hat{\psi}^{\text{bg}}, \sigma) e^{iS_{\text{bQC}}(\hat{A}^{\text{q}}, \hat{\psi}^{\text{q}}; \hat{A}^{\text{bg}}, \hat{\psi}^{\text{bg}})}$$

The result of integration yields an evolution equation of the following form

$$\text{T} [\mathcal{V}_i(A^{\text{bg}}, \psi^{\text{bg}}, \sigma)] = \int_{\sigma'}^{\sigma} \frac{dp^-}{p^-} \sum_j \mathcal{K}_{ij} \otimes \mathcal{V}_j(\hat{A}^{\text{bg}}, \hat{\psi}^{\text{bg}}, \sigma')$$

# Evolution diagrams

$$Q_{10}(\sigma) \equiv \frac{1}{2N_c} \left\langle\left\langle \text{T tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] + \text{T tr} \left[ V_1^{\text{pol}[1]} V_0^\dagger \right] \right\rangle\right\rangle(\sigma)$$



Similar diagrams for

$$G_{10}^i(\sigma) \equiv \frac{igP^+}{2sN_c} \left\langle\left\langle \text{T tr} \left[ V_0^\dagger \int_{-\infty}^{\infty} dz^- z^- V_1[\infty, z^-] F^{+i} V_1[z^-, -\infty] \right] + \text{c.c.} \right\rangle\right\rangle(\sigma)$$

# Evolution equations

$$\begin{aligned}
& \frac{1}{2N_c} \left\langle\left\langle \text{T tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] + \text{c.c.} \right\rangle\right\rangle(\sigma) = \frac{1}{2N_c} \left\langle\left\langle \text{T tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] + \text{c.c.} \right\rangle\right\rangle_0(\sigma) \\
& + \frac{\alpha_s N_c}{2\pi^2} \int_{\sigma'}^{\sigma} \frac{dp^-}{p^-} \int d^2 x_2 \left\{ \left[ \frac{1}{x_{21}^2} - \frac{x_{21} \cdot x_{20}}{x_{21}^2 x_{20}^2} \right] \frac{1}{N_c^2} \left\langle\left\langle \text{tr} \left[ t^b V_0 t^a V_1^\dagger \right] (U_2^{\text{pol}[1]})^{ba} + \text{c.c.} \right\rangle\right\rangle(\sigma') \right. \\
& + \left. \left[ 2\epsilon^{ij} \frac{x_{21}^j}{x_{21}^4} - \frac{\epsilon^{ij} (x_{21}^j + x_{20}^j)}{x_{21}^2 x_{20}^2} - \frac{2x_{20} \times x_{21}}{x_{21}^2 x_{20}^2} \left( \frac{x_{21}^i}{x_{21}^2} - \frac{x_{20}^i}{x_{20}^2} \right) \right] \frac{1}{N_c^2} \left\langle\left\langle \text{tr} \left[ t^b V_0 t^a V_1^\dagger \right] (U_2^{i\text{G}[2]})^{ba} + \text{c.c.} \right\rangle\right\rangle(\sigma') \right\} \\
& + \frac{\alpha_s N_c}{4\pi^2} \int_{\sigma'}^{\sigma} \frac{dp^-}{p^-} \int \frac{d^2 x_2}{x_{21}^2} \left\{ \frac{1}{N_c^2} \left\langle\left\langle \text{tr} [V_0 t^a V_2^{\text{pol}[1]\dagger} t^b] U_1^{ba} \right\rangle\right\rangle(\sigma') + 2 \frac{\epsilon^{ij} x_{21}^j}{x_{21}^2} \frac{1}{N_c^2} \left\langle\left\langle \text{tr} [t^b V_0 t^a V_2^{i\text{G}[2]\dagger}] U_1^{ba} \right\rangle\right\rangle(\sigma') + \text{c.c.} \right\} \\
& + \frac{\alpha_s N_c}{2\pi^2} \int_{\sigma'}^{\sigma} \frac{dp^-}{p^-} \int d^2 x_2 \frac{x_{10}^2}{x_{21}^2 x_{20}^2} \left\{ \frac{1}{N_c^2} \left\langle\left\langle \text{tr} \left[ t^b V_0 t^a V_1^{\text{pol}[1]\dagger} \right] U_2^{ba} \right\rangle\right\rangle(\sigma') - \frac{C_F}{N_c^2} \left\langle\left\langle \text{tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] \right\rangle\right\rangle(\sigma') + \text{c.c.} \right\}
\end{aligned}$$

where 
$$V_z^{i\text{G}[2]} \equiv \frac{P^+}{2s} \int_{-\infty}^{\infty} dz^- V_z[\infty, z^-] \left[ D^i(z^-, z_\perp) - \overleftarrow{D}^i(z^-, z_\perp) \right] V_z[z^-, -\infty]$$

which gives rise to the dipole amplitude  $G_{10}^i$ . We construct an evolution equation for this amplitude as well. The evolution equations contain mixing between two types of operators.

# Evolution equations in the large $N_c$ limit

We obtain a closed system of DLA evolution equations for helicity at large  $N_c$  (four equations in total)

Cougoulic, Kovchegov, Tarasov, Tawabutr (2022)

$$G(x_{10}^2, z s) = G^{(0)}(x_{10}^2, z s) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{s x_{10}^2}}^z \frac{dz'}{z'} \int_{\frac{1}{z' s}}^{x_{10}^2} \frac{dx_{21}^2}{x_{21}^2} \left[ \Gamma(x_{10}^2, x_{21}^2, z' s) + 3 G(x_{21}^2, z' s) + 2 G_2(x_{21}^2, z' s) + 2 \Gamma_2(x_{10}^2, x_{21}^2, z' s) \right]$$

$$\Gamma(x_{10}^2, x_{21}^2, z' s) = G^{(0)}(x_{10}^2, z' s) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{s x_{10}^2}}^{z'} \frac{dz''}{z''} \int_{\frac{1}{z'' s}}^{\min[x_{10}^2, x_{21}^2 \frac{z'}{z''}]} \frac{dx_{32}^2}{x_{32}^2} \left[ \Gamma(x_{10}^2, x_{32}^2, z'' s) + 3 G(x_{32}^2, z'' s) + 2 G_2(x_{32}^2, z'' s) + 2 \Gamma_2(x_{10}^2, x_{32}^2, z'' s) \right]$$

+ two similar equations for  $G_2$  and  $\Gamma_2$

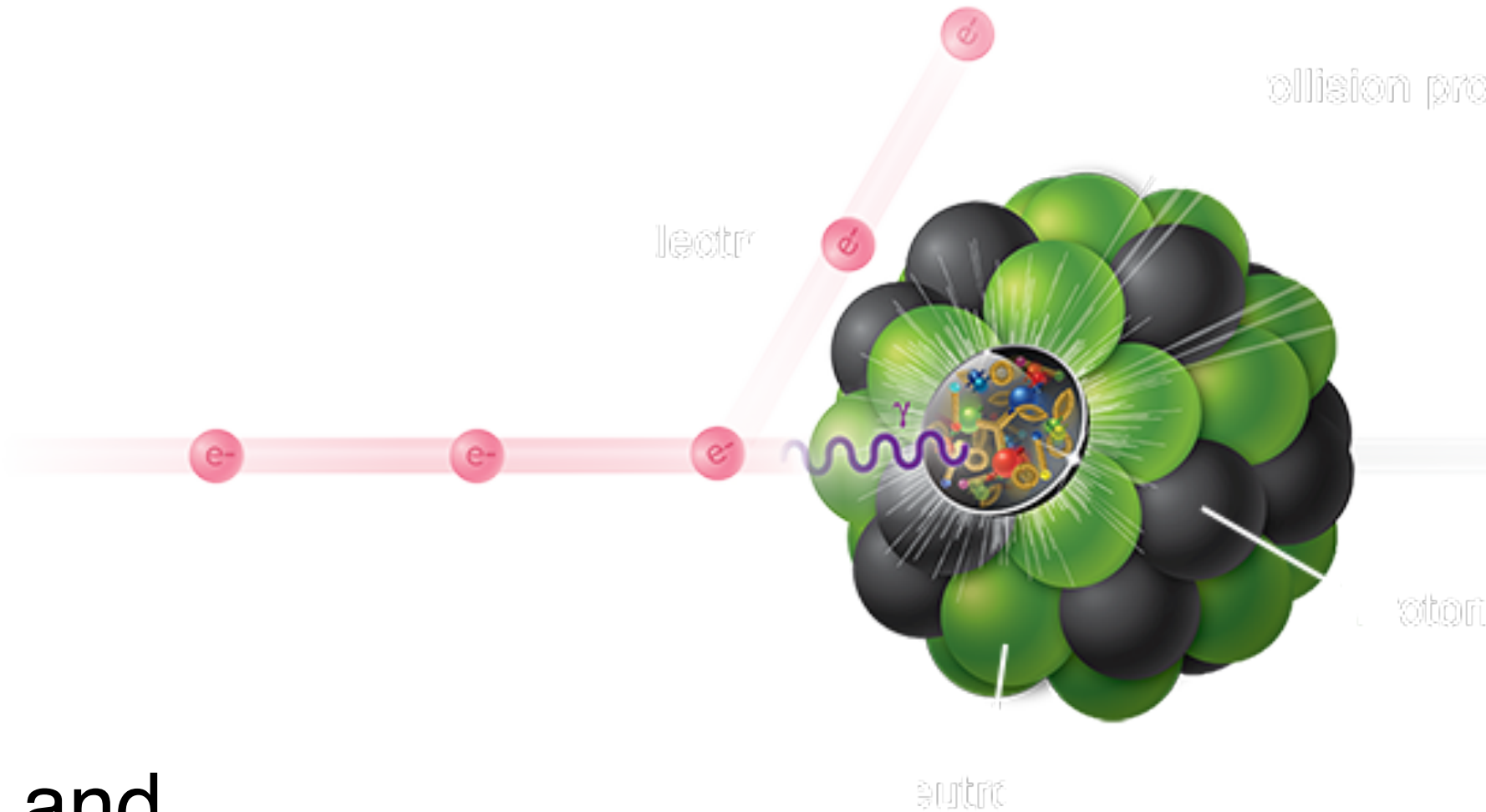
where amplitudes  $G$ ,  $\Gamma$ ,  $G_2$  and  $\Gamma_2$  parametrize operators with dipole amplitudes  $G_{10}^i$  and  $Q_{10}$ .

One can construct a numerical solution of these equations, which leads to a result which is consistent with the small-x DGLAP evolution and is in complete agreement with the result obtained in the infrared evolution equations (IREE) approach (see Bartels, Ermolaev and Ryskin 1996)

$$\Delta\Sigma(x, Q^2) \sim \Delta G(x, Q^2) \sim \left(\frac{1}{x}\right)^{3.66\sqrt{\frac{\alpha_s N_c}{2\pi}}}$$

# Summary

- We consider the problem of small Bjorken- $x$  evolution of the gluon and flavor-singlet quark helicity distributions in the shock-wave formalism
- We obtain a complete set of the sub-eikonal corrections relevant to the small- $x$  helicity evolution
- We find that the evolution contains not only fields strength operator  $F_{12}$  and quark axial current  $\bar{\psi}\gamma^+\gamma_5\psi$ , but also a sub-eikonal operator  $D^i - \overleftarrow{D}^i$
- The operator  $D^i - \overleftarrow{D}^i$  is related to the Jaffe-Manohar polarized gluon distribution and has a meaning of the sub-eikonal (covariant) phase
- We construct novel evolution equations mixing all three operators
- We also construct closed double-logarithmic evolution equations in the large- $N_c$  and large- $N_c$  &  $N_f$  limits





**Thank you for your attention!**