

# The Chase for Large Dynamic Aperture vFFA Lattices...

*(or)*

Exploring Nonlinear Optics  
using Active Deep Learning  
for vFFA Lattice Design

*Adrian Oeftiger, Andrea Santamaria Garcia,  
Jean-Baptiste Lagrange, Simon Hirlaender*

This talk links to and builds on

- Feb '21 S. Machida et al., PRAB 24, 021601: *“Optics design of vertical excursion fixed-field alternating gradient accelerators”* ↗
- 30.09.21 S. Machida, FFA'21: *“Optics Design of vFFA”*  
→ vFFA triplet lattice, half-integer resonance, simulation analysis
- 12.09.23 M. Topp-Mugglestone, FFA'23:  
*“Analytical model of vertical FFAs”* ↗  
→ vFFA triplet lattice, analytical analysis
- 15.09.23 M. Topp-Mugglestone, FFA'23: *“The FFA Code FixField”* ↗  
→ tracking code used to compute closed orbit + dynamic aperture

## ■ Introduction

## ■ Results

- Domain of Stable Closed Orbits
- Dynamic Aperture
- Tune Space

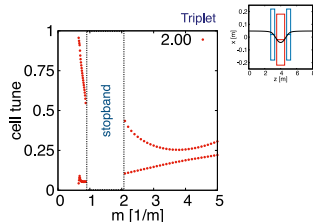
## ■ Approach

Starting point:

- vFFA reference lattice for ISIS2-FETS parameters:
  - has very good dynamic aperture (DA), but
  - tunes are close to half-integer resonance stopband?
- first simplistic space charge studies:
  - beam intensity seems strongly limited by half-integer stopband

S. Machida, FFA'21

Straight lattice without total bending

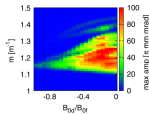


Motivating question for this study!

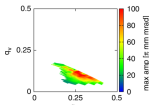
How can we “tune” the betatron tunes of the vFFA (at design stage) while maximising DA?

Starting from S. Machida et al., PRAB 24, 021601:

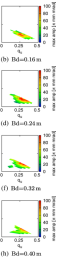
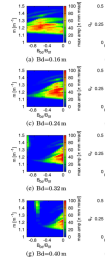
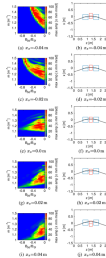
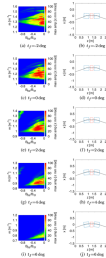
- 2D sections through multi-dimensional (5D) lattice parameter space investigated for **max. DA**
- simulation parameters scanned on regular 2D grids



(a)  $m$  and  $B_{0y}/B_{0z}$  space.



(b)  $q_x$  and  $q_y$  space.



- first significant insights for best DA (but grid scanning in full 5D space requires considerable computational effort)
- ↪ can one improve coverage of parameter space by educated “guessing”?

**Goal** of present study: explore parameter space guided by data-driven approach to investigate relation between tunes and DA

- ⇒ accommodate for space charge tune spread away from stopbands
- ⇒ get lattice parameters for chosen tunes where DA is max!

**Goal** of present study: explore parameter space guided by data-driven approach to investigate relation between tunes and DA

- ⇒ accommodate for space charge tune spread away from stopbands
- ⇒ get lattice parameters for chosen tunes where DA is max!

**Approach:** active learning (iterative supervised learning)

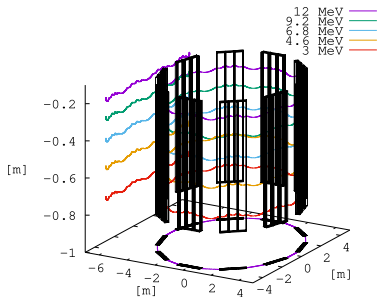
- build **uncertainty-aware** surrogate model to predict DA for lattice
  - query model for largest uncertainties
  - run DA simulations for these parameters
- ⇒ improve surrogate model accuracy iteratively!

**Status:**

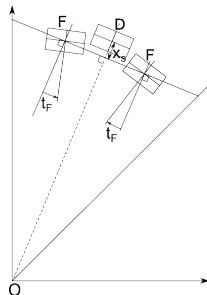
- ⇒ simulated 200000 lattices, collected 51356 stable closed orbits & DA

- 10-cell FDF triplet lattice, magnet length 50 cm, 3 MeV proton
- arctan fringe field model with  $\lambda = 15$  cm, simulated in FixField
- 5D lattice parameter space (similar to PRAB'21):

$$(B_{0f}, B_{0d}, m, x_s, t_f) \in \mathcal{L}$$



(a) closed orbits for  $(-1\text{T}, 1.15\text{T}, 1.31\text{m}^{-1}, 0, 0)$   
 (reference lattice for ISIS2 FETS-vFFA)



(b) 5 parameters



# Results

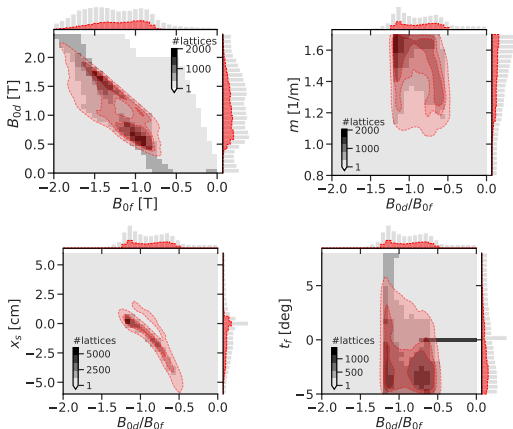
```

all_data
  bbf    bbd      m      xs      tilt  ID_clo  has_CO      x      xp      y      yp  stable_CO  qu      qv  ID_da      u      v  beta_u  beta_v  DA
0  -1.71821  0.0659491  1.05303 -0.016848  6.42728  0_0000  False  NaN      NaN      NaN      NaN      False  NaN      NaN      0      0      NaN      NaN      NaN
1  -1.46663  1.09767  1.28828 -0.0176404  2.70019  0_0001  False  NaN      NaN      NaN      NaN      False  NaN      NaN      0      0      NaN      NaN      NaN
2  -0.0500765  0.0486  1.09101 -0.041357  6.90459  0_0002  False  NaN      NaN      NaN      NaN      False  NaN      NaN      0      0      NaN      NaN      NaN
3  -0.822423  0.715469  1.16307  0.0538947  1.24005  0_0003  False  NaN      NaN      NaN      NaN      False  NaN      NaN      0      0      NaN      NaN      NaN
4  -1.05525  1.20707  0.859408  0.0410143  6.1225  0_0004  False  NaN      NaN      NaN      NaN      False  NaN      NaN      0      0      NaN      NaN      NaN
...
...
199856  -0.892178  0.71482  1.10493 -0.0147489  -4.2395  True  4.33672  8.80784e-06  -0.874347  7.4742e-06  True  0.79981  0.100356  6_9996  0.019886  0.047535  1.201174  5.355880  0.000751109
199857  -0.985175  0.579237  1.58108 -0.0415676  -3.81063  True  4.27842  2.20019e-06  -0.615888  3.91223e-07  True  0.864784  0.179517  6_9997  0.016077  0.007984  2.190085  2.894207  0.000140043
199858  -1.31767  1.02342  1.49853 -0.0189886  -1.45044  True  4.3177  -7.44249e-11  -0.792047  9.14914e-10  True  0.862953  0.164953  6_9998  0.019981  0.016847  1.954631  3.200540  0.000292933
199859  -1.34421  0.86187  1.66586 -0.0325432  -4.93544  True  4.29268  -9.85006e-06  -0.740032  -1.16912e-06  True  0.885052  0.208064  6_9999  0.012257  0.004212  2.687962  2.630656  6.26354e-05
199860  -1  1.15  1.31  0  0  True  4.35951  2.90234e-10  -0.728627  -3.27974e-10  True  0.243428  0.120039  7_0  0.026527  0.05986  0.951543  4.38116  0.00155739
199861 rows x 20 columns
  
```

⇒ collected data for 199861 simulated lattices (available on github!):

- closed orbit coordinates
- betatron tunes:  $q_u, q_v$
- maximum stable amplitudes in decoupled space:  $A_u, A_v$
- decoupled beta functions:  $\beta_u, \beta_v$
- transverse dynamic aperture:  $D = \frac{A_u^2}{\beta_u} + \frac{A_v^2}{\beta_v}$

# Domain of Stable CO



Distribution of identified **stable** closed orbits in 5D lattice parameter space. The number of lattices simulated per bin is shown in grey, where non-white bins contain at least one simulation. The red contours show the kernel density estimation for the distribution of valid closed orbits.

From FixField get 1-cell transfer matrix:

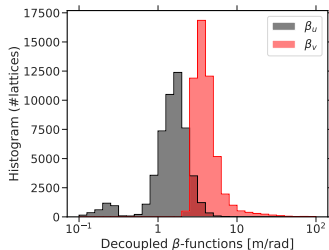
- matrix in real (coupled) space,  $\mathbb{T}$ 
  - eigenvalues  $\Rightarrow$  betatron tunes  $q_u, q_v$   
(chosen such that  $q_u > q_v$ ) if stable
- decoupled diagonal block matrix  
(with Parzen procedure [PAC'97]),  
 $\hat{\mathbb{T}} = \mathbb{R}\mathbb{T}\mathbb{R}^{-1}$ 
  - decoupled  $\beta$ -functions from

$$\hat{\mathbb{T}}_{12} = \beta_u \sin(2\pi q_u)$$

and

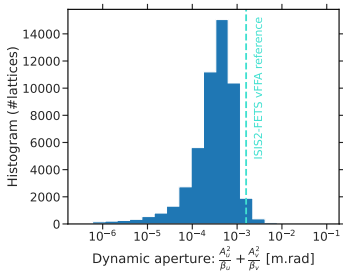
$$\hat{\mathbb{T}}_{34} = \beta_v \sin(2\pi q_v)$$

(or vice versa)

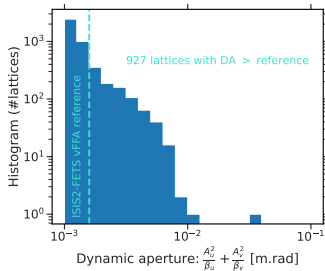


**Figure:** decoupled  $\beta$ -functions histogram

Dynamic aperture from interval bisection in  $u$  and  $v$ , separately:



(a) DA histogram

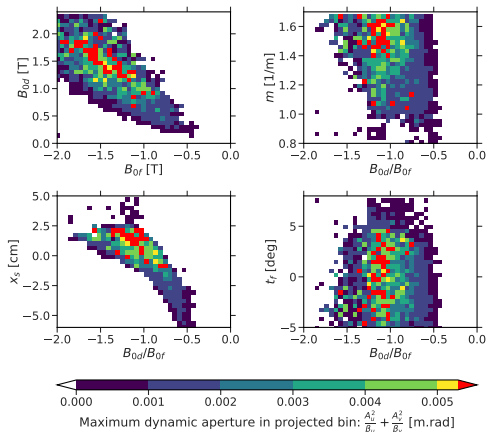


(b) zoom on upper DA histogram end

- reference vFFA ISIS2-FETS lattice:  $\mathcal{L} = (-1T, 1.15T, 1.31\text{m}^{-1}, 0, 0)$  features a dynamic aperture of  $D = 1.6 \times 10^{-3}$

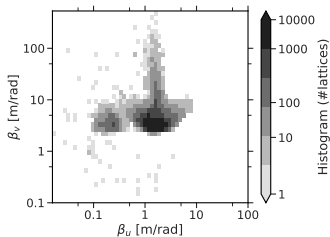
⇒ found nearly 1000 lattices with larger DA

# Dynamic Aperture in $\mathcal{L}$

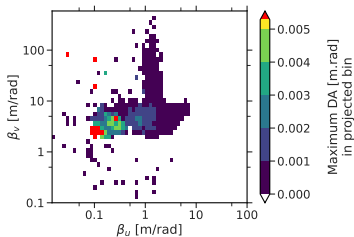


Simulation results for dynamic aperture (DA) in 5D lattice parameter space. Each panel plots the maximum DA per projected bin for the nearly 50 000 valid closed orbits. Red bins contain the **top 50 lattices** (overall maximum DA).

Stable lattices in decoupled  $\beta$ -function space:



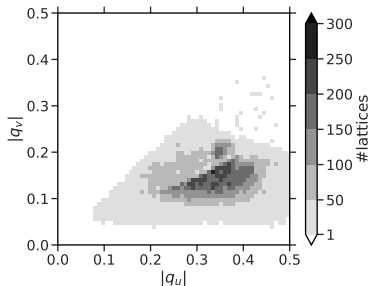
(a) histogram of stable lattices



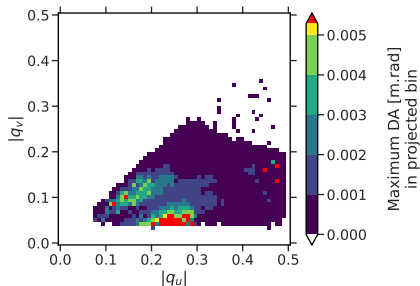
(b) max. DA vs. decoupled  $\beta$ -functions

⇒ most large DA lattices located around  $\beta_u = 0.1$  m and  $\beta_v = 3$  m

## Stable lattices in betatron tune space:



(a) histogram of stable lattices



(b) maximum DA vs. tunes

- ⇒ most large DA lattices are located close to half-integer resonance
- ⇒ few “outliers” feature very large  $\beta_{u,v}$  functions, to be understood...



Approach

# Approach: Details

Approach in 3 steps (each iteration = 10k simulations):

1. uniform random sampling: **20k samples**  
⇒ 429 lattices with CO

Approach in 3 steps (each iteration = 10k simulations):

1. uniform random sampling: **20k samples**
  - ⇒ 429 lattices with CO
2. rejection sampling using classifier: **150k more samples**
  - classifier: 5D input  $\mathcal{L}$  → output = probability of CO existence
  - reject lattices with too low predicted probability!
  - ⇒  $\approx$  40k lattices with CO

Approach in 3 steps (each iteration = 10k simulations):

1. uniform random sampling: **20k samples**
  - ⇒ 429 lattices with CO
2. rejection sampling using classifier: **150k more samples**
  - classifier: 5D input  $\mathcal{L} \rightarrow$  output = probability of CO existence
  - reject lattices with too low predicted probability!
  - ⇒  $\approx$  40k lattices with CO
3. rejection sampling + prediction with DA surrogate model: **30k more samples**
  - ensemble of 5 deep neural networks predict DA
  - ensemble mean = prediction, ensemble std = uncertainty
  - ⇒  $\approx$  99.5% lattices with CO

⇒ 200k total lattices with  $\approx$  25% stable CO

# Simulation Duration

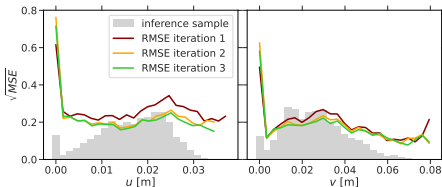
Simulations with FixField on the GSI Green Cube (virgo cluster):

- CO simulation only:  $\approx 1$  min CPU wall time
- CO + 2D DA simulation:  $\approx 5$  h CPU wall time

Step	Lattice samples	Total CPU wall time
1. uniform random sampling	20000	$\approx 2$ weeks
2. rejection sampling with classifier	150000	$\approx 3.5$ months
3. rejection sampling + DA surrogate	30000	$\approx 17$ years

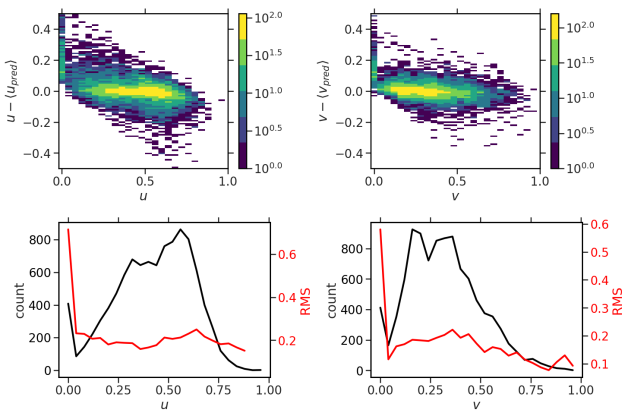
+ evaluating DA for  $\approx 20000$  lattice samples from steps 1.+2. = 11 years CPU wall time

Prediction on unseen test data (last 10000 data points):



Observation:

- uncertainty vs. DA amplitude goes down with iteration
- ↪ can we accelerate the improvement with better neural network structure?



**Figure:** Prediction Accuracy vs. (normalised) DA amplitude per plane  
(model trained on  $\approx 40000$  data points)

→ remove unstable closed orbits (DA=0) from NN prediction model?

# Conclusion

## Summary:

- data-driven approach successively builds a prediction model:  $\mathcal{L} \mapsto \text{DA}$
- predict most interesting area in lattice space  $\mathcal{L}$  for next simulations
- collected  $\approx 50000$  lattices with stable closed orbit (CO)
- trained model is  $> 99.5\%$  successful in predicting CO
- established  $\approx 50000$  links between tunes & DA



## Summary:

- data-driven approach successively builds a prediction model:  $\mathcal{L} \mapsto \text{DA}$
- predict most interesting area in lattice space  $\mathcal{L}$  for next simulations
- collected  $\approx 50000$  lattices with stable closed orbit (CO)
- trained model is  $> 99.5\%$  successful in predicting CO
- established  $\approx 50000$  links between tunes & DA

## Next Steps:

1. include stability criterion in CO classification  
(so NNs only need to predict finite DA values and not zeros)
2. improve NN prediction model with quantitative analysis  
(metric: uncertainty prediction between nearest neighbour data points in lattice space)
3. develop inverse prediction model: tunes  $\mapsto \mathcal{L}|_{\text{max. DA}}$

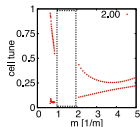
**Thank you for your attention!**

**Acknowledgements: Shinji Machida**

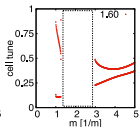
## S. Machida on FFA'21

### With different bending angle

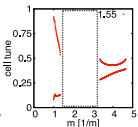
Infinity (straight)



30 cell

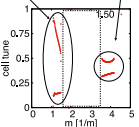


25 cell

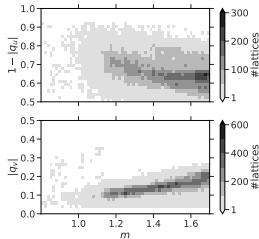


FETS-FFA uses this area.  
ISIS-II uses this area.

20 cell



- Stable area is divided into two regions: below and above  $m \sim 2$ .
  - Half integer stop band between two regions
- In the left region, one of tune decreases with increase of  $m$ .
- The right region disappears when the number of cell reduced below 20.



histogram of stable lattices