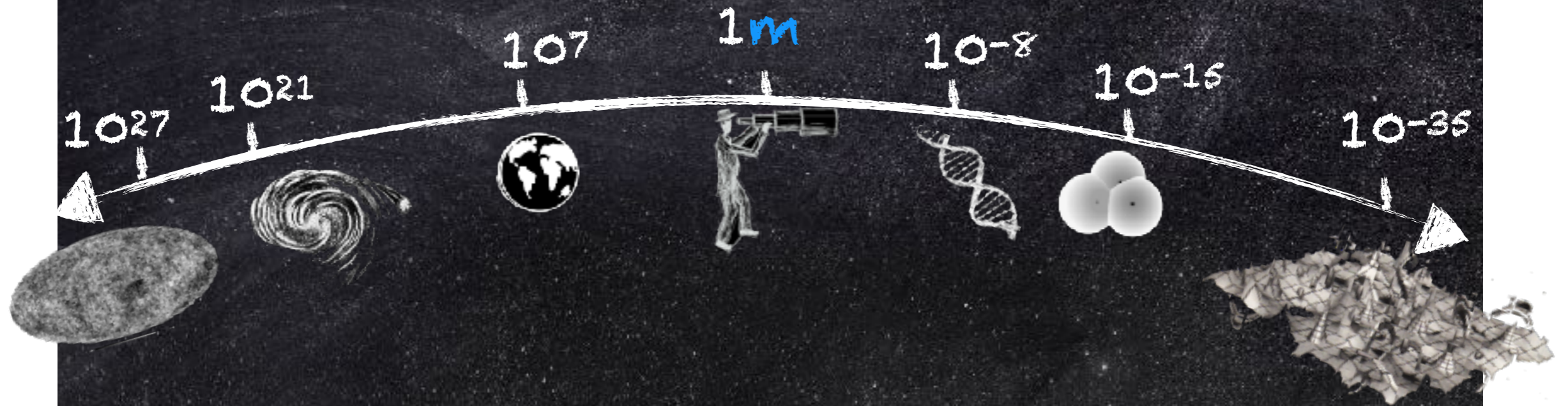


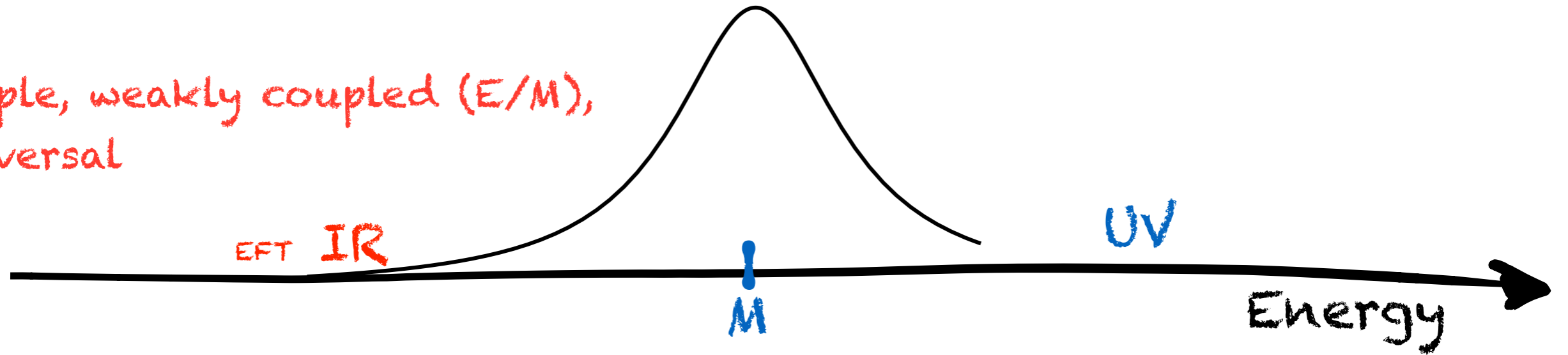
Positivity of Effective Field Theories and Large-N QCD



Francesco Riva
(UNIGE)

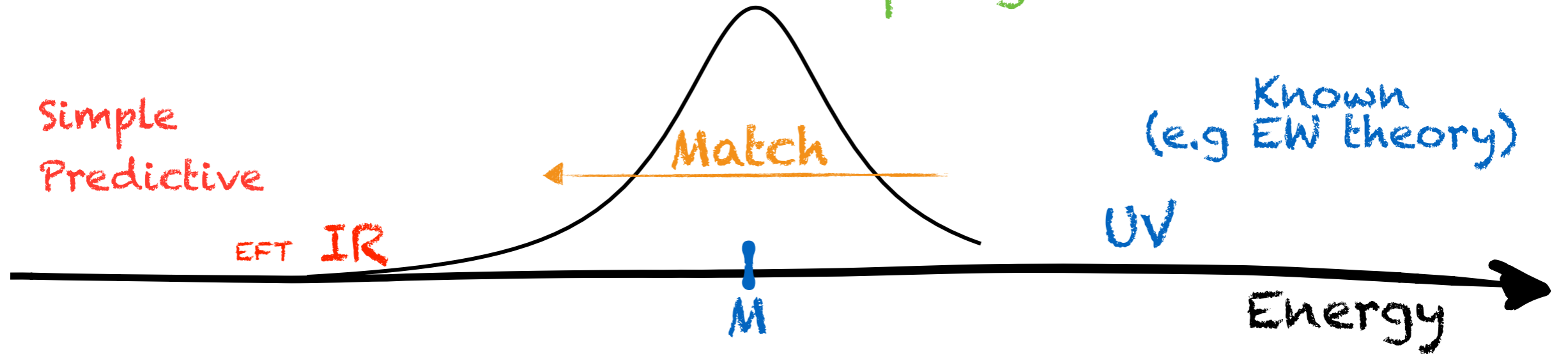
Effective Field Theories

Simple, weakly coupled (E/M),
universal



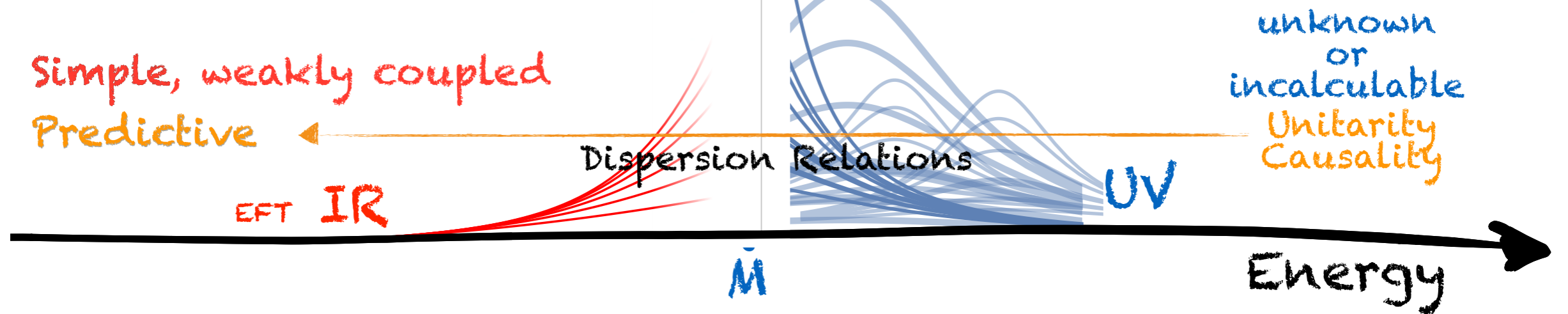
Effective Field Theories

at Weak Coupling



Effective Field Theories

BSM EFT, Gravity, Chiral P.T.



$$A_{2 \rightarrow 2}^{Tree} = \underbrace{c_2}_{\text{U(1) Goldstone}} \frac{(s^2 + t^2 + u^2)}{2} - \underbrace{c_3}_{\text{Galileon (massive/modified gravity)}} stu + \underbrace{c_4}_{\text{Galileon (massive/modified gravity)}} \frac{(s^2 + t^2 + u^2)^2}{4} + \dots$$

Lore: all **E**-behaviours **IR** consistent!

But not all are **UV** completable!

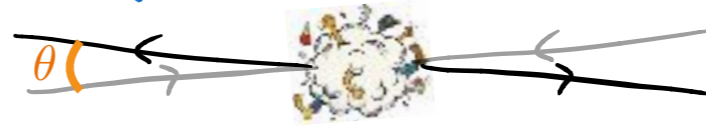
Outline

1. Dispersion relations for EFTs
2. Positivity for BSM phenomenology
3. Large- N QCD

UV-IR Connection

Froissart, Martin', ... 60s
 Adams, Arkani-Hamed, Dubovsky,
 Nicolis, Rattazzi '06,
 ...

Forward Scattering $t \sim \theta^2 = 0 \rightarrow$



Total energy $\uparrow s = E^2$

Physical Properties

Causality

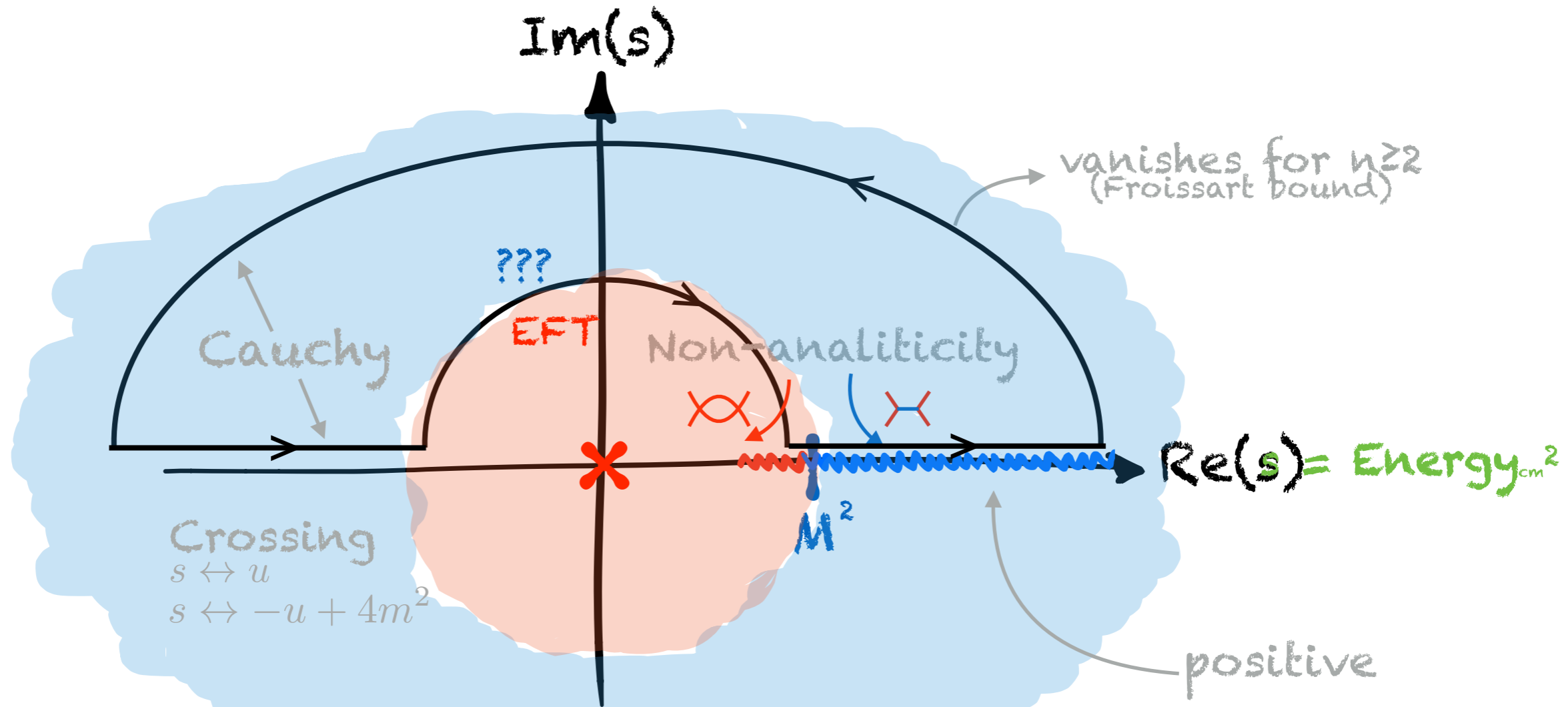
Unitarity

Mathematical Properties of $2 \rightarrow 2$ forward amplitude $A(s)/s^n$

Analytic in $s \in \mathbb{C}/phys$

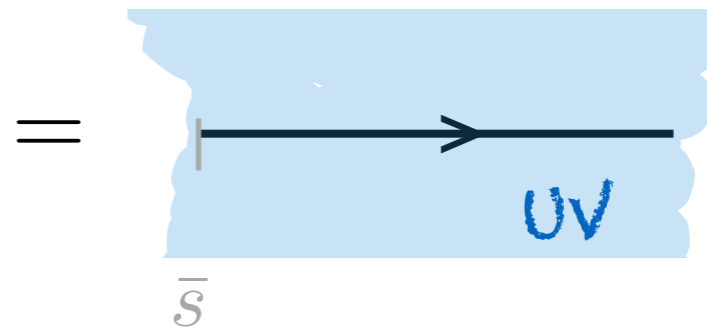
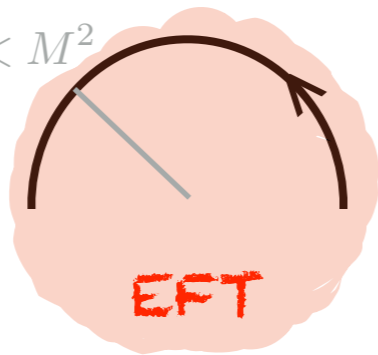
Positive across $s \in \mathbb{R}$

(optical theorem)



UV_{BSM} ↔ IR_{EFT} (Dispersion) Relations

$$\bar{s} \ll M^2$$



\bar{s} = any energy within EFT

positive
↓

$$\text{Arcs: } \mathcal{A}_n(\bar{s}) \equiv \int_{\cap_{\bar{s}}} \frac{ds A(s)}{\pi i s^{n+1}} = \frac{2}{\pi} \int_{\bar{s}}^{\infty} ds \frac{\text{Im}A(s)}{s^{n+1}}$$

↓

Calculable in EFT
(coefficients of s^n in tree amplitude)

$$A_n > 0 \quad (n \geq 2)$$

$$A_n > 0 \quad (n \geq 2)$$

Adams, Arkani-Hamed, Dubovsky,
Nicolis, Rattazzi '06,

► Consistency condition for EFTs

More than positivity

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

Moments

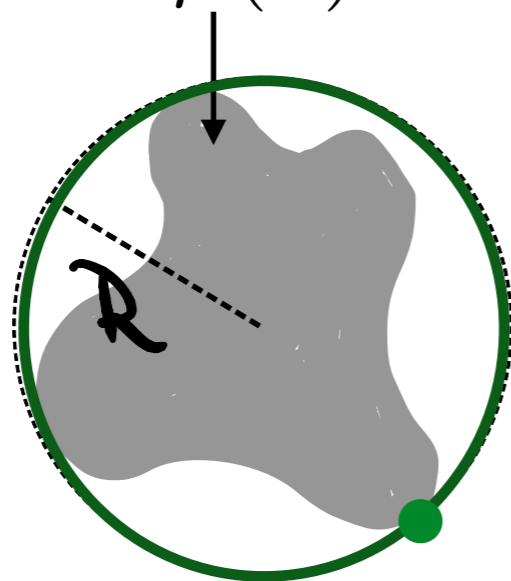
$$\int_0^1 d\mu(x) x^n \xleftarrow{\text{variables change}} \frac{2}{\pi} \int_{\bar{s}}^{\infty} ds \frac{\text{Im}A(s)}{s^{n+1}}$$

positive
↓

Moments appear everywhere in physics...

e.g. stones

$d\mu(x) =$ mass distributions



$n=0$: total mass M (sets units)

$n=1$: centre of mass $\langle R M$

$n=2$: moment of inertia $\langle R^2 M$

Bounded

What **bounds** do moments satisfy?

Bounds \leftrightarrow Positive Polynomials

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

Bounds
on
EFT Coeff.



Bounds
on
Moments



Positive polynomials
in $[0,1]$

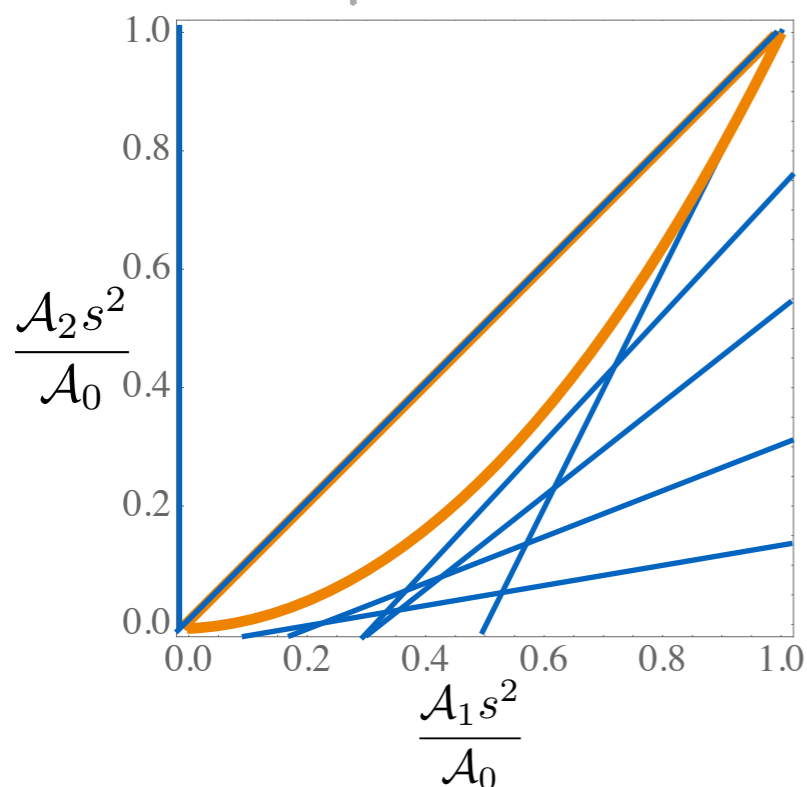
e.g. $\int d\mu(x) 1-x > 0 \Rightarrow \mathcal{A}_0 - s^2 \mathcal{A}_1 > 0$

$$p = x^n (1-x)^m$$

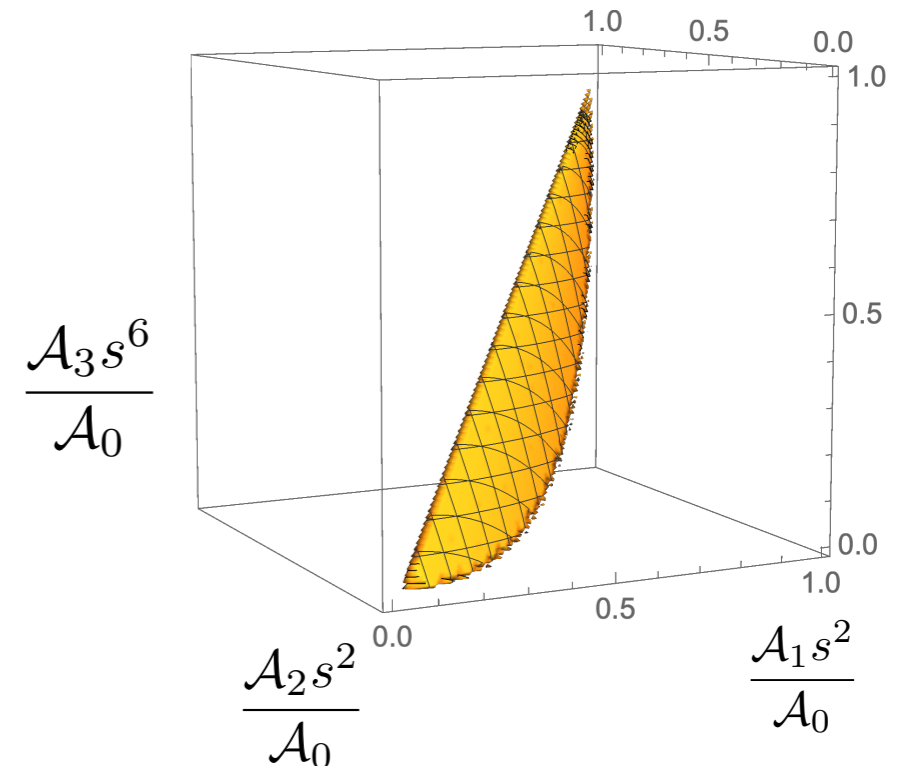
(Bernstein Polynomials)

$$p = x(x \equiv x) \rightarrow \mathcal{A}_1 \geq s^2 \mathcal{A}_2 \geq 0$$

...up to 3 arcs...

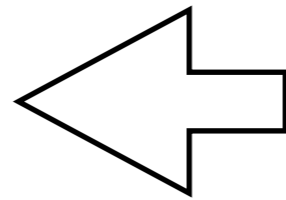


...up to 4 arcs...

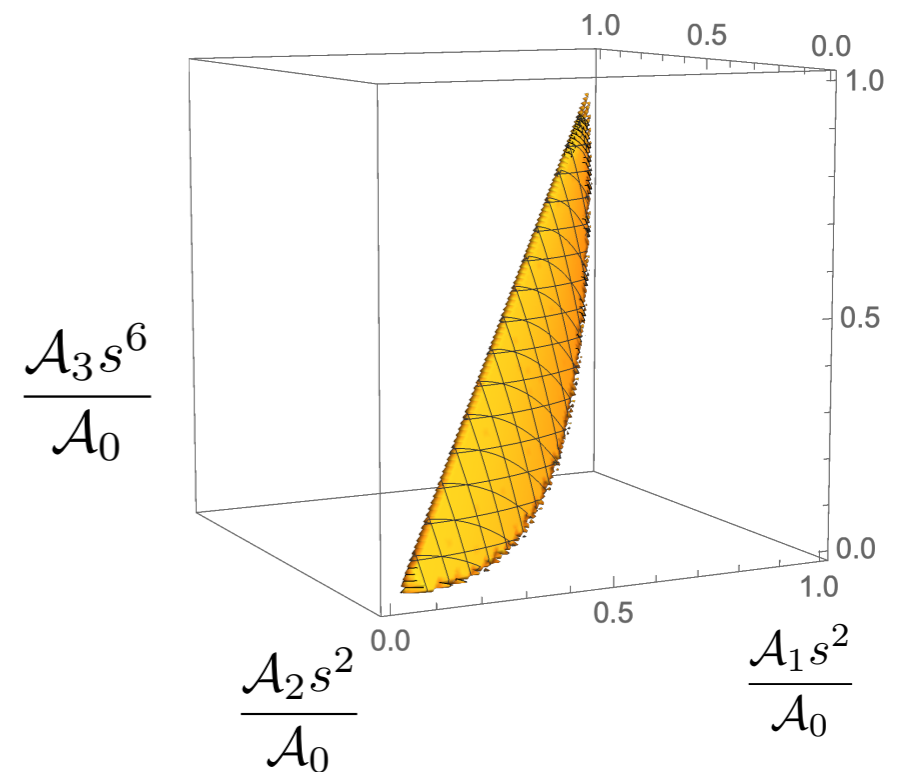


1. Bounds on EFTs

Bounds on A_n and
on EFT Wilson coefficients

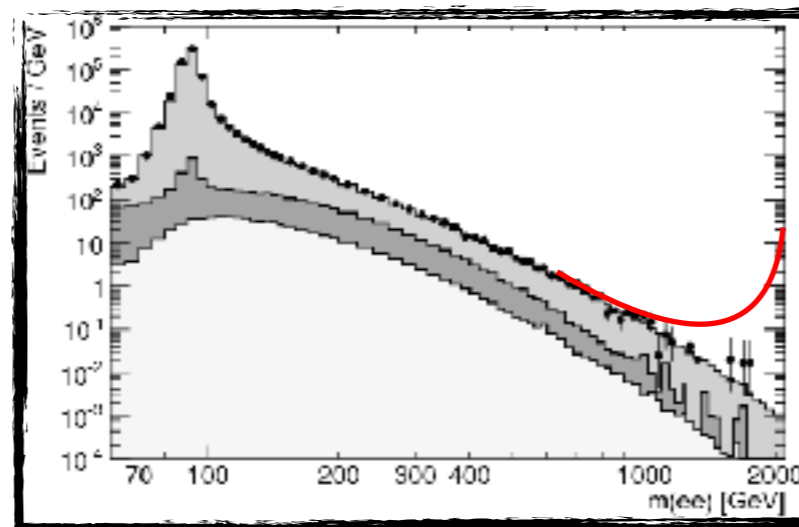
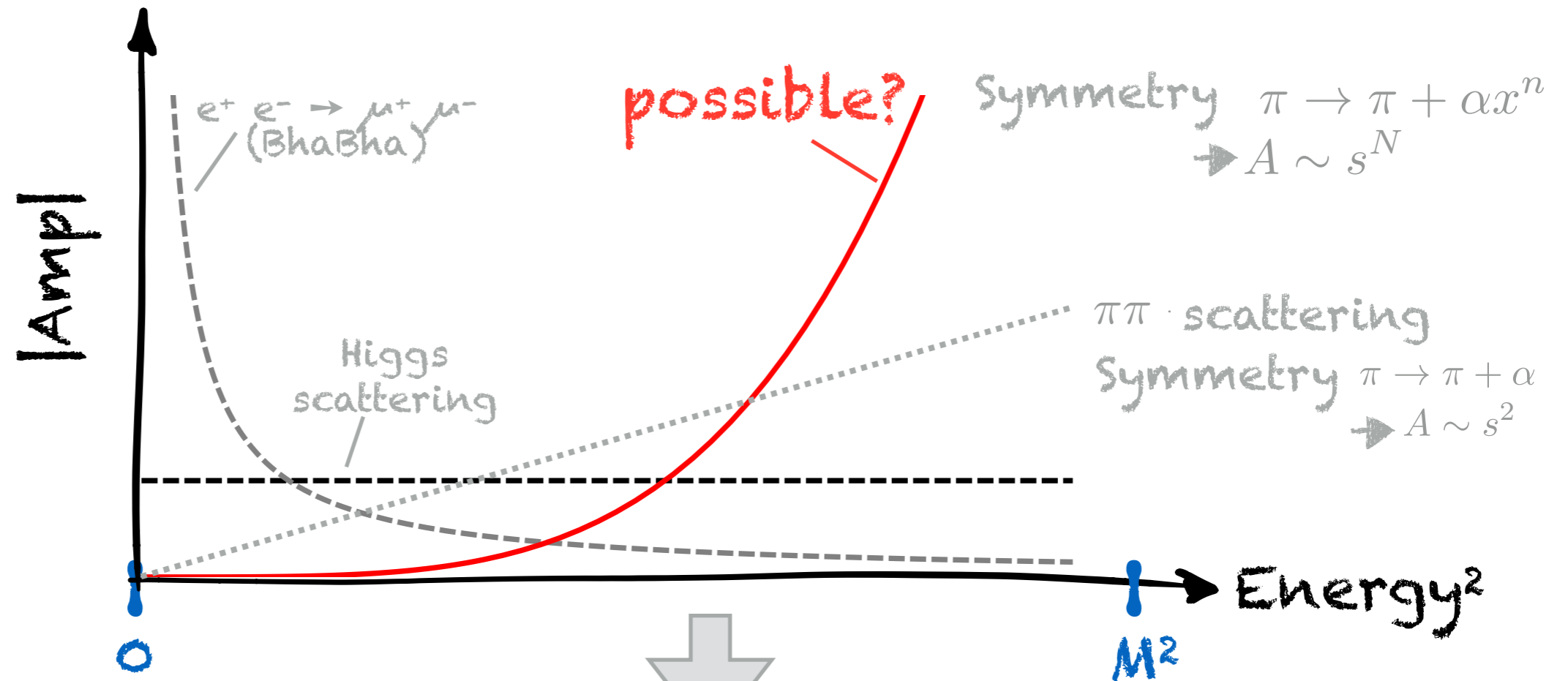


Bounds on A_n



1. How "soft" can EFTs be?

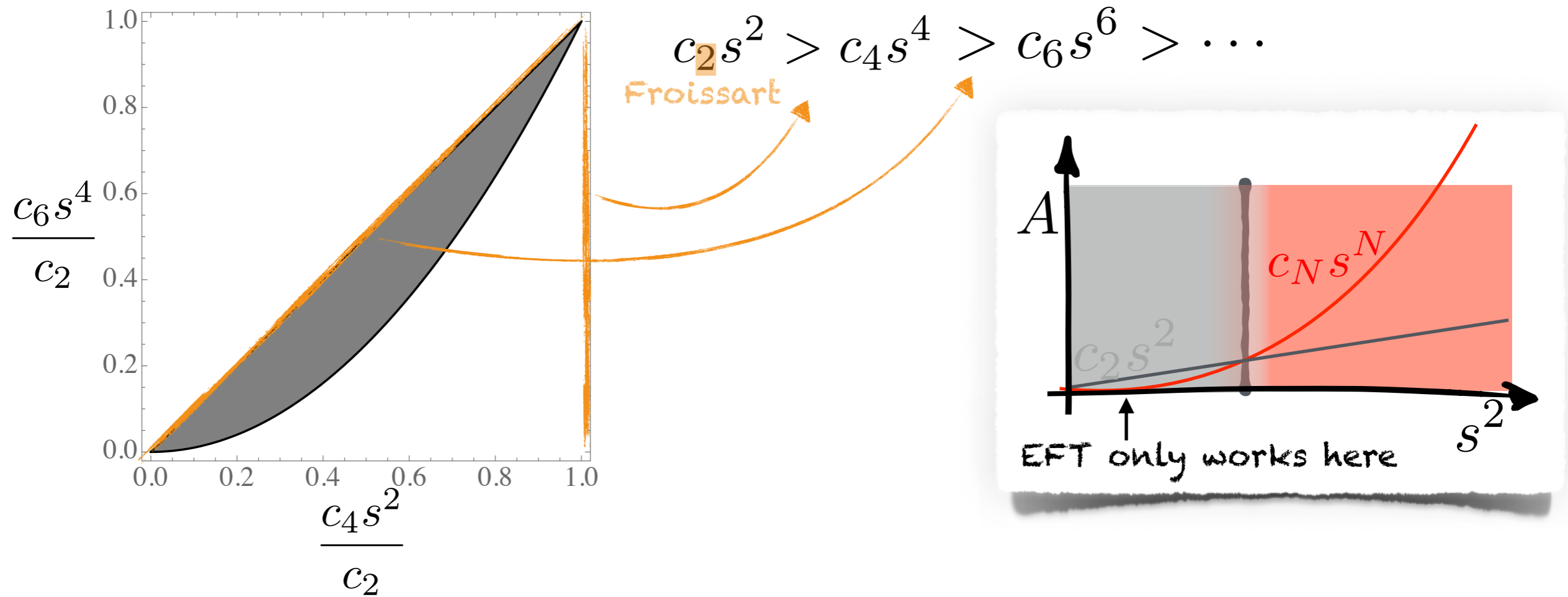
Tree-level: $A(s) = c_0 + c_2 s^2 + c_4 s^4 + c_6 s^6 + c_8 s^8 + \dots$



1. How "soft" can EFTs be?

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

$$A(s) = \cancel{c_0} + c_2 s^2 + c_4 s^4 + c_6 s^6 + \dots$$



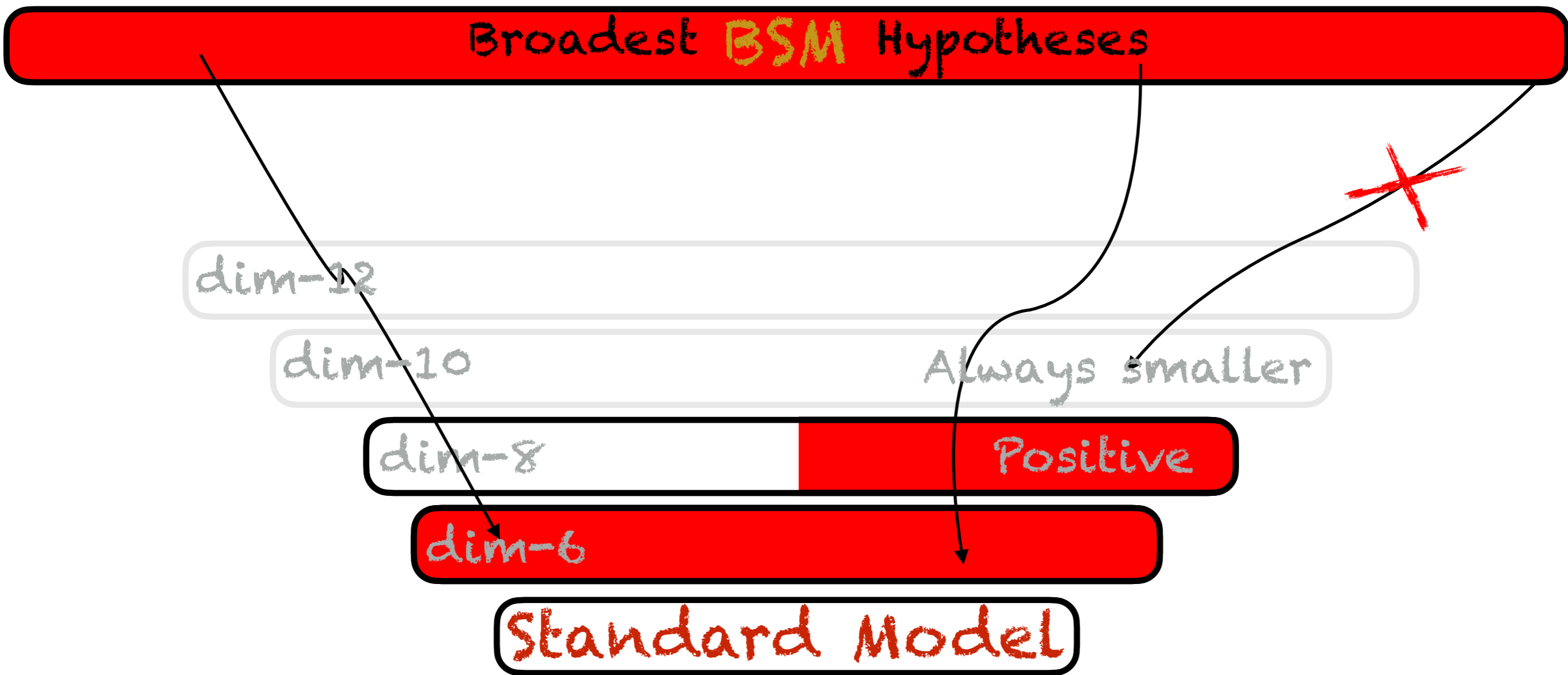
Very soft theories have low cutoff...

... so low that they are unobservable!
(dimension > 8 operators cannot dominate)

Obvious in specific weakly coupled models ($E/M \ll 1$), Less obvious in general:
Goldstone bosons appeared to have naturally soft amplitudes

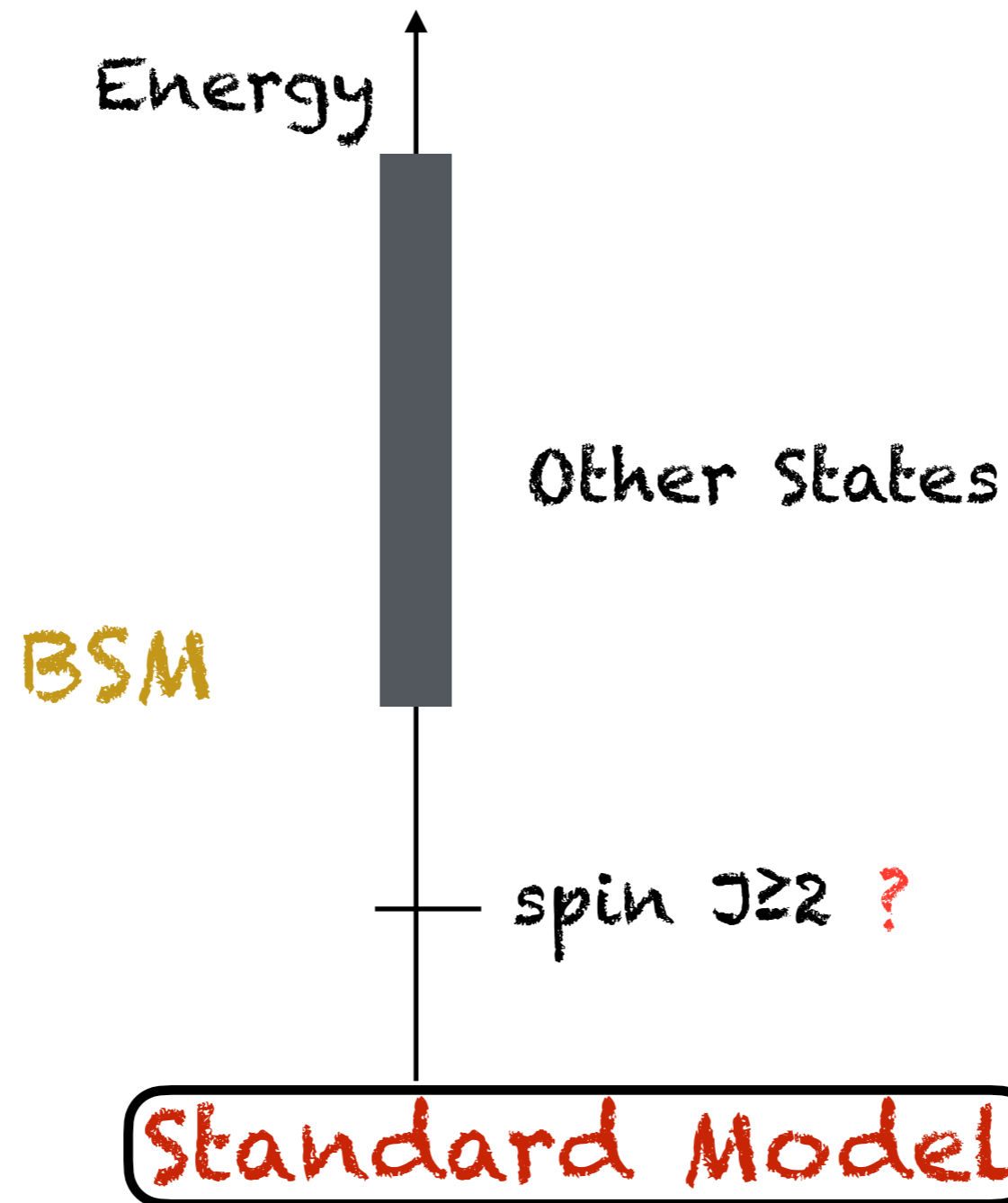
1. How "soft" can EFTs be?

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20



2. Massive Higher Spin

Why are we not searching for higher-spin particles at the LHC?



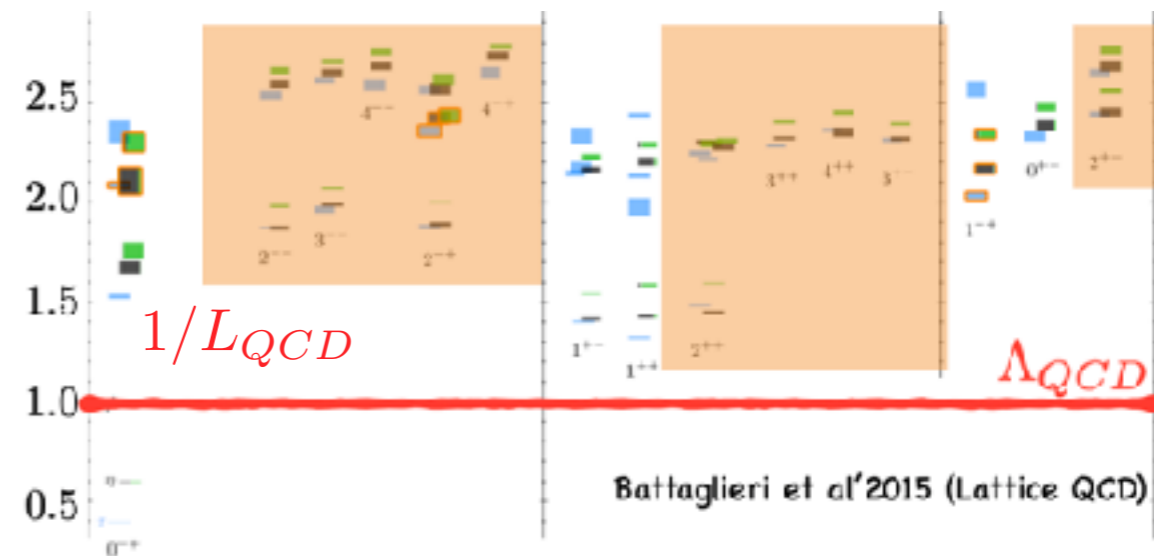
2. Massive Higher Spin

Bellazzini, Serra, Sgarlata, FR'19
Bertucci, McPeak, Ricossa, FR, Vichi to appear

$$\Phi^{\mu_1 \dots \mu_J}$$

Higher Spin resonances exist in QCD, Nuclei/atoms, Strings, ...
($J > 2$)

$$m_{HS} \gtrsim \frac{1}{L_{HS}}$$



Can there be lighter HS states?

Interactions grow with $E \lesssim \frac{1}{L_{HS}}$

$$A(\Phi\Phi \rightarrow \Phi\Phi) \propto \frac{s^2}{m_{HS}^4} + \dots + \frac{s^{2J}}{m_{HS}^{4J}}$$

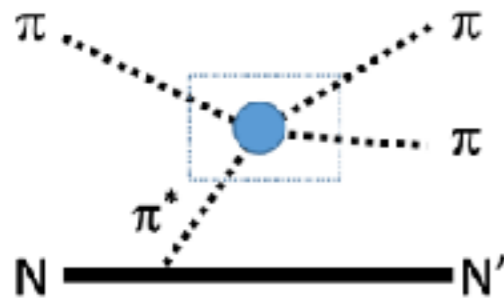
$$m_{HS} \gtrsim \frac{1}{L_{HS}} \leftarrow \propto c_2 > \frac{1}{s^{2J-2}} c_{2J}$$

Resonances of spin > 2 always heavier than their size $^{-1}$

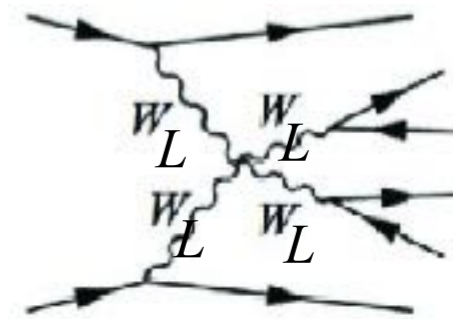
No light higher spin particles \blacktriangleright No dedicated LHC searches
 \blacktriangleright No Massive Gravity

3. Chiral Perturbation Theory

Understanding pions?



Predictions for composite Higgs models?



3. Dispersion relations in ChPT

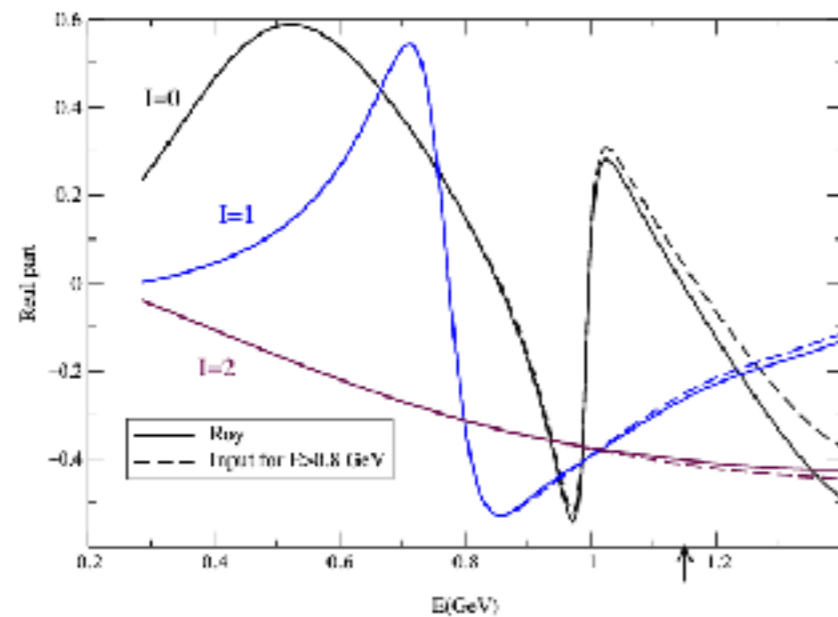
Roy Equations to improve fit to experimental data:

$$2 a_{\ell}^{(I)}(s) \frac{\sqrt{s}}{k} = \frac{1 + (-)^{\ell+I}}{2} \left[\int_0^1 dx P_{\ell}(x) \sum_{I'=0,2} g_1^{II'}(s, \frac{4-s}{2}(1-x)) a_0^{(I')} + \right. \\ \left. + 4 \int_0^1 dx P_{\ell}(x) \int_4^{\infty} ds' \sqrt{\frac{s'}{s'-4}} \sum_{\ell'=0}^{\infty} (2\ell'+1) \sum_{I'=0,1,2} \left\{ g_2^{II'}(s, \frac{4-s}{2}(1-x), s') g_m a_{\ell'}^{(I')}(s') + \right. \right. \\ \left. \left. + g_3^{II'}(s, \frac{4-s}{2}(1-x), s') g_m a_{\ell'}^{(I')}(s') P_{\ell'}\left(1 + \frac{(4-s)(1-x)}{(s'-4)}\right) \right\} \right] \quad (19)$$

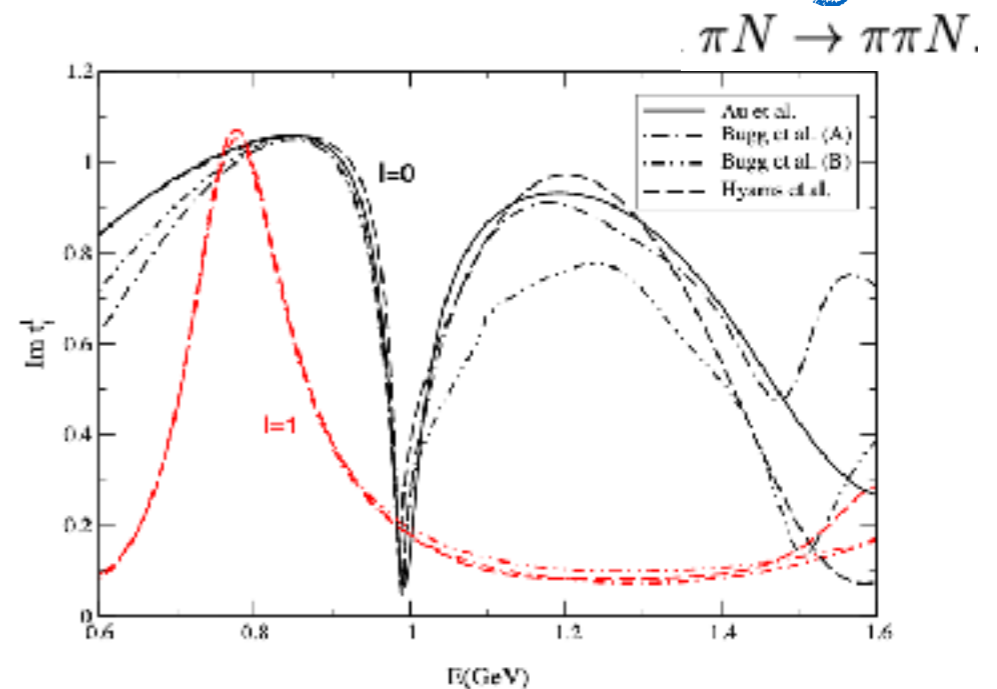
complicated kernel without manifest generic properties

Roy'71

obtain a_{ℓ} in IR



measure a_{ℓ} at all energies



Ananthanarayan, Colangelo, Gasser, Leutwyler '01

Can we learn something about the structure of QCD?

- ▶ separate theory predictions from experimental input

3. Dispersion relations in ChPT

Theory "Positivity" bounds on pion amplitude coefficients:

Mateu, Manohar '08
Distler, Grinstein, Porto, Rothstein '06

$$\mathcal{L}_2 = l_1 (\nabla^\mu U^\top \nabla_\mu U)^2 + l_2 (\nabla^\mu U^\top \nabla^\nu U)(\nabla_\mu U^\top \nabla_\nu U)$$

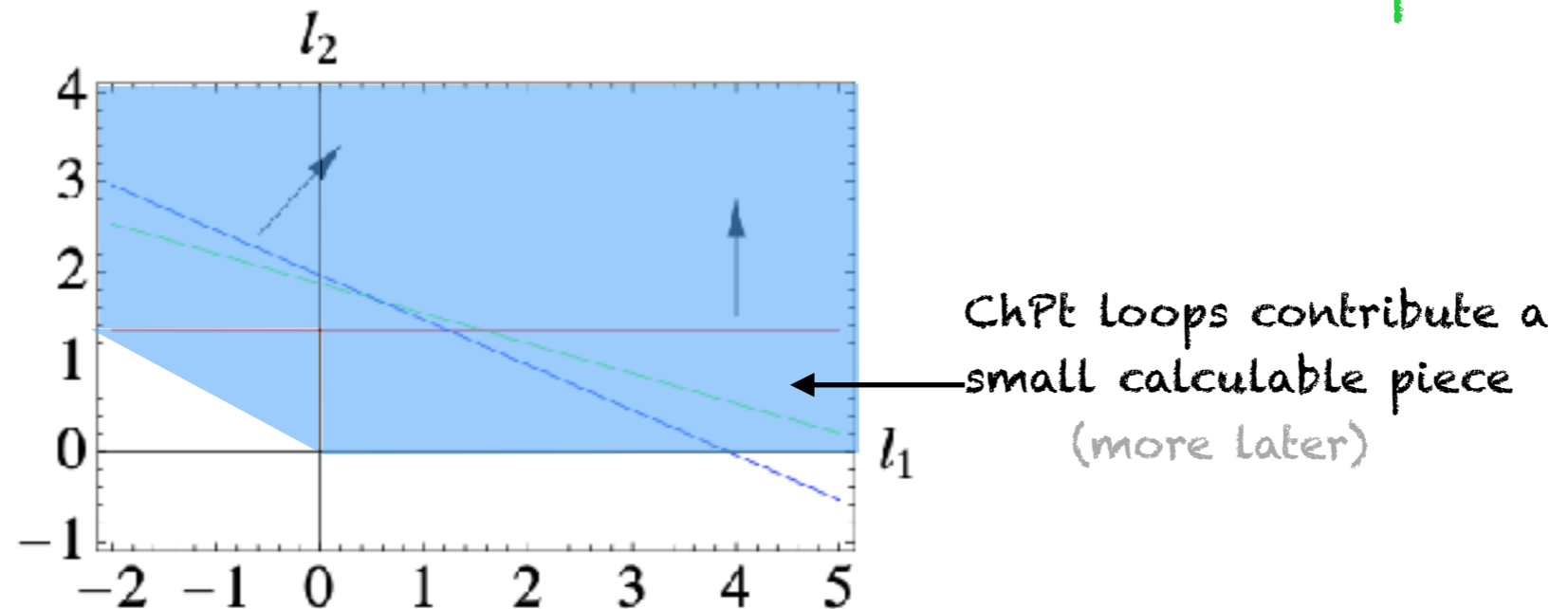
$$A(s, t) = \frac{s+t}{f^2} + (l_1 + \frac{3}{2}l_2) \frac{s^2+t^2}{f^4} + l_2 \frac{st}{f^4} + \dots$$

Th: $l_1 + 2l_2 > 0$

Th: $l_2 > 0$

Exp: 8.2 ± 0.6

Exp: 4.3 ± 0.1

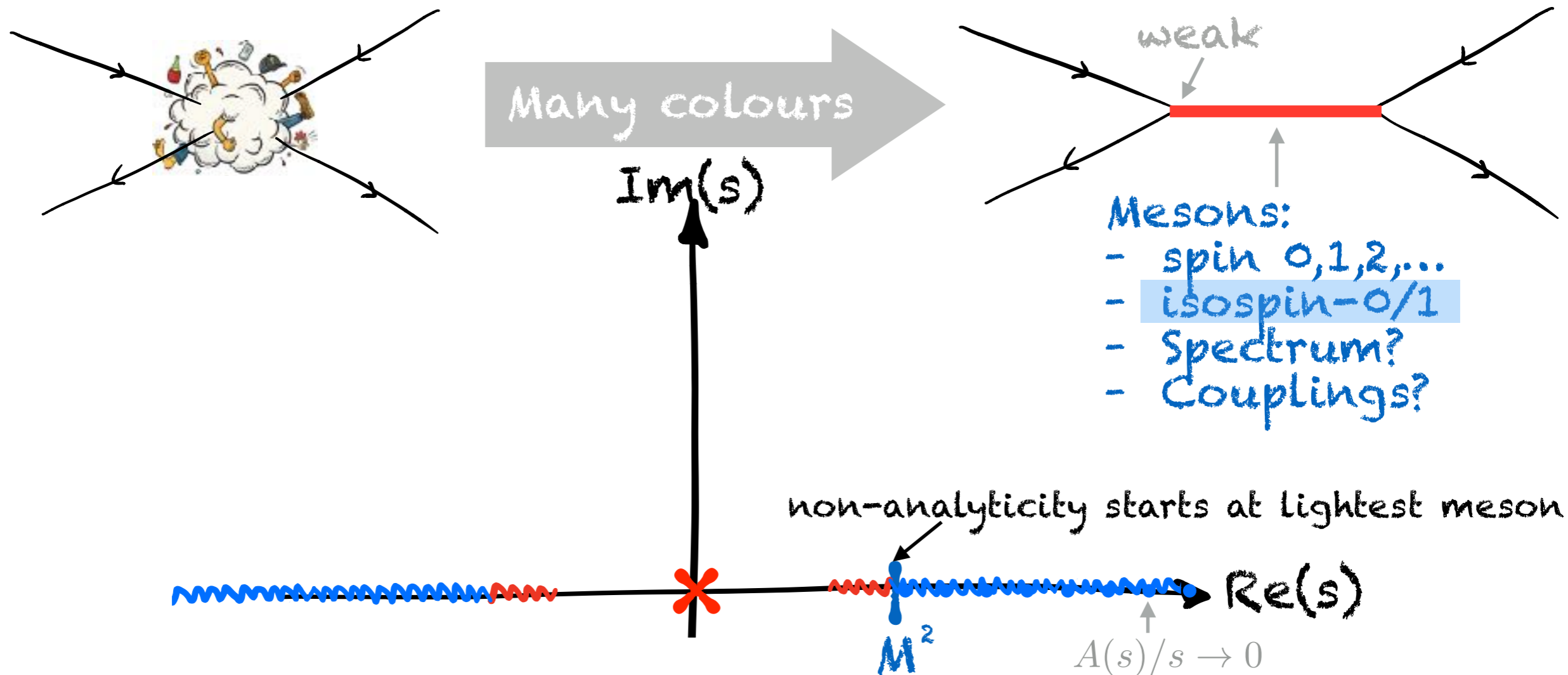


...now, more constraints from non-forward amplitude
(efficient if IR weakly coupled)

e.g.
deRham, Melville, Tolley, Zhou '17
Bellazzini, Elias-Miro, Rattazzi, Riembau, FR '20
Arkani-Hamed, Huang, Huang '20
Tolley, Wang, Zhou '20
Caron-Huot, van Duong '20
Caron-Huot, Mazac, Rastelli, Simons-Duffin '21
Chiang, Huang, Li, Rodina, Weng '21
Bellazzini, Riembau, FR '21

3. Large-N QCD

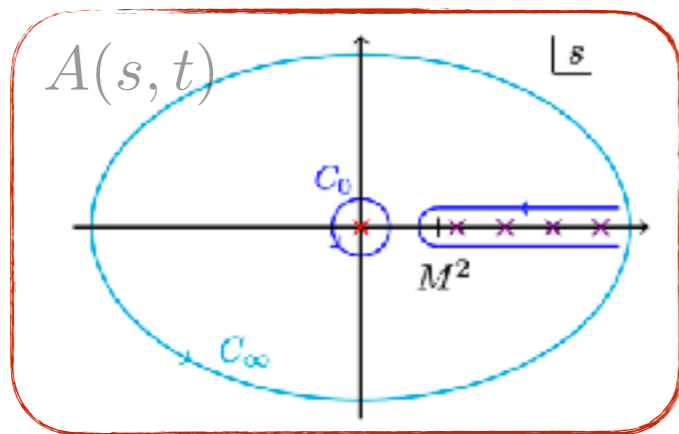
Dispersive approach complemented with Large- N_c info:



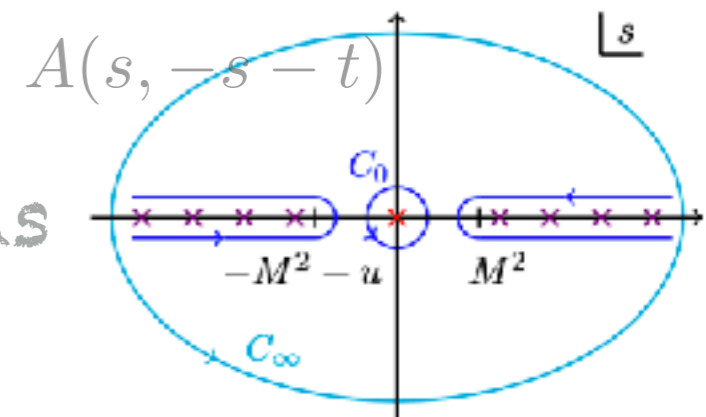
3. Positivity for Pions

Albert, Rastelli '22
Fernandez, Pomarol, FR, Sciotti '22

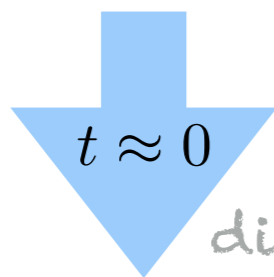
$$A(s, t) = \frac{(s+t)}{f^2} + g_{2,0}(s^2 + t^2) + g_{2,1}st + \dots$$



2 dispersion relations

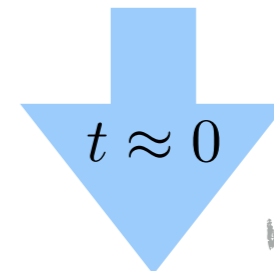


$$\int_{\cap_{\bar{s}_t}} \frac{ds}{\pi s i} \frac{A(s, t)}{s^{1+n}} = \frac{2}{\pi s} \int_{\bar{s}}^{\infty} ds \sum_{\ell} \text{Im} f_{\ell}(s) \frac{P_{\ell}(1 + \frac{2t}{s})}{s^{1+n}}$$



UV → IR

dispersion relations



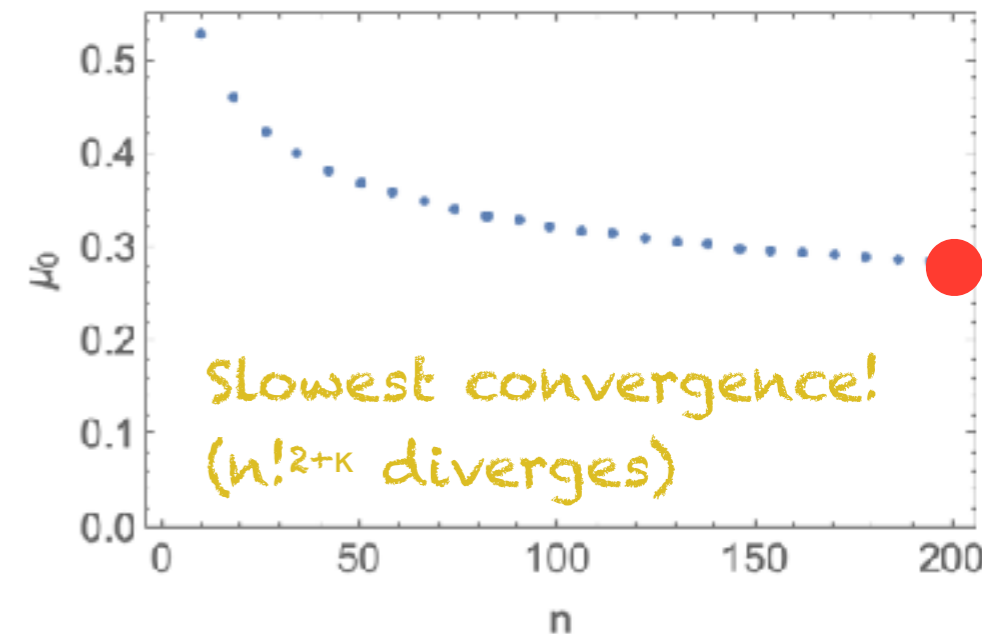
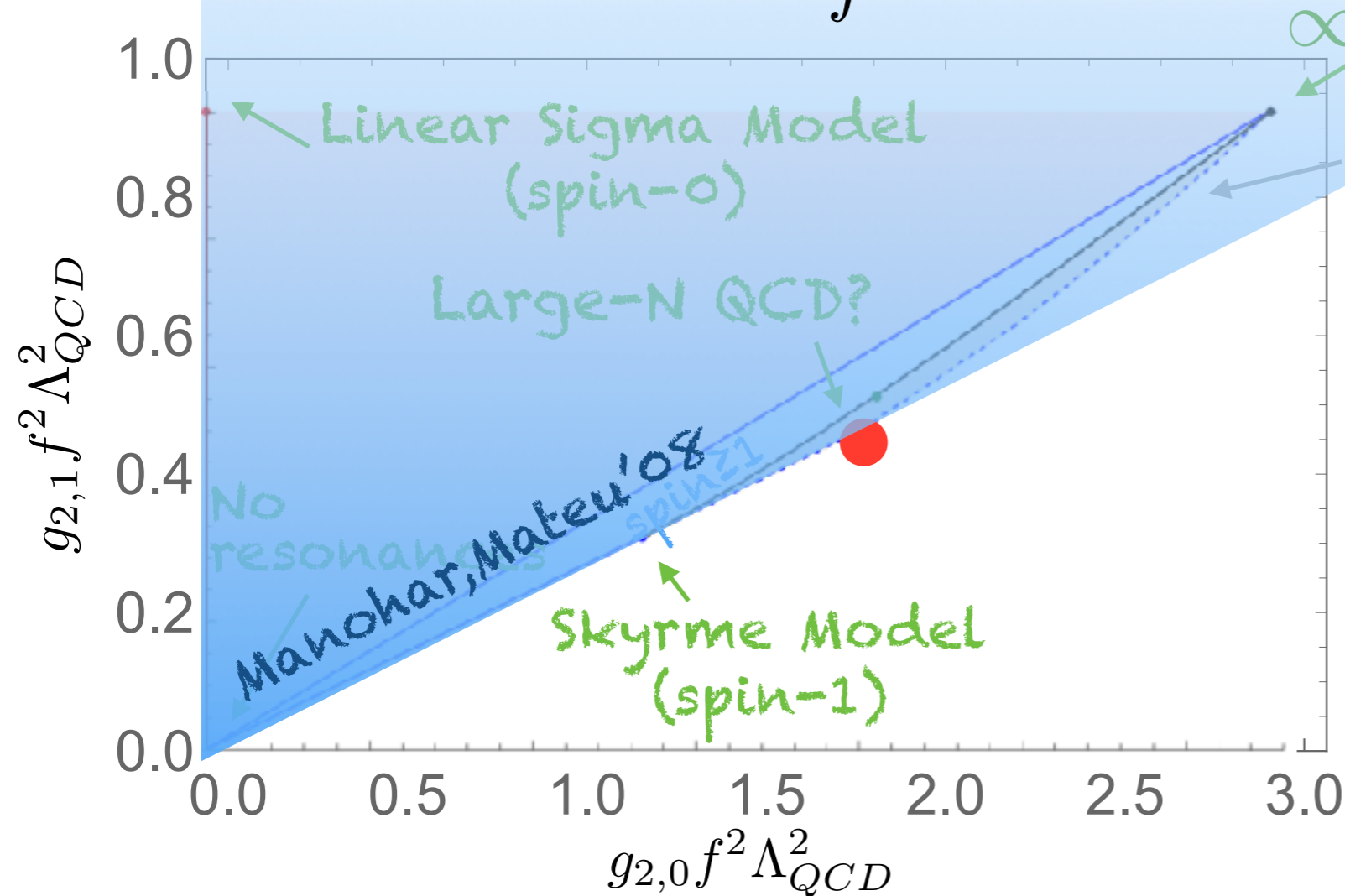
UV → UV
null constraints

$$\begin{aligned}
 n=0 \quad g_{1,0} + g_{2,1}t + g_{3,1}t^2 + \dots &= \left\langle \frac{P_J(1)}{s} + 2 \frac{P'_J(1)}{s^2} t + 2 \frac{P''_J(1)}{s^3} t^2 + \dots \right\rangle \\
 n=1 \quad g_{2,0} + g_{3,1}t + g_{4,2}t^2 + \dots &= \left\langle \frac{P_J(1)}{s^2} + 2 \frac{P'_J(1)}{s^3} t + 2 \frac{P''_J(1)}{s^4} t^2 + \dots \right\rangle \\
 n=2 \quad g_{3,0} + g_{4,1}t + g_{5,2}t^2 + \dots &= \left\langle \frac{P_J(1)}{s^3} + 2 \frac{P'_J(1)}{s^4} t + 2 \frac{P''_J(1)}{s^5} t^2 + \dots \right\rangle
 \end{aligned}$$

3. Positivity for Pions

Fernandez, Pomarol, FR, Sciotti '22

$$A(s, t) = \frac{(s + t)}{f^2} + g_{2,0} (s^2 + t^2) + g_{2,1} st + \dots$$



$$\mu_0 = \frac{\begin{vmatrix} 0!^2 & 1!^2 & \dots & n!^2 \\ 1!^2 & 2!^2 & \dots & (n+1)!^2 \\ \dots & \dots & \ddots & \vdots \\ n!^2 & (n+1)!^2 & \dots & (2n)!^2 \end{vmatrix}}{\begin{vmatrix} 2!^2 & 3!^2 & \dots \\ 3!^2 & 4!^2 & \dots \\ \dots & \dots & \ddots \end{vmatrix}} \rightarrow 0$$

Theories at the corner are special

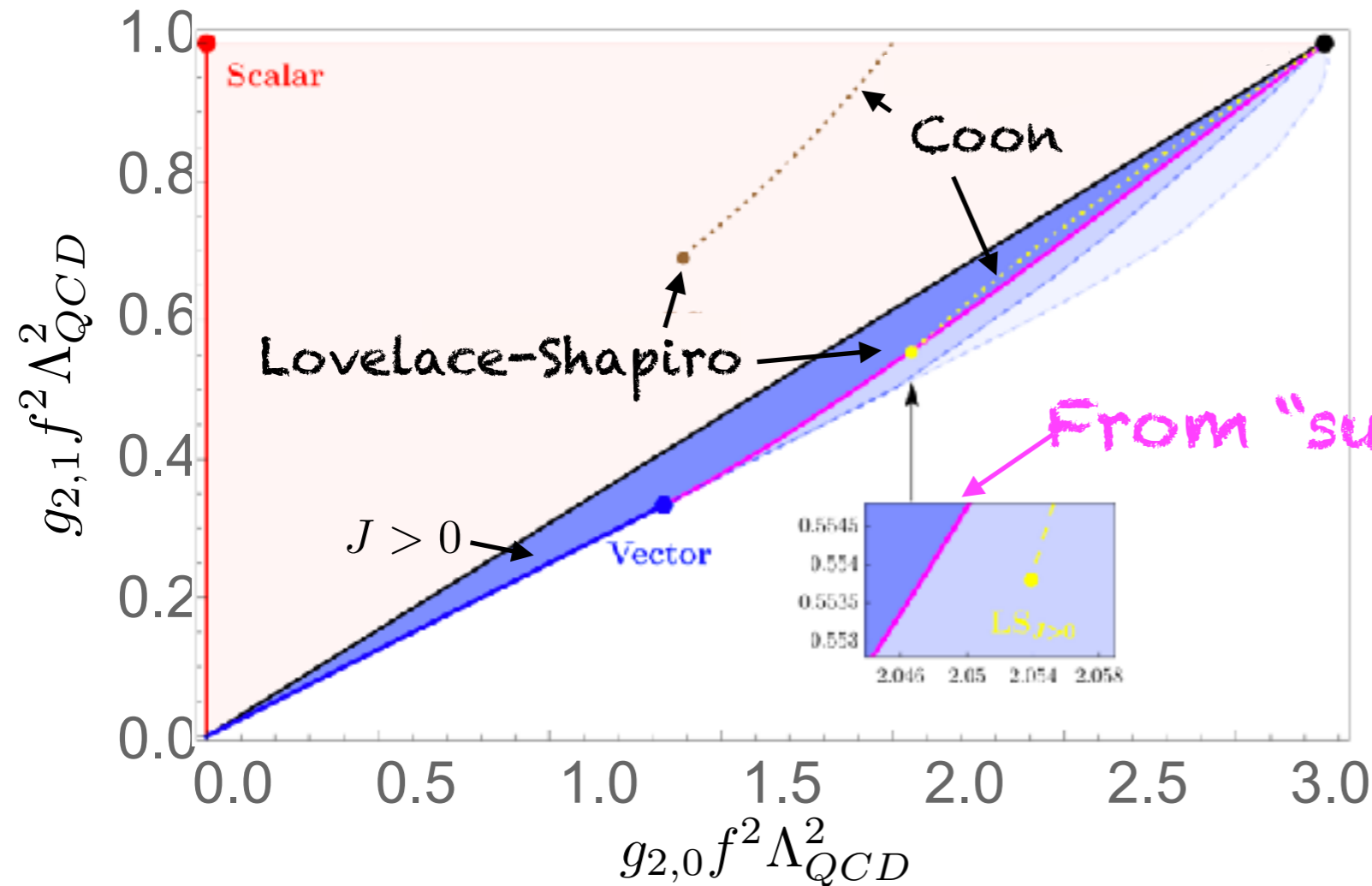
Analytic limit unreachable numerically

→ No new kink, only vector model

3. Positivity for Pions

Fernandez, Pomarol, FR, Sciotti '22

$$A(s, t) = \frac{(s + t)}{f^2} + g_{2,0} (s^2 + t^2) + g_{2,1} st + \dots$$



$J > 0$ "su-model" Albert, Rastelli '22
Caron-Huot, van Duong '20
 $A(s, t) \sim \frac{1}{s - M^2} \frac{1}{t - M^2} - \text{spin-0}$

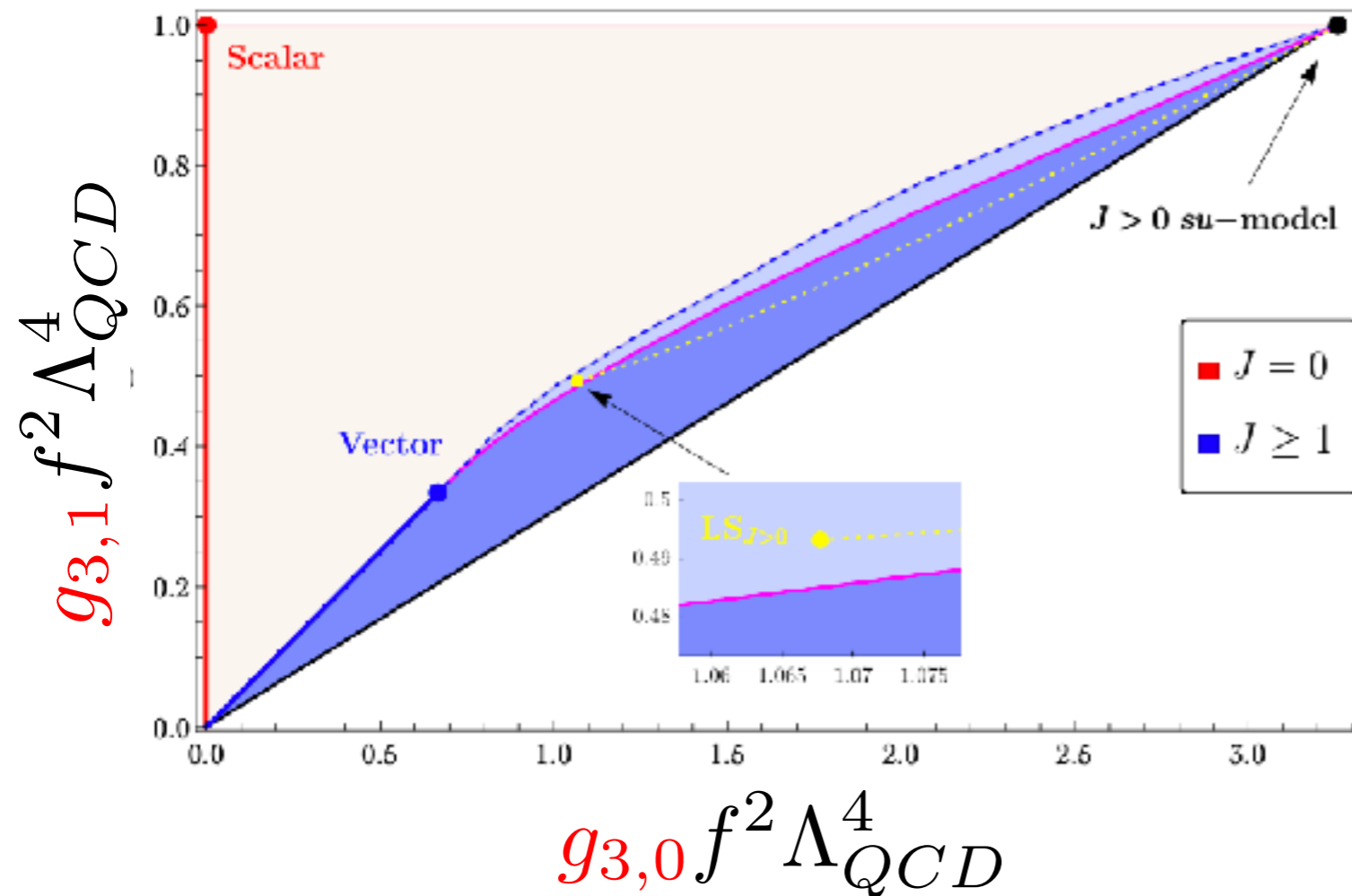
Extremal theories (edges/corners) provide a reference

→ multi-spin extremal theories still unknown!

3. Positivity for Pions

Fernandez, Pomarol, FR, Sciotti '22

$$A(s, t) = \frac{(s+t)}{f^2} + g_{2,0}(s^2+t^2) + g_{2,1}st + g_{3,0}(s^3+t^3) + g_{3,1}(s^2t+t^2s) + \dots$$



Extremal theories (edges/corners) provide a reference

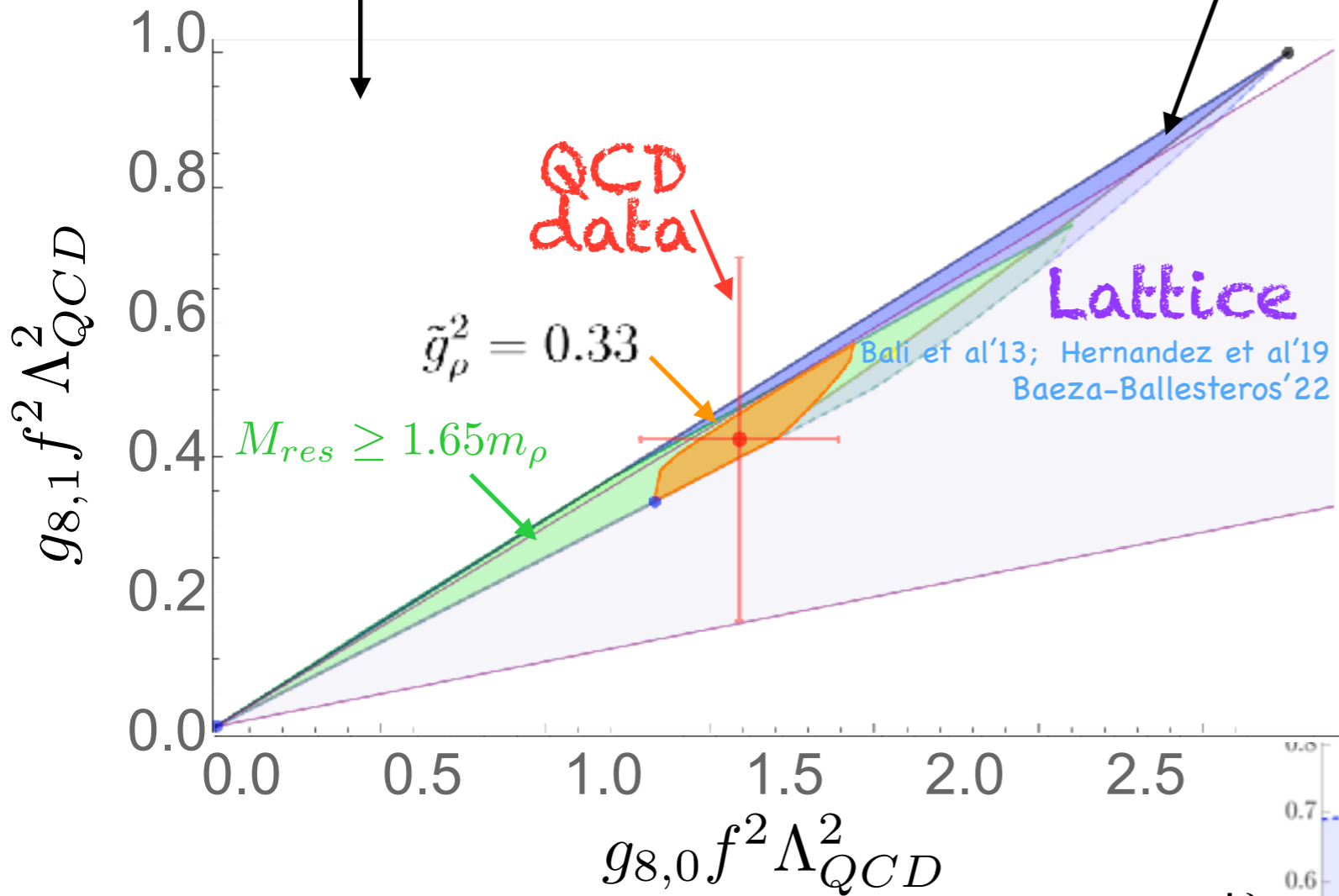
→ multi-spin extremal theories still unknown!

3. Vector Meson Dominance

Fernandez, Pomarol, FR, Sciotti '22

Spin-0 easy to model

Spin>0 info most important



Null-Constraints:

UV

Spin-1

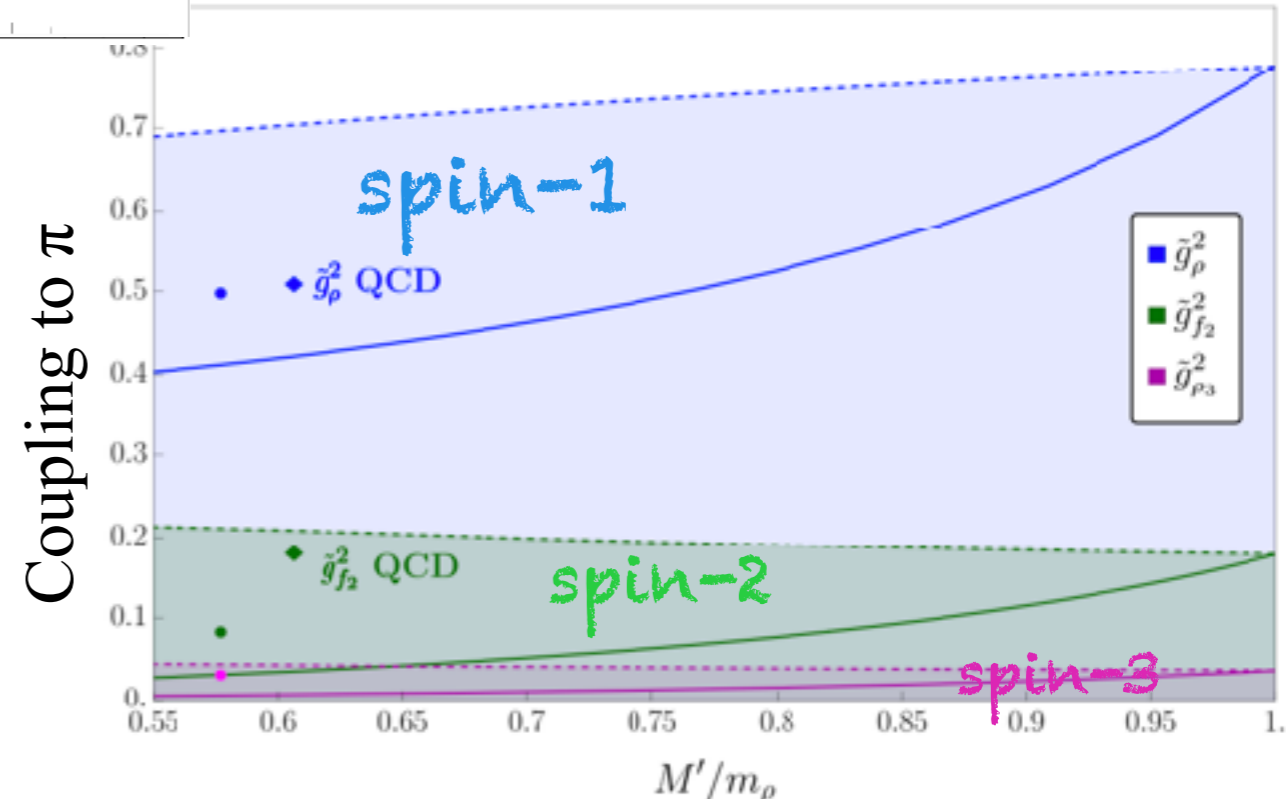
$$\int_0^\infty ds \frac{a_1(s)}{s^n} = \sum_{J=1}^\infty \int_0^\infty ds \frac{a_J(s)}{s^n} \frac{J^2}{s^2}$$

spin>1 always together...

UV

Spin>1

... and smaller!

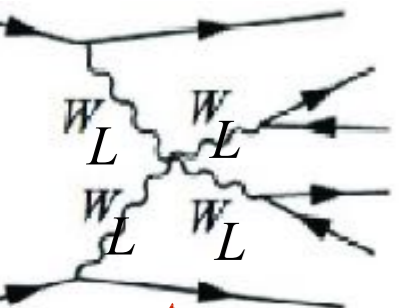


► IR coefficients dominated by UV spin-1 (VMD)

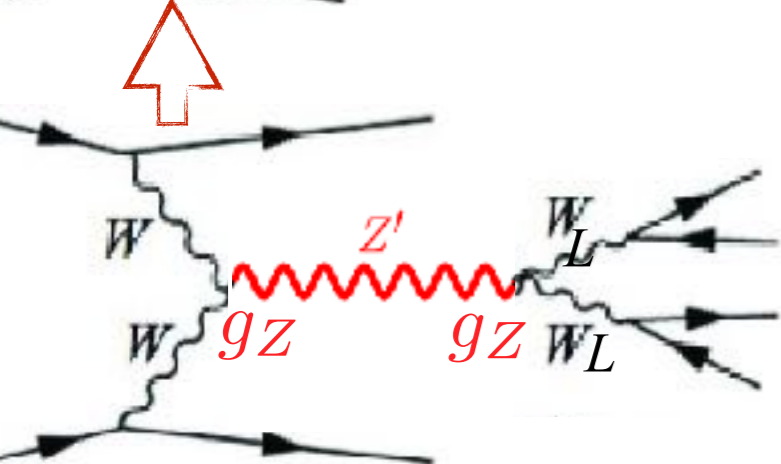
► Holographic models good (light states have $s=0,1$)

3. From pions to WLWL scattering

Fernandez, Pomarol, FR, Sciotti '22



$$A(s, t) = c_H(s + t) + g_{8,0}(s^2 + t^2) + g_{8,1}st + \dots$$



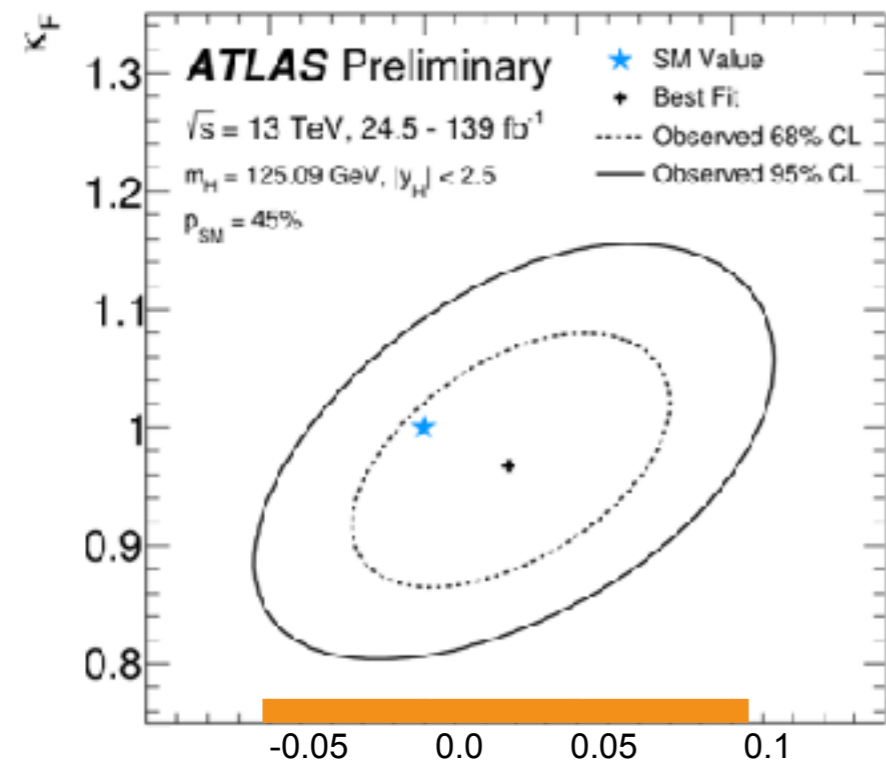
UV Spin-1 UV Spin>1

$$\int_0^\infty ds \frac{a_1(s)}{s^n} = \sum_{J=1}^\infty \int_0^\infty ds \frac{a_J(s)}{s^n} \frac{J^2}{s^2}$$

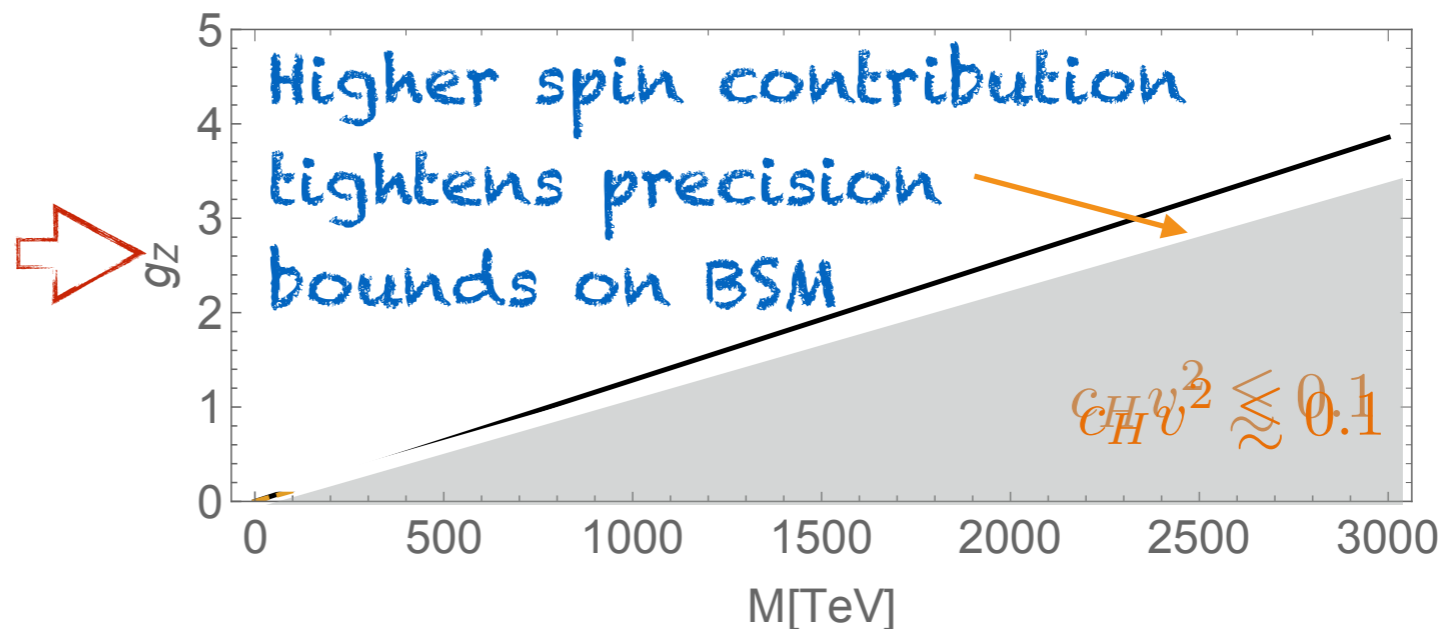
$c_H = \frac{g_Z^2}{M^2}$ $c_H > 1.3 \frac{g_Z^2}{M^2}$

spin>1 always together...

... and smaller!



$c_H v^2 \lesssim 0.1$

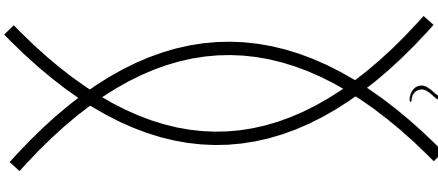


3. Beyond Large-N

Falkowski, Henning, Lombardo, FR, Rodriguez-Sanchez to appear
Bellazzini, Rimbau, FR' 21


Loops change relation Wilson coeff. \longleftrightarrow arcs (on which bounds apply)

Running



$$\sim \frac{1}{(4\pi f^2)^2} s^2 \log\left(\frac{-s}{\mu^2}\right)$$

Forward Divergences



$$\sim \frac{1}{(4\pi f^2)^2} st \log\left(\frac{-s}{4m_\pi^2 + t}\right)$$

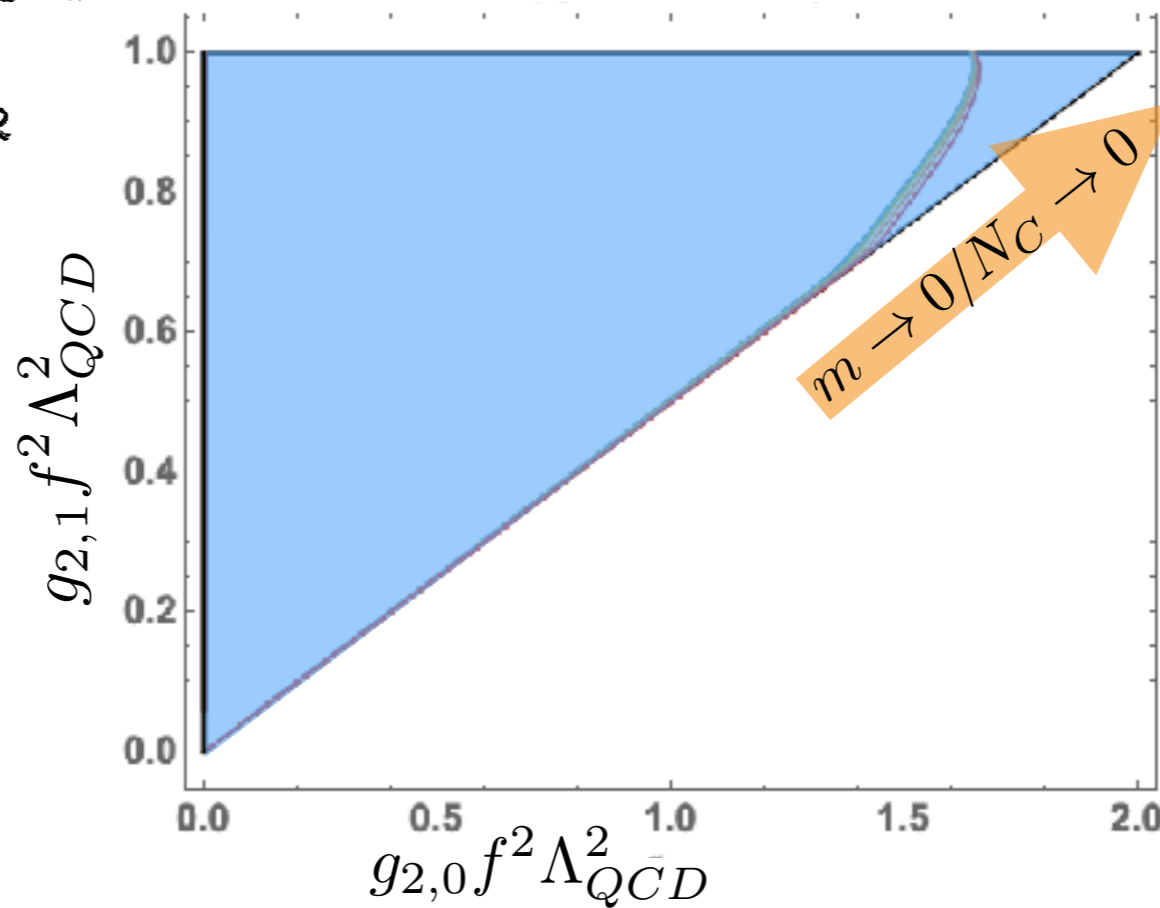
Larger in higher arcs
 ▶ $g_{n>3}$ loop-dominated!

▶ Running Logs in g_2

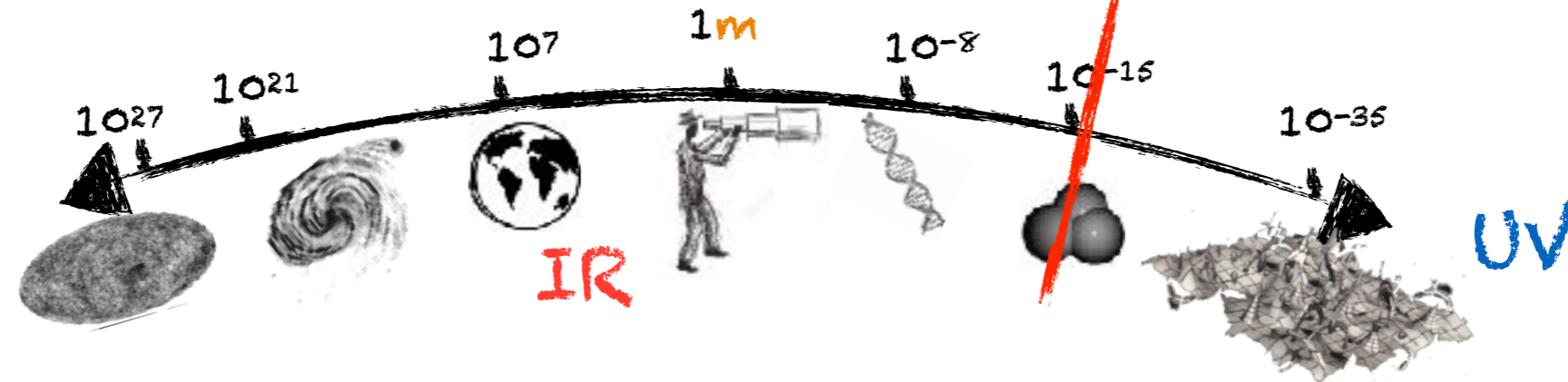
For $m=0$ singular in $t \approx 0$

▶ No null constraints

For $m \neq 0$ bounds weaker for smaller N_c



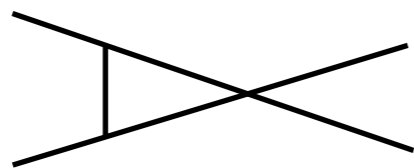
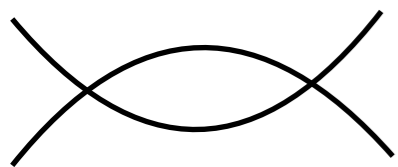
Summary and outlook



- ▶ Dispersion relations to explore BSM
 - ▶ Operators with $\text{dim} > 8$ don't matter
 - ▶ Particles with $\text{spin} \geq 2$ Can't be lighter than others

- ▶ Combine Large-N and dispersion relations
 - ▶ Corner QCD
 - ▶ Explain Vector Meson Dominance

- ▶ Development of a method that survives IR ($t=0$) loop non-analyticities



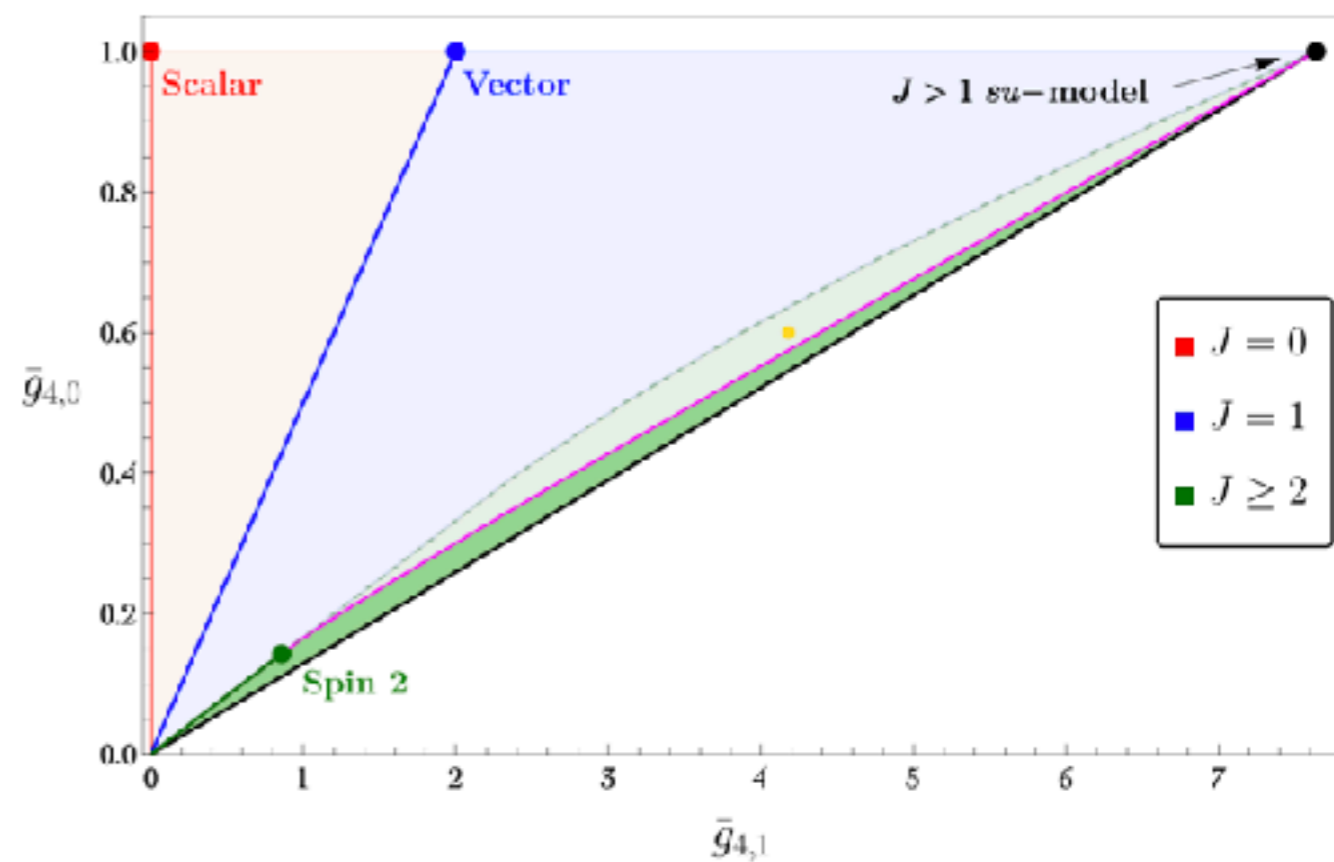
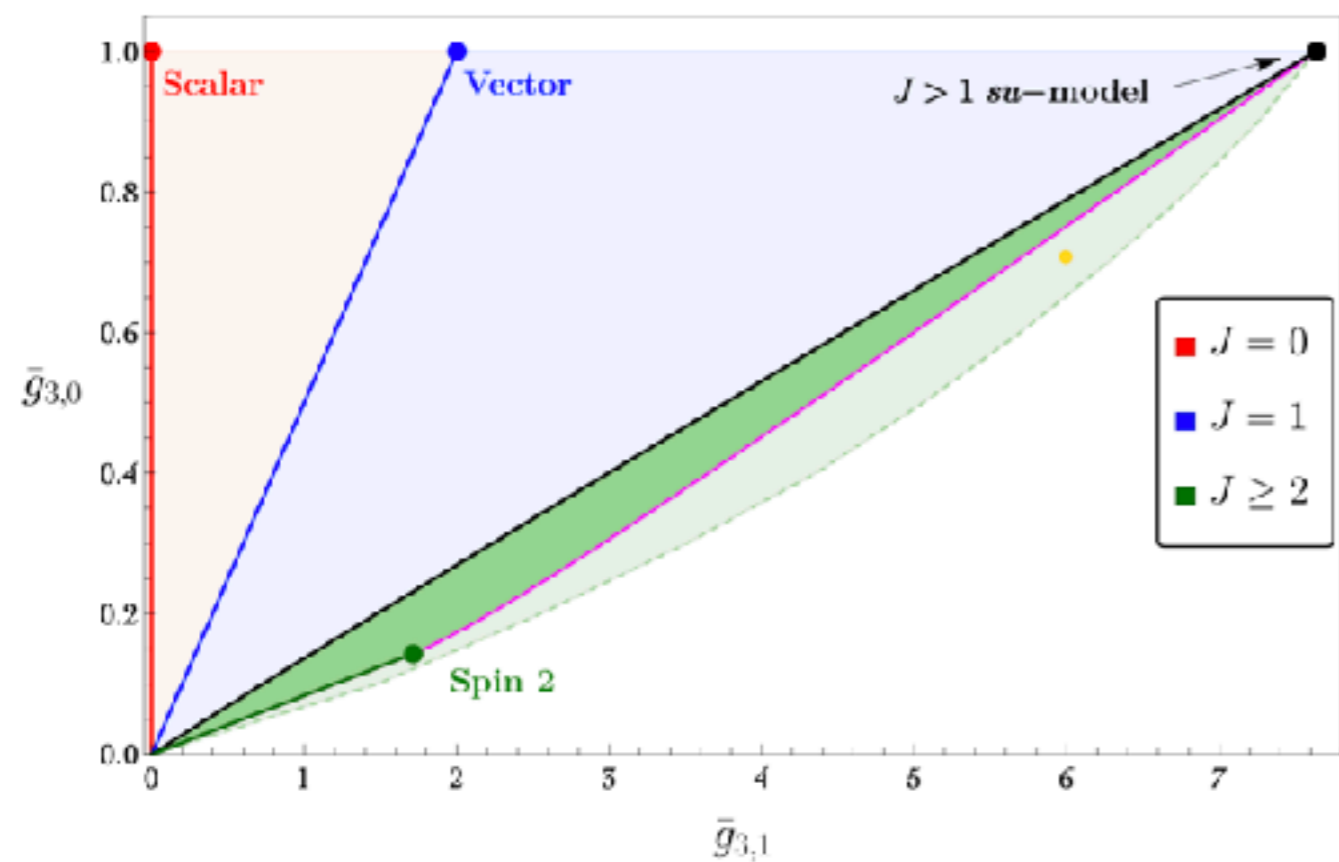
$$\sim s^m t^n \log s \log t$$

non-analytic

Bellazzini, FR, Riembau'21
 Beadle, Isabella, Perrone,
 Ricossa, FR, Serra'to appear

- ▶ Understanding Pi-Photon amplitudes

Large-N QCD with $A(s)/s^2 \rightarrow 0$



Couplings to pions:

$$\bar{g}_s^2, \bar{g}_\rho^2 \leq 1. \quad \bar{g}_{f_2}^2 \lesssim 0.80. \quad \bar{g}_{\rho_3}^2 \lesssim 0.14, \quad \bar{g}_{f_4}^2 \lesssim 0.04.$$

LECs:

$$\frac{\bar{g}_{2,1}}{\bar{g}_{2,1}|_\rho} \lesssim 1 + 0.17 \frac{1 - \bar{g}_\rho^2}{\bar{g}_\rho^2}.$$

(e.g for $g_\rho = 0.5$ the rho contributes 80% to LECs)

SU(2) amplitude

$$\begin{aligned}\mathcal{T}_{ab}^{cd} &= A(s|t, u) \left(\frac{2}{N_f} \delta_{ab} \delta^{cd} + d_{abe} d^{cde} \right) \\ &+ A(t|s, u) \left(\frac{2}{N_f} \delta_a^d \delta_b^c + d_a^d e^c d_b^{ce} \right) \\ &+ A(u|s, t) \left(\frac{2}{N_f} \delta_a^c \delta_b^d + d_a^c e^d d_b^{de} \right).\end{aligned}$$

$$\mathcal{M}^0(s|t, u) = 3A(s|t, u) + A(t|s, u) + A(u|s, t) = 3M(s, t) + 3M(s, u) - M(t, u),$$

$$\mathcal{M}^1(s|t, u) = A(u|s, t) - A(t|s, u) = 2\left(M(s, u) - M(s, t)\right),$$

$$\mathcal{M}^2(s|t, u) = A(t|s, u) + A(u|s, t) = 2M(t, u).$$

$$A(s|t, u) = M(s, t) + M(s, u) - M(t, u), \quad 2M(s, u) = A(s|t, u) + A(u|s, t)$$