Funding support from:





EIC Physics

An Experimentalist's Perspective

Ernst Sichtermann (Lawrence Berkeley National Laboratory)



EIC Physics: An Experimentalist's Perspective

Many thanks to the organizers for organizing this school,

you for taking part!

Lectures like these take a village... I owe a debt of gratitude to many friends and colleagues over many years — errors are of course my own.

Several useful reads:

D. Griffiths, "Introduction to Elementary Particle Physics" (or other textbooks),

G. Wolf "HERA Physics" DESY-94-22 (1994),

The EIC community's "White Paper", Eur. Phys. J. A52 (2016) 268,

The EIC community's "Yellow Report", Nucl. Phys. A 1026 (2022) 122447.

EIC Physics Experimental Perspective

Past

Possible Future

	HERA @ DESY	LHeC @ CERN	EIC in China	EIC in U.S.
√s _{ep} [GeV]	320	200 - 1300	15 - 20	20 - 100 (140)
proton x _{min}	1 x 10 ⁻⁵	5 x 10 ⁻⁷	2 x 10 ⁻³	1 x 10 ⁻⁴
ion	р	p, Pb,	p - U	p - U
polarization	-	-	p, light nuclei	p, d, ³ He, Li
L [cm ⁻² s ⁻¹]	2 x 10 ³¹	1 x 10 ³⁴	3 x 10 ³³	10 ³³ - 10 ³⁴
Interaction Points	2	1	1	2
Timeline	1992 - 2007	post ALICE	Upgrade to HIAF	> 2031

High-Energy Physics

Nuclear Physics

Goal: EIC context and capabilities (today)

EIC Physics Experimental Perspective



Goal: EIC experiment concepts (tomorrow)











NNDC ENSDF NSR Nuclear Wallet Cards





Proton — a strongly-bound object of ~0.8 fm (charge) radius, ~0.94 GeV mass, spin 1/2,

None of these are Standard Model parameters,

QCD theory has come quite far (although society is *far* from "QCD-engineering"), EIC is about a combination of strengths resulting in *new* capability.



Scattering off a hard sphere; $r_{\text{nucleus}} \sim (10^{-4} \text{ .} r_{\text{atom}}) \sim 10^{-14} \text{ m}$

Elastic Electron Scattering





Scattering off a spin-1/2 Dirac particle:

$$\frac{d\sigma}{d\Omega} = \left(\frac{\alpha}{4ME\sin^2(\theta/2)}\right)^2 \frac{E'}{E} \left[\frac{q^2}{2M}\sin^2(\theta/2) + \cos^2(\theta/2)\right]$$

The proton has an anomalous magnetic moment,

$$g_p \neq 2, \quad g_p \simeq 5.6$$

and, hence, internal (spin) structure.

~200 MeV

Elastic Electron Scattering



$$d\sigma \propto \left\langle |\mathcal{M}|^2 \right\rangle = \frac{g_e^4}{q^4} L_{\text{lepton}}^{\mu\nu} K_{\mu\nu \,\text{nucleon}}$$

The lepton tensor is calculable:

$$L_{\rm lepton}^{\mu\nu} = 2\left(k^{\mu}k'^{\nu} + k^{\nu}k'^{\mu} + g^{\mu\nu}(m^2 - k \cdot k')\right)$$

The nucleon tensor is not; it's general (spin-averaged, parity conserved) form is:

$$K_{\mu\nu\,\text{nucleon}} = -K_1 g_{\mu\nu} + \frac{K_2}{M^2} p_\mu p_\nu + \frac{K_4}{M^2} q_\mu q_\nu + \frac{K_5}{M^2} \left(p_\mu q_\nu + p_\nu q_\mu \right)$$

Charge conservation at the proton vertex reduces the number of structure functions:

$$q_{\mu}K_{\text{nucleon}}^{\mu\nu} \rightarrow K_4 = f(K_1, K_2), \quad K_5 = g(K_2)$$

and one obtains the Rosenbluth form, with electric and magnetic form factors:

$$\frac{d\sigma}{d\Omega} = \left(\frac{\alpha}{4ME\sin^2(\theta/2)}\right)^2 \frac{E'}{E} \left[2K_1\sin^2(\theta/2) + K_2\cos^2(\theta/2)\right], \quad K_{1,2}(q^2)$$

Inelastic Scattering



Considerably more complex, indeed!

Simplify - consider inclusive inelastic scattering,

$$d\sigma \propto \left\langle \left| \mathcal{M} \right|^2 \right\rangle = \frac{g_e^4}{q^4} L_{\text{lepton}}^{\mu\nu} W_{\mu\nu \,\text{nucleon}}, \qquad W_{\mu\nu \,\text{nucleon}}(p,q)$$

Again, two (parity-conserving, spin-averaged) structure functions:

 W_1, W_2 or, alternatively expressed, F_1, F_2

which may depend on two invariants,

$$Q^2 = -q^2$$
, $x = -\frac{q^2}{2q.p}$, $0 < x < 1$

So much for the structure, the physics is in the structure functions.

Inelastic Scattering



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Not convinced of additional complexity?



Then forget this talk, and calculate this! $W_{\mu\nu\,\mathrm{nucleon}}(p,q)$

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So much for the structure, the physics is in the structure functions.

Elastic scattering off Dirac Protons



Compare:

$$L_{\rm lepton}^{\mu\nu} = 2\left(k^{\mu}k'^{\nu} + k^{\nu}k'^{\mu} + g^{\mu\nu}(m^2 - k \cdot k')\right)$$

with:

$$K_{\mu\nu\,\text{nucleon}} = K_1 \left(-g_{\mu\nu} + \frac{q^{\mu}q^{\nu}}{q^2} \right) + \frac{K_2}{M^2} \left(p^{\mu} + \frac{1}{2}q^{\mu} \right) \left(p^{\nu} + \frac{1}{2}q^{\nu} \right)$$

which uses the relations between $K_{1,2}$ and $K_{4,5}$

Then, e.g. by substitution of k' = k - q in L:

$$K_1 = -q^2, \quad K_2 = 4M^2$$

Note, furthermore, that inelastic cross section reduces to the elastic one for:

$$W_{1,2}(q^2, x) = -\frac{K_{1,2}(q^2)}{2Mq^2}\delta(x-1)$$

Elastic scattering off Dirac Protons



Imagine *incoherent* scattering off *Dirac* Partons (quarks) q :

$$-\frac{q^2}{2Mx}W_2 = \int_0^q \int_0^1 x e_q^2 \delta(x - z_q) f_q(z_q) dz_q = x \sum_q e_q^2 f_q(x) \equiv F_2(x)$$

Two important observable consequences,

Bjorken scaling: $F_{1,2}(x)$, not $F_{1,2}(x,Q^2)$ Callan-Gross relation: $F_2 = 2xF_1(x)$

~10 GeV Deep-Inelastic Electron Scattering



e.g. J.T.Friedman and H.W. Kendall, Ann.Rev.Nucl.Sci. 22 (1972) 203

Deep-Inelastic Electron Scattering



Polarized Deep-Inelastic Scattering



"The sum of quark and anti-quark spins contribute little to the proton spin, and strange quarks are negatively polarized."



V.W. Hughes (1921-2003)

Deep-Inelastic Neutrino Scattering



Recognize this from CERN?

Gargamelle bubble chamber, observation of weak neutral current (1973).

Charged-current DIS!

Nucl.Phys. **B73** (1974) 1 Nucl.Phys. **B85** (1975) 269 Nucl.Phys. **B118** (1977) 218 Phys.Lett. **B74** (1978) 134



Deep-Inelastic Scattering - Fractional Electric Charges



Deep-Inelastic Scattering - Fractional Electric Charges



 $\frac{F_2^N}{F_2^{\nu N}} = \frac{1}{2}(e_u^2 + e_d^2) = \frac{5}{18} \simeq 0.28$

Deep-Inelastic Scattering - Momentum Conservation



Gargamelle: 0.49 +/- 0.07

SLAC: 0.14 +/- 0.05

Quarks carry half of the nucleon momentum!

3-jet events at PETRA

Recall the intro on colour:



Observation of its higher order process,



marks the discovery of the gluon.



Mom. Conservation: Gluons carry the other half of the nucleon momentum.



Nucleon Structure

Three quarks with 1/3 of total proton momentum each.

Three quarks with some momentum smearing.

The three quarks radiate partons to lower momentum fractions *x*.

Insight really only from the first EIC, HERA

Electron Ion Collider Initiatives

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High-Energy Physics

Nuclear Physics

Several initiatives not listed: HE-LHeC, PEPIC, VHEeP, FCC-eh, ...

HERA - Electron Proton Collider

460-920 GeV protons HERA

27.5 GeV electron

PETRA

HERA-I 1992-2000 HERA-II 2003-2007₂₂

US

HERA - Electron Proton Collider

Observed (or known):

 $e = (0, 0, -E_e, E_e)$ $e' = (E'_e \sin \theta'_e, 0, E'_e \cos \theta'_e, E_e)$ $p = (0, 0, E_p, E_p)$



i.e. angles are defined w.r.t. the hadron beam direction (HERA-convention).

Relevant invariants:

 $s = (e + p)^{2}$ Square of total c.m. energy ZEUC $q = e - e' \quad Q^{2} = -(e - e')^{2}$ Square of (4-)momentum transfer $x = \frac{Q^{2}}{ys}$ 27.5 GeV electrom Bjorken-x, ~parton mom. fraction

y = (q.p)/(e.p) Fractional energy transfer *x*, *Q*² can be reconstructed from the scattered electron, the "current jet", or hybrids.

HERA - Electron Proton Collider

Relevant invariants:





HERA-11 20

What was the maximum center-of-mass energy achieved at HERA?

What is the minimum value of Bjorken-x that could be reached for $Q^2 > 1$ GeV²

What is the maximum value of Q^2 that could be reached for x = 0.1?

What would the electron beam energy need to be to achieve the same center-of-mass energy in a fixed-target experiment?

For discussion in the evening,

What are the energy and angle of the scattered electron for $x = 10^{-3}$ and $Q^2 = 10$ GeV²?

What is the angle of the struck quark ("current jet")? What would it be in a fixed-target experiment with the same center-of-mass energy?

HERA - Early Measurements



HERA - Early Measurements



QCD Radiation

DGLAP equations are easy to "understand" intuitively, in terms of four "splitting functions",



P_{ab}(z) : the probability that parton a will radiate a parton b with the fraction z of the original momentum carried by a.

Yu.L. Dokshitzer, Sov.Phys. JETP **46** (1977) 641, V.N. Gribov and L.N.Lipatov, Sov. Journ. Nucl. Phys. **15** (1972) 438; ibid **15** (1972) 675 G.Altarelli and G.Parisi, Nucl.Phys. **B126** (1977) 298

QCD Radiation

DGLAP is highly successful, but not the only approach.



Gluons do not recombine, incoherence is preserved.

Gluon-dense environments?

Similarly, process-independent quarks, survive.

How does DGLAP work?

QCD Radiation

Schematically, DGLAP equations:



That is, the change of quark distribution q with Q^2 is given by the probability that q and g radiate q.

Similarly, for gluons:

$$\frac{dg(x,Q^2)}{d \ln Q^2} = \alpha_s \left[\sum q_f \otimes P_{qg} + g \otimes P_{gg} \right]$$

Side-note: the spin-dependent splitting functions are different from the spin-averaged splitting functions; for example, they generate orbital momentum.
QCD Radiation

A parton at x at Q^2 is a source of partons at x' < x at $Q'^2 > Q^2$.



measured

, Any parton at x > x' at Q^2 is a source.

It is necessary and sufficient to know the parton densities in the range $x' \le x \le 1$ at a lower Q^2 to determine the parton density at x', Q'^2 .

If you measure partons in range $x' \le x \le 1$ at some Q^2 then you know them in that range, and only that range, for all Q'^2 .

Asymptotic solutions exist to the DGLAP equations that may overwhelm the intrinsic contributions. ²⁹

H1 and ZEUS Coll., EPJ C75 (2015) 580



A lot in this plot:

- covers about five orders of magnitude in *x* and Q²,
- consistency of fixed-target data and HERA data,
- scaling at x ~ 0.1 and violations elsewhere,
- strong rise of gluon density,
- E.W. interference at high Q²,
- crucial input to "PDF fits"

H1 and ZEUS Coll., EPJ C75 (2015) 580



Vast body of *precision* measurements over a wide kinematic range, Exquisite insight in high-energy proton structure and QCD dynamics.



Factorization, the separation of short distance and long distance physics, combined with PDFs are 'universally invaluable' in hard scattering processes.



PETRA

Proton structure at high-energy is:

- far from elementary,
- gluon-dominated for x < 0.1,

Gluon content increases with decreasing *x*,

Gluons pose a number of questions e.g. saturation

HERAPDF2.0: 14 parameters, ~1400 combined data points,

Truth in advertising....



A great deal has been learned and continues to be learned from other experiments, e.g. from the LHC.... Shown here CTEQ fits, arXiv:1908.11238

Truth in advertising....



CTEQ fits, arXiv:1908.11238 — How strange is the proton? PID was missing at HERA and is an absolute *must* for any future EIC.



PETRA

Exquisite insight in proton structure in terms of quark and gluon degrees of freedom,

... and also some quite remarkable voids; Precision F_{L} - insufficient time, Test isospin, u-d, - no deuterons, d/u at large x - luminosity, Strange quark distributions - luminosity, Spin puzzle - no hadron beam polarization, Quark-gluon dynamics in nuclei - no nuclei, Saturation - insufficient \sqrt{s} / no nuclei, Brief recap:



- DIS is about nucleon or nuclear structure, nowadays described in terms of quarks and gluons,
- Feynman's parton model point like partons, which behave *incoherently* - combined with QCD radiation are remarkably successful in describing DIS cross sections.
- Parton distributions f(x) are intrinsic properties of the nucleon and (thus) process independent.
- QCD evolution allows one to relate quantitatively processes at different scales Q²,

This is great for RHIC, LHC, and many other areas.

Gluons are a very significant part of the nucleon

Questions or comments, before we move on?

What is a proton, neutron, nucleus?



At high energy: an unseparated, broadband beam of quarks, anti-quarks, and gauge bosons (primarily gluons), and perhaps other constituents, yet unknown.

40 years of an amazingly robust idealization: Renormalization group-improved Parton Model

Factorization theorem(s) + one-dimensional parton distributions, no correlations among the partons

What *is* a proton, neutron, nucleus?



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Not quite.... more than a few high-energy observations are actually different Imperative to separate intrinsic structure from interaction dynamics, push the envelope beyond the theoretically established, obtain meaningful accuracy.

HERA

Saturation:

- geometric scaling of the cross section,
- diffractive cross-section independent of W and Q²,
- evidence for BFKL dynamics (Ball et al., arXiv:1710.05935)



Saturation:

- geometric scaling of the cross section,
- diffractive cross-section independent of W and Q²,
- evidence for BFKL dynamics (Ball, arXiv:1710.0593
- forward multiplicities and correlations at RHIC,

Forward-Forward

Mid-forward correlation



Phenix, Phys.Rev.Lett. 107 (2011) 172301

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STAR, Phys.Rev.Lett. 129 (2022) 092501

Saturation:

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- tantalizing observations, but open questions remain.



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Spin puzzle:

- defining constraint on $\Delta G(x)$ for x > 0.05, smaller x is terra-icognita,
- fragmentation-free insight in Δu, Δd, Δū, Δū
 strange (anti-)quarks?
- large forward transverse-spin phenomena
- Lattice-QCD progress



HERA - RHIC, LHC - JLab

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- Lattice-QCD progress

Imaging / tomography:

- valence quark region,



Electron Ion Collider Initiatives

Approach: combine strengths use existing investments (risk, cost), pursue luminosity;100x - 1000x HERA *nuclei* and *polarization*, optimized instrumentation.

	HERA @ DESY	LHeC @ CERN	EIC in China	EIC in U.S.
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proton x _{min}	1 x 10 ⁻⁵	5 x 10 ⁻⁷	2 x 10 ⁻³	1 x 10-4
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U.S. EIC Capabilities



Eur. Phys. J. A52 (2016) no.9, 268

See also Rept.Prog.Phys. 82 (2019) 024301

• A collider to provide kinematic reach well into the gluon dominated regime,

• Electron beams provide the unmatched precision of the electromagnetic interaction as a probe,

 Polarized nucleon beams to determine the correlations of sea quark and gluon distributions with the nucleon spin,

• Heavy lon beams to access the gluonsaturated regime and as a precise dial to study propagation of color charges in nuclear matter.

• Facility concepts at BNL and at JLab, re-use of existing, significant investment (BNL has since been site-selected).

U.S. EIC Science Case



 How are the sea quarks and gluons, and their spins, distributed in space and momentum inside the nucleus?

• Where does the saturation of gluon densities set in?

• How does the nuclear environment affect the distribution of quarks and gluons and their interactions in nuclei?

Eur. Phys. J. A52 (2016) no.9, 268

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U.S. EIC Science Case



Eur. Phys. J. A52 (2016) no.9, 268

Organized around four themes:

Proton spin, quark and gluon helicity distributions, orbital motion

 Imaging of nucleons and nuclei TMDs, GPDs, Wigner functions

Saturation
 Non-linear evolution,
 Color-glass condensate,

• Hadronization and fragmentation, in-medium propagation, attenuation

Identified measurements and impact.

See also Rept. Prog. Phys. 82 (2019) 024301

U.S.-based EIC - Core Science



Nuclear Physics enabled by EIC accelerator energy, intensity, polarization, and species, experiment capabilities,

theory

U.S.-based EIC - Observables

Key questions:

• How are the sea quarks and gluons, and their spins, distributed in space and momentum, inside the nucleus?

• Where does the saturation of gluon densities set in?

 How does the nuclear environment affect the distribution of quarks and gluons and their interactions in nuclei?

effectively amplify the gluon density being the CEBAF accelerator at JLab and RHIC at

Key measurements:

coherent contributions from many nucleons ence programs in the U.S. established at both BNL in dramatic and fundamentally impor-

The EIC was designated in the 2007 Nu- tant ways. The most intellectually pressing

Inclusive Deep-Inelastic Scattering,

Semi-inclusive deep-inelastic scattering with one or two of the particles in the final state,

Exclusive deep-inelastic scattering,

Diffraction.

ties around the world by being at the inten- ion beams; c) two to three orders of magsity frontier with a versatile range of kine- nitude increase in luminosity to facilitate tomatics and beam polarizations, as well as mographic imaging; and d) wide energy varibeam species, allowing the above questions ability to enhance the sensitivity to gluon to be tackled at one facility. In particu- distributions. Achieving these challenging lar, the EIC design exceeds the capabilities technical improvements in a single facility of HERA, the only electron-proton collider will extend U.S. leadership in accelerator sci-

multi-dimensional and multi-channel

U.S.-based EIC - Observables



Truth in presentation: the process is never quite this A to B; instead, it is iterative.

The "Yellow Report" — Nucl. Phys. A 1026 (2022) 122447 — presents a considerable step forward, both in terms of additional measurements and quantified requirements.

U.S.-based EIC - Nucleon Spin

U.S.-based EIC - key processes for spin physics at LO



Inclusive deep-inelastic scattering

Exclusive deep-inelastic scattering

With and without polarization (!)

h,y

p'

Polarized inclusive DIS Landscape - U.S.-based EIC



Polarized inclusive DIS Landscape - U.S.-based EIC



Core questions include what is the gluon spin contribution to the proton spin? what is the quark and anti-quark spin contribution (at low-*x*)?

U.S.-based EIC - polarized inclusive DIS



Core question:

what is the gluon spin contribution to the proton spin? challenges include large acceptance, resolution, systematics

49

U.S.-based EIC - polarized inclusive DIS





Core answers will include what is the gluon spin contribution to the proton spin? what is the quark and anti-quark spin contribution (at low-*x*)?

50

U.S.-based EIC - polarized inclusive DIS

Phys.Rev.D 99 (2019) 094004



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U.S.-based EIC - polarized semi-inclusive DIS



Semi-inclusive measurements will vastly advance insights in the polarized quark sea, come with particle-identification challenges,

Charged-current measurements provide unique opportunities, e.g. g₅

U.S.-based EIC - beyond collinear parton distributions



Lorce, Pasquini, Vanderhaeghen

Semi-inclusive measurements, together with exclusive measurements, are key to probe beyond collinear parton distributions, image the nucleon — orbital angular momenta.

U.S.-based EIC - Two Approaches to Imaging

TMDs

GPDs

2+1 D picture in **momentum space**



- intrinsic transverse motion
- spin-orbit correlations = indicator of OAM
- non-trivial factorization
- accessible in SIDIS, DY (and at RHIC)

2+1 D picture in **impact-parameter space**



QCDSF collaboration

- collinear but long. momentum transfer
- indicator of OAM; access to Ji's total $J_{\mbox{\scriptsize q},\mbox{\scriptsize g}}$
- existing factorization proofs
- DVCS, exclusive vector-meson production

currently no direct, model-independent relation known between TMDs and GPDs

U.S.-based EIC - polarized semi-inclusive DIS



U.S.-based EIC - polarized semi-inclusive DIS



Imaging nucleon (spin) is a major EIC objective - illustrated here is the impact on the up and down Sivers' functions
U.S.-based EIC - polarized semi-inclusive DIS



Imaging nucleon (spin) is a major EIC objective — well into the gluon dominated regime.

EIC - DVCS, DVMP, and Imaging



EIC - DVCS, DVMP, and Imaging



EIC - DVCS, DVMP, and Imaging







x-dependence at fixed Q²

*Q*²-dependence at fixed x

U.S.-based EIC - Nuclei

DGLAP

 $Q_s^2(x)$

 $\ln Q^2$



Complementarity with ongoing and future RHIC and LHC measurements,

 $Q_s^2(x)$

 $\ln Q^2$



LHeC, if realized, will obviously provide unprecedented kinematic reach, complementarity in polarization, A capabilities





Impactful baseline inclusive measurements.



Rept.Prog.Phys. 82 (2019) 024301



Clearly visible impact also beyond baseline inclusive measurements with "Rosenbluth separation" and semi-inclusive measurements.

Nuclear gluon will be probed sensitively with complementary channels.



Rept.Prog.Phys. 82 (2019) 024301



EIC - Saturation from PDFs alone?



Theory will undoubtedly develop nPDFs much further; NNLO, HT, resummations, in-medium, ...

Almost certainly no substitute for thinking outside the (n)PDF...



Dominguez, Xiao, Yuan (2011)

Zheng et al (2014)

Suppression of back-to-back hadron or jet correlation directly probes the (un-)saturated gluon distributions in nuclei,

EIC - Diffractive probes of Saturation

$$t = (p_A - p_{A'})^2 = (p_{VM} + p_{e'} - p_e)^2$$



Nucleus escapes down the beampipe (In)coherence tagged with ZDC

Dipole Cross-Section:



EIC - Diffractive probes of Saturation



Incoherent and coherent diffraction are both key measurements to saturation, Exclusive vector meson production is key to (all) imaging, as is deeply virtual Compton scattering

U.S.-based EIC - Additional Opportunities (Selected)

EIC - SIDIS to study Emergence of Hadrons



Study mass-dependence via charmed hadrons.



EIC - Electroweak Opportunities



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High-Energy Physics

Nuclear Physics

Goal: EIC context and capabilities (today)

EIC Physics Experimental Perspective

Possible Future EIC will offer new capability and relies crucially on theory, accelerator, and experiment — the combined strengths of the communities,

EIC will addr	ess profound qu	lestions in nucl	ear physics	
ion• How are the se	a quarks and gluons, a	and their spins, distribu	ited in space and mom	entum inside the nucleus?
• How does the r	nuclear environment af	fect the distribution of a	quarks and gluons and	their interactions in nuclei?
L [cm ⁻² s ⁻¹] Where does the	2 x 10 ³¹ saturation of aluon de	1 x 10 ³⁴ ensities set in?		
Interaction Points	2	1		
and offer nur	merous other op	portunities		

Touched on: electroweak possibilities,

Not discussed here: transversity - tensor charge - EDM, lepton-flavor violation, ...
Interpretended of the second se

Goal: EIC context and capabilities (today)