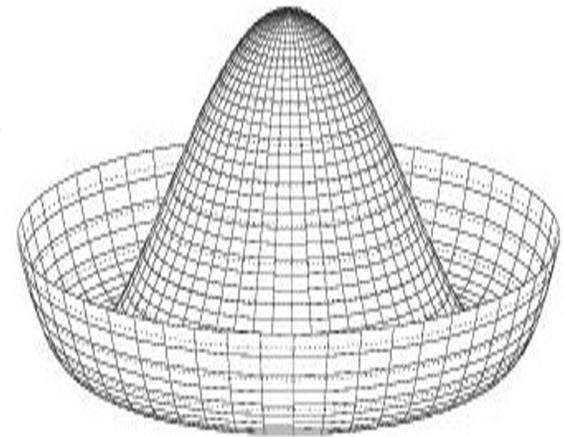


INTRODUCTION TO SMEFT



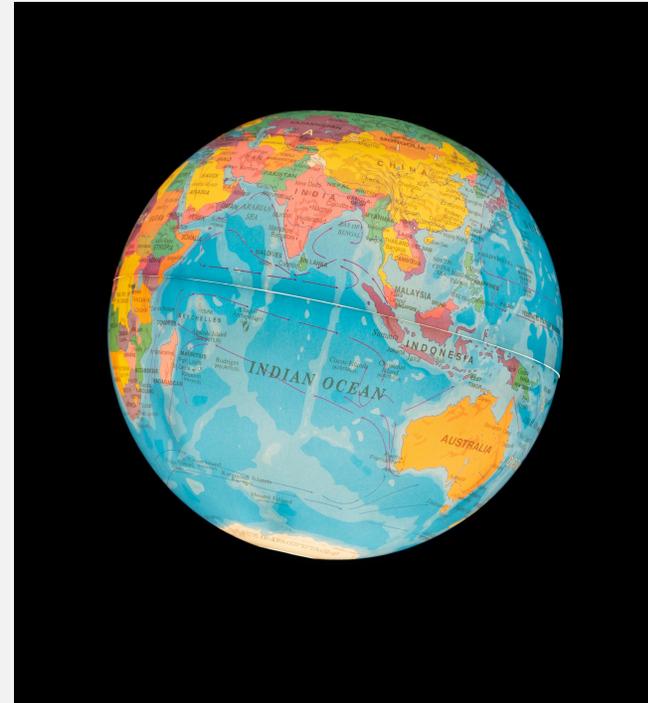
S. Dawson, BNL
CTEQ, 2023

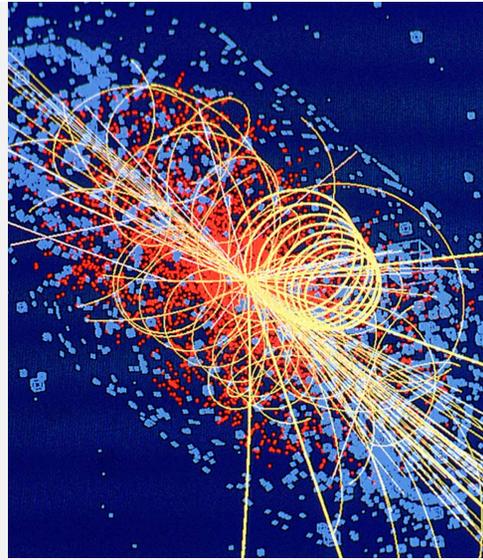


Complaints, suggestions to dawson@bnl.gov

THE PARTICLE PHYSICS WORLD
HAS CHANGED DRAMATICALLY
IN THE LAST DECADE

- The triumph of the Standard Model
- European Strategy Study and Snowmass Study inspire us to think about the future
- What is missing from our knowledge of the Higgs?





S. Dawson

IN THE LAST 11
YEARS....

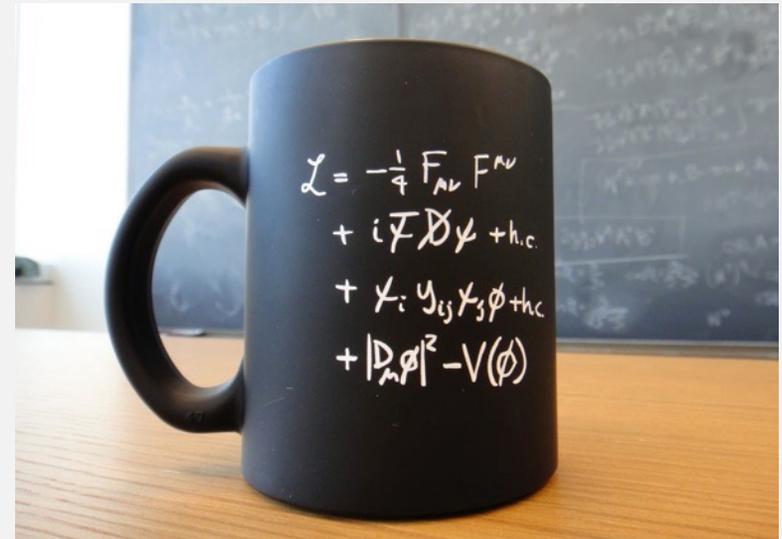
2012: LHC discovered a Higgs boson; it appears to have predicted properties

THE SM IS SIMPLE AND PREDICTIVE

- $SU(3) \times SU(2) \times U(1)$
- Electroweak sector described in terms of masses and 3 inputs
 - Typically G_F , α , M_Z
- Particle couplings fixed

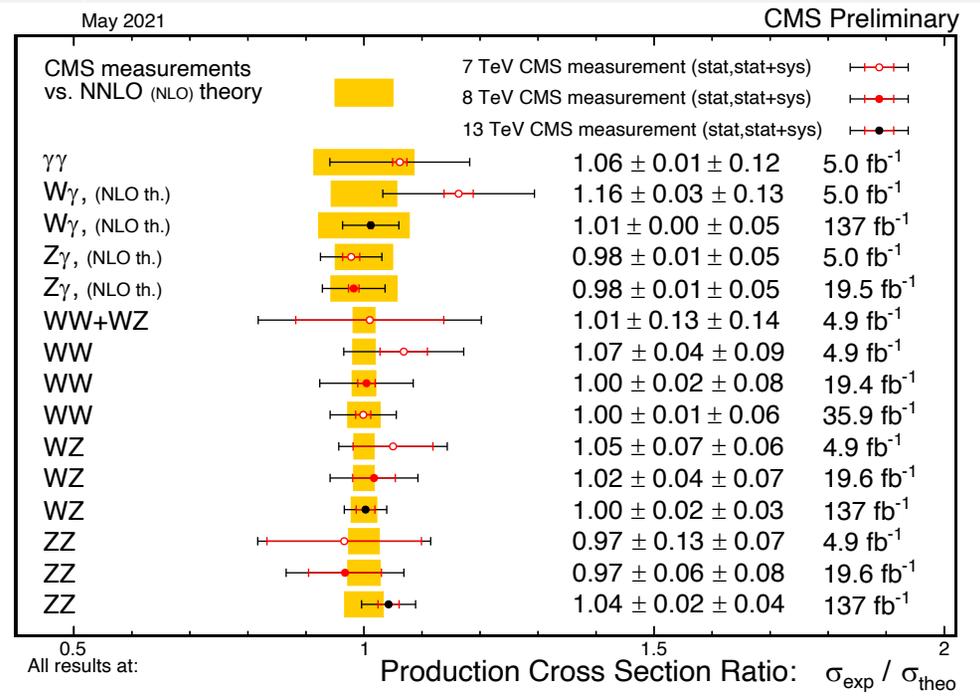
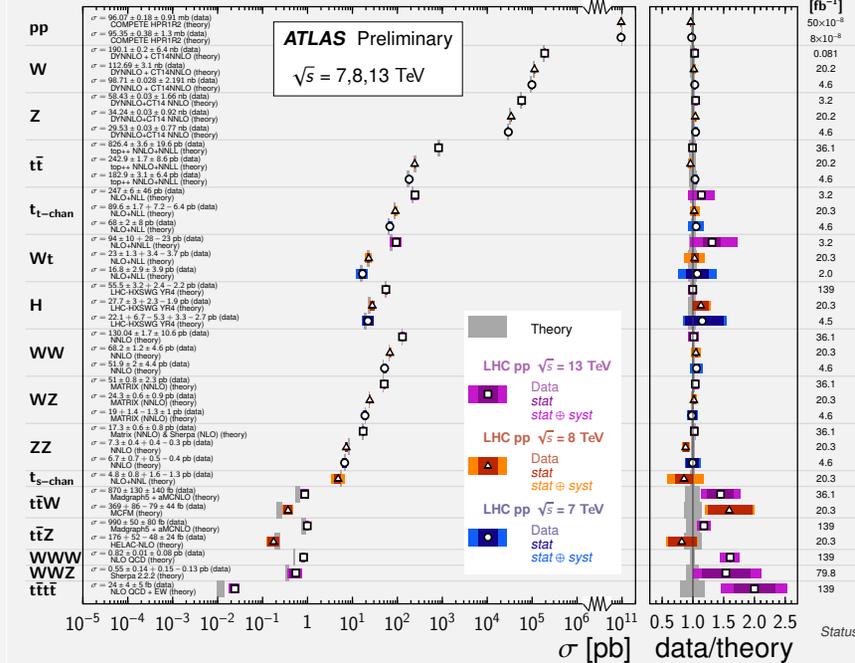
*Only unknown parameter
is Higgs mass*

Testable model !



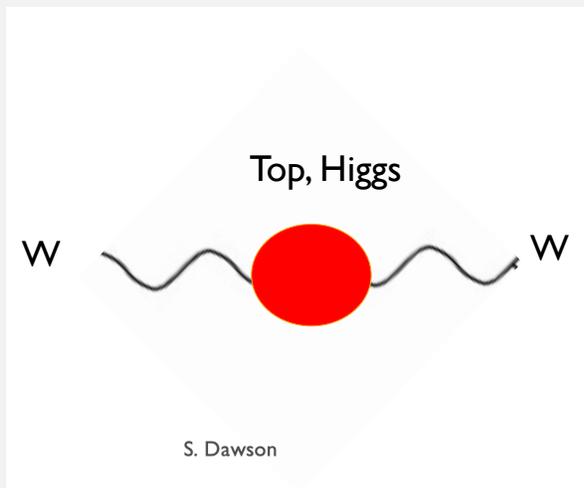
SM PROCESSES APPEAR TO HAVE PREDICTED RATES

Standard Model Total Production Cross Section Measurements



CONSISTENCY AT THE QUANTUM LEVEL

- W boson mass is a prediction of the theory
- At the quantum level contributions from the top quark and the Higgs boson



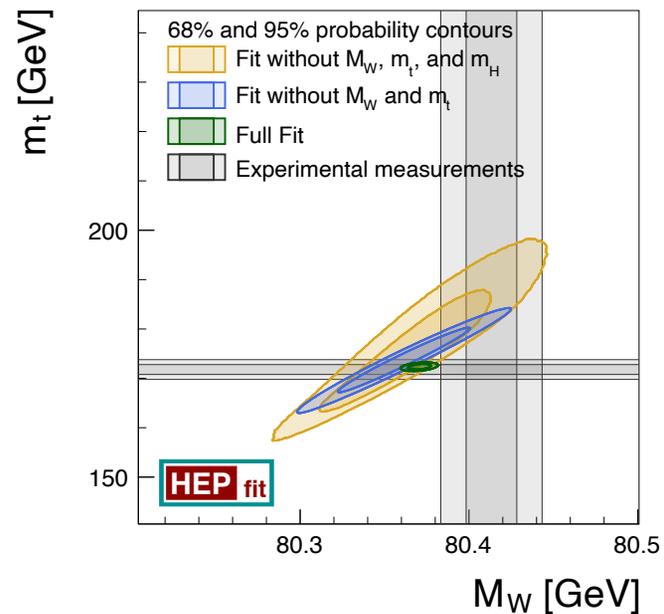
$$M_W \sim 80.94 \text{ GeV} + (\dots) \frac{M_t^2}{(246 \text{ GeV})^2} + (\dots) \log(M_H^2) + \dots$$

calculable

* Infinite without Higgs boson

AND EVERYTHING IS REASONABLY
CONSISTENT WITH ELECTROWEAK
PRECISION....

- SM works at the quantum level
- M_W is a predicted quantity



ALL DATA SO FAR CONSISTENT
WITH....





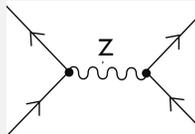
IS THERE
MORE?

- How do we know if the SM with the Higgs is just the low energy manifestation of some more complete model that exists at high scales?

HIGH SCALE DECOUPLING

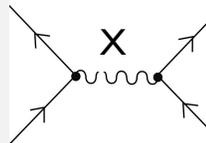
- Suppose there is a new particle X , with mass $M_X \gg M_W$

- SM scattering:



$$A_{SM} \sim \frac{g^2}{M_Z^2}$$

- Contribution from X :



$$A_X \sim \frac{g_X^2}{M_X^2}$$

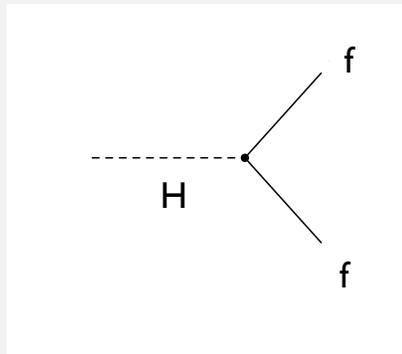
- Scattering rate:

$$\sigma \sim \sigma_{SM} + \frac{g^2 g_X^2}{M_X^2} \rightarrow \sigma_{SM}$$

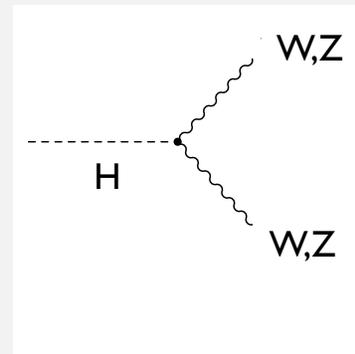
Effects of X vanish as $1/M_X^2$ for **weak coupling**

THE HIGGS IS DIFFERENT

- Particles whose couplings are proportional to mass don't decouple



$$-i \frac{m_f}{v}$$



$$-2i \frac{M_V^2}{v} g^{\mu\nu}$$

- Longitudinal polarizations also change counting

This suggests that the Higgs sector is a good place to begin our search for new physics

REVIEW OF HIGGS COUPLINGS

- Couplings to fermions proportional to mass: $\frac{m_f}{v} H \bar{f} f$
- Couplings to massive gauge bosons proportional to (mass)²:

$$2M_W^2 \frac{H}{v} W_\mu^+ W^{-\mu} + M_Z^2 \frac{H}{v} Z_\mu Z^\mu$$

- Couplings to massless gauge bosons at 1-loop:*

$$F(m_f) \frac{\alpha_s}{12\pi} \frac{H}{v} G_{\mu\nu}^A G^{A,\mu\nu} + F(m_f, M_W) \frac{\alpha}{8\pi} \frac{H}{v} F_{\mu\nu} F^{\mu\nu} + F(m_f, M_W) \frac{\alpha}{8\pi s_W} \frac{H}{v} F_{\mu\nu} Z^{\mu\nu}$$

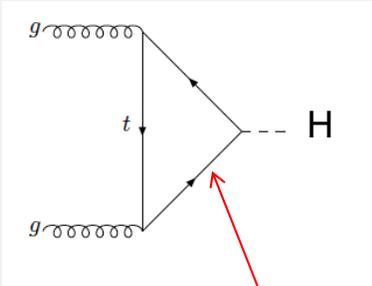
- Higgs self-couplings proportional to M_H^2 :

$$\frac{M_H^2}{2} H^2 + \frac{M_h^2}{2v} H^3 + \frac{M_h^2}{8v^2} H^4$$

Only unpredicted parameter is M_H

* Normalization is such that $F \rightarrow 1$ for $M_f, M_W \rightarrow \infty$

HIGGS PRODUCTION AT A HADRON COLLIDER



Depends on new physics in loop

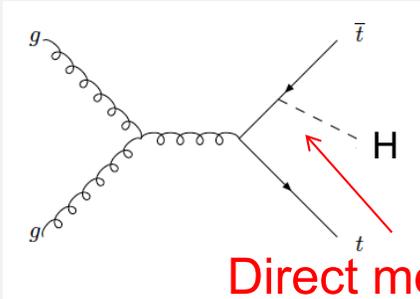
Most important processes:

$$gg \rightarrow H$$

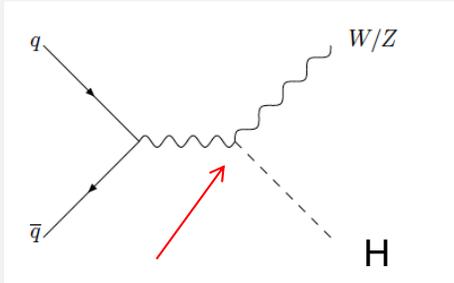
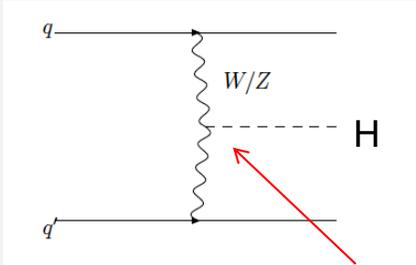
$$q\bar{q} \rightarrow q\bar{q}H$$

$$q\bar{q} \rightarrow VH$$

$$q\bar{q}, gg \rightarrow t\bar{t}H$$



Direct measurement of tth Yukawa



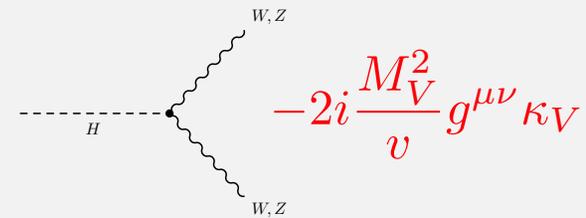
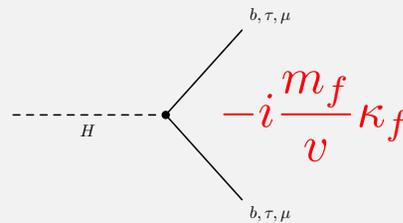
Vanishes if $v=0$: Fundamental test of EWSB mechanism

TESTING HIGGS COUPLINGS: κ APPROACH

- Assume no new resonances/zero width approx/**no new tensor structures**

$$\sigma \cdot BR(ii \rightarrow H \rightarrow jj) = \frac{\sigma_{ii} \Gamma_{jj}}{\Gamma_H}$$

- Define scaling factors κ

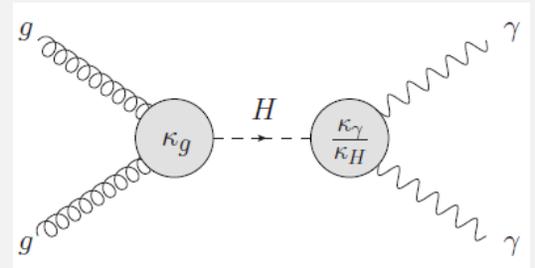


SM gauge invariance requires $\kappa=1$

Doing this spoils unitarity cancellations, gauge invariance....

HIGGS COUPLINGS: κ FRAMEWORK

Example:
 $gg \rightarrow H \rightarrow \gamma\gamma$



$$(\sigma \cdot BR)(gg \rightarrow H \rightarrow \gamma\gamma) = \left[\sigma(gg \rightarrow H) \cdot BR(H \rightarrow \gamma\gamma) \right]_{SM} \times \frac{\kappa_g^2 \cdot \kappa_\gamma^2}{\kappa_H^2}$$

κ_H^2 is the scale factor to the total Higgs decay width

$$\kappa_H^2 = \sum_x \kappa_x^2 \cdot BR(H \rightarrow xx) \xrightarrow{\text{No BSM decays}} \kappa_H^2 = \sum_x \kappa_x^2 \cdot BR_{SM}(H \rightarrow xx)$$

$$\xrightarrow{\text{With BSM decays}} \kappa_H^2 = \sum_x \kappa_x^2 \cdot \frac{BR_{SM}(H \rightarrow xx)}{1 - BR_{BSM}}$$

Note dependence on total width

Fits typically done under both assumptions
 (Crucial to read the fine print!)

κ RESCALING OF HIGGS COUPLINGS

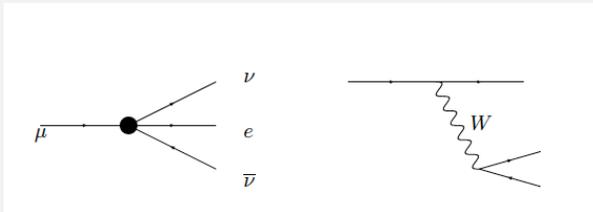
- Problems:
 - **Gauge invariance requires $\kappa=1$**
 - Higgs couplings not free parameters in SM
 - **Not a consistent field theory** \rightarrow no higher order corrections
 - EW corrections don't factorize (can't be included)
 - **No kinematic information**
 - Higgs coupling measurements cannot be combined with other measurements

EFFECTIVE FIELD THEORY

- Assume all new physics is at a higher scale than current measurements
- See what we can learn by looking at corrections to SM predictions from unknown higher scale physics
- Can connect data from different sectors (Higgs, top, electroweak) for more information
- **Effective field theories are consistent theories where corrections can be calculated in terms of some expansion parameter**

FAMILIAR EXAMPLE OF EFFECTIVE THEORY

- μ decay: Gives very precisely measured $G_F \sim 10^{-5} \text{ GeV}^2$



$$A_{\text{low energy}} = -\frac{G_F}{\sqrt{2}} (\bar{\psi} \gamma^\mu (1 - \gamma_5) \psi) (\bar{\psi} \gamma_\mu (1 - \gamma_5) \psi)$$

- 4 fermion interaction rate grows with energy $\sim G_F^2 (\text{Energy})^2$
- Theory only makes sense for Energy $< 600 \text{ GeV}$

- In the SM: $A_{\text{high energy}} = \frac{g^2}{2} (\bar{\psi} \gamma^\mu P_L \psi) (\bar{\psi} \gamma_\mu P_L \psi) \left(\frac{1}{q^2 - M_W^2} \right)$
- Match at low q^2 $q^2 \ll M_W^2 \rightarrow \frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2}$

Predict coefficients of low energy effective theory in terms of UV physics

EFFECTIVE FIELD THEORY FRAMEWORK

- Assume $SU(3) \times SU(2) \times U(1)$ gauge theory with no new light particles
- Assume Higgs particle is part of $SU(2)$ doublet (**defines SMEFT**)
- SM is low energy limit of effective field theory with towers of higher dimension operators

$$L = L_{SM} + \sum \frac{c_i}{\Lambda^2} O_i^{d=6} + \sum \frac{c_i}{\Lambda^4} O_i^{d=8} + \dots$$

BSM Effects SM Particles

- Many possible operators, must choose relevant set (typically $\sim 20-30$ in current fits)
- Power of SMEFT is that it connects top, Higgs, EW physics processes

COUNTING DIMENSIONS

- $[\psi] \sim 3/2$ SM is dimension 4 $[\bar{\psi}\gamma^\mu D_\mu\psi] \sim 4$
 - $[\phi] \sim 1$
 - $[W] \sim 1$
 - $[D_\mu] \sim 1$
- Only 1 dim-5 operator (for 1 generation) and it violates lepton number conservation

$$(\tilde{\phi}^\dagger L_L)^T C (\tilde{\phi}^\dagger L_L) \quad \tilde{\phi} = i\sigma_2\phi$$

Usually start our EFT at dimension-6

EXAMPLE OF CONSTRUCTING OPERATORS

- Take any SM interaction, say $L \sim -ig_s \bar{t} \gamma^\mu T^A t G_\mu^a$

- Tack on $\phi^\dagger \phi$

$$L \sim \left[-ig_s \bar{t} \gamma^\mu T^A t G_\mu^a \right] c_{tg} \left(\frac{\phi^\dagger \phi}{\Lambda^2} \right)$$

- Generate redefinition of SM terms plus Higgs couplings from

$$\phi^\dagger \phi = \frac{(H + v)^2}{2} \quad L \sim \left[-ig_s \bar{t} \gamma^\mu T^A t G_\mu^a \right] c_{tg} \left(\frac{v^2 + 2vH + H^2}{2\Lambda^2} \right)$$

- First term redefines $\alpha_s \rightarrow \alpha_s^{SM} \left(1 + \frac{c_{tg} v^2}{\Lambda^2} \right)$

Change SM interactions in gauge invariant manner

CONSTRUCT SMEFT FOR GAUGE INTERACTIONS

Change
definitions of
input
parameters

$$\begin{aligned} g_s & (\phi^\dagger \phi) G_{\mu\nu}^A G^{\mu\nu,A} \\ g_1 & (\phi^\dagger \phi) B_{\mu\nu} B^{\mu\nu} \\ g_2 & (\phi^\dagger \phi) W_{\mu\nu}^a W^{\mu\nu a} \end{aligned}$$

$$\begin{aligned} O_{HG} & : gg \rightarrow H \\ O_{HB} & : H \rightarrow \gamma\gamma \\ O_{HW} & : H \rightarrow Z\gamma \end{aligned}$$

Take SM operators and add

$$\phi^\dagger \phi = \frac{1}{2}(H + v)^2$$

Warsaw basis operator

Example effects

Gauge fields not canonically normalized

Also mixed contribution: $O_{HWB} = (\phi^\dagger \sigma^a \phi) W_{\mu\nu}^a B^{\mu\nu}$

NO UNIQUE BASIS FOR OPERATORS

- Write down an operator
- Use equations of motion to generate new operator
- Need to find an independent set of operators
- Most commonly used basis is called Warsaw Basis

Example:

$$(D^\nu G_{\mu\nu}^A)^2 \longrightarrow J_G^{A,\mu} J_{G,\mu}^A \quad J_G^{A,\mu} = g_s \sum_{f=q,u,d} (\bar{f} \gamma^\mu T^A f)$$

↑
Equations of motion take derivative
operator to 4-fermion operator

WARSAW BASIS

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
Q_G	$f^{ABC} G_\mu^A G_\nu^B G_\rho^C$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^A G_\nu^B G_\rho^C$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$
Q_W	$\varepsilon^{IJK} W_\mu^I W_\nu^J W_\rho^K$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_\mu^I W_\nu^J W_\rho^K$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\varphi^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$

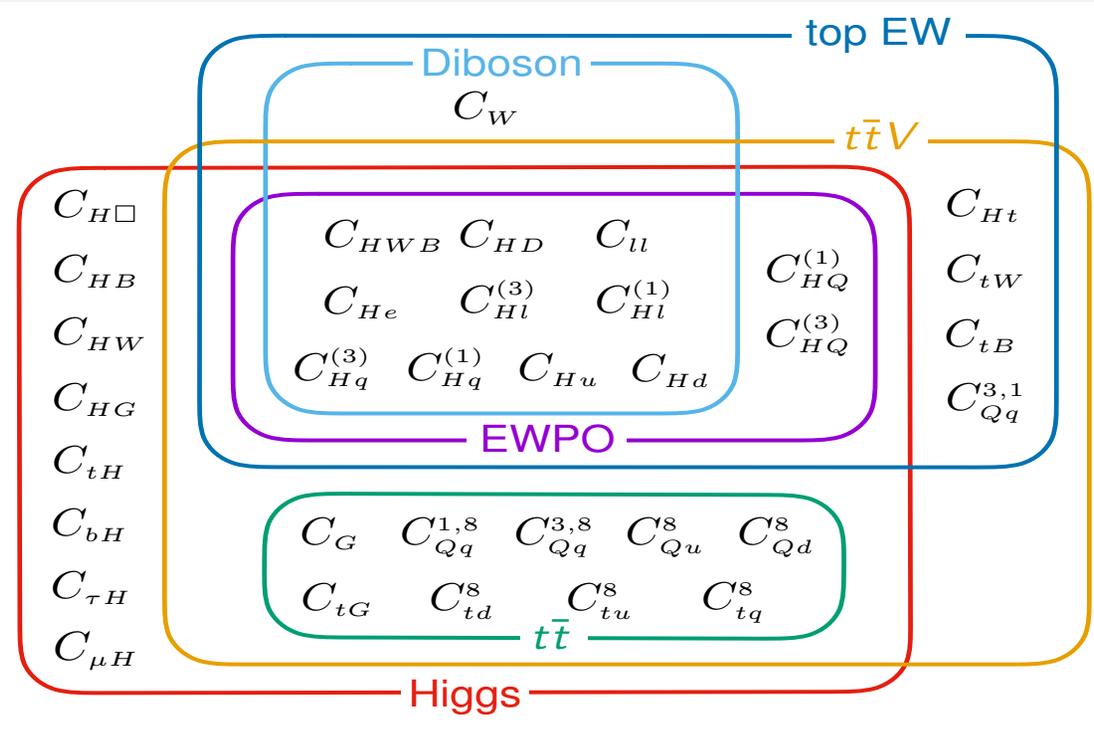
$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ec}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{\varphi q}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{\varphi q}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{\varphi u}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{\varphi u}^{(3)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(3)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
				$Q_{qd}^{(3)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$
$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B -violating			
Q_{ledq}	$(\bar{l}_p^j e_r)(\bar{d}_s q_t^k)$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$		
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	Q_{ququ}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^\alpha)^T C q_r^\beta k] [(u_s^\gamma)^T C e_t]$		
$Q_{quqd}^{(3)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	Q_{quqq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jnk} [(q_p^\alpha)^T C q_r^\beta k] [(q_s^\gamma)^T C l_t^k]$		
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	Q_{duu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				

+.....

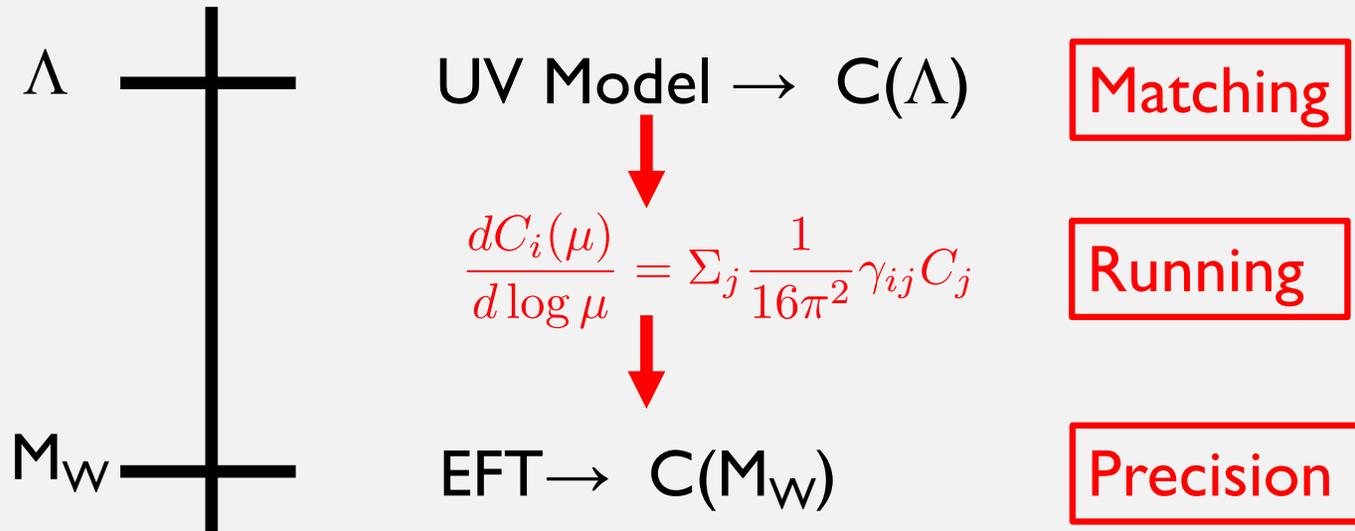
- Start from SM Lagrangian and add $(\phi^\dagger \phi)$ to all terms
- The interesting operators are those with derivatives
- Derivative operators introduce new structures into kinematic distributions
- Most of 2499 operators come from flavor permutations

COMPLICATED

- Power of SMEFT is connection of data from different processes
- Eventually, EIC will contribute to this picture



SCALES AND THE EFT



Assume large separation of scales

ADVANTAGES OF SMEFT APPROACH

- Quantum field theory where calculations done order by order in $1/\Lambda$
 - Compute cross sections without knowing high scale (UV) physics
- **Systematically improvable**
- At this level, SMEFT calculations are **model independent**
- Measurements interpreted in terms of SMEFT coefficients
- Can compare very different classes of measurements

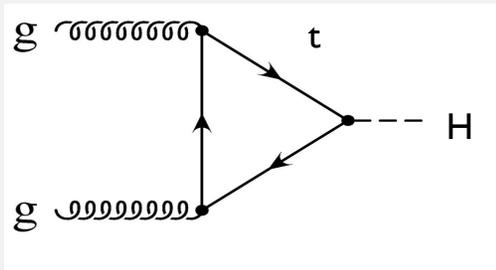
Sounds good, but how does this work in practice?

And even more important, how model independent is this?

HIGGS COUPLINGS TO GLUONS

- Largest contribution in SM is from top quarks
- (Hff coupling $\sim m_f/v$)
- Not a direct measurement of ttH coupling since there could be new particles in loop

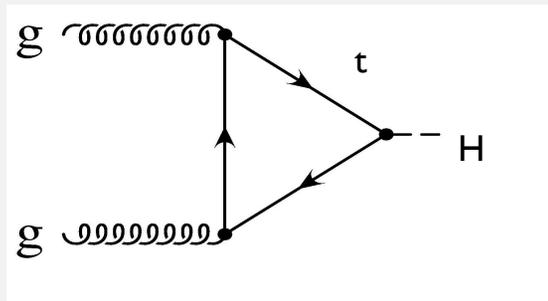
Contribution of b quark $\sim -4\%$



No direct ggH , $\gamma\gamma H$ couplings since Higgs couples to mass

HEAVY MASS STATES AND SM

- Most familiar example of **non-decoupling** is gluon fusion with heavy chiral fermion:



$$\hat{\sigma}_{gg \rightarrow h}(\hat{s}) = \frac{\alpha_s(\mu_R)^2}{1024\pi v^2} \left| \sum_q F_{1/2}(\tau_q) \right|^2 \delta\left(1 - \frac{M_h^2}{\hat{s}}\right)$$

- For heavy chiral fermion, $F_{1/2} \rightarrow -4/3$, independent of mass
- This result can be derived from the **effective Lagrangian**

$$L_{EFT} = \frac{\alpha_s}{12\pi v^2} |\phi|^2 G_{\mu\nu}^A G^{A,\mu\nu}$$

$$\phi \rightarrow \frac{H + v}{\sqrt{2}}$$

Question: Why does this logic show that there can't be a SM-like 4th generation?

GLUON FUSION IN THE SMEFT

$$L = L_{SM} + \frac{C_{HG}}{\Lambda^2} O_{HG} + \frac{C_{tH}}{\Lambda^2} O_{tH}$$
$$\sim \left[-Y_t \bar{q}_L \tilde{\phi} t_R + \frac{C_{tH}}{\Lambda^2} \phi^\dagger \phi \bar{q}_L \tilde{\phi} t_R + hc \right] + \frac{C_{HG}}{\Lambda^2} (\phi^\dagger \phi) G_{\mu\nu}^A G^{A,\mu\nu}$$

- Changes relationship between top mass and SM Yukawa, Y_t :

$$m_t = \frac{v}{\sqrt{2}} \left[-Y_t + \frac{v^2}{2\Lambda^2} C_{tH} \right]$$

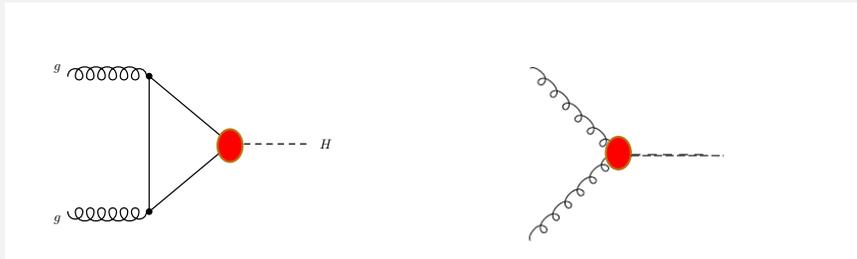
- Changes ttH coupling: $\blacktriangleright \frac{m_t}{v} \left[1 - \frac{v^2}{\Lambda^2} \frac{C_{tH}}{Y_t} \right]$

WHAT DOES GLUON FUSION MEASURE?

- $gg \rightarrow H$ cannot distinguish effective ggH coupling from modification to Yukawa coupling in the large m_t limit
- Flat directions like this are common in SMEFT

$$A(gg \rightarrow H) \sim A_{SM} \left[1 + \frac{v^2}{\Lambda^2} \left(\frac{12\pi C_{HG}}{\alpha_s} - \frac{C_{tH}}{Y_t} \right) \right]$$

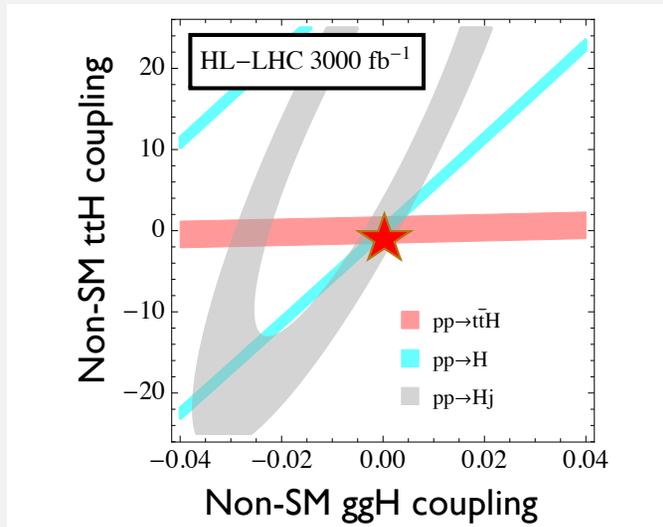
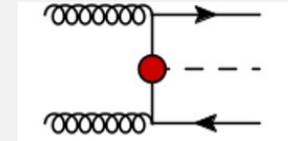
Only sensitive to C/Λ^2
Assumptions about
scale require
assumptions about C



Need to combine
measurements to get limits
on SMEFT parameters

IDENTIFY SMEFT COEFFICIENTS

- Is the $t\bar{t}H$ coupling the Standard Model coupling?
- Non-SM contributions change rate/distributions



- Observation of gluon fusion production of Higgs at expected rate doesn't mean Higgs has SM $t\bar{t}H$ coupling
- Need $t\bar{t}H$ production
- High luminosity will pin down coupling

* $t\bar{t}H$ production is sensitive to the sign of the coupling

HIGGS MECHANISM IN SMEFT

- Higgs mechanism as usual, but with extra terms

$$L_H = (D_\mu \phi)^\dagger (D^\mu \phi) + \mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2 + \frac{C_6}{\Lambda^2} (\phi^\dagger \phi)^3 + \frac{C_{H\Box}}{\Lambda^2} (\phi^\dagger \phi) \Box (\phi^\dagger \phi) + \frac{C_{HD}}{\Lambda^2} (\phi^\dagger D_\mu \phi)^* (\phi^\dagger D^\mu \phi)$$

$$\phi = \begin{pmatrix} \phi_0^+ \\ \frac{1}{\sqrt{2}}(v + H_0 + i\phi_0^0) \end{pmatrix}$$

*subscript 0 indicates field before shift of field to get canonical normalization

- Minimize potential (keeping only terms up to $1/\Lambda^2$):

$$v = \sqrt{\frac{\mu^2}{\lambda}} + \frac{3\mu^3}{8\lambda^{5/2}} \frac{C_6}{\Lambda^2}$$

HIGGS MECHANISM IN SMEFT, #2

- Higgs field is not canonically normalized:

$$L_H \sim \frac{1}{2} \left[1 + \frac{v^2}{2\Lambda^2} C_{HD} - \frac{2v^2}{\Lambda^2} C_{H\Box} \right] (\partial_\mu H_0)^2 \\ + \frac{1}{2} \left[\mu^2 - 3\lambda v^2 + \frac{15v^4}{4\Lambda^2} C_6 \right] H_0^2 + \text{Goldstones...}$$

- Canonical normalization recovered: $H = Z_h H_0$
- All Higgs interactions shifted $Z_h = 1 + \frac{v^2}{4\Lambda^2} C_{HD} - \frac{v^2}{\Lambda^2} C_{H\Box}$

Other possible purely scalar operators can be eliminated by integration by parts, or by use of the equations of motion (ok with dim-6 operators) or by field redefinitions

SMEFT GAUGE SECTOR

- Shift fields so that gauge fields have canonical forms
- Find mass eigenstates as usual:

$$M_W = \frac{\bar{g}v}{2}$$

$$M_Z = \frac{v}{2} \sqrt{\bar{g}^2 + \bar{g}'^2} \left(1 + \frac{\bar{g}\bar{g}'}{(\bar{g})^2 + (\bar{g}')^2} \frac{v^2}{\Lambda^2} C_{HWB} + \frac{v^2}{4\Lambda^2} C_{HD} \right)$$

- **SM relationships among parameters altered (barred fields remind us of this)**

$$O_{HWB} = \phi^\dagger \sigma^a \phi W_{\mu\nu}^a B^{\mu\nu}$$

$$O_{HD} = (\phi^\dagger D^\mu \phi)^* (\phi^\dagger D_\mu \phi)$$

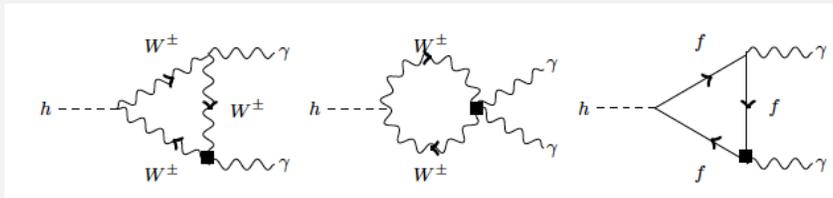
$$\frac{v^2}{\Lambda^2} C_{HWB} = \frac{\bar{g}\bar{g}'}{16\pi} \Delta S$$

$$\frac{v^2}{\Lambda^2} C_{H\Box} = - \frac{\bar{g}\bar{g}'}{2\pi(\bar{g} + \bar{g}')} \Delta T$$

$$H \rightarrow \gamma\gamma$$

- What do we learn from precision Higgs decays?
- Some operators are constrained at tree level in $\gamma\gamma$ [C_{HB} , C_{HW} , C_{HWB}]

$$\mu_{\gamma\gamma} \equiv \frac{\Gamma(H \rightarrow \gamma\gamma)_{SMEFT}}{\Gamma(H \rightarrow \gamma\gamma)_{SM}}$$



- EFT confuses loop and tree expansion of SM
- Consider effects of EFT operators in loops (since it is a consistent field theory)