

Fluctuations in the Background for Jets:

Model Studies, Mitigation, Machine Learning and More

Charles Hughes Iowa State University 2/07/2023

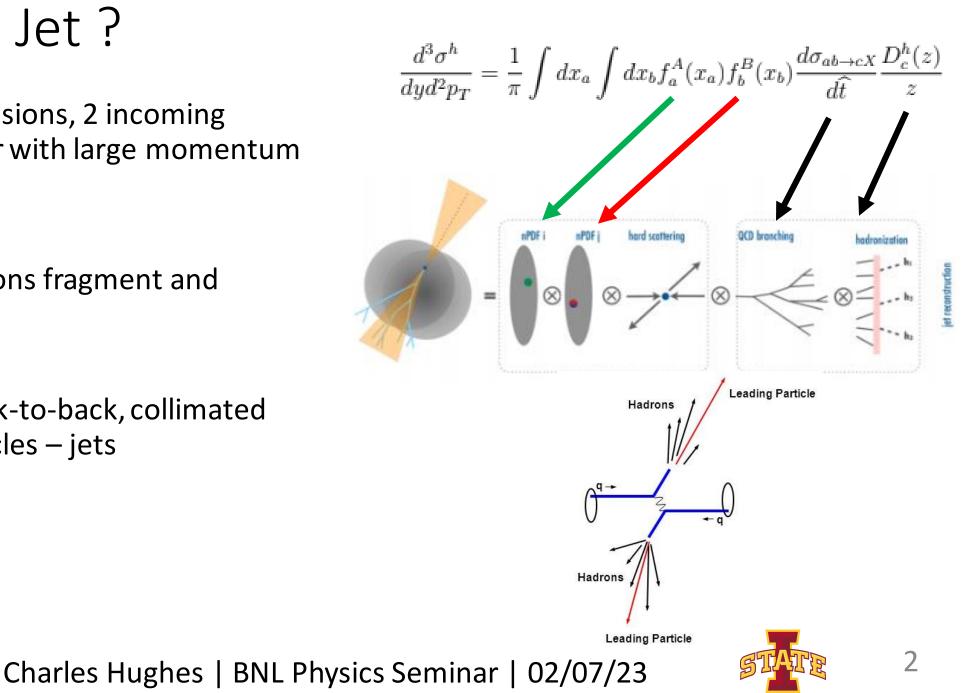


Office of Science



What Is a Jet ?

- In a pp/AA collisions, 2 incoming partons scatter with large momentum transfer
- Scattered partons fragment and hadronize
- This forms back-to-back, collimated sprays of particles – jets

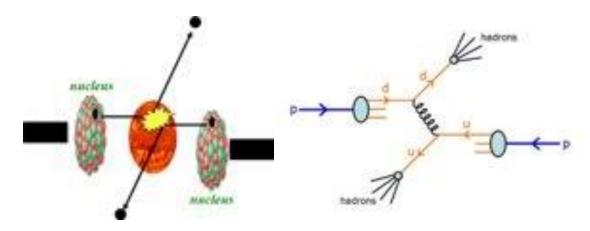




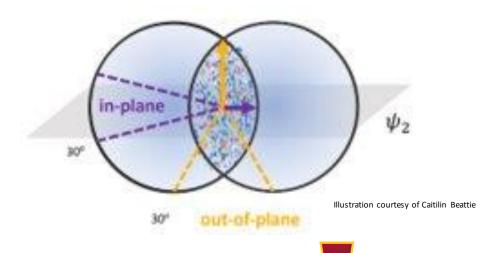
Why Study Jets ?

- Internally generated probe of QGP
- Jets lose energy in medium (AA) relative to vacuum (pp)
- Energy loss is path length dependent - giving us information about Quark Gluon Plasma (QGP) properties

Absolute Energy Loss (medium vs. vacuum)



Relative Energy Loss (more mediums vs. less medium)



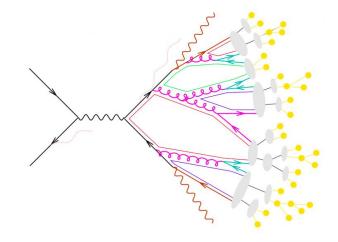


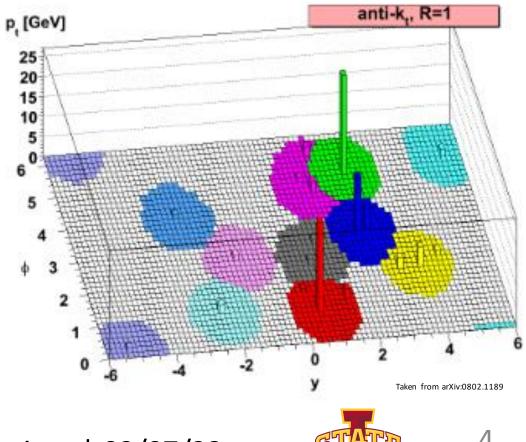


Reconstructing Jets

- Jets are ambiguous objects even at generator level
- Experiments rely on reconstruction algorithms (decide which particles go in the jet) and recombination schemes (decide how to calculate jet properties from particle properties)
- Example anti- k_T algorithm w/ boost invariant p_T scheme

$$d_{ij} = \min(k_{ti}^{2p}, k_{tj}^{2p}) \frac{\Delta_{ij}^2}{R^2}, d_{iB} = k_{ti}^{2p}, p = -1$$





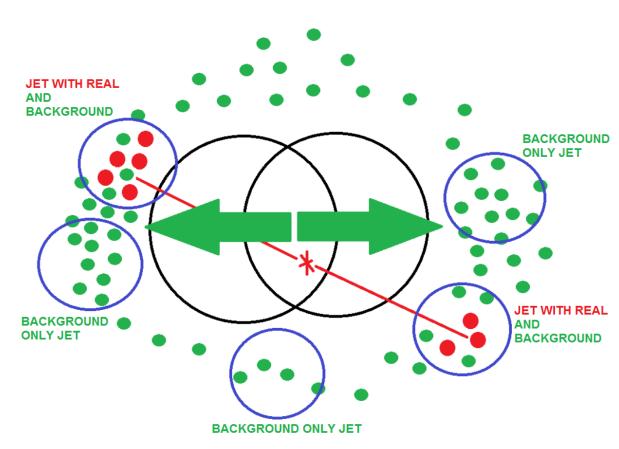


The Problem of Jet Background

• Simplified picture

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- Signal/Real particles from hard scatterings
- Background particles from soft processes
- Background fluctuates in η , ϕ , event-to-event
- Jets with combinatorial background
- Jets composed of entirely combinatorial background





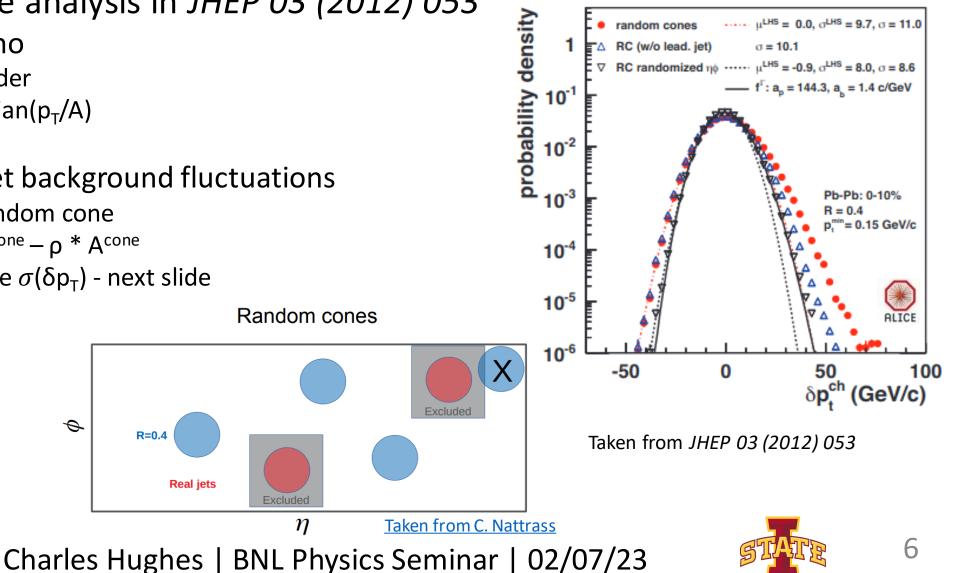


Measuring Background Fluctuations

- Following the analysis in JHEP 03 (2012) 053
 - Estimate rho
 - k_⊤ jet finder
 - $\rho = median(p_T/A)$
 - Estimate jet background fluctuations
 - Draw random cone
 - $\delta p_T = p_T^{cone} \rho * A^{cone}$
 - CalcuLate $\sigma(\delta p_T)$ next slide

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R=0.4





Characterizing Background Fluctuations

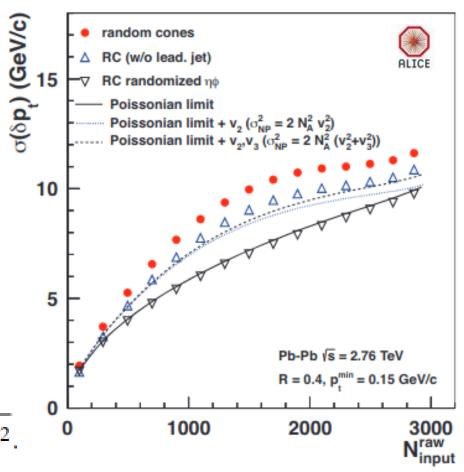
- Following the analysis in JHEP 03 (2012) 053
 - Calculate $\sigma(\delta p_T)$
 - Compare to model as in (<u>Tannenbaum et. al.</u>)
 - Assumes single particle p_T spectrum is gamma distribution, then:

N_A = # of particles in cone

Assuming no flow

$$\sigma(\delta p_{\rm t}) = \sqrt{N_{\rm A} \cdot \sigma^2(p_{\rm t}) + N_{\rm A} \cdot \langle p_{\rm t} \rangle^2}.$$

Accounts for v2/v3
$$\sigma(\delta p_t) = \sqrt{N_A \cdot \sigma^2(p_t) + (N_A + \sigma_{NP}^2(N_A)) \cdot \langle p_t \rangle^2}$$

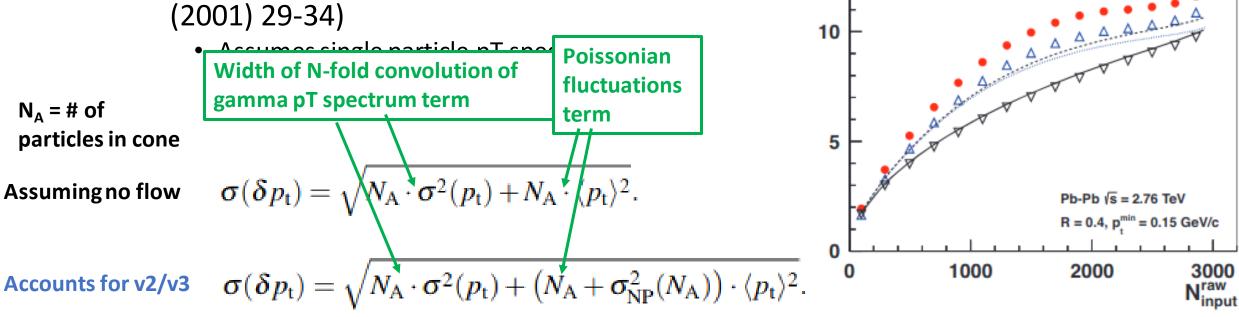






Characterizing Background Fluctuations

- Following the analysis in JHEP 03 (2012) 053
 - Calculate $\sigma(\delta pT)$
 - Compare to model as in (Phys.Lett.B 498 (2001) 29-34)



თ(ბ**p**t) **(GeV/c)** 5

random cones

RC (w/o lead. jet)

RC randomized nd

Poissonian limit

Poissonian limit + $v_2 (\sigma_{NP}^2 = 2 N_A^2 v_2^2)$

Poissonian limit + vava (of

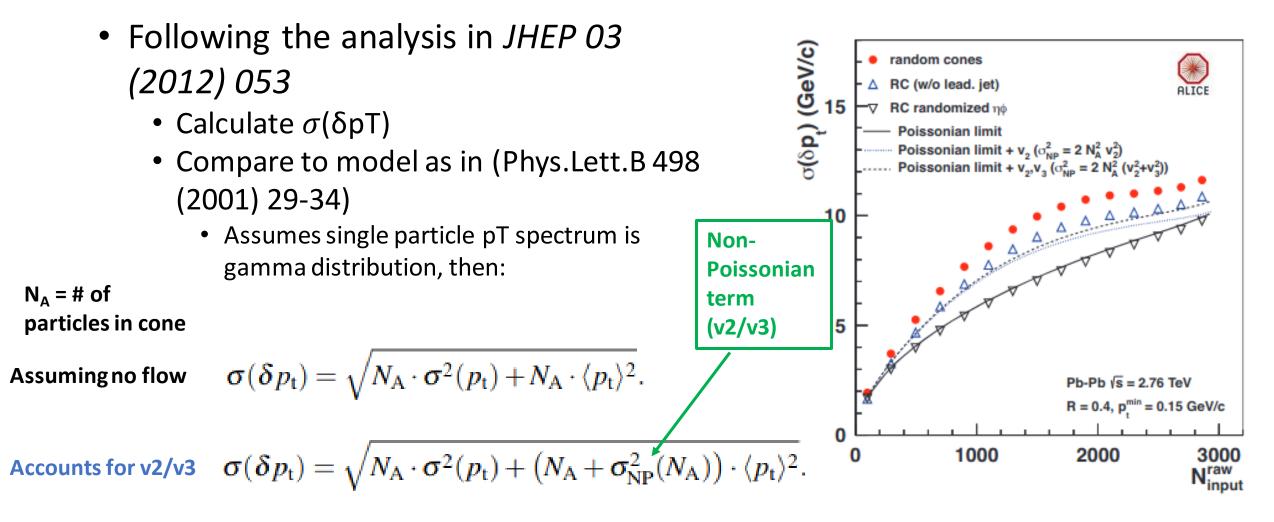


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ALICE

Characterizing Background Fluctuations



 $\sigma_{\rm NP}^2(N_{\rm A}) \approx 2v_2^2 N_{\rm A}^2$ OR $(\sigma_{\rm NP}^2(N_{\rm A}) \approx 2N_{\rm A}^2(v_2^2 + v_3^2))$

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SPHENIX



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- Following the analysis in JHEP 03 (2012) 053
- Some questions to ask:

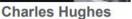
•What can we learn from this background characterization in simple model studies ?

•What implications does this have for background mitigation in jet observables in data ? "Model studies of fluctuations in the background for jets in heavy ion collisions"

Phys. Rev. C **106**, 044915 – Published 31 October 2022

Charles Hughes, Antonio Carlos Oliveira da Silva, and Christine Nattrass









Antonio Da Silva Chri

Christine Nattrass

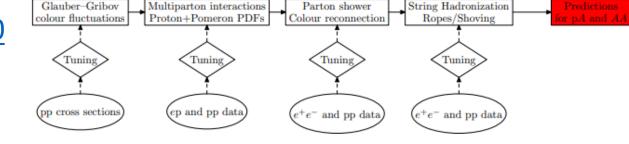




 What can we learn from this background characterization in simple model studies ? Hughes, da Silva, Nattrass Phys. Rev. C 106, 044915

• Looking at 2 models

Angantyr Pythia - <u>arXiv:1806.10820</u>
MPI/Diffractive Excitation



•TennGen - <u>(github)</u> •Next Slide





Background Fluctuations - TennGen

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Hughes, da Silva, Nattrass Phys. Rev. C 106, 044915

• TennGen:

What TennGen is for:

- a) Computationally cheap way to generate particles with realist pT spectrum and flow as in heavy ion collisions (and NO OTHER correlations)
- b) Understanding how a realistic heavy ion background affects jet finders/jet observables
- c) Development of background subtraction/mitigation techniques
- d) Seeing how analysis depends on background with/without v₁, v₂, v₃, etc...





Background Fluctuations - TennGen

• TennGen:

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What TennGen is NOT for:

- a) NOT a replacement for physics based generators (HIJING, AMPT, JEWEL, etc...)
- b) NOT for jet/background physics interaction model studies (e.g. quenching/energy loss/back-reaction)
- c) NOT for testing hydro/flow models







• Particle generator meant to simulate $\pi^{+/-/0}$, $K^{+/-}$, $\pi = \pi^{+/-/0}$

- p, \overline{p} in 2.76 TeV PbPb collisions (0-5% : 40-50 %)
- Particle p_{T} according to fits of data to Boltzmann-Gibbs Blast Wave

$$\frac{d^2N}{p_Tdy} = Np_T \int_0^1 r' dr' \left(\sqrt{m^2 + p_T^2}\right) \times I_0\left(\frac{p_T \sinh\left[\tanh^{-1}\left(\beta_s r'^n\right)\right]}{T_{\rm kin}}\right) \times K_1\left(\frac{\sqrt{m^2 + p_T^2}\cosh\left[\tanh^{-1}\left(\beta_s r'^n\right)\right]}{T_{\rm kin}}\right)$$

- v_n(p_T) from polynomial fits to data (v₁ : v₅)
- Particle ϕ from Fourier Sum

TennGen:

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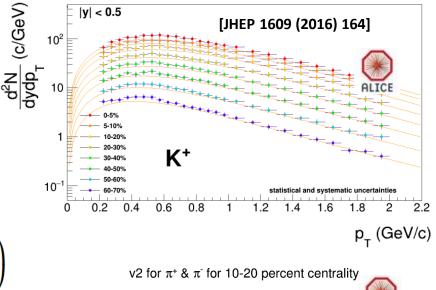
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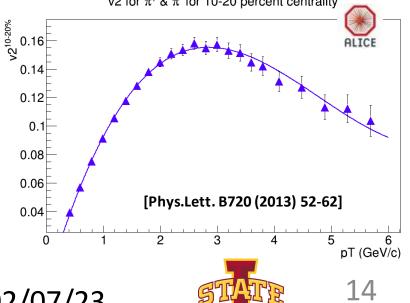
$$\frac{dN}{d\phi} = \frac{N_0}{2\pi} \left(1 + \sum_{n=1}^5 2v_n \cos[n(\phi - \Psi_n)] \right)$$

• Particle η Uniform (η uniform) (η approximation)

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Hughes, da Silva, Nattrass Phys. Rev. C 106, 044915

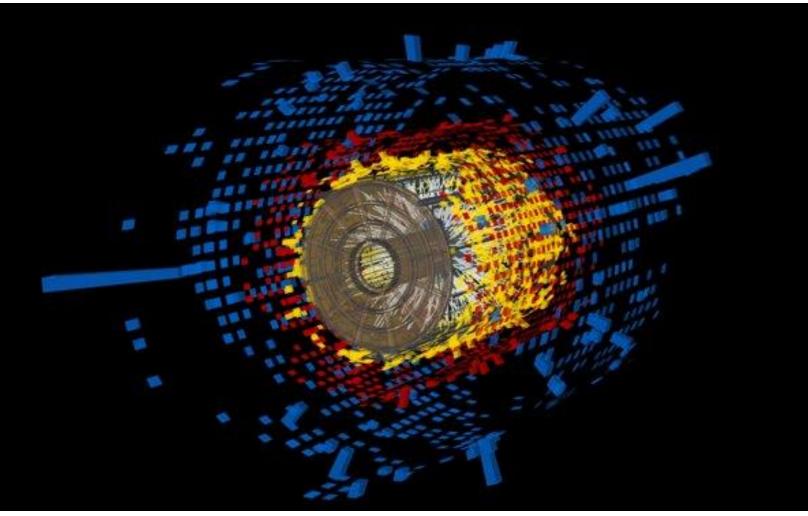




Background Fluctuations - TennGen

Hughes, da Silva, Nattrass Phys. Rev. C 106, 044915

• TennGen: 40-50 % 2.76 TeV PbPb event display for sPHENIX





Ejiro Umaka

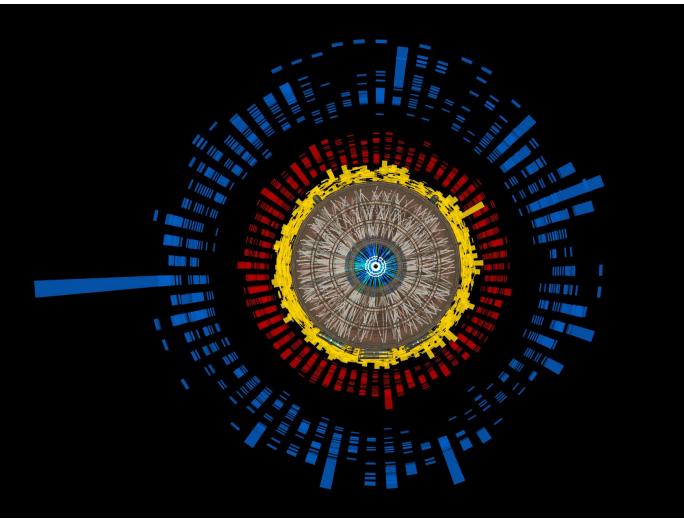




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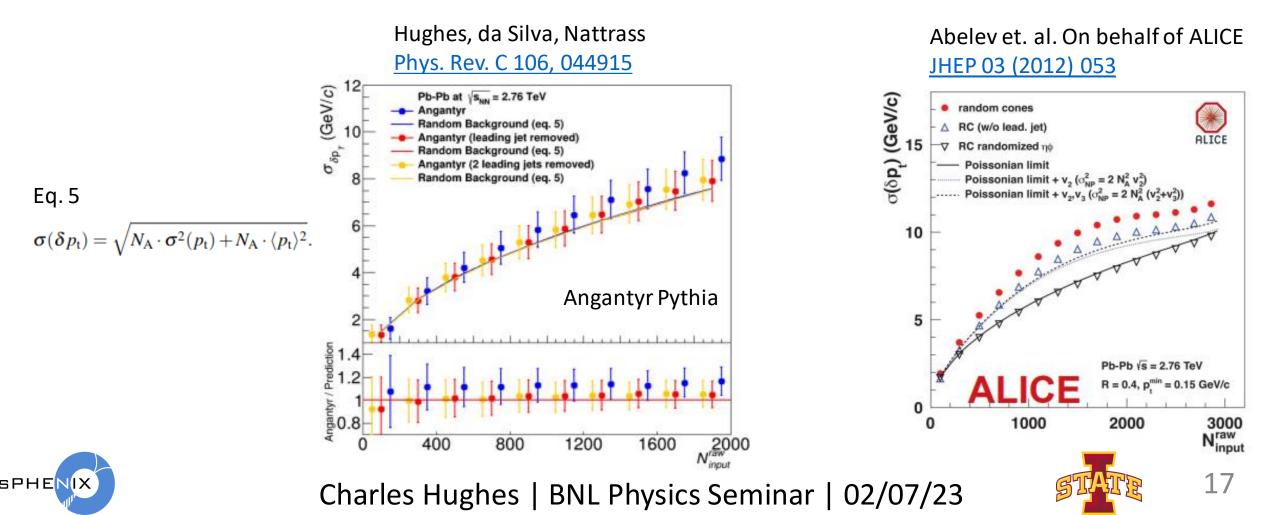
Ejiro Umaka



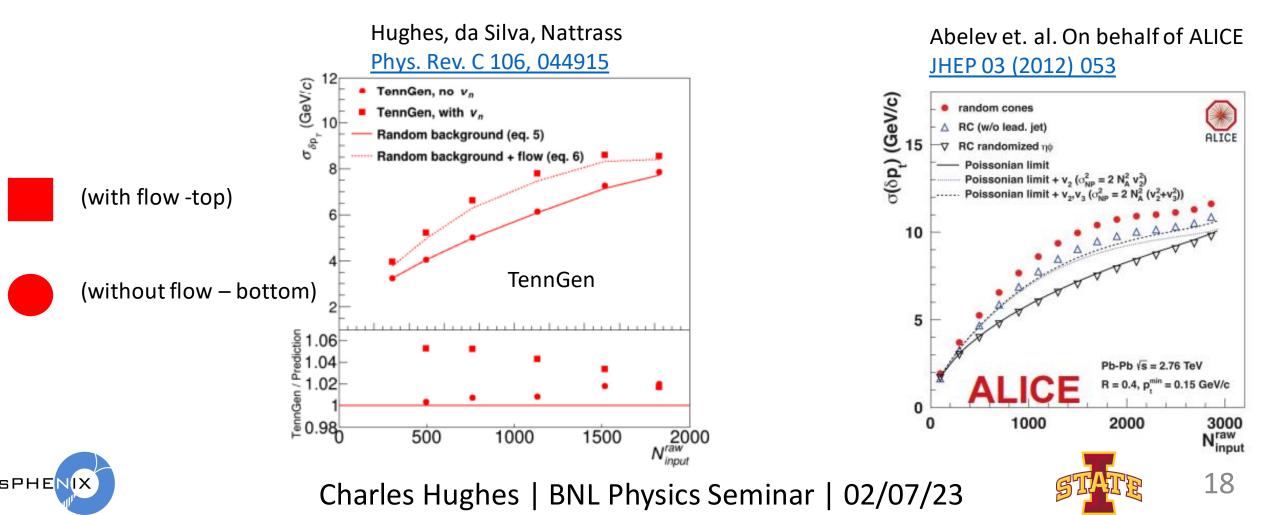


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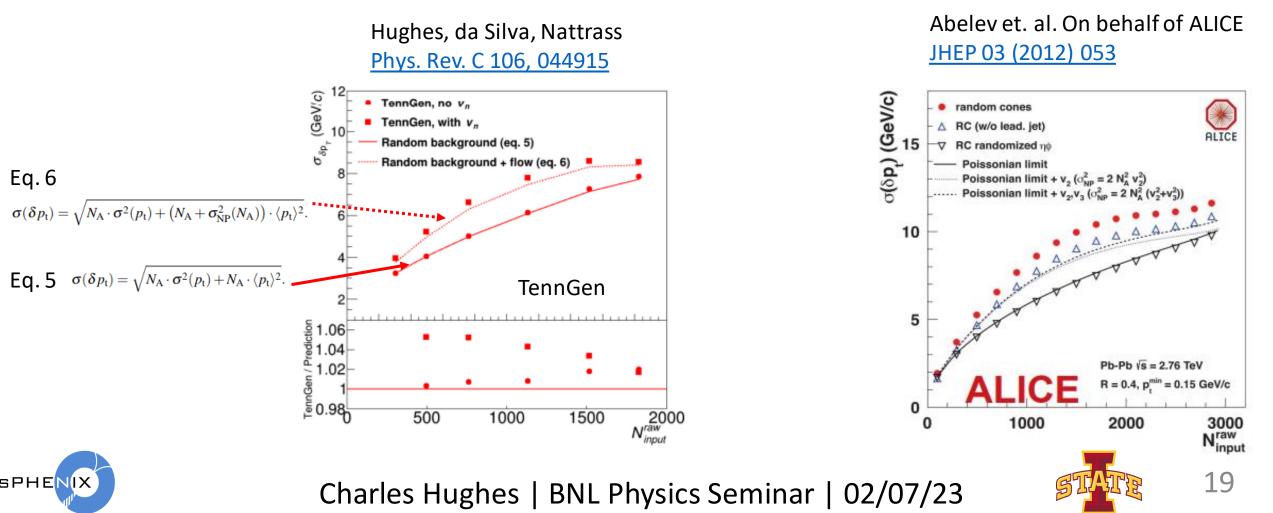
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- What can we learn from this background characterization in simple model studies?
 - Fluctuations widths dominated by Poissonian number fluctuations (expected as seen in data)
 - However, models such as Angantyr can differ by up to 13 % (no flow) and Tenngen up to 6 % (flow)
 - The fluctuations in models do indeed depend on the choice of thermal spectrum etc... – details seem to be ~ 10 % effect

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• What can be done ?

Hughes, da Silva, Nattrass Phys. Rev. C 106, 044915



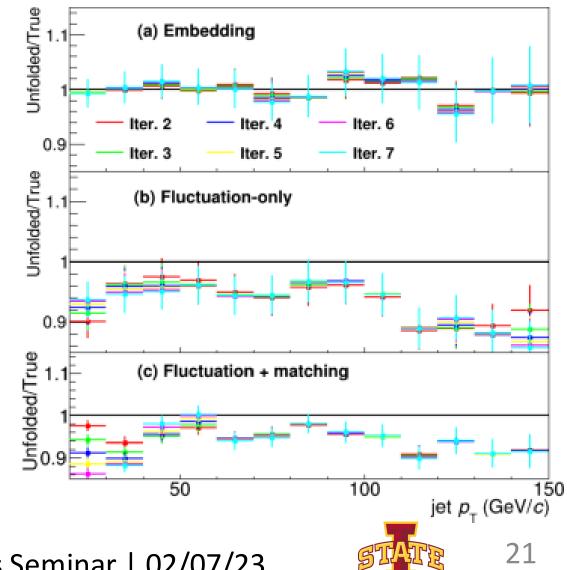


Background Fluctuations - Model Studies - Unfolding

• Fluctuations in models are sensitive to details of model. What can be done ?

 Must unfold in a model just as done with data (because models have background !!!)

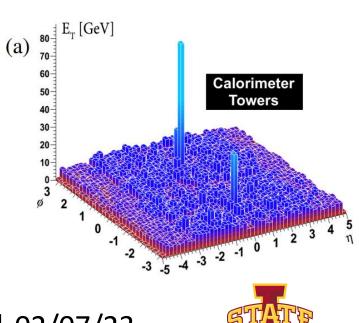
 Closure best when using an embedding technique (Pythia pp embedded in Pythia Angantyr PbPb) Hughes, da Silva, Nattrass Phys. Rev. C 106, 044915





Background Fluctuations - Subtraction

- Unfolding in a model to deal with background fluctuations
- What about subtracting the background in data ?
- Many techniques exist but one standard in the area-based subtraction method
 - $P_{T, jet}^{corr.} = p_{T, jet}^{raw} \rho^* A_{jet}$
- However, fluctuations in pT remain after
 - (std. Dev. ~ 20 GeV for R = 0.4)



Taken from <u>arXiv:1702.07231</u>





Background Fluctuations - Subtraction

- Fluctuations remain after area-based background subtraction technique
- But maybe we can devise a technique to correct for fluctuations?





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- Fluctuations remain after area-based background subtraction technique
- But maybe we can devise a technique to correct for fluctuations?
 - Yep It's Machine Learning
 - Technique from Haake and Loizides:
 "Machine-learning-based jet momentum reconstruction in heavy-ion collisions"
 Phys. Rev. C 99, 064904
 - Improves upon Area Based method (reduces fluctuations)



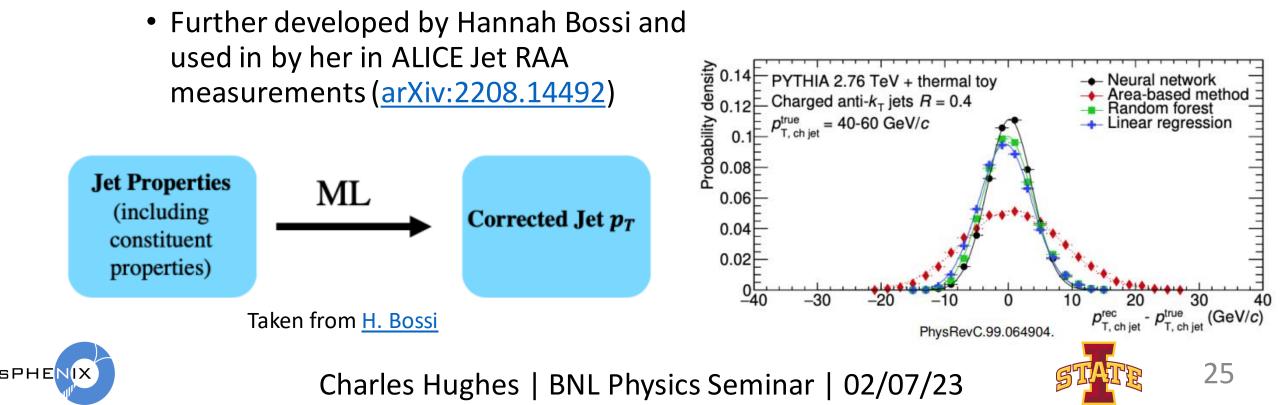


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 Technique from Haake and Loizides: "Machine-learning-based jet momentum reconstruction in heavy-ion collisions" Phys. Rev. C 99, 064904

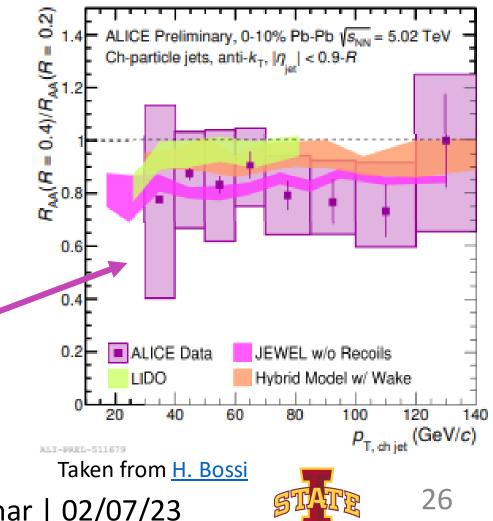


Hannah Bossi



- Technique from Haake and Loizides: "Machinelearning-based jet momentum reconstruction in heavy-ion collisions" Phys. Rev. C **99**, 064904
- What does this technique buy you ?
- "R-dependence of inclusive jet suppression and groomed jet splittings in heavy-ion collisions with ALICE" arXiv:2208.14492v1
 - Unfolding still necessary
 - BUT
 - Reduced fluctuations

•Lower momentum (down to $p_T^{jet} = 30 \text{ GeV}$)





- Following the analysis in *Phys. Rev. C 99, 064904*
- Some questions to ask:
 - Can we improve on these results ?
 - \bullet Can we interpret the ML methods to improve p_{T} resolution ?

"Using Machine Learning to Improve our Understanding of the Jet Background in A+A Collisions"

5th ML4Jets Workshop – 11/01/22 - 11/04/22

Tanner Mengel

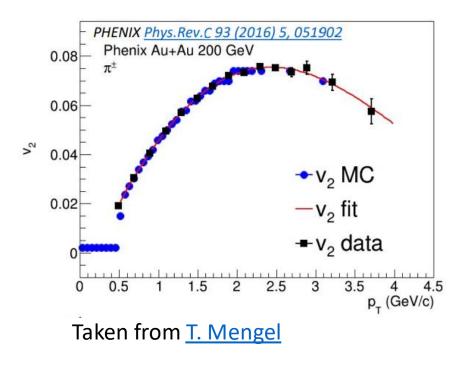






- Can we improve on results in *Phys. Rev. C 99, 064904 ?*
- Add a more complex generator that includes flow (TennGen – fit to PHENIX and STAR data) for background
- Add in PYTHIA 8 for signal
 Use p_τ hard bins (1M events / bin)
- PYTHIA 8 is truth, we want to predict $p_{T, jet}^{pythia}$
- TennGen fit to RHIC data is background

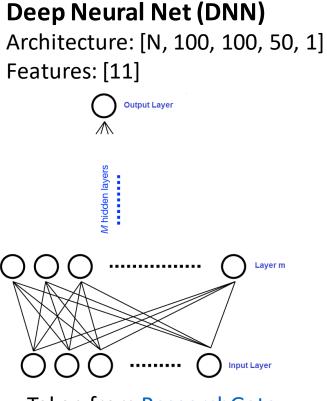
Mengel <u>"Using Machine Learning to Improve</u> <u>our Understanding of the Jet</u> <u>Background in A+A Collisions"</u>







 Can we improve on results in *Phys. Rev. C 99,* 064904 ? - 2 methods



Taken from <u>ResearchGate</u>

Mengel "Using Machine Learning to Improve our

Understanding of the Jet Background in A+A Collisions"

Physics Inspired (Multiplicity) $P_{T}^{corr.} = p_{T}^{uncorr.} - rho(N_{constit.}^{jet} - <N_{pythia constit.}^{jet}>)$

Inspired by:

$$\sigma(\delta p_t) = \sqrt{N_A \cdot \sigma^2(p_t) + N_A \cdot \langle p_t \rangle^2}.$$
Assuming no flow

$$\boldsymbol{\sigma}(\boldsymbol{\delta}\boldsymbol{p}_{\mathrm{t}}) = \sqrt{N_{\mathrm{A}} \cdot \boldsymbol{\sigma}^{2}(\boldsymbol{p}_{\mathrm{t}}) + \left(N_{\mathrm{A}} + \boldsymbol{\sigma}_{\mathrm{NP}}^{2}(N_{\mathrm{A}})\right) \cdot \langle \boldsymbol{p}_{\mathrm{t}} \rangle^{2}}.$$

Accounts for v2/v3



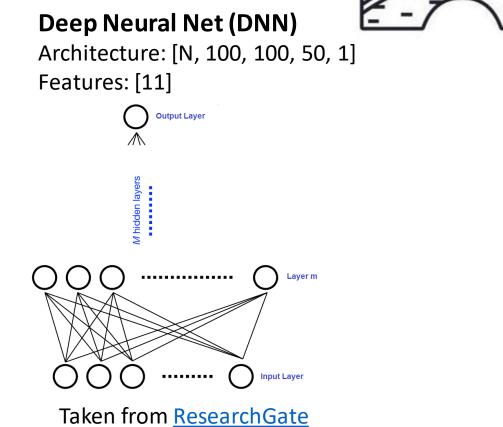


Can we improve on results in *Phys. Rev. C 99,* • 064904 ? - 2 methods



Mengel

"Using Machine Learning to Improve our Understanding of the Jet Background in A+A Collisions"



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Assuming no flow

$$\sigma(\delta p_{\rm t}) = \sqrt{N_{\rm A} \cdot \sigma^2(p_{\rm t}) + (N_{\rm A} + \sigma_{\rm NP}^2(N_{\rm A})) \cdot \langle p_{\rm t} \rangle^2}.$$

Accounts for v2/v3





Can we improve on results in *Phys. Rev. C 99, 064904 ?*2 methods

Mengel

"Using Machine Learning to Improve our Understanding of the Jet Background in A+A Collisions"

Deep Neural Net (DNN)

Architecture: [N, 100, 100, 50, 1] Features: [1] Physics Inspired (Multiplicity)

 $P_T^{corr.} = p_T^{uncorr.} - rho(N_{constit.}^{jet} - \langle N_{pythia}^{jet} \rangle)$

- DNN is powerful but black box
- Want to understand it better ?
- Symbolic regression





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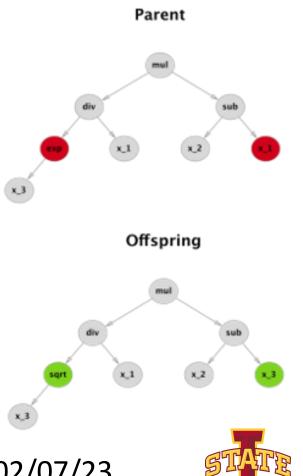
- Symbolic Regression
 - Genetic programming where "traits" = operators
 - Each iteration creates new population with traits from each parent.
 - Highest performing offspring selected
- Plan

SPHE

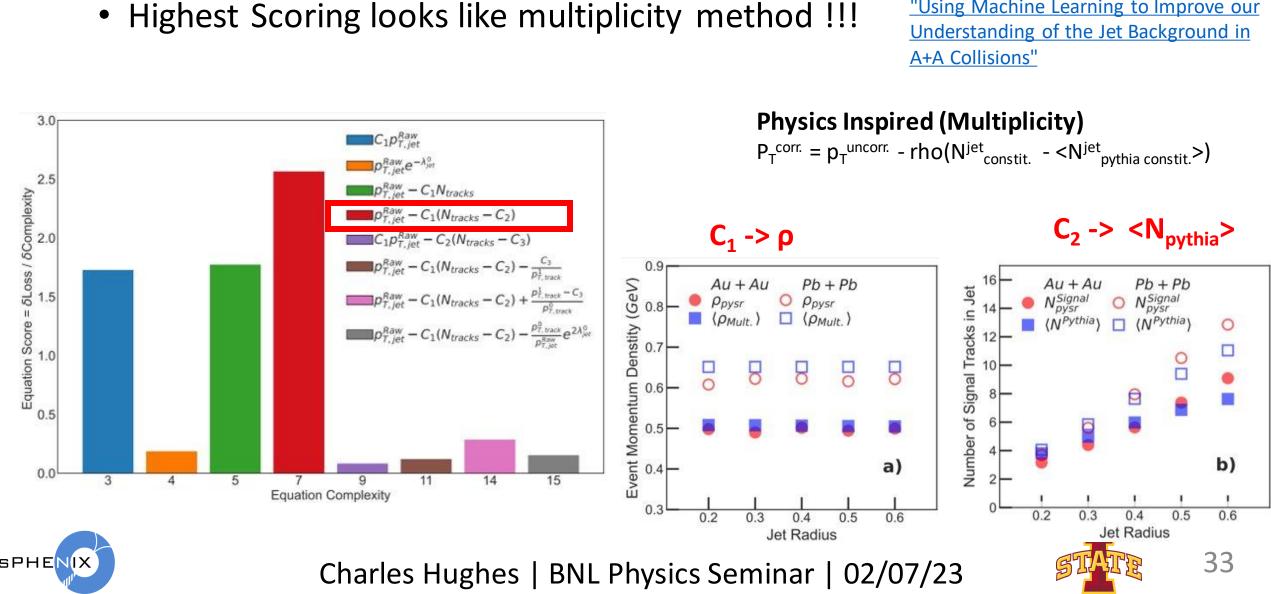
- Train DNN for jet $p_{\scriptscriptstyle T}$ regression
- Fit input space to DNN prediction using Symbolic Regression implementation in <u>PySR</u>

Mengel

"Using Machine Learning to Improve our Understanding of the Jet Background in A+A Collisions"







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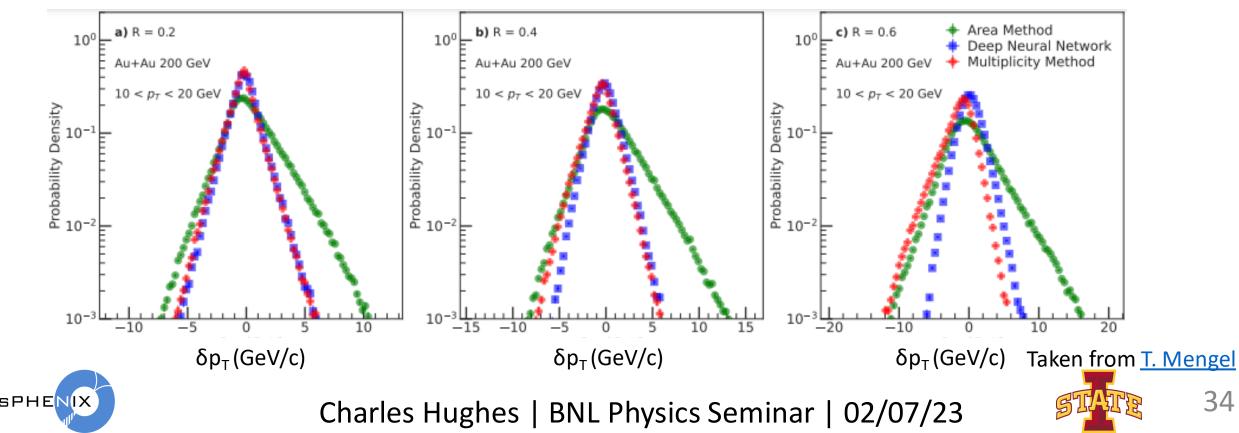
"Using Machine Learning to Improve our

Background Fluctuations - Machine Learning - Results

- Compare performance across methods
- Measure $\delta p_T = p_T^{\text{predicted}} p_T^{\text{pythia}}$
- Extract variance (next slide)

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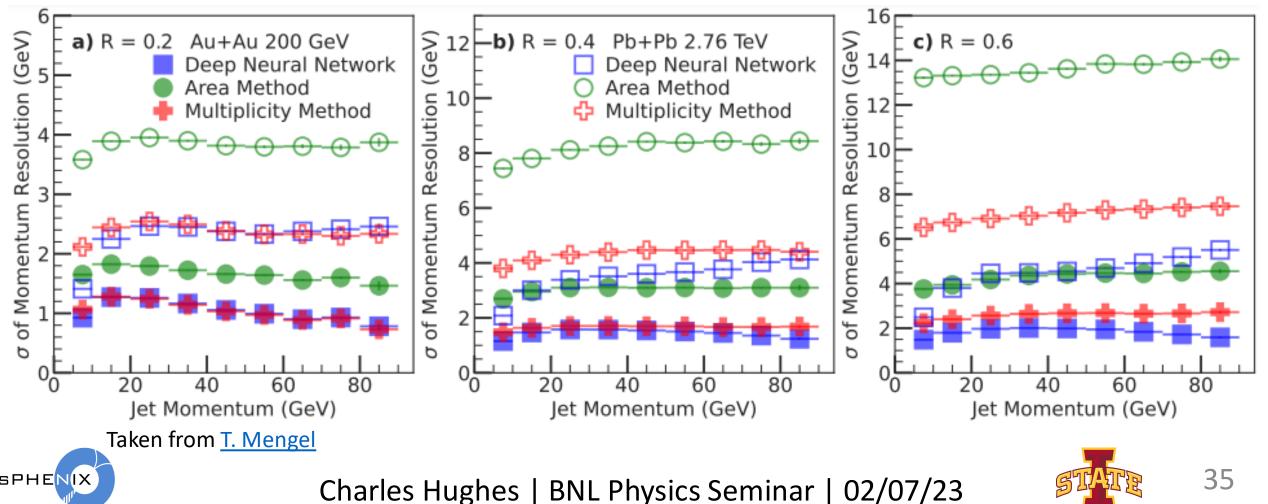
"Using Machine Learning to Improve our Understanding of the Jet Background in A+A Collisions"

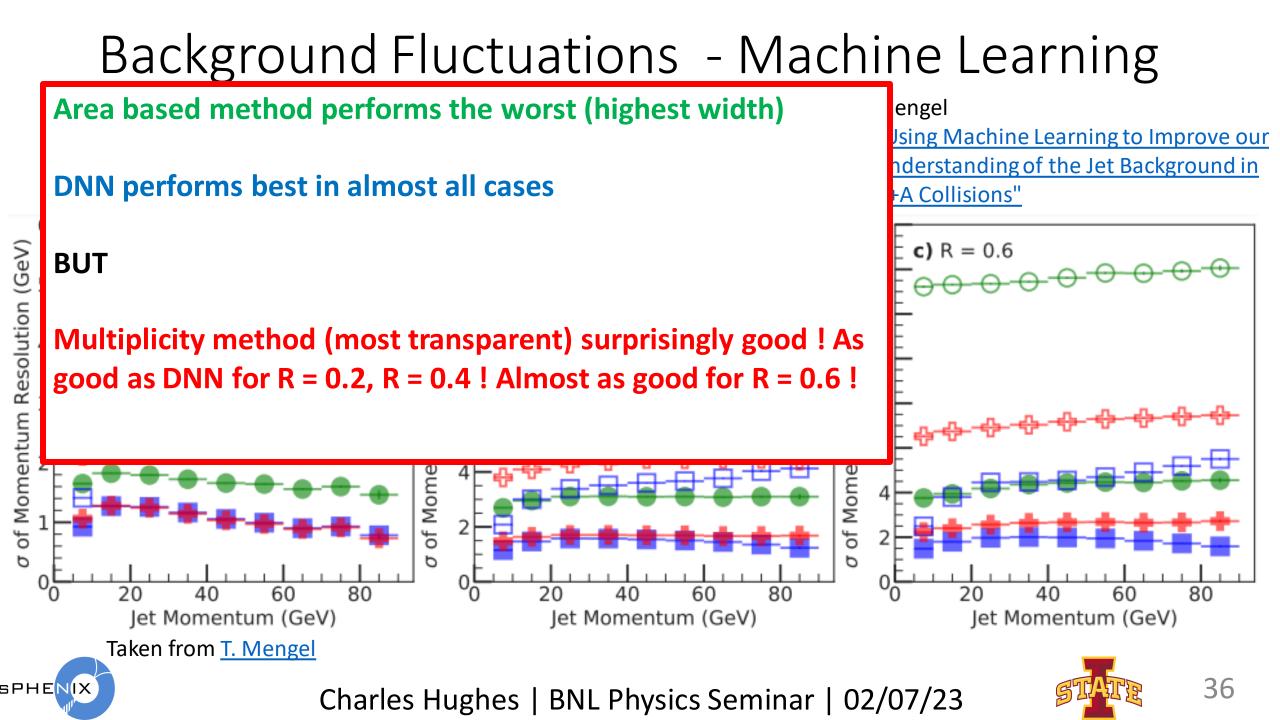


• Extract variance of δpT distribution

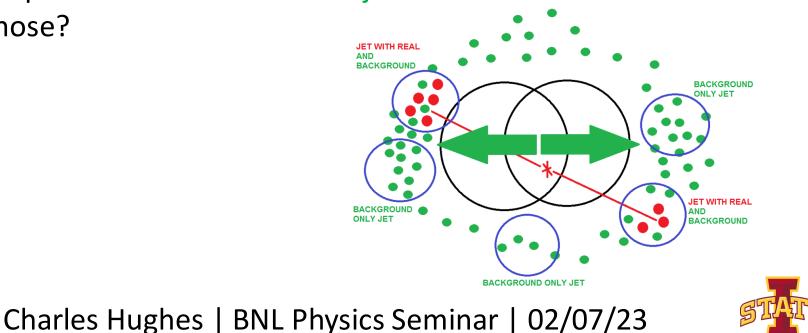
Mengel

"Using Machine Learning to Improve our Understanding of the Jet Background in A+A Collisions"





- We see that background subtraction can be heavily informed by machine learning methods where model studies play a crucial role
- We learned that these methods can often point to a simpler/more transparent background subtraction method
- What about the problem of combinatorial jets? Can we use model studies to look at mitigating those?



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- What about the problem of combinatorial jets? Can we use model studies to look at mitigating those?
- Look at the effect of cuts on removing combinatorial jets.
- Can we come up with a set of cuts to better remove combinatorial jets from signal (hard-scattering origin) jets ?

"Separating signal from combinatorial jets in a high background environment"

arXiv:2301.09148v2 – (also submitted to PRC)

Patrick Steffanic et. al.



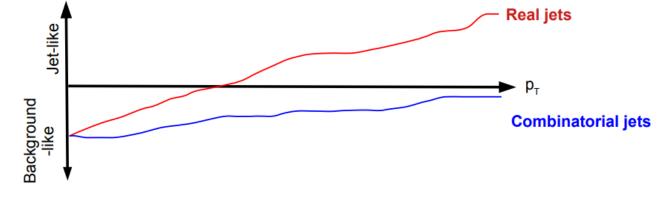
Patrick Steffanic





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- Can we come up with a set of cuts to better remove combinatorial jets from signal (hardscattering origin) jets ?
- Machine Learning (Random Forests)
- Pythia 6 signal
- TennGen background



Taken from <u>C. Nattrass</u>

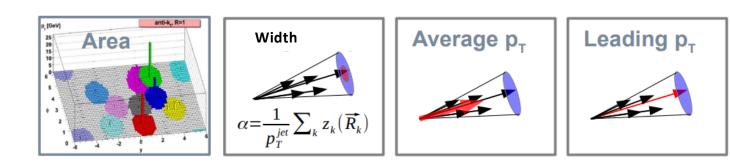


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Steffanic et. al. arXiv:2301.09148v2

- Can we come up with a set of cuts to better remove combinatorial jets from signal (hard-scattering origin) jets ?
- Combinatorial jets: p_T^{pythia} < 2πR² GeV
- Signal jets: $p_T^{pythia} > 0.8 * p_T^{hard min.}$ GeV
- Observables: Area: N_g <A_g> Jet Width: Σz_i(ΔR_{i, jet})/p_T^{jet} Leading hadron p_T Mean constituent pT: <p_{T, constit.}>



Taken from <u>C. Nattrass</u>



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Steffanic et. al. arXiv:2301.09148v2

predictions of random forest Extract top level node of decision tree

- Train random forest
- Random forest Ensemble Oracle Method
- Tree-1 Tree-2

Taken from Tensorflow Blog

EXAMPLES

Parameter name	This study	Default
n_estimators	200	100
max_depth	3	None
min_samples_leaf	100	1
min_weight_fraction_leaf	0.1	0.0
max_samples	0.9	1.0
random_state	42	None

Tree-n

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Background Fluctuations - Mitigation Steffanic et. al. arXiv:2301.09148v2

Can we come up with a set of cuts • to better remove combinatorial jets from signal (hard-scattering origin) jets?

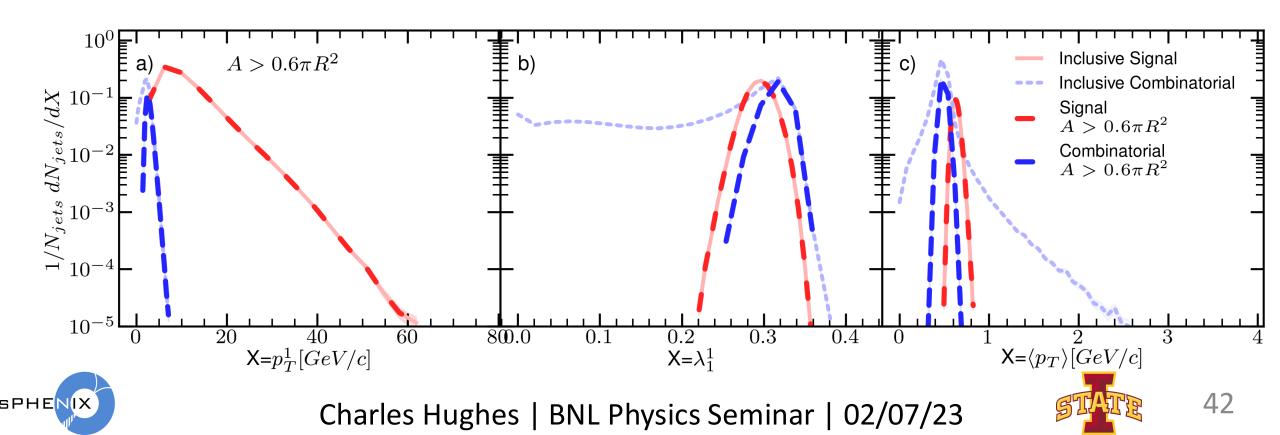
• Apply single decision tree to

This is the cut !

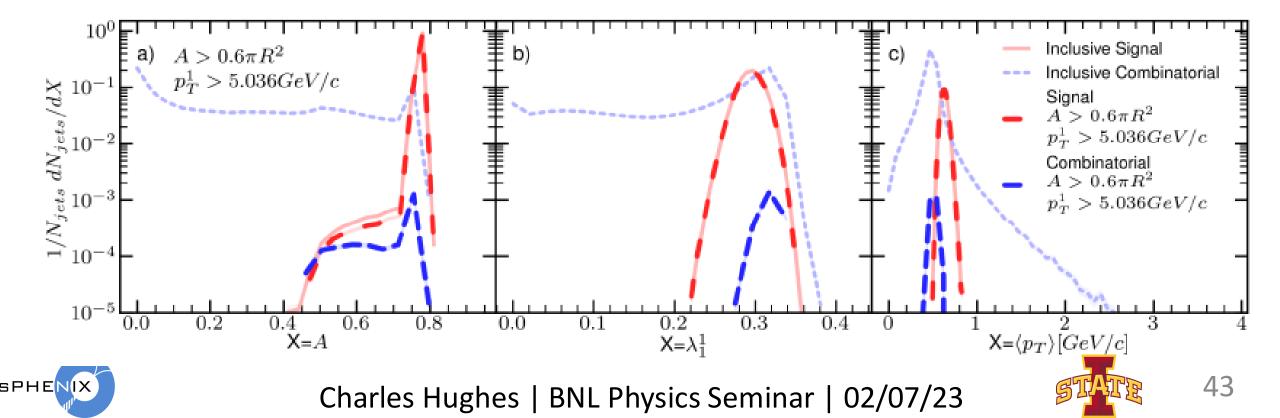
Background Fluctuations - Mitigation Steffanic et. al.

arXiv:2301.09148v2

- Can we come up with a set of cuts to better remove combinatorial jets from signal (hard-scattering origin) jets ?
- Baseline "canonical cut" A > $0.6\pi R^2$



- Can we come up with a set of cuts to better remove combinatorial jets from signal (hard-scattering origin) jets ?
- From Random forest $p_T^{\text{lead. trk.}} > 5.036 \text{ GeV/c} (+ \text{ A} > 0.6\pi \text{R}^2)$

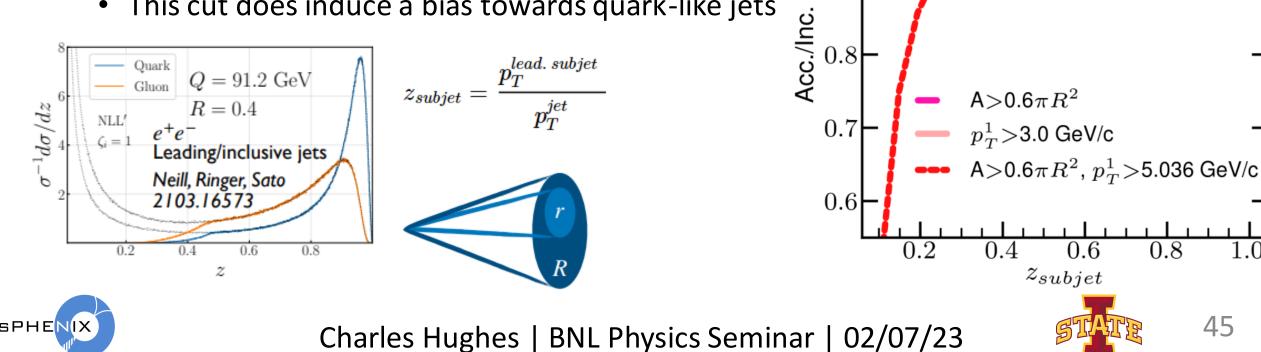


Steffanic et. al.

arXiv:2301.09148v2

Background Fluctuations - Mitigation Steffanic et. al. These cuts work well but ALWAYS leave a population Can we come up with a set of cuts to of combinatorial jets that look like signal jets. The addition of the leading hadron p_T cut removes a lot remove combinatorial jets from signal of combinatorial jets compared to area cut alone. scattering origin) jets? • From Random forest – $p_{\tau}^{\text{lead. trk.}} > 5.036$ GeV/c Inclusive Signal $A > 0.6\pi R^{2}$ bi Inclusive Combinatorial $X^{10^{-1}}_{N_{jets}} q_{N_{jets}/dN}^{10^{-1}}_{N_{jets}/dN} \sqrt{10^{-3}}_{10^{-3}}$ $p_T^1 > 5.036 GeV/c$ Signal $A > 0.6\pi R^2$ $p_T^1 > 5.036 GeV/c$ Combinatorial $A > 0.6 \pi R^{2}$ $p_T^1 > 5.036 GeV/c$ 10^{-5} 0.20.20.30.40.60.80.10.4-0.0ĨX=A $X = \langle p_T \rangle [GeV/c]$ $X = \lambda_1^1$ 44 SPHENIX Charles Hughes | BNL Physics Seminar | 02/07/23

- Can we come up with a set of cuts to better remove combinatorial jets from signal (hardscattering origin) jets?
- From Random forest $p_T^{\text{lead. trk.}} > 5.036 \text{ GeV/c}$
- This cut does induce a bias towards quark-like jets



1.0

0.9

Steffanic et. al. arXiv:2301.09148v2

• The details of the background matter – even in a model





- The details of the background matter even in a model
- The best way to detail with background in models is to treat it *exactly like the data* (e.g. unfold the data, unfold the MC)





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- The best way to detail with background in models is to treat it *exactly like the data* (e.g. unfold the data, unfold the MC)

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• Machine learning background subtraction – powerful but obscure





- The details of the background matter even in a model
- The best way to detail with background in models is to treat it *exactly like the data* (e.g. unfold the data, unfold the MC)
- Machine learning background subtraction powerful but obscure
- Multiplicity method (and similar methods) more transparent and nearly as effective in our studies (and can be informed by ML)





- The details of the background matter even in a model
- The best way to detail with background in models is to treat it *exactly like the data* (e.g. unfold the data, unfold the MC)
- Machine learning background subtraction powerful but obscure
- Multiplicity method (and similar methods) more transparent and nearly as effective in our studies (and can be informed by ML)
- Combinatorial jets are a tough problem no silver bullet
 - Cuts always leave some combinatorial jets that look like signal jets may bias jets



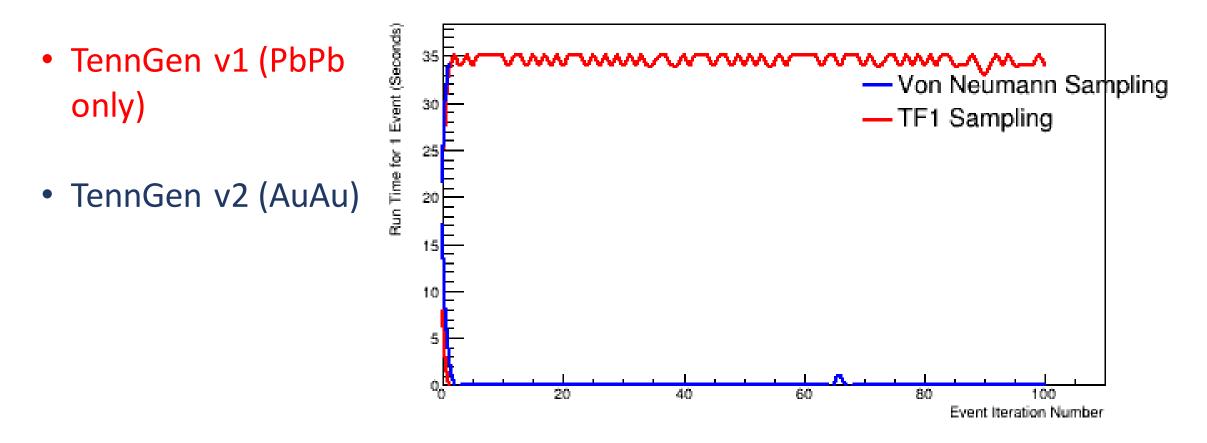








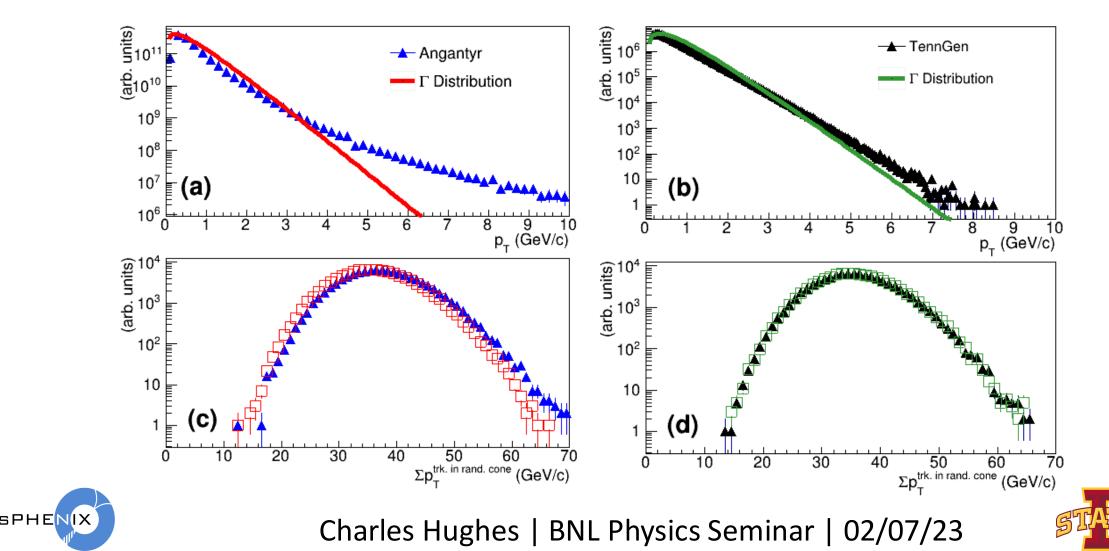
51



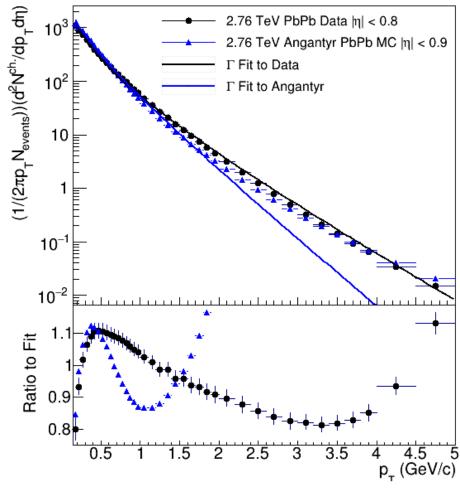




• Spectra shapes compared to Gamma Distribution



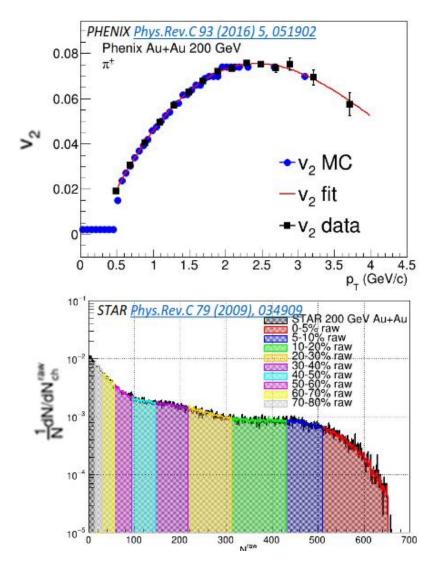
• Spectra shape compared to Gamma Distribution (Angantyr Only)







- <u>PYTHIA8</u> (Signal):
 - 25 Million (1 million per p_T hard bin) p+p events at 200 GeV, Tune 14
- TennGen (Background): C. Hughes et al Phys. Rev. C 106 (2022), 044915
 - Multiplicity: Sampled from corrected N_{ch} distribution STAR Phys. Rev. C 79 (2009), 034909
 - *p*_{*T*}: Identified particle *p*_{*T*} spectrum fit with Boltzmann-Gibbs Blast wave PHENIX Phys.Rev.C 88 (2013) 2, 024906
 - ϕ : Identified particle flow harmonics (v_2 , v_3 , v_4) PHENIX Phys.Rev.C 93 (2016) 5, 051902
 - η : Uniform distribution $|\eta| < 1.1$
- Merge PYTHIA8 charged particles with TennGen Au+Au 200 GeV background
- Find anti-k_T jets
 - Only save jets with $p_T^{PYTHIA} > 5.0 \text{ GeV}$
 - ~30 Million jets per dataset
- Take p_T^{PYTHIA} to be truth value
 - Train-Test split: 20/80%

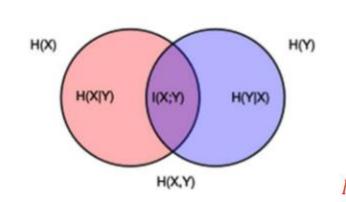


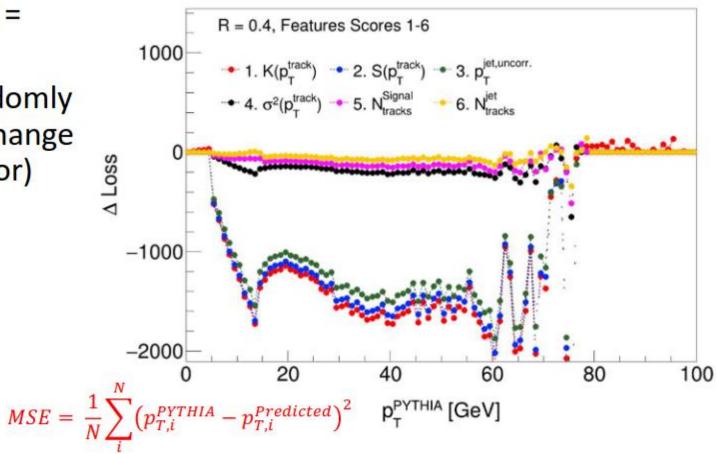




Feature space optimization

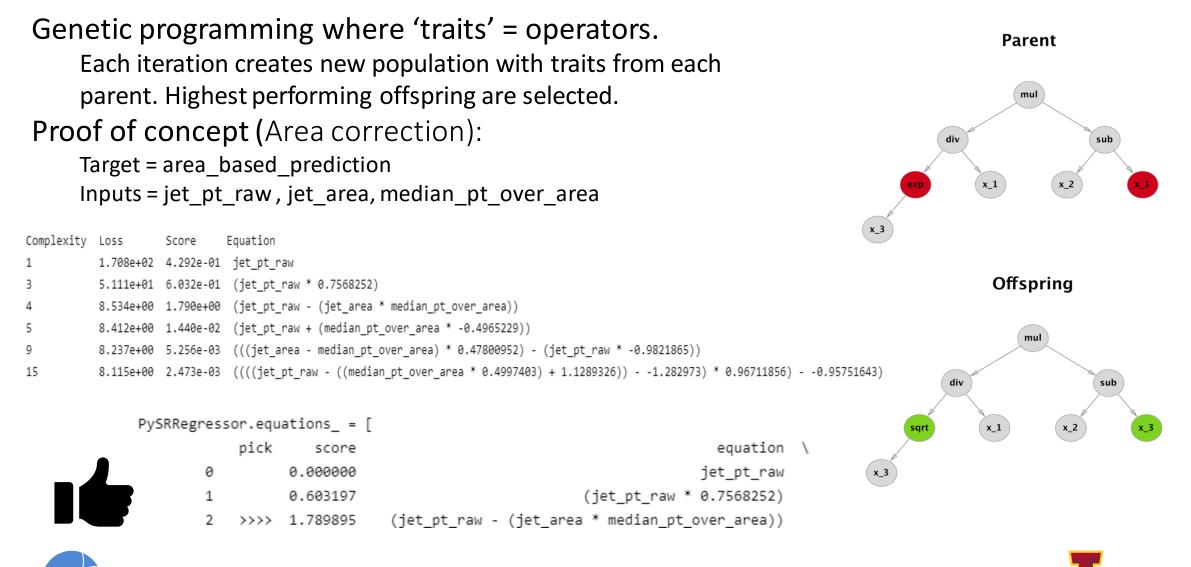
- Mutual Information: I(X;Y) = H(X,Y) – H(X|Y) – H(Y|X)
- Permutation Scoring: Randomly permutes feature to see change in Cost (mean squared error) evaluation





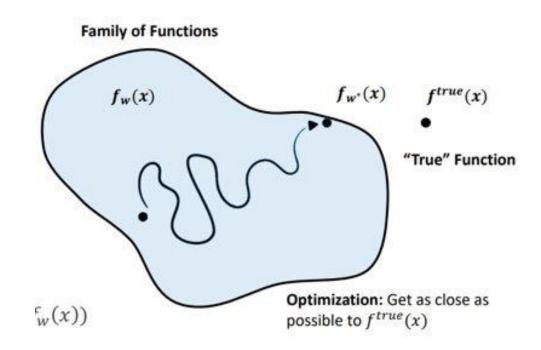








- SR models are good if defined arithmetic expression exists that maps inputs to outputs f(x) = y
- DNN models are good at factorizing and approximating multivariate mappings: f(x|theta) = yhat ~ y
- Plan
 - 1) Train DNN on jet pT regression
 - 2) Fit input space to DNN prediction using PySR



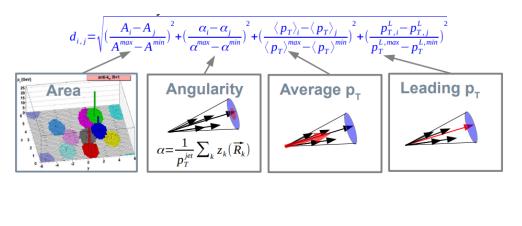


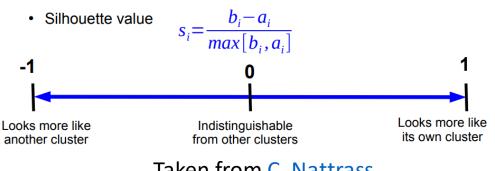


- Can we come up with a set of cuts to better remove combinatorial jets from signal (hard-scattering origin) jets ?
- Silhouette Measure:
 - $a_i = \langle d_{i,j} \rangle_{j \neq 1}$
 - (avg. distance b/w jet candidate and others in **its own cluster**)
 - b_i = <d_{i,j}>
 - (avg. Distance b/w jet candidate and others in other clusters)
 - $s_i = (b_i a_i)/(max[b_i, a_i])$

Steffanic

"Separating Signal from Combinatorial Jets in a High Background Environment" (arXiv entry)







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Inclusive Signal

Inclusive Combinatorial

0.0

S

0.5

-0.5

• Can we come up with a set of cuts to better remove combinatorial jets from signal (hard-scattering origin) jets ?

Signal

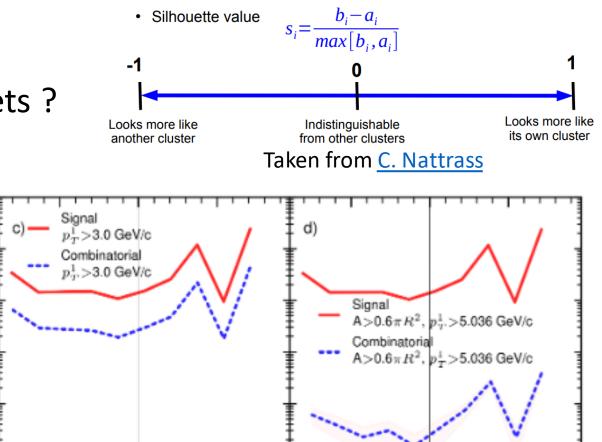
-0.5

 $A > 0.6 \pi R^2$

Combinatorial A>0.6 πR^2

0.0

s



-0.5



 10^{0}

 10^{-}

 $1/N_{jets} dN_{jets}/dS$

10

 10^{-5}

a)

Charles Hughes | BNL Physics Seminar | 02/07/23

0.5

0.0

S

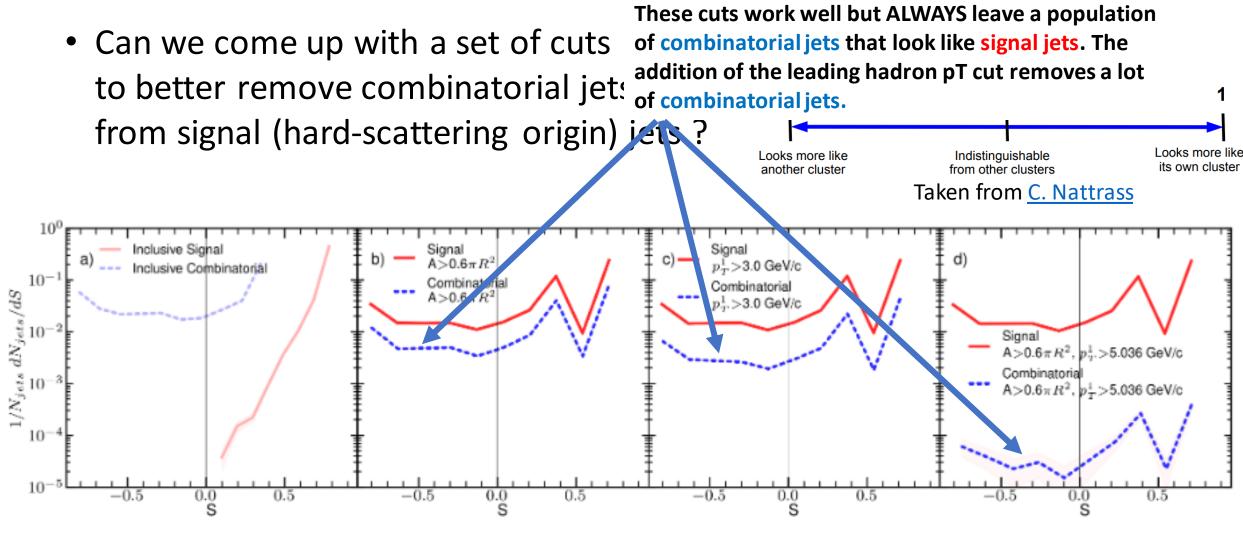
-0.5

0.5



0.5

0.0



BPHENIX

