

Overview: Spin Working Group



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*SURGE 2023
Collaboration Meeting*

6/28/2023

- **Goals of the SURGE Collaboration**
- **Spin at Small x**
 - Is power-suppressed
 - Couples to new quantum numbers
 - Evolves quickly at small x
 - Is rooted in chiral symmetry
- **Conversations from the Spin Working Group**
 - Goals
 - Timeline
 - Talks



Goals of the SURGE Collaboration

As written in the SURGE proposal:

- **Identify observables that are sensitive to gluon saturation** in polarized and unpolarized proton-nucleus collisions pA, ultraperipheral heavy ion and pA collisions, in DIS off polarized protons or light nuclei ep, and in DIS off heavy nuclei eA.
- **Provide robust end-to-end calculations** minimizing known uncertainties for **each of these observables with an ambitious goal of <10% accuracy**, sufficient for unambiguous characterization of the gluon dominated small x regime in protons and nuclei.
- Incorporate results in a **novel global analysis framework** for data-theory comparisons that can be systematically improved to cleanly establish evidence for a universal gluon saturation regime in QCD.
- Explore the **larger implications** of the ideas and techniques developed during the project and their interdisciplinary connections.

The Proton Spin Budget

Jaffe-Manohar Spin Sum Rule: $\frac{1}{2} = S_q + S_G + L_q + L_G$
Jaffe and Manohar, Nucl. Phys. B337 509 (1990)

• Quark Polarization:
$$S_q(Q^2) = \frac{1}{2} \sum_{f, \bar{f}} \int_0^1 dx \Delta q_f(x, Q^2)$$

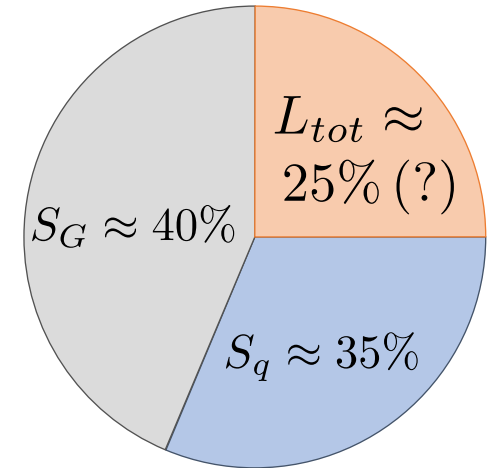
$$\Delta q(x, Q^2) = \int \frac{dr^-}{2\pi} e^{ixp^+r^-} \langle pS_L | \bar{\psi}(0) \mathcal{U}[0, r] \frac{\gamma^+ \gamma^5}{2} \psi(r) | pS_L \rangle$$

➤ Nonlocal generalization of the **axial vector current** $j_5^\mu = \bar{\psi} \gamma^\mu \gamma^5 \psi$

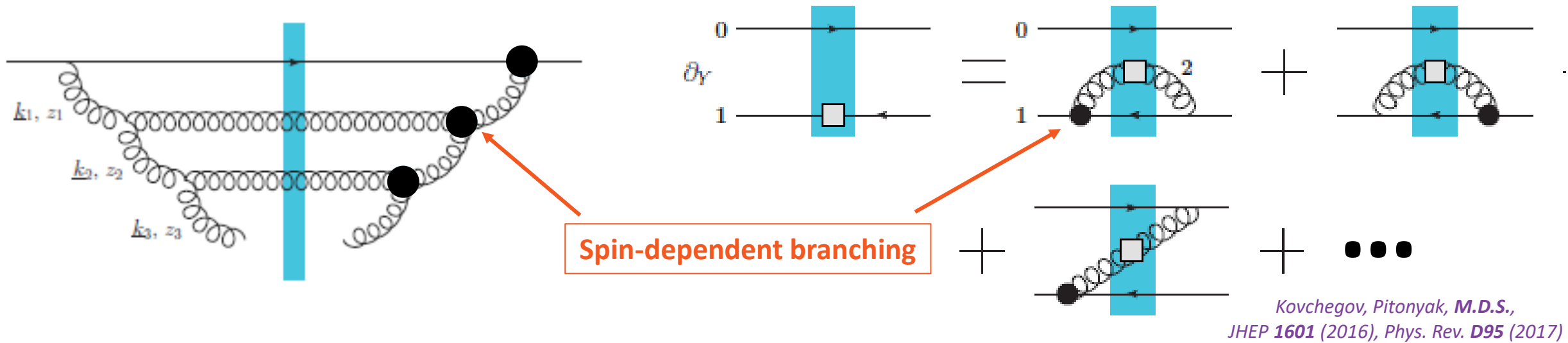
• Gluon Polarization:
$$S_G(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

$$\Delta G(x, Q^2) = \frac{-2i}{xp^+} \int \frac{dr^-}{2\pi} e^{ixp^+r^-} \langle pS_L | \epsilon_T^{ij} \text{tr} [F^{+i}(0) \mathcal{U}[0, r] F^{+j}(r) \mathcal{U}'[r, 0]] | pS_L \rangle$$

➤ Circular **azimuthal correlation** of gluon field strengths



Cascading Polarized Dipoles at Small x

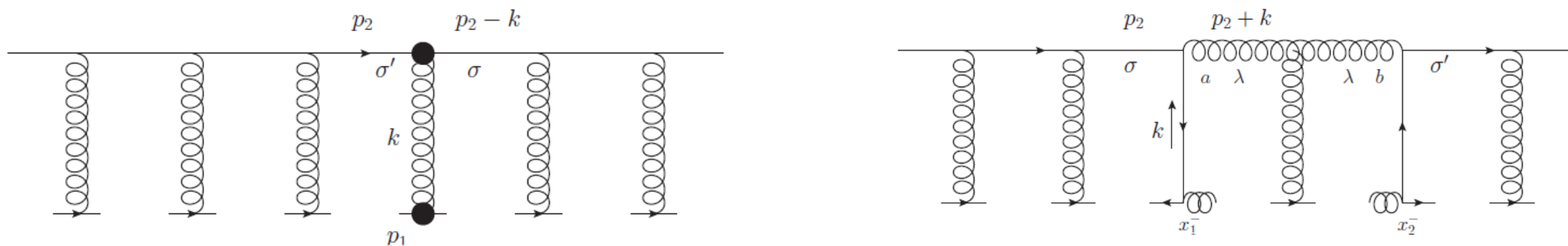


- **Spin information** from the valence sector (large x) is **transmitted to small x** by **spin-dependent branching**

Also G. Chirilli, *JHEP* **1901** (2019)

- **Suppressed** by the **coupling** α_s but **enhanced** by the **phase space** $\ln \frac{1}{x}$
 - Resummation leads to **quantum evolution of spin at small x**
 - Analogous to **BFKL evolution** for unpolarized gluons (*leading log accuracy*)

Building Blocks: Polarized Wilson Lines



QCD Stern-Gerlach: $\gamma \vec{S} \cdot \vec{B}$

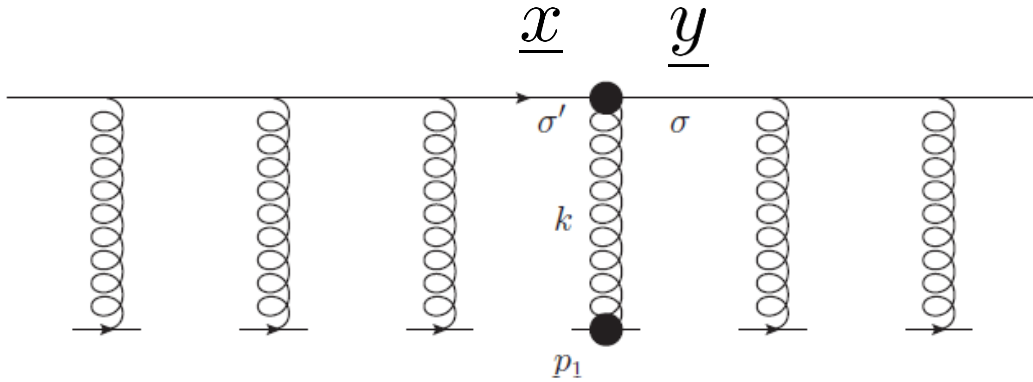
$$V_{\underline{x}}^{pol} = \frac{igp_1^+}{s} \int dx^- V_{\underline{x}}[+\infty, x^-] F^{12}(x^-, \underline{x}) V_{\underline{x}}[x^-, -\infty]$$

$$- \frac{g^2 p_1^+}{s} \int_{-\infty}^{\infty} dx_1^- \int_{x_1^-}^{\infty} dx_2^- V_{\underline{x}}[+\infty, x_2^-] t^b \psi_{\beta}(x_2^-, \underline{x}) U_{\underline{x}}^{ba}[x_2^-, x_1^-] \left[\frac{1}{2} \gamma^+ \gamma^5 \right]_{\alpha\beta} \bar{\psi}_{\alpha}(x_1^-, \underline{x}) t^a V_{\underline{x}}[x_1^-, -\infty].$$

Flavor-changing Wilson line

Since $m=0$ in Bjorken kinematics: Quark helicity conservation

The Missing Link: Transverse Recoil

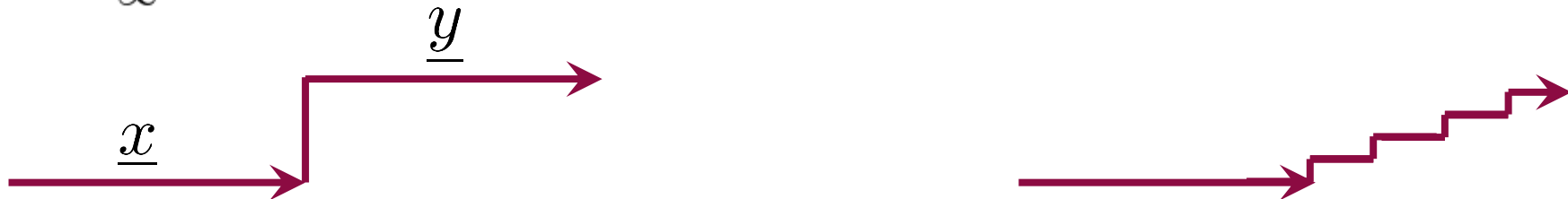


- **Spin-independent** sub-eikonal operator contributes to evolution
- **New contribution** not previously included in dipole helicity evolution

Jump displacement in transverse position:

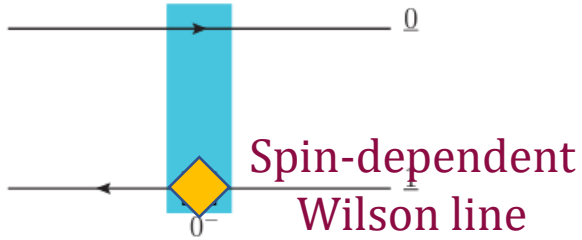
$$\underline{\nabla} \delta^2(\underline{x} - \underline{y})$$

$$V_{\underline{x}, \underline{y}}^{G[2]} = -\frac{i P^+}{s} \int_{-\infty}^{\infty} dz^- d^2 z V_{\underline{x}}[\infty, z^-] \delta^2(\underline{x} - \underline{z}) \check{D}^i(z^-, \underline{z}) D^i(z^-, \underline{z}) V_{\underline{y}}[z^-, -\infty] \delta^2(\underline{y} - \underline{z}),$$



Small-x Helicity Evolution (KPS-CTT)

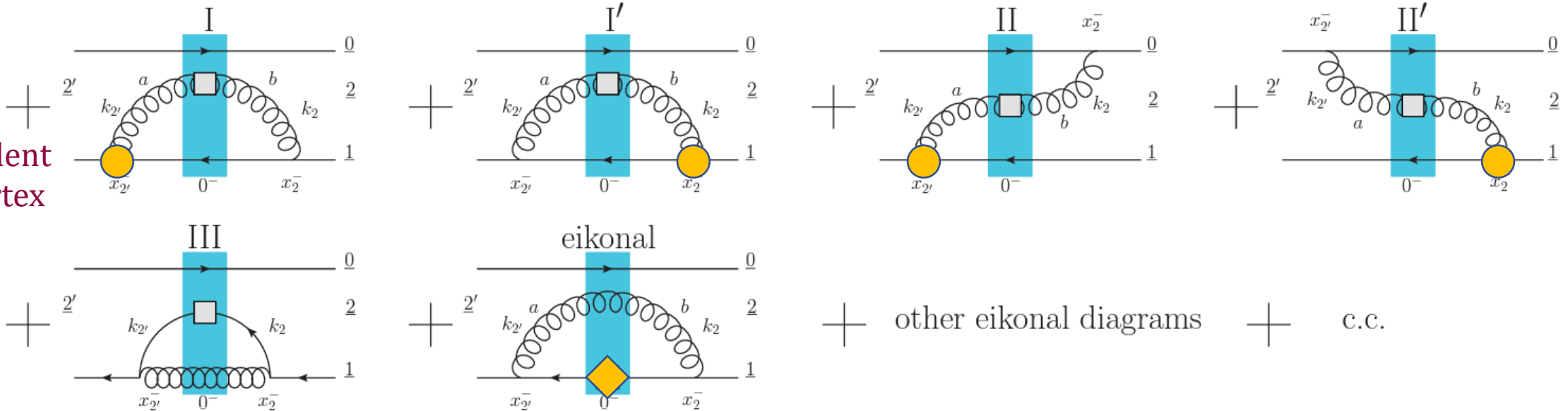
$$\frac{\partial}{\partial \ln \frac{1}{x}}$$



\sim

$$\square = \left\{ \begin{array}{l} \blacktriangleright \text{Includes recoil: KPS-CTT} \\ \blacktriangleright \text{No recoil: KPS} \end{array} \right.$$

Spin-dependent splitting vertex



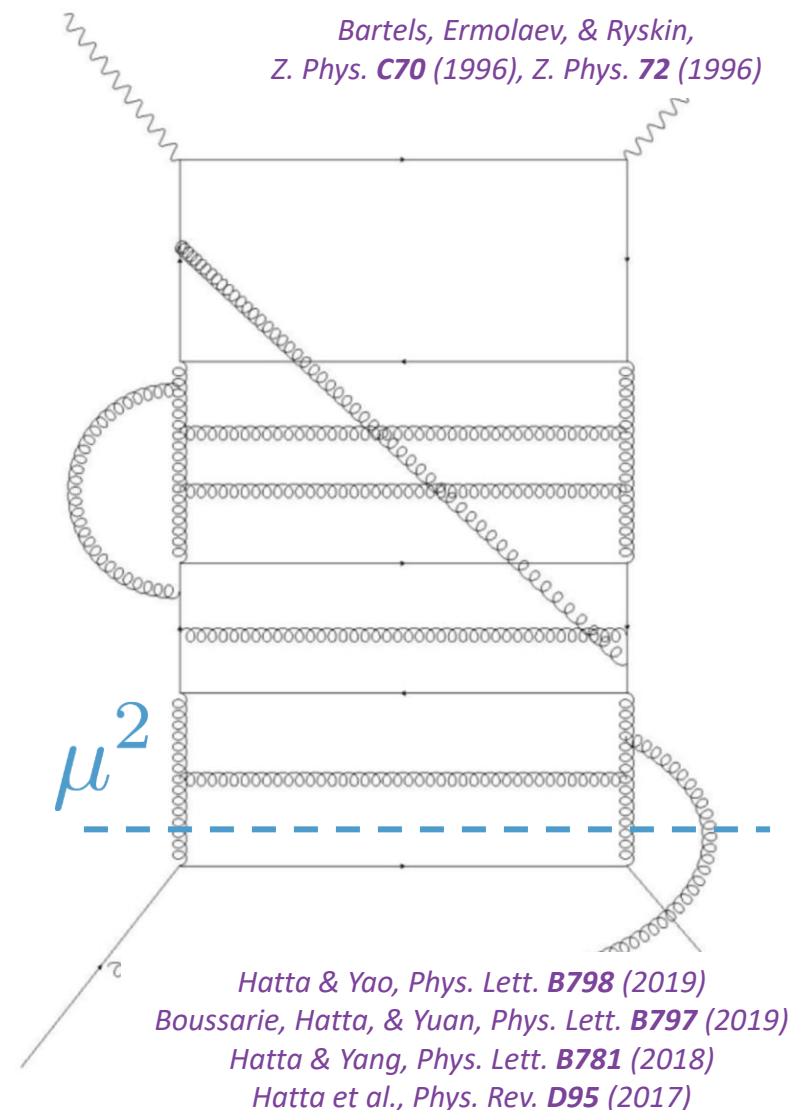
- \blacktriangleright Large N_c : Gluon dipoles only
- \blacktriangleright Large $N_c + N_f$: Quark + Gluon dipoles

Method of Infrared Evolution Equations

- Missing recoil term **brings small-x asymptotics into agreement** with other calculations using infrared evolution equations
- Based on identifying and resumming **double logarithms of the infrared cutoff**, capturing both types of logs

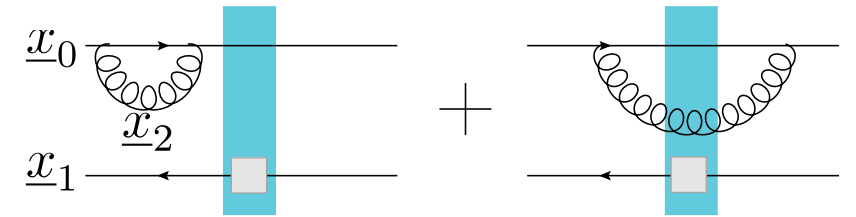
$$\ln \frac{Q^2}{\mu^2} \text{ and } \ln \frac{1}{x}$$

$$\left. \begin{array}{l} \Delta\Sigma(x, Q^2) \\ \Delta G(x, Q^2) \\ g_1(x, Q^2) \end{array} \right\} \sim \left(\frac{1}{x} \right)^{3.66} \sqrt{\frac{\alpha_s N_c}{2\pi}} \text{ Asymptotically as } x \rightarrow 0$$



Beyond Color Transparency and Into the UV

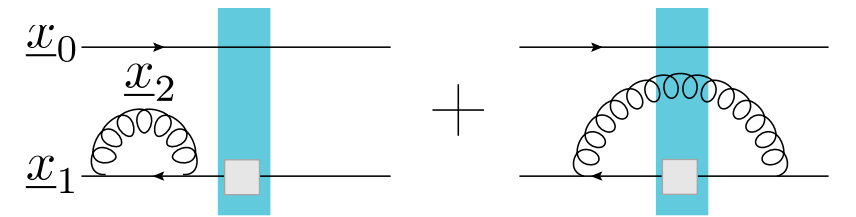
- Ladder emissions from the **unpolarized Wilson line** possess **color transparency** at short distances



$$\frac{\alpha_s N_c}{2\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int \frac{d^2 x_2}{x_{20}^2} \times \left[\frac{1}{N_c^2} \left\langle \text{tr} \left[V_2 V_1^{pol \dagger} \right] \text{tr} \left[V_0 V_2^\dagger \right] \right\rangle_{(z's)} - \frac{1}{N_c} \left\langle \text{tr} \left[V_0 V_1^{pol \dagger} \right] \right\rangle_{(z's)} \right]$$

Cancels when $\underline{x}_2 \rightarrow \underline{x}_0$

- But for ladder emissions from the **polarized Wilson line**, color transparency is **violated by spin**



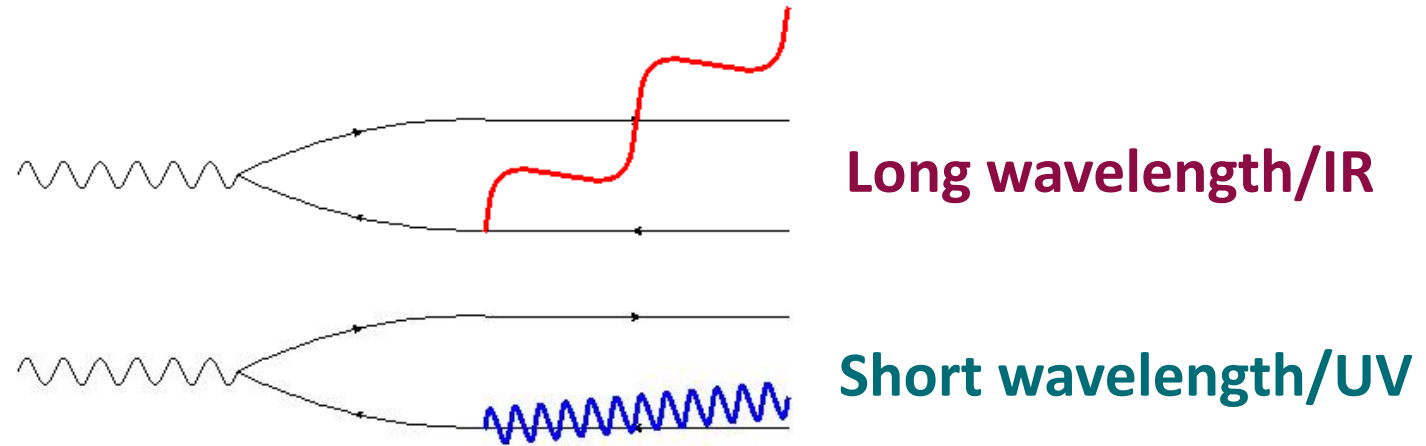
$$\frac{\alpha_s N_c}{2\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int \frac{d^2 x_2}{x_{21}^2} \times \left[\frac{1}{N_c^2} \left\langle \text{tr} \left[V_2 V_1^{pol \dagger} \right] \text{tr} \left[V_0 V_2^\dagger \right] \right\rangle_{(z's)} - \frac{1}{N_c} \left\langle \text{tr} \left[V_0 V_1^{pol \dagger} \right] \right\rangle_{(z's)} \right]$$

Does NOT cancel when $\underline{x}_2 \rightarrow \underline{x}_1$

Double-Logarithmic Evolution at Small x

Longitudinal + transverse
logarithmic phase space

$$dP \sim \alpha_s \frac{dx}{x} \frac{d^2 k}{k_T^2}$$



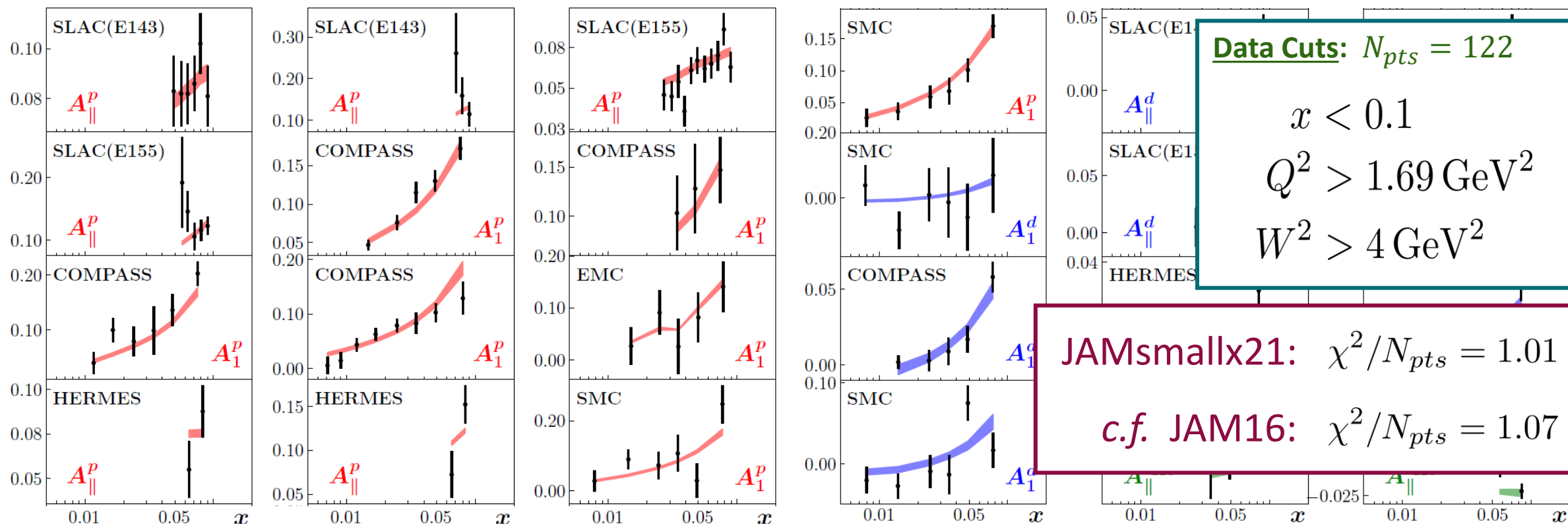
- **Unpolarized (BFKL) evolution:**

- **Transverse logs cancel** (neutrality in the IR, transparency in the UV)
- Only **longitudinal** phase space is logarithmic: $\alpha_s \ln \frac{1}{x} \sim O(1)$

- **Polarized (KPS) evolution:**

- **No transparency of spin:** dominance of **collinear transverse logs**
- **Double-logarithmic** evolution: $\alpha_s \ln^2 \frac{1}{x} \sim O(1)$
- Sensitive to **lifetime ordering** (c.f. NLO BFKL), less sensitive to saturation

First Global Analysis Using KPS Evolution



- **Global analysis of polarized DIS** at small x using KPS formalism

D. Adamiak et al., Phys. Rev. D104 (2021)

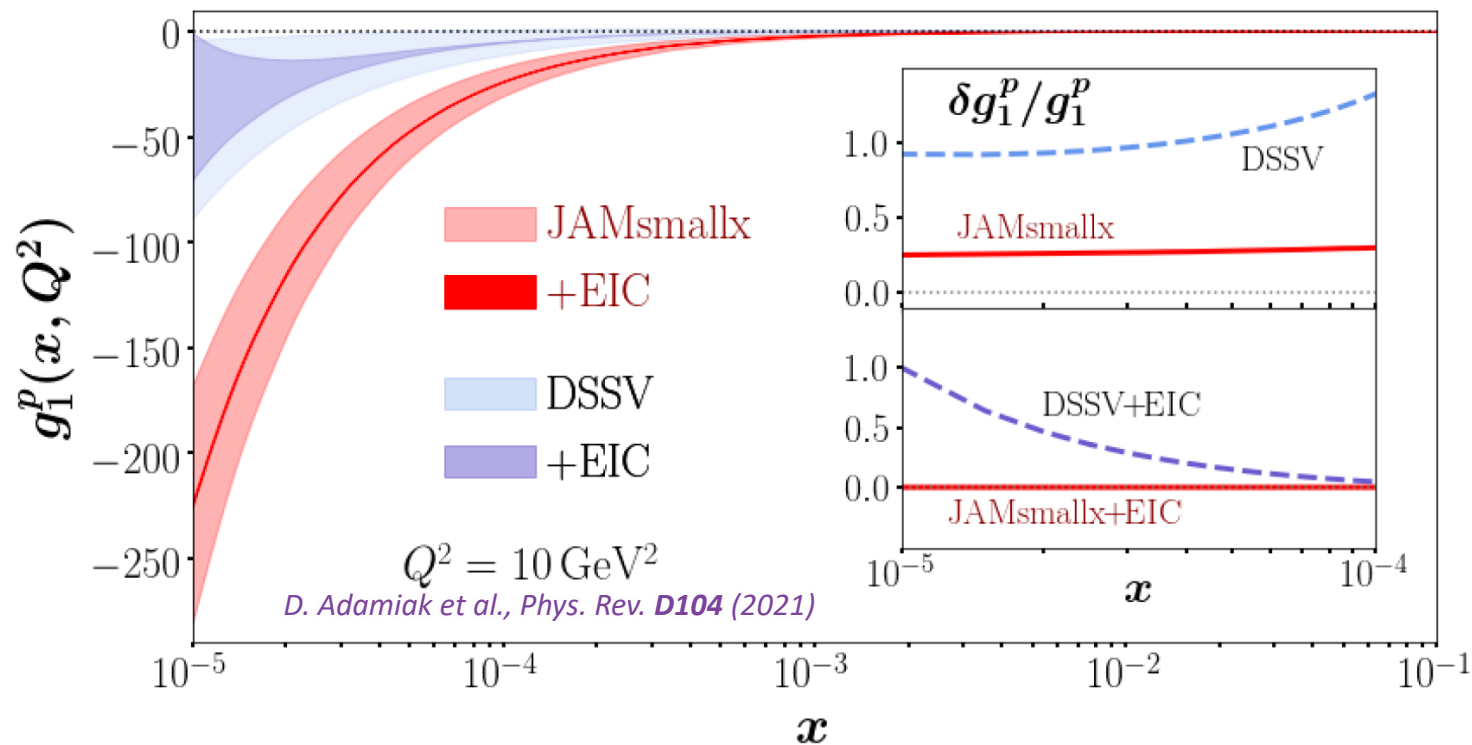
- **Bayesian analysis** performed using JAM architecture

N. Sato et al., Phys. Rev. D93 (2016)



First Global Analysis Using KPS Evolution

- **Structure function g_1** determined down to **low x**
- Analysis prefers **large, negative g_1** at small x
- **Predictive power** at small x : controlled error in extrapolation
- The procedure **cannot describe everything**
 - Fails for **too-large x** (as expected)
 - Equally good $\frac{\chi^2}{N_{pts}} \sim 1$ for $0.05 \leq x \leq 0.20$



Error band: Bayesian 1σ confidence level
Statistical impact of **EIC data** (thin red band)

DSSV: de Florian et al., Phys. Rev. Lett. 113 (2014), Phys. Rev. D100 (2019)

The Roots of Spin are Chiral

- **Helicity** shares an intimate connection with the **axial vector current**

Jaffe and Manohar, Nucl. Phys. B337 509 (1990)

A. Tarasov, R. Venugopalan, Phys. Rev. D100 (2019), D102 (2020), D105 (2022)

$$\Delta q(x, Q^2) = \int \frac{dr^-}{2\pi} e^{ixp^+ r^-} \langle pS_L | \bar{\psi}(0) \mathcal{U}[0, r] \frac{\gamma^+ \gamma^5}{2} \psi(r) | pS_L \rangle$$

- The **total quark helicity** leads to a **local matrix element** of the **axial vector current**.

$$\Delta\Sigma(Q^2) = \sum_q \int_0^1 dx (\Delta q + \Delta\bar{q})(x, Q^2) = \frac{1}{p^+} \sum_q \langle pS_L | \bar{\psi}(0) \frac{\gamma^+ \gamma^5}{2} \psi(0) | pS_L \rangle \sim \frac{\langle j_5^+ \rangle}{p^+}$$

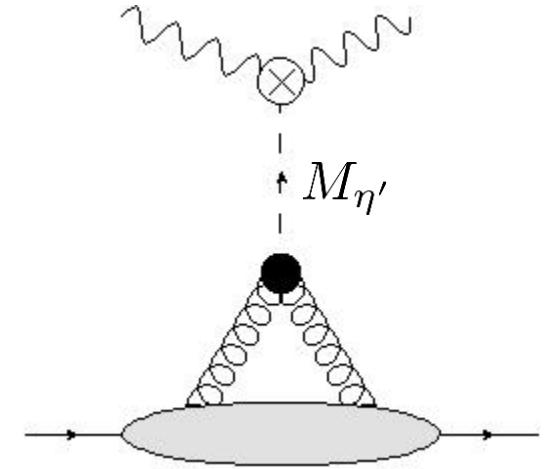
- Opens the door to nonperturbative contributions from the **axial anomaly**

$$\partial_\mu j_5^\mu = \frac{\alpha_s N_f}{2\pi} \text{tr} \left(F_{\mu\nu} \tilde{F}^{\mu\nu} \right)$$

Another Contribution to the Proton Spin?

$$\langle p' S_L | j_5^\mu | p S_L \rangle = \frac{1}{4\pi^2} \frac{\ell^\mu}{\ell^2} \int \frac{d^4 k}{(2\pi)^4} \text{tr} F_{\alpha\beta}(k) \tilde{F}^{\alpha\beta}(-k - \ell)$$

$$\ell^\mu = p'^\mu - p^\mu$$



- Singularities in $\frac{1}{t}$ appear in the forward limit, **beyond those captured by collinear factorization**

S. Bhattacharya, Y. Hatta, W. Vogelsang, Phys. Rev. D107 (2023), arxiv: 2305.09431

- This pole can be interpreted as the **exchange of a scalar η' meson**, coupled to gluon helicity: $g \phi_{\eta'} F \tilde{F}$.

A. Tarasov, R. Venugopalan, Phys. Rev. D100 (2019), D102 (2020), D105 (2022)

- Appears to make a **finite contribution to helicity PDF** (forward matrix element)

Goals of the Spin Working Group

Spin Working Group Goals Articulated in the Proposal:

❖ Refinement of helicity global analyses

	Yes	Not Yet	
CTT Recoil Operator Correction	X		
Large N_c+N_f Evolution	X		
Running Coupling	X		
hMV Initial Conditions		X	
Nonlinear Saturation Corrections		X	Derived
Interface with Unpolarized Dipole Fits		X	
Include Polarized SIDIS Data	X		
Include Polarized pp Data		X	Work in Progress
Orbital Angular Momentum		X	Work in Progress

Goals of the Spin Working Group

Spin Working Group Goals Articulated in the Proposal:

❖ Contributions of the Chiral Anomaly

	Yes	Not Yet	
Resolve Conceptual Issues		X	Work in Progress
Calculation of (More) Observables		X	Work in Progress
Integrate into Analysis Framework		X	

Spin Timeline from the Proposal

❖ Year 1:

- Update the **helicity dependent MV model** (Kovchegov)
- **Implement new developments and begin inclusion of SIDIS and polarized p+p collisions data into small-x helicity fits** at large- N_c & N_f (Kovchegov, Pitonyak, Sievert)
- Include **running coupling corrections** into large- N_c & N_f helicity evolution phenomenology (Kovchegov, Pitonyak, Sievert)
- **Begin determination of axial anomaly** contribution to **g_1 and SIDIS** (Venugopalan, Hatta)

❖ Year 2:

- **Finalize inclusion of SIDIS and polarized p+p collision data into small-x helicity fits** at large- N_c & N_f with running coupling, **interfacing it with the hMV model** (Kovchegov, Pitonyak, Sievert)
- **Finalize determination of axial anomaly contribution to g_1 , g_2 and SIDIS** (Venugopalan, Hatta)

Spin WG Talks at this Collaboration Meeting

WEDNESDAY, JUNE 28

2:20 PM

Anomaly zero modes and sub-eikonal corrections at small-x

Speaker: Andrey Tarasov (North Carolina State University)

THURSDAY, JUNE 29

11:40 AM

Global analysis of polarized DIS + SIDIS at small x

Speaker: Daniel Adamiak

1:30 PM

Status report on particle production in polarized p+p

Speaker: Ming Li (North Carolina State University)

1:50 PM

Disambiguation of bimodal solutions for $g_1(x)$

Speaker: Nicholas Baldonado

2:10 PM

Updates on OAM at small x ¶

Speaker: Brandon Manley (Ohio State University)

FRIDAY, JUNE 30

1:55 PM

Chiral and trace anomalies in DVCS

Speaker: Yoshitaka Hatta (BNL)

2:15 PM

Analytic solution of the large- N_c helicity evolution

Speaker: Jeremy Borden (Ohio State University)