

# Global analysis of polarized DIS + SIDIS at small $x$

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# Proton Spin Puzzle

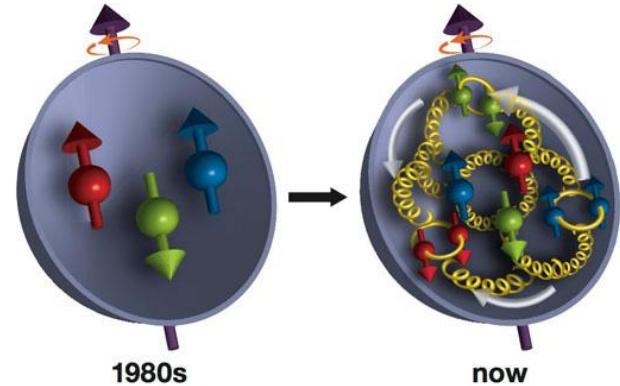
Jaffe-Manohar Spin Sum Rule:

$$\frac{1}{2} = S_q + L_q + S_g + L_g$$

$S_{q,g}$  = Helicity of quarks and gluons

$L_{q,g}$  = Orbital angular momentum

$S_q \sim 30\%$  of proton spin!



# Quark Helicity Parton Distribution Functions

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \sum_q (\Delta q(x, Q^2) + \Delta \bar{q}(x, Q^2))$$

Helicity PDFs:

$$\Delta q = \text{[Diagram: Blue circle with red arrow pointing right]} \rightarrow \text{[Diagram: Blue circle with red arrow pointing left]} \rightarrow$$

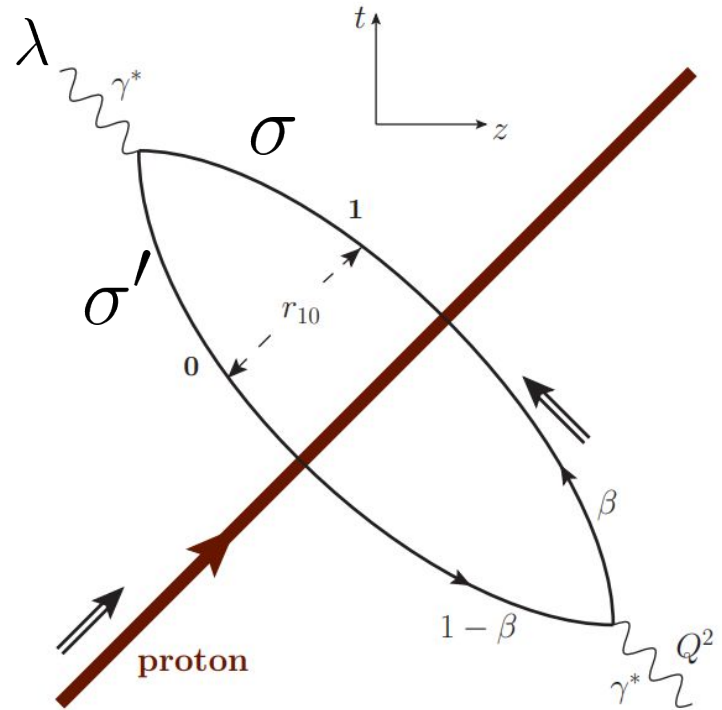
- $Q^2$  = resolution at which we probe the proton
- Bjorken  $x \sim \frac{1}{s}$ . We need theory to extrapolate to  $x=0$

# Phenomenology

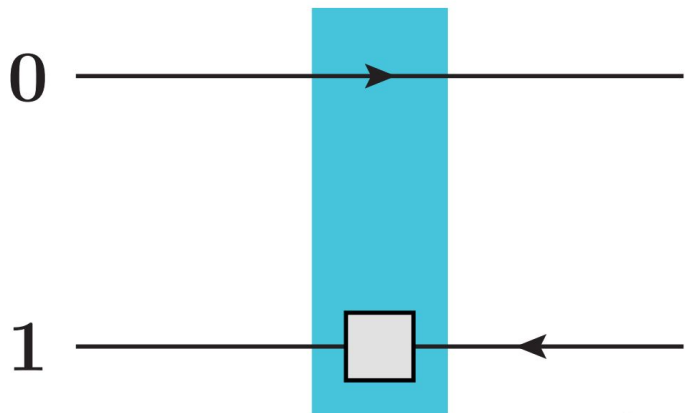
- Describe observables in terms of small- $x$  theory (hPDFs and Polarized Dipole Amplitudes)
- Solve the evolution of the Amplitudes
- Identify initial conditions/ undetermined parameters
- Fit parameters to data  $(5 \times 10^{-3} < x < 0.1)$
- Extrapolate (to the EIC)  $(10^{-4} < x < 5 \times 10^{-3})$

# (Polarized) DIS in the (Polarized) Dipole Picture

$$g_1 \propto |\psi|^2 \otimes (Q + 2G_2)$$



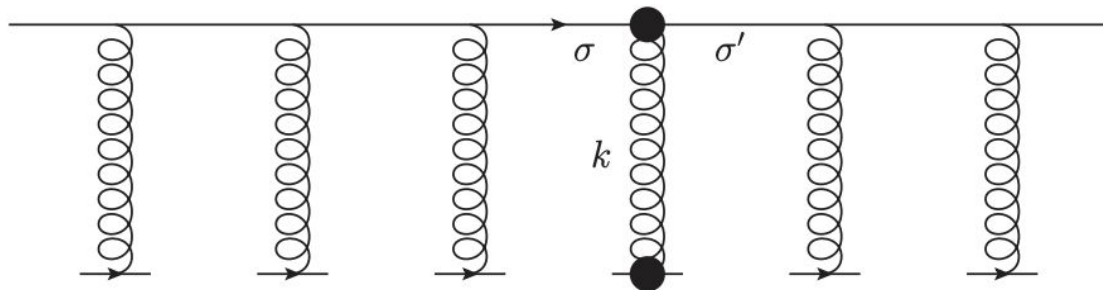
# (Polarized) DIS in the (Polarized) Dipole Picture



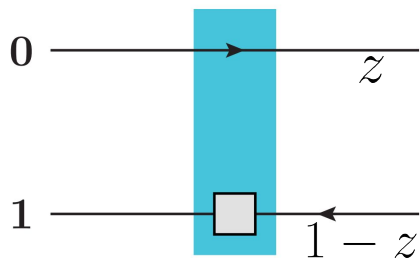
- Quark line undergoes one extra helicity exchange, which is **sub-eikonal**

- In pDIS, the electron and proton have their helicity specified
- Cross-section now dependent on **Polarized Dipole Amplitudes:**

$$Q_q, G_2, \tilde{G}$$



# Polarized Dipole Amplitude - Degrees of Freedom



## 5 Amplitudes:

$$Q_{u,d,s}, \tilde{G}, G_2 (s_{10}, \eta)$$

Polarized Dipole Amplitudes are functions of

- Transverse separation:

$$x_{10}^2 = (\underline{x}_1 - \underline{x}_0)^2$$

- Momentum Fraction times center of mass energy:  $zS$
- Rescaled variables:

$$\eta = \sqrt{\frac{N_c}{2\pi}} \ln \frac{zs}{\Lambda^2} \quad s_{10} = \sqrt{\frac{N_c}{2\pi}} \ln \frac{1}{x_{10}^2 \Lambda^2}$$

# Calculating Helicity Distributions

$$\Delta q + \Delta \bar{q} = -\frac{1}{\pi} \int_0^{\eta_{max}} d\eta \int_{s_{10}^{min}}^{\eta} ds_{10} [Q_q(s_{10}, \eta) + 2G_2(s_{10}, \eta)]$$

- We incorporate running coupling that runs with size of the dipole
- $\eta_{max} = \sqrt{N_c/2\pi} \ln(Q^2/x\Lambda^2)$
- $s_{10}^{min} = \max[0, \eta - \sqrt{N_c/2\pi} \ln(1/x)]$



## Calculating Helicity Distributions

$$\Delta G(x, Q^2) = \frac{2N_c}{\alpha_s(Q^2)\pi^2} G_2 \left( x_{10}^2 = \frac{1}{Q^2}, z_S = \frac{Q^2}{x} \right)$$

- Jaffe-Manohar Gluon Helicity Distribution

# Large Nc&Nf Helicity Evolution

In the large Nc&Nf, Nc/Nf fixed limit, the evolution equations for the polarized dipole amplitudes close:

$$\begin{aligned}
 Q_f(s_{10}, \eta) = & Q_f^{(0)}(s_{10}, \eta) + \int_{s_{10}+y_0}^{\eta} d\eta' \int_{s_{10}}^{\eta'-y_0} ds_{21} \alpha_s(s_{21}) \left[ Q_f(s_{21}, \eta') + 2\tilde{G}(s_{21}, \eta') + 2\tilde{\Gamma}(s_{10}, s_{21}, \eta') \right. \\
 & \left. - \bar{\Gamma}_f(s_{10}, s_{21}, \eta') + 2G_2(s_{21}, \eta') + 2\Gamma_2(s_{10}, s_{21}, \eta') \right] \\
 & + \frac{1}{2} \int_{y_0}^{\eta} d\eta' \int_{\max\{0, s_{10}+\eta'-\eta\}}^{\eta'-y_0} ds_{21} \alpha_s(s_{21}) \left[ Q_f(s_{21}, \eta') + 2G_2(s_{21}, \eta') \right]
 \end{aligned}$$

+ 9 more

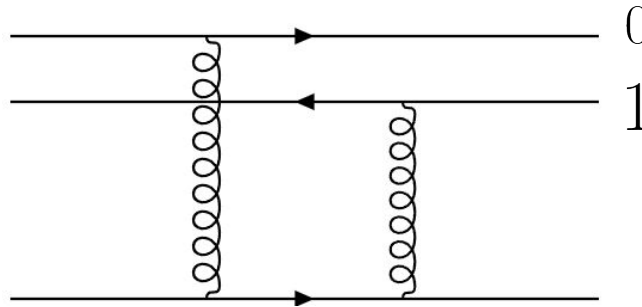
- 5 Polarized dipole amplitudes mix under evolution:  $Q_{u,d,s}, \tilde{G}, G_2$
- With 5 auxiliary dipoles:  $\Gamma_{u,d,s}, \tilde{\Gamma}, \Gamma_2$  - which impose lifetime ordering
- Small-x cutoff,  $y_0 \propto \ln 1/x_0$

# Large $N_c$ & $N_f$ Helicity Evolution

- **5 Polarized dipole amplitudes** mix under evolution:  $Q_{u,d,s}, \tilde{G}, G_2$
- With 5 auxiliary dipoles:  $\Gamma_{u,d,s}, \tilde{\Gamma}, \Gamma_2$
- For a total of 10 equations that form a **closed system**
- Undetermined initial conditions:  $Q_{u,d,s}^{(0)}, \tilde{G}^{(0)}, G_2^{(0)}$

# Inhomogeneous term

The inhomogeneous term is given by a Born-inspired ansatz:



$$\propto \int_0^s \frac{dk_{\perp}^2}{k_{\perp}^2} (1 - e^{-k \cdot x_{10}}) = \pi \ln(s x_{10}^2)$$

$$\propto \eta - s_{10}$$

$$\Gamma_q^{(0)} = Q_q^{(0)} = a\eta + bs_{10} + c$$

- Same form of the other Dipole Amplitudes
- Parameters a,b,c need to be extracted from data
- 15 parameters for singlet hPDFS

Recap:

$$\frac{1}{2} = S_q + L_q + S_g + L_g$$

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \sum_q (\Delta q(x, Q^2) + \Delta \bar{q}(x, Q^2))$$

$$S_g(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

$$\Delta q + \Delta \bar{q} = -\frac{1}{\pi} \int_0^{\eta_{max}} d\eta \int_{s_{10}^{min}}^{\eta} ds_{10} [Q_q(s_{10}, \eta) + 2G_2(s_{10}, \eta)]$$

$$\Delta G(x, Q^2) = \frac{2N_c}{\alpha_s(Q^2)\pi^2} G_2 \left( x_{10}^2 = \frac{1}{Q^2}, zs = \frac{Q^2}{x} \right)$$

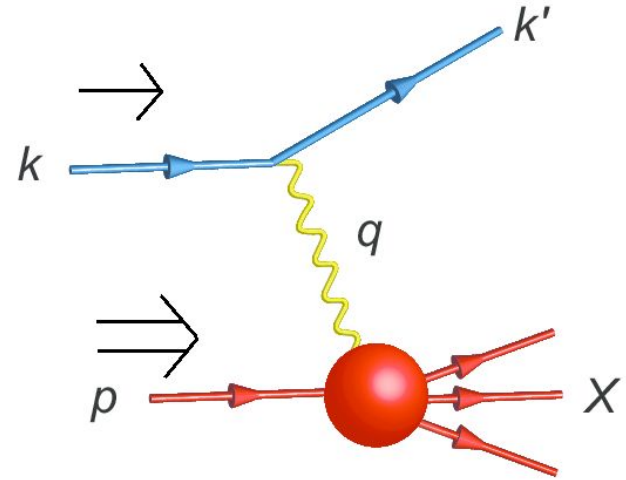
Large  $N_c \& N_f$  Helicity Evolution

$$Q_q^{(0)}, \tilde{G}^{(0)}, G_2^{(0)}$$

# Observables - Double Spin Asymmetries in DIS

$$A_{||} = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\uparrow}} \propto A_1 \propto g_1^{p,n}$$

- $\uparrow$  ( $\downarrow$ ) is positive (negative) helicity electron
- $\uparrow$  ( $\downarrow$ ) is positive (negative) helicity proton
- $A_1$  is virtual photoproduction asymmetry



# Describing Observables - pDIS

What enters into observables are linear combinations of hPDFs

$$\Delta q^+ = \Delta q + \Delta \bar{q}$$

$$\Delta q^- = \Delta q - \Delta \bar{q}$$

- Three relevant hPDFs in DIS:  $\Delta u^+$ ,  $\Delta d^+$ ,  $\Delta s^+$
- Data exist for two observables that contain these hPDFs in linearly independent combinations:  $g_1^p$  and  $g_1^n$

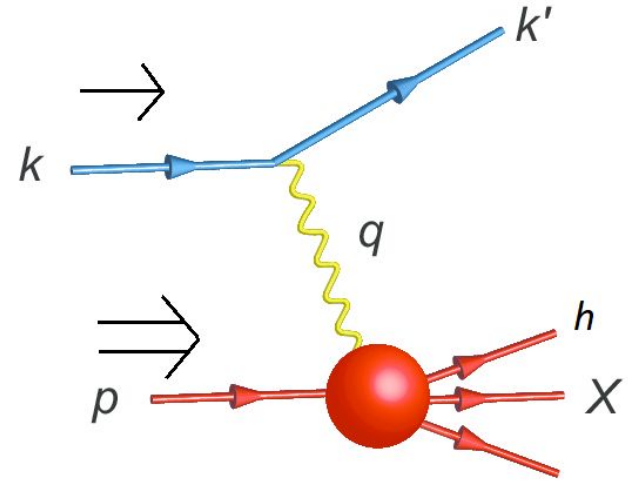
$$g_1^p(x, Q^2) = \frac{1}{2} \sum_q Z_q^2 \Delta q^+(x, Q^2)$$

- $Z_q$  is the quark charge fraction

# Observables - Double Spin Asymmetries in SIDIS

$$A_{||}(z) = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\uparrow}} \propto g_1^h(z)$$

- $h$  is the tagged hadron
- $z$  is the momentum fraction of the virtual photon carried by the tagged hadron





# $g_1^h$ Structure Functions

$$g_1^h(x, z, Q^2) = \frac{1}{2} \sum_q Z_q^2 \Delta q(x, z, Q^2) D_q^h(z, Q^2)$$

- $D_q^h$  are fragmentation functions - giving the probability quark  $q$  fragments into hadron  $h$
- $\mathcal{Z}$  Is the fraction of the virtual photons momentum carried by the hadron
- The flavour hPDF is obtained via  $\Delta q = \frac{1}{2}(\Delta q^+ + \Delta q^-)$
- In pSIDIS, we are able to scatter on 2 targets (proton, neutron), tag 2 outgoing hadrons (pion, kaon) that each have 2 charges -  $2 \times 2 \times 2 = 8$  new observables

# Describing Observables - pSIDIS

- Expand our horizons to Semi-Inclusive DIS - all hPDFs are relevant here, both singlet,  $\Delta q^+$  and non-singlet,  $\Delta q^-$
- **Non-singlet distributions obey their own small-x evolution that has been solved**

$$\Delta q^- = \frac{N_c}{2\pi^3} \int_{\frac{\Lambda^2}{s}}^1 \frac{dz}{z} \int_{\frac{1}{zs}}^{\min\left\{\frac{1}{zQ^2}, \frac{1}{\Lambda^2}\right\}} \frac{dx_{10}^2}{x_{10}^2} G_f^{\text{NS}}(x_{10}^2, zs)$$

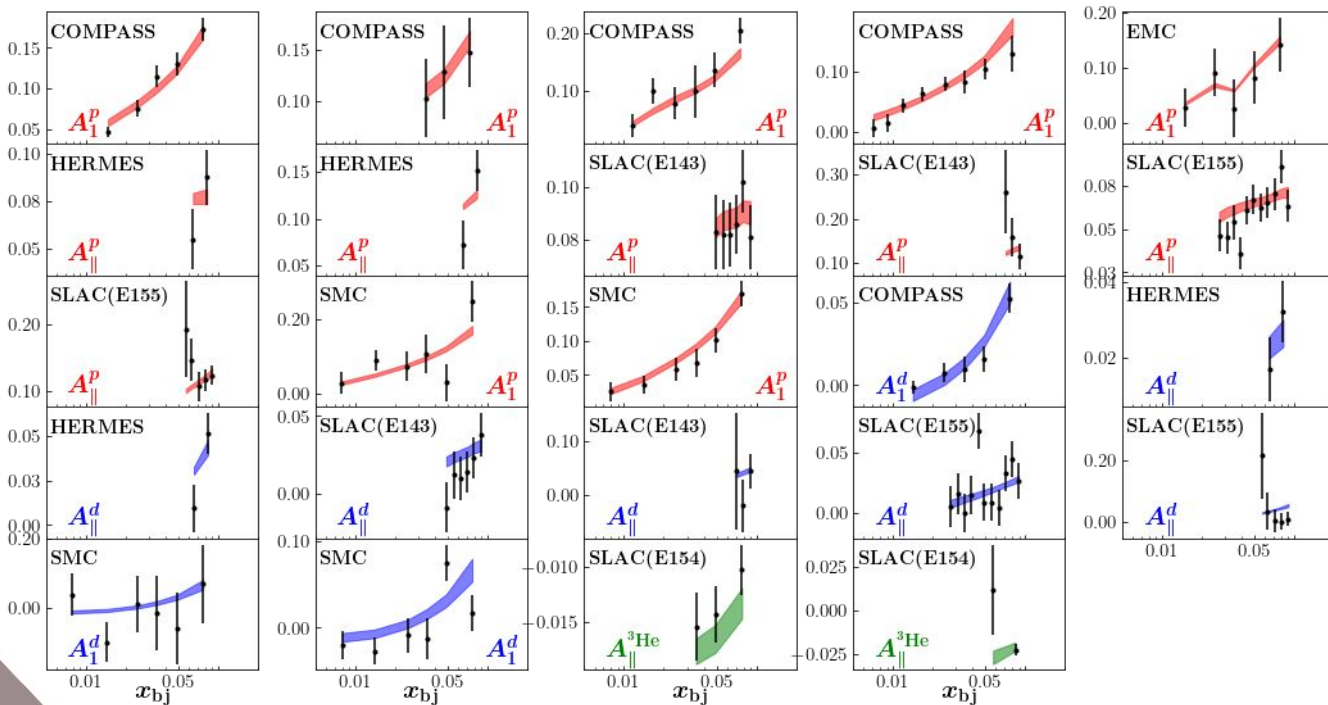
- $Q_q^{\text{NS}}$  is the non-singlet Polarized Dipole Amplitude - obeys its own evolution equation
- pSIDIS grants us access to the semi-inclusive, spin dependent structure functions  $g_1^h$

# Actually doing phenomenology - JAM framework

The Jefferson Angular Momentum (JAM) framework is a pipeline that enables the statistical comparison of theory to data. Thanks to Nobuo Sato and Wally Melnitchouk for granting us access. The JAM framework is

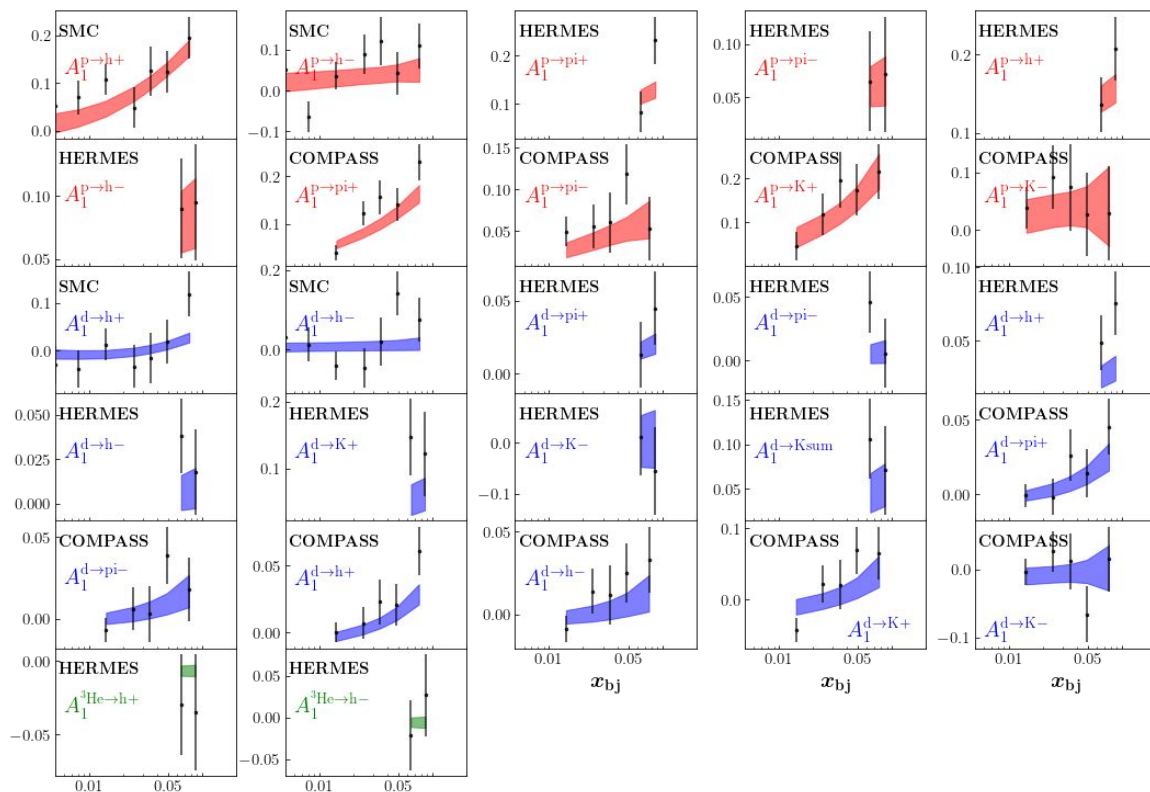
- Bayesian, Monte-Carlo fitting process
- That samples parameters efficiently
- To minimise  $\chi^2$
- While incorporating priors
- And returns statistical uncertainties

# Global fit of DIS - Data vs Theory



- Red curves - our theory
- Black dots - data
  - COMPASS
  - EMC
  - SMC
  - SLAC
  - HERMES
- Preliminary results

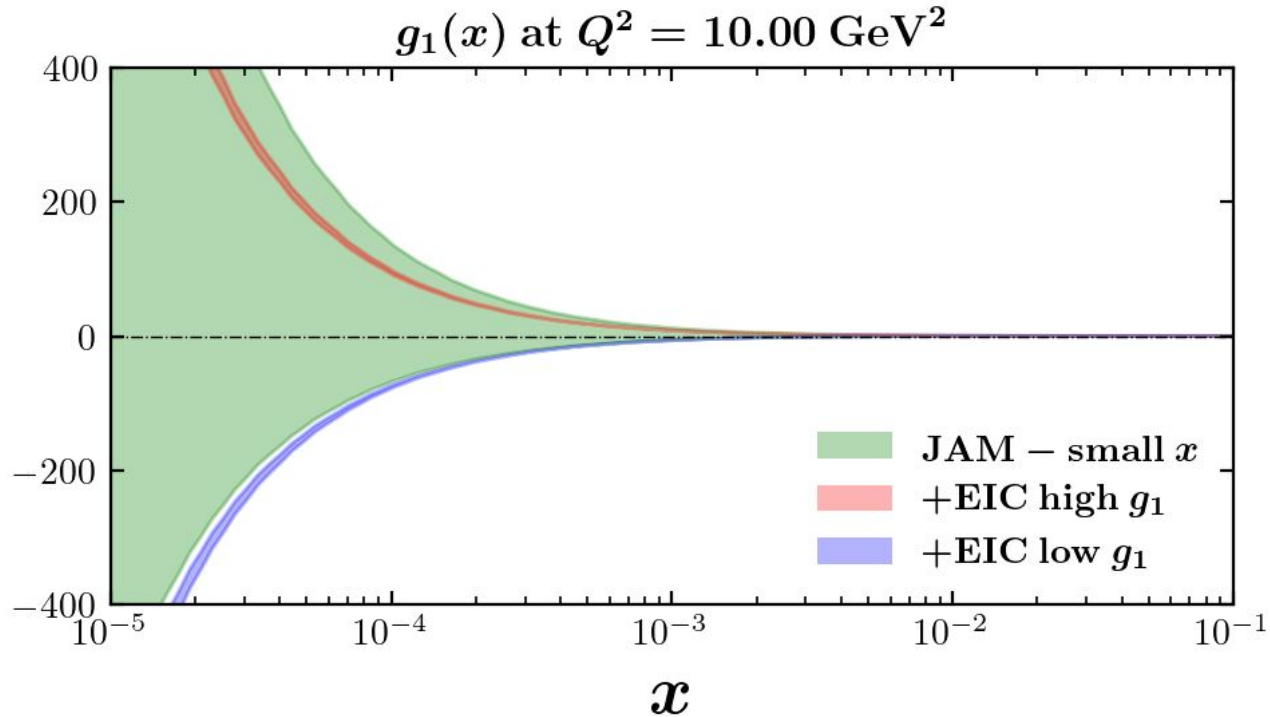
# Global fit of SIDIS - Data vs Theory



# $\chi^2$ and Data Cuts

- First simultaneous fit of small- $x$  theory to polarized DIS & SIDIS data
- Cut of  $0.005 < x < 0.1$
- Cut of  $1.69 \text{ GeV}^2 < Q^2 < 10.5 \text{ GeV}^2$
- Cut of  $0.2 < z < 1.0$
- Describing 234 data points
- With a  $\chi^2/npts = 1.03$

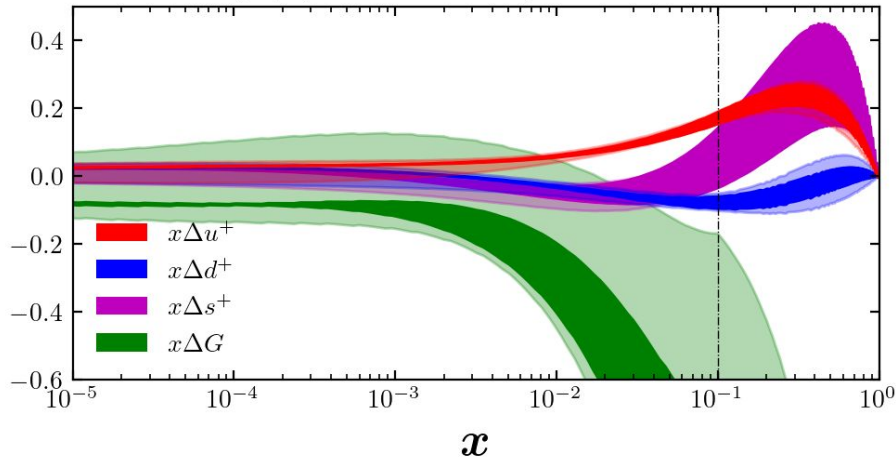
# Extraction of $g_1$ structure function (preliminary)



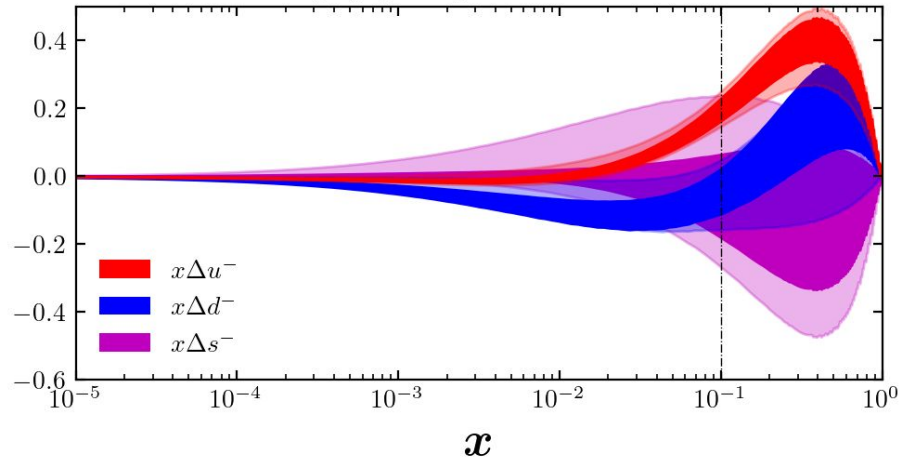
- Narrow bands are EIC projections

# hPDFs - Preliminary

$x\Delta q^+(x)$  and  $x\Delta G$  at  $Q^2 = 10.00 \text{ GeV}^2$



$x\Delta q^-(x)$  at  $Q^2 = 10.00 \text{ GeV}^2$

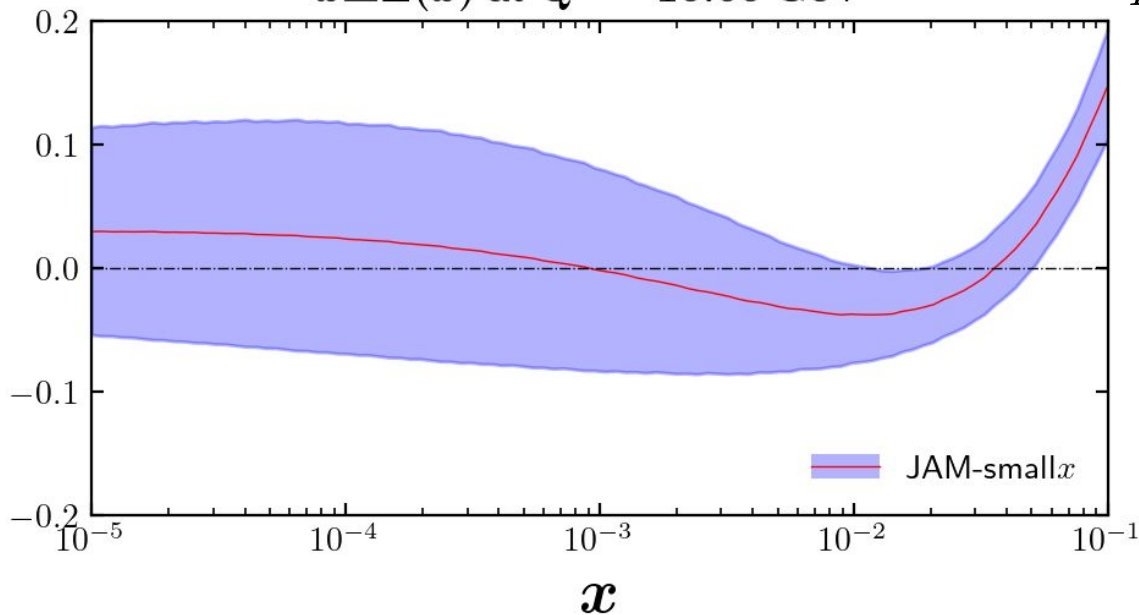




# Contribution from Quark Spin

$$\Delta\Sigma = \sum_q \Delta q^+(x, Q^2)$$

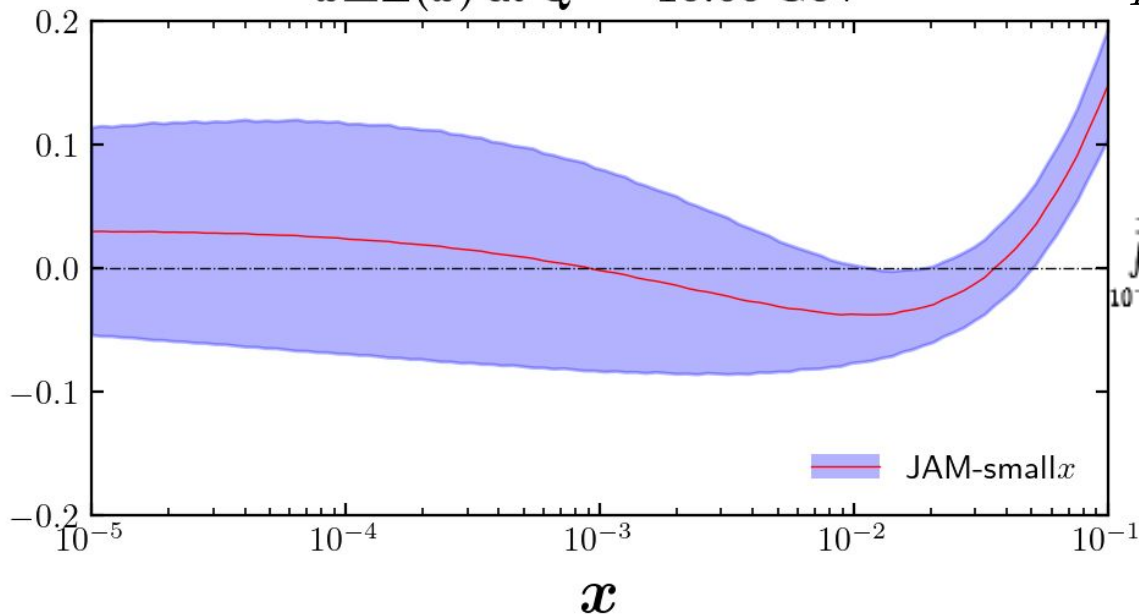
$x\Delta\Sigma(x)$  at  $Q^2 = 10.00 \text{ GeV}^2$



# Contribution from Quark Spin

$$\Delta\Sigma = \sum_q \Delta q^+(x, Q^2)$$

$x\Delta\Sigma(x)$  at  $Q^2 = 10.00 \text{ GeV}^2$



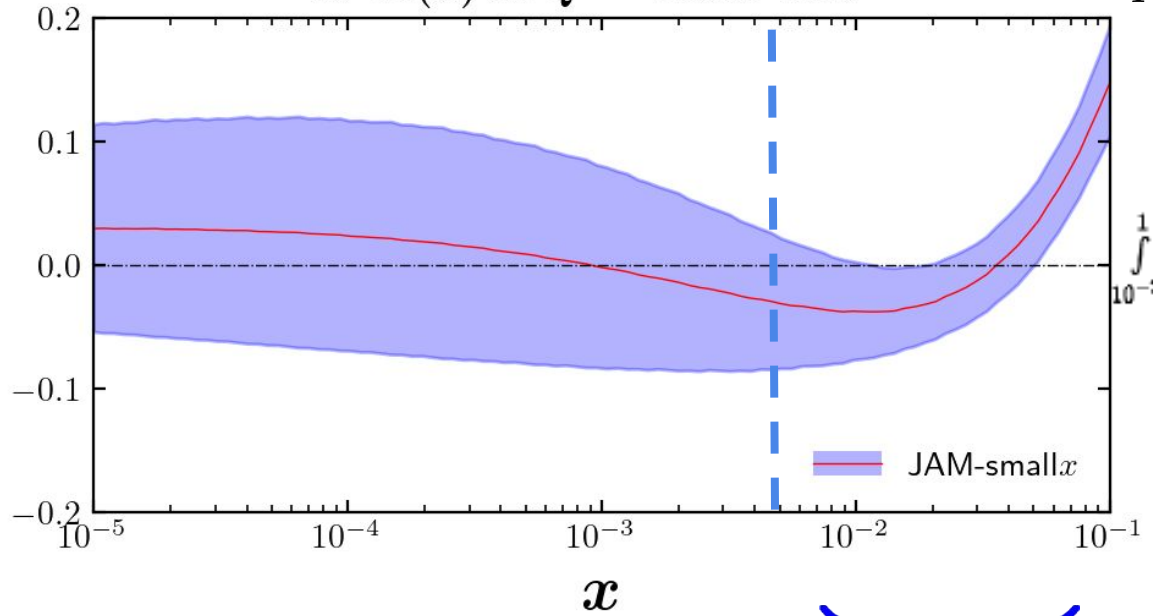
→  
Large-x region

$$\int_{10^{-3}}^1 dx \Delta\Sigma(x, Q^2 = 10 \text{ GeV}^2) = 0.4 \pm 0.05$$

# Contribution from Quark Spin

$$\Delta\Sigma = \sum_q \Delta q^+(x, Q^2)$$

$x\Delta\Sigma(x)$  at  $Q^2 = 10.00 \text{ GeV}^2$



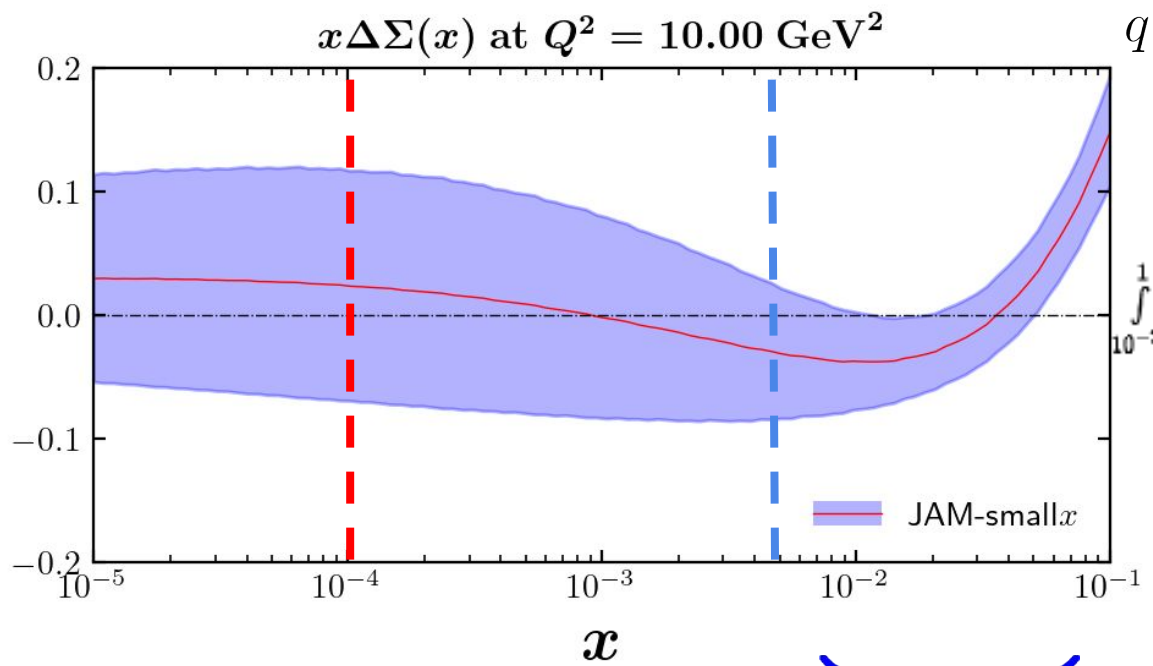
→  
Large-x region

$$\int_{10^{-3}}^1 dx \Delta\Sigma(x, Q^2 = 10 \text{ GeV}^2) = 0.4 \pm 0.05$$

Existing small-x data

# Contribution from Quark Spin

$$\Delta\Sigma = \sum_q \Delta q^+(x, Q^2)$$



Large-x region

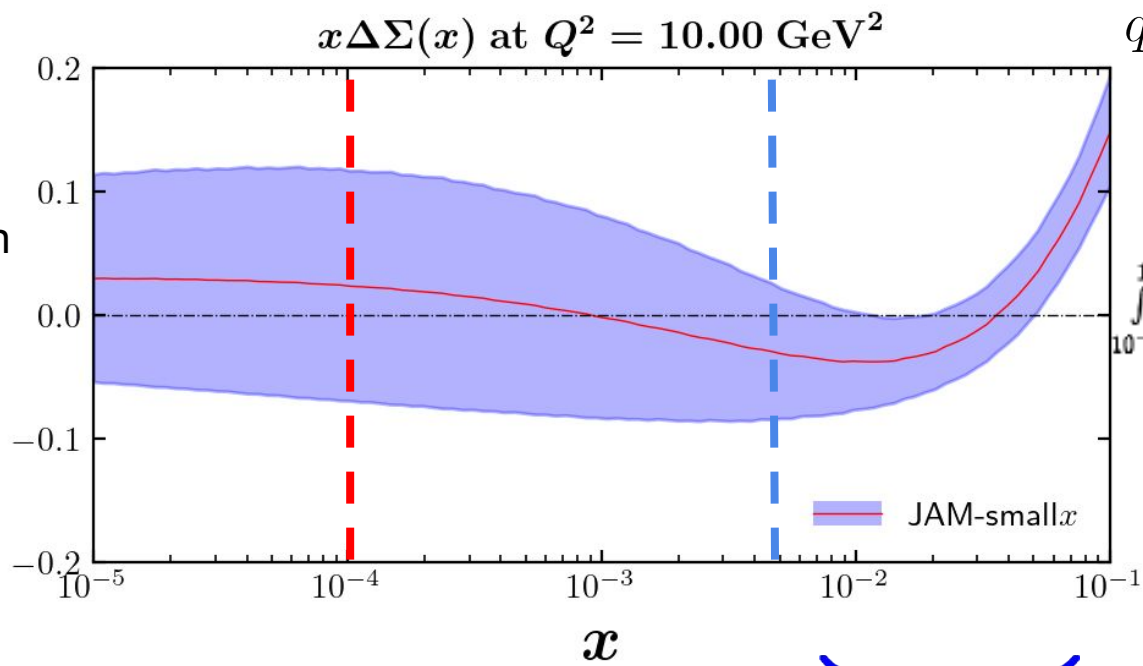
$$\int_{10^{-3}}^1 dx \Delta\Sigma(x, Q^2 = 10 \text{ GeV}^2) = 0.4 \pm 0.05$$

EIC measurement region

Existing small-x data

# Contribution from Quark Spin

$$\Delta\Sigma = \sum_q \Delta q^+(x, Q^2)$$



Saturation region

Large-x region

$$\int_{10^{-3}}^1 dx \Delta\Sigma(x, Q^2 = 10 \text{ GeV}^2) = 0.4 \pm 0.05$$

Compare with:

$$\int_{10^{-5}}^{10^{-3}} dx \Delta\Sigma(x, Q^2) = 0.1 \pm 0.4$$

JAM-small  $x$

EIC measurement region

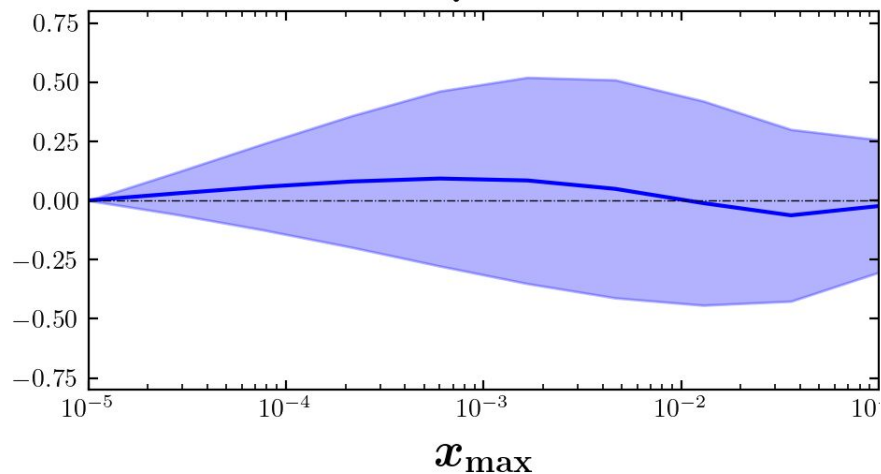
Existing small-x data

# Integrated Distributions

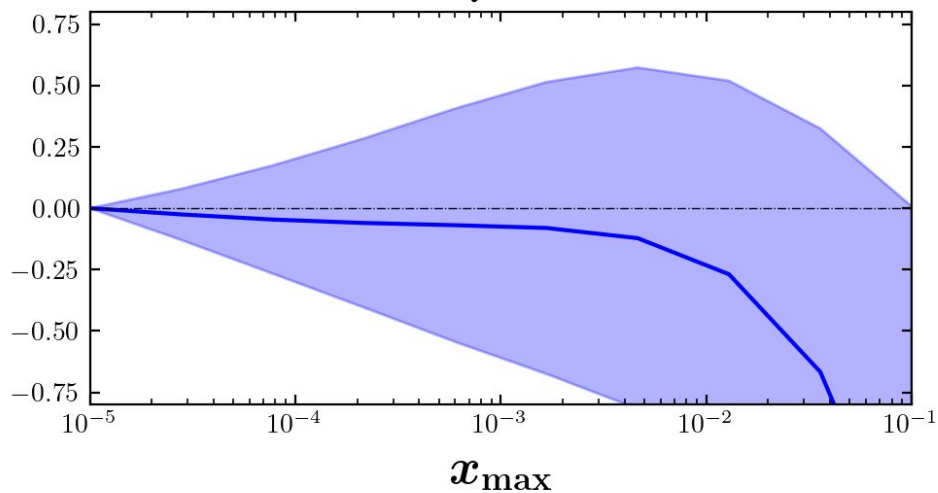
$$\Delta\Sigma[x_{max}] = \int_{10^{-5}}^{x_{max}} dx \Delta\Sigma(x)$$

$$\Delta G[x_{max}] = \int_{10^{-5}}^{x_{max}} dx \Delta G(x)$$

$\Delta\Sigma[x_{max}]$  at  $Q^2 = 10.00 \text{ GeV}^2$



$\Delta G[x_{max}]$  at  $Q^2 = 10.00 \text{ GeV}^2$



# Conclusions

- In order to resolve the spin puzzle, the small- $x$  behaviour of the hPDFs need to be understood
- This is accomplished using small- $x$  evolution
- Along with fitting to data
- Potentially a significant amount of spin is hiding in the small- $x$  region
- More work needs to be done to constrain small- $x$  behavior of the various polarized dipoles - especially  $G_2$  and  $\tilde{G}$
- Could be constrained by studying particle production in  $pp$  collisions

# Polarized Wilson Lines



$$\vec{\mu} \cdot \vec{B}$$

$$\mu B_z \sim F_{12}$$

• Chromo-magnetic field

$$\bar{\psi} \gamma^+ \gamma^5 \psi$$

• Axial Current

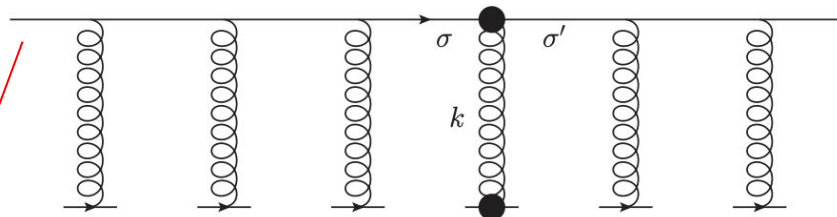
Polarized Dipole Amplitudes:

“ $Q_q$ ” , “ $\tilde{G}$ ”



# Polarized Wilson Lines

- Quark propagator



- Sub-eikonal phase expansion

- Polarized gluon vertex

$$\int \frac{dk^+}{2\pi} e^{ikx} \frac{k}{2k^+k^- - k_{\perp}^2}$$

$A_{\perp}$

$$e^{-ix^- \frac{k_{\perp}^2}{2k^-}} \approx 1 - ix^- \frac{k_{\perp}^2}{2k^-} \Rightarrow \partial_{\perp}^2 \rightarrow D_{\perp}^2 \rightarrow \text{“}G_2\text{”}$$

# Constraining the rest of the Polarized Dipole Amplitudes

$$g_1^{p,n} \sim Q_u, Q_d, Q_s, G_2$$

$$g_1^h \sim Q_q, G_2, Q_q^{NS}$$

$$pp \rightarrow jets \sim G_2, \tilde{G}$$

- 2 observables, 4 polarized dipole amplitudes. Under constrained system
- 8 new observables, 3 new polarized dipole amplitudes. Exactly constrained - but  $\tilde{G}$  does not enter directly into observables
- Particle production might provide final constraints