

# Chiral and trace anomalies in DVCS

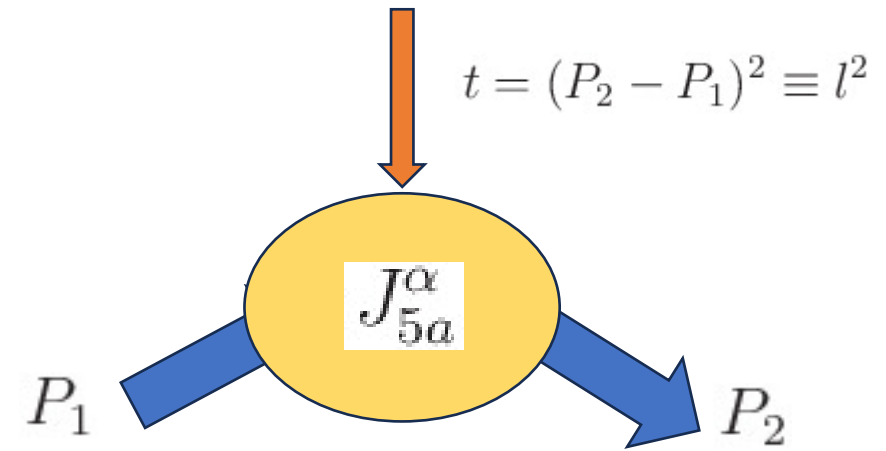
Yoshitaka Hatta

BNL/RIKEN BNL

based on [2210.13419](#), [2305.09431](#)  
with [Shohini Bhattacharya](#) and [Werner Vogelsang](#)

# Isovector axial form factors

Nucleon form factor of  $J_{5a}^\alpha = \sum_q \bar{q} \gamma^\alpha \gamma_5 \frac{\tau^a}{2} q$



$$\langle P_2 | J_{5a}^\alpha | P_1 \rangle = \bar{u}(P_2) \left[ \underbrace{\gamma^\alpha \gamma_5 F_A(t)}_{\text{pseudovector}} + \underbrace{\frac{l^\alpha \gamma_5}{2M} F_P(t)}_{\text{pseudoscalar}} \right] \frac{\tau^a}{2} u(P_1)$$

In massless QCD, the current is exactly conserved  $\partial_\alpha J_{5a}^\alpha = 0$

$$2M F_A(t) + \frac{t F_P(t)}{2M} = 0 \quad \longrightarrow \quad F_P(t) \approx \frac{-4M^2 g_A^{(3)}}{t}$$

Pole at  $t = 0$  due to massless pion exchange

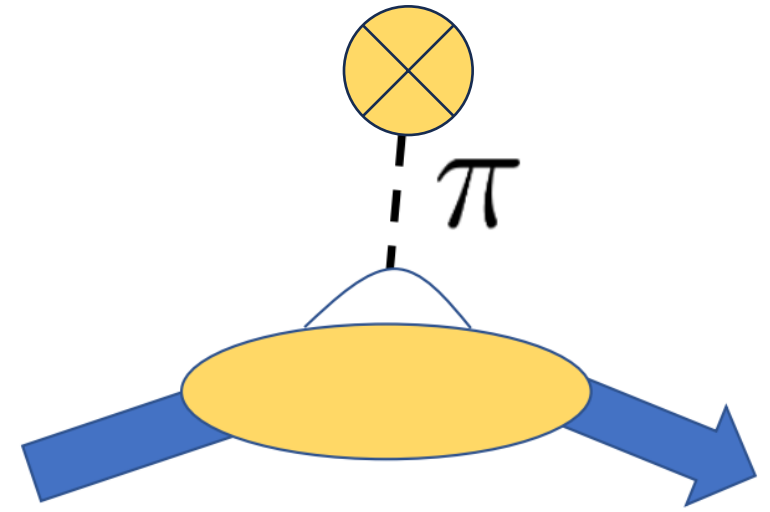
# Pion pole in GPD

Generalized Parton Distributions  
= x-dependent form factors

$$F_P(t) = \int_{-1}^1 dx \left( \tilde{E}_u(x, \xi, t) - \tilde{E}_d(x, \xi, t) \right) \approx \frac{-4M^2 g_A^{(3)}}{t}$$

Massless pole already in GPD

$$\tilde{E}_u(x, \xi, t) - \tilde{E}_d(x, \xi, t) \sim \theta(\xi - |x|) \frac{g_A^{(3)}}{t}$$



Penttinen, Polyakov, Goeke (1999)

First indication from lattice QCD? [Bhattacharya, et al. \(2023\)](#)

# Singlet axial form factors

Form factor of  $J_5^\alpha = \sum_q \bar{q} \gamma^\alpha \gamma_5 q$

$$\langle P_2 | J_5^\alpha | P_1 \rangle = \bar{u}(P_2) \left[ \gamma^\alpha \gamma_5 g_A(t) + \frac{l^\alpha \gamma_5}{2M} g_P(t) \right] u(P_1)$$

In massless QCD, the current is **classically** conserved

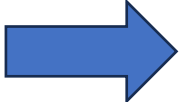
$$2M g_A(t) + \frac{t g_P(t)}{2M} = 0 \quad \longrightarrow \quad \frac{g_P(t)}{2M} \approx -\frac{2M \Delta \Sigma}{t}$$

Pole at  $t = 0$  due to massless  **$\eta_0$  meson** exchange?

# Chiral anomaly

Quantum mechanically, the current is **not** conserved

$$\partial_\alpha J_5^\alpha = \frac{n_f \alpha_s}{4\pi} F^{\mu\nu} \tilde{F}_{\mu\nu}$$


$$\frac{g_P(t)}{2M} = \frac{1}{t} \left( i \frac{\langle P_2 | \frac{n_f \alpha_s}{4\pi} F \tilde{F} | P_1 \rangle}{\bar{u}(P_2) \gamma_5 u(P_1)} - 2M g_A(t) \right)$$

anomaly pole

$\eta_0$  pole

In real QCD, there is no massless pole in  $g_P(t)$  due to **pole cancellation**

# Gravitational form factors

Nucleon matrix element of the **energy momentum tensor**  $\Theta^{\alpha\beta}$

$$\langle P_2 | \Theta^{\alpha\beta} | P_1 \rangle = \bar{u}(P_2) \left[ A(t) \frac{P^\alpha P^\beta}{M} + (A(t) + B(t)) \frac{P^{(\alpha} i \sigma^{\beta)\lambda} l_\lambda}{2M} + D(t) \frac{l^\alpha l^\beta - g^{\alpha\beta} t}{4M} \right] u(P_1)$$

In massless QCD,  $\Theta^{\alpha\beta}$  is **classically** traceless

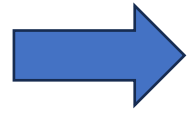
$$A(t) + \frac{B(t)}{4M^2} t - \frac{3D(t)}{4M^2} t = 0 \qquad \frac{3}{4} D(t) \approx \frac{M^2}{t} A(t) \qquad (t \rightarrow 0)$$

Pole at  $t = 0$  due to massless **spin-0 glueball** exchange?

# Trace anomaly

Quantum mechanically, the trace is nonzero

$$(\Theta)_{\alpha}^{\alpha} = \frac{\beta(g)}{2g} F^{\mu\nu} F_{\mu\nu}$$



$$\frac{3}{4} D(t) \approx -\frac{M}{t} \left( \frac{\langle P_2 | \frac{\beta(g)}{2g} F^2 | P_1 \rangle}{\bar{u}(P_2)u(P_1)} - MA(t) \right)$$

anomaly pole

glueball pole

In real QCD, there is no massless pole in  $D(t)$  due to **pole cancellation**

Anomalies relate form factors

$$\text{Chiral anomaly} \quad 2Mg_A(t) + \frac{tg_P(t)}{2M} = i \frac{\langle P_2 | \frac{n_f \alpha_s}{4\pi} F \tilde{F} | P_1 \rangle}{\bar{u}(P_2) \gamma_5 u(P_1)}$$

$$\text{Trace anomaly} \quad M \left( A(t) + \frac{B(t)}{4M^2} t - \frac{3D(t)}{4M^2} t \right) \bar{u}(P_2) u(P_1) = \langle P_2 | \frac{\beta(g)}{2g} F^{\mu\nu} F_{\mu\nu} | P_1 \rangle$$

Form factors are moments of GPDs

$$g_P(t) = \sum_q \int_{-1}^1 dx \tilde{E}_q(x, \xi, t)$$

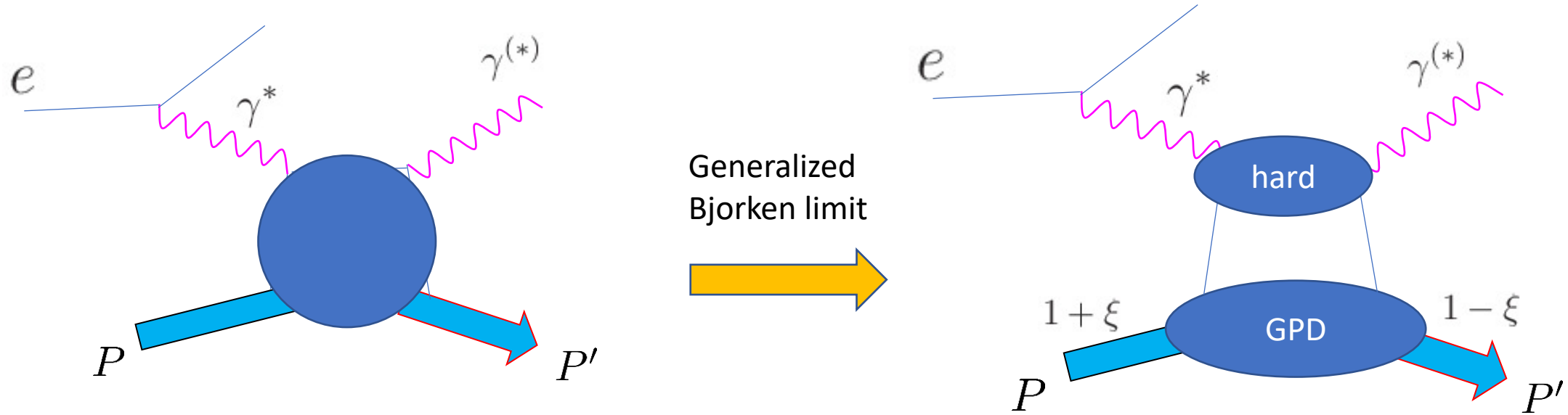
$$A_q(t) + \xi^2 D_q(t) = \int_{-1}^1 dx x H_q(x, \xi, t)$$



Anomalies relate GPDs!



# Deeply Virtual Compton Scattering



Factorization proof [Collins, Freund \(1998\)](#); [Ji, Osborne \(1998\)](#)

$$T^{\mu\nu}(x_B, \xi, t) = \sum_{a=q,g} \int \frac{dx}{x} C_a^{\mu\nu} \left( \frac{x_B}{x}, \frac{\xi}{x} \right) f_a(x, \xi, t) + \mathcal{O}(1/Q^2)$$

GPD

Skewness  $\xi = \frac{P^+ - P'^+}{P^+ + P'^+}$

# The box diagram

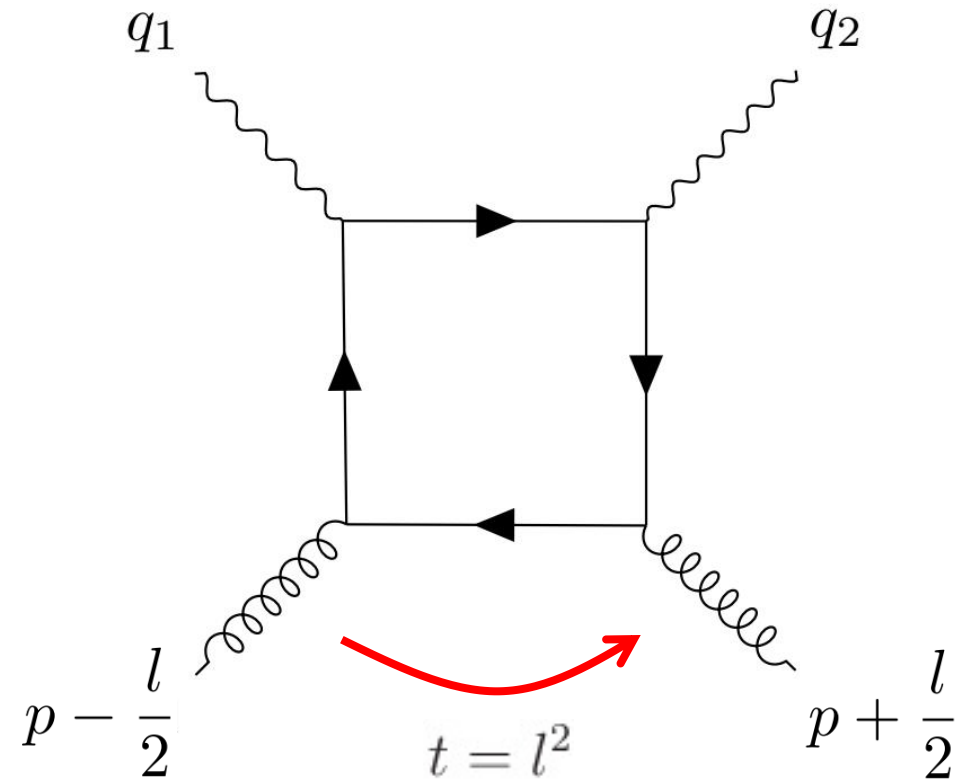
In all the previous works on DVCS, the hard part is computed at  $\xi \neq 0$  and  $t = 0$

Naively, introducing  $t \neq 0$  only produces higher twist corrections of order  $t/Q^2$

However, calculations with  $t \neq 0$  can reveal **anomaly poles**. [Tarasov, Venugopalan \(2019,2021\)](#)  
Beware the box diagram.

$t \neq 0$  also naturally cuts off the collinear singularity  $\rightarrow$  alternative regularization scheme

$$\frac{1}{\epsilon} \rightarrow \ln \frac{Q^2}{-t}$$



# One-loop result

(Showing only the pole terms. For a complete result, see [2305.09431](#))

$$T^{\mu\nu} = \frac{g_{\perp}^{\mu\nu}}{2P^+} \bar{u}(P_2) \left[ \gamma^+ \mathcal{H} + \frac{i\sigma^{+\nu} l_{\nu}}{2M} \mathcal{E} \right] u(P_2) - i \frac{\epsilon_{\perp}^{\mu\nu}}{2P^+} \bar{u}(P_2) \left[ \gamma^+ \gamma_5 \tilde{\mathcal{H}} + \frac{\gamma^5 l^+}{2M} \tilde{\mathcal{E}} \right] u(P_2)$$

$$\mathcal{H}, \mathcal{E} \sim \frac{\alpha_s}{t} A \otimes \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \frac{\langle P_2 | F^{\mu\nu}(-z^-/2) W F_{\mu\nu}(z^-/2) | P_1 \rangle}{\bar{u}(P_2) u(P_1)}$$

$$\tilde{\mathcal{E}} \sim \frac{\alpha_s}{t} \tilde{A} \otimes \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \frac{\langle P_2 | F^{\mu\nu}(-z^-/2) W \tilde{F}_{\mu\nu}(z^-/2) | P_1 \rangle}{\bar{u}(P_2) \gamma_5 u(P_1)}$$

twist-**four** GPDs, **not** suppressed by  $1/Q^2$

$$A(x, x_B, \xi) = \frac{2T_R}{x} \left( 1 + \frac{\hat{x}(1 - \hat{x}) \ln \frac{\hat{x} - 1}{\hat{x}} + \hat{x}(\hat{x} - \hat{\xi}) \ln \frac{\hat{x} - \hat{\xi}}{\hat{x}} + (\hat{x} \rightarrow -\hat{x})}{1 - \hat{\xi}^2} \right)$$

$$\tilde{A}(x, x_B, \xi) = \frac{8T_R}{x} \frac{(1 - \hat{x}) \ln \frac{\hat{x} - 1}{x} + (\hat{x} - \hat{\xi}) \ln \frac{\hat{x} - \hat{\xi}}{\hat{x}} - (\hat{x} \rightarrow -\hat{x})}{1 - \hat{\xi}^2}$$

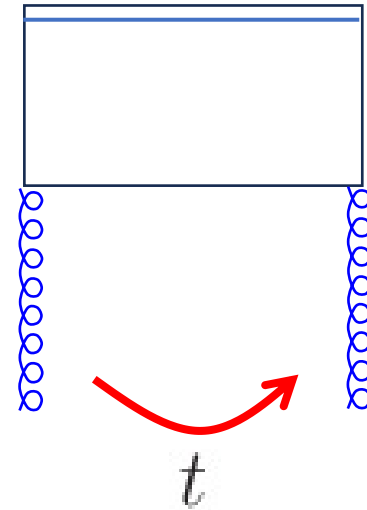
← imaginary part of this agrees with [Tarasov, Venugopalan \(2019\)](#)

Naively, the new terms break QCD factorization. (or OPE is violated, as Ian puts it.)

However, we also computed the quark GPD of a gluon and found a pole

$$\int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle p_2 | \bar{q}(-z/2) W \gamma^+ q(z^-/2) | p_1 \rangle$$

$$\sim \frac{1}{t} \langle p_2 | F^{\mu\nu} F_{\mu\nu} | p_1 \rangle$$



Poles from the box diagram, both real and imaginary parts, can be systematically absorbed into twist-two GPDs via an **infrared subtraction** procedure. Factorization restored.

However, this is an unusual subtraction. Absorb twist-4 GPD into twist-2.

# The fate of anomaly poles

After absorbed into twist-2 GPD, the anomaly pole becomes a part of the GPD

$$\sum_q (\tilde{E}_q(x, \xi, t) + \tilde{E}_q(-x, \xi, t)) = \frac{T_R n_f \alpha_s}{\pi} \frac{M^2}{t} \tilde{C}^{\text{anom}} \otimes \int \frac{dz^-}{2\pi} e^{ixP^+ z^-} \frac{\langle P_2 | F^{\mu\nu}(-z^-/2) W \tilde{F}_{\mu\nu}(z^-/2) | P_1 \rangle}{\bar{u}(P_2) \gamma_5 u(P_1)} + \dots$$

integrate over x

$$\frac{g_P(t)}{2M} = \frac{1}{t} \left( i \frac{\langle P_2 | \frac{n_f \alpha_s}{4\pi} F \tilde{F} | P_1 \rangle}{\bar{u}(P_2) \gamma_5 u(P_1)} - 2M g_A(t) \right)$$

exactly reproduce the anomaly pole!

Twist-2 and twist-4 GPDs related by the chiral anomaly

# D-term and gluon condensate

Trace anomaly pole induced in the **Polyakov-Weiss D-term** of unpol GPDs

$$H_q^{\text{PW}}(x, \xi, t) = -E_q^{\text{PW}}(x, \xi, t) = \theta(\xi - |x|)D_q(x/\xi, t)$$

$$\sum_q D_q(z, t) \approx -\frac{T_R n_f \alpha_s}{\pi} z(1 - |z|) \frac{M}{t} \left( \frac{\langle P_2 | F^2 | P_1 \rangle}{\bar{u}(P_2)u(P_1)} - \frac{\langle P_2 | F^2 | P_1 \rangle}{\bar{u}(P_2)u(P_1)} \Big|_{t=0} \right) + \dots$$

anomaly pole
glueball pole

$$\sum_q D_q(t) \approx -\frac{M}{t} \left( \frac{\langle P_2 | \frac{T_R n_f \alpha_s}{6\pi} F^2 | P_1 \rangle}{\bar{u}(P_2)u(P_1)} - \frac{\langle P_2 | \frac{T_R n_f \alpha_s}{6\pi} F^2 | P_1 \rangle}{\bar{u}(P_2)u(P_1)} \Big|_{t=0} \right) + \dots$$

Compare to the full relation

$$\frac{3}{4}D(t) \approx -\frac{M}{t} \left( \frac{\langle P_2 | \frac{\beta(g)}{2g} F^2 | P_1 \rangle}{\bar{u}(P_2)u(P_1)} - MA(t) \right) \quad \frac{\beta(g)}{2g} = \frac{T_R n_f \alpha_s}{6\pi} + \dots$$

# Summary

Anomalies relate form factors

Form factors are moments of GPDs

→ Anomalies relate GPDs

GPDs encode profound aspects of QCD such as chiral symmetry breaking, origin of mass.

Any implications for small-x physics?

Small-x behavior of  $g_1(x)$ ? ← talk by Andrey

Small-x behavior of twist-2 and twist-4 GPD.

Is there a **zero mode**?

YH, Zhao (2020), Radyushkin, Zhao (2021)

$$\int \frac{dz^-}{2\pi} e^{ixP^+z^-} \frac{\langle P_2 | F^{\mu\nu}(-z^-/2) W F_{\mu\nu}(z^-/2) | P_1 \rangle}{\bar{u}(P_2)u(P_1)} \sim \delta(x) ??$$