

Performance optimization for a scintillating glass electromagnetic calorimeter at EIC

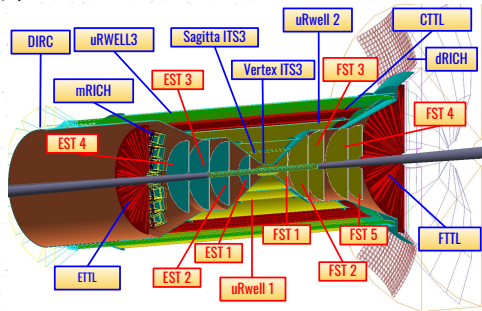
Dmitry Kalinkin for the ePIC collaboration

University of Kentucky

Examples detector optimization at EIC

ECCE Tracker Plane and Disk proportions

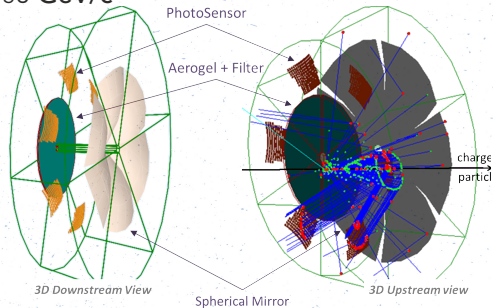
Objectives: KF efficiency, θ and $|\vec{p}|$ resolutions



Multi-Objective Optimization using NSGA-III (see [arXiv:2205.09185](https://arxiv.org/abs/2205.09185)) and Bayesian MOO (see [talk by Karthik Suresh from last year](#))

dual-radiator RICH

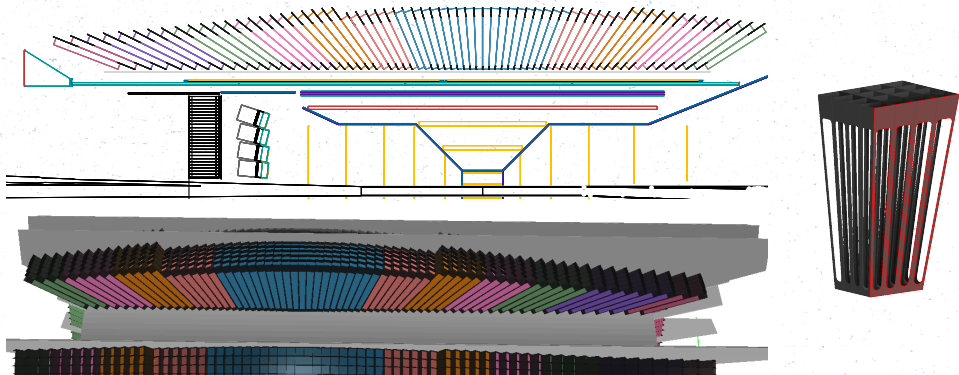
Objective: FOM over $N\sigma_{K\pi}$ at $p = 14$ and $60 \text{ GeV}/c$



Single-Objective Optimization using BO (see [2020 JINST 15 P05009](https://arxiv.org/abs/2002.09809))

SciGlass projective calorimeter

Tower dimensions and placement implemented based on mechanical design: pyramidal towers, angles tweaked by hand

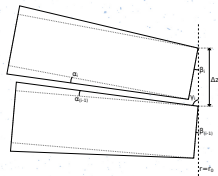
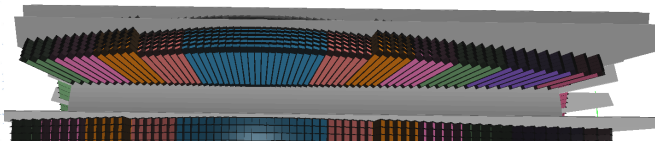




SciGlass lengths of 45.5 and 40 cm (≈ 16.3 and $14.3 X_0$)

– originally chosen for a calorimeter that fits inside the BaBar solenoid (Detector I)

Main purpose: measurement of e^\pm/γ energy, e^\pm/π^\pm and $(\pi^0 \rightarrow \gamma\gamma)/\gamma$ discrimination

Parametrization



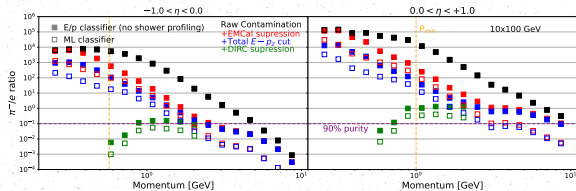
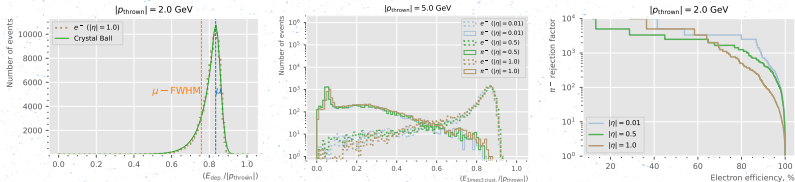
- » 7 shapes of cells – “families”
- » Families are stacked from $\eta = 0$ in $-\hat{z}$ and $+\hat{z}$ directions
 - 5 integer numbers (0-9) of towers for negative 
 - 7 integer numbers (0-9) of towers for positive 
- » Each shape is a “G4Trap”
 - azimuthal flaring and at-face flaring angles can be calculated to preserve fixed gaps
 - 7 floating point (0.0-2.0 degrees) longitudinal flaring angles determine η projectivity
- » Altogether 19 parameters considered
- » DD4hep allows detector configuration from “compact” XML files

Optimization objectives

» Single particle simulations: e^- , π^- ($p_T = 2$ GeV)

» Key metrics:

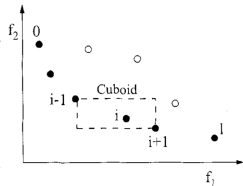
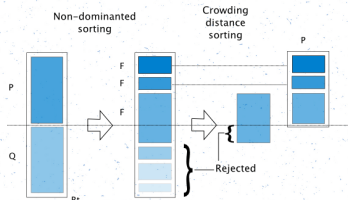
- Energy resolution
- Charged pion rejection factor
- Neutral pion to photon discrimination (not considered yet – relies on ML)



Multi-objective Optimization using Genetic Algorithms

- 1 Initialize population (100 samples \times 19 parameters \leftarrow RNG)
- 2 Evaluate objective functions $\{f_i\}$ for each specimen in the population
Pick them so that the minimized $f_i \leq 0$, then for invalid geometries use dominated $f_i \equiv N_{\text{overlaps}}$
- 3 Survival, Selection (specified by NSGA-II)
- 4 Crossover, Mutation (exchange and RNG re-init of individual parameters)
- 5 Repair (optional)
- 6 Goto 2

Easy to program with `pymoo`, works out of the box.



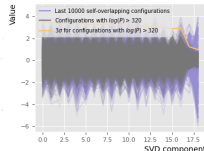
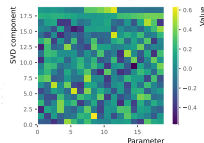
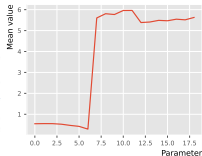
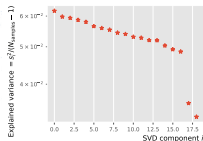
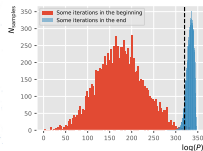
Constraints and dimensional reduction

- » **Problem:** objective evaluation $\mathcal{O}(\text{minutes})$, overlap evaluation $\mathcal{O}(\text{seconds})$.
Any way to precompute later for a given geometry?
- » **Solution:** explore and learn the manifold of valid parameter combinations
 - Could use GA for exploration, but **MCMC** has nice implementations of walkers (we want a "stretch" move)

$$\log(P) = \begin{cases} -\infty, & \text{if parameter set doesn't pass overlap check} \\ z_{\text{rightmost tower}} - z_{\text{leftmost tower}} / (1 \text{ cm}), & \text{otherwise} \end{cases}$$

Run default implementation from `emcee` with 10,000 walkers for ≈ 1000 iterations

- Almost linear constraints \Rightarrow **PCA** was used to obtain linear transformation and limits (set at 3σ).



2 dimensions effectively removed!

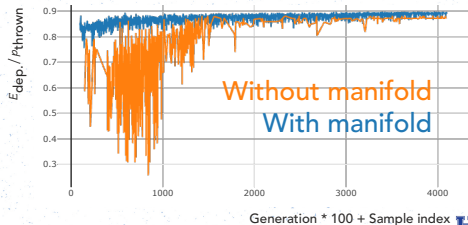
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Nice improvement demonstrated for NSGA!

Bayesian Optimization

Gaussian Process allows for probabilistic surrogate modeling

Expected Improvement (EI) acquisition function:

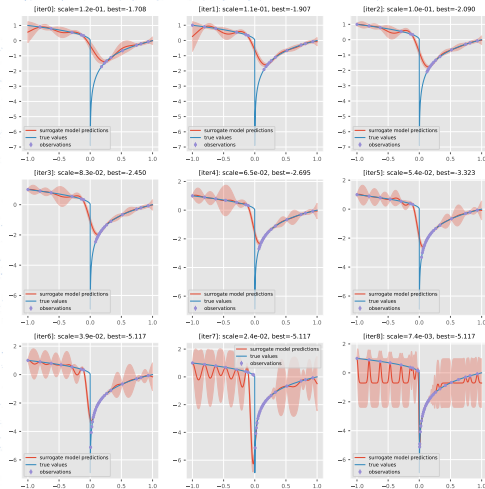
$$\mathbf{EI}(x) = \mathbb{E}[\max(f(x) - f_{\text{best known}}, 0)]$$

Illustration using a toy function:

$$f(x) = \begin{cases} \log(x), & \text{if } x > 0 \\ \sqrt{|x|}, & \text{if } x < 0 \end{cases}$$

Reporting fake dominated values may not be an option – could spoil the fit!

In the MOO case, Expected Improvement is commonly taken for a HyperVolume (qEHVI).



Cross Validation

Important step: cross validation for the surrogate model for a given problem



- » Number of overlaps was originally split into a separate "OutcomeConstraint"
Didn't work well – killed optimization progress.
- » Objective value of 0 with an uncertainty is reported for overlapping configurations
- » The GP hardcoded for SAASBOO in Ax gives a decent CV
- » qNEHVI is effective at picking improving points

Software stack

pymoo

↕ or ↕

GPyTorch



BoTorch



Ax



Dask.Distributed



dask-jobqueue

Awkward
Array

uproot



DD4hep

epic_arches geometry

mlflow



joblib.Memory

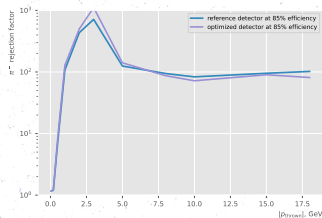
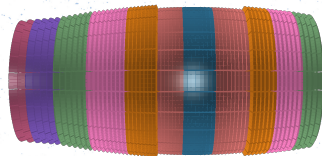
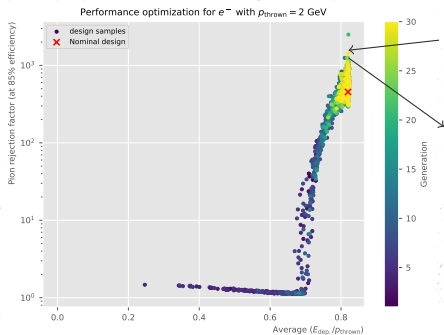


emcee

Some results (GA)

2-objective MOO (de-facto single objective)

NSGA-II (100 samples/generation)

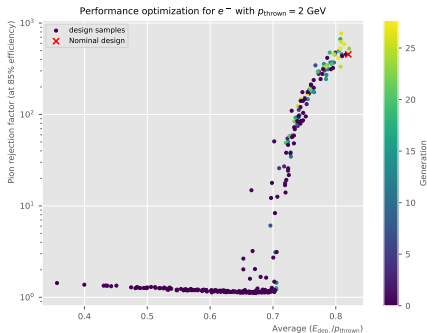


Analysis in full benchmark

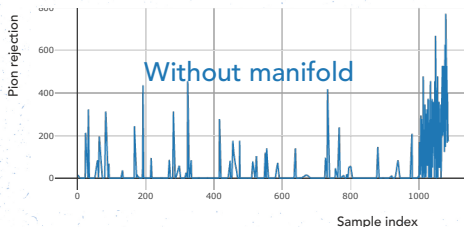
Some results (BO)

2-objective MOO (de-facto single objective)

SAASBO qNEHVI (3 samples/generation)



```
GenerationStrategy(  
  steps = [  
    GenerationStep(  
      model=Models.S080L,  
      num_trials=1000,  
      max_parallelism=1000,  
    ),  
    GenerationStep(  
      model=Models.FULLYBAYESIANM00,  
      num_trials=1000,  
      max_parallelism=3,  
      model_kwargs=dict(  
        num_samples=256,  
        warmup_steps=512,  
        gp_kernel="rbf",  
        torch_device=torch.device("cuda"),  
        torch_dtype=torch.double,  
        verbose=False,  
      ),  
    ),  
  ],  
)
```



Would be interesting to fit solutions from NSGA and see if those can be improved with BO.

Summary

- » Several working approaches specific to optimization of particle detectors have been demonstrated
- » Practical application of SciGlass (e.g. at EIC Detector II) can benefit from ML optimization
 - Going beyond pure geometrical optimization
 - Proper tooling to scale production and analysis of large optimization workflows
 - Are there any issues with objectives involving ML? (for PID)

Acknowledgements

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