

## A Delta Barrier In a Well And Its Generalization For Emission Studies



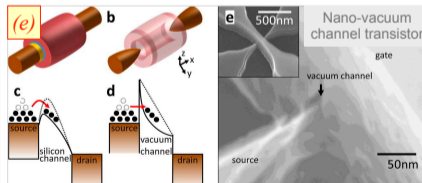
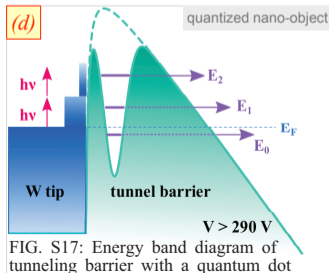
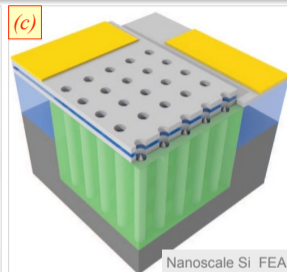
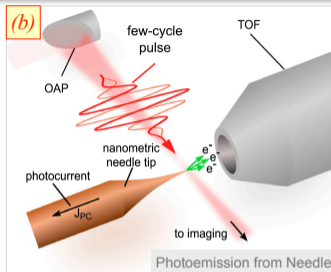
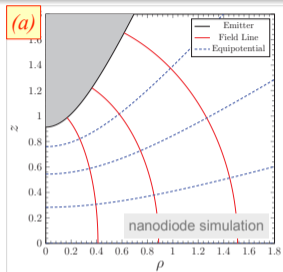
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Day 2 - 1:55-2:20 PM Wednesday Oct 4, 2023  
Photocathode Physics for Photoinjectors Workshop 2023  
Oct. 2-5, 2023 Charles B. Wang Center (Stony Brook University)

# Sections Outline

- 1 Introduction
  - Background
  - Delta Function Model
  - Modification to Delta Model
- 2 Eigenvalues
  - Delta Function Barrier
  - MIM Barrier
  - Eigenstates
- 3 Simulations
  - Time Evolution
  - Quantum Potential
  - Density in Split Well

# BACKGROUND



- (a) Jensen et al., JAP122(6), 064501 (2017)
- (b) Schötz et al., Nanophotonics (2021)
- (c) Rughoobur et al., Nanotechnology 31, 335203 (2020).
- (d) Duchet, et al., ACS photonics 8, 505 (2021)
- (e) Han et al., Nano letters 17(4), 2146-2151 (2017)

## NEED FOR $\delta$ -FUNCTION MODEL

Quantum Mechanics poses challenges to simulating ultra-fast and ultra-small emission conditions:

- $e^-$  tunneling into a nanogap  $\neq$  **instantaneous**
- $e^-$  have a wave-behavior  $\lambda = 2\pi\hbar/mv = 2\pi/k$
- **non-trivial eigenstates** for general barriers

$\delta$ -function barrier: agile model for charge transport

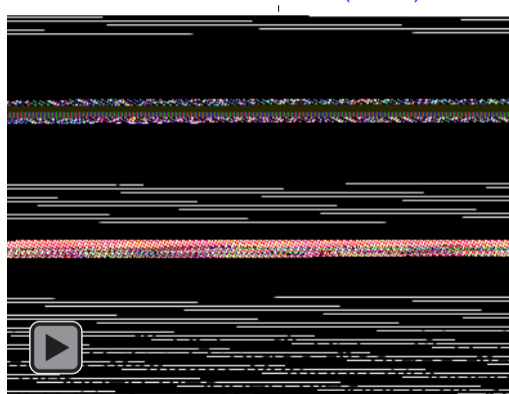
- **Single parameter model** ( $\gamma$  = strength parameter)

$$V_\delta(x) = \frac{\hbar^2}{2m} \gamma \delta(x) \quad (1)$$

- **Simple analytic transmission probability**

$$t(k) = \frac{2k}{2k + i\gamma}; \quad D(k) = |t(k)|^2 \quad (2)$$

Time-dependent Gaussian wave packet  
incident on  $\delta$ -barrier (center)



Has application to specific problems of technological interest: **Consider four examples**

APPLICATIONS OF  $\delta$ -FUNCTION MODEL

- 1 Modeling **photoemission** from e.g., GaAs, includes high and thin barrier at surface attributed to a submonolayer coating of cesium
- 2 Time evolution of Schrödinger equation with  $\delta$ -function barrier: slow down of **wave packet motion** measured by transmission and reflection delay (**TARD**) **time** (paradoxical for zero-thickness barrier)
- 3  $I(V)$  characteristics of **normal-superconducting (NS) point contacts**: transition from metallic to superconducting governed by  $\gamma$ ; able to describe crossover from metallic to small-area tunnel-junction behavior, address current and charge imbalance processes to describe quasiparticle scattering at normal-superconducting (NS) interface. For normal state, transmission probability

$$D(k_F) = \frac{1}{1 + Z^2} \quad Z \equiv \frac{\gamma}{2k_F} \quad (3)$$

$\hbar k_F$ : Fermi momentum;  $\delta$ -function barrier = **elastic scattering at NS interface**; accounts for NS microconstriction (point contact)

- 4 Interest in conduction, transport, and/or **thermal-field emission** when barriers or wells have a **time dependent behavior**: using steady state emission equations may be insufficient. Physics of tractable models give means to examine methods argued to be appropriate for more complex configurations.

MODIFICATION OF  $\delta$ -FUNCTION MODEL: PLACE IN WELL

Tunneling and reflection delay (TARD) model: trajectory interpretation akin to Bohm trajectories

Analytical model of Well + Barrier

$$V(x) = \frac{\hbar^2}{2m} \left[ k_w^2 \Theta(x^2 - L^2) + \gamma \delta_l(x) \right] \quad (4)$$

where  $k_w \rightarrow \infty$ ,  $\Theta(s)$  is Heaviside step function,  
and  $\delta_l(x) \rightarrow \delta$ -function in limit barrier width  $l \rightarrow 0$ .

Wave function  $\Psi(x, t) = R e^{iS}$

where  $R(x, t)^2 \equiv \rho(x, t) =$  density from linear  
superposition of  $\psi_n(x, t)$

Quantum Potential  $\Omega(x)$

$$\Omega(x, t) \equiv -\frac{\hbar^2}{2mR(x, t)} \frac{d^2}{dx^2} R(x, t) \quad (5)$$

- Width  $L$  of enclosing well modest to visualize differences due to  $\delta_l(x)$
- Impact on time evolution examined & compared to  $\delta$ -function limit
- $\Psi(x, t) = \sum C_n \psi_n(x, t)$ : exact time evolution possible for eigenstates
- Finding  $\psi_n(x, t), t(k)$  requires exact  $k_n$  for  $\pm$  parity eigenstates

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## PARAMETERIZATION

- $\hbar^2 k_o^2 / 2m$ : height of barrier in well

$$V(x) \equiv \frac{\hbar^2 \gamma}{2m} \delta(x) \quad (6)$$

- Gamow term  $\theta(k)$  for  $E = \hbar^2 k^2 / 2m$ :

$$\theta(k) \equiv 2l \kappa(k) \equiv 2l \sqrt{k_o^2 - k^2} \quad (7)$$

Gamow factor: if  $\theta \approx \text{constant}$  as  $\varepsilon \rightarrow 0$ ,  
then  $\delta$ -function sequence demands

$$k_o^2 = \frac{\gamma L}{\varepsilon^2} \quad (8)$$

Three regions are identified by

- Region 1:  $-L/2 \leq x \leq -l/2$
- Region 3:  $l/2 \leq x \leq L/2$   
 $\psi_j(x) = A_j e^{ik_n x} + B_j e^{-ik_n x}$
- Region 2:  $|x| \leq l/2$ :  $\psi_2(x) = A_2 e^{\kappa x} + B_2 e^{-\kappa x}$
- Connection between  $(A_j, B_j)$  determined by standard **Transfer Matrix Approach** methods

## Matrix methods

$$\begin{aligned} \mathbb{P}(-l/2)|\xi_1\rangle &= \mathbb{T}(-l/2)|\xi_2\rangle \\ \mathbb{T}(l/2)|\xi_2\rangle &= \mathbb{P}(l/2)|\xi_3\rangle \end{aligned} \quad (9)$$

$$\mathbb{P}(x) = \begin{bmatrix} e^{ik_n x} & e^{-ik_n x} \\ ike^{ik_n x} & -ike^{-ik_n x} \end{bmatrix} \quad \mathbb{T}(x) = \begin{bmatrix} e^{\kappa x} & e^{-\kappa x} \\ \kappa e^{i\kappa x} & -\kappa e^{-i\kappa x} \end{bmatrix} \quad |\xi_j\rangle = \begin{bmatrix} A_j \\ B_j \end{bmatrix} \quad (10)$$



## MATRIX SOLUTION I

$k_n$  determined by boundaries ( $C_o$  chosen, and  $C'_o$  is dependent)

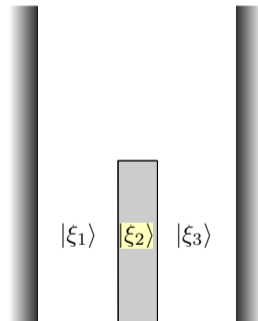
$$\begin{aligned} A_1 e^{-ik_n L/2} + B_1 e^{ik_n L/2} = 0 &\implies A_1 = C_o e^{ik_n L/2}; B_1 = -C_o e^{-ik_n L/2} \\ A_3 e^{ik_n L/2} + B_3 e^{-ik_n L/2} = 0 &\implies A_3 = C'_o e^{-ik_n L/2}; B_3 = -C'_o e^{ik_n L/2} \end{aligned} \quad (11)$$

Other Eigenstates:  $\alpha = k_n(L - l)/2$

$$|\xi_2\rangle = \frac{iC_o}{\kappa} \begin{bmatrix} (k_n \cos \alpha + \kappa \sin \alpha) e^{kl/2} \\ (-k_n \cos \alpha + \kappa \sin \alpha) e^{-kl/2} \end{bmatrix} \quad (12)$$

$$|\xi_3\rangle = \begin{bmatrix} e^{-ik_n l} R_k & -iS_k \\ iS_k & e^{ik_n l} R_k^\dagger \end{bmatrix} |\xi_1\rangle \quad (13)$$

$$R_k = \cosh(\kappa l) - i \frac{\kappa^2 - k_n^2}{2\kappa k_n} \sinh(\kappa l); \quad S_k = \frac{k_o^2}{2\kappa k_n} \sinh(\kappa l)$$



Two equations result: one for  $C'_o$  and another for  $k_n$

## MATRIX SOLUTION II

General Solution for finite-width center barrier, where  $\kappa^2 = k_o^2 - k_n^2$

### Equation for $C'_o$

$$S_k = k_o^2 \sinh(\kappa l) / (2\kappa k_n)$$

$$\frac{C'_o}{C_o} = iS_k + e^{ik_n(L-l)} \quad (14)$$

### Equation for $k_n$

(must solve numerically)

$$\tanh(k_n l) = \frac{2\kappa k_n \sin[k_n(L-l)]}{(\kappa^2 - k_n^2) \cos[k_n(L-l)] - k_o^2} \quad (15)$$

In the limit of vanishing barrier width, a delta-sequence becomes a delta function

- $\lim_{l \rightarrow 0} \delta_l(x) \rightarrow \delta(x)$
- $\tanh(\kappa l) \rightarrow \kappa l$  as  $l \rightarrow 0$
- with  $k_o^2 = \gamma/l$ , then Eq. (15) becomes

$$2k_n \sin(k_n L) - \gamma[1 - \cos(k_n L)] \rightarrow 0 \quad (16)$$

Eq. (16) satisfied for  $k_n L = 2\pi n$  ( $\gamma \rightarrow \infty$ ) or  $k_n L = \pi n$  ( $\gamma \rightarrow 0$ ), as expected for simple well with infinite walls

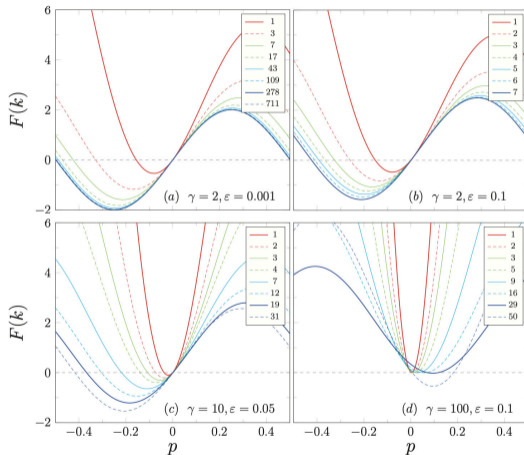
## RECTANGULAR (MIM) BARRIER

General  $l > 0$  case:  $k_n$  found numerically  
Rewrite Eq. (15) as

$$iC_o \cosh[\kappa(k)l]\mathcal{F}(k) = 0 \quad (17)$$

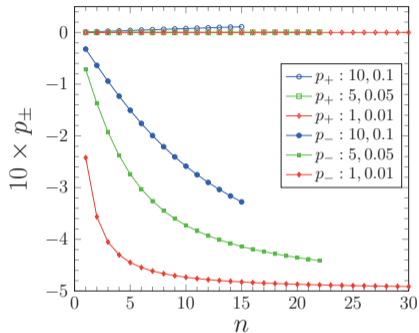
$$\begin{aligned} \mathcal{F}(k) \equiv & 2 \sin[k(L - l)] \\ & + \frac{2k}{\kappa(k)} \cos[k(L - l)] \\ & + \frac{k_o^2}{k\kappa(k)} \{1 - \cos[k(L - l)]\} \end{aligned} \quad (18)$$

- Eigen-energies such that  $\mathcal{F}(k_n) = 0$ : Let  $k_n = 2\pi(n + p)/L$ ,  $n$  integer,  $|p| \leq 1/2$
- $\mathcal{F}(k) \rightarrow$  sequence of curves for integer  $n$  as a function of  $p$   
as  $\varepsilon \rightarrow 0$ , all  $p \rightarrow (0, -1/2)$

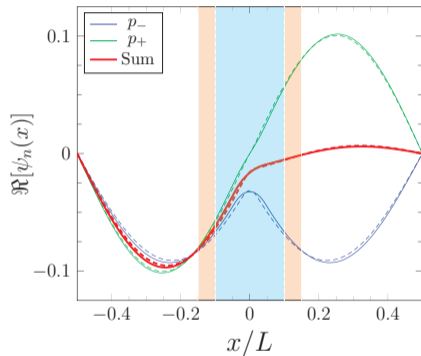


$\mathcal{F}(k)$  for  $k \equiv 2\pi(n + p)/L$ . Lines labeled by  $n$

# GENERAL WAVE FUNCTIONS



- $\mathcal{F}(k_n < k_o) = 0$  as function of  $n$
- open symbols are larger root ( $p_+ \approx 0$ )  
closed are smaller root ( $p_- \lesssim -0.5$ )
- Legend: ( $p_{\pm}; \gamma, \epsilon$ )

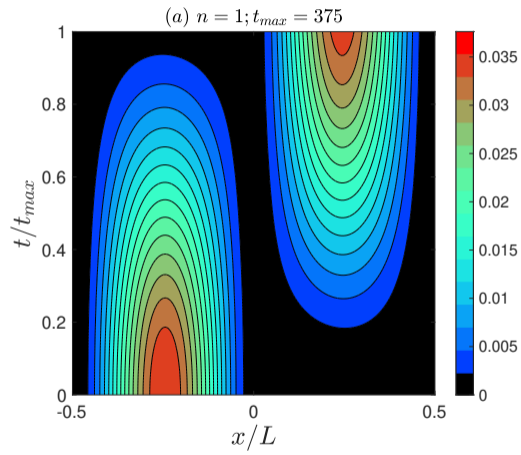


- $\psi_k(x)$  for  $\gamma = 3$  and  $n = 1$
- Shaded region is tunneling barrier  
Solid / orange:  $\epsilon = 0.3$ ; Dashed / blue:  $\epsilon = 0.2$
- $p_+$  = Antisymmetric;  $p_-$  = Symmetric

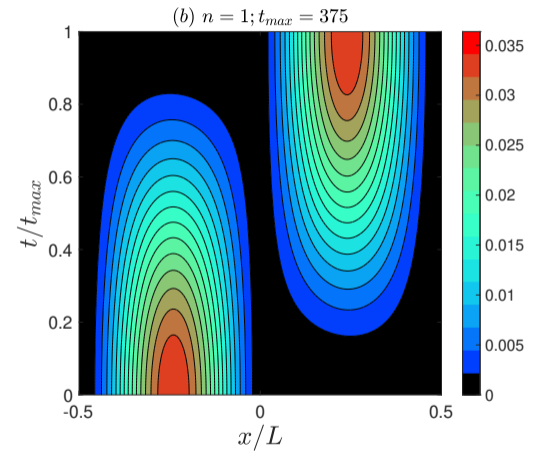
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# EXACT EVOLUTION OF EIGENSTATES

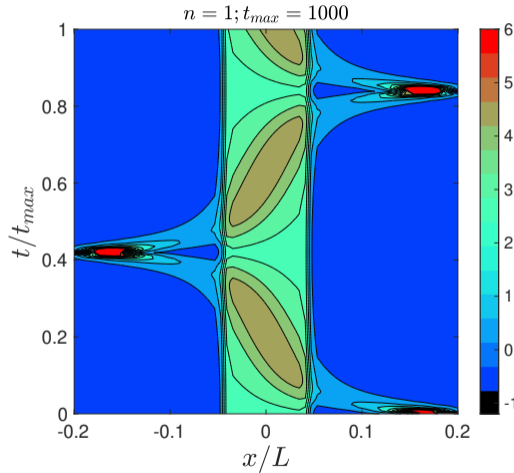


Time evolution of probability density using solid curve  $\psi_n(k)$ :  $\varepsilon = 0.3$

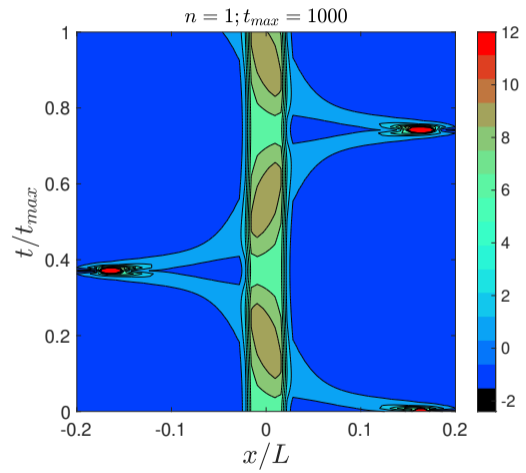


Time evolution of probability density using dashed curve  $\psi_n(k)$ :  $\varepsilon = 0.2$

# COMPARISON TO DELAY TIMES



Quantum potential  $\Omega(x, t)$  for  $\varepsilon = 0.3$

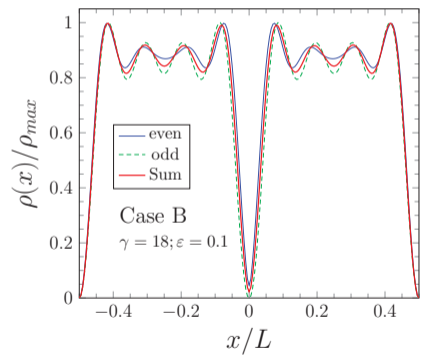
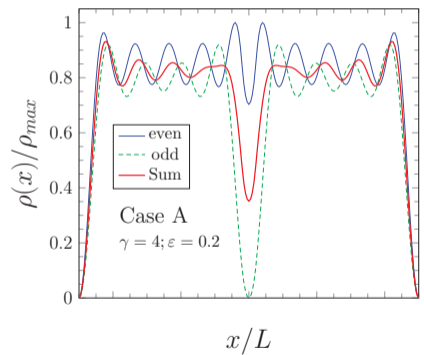


Quantum potential  $\Omega(x, t)$  for  $\varepsilon = 0.2$

# DENSITY PROFILES

- Case A Low, wide  $V(x)$ ,  $\rho$  coupled through barrier region
- Case B High, narrow  $V(x)$ ,  $\rho$  looks distinct, but is coupled
- legend Even/odd = parity, sum = superposition of  $\psi_n^\pm(x)$

$$\rho(x) = \sum_{j=1}^{n_f} f(k_j) |\psi_j(x)|^2 \quad (19)$$



Superposition of  $n_f = 6$  lowest  $\psi_n(x)$  with metal-like weighting  $f(k_n) \propto n_f^2 - n^2$



## CONCLUDING REMARKS

### Findings

- 1 Wave functions for rectangular barrier in confining well examined and solved exactly
- 2 Limit of vanishing barrier width, eigen-momenta  $k_n$  for  $\pm$  parity  $\psi_n(k)$  converge in  $\gamma \rightarrow \infty$  limit
- 3 Change of tunneling time related to singularities in time-evolution of quantum potential  $\Omega(x, t)$
- 4  $\rho(x)$  with metal-like weighting for shorter, wider barrier and taller, thinner barrier:  
as  $\varepsilon \rightarrow 0, \gamma \rightarrow \infty$ , then  $\rho(x) \rightarrow$  two adjacent wells, but  $\psi_n^\pm(x)$  span both wells, are **coupled**:  $\Omega(x)$  for each case is finite near origin and allows transfer of energetic Bohmian trajectories.

### Future Work

Model / Methodology suitable for

- Develop extension of Z-parameter characterization of NS interfaces proposed by Blonder, *et al.*
- Provide analytic framework for examining time dependent potential barrier on evolution of electron density (superposition of wave functions) in quantum wells and **electron emission** sources