

Alexander Bazilevsky (BNL)

L3/CAM EMCal

EIC Detector Comprehensive Design Review

August 29-30, 2023

Electron-Ion Collider







EMCal for an EIC Detector

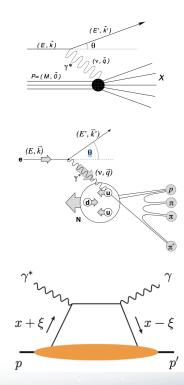
Electron/photon PID, energy, angle/position:

Coverage (in rapidity and energy), resolution, e/π , granularity

Inclusive DIS: scattered electron

Semi-Inclusive DIS: $\pi 0 \rightarrow \gamma \gamma$, HF \rightarrow e

Exclusive DIS: DVCS photons, $J/\psi \rightarrow ee$ etc.



Detector Requirements: Summary

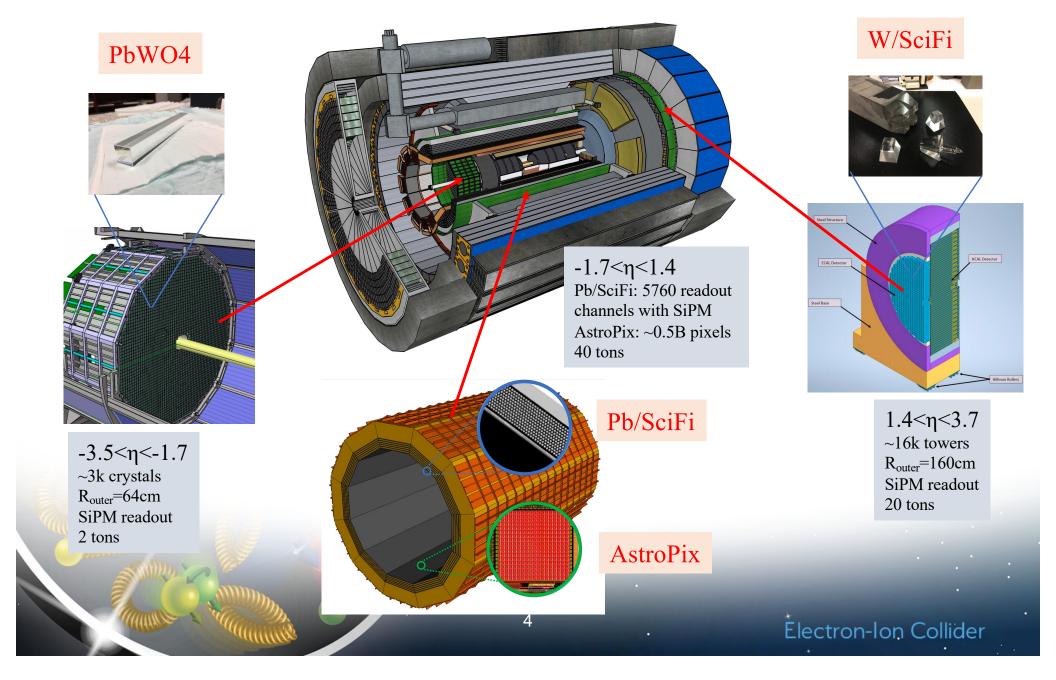
As documented in YR and

"General, Functional, and Performance Requirements for the EIC Detector Systems"

	Electron endcap	Barrel	Hadron endcap
Energy Resolution	$\frac{(2-3)\%}{\sqrt{E}} \oplus 1\%$	$\frac{(10-12)\%}{\sqrt{E}} \oplus 2\%$	$\frac{(10-12)\%}{\sqrt{E}}\oplus 2\%$
Shower Energy range	0.1–18 GeV	0.1-50 GeV	0.1-100 GeV
π ± suppression (helped by other subsystems)		Up to 10 ⁴	
π^0/γ discrimination	Up to 18 GeV/c	Up to 10 GeV/c	Up to 50 GeV/c
Rad dose (includes background) at 10 ³⁴ sm ⁻² sec ⁻¹	<3 krad/year	<0.1 krad/year	<4 krad/year
Max hit rate per tower (includes background)	10 kHz	5 kHz	50 kHz
Neutron flux, at 10^{34} sm ⁻² sec ⁻¹	10 ¹⁰ /cm²/year	10 ¹⁰ /cm²/year	10 ¹¹ /cm²/year
Limited space		Compact (small X ₀)	
Material on the way	Minimized		

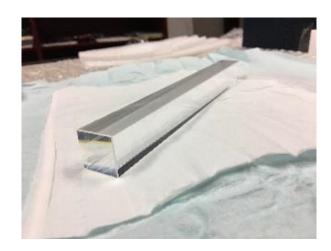
- Continuous acceptance (particularly from e-endcap to barrel)
- Photosensors and FEE tolerate magnetic field

EM Calorimetry in EIC Detector



e-endcap: PbWO₄

- ➤ High resolution
- \triangleright High e/ π separation for eID



Well established technology

Compact & High granularity: $2 \times 2 \times 20$ cm³

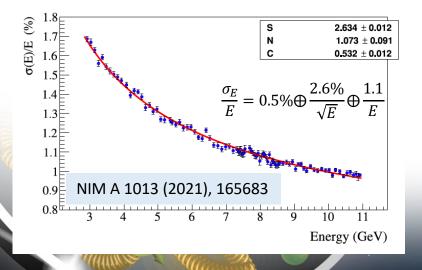
High resolution:
$$\frac{\sigma_E}{E} = (0.4 - 1)\% \oplus \frac{(2-3)\%}{\sqrt{E}}$$

Excellent e/π capabilities: π suppression up to a few 10^3

Radiation hard: >1000 krad

Temperature sensitive: $d(LY)/dT = -(2-3)\%/^{\circ}C$

Jlab-PrimEx eta/NPS PWO EMCal prototype

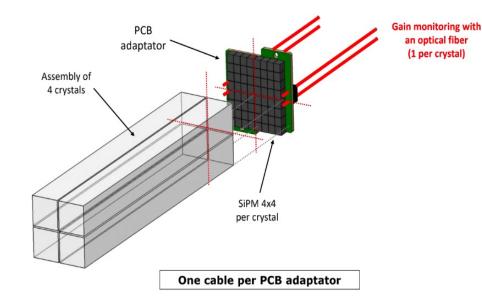


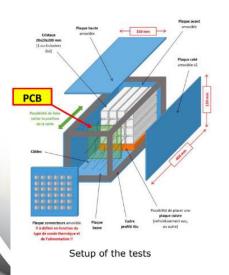
- Consortium with >10 institutions
- Synergy with other projects: NPS and FCAL (JLab) Expertise, Resources, Prototypes, etc
- Extensive experience from recent PANDA (GSI) and CMS (CERN)
- ➤ In contact with Vendor (CRYTUR/Czech Republic)
- > Ongoing R&D to finalize readout
- ➤ NSF MSRI proposal submitted: May 4, 2023

e-endcap: Readout and Cooling



16 3x3mm² or 4 6x6mm² SiPM per crystal











11 temperature sensors:

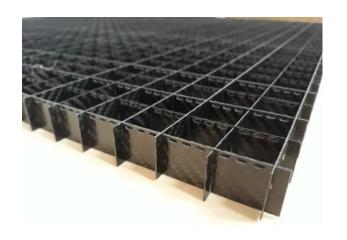
- 6 on crystals
- 3 inside the box (ambiant)
- 2 outside the box (ambiant)

Thermal Design and Test

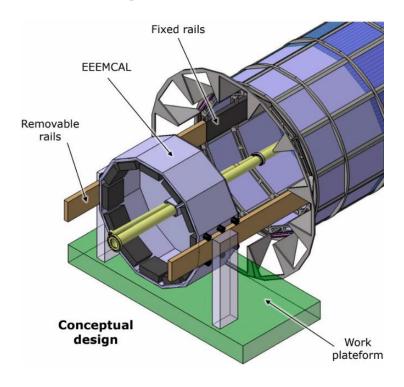
Operation at room temperature
Simulations to quantify the effect
of ambient temperature fluctuation
on the crystal temperature

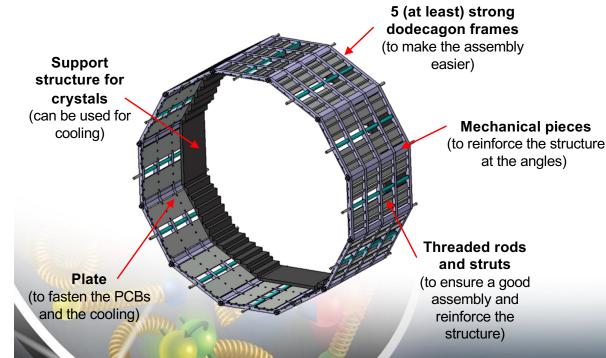
Measurements on a prototype

e-endcap: Mechanics and Integration



0.5-mm-thick C-fiber between crystals along 2 cm in the front&back; 0.5 mm of air elsewhere

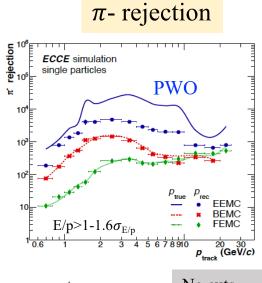




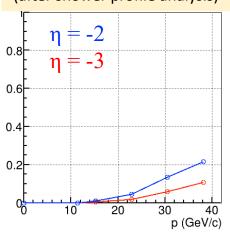
Advanced Preliminary Design

e-endcap: Performance at EIC

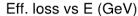
Full GEANT simulation with full detector implementation

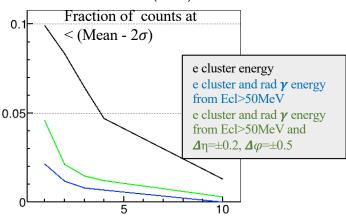


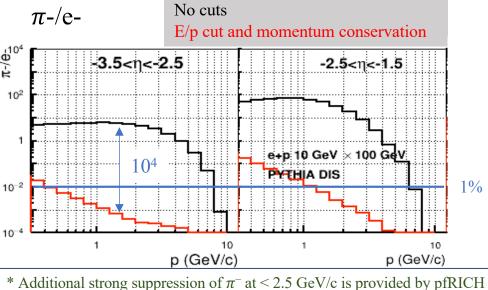
$\pi 0$ merging prob (after shower profile analysis)



Material effect







- > <<1% π contamination is expected for the DIS electron reconstruction
- \sim 100% eff to discriminate π ⁰→ $\gamma\gamma$ over single γ , up to 20 GeV/c
- Material effect within requirements

Fully satisfies the EIC Detector Requirements

e-endcap: PbWO4 for LLP

		NDC
Parameter	Unit	NPS
Light Viold (LV) of DT	6	Required
Light Yield (LY) at RT	pe/MeV	≥15
(90% within 100 ns gate at RT, for all		
sides polished crystals)		
LY uniformity between blocks	%	10%
LY(100ns)/LY(1μs)	%	>95
Longitudinal Transmission		
at λ=360 nm	%	≥35
at λ=420 nm	%	≥60
at λ=620 nm	%	≥70
Transverse Transmission and LY	%	10
uniformity along crystal		
Inhomogeneity of Transverse	nm	≤5
Transmission Δλ at T=50%		
Induced radiation absorption		
coefficient Δk at λ =420 nm and RT, for	m ⁻¹	<1.1
integral dose >100 Gy		
Mean value of dk	m ⁻¹	≤0.75
Tolerance in Length	μm	≤±100 -≤±50
Tolerance in sides	μm	
Surface polished, roughness Ra	μm	≤0.02
Tolerance in Rectangularity (90°)	degree	≤0.1
Purity specific. (raw material)	-	
Mo contamination	ppm	<10
La, Y, Nb, Lu contamination	ppm	≤40

Based on EIC R&D results and experience from recently built/designed PbWO4-Crystal EMCals:

- NPS-Jlab
- CMS-CERN
- PANDA-GSI (to be built)

Well established QA protocol

Schedule:

Specs: Finalized

Final Design Review: July 21, 2023 Contact award: Summer 2024

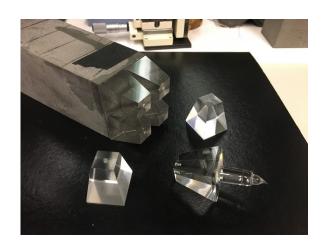
Material Delivery: Summer 2024 – Summer 2028

Final Design Review:

Fully acknowledged the PbWO4 readiness for the LLP

h-endcap: W/SciFi

- Good resolution
- \triangleright High granularity for $\pi 0$
- ➤ e/h~1 for jets



Pioneered by UCLA sPHENIX EMCal: 25k towers

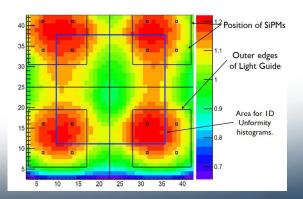
- \triangleright Compact: $X_0 = 0.7$ cm
- ightharpoonup High granularity: $R_m = 2cm$
- ➤ Sampling fraction: ~2.3%
- ➤ Good resolution

> ~10 institutions (USA, China)

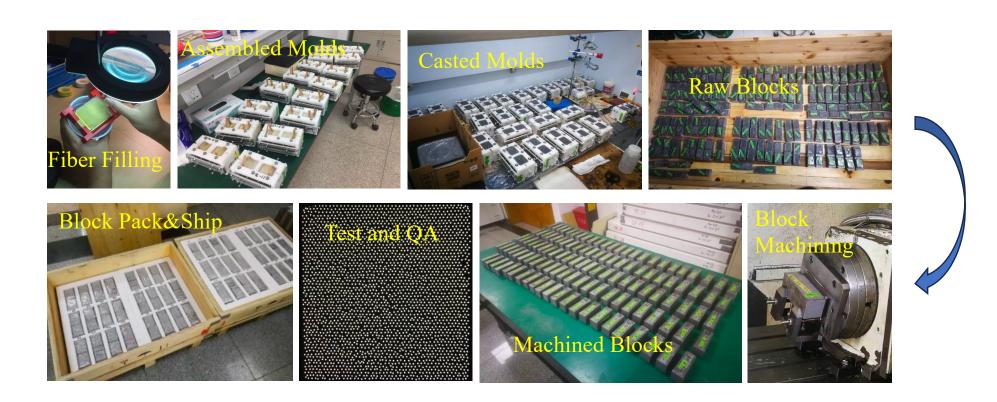
- Extensive expertise and capabilities in executing large scale projects (RHIC, JLab, CERN, Super KEKB)
- ➤ Participated in building sPHENIX EMCal
- ➤ ~10 years of EIC R&D

R&D:

- ➤ SiPM readout
- > Improve light collection eff. and uniformity



h-endcap: Production Chain

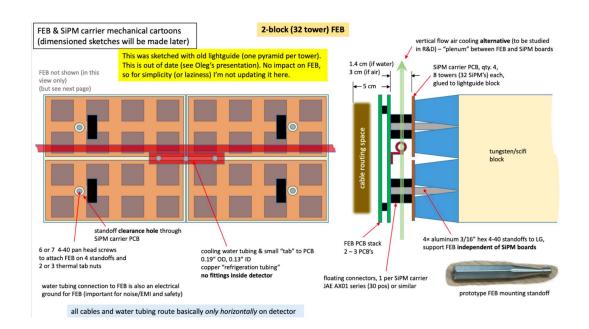


Production chain is fully established

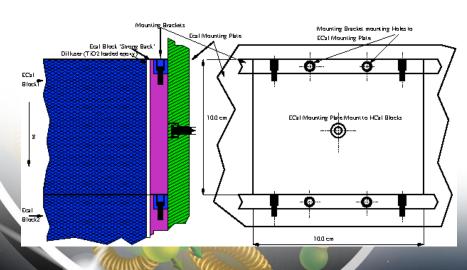
Production factory is setup and ready to go at Fudan U. (China)

Plan to setup another factory in US

h-endcap: Mechanical Design



Readout, Cooling
4 6x6mm² SiPM per tower



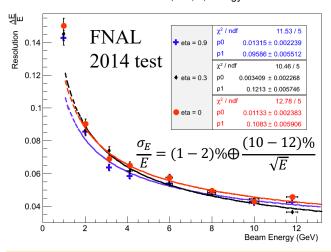
Block installation Design and Structural test



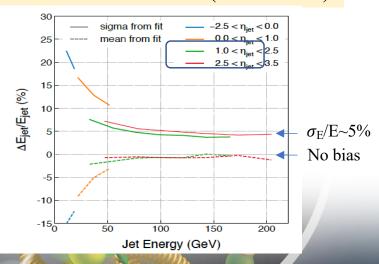
h-endcap: Performance at EIC

Energy Resolution

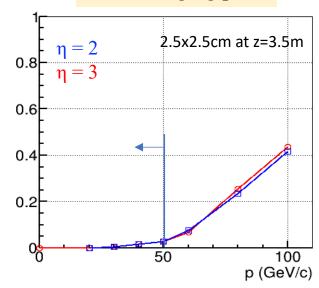
EIC BEMC at eta=0.9, 0.3, 0, Energy Resolution



Jet resolution and bias (ECal+HCal)



$\pi 0/\gamma$: merging prob



- Good energy resolution
- \triangleright Excellent $\pi 0/\gamma$ discrimination capabilities
- Provides high resolution and minimally biased jet measurements (in duet with HCal)

Fully satisfies the EIC Detector Requirements

h-endcap: SciFi for LLP

- 1. Single clad fibers, round cross section
- 2. diameter 0.47 mm
- 3. diameter variation ±2%
- 4. cladding thickness 3% of diameter
- 5. attenuation length for blue light >3 m
- 6. peak emission 450 nm
- 7. light yield > 7000 ph/MeV
- 8. scintillation decay time < 3ns
- 9. delivered in canes ~ 1 m long, or spools, or both
- 10. total length 3000 km

Based on sPHENIX experience and ongoing fiber characterization

Well established QA protocol

Schedule:

Specs: Finalized

Final Design Review: September 13, 2023

Contact award: Summer 2024

Material Delivery: Summer 2024 – Summer 2028

Ready for the LLP in September 2023

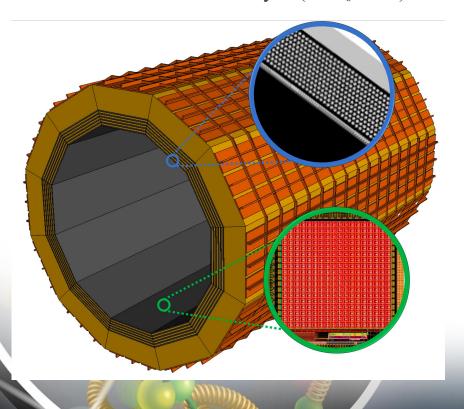
Barrel: Pb/SciFi + Imaging

- Good resolution
- \rightarrow High e/ π separation for eID

Selected after rigorous review by ePIC in Mar-Apr 2023

Hybrid Concept:

6 imaging Si layers (4 layers in baseline), Interleaved with 5 Pb/SciFi layers, followed by a thick Pb/SciFi layer (17X₀ total)



Imaging:

Monolithic silicon sensor AstroPix (NASA's AMEGO-X mission)

Pb/SciFi:

Scintillating fibers embedded in Pb (Similar to GlueX barrel EMCal)

- > ~20 institutions (US, Korea, Canada, Germany)
- Extensive expertise and capabilities in calorimetry, Si sensors, large detector systems
- Broad experience with large projects at RHIC, Jlab, CERN
- Generous in-kind contributions anticipated

Barrel: Pb/SciFi

Pb/SciFi part follows GlueX barrel EMCal

2-side SiPM readout

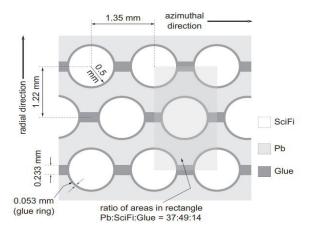
Mature technology (GlueX, KLOE)

Module construction fully developed

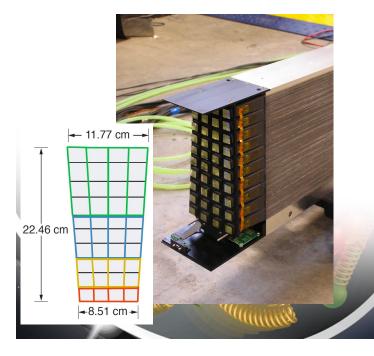
GlueX module construction equipment available

Calorimeter performance well studied

Assembly and installation re-using sPHENIX equipment







GlueX small prototype $(15.5X_0, 70 \text{ cm long})$

Tests with beam at Jlab (FY23) and FNAL (FY24), and cosmic Study response to electrons/pions/muons to benchmark simulation Finalize readout

Barrel: Imaging

Based on AstroPix sensors

Developed for AMEGO-X (NASA)

CMOS sensor based on ATLASpix3

4 layers (of 6) in a baseline design

Pixel size Power usage $\begin{array}{|c|c|c|c|c|}\hline 500 \, \mu m \times 500 \, \mu m \\ < 1 \, \text{mW/cm}^2 \end{array}$

Energy resolution

10% @ 60 keV (based on the noise floor of 5 keV)

Dynamic range

 $\sim 700~\rm keV$

Passive material

<5% on the active area of Si

Time resolution Si Thickness 25 ns $500 \,\mu m$

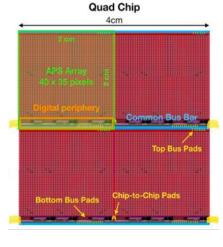
Ongoing R&D in FY23/24 (FNAL)

Multilayer chip test

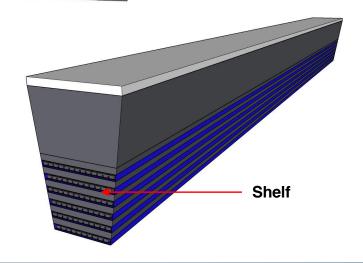
Irradiation test

Response to e and π with AstroPix prototype integrated with Pb/SciFi (GlueX prototype)

Tray - a carbon fiber structure the staves will be mounted on. It will be slid into a shelf.

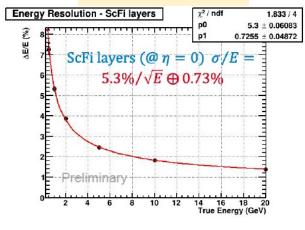


AstroPix **Stave**Consists of 1 x 100 chips with the support structure

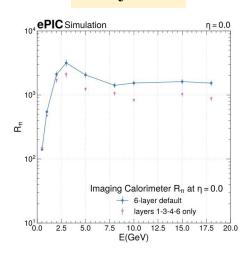


Barrel: Performance at EIC

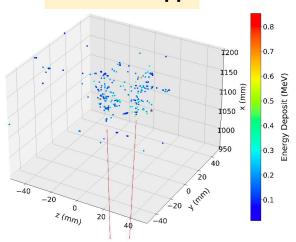
Energy Resolution



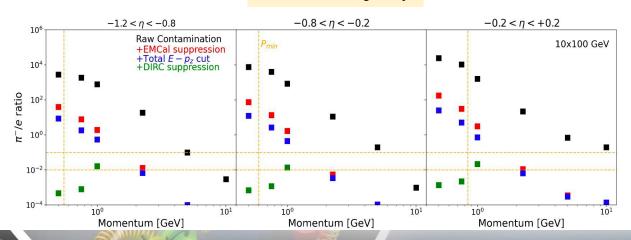
π - rejection



15 GeV $\pi 0 \rightarrow \gamma \gamma$



DIS electron purity



- Very good energy resolution
- \triangleright Strong $\pi \pm$ rejection for eID
- ➤ DIS electron purity of ~99% or better achieved
- $ightharpoonup \pi 0/\gamma$ far beyond the required 10 GeV Fully satisfies the EIC Detector Requirements

Barrel: SciFi for LLP

- 1. Double or Single clad fibers, round cross section
- 2. diameter 1.0 mm
- 3. diameter tolerance shall be less than 2%, so in this case to better than 20 um.
- 4. Single clad: cladding thickness ~2% of diameter, Double-clad: cladding thickness ~4% of diameter
- 5. attenuation length for blue light >3.5 m
- 6. emission spectrum of blue-green light
- 7. light yield > 7000-8000 ph/MeV
- 8. scintillation decay time < 3ns
- 9. total length 4500 km
- 10. delivered in spools

Based on GlueX experience and ongoing R&D and fiber characterization

Well established QA protocol

Schedule:

Specs: ~Finalized

Final Design Review: September 13, 2023

Contact award: Summer 2024

Material Delivery: Summer 2024 – Summer 2028

Ready for the LLP in September 2023

SiPM for (LL)Procurement

Defined by Light Yield and dynamic range:

N_{pe}^{max}	~0.2
$\overline{N_{pixel}^{max}}$	~0.2

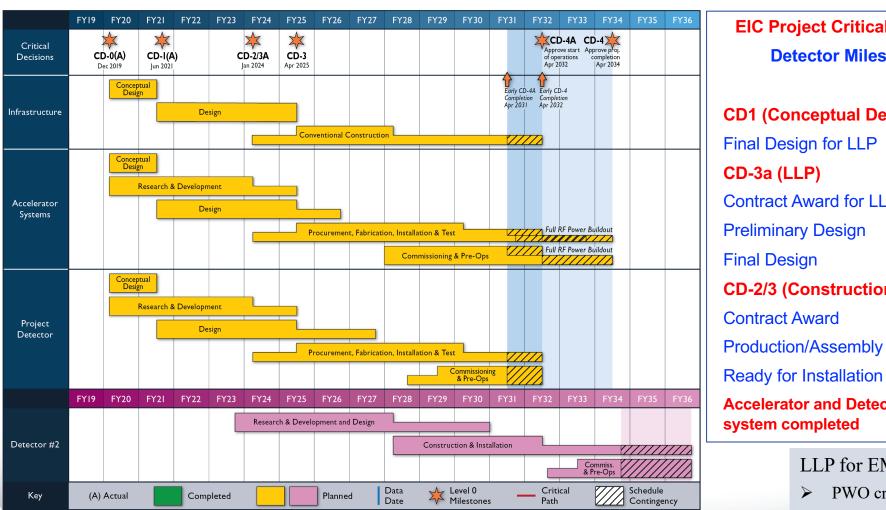
	Backward	Barrel	Forward
Light Yield per GeV	10k	1k	1k
E _{max} per RO channel, GeV	15	10	100
N _{pe} max	150k	10k	100k
SiPM size (4 per RO channel)	6x6 mm ²	6x6 mm ²	6x6 mm ²
SiPM pixel size	15 um	50 um	15 um
SiPM: N pixel max	640k	57k	640k

Final Design Review: September 14, 2023

Then we are ready for Procurement

Schedule

Detector subsystem schedule matches EIC Project Critical Decision path



EIC Project Critical Decision and Detector Milestone Path

CD1 (Conceptual Design) Jun 2021

Fall 2023

Jan 2024

Contract Award for LLP Summer 2024

Spring 2024

Fall 2024

CD-2/3 (Construction) **Apr 2025**

Winter 2026

2030

Accelerator and Detector integrated Apr 2031

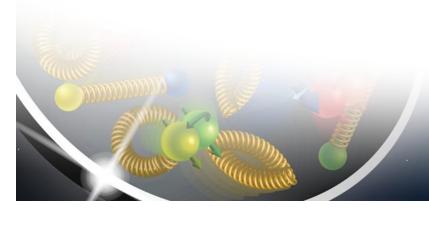
LLP for EMCal:

- PWO crystal
- Sc. Fibers
- SiPMs

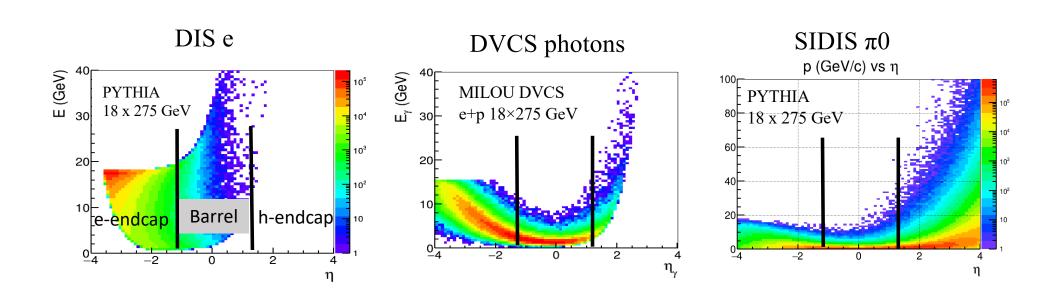
Summary

- ➤ Physics requirements are well defined and documented in the YR and "General, Functional, and Performance Requirements for the EIC Detector Systems"
- The selected EMCal technologies satisfy or exceed the requirements
 - e-endcap: PbWO4 crystal, well established technology
 - Barrel: Pb/SciFi + Imaging (AstroPix), both are well established technologies
 - h-endcap: W/SciFi, well established technology
- ➤ Participating groups with extensive expertise and capabilities for selected calorimetry technologies
- > Steadily approaching Preliminary Design level
 - Design is mature enough to launch Long Lead Procurement (LLP)
 - LLP item specs are well defined (to be finalized before Oct 2023)
- Fabrication/assembly plans well built in the overall EIC project and Detector schedule

Backup



Coverage, Energy Range



Continuous acceptance coverage: at least $|\eta| < 3.5$

Avoid gap, particularly in e-endcap/barrel transition

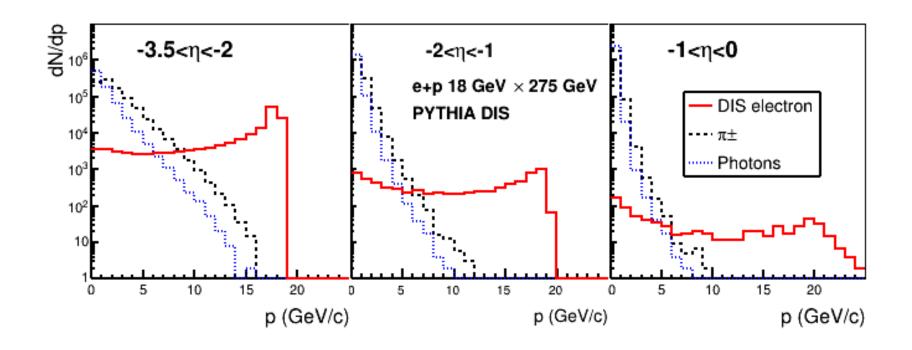
Energy range

e-endcap: up to electron beam energy (up to 18 GeV)

Barrel: up to ~ 50 GeV for DIS e, and ~ 10 GeV for γ and $\pi 0$

h-endcap: up to ~100 GeV

DIS kinematics: ePID



Charged hadron high suppression power is required

Particularly at low momenta (up to 10⁴, in combination with other subsystems)

Effect of material on the way

- Material on the way to EMCal is inevitable
 Other detectors, cables, pipes, frames, etc
- ➤ It degrades the performance of the high resolution EMCal

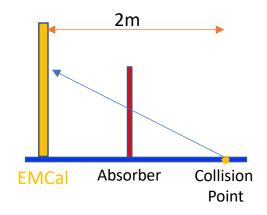
Photon conversion

Bremsstrahlung radiation by electron

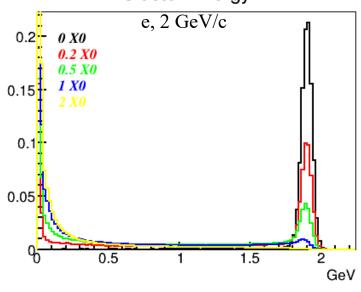
Early shower

- Energy gets absorbed in the material
- Energy gets distributed in the EMCal, e.g. due to Bremsstrahlung radiation by electron
 - Single cluster reco leads to eff. loss
 - The eff. can be recovered by radiated photon reco
 - The closer to the EMCal the smaller the effect
 - The higher Bdl the larger the effect
 - Rad. photons are localized in arcs with the same polar angle as a parent electron => topological search window

GEANT simulation for a single electron



Cluster Energy

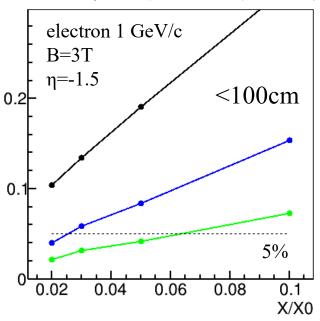


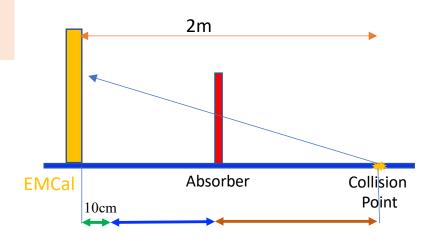
Effect of material on the way

The most extreme case:

Highest Bdl, lowest e momentum, close to coll. point

Efficiency loss (with 2σ cut) vs X/X₀





<50%X ₀	<20%X ₀	<(3-6)%X ₀	Electron > 1GeV
<30%X ₀	<10%X ₀	<10%X ₀	Photon > 0.1GeV

Exclusive requirements (the whole effect assumed from one region)

e cluster energy

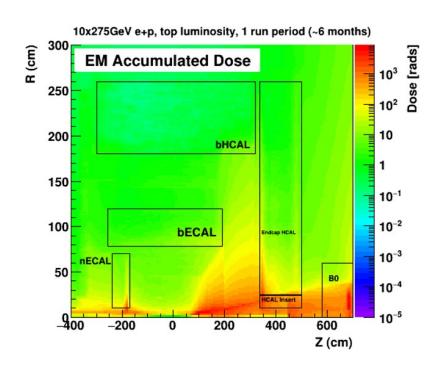
e cluster and rad γ energy from Ecl>50MeV

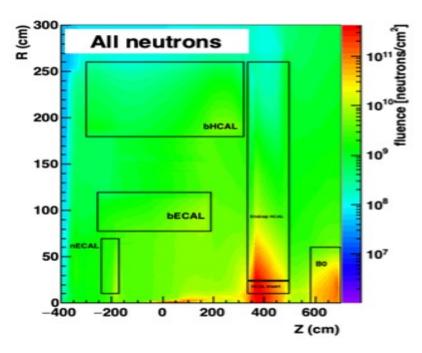
e cluster and rad γ energy from Ecl>50MeV and $\Delta \eta = \pm 0.2$, $\Delta \varphi = \pm 0.5$

The amount and localization of tolerable material formulated

The requirements are relaxed for B=1.7-2T

Rad Dose and Neutron Flux





Highest dose in the forw EMCal next to the beam pipe:

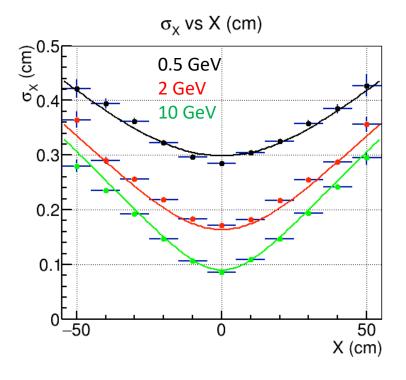
 \sim 2.5 krad/year at L=10³⁴ cm⁻²s⁻¹

Highest flux in the forw EMCal next to the beam pipe:

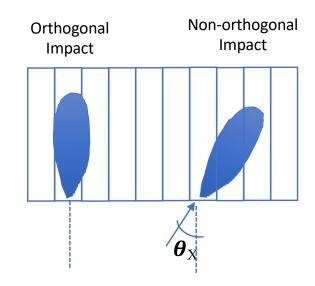
 $\sim 10^{11} \text{ n/cm}^2/\text{year at L} = 10^{34} \text{ cm}^{-2} \text{s}^{-1}$

Non-projectivity and pos. res.

Backward EMCal



Full GEANT simulation with all material



$$\sigma_X = \left(\frac{2mm}{\sqrt{E[GeV]}} + 0.3 \text{mm}\right) \oplus (X_0 \sin \theta_X)$$

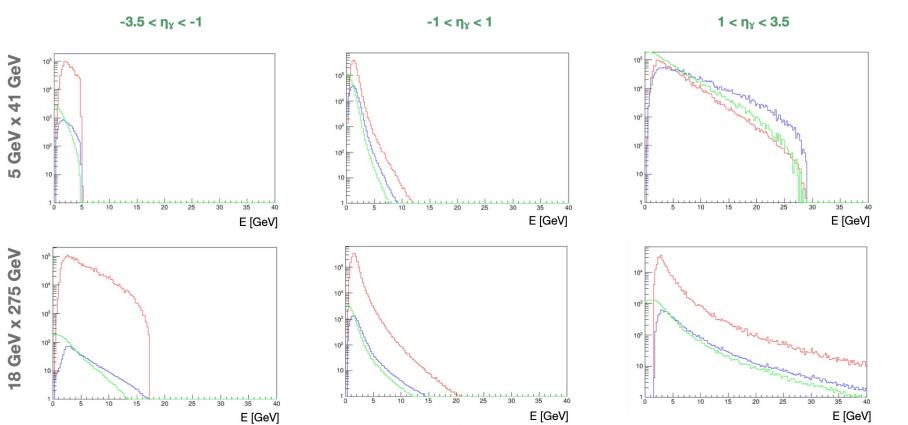
Non-projectivity term (due to long, shower fluct)

Maximal non-projectivity term for the backward EMCal is 3mm (θ_{max} =20°)

Exclusive: DVCS and pi0

distributions of energy for two beam energies and various ranges of eta

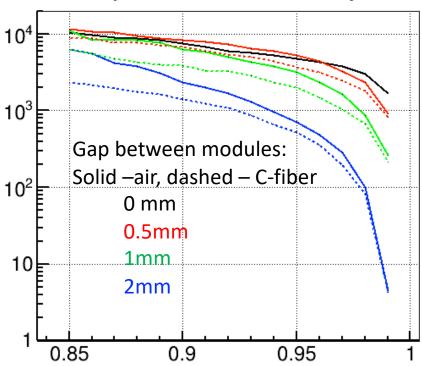
 $\begin{array}{lll} Q^2 > 1 \; GeV^2 & \bullet \; DVCS: \gamma \\ 0.01 < y < 0.95 & \bullet \; DVMP \; \pi^0: \pi^0 \\ |\eta_{e-}| < 3.5 & \bullet \; DVMP \; \pi^0: \pi^0 \rightarrow \gamma\gamma \end{array}$



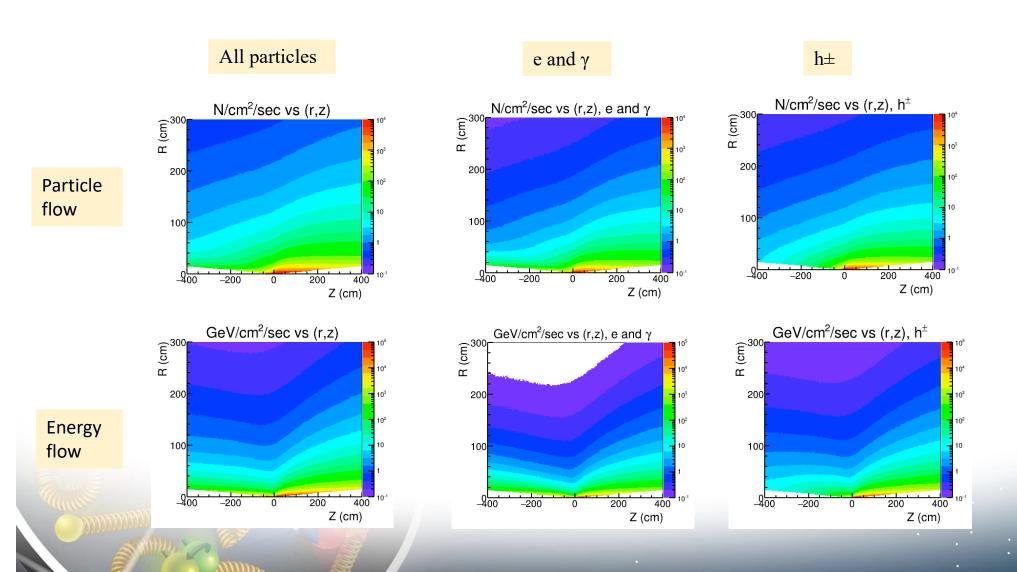
e/π : PWO

For PWO crystals of 2x2 cm2, 20*X0, p = 2 GeV/c

π rejection vs e efficiency



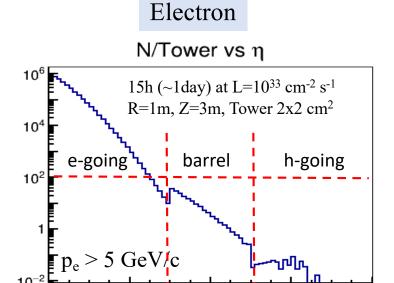
Particle/Energy Flow



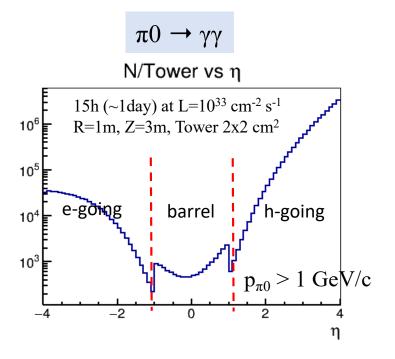
Calibration

"Usually" a few hundred particles per tower needed

Depends on resolution, gain alignment, background, other syst. effects



- ✓ 1-day statistics is enough for e-endcup
- ✓ Barrel needs more data
- ✓ Not enough for h-endcup



1-day statistics looks enough for all EMCals

SiPM Specs

Parameter	Specs
Active area	6 mm x 6 mm
Pixel Size	15 um (50 um)
Package type	Surface mount
Peak Sensitivity	Max PDE at ~ 450 nm
PDE	>30% (>50%) @3V overvoltage
Gain	~2x 10 ⁵ (~2x 10 ⁶) @3V overvoltage
DCR	< 3000 kcps @ 25C, 0.5 PE
	threshold, @3V overvoltage
Temperature	< 40 mV/C
coefficient of Vop	
Direct crosstalk	< 1% (<7%)
probability	
Terminal capacity	< 3nF @3V overvoltage
Packing granularity	Multiple of 4 per tray
Vop variation within	+/- 0.02V (Forw) and +/- 0.1V (Back
a tray	and barrel)